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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**

▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation
The Neu Foundation of California Inc

% RICHARD W NEU

Number and street (or P O box number if mail is not delivered to street address) Room/suite
250 North Canon Drive
Suite

City or town, state or province, country, and ZIP or foreign postal code
Beverly Hills, CA 90210

A Employer identification number
95-4446793

B Telephone number (see instructions)
(310) 550-8006

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)*▶$ 3,474,547

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify) ______
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	0			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	49,514	49,514		
	5a	Gross rents				
	b	Net rental income or (loss) ______				
	6a	Net gain or (loss) from sale of assets not on line 10	349,285			
	b	Gross sales price for all assets on line 6a 1,105,510				
	7	Capital gain net income (from Part IV, line 2)		349,285		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	398,799	398,799		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	3,793	793		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	16,034	15,957		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	19,827	16,750		0
	25	Contributions, gifts, grants paid	170,150			170,150
	26	**Total expenses and disbursements.** Add lines 24 and 25	189,977	16,750		170,150
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	208,822			
	b	**Net investment income** (if negative, enter -0-)		382,049		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

Section	Line	Description	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash—non-interest-bearing	1,464	65,628	65,628
	2	Savings and temporary cash investments	94,699	318,665	318,665
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	2,829,354	2,750,922	3,090,254
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)	876		
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,926,393	3,135,215	3,474,547
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☑ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	2,926,393	3,135,215	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	2,926,393	3,135,215	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	2,926,393	3,135,215	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,926,393
2	Enter amount from Part I, line 27a	2	208,822
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	3,135,215
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,135,215

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	349,285
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	161,529	3,128,041	0 051639
2011	174,262	3,373,897	0 05165
2010	174,946	3,166,960	0 055241
2009	179,660	3,131,045	0 05738
2008	226,500	6,382,880	0 035486

2	**Total** of line 1, column (d).	2	0 251396
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0 050279
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	3,280,500
5	Multiply line 4 by line 3.	5	164,940
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	3,820
7	Add lines 5 and 6.	7	168,760
8	Enter qualifying distributions from Part XII, line 4.	8	170,150

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b		1	3,820
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3	Add lines 1 and 2		3	3,820
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	3,820
6	Credits/Payments			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a 7,807		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	7,807
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	3,987
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 3,987 **Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶RICHARD W NEU Telephone no ▶(310) 550-8006

Located at ▶10445 WILSHIRE BVLD LOS ANGELES CA ZIP+4 ▶90024

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
Richard W Neu 250 North Canon Drive Beverly Hills, CA 90210	President 2 0	0	0	0
Amy P Neu 47 Parsipanny Road Whippany, NJ 07981	vice president 2 0	0	0	0
Steven W Neu 250 North Canon Drive Beverly Hills, CA 90210	Secretary and Treasurer 2 0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
Total number of others receiving over $50,000 for professional services . . . . ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	3,178,095
b	Average of monthly cash balances	1b	152,362
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	3,330,457
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	3,330,457
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	49,957
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,280,500
6	**Minimum investment return.** Enter 5% of line 5	6	164,025

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	164,025
2a	Tax on investment income for 2013 from Part VI, line 5	2a	3,820		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	3,820
3	Distributable amount before adjustments Subtract line 2c from line 1			3	160,205
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	160,205
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	160,205

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	170,150
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	170,150
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	3,820
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	166,330

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					160,205
2 Undistributed income, if any, as of the end of 2013					
a Enter amount for 2012 only.				0	
b Total for prior years 2011 , 2010 , 2009			0		
3 Excess distributions carryover, if any, to 2013					
a From 2008.					
b From 2009.	560				
c From 2010.	17,906				
d From 2011.	18,909				
e From 2012.	7,777				
f Total of lines 3a through e.		45,152			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 170,150					
a Applied to 2012, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).					
d Applied to 2013 distributable amount.					160,205
e Remaining amount distributed out of corpus		9,945			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6 Enter the net total of each column as indicated below:					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		55,097			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .					
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a		55,097			
10 Analysis of line 9					
a Excess from 2009. . . .	560				
b Excess from 2010. . . .	17,906				
c Excess from 2011. . . .	18,909				
d Excess from 2012. . . .	7,777				
e Excess from 2013. . . .	9,945				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NA

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NA

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Additional Data Table				
Total . . . . ▶ **3a**				170,150
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	49,514	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	349,285	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				398,799	

13 **Total.** Add line 12, columns (b), (d), and (e). **13** 398,799

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
NA	N/A	N/A

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

****** | 2014-11-15 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
KENNETH WEISSENBERG				P00738677
Firm's name ▶ EISNERAMPER LLP			Firm's EIN ▶	
Firm's address ▶ 111 WOOD AVE SO STE 600 ISELIN, NJ 088302700			Phone no (310) 550-8006	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
SEE ATTACHED STATEMENT	P	2012-09-25	2013-06-13
SEE ATTACHED STATEMENT	P		
SEE ATTACHED STATEMENT	P		
SEE ATTACHED STATEMENT	P	2013-05-09	2013-07-02
SEE ATTACHED STATEMENT	P	2012-09-12	
SEE ATTACHED STATEMENT		2012-09-12	2013-12-12
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
84		119	-35
242,419		297,029	-54,610
818,998		439,516	379,482
1,396		1,750	-354
18,159		15,725	2,434
2,648		2,086	562
			19,847

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-35
			-54,610
			379,482
			-354
			2,434
			562

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
United Jewish Fund 6505 Wilshire Boulevard Suite 1150 Los Angeles, CA 90048	NONE	PC	General Support	70,000
Cedars Sinai Medical Center 8635 W 3rd Street Suite 765 Est Los Angeles, CA 90048	NONE	PC	General Support	15,000
Music Center Performing Arts Center of LA County 135 North Grand Los Angeles, CA 90012	NONE	PC	General Support	2,500
LOS ANGELES COUNTY MUSEUM OF ART 135 NORTH GRAND LOS ANGELES, CA 90012	NONE	PC	GENERAL SUPPORT	15,000
FAR HILLS COUNTRY DAY SCHOOL 697 OLD MINE BROOK ROAD FAR HILLS, NJ 07931	NONE	PC	GENERAL SUPPORT	5,000
California Highway Patrol 11-99 Foundation 2244 NOrth State College Boulevard Fullerton, CA 92831	NONE	PC	General Support	1,000
Damon Runyon Cancer Research Foundation One Exchange Plaza 55 Broadway Suite 302 New York, NY 10006	NONE	PC	GENERAL SUPPORT	1,000
BUREAU OF JEWISH EDUCATION OF GREATER LA 6505 WILSHIRE BLVD SUITE 300 LOS ANGELES, CA 90048	NONE	PC	GENERAL SUPPORT	1,000
CALIFORNIA STATE PARK FOUNDATION 50 FRANCISCO STREET SUITE 110 SAN FRANCISCO, CA 94133	NONE	PC	GENERAL SUPPORT	250
BEVERLY HILLS FIREMEN'S ASSOCIATION PO BOX 1720 BEVERLY HILLS, CA 90213	NONE	PC	GENERAL SUPPORT	1,000
BEVERLY HILLS POLICE OFFICERS ASSOCIATION 464 N REXFORD DRIVE BEVERLY HILLS, CA 90210	NONE	PC	GENERAL SUPPORT	1,000
UNITED JEWISH APPEAL NEW YORK 130 E 59TH ST NEW YORK, NY 10022	NONE	EOF	GENERAL SUPPORT	30,000
THE METROPOLITAN MUSEUM OF ART 1000 5TH AVENUE NEW YORK CITY, NY 10028	NONE	PC	GENERAL SUPPORT	2,500
AMERICAN MUSEUM OF NATURAL HISTORY CENTRAL PARK WEST NEW YORK, NY 10024	NONE	PC	GENERAL SUPPORT	2,500
UNITED STATES COMMITTEE SPORTS FOR ISRAEL INC 1626 ARCH STREET SUITE 4R PHILADELPHIA, PA 19103	NONE	PC	GENERAL SUPPORT	1,000
Total . . . . ▶ 3a				170,150

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FRIENDS OF ROBINSON GARDENS INC 1008 ELDEN WAY BEVERLY HILLS, CA 90210	NONE	PC	GENERAL SUPPORT	900
MT PLEASANT ANIMAL SHELTER INC 194 ROUTE 10 WEST EAST HANOVER, NJ 07936	NONE	PC	GENERAL SUPPORT	500
LOS ANGELES COUNTY MUSEUM OF NATURAL HISTORY FNDN 900 EXPOSITION BLVD LOS ANGELES, CA 90007	NONE	PC	GENERAL SUPPORT	1,000
TOWER CANCER RESEARCH FOUNDATION 9090 WILSHIRE BLVD SUITE 350 BEVERLY HILLS, CA 90211	NONE	PC	GENERAL SUPPORT	2,000
OUR HOUSE INC 1663 SAWTELLE BLVD 300 LOS ANGELES, CA 90025	NONE	PC	GENERAL SUPPORT	1,000
LUNG CANCER FOUNDATION OF AMERICA 15 S FRANKLIN STREET NEW ULM, MN 56073	NONE	PC	GENERAL SUPPORT	2,000
NEW YORK PRESBYTERIAN HOSPITAL 525 EAST 68TH STREET BOX 156 NEW YORK, NY 10065	NONE	PC	GENERAL SUPPORT	5,000
ANTI-DEFAMATION LEAGUE 605 THIRD AVENUE NEW YORK, NY 101583560	NONE	PC	GENERAL SUPPORT	2,500
VASSAR COLLEGE 124 RAYMOND AVENUE BOX 12 POUGHKEEPSIE, NY 12604	NONE	PC	GENERAL SUPPORT	3,500
NASHER SCULPTURE CENTER 2001 FLORA ST DALLAS, TX 75201	NONE	PC	GENERAL SUPPORT	3,000
Total . . . . ▶ **3a**				170,150

TY 2013 All Other Program Related Investments Schedule

Name: The Neu Foundation of California Inc

EIN: 95-4446793

Category	Amount
NONE	

TY 2013 Depreciation Schedule

Name: The Neu Foundation of California Inc

EIN: 95-4446793

TY 2013 Investments Corporate Stock Schedule

Name: The Neu Foundation of California Inc

EIN: 95-4446793

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ABBOTT LABS	2,916	3,833
ABBVIE INC	3,162	5,281
APPLE INC	52,668	50,492
BERKSHIRE HATHAWAY INC	73,283	148,200
BOEING COMPANY	50,112	91,448
CROWN HLDGS INC	25,152	32,536
CITIGROUP INC	74,382	109,431
DU PONT	25,050	34,434
EXXON MOBIL CORP	49,789	55,660
FORD MOTOR CO	50,837	66,349
GOLDMAN SACHS GROUP	99,976	138,262
GENERAL ELECTRIC	49,941	74,280
INTEL CORP	25,118	25,306
INTL BUSINESS MACHINES	99,798	97,536
JP MORGAN CHASE & CO	100,097	134,504
JOY GLOBAL	50,626	46,790
LKQ CORP	32,557	65,800
LAZARD LTD	49,172	57,783
MCDONALDS CORP	48,148	53,367
MICROSOFT CORP	8,684	25,813
PEPSICO INC	16,330	28,614
POTAASH CORP SASKATCHEWAN	106,494	82,400
RENREN INC	100,623	18,484
SEADRILL LTD	49,032	49,296
BLACKSTONE GROUP INC	46,933	106,313
ENTERPRISE PRDTS PRTN LP	73,570	129,285
PLAINS ALL AMERN PIPL LP	20,572	35,721
HOEGH LNG HLDG LTD SHS	386,672	303,334
WAL-MART STORES INC	47,584	51,149
SGL CARBON SE	75,825	59,548

Name of Stock	End of Year Book Value	End of Year Fair Market Value
INVESCO SELECT COMPANIES	28,209	31,416
ORBIMED HEALTHCARE	15,000	12,500
ALTRIA GROUP INC	6,388	7,332
ASTRAZENECA PLC	6,002	7,599
AT&T INC	5,969	5,555
BOEING COMPANY	4,964	9,554
CHEVRON CROP	5,960	6,495
CONOCOPHILLIPS	6,509	8,125
CISCO SYSTEMS INC	5,463	5,988
DIAGEO PLC	5,040	6,224
DOMINION RES INC	4,008	4,916
DU PONT	4,984	6,432
GENERAL ELECTRIC	5,496	7,064
GENUINE PARTS CO	5,003	6,655
HSBC HLDGS PLC	4,991	6,009
HCP INC	5,968	4,649
HEALTH CARE REIT INC	5,980	5,518
INTEL CORP	6,353	7,085
JP MORGAN CHASE & CO	3,994	5,848
JOHNSON AND JOHNSON	6,465	8,701
KIMBERLY CLARK	4,702	5,954
ELI LILLY & CO	6,002	6,579
MERCK AND CO	7,033	7,858
MICROSOFT CORP	5,993	7,258
NEXTERA ENERGY INC	5,985	7,620
PHILIP MORRIS INTL	5,919	5,925
RAYTHEON CO	6,015	9,433
ROYAL DUTCH SHEL	7,166	7,436
3M COMPANY	4,998	7,714
TRAVELERS COS INC	5,464	7,334

Name of Stock	End of Year Book Value	End of Year Fair Market Value
UNILEVER NV NY	5,515	6,316
VODAFONE GROP	6,660	9,356
ENSCO PLC	6,179	5,718
NATIONAL-OILWELL VARCO	7,895	7,953
PIONEER NATURAL RES	63,553	55,221
VANGUARD MSCI	5,394	5,880
DELAWARE VALUE FUND	74,068	78,350
TETON WESTWOOD MIGHTY	266,703	280,823
CLEARBRIDGE AGGRESSIVE	99,368	105,840
SUNAMERICA FOCUSED	76,966	77,654
BCE INC	6,761	6,580
CORNING INC	2,323	2,441
DIAMOND OFFSHORE DRLNG	6,817	5,465
METLIFE INC	4,904	6,632
KINDERMORGAN	20,690	18,000

TY 2013 Investments - Land Schedule

Name: The Neu Foundation of California Inc

EIN: 95-4446793

TY 2013 Land, Etc. Schedule

Name: The Neu Foundation of California Inc

EIN: 95-4446793

TY 2013 Other Expenses Schedule

Name: The Neu Foundation of California Inc

EIN: 95-4446793

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER EXPENSES	6,792	6,725		
PORTFOLIO EXPENSE	144	144		
STATE FILING FEES	10			
OTHER LOSSES FROM PASSTHROUGH	9,088	9,088		

TY 2013 Other Income Schedule

Name: The Neu Foundation of California Inc

EIN: 95-4446793

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
other losses from pass through entity			

TY 2013 Taxes Schedule

Name: The Neu Foundation of California Inc

EIN: 95-4446793

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	793	793		
FEDERAL EXCISE TAXES	3,000			

Merrill Lynch
Bank of America Corporation

THE NEU FOUNDATION OF

2013 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your tax return

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities " A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities " Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available).

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
BP PLC	*SPON ADR*	*CUSIP Number*	*055622104*					
2.0000	Sale	09/25/12	06/13/13	84.49	119.04	0.00	(34.55)	
Covered Short Term Capital Gains and Losses Subtotal				**84.49**	**119.04**	**0.00**	**(34.55)**	
NET SHORT TERM CAPITAL GAINS AND LOSSES				**84.49**	**119.04**	**0.00**	**(34.55)**	
LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
ANGLOGOLD ASHANTI LTD		*CUSIP Number*	*035128206*					
1000.0000	Sale	01/27/12	06/13/13	16,144.72	46,195.40	0.00	(30,050.68)	
200.0000	Sale	03/02/12	06/13/13	3,228.94	8,250.74	0.00	(5,021.80)	
800.0000	Sale	03/02/12	06/13/13	12,915.78	33,008.00	0.00	(20,092.22)	
	Security Subtotal			***32,289.44***	***87,454.14***	***0.00***	***(55,164.70)***	

Merrill Lynch
Bank of America Corporation

Account No. 222-02009

Taxpayer No. 95-4446793

THE NEU FOUNDATION OF

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
HOEGH LNG HLDG LTD SHS .01 USD PAR ORDINARY		*CUSIP Number*	*G45422105*					
1000.0000	Sale	02/16/12	11/15/13	7,572.30	9,575.10	0.00	(2,002.80)	
OPPENHEIMER DEVELOPING MARKETS FD CL C		*CUSIP Number*	*683974307*					
3087.0000	Sale	03/14/12	06/13/13	101,531.42	99,987.93	0.00	1,543.49	
.6260	Sale	03/16/12	06/13/13	20.59	20.32	0.00	0.27	
3071.0000	Sale	03/16/12	06/13/13	101,005.20	99,991.76	0.00	1,013.44	
	Security Subtotal			***202,557.21***	***200,000.01***	***0.00***	***2,557.20***	
	Covered Long Term Capital Gains and Losses Subtotal			**242,418.95**	**297,029.25**	**0.00**	**(54,610.30)**	

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
BP PLC SPON ADR		*CUSIP Number*	*055622104*					
238.0000	Sale	08/13/00	06/13/13	10,053.43	13,692.21	0.00	(3,638.78)	
10.0000	Sale	02/11/09	06/13/13	422.41	575.09	0.00	(152.68)	
	Security Subtotal			***10,475.84***	***14,267.30***	***0.00***	***(3,791.46)***	
ISHARES RUSSELL 1000 VALUE		*CUSIP Number*	*464287598*					
2088.0000	Sale	07/15/09	09/18/13	185,841.98	99,702.00	0.00	86,139.98	
POWERSHARES QQQ TR UNITS SER 1		*CUSIP Number*	*73935A104*					
1538.0000	Sale	07/15/09	11/12/13	126,867.41	56,644.54	0.00	70,222.87	
2350.0000	Sale	09/17/09	11/12/13	193,848.13	99,769.49	0.00	94,078.64	
	Security Subtotal			***320,715.54***	***156,414.03***	***0.00***	***164,301.51***	
SPDR DOW JONES INDUST AV ETF TRUST		*CUSIP Number*	*78467X109*					
868.0000	Sale	07/15/09	09/18/13	135,971.52	74,774.55	0.00	61,196.97	
SECTOR SPDR FINANCIAL		*CUSIP Number*	*81369Y605*					
3191.0000	Sale	07/15/09	11/12/13	65,797.27	39,632.22	0.00	26,165.05	
AMEX TECHNLGY SELCT SPDR		*CUSIP Number*	*81369Y803*					
2947.0000	Sale	07/15/09	11/12/13	100,196.25	54,725.79	0.00	45,470.46	

Merrill Lynch
Bank of America Corporation

Account No.	Taxpayer No.	Page
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THE NEU FOUNDATION OF

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
Noncovered Long Term Capital Gains and Losses Subtotal				**818,998.40**	**439,515.89**	**0.00**	**379,482.51**	
NET LONG TERM CAPITAL GAINS AND LOSSES				**1,061,417.35**	**736,545.14**	**0.00**	**324,872.21**	

OTHER TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
LINN ENERGY LLC PARTNER		*CUSIP Number 536020100*						
50.0000	Sale	05/09/13	07/02/13	1,396.47	1,790.00	0.00	N/C	
Other Transactions Subtotal				**1,396.47**	**1,790.00**	**0.00**		

TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES	**1,062,898.31**
TOTAL REPORTED SALES PROCEEDS	**1,062,898.31**

N/C Results may not be calculated for transactions which involve the amortization of premium, the repayment of principal, the sale of partnership interest, derivative products purchased in the secondary market, or the determination of ordinary income and/or capital items for discount and zero-coupon issues

2013 REALIZED CAPITAL GAIN AND LOSS SUMMARY

COVERED SHORT TERM GAINS/LOSSES	NONCOVERED SHORT TERM GAINS/LOSSES	COVERED LONG TERM GAINS/LOSSES	NONCOVERED LONG TERM GAINS/LOSSES
(34.55)	0.00	(54,610.30)	379,482.51

Merrill Lynch
Bank of America Corporation

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2013 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your tax return

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities " A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available).

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
HEINZ H J CO PV 25CT		*CUSIP Number*	*423074103*					
98.0000	Exchange	09/12/12	06/10/13	7,105.00	5,517.24	0.00	1,587.76	
KIMBERLY CLARK		*CUSIP Number*	*494368103*					
16.0000	Sale	09/12/12	06/24/13	1,512.98	1,319.79	0.00	193.19	
MONDELEZ INTERNATIONAL INC		*CUSIP Number*	*609207105*					
151.0000	Sale	09/12/12	03/25/13	4,508.11	3,884.83	0.00	623.28	
VERIZON COMMUNICATNS COM		*CUSIP Number*	*92343V104*					
112.0000	Sale	09/12/12	02/22/13	5,032.83	5,002.88	0.00	29.95	
Covered Short Term Capital Gains and Losses Subtotal				**18,158.92**	**15,724.74**	**0.00**	**2,434.18**	
NET SHORT TERM CAPITAL GAINS AND LOSSES				**18,158.92**	**15,724.74**	**0.00**	**2,434.18**	

THE NEU FOUNDATION OF

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
KRAFT FOODS GROUP INC SHS		*CUSIP Number*	*50076Q106*					
50.0000	Sale	09/12/12	12/12/13	2,648.03	2,086.21	0.00	561.82	
Covered Long Term Capital Gains and Losses Subtotal				**2,648.03**	**2,086.21**	**0.00**	**561.82**	
NET LONG TERM CAPITAL GAINS AND LOSSES				**2,648.03**	**2,086.21**	**0.00**	**561.82**	
TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES				**20,806.95**				
TOTAL REPORTED SALES PROCEEDS				**20,806.95**				

2013 REALIZED CAPITAL GAIN AND LOSS SUMMARY

COVERED SHORT TERM GAINS/LOSSES	NONCOVERED SHORT TERM GAINS/LOSSES	COVERED LONG TERM GAINS/LOSSES	NONCOVERED LONG TERM GAINS/LOSSES
2,434.18	0.00	561.82	0.00