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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013

Department of the Treasury
Internal Revenue Service

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation THE EDWIN W. PAULEY FOUNDATION		A Employer identification number 95-4332470
Number and street (or P O box number if mail is not delivered to street address) 1801 CENTURY PARK EAST	Room/suite 2230	B Telephone number 310-557-0008
City or town, state or province, country, and ZIP or foreign postal code LOS ANGELES, CA 90067		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 4,170,746. (Part I, column (d) must be on cash basis)	J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		4,249.	4,249.		STATEMENT 1
4 Dividends and interest from securities		85,591.	85,591.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		142,045.			
b Gross sales price for all assets on line 6a 2,070,001.					
7 Capital gain net income (from Part IV, line 2)			142,045.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		20,558.	20,558.		STATEMENT 3
12 Total Add lines 1 through 11		252,443.	252,443.		
13 Compensation of officers, directors, trustees, etc		36,000.	18,000.		18,000.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 4		8,375.	4,188.		4,187.
c Other professional fees STMT 5		3,000.	1,500.		1,500.
17 Interest					
18 Taxes STMT 6		892.	882.		10.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 7		44,150.	39,427.		4,723.
24 Total operating and administrative expenses Add lines 13 through 23		92,417.	63,997.		28,420.
25 Contributions, gifts, grants paid		411,120.			411,120.
26 Total expenses and disbursements. Add lines 24 and 25		503,537.	63,997.		439,540.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-251,094.			
b Net investment income (if negative, enter -0-)			188,446.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		7,389.	21,418.	21,418.
	2	Savings and temporary cash investments		220,177.	38,005.	38,005.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶		7,182.		
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 8		322,402.	371,714.	355,946.
	b	Investments - corporate stock STMT 9		2,169,181.	2,347,832.	2,672,240.
	c	Investments - corporate bonds				
	11	Investments - land, buildings, and equipment basis ▶				
	Less accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other STMT 10		351,568.	-5,782.	1,083,137.	
14	Land, buildings, and equipment: basis ▶					
	Less accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		3,077,899.	2,773,187.	4,170,746.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable		313,000.	256,000.	
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		313,000.	256,000.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		19,778,145.	19,778,145.	
	29	Retained earnings, accumulated income, endowment, or other funds		-17,013,246.	-17,260,958.	
30	Total net assets or fund balances		2,764,899.	2,517,187.		
31	Total liabilities and net assets/fund balances		3,077,899.	2,773,187.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,764,899.
2	Enter amount from Part I, line 27a	2	-251,094.
3	Other increases not included in line 2 (itemize) ▶ <u>UNREALIZED GAIN ON INVESTMENTS</u>	3	5,720.
4	Add lines 1, 2, and 3	4	2,519,525.
5	Decreases not included in line 2 (itemize) ▶ <u>PRIOR YEAR INVESTMENT CHANGES</u>	5	2,338.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,517,187.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 2,070,001.		1,927,956.	142,045.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			142,045.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	142,045.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	290,745.	4,276,694.	.067984
2011	198,812.	4,571,968.	.043485
2010	494,977.	4,570,489.	.108298
2009	251,674.	4,576,683.	.054990
2008	619,062.	5,268,228.	.117509

2 Total of line 1, column (d)	2	.392266
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.078453
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	4,322,624.
5 Multiply line 4 by line 3	5	339,123.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,884.
7 Add lines 5 and 6	7	341,007.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	439,540.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	1,884.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,884.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,884.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	3,314.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	3,314.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,430.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☒ 1,430. Refunded ☒	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► THE FOUNDATION Telephone no. ► 310-557-0008 Located at ► 1801 CENTURY PARK EAST STE 2230, LOS ANGELES, CA ZIP+4 ► 90067			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ► , , ,	☐ Yes ☒ No	
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► , , ,		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	N/A	3b
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
STEPHEN M. PAULEY	PRESIDENT/CHAIRMAN			
1801 CENTURY PARK EAST #2230				
LOS ANGELES, CA 90067	2.00	12,000.	0.	0.
KEVIN PAULEY HILLYER	VICE PRESIDENT			
1801 CENTURY PARK EAST #2230				
LOS ANGELES, CA 90067	2.00	12,000.	0.	0.
MATTHEW V. PAULEY	TREASURER			
1801 CENTURY PARK EAST #2230				
LOS ANGELES, CA 90067	2.00	12,000.	0.	0.
TANYA HENDRIX	SECRETARY			
1801 CENTURY PARK EAST #2230				
LOS ANGELES, CA 90067	2.00	3,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,121,656.
b	Average of monthly cash balances	1b	176,455.
c	Fair market value of all other assets	1c	1,090,340.
d	Total (add lines 1a, b, and c)	1d	4,388,451.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,388,451.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	65,827.
5	Net value of noncharitable-use assets . Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,322,624.
6	Minimum investment return . Enter 5% of line 5	6	216,131.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	216,131.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1,884.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,884.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	214,247.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	214,247.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	214,247.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	439,540.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions . Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	439,540.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,884.
6	Adjusted qualifying distributions . Subtract line 5 from line 4	6	437,656.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				214,247.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	359,965.			
b From 2009	26,062.			
c From 2010	268,313.			
d From 2011				
e From 2012	76,910.			
f Total of lines 3a through e	731,250.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$	439,540.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				214,247.
e Remaining amount distributed out of corpus	225,293.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	956,543.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	359,965.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	596,578.			
10 Analysis of line 9:				
a Excess from 2009	26,062.			
b Excess from 2010	268,313.			
c Excess from 2011				
d Excess from 2012	76,910.			
e Excess from 2013	225,293.			

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- [illegible]

- (4) Gross investment income

11
2013.04030 THE EDWIN W. PAULEY FOUNDAT L1098 1

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
CARLTHORP SCHOOL 438 SAN VICENTE BLVD. SANTA MONICA, CA 90402	NONE	OTHER PUBLIC CHARITY	EDUCATION PROGRAMS	20,000.
COACHART 3303 WILSHIRE BLVD. #320 LOS ANGELES, CA 90010	NONE	OTHER PUBLIC CHARITY	SERVICE PROGRAMS	10,000.
CHATHAM BAROQUE/THE ICE HOUSE 100 FORTY-THIRD ST PITTSBURGH, PA 15201	NONE	OTHER PUBLIC CHARITY	MUSIC PROGRAMS	40,000.
CHILDRENS BUREAU FOUNDATION 1910 MAGNOLIA AVENUE LOS ANGELES, CA 90007	NONE	OTHER PUBLIC CHARITY	CHILD ABUSE PREVENTION PROGRAM SERVICES	15,000.
CHILDRENS HOSPITAL LOS ANGELES 4650 SUNSET BLVD., MAIL STOP 4 LOS ANGELES, CA 90027	NONE	OTHER PUBLIC CHARITY	PROGRAM SERVICES	2,500.
Total			SEE CONTINUATION SHEET(S) ▶ 3a	411,120.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

THE EDWIN W. PAULEY FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a WELLS FARGO ADVISORS (1169)	P		
b WELLS FARGO ADVISORS (1169)	P		
c WELLS FARGO ADVISORS (1169)	P		
d WELLS FARGO ADVISORS (1169)	P		
e WELLS FARGO ADVISORS (0565)	P		
f WELLS FARGO ADVISORS (0565)	P		
g WELLS FARGO ADVISORS (1695)	P		
h WELLS FARGO ADVISORS (1695)	P		
i WELLS FARGO ADVISORS (1695)	P		
j WELLS FARGO ADVISORS (1695)	P		
k WELLS FARGO ADVISORS (5016)	P		
l WELLS FARGO ADVISORS (5016)	P		
m WELLS FARGO ADVISORS (5016)	P		
n WELLS FARGO ADVISORS (5016)	P		
o WELLS FARGO ADVISORS (8861)	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 11,835.		11,775.	60.
b 9,816.		9,619.	197.
c 2,518.		1,660.	858.
d 47,918.		46,440.	1,478.
e 55,505.		55,344.	161.
f 227,689.		208,441.	19,248.
g 242,822.		241,667.	1,155.
h 272,968.		277,301.	-4,333.
i 99,338.		99,995.	-657.
j 13,244.		13,244.	0.
k 39,131.		37,220.	1,911.
l 8,186.		7,112.	1,074.
m 5,801.		3,659.	2,142.
n 92,524.		61,559.	30,965.
o 3,434.		3,953.	-519.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			60.
b			197.
c			858.
d			1,478.
e			161.
f			19,248.
g			1,155.
h			-4,333.
i			-657.
j			0.
k			1,911.
l			1,074.
m			2,142.
n			30,965.
o			-519.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE EDWIN W. PAULEY FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	WELLS FARGO ADVISORS (8861)	P		
b	WELLS FARGO ADVISORS (8861)	P		
c	WELLS FARGO ADVISORS (8861)	P		
d	WELLS FARGO ADVISORS (1017)	P		
e	WELLS FARGO ADVISORS (1017)	P		
f	WELLS FARGO ADVISORS (1017)	P		
g	WELLS FARGO ADVISORS (1017)	P		
h	WELLS FARGO ADVISORS (1664)	P		
i	WELLS FARGO ADVISORS (1664)	P		
j	WELLS FARGO ADVISORS (1664)	P		
k	WELLS FARGO ADVISORS (1664)	P		
l	WELLS FARGO ADVISORS (1664)	P		
m	MORGAN STANLEY (126958)	P		
n	MORGAN STANLEY (126958)	P		
o	MORGAN STANLEY (126958)	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	370.	404.	-34.
b	1,405.	927.	478.
c	42,531.	26,664.	15,867.
d	119,932.	104,038.	15,894.
e	24,950.	20,344.	4,606.
f	2,239.	1,566.	673.
g	18,162.	12,580.	5,582.
h	2,409.	3,267.	-858.
i	1,100.	774.	326.
j	204.	336.	-132.
k	56,911.	46,384.	10,527.
l	8.	8.	0.
m	324.	327.	-3.
n	3,489.	3,512.	-23.
o	3,089.	2,173.	916.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-34.
b			478.
c			15,867.
d			15,894.
e			4,606.
f			673.
g			5,582.
h			-858.
i			326.
j			-132.
k			10,527.
l			0.
m			-3.
n			-23.
o			916.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE EDWIN W. PAULEY FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MORGAN STANLEY (126959)	P		
b	MORGAN STANLEY (126959)	P		
c	MORGAN STANLEY (126960)	P		
d	MORGAN STANLEY (126962)	P		
e	MORGAN STANLEY (126962)	P		
f	MORGAN STANLEY (127020)	P		
g	MORGAN STANLEY (127020)	P		
h	MORGAN STANLEY (126957)	P		
i	170.704 SHS THE ENDOWMENT TEI FD LP	P		
j	127.95 SHS THE ENDOWMENT TEI FUND LP	P		
k	CAPITAL GAINS DIVIDENDS			
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	415.	509.	-94.
b	9,559.	11,275.	-1,716.
c	3,380.	3,124.	256.
d	69,918.	68,625.	1,293.
e	540,230.	518,041.	22,189.
f	5,155.	3,530.	1,625.
g	2.		2.
h	5,274.	3,054.	2,220.
i	9,494.	10,006.	-512.
j	7,163.	7,499.	-336.
k	9,559.		9,559.
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-94.
b			-1,716.
c			256.
d			1,293.
e			22,189.
f			1,625.
g			2.
h			2,220.
i			-512.
j			-336.
k			9,559.
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	142,045.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CROSSROADS SCHOOL 1714 - 21ST STREET SANTA MONICA, CA 90404	NONE	OTHER PUBLIC CHARITY	K-12 EDUCATIONAL PROGRAMS	15,000.
COMMON SENSE 1100 GLENDON AVE LOS ANGELES, CA 90024	NONE	OTHER PUBLIC CHARITY	PROGRAM SERVICES	500.
MARLBOROUGH SCHOOL 250 SOUTH ROSSMORE AVENUE LOS ANGELES, CA 90004	NONE	OTHER PUBLIC CHARITY	EDUCATION PROGRAMS	5,000.
MCHENRY MANSION FOUNDATION P.O. BOX 642 MODESTO, CA 95353	NONE	OTHER PUBLIC CHARITY	GENERAL OPERATING	500.
PARK CENTURY SCHOOL 3939 LANDMARK STREET CULVER CITY, CA 90232	NONE	OTHER PUBLIC CHARITY	EDUCATION PROGRAMS	16,000.
FRIEND OF UPWARD BOUND 1104 WASHINGTON AVENUE SANTA MONICA, CA 90403	NONE	OTHER PUBLIC CHARITY	SERVICE PROGRAMS	1,000.
SAINT MATTHEW'S PARISH P.O. BOX 37 PACIFIC PALISADES, CA 90272	NONE	OTHER PUBLIC CHARITY	PROGRAM SERVICES	3,000.
SAVE THE WAVES COALITION P.O. BOX 183, 3500 HIGHWAY 1 DAVENPORT, CA 95017-0183	NONE	OTHER PUBLIC CHARITY	BAJA COASTAL ENVIRONMENTAL SUMMIT	15,000.
SUN VALLEY SUMMER SYMPHONY P.O. BOX 1914 SUN VALLEY, ID 83353	NONE	OTHER PUBLIC CHARITY	PROGRAM SERVICES	10,000.
UCLA FOUNDATION P.O. BOX 24044 LOS ANGELES, CA 90024-0044	NONE	OTHER PUBLIC CHARITY	PAULEY PAVILION REDEDICATION	100,000.
Total from continuation sheets				323,620.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNIVERSITY OF HAWAII AT MANOA 415 SPRUCE AVENUE N KETCHUM, ID 83340	NONE	OTHER PUBLIC CHARITY	EDUCATION PROGRAMS	100,120.
JOHN TRACY CLINIC 806 WEST ADAMS BLVD. LOS ANGELES, CA 90007	NONE	OTHER PUBLIC CHARITY	SERVICE PROGRAMS	2,000.
LINCOLN ELEMENTARY SCHOOL 3101 PACIFIC VIEW DRIVE CORONA DEL MAR, CA 92625	NONE	OTHER PUBLIC CHARITY	EDUCATION PROGRAMS	4,000.
MATTEL CHILDREN'S HOSPITAL AT UCLA 757 WESTWOOD PLAZA LOS ANGELES, CA 90024	NONE	OTHER PUBLIC CHARITY	PROGRAM SERVICES	10,000.
SCI SPECIAL FUND 27405 PUERTA REAL, SUITE 120 MISSION VIEJO, CA 92691	NONE	OTHER PUBLIC CHARITY	PROGRAM SERVICES	2,000.
THE MOUNT WILSON INSTITUTE P.O. BOX 1909 ATLANTA, GA 30301-1909	NONE	OTHER PUBLIC CHARITY	PROGRAM SERVICES	9,500.
MIDDLEBURY COLLEGE 110 STORRS AVE MIDDLEBURY, VT 05753	NONE	OTHER PUBLIC CHARITY	EDUCATION PROGRAMS	30,000.
Total from continuation sheets				

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MORGAN STANLEY (197-126962)	517.	517.	
WELLS FARGO ADVISORS (6513-1664)	2.	2.	
WELLS FARGO ADVISORS (6735-1695)	3,728.	3,728.	
WELLS FARGO ADVISORS (8198-1169)	1.	1.	
WELLS FARGO ADVISORS (8589-0565)	1.	1.	
TOTAL TO PART I, LINE 3	4,249.	4,249.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MORGAN STANLEY (197-126955)	1,829.	0.	1,829.	1,829.	
MORGAN STANLEY (197-126958)	63.	0.	63.	63.	
MORGAN STANLEY (197-126962)	1,697.	0.	1,697.	1,697.	
MORGAN STANLEY (197-127020)	198.	0.	198.	198.	
MORGAN STANLEY(197-126957)	475.	0.	475.	475.	
MORGAN STANLEY(197-126959)	328.	0.	328.	328.	
MORGAN STANLEY(197-126960)	439.	0.	439.	439.	
WELLS FARGO ADVISORS (1071-1017)	1,334.	0.	1,334.	1,334.	
WELLS FARGO ADVISORS (1323-88616)	5,095.	0.	5,095.	5,095.	
WELLS FARGO ADVISORS (4960-5016)	3,259.	0.	3,259.	3,259.	

WELLS FARGO

ADVISORS

(6513-1664)

7,088.

84.

7,004.

7,004.

WELLS FARGO

ADVISORS

(6735-1695)

27,284.

8,985.

18,299.

18,299.

WELLS FARGO

ADVISORS

(8198-1169)

4,085.

0.

4,085.

4,085.

WELLS FARGO

ADVISORS

(85890565)

41,976.

490.

41,486.

41,486.

TO PART I, LINE 4

95,150.

9,559.

85,591.

85,591.

FORM 990-PF

OTHER INCOME

STATEMENT

3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
B.C. & R. LTD PARTNERSHIP INCOME	21,972.	21,972.	
THE ENDOWMENT TEI FUND	-1,423.	-1,423.	
BISHOP MUSEUM - ROYALTIES	9.	9.	
TOTAL TO FORM 990-PF, PART I, LINE 11	20,558.	20,558.	

FORM 990-PF

ACCOUNTING FEES

STATEMENT

4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	8,375.	4,188.		4,187.
TO FORM 990-PF, PG 1, LN 16B	8,375.	4,188.		4,187.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOUNDATION MANAGER	3,000.	1,500.		1,500.
TO FORM 990-PF, PG 1, LN 16C	3,000.	1,500.		1,500.

FORM 990-PF	TAXES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	849.	849.		0.
STATE TAXES	10.	0.		10.
STATE TAX PARTNERSHIP PASS THROUGH	33.	33.		0.
TO FORM 990-PF, PG 1, LN 18	892.	882.		10.

FORM 990-PF	OTHER EXPENSES			STATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	1,284.	642.		642.
OFFICE EXPENSES	7,313.	3,656.		3,657.
DELIVERY & MESSENGER	798.	399.		399.
BANK FEES	31.	16.		15.
INVESTMENT MANAGEMENT FEES	34,204.	34,204.		0.
OTHER INVESTMENT FEES	500.	500.		0.
MISCELLANEOUS FEES	20.	10.		10.
TO FORM 990-PF, PG 1, LN 23	44,150.	39,427.		4,723.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	8
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
US GOVERNMENT SECURITIES	X		371,714.	355,946.
TOTAL U.S. GOVERNMENT OBLIGATIONS			371,714.	355,946.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			371,714.	355,946.

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	2,347,832.	2,672,240.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,347,832.	2,672,240.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
BC & R LTD PARTNERSHIP	COST	-141,046.	950,942.
MINERAL RIGHTS	COST	4,782.	4,782.
WELLS FARGO ENDOWMENT TEI FUND	COST	130,482.	127,413.
MORTGAGE BACKED SECURITIES	COST	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 13		-5,782.	1,083,137.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 11

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

STEPHEN M. PAULEY, M.D.
1801 CENTURY PARK EAST #2230
LOS ANGELES, CA 90067

TELEPHONE NUMBER

(323)954-3131

FORM AND CONTENT OF APPLICATIONS

WRITTEN REQUESTS INCLUDING DESCRIPTION OF ORGANIZATION, TAX IDENTIFICATION
NUMBER, AND WHETHER THE ORGANIZATION IS TAX EXEMPT OR NON EXEMPT

ANY SUBMISSION DEADLINES

NONE

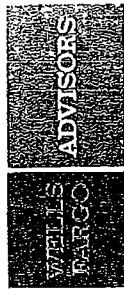
RESTRICTIONS AND LIMITATIONS ON AWARDS

GIFTS ARE PRIMARILY FOR EDUCATIONAL PURPOSES

2013 ENHANCED SUMMARY

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As of Date: 2/07/14



Your Financial Advisor :
 SACKLER/ACKERMAN
 9665 WILSHIRE BLVD STE 1000
 10TH FLOOR
 BEVERLY HILLS CA 90212
 (310) 288-5535

EDWIN W PAULEY FOUNDATION
 1801 CENTURY PARK EAST, #2230
 LOS ANGELES CA 90067-2324

Account Number: 8198-1169

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes. Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

For noncovered transactions, we provide supplemental cost basis information, when it is available, to assist you with completing your tax return, however, THIS INFORMATION IS NOT PROVIDED TO THE INTERNAL REVENUE SERVICE.

**SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013**

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
ASML HOLDING NV / N07059210 NY REGISTRY SHS NEW 2012 10/22/13	2.0000	12/14/12	188.37	127.03	61.34	SALE
BURBERRY GROUP PLC / 12082W204 SPONSORED ADR 09/13/13	9.0000	10/22/12	458.60	341.22	117.38	SALE
ELEKTA AB-B SHS-UNSP ADR / 28617Y101 07/24/13	34.0000	12/07/12	591.02	518.67	72.35	SALE
07/25/13	16.0000	12/07/12	273.76	244.08	29.68	SALE
	1.0000	12/07/12	16.95	15.25	1.70	
	4.0000	12/10/12	67.80	60.94	6.86	
07/26/13	5.0000	Various	84.75	76.19	8.56	SALE
07/29/13	12.0000	12/10/12	204.75	182.81	21.94	SALE
10/30/13	11.0000	12/10/12	164.59	167.56	-2.97	SALE
	4.0000	12/10/12	59.09	60.93	-1.84	
	5.0000	12/11/12	73.87	78.65	-4.78	
	11.0000	12/11/12	162.51	172.12	-9.61	
	17.0000	12/12/12	251.17	267.47	-16.30	
	37.0000	Various	546.64	579.17	-32.53	SALE
10/31/13	6.0000	12/12/12	87.61	94.40	-6.79	SALE
11/01/13	17.0000	12/12/12	247.73	267.45	-19.72	
	1.0000	01/24/13	14.58	14.98	-0.40	
					TOTAL 2 LOTS	
					TOTAL 4 LOTS	

2013 ENHANCED SUMMARY

Page 8 of 34

As of Date: 2/07/14

EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST, #2230
LOS ANGELES CA 90067-2324

Your Financial Advisor :
SACKLER/ACKERMAN
9665 WILSHIRE BLVD STE 1000
10TH FLOOR
BEVERLY HILLS CA 90212
(310) 288-5535

Account Number: 8198-1169

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Amount	Additional Information
11/04/13	16.0000	12/14/12	120.42	155.60	-35.18	
	6.0000	05/21/13	45.17	48.66	-3.49	
	22.0000	Various	165.59	204.26	-38.67	SALE
11/05/13	20.0000	05/21/13	150.16	162.18	-12.02	SALE
11/06/13	14.0000	05/21/13	104.97	113.53	-8.56	SALE
11/07/13	4.0000	05/21/13	29.82	32.44	-2.62	SALE
11/12/13	33.0000	05/21/13	293.20	267.58	-34.38	SALE
TOTAL SHORT TERM GAINS OR LOSSES FOR COVERED SECURITIES					11,834.65	11,774.89 59.76

TOTAL 2 LOTS

SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

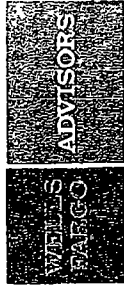
8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Amount	Additional Information
CARNIVAL CORP / 143658300 02/13/13	15.0000	04/19/12	560.92	488.39	72.53	SALE
CHECK POINT SOFTWARE / M22465104						
TECH LTD 04/29/13	3.0000	05/24/12	136.54	158.04	-21.50	SALE
04/30/13	6.0000	05/24/12	275.83	316.08	-40.25	SALE
EMBRAER S A ADR / 29082A107 SPONS ADR REPSTG PFD SHS 02/26/13	5.0000	04/19/12	163.80	175.58	-11.78	SALE



2013 ENHANCED SUMMARY

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As of Date: 2/07/14



Your Financial Advisor :
 SACKLERACKERMAN
 9665 WILSHIRE BLVD STE 1000
 10TH FLOOR
 BEVERLY HILLS CA 90212
 (310) 288-5535

EDWIN W PAULEY FOUNDATION
 1801 CENTURY PARK EAST, #2230
 LOS ANGELES CA 90067-2324

Account Number: 8198-1169

Year-End Account Summary

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 Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

continued

SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Amount	Additional Information
02/27/13	8.0000	04/19/12	269.93	280.93	-11.00	SALE
04/12/13	7.0000	04/19/12	249.04	245.82	3.22	SALE
04/15/13	5.0000	04/19/12	169.63	175.58	-5.95	SALE
04/16/13	4.0000	04/19/12	135.19	140.47	-5.28	SALE
ITAU UNIBANCO HLDG S.A. / 465562106						
SPONSORED ADR						
REPSTG 1 PREFERRED SHAR						
05/24/13	0.6000	05/24/13	9.58	0.01	9.57	CASH IN LIEU
KOMATSU LTD / 500458401						
SPON ADR NEW						
04/04/13	51.0000	04/19/12	1,100.46	1,490.73	-390.27	SALE
LAS VEGAS SANDS CORP / 517834107						
02/07/13	10.0000	04/19/12	535.19	583.20	-48.01	SALE
SBERBANK RUSSIA / 80585Y308						
SPONSORED ADR						
03/27/13	177.0000	04/19/12	2,195.10	2,262.06	-66.96	SALE
SWATCH GRP AG ADR / 870123106						
UNSPON						
20 ADR = 1 ORD						
02/07/13	18.0000	04/19/12	509.21	404.46	104.75	SALE
03/07/13	24.0000	04/19/12	677.38	539.28	138.10	SALE
TEVA PHARMACEUTICAL / 881624209						
ADR INDS LTD						
02/07/13	15.0000	04/19/12	568.79	683.98	-115.19	SALE

2013 ENHANCED SUMMARY

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As of Date: 2/07/14

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 LOS ANGELES CA 90067-2324

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Year-End Account Summary

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continued

SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
TURKIYE GARANTI BANKASI / 900148701						
ADR						
04/02/13	233.0000	04/19/12	1,213.50	897.05	316.45	SALE
04/03/13	202.0000	04/19/12	1,045.71	777.70	268.01	SALE
TOTAL SHORT TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES			9,815.80	9,619.36	196.44	

LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

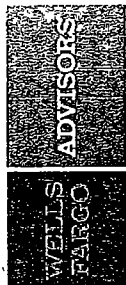
8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
ASML HOLDING NV / N07059210						
NY REGISTRY SHS NEW 2012						
10/15/13	8.0000	10/12/12	773.82	459.37	314.45	SALE
10/15/13	5.0000	10/12/12	486.95	287.10	199.85	SALE
	1.0000	10/12/12	94.18	57.42	36.76	
	8.0000	10/19/12	753.47	422.03	331.44	
10/22/13	9.0000	Various	847.65	479.45	368.20	SALE
TOTAL 2 LOTS						
HANG LUNG PROPERTIES SP / 41043M104						
ADR						
10/17/13	25.0000	10/05/12	409.57	433.63	-24.06	SALE
TOTAL LONG TERM GAINS OR LOSSES FOR COVERED SECURITIES			2,517.99	1,659.55	858.44	



2013 ENHANCED SUMMARY

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As of Date: 2/07/14



EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST, #2230
LOS ANGELES CA 90067-2324

Your Financial Advisor :
SACKLER/ACKERMAN
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(310) 288-5535

Account Number: 8198-1169

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP					Supplemental Information	
1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Gain or Loss Amount	Additional Information
ACCENTURE PLC IRELAND / G1151C101						
06/28/13	7.0000	06/01/12	502.48	387.31	115.17	SALE
09/24/13	8.0000	06/01/12	601.41	442.64	158.77	SALE
ARM HOLDINGS PLC / 042068106						
05/01/13	9.0000	04/19/12	428.40	258.83	169.57	SALE
ASSA ABLOY AB - ADR / 045387107						
07/19/13	16.0000	04/19/12	356.09	242.71	113.38	SALE
07/22/13	12.0000	04/19/12	267.13	182.04	85.09	SALE
09/25/13	11.0000	04/19/12	256.24	166.87	89.37	SALE
09/26/13	22.0000	04/19/12	508.10	333.72	174.38	SALE
09/27/13	5.0000	04/19/12	114.24	75.85	38.39	SALE
09/27/13	13.0000	04/19/12	297.31	197.20	100.11	SALE
BAIDU INC ADR / 056752108						
10/30/13	3.0000	04/25/12	496.51	400.09	96.42	SALE
CANADIAN NATL RY CO / 136375102						
12/04/13	2.0000	04/19/12	111.42	79.74	31.68	SALE
CARNIVAL CORP / 143658300						
09/25/13	19.0000	04/19/12	621.84	618.62	3.22	SALE
12/19/13	15.0000	04/19/12	568.02	488.39	79.63	SALE

2013 ENHANCED SUMMARY

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As of Date: 2/07/14

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continued

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

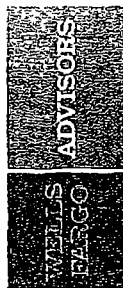
8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
CHECK POINT SOFTWARE / M22465104 TECH LTD						
04/25/13	13.0000	04/19/12	586.73	835.90	-249.17	SALE
04/26/13	18.0000	04/19/12	811.88	1,157.40	-345.52	SALE
	4.0000	04/19/12	182.05	257.20	-75.15	
	9.0000	04/24/12	409.61	535.80	-126.19	
04/29/13	13.0000	Various	591.66	793.00	-201.34	SALE
						TOTAL 2 LOTS
CNOOC LTD-ADR / 126132109						
05/21/13	5.0000	04/19/12	927.49	1,039.75	-112.26	SALE
07/15/13	3.0000	04/19/12	525.77	623.85	-98.08	SALE
07/30/13	3.0000	04/19/12	541.38	623.85	-82.47	SALE
08/22/13	2.0000	04/19/12	400.25	415.90	-15.65	SALE
08/23/13	1.0000	04/19/12	201.35	207.95	-6.60	SALE
08/26/13	1.0000	04/19/12	200.92	207.95	-7.03	SALE
08/27/13	2.0000	04/19/12	392.23	415.90	-23.67	SALE
DASSAULT SYSTEMS / 237545108 SPONSORED ADR						
10/15/13	1.0000	04/19/12	112.22	91.71	20.51	SALE
10/16/13	6.0000	04/19/12	669.93	550.26	119.67	SALE
EMBRAER S A ADR / 29082A107 SPONS ADR REPSTG PFD SHS						
10/30/13	16.0000	04/19/12	488.53	561.86	-73.33	SALE



2013 ENHANCED SUMMARY

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EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST, #2230
LOS ANGELES CA 90067-2324

Your Financial Advisor :
SACKLER/JACKERMAN
9665 WILSHIRE BLVD STE 1000
10TH FLOOR
BEVERLY HILLS CA 90212
(310) 288-5535

Account Number: 8198-1169

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013 *continued*

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2013 ENHANCED SUMMARY

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As of Date: 2/07/14

Your Financial Advisor :
 SACKLER/ACKERMAN
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EDWIN W PAULEY FOUNDATION
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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Amount	Additional Information
IND & COMM BK OF UNS ADR / 455807107						
09/06/13	81.0000	04/19/12	1,098.56	1,090.26	8.30	SALE
ITAU UNIBANCO HLDG S.A. / 465562106						
SPONSORED ADR						
REPSTG 1 PREFERRED SHAR						
07/05/13	53.0000	04/19/12	610.01	806.68	-196.67	SALE
07/08/13	53.0000	04/19/12	631.30	806.68	-175.38	SALE
09/17/13	17.0000	04/19/12	235.80	258.75	-22.95	SALE
KINGFISHER PLC-SPONS / 495724403						
ADR (NEW)						
09/13/13	28.0000	04/19/12	356.53	273.84	82.69	SALE
09/16/13	13.0000	04/19/12	166.71	127.14	39.57	SALE
KOMATSU LTD / 500458401						
SPON ADR NEW						
12/05/13	48.0000	04/19/12	951.77	1,403.04	-451.27	SALE
MERCADOLIBRE INC / 58733R102						
05/01/13	4.0000	04/19/12	393.26	368.24	25.02	SALE
05/08/13	1.0000	04/19/12	117.88	92.06	25.82	SALE
05/09/13	2.0000	04/19/12	241.58	184.12	57.46	SALE
05/10/13	3.0000	04/19/12	360.32	276.18	84.14	SALE
08/02/13	2.0000	04/19/12	257.37	184.12	73.25	SALE
09/25/13	3.0000	04/19/12	388.87	276.18	112.69	SALE



2013 ENHANCED SUMMARY

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As of Date: 2/07/14



EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST, #2230
LOS ANGELES CA 90067-2324

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
						Gain or Loss Amount	Additional Information
MITSUBISHI UFJ FINANCIAL / 606822104							
ADR							
07/29/13		98.0000	04/19/12	601.95	478.05	123.90	SALE
NOVARTIS AG / 66987V109							
SPON ADR							
10/31/13		10.0000	04/19/12	777.09	553.00	224.09	SALE
POTASH CORP OF / 73755L107							
SASKATCHEWAN INC							
06/12/13		7.0000	04/19/12	282.26	304.57	-22.31	SALE
06/13/13		7.0000	04/19/12	281.87	304.57	-22.70	SALE
06/24/13		13.0000	04/19/12	497.59	565.63	-68.04	SALE
07/29/13		10.0000	04/19/12	373.59	435.10	-61.51	SALE
07/31/13		47.0000	04/19/12	1,406.03	2,044.97	-638.94	SALE
RECKITT BENCKISER PLC / 756255204							
SPONSORED ADR							
07/17/13		54.0000	04/19/12	762.97	634.23	128.74	SALE
SAP AG-SPONSORED ADR / 803054204							
07/03/13		8.0000	04/19/12	568.01	513.44	54.57	SALE
07/09/13		8.0000	04/19/12	575.66	513.44	62.22	SALE
10/15/13		8.0000	04/19/12	580.69	513.44	67.25	SALE
10/16/13		4.0000	04/19/12	290.37	256.72	33.65	SALE
10/17/13		6.0000	04/19/12	436.11	385.08	51.03	SALE

2013 ENHANCED SUMMARY

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As of Date: 2/07/14

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EDWIN W PAULEY FOUNDATION
 1801 CENTURY PARK EAST, #2230
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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
SCHLUMBERGER LTD / 8066857108						
05/20/13	12.0000	04/19/12	926.11	837.41	88.70	SALE
10/08/13	5.0000	04/19/12	441.41	348.92	92.49	SALE
SIEMENS A G - ADR / 826197501						
06/24/13	5.0000	08/09/10	500.25	518.84	-18.59	SALE
06/25/13	5.0000	08/09/10	500.20	518.83	-18.63	SALE
	3.0000	08/09/10	300.52	311.29	-10.77	
	3.0000	09/27/11	300.53	284.15	16.38	
07/05/13	6.0000	Various	601.05	595.44	5.61	SALE
	2.0000	09/27/11	207.05	189.42	17.63	
	6.0000	04/19/12	621.15	547.86	73.29	
07/08/13	8.0000	Various	828.20	737.28	90.92	SALE
07/09/13	3.0000	04/19/12	311.89	273.93	37.96	SALE
SYNGENTA AG-ADR / 87160A100						
11/15/13	6.0000	04/19/12	474.80	416.64	58.16	SALE
11/18/13	6.0000	04/19/12	473.01	416.64	56.37	SALE
TENCENT HOLDINGS LTD- / 88032Q109						
UNSP ADR						
07/19/13	13.0000	04/19/12	553.36	393.25	160.11	SALE
07/29/13	24.0000	04/19/12	1,083.64	726.00	357.64	SALE
08/01/13	14.0000	04/19/12	644.83	423.50	221.33	SALE
10/10/13	11.0000	04/19/12	580.33	332.76	247.58	SALE
10/30/13	12.0000	04/19/12	656.98	363.00	293.98	SALE
						TOTAL 2 LOTS
						TOTAL 2 LOTS



2013 ENHANCED SUMMARY

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As of Date: 2/07/14



EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST, #2230
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continued

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Amount	Additional Information
12/12/13	6.0000	04/19/12	361.42	181.50	179.92	SALE
TEVA PHARMACEUTICAL / 881624209						
ADR INDS LTD						
06/12/13	26.0000	04/19/12	1,015.94	1,185.56	-169.62	SALE
10/10/13	29.0000	04/19/12	1,165.47	1,322.36	-156.89	SALE
10/15/13	14.0000	04/19/12	556.74	638.38	-81.64	SALE
10/16/13	15.0000	04/19/12	596.85	683.97	-87.12	SALE
TOYOTA MTR CORP ADR / 892331307						
NEW 3/82						
03/27/13	5.0000	09/10/10	513.64	353.04	160.60	SALE
04/17/13	5.0000	09/10/10	558.38	353.04	205.34	SALE
VODAFONE GROUP PLC / 92857W209						
SPONSORED ADR NEW						
02/07/13	72.0000	11/11/09	1,944.68	1,613.19	331.49	SALE
WALMART DE MEXICO / 93114W107						
SPON ADR SER V						
11/06/13	51.0000	04/19/12	1,251.80	1,685.55	-433.75	SALE
11/07/13	29.0000	04/19/12	696.42	958.45	-262.03	SALE
YANDEX NV / N97284108						
SHS CLASS A						
07/24/13	20.0000	04/19/12	609.75	541.31	68.44	SALE

2013 ENHANCED SUMMARY

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As of Date: 2/07/14

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	3-Cost or Other Basis	Supplemental Information	
				Gain or Loss Amount	Additional Information
YUM BRANDS INC / 988498101 11/22/13	8.0000	04/19/12	572.88	54.71	SALE
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES				46,440.11	1,478.19

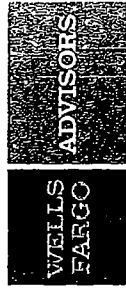
*Box 2a: Sales price less commissions and option premiums



2013 ENHANCED SUMMARY

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As of Date: 2/07/14



EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST, #2230
LOS ANGELES CA 90067-2324

Your Financial Advisor :
SACKLER/JACKERMAN
9665 WILSHIRE BLVD STE 1000
10TH FLOOR
BEVERLY HILLS CA 90212
(310) 288-5535

Account Number: 8589-0565

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
JANUS INVT FD / 471030C571 HIGH YIELD FD CLASS I	35.9790	12/31/12	336.40	335.32	1.08
	18.0010	02/01/13	168.32	168.67	-0.35
	53.9800	Various	504.72	503.99	0.73 SALE
02/06/13					TOTAL 2 LOTS

NEUBERGER BERMAN / 64128K694
INCOME FDS NEW FLOATING
RATE INCOME FD INSTL CL
11/07/13

160.19 SALE

TOTAL SHORT TERM GAINS OR LOSSES FOR COVERED SECURITIES

160.92

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
JANUS INVT FD / 471030C571 HIGH YIELD FD CLASS I	12,077.2950	10/16/09	112,922.70	100,000.00	12,922.70
	34.6590	11/02/09	324.06	286.98	37.08
	85.9770	12/01/09	803.88	713.61	90.27
	97.1640	01/04/10	908.48	825.89	82.59
	85.9030	02/01/10	803.19	727.60	75.59
	95.2700	03/01/10	890.77	802.17	88.60

2013 ENHANCED SUMMARY

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As of Date: 2/07/14

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EDWIN W PAULEY FOUNDATION
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 LOS ANGELES CA 90067-2324

Account Number: 8589-0565

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
	85,3670	04/01/10	798.18	737.57	60.61	
	85,7670	05/03/10	801.92	752.18	49.74	
	83,2700	06/01/10	778.57	701.13	77.44	
	85,1340	07/01/10	796.00	719.38	76.62	
	85,8620	08/02/10	802.80	747.00	55.80	
	83,6110	09/01/10	781.76	722.40	59.36	
	79,7920	10/01/10	746.05	705.36	40.69	
	11,286,6820	10/01/10	105,530.52	100,000.00	5,530.52	
02/06/13	24,351,7530	Various	227,688.88	208,441.27	19,247.61	SALE
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES					19,247.61	TOTAL 14 LOTS

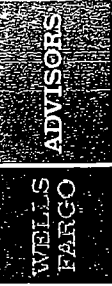
*Box 2a: Sales price less commissions and option premiums



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2013 SUMMARY AMENDMENT



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Amended Date: 2/14/14

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EDWIN W PAULEY FOUNDATION
 1801 CENTURY PARK EAST, #2230
 LOS ANGELES CA 90067-2324

Account Number: 6735-1695

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

FUTURES TRILLI BROKER AND PARTIAL EXCHANGE TRANSACTIONS FOR 2013						
8-Description / CUSIP	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
1a-Date of Sale or Exchange					Gain or Loss Amount	Additional Information
ALLIANZ GLOBAL INV / 01882B304						
MANAGED ACCOUNTS TR						
FIXED INCOME SHS SER M						
04/05/13	3,305.0000	02/08/13	37,247.35	36,916.85	330.50	SALE
04/09/13	25.0000	02/08/13	282.00	279.25	2.75	SALE
05/20/13	5,940.0000	02/08/13	66,765.60	66,349.80	415.80	SALE
06/13/13	1,905.0000	02/08/13	20,916.90	21,278.85	-361.95	SALE
ALLIANZGI MANAGED ACCT / 01882B205						
TR FIXED INCOEM SHS						
SERIES C						
04/05/13	2,690.0000	02/08/13	36,557.10	36,315.00	242.10	SALE
04/09/13	40.0000	02/08/13	545.20	540.00	5.20	SALE
05/20/13	5,055.0000	02/08/13	69,101.85	68,242.50	859.35	SALE
06/18/13	870.0000	02/08/13	11,405.70	11,745.00	-339.30	SALE
TOTAL SHORT TERM GAINS OR LOSSES FOR COVERED SECURITIES					241,667.25	1,154.45
					242,821.70	

2013 SUMMARY AMENDMENT

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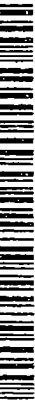
Account Number: 6735-1695

Year-End Account Summary

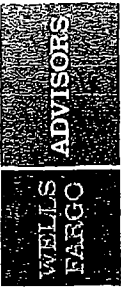
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**SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013**

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
FNMA PASS THRU / 3138EKKYV1 POOL AL3423 DTD 03/01/13 CPN 5.000% DUE 05/01/40 DTD 03/01/13 FC 04/25/13 08/20/13	2,000.0000	06/14/13	1,692.87	1,716.37	-23.50 SALE
FNMA PASS THRU / 3138EH3J9 POOL AL1700 DTD 04/01/12 CPN 4.000% DUE 09/01/41 DTD 04/01/12 FC 05/25/12 05/21/13	2,000.0000	04/26/13	1,542.99	1,557.79	-14.80 SALE
FNMA PASS THRU / 3138EGCF9 POOL AL0069 DTD 03/01/11 CPN 5.000% DUE 11/01/40 DTD 03/01/11 FC 04/25/11 05/21/13	4,000.0000	04/12/13	1,632.89	1,650.56	-17.67 SALE
FNMA PASS THRU / 31417EDW8 POOL AB7316 DTD 11/01/12 CPN 3.500% DUE 12/01/42 DTD 11/01/12 FC 12/25/12 05/21/13	1,000.0000	04/26/13	1,011.33	1,025.19	-13.86 SALE
FNMA PASS THRU / 3138EKKU8 POOL AL3006 DTD 12/01/12 CPN 5.000% DUE 07/01/37 DTD 12/01/12 FC 01/25/13 05/21/13	11,000.0000	03/26/13	9,277.09	9,371.68	-94.59 SALE



2013 SUMMARY AMENDMENT



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EDWIN W PAULEY FOUNDATION
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continued

SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
FNMA PASS THRU / 3138EJ4P0						
POOL AL2629 DTD 11/01/12						
CPN 5.000% DUE 06/01/39						
DTD 11/01/12 FC 12/25/12						
08/23/13	15,000.0000	03/18/13	9,980.68	10,332.79	-352.11	SALE
FNMA PASS THRU / 3138W2Z73						
POOL AR5265 DTD 02/01/13						
CPN 3.000% DUE 02/01/43						
DTD 02/01/13 FC 03/25/13						
04/09/13	1,000.0000	02/15/13	1,036.43	1,026.14	10.29	SALE
FNMA PASS THRU / 3138NY2R5						
POOL AR2583 DTD 02/01/13						
CPN 3.500% DUE 02/01/43						
DTD 02/01/13 FC 03/25/13						
04/09/13	2,000.0000	02/13/13	2,109.46	2,094.98	14.48	SALE
FNMA PASS THRU / 3138M3RR7						
POOL AP0495 DTD 07/01/12						
CPN 3.500% DUE 08/01/42						
DTD 07/01/12 FC 08/25/12						
05/21/13	6,000.0000	10/05/12	6,049.89	6,169.66	-119.77	SALE
FNMA PASS THRU / 31417CCE3						
POOL AB5468 DTD 05/01/12						
CPN 3.500% DUE 06/01/42						
DTD 05/01/12 FC 06/25/12						
04/09/13	8,000.0000	06/07/12	6,930.41	6,925.33	5.08	SALE
05/21/13	8,000.0000	06/07/12	6,712.11	6,772.24	-60.13	SALE

2013 SUMMARY AMENDMENT

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continued

SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
FNMA PASS THRU / 31402RAB5 POOL 735402 DTD 03/01/05 CPN 5.000% DUE 04/01/35 DTD 03/01/05 FC 04/25/05 08/20/13	6,000.0000	07/17/13	967.60	975.72	-8.12	SALE
FNMA PASS THRU / 31417ASD2 POOL AB4115 DTD 11/01/11 CPN 4.000% DUE 12/01/41 DTD 11/01/11 FC 12/25/11 04/09/13	17,000.0000	04/09/12	10,632.76	10,913.75	-280.99	SALE
FNMA PASS THRU / 31410F5C5 POOL 888343 DTD 04/01/07 CPN 5.000% DUE 11/01/35 DTD 04/01/07 FC 05/25/07 05/21/13	3,000.0000	07/18/12	676.80	719.07	-42.27	SALE
08/23/13	8,000.0000	09/07/12	1,557.78	1,682.76	-124.98	SALE
FNMA PASS THRU / 31371MDS3 POOL 255813 DTD 07/01/05 CPN 5.000% DUE 08/01/35 DTD 07/01/05 FC 08/25/05 05/21/13	5,000.0000	03/26/13	927.20	936.51	-9.31	SALE
US TREASURY WI / 912828UN8 NOTES CPN 2.000% DUE 02/15/23 DTD 02/15/13 FC 08/15/13 04/09/13	9,000.0000	03/01/13	9,209.18	9,116.72	92.46	SALE
05/21/13	14,000.0000	Various	14,144.92	14,175.32	-30.40	SALE



2013 SUMMARY AMENDMENT



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continued

SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
08/26/13	13,000.0000	03/04/13	12,159.06	13,152.34	-993.28	
	4,000.0000	04/02/13	3,741.25	4,048.76	-307.51	
	17,000.0000	Various	15,900.31	17,201.10	-1,300.79	SALE
TOTAL 2 LOTS						
US TREASURY WI / 912828JF5						
NOTES						
CPN 1.125% DUE 12/31/19						
DTD 12/31/12 FC 06/30/13						
04/09/13	5,000.0000	01/09/13	5,015.04	4,955.09	59.95	SALE
05/21/13	12,000.0000	Various	11,939.53	11,907.19	32.34	SALE
US TREASURY / 912828TW0						
NOTES						
CPN 0.750% DUE 10/31/17						
DTD 10/31/12 FC 04/30/13						
03/01/13	33,000.0000	11/21/12	33,103.13	33,109.56	-6.43	SALE
03/04/13	35,000.0000	Various	35,101.17	35,188.39	-87.22	SALE
04/09/13	11,000.0000	Various	11,067.03	11,065.55	1.48	SALE
05/21/13	19,000.0000	12/13/12	19,029.69	19,077.18	-47.49	SALE
US TREASURY / 912828TE0						
INFLATION INDEX						
NOTES						
CPN 0.125% DUE 07/15/22						
05/21/13	3,000.0000	07/24/12	3,220.66	3,287.77	-67.11	SALE

2013 SUMMARY AMENDMENT

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continued

SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
US TREASURY / 912828RR3 NOTES CPN 2.000% DUE 11/15/21 DTD 11/15/11 FC 05/15/12 05/21/13	6,000.0000	05/07/13	6,172.97	6,220.79	-47.82	SALE
US TREASURY / 912810PZ5 INFLATION IDX NOTE CPN 2.500% DUE 01/15/29 DTD 01/15/09 FC 07/15/09 04/09/13	13,000.0000 2,000.0000 8,000.0000 8,000.0000 18,000.0000	Various 12/27/12 12/27/12 12/27/12 12/27/12	19,830.72 2,943.95 11,775.82 11,775.82 26,495.59	20,043.94 3,117.96 12,474.49 12,489.20 28,081.65	-213.22 -174.01 -698.67 -713.38 -1,586.06	SALE Original Basis: 3106.42 Original Basis: 12428.39 Original Basis: 12443.20 SALE
05/21/13						TOTAL 3 LOTS

TOTAL SHORT TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES

-4,332.56

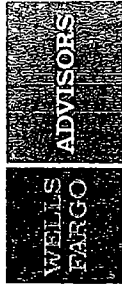
LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
FILMC GOLD PASS THRU / 312945ZL5 POOL A97047 DTD 02/01/11 CPN 4.500% DUE 02/01/41 DTD 02/01/11 FC 03/15/11 04/09/13	9,000.0000	02/11/11	5,397.57	5,103.86	293.71	SALE

2013 SUMMARY AMENDMENT

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
FNMA PASS THRU / 31417ASD2 POOL AB4115 DTD 11/01/11 CPN 4.000% DUE 12/01/41 DTD 11/01/11 FC 12/25/11 05/21/13	30,000.0000	04/09/12	18,142.78	18,793.72	-650.94	SALE
FNMA PASS THRU / 31410F5C5 POOL 888343 DTD 04/01/07 CPN 5.000% DUE 11/01/35 DTD 04/01/07 FC 05/25/07 08/20/13	41,000.0000	07/18/12	7,980.10	8,691.78	-711.68	SALE
08/23/13	5,000.0000	07/18/12	973.60	1,059.98	-86.38	SALE
FNMA PASS THRU / 31416WRK0 POOL AB1389 DTD 07/01/10 CPN 4.500% DUE 08/01/40 DTD 07/01/10 FC 08/25/10 04/09/13	26,000.0000	02/02/11	10,296.02	9,982.05	313.97	SALE
05/21/13	24,000.0000	02/02/11	9,103.29	8,897.72	205.57	SALE
FNMA PASS THRU / 31412NJQ0 POOL 930071 DTD 10/01/08 CPN 6.000% DUE 10/01/38 DTD 10/01/08 FC 11/25/08 04/09/13	39,000.0000	10/21/08	3,669.17	4,088.43	-419.26	SALE
FNMA PASS THRU / 31416BMS4 POOL 995069 DTD 11/01/08 CPN 6.000% DUE 10/01/38 DTD 11/01/08 FC 12/25/08 04/09/13	5,000.0000	11/05/08	1,144.49	1,132.20	12.29	SALE

2013 SUMMARY AMENDMENT

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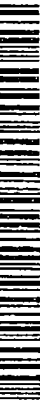
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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

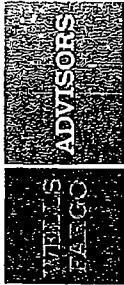
8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
05/21/13	16,000.0000	11/05/08	3,425.59	3,428.39	-2.80	SALE
US TREASURY / 912828SH4						
NOTES						
CPN 1 375% DUE 02/28/19						
DTD 02/29/12 FC 08/31/12						
04/09/13	9,000.0000	03/01/12	9,253.48	8,957.82	295.66	SALE
05/21/13	8,000.0000	03/01/12	8,166.25	7,962.50	203.75	SALE
08/09/13	22,000.0000	03/01/12	21,785.16	21,896.87	-111.71	SALE
TOTAL LONG TERM GAINS OR LOSSES FOR						
NONCOVERED SECURITIES			99,337.50	99,995.32	-657.82	

UNKNOWN TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

1. DISPOSITION OF STOCK, BOND, AND OTHER INVESTMENTS FOR 2010						
8-Description / CUSIP		Supplemental Information				
1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Gain or Loss Amount	Additional Information
FNMA PASS THRU / 31417D2M4						
POOL AB7079 DTD 11/01/12						
CPN 3.000% DUE 11/01/42						
DTD 11/01/12 FC 12/25/12						
04/09/13	4,000.0000	01/30/13	4,115.11	N/A	N/A	SALE
05/21/13	9,000.0000	01/30/13	9,129.03	N/A	N/A	SALE
TOTAL UNKNOWN TERM GAINS OR LOSSES FOR						
NONCOVERED SECURITIES			13,244.14	0.00	0.00	



2013 ENHANCED SUMMARY



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As of Date: 2/07/14

Your Financial Advisor :
 SACKLER/ACKERMAN
 9665 WILSHIRE BLVD STE 1000
 10TH FLOOR
 BEVERLY HILLS CA 90212
 (310) 288-5535

EDWIN W PAULEY FOUNDATION
 1801 CENTURY PARK EAST, #2230
 LOS ANGELES CA 90067-2324

Account Number: 4960-5016

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
 Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

For noncovered transactions, we provide supplemental cost basis information, when it is available, to assist you with completing your tax return, however, THIS INFORMATION IS NOT PROVIDED TO THE INTERNAL REVENUE SERVICE.

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
ACCENTURE PLC IRELAND / G1151C101 SHARES CLASS A						
11/05/13	5.0000	07/16/13	365.80	375.98	-10.18	
	4.0000	10/14/13	292.64	290.62	2.02	
	9.0000	Various	658.44	666.60	-8.16	SALE
						TOTAL 2 LOTS
BARD C R INC / 067383109						
	5.0000	02/06/13	580.45	507.75	72.70	
	1.0000	07/16/13	116.09	112.34	3.75	
	6.0000	Various	696.54	620.09	76.45	SALE
						TOTAL 2 LOTS
BECTON DICKINSON & CO / 075887109						
07/16/13	1.0000	03/18/13	102.11	91.64	10.47	SALE
BLACKROCK INC / 09247X101						
02/06/13	4.0000	10/08/12	953.62	755.57	198.05	SALE
03/22/13	1.0000	10/08/12	253.01	188.90	64.11	SALE
BROADCOM CORP / 111320107 CLA						
	40.0000	02/06/13	1,084.00	1,290.80	-206.80	Original Basis: 1304.00
	8.0000	07/16/13	216.81	275.99	-59.18	Original Basis: 276.87
	48.0000	Various	1,300.81	1,566.79	-265.98	SALE
						TOTAL 2 LOTS
CHICOS FAS INC / 168615102						
	252.0000	02/06/13	4,284.04	4,581.61	-297.57	
	52.0000	07/16/13	884.02	873.39	10.63	
	304.0000	Various	5,168.06	5,455.00	-286.94	SALE
						TOTAL 2 LOTS
07/30/13						

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2013 ENHANCED SUMMARY

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As of Date: 2/07/14

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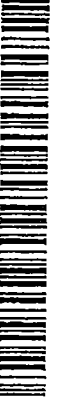
Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / GUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
COACH INC / 189754104						
11/05/13	28.0000	02/06/13	1,446.48	1,369.20	77.28	
	9.0000	10/14/13	464.94	484.63	-19.69	
	37.0000	Various	1,911.42	1,853.83	57.59	SALE
						TOTAL 2 LOTS
CUMMINS INC / 231021106						
10/14/13	1.0000	08/16/13	133.09	125.31	7.78	SALE
EQUIFAX INC / 294429105						
02/06/13	3.0000	11/14/12	177.66	152.22	25.44	SALE
08/20/13	3.0000	11/14/12	188.09	152.22	35.87	SALE
	74.0000	11/14/12	4,396.34	3,754.54	641.80	
	7.0000	07/16/13	415.88	429.45	-13.57	
10/04/13	81.0000	Various	4,812.22	4,183.99	628.23	SALE
						TOTAL 2 LOTS
EXPEDIA INC / 30212P303						
08/28/13	16.0000	05/07/13	748.97	913.48	-164.51	SALE
FIDELITY NATIONAL / 31620M106 INFORMATION SVCS						
07/16/13	8.0000	02/21/13	357.96	292.92	65.04	SALE
08/20/13	5.0000	02/21/13	229.42	183.07	46.35	SALE
FOOT LOCKER INC / 344849104						
	119.0000	07/17/12	3,864.48	3,910.22	-45.74	
	1.0000	07/18/12	32.47	33.45	-0.98	
	12.0000	02/06/13	389.70	415.68	-25.98	
	11.0000	03/13/13	357.23	362.57	-5.34	
04/23/13	143.0000	Various	4,643.88	4,721.92	-78.04	SALE
						TOTAL 4 LOTS



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2013 ENHANCED SUMMARY

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As of Date: 2/07/14

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Account Number: 4960-5016

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

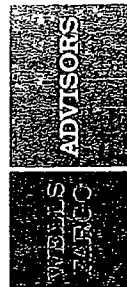
8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
PRICELINE COM INC / 741503403							
COM NEW							
07/16/13		1.0000	04/04/13	906.18	700.11	206.07	SALE
08/28/13		1.0000	04/04/13	933.52	700.11	233.41	SALE
RAYMOND JAMES FINANCIAL / 754730109							
INC							
		105.0000	03/05/13	4,479.73	4,700.76	-221.03	
		10.0000	07/16/13	426.65	444.30	-17.65	
08/16/13		115.0000	Various	4,906.38	5,145.06	-238.68	SALE
ROCKWELL AUTOMATION INC / 773903109							TOTAL 2 LOTS
10/14/13		3.0000	09/03/13	325.63	296.45	29.18	SALE
ROSS STORES INC / 778296103							
(CALIF)							
12/04/13		17.0000	12/18/12	1,236.55	925.41	311.14	SALE
SANDISK CORPORATION / 80004G101							
07/16/13		3.0000	05/28/13	180.69	173.27	7.42	SALE
10/14/13		2.0000	05/28/13	124.96	115.51	9.45	SALE
SCRIPPS NETWORKS / 811065101							
INTERACTIVE							
07/16/13		3.0000	08/14/12	218.90	179.94	38.96	SALE
SKYWORKS SOLUTIONS INC / 83088M102							
10/14/13		21.0000	06/24/13	526.37	447.63	78.74	SALE
STRYKER CORP / 863667101							
08/06/13		2.0000	07/16/13	141.98	137.40	4.58	SALE



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2013 ENHANCED SUMMARY



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As of Date: 2/07/14

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
SYNOPSYS CORP / 871607107						
02/06/13	1.0000	08/20/12	34.16	31.30	2.86	SALE
TERADATA CORP / 88076W103						
06/24/13	13.0000	12/18/12	630.31	817.95	-187.64	SALE
VISA INC CLASS A / 92826C839						
07/16/13	2.0000	01/11/13	379.83	321.12	58.71	SALE
TOTAL SHORT TERM GAINS OR LOSSES FOR COVERED SECURITIES			39,130.77	37,220.11	1,910.66	

SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
ACCENTURE PLC IRELAND / G1151C101 SHARES CLASS A						
02/06/13	2.0000	04/24/12	144.98	126.30	18.68	SALE
BED BATH & BEYOND INC / 075896100						
02/06/13	67.0000	02/07/12	4,012.54	4,121.71	-109.17	SALE
CELGENE CORP / 151020104						
02/06/13	14.0000	06/26/12	1,369.73	884.24	485.49	SALE
03/13/13	6.0000	06/26/12	678.96	378.96	300.00	SALE

2013 ENHANCED SUMMARY

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continued

SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
COOPER COS INC / 216648402 NEW					
02/06/13	6.0000	02/28/12	613.67	480.41	133.26 SALE
FRANKLIN RESOURCES INC / 354613101					
02/06/13	2.0000	05/10/12	280.95	230.68	50.27 SALE
KLA-TENCOR CORP / 482480100					
02/06/13	1.0000	05/15/12	56.88	50.02	6.86 SALE
SYMANTEC CORP / 871503108					
02/06/13	24.0000	03/26/12	533.55	445.94	87.61 SALE
03/13/13	13.0000	03/26/12	319.01	241.55	77.46 SALE
TIME WARNER CABLE / 88732J207					
02/06/13	2.0000	02/09/12	175.64	152.44	23.20 SALE

TOTAL SHORT TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES

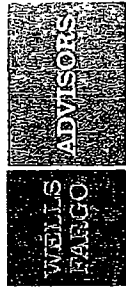
8,185.91 7,112.25 1,073.66

LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
MYLAN INC / 628530107					
12/12/13	133.0000	11/13/12	5,566.98	3,479.36	2,087.62 SALE



2013 ENHANCED SUMMARY



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As of Date: 2/07/14

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EDWIN W PAULEY FOUNDATION
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Account Number: 4960-5016

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
SCRIPPS NETWORKS / 811065101 INTERACTIVE	10/14/13	3.0000	08/14/12	234.05	179.94	54.11	SALE

TOTAL LONG TERM GAINS OR LOSSES FOR
 COVERED SECURITIES

5,801.03 3,659.30 2,141.73

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
ACCENTURE PLC IRELAND / G1151C101 SHARES CLASS A	11/05/13	63.0000	04/24/12	4,609.00	3,978.17	630.83	SALE
AGILENT TECH INC / 00846U101	10/14/13	9.0000	01/12/12	457.31	353.83	103.48	SALE
AMERICAN EXPRESS COMPANY / 025816109	02/06/13	2.0000	08/24/10	121.28	79.37	41.91	SALE
	07/16/13	9.0000	08/24/10	702.12	357.13	344.99	SALE
AMERISOURCEBERGEN CORP / 03079E105	02/06/13	20.0000	01/22/10	928.28	533.87	394.41	SALE
	03/15/13	98.0000	01/22/10	4,808.23	2,615.92	2,192.31	SALE
APPLE INC / 037833100	10/14/13	1.0000	03/12/09	494.92	93.70	401.22	SALE

2013 ENHANCED SUMMARY

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

B-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
BARD C R INC / 067383109						
08/06/13	40.0000	05/10/12	4,643.60	4,065.05	578.55	SALE
BOEING CO / 097023105						
07/16/13	11.0000	06/04/12	1,146.74	741.49	405.25	SALE
09/23/13	4.0000	06/04/12	469.91	269.64	200.27	SALE
10/14/13	1.0000	06/04/12	118.78	67.41	51.37	SALE
BROADCOM CORP / 111320107						
CL A						
09/23/13	100.0000	08/31/11	2,710.02	3,551.95	-841.93	SALE
CBS CORP CL B / 124857202						
02/06/13	9.0000	10/20/11	380.02	214.10	165.92	SALE
03/13/13	6.0000	10/20/11	275.87	142.74	133.13	SALE
03/22/13	1.0000	10/20/11	46.20	23.79	22.41	SALE
07/16/13	4.0000	10/20/11	211.26	95.16	116.10	SALE
10/14/13	4.0000	10/20/11	225.64	95.16	130.48	SALE
11/25/13	93.0000	10/20/11	5,450.12	2,212.31	3,237.81	SALE
CELGENE CORP / 151020104						
07/16/13	3.0000	06/26/12	400.62	189.48	211.14	SALE
08/28/13	1.0000	06/26/12	136.15	63.16	72.99	SALE
10/14/13	3.0000	06/26/12	455.73	189.48	266.25	SALE
CISCO SYSTEMS INC / 17275R102						
07/16/13	18.0000	05/21/09	465.34	328.69	136.65	SALE



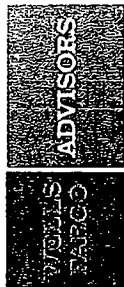
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2013 ENHANCED SUMMARY

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As of Date: 2/07/14



EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST, #2230
LOS ANGELES CA 90067-2324

Your Financial Advisor :
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continued

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
COACH INC / 189754104						
07/16/13	5.0000	06/03/08	289.34	178.40	110.94	SALE
	58.0000	06/03/08	2,996.28	2,069.42	926.86	
	2.0000	06/20/12	103.32	123.48	-20.16	
11/05/13	60.0000	Various	3,099.60	2,192.90	906.70	SALE
						TOTAL 2 LOTS
COOPER COS INC / 216648402						
NEW						
05/24/13	45.0000	02/28/12	4,914.60	3,603.04	1,311.56	SALE
GVS CAREMARK CORP / 126650100						
07/16/13	5.0000	11/10/11	304.12	192.51	111.61	SALE
DANAHER CORP / 235851102						
07/16/13	1.0000	07/18/11	68.01	52.21	15.80	SALE
EXPEDIA INC / 30212P303						
02/06/13	1.0000	08/18/11	65.81	26.90	38.91	SALE
07/16/13	7.0000	08/18/11	455.28	188.28	267.00	SALE
	3.0000	08/18/11	140.43	80.68	59.75	
	60.0000	12/27/11	2,808.62	1,807.79	1,000.83	
08/28/13	63.0000	Various	2,949.05	1,888.47	1,060.58	SALE
						TOTAL 2 LOTS
FRANKLIN RESOURCES INC / 354613101						
10/14/13	3.0000	05/10/12	152.85	115.34	37.51	SALE
GILEAD SCIENCES INC / 375558103						
05/07/13	24.0000	02/27/08	1,288.97	525.60	763.37	SALE
07/16/13	1.0000	02/27/08	57.12	21.90	35.22	SALE

2013 ENHANCED SUMMARY

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
10/14/13	7.0000	02/27/08	437.94	153.30	284.64	SALE
11/05/13	6.0000	02/27/08	419.25	131.40	287.85	SALE
INTEL CORP / 458140100 04/04/13	152.0000	05/18/09	3,206.54	2,338.41	868.13	SALE
INTUIT INC / 461202103 07/26/13	70.0000	08/02/11	4,412.00	3,191.90	1,220.10	SALE
KLA-TENCOR CORP / 482480100 10/14/13	3.0000	05/15/12	188.32	150.06	38.26	SALE
MACY'S INC / 55616P104 07/16/13	14.0000	01/04/12	701.22	455.81	245.41	SALE
MCKESSON CORPORATION / 58155Q103 07/16/13	2.0000	08/31/11	287.40	159.68	77.72	SALE
10/14/13	5.0000	08/31/11	687.25	399.20	288.05	SALE
11/05/13	4.0000	08/31/11	637.74	319.36	318.38	SALE
MEDTRONIC INC / 585055106 07/16/13	3.0000	12/23/11	161.09	113.38	47.71	SALE
MICROSOFT CORP / 594918104 07/16/13	24.0000	02/27/08	868.08	676.80	191.28	SALE
MONSANTO CO NEW / 61166W101 02/06/13	10.0000	07/27/11	1,016.88	740.21	276.67	SALE
10/09/13	45.0000	07/27/11	4,897.05	3,330.93	1,366.12	SALE



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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information	
ORACLE CORPORATION / 68389X105						
02/06/13	9.0000	02/27/08	316.88	172.71	144.17	SALE
10/14/13	4.0000	02/27/08	132.89	76.76	56.13	SALE
P P G INDUSTRIES INC / 693506107						
10/14/13	2.0000	06/25/12	332.40	202.93	129.47	SALE
PETSMART INC / 716768106						
07/16/13	5.0000	11/04/10	360.66	192.22	168.44	SALE
08/20/13	3.0000	11/04/10	223.95	115.33	108.62	SALE
QUALCOMM INC / 747525103						
07/14/13	7.0000	11/28/11	474.02	375.93	98.09	SALE
HOPER INDS INC NEW / 776696106						
02/06/13	5.0000	11/10/11	592.89	412.37	180.52	SALE
03/05/13	39.0000	11/10/11	4,918.88	3,216.41	1,702.47	SALE
ROSS STORES INC / 778296103						
(CALIF) 02/06/13	1.0000	07/15/09	59.54	21.37	38.17	SALE
10/14/13	5.0000	07/15/09	363.29	106.84	256.45	SALE
12/04/13	55.0000	07/15/09	4,000.59	1,175.17	2,825.42	SALE
STRYKER CORP / 863667101						
08/06/13	73.0000	01/05/12	5,182.20	3,735.13	1,447.07	SALE
SYMANTEC CORP / 871503108						
10/14/13	6.0000	03/26/12	150.75	111.49	39.26	SALE

2013 ENHANCED SUMMARY

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As of Date: 2/07/14

Your Financial Advisor :

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9665 WILSHIRE BLVD STE 1000
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BEVERLY HILLS CA 90212
(310) 288-5535

EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST, #2230
LOS ANGELES CA 90067-2324

Account Number: 4960-5016

Year-End Account Summary

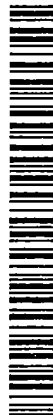
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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
TERADATA CORP / 88076W103						
02/06/13	2.0000	03/02/11	134.12	94.03	40.09	SALE
06/24/13	55.0000	03/02/11	2,666.65	2,585.63	81.02	SALE
TIME WARNER CABLE / 88732J207						
02/21/13	52.0000	02/09/12	4,418.60	3,963.31	455.29	SALE
TJX COS INC NEW / 872540109						
07/16/13	2.0000	06/04/12	104.81	81.92	22.89	SALE
10/14/13	5.0000	06/04/12	279.47	204.80	74.67	SALE
UNION PACIFIC CORP / 907818108						
02/06/13	1.0000	08/05/10	133.02	77.48	55.54	SALE
07/16/13	2.0000	08/05/10	319.37	154.95	164.42	SALE
UNITEDHEALTH GROUP / 91324P102 INC						
02/06/13	2.0000	12/10/09	114.48	58.86	55.62	SALE
05/01/13	80.0000	12/10/09	4,747.72	2,354.26	2,393.46	SALE
WESTERN DIGITAL CORP / 958102105						
02/06/13	9.0000	04/13/09	426.05	191.98	234.07	SALE
07/16/13	22.0000	04/13/09	1,497.95	469.28	1,028.67	SALE
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES			92,523.83	61,558.75	30,965.08	

*Box 2a. Sales price less commissions and option premiums



2013 SUMMARY AMENDMENT



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Amended Date: 3/28/14

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 (310) 288-5535

EDWIN W PAULEY FOUNDATION
 1801 CENTURY PARK EAST, #2230
 LOS ANGELES CA 90067-2324

Account Number: 1323-8861

Year-End Account Summary

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For noncovered transactions, we provide supplemental cost basis information, when it is available, to assist you with completing your tax return, however, THIS INFORMATION IS NOT PROVIDED TO THE INTERNAL REVENUE SERVICE.

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
ABERCHROMBIE & FITCH CO / 0028996207					
	6.0000	06/14/13	198.15	292.74	-94.59
	9.0000	06/17/13	297.22	439.24	-142.02
	3.0000	06/18/13	99.07	146.65	-47.58
	14.0000	07/22/13	462.35	691.53	-229.18
	5.0000	08/06/13	165.13	248.37	-83.24
	7.0000	08/09/13	231.18	343.54	-112.36
	2.0000	08/16/13	66.05	97.00	-30.95
	6.0000	10/21/13	198.16	203.73	-5.57
11/08/13	52.0000	Various	1,717.31	2,462.80	-745.49 SALE
CA INC / 12673P105					TOTAL 8 LOTS
	4.0000	08/23/12	100.31	103.30	-2.99
	10.0000	09/11/12	250.78	272.02	-21.24
03/28/13	14.0000	Various	351.09	375.32	-24.23 SALE
					TOTAL 2 LOTS
CIGNA CORPORATION / 125509109					
05/02/13	2.0000	07/31/12	136.46	81.86	54.60 SALE
CORNING INC / 219350105					
08/19/13	4.0000	03/25/13	59.12	52.53	6.59 SALE
CST BRANDS INC / 12646R105					
05/01/13	0.0068	02/06/13	0.20	0.23	-0.03 CASH IN LIEU
05/15/13	0.2154	02/06/13	7.01	7.35	-0.34 SALE
DEVON ENERGY CORP / 25179M103					
	3.0000	10/19/12	157.35	186.62	-29.27

2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

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 LOS ANGELES CA 90067-2324

Account Number: 1323-8861

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
06/25/13	1.0000	02/06/13	52.46	57.94	-5.48	
	4.0000	Various	209.81	244.56	-34.75	SALE
ENERGIZER HOLDINGS INC / 29266R108						TOTAL 2 LOTS
	1.0000	11/15/12	98.63	73.71	24.92	
11/04/13	1.0000	02/06/13	98.63	98.36	10.27	
	2.0000	Various	197.26	162.07	35.19	SALE
HONEYWELL INTERNATIONAL / 438516106 INC						TOTAL 2 LOTS
08/01/13	5.0000	10/19/12	422.70	313.28	109.42	SALE
SEALED AIR CORP NEW / 81211K100						
08/19/13	3.0000	12/17/12	86.43	49.16	37.27	SALE
SLM CORP / 78442P106						
02/06/13	3.0000	11/07/12	51.87	51.05	0.82	SALE
02/25/13	5.0000	11/07/12	95.67	85.07	10.60	SALE
08/19/13	4.0000	11/07/12	98.76	68.06	30.70	SALE
TOTAL SHORT TERM GAINS OR LOSSES FOR COVERED SECURITIES						-519.65

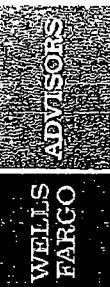
SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
03/28/13	11.0000	06/25/12	275.85	283.90	-8.05	SALE



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2013 SUMMARY AMENDMENT



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Amended Date: 3/28/14

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 1801 CENTURY PARK EAST, #2230
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Account Number: 1323-8861

Year-End Account Summary

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continued

SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
DELL INC / 24702R101 02/06/13	7.0000	02/22/12	93.80	120.11	-26.31 SALE
TOTAL SHORT TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES					
			369.65	404.01	-34.36

LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
APPLIED MATERIALS INC / 038222105 09/24/13	23.0000	08/02/12	394.49	253.90	140.59 SALE
CIGNA CORPORATION / 125509109 11/26/13	4.0000	07/31/12	353.05	163.72	189.33 SALE
ENERGIZER HOLDINGS INC / 29266R108 11/04/13	3.0000	09/27/12	295.87	225.22	70.65 SALE
MEADWESTVACO CORP / 583334107	3.0000	07/11/12	108.58	85.68	22.90
	1.0000	07/30/12	36.19	28.35	7.84
	6.0000	08/13/12	217.17	170.41	46.76
09/03/13	10.0000	Various	361.94	284.44	77.50 SALE
TOTAL LONG TERM GAINS OR LOSSES FOR COVERED SECURITIES					
			1,405.35	927.28	478.07
					TOTAL 3 LOTS

2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

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Account Number: 1323-8861

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
AES CORP / 00130H105 02/06/13	3.0000	06/29/11	32.84	37.80	-4.96	SALE
AMERICAN ELECTRIC POWER / 025537101 INC						
08/19/13	3.0000	02/02/07	128.10	132.90	-4.80	SALE
12/30/13	2.0000	02/02/07	93.00	88.60	4.40	SALE
AMERICAN EXPRESS COMPANY / 025816109						
05/08/13	1.0000	11/14/08	69.68	19.98	49.70	TOTAL 2 LOTS
08/19/13	2.0000	11/21/08	139.37	34.40	104.97	
10/17/13	3.0000	Various	209.05	54.38	154.67	
	2.0000	11/21/08	149.10	34.40	114.70	
	3.0000	11/21/08	238.92	51.59	187.33	SALE
AMERIPRISE FINANCIAL / 03076C106 INC						
03/19/13	1.0000	08/05/09	74.42	28.06	46.36	TOTAL 2 LOTS
04/23/13	2.0000	08/10/09	148.84	57.84	91.00	
08/19/13	3.0000	Various	223.26	85.90	137.36	
10/04/13	6.0000	08/10/09	440.52	173.52	267.00	
	2.0000	08/10/09	175.48	57.84	117.64	SALE
	1.0000	08/10/09	92.70	28.92	63.78	SALE
AT & T INC / 00206R102						
02/06/13	1.0000	11/15/06	35.21	32.10	3.11	SALE



2013 SUMMARY AMENDMENT



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Amended Date: 3/28/14

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EDWIN W PAULEY FOUNDATION
 1801 CENTURY PARK EAST, #2230
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Year-End Account Summary

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continued

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
BAKER HUGHES INC / 057224107 11/20/13	4.0000	09/17/09	228.60	160.98	67.62	SALE
CA INC / 12673P105						
	17.0000	06/25/09	428.35	299.36	128.99	
	22.0000	07/01/09	554.34	386.93	167.41	
	5.0000	01/07/10	125.99	114.53	11.46	
	6.0000	02/02/10	151.19	131.75	19.44	
03/25/13	50.0000	Various	1,259.87	932.57	327.30	SALE
	4.0000	02/02/10	99.97	87.83	12.14	
	11.0000	05/17/10	274.91	225.94	48.97	
	4.0000	10/05/11	99.97	79.87	20.10	
	13.0000	12/14/11	324.91	262.21	62.70	
03/26/13	32.0000	Various	799.76	655.85	143.91	SALE
03/28/13	3.0000	12/14/11	75.23	60.51	14.72	SALE
CHEVRON CORPORATION / 166764100						
02/06/13	1.0000	11/15/06	115.15	70.47	44.68	SALE
08/19/13	1.0000	11/15/06	118.90	70.47	48.43	SALE
CISCO SYSTEMS INC / 17275R102						
08/19/13	19.0000	07/19/11	461.94	297.34	164.60	SALE
COMCAST CORP NEW CL A / 20030N101						
02/14/13	14.0000	12/28/07	564.65	257.74	306.91	SALE
08/19/13	2.0000	12/28/07	84.70	36.82	47.88	SALE
11/25/13	10.0000	12/28/07	498.32	184.10	314.22	SALE
						TOTAL 4 LOTS
						TOTAL 4 LOTS

2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

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EDWIN W PAULEY FOUNDATION
 1801 CENTURY PARK EAST, #2230
 LOS ANGELES CA 90067-2324

Account Number: 1323-8861

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013 continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
CST BRANDS INC / 12646R105 05/01/13	0.4376	Various	13.15	6.76	6.39	CASH IN LIEU
	0.2154	07/01/09	7.00	2.77	4.23	
	2.5846	09/09/09	84.01	35.32	48.69	
	0.8615	10/23/09	28.00	13.42	14.58	
	2.0462	12/09/09	66.51	24.69	41.82	
	0.9692	12/24/09	31.50	12.20	19.30	
	1.4000	01/27/10	45.50	19.39	26.11	
	0.6462	06/28/10	21.00	8.91	12.09	
	1.8308	02/03/11	59.51	35.56	23.95	
	0.1077	02/25/11	3.50	2.22	1.28	
	0.7539	06/07/11	24.50	14.40	10.10	
	1.9385	07/27/11	63.02	37.13	25.89	
	0.4308	01/13/12	14.01	6.71	7.30	
05/15/13	13.7846	Various	448.06	212.72	235.34	SALE
TOTAL 12 LOTS						
DELL INC / 24702R101	15.0000	12/27/11	200.99	223.27	-22.28	
	17.0000	01/19/12	227.80	281.18	-53.38	
02/06/13	32.0000	Various	428.79	504.45	-75.66	SALE
TOTAL 2 LOTS						
DEVON ENERGY CORP / 25179M103	7.0000	09/17/09	397.25	498.44	-101.19	
	2.0000	09/23/09	113.50	141.48	-27.98	
	5.0000	10/01/09	283.77	324.48	-40.71	
	14.0000	Various	794.52	964.40	-169.88	SALE
03/28/13	3.0000	10/01/09	164.73	194.68	-29.95	
	2.0000	10/15/09	109.82	142.27	-32.45	
	10.0000	11/19/09	549.10	702.09	-152.99	
TOTAL 3 LOTS						



2013 SUMMARY AMENDMENT



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Amended Date: 3/28/14

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Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Amount Gain or Loss	Additional Information
06/17/13	2.0000	03/26/10	109.82	125.66	-15.84	
	17.0000	Various	933.47	1,164.70	-231.23	SALE
	4.0000	04/05/10	217.26	268.63	-51.37	
	1.0000	04/20/10	54.32	66.67	-12.35	
06/19/13	5.0000	Various	271.58	335.30	-63.72	SALE
	1.0000	04/20/10	51.86	66.67	-14.81	
	8.0000	05/04/10	414.93	528.42	-113.49	
06/24/13	9.0000	Various	466.79	595.09	-128.30	SALE
	7.0000	10/12/10	367.16	464.78	-97.62	
	7.0000	12/06/10	367.16	517.79	-150.63	
06/25/13	14.0000	Various	734.32	982.57	-248.25	SALE
ENERGIZER HOLDINGS INC / 29266R108						
02/25/13	2.0000	04/08/11	183.56	143.77	39.79	SALE
08/01/13	1.0000	04/08/11	102.45	71.88	30.57	SALE
	2.0000	04/12/11	185.74	140.49	45.25	
	2.0000	04/13/11	185.74	139.75	45.99	
10/21/13	4.0000	Various	371.48	280.24	91.24	SALE
	2.0000	04/13/11	194.98	139.74	55.24	
	4.0000	04/14/11	389.97	278.78	111.19	
10/23/13	6.0000	Various	584.95	418.52	166.43	SALE
10/28/13	5.0000	04/18/11	503.35	346.42	156.93	SALE
	2.0000	04/20/11	197.24	140.65	56.59	
	4.0000	04/20/11	394.48	281.30	113.18	
	4.0000	05/12/11	394.49	305.52	88.97	
	4.0000	06/06/11	394.49	289.32	105.17	
					TOTAL 2 LOTS	
					TOTAL 2 LOTS	
					TOTAL 2 LOTS	
					TOTAL 2 LOTS	
					TOTAL 4 LOTS	

2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

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Year-End Account Summary

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continued

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
	5.0000	06/06/11	493.11	362.89	130.22	
	1.0000	06/10/11	98.62	71.17	27.45	
	2.0000	08/03/11	197.24	158.57	38.67	
	3.0000	11/03/11	295.87	218.82	77.05	
	2.0000	05/22/12	197.24	147.14	50.10	
	4.0000	06/26/12	394.49	281.37	113.12	
11/04/13	31.0000	Various	3,057.27	2,256.75	800.52	SALE
TOTAL 10 LOTS						
ENSCO PLC / G3157S106 CLASS A 08/19/13	3.0000	01/21/10	167.04	130.39	36.65	SALE
GAP INC / 364760108 02/06/13	1.0000	05/05/08	33.45	18.20	15.25	SALE
08/19/13	2.0000	05/05/08	85.22	36.40	48.82	SALE
11/08/13	21.0000	05/05/08	862.67	382.13	480.54	SALE
GENERAL ELECTRIC COMPANY / 369604103 08/19/13	3.0000	01/14/09	71.31	42.45	28.86	SALE
HOLOGIC INC / 438440101						
	2.0000	09/26/08	45.31	39.52	5.79	
	8.0000	05/06/09	181.25	97.83	83.42	
08/19/13	10.0000	Various	226.56	137.35	89.21	SALE
11/08/13	14.0000	05/06/09	310.58	171.21	139.37	SALE
12/12/13	18.0000	05/06/09	390.38	220.12	170.26	SALE
TOTAL 2 LOTS						
HOME DEPOT INC / 437076102 04/11/13	3.0000	03/17/09	216.25	63.59	152.66	SALE



2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

Your Financial Advisor :
 SACKLER/ACKERMAN
 9665 WILSHIRE BLVD STE 1000
 10TH FLOOR
 BEVERLY HILLS CA 90212
 (310) 288-5535

EDWIN W PAULEY FOUNDATION
 1801 CENTURY PARK EAST, #2230
 LOS ANGELES CA 90067-2324

Account Number: 1323-8861

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
 Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

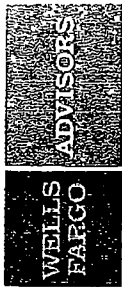
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8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Amount	Additional Information
07/26/13	6.0000	07/27/09	497.16	204.90	292.26	
	9.0000	Various	745.74	299.73	446.01	SALE
	2.0000	07/27/09	165.00	68.29	96.71	
	3.0000	12/16/09	247.51	122.25	125.26	
	5.0000	Various	412.51	190.54	221.97	SALE
	6.0000	12/16/09	497.37	244.49	252.88	
	6.0000	01/29/10	497.39	231.29	266.10	
	12.0000	Various	994.76	475.78	518.98	SALE
	4.0000	01/29/10	332.00	154.19	177.81	
	5.0000	06/28/10	415.00	206.78	208.22	
	2.0000	10/01/10	166.00	88.46	77.54	
	11.0000	Various	913.00	449.43	463.57	SALE
	8.0000	10/01/10	676.31	353.84	322.47	SALE
INTEL CORP / 458140100						
02/06/13	4.0000	01/07/09	84.28	57.78	26.50	SALE
07/19/13	14.0000	01/07/09	319.75	202.20	117.55	SALE
08/19/13	11.0000	01/07/09	246.65	158.87	87.78	SALE
JOHNSON & JOHNSON / 478160104						
08/19/13	3.0000	03/01/11	271.80	183.02	88.78	SALE
JPMORGAN CHASE & CO / 46625H100						
03/08/13	3.0000	03/27/07	150.19	145.38	4.81	SALE
05/08/13	3.0000	03/27/07	148.30	145.38	2.92	SALE
						TOTAL 2 LOTS
						TOTAL 2 LOTS
						TOTAL 2 LOTS
						TOTAL 3 LOTS



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Amended Date: 3/28/14

EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST, #2230
LOS ANGELES CA 90067-2324

Your Financial Advisor :
SACKLER/ACKERMAN
9665 WILSHIRE BLVD STE 1000
10TH FLOOR
BEVERLY HILLS CA 90212
(310) 288-5535

Account Number: 1323-8861

Year-End Account Summary

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continued

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
LENNAR CORPORATION / 526057104 CLASS A 02/06/13	11.0000	07/10/09	453.56	90.67	362.89	SALE
	12.0000	07/10/09	438.02	98.91	339.11	
	10.0000	09/25/09	365.03	148.94	216.09	
	22.0000	Various	803.05	247.85	555.20	SALE
						TOTAL 2 LOTS
MEADWESTVACO CORP / 583334107	10.0000	11/17/06	350.09	257.28	92.81	SALE
02/15/13	3.0000	11/17/06	109.45	77.19	32.26	SALE
03/25/13	17.0000	11/17/06	594.99	437.36	157.63	
	18.0000	02/14/08	630.00	413.87	216.13	
	35.0000	Various	1,224.99	851.23	373.76	SALE
	22.0000	02/14/08	796.26	505.83	290.43	SALE
						TOTAL 2 LOTS
MEDTRONIC INC / 585055106	2.0000	12/30/11	108.10	76.54	31.56	SALE
08/19/13	8.0000	12/30/11	432.87	306.16	126.71	SALE
09/18/13	3.0000	12/30/11	160.68	114.81	45.87	SALE
09/30/13	6.0000	12/30/11	348.22	229.62	118.60	SALE
11/19/13						
MONDELEZ INTL INC / 609207105	1.0000	02/12/07	30.98	22.30	8.68	
	7.0000	03/29/07	216.88	143.36	73.52	
	8.0000	Various	247.86	165.66	82.20	SALE
	5.0000	03/29/07	153.95	102.40	51.55	SALE
						TOTAL 2 LOTS

2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

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EDWIN W PAULEY FOUNDATION
 1801 CENTURY PARK EAST, #2230
 LOS ANGELES CA 90067-2324

Account Number: 1323-8861

Year-End Account Summary

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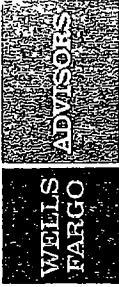
LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
MYLAN INC / 628530107						
08/02/13	11.0000	08/23/11	397.25	199.61	197.64	SALE
08/13/13	9.0000	08/23/11	334.87	163.31	171.56	SALE
09/10/13	19.0000	08/23/11	727.30	344.77	382.53	SALE
11/26/13	5.0000	08/23/11	222.10	90.73	131.37	SALE
NABORS INDUSTRIES LTD / G6359F103						
08/19/13	4.0000	03/31/11	62.04	121.82	-59.78	SALE
PENTAIR LTD / H6169Q108						
08/19/13	1.0000	12/28/07	61.68	33.37	28.31	SALE
PEPSICO INCORPORATED / 713448108						
08/19/13	2.0000	03/22/12	161.82	131.05	30.77	SALE
PFIZER INCORPORATED / 717081103						
07/30/13	10.0000	04/24/07	297.49	262.10	35.39	SALE
08/19/13	4.0000	04/24/07	113.69	104.84	8.85	SALE
STATE STR CORP / 857477103						
05/17/13	5.0000	06/14/10	324.77	189.79	134.98	SALE
TE CONNECTIVITY LTD / H84989104						
08/19/13	5.0000	12/04/08	246.15	83.89	162.26	SALE
TEREX CORPORATION NEW / 880779103						
06/03/13	6.0000	06/28/10	206.62	123.71	82.91	SALE
TEXTRON INC / 883203101						
02/06/13	1.0000	03/15/10	28.75	21.83	6.92	SALE



2013 SUMMARY AMENDMENT



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Amended Date: 3/28/14

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EDWIN W PAULEY FOUNDATION
 1801 CENTURY PARK EAST, #2230
 LOS ANGELES CA 90067-2324

Account Number: 1323-8861

Year-End Account Summary

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continued

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

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2013 SUMMARY AMENDMENT

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Amended Date: 3/28/14

Your Financial Advisor :
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EDWIN W PAULEY FOUNDATION
 1801 CENTURY PARK EAST, #2230
 LOS ANGELES CA 90067-2324

Account Number: 1323-8861

Year-End Account Summary

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continued

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
TYCO INTERNATIONAL LTD / H89128104 SHS						
12/23/13	6.0000	12/28/07	241.12	119.94	121.18	SALE
12/27/13	4.0000	12/28/07	164.60	79.95	84.65	SALE
VALERO ENERGY CORP NEW / 91913Y100 (VALERO REFIN & MKTING)						
	2.0000	07/01/09	68.88	31.85	37.03	
	1.0000	09/09/09	34.44	16.89	17.55	
08/19/13	3.0000	Various	103.32	48.74	54.58	SALE
12/31/13	8.0000	09/09/09	403.94	135.10	268.84	SALE
WESTERN DIGITAL CORP / 958102105						
08/19/13	2.0000	06/26/12	128.86	58.40	70.46	SALE

TOTAL 2 LOTS

**TOTAL LONG TERM GAINS OR LOSSES FOR
 NONCOVERED SECURITIES**

42,530.79 25,664.04 15,866.75

*Box 2a: Sales price less commissions and option premiums



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2013 SUMMARY AMENDMENT

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Amended Date: 2/21/14



Your Financial Advisor :
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(310) 288-5535

EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST, #2230
LOS ANGELES CA 90067-2324

Account Number: 1071-1017

Year-End Account Summary

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Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

For noncovered transactions, we provide supplemental cost basis information, when it is available, to assist you with completing your tax return, however, THIS INFORMATION IS NOT PROVIDED TO THE INTERNAL REVENUE SERVICE.

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
A O SMITH / 831865209						
10/03/13	7.0000	11/15/12	312.82	201.95	110.87	SALE
AAON INC / 000360206						
06/14/13	2.0000	06/03/13	64.90	63.77	1.13	SALE
07/02/13	0.5000	07/02/13	11.34	0.01	11.33	CASH IN LIEU
ACXIOM CORP / 005125109						
06/14/13	12.0000	09/13/12	270.36	218.57	51.79	SALE
09/03/13	12.0000	09/13/12	300.87	218.56	82.31	SALE
10/03/13	21.0000	02/07/13	622.28	369.60	252.68	SALE
ADMINISTRADORA DE FONDE DE PENSIONES PROVIDA S.A						
02/07/13	9.0000	08/16/12	909.97	801.54	108.43	
	2.0000	12/13/12	202.22	207.40	-5.18	
	11.0000	Various	1,112.19	1,008.94	103.25	SALE
						TOTAL 2 LOTS
AEP INDUSTRIES INC / 001031103						
02/07/13	1.0000	11/15/12	64.22	57.94	6.28	SALE
03/26/13	1.0000	11/15/12	71.54	57.94	13.60	SALE
06/03/13	3.0000	11/15/12	248.10	173.82	74.28	SALE
06/14/13	9.0000	11/15/12	598.40	521.46	76.94	SALE

2013 SUMMARY AMENDMENT

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Amended Date: 2/21/14

Your Financial Advisor :
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 (310) 288-5535

EDWIN W PAULEY FOUNDATION
 1801 CENTURY PARK EAST, #2230
 LOS ANGELES CA 90067-2324

Account Number: 1071-1017

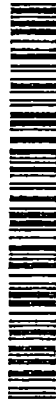
Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
09/03/13	3.0000	11/15/12	236.26	173.82	62.44	SALE
10/03/13	5.0000	11/15/12	333.79	289.70	44.09	SALE
11/06/13	7.0000	11/15/12	391.45	405.58	-14.13	SALE
AFC ENTERPRISES / 00104Q107						
06/14/13	11.0000	02/07/13	377.84	330.99	46.85	SALE
07/30/13	4.0000	02/07/13	145.41	120.36	25.05	SALE
09/03/13	12.0000	02/07/13	495.17	361.08	134.09	SALE
10/03/13	2.0000	02/07/13	85.07	60.18	24.89	SALE
11/06/13	9.0000	02/07/13	389.10	270.81	118.29	SALE
ALLEGiant TRAVEL CO / 01748X102						
06/03/13	2.0000	02/07/13	189.48	152.78	36.70	SALE
06/14/13	4.0000	02/07/13	405.87	305.56	100.31	SALE
09/03/13	4.0000	02/07/13	376.24	305.56	70.68	SALE
ALLIANCE DATA SYSTEMS / 018581108						
03/26/13	2.0000	02/07/13	315.83	306.50	9.33	SALE
06/03/13	4.0000	02/07/13	699.67	613.00	86.67	SALE
AMERCO / 023586100						
11/06/13	1.0000	03/26/13	203.50	176.90	26.60	SALE
AMERICAN PACIFIC CORP / 028740108						
06/14/13	4.0000	06/03/13	107.08	107.10	-0.02	SALE



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2013 SUMMARY AMENDMENT

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Amended Date: 2/21/14



EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST, #2230
LOS ANGELES CA 90067-2324

Your Financial Advisor :
SACKLER/ACKERMAN
9665 WILSHIRE BLVD STE 1000
10TH FLOOR
BEVERLY HILLS CA 90212
(310) 288-5535

Account Number: 1071-1017

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
AMERICAN VANGUARD CORP / 030371108						
07/02/13	3.0000	02/07/13	69.67	98.40	-28.73	SALE
AMN HEALTHCARE SERVICES / 001744101						
INC						
06/14/13	20.0000	02/07/13	289.39	231.53	57.86	SALE
09/03/13	4.0000	02/07/13	54.87	46.31	8.56	SALE
10/03/13	13.0000	02/07/13	177.42	150.49	26.93	SALE
12/02/13	18.0000	02/07/13	240.15	208.37	31.78	SALE
AZZ INCORPORATED / 002474104						
02/07/13	10.0000	08/16/12	434.79	317.70	117.09	SALE
	7.0000	08/16/12	295.32	222.39	72.93	
	1.0000	09/13/12	42.19	33.95	8.24	
	4.0000	11/15/12	168.76	141.92	26.84	
	12.0000	Various	506.27	398.26	108.01	SALE
06/14/13	4.0000	11/15/12	150.78	141.92	8.86	SALE
09/03/13	5.0000	11/15/12	205.23	177.40	27.83	SALE
10/03/13	6.0000	11/15/12	269.22	212.88	56.34	SALE
11/06/13						
BANCORPSOUTH INC / 059692103						
	42.0000	09/13/12	614.02	637.44	-23.42	
	8.0000	12/13/12	116.96	109.76	7.20	
	6.0000	01/18/13	87.72	90.00	-2.28	
02/07/13	56.0000	Various	818.70	837.20	-18.50	SALE
						TOTAL 3 LOTS

2013 SUMMARY AMENDMENT

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Amended Date: 2/21/14

Your Financial Advisor :
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 (310) 288-5535

EDWIN W PAULEY FOUNDATION
 1801 CENTURY PARK EAST, #2230
 LOS ANGELES CA 90067-2324

Account Number: 1071-1017

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
BANNER CORP NEW / 06652V208						
02/07/13	47.0000	11/15/12	1,440.52	1,321.64	118.88	SALE
BARRETT BUSINESS / 068463108						
SERVICE						
06/14/13	7.0000	01/18/13	409.84	288.40	121.44	SALE
07/30/13	2.0000	01/18/13	134.36	82.40	51.96	SALE
09/03/13	3.0000	01/18/13	195.30	123.60	71.70	SALE
11/06/13	6.0000	01/18/13	503.09	247.20	255.89	SALE
12/02/13	6.0000	01/18/13	506.31	247.20	259.11	SALE
BELO CORP-A / 080555105						
06/13/13	81.0000	06/03/13	1,106.53	892.60	213.93	SALE
BIOSCRIP INC / 09069N108						
06/14/13	13.0000	02/07/13	195.00	145.08	49.92	SALE
10/03/13	40.0000	02/07/13	338.25	446.40	-108.15	SALE
X BOOZ ALLEN HAMILTON / 099502106						
HOLDING CO						
X 02/07/13	37.0000	12/13/12	493.20	530.21	-37.01	SALE
BRINKER INTL INC / 109641100						
03/26/13	1.0000	02/07/13	36.64	33.53	3.11	SALE
06/03/13	28.0000	02/07/13	1,097.89	938.84	159.05	SALE
BUNZL PLC / 120738406						
SPON ADR						
06/03/13	7.0000	02/07/13	687.39	628.11	59.28	SALE

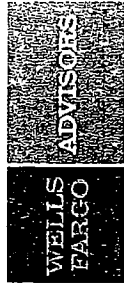


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2013 SUMMARY AMENDMENT

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Amended Date: 2/21/14



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EDWIN W PAULEY FOUNDATION
 1801 CENTURY PARK EAST, #2230
 LOS ANGELES CA 90067-2324

Account Number: 1071-1017

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Amount	Additional Information
06/14/13	1.0000	02/07/13	98.23	89.73	8.50	SALE
07/02/13	4.0000	02/07/13	398.63	358.92	39.71	SALE
07/30/13	5.0000	02/07/13	532.24	448.65	83.59	SALE
CAMBREX CORP / 132011107						
	15.0000	08/16/12	220.29	185.55	34.74	
	5.0000	09/13/12	73.44	63.15	10.29	
07/30/13	20.0000	Various	293.73	248.70	45.03	SALE
TOTAL 2 LOTS						
CHARTER COMMUNICATIONS / 16117M305						
INC DEL NEW CLASS A						
06/14/13	3.0000	02/07/13	332.24	244.86	87.38	SALE
07/30/13	1.0000	02/07/13	124.13	81.62	42.51	SALE
09/03/13	4.0000	02/07/13	493.07	326.48	166.59	SALE
10/03/13	3.0000	02/07/13	393.60	244.86	148.74	SALE
CHINA LODGING GROUP LTD / 16949N109						
SPONSORED ADR						
02/07/13	30.0000	12/13/12	584.09	462.90	121.19	SALE
COMMUNITY HEALTH SYS / 203668108						
INC NEW						
06/14/13	3.0000	03/26/13	151.83	138.95	12.88	SALE
12/02/13	2.0000	03/26/13	83.18	92.64	-9.46	SALE
COMPUTER TASK GROUP / 205477102						
02/07/13	32.0000	12/13/12	655.35	576.00	79.35	SALE

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Your Financial Advisor :
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 (310) 288-5535

EDWIN W PAULEY FOUNDATION
 1801 CENTURY PARK EAST, #2230
 LOS ANGELES CA 90067-2324

Account Number: 1071-1017

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

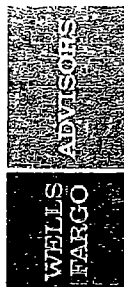
8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
COOPER TIRE & RUBBER CO / 216831107						
02/07/13	18.0000	09/13/12	463.13	397.57	65.56	SALE
03/26/13	6.0000	09/13/12	160.02	132.52	27.50	SALE
06/03/13	4.0000	09/13/12	100.48	88.35	12.13	SALE
	17.0000	09/13/12	586.83	375.47	211.36	
	14.0000	12/13/12	483.28	341.60	141.68	
	6.0000	01/18/13	207.12	158.34	48.78	
06/12/13	37.0000	Various	1,277.23	875.41	401.82	SALE
					TOTAL 3 LOTS	
COPA HOLDINGS SA OL A / P31076105						
06/14/13	7.0000	02/07/13	965.91	695.31	270.60	SALE
12/02/13	1.0000	02/07/13	151.48	99.33	52.15	SALE
CORRECTIONS CORP AMER / 22025Y407						
NEW						
06/03/13	17.0000	02/07/13	590.24	641.80	-51.56	SALE
06/14/13	2.0000	05/22/13	68.82	77.80	-8.98	SALE
COVANCE INC / 222816100						
12/02/13	2.0000	02/07/13	169.44	137.27	32.17	SALE
CRACKER BARREL OLD / 22410J106						
COUNTRY						
06/14/13	2.0000	08/16/12	192.50	125.46	67.04	SALE
CSG SYS INTL INC / 126349109						
02/07/13	2.0000	11/15/12	38.00	36.02	1.98	SALE
03/26/13	9.0000	11/15/12	181.64	162.09	19.55	SALE



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EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST, #2230
LOS ANGELES CA 90067-2324

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(310) 288-5535

Account Number: 1071-1017

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
06/03/13	9.0000	11/15/12	190.57	162.09	28.48	SALE
06/14/13	10.0000	11/15/12	213.70	180.10	33.60	SALE
07/30/13	5.0000	11/15/12	117.15	90.05	27.10	SALE
09/03/13	13.0000	11/15/12	305.83	234.13	71.70	SALE
10/03/13	21.0000	11/15/12	515.75	378.21	137.54	SALE
CSS INDUSTRIES INC / 125906107						
06/14/13	1.0000	06/03/13	25.53	26.14	-0.61	SALE
07/30/13	3.0000	06/03/13	81.18	78.42	2.76	SALE
10/03/13	3.0000	06/03/13	70.96	78.42	-7.46	SALE
11/06/13	3.0000	06/03/13	77.49	78.42	-0.93	SALE
CYBERONICS INC / 23251P102						
	2.0000	08/16/12	94.84	88.70	6.14	
	10.0000	12/13/12	474.20	520.50	-46.30	
06/03/13	12.0000	Various	569.04	609.20	-40.16	SALE
DELEK US HOLDINGS INC / 246647101						
12/02/13	3.0000	03/26/13	92.31	121.34	-29.03	SALE
DELUXE CORP / 248019101						
02/07/13	32.0000	11/15/12	1,186.21	918.40	267.81	SALE
10/03/13	2.0000	11/15/12	84.96	57.40	27.56	SALE
11/06/13	2.0000	11/15/12	95.42	57.40	38.02	SALE
					TOTAL 2 LOTS	

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
DEUTSCHE LUFTHANSA A G / 251561304 SPONSORED ADR						
06/03/13	3.0000	02/07/13	65.43	58.50	6.93	SALE
06/14/13	10.0000	02/07/13	212.11	195.00	17.11	SALE
07/02/13	3.0000	02/07/13	61.86	58.50	3.36	SALE
07/30/13	2.0000	02/07/13	40.16	39.00	1.16	SALE
09/03/13	3.0000	02/07/13	52.23	58.50	-6.27	SALE
10/03/13	3.0000	02/07/13	57.57	58.50	-0.93	SALE
12/02/13	5.0000	02/07/13	108.06	97.50	10.56	SALE
DORMAN PRODUCTS INC / 258278100						
	15.0000	12/13/12	528.43	504.45	23.98	
	8.0000	01/18/13	281.84	276.24	5.60	
02/07/13	23.0000	Various	810.27	780.69	29.58	SALE
						TOTAL 2 LOTS
EAGLE MATERIALS INC / 26969P108						
06/14/13	11.0000	02/07/13	787.26	699.82	87.44	SALE
09/03/13	1.0000	02/07/13	64.92	63.62	1.30	SALE
10/03/13	6.0000	02/07/13	430.51	381.72	48.79	SALE
11/06/13	2.0000	02/07/13	154.52	127.24	27.28	SALE
12/02/13	5.0000	02/07/13	388.41	318.10	70.31	SALE
EMCOR GROUP INC / 29084Q100						
12/02/13	3.0000	02/07/13	118.59	111.21	7.38	SALE



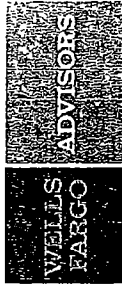
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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Amount	Additional Information
EPL OIL & GAS INC / 26883D108						
02/07/13	12.0000	01/18/13	302.51	283.32	19.19	SALE
03/26/13	3.0000	01/18/13	82.65	70.83	11.82	SALE
06/03/13	21.0000	01/18/13	633.77	495.81	137.96	SALE
EQUIFAX INC / 294429105						
06/03/13	8.0000	02/07/13	486.18	444.72	41.46	SALE
06/14/13	4.0000	02/07/13	243.08	222.36	20.72	SALE
07/02/13	6.0000	02/07/13	355.61	333.54	22.07	SALE
07/30/13	11.0000	02/07/13	699.57	611.49	88.08	SALE
FEDERAL AGRICULTURAL / 313148306 MORTGAGE CORP CLASS C						
	19.0000	12/13/12	659.85	606.86	52.99	
	3.0000	01/18/13	104.19	101.46	2.73	
02/07/13	22.0000	Various	764.04	708.32	55.72	SALE
					TOTAL 2 LOTS	
FIRST AMERICAN FINL CORP / 31847R102						
02/07/13	48.0000	11/15/12	1,164.93	1,083.60	81.33	SALE
FOOT LOCKER INC / 344849104						
06/03/13	20.0000	02/07/13	681.64	686.60	-4.96	SALE
06/14/13	4.0000	02/07/13	139.04	137.32	1.72	SALE
07/30/13	15.0000	02/07/13	543.36	514.95	28.41	SALE
FORTUNE BRANDS HOME / 34964C106 & SECURITY INC						
11/06/13	3.0000	06/03/13	125.19	122.39	2.80	SALE

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 Proceeds from Broker and Barter Exchange Transactions for 2013

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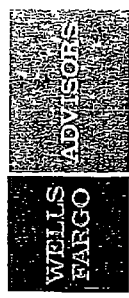
8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
FUJI HEAVY INDS LTD ADR / 359556206						
06/14/13	9.0000	02/07/13	409.58	265.05	144.53	SALE
11/06/13	3.0000	02/07/13	163.20	88.35	74.85	SALE
12/02/13	10.0000	02/07/13	567.09	294.50	272.59	SALE
GAP INC / 364760108						
03/26/13	3.0000	02/07/13	105.08	95.70	9.38	SALE
06/03/13	21.0000	02/07/13	841.66	669.90	171.76	SALE
GENCORP INC / 368682100						
02/07/13	57.0000	09/13/12	596.77	561.92	34.85	
	27.0000	12/13/12	282.69	238.95	43.74	
	84.0000	Various	879.46	800.87	78.59	SALE
						TOTAL 2 LOTS
GP STRATEGIES CORP / 36225V104						
02/07/13	10.0000	08/16/12	211.40	191.94	19.46	SALE
03/26/13	6.0000	08/16/12	142.44	115.16	27.28	SALE
06/03/13	6.0000	08/16/12	153.24	115.16	38.08	SALE
06/14/13	5.0000	08/16/12	118.65	95.97	22.68	SALE
07/02/13	3.0000	08/16/12	71.59	57.57	14.02	SALE
	1.0000	08/16/12	26.64	19.19	7.45	
	21.0000	12/13/12	559.46	419.16	140.30	
07/30/13	22.0000	Various	586.10	438.35	147.75	SALE
						TOTAL 2 LOTS
GREAT SOUTHERN BANCORP / 390905107 INC						
02/07/13	26.0000	09/13/12	645.05	791.08	-146.03	SALE



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2013 SUMMARY AMENDMENT

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EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST, #2230
LOS ANGELES CA 90067-2324

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BEVERLY HILLS CA 90212
(310) 288-5535

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
GRUPE CGI INC / 39945C109							
CL A SUB VTG							
03/26/13		4.0000	02/07/13	105.12	107.36	-2.24	SALE
06/03/13		5.0000	02/07/13	155.92	134.20	21.72	SALE
06/14/13		4.0000	02/07/13	119.24	107.36	11.88	SALE
07/02/13		2.0000	02/07/13	58.36	53.68	4.68	SALE
07/30/13		2.0000	02/07/13	62.50	53.68	8.82	SALE
09/03/13		17.0000	02/07/13	554.19	456.28	97.91	SALE
GRUPO AEROPORTUARIO / 40051E202							
SUR-ADR							
12/02/13		3.0000	02/07/13	391.03	361.44	29.59	SALE
GRUPO AEROPORTUARIO / 400506101							
DEL PACIFICO S A B							
DE C.V.							
06/14/13		3.0000	02/07/13	140.70	190.20	-49.50	SALE
07/30/13		1.0000	02/07/13	50.80	63.40	-12.60	SALE
09/03/13		3.0000	02/07/13	148.80	190.20	-41.40	SALE
10/03/13		2.0000	02/07/13	103.92	126.80	-22.88	SALE
11/06/13		6.0000	02/07/13	305.14	380.40	-75.26	SALE
12/02/13		6.0000	02/07/13	303.63	380.40	-76.77	SALE
GRUPO SIMEC S A B DE CV / 400491106							
12/02/13		29.0000	02/07/13	313.63	421.95	-108.32	SALE

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continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price ¹	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
H & R BLOCK INC / 093671105						
12/02/13	4.0000	03/26/13	112.24	114.91	-2.67	SALE
HANMI FINANCIAL CORP / 410495204						
02/07/13	37.0000	01/18/13	606.42	595.24	11.18	SALE
HAVERTY FURNITURE CO INC / 419596101						
06/14/13	27.0000	11/15/12	659.87	411.75	248.12	SALE
10/03/13	8.0000	11/15/12	195.67	122.00	73.67	SALE
11/06/13	18.0000	11/15/12	496.04	274.50	221.54	SALE
HEARTLAND FINL USA INC / 42234Q102						
02/07/13	5.0000	09/13/12	116.60	136.35	-19.75	SALE
HIBBETT SPORTS INC / 428567101						
06/03/13	1.0000	02/07/13	57.25	54.01	3.24	SALE
HOME BANCSHARES INC / 436893200						
CONWAY A						
02/07/13	74.0000	11/15/12	2,585.50	2,402.77	182.73	SALE
HSN INC / 404303109						
06/14/13	6.0000	08/16/12	339.11	265.92	73.19	SALE
12/02/13	5.0000	12/13/12	286.63	264.50	22.13	SALE
ICON PLC / G4705A100						
03/26/13	4.0000	12/13/12	128.76	111.40	17.36	SALE
06/03/13	3.0000	12/13/12	102.60	83.55	19.05	SALE
06/14/13	13.0000	12/13/12	461.36	362.05	99.31	SALE

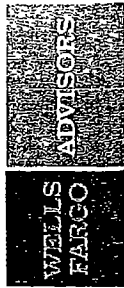


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Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP					Supplemental Information	
1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Gain or Loss Amount	Additional Information
ICONIX BRAND GROUP INC / 451055107						
11/06/13	3.0000	06/03/13	114.09	90.19	23.90	SALE
12/02/13	3.0000	06/03/13	121.77	90.19	31.58	SALE
ICU MED INC / 44930G107						
06/03/13	13.0000	11/15/12	926.72	746.33	180.39	SALE
06/14/13	5.0000	11/15/12	368.54	287.05	81.49	SALE
07/02/13	4.0000	11/15/12	294.61	229.64	64.97	SALE
09/03/13	1.0000	11/15/12	69.85	57.41	12.44	SALE
10/03/13	8.0000	11/15/12	535.09	459.28	75.81	SALE
INTER PARFUMS INC / 458334109						
06/14/13	5.0000	06/03/13	160.65	152.32	8.33	SALE
INTERTAPE POLYMER GROUP / 460919103						
06/14/13	7.0000	08/16/12	83.70	63.14	20.56	SALE
07/31/13	51.0000	08/16/12	587.79	460.02	127.77	SALE
INTERVAL LEISURE / 46113M108						
03/26/13	5.0000	08/16/12	108.64	96.45	12.19	SALE
JACK IN THE BOX INC / 466367109						
10/03/13	2.0000	02/07/13	80.43	59.20	21.23	SALE
11/06/13	2.0000	02/07/13	84.74	59.20	25.54	SALE

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

B-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
JAMES HARDIE INDS PLC / 47030M106 SPONS ADR 06/03/13	13.0000	02/07/13	615.54	648.70	-33.16	SALE
JARDEN CORP / 471109108 03/18/13	0.5000	03/18/13	21.21	0.01	21.20	CASH IN LIEU
07/30/13	3.0000	02/07/13	135.57	122.82	12.75	SALE
09/03/13	9.0000	02/07/13	410.42	368.45	41.97	SALE
10/03/13	7.0000	02/07/13	333.36	286.57	46.79	SALE
KIMBALL INTL INC CL B / 494274103 NEW 976 06/03/13	13.0000	08/16/12	126.36	148.79	-22.43	SALE
	61.0000	08/16/12	629.72	698.15	-68.43	
	3.0000	09/13/12	30.97	37.38	-6.41	
	6.0000	11/15/12	61.95	72.80	-10.85	
	70.0000	Various	722.64	808.33	-85.69	SALE
06/14/13	21.0000	11/15/12	234.69	254.79	-20.10	SALE
07/30/13	23.0000	11/15/12	228.88	279.05	-50.17	SALE
09/03/13	37.0000	11/15/12	381.28	448.90	-67.62	
	1.0000	12/13/12	10.31	11.45	-1.14	
10/03/13	38.0000	Various	391.59	460.35	-68.76	SALE
TOTAL 3 LOTS						
L B FOSTER CO CL A / 350060109 06/14/13	4.0000	02/07/13	179.68	177.44	2.24	SALE
07/30/13	3.0000	02/07/13	137.37	133.08	4.29	SALE
TOTAL 2 LOTS						



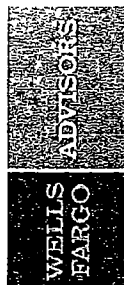
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Your Financial Advisor :
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EDWIN W PAULEY FOUNDATION
 1801 CENTURY PARK EAST, #2230
 LOS ANGELES CA 90067-2324

Account Number: 1071-1017

Year-End Account Summary

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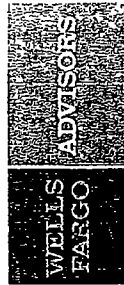
SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
09/03/13	1.0000	02/07/13	42.44	44.36	-1.92	SALE
11/06/13	2.0000	02/07/13	94.14	88.72	5.42	SALE
LANDEC CORP / 514766104						
06/14/13	45.0000	08/16/12	659.24	411.12	248.12	SALE
07/30/13	16.0000	08/16/12	241.01	146.17	94.84	SALE
	13.0000	12/13/12	166.99	123.50	43.49	
	15.0000	02/07/13	192.70	173.85	18.85	
09/03/13	28.0000	Various	359.69	297.35	62.34	SALE
10/03/13	42.0000	02/07/13	504.74	486.78	17.96	SALE
TOTAL 2 LOTS						
LENNOX INTERNATIONAL / 526107107						
06/14/13	6.0000	02/07/13	382.13	348.36	33.77	SALE
10/03/13	4.0000	02/07/13	293.46	232.24	61.22	SALE
11/06/13	6.0000	02/07/13	475.61	348.36	127.25	SALE
12/02/13	5.0000	02/07/13	411.34	290.30	121.04	SALE
LUMBER LIQUIDATORS HLDGS / 55003T107 INC						
	20.0000	08/16/12	1,184.77	892.52	292.25	
	25.0000	11/15/12	1,480.97	1,290.00	190.97	
02/07/13	45.0000	Various	2,665.74	2,182.52	483.22	SALE
TOTAL 2 LOTS						
LUMOS NETWORKS CORP / 550283105						
12/02/13	4.0000	07/02/13	93.60	69.76	23.84	SALE

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continued

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Amount	Additional Information
PACKAGING CORP OF AMER / 695156109						
06/14/13	7.0000	02/07/13	343.51	276.92	66.59	SALE
PATTERSON-UTI ENERGY / 703481101						
06/03/13	26.0000	03/26/13	545.56	626.48	-80.92	SALE
PDL BIOPHARMA INC / 69329Y104						
02/07/13						
	27.0000	09/13/12	183.60	201.68	-18.08	SALE
	19.0000	09/13/12	138.01	141.91	-3.90	
	1.0000	11/15/12	7.27	7.17	0.10	
	20.0000	Various	145.28	149.08	-3.80	SALE
	14.0000	11/15/12	111.86	100.37	11.49	SALE
	48.0000	11/15/12	374.87	344.13	30.74	SALE
	36.0000	11/15/12	278.89	258.10	20.79	SALE
	66.0000	11/15/12	511.05	473.16	37.89	SALE
PEOPLES BANCORP INC / 709789101						
02/07/13	35.0000	09/13/12	736.03	781.59	-45.56	SALE
PETSMART INC / 716768106						
06/03/13	6.0000	02/07/13	402.39	394.26	8.13	SALE
06/14/13	3.0000	02/07/13	208.53	197.13	11.40	SALE
07/02/13	4.0000	02/07/13	272.61	262.84	9.77	SALE
07/30/13	6.0000	02/07/13	436.08	394.26	41.82	SALE
TOTAL 2 LOTS						



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continued

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
PORTFOLIO RECOVERY / 73640Q105						
ASSOCIATE						
02/07/13	2.0000	09/13/12	220.20	203.62	16.58	SALE
03/26/13	2.0000	09/13/12	248.31	203.62	44.69	SALE
06/03/13	1.0000	09/13/12	151.88	101.81	50.07	SALE
	2.0000	09/13/12	307.99	203.62	104.37	
	2.0000	11/15/12	308.00	187.06	120.94	
06/14/13	4.0000	Various	615.99	390.68	225.31	SALE
09/03/13	7.0000	11/15/12	375.48	218.24	157.24	SALE
10/03/13	14.0000	11/15/12	817.03	436.47	380.56	SALE
TOTAL 2 LOTS						
PRIMERICA INC / 74164M108						
02/07/13	42.0000	11/15/12	1,378.41	1,160.04	218.37	SALE
PRIMUS TELECMNCTNS / 741929301						
GROUP INC						
	6.0000	08/16/12	67.86	90.00	-22.14	
	3.0000	01/18/13	33.93	32.13	1.80	
02/07/13	9.0000	Various	101.79	122.13	-20.34	SALE
TOTAL 2 LOTS						
PULTE GROUP INC / 745867101						
06/14/13	41.0000	02/07/13	860.99	786.79	74.20	SALE
07/30/13	2.0000	02/07/13	32.44	38.38	-5.94	SALE
09/03/13	36.0000	02/07/13	561.65	690.84	-129.19	SALE
PVH CORP / 693656100						
06/14/13	4.0000	02/07/13	487.99	480.00	7.99	SALE

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EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST, #2230
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continued

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
06/03/13	7.0000	02/07/13	461.50	410.90	50.60	SALE
06/14/13	2.0000	02/07/13	131.78	117.40	14.38	SALE
07/02/13	9.0000	02/07/13	612.17	528.30	83.87	SALE
SEMGROUP CORP / 81663A105						
CLASS A						
06/14/13	2.0000	02/07/13	107.46	92.54	14.92	SALE
10/03/13	4.0000	02/07/13	226.13	185.08	41.05	SALE
11/06/13	5.0000	02/07/13	301.24	231.35	69.89	SALE
12/02/13	6.0000	02/07/13	368.58	277.62	90.96	SALE
SERVICE CORP INTERNATL / 817565104						
06/14/13	6.0000	02/07/13	106.20	89.73	16.47	SALE
07/02/13	5.0000	02/07/13	90.22	74.78	15.44	SALE
07/30/13	5.0000	02/07/13	93.62	74.78	18.84	SALE
09/03/13	19.0000	02/07/13	345.09	284.15	60.94	SALE
10/03/13	4.0000	02/07/13	74.02	59.82	14.20	SALE
11/06/13	33.0000	02/07/13	588.62	493.50	95.12	SALE
SIX FLAGS ENTERTAINMENT / 83001A102						
CORP NEW						
06/14/13	5.0000	02/07/13	372.79	318.35	54.44	SALE
07/30/13	7.0000	02/07/13	256.36	222.85	33.51	SALE
09/03/13	17.0000	02/07/13	559.63	541.19	18.44	SALE

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Amount	Additional Information
SNAPO-ON INC / 833034101						
03/26/13	2.0000	02/07/13	164.12	156.68	7.44	SALE
06/03/13	2.0000	02/07/13	181.22	156.68	24.54	SALE
06/14/13	2.0000	02/07/13	181.48	156.68	24.80	SALE
07/02/13	1.0000	02/07/13	90.79	78.34	12.45	SALE
09/03/13	1.0000	02/07/13	94.20	78.34	15.86	SALE
10/03/13	1.0000	02/07/13	98.59	78.34	20.25	SALE
11/06/13	3.0000	02/07/13	313.94	235.02	78.92	SALE
12/02/13	3.0000	02/07/13	315.44	235.02	80.42	SALE
SPECTRUM BRANDS INC NEW / 84763R101						
06/14/13	10.0000	12/13/12	609.69	454.70	154.99	SALE
10/03/13	6.0000	12/13/12	396.71	272.82	123.89	SALE
	4.0000	12/13/12	252.07	181.88	70.19	
	1.0000	01/18/13	63.02	48.95	14.07	
	5.0000	02/07/13	315.10	272.15	42.95	
11/06/13	10.0000	Various	630.19	502.98	127.21	SALE
						TOTAL 3 LOTS
STAGE STORES INC / 85254C305						
02/07/13	44.0000	11/15/12	1,063.15	1,026.96	36.19	SALE
03/26/13	5.0000	11/15/12	129.30	116.70	12.60	SALE
06/14/13	4.0000	11/15/12	91.68	93.36	-1.68	SALE
STANTEC INC / 85472N109						
02/07/13	30.0000	11/15/12	1,195.17	1,081.50	113.67	SALE



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continued

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
STD MOTOR PRODUCTS COM / 853686105						
06/14/13	2.0000	06/03/13	69.14	67.32	1.82	SALE
STEELCASE INC / 858155203						
CL A						
06/14/13	16.0000	02/07/13	227.84	211.68	16.16	SALE
STEWART INFO SVCS CORP / 860372101						
02/07/13	24.0000	12/13/12	658.86	644.56	14.30	SALE
STRAIGHT PATH / 862578101						
COMMUNICATIONS INC						
CLASS B						
07/31/13	0.5000	Various	2.76	2.98	-0.22	CASH IN LIEU
	16.6383	07/02/13	84.93	97.19	-12.26	
	6.3617	07/30/13	32.48	40.10	-7.62	
09/03/13	23.0000	Various	117.41	137.29	-19.88	SALE
						TOTAL 2 LOTS
TORO COMPANY / 891092108						
06/03/13	6.0000	02/07/13	281.64	267.06	14.58	SALE
06/14/13	5.0000	02/07/13	238.45	222.55	15.90	SALE
07/02/13	2.0000	02/07/13	92.50	89.02	3.48	SALE
07/30/13	7.0000	02/07/13	340.09	311.57	28.52	SALE
09/03/13	1.0000	02/07/13	53.22	44.51	8.71	SALE
11/06/13	12.0000	02/07/13	700.93	534.12	166.81	SALE

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
TRINITY BIOTECH PLC / 896438306							
SPON ADR							
02/07/13		78.0000	12/13/12	1,249.92	1,189.50	60.42	SALE
TUESDAY MORNING CORP / 899035505							
NEW							
02/07/13		33.0000	08/16/12	296.33	171.77	124.56	SALE
06/14/13		57.0000	08/16/12	587.09	296.69	290.40	SALE
		6.0000	08/16/12	66.63	31.23	35.40	
		15.0000	09/13/12	166.59	92.51	74.08	
07/30/13		21.0000	Various	233.22	123.74	109.48	SALE
09/03/13		30.0000	09/13/12	376.49	185.01	191.48	SALE
UMB FINANCIAL CORP / 902788108							
		1.0000	08/16/12	43.91	48.56	-4.65	
		5.0000	12/13/12	219.55	217.50	2.05	
		2.0000	01/18/13	87.82	91.14	-3.32	
02/07/13		8.0000	Various	351.28	357.20	-5.92	SALE
UNIFIRST CORP / 904708104							
06/14/13		1.0000	03/26/13	95.50	87.66	7.84	SALE
UNIVERSAL FOREST / 913543104							
PRODUCTS INC							
		12.0000	08/16/12	483.47	389.52	93.95	
		3.0000	12/13/12	120.87	110.10	10.77	
		15.0000	Various	604.34	499.62	104.72	SALE
02/07/13							TOTAL 2 LOTS
							TOTAL 3 LOTS



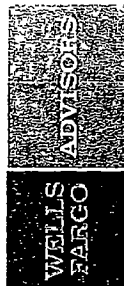
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continued

8-Description / CUSIP					Supplemental Information	
1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Gain or Loss Amount	Additional Information
UNIVERSAL HEALTH SVCS / 913903100						
INC CL B						
12/02/13	2.0000	06/03/13	165.54	137.19	28.35	SALE
URBAN OUTFITTERS INC / 917047102						
06/03/13	1.0000	02/07/13	41.48	43.05	-1.57	SALE
06/14/13	4.0000	02/07/13	163.84	172.20	-8.36	SALE
07/30/13	2.0000	02/07/13	84.62	86.10	-1.48	SALE
09/03/13	12.0000	02/07/13	502.34	516.60	-14.26	SALE
VALSPAR CORPORATION / 920355104						
06/03/13	5.0000	02/07/13	356.50	331.25	25.25	SALE
06/14/13	4.0000	02/07/13	277.12	265.00	12.12	SALE
07/02/13	6.0000	02/07/13	391.75	397.50	-5.75	SALE
07/30/13	1.0000	02/07/13	67.87	66.25	1.62	SALE
09/03/13	1.0000	02/07/13	61.94	66.25	-4.31	SALE
10/03/13	5.0000	02/07/13	321.51	331.25	-9.74	SALE
11/06/13	1.0000	02/07/13	70.02	66.25	3.77	SALE
12/02/13	8.0000	02/07/13	566.66	530.00	36.66	SALE
VASCULAR SOLUTIONS / 92231M109						
INC						
07/30/13	4.0000	02/07/13	65.49	60.24	5.25	SALE
09/03/13	3.0000	02/07/13	47.94	45.18	2.76	SALE
10/03/13	4.0000	02/07/13	65.68	60.24	5.44	SALE

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continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
11/06/13	16.0000	02/07/13	306.29	240.96	65.33	SALE
12/02/13	16.0000	02/07/13	328.17	240.96	87.21	SALE
VERISIGN INC / 92343E102						
06/14/13	4.0000	02/07/13	180.72	179.60	1.12	SALE
07/02/13	6.0000	02/07/13	271.70	269.40	2.30	SALE
07/30/13	9.0000	02/07/13	427.52	404.10	23.42	SALE
VIRTUS INVESTMENT / 92828Q109						
PARTNERS INC						
06/14/13	1.0000	02/07/13	218.91	156.49	62.42	SALE
11/06/13	1.0000	02/07/13	205.88	156.49	49.39	SALE
12/02/13	2.0000	02/07/13	411.88	312.98	98.90	SALE
VITAMIN SHOPPE INC / 92849E101						
06/03/13	10.0000	02/07/13	436.12	606.44	-170.32	SALE
WD-40 COMPANY COMMON / 929236107						
02/07/13	20.0000	11/15/12	1,070.38	923.20	147.18	SALE
WEST PHARMACEUTICAL / 955308105						
SVCs INC						
02/07/13	3.0000	11/15/12	179.28	153.48	25.80	SALE
03/26/13	4.0000	11/15/12	255.63	204.64	50.99	SALE
06/03/13	3.0000	11/15/12	205.83	153.48	52.35	SALE
06/14/13	4.0000	11/15/12	269.08	204.64	64.44	SALE
07/02/13	5.0000	11/15/12	355.34	255.80	99.54	SALE



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Your Financial Advisor :
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 9665 WILSHIRE BLVD STE 1000
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 BEVERLY HILLS CA 90212
 (310) 288-5535

EDWIN W PAULEY FOUNDATION
 1801 CENTURY PARK EAST, #2230
 LOS ANGELES CA 90067-2324

Account Number: 1071-1017

Year-End Account Summary

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continued

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
07/30/13	5.0000	11/15/12	362.29	255.80	106.49	SALE
09/03/13	5.0000	11/15/12	370.74	255.80	114.94	SALE
WILSHIRE BANCORP INC / 97186T108						
	139.0000	08/16/12	852.72	892.21	-39.49	
	17.0000	09/13/12	104.29	110.50	-6.21	
	32.0000	12/13/12	196.32	180.16	16.16	
02/07/13	188.0000	Various	1,153.33	1,182.87	-29.54	SALE
WYNDHAM WORLDWIDE CORP / 98310W108						
03/26/13	21.0000	02/07/13	1,327.13	1,241.73	85.40	SALE
TOTAL SHORT TERM GAINS OR LOSSES FOR COVERED SECURITIES			119,932.21	104,038.06	15,894.15	

TOTAL 3 LOTS

SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
ACETO CORP / 004446100						
03/26/13	74.0000	04/19/12	824.63	680.06	144.57	SALE
ACI WORLDWIDE INC / 004498101						
	17.0000	04/19/12	809.35	691.22	118.13	
	3.0000	06/14/12	142.83	118.14	24.69	
02/07/13	20.0000	Various	952.18	809.36	142.82	SALE
APPLIED INDL TECH INC / 03820C105						
02/07/13	19.0000	05/17/12	829.52	695.78	133.74	SALE
TOTAL 2 LOTS						

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 LOS ANGELES CA 90067-2324

Account Number: 1071-1017

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
ASBURY AUTOMOTIVE GROUP / 043436104	17.0000	05/17/12	623.78	432.99	190.79	
	3.0000	06/14/12	110.09	71.52	38.57	
03/26/13	20.0000	Various	733.87	504.51	229.36	SALE
						TOTAL 2 LOTS
BEACON ROOFING SUPPLY / 073685109 INC	24.0000	03/14/12	882.97	616.56	266.41	
	16.0000	04/19/12	588.66	411.36	177.30	
	8.0000	05/17/12	294.33	201.68	92.65	
02/07/13	48.0000	Various	1,765.96	1,229.60	536.36	SALE
						TOTAL 3 LOTS
BLUCORA INC / 095229100	31.0000	03/14/12	451.04	421.91	29.13	SALE
02/07/13	1.0000	04/19/12	16.71	17.77	-1.06	SALE
	19.0000	04/19/12	326.52	337.63	-11.11	
	16.0000	05/17/12	274.97	287.04	-12.07	
03/26/13	35.0000	Various	601.49	624.67	-23.18	SALE
						TOTAL 2 LOTS
CATHAY GENERAL BANCORP / 149150104	23.0000	06/14/12	452.63	371.68	80.95	SALE
02/07/13	15.0000	04/19/12	407.99	354.75	53.24	SALE
CIRRUS LOGIC INC / 172755100	11.0000	04/19/12	506.32	415.25	91.07	SALE
02/07/13	4.0000	05/17/12	183.88	160.44	23.44	SALE
03/26/13						

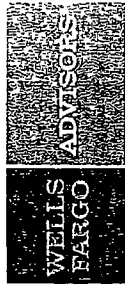


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Amended Date: 2/21/14



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 1801 CENTURY PARK EAST, #2230
 LOS ANGELES CA 90067-2324

Account Number: 1071-1017

Year-End Account Summary

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continued

**SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013**

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Amount	Additional Information
06/03/13	7.0000	06/14/12	331.93	297.15	34.78	SALE
DXP ENTERPRISES INC / 233377407						
COM NEW						
02/07/13	7.0000	03/14/12	405.85	302.12	103.73	SALE
FULLER H B CO / 359694106						
02/07/13	13.0000	04/19/12	511.02	418.47	92.55	SALE
	15.0000	04/19/12	598.32	482.85	115.47	
	3.0000	05/17/12	119.67	93.33	26.34	
03/26/13	18.0000	Various	717.99	576.18	141.81	SALE
					TOTAL 2 LOTS	
GERMAN AMERN BANCORP / 373865104						
	4.0000	04/19/12	87.44	75.16	12.28	
	14.0000	05/17/12	306.04	261.52	44.52	
02/07/13	18.0000	Various	393.48	336.68	56.80	SALE
					TOTAL 2 LOTS	
HEARTLAND FINL USA INC / 42234Q102						
02/07/13	31.0000	06/14/12	722.90	649.76	73.14	SALE
HEARTLAND PAYMENT SYS / 42235N108						
INC						
02/07/13	7.0000	03/14/12	221.83	208.18	13.65	SALE
	22.0000	04/19/12	715.06	656.70	58.36	
	1.0000	05/17/12	32.50	29.90	2.60	
	7.0000	06/14/12	227.53	195.09	32.44	
03/26/13	30.0000	Various	975.09	881.69	93.40	SALE
					TOTAL 3 LOTS	
HIBBETT SPORTS INC / 428567101						
06/03/13	6.0000	06/14/12	343.44	339.90	3.54	SALE

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Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
INNERWORKINGS INC / 45773Y105						
02/07/13	48.0000	03/14/12	646.07	580.32	65.75	SALE
INTERTAPE POLYMER GROUP / 460919103 INC						
02/07/13	24.0000	04/19/12	227.37	124.80	102.57	SALE
03/26/13	30.0000	04/19/12	316.96	156.00	160.96	SALE
INTERVAL LEISURE / 46113M108 GROUP						
03/26/13	24.0000	06/14/12	521.42	400.32	121.10	SALE
LEAPFROG ENTERPRISES INC / 52186N106						
	56.0000	04/19/12	495.59	476.00	19.59	
	11.0000	05/17/12	97.35	106.37	-9.02	
02/07/13	67.0000	Various	592.94	582.37	10.57	SALE
						TOTAL 2 LOTS
MAINSOURCE FINL GROUP / 56062Y102 INC						
02/07/13	47.0000	06/14/12	637.78	537.30	100.48	SALE
MOVADO GROUP INC / 624580106						
	14.0000	04/19/12	513.64	370.16	143.48	
	6.0000	05/17/12	220.13	152.04	68.09	
	11.0000	06/14/12	403.59	269.50	134.09	
02/07/13	31.0000	Various	1,137.36	791.70	345.66	SALE
						TOTAL 3 LOTS
NELNET INC / 64031N108						
	10.0000	03/14/12	308.69	269.30	39.39	
	12.0000	04/19/12	370.44	298.20	72.24	
02/07/13	22.0000	Various	679.13	567.50	111.63	SALE
						TOTAL 2 LOTS

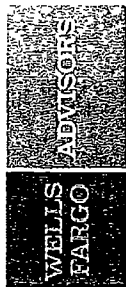


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Account Number: 1071-1017

Year-End Account Summary

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continued

**SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013**

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
OBAGI MEDICAL / 67423R108 PRODUCTS INC 03/20/13	35.0000	06/14/12	689.23	480.55	208.68	SALE
PIER 1 IMPORTS INC / 720279108 02/07/13	12.0000	03/14/12	265.56	214.44	51.12	SALE
03/26/13	19.0000	04/19/12	432.79	339.34	93.45	SALE
PRIMUS TELECOMMUNICATIONS / 741928301 GROUP INC	31.0000	05/17/12	350.60	488.87	-138.27	
	19.0000	06/14/12	214.89	329.65	-114.76	
02/07/13	50.0000	Various	565.49	818.52	-253.03	SALE
					TOTAL 2 LOTS	
SHFL ENTERTAINMENT / 78423R105 03/26/13	10.0000	04/19/12	164.03	170.10	-6.07	SALE
STD MOTOR PRODUCTS COM / 853666105 02/07/13	1.0000	03/14/12	21.43	20.34	1.09	SALE
STEWART INFO SVCS CORP / 860372101	33.0000	03/14/12	905.92	453.59	452.33	
	44.0000	04/19/12	1,207.89	689.48	518.41	
02/07/13	77.0000	Various	2,113.81	1,143.07	970.74	SALE
					TOTAL 2 LOTS	
STURM RUGER & CO INC / 864159108 02/07/13	9.0000	05/17/12	490.58	376.11	114.47	SALE
TARGA RESOURCES CORP / 87612G101	19.0000	03/14/12	1,146.24	866.40	279.84	
	2.0000	04/19/12	120.66	91.64	29.02	

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Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
02/07/13	21.0000	Various	1,266.90	958.04	308.86	SALE
TETRA TECH INC NEW / 88162G103						
02/07/13	29.0000	05/17/12	825.03	754.00	71.03	SALE
UMB FINANCIAL CORP / 902788108						
02/07/13	15.0000	06/14/12	658.63	707.40	-48.77	SALE
WRIGHT MEDICAL GROUP / 98235T107						
02/07/13	16.0000	06/14/12	347.68	325.76	21.92	SALE
TOTAL SHORT TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES						
			24,949.94	20,343.89	4,606.05	
LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013						
8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
10/03/13	5.0000	09/13/12	148.16	91.06	57.10	SALE
AZZ INCORPORATED / 002474104						
12/02/13	14.0000	11/15/12	680.81	496.72	184.09	SALE
DELUXE CORP / 248019101						
12/02/13	2.0000	11/15/12	98.57	57.40	41.17	SALE
HAVERLY FURNITURE CO INC / 419596101						
12/02/13	8.0000	11/15/12	227.76	122.00	105.76	SALE
TOTAL 2 LOTS						

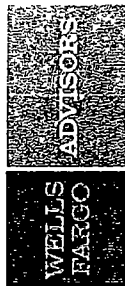


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EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST, #2230
LOS ANGELES CA 90067-2324

Your Financial Advisor :
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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
HSN INC / 404303109						
11/06/13	6.0000	08/16/12	315.51	265.92	49.59	SALE
12/02/13	1.0000	08/16/12	57.32	44.32	13.00	SALE
STAGE STORES INC / 85254C305						
12/02/13	13.0000	11/15/12	269.97	303.42	-33.45	SALE
TUESDAY MORNING CORP / 899035505						
NEW						
10/03/13	30.0000	09/13/12	440.69	185.00	255.69	SALE

TOTAL LONG TERM GAINS OR LOSSES FOR
COVERED SECURITIES

2,238.79 1,565.84 672.95

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
ALTIRESOURCE PORTFOLIO / L0175J104						
SOLUTIONS SA						
03/26/13	3.0000	10/27/11	207.20	119.16	88.04	SALE
06/03/13	5.0000	10/27/11	472.75	198.60	274.15	SALE
06/14/13	5.0000	10/27/11	485.09	198.60	286.49	SALE
07/30/13	2.0000	10/27/11	240.58	79.44	161.14	SALE
09/03/13	5.0000	10/27/11	655.06	198.60	456.46	SALE

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
AMERICAN VANGUARD CORP / 030371108						
03/26/13	5.0000	03/14/12	154.86	99.60	55.26	SALE
06/03/13	16.0000	03/14/12	486.95	318.72	168.23	SALE
06/14/13	9.0000	04/19/12	265.17	217.80	47.37	SALE
07/02/13	10.0000	04/19/12	232.22	242.00	-9.78	SALE
BLUCORA INC / 095229100						
02/07/13	54.0000	02/06/12	785.68	694.12	91.56	SALE
CAL-MAINE FOODS INC NEW / 128030202 4/84						
02/07/13	32.0000	10/27/11	1,321.25	1,078.08	243.17	SALE
CAMBREX CORP / 132011107						
02/07/13	38.0000	02/06/12	484.11	303.58	180.53	SALE
06/14/13	11.0000	02/06/12	154.99	87.87	67.12	TOTAL 2 LOTS
	4.0000	04/19/12	56.36	24.68	31.68	
	15.0000	Various	211.35	112.55	98.80	
	15.0000	04/19/12	220.28	92.55	127.73	
	2.0000	05/17/12	29.37	13.86	15.51	
07/30/13	17.0000	Various	249.65	106.41	143.24	TOTAL 2 LOTS
CYBERONICS INC / 23251P102						
06/03/13	3.0000	05/17/12	142.25	120.33	21.92	SALE
DXP ENTERPRISES INC / 233377407 COM NEW						
03/26/13	7.0000	03/14/12	524.40	302.12	222.28	SALE
	1.0000	03/14/12	59.41	43.16	16.25	



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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Amount	Additional Information
06/03/13	5.0000	05/17/12	297.09	234.65	62.44	
	6.0000	Various	356.50	277.81	78.69	SALE
						TOTAL 2 LOTS
EHEALTH INC / 28238P109						
02/07/13	22.0000	10/27/11	543.17	319.22	223.95	SALE
GERMAN AMERN BANCORP / 373865104						
02/07/13	21.0000	02/06/12	459.04	450.45	8.59	SALE
GRUPO SIMEC SA B DE CV / 400491106						
06/14/13	55.0000	04/19/12	730.39	544.50	185.89	SALE
07/31/13	13.0000	04/19/12	152.69	128.70	23.99	SALE
	7.0000	04/19/12	73.67	69.30	4.37	
	15.0000	05/17/12	157.89	136.05	21.84	
09/03/13	22.0000	Various	231.56	205.35	26.21	SALE
10/03/13	26.0000	05/17/12	296.21	235.82	60.39	SALE
	10.0000	05/17/12	107.29	90.70	16.59	
	10.0000	06/14/12	107.29	92.90	14.39	
11/06/13	20.0000	Various	214.58	183.60	30.98	SALE
12/02/13	6.0000	06/14/12	64.88	55.74	9.14	SALE
						TOTAL 2 LOTS
HIBBETT SPORTS INC / 428567101						
	5.0000	04/19/12	286.20	291.80	-5.60	
	4.0000	05/17/12	228.96	229.28	-0.32	
06/03/13	9.0000	Various	515.16	521.08	-5.92	SALE
						TOTAL 2 LOTS
INTERTAPE POLYMER GROUP / 460919103 INC						
	9.0000	04/19/12	107.61	46.80	60.81	

2013 SUMMARY AMENDMENT

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Amended Date: 2/21/14

Your Financial Advisor :
 SACKLER/ACKERMAN
 9665 WILSHIRE BLVD STE 1000
 10TH FLOOR
 BEVERLY HILLS CA 90212
 (310) 288-5535

EDWIN W PAULEY FOUNDATION
 1801 CENTURY PARK EAST, #2230
 LOS ANGELES CA 90067-2324

Account Number: 1071-1017

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
06/14/13	33.0000	05/17/12	394.58	213.18	181.40	
06/14/13	42.0000	Various	502.19	259.98	242.21	SALE
LIONS GATE ENTMT CORP / 535919203 NEW						TOTAL 2 LOTS
02/07/13	49.0000	10/27/11	926.57	378.60	547.97	SALE
MANHATTAN ASSOCIATES INC / 562750109						
02/07/13	10.0000	10/27/11	676.38	411.30	265.08	SALE
MYERS INDUSTRIES INC / 628464109						
03/26/13	7.0000	10/27/11	95.62	85.44	10.18	SALE
06/03/13	54.0000	10/27/11	794.74	659.06	135.68	SALE
NELNET INC / 64031N108						
02/07/13	4.0000	02/06/12	123.47	102.43	21.04	SALE
PAPA JOHNS INTL INC / 698813102						
06/03/13	5.0000	10/27/11	321.14	173.25	147.89	SALE
06/14/13	7.0000	10/27/11	462.76	242.55	220.21	SALE
07/02/13	2.0000	10/27/11	132.96	69.30	63.66	SALE
07/30/13	8.0000	10/27/11	531.36	277.20	254.16	SALE
PIER 1 IMPORTS INC / 720279108						
02/07/13	5.0000	02/06/12	110.64	84.75	25.89	SALE
03/26/13	17.0000	03/14/12	387.22	303.79	83.43	SALE
SHFL ENTERTAINMENT / 78423R105						
	11.0000	02/06/12	180.42	153.56	26.86	
	16.0000	03/14/12	262.44	269.76	-7.32	



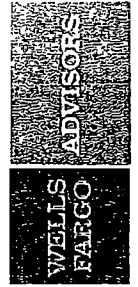
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2013 SUMMARY AMENDMENT

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Amended Date: 2/21/14



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 LOS ANGELES CA 90067-2324

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Year-End Account Summary

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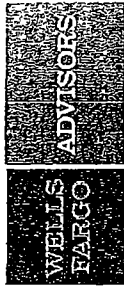
LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
03/26/13	27.0000	Various	442.86	423.32	19.54	SALE
TOTAL 2 LOTS						
STD MOTOR PRODUCTS COM / 853666105						
02/07/13	22.0000	02/06/12	471.45	496.76	-25.31	SALE
STURM RUGER & CO INC / 864159108						
02/07/13	14.0000	02/06/12	763.12	616.00	147.12	SALE
TARGA RESOURCES CORP / 87612G101						
06/03/13	3.0000	04/19/12	190.78	137.46	53.32	SALE
06/14/13	2.0000	04/19/12	131.94	91.64	40.30	SALE
07/30/13	1.0000	05/17/12	68.34	44.76	23.58	SALE
10/03/13	1.0000	05/17/12	73.61	44.76	28.85	SALE
12/02/13	1.0000	05/17/12	80.99	44.76	36.23	SALE
WRIGHT MEDICAL GROUP / 98235T107						
02/07/13	18.0000	10/27/11	391.12	321.12	70.00	SALE

TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES

18,162.01 12,580.21 5,581.80

*Box 2a. Sales price less commissions and option premiums

**2013 SUMMARY AMENDMENT**

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Amended Date: 2/21/14

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EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST, #2230
LOS ANGELES CA 90067-2324

Account Number: 6513-1664

Year-End Account Summary

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For noncovered transactions, we provide supplemental cost basis information, when it is available, to assist you with completing your tax return, however, THIS INFORMATION IS NOT PROVIDED TO THE INTERNAL REVENUE SERVICE.

**SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013**

8-Description / CUSIP	1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
EXELON CORPORATION / 30161N101	08/20/13	21.0000	02/06/13	632.89	640.92	-8.03	SALE
HEINZ H J CO COMMON / 423074103	06/10/13	1.0000	02/06/13	72.50	60.62	11.88	MERGER
REGAL ENTERTAINMENT GRP / 758766109	05/23/13	36.0000	12/20/12	671.08	508.77	162.31	SALE
SCIENCE APPLICATIONS / 808625107	09/27/13	0.4285	Various	14.66	11.40	3.26	CASH IN LIEU
VERIFONE SYSTEMS INC / 92342Y109	02/06/13	2.0000	01/23/13	69.56	69.34	0.22	SALE
	07/02/13	57.0000	01/23/13	948.00	1,976.16	-1,028.16	SALE
TOTAL SHORT TERM GAINS OR LOSSES FOR COVERED SECURITIES						2,408.69	3,267.21
							-858.52

2013 SUMMARY AMENDMENT

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 1801 CENTURY PARK EAST, #2230
 LOS ANGELES CA 90067-2324

Account Number: 6513-1664

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
NEXEN INC / 65334H102	40.0000	03/06/12	1,100.00	774.17	325.83 MERGER
03/01/13					

TOTAL SHORT TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES

1,100.00 774.17 325.83

LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
ANNALY CAPITAL MANAGEMENT / 035710409	20.0000	08/06/12	203.73	335.71	-131.98 SALE
INC REIT					
12/10/13					

TOTAL LONG TERM GAINS OR LOSSES FOR COVERED SECURITIES

203.73 335.71 -131.98

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount Additional Information
AMERICAN CAPITAL AGENCY / 02503X105	7.0000	06/08/11	220.71	214.55	6.16 SALE
CORP	23.0000	06/08/11	587.31	704.95	-117.64
02/06/13	13.0000	06/23/11	331.97	371.16	-39.19
	36.0000	Various	919.28	1,076.11	-156.83 SALE
06/03/13					

TOTAL 2 LOTS



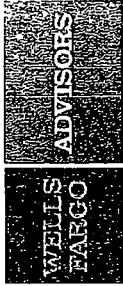
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2013 SUMMARY AMENDMENT

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Amended Date: 2/21/14



EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST, #2230
LOS ANGELES CA 90067-2324

Your Financial Advisor :
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Account Number: 6513-1664

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
11/21/13	17.0000	06/23/11	348.74	485.36	-136.62	SALE
ANALOG DEVICES INC / 032654105						
02/06/13	16.0000	12/02/09	715.50	486.88	228.62	SALE
02/25/13	29.0000	12/02/09	1,325.58	882.47	443.11	SALE
ANNALY CAPITAL MANAGEMENT / 035710409						
INC REIT						
02/06/13	3.0000	10/20/08	44.52	37.93	6.59	SALE
09/24/13	108.0000	10/20/08	1,316.26	1,365.47	-49.21	SALE
	17.0000	10/20/08	173.16	214.93	-41.77	
	5.0000	02/10/09	50.93	75.84	-24.91	
	25.0000	08/19/09	254.65	425.14	-170.49	
	100.0000	09/29/09	1,018.60	1,815.25	-796.65	
	40.0000	02/04/10	407.44	709.67	-302.23	
	15.0000	09/22/10	152.79	270.11	-117.32	
12/10/13	202.0000	Various	2,057.57	3,510.94	-1,453.37	SALE
TOTAL 6 LOTS						
AVERY DENNISON CORP / 053611109						
02/06/13	28.0000	01/21/11	1,081.62	1,159.45	-77.83	SALE
BABCOCK & WILCOX CO / 05615F102						
	9.6720	12/22/09	257.26	221.19	36.07	
	27.3280	05/06/10	726.92	627.00	99.92	
02/06/13	37.0000	Various	984.18	848.19	135.99	SALE
TOTAL 2 LOTS						
CABLEVISION SYSTEMS / 12686C109						
NY GROUP CL A NEW						
02/06/13	9.0000	06/14/06	131.67	108.57	23.10	SALE

2013 SUMMARY AMENDMENT

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Amended Date: 2/21/14

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EDWIN W PAULEY FOUNDATION
 1801 CENTURY PARK EAST, #2230
 LOS ANGELES CA 90067-2324

Account Number: 6513-1664

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013 continued

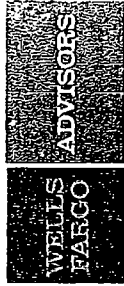
8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
07/30/13	31.0000	06/14/06	582.35	373.96	208.39	SALE
07/31/13	46.0000	06/14/06	866.74	554.90	311.84	SALE
	69.0000	06/14/06	1,173.04	832.34	340.70	
	5.0000	04/14/08	85.00	66.96	18.04	
	15.0000	10/16/08	255.01	148.21	106.80	
	55.0000	08/25/11	935.05	935.11	-0.06	
10/02/13	144.0000	Various	2,448.10	1,982.62	465.48	SALE
TOTAL 4 LOTS						
CHUBB CORP / 171232101						
02/06/13	8.0000	08/04/09	662.74	386.00	276.74	SALE
DUKE ENERGY CORP / 26441C204						
COM NEW						
02/06/13	11.0000	06/14/06	753.37	538.38	214.99	SALE
05/31/13	8.0000	06/14/06	545.10	391.54	153.56	SALE
ENTERGY CORP NEW / 29364G103						
02/06/13	4.0000	09/15/08	257.11	378.80	-121.69	SALE
EXELON CORPORATION / 30161N101						
07/09/13	28.0000	10/07/11	858.79	1,128.27	-269.48	SALE
	41.7500	10/07/11	1,258.21	1,682.33	-424.12	
	23.2500	11/21/11	700.68	981.09	-280.41	
08/20/13	65.0000	Various	1,958.89	2,663.42	-704.53	SALE
TOTAL 2 LOTS						
FIRST AMERICAN FINL CORP / 31847R102						
02/06/13	75.0000	02/17/11	1,796.21	1,225.11	571.10	SALE



2013 SUMMARY AMENDMENT

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EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST, #2230
LOS ANGELES CA 90067-2324

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10TH FLOOR
BEVERLY HILLS CA 90212
(310) 288-5535

Account Number: 6513-1664

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013 *continued*

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
GRACE W R & CO / 36388F108 DEL NEW						
12/13/13	5.0000	12/14/11	460.12	204.46	255.66	SALE
12/16/13	5.0000	12/14/11	463.77	204.46	259.31	SALE
HCP INC / 40414L109						
02/06/13	10.0000	06/14/06	462.69	243.48	219.21	SALE
HEALTH CARE REIT INC / 42217K106 REIT						
02/06/13	6.0000	06/14/06	370.20	183.06	187.14	SALE
HEINZ H J CO COMMON / 423074103						
	25.0000	11/17/09	1,812.50	1,058.36	754.14	
	55.0000	12/02/09	3,987.50	2,388.80	1,598.70	
	20.0000	04/13/12	1,450.00	1,054.22	395.78	
06/10/13	100.0000	Various	7,250.00	4,501.38	2,748.62	MERGER
HUDSON CITY BANCORP INC / 443683107						TOTAL 3 LOTS
	135.0000	11/03/10	1,169.73	1,553.35	-383.62	
	9.0000	01/19/11	77.99	112.06	-34.07	
02/06/13	144.0000	Various	1,247.72	1,665.41	-417.69	SALE
06/13/13	116.0000	01/19/11	961.32	1,444.27	-482.95	SALE
M & T BANK CORP / 55261F104						TOTAL 2 LOTS
02/06/13	3.0000	10/12/11	311.15	227.85	83.30	SALE
MATTEL INCORPORATED / 577081102						
02/06/13	32.0000	03/11/10	1,254.05	729.68	524.37	SALE

2013 SUMMARY AMENDMENT

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EDWIN W PAULEY FOUNDATION
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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
 Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
MCKESSON CORPORATION / 58155Q103	15.0000	04/07/10	1,535.66	993.42	542.24	
	1.0000	05/17/10	102.38	67.71	34.67	
02/06/13	16.0000	Various	1,638.04	1,061.13	576.91	SALE
						TOTAL 2 LOTS
NEXEN INC / 65334H102	41.0000	08/16/11	1,093.86	845.58	248.28	SALE
02/06/13	54.0000	08/16/11	1,485.00	1,113.67	371.33	
	30.0000	11/17/11	925.00	489.12	335.88	
03/01/13	84.0000	Various	2,310.00	1,602.79	707.21	MERGER
						TOTAL 2 LOTS
NISOURCE INC / 65473P105	26.0000	11/19/07	694.70	466.50	228.20	SALE
02/06/13	45.0000	11/19/07	1,241.88	807.39	434.49	SALE
06/21/13						
OLD REPUBLIC INTL CORP / 680223104	10.0000	06/14/06	115.70	201.90	-86.20	SALE
02/06/13						
OMNICOM GROUP / 681919106	12.0000	04/23/10	650.99	517.69	133.30	SALE
02/06/13	3.0000	04/23/10	195.82	129.42	66.40	
	23.0000	05/17/10	1,501.33	946.54	554.79	
07/29/13	26.0000	Various	1,697.15	1,075.96	621.19	SALE
						TOTAL 2 LOTS
ROCKWELL COLLINS / 774341101	16.0000	07/14/08	950.86	754.88	195.98	SALE
02/06/13						
SCANA CORP COM / 80589M102	5.0000	02/11/09	233.89	171.08	62.81	
	15.0000	04/13/09	701.69	459.54	242.15	



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Amended Date: 2/21/14



EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST, #2230
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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Amount	Additional Information
02/06/13	20.0000	Various	935.58	630.62	304.96	SALE
05/22/13	2.0000	04/13/09	106.90	61.28	45.62	SALE
05/31/13	37.0000	04/13/09	1,884.03	1,133.52	750.51	SALE
	46.0000	04/13/09	2,120.53	1,409.24	711.29	
	5.0000	12/22/09	230.50	188.52	41.98	
10/11/13	51.0000	Various	2,351.03	1,597.76	753.27	SALE
TOTAL 2 LOTS						
SEMPRA ENERGY / 818851109						
02/06/13	23.0000	06/14/06	1,735.08	997.51	737.57	SALE
11/21/13	16.0000	06/14/06	1,444.78	693.92	750.86	SALE
SPDR GOLD TRUST ET / 78463V107						
	9.0000	07/08/08	1,112.19	813.32	298.87	
	20.0000	10/14/09	2,471.55	2,083.29	388.26	
06/20/13	29.0000	Various	3,583.74	2,896.61	687.13	SALE
TOTAL 2 LOTS						
XEROX CORP / 984121103						
	28.8920	06/14/06	259.07	244.68	14.39	
	64.1070	11/29/07	574.85	542.91	31.94	
	110.0010	03/04/10	986.40	1,047.93	-61.53	
05/20/13	203.0000	Various	1,820.32	1,835.52	-15.20	SALE
TOTAL 3 LOTS						
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES						
			56,910.69	46,383.53	10,527.16	

2013 SUMMARY AMENDMENT

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Amended Date: 2/21/14

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1801 CENTURY PARK EAST, #2230
LOS ANGELES CA 90067-2324

Account Number : 6513-1664

Year-End Account Summary

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UNKNOWN TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
SPDR GOLD TRUST ET / 78463V107						
02/21/13	29.0000	N/A	1.57	N/A	N/A	PRINCIPAL PAYMENT Cost Basis Allocation Factor 0 000355489
03/12/13	29.0000	N/A	1.53	N/A	N/A	PRINCIPAL PAYMENT; Cost Basis Allocation Factor 0 000341809
04/09/13	29.0000	N/A	1.57	N/A	N/A	PRINCIPAL PAYMENT Cost Basis Allocation Factor 0 000353866
05/10/13	29.0000	N/A	1.62	N/A	N/A	PRINCIPAL PAYMENT Cost Basis Allocation Factor 0 000403935
06/17/13	29.0000	N/A	1.41	N/A	N/A	PRINCIPAL PAYMENT Cost Basis Allocation Factor 0 000364055

TOTAL UNKNOWN TERM GAINS OR LOSSES FOR
NONCOVERED SECURITIES

7.70 0.00 0.00

*Box 2a Sales price less commissions and option premiums

For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss columns, or Cost column. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss. Please note that the totals will include any available cost or proceeds, even if the Gain/Loss is marked N/A.



Morgan Stanley

Corporate Tax Statement Tax Year 2013

EDWIN W/ PAULEY FOUNDATION
(O'SHAUGHNESSY SMALL GROWTH)
1801 CENTURY PARK EAST STE 2230
LOS ANGELES CA 90067-2324

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 95-4332470
Account Number 197 126958 723

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1d)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
STAGE STORES INC	14,000	11/15/12	01/18/13	\$324.23	\$326.76	\$0.00	(\$2.53)	\$0.00
CUSIP: 85254C305 Symbol (Box 1d): SSI				\$324.23	\$326.76	\$0.00	(\$2.53)	\$0.00
Total Short Term Covered Securities								

Morgan Stanley

Corporate Tax Statement Tax Year 2013

EDWIN W PAULEY FOUNDATION
(O'SHAUGHNESSY SMALL GROWTH)
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LOS ANGELES CA 90067-2324

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
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Account Number 197 126958 723
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8849 Part I with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ALTISOURCE ASSET MGMT CORP COM	2,000	12/26/12	01/18/13	\$210.59	\$156.50	\$0.00	\$54.09	\$0.00
ALTISOURCE RESIDENTI CORP CL B	7,000	12/26/12	01/18/13	\$125.01	\$105.18	\$0.00	\$19.83	\$0.00
BANK OF THE OZARKS INC	21,000	02/06/12	01/18/13	\$745.69	\$609.84	\$0.00	\$135.85	\$0.00
	7,000	04/19/12	01/18/13	\$248.56	\$213.78	\$0.00	\$34.78	\$0.00
	1,000	05/17/12	01/18/13	\$35.51	\$29.93	\$0.00	\$5.58	\$0.00
Security Subtotal	29,000			\$1,029.76	\$853.55	\$0.00	\$176.21	\$0.00
CITIZENS INC CL A	67,000	03/14/12	01/18/13	\$642.43	\$690.77	\$0.00	(\$48.34)	\$0.00
	42,000	04/19/12	01/18/13	\$402.71	\$385.14	\$0.00	\$17.57	\$0.00
Security Subtotal	109,000			\$1,045.14	\$1,075.91	\$0.00	(\$30.77)	\$0.00
GENESCO INC	3,000	04/19/12	01/18/13	\$179.75	\$224.73	\$0.00	(\$44.98)	\$0.00
HEARTLAND PAYMENT SYS INC	15,000	03/14/12	01/18/13	\$441.59	\$446.10	\$0.00	(\$4.51)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

EDWIN W PAULEY FOUNDATION
(O'SHAUGHNESSY SMALL GROWTH)
1801 CENTURY PARK EAST STE 2230
LOS ANGELES CA 90067-2324

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number: 95-4332470
Account Number 197 126958 723

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8948 Part I with box B checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
MAGUACK VOCALTEC LTD		CUSIP: M6787E101	Symbol (Box 1d): CALL					
	7.000	03/14/12	01/18/13	\$106.75	\$174.93	\$0.00	(\$68.18)	\$0.00
	10.000	04/19/12	01/18/13	\$152.49	\$233.20	\$0.00	(\$80.71)	\$0.00
	13.000	05/17/12	01/18/13	\$198.24	\$241.80	\$0.00	(\$43.56)	\$0.00
	30.000			\$457.48	\$649.93	\$0.00	(\$192.45)	\$0.00
Security Subtotal				\$3,489.32	\$3,511.90	\$0.00	(\$22.58)	\$0.00
Total Short Term Noncovered Securities								
Total Short Term Covered and Noncovered Securities				\$3,813.55	\$3,838.66	\$0.00	(\$25.11)	\$0.00

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

EDWIN W PAULEY FOUNDATION
(O'SHAUGHNESSY SMALL GROWTH)
1801 CENTURY PARK EAST STE 2230
LOS ANGELES CA 90067-2324

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number. 26-4310632

Taxpayer ID Number 95-4332470

Account Number 197 126958 723

Customer Service. 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ALTISOURCE PORTFOLIO SOLUTIONS	CUSIP: L0175J104	Symbol (Box 1d): ASPS						
	3 000	10/27/11	01/18/13	\$277 64	\$119 16	\$0 00	\$158 48	\$0 00
CHURCHILL DOWNS INC	CUSIP: 171484108	Symbol (Box 1d): CHDN						
	12 000	10/27/11	01/18/13	\$797 86	\$569 68	\$0 00	\$238 18	\$0 00
GENESCO INC	CUSIP: 371532102	Symbol (Box 1d): GCO						
	8 000	10/27/11	01/18/13	\$479 35	\$477 68	\$0 00	\$1 67	\$0 00
HLTH CARE SVC GRP	CUSIP: 421906106	Symbol (Box 1d): HCSG						
	37 000	10/27/11	01/18/13	\$894 26	\$649 72	\$0 00	\$244 54	\$0 00
LIONS GATE ENTERTAINMENT CORP	CUSIP: 535619203	Symbol (Box 1d): LGF						
	13 000	10/27/11	01/18/13	\$234 51	\$100 44	\$0 00	\$134 07	\$0 00
MYERS INDUSTRIES	CUSIP: 628464109	Symbol (Box 1d): MYE						
	7 000	10/27/11	01/18/13	\$104 36	\$85 44	\$0 00	\$18 92	\$0 00
PAPA JOHNS INTL INC	CUSIP: 698813102	Symbol (Box 1d): PZZA						
	1 000	10/27/11	01/18/13	\$54 98	\$34 65	\$0 00	\$20 33	\$0 00
TYLER TECHNOLOGIES INC	CUSIP: 902252105	Symbol (Box 1d): TYL						
	4 000	10/12/11	01/18/13	\$202 47	\$110 96	\$0 00	\$91 51	\$0 00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

EDWIN W PAULEY FOUNDATION
(O'SHAUGHNESSY SMALL GROWTH)
1801 CENTURY PARK EAST STE 2230
LOS ANGELES CA 90067-2324

Identification Number 26-4310632

Taxpayer ID Number: 95-4332470

Account Number 197 126958 723

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
WRIGHT MED GRP INC	2,000	10/27/11	01/18/13	\$43.15	\$35.68	\$0.00	\$7.47	\$0.00
Total Long Term Noncovered Securities				\$3,088.58	\$2,173.41	\$0.00	\$915.17	\$0.00
Total Short and Long Term, Covered and Noncovered Securities				\$6,902.13	\$6,012.07	\$0.00	\$890.06	\$0.00
Form 1099-B Total Reportable Amounts								
Total Sales Price (Box 2a)				\$6,902.13				
Total Cost or Other Basis (Box 3)					\$326.76			
Total Wash Sale Loss Disallowed (Box 5)						\$0.00		
Total Fed Tax Withheld (Box 4)								\$0.00

Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.

Morgan Stanley

Corporate Tax Statement Tax Year 2013

EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST STE 2230
LOS ANGELES CA 90067-2324

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number 26-4310632

Taxpayer ID Number 95-4332470

Account Number 197 126959 723

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8849 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
LI & FUNG LTD UNSPON ADR	144 000	07/25/12	01/15/13	\$415.05	\$509.08	\$0.00	(\$94.03)	\$0.00
Total Short Term Covered Securities				\$415.05	\$509.08	\$0.00	(\$94.03)	\$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST STE 2230
LOS ANGELES CA 90067-2324

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number 26-4310632

Taxpayer ID Number 95-4332470
Account Number 197 126959 723

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8849 Part I with box 8 checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ARM HOLDINGS PLC ADS								
	10,000	04/19/12	01/02/13	\$388.13	\$287.59	\$0.00	\$100.54	\$0.00
ASML HOLDING NV NY REG NEW								
	0.000		03/25/13	\$0.89	\$0.00	\$0.00	\$0.00	\$0.00
BG GROUP PLC								
	44,000	04/19/12	01/02/13	\$731.10	\$983.52	\$0.00	(\$262.42)	\$0.00
	109,000	04/19/12	01/03/13	\$1,793.63	\$2,481.22	\$0.00	(\$687.59)	\$0.00
Security Subtotal	153,000			\$2,524.73	\$3,464.74	\$0.00	(\$939.01)	\$0.00
EMBRAER S A ADR								
	13,000	04/19/12	01/24/13	\$405.71	\$456.51	\$0.00	(\$50.80)	\$0.00
FLSMIDTH & CO A/S SPONS ADR								
	8,000	04/19/12	01/02/13	\$47.20	\$53.36	\$0.00	(\$6.16)	\$0.00
	79,000	04/19/12	01/03/13	\$458.50	\$526.93	\$0.00	(\$68.43)	\$0.00
	98,000	04/19/12	01/04/13	\$586.30	\$653.66	\$0.00	(\$67.36)	\$0.00
	122,000	04/19/12	01/07/13	\$710.24	\$813.74	\$0.00	(\$103.50)	\$0.00
	33,000	04/19/12	01/08/13	\$193.12	\$220.11	\$0.00	(\$26.99)	\$0.00
Security Subtotal	340,000			\$1,975.36	\$2,267.80	\$0.00	(\$292.44)	\$0.00
KODI CORP UNSPON ADR								
	133,000	04/19/12	01/23/13	\$2,288.67	\$2,108.05	\$0.00	\$180.62	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

EDWIN W PAULEY FOUNDATION
1801 CENTURY PARK EAST STE 2230
LOS ANGELES CA 90067-2324

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number 95-4332470
Account Number 197 126959 723
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
LI & FUNG LTD UNSPON ADR								
		CUSIP: 501897102	Symbol (Box 1d): LFUGY					
	198.000	04/19/12	01/03/13	\$727.81	\$847.44	\$0.00	(\$119.63)	\$0.00
	433.000	04/19/12	01/15/13	\$1,248.05	\$1,853.24	\$0.00	(\$605.19)	\$0.00
Security Subtotal	631.000			\$1,975.86	\$2,700.68	\$0.00	(\$724.82)	\$0.00
Total Short Term Noncovered Securities				\$9,559.35	\$11,275.37	\$0.00	(\$1,716.91)	\$0.00
Total Short Term Covered and Noncovered Securities				\$9,974.40	\$11,784.45	\$0.00	(\$1,810.94)	\$0.00
Form 1099-B Total Reportable Amounts								
Total Sales Price (Box 2a)				\$9,974.40				
Total Cost or Other Basis (Box 3)					\$509.08			
Total Wash Sale Loss Disallowed (Box 5)					\$0.00			
Total Fed Tax Withheld (Box 4)								\$0.00

Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.

Morgan Stanley

Corporate Tax Statement Tax Year 2013

EDWIN W PAULEY FOUNDATION
(TCW)
1801 CENTURY PARK EAST STE 2230
LOS ANGELES CA 90067-2324

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 95-4332470
Account Number 197 126960 723
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
DELL INC								
	CUSIP: 24702R101	Symbol (Box 1d): DELL						
	8 000	05/29/09	01/14/13	\$97.78	\$91.56	\$0.00	\$6.22	\$0.00
	19 000	06/23/09	01/14/13	\$232.22	\$247.19	\$0.00	(\$14.97)	\$0.00
	16 000	08/12/10	01/14/13	\$196.65	\$193.60	\$0.00	\$1.95	\$0.00
	28 000	02/23/11	01/14/13	\$342.21	\$424.19	\$0.00	(\$81.98)	\$0.00
	16 000	02/23/11	01/15/13	\$199.55	\$242.40	\$0.00	(\$42.85)	\$0.00
	14 000	03/11/11	01/15/13	\$174.61	\$213.02	\$0.00	(\$38.41)	\$0.00
	6 000	03/31/11	01/15/13	\$74.83	\$86.94	\$0.00	(\$12.11)	\$0.00
	19 000	03/31/11	01/16/13	\$240.12	\$276.30	\$0.00	(\$35.18)	\$0.00
	25 000	04/01/11	01/16/13	\$315.95	\$360.01	\$0.00	(\$44.06)	\$0.00
	11 000	10/07/11	01/16/13	\$139.02	\$167.86	\$0.00	(\$28.84)	\$0.00
	11 000	11/16/11	01/16/13	\$139.02	\$169.07	\$0.00	(\$30.05)	\$0.00
	9 000	12/15/11	01/16/13	\$113.74	\$135.45	\$0.00	(\$21.71)	\$0.00
	3 000	12/27/11	01/16/13	\$37.81	\$44.66	\$0.00	(\$6.75)	\$0.00
Security Subtotal	185,000			\$2,302.51	\$2,661.25	\$0.00	(\$348.74)	\$0.00
HOME DEPOT INC								
	CUSIP: 437076102	Symbol (Box 1d): HD						
	8 000	03/17/09	01/09/13	\$504.39	\$169.55	\$0.00	\$334.84	\$0.00
LENNAR CORPORATION								
	CUSIP: 526057104	Symbol (Box 1d): LEN						
	6,000	07/10/09	01/14/13	\$244.29	\$49.46	\$0.00	\$194.83	\$0.00
STATE STREET CORP								
	CUSIP: 857477103	Symbol (Box 1d): STT						
	4,000	04/23/10	01/22/13	\$219.64	\$177.87	\$0.00	\$41.77	\$0.00
	2,000	06/14/10	01/22/13	\$109.82	\$175.92	\$0.00	\$33.90	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

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Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities [#] (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
Security Subtotal	6,000			\$329.46	\$253.79	\$0.00	\$75.67	\$0.00
Total Long Term Noncovered Securities				\$3,380.65	\$3,124.05	\$0.00	\$256.60	\$0.00
Form 1099-B Total Reportable Amounts								
Total Sales Price (Box 2a)				\$3,380.65				
Total Cost or Other Basis (Box 3)					\$0.00			
Total Wash Sale Loss Disallowed (Box 5)						\$0.00		
Total Fed Tax Withheld (Box 4)								\$0.00

[#] Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

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1801 CENTURY PARK EAST STE 2230
LOS ANGELES CA 90067-2324

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 95-4332470
Account Number: 197 126962 723
Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ALLIANZ FIX INC SHRS- SERIES M	605,000	03/18/12	01/28/13	\$6,769.95	\$6,394.85	\$0.00	\$375.10	\$0.00
FNMA POOL AB4297 3500 42JA01								
	34,000,000	01/10/12	01/09/13	\$29,249.59	\$28,393.34	\$0.00	\$856.25	\$0.00
FNMA POOL AB5468 3500 42JN01								
	18,000,000	06/07/12	01/09/13	\$16,799.47	\$16,601.76	\$0.00	\$197.71	\$0.00
FNMA POOL AB5670 3500 42JL01								
	17,000,000	10/05/12	01/09/13	\$17,098.91	\$17,234.57	\$0.00	(\$135.66)	\$0.00
Total Short Term Noncovered Securities				\$68,917.92	\$68,624.52	\$0.00	\$1,293.40	\$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

EDWIN W PAULEY FOUNDATION
(PIMCO)
1801 CENTURY PARK EAST STE 2230
LOS ANGELES CA 90067-2324

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number: 95-4332470
Account Number: 197 126962 723
Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 018828304 Symbol (Box 1d): FXIMX								
ALLIANZ FIX INC SHRS: SERIES M	14,185,000	02/28/08	01/28/13	\$158,730.15	\$154,758.35	\$0.00	\$3,971.80	\$0.00
	5,520,000	11/11/08	01/28/13	\$61,768.80	\$48,686.40	\$0.00	\$13,082.40	\$0.00
	1,114,000	01/05/11	01/28/13	\$12,465.66	\$11,373.94	\$0.00	\$1,091.72	\$0.00
	2,882,000	02/23/11	01/28/13	\$32,025.28	\$29,478.60	\$0.00	\$2,546.68	\$0.00
Security Subtotal	23,681,000			\$264,990.39	\$244,287.29	\$0.00	\$20,693.10	\$0.00
CUSIP: 018828205 Symbol (Box 1d): FXICX								
ALLIANZ FX INC SHRS: SERIES C	14,155,000	02/28/08	01/28/13	\$191,234.05	\$184,772.80	\$0.00	(\$3,538.75)	\$0.00
	1,390,000	05/07/10	01/28/13	\$18,778.90	\$18,014.40	\$0.00	\$764.50	\$0.00
	1,341,000	01/04/11	01/28/13	\$18,116.91	\$17,111.16	\$0.00	\$1,005.75	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

EDWIN W PAULEY FOUNDATION
(PIMCO)
1801 CENTURY PARK EAST STE 2230
LOS ANGELES CA 90067-2324

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 95-4332470
Account Number 197 126962 723
Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ALLIANZ FX INC SHRS SERIES C	2,187,000	02/23/11	01/28/13	\$29,546.37	\$28,037.34	\$0.00	\$1,509.03	\$0.00
	1,300,000	10/04/11	01/28/13	\$17,563.00	\$15,808.00	\$0.00	\$1,755.00	\$0.00
Security Subtotal	20,373,000			\$275,239.23	\$273,743.70	\$0.00	\$1,495.53	\$0.00
Total Long Term Noncovered Securities				\$540,229.62	\$518,040.99	\$0.00	\$22,188.63	\$0.00
Total Short and Long Term, Covered and Noncovered Securities				\$610,147.54	\$586,665.51	\$0.00	\$23,482.03	\$0.00

Form 1099-B Total Reportable Amounts

Total Sales Price (Box 2a)	\$610,147.54
Total Cost or Other Basis (Box 3)	\$0.00
Total Wash Sale Loss Disallowed (Box 5)	\$0.00
Total Fed Tax Withheld (Box 4)	\$0.00

Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

EDWIN W PAULEY FOUNDATION
(RENAISSANCE)
1801 CENTURY PARK EAST STE 2230
LOS ANGELES CA 90067-2324

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632
Taxpayer ID Number 95-4332470
Account Number 197 127020 723
Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
DISCOVERY COMMUNICATIONS SER A	76 000	02/29/12	01/11/13	\$5,155.47	\$3,529.74	\$0.00	\$1,625.73	\$0.00
Total Short Term Noncovered Securities				\$5,155.47	\$3,529.74	\$0.00	\$1,625.73	\$0.00

Form 1099-B Total Reportable Amounts

Total Sales Price (Box 2a)	\$5,155.47
Total Cost or Other Basis (Box 3)	\$0.00
Total Wash Sale Loss Disallowed (Box 5)	\$0.00
Total Fed Tax Withheld (Box 4)	\$0.00

* Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.

Morgan Stanley

Corporate Tax Statement Tax Year 2013

EDWIN W PAULEY FOUNDATION
(ANCHOR)
1801 CENTURY PARK EAST STE 2230
LOS ANGELES CA 90067-2324

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number: 26-4310632

Taxpayer ID Number 95-4332470
Account Number 197 126957 723

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities [#] (Consider Box 8a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
SPDR GOLD TR GOLD SHS	0.000	CUSIP: 78463V107	Symbol (Box 1d):	\$1.52	\$0.00	\$0.00	\$0.00	\$0.00	CT
			01/07/13	\$1.52	\$0.00	\$0.00	\$0.00	\$0.00	
Total Short Term Noncovered Securities				\$1.52	\$0.00	\$0.00	\$0.00	\$0.00	

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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092891 MS13QA01 579288

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

EDWIN W PAULEY FOUNDATION
(ANCHOR)
1801 CENTURY PARK EAST STE 2230
LOS ANGELES CA 90067-2324

26-4310632

Taxpayer ID Number 95-4332470
Account Number 197 126957 723

Customer Service: 866-324-6088

This Information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

OMB NO. 1545-0715

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	Symbol (Box 1d):	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
SPDR GOLD TR GOLD SHS		CUSIP: 78463V107		Symbol (Box 1d): GLD						
	5 000	06/14/06	01/04/13		\$795.10	\$276.11	\$0.00	\$518.99	\$0.00	
	21.000	07/08/08	01/04/13		\$3,339.40	\$1,897.78	\$0.00	\$1,441.64	\$0.00	
Security Subtotal	26.000				\$4,134.50	\$2,173.87	\$0.00	\$1,960.63	\$0.00	
XCEL ENERGY INC		CUSIP: 98389B100		Symbol (Box 1d): XEL						
	42.000	09/11/07	01/22/13		\$1,139.44	\$880.26	\$0.00	\$259.18	\$0.00	
Total Long Term Noncovered Securities					\$5,273.94	\$3,064.13	\$0.00	\$2,219.81	\$0.00	
Total Short and Long Term, Covered and Noncovered Securities					\$5,275.46	\$3,064.13	\$0.00	\$2,219.81	\$0.00	

Form 1099-B Total Reportable Amounts

Total Sales Price (Box 2a)	\$5,275.46
Total Cost or Other Basis (Box 3)	\$0.00
Total Wash Sale Loss Disallowed (Box 5)	\$0.00
Total Fed Tax Withheld (Box 4)	\$0.00

* Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS

CT The proceeds amount is the sales price of the bullion sold by the Trust to pay investment expenses. Proceeds from the sale of bullion are reported on Form 1099-B

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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092891 MS130A01 579289

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

Department of the Treasury
Internal Revenue Service

► File a separate application for each return.
► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number

Type or print	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	THE EDWIN W. PAULEY FOUNDATION	95-4332470
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P.O. box, see instructions	Social security number (SSN)
	1801 CENTURY PARK EAST, NO. 2230	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	LOS ANGELES, CA 90067	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

THE FOUNDATION - 1801 CENTURY PARK EAST SUITE 2230 - LOS

- The books are in the care of ► **ANGELES, CA 90067**

Telephone No ► **310-557-0008**

Fax No ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☒ calendar year **2013** or
- ☐ tax year beginning _____, and ending _____

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	3,314.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868
- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions.

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions		Employer identification number (EIN) or
	THE EDWIN W. PAULEY FOUNDATION		95-4332470
	Number, street, and room or suite no. If a P.O. box, see instructions.		Social security number (SSN)
	1801 CENTURY PARK EAST, NO. 2230		
	City, town or post office, state, and ZIP code. For a foreign address, see instructions		
	LOS ANGELES, CA 90067		

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

THE FOUNDATION - 1801 CENTURY PARK EAST SUITE 2230 - LOS

- The books are in the care of **ANGELES, CA 90067**

Telephone No **310-557-0008**Fax No

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2014**5 For calendar year **2013**, or other tax year beginning , and ending 6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return☐ Change in accounting period

7 State in detail why you need the extension

AN ADDITIONAL EXTENSION IS NEEDED AS ALL THIRD PARTY TAX INFORMATION HAS NOT YET BEEN RECEIVED.

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	3,314.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature **Jennifer A. Aulitz** Title **CERTIFIED PUBLIC ACCOUNTANT** Date **8/11/14**

Form 8868 (Rev 1-2014)

8868

(Rev. January 2014)

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

Department of the Treasury
Internal Revenue Service

► File a separate application for each return.

► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print	Name of exempt organization or other filer, see instructions.	Enter filer's identifying number
	THE EDWIN W. PAULEY FOUNDATION	Employer identification number (EIN) or 95-4332470
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	1801 CENTURY PARK EAST, NO. 2230	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	LOS ANGELES, CA 90067	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

THE FOUNDATION - 1801 CENTURY PARK EAST SUITE 2230 - LOS

- The books are in the care of ► ANGELES, CA 90067

Telephone No. ► 310-557-0008

Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2014, to file the exempt organization return for the organization named above. The extension is for the organization's return for.
- ☒ calendar year 2013 or
- ☐ tax year beginning _____, and ending _____

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	3,314.
c	Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see instructions.
323841
12-31-13

Form 8868 (Rev. 1-2014)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	THE EDWIN W. PAULEY FOUNDATION	95-4332470
	Number, street, and room or suite no. If a P.O. box, see instructions	Social security number (SSN)
	1801 CENTURY PARK EAST, NO. 2230	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	LOS ANGELES, CA 90067	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

THE FOUNDATION - 1801 CENTURY PARK EAST SUITE 2230 - LOS

- The books are in the care of ☒ ANGELES, CA 90067

Telephone No ☒ 310-557-0008

Fax No ☐

- If the organization does not have an office or place of business in the United States, check this box ☐

If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until NOVEMBER 15, 2014.

5 For calendar year 2013, or other tax year beginning , and ending .

6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

7 State in detail why you need the extension

AN ADDITIONAL EXTENSION IS NEEDED AS ALL THIRD PARTY TAX INFORMATION HAS NOT YET BEEN RECEIVED.

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	3,314.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ☒ Jennifer A. Aulitzke Title ☒ CERTIFIED PUBLIC ACCOUNTANT Date ☒ 8/11/14

Form 8868 (Rev 1-2014)