Form 990-PF | Department of the Treasury Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052 **2013** Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation: LEE GRAFF FOUNDATION

A Employer identification number: 95-4252561

Number and street (or P O box number if mail is not delivered to street address): 1515 E. 15TH STREET | Room/suite

B Telephone number: 213-749-0171

City or town, state or province, country, and ZIP or foreign postal code: LOS ANGELES, CA 90021

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1. Foreign organizations, check here ▶ [ ]

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 3,040,213.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	10,772.	10,772.		STATEMENT 1
	4 Dividends and interest from securities	36,152.	36,152.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	250,141.			
	b Gross sales price for all assets on line 6a 1,684,406.				
	7 Capital gain net income (from Part IV, line 2)		250,141.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	297,065.	297,065.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	42,000.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 3	6,000.	0.		0.
	c Other professional fees STMT 4	31,196.	31,196.		0.
	17 Interest				
	18 Taxes STMT 5	2,201.	988.		0.
	19 Depreciation and depletion				
	20 Occupancy	900.	0.		0.
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 6	324.	0.		0.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	82,621.	32,184.		0.
	25 Contributions, gifts, grants paid	151,733.			151,733.
	26 **Total expenses and disbursements.** Add lines 24 and 25	234,354.	32,184.		151,733.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	62,711.			
	b **Net investment income** (if negative, enter -0-)		264,881.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	215,553.	182,995.	182,995.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 7	195,414.	94,062.	93,319.
	b Investments - corporate stock STMT 8	2,052,747.	2,113,562.	2,313,161.
	c Investments - corporate bonds STMT 9	303,646.	439,452.	450,738.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	2,767,360.	2,830,071.	3,040,213.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	2,767,360.	2,830,071.	
	30 **Total net assets or fund balances**	2,767,360.	2,830,071.	
	31 **Total liabilities and net assets/fund balances**	2,767,360.	2,830,071.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,767,360.
2	Enter amount from Part I, line 27a	2	62,711.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	2,830,071.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,830,071.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENTS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	1,684,406.		1,434,265.	250,141.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				250,141.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** 250,141.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	143,037.	2,828,785.	.050565
2011	209,402.	2,933,485.	.071383
2010	250,315.	3,129,298.	.079991
2009	207,623.	3,258,923.	.063709
2008	231,465.	3,644,140.	.063517

2	**Total** of line 1, column (d)	2	.329165
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.065833
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	2,937,555.
5	Multiply line 4 by line 3	5	193,388.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,649.
7	Add lines 5 and 6	7	196,037.
8	Enter qualifying distributions from Part XII, line 4	8	151,733.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	5,298.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	5,298.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	5,298.
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a: 1,880.		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	1,880.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	2.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	3,420.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ SCOTT MORIELLI Telephone no. ▶ 213-749-0171

Located at ▶ 1515 E. 15TH STREET, LOS ANGELES, CA ZIP+4 ▶ 90021

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SCOTT MORIELLI 1515 E. 15TH STREET LOS ANGELES, CA 90021	TRUSTEE AS REQ. 10.00	36,000.	0.	0.
VICTORIA SCHAFFER 11095 MATTHEWS DRIVE TUSTIN, CA 92782	TRUSTEE 2.00	6,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,783,015.
b	Average of monthly cash balances	1b	199,274.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	2,982,289.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,982,289.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	44,734.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,937,555.
6	**Minimum investment return.** Enter 5% of line 5	6	146,878.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	146,878.
2a	Tax on investment income for 2013 from Part VI, line 5 — 2a: 5,298.		
b	Income tax for 2013. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	5,298.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	141,580.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	141,580.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	141,580.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	151,733.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	151,733.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	151,733.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				141,580.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008 51,352.				
b From 2009 46,175.				
c From 2010 95,572.				
d From 2011 67,840.				
e From 2012 3,476.				
f Total of lines 3a through e	264,415.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 151,733.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				141,580.
e Remaining amount distributed out of corpus	10,153.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	274,568.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	51,352.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	223,216.			
10 Analysis of line 9:				
a Excess from 2009 46,175.				
b Excess from 2010 95,572.				
c Excess from 2011 67,840.				
d Excess from 2012 3,476.				
e Excess from 2013 10,153.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
EISENHOWER MEDICAL CENTER FOUNDATION 39000 BOB HOPE DRIVE RANCHO MIRAGE, CA 92270	NONE	CHARITABLE ORGANIZATION	SUPPORT OF CHARITABLE ORGANIZATION	50,000.
HOLLYWOOD BOWL 151 SOUTH GRAND AVENUE LOS ANGELES, CA 90012	NONE	CHARITABLE ORGANIZATION	SUPPORT OF CHARITABLE ORGANIZATION	11,533.
INNER CITY ARTS 720 KOHLER STREET LOS ANGELES, CA 90012	NONE	501(C)(3)NON-PR ORG.	SUPPORT OF CHARITABLE ORGANIZATION	36,200.
MUSIC CENTER 135 NORTH GRAND AVENUE LOS ANGELES, CA 90012	NONE	CHARITABLE ORGANIZATION	SUPPORT OF CHARITABLE ORGANIZATION	25,000.
OUR SAVIOUR LUTHERAN SCHOOL 200 AVENIDA SAN PABLO SAN CLEMENTE, CA 92672	NONE	CHARITABLE ORGANIZATION	SUPPORT OF CHARITABLE ORGANIZATION	1,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	151,733.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	10,772.	
4 Dividends and interest from securities			14	36,152.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	250,141.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		297,065.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 297,065.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
1	N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

[signature] TRUSTEE 5/12/14 TRUSTEE

Signature of officer or trustee / Date / Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
PAUL M. COOK	Paul M. Cook	5/6/14		P01362089
Firm's name ▶ MILLER AND CO. LLP			Firm's EIN ▶ 95-1754087	
Firm's address ▶ 21700 OXNARD ST, STE 1250 WOODLAND HILLS, CA 91367			Phone no. 818-449-7920	

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	AXA ADVISORS 1269-9724 - COVERED- (SEE ATTACHED)	P		12/31/13
b	AXA ADVISORS 1269-9724 - COVERED - (SEE ATTACHED)	P		12/31/13
c	AXA ADVISORS 1269-9724 - NON-COVERED - (SEE ATTAC	P		12/31/13
d	AXA ADVISORS 1269-9724 - NON-COVERED - (SEE ATTAC	P		12/31/13
e	AXA ADVISORS 2586-8604 - COVERED- (SEE ATTACHED)	P		12/31/13
f	AXA ADVISORS 2586-8604 - COVERED- (SEE ATTACHED)	P		12/31/13
g	AXA ADVISORS 2586-8604 - NON-COVERED- (SEE ATTACH	P		12/31/13
h	AXA ADVISORS 4326-7742 - COVERED- (SEE ATTACHED)	P		12/31/13
i	AXA ADVISORS 4326-7742 - NON-COVERED- (SEE ATTACH	P		12/31/13
j	AXA ADVISORS 4441-9633 - NON-COVERED- (SEE ATTACH	P		12/31/13
k	AXA ADVISORS 4441-9633 - NON-COVERED- (SEE ATTACH	P		12/31/13
l	AXA ADVISORS 5109-8752 - COVERED- (SEE ATTACHED)	P		12/31/13
m	AXA ADVISORS 5109-8752 - COVERED- (SEE ATTACHED)	P		12/31/13
n	AXA ADVISORS 5109-8752 - NON-COVERED- (SEE ATTACH	P		12/31/13
o	AXA ADVISORS 5111-6378 - COVERED- (SEE ATTACHED)	P		12/31/13

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	8,470.		7,011.	1,459.
b	30,128.		23,714.	6,414.
c	31,557.		18,748.	12,809.
d	816.			816.
e	3,859.		3,548.	311.
f	5,369.		3,861.	1,508.
g	22,158.		10,811.	11,347.
h	117,001.		116,451.	550.
i	145,000.		127,836.	17,164.
j	5,009.		5,008.	1.
k	78,000.		79,951.	<1,951.>
l	26,762.		25,453.	1,309.
m	77,909.		59,625.	18,284.
n	11,229.		2,982.	8,247.
o	150,907.		139,422.	11,485.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				1,459.
b				6,414.
c				12,809.
d				816.
e				311.
f				1,508.
g				11,347.
h				550.
i				17,164.
j				1.
k				<1,951.>
l				1,309.
m				18,284.
n				8,247.
o				11,485.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

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05-01-13

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	AXA ADVISORS 5111-6378 - COVERED- (SEE ATTACHED)	P		12/31/13
b	AXA ADVISORS 5111-6378 - NON-COVERED- (SEE ATTACH	P		12/31/13
c	AXA ADVISORS 5111-6378 - NON-COVERED- (SEE ATTACH	P		12/31/13
d	AXA ADVISORS 5647-4549 - NON-COVERED- (SEE ATTACH	P		12/31/13
e	AXA ADVISORS 5647-4549 - NON-COVERED- (SEE ATTACH	P		12/31/13
f	AXA ADVISORS 5985-6044 - COVERED- (SEE ATTACHED)	P		12/31/13
g	AXA ADVISORS 5985-6044 - COVERED- (SEE ATTACHED)	P		12/31/13
h	AXA ADVISORS 5985-6044 - NON-COVERED- (SEE ATTACH	P		12/31/13
i	AXA ADVISORS 5985-6044 -COVERED- (SEE ATTACHED)	P		12/31/13
j	AXA ADVISORS 6390-9067 -COVERED- (SEE ATTACHED)	P		12/31/13
k	AXA ADVISORS 6390-9067 -COVERED- (SEE ATTACHED)	P		12/31/13
l	AXA ADVISORS 6390-9067 -NON-COVERED- (SEE ATTACHE	P		12/31/13
m	AXA ADVISORS 7270-0287 -COVERED- (SEE ATTACHED)	P		12/31/13
n	AXA ADVISORS 7270-0287 -COVERED- (SEE ATTACHED)	P		12/31/13
o	AXA ADVISORS 7270-0287 -NON-COVERED- (SEE ATTACHE	P		12/31/13

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	114,308.		84,439.	29,869.
b	48,195.		28,806.	19,389.
c	57.			57.
d	141,506.		142,621.	<1,115.>
e	233,029.		230,462.	2,567.
f	3,571.		3,557.	14.
g	14,190.		14,546.	<356.>
h	15,106.		10,996.	4,110.
i	11.		6.	5.
j	106,668.		93,903.	12,765.
k	204,649.		146,977.	57,672.
l	48,347.		22,295.	26,052.
m	13,751.		11,797.	1,954.
n	24,777.		18,544.	6,233.
o	1,433.		858.	575.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				29,869.
b				19,389.
c				57.
d				<1,115.>
e				2,567.
f				14.
g				<356.>
h				4,110.
i				5.
j				12,765.
k				57,672.
l				26,052.
m				1,954.
n				6,233.
o				575.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } 2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 } 3

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05-01-13

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	AXA ADVISORS 7270-0287 -COVERED- (SEE ATTACHED)	P		12/31/13
b	CAPITAL GAINS DIVIDENDS			
c				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	43.		37.	6.
b	591.			591.
c				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				6.
b				591.
c				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	250,141.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8		3	N/A

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05-01-13

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PACIFIC MARINE MAMMAL CENTER 20612 LAGUNA CANYON ROAD LAGUNA BEACH, CA 92651	NONE	501(C)(3)NON-PR ORG.	SUPPORT OF CHARITABLE ORGANIZATION	15,000.
CITY OF HOPE 1500 EAST DUARTE ROAD DUARTE, CA 90101	NONE	CHARITABLE ORGANIZATION	SUPPORT OF CHARITABLE ORGANIZATION	10,000.
VENICE FAMILY CLINIC 604 ROSE AVE VENCIE, CA 90291	NONE	CHARITABLE ORGANIZATION	SUPPORT OF CHARITABLE ORGANIZATION	1,000.
USC DORNSIFE FUND FOR EXCELLENCE 3551 TROUSDALE PKWY LOS ANGELES, CA 90089	NONE	CHARITABLE ORGANIZATION	SUPPORT OF CHARITABLE ORGANIZATION	1,000.
HOLY FAMILY SERVICES 840 ECHO PARK AVE LOS ANGELES, CA 90026	NONE	501(C)(3)NON-PR ORG.	SUPPORT OF CHARITABLE ORGANIZATION	1,000.
Total from continuation sheets				28,000.

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05-01-13

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
AXA ADVISORS 1269-9724	1.	1.	
AXA ADVISORS 4326-7742	15.	15.	
AXA ADVISORS 4441-9633	10,860.	10,860.	
AXA ADVISORS 4441-9633 - ACCRUED INTEREST PAID	<425.>	<425.>	
AXA ADVISORS 5647-4549	5,090.	5,090.	
AXA ADVISORS 5647-4549 - ACCRUED INTEREST PAID	<5,400.>	<5,400.>	
AXA ADVISORS 5647-4549 - OID	515.	515.	
AXA ADVISORS 5985-6044	2.	2.	
AXA ADVISORS 6390-9067	1.	1.	
MANUFACTURERS BANK	113.	113.	
TOTAL TO PART I, LINE 3	10,772.	10,772.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
AXA ADVISORS 1269-9724	5,219.	0.	5,219.	5,219.	
AXA ADVISORS 2586-8604	375.	0.	375.	375.	
AXA ADVISORS 4326-7742	21,201.	533.	20,668.	20,668.	
AXA ADVISORS 5109-8752	2,112.	0.	2,112.	2,112.	
AXA ADVISORS 5111-6378	1,346.	0.	1,346.	1,346.	
AXA ADVISORS 5985-6044	4,167.	0.	4,167.	4,167.	
AXA ADVISORS 6390-9067	661.	24.	637.	637.	
AXA ADVISORS 7270-0287	1,526.	34.	1,492.	1,492.	
BANK OF AMERICA	136.	0.	136.	136.	
TO PART I, LINE 4	36,743.	591.	36,152.	36,152.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT 3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	6,000.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	6,000.	0.		0.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	31,196.	31,196.		0.
TO FORM 990-PF, PG 1, LN 16C	31,196.	31,196.		0.

FORM 990-PF	TAXES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	988.	988.		0.
FEDERAL TAX	1,213.	0.		0.
TO FORM 990-PF, PG 1, LN 18	2,201.	988.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
STATE FILING FEES	135.	0.		0.
BANK SERVICE FEES	69.	0.		0.
COMMUNICATIONS	89.	0.		0.
OFFICE	31.	0.		0.
TO FORM 990-PF, PG 1, LN 23	324.	0.		0.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 7

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
AXA ADVISORS	X		94,062.	93,319.
TOTAL U.S. GOVERNMENT OBLIGATIONS			94,062.	93,319.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			94,062.	93,319.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
AXA ADVISORS	2,113,562.	2,313,161.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,113,562.	2,313,161.

FORM 990-PF CORPORATE BONDS STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
AXA ADVISORS	439,452.	450,738.
TOTAL TO FORM 990-PF, PART II, LINE 10C	439,452.	450,738.

1099-B

Account Number: 1269-9724

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

The proceeds stated on this page are reported net of option premiums. <u>However, option premiums are built into the gain or loss amounts computed below.</u> If you have securities sold because of the exercise of an option granted or acquired before 2014, we have included option premium information as a separate column on this page, to help you reconcile to the gain/loss amount shown on this statement. Should you elect to report net of option premiums, you must adjust your gain/loss amounts on your Form 8949.

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
SHORT-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD ONE YEAR OR LESS (BOX 1C)										
COVERED (BOX 6B): FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS										
APPLE INC QTY (BOX 1E): 2.000	037833100	11/19/12	05/29/13	885.82	1,103.50	(217.68)	0.00	0.00	0.00	
APPLIED MATERIALS INC QTY (BOX 1E): 2.000	038222105	07/03/13	07/23/13	32.82	30.06	2.76	0.00	0.00	0.00	
CME GROUP INC CLASS A QTY (BOX 1E): 17.000	12572Q105	VARIOUS	09/17/13	1,223.30	1,036.36	186.94	0.00	0.00	0.00	
CAPITAL ONE FINL CORP QTY (BOX 1E): 2.000	14040H105	09/17/13	10/08/13	135.75	137.44	(1.69)	0.00	0.00	0.00	
COACH INC QTY (BOX 1E): 11.000	189754104	08/03/12	04/24/13	620.42	560.53	59.89	0.00	0.00	0.00	
ENERGIZER HLDGS INC QTY (BOX 1E): 49.000	29266R108	08/16/12	03/11/13	4,662.95	3,292.80	1,370.15	0.00	0.00	0.00	
ITAU SA ADR REP 500 PFD QTY (BOX 1E): 17.000	465562106	08/13/13	10/08/13	241.56	224.40	17.16	0.00	0.00	0.00	
NEWELL RUBBERMAID INC QTY (BOX 1E): 1.000	651229106	07/03/13	07/15/13	27.00	26.02	0.98	0.00	0.00	0.00	
PNC FINL SVCS GROUP INC QTY (BOX 1E): 2.000	693475105	11/16/12	10/08/13	142.45	108.54	33.91	0.00	0.00	0.00	
PETSMART INC QTY (BOX 1E): 2.000	716768106	08/22/13	10/08/13	148.15	144.95	3.20	0.00	0.00	0.00	
SAINT JUDE MEDICAL INC QTY (BOX 1E): 1.000	790849103	07/03/13	09/17/13	53.43	45.80	7.63	0.00	0.00	0.00	
TEVA PHARMACEUTICAL ADR QTY (BOX 1E): 3.000	881624209	VARIOUS	09/17/13	113.32	116.44	(3.12)	0.00	0.00	0.00	

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1099-B, continued

Account Number: 1269-9724

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS, continued										
PARTNERRE LIMITED QTY (BOX 1E) 2 000	G6852T105	07/30/13	10/08/13	183 35	183 70	(0 35)	0 00	0 00	0 00	
TOTAL SHORT-TERM COVERED				~ 8,470.32	~ 7,010.54	1,459.78	0.00	0.00	0.00	
LONG-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD MORE THAN ONE YEAR (BOX 1C)										
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS										
ABBOTT LABORATORIES QTY (BOX 1E) 1 000	002824100	08/23/11	10/08/13	33 21	24 23	8 98	0 00	0 00	0 00	
ABBVIE INC QTY (BOX 1E). 47 000	00287Y109	08/23/11	05/13/13	2,096 77	1,235 04	861 73	0 00	0 00	0 00	
APPLIED MATERIALS INC QTY (BOX 1E) 115 000	038222105	VARIOUS	07/23/13	1,886 79	1,497 99	388 80	0 00	0 00	0 00	
CME GROUP INC CLASS A QTY (BOX 1E) 26 000	12572Q105	09/02/11	07/09/13	2,012 38	1,352 86	659 52	0 00	0 00	0 00	
CME GROUP INC CLASS A QTY (BOX 1E) 43 000	12572Q105	VARIOUS	09/17/13	3,094 20	2,329 05	765 15	0 00	0 00	0 00	
CISCO SYSTEMS INC QTY (BOX 1E). 6.000	17275R102	07/17/12	10/08/13	136 25	96 78	39 47	0 00	0 00	0 00	
COACH INC QTY (BOX 1E). 6.000	189754104	08/03/12	10/08/13	321.29	305.74	15.55	0 00	0 00	0 00	
FREEPORT MCMRN COP & GLD QTY (BOX 1E) 1 000	35671D857	12/02/11	04/05/13	32.09	40 20	(8 11)	0 00	0 00	0 00	
FREEPORT MCMRN COP & GLD QTY (BOX 1E). 2 000	35671D857	12/02/11	10/08/13	65 97	80 39	(14 42)	0 00	0 00	0 00	
HEWLETT-PACKARD COMPANY QTY (BOX 1E) 8 000	428236103	04/23/12	10/08/13	165 03	195.36	(30 33)	0 00	0 00	0 00	
JPMORGAN CHASE & COMPANY QTY (BOX 1E) 2.000	46625H100	03/18/11	04/05/13	95 21	91 32	3 89	0 00	0 00	0 00	

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1099-B, continued

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS, continued										
JPMORGAN CHASE & COMPANY QTY (BOX 1E): 10 000	46625H100	03/18/11	10/08/13	511 99	456 60	55 39	0.00	0.00	0 00	
JOHNSON CONTROLS INC QTY (BOX 1E). 47.000	478366107	12/02/11	07/18/13	1,896 41	1,505.88	390.53	0.00	0.00	0 00	
MERCK & COMPANY INC NEW QTY (BOX 1E)· 8.000	58933Y105	04/25/11	10/08/13	382 55	274 11	108 44	0.00	0 00	0.00	
NEWELL RUBBERMAID INC QTY (BOX 1E): 6 000	651229106	06/27/11	04/05/13	149 81	93.95	55.86	0.00	0 00	0 00	
NEWELL RUBBERMAID INC QTY (BOX 1E)· 104 000	651229106	06/27/11	04/24/13	2,749 70	1,628 43	1,121.27	0 00	0.00	0 00	
NEWELL RUBBERMAID INC QTY (BOX 1E)· 122.000	651229106	VARIOUS	07/15/13	3,293.32	1,627.74	1,665.58	0.00	0.00	0.00	
NEWMONT MNG CORP HLDG CO QTY (BOX 1E). 29.000	651639106	01/20/12	04/03/13	1,145 00	1,723 18	(578.18)	0 00	0 00	0 00	
OCCIDENTAL PETRO CORP QTY (BOX 1E)· 3 000	674599105	07/20/12	10/08/13	282 11	260.11	22 00	0 00	0 00	0 00	
QUALCOMM INC QTY (BOX 1E). 10.000	747525103	06/07/11	02/01/13	667 40	567.70	99.70	0 00	0 00	0.00	
SAINT JUDE MEDICAL INC QTY (BOX 1E): 50 000	790849103	09/10/12	09/17/13	2,671.45	1,958.82	712.63	0.00	0 00	0 00	
SIEMENS A G SPONS ADR QTY (BOX 1E). 7.000	826197501	03/20/12	10/08/13	849 64	728 61	121 03	0 00	0.00	0 00	
TARGET CORP QTY (BOX 1E): 5.000	87612E106	01/13/12	10/08/13	311 79	248.40	63.39	0.00	0.00	0.00	
TEVA PHARMACEUTICAL ADR QTY (BOX 1E) 111.000	881624209	12/23/11	09/17/13	4,192 78	4,582 07	(389.29)	0 00	40.55	0 00	
VODAFONE GRP PLC NEW ADR QTY (BOX 1E) 31.000	92857W209	06/28/11	10/08/13	1,085 29	809 10	276 19	0 00	0.00	0 00	

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1099-B, continued

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS, continued										
TOTAL LONG-TERM COVERED				30,128.43	23,713.66	6,414.77	0.00	40.55	0.00	
NON-COVERED[1] (BOX 6A): FORM 8949 (BOX E) WITH BASIS NOT REPORTED TO THE IRS										
AT&T INC QTY (BOX 1E) 7.000	00206R102	02/02/10	10/08/13	233.37	177.90	55.47	0.00	0.00	0.00	
ALLSTATE CORP QTY (BOX 1E) 11.000	020002101	03/24/09	10/08/13	560.33	225.39	334.94	0.00	0.00	0.00	
APPLIED MATERIALS INC QTY (BOX 1E) 55.000	038222105	08/30/10	04/05/13	719.93	578.05	141.88	0.00	0.00	0.00	
APPLIED MATERIALS INC QTY (BOX 1E) 70.000	038222105	08/30/10	05/03/13	1,043.10	735.69	307.41	0.00	0.00	0.00	
APPLIED MATERIALS INC QTY (BOX 1E) 32.000	038222105	08/30/10	07/23/13	525.02	336.31	188.71	0.00	0.00	0.00	
BAKER HUGHES INC QTY (BOX 1E) 10.000	057224107	08/03/10	10/08/13	490.79	439.46	51.33	0.00	0.00	0.00	
BNY MELLON CORP QTY (BOX 1E) 10.000	064058100	10/10/08	10/08/13	297.29	253.00	44.29	0.00	0.00	0.00	
BLACKROCK INC QTY (BOX 1E) 7.000	09247X101	06/15/10	05/14/13	1,984.82	1,137.28	847.54	0.00	0.00	0.00	
BOEING COMPANY QTY (BOX 1E) 15.000	097023105	VARIOUS	04/24/13	1,366.52	829.65	536.87	0.00	0.00	0.00	
BOEING COMPANY QTY (BOX 1E) 23.000	097023105	11/18/08	09/17/13	2,673.08	896.96	1,776.12	0.00	0.00	0.00	
BOEING COMPANY QTY (BOX 1E) 1.000	097023105	11/18/08	10/08/13	115.84	39.00	76.84	0.00	0.00	0.00	
CHEVRON CORP QTY (BOX 1E) 5.000	166764100	VARIOUS	04/05/13	585.03	364.66	220.37	0.00	0.00	0.00	

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1099-B, continued

Account Number: 1269-9724

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION	CUSIP	DATE OF ACQUISITION	DATE OF SALE OR EXCHANGE	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS)	COST OR OTHER BASIS	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3]	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
(BOX 8)	(BOX 1D)	(BOX 1B)	(BOX 1A)	(BOX 2A)	(BOX 3)				(BOX 5)	
NON-COVERED[1] (BOX 6A): FORM 8949 (BOX E) WITH BASIS <u>NOT</u> REPORTED TO THE IRS, continued										
CHEVRON CORP QTY (BOX 1E): 3.000	166764100	01/15/10	10/08/13	351.59	235.80	115.79	0.00	0.00	0.00	
DOW CHEMICAL COMPANY QTY (BOX 1E): 7.000	260543103	08/04/09	10/08/13	272.29	157.56	114.73	0.00	0.00	0.00	
EXXON MOBIL CORP QTY (BOX 1E): 5.000	30231G102	10/08/09	10/08/13	428.44	345.00	83.44	0.00	0.00	0.00	
GENERAL ELECTRIC COMPANY QTY (BOX 1E): 20.000	369604103	03/24/09	04/05/13	455.78	215.20	240.58	0.00	0.00	0.00	
GENERAL ELECTRIC COMPANY QTY (BOX 1E): 18.000	369604103	03/24/09	10/08/13	429.11	193.68	235.43	0.00	0.00	0.00	
INTEL CORP QTY (BOX 1E): 16.000	458140100	01/16/08	10/08/13	361.59	319.68	41.91	0.00	0.00	0.00	
JOHNSON & JOHNSON QTY (BOX 1E): 5.000	478160104	05/23/07	10/08/13	429.89	319.85	110.04	0.00	0.00	0.00	
MEDTRONIC INC QTY (BOX 1E): 7.000	585055106	04/29/09	10/08/13	369.59	218.76	150.83	0.00	0.00	0.00	
METLIFE INC QTY (BOX 1E): 9.000	59156R108	04/20/09	10/08/13	419.39	238.97	180.42	0.00	0.00	0.00	
MICROSOFT CORP QTY (BOX 1E): 25.000	594918104	11/14/08	10/08/13	823.61	512.18	311.43	0.00	0.00	0.00	
NEW YORK CMNTY BANCORP QTY (BOX 1E): 34.000	649445103	08/06/09	10/08/13	518.49	376.02	142.47	0.00	0.00	0.00	
OMNICOM GROUP INC QTY (BOX 1E): 41.000	681919106	05/06/09	03/26/13	2,409.00	1,346.76	1,062.24	0.00	0.00	0.00	
OMNICOM GROUP INC QTY (BOX 1E): 40.000	681919106	VARIOUS	07/29/13	2,743.09	1,404.35	1,338.74	0.00	0.00	0.00	
PEPSICO INC QTY (BOX 1E): 2.000	713448108	06/26/08	10/08/13	158.35	130.45	27.90	0.00	0.00	0.00	

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1099-B, continued

Account Number: 1269-9724

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
NON-COVERED[1](BOX 6A): FORM 8949 (BOX E) WITH BASIS <u>NOT</u> REPORTED TO THE IRS, continued										
PFIZER INC QTY (BOX 1E): 20.000	717081103	10/15/09	10/08/13	569.99	353.19	216.80	0.00	0.00	0.00	
PHILIP MORRIS INTL INC QTY (BOX 1E): 2.000	718172109	10/09/08	10/08/13	172.59	84.14	88.45	0.00	0.00	0.00	
PRUDENTIAL FINL INC QTY (BOX 1E): 8.000	744320102	11/18/10	10/08/13	611.58	435.27	176.31	0.00	0.00	0.00	
QUALCOMM INC QTY (BOX 1E): 43.000	747525103	04/22/10	02/01/13	2,869.80	1,681.94	1,187.86	0.00	0.00	0.00	
SCHLUMBERGER LTD QTY (BOX 1E): 3.000	806857108	01/26/09	04/05/13	222.26	126.80	95.46	0.00	0.00	0.00	
SCHLUMBERGER LTD QTY (BOX 1E): 9.000	806857108	01/26/09	10/08/13	794.95	380.39	414.56	0.00	0.00	0.00	
SIEMENS A G SPONS ADR QTY (BOX 1E): 51.000	826197501	03/20/12	07/25/13	155.86	0.00	155.86	0.00	0.00	0.00	
3M COMPANY QTY (BOX 1E): 2.000	88579Y101	08/21/06	10/08/13	236.27	142.62	93.65	0.00	0.00	0.00	
WELLS FARGO & CO NEW QTY (BOX 1E): 60.000	949746101	VARIOUS	04/05/13	2,214.55	1,785.82	428.73	0.00	0.00	0.00	
WELLS FARGO & CO NEW QTY (BOX 1E): 4.000	949746101	05/07/08	10/08/13	161.67	119.98	41.69	0.00	0.00	0.00	
ENSCO PLC CL A QTY (BOX 1E): 6.000	G3157S106	06/29/10	10/08/13	325.37	231.52	93.85	0.00	0.00	0.00	
INVESCO LTD SHS QTY (BOX 1E): 64.000	G491BT108	01/29/10	05/14/13	2,202.25	1,225.70	976.55	0.00	0.00	0.00	
INVESCO LTD SHS QTY (BOX 1E): 8.000	G491BT108	01/29/10	10/08/13	254.95	153.21	101.74	0.00	0.00	0.00	
TOTAL LONG-TERM NON-COVERED				**31,557.42**	**18,748.19**	**12,809.23**	**0.00**	**0.00**	**0.00**	

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1099-B, continued

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
UNKNOWN BASIS OR HOLDING PERIOD FOR NON-COVERED 1099-B SALE TRANSACTIONS: FORM 8949 (BOX C or BOX F)										
BNY MELLON CORP QTY (BOX 1E): 30 000	064058100	UNKNOWN	04/05/13	815 98	0.00	815.98	N/A	N/A	N/A	
TOTAL UNKNOWN BASIS OR HOLDING PERIOD FOR NON-COVERED 1099-B SALE TRANSACTIONS				— 815.98	0.00	- 815 98	N/A	N/A	N/A	
TOTAL SALE TRADES OR EXCHANGES (BOX 2A)				70,972.15	49,472.39	21,499.76	0.00	40.55	0.00	

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[2] Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

[4] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

1099-B

Account Number: 2586-8604

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

The proceeds stated on this page are reported net of option premiums. However, option premiums are built into the gain or loss amounts computed below. If you have securities sold because of the exercise of an option granted or acquired before 2014, we have included option premium information as a separate column on this page, to help you reconcile to the gain/loss amount shown on this statement. Should you elect to report net of option premiums, you must adjust your gain/loss amounts on your Form 8949.

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
SHORT-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD ONE YEAR OR LESS (BOX 1C)										
COVERED (BOX 6B): FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS										
ADVISORY BOARD COMPANY QTY (BOX 1E) 4.000	00762W107	02/05/13	10/01/13	238.79	214.80	23.99	0.00	0.00	0.00	
FIVE BELOW INC QTY (BOX 1E). 6.000	33829M101	05/07/13	10/01/13	269.15	218.40	50.75	0.00	0.00	0.00	
GREENWAY MEDICAL TECHS QTY (BOX 1E) 18.000	39679B103	10/24/12	10/01/13	370.97	326.81	44.16	0.00	0.00	0.00	
K12 INC QTY (BOX 1E) 14.000	48273U102	05/06/13	10/01/13	438.75	359.52	79.23	0.00	0.00	0.00	
MAXWELL TECH INC QTY (BOX 1E) 85.000	577767106	04/09/12	04/03/13	436.05	1,431.70	(995.65)	0.00	0.00	0.00	
THREE D SYS CORP DEL NEW QTY (BOX 1E) 35.000	88554D205	05/04/12	01/11/13	2,105.48	996.87	1,108.61	0.00	0.00	0.00	
TOTAL SHORT-TERM COVERED				**3,859.19**	**3,548.10**	**311.09**	**0.00**	**0.00**	**0.00**	
LONG-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD MORE THAN ONE YEAR (BOX 1C)										
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS										
ATHENAHEALTH INC QTY (BOX 1E). 5.000	04685W103	04/06/11	05/09/13	424.99	230.05	194.94	0.00	0.00	0.00	
ATHENAHEALTH INC QTY (BOX 1E): 4.000	04685W103	04/06/11	10/01/13	441.74	184.04	257.70	0.00	0.00	0.00	
CABOT MICROELECTRONICS QTY (BOX 1E): 7.000	12709P103	01/05/11	10/01/13	269.71	288.79	(19.08)	0.00	0.00	0.00	

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[2] Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

[4] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

1099-B, continued

Account Number: 2586-8604

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS, continued										
CONSTANT CONTACT INC QTY (BOX 1E): 10 000	210313102	02/04/11	04/03/13	124.90	289 40	(164.50)	0 00	0.00	0.00	
DEALERTRACK TECHS INC QTY (BOX 1E)· 5 000	242309102	05/22/08	10/01/13	216 24	115.10	101.14	0 00	1 45	0.00	
EBIX INC NEW QTY (BOX 1E)· 16.000	278715206	06/20/11	10/01/13	160 31	318.08	(157 77)	0 00	0 00	0.00	
ECHO GLOBAL LOGISTICS QTY (BOX 1E)· 16 000	27875T101	05/26/11	10/01/13	332 95	233 37	99.58	0.00	0 00	0 00	
FARO TECHNOLOGIES INC QTY (BOX 1E)· 10.000	311642102	02/04/11	05/06/13	366 20	297 60	68 60	0 00	0 00	0 00	
FINANCIAL ENGINES INC QTY (BOX 1E) 12.000	317485100	05/10/11	10/01/13	701 02	326 45	374 57	0 00	0 00	0 00	
FRESH MARKET INC QTY (BOX 1E). 8.000	35804H106	09/20/11	10/01/13	379 67	317 60	62.07	0 00	0.00	0 00	
GRAND CANYON EDUCATION QTY (BOX 1E) 8 000	38526M106	05/07/10	10/01/13	328 55	214 09	114 46	0 00	93 05	0 00	
INNERWORKINGS INC QTY (BOX 1E) 15.000	45773Y105	02/27/08	10/01/13	148 04	206 98	(58.94)	0 00	85.48	0 00	
LKQ CORP QTY (BOX 1E). 2.000	501889208	02/04/11	10/01/13	66.80	24 41	42 39	0 00	0 00	0 00	
PEGASYSTEMS INC QTY (BOX 1E): 8 000	705573103	07/07/11	10/01/13	317 60	371.97	(54 37)	0 00	0 00	0 00	
SPS COMMERCE INC QTY (BOX 1E). 5 000	78463M107	08/30/11	05/09/13	229 49	90 50	138 99	0 00	0 00	0 00	
SPS COMMERCE INC QTY (BOX 1E). 8 000	78463M107	08/30/11	10/01/13	544.39	144.79	399 60	0 00	0.00	0 00	
SCIQUEST INC NEW QTY (BOX 1E)· 14.000	80908T101	11/15/11	10/01/13	316 53	208 27	108 26	0 00	0 00	0.00	

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[2] Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

[4] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

1099-B, continued

Account Number: 2586-8604

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS, continued										
TOTAL LONG-TERM COVERED				5,369.13	3,881.49	1,507.64	0.00	179.98	0.00	
NON-COVERED[1] (BOX 6A): FORM 8949 (BOX E) WITH BASIS NOT REPORTED TO THE IRS										
ABAXIS INC QTY (BOX 1E) 8 000	002567105	08/13/08	10/01/13	333 67	182 77	150 90	0 00	0 00	0 00	
BEACON ROOFING SUPPLY QTY (BOX 1E) 16 000	073685109	08/20/08	10/01/13	547 51	252 00	295 51	0 00	0 00	0 00	
BIO REF LABS NEW $0 01 QTY (BOX 1E) 10 000	09057G602	07/23/09	05/09/13	265 59	162 47	103 12	0 00	0 00	0 00	
BIO REF LABS NEW $0 01 QTY (BOX 1E) 8 000	09057G602	07/23/09	10/01/13	233 35	129 98	103 37	0 00	0 00	0 00	
CABOT MICROELECTRONICS QTY (BOX 1E). 5 000	12709P103	11/04/08	10/01/13	192 64	143 46	49 18	0 00	0 00	0 00	
CASS INFORMATION SYS INC QTY (BOX 1E) 6 000	14808P109	09/03/08	10/01/13	318 23	186 66	131 57	0 00	0 00	0 00	
CEPHEID INC QTY (BOX 1E). 5 000	15670R107	07/14/09	05/09/13	198 79	44 74	154 05	0 00	0 00	0 00	
CEPHEID INC QTY (BOX 1E). 16.000	15670R107	07/14/09	10/01/13	618 38	143 14	475 24	0 00	0 00	0 00	
CHEESECAKE FACTORY INC QTY (BOX 1E). 10 000	163072101	02/27/08	10/01/13	442 89	223 64	219 25	0 00	0 00	0 00	
CHEMED CORP NEW QTY (BOX 1E): 10.000	16359R103	02/27/08	10/01/13	717 09	504 70	212.39	0 00	0.00	0 00	
CONCUR TECHNOLOGIES INC QTY (BOX 1E): 6.000	206708109	12/01/08	10/01/13	668.50	151 91	516 59	0 00	0.00	0 00	
CONSTANT CONTACT INC QTY (BOX 1E): 25 000	210313102	04/09/10	04/03/13	312.24	580.00	(267 76)	0 00	0.00	0.00	

[1] These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2013 1099 Tax Guide online for more information on covered securities.

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1099-B, continued

Account Number: 2586-8604

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
NON-COVERED[1](BOX 6A): FORM 8949 (BOX E) WITH BASIS NOT REPORTED TO THE IRS, continued										
DEALERTRACK TECHS INC QTY (BOX 1E). 11.000	242309102	07/24/08	10/01/13	475.74	175.78	299.96	0.00	0.00	0.00	
DIGI INTERNATIONAL INC QTY (BOX 1E): 24.000	253798102	02/27/08	10/01/13	237.11	259.81	(22.70)	0.00	0.00	0.00	
FARO TECHNOLOGIES INC QTY (BOX 1E). 25.000	311642102	02/27/08	06/06/13	915.47	862.50	52.97	0.00	0.00	0.00	
FORWARD AIR CORP QTY (BOX 1E). 4.000	349853101	02/27/08	10/01/13	162.75	131.92	30.83	0.00	0.00	0.00	
GENTEX CORP QTY (BOX 1E) 16.000	371901109	02/27/08	10/01/13	415.37	264.16	151.21	0.00	0.00	0.00	
GRAND CANYON EDUCATION QTY (BOX 1E): 16.000	38526M106	10/29/10	10/01/13	657.11	302.21	354.90	0.00	0.00	0.00	
IPC THE HOSPITALIST QTY (BOX 1E): 6.000	44984A105	07/16/08	10/01/13	310.46	115.81	194.65	0.00	0.00	0.00	
INNERWORKINGS INC QTY (BOX 1E). 7.000	45773Y105	11/16/09	10/01/13	69.09	37.80	31.29	0.00	0.00	0.00	
LKQ CORP QTY (BOX 1E) 45.000	501889208	02/27/08	05/06/13	1,112.37	493.87	618.50	0.00	0.00	0.00	
LKQ CORP QTY (BOX 1E): 85.000	501889208	02/27/08	07/19/13	2,194.67	932.87	1,261.80	0.00	0.00	0.00	
LKQ CORP QTY (BOX 1E): 10.000	501889208	02/27/08	10/01/13	333.99	109.74	224.25	0.00	0.00	0.00	
MAXIMUS INC QTY (BOX 1E): 16.000	577933104	02/27/08	10/01/13	741.58	151.72	589.86	0.00	0.00	0.00	
MOBILE MINI INC QTY (BOX 1E): 5.000	60740F105	02/27/08	05/09/13	174.09	97.07	77.02	0.00	0.00	0.00	
NATIONAL INSTRS CORP QTY (BOX 1E): 24.000	636518102	02/27/08	10/01/13	753.82	427.49	326.33	0.00	0.00	0.00	

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1099-B, continued

Account Number: 2586-8604

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
NON-COVERED[1] (BOX 6A): FORM 8949 (BOX E) WITH BASIS NOT REPORTED TO THE IRS, continued										
NEOGEN CORP QTY (BOX 1E). 10 000	640491106	02/27/08	10/01/13	613 88	184 33	429 55	0.00	0 00	0.00	
PORTFOLIO RECOVERY ASSOC QTY (BOX 1E) 14 000	73640Q105	02/27/08	10/01/13	852 86	183 08	669 78	0 00	0 00	0 00	
ROLLINS INC QTY (BOX 1E)· 25 000	775711104	02/27/08	07/19/13	688 04	313 17	374 87	0 00	0.00	0.00	
ROLLINS INC QTY (BOX 1E) 22 000	775711104	02/27/08	10/01/13	588 92	275 58	313 34	0 00	0 00	0 00	
SEMTECH CORP QTY (BOX 1E) 5 000	816850101	02/27/08	05/09/13	163 99	67 05	96 94	0 00	0 00	0 00	
SEMTECH CORP QTY (BOX 1E) 16 000	816850101	02/27/08	10/01/13	483 35	214 54	268 81	0 00	0 00	0 00	
TECHNE CORP QTY (BOX 1E) 6.000	878377100	02/27/08	10/01/13	490 01	420 09	69 92	0.00	0 00	0 00	
ULTIMATE SFTWARE GRP INC QTY (BOX 1E) 5 000	90385D107	02/27/08	07/11/13	630.38	141 76	488 62	0.00	0 00	0.00	
ULTIMATE SFTWARE GRP INC QTY (BOX 1E) 8.000	90385D107	02/27/08	08/15/13	1,135 50	226 82	908.68	0 00	0 00	0.00	
ULTIMATE SFTWARE GRP INC QTY (BOX 1E). 6.000	90385D107	02/27/08	10/01/13	890 14	170.12	720 02	0 00	0 00	0.00	
UNITED NATURAL FOODS QTY (BOX 1E) 10 000	911163103	02/27/08	10/01/13	676 08	179 24	496 84	0 00	0 00	0 00	
VERINT SYSTEMS INC QTY (BOX 1E) 8 000	92343X100	02/27/08	10/01/13	299 59	126 00	173 59	0 00	0 00	0 00	
STRATASYS LTD QTY (BOX 1E): 15.000	M85548101	02/27/08	02/20/13	1,036 32	1,095 15	(58 83)	0.00	0 00	0 00	
STRATASYS LTD QTY (BOX 1E)· 2.000	M85548101	02/27/08	10/01/13	206 81	146.02	60.79	0.00	0.00	0 00	

[1] These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2013 1099 Tax Guide online for more information on covered securities.

[2] Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

[4] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

1099-B, continued

Account Number: 2586-8604

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
NON-COVERED[1] (BOX 6A): FORM 8949 (BOX E) WITH BASIS NOT REPORTED TO THE IRS, continued										
TOTAL LONG-TERM NON-COVERED				22,158.37	10,811.17	11,347.20	0.00	0.00	0.00	
TOTAL SALE TRADES OR EXCHANGES (BOX 2A)				31,386.69	18,220.76	13,165.93	0.00	179.98	0.00	

[1] These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2013 1099 Tax Guide online for more information on covered securities.

[2] Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

[4] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

1099-B

Account Number: 4326-7742

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

The proceeds stated on this page are reported net of option premiums. <u>However, option premiums are built into the gain or loss amounts computed below.</u> If you have securities sold because of the exercise of an option granted or acquired before 2014, we have included option premium information as a separate column on this page, to help you reconcile to the gain/loss amount shown on this statement. Should you elect to report net of option premiums, you must adjust your gain/loss amounts on your Form 8949.

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
SHORT-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD ONE YEAR OR LESS (BOX 1C)										
COVERED (BOX 6B): FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS										
JH GLB ABSOL RET STR I QTY (BOX 1E): 10873 731	47804M878	VARIOUS	10/08/13	117,001.35	116,450.86	550 49	0 00	0 00	0 00	
TOTAL SHORT-TERM COVERED				**117,001.35**	**116,450.86**	**550.49**	**0.00**	**0.00**	**0.00**	
LONG-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD MORE THAN ONE YEAR (BOX 1C)										
NON-COVERED[1] (BOX 6A): FORM 8949 (BOX E) WITH BASIS <u>NOT</u> REPORTED TO THE IRS										
IVY ASSET STRAT I QTY (BOX 1E): 1357.773	466001864	06/17/11	10/08/13	40,000.00	33,577 73	6,422 27	0 00	0 00	0.00	
MS INST GLB RL EST I QTY (BOX 1E) 10521 042	61744J143	06/17/11	10/08/13	105,000 00	94,258 75	10,741 25	0 00	0 00	0 00	
TOTAL LONG-TERM NON-COVERED				**145,000.00**	**127,836.48**	**17,163.52**	**0.00**	**0.00**	**0.00**	
TOTAL SALE TRADES OR EXCHANGES (BOX 2A)				**262,001.35**	**244,287.34**	**17,714.01**	**0.00**	**0.00**	**0.00**	

[1] These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2013 1099 Tax Guide online for more information on covered securities.

[2] Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

[4] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

1099-B

Account Number: 4441-9633

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

The proceeds stated on this page are reported net of option premiums. However, option premiums are built into the gain or loss amounts computed below. If you have securities sold because of the exercise of an option granted or acquired before 2014, we have included option premium information as a separate column on this page, to help you reconcile to the gain/loss amount shown on this statement. Should you elect to report net of option premiums, you must adjust your gain/loss amounts on your Form 8949.

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
LONG-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD MORE THAN ONE YEAR (BOX 1C)										
NON-COVERED[1](BOX 6A): FORM 8949 (BOX E) WITH BASIS NOT REPORTED TO THE IRS										
UST NOTE 3 125 093013 QTY (BOX 1E) 5000 000	912828JM3	07/19/12	09/06/13	5,008.77	5,008 40	0 37	0 00	0 00	0 00	
TOTAL LONG-TERM NON-COVERED				5,008.77	5,008.40	0.37	0.00	0.00	0.00	
TOTAL SALE TRADES OR EXCHANGES (BOX 2A)				5,008.77	5,008.40	0.37	0.00	0.00	0.00	

[1] These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2013 1099 Tax Guide online for more information on covered securities.

[2] Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

[4] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

1099-B

Account Number: 4441-9633

DETAILS - PROCEEDS FROM CHANGES IN CORPORATE CONTROL OR CAPITAL STRUCTURE

This section includes cash and stock received from mergers, redemptions (including those redeemed at maturity), tenders, liquidations, cash received in lieu of fractional shares, bankruptcies and any other transaction where a price per share is not available due to the transaction initiated by the issuer and not by you.

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	CASH OR STOCK RECEIVED IN REPORTABLE CHANGE IN CONTROL OR CAPITAL STRUCTURE (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)[2]	IF CHECKED, LOSS NOT ALLOWED IN BOX 2A[3] (BOX 2B)	ACTIVITY TYPE	WASH SALE LOSS DISALLOWED[4] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[5]
LONG-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD MORE THAN ONE YEAR (BOX 1C)										
NON-COVERED[1] (BOX 6A): FORM 8949 (BOX E) WITH BASIS NOT REPORTED TO THE IRS										
FHLMC NOTE 4 5 071513 QTY (BOX 1E) 20000 000	3134A4TZ7	09/04/09	07/15/13	20,000 00	21,000 98	(1,000 98)		REDEMPTION	0 00	
GECC MTN 4 8 050113 QTY (BOX 1E) 20000 000	36962G3T9	09/08/09	05/01/13	20,000 00	20,370 47	(370 47)		REDEMPTION	0 00	
PEPSICO INC 4 65 021513 QTY (BOX 1E) 15000 000	713448BG2	09/08/09	02/15/13	15,000.00	15,580.02	(580 02)		REDEMPTION	0 00	
UST NOTE 3 125 093013 QTY (BOX 1E): 9000 000	912828JM3	07/19/12	09/30/13	9,000 00	9,000 00	0 00		REDEMPTION	0 00	
UST NOTE 1 375 051513 QTY (BOX 1E) 14000 000	912828NC0	08/10/11	05/15/13	14,000 00	14,000 00	0 00		REDEMPTION	0 00	
TOTAL LONG-TERM NON-COVERED				78,000.00	79,951.47	(1,951.47)			0.00	
TOTAL PROCEEDS FROM CHANGES IN CONTROL AND CAPITAL STRUCTURE (BOX 2A)				78,000.00	79,951.47	(1,951.47)			0.00	

[1] These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2013 1099 Tax Guide online for more information on covered securities.

[2] For principal payments from REMICs or other fixed income securities, LPL Financial defaults to the accounting method of recognizing gain or loss at the final redemption or disposition of the asset. You may instead elect a different accounting method and recognize gain or loss on every principal paydown, which would override or nullify the gain or loss amount shown on this statement. Should you choose the latter, please consult with your tax professional on calculating the actual gain or loss amount for your tax return.

[3] A loss may not be taken on any cash and stock received from this merger.

[4] The amounts listed in this column represent the losses you incurred from wash sales triggered by the timing of redemptions and reinvestments, which cannot be deducted from your tax return.

[5] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

1099-B

Account Number: 5109-8752

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

The proceeds stated on this page are reported net of option premiums. However, option premiums are built into the gain or loss amounts computed below. If you have securities sold because of the exercise of an option granted or acquired before 2014, we have included option premium information as a separate column on this page, to help you reconcile to the gain/loss amount shown on this statement. Should you elect to report net of option premiums, you must adjust your gain/loss amounts on your Form 8949.

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
SHORT-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD ONE YEAR OR LESS (BOX 1C)										
COVERED (BOX 6B): FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS										
AUTOLIV INC QTY (BOX 1E): 12.000	052800109	06/18/13	10/07/13	1,065.22	945.66	119.56	0.00	0.00	0.00	
BLACKROCK INC QTY (BOX 1E): 5.000	09247X101	01/25/13	10/07/13	1,342.42	1,182.16	160.26	0.00	0.00	0.00	
DEERE & COMPANY QTY (BOX 1E): 22.000	244199105	11/23/12	09/04/13	1,861.41	1,842.57	18.84	0.00	0.00	0.00	
GAP INC QTY (BOX 1E): 134.000	364760108	03/23/12	02/12/13	4,350.06	3,520.65	829.41	0.00	0.00	0.00	
GOLDMAN SACHS GROUP INC QTY (BOX 1E): 7.000	38141G104	01/25/13	10/07/13	1,086.24	1,010.35	75.89	0.00	0.00	0.00	
HAIN CELESTIAL GROUP INC QTY (BOX 1E): 17.000	405217100	03/19/13	10/07/13	1,355.38	1,025.07	330.31	0.00	0.00	0.00	
LINKEDIN CORP CL A QTY (BOX 1E): 4.000	53578A108	09/04/13	10/07/13	958.62	966.22	(7.60)	0.00	0.00	0.00	
LULULEMON ATHLETICA INC QTY (BOX 1E): 26.000	550021109	06/11/12	05/31/13	2,043.94	1,706.83	337.11	0.00	0.00	0.00	
U S BANCORP DE NEW QTY (BOX 1E): 127.000	902973304	07/18/12	05/31/13	4,515.35	4,202.64	312.71	0.00	0.00	0.00	
VISA INC CLASS A QTY (BOX 1E): 9.000	92826C839	08/05/13	10/07/13	1,686.75	1,661.12	25.63	0.00	0.00	0.00	
VMWARE INC CLASS A QTY (BOX 1E): 33.000	928563402	04/30/12	03/25/13	2,512.26	3,681.75	(1,169.49)	0.00	0.00	0.00	
YUM BRANDS INC QTY (BOX 1E): 42.000	988498101	07/30/12	01/15/13	2,789.78	2,791.03	(1.25)	0.00	0.00	0.00	

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[2] Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

[4] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

1099-B, continued

Account Number: 5109-8752

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[3]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS, continued										
ASML HLDG NV NY NEW 2012 QTY (BOX 1E). 12.000	N07059210	05/06/13	10/07/13	1,194.09	916.80	277.29	0.00	0.00	0.00	
TOTAL SHORT-TERM COVERED				26,761.52	25,452.85	1,308.67	0.00	0.00	0.00	
LONG-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD MORE THAN ONE YEAR (BOX 1C)										
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS										
AMAZON.COM INC QTY (BOX 1E). 4.000	023135106	06/07/11	10/07/13	1,244.51	751.92	492.59	0.00	0.00	0.00	
AMERIPRISE FINL INC QTY (BOX 1E). 16.000	03076C106	06/07/11	10/07/13	1,478.69	911.20	567.49	0.00	0.00	0.00	
ANADARKO PETROLEUM CORP QTY (BOX 1E). 16.000	032511107	06/07/11	10/07/13	1,510.05	1,191.20	318.85	0.00	0.00	0.00	
ANALOG DEVICES INC QTY (BOX 1E). 28.000	032654105	09/28/12	10/07/13	1,310.65	1,096.82	213.83	0.00	0.00	0.00	
ANHEUSER BUSCH INBEV QTY (BOX 1E). 19.000	03524A108	07/18/12	10/07/13	1,856.64	1,475.42	381.22	0.00	0.00	0.00	
ARM HLDGS PLC SPONS ADR QTY (BOX 1E) 47.000	042068106	09/26/12	10/07/13	2,224.94	1,292.50	932.44	0.00	0.00	0.00	
BORG WARNER INC QTY (BOX 1E). 22.000	099724106	06/07/11	10/07/13	2,244.18	1,495.34	748.84	0.00	0.00	0.00	
BROADCOM CORP CL A QTY (BOX 1E). 107.000	111320107	VARIOUS	07/09/13	3,582.29	3,728.40	(146.11)	0.00	0.00	0.00	
CAMERON INTL CORP QTY (BOX 1E) 7.000	13342B105	06/07/11	05/21/13	456.87	318.50	138.37	0.00	0.00	0.00	
CAMERON INTL CORP QTY (BOX 1E). 29.000	13342B105	06/07/11	10/07/13	1,778.24	1,319.47	458.77	0.00	0.00	0.00	
CELGENE CORP QTY (BOX 1E). 17.000	151020104	06/07/11	10/07/13	2,626.79	1,015.07	1,611.72	0.00	0.00	0.00	

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[2] Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.
[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.
[4] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

1099-B, continued

Account Number: 5109-8752

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS, continued										
CERNER CORP QTY (BOX 1E): 23.000	156782104	06/27/11	10/07/13	1,246.57	678.50	568.07	0.00	0.00	0.00	
CHEVRON CORP QTY (BOX 1E) 8.000	166764100	08/12/11	10/07/13	945.34	764.72	180.62	0.00	0.00	0.00	
COGNIZANT TECH SLTNS A QTY (BOX 1E). 48.000	192446102	VARIOUS	06/11/13	3,082.52	3,544.94	(462.42)	0.00	0.00	0.00	
DANAHER CORP QTY (BOX 1E): 5.000	235851102	06/07/11	05/21/13	319.19	260.79	58.40	0.00	0.00	0.00	
DANAHER CORP QTY (BOX 1E) 22.000	235851102	06/07/11	10/07/13	1,499.05	1,147.45	351.60	0.00	0.00	0.00	
DEERE & COMPANY QTY (BOX 1E): 33.000	244199105	12/20/11	09/04/13	2,792.11	2,508.81	283.30	0.00	0.00	0.00	
DIAGEO PLC NEW SPONS ADR QTY (BOX 1E): 10.000	25243Q205	06/07/11	03/19/13	1,233.20	843.10	390.10	0.00	0.00	0.00	
DIAGEO PLC NEW SPONS ADR QTY (BOX 1E) 13.000	25243Q205	VARIOUS	10/07/13	1,633.29	1,081.28	552.01	0.00	0.00	0.00	
DISNEY WALT COMPANY QTY (BOX 1E). 16.000	254687106	06/07/11	10/07/13	1,037.10	632.32	404.78	0.00	0.00	0.00	
DIRECTV QTY (BOX 1E). 54.000	25490A309	VARIOUS	04/08/13	2,974.17	2,602.56	371.61	0.00	0.00	0.00	
DOLLAR GENERAL CORP NEW QTY (BOX 1E): 25.000	256677105	08/10/12	10/07/13	1,440.47	1,292.34	148.13	0.00	0.00	0.00	
EMC CORP MASS QTY (BOX 1E). 12.000	268648102	06/07/11	10/07/13	304.91	325.41	0.00	0.00	0.00	(20.50)	
EMC CORP MASS QTY (BOX 1E): 61.000	268648102	06/07/11	10/07/13	1,549.98	1,654.20	(104.22)	0.00	0.00	0.00	
EBAY INC QTY (BOX 1E). 12.000	278642103	06/07/11	06/11/13	629.02	357.69	271.33	0.00	0.00	0.00	

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[2] Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.
[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.
[4] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

1099-B, continued

Account Number: 5109-8752

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS, continued										
EBAY INC QTY (BOX 1E). 34.000	278642103	VARIOUS	10/07/13	1,866 22	1,017 33	848 89	0.00	0 00	0 00	
EQUINIX INC NEW QTY (BOX 1E)· 15.000	29444U502	08/29/11	08/05/13	2,677.59	1,381.50	1,296.09	0.00	0 00	0 00	
EQUINIX INC NEW QTY (BOX 1E): 17 000	29444U502	10/14/11	09/16/13	2,963 06	1,639.94	1,323 12	0 00	0 00	0 00	
EXPRESS SCRIPTS HLDG CO QTY (BOX 1E)· 18 000	30219G108	06/07/11	10/07/13	1,131 64	1,026 00	105 64	0 00	0 00	0 00	
FLUOR CORP NEW QTY (BOX 1E)· 22 000	343412102	VARIOUS	10/07/13	1,575.17	1,070 78	504.39	0 00	0 00	0 00	
FLOWSERVE CORP QTY (BOX 1E). 23 000	34354P105	06/07/11	10/07/13	1,405 27	862.96	542 31	0 00	0 00	0.00	
INTL BUSINESS MACHS CORP QTY (BOX 1E) 16 000	459200101	06/07/11	05/06/13	3,235 61	2,637 28	598 33	0.00	0 00	0 00	
INTL BUSINESS MACHS CORP QTY (BOX 1E)· 6 000	459200101	06/07/11	10/07/13	1,095 16	988 98	106 18	0 00	0 00	0 00	
ESTEE LAUDER COMPANY INC QTY (BOX 1E): 41.000	518439104	06/07/11	06/18/13	2,853.64	1,995 68	857 96	0 00	0.00	0 00	
ESTEE LAUDER COMPANY INC QTY (BOX 1E) 15 000	518439104	06/07/11	10/07/13	1,039.48	730 12	309 36	0 00	0 00	0 00	
QUALCOMM INC QTY (BOX 1E)· 31 000	747525103	VARIOUS	09/16/13	2,119 12	1,855 36	263 76	0 00	0 00	0 00	
QUALCOMM INC QTY (BOX 1E)· 28 000	747525103	VARIOUS	10/07/13	1,887 16	1,749 34	137 82	0 00	0 00	0 00	
ROCKWELL AUTOMATION INC QTY (BOX 1E)· 27.000	773903109	02/28/12	07/09/13	2,376 22	2,187.53	188 69	0 00	0 00	0 00	
SCHEIN HENRY INC QTY (BOX 1E). 24 000	806407102	06/07/11	10/07/13	2,504 11	1,659 34	844 77	0.00	0 00	0 00	

[1] These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2013 1099 Tax Guide online for more information on covered securities.

[2] Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

[4] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

1099-B, continued

Account Number: 5109-8752

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS, continued										
UNITED TECHNOLOGIES CORP QTY (BOX 1E). 18.000	913017109	01/10/12	10/07/13	1,878.44	1,371.41	507.03	0.00	0.00	0.00	
VARIAN MED SYS INC QTY (BOX 1E): 40.000	92220P105	VARIOUS	07/12/13	2,755.97	2,679.04	76.93	0.00	0.00	0.00	
WALGREEN COMPANY QTY (BOX 1E). 35.000	931422109	09/05/12	10/07/13	1,943.16	1,261.75	681.41	0.00	0.00	0.00	
ACCENTURE PLC IRELD CL A QTY (BOX 1E): 22.000	G1151C101	06/07/11	10/07/13	1,600.71	1,241.24	359.47	0.00	0.00	0.00	
TOTAL LONG-TERM COVERED				**77,908.50**	**59,645.53**	**18,283.47**	**0.00**	**0.00**	**(20.50)**	
NON-COVERED[1] (BOX 6A): FORM 8949 (BOX E) WITH BASIS NOT REPORTED TO THE IRS										
ALLERGAN INC QTY (BOX 1E): 21.000	018490102	VARIOUS	01/25/13	2,233.29	856.10	1,377.19	0.00	0.00	0.00	
ALLERGAN INC QTY (BOX 1E). 7.000	018490102	07/06/09	10/07/13	630.82	330.67	300.15	0.00	0.00	0.00	
APPLE INC QTY (BOX 1E): 9.000	037833100	11/24/08	09/24/13	4,438.98	833.10	3,605.88	0.00	0.00	0.00	
APPLE INC QTY (BOX 1E): 8.000	037833100	VARIOUS	10/07/13	3,925.69	962.01	2,963.68	0.00	0.00	0.00	
TOTAL LONG-TERM NON-COVERED				**11,228.78**	**2,981.88**	**8,246.90**	**0.00**	**0.00**	**0.00**	
TOTAL SALE TRADES OR EXCHANGES (BOX 2A)				**115,898.80**	**88,080.26**	**27,839.04**	**0.00**	**0.00**	**(20.50)**	

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1099-B

Account Number: 5111-6378

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

The proceeds stated on this page are reported net of option premiums. However, option premiums are built into the gain or loss amounts computed below. If you have securities sold because of the exercise of an option granted or acquired before 2014, we have included option premium information as a separate column on this page, to help you reconcile to the gain/loss amount shown on this statement. Should you elect to report net of option premiums, you must adjust your gain/loss amounts on your Form 8949.

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
SHORT-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD ONE YEAR OR LESS (BOX 1C)										
COVERED (BOX 6B): FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS										
AT&T INC QTY (BOX 1E) 4 000	00206R102	VARIOUS	10/25/13	140 56	145 09	(4 53)	0 00	0.00	0 00	
ALLSTATE CORP QTY (BOX 1E)· 6 000	020002101	VARIOUS	10/25/13	319.44	293 89	25 55	0 00	0 00	0 00	
AMERIPRISE FINL INC QTY (BOX 1E) 12 000	03076C106	05/31/13	10/25/13	1,192.43	999 68	192 75	0 00	0 00	0 00	
ANALOG DEVICES INC QTY (BOX 1E) 28 000	032654105	12/03/12	10/25/13	1,305.34	1,140 16	165 18	0 00	0 00	0 00	
ARM HLDGS PLC SPONS ADR QTY (BOX 1E)· 60 000	042068106	07/09/13	10/25/13	2,863.15	2,320 80	542 35	0 00	0.00	0 00	
AUTOLIV INC QTY (BOX 1E) 21 000	052800109	06/18/13	10/25/13	1,915 16	1,654 89	260.27	0 00	0 00	0 00	
BP PLC SPONS ADR QTY (BOX 1E). 111.000	055622104	VARIOUS	10/25/13	4,839 52	4,645 66	193 86	0 00	0 00	0 00	
BAKER HUGHES INC QTY (BOX 1E): 8.000	057224107	VARIOUS	10/25/13	467.12	361.68	105.44	0.00	0.00	0 00	
BNY MELLON CORP QTY (BOX 1E) 2 000	064058100	VARIOUS	10/25/13	63.33	58 68	4 65	0 00	0.00	0 00	
BOEING COMPANY QTY (BOX 1E)· 10 000	097023105	VARIOUS	10/25/13	1,310.98	894 99	415 99	0 00	0 00	0 00	
CAPITAL ONE FINL CORP QTY (BOX 1E): 33.000	14040H105	09/17/13	10/25/13	2,318 86	2,267 73	51 13	0 00	0 00	0 00	
CARNIVAL CORP PAIRD CTF QTY (BOX 1E). 164 000	143658300	VARIOUS	10/25/13	5,671.02	5,242.17	428.85	0.00	0.00	0.00	

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Account Number: 5111-6378

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS, continued										
CATERPILLAR INC QTY (BOX 1E). 43.000	149123101	10/25/13	11/18/13	3,619.32	3,630.49	(11.17)	0.00	0.00	0.00	
CISCO SYSTEMS INC QTY (BOX 1E): 68.000	17275R102	VARIOUS	10/25/13	1,522.56	1,409.62	112.94	0.00	0.00	0.00	
COACH INC QTY (BOX 1E): 55.000	189754104	VARIOUS	10/25/13	2,741.70	2,746.40	(4.70)	0.00	0.00	0.00	
DOW CHEMICAL COMPANY QTY (BOX 1E). 3.000	260543103	VARIOUS	10/25/13	117.73	100.69	17.04	0.00	0.00	0.00	
EMC CORP MASS QTY (BOX 1E): 94.000	268648102	VARIOUS	10/25/13	2,237.18	2,319.69	(82.51)	0.00	0.00	0.00	
FLOWSERVE CORP QTY (BOX 1E): 5.000	34354P105	09/30/13	10/25/13	342.35	311.05	31.30	0.00	0.00	0.00	
GOLDMAN SACHS GROUP INC QTY (BOX 1E) 60.000	38141G104	VARIOUS	10/25/13	9,703.63	9,202.99	500.64	0.00	0.00	0.00	
GOOGLE INC CL A QTY (BOX 1E). 8.000	38259P508	10/07/13	10/25/13	8,124.49	6,941.12	1,183.37	0.00	0.00	0.00	
HAIN CELESTIAL GROUP INC QTY (BOX 1E). 29.000	405217100	VARIOUS	10/25/13	2,432.18	1,815.34	616.84	0.00	0.00	0.00	
HEWLETT-PACKARD COMPANY QTY (BOX 1E). 6.000	428236103	VARIOUS	10/25/13	143.76	138.67	5.09	0.00	0.00	0.00	
INTEL CORP QTY (BOX 1E) 3.000	458140100	02/01/13	11/18/13	73.95	63.96	9.99	0.00	0.00	0.00	
ITAU SA ADR REP 500 PFD QTY (BOX 1E): 246.000	465562106	VARIOUS	10/25/13	3,710.10	3,245.19	464.91	0.00	0.00	0.00	
JOHNSON CONTROLS INC QTY (BOX 1E) 46.000	478366107	VARIOUS	10/25/13	1,966.93	1,333.45	633.48	0.00	0.00	0.00	
LINKEDIN CORP CL A QTY (BOX 1E). 7.000	53578A108	09/04/13	10/25/13	1,690.75	1,690.87	(0.12)	0.00	0.00	0.00	

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Account Number: 5111-6378

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS, continued										
URBAN OUTFITTERS INC QTY (BOX 1E). 80.000	917047102	VARIOUS	10/25/13	3,011 95	2,887 91	124 04	0 00	0 00	0 00	
VF CORP QTY (BOX 1E). 10 000	918204108	10/07/13	10/25/13	2,120 46	1,950 90	169 56	0 00	0.00	0 00	
VALEANT PHARM INTL INC QTY (BOX 1E)· 23.000	91911K102	10/07/13	10/25/13	2,575 95	2,547 02	28 93	0 00	0 00	0 00	
VISA INC CLASS A QTY (BOX 1E) 20 000	92826C839	VARIOUS	10/25/13	4,038 12	3,770 63	267 49	0 00	0 00	0 00	
VODAFONE GRP PLC NEW ADR QTY (BOX 1E). 16.000	92857W209	VARIOUS	10/25/13	585 48	457 80	127 68	0 00	0 00	0 00	
WILLIAMS SONOMA INC QTY (BOX 1E). 40 000	969904101	10/07/13	10/25/13	2,136 36	2,172.00	(35 64)	0 00	0 00	0 00	
ACCENTURE PLC IRELD CL A QTY (BOX 1E) 20 000	G1151C101	07/12/13	10/25/13	1,469 38	1,501 71	(32 33)	0 00	0 00	0 00	
ENSCO PLC CL A QTY (BOX 1E). 5.000	G3157S106	VARIOUS	10/25/13	283 15	283 68	(0 53)	0 00	0 00	0 00	
INVESCO LTD SHS QTY (BOX 1E). 2.000	G491BT108	VARIOUS	10/25/13	65 43	62 07	3 36	0 00	0 00	0 00	
MICHAEL KORS HLDGS LTD QTY (BOX 1E): 60 000	G60754101	10/07/13	10/25/13	4,573 72	4,483 20	90.52	0 00	0 00	0 00	
PARTNERRE LIMITED QTY (BOX 1E): 50.000	G6852T105	VARIOUS	10/25/13	4,683.42	4,563 27	120.15	0 00	0 00	0 00	
ASML HLDG NV NY NEW 2012 QTY (BOX 1E): 21.000	N07059210	05/06/13	10/25/13	1,976 27	1,604 40	371 87	0.00	0 00	0 00	
TOTAL SHORT-TERM COVERED				**150,906.78**	**139,421.94**	**- 11,484.84**	**0.00**	**0.00**	**0.00**	

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Account Number: 5111-6378

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
LONG-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD MORE THAN ONE YEAR (BOX 1C)										
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS										
AT&T INC QTY (BOX 1E): 5 000	00206R102	05/07/12	10/25/13	175 70	165 05	10 65	0 00	0 00	0 00	
ALLERGAN INC QTY (BOX 1E). 16 000	018490102	06/27/11	10/25/13	1,477 73	1,301 60	176 13	0 00	0 00	0 00	
ALLSTATE CORP QTY (BOX 1E) 45 000	020002101	VARIOUS	10/25/13	2,395 78	1,404 87	990 91	0 00	0.00	0 00	
AMAZON COM INC QTY (BOX 1E): 6 000	023135106	VARIOUS	10/25/13	2,900 82	1,531 86	1,368 96	0 00	0 00	0 00	
AMERIPRISE FINL INC QTY (BOX 1E): 22.000	03076C106	VARIOUS	10/25/13	2,186 09	1,244 26	941 83	0 00	0 00	0 00	
ANADARKO PETROLEUM CORP QTY (BOX 1E). 28 000	032511107	VARIOUS	10/25/13	2,683.22	2,051 30	631 92	0 00	0 00	0 00	
ANALOG DEVICES INC QTY (BOX 1E): 30.000	032654105	09/28/12	10/25/13	1,398 57	1,175 16	223.41	0 00	0 00	0 00	
ANHEUSER BUSCH INBEV QTY (BOX 1E). 37 000	03524A108	07/18/12	10/25/13	3,863 10	2,873 16	989 94	0 00	0 00	0 00	
ARM HLDGS PLC SPONS ADR QTY (BOX 1E): 26.000	042068106	09/26/12	10/25/13	1,240 69	715 00	525 69	0 00	0 00	0 00	
BAKER HUGHES INC QTY (BOX 1E): 85 000	057224107	VARIOUS	10/25/13	4,963 07	4,311 37	651 70	0.00	0 00	0 00	
BNY MELLON CORP QTY (BOX 1E): 35 000	064058100	VARIOUS	10/25/13	1,108 18	918 75	189 43	0 00	0 00	0 00	
BLACKROCK INC QTY (BOX 1E): 3 000	09247X101	06/07/11	10/25/13	917 33	572 46	344 87	0 00	0.00	0 00	
BORG WARNER INC QTY (BOX 1E)· 41 000	099724106	VARIOUS	10/25/13	4,344 28	2,819 53	1,524.75	0 00	0 00	0.00	

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Account Number: 5111-6378

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS, continued										
CAMERON INTL CORP QTY (BOX 1E): 49 000	13342B105	VARIOUS	10/25/13	2,611 16	2,236 81	374 35	0 00	0 00	0 00	
CELGENE CORP QTY (BOX 1E). 27 000	151020104	VARIOUS	10/25/13	4,189 78	1,606 58	2,583 20	0 00	0.00	0 00	
CERNER CORP QTY (BOX 1E): 41 000	156782104	VARIOUS	10/25/13	2,309 89	1,599 05	710 84	0 00	0 00	0 00	
CISCO SYSTEMS INC QTY (BOX 1E): 164.000	17275R102	07/17/12	10/25/13	3,672 05	2,645 32	1,026 73	0.00	0 00	0 00	
COACH INC QTY (BOX 1E): 20 000	189754104	08/03/12	10/25/13	996 98	1,019 14	(22 16)	0 00	0 00	0 00	
DANAHER CORP QTY (BOX 1E). 47.000	235851102	VARIOUS	10/25/13	3,378 77	2,432 95	945.82	0 00	0 00	0 00	
DOLLAR GENERAL CORP NEW QTY (BOX 1E). 45 000	256677105	VARIOUS	10/25/13	2,678 35	2,289 34	389 01	0 00	0 00	0 00	
DOW CHEMICAL COMPANY QTY (BOX 1E): 20 000	260543103	VARIOUS	10/25/13	784 84	691 80	93 04	0 00	0 00	0 00	
EMC CORP MASS QTY (BOX 1E): 142 000	268648102	VARIOUS	10/25/13	3,379 54	3,852 31	(472.77)	0 00	106 92	0 00	
EBAY INC QTY (BOX 1E). 63 000	278642103	VARIOUS	10/25/13	3,241 92	1,867 31	1,374.61	0 00	0 00	0 00	
EXPRESS SCRIPTS HLDG CO QTY (BOX 1E)· 38 000	30219G108	VARIOUS	10/25/13	2,317.95	2,110 00	207.95	0.00	0.00	0.00	
FLUOR CORP NEW QTY (BOX 1E). 35.000	343412102	10/03/11	10/25/13	2,643 15	1,600 68	1,042 47	0 00	0 00	0 00	
FLOWSERVE CORP QTY (BOX 1E)· 40.000	34354P105	VARIOUS	10/25/13	2,738 74	1,454 15	1,284.59	0.00	0.00	0 00	
FREEPORT MCMRN COP & GLD QTY (BOX 1E) 41.000	35671D857	VARIOUS	10/25/13	1,535.42	1,530 52	4.90	0.00	0 00	0 00	

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DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS, continued										
HEWLETT-PACKARD COMPANY QTY (BOX 1E) 146 000	428236103	VARIOUS	10/25/13	3,498.11	3,563 32	(65 21)	0 00	0 00	0 00	
INTEL CORP QTY (BOX 1E) 15 000	458140100	06/07/11	11/18/13	369 75	333 15	36 60	0 00	0 00	0 00	
JOHNSON CONTROLS INC QTY (BOX 1E) 33 000	478366107	VARIOUS	10/25/13	1,411 05	1,038 84	372 21	0 00	175 02	0 00	
MEDTRONIC INC QTY (BOX 1E) 25 000	585055106	VARIOUS	10/25/13	1,431 22	987.66	443 56	0 00	0 00	0 00	
MERCK & COMPANY INC NEW QTY (BOX 1E): 6.000	58933Y105	04/25/11	10/25/13	276.17	205 59	70 58	0 00	0 00	0 00	
METLIFE INC QTY (BOX 1E): 90.000	59156R108	VARIOUS	10/25/13	4,373.02	3,626.21	746 81	0 00	0 00	0 00	
MICROSOFT CORP QTY (BOX 1E): 95 000	594918104	VARIOUS	10/25/13	3,409 50	2,293.52	1,115.98	0.00	0.00	0.00	
NEW YORK CMNTY BANCORP QTY (BOX 1E) 195 000	649445103	VARIOUS	10/25/13	3,114 12	2,835 83	278.29	0 00	0 00	0 00	
OMNICOM GROUP INC QTY (BOX 1E) 25 000	681919106	VARIOUS	10/25/13	1,684 97	1,166 20	518 77	0 00	0 00	0 00	
PFIZER INC QTY (BOX 1E): 110 000	717081103	VARIOUS	10/25/13	3,364 85	1,923 90	1,440 95	0 00	0 00	0 00	
PRUDENTIAL FINL INC QTY (BOX 1E): 40 000	744320102	VARIOUS	10/25/13	3,269 53	2,391 88	877 65	0 00	0 00	0 00	
SCHEIN HENRY INC QTY (BOX 1E) 44 000	806407102	VARIOUS	10/25/13	4,880 83	3,060 13	1,820 70	0.00	0 00	0 00	
SCHLUMBERGER LTD QTY (BOX 1E) 25 000	806857108	06/07/11	10/25/13	2,320 20	2,097 00	223.20	0 00	0 00	0 00	
SIEMENS A G SPONS ADR QTY (BOX 1E). 41 000	826197501	VARIOUS	10/25/13	5,318 83	3,867.04	1,451.79	0.00	0 00	0.00	

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Account Number: 5111-6378

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS, continued										
VODAFONE GRP PLC NEW ADR QTY (BOX 1E): 133 000	92857W209	VARIOUS	10/25/13	4,866 78	3,518 32	1,348 46	0 00	0 00	0 00	
ACCENTURE PLC IRELD CL A QTY (BOX 1E). 28 000	G1151C101	VARIOUS	10/25/13	2,057 11	1,593.90	463 21	0 00	0 00	0 00	
ENSCO PLC CL A QTY (BOX 1E): 10 000	G3157S106	06/07/11	10/25/13	566 29	557 00	9 29	0.00	0 00	0 00	
INVESCO LTD SHS QTY (BOX 1E) 60 000	G491BT108	VARIOUS	10/25/13	1,962 70	1,358 88	603 82	0 00	0 00	0 00	
TOTAL LONG-TERM COVERED				114,308.13	84,438.70	29,869.43	0.00	281.94	0.00	
NON-COVERED[1] (BOX 6A): FORM 8949 (BOX E) WITH BASIS NOT REPORTED TO THE IRS										
AT&T INC QTY (BOX 1E) 89 000	00206R102	VARIOUS	10/25/13	3,127 40	2,283 46	843.94	0.00	0.00	0 00	
ALLSTATE CORP QTY (BOX 1E): 55 000	020002101	03/24/09	10/25/13	2,928 17	1,126 92	1,801 25	0 00	0 00	0 00	
BAKER HUGHES INC QTY (BOX 1E). 13 000	057224107	08/03/10	10/25/13	759 05	571 30	187.75	0 00	0 00	0.00	
BNY MELLON CORP QTY (BOX 1E) 95 000	064058100	VARIOUS	10/25/13	3,007 90	2,413 81	594 09	0 00	0 00	0 00	
BLACKROCK INC QTY (BOX 1E). 4.000	09247X101	06/15/10	10/25/13	1,223 09	649 87	573.22	0 00	0.00	0 00	
BOEING COMPANY QTY (BOX 1E) 30 000	097023105	11/18/08	10/25/13	3,932 92	1,169 94	2,762 98	0 00	0 00	0 00	
DOW CHEMICAL COMPANY QTY (BOX 1E) 78.000	260543103	08/04/09	10/25/13	3,060.88	1,755.70	1,305 18	0 00	0.00	0.00	
GENERAL ELECTRIC COMPANY QTY (BOX 1E): 4 000	369604103	03/24/09	10/25/13	103 23	43 04	60 19	0 00	0 00	0 00	

[1] These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2013 1099 Tax Guide online for more information on covered securities.

[2] Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

[4] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

Account Number: 5111-6378

1099-B, continued

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
NON-COVERED[1] (BOX 6A): FORM 8949 (BOX E) WITH BASIS NOT REPORTED TO THE IRS, continued										
INTEL CORP QTY (BOX 1E) 136 000	458140100	VARIOUS	11/18/13	3,352.33	2,624.88	727.45	0.00	0.00	0.00	
MEDTRONIC INC QTY (BOX 1E) 59 000	585055106	VARIOUS	10/25/13	3,377.68	1,881.48	1,496.20	0.00	0.00	0.00	
METLIFE INC QTY (BOX 1E) 38 000	59156R108	04/20/09	10/25/13	1,846.39	1,009.00	837.39	0.00	0.00	0.00	
MICROSOFT CORP QTY (BOX 1E) 21 000	594918104	VARIOUS	10/25/13	753.66	503.09	250.57	0.00	0.00	0.00	
NEW YORK CMNTY BANCORP QTY (BOX 1E) 82 000	649445103	08/06/09	10/25/13	1,309.52	906.86	402.66	0.00	0.00	0.00	
OMNICOM GROUP INC QTY (BOX 1E) 9 000	681919106	08/26/10	10/25/13	606.58	321.88	284.70	0.00	0.00	0.00	
PFIZER INC QTY (BOX 1E) 93 000	717081103	10/15/09	10/25/13	2,844.82	1,642.38	1,202.44	0.00	0.00	0.00	
PRUDENTIAL FINL INC QTY (BOX 1E) 37 000	744320102	11/18/10	10/25/13	3,024.32	2,013.13	1,011.19	0.00	0.00	0.00	
SCHLUMBERGER LTD QTY (BOX 1E) 25 000	806857108	01/26/09	10/25/13	2,320.20	1,056.63	1,263.57	0.00	0.00	0.00	
3M COMPANY QTY (BOX 1E) 19 000	88579Y101	08/21/06	10/25/13	2,360.32	1,354.89	1,005.43	0.00	0.00	0.00	
WELLS FARGO & CO NEW QTY (BOX 1E) 62 000	949746101	05/07/08	10/25/13	2,652.31	1,859.63	792.68	0.00	0.00	0.00	
ENSCO PLC CL A QTY (BOX 1E) 62 000	G3157S106	06/29/10	10/25/13	3,510.99	2,392.37	1,118.62	0.00	0.00	0.00	
INVESCO LTD SHS QTY (BOX 1E) 64 000	G491BT108	01/29/10	10/25/13	2,093.55	1,225.69	867.86	0.00	0.00	0.00	
TOTAL LONG-TERM NON-COVERED				**48,195.31**	**28,805.95**	**19,389.36**	**0.00**	**0.00**	**0.00**	

[1] These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2013 1099 Tax Guide online for more information on covered securities.

[2] Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

[4] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

1099-B, continued

Account Number: 5111-6378

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[3]	WASH SALE LOSS DISALLOWED[4] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
UNKNOWN BASIS OR HOLDING PERIOD FOR NON-COVERED 1099-B SALE TRANSACTIONS: FORM 8949 (BOX C or BOX F)										
MEDTRONIC INC QTY (BOX 1E): 1.000	585055106	10/11/13	10/25/13	57.25	0 00	57.25	N/A	N/A	N/A	
TOTAL UNKNOWN BASIS OR HOLDING PERIOD FOR NON-COVERED 1099-B SALE TRANSACTIONS				57.25	0 00	57 25	N/A	N/A	N/A	
TOTAL SALE TRADES OR EXCHANGES (BOX 2A)				313,467.47	252,666.59	60,800.88	0.00	281.94	0.00	

[1] These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2013 1099 Tax Guide online for more information on covered securities.

[2] Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

[4] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

1099-B

Account Number: 5647-4549

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

The proceeds stated on this page are reported net of option premiums. <u>However, option premiums are built into the gain or loss amounts computed below.</u> If you have securities sold because of the exercise of an option granted or acquired before 2014, we have included option premium information as a separate column on this page, to help you reconcile to the gain/loss amount shown on this statement. Should you elect to report net of option premiums, you must adjust your gain/loss amounts on your Form 8949.

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
SHORT-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD ONE YEAR OR LESS (BOX 1C)										
NON-COVERED[1] (BOX 6A): FORM 8949 (BOX B) WITH BASIS <u>NOT</u> REPORTED TO THE IRS										
AT&T INC 1 6 021517 QTY (BOX 1E): 15000.000	00206RBC5	03/06/13	10/08/13	15,004 50	15,179.73	(175.23)	0.00	0.00	0 00	
AMERN EXPR 1.75 061215 QTY (BOX 1E) 15000 000	0258M0DE6	03/06/13	10/08/13	15,235 50	15,275 53	(40.03)	0 00	0 00	0 00	
EBAY INC 1.35 071517 QTY (BOX 1E). 10000 000	278642AG8	11/20/12	10/08/13	9,946.30	10,110.28	(163.98)	0.00	0 00	0 00	
FHLMC MTN 1 75 091015 QTY (BOX 1E): 20000 000	3137EACM9	07/24/13	10/08/13	20,485 60	20,507 18	(21.58)	0 00	0 00	0 00	
UST NOTE 2 25 053114 QTY (BOX 1E): 15000 000	912828KV1	05/22/13	10/08/13	15,185 98	15,203 28	(17.30)	0 00	0 00	0 00	
UST NOTE 3 625 021520 QTY (BOX 1E): 10000.000	912828MP2	12/31/12	10/08/13	11,077 50	11,510 45	(432 95)	0.00	0 00	0 00	
UST NOTE 2 5 043015 QTY (BOX 1E): 15000 000	912828MZ0	05/22/13	10/08/13	15,489 50	15,528 11	(38.61)	0 00	0.00	0 00	
UST NOTE 1.25 013119 QTY (BOX 1E): 20000.000	912828SD3	11/20/12	10/08/13	19,686 25	20,349 14	(662.89)	0 00	0.00	0 00	
UST NOTE 2 0 021522 QTY (BOX 1E): 20000 000	912828SF8	09/06/13	10/08/13	19,394.84	18,957.66	437.18	0.00	0.00	0.00	
TOTAL SHORT-TERM NON-COVERED				**141,505.97**	**142,621.36**	**(1,115.39)**	**0.00**	**0.00**	**0.00**	

[1] These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2013 1099 Tax Guide online for more information on covered securities.

[2] Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

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1099-B, continued

Account Number: 5647-4549

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
LONG-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD MORE THAN ONE YEAR (BOX 1C)										
NON-COVERED[1](BOX 6A): FORM 8949 (BOX E) WITH BASIS NOT REPORTED TO THE IRS										
BP CAP MKTS 3 875 031015 QTY (BOX 1E): 20000 000	05565QBH0	09/08/09	10/08/13	20,886 20	20,524 25	361 95	0 00	0 00	0 00	
COCA-COLA 4 875 031519 QTY (BOX 1E) 10000 000	191216AM2	08/06/10	10/08/13	11,339 50	11,217.84	121 66	0.00	0.00	0 00	
DU PONT E I 3 25 011515 QTY (BOX 1E): 15000 000	263534BY4	09/15/10	10/08/13	15,497 10	16,012 50	(515 40)	0 00	0 00	0 00	
FNMA NOTE 4.625 101514 QTY (BOX 1E): 18000 000	31359MWJ8	09/04/09	10/08/13	18,780 30	19,098 94	(318 64)	0 00	0 00	0 00	
FHLMC MTN 2 0 082516 QTY (BOX 1E): 7000 000	3137EACW7	07/19/12	10/08/13	7,240 52	7,267 28	(26.76)	0 00	0 00	0 00	
JPM SR NOTE 3 7 012015 QTY (BOX 1E) 15000 000	46625HHP8	03/09/12	10/08/13	15,520 20	15,408 32	111 88	0 00	0.00	0 00	
MCDONALDS NT 5 35 030118 QTY (BOX 1E) 15000 000	58013MEE0	09/08/09	10/08/13	17,251 80	16,019 44	1,232 36	0.00	0 00	0.00	
SIMON PPTY 3 375 031522 QTY (BOX 1E) 10000 000	828807CK1	09/05/12	10/08/13	9,816 10	10,454 14	(638 04)	0 00	0 00	0 00	
TARGET CORP 2 9 011522 QTY (BOX 1E): 10000 000	87612EAZ9	09/06/12	10/08/13	9,741 90	10,471 12	(729 22)	0 00	0.00	0 00	
UST NOTE 4 25 081514 QTY (BOX 1E) 15000.000	912828CT5	05/04/06	10/08/13	15,517 48	14,524 95	992 53	0 00	0 00	0 00	
UST NOTE 4 5 021516 QTY (BOX 1E): 20000 000	912828EW6	05/11/10	10/08/13	21,861 25	22,037 02	(175 77)	0 00	0 00	0 00	
UST NOTE 3 125 051519 QTY (BOX 1E). 12000.000	912828KQ2	12/01/10	10/08/13	12,963 00	12,438 55	524 45	0 00	0.00	0 00	
UST NOTE 3 625 021520 QTY (BOX 1E) 15000 000	912828MP2	10/18/11	10/08/13	16,616 25	16,626 33	(10 08)	0 00	0.00	0 00	

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[2] Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

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1099-B, continued

Account Number: 5647-4549

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
NON-COVERED[1] (BOX 6A): FORM 8949 (BOX E) WITH BASIS NOT REPORTED TO THE IRS, continued										
UST NOTE 2 5 043015 QTY (BOX 1E) 10000.000	912828MZ0	05/08/12	10/08/13	10,326.32	10,329.54	(3.22)	0.00	0.00	0.00	
UST NOTE 2.625 111520 QTY (BOX 1E) 12000 000	912828PC8	12/15/10	10/08/13	12,444.56	11,218.59	1,225.97	0.00	0.00	0.00	
WF SR UNSECD5 625 121117 QTY (BOX 1E) 15000 000	949746NX5	03/09/12	10/08/13	17,226.15	16,813.38	412.77	0.00	0.00	0.00	
TOTAL LONG-TERM NON-COVERED				233,028.63	230,482.19	2,566.44	0.00	0.00	0.00	
TOTAL SALE TRADES OR EXCHANGES (BOX 2A)				374,534.60	373,083.55	1,451.05	0.00	0.00	0.00	

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[2] Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

[4] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

1099-B

Account Number: 5985-6044

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

The proceeds stated on this page are reported net of option premiums. **<u>However, option premiums are built into the gain or loss amounts computed below.</u> If you have securities sold because of the exercise of an option granted or acquired before 2014, we have included option premium information as a separate column on this page, to help you reconcile to the gain/loss amount shown on this statement. Should you elect to report net of option premiums, you must adjust your gain/loss amounts on your Form 8949.**

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
SHORT-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD ONE YEAR OR LESS (BOX 1C)										
COVERED (BOX 6B): FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS										
BG GROUP PLC ADR NEW QTY (BOX 1E)· 27 000	055434203	09/25/12	09/17/13	509 87	558 06	(48 19)	0 00	0 00	0 00	
DEUTSCHE POST AG ADR QTY (BOX 1E) 16 000	25157Y202	03/12/13	11/12/13	533 76	381 93	151 83	0 00	0 00	0 00	
PEARSON PLC SPONS ADR QTY (BOX 1E). 30 000	705015105	04/30/12	03/05/13	537 03	569 43	(32 40)	0.00	0 00	0 00	
PEARSON PLC SPONS ADR QTY (BOX 1E). 31.000	705015105	04/30/12	03/13/13	552 40	588 40	(36 00)	0 00	0 00	0 00	
SODEXO SPONSORED ADR QTY (BOX 1E) 9 000	833792104	02/15/13	08/23/13	822 69	830 62	(7 93)	0.00	0 00	0 00	
VOLVO AKTIEBOLAGET ADR B QTY (BOX 1E) 44 000	928856400	09/19/12	07/16/13	615 68	628.92	(13 24)	0 00	0 00	0 00	
TOTAL SHORT-TERM COVERED				**3,571.43**	**3,557.36**	**14.07**	**0.00**	**0.00**	**0.00**	
LONG-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD MORE THAN ONE YEAR (BOX 1C)										
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS										
AMER MOVIL SAB ADR L QTY (BOX 1E) 41.000	02364W105	VARIOUS	12/10/13	923 21	1,065.06	(141.85)	0 00	0 00	0 00	
BG GROUP PLC ADR NEW QTY (BOX 1E) 30 000	055434203	08/05/11	09/17/13	566.51	624.51	(58 00)	0 00	0 00	0.00	
BAIDU INC SPONS ADR CL A QTY (BOX 1E)· 7 000	056752108	07/10/12	09/25/13	1,054 67	766 06	288 61	0 00	0 00	0 00	

[1] These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2013 1099 Tax Guide online for more information on covered securities.

[2] Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

[4] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

1099-B, continued

Account Number: 5985-6044

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS, continued										
BAIDU INC SPONS ADR CL A QTY (BOX 1E) 4 000	056752108	VARIOUS	10/21/13	651.26	440 29	210 97	0.00	0.00	0.00	
BHP BILLITON LIMITED ADR QTY (BOX 1E): 4.000	088606108	01/20/11	08/30/13	253.08	352 72	(99.64)	0.00	0.00	0 00	
BRAMBLES LTD QTY (BOX 1E). 31.000	105105100	06/15/11	05/16/13	562 69	483 63	79 06	0 00	0 00	0.00	
BRAMBLES LTD QTY (BOX 1E) 26.000	105105100	06/15/11	07/15/13	442 23	405.63	36 60	0.00	0.00	0.00	
CANON INC ADR REPR 5 SHS QTY (BOX 1E)' 16 000	138006309	VARIOUS	01/31/13	584.78	745 86	(161 08)	0.00	0.00	0 00	
CAP GEMINI SA ADR QTY (BOX 1E): 35 000	139098107	VARIOUS	01/17/13	799 97	828 69	(28 72)	0 00	0 00	0 00	
COCA COLA HELLENIC ADR QTY (BOX 1E) 4 000	1912EP104	01/20/11	02/28/13	107.60	113 65	(6 05)	0 00	0 00	0.00	
FOMENTO ECONO ADR 1 UNIT QTY (BOX 1E): 4.000	344419106	02/28/11	01/23/13	436.15	223 92	212.23	0 00	0 00	0 00	
GALAXY ENTMT GRP LTD ADR QTY (BOX 1E): 6 000	36318L104	04/13/12	09/09/13	391 98	177 58	214.40	0 00	0 00	0 00	
GAZPROM OAO SPONS ADR QTY (BOX 1E): 10.000	368287207	01/20/11	07/01/13	65 92	130 45	(64.53)	0 00	0 00	0 00	
GROUPE CGI INC CL A VTG QTY (BOX 1E): 8 000	39945C109	04/28/11	09/17/13	280 87	173 78	107 09	0 00	0 00	0 00	
L OREAL COMPANY ADR QTY (BOX 1E): 20 000	502117203	09/12/11	02/19/13	595 63	391.19	204 44	0 00	0 00	0 00	
L OREAL COMPANY ADR QTY (BOX 1E). 34.000	502117203	09/12/11	04/19/13	1,118.67	665.00	453 67	0 00	0 00	0 00	
POTASH CORP SASK INC QTY (BOX 1E)' 19.000	73755L107	VARIOUS	09/16/13	627 55	817.50	(189.95)	0 00	0 00	0.00	

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[2] Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

[4] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

1099-B, continued

Account Number: 5985-6044

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS, continued										
POTASH CORP SASK INC QTY (BOX 1E): 32.000	73755L107	VARIOUS	11/19/13	1,044.46	1,278.91	(234.45)	0.00	0.00	0.00	
SWEDBANK AB SPONS ADR QTY (BOX 1E): 18.000	870195104	02/25/11	04/03/13	408.21	306.56	101.65	0.00	0.00	0.00	
VOLKSWAGEN A G SPONS ADR QTY (BOX 1E): 15.000	928662402	07/11/11	01/07/13	688.54	622.41	66.13	0.00	0.00	0.00	
VOLKSWAGEN A G SPONS ADR QTY (BOX 1E): 15.000	928662402	VARIOUS	02/26/13	639.46	591.54	47.92	0.00	0.00	0.00	
VOLVO AKTIEBOLAGET ADR B QTY (BOX 1E): 64.000	928856400	VARIOUS	07/16/13	895.50	1,161.71	(266.21)	0.00	0.00	0.00	
WORLEYPARSONS LTD ADR QTY (BOX 1E): 72.000	98161Q101	VARIOUS	11/22/13	1,051.45	2,178.94	(1,127.49)	0.00	0.00	0.00	
TOTAL LONG-TERM COVERED				**14,190.39**	**14,545.59**	**(355.20)**	**0.00**	**0.00**	**0.00**	
NON-COVERED[1] (BOX 6A): FORM 8949 (BOX E) WITH BASIS <u>NOT</u> REPORTED TO THE IRS										
ADIDAS AG SPONSORED ADR QTY (BOX 1E): 15.000	00687A107	10/29/09	08/12/13	836.23	366.92	469.31	0.00	0.00	0.00	
AMER MOVIL SAB ADR L QTY (BOX 1E): 31.000	02364W105	04/14/09	02/20/13	692.84	480.50	212.34	0.00	0.00	0.00	
AMER MOVIL SAB ADR L QTY (BOX 1E): 20.000	02364W105	04/14/09	03/14/13	394.60	310.00	84.60	0.00	0.00	0.00	
AMER MOVIL SAB ADR L QTY (BOX 1E): 6.000	02364W105	04/14/09	12/10/13	135.10	93.00	42.10	0.00	0.00	0.00	
BG GROUP PLC ADR NEW QTY (BOX 1E): 33.000	055434203	04/27/09	05/01/13	557.06	515.68	41.38	0.00	0.00	0.00	
BG GROUP PLC ADR NEW QTY (BOX 1E): 62.000	055434203	VARIOUS	09/17/13	1,170.79	1,068.98	101.81	0.00	0.00	0.00	

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[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

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1099-B, continued

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
NON-COVERED[1] (BOX 6A): FORM 8949 (BOX E) WITH BASIS NOT REPORTED TO THE IRS, continued										
BHP BILLITON LIMITED ADR QTY (BOX 1E): 10.000	088606108	VARIOUS	04/09/13	711.48	469.88	241.60	0.00	0.00	0.00	
BHP BILLITON LIMITED ADR QTY (BOX 1E): 14.000	088606108	04/14/09	08/30/13	885.76	679.28	206.48	0.00	0.00	0.00	
COCA COLA AMTL SPONS ADR QTY (BOX 1E): 16.000	191085208	VARIOUS	05/08/13	417.26	192.97	224.29	0.00	0.00	0.00	
COCA COLA HELLENIC ADR QTY (BOX 1E). 47.000	1912EP104	04/14/09	02/28/13	1,264.25	716.53	547.72	0.00	0.00	0.00	
COMPASS GRP PLC ADR NEW QTY (BOX 1E). 48.000	20449X203	04/14/09	05/30/13	637.38	241.92	395.46	0.00	0.00	0.00	
FANUC CORP ADR QTY (BOX 1E): 24.000	307305102	07/29/10	11/26/13	671.03	484.17	186.86	0.00	0.00	0.00	
FRESENIUS MED CARE ADR QTY (BOX 1E): 17.000	358029106	VARIOUS	07/02/13	547.47	433.88	113.59	0.00	0.00	0.00	
FRESENIUS MED CARE ADR QTY (BOX 1E): 25.000	358029106	05/12/10	08/08/13	819.23	608.73	210.50	0.00	0.00	0.00	
GAZPROM OAO SPONS ADR QTY (BOX 1E): 116.000	368287207	VARIOUS	07/01/13	764.63	1,382.53	(617.90)	0.00	0.00	0.00	
GRUPO TELEVISA GLBL RCPT QTY (BOX 1E): 20.000	40049J206	04/14/09	03/13/13	530.62	326.37	204.25	0.00	0.00	0.00	
IMPERIAL TOBACCO GRP PLC QTY (BOX 1E): 11.000	453142101	04/14/09	07/12/13	748.90	468.93	279.97	0.00	0.00	0.00	
JULIUS BAER GROUP LTD QTY (BOX 1E): 70.000	48137C108	VARIOUS	08/09/13	661.48	407.59	253.89	0.00	0.00	0.00	
KINGFISHER PLC SPON ADR QTY (BOX 1E): 70.000	495724403	VARIOUS	04/18/13	618.43	467.16	151.27	0.00	0.00	0.00	
NESTLE S A SPONSORED ADR QTY (BOX 1E): 6.000	641069406	04/14/09	08/12/13	404.99	206.70	198.29	0.00	0.00	0.00	

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[2] Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

[4] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

1099-B, continued

Account Number: 5985-6044

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
NON-COVERED[1](BOX 6A): FORM 8949 (BOX E) WITH BASIS NOT REPORTED TO THE IRS, continued										
SMITH & NEPHEW PLC ADR QTY (BOX 1E). 7 000	83175M205	04/14/09	02/20/13	379 74	245 77	133 97	0 00	0 00	0 00	
SYNGENTA AG SPONS ADR QTY (BOX 1E) 7 000	87160A100	04/14/09	10/17/13	558 38	272 23	286 15	0 00	0 00	0 00	
UNILEVER N V NY SHS NEW QTY (BOX 1E). 16 000	904784709	02/22/10	07/30/13	642 87	492 09	150 78	0.00	0 00	0 00	
VOLVO AKTIEBOLAGET ADR B QTY (BOX 1E)· 4 000	928856400	12/14/10	07/16/13	55 96	64 28	(8 32)	0 00	0 00	0 00	
TOTAL LONG-TERM NON-COVERED				· 15,106.48	10,996.09	4,110.39	0.00	0.00	0.00	
TOTAL SALE TRADES OR EXCHANGES (BOX 2A)				32,868.30	29,099.04	3,769.26	0.00	0.00	0.00	

[1] These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2013 1099 Tax Guide online for more information on covered securities.
[2] Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.
[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.
[4] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

1099-B

Account Number: 5985-6044

DETAILS - PROCEEDS FROM CHANGES IN CORPORATE CONTROL OR CAPITAL STRUCTURE

This section includes cash and stock received from mergers, redemptions (including those redeemed at maturity), tenders, liquidations, cash received in lieu of fractional shares, bankruptcies and any other transaction where a price per share is not available due to the transaction initiated by the issuer and not by you.

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	CASH OR STOCK RECEIVED IN REPORTABLE CHANGE IN CONTROL OR CAPITAL STRUCTURE (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)[1]	IF CHECKED, LOSS NOT ALLOWED IN BOX 2A[3] (BOX 2B)	ACTIVITY TYPE	WASH SALE LOSS DISALLOWED[4] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[5]
LONG-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD MORE THAN ONE YEAR (BOX 1C)										
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS										
CIELO S A SPONSORED ADR QTY (BOX 1E) 0.400	171778202	09/23/11	05/09/13	11 02	6 41	4 61		FRACTION	0.00	
TOTAL LONG-TERM COVERED				11.02	6.41	4.61			0.00	
TOTAL PROCEEDS FROM CHANGES IN CONTROL AND CAPITAL STRUCTURE (BOX 2A)				11.02	6.41	4.61			0.00	

TOTAL PROCEEDS	$32,879.32
TOTAL COST BASIS	$29,105.45
TOTAL GAIN	$3,773.87

[1] These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2013 1099 Tax Guide online for more information on covered securities.

[2] For principal payments from REMICs or other fixed income securities, LPL Financial defaults to the accounting method of recognizing gain or loss at the final redemption or disposition of the asset. You may instead elect a different accounting method and recognize gain or loss on every principal paydown, which would override or nullify the gain or loss amount shown on this statement. Should you choose the latter, please consult with your tax professional on calculating the actual gain or loss amount for your tax return.

[3] A loss may not be taken on any cash and stock received from this merger.

[4] The amounts listed in this column represent the losses you incurred from wash sales triggered by the timing of redemptions and reinvestments, which cannot be deducted from your tax return.

[5] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

1099-B

Account Number: 6390-9067

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

The proceeds stated on this page are reported net of option premiums. <u>However, option premiums are built into the gain or loss amounts computed below.</u> If you have securities sold because of the exercise of an option granted or acquired before 2014, we have included option premium information as a separate column on this page, to help you reconcile to the gain/loss amount shown on this statement. Should you elect to report net of option premiums, you must adjust your gain/loss amounts on your Form 8949.

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
SHORT-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD ONE YEAR OR LESS (BOX 1C)										
COVERED (BOX 6B): FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS										
ACXIOM CORP QTY (BOX 1E) 13 000	005125109	12/28/12	10/08/13	383 97	224 33	159 64	0 00	0.00	0 00	
ADVISORY BOARD COMPANY QTY (BOX 1E) 31 000	00762W107	02/05/13	10/09/13	1,842 91	1,664 65	178 26	0 00	0 00	0 00	
AMERICAN EQTY INVT LIFE QTY (BOX 1E): 166.000	025676206	VARIOUS	10/08/13	3,544 10	2,431 49	1,112 61	0.00	0 00	0 00	
ASSOCIATED BANC CORP WI QTY (BOX 1E) 12.000	045487105	10/12/12	10/08/13	185 34	154 56	30 78	0 00	0 00	0 00	
ATHENAHEALTH INC QTY (BOX 1E) 10.000	04685W103	12/13/12	10/09/13	1,070.38	752 80	317 58	0 00	0 00	0 00	
BP PLC SPONS ADR QTY (BOX 1E) 33 000	055622104	VARIOUS	10/08/13	1,381 04	1,353 36	27 68	0 00	0 00	0 00	
BIOMED REALTY TRUST INC QTY (BOX 1E) 24.000	09063H107	08/15/13	10/08/13	445 92	447 12	(1 20)	0 00	0 00	0 00	
BOISE INC QTY (BOX 1E). 169.000	09746Y105	VARIOUS	10/08/13	2,379 84	1,606 19	773 65	0.00	0 00	0 00	
CABOT MICROELECTRONICS QTY (BOX 1E)· 25 000	12709P103	08/12/13	10/09/13	963 75	919 63	44 12	0 00	0 00	0 00	
CARNIVAL CORP PAIRD CTF QTY (BOX 1E) 154 000	143658300	VARIOUS	10/08/13	4,935 61	5,245 37	(309 76)	0.00	0 00	0 00	
CATO CORP A NEW QTY (BOX 1E)· 26 000	149205106	VARIOUS	10/08/13	685.36	653.72	31.64	0.00	0 00	0.00	
CEPHEID INC QTY (BOX 1E) 20 000	15670R107	07/19/13	10/09/13	732 20	636 62	95 58	0 00	0 00	0 00	

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1099-B, continued

Account Number: 6390-9067

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS, continued										
CHICO'S FAS INC QTY (BOX 1E). 66 000	168615102	VARIOUS	10/08/13	1,070 63	1,143 26	(72 63)	0 00	0 00	0 00	
DONALDSON COMPANY INC QTY (BOX 1E) 25 000	257651109	10/14/13	12/18/13	1,051.09	978.89	72.20	0.00	0.00	0.00	
ELECTRO SCIENTIFIC INDS QTY (BOX 1E) 23.000	285229100	11/08/12	10/08/13	256 45	242 20	14 25	0 00	0 00	0 00	
EMCOR GROUP INC QTY (BOX 1E): 9 000	29084Q100	09/25/13	10/08/13	333 09	357 25	(24.16)	0.00	0.00	0.00	
FIRST AMERN FINL CORP QTY (BOX 1E): 19.000	31847R102	05/14/13	10/08/13	454 67	485.22	(30 55)	0 00	0 00	0 00	
FIVE BELOW INC QTY (BOX 1E) 29.000	33829M101	05/07/13	10/09/13	1,279.16	1,055.60	223 56	0 00	0 00	0 00	
FOSTER L B COMPANY CL A QTY (BOX 1E) 46.000	350060109	VARIOUS	10/08/13	2,040 52	1,983 76	56.76	0 00	0 00	0 00	
GAMCO INVESTORS INC QTY (BOX 1E) 14 000	361438104	10/09/12	10/08/13	964 87	705 51	259 36	0 00	0 00	0 00	
GENTEX CORP QTY (BOX 1E): 25.000	371901109	07/19/13	10/09/13	625 50	587 25	38 25	0 00	0 00	0 00	
GLIMCHER REALTY TR SBI QTY (BOX 1E). 90 000	379302102	VARIOUS	10/08/13	882.24	942 65	(60 41)	0 00	0 00	0 00	
GOLDMAN SACHS GROUP INC QTY (BOX 1E): 2 000	38141G104	04/05/13	10/08/13	307 02	285 36	21 66	0 00	0 00	0 00	
GOOGLE INC CL A QTY (BOX 1E): 1.000	38259P508	06/11/13	10/08/13	856 06	883 28	(27 22)	0.00	0.00	0.00	
GRAY TELEVISION INC QTY (BOX 1E): 291.000	389375106	VARIOUS	10/08/13	2,162.09	1,752 09	410 00	0 00	0 00	0 00	
GREENWAY MEDICAL TECHS QTY (BOX 1E): 112 000	39679B103	VARIOUS	10/09/13	2,286 10	1,644.95	641.15	0.00	0 00	0 00	

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1099-B, continued

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS, continued										
GULFPORT ENERGY CORP NEW QTY (BOX 1E)· 10 000	402635304	10/14/13	10/16/13	654 94	686 40	0 00	0 00	0.00	(31 46)	
GULFPORT ENERGY CORP NEW QTY (BOX 1E) 15 000	402635304	10/14/13	10/16/13	982 43	1,029 60	(47 17)	0 00	0 00	0 00	
HMS HOLDINGS CORP QTY (BOX 1E) 115 000	40425J101	10/14/13	10/18/13	2,453 40	2,498 94	(45 54)	0 00	0 00	0 00	
HANCOCK HOLDING COMPANY QTY (BOX 1E)· 57 000	410120109	VARIOUS	10/08/13	1,760 13	1,829 99	(69 86)	0 00	0 00	0 00	
HANGER INC QTY (BOX 1E) 69 000	41043F208	VARIOUS	10/08/13	2,314 90	2,037 32	277 58	0 00	0 00	0 00	
HERSHA HSPTLTY TR A SBI QTY (BOX 1E). 113 000	427825104	VARIOUS	10/08/13	640 71	523 73	116 98	0 00	0 00	0 00	
K12 INC QTY (BOX 1E). 76 000	48273U102	05/06/13	10/09/13	1,311 73	1,951 68	(639 95)	0 00	0 00	0 00	
KAISER ALUMINUM CORP QTY (BOX 1E) 25 000	483007704	VARIOUS	10/08/13	1,802 21	1,586 95	215 26	0 00	0 00	0 00	
KOPPERS HOLDINGS INC QTY (BOX 1E). 3 000	50060P106	05/13/13	10/08/13	127 08	122.66	4 42	0 00	0 00	0 00	
KULICKE & SOFFA QTY (BOX 1E). 118.000	501242101	VARIOUS	10/08/13	1,333.82	1,399 37	(65.55)	0 00	0 00	0.00	
LULULEMON ATHLETICA INC QTY (BOX 1E): 27 000	550021109	04/05/13	10/08/13	1,998 50	1,727 73	270 77	0 00	0 00	0 00	
MIDDLEBY CORP QTY (BOX 1E): 6.000	596278101	10/14/13	12/18/13	1,355 97	1,288 74	67 23	0 00	0.00	0 00	
MONSANTO COMPANY NEW QTY (BOX 1E). 6 000	61166W101	11/23/12	10/08/13	625.38	542 57	82 81	0 00	0 00	0.00	
MUELLER INDUSTRIES INC QTY (BOX 1E): 3.000	624756102	09/26/13	10/08/13	164 22	166 38	(2 16)	0.00	0 00	0 00	

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1099-B, continued

Account Number: 6390-9067

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS, continued										
NATIONAL INSTRS CORP QTY (BOX 1E): 25.000	636518102	08/12/13	10/09/13	740.50	700 00	40.50	0.00	0.00	0.00	
NETSCOUT SYS INC QTY (BOX 1E). 15.000	64115T104	VARIOUS	10/08/13	364 20	379 56	(15.36)	0 00	0 00	0 00	
OCWEN FINANCIAL CORP NEW QTY (BOX 1E). 91.000	675746309	VARIOUS	10/08/13	4,800.17	3,765 75	1,034.42	0 00	0 00	0 00	
OLD DOMINION FREIGHT QTY (BOX 1E): 57 000	679580100	VARIOUS	10/08/13	2,657 29	2,052 77	604 52	0 00	0.00	0 00	
PDC ENERGY INC QTY (BOX 1E): 10 000	69327R101	VARIOUS	10/08/13	641 79	473 88	167.91	0 00	0 00	0 00	
PTC INC QTY (BOX 1E)· 75.000	69370C100	VARIOUS	10/08/13	2,109 71	1,865 13	244.58	0.00	0 00	0 00	
PIONEER ENERGY SVCS CORP QTY (BOX 1E). 300.000	723664108	VARIOUS	10/08/13	2,293 16	2,224 08	69 08	0 00	0 00	0 00	
PIPER JAFFRAY COMPANIES QTY (BOX 1E)· 48.000	724078100	VARIOUS	10/08/13	1,560 45	1,461 13	99.32	0.00	0.00	0.00	
PRECISION CASTPARTS CORP QTY (BOX 1E)· 14.000	740189105	10/09/12	10/08/13	3,274 82	2,304 31	970.51	0 00	0 00	0 00	
PRECISION DRILLING CORP QTY (BOX 1E): 237.000	74022D308	VARIOUS	10/08/13	2,424 93	2,104.77	320.16	0 00	0.00	0 00	
PROTO LABS INC QTY (BOX 1E)· 15.000	743713109	04/22/13	10/14/13	1,148 38	670 32	478 06	0 00	0 00	0 00	
QLOGIC CORP QTY (BOX 1E)· 39.000	747277101	11/16/12	10/08/13	411 14	346.92	64.22	0 00	0 00	0 00	
RADIAN GROUP INC QTY (BOX 1E): 211 000	750236101	VARIOUS	10/08/13	2,829 88	2,706 20	123.68	0 00	0 00	0 00	
REX ENERGY CORP QTY (BOX 1E): 46.000	761565100	VARIOUS	10/08/13	992.68	595.34	397.34	0 00	0 00	0 00	

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1099-B, continued

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS, continued										
RITCHIE BROS AUCTIONEERS QTY (BOX 1E) 32.000	767744105	07/19/13	10/14/13	588.80	608.00	(19.20)	0.00	0.00	0.00	
SANDY SPRING BANCORP INC QTY (BOX 1E) 13.000	800363103	04/02/13	10/08/13	300.56	253.05	47.51	0.00	0.00	0.00	
SPIRIT AIRLINES INC QTY (BOX 1E) 62.000	848577102	VARIOUS	10/08/13	2,080.68	1,988.26	92.42	0.00	0.00	0.00	
STARBUCKS CORP QTY (BOX 1E) 43.000	855244109	04/08/13	10/08/13	3,306.21	2,483.20	823.01	0.00	0.00	0.00	
STARWOOD HTLS RSRTS WRLD QTY (BOX 1E) 38.000	85590A401	06/11/13	10/08/13	2,495.79	2,567.90	(72.11)	0.00	0.00	0.00	
SUN COMMUNITIES INC QTY (BOX 1E) 9.000	866674104	VARIOUS	10/08/13	376.83	366.50	10.33	0.00	0.00	0.00	
SYKES ENTERPRISES INC QTY (BOX 1E) 12.000	871237103	03/18/13	10/08/13	206.40	183.48	22.92	0.00	0.00	0.00	
THOR INDUSTRIES INC QTY (BOX 1E) 21.000	885160101	01/08/13	10/08/13	1,165.91	830.49	335.42	0.00	0.00	0.00	
TRIMBLE NAVIGATION LTD QTY (BOX 1E) 180.000	896239100	VARIOUS	10/08/13	5,390.92	5,161.46	229.46	0.00	0.00	0.00	
TYLER TECHNOLOGIES INC QTY (BOX 1E) 15.000	902252105	10/14/13	10/28/13	1,477.67	1,339.50	138.17	0.00	0.00	0.00	
UNITED RENTALS INC QTY (BOX 1E) 50.000	911363109	07/09/13	10/08/13	2,897.44	2,626.00	271.44	0.00	0.00	0.00	
URBAN OUTFITTERS INC QTY (BOX 1E) 20.000	917047102	10/23/12	10/14/13	715.78	718.24	(2.46)	0.00	0.00	0.00	
VALEANT PHARM INTL INC QTY (BOX 1E) 38.000	91911K102	01/25/13	10/08/13	4,107.34	2,468.79	1,638.55	0.00	0.00	0.00	
WABTEC QTY (BOX 1E) 15.000	929740108	10/14/13	12/18/13	1,080.43	963.90	116.53	0.00	0.00	0.00	

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1099-B, continued

Account Number: 6390-9067

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS, continued										
WEIS MARKET INC QTY (BOX 1E): 14.000	948849104	VARIOUS	10/08/13	661.22	553.96	107.26	0.00	0.00	0.00	
ICON PLC QTY (BOX 1E): 23.000	G4705A100	VARIOUS	10/08/13	912.40	707.74	204.66	0.00	0.00	0.00	
MAIDEN HOLDINGS LTD QTY (BOX 1E): 117.000	G5753U112	VARIOUS	10/08/13	1,388.79	1,250.93	137.86	0.00	0.00	0.00	
AVG TECHNOLOGIES N V QTY (BOX 1E): 27.000	N07831105	09/27/13	10/08/13	620.17	684.18	(64.01)	0.00	0.00	0.00	
TOTAL SHORT-TERM COVERED				**106,667.77**	**93,934.91**	**12,764.32**	**0.00**	**0.00**	**(31.48)**	

LONG-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD MORE THAN ONE YEAR (BOX 1C)

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS										
ABAXIS INC QTY (BOX 1E): 37.000	002567105	VARIOUS	10/09/13	1,435.20	978.64	456.56	0.00	0.00	0.00	
ACXIOM CORP QTY (BOX 1E): 101.000	005125109	VARIOUS	10/08/13	2,983.07	1,586.73	1,396.34	0.00	0.00	0.00	
ANIXTER INTL INC QTY (BOX 1E): 39.000	035290105	VARIOUS	10/08/13	3,405.81	2,451.06	954.75	0.00	1.43	0.00	
ASSOCIATED BANC CORP WI QTY (BOX 1E): 142.000	045487105	VARIOUS	10/08/13	2,193.14	1,824.80	368.34	0.00	0.00	0.00	
ATHENAHEALTH INC QTY (BOX 1E): 20.000	04685W103	04/06/11	10/09/13	2,140.76	920.16	1,220.60	0.00	0.00	0.00	
BP PLC SPONS ADR QTY (BOX 1E): 90.000	055622104	VARIOUS	10/08/13	3,766.43	3,775.43	(9.00)	0.00	0.00	0.00	
BEACON ROOFING SUPPLY QTY (BOX 1E): 35.000	073685109	VARIOUS	10/09/13	1,193.14	681.45	511.69	0.00	0.00	0.00	
BIO REF LABS NEW $0.01 QTY (BOX 1E): 54.000	09057G602	VARIOUS	10/09/13	1,576.24	1,078.31	497.93	0.00	0.00	0.00	

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1099-B, continued

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS, continued										
BIOMED REALTY TRUST INC QTY (BOX 1E). 90 000	09063H107	VARIOUS	10/08/13	1,672 17	1,754 43	(82 26)	0 00	2.75	0 00	
CABOT MICROELECTRONICS QTY (BOX 1E)· 32.000	12709P103	VARIOUS	10/09/13	1,233 57	1,377 92	(144 35)	0 00	0 00	0 00	
CASS INFORMATION SYS INC QTY (BOX 1E)· 11 943	14808P109	02/04/11	10/09/13	617 43	366 30	251.13	0 00	0 00	0 00	
CATO CORP A NEW QTY (BOX 1E). 43 000	149205106	VARIOUS	10/08/13	1,133 44	1,090 40	43 04	0 00	0 00	0 00	
CEPHEID INC QTY (BOX 1E) 60 000	15670R107	VARIOUS	10/09/13	2,196 55	1,526 60	669 95	0.00	0 00	0 00	
CHEESECAKE FACTORY INC QTY (BOX 1E) 25 000	163072101	VARIOUS	10/09/13	1,068 99	772 15	296 84	0 00	0 00	0 00	
CHEMED CORP NEW QTY (BOX 1E)· 20 000	16359R103	VARIOUS	10/09/13	1,399 78	1,255 05	144.73	0.00	0 00	0 00	
CHICO'S FAS INC QTY (BOX 1E)· 139 000	168615102	VARIOUS	10/08/13	2,254 72	1,716 54	538 18	0 00	0 00	0 00	
COLONY FINANCIAL INC QTY (BOX 1E): 102 000	19624R106	VARIOUS	10/08/13	2,006 30	1,796 04	210 26	0 00	0 00	0 00	
CONCUR TECHNOLOGIES INC QTY (BOX 1E): 21 000	206708109	VARIOUS	10/09/13	2,173 04	883 73	1,289 31	0 00	0 00	0 00	
COSTAR GROUP INC QTY (BOX 1E): 20.000	22160N109	VARIOUS	10/14/13	3,252.75	1,370 25	1,882 50	0 00	0 00	0 00	
COUSINS PROPERTIES INC QTY (BOX 1E)· 226 000	222795106	VARIOUS	10/08/13	2,379.73	1,895 63	484 10	0 00	1 99	0 00	
CYTEC INDUSTRIES INC QTY (BOX 1E): 24.000	232820100	VARIOUS	10/08/13	2,008.76	1,439 86	568 90	0 00	0 00	0 00	
DEALERTRACK TECHS INC QTY (BOX 1E)· 40 000	242309102	VARIOUS	10/09/13	1,533 18	1,032 80	500 38	0 00	0 00	0 00	

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1099-B, continued

Account Number: 6390-9067

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS, continued										
DIGI INTERNATIONAL INC QTY (BOX 1E): 55.000	253798102	VARIOUS	10/09/13	523 59	616 50	(92 91)	0 00	0 00	0.00	
EBIX INC NEW QTY (BOX 1E) 69 000	278715206	VARIOUS	10/09/13	756 22	1,356 16	(599 94)	0 00	0 00	0 00	
ECHO GLOBAL LOGISTICS QTY (BOX 1E). 69 000	27875T101	VARIOUS	10/09/13	1,393 09	1,023 97	369 12	0 00	0 00	0.00	
ELECTRO SCIENTIFIC INDS QTY (BOX 1E) 127.000	285229100	VARIOUS	10/08/13	1,416 02	2,142.29	(726 27)	0.00	0 00	0.00	
EMCOR GROUP INC QTY (BOX 1E): 60 000	29084Q100	VARIOUS	10/08/13	2,220 55	1,660.98	559 57	0 00	0 00	0.00	
FINANCIAL ENGINES INC QTY (BOX 1E) 54 000	317485100	VARIOUS	10/09/13	2,805 25	1,225 69	1,579.56	0 00	16 97	0 00	
FIRST AMERN FINL CORP QTY (BOX 1E): 48 000	31847R102	06/08/11	10/08/13	1,148 61	736 01	412 60	0 00	1 94	0.00	
FORWARD AIR CORP QTY (BOX 1E) 15 000	349853101	VARIOUS	10/09/13	575 84	455.20	120 64	0 00	2.45	0 00	
FRESH MARKET INC QTY (BOX 1E) 34.000	35804H106	09/20/11	10/09/13	1,702 01	1,349 76	352.25	0 00	0 00	0.00	
GAMCO INVESTORS INC QTY (BOX 1E): 29 000	361438104	VARIOUS	10/08/13	1,998 63	1,329.71	668.92	0.00	0 00	0.00	
GENTEX CORP QTY (BOX 1E): 45 000	371901109	VARIOUS	10/09/13	1,125 88	1,395.73	(269 85)	0 00	0 00	0.00	
GLIMCHER REALTY TR SBI QTY (BOX 1E): 101.000	379302102	VARIOUS	10/08/13	990 05	927 50	62 55	0.00	0 00	0.00	
GOLDMAN SACHS GROUP INC QTY (BOX 1E): 34 000	38141G104	VARIOUS	10/08/13	5,219 25	4,241 84	977 41	0 00	0.00	0.00	
GOOGLE INC CL A QTY (BOX 1E). 6 000	38259P508	VARIOUS	10/08/13	5,136 34	3,026 50	2,109 84	0.00	0 00	0 00	

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1099-B, continued

Account Number: 6390-9067

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS, continued										
GRAND CANYON EDUCATION QTY (BOX 1E): 91.000	38526M106	VARIOUS	10/09/13	3,539 85	1,688 78	1,851 07	0 00	0.00	0 00	
HERSHA HSPTLTY TR A SBI QTY (BOX 1E): 287 000	427825104	06/08/11	10/08/13	1,627 27	1,568 48	58 79	0 00	5 38	0 00	
HYSTER YALE MATLS A QTY (BOX 1E)· 18 000	449172105	VARIOUS	10/08/13	1,554 45	468 67	1,085 78	0 00	2 26	0 00	
IPC THE HOSPITALIST QTY (BOX 1E) 25.000	44984A105	VARIOUS	10/09/13	1,228 23	1,054 85	173 38	0 00	0 00	0 00	
INNERWORKINGS INC QTY (BOX 1E) 45.000	45773Y105	02/04/11	10/09/13	418 05	310.50	107 55	0 00	0.00	0 00	
KOPPERS HOLDINGS INC QTY (BOX 1E). 43.000	50060P106	VARIOUS	10/08/13	1,821 44	1,648 35	173 09	0 00	0 00	0 00	
LKQ CORP QTY (BOX 1E) 68 000	501889208	VARIOUS	10/09/13	2,089.61	837 39	1,252 22	0 00	0 00	0 00	
LULULEMON ATHLETICA INC QTY (BOX 1E): 6 000	550021109	06/11/12	10/08/13	444 11	393.88	50 23	0 00	0.00	0 00	
MAXIMUS INC QTY (BOX 1E) 50 000	577933104	VARIOUS	10/09/13	2,235.97	896 15	1,339 82	0 00	0 00	0 00	
MILLER HERMAN INC QTY (BOX 1E)· 68 000	600544100	VARIOUS	10/08/13	1,847 53	1,345.37	502 16	0.00	0 00	0 00	
MOBILE MINI INC QTY (BOX 1E). 60 000	60740F105	VARIOUS	10/14/13	2,015 37	1,102 60	912 77	0 00	0 00	0 00	
MONSANTO COMPANY NEW QTY (BOX 1E) 45 000	61166W101	VARIOUS	10/08/13	4,690 25	4,008 19	682 06	0 00	23 54	0 00	
MUELLER INDUSTRIES INC QTY (BOX 1E). 33 000	624756102	VARIOUS	10/08/13	1,806 38	1,300 80	505 58	0 00	0.00	0 00	
NATIONAL INSTRS CORP QTY (BOX 1E) 47 500	636518102	VARIOUS	10/09/13	1,406 92	1,444.70	(37 78)	0.00	0 00	0 00	

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[4] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

1099-B, continued

Account Number: 6390-9067

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS, continued										
NATL PENN BANCSHARES INC QTY (BOX 1E): 193.000	637138108	VARIOUS	10/08/13	1,881.73	1,379.04	502.69	0.00	0.00	0.00	
NEOGEN CORP QTY (BOX 1E): 20.000	640491106	02/04/11	10/09/13	1,207.79	744.60	463.19	0.00	0.00	0.00	
NETSCOUT SYS INC QTY (BOX 1E): 61.000	64115T104	VARIOUS	10/08/13	1,481.05	1,406.79	74.26	0.00	0.00	0.00	
OLD NATL BANCORP IND QTY (BOX 1E): 159.000	680033107	VARIOUS	10/08/13	2,218.03	1,659.45	558.58	0.00	0.00	0.00	
FDC ENERGY INC QTY (BOX 1E): 32.000	69327R101	09/21/12	10/08/13	2,053.72	1,013.49	1,040.23	0.00	0.00	0.00	
PAPA JOHNS INTL INC QTY (BOX 1E): 16.000	698813102	VARIOUS	10/08/13	1,108.14	501.90	606.24	0.00	0.91	0.00	
PEGASYSTEMS INC QTY (BOX 1E): 36.000	705573103	07/07/11	10/09/13	1,332.33	1,673.85	(341.52)	0.00	0.00	0.00	
PINNACLE WEST CAP CORP QTY (BOX 1E): 26.000	723484101	VARIOUS	10/08/13	1,428.93	1,132.00	296.93	0.00	0.00	0.00	
PIONEER NATL RES COMPANY QTY (BOX 1E): 34.000	723787107	VARIOUS	10/08/13	6,636.00	2,959.90	3,676.10	0.00	0.00	0.00	
PORTFOLIO RECOVERY ASSOC QTY (BOX 1E): 30.000	73640Q105	02/04/11	10/09/13	1,701.57	741.00	960.57	0.00	0.00	0.00	
PORTLAND GEN ELEC CO QTY (BOX 1E): 63.000	736508847	VARIOUS	10/08/13	1,783.50	1,585.95	197.55	0.00	0.00	0.00	
POWER INTEGRATIONS INC QTY (BOX 1E): 20.000	739276103	VARIOUS	10/14/13	1,120.98	814.80	306.18	0.00	0.00	0.00	
PRICELINE.COM INC NEW QTY (BOX 1E): 2.000	741503403	06/27/11	10/08/13	2,028.19	974.34	1,053.85	0.00	0.00	0.00	
PROVIDENT FINL SVCS INC QTY (BOX 1E): 132.000	74386T105	VARIOUS	10/08/13	2,134.41	1,765.29	369.12	0.00	0.00	0.00	

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1099-B, continued

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS, continued										
QLOGIC CORP QTY (BOX 1E)· 85 000	747277101	VARIOUS	10/08/13	896 04	1,417 13	(521 09)	0 00	0 00	0 00	
RALPH LAUREN CORP CL A QTY (BOX 1E)· 20 000	751212101	VARIOUS	10/08/13	3,244 94	2,469 80	775 14	0.00	0 00	0 00	
RELIANCE STEEL & ALUM CO QTY (BOX 1E) 26 000	759509102	VARIOUS	10/08/13	1,872.74	1,200 52	672 22	0 00	0 50	0 00	
REX ENERGY CORP QTY (BOX 1E)· 53 000	761565100	VARIOUS	10/08/13	1,143 70	674 03	469 67	0 00	0 00	0 00	
RITCHIE BROS AUCTIONEERS QTY (BOX 1E) 45 000	767744105	01/11/11	10/14/13	827.98	1,116 66	0 00	0 00	0 00	(288 68)	
RITCHIE BROS AUCTIONEERS QTY (BOX 1E). 73 000	767744105	VARIOUS	10/14/13	1,343 19	1,858 70	(515 51)	0 00	0 00	0 00	
ROCKWELL AUTOMATION INC QTY (BOX 1E) 29 000	773903109	02/28/12	10/08/13	3,081.19	2,349 56	731 63	0.00	0 00	0 00	
ROLLINS INC QTY (BOX 1E) 75 000	775711104	VARIOUS	10/09/13	1,959 56	1,469 75	489 81	0 00	0 00	0 00	
SPS COMMERCE INC QTY (BOX 1E): 51 000	78463M107	08/30/11	10/09/13	3,254 04	923 01	2,331 03	0.00	0 00	0 00	
SANDY SPRING BANCORP INC QTY (BOX 1E). 82 000	800363103	VARIOUS	10/08/13	1,895.80	1,396 36	499 44	0 00	0 00	0 00	
SCHLUMBERGER LTD QTY (BOX 1E)· 67 000	806857108	VARIOUS	10/08/13	5,923 36	5,529 70	393 66	0 00	224 67	0 00	
SCIQUEST INC NEW QTY (BOX 1E) 81 000	80908T101	VARIOUS	10/09/13	1,791.69	1,228 77	562 92	0 00	0 00	0 00	
SEMTECH CORP QTY (BOX 1E) 35.000	816850101	VARIOUS	10/09/13	1,044.39	799 40	244.99	0 00	0 00	0.00	
SUN COMMUNITIES INC QTY (BOX 1E): 35 000	866674104	VARIOUS	10/08/13	1,465 41	1,192.80	272 61	0.00	0 00	0 00	

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1099-B, continued

Account Number: 6390-9067

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS, continued										
SYKES ENTERPRISES INC QTY (BOX 1E): 127.000	871237103	VARIOUS	10/08/13	2,184.35	2,188 15	(3 80)	0.00	0.00	0.00	
TECHNE CORP QTY (BOX 1E): 20.000	878377100	VARIOUS	10/09/13	1,549.98	1,395 90	154.08	0.00	0 00	0.00	
THERMO FISHER SCIENTIFIC QTY (BOX 1E): 50 000	883556102	VARIOUS	10/08/13	4,563 67	3,160 50	1,403.17	0.00	0 00	0 00	
THOR INDUSTRIES INC QTY (BOX 1E): 39 000	885160101	VARIOUS	10/08/13	2,165 23	1,149 01	1,016 22	0 00	0.00	0 00	
ULTIMATE SFTWARE GRP INC QTY (BOX 1E)· 25.000	90385D107	VARIOUS	10/09/13	3,447.44	1,296.40	2,151.04	0 00	0 00	0.00	
UNION PACIFIC CORP QTY (BOX 1E). 37 000	907818108	VARIOUS	10/08/13	5,686 06	3,723 19	1,962.87	0 00	0 00	0 00	
UNITED NATURAL FOODS QTY (BOX 1E): 20 000	911163103	VARIOUS	10/09/13	1,305 98	795 90	510 08	0 00	0 00	0 00	
VF CORP QTY (BOX 1E)· 16 000	918204108	09/14/12	10/08/13	3,068 90	2,590 02	478 88	0.00	0 00	0 00	
VECTREN CORP QTY (BOX 1E): 54 000	92240G101	VARIOUS	10/08/13	1,766.84	1,567 02	199 82	0 00	0 00	0 00	
VERINT SYSTEMS INC QTY (BOX 1E): 20.000	92343X100	VARIOUS	10/09/13	733.39	697 15	36.24	0.00	0 00	0.00	
WEBSTER FINANCIAL CORP QTY (BOX 1E). 66 000	947890109	VARIOUS	10/08/13	1,638 75	1,255 86	382 89	0 00	0 00	0.00	
WEIS MARKET INC QTY (BOX 1E)· 39.000	948849104	VARIOUS	10/08/13	1,841.93	1,508 73	333.20	0 00	0 00	0 00	
WESBANCO INC QTY (BOX 1E): 70 000	950810101	VARIOUS	10/08/13	2,018.07	1,321 58	696 49	0.00	0.00	0 00	
WILLIAMS SONOMA INC QTY (BOX 1E): 57 000	969904101	03/02/12	10/08/13	3,032.34	2,206.99	825 35	0 00	0.00	0 00	

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1099-B, continued

Account Number: 6390-9067

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS, continued										
ICON PLC QTY (BOX 1E) 52 000	G4705A100	VARIOUS	10/08/13	2,062 80	1,165.00	897 80	0 00	0 00	0 00	
MAIDEN HOLDINGS LTD QTY (BOX 1E) 173.000	G5753U112	VARIOUS	10/08/13	2,053.48	1,571.63	481.85	0 00	0 08	0 00	
MICHAEL KORS HLDGS LTD QTY (BOX 1E). 92 000	G60754101	VARIOUS	10/08/13	6,709 44	3,645 88	3,063 56	0 00	0 00	0 00	
STRATASYS LTD QTY (BOX 1E). 20.000	M85548101	VARIOUS	10/09/13	1,851 17	1,460 20	390 97	0 00	0 00	0 00	
STEINER LEISURE LTD QTY (BOX 1E): 31 000	P8744Y102	06/08/11	10/08/13	1,748 05	1,457 55	290 50	0 00	3 96	0 00	
TOTAL LONG-TERM COVERED				**204,849.23**	**147,266.08**	**57,671.83**	**0.00**	**288.83**	**(288.88)**	
NON-COVERED[1] (BOX 6A): FORM 8949 (BOX E) WITH BASIS NOT REPORTED TO THE IRS										
ABAXIS INC QTY (BOX 1E)· 12 000	002567105	08/13/08	10/09/13	465 47	274 14	191 33	0.00	0.00	0 00	
BEACON ROOFING SUPPLY QTY (BOX 1E) 49 000	073685109	08/20/08	10/09/13	1,670 38	771 71	898 67	0 00	0 00	0 00	
BIO REF LABS NEW $0.01 QTY (BOX 1E). 22.000	09057G602	07/23/09	10/09/13	642 16	357.42	284 74	0.00	0 00	0 00	
CASS INFORMATION SYS INC QTY (BOX 1E)· 23 057	14808P109	09/03/08	10/09/13	1,192.02	717 28	474 74	0 00	0 00	0 00	
CEPHEID INC QTY (BOX 1E): 20 000	15670R107	07/14/09	10/09/13	732.18	178.91	553.27	0.00	0.00	0 00	
CHEESECAKE FACTORY INC QTY (BOX 1E). 40.000	163072101	02/27/08	10/09/13	1,710 36	894 56	815 80	0 00	0 00	0 00	
CHEMED CORP NEW QTY (BOX 1E). 25 000	16359R103	02/27/08	10/09/13	1,749.71	1,261 74	487.97	0.00	0 00	0 00	

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1099-B, continued

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
NON-COVERED[1] (BOX 6A): FORM 8949 (BOX E) WITH BASIS NOT REPORTED TO THE IRS, continued										
CONCUR TECHNOLOGIES INC QTY (BOX 1E). 9 000	206708109	12/01/08	10/09/13	931 30	227.85	703 45	0 00	0 00	0.00	
COSTAR GROUP INC QTY (BOX 1E): 15.000	22160N109	09/08/08	10/14/13	2,439 55	796 69	1,642 86	0 00	0 00	0 00	
DEALERTRACK TECHS INC QTY (BOX 1E). 39.000	242309102	07/24/08	10/09/13	1,494 84	623.20	871 64	0.00	0.00	0 00	
DIGI INTERNATIONAL INC QTY (BOX 1E)· 71 000	253798102	02/27/08	10/09/13	675.90	768 57	(92 67)	0 00	0 00	0 00	
FORWARD AIR CORP QTY (BOX 1E): 16.000	349853101	02/27/08	10/09/13	614 22	527 67	86 55	0.00	0 00	0 00	
GENTEX CORP QTY (BOX 1E) 29 000	371901109	02/27/08	10/09/13	725 56	478 78	246.78	0 00	0 00	0 00	
GOLDMAN SACHS GROUP INC QTY (BOX 1E)· 8 000	38141G104	06/07/10	10/08/13	1,228 05	1,107 53	120 52	0 00	0 00	0 00	
GOOGLE INC CL A QTY (BOX 1E)· 5.000	38259P508	VARIOUS	10/08/13	4,280.26	2,830.14	1,450.12	0 00	0 00	0 00	
GRAND CANYON EDUCATION QTY (BOX 1E) 27 000	38526M106	10/29/10	10/09/13	1,050.28	509 97	540 31	0 00	0.00	0 00	
IPC THE HOSPITALIST QTY (BOX 1E): 19 000	44984A105	07/16/08	10/09/13	933.45	366 71	566.74	0 00	0 00	0 00	
INNERWORKINGS INC QTY (BOX 1E): 78.000	45773Y105	11/16/09	10/09/13	724 61	421.18	303 43	0 00	0 00	0.00	
MAXIMUS INC QTY (BOX 1E). 54 000	577933104	02/27/08	10/09/13	2,414 83	512 04	1,902.79	0 00	0 00	0 00	
MEDNAX INC QTY (BOX 1E): 5.000	58502B106	02/27/08	10/14/13	517.84	338 75	179.09	0 00	0.00	0 00	
MOBILE MINI INC QTY (BOX 1E)· 30 000	60740F105	02/27/08	10/14/13	1,007.68	582 37	425.31	0.00	0 00	0 00	

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1099-B, continued

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[3]	WASH SALE LOSS DISALLOWED[4] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
NON-COVERED[1](BOX 6A): FORM 8949 (BOX E) WITH BASIS NOT REPORTED TO THE IRS, continued										
NATIONAL INSTRS CORP QTY (BOX 1E) 59 500	636518102	02/27/08	10/09/13	1,762.35	1,059.80	702.55	0 00	0 00	0.00	
NEOGEN CORP QTY (BOX 1E) 31 000	640491106	02/27/08	10/09/13	1,872 05	571 42	1,300 63	0 00	0 00	0 00	
PORTFOLIO RECOVERY ASSOC QTY (BOX 1E)· 55.000	73640Q105	02/27/08	10/09/13	3,119.54	719 20	2,400 34	0.00	0 00	0.00	
POWER INTEGRATIONS INC QTY (BOX 1E) 35 000	739276103	02/27/08	10/14/13	1,961 71	944 86	1,016 85	0 00	0 00	0 00	
PRICELINE.COM INC NEW QTY (BOX 1E). 3 000	741503403	VARIOUS	10/08/13	3,042.27	574 14	2,468.13	0 00	0 00	0.00	
ROLLINS INC QTY (BOX 1E) 63 000	775711104	02/27/08	10/09/13	1,646 03	789 16	856 87	0 00	0 00	0 00	
SEMTECH CORP QTY (BOX 1E). 64 000	816850101	02/27/08	10/09/13	1,909 72	858 12	1,051 60	0 00	0 00	0 00	
TECHNE CORP QTY (BOX 1E) 9 000	878377100	02/27/08	10/09/13	697 48	630 11	67 37	0 00	0 00	0 00	
ULTIMATE SFTWARE GRP INC QTY (BOX 1E) 11.000	90385D107	02/27/08	10/09/13	1,516 87	311 86	1,205 01	0.00	0 00	0.00	
UNITED NATURAL FOODS QTY (BOX 1E) 36 000	911163103	02/27/08	10/09/13	2,350 76	645 26	1,705 50	0 00	0 00	0 00	
VERINT SYSTEMS INC QTY (BOX 1E). 27.000	92343X100	02/27/08	10/09/13	990 07	425 25	564.82	0 00	0 00	0 00	
STRATASYS LTD QTY (BOX 1E) 3.000	M85548101	02/27/08	10/09/13	277 67	219 03	58 64	0 00	0 00	0 00	
TOTAL LONG-TERM NON-COVERED				**48,347.17**	**22,295.42**	**26,051.75**	**0.00**	**0.00**	**0.00**	
UNKNOWN BASIS OR HOLDING PERIOD FOR NON-COVERED 1099-B SALE TRANSACTIONS: FORM 8949 (BOX C or BOX F)										
CASS INFORMATION SYS INC QTY (BOX 1E): 0 000	14808P109	12/14/12	10/09/13	0 01	N/A	N/A	N/A	N/A	N/A	

[1] These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2013 1099 Tax Guide online for more information on covered securities.

[2] Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

[4] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

1099-B, continued

Account Number: 6390-9067

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
UNKNOWN BASIS OR HOLDING PERIOD FOR NON-COVERED 1099-B SALE TRANSACTIONS: FORM 8949 (BOX C or BOX F), continued										
TOTAL UNKNOWN BASIS OR HOLDING PERIOD FOR NON-COVERED 1099-B SALE TRANSACTIONS				0.01	N/A	N/A	N/A	N/A	N/A	
TOTAL SALE TRADES OR EXCHANGES (BOX 2A)				359,664.18	263,496.41	96,487.90	0.00	288.83	(320.14)	

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[2] Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

[4] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

1099-B

Account Number: 7270-0287

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

The proceeds stated on this page are reported net of option premiums. <u>However, option premiums are built into the gain or loss amounts computed below.</u> If you have securities sold because of the exercise of an option granted or acquired before 2014, we have included option premium information as a separate column on this page, to help you reconcile to the gain/loss amount shown on this statement. Should you elect to report net of option premiums, you must adjust your gain/loss amounts on your Form 8949.

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
SHORT-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD ONE YEAR OR LESS (BOX 1C)										
COVERED (BOX 6B): FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS										
BELO CORP QTY (BOX 1E). 88.000	080555105	05/22/13	09/13/13	1,236.39	992.41	243.98	0.00	0.00	0.00	
BELO CORP QTY (BOX 1E): 75.000	080555105	VARIOUS	09/17/13	1,052.99	848.79	204.20	0.00	0.00	0.00	
BOOZ ALLEN HAMILTON CL A QTY (BOX 1E): 33.000	099502106	03/01/12	02/20/13	430.64	388.63	42.01	0.00	0.00	0.00	
BOOZ ALLEN HAMILTON CL A QTY (BOX 1E): 52.000	099502106	VARIOUS	03/08/13	661.94	511.23	150.71	0.00	0.00	0.00	
BRADY CORP CL A QTY (BOX 1E): 10.000	104674106	07/30/12	05/28/13	334.40	266.00	68.40	0.00	0.00	0.00	
COMSTOCK RES INC NEW QTY (BOX 1E): 20.000	205768203	07/12/12	04/08/13	307.03	331.20	(24.17)	0.00	0.00	0.00	
COMSTOCK RES INC NEW QTY (BOX 1E): 41.000	205768203	07/12/12	05/29/13	705.18	678.93	26.25	0.00	0.00	0.00	
COMSTOCK RES INC NEW QTY (BOX 1E): 18.000	205768203	VARIOUS	06/03/13	288.82	302.19	(13.37)	0.00	0.00	0.00	
COMSTOCK RES INC NEW QTY (BOX 1E): 19.000	205768203	01/08/13	06/18/13	325.88	288.80	37.08	0.00	0.00	0.00	
EMCOR GROUP INC QTY (BOX 1E): 8.000	29084Q100	05/30/12	03/20/13	328.39	221.73	106.66	0.00	0.00	0.00	
HANOVER INS GROUP INC QTY (BOX 1E): 3.000	410867105	05/18/12	05/15/13	153.99	115.71	38.28	0.00	0.00	0.00	
HEARTLAND EXPRESS INC QTY (BOX 1E): 34.000	422347104	01/31/13	09/25/13	478.11	469.19	8.92	0.00	0.00	0.00	

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[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

[4] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

1099-B, continued

Account Number: 7270-0287

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS, continued										
ICON PUB LTD COMPANY ADR QTY (BOX 1E). 8.000	45103T107	05/02/12	01/07/13	229 03	179 84	49 19	0 00	0 00	0 00	
PDC ENERGY INC QTY (BOX 1E) 7 000	69327R101	09/21/12	03/12/13	361 75	221 71	140 04	0 00	0 00	0 00	
PDC ENERGY INC QTY (BOX 1E) 5 000	69327R101	09/21/12	06/04/13	249 14	158 36	90.78	0 00	0.00	0 00	
PAREXEL INTL CORP QTY (BOX 1E) 2 000	699462107	08/29/12	04/25/13	81 00	56 14	24 86	0 00	0.00	0 00	
PAREXEL INTL CORP QTY (BOX 1E) 7 000	699462107	08/29/12	04/30/13	287 41	196 49	90 92	0 00	0 00	0 00	
REX ENERGY CORP QTY (BOX 1E). 18 000	761565100	08/28/12	04/04/13	285 11	233 61	51 50	0 00	0 00	0 00	
REX ENERGY CORP QTY (BOX 1E) 17 000	761565100	08/28/12	06/03/13	285 63	220 62	65 01	0 00	0 00	0 00	
RUE21 INC QTY (BOX 1E) 5 000	781295100	06/27/12	05/10/13	171 24	128.24	43 00	0 00	0 00	0 00	
RUE21 INC QTY (BOX 1E) 36 000	781295100	VARIOUS	05/23/13	1,510.53	920 73	589 80	0 00	0 00	0 00	
SCHOLASTIC CORP QTY (BOX 1E) 31 000	807066105	VARIOUS	02/12/13	919 43	834 64	84 79	0 00	0 00	0 00	
SCHOLASTIC CORP QTY (BOX 1E) 20 000	807066105	VARIOUS	02/14/13	607 98	561 12	46 86	0 00	0 00	0 00	
SILICON MOTION TECH CORP QTY (BOX 1E). 142.000	82706C108	VARIOUS	08/16/13	1,576 92	1,939 72	(362 80)	0 00	0 00	0 00	
WERNER ENTERPRISES INC QTY (BOX 1E) 19.000	950755108	VARIOUS	01/24/13	463 79	438 56	25.23	0.00	0 00	0 00	
ALTERRA CAPITAL HOLDINGS QTY (BOX 1E). 5 000	G0229R108	05/18/12	04/22/13	160.53	114 35	46 18	0 00	0.00	0.00	

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1099-B, continued

Account Number: 7270-0287

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS, continued										
ICON PLC QTY (BOX 1E): 8.000	G4705A100	VARIOUS	03/28/13	257.91	178.23	79.68	0.00	0.00	0.00	
TOTAL SHORT-TERM COVERED				**13,751.16**	**11,797.17**	**1,953.99**	**0.00**	**0.00**	**0.00**	
LONG-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD MORE THAN ONE YEAR (BOX 1C)										
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS										
BEACON ROOFING SUPPLY QTY (BOX 1E). 17.000	073685109	06/08/11	05/09/13	649.22	341.34	307.88	0.00	0 00	0.00	
BEACON ROOFING SUPPLY QTY (BOX 1E): 33.000	073685109	VARIOUS	05/10/13	1,269 25	645.83	623.42	0.00	0.00	0.00	
BOOZ ALLEN HAMILTON CL A QTY (BOX 1E): 21.000	099502106	03/01/12	03/08/13	267 32	247.31	20.01	0.00	0.00	0.00	
BRADY CORP CL A QTY (BOX 1E): 5.000	104674106	06/08/11	02/26/13	170 04	164 20	5 84	0 00	0 00	0 00	
BRADY CORP CL A QTY (BOX 1E): 8 000	104674106	06/08/11	05/02/13	266 95	262.72	4.23	0.00	0.00	0 00	
BRADY CORP CL A QTY (BOX 1E): 26.000	104674106	VARIOUS	05/28/13	869 41	808 94	60.47	0.00	0.48	0.00	
COMMUNITY TR BANCORP INC QTY (BOX 1E): 19.000	204149108	06/08/11	03/05/13	656 24	504.77	151.47	0.00	0 00	0 00	
COMMUNITY TR BANCORP INC QTY (BOX 1E): 14.000	204149108	VARIOUS	03/21/13	476.56	375.13	101 43	0.00	0 00	0 00	
FIRST AMERN FINL CORP QTY (BOX 1E): 50.000	31847R102	06/08/11	01/31/13	1,200 97	765 00	435.97	0.00	0 00	0 00	
FIRST AMERN FINL CORP QTY (BOX 1E): 26.000	31847R102	06/08/11	02/25/13	628.71	397 80	230.91	0 00	0 00	0 00	
GLOBAL CASH ACCESS HLDGS QTY (BOX 1E)· 133.000	378967103	03/19/12	06/04/13	889.76	889 11	0.65	0.00	0.00	0.00	

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1099-B, continued

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS, continued										
GLOBAL CASH ACCESS HLDGS QTY (BOX 1E) 60 000	378967103	03/19/12	06/27/13	370 79	401 10	(30 31)	0 00	0 00	0 00	
HANOVER INS GROUP INC QTY (BOX 1E) 15 000	410867105	10/28/11	04/11/13	736 85	585 00	151.85	0 00	0 00	0 00	
HANOVER INS GROUP INC QTY (BOX 1E) 26 000	410867105	VARIOUS	05/15/13	1,334 48	983 41	351 07	0 00	0 00	0 00	
HANOVER INS GROUP INC QTY (BOX 1E) 8 000	410867105	05/18/12	06/28/13	390 57	308 56	82 01	0 00	0 00	0 00	
HEARTLAND EXPRESS INC QTY (BOX 1E) 55 000	422347104	06/08/11	09/04/13	767 23	850 28	(83 05)	0 00	0 00	0 00	
HEARTLAND EXPRESS INC QTY (BOX 1E). 81 000	422347104	VARIOUS	09/25/13	1,138 96	1,229 52	(90 56)	0 00	0 00	0 00	
HYSTER YALE MATLS A QTY (BOX 1E) 4 000	449172105	06/07/11	08/02/13	306 53	127 52	179 01	0 00	1 13	0.00	
HYSTER YALE MATLS A QTY (BOX 1E) 2 000	449172105	06/07/11	09/12/13	177 01	52.65	124 36	0 00	1 13	0 00	
ICU MEDICAL INC QTY (BOX 1E) 6 000	44930G107	08/03/11	02/22/13	340 43	252 06	88 37	0 00	0 00	0.00	
MILLER HERMAN INC QTY (BOX 1E): 22 000	600544100	06/08/11	03/22/13	608 11	480 68	127 43	0 00	0 00	0 00	
MILLER HERMAN INC QTY (BOX 1E). 10 000	600544100	06/08/11	05/29/13	277 89	218 49	59.40	0 00	0 00	0 00	
NACCO INDUSTRIES INC A QTY (BOX 1E). 6 000	629579103	06/07/11	03/06/13	337 49	175 89	161.60	0 00	2 20	0.00	
NACCO INDUSTRIES INC A QTY (BOX 1E). 6 000	629579103	VARIOUS	03/14/13	336 40	140 83	195 57	0 00	0 00	0.00	
NETSCOUT SYS INC QTY (BOX 1E) 15 000	64115T104	06/15/12	08/02/13	403 81	299 85	103.96	0 00	0 00	0 00	

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1099-B, continued

Account Number: 7270-0287

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS, continued										
PAPA JOHNS INTL INC QTY (BOX 1E): 4.000	698813102	06/08/11	03/11/13	239.99	131.23	108.76	0.00	0.00	0.00	
PAPA JOHNS INTL INC QTY (BOX 1E): 7.000	698813102	06/08/11	05/06/13	454.29	226.14	228.15	0.00	0.74	0.00	
PAREXEL INTL CORP QTY (BOX 1E): 13.000	699462107	06/08/11	03/22/13	507.24	308.98	198.26	0.00	0.00	0.00	
PAREXEL INTL CORP QTY (BOX 1E): 8.000	699462107	06/08/11	03/26/13	312.95	190.14	122.81	0.00	0.00	0.00	
PAREXEL INTL CORP QTY (BOX 1E): 9.000	699462107	06/08/11	04/02/13	363.32	213.90	149.42	0.00	0.00	0.00	
PAREXEL INTL CORP QTY (BOX 1E): 6.000	699462107	06/08/11	04/25/13	242.99	144.15	98.84	0.00	2.41	0.00	
POLYONE CORP QTY (BOX 1E): 10.000	73179P106	06/08/11	02/05/13	230.79	138.60	92.19	0.00	0.00	0.00	
POLYONE CORP QTY (BOX 1E): 26.000	73179P106	06/08/11	02/11/13	610.20	360.35	249.85	0.00	0.00	0.00	
POLYONE CORP QTY (BOX 1E): 91.000	73179P106	VARIOUS	06/28/13	2,277.44	1,271.41	1,006.03	0.00	0.00	0.00	
QLOGIC CORP QTY (BOX 1E): 33.000	747277101	03/02/12	07/03/13	344.91	566.28	(221.37)	0.00	0.00	0.00	
THOR INDUSTRIES INC QTY (BOX 1E): 28.000	885160101	VARIOUS	06/07/13	1,285.33	734.46	550.87	0.00	0.00	0.00	
WEIS MARKET INC QTY (BOX 1E): 6.000	948849104	06/08/11	07/25/13	304.10	231.72	72.38	0.00	0.00	0.00	
WERNER ENTERPRISES INC QTY (BOX 1E): 56.000	950755108	06/08/11	01/14/13	1,259.98	1,348.45	(88.47)	0.00	0.00	0.00	
WERNER ENTERPRISES INC QTY (BOX 1E): 12.000	950755108	VARIOUS	01/24/13	292.90	287.31	5.59	0.00	0.00	0.00	

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[2] Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

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1099-B, continued

Account Number: 7270-0287

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS[2]	WASH SALE LOSS DISALLOWED[3] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[4]
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS, continued										
ALTERRA CAPITAL HOLDINGS QTY (BOX 1E) 33 000	G0229R108	VARIOUS	04/19/13	1 051 01	795 56	255 45	0 00	67 86	0 00	
ALTERRA CAPITAL HOLDINGS QTY (BOX 1E) 4 000	G0229R108	01/14/11	04/22/13	128 41	86 84	41 57	0 00	0 00	0 00	
TOTAL LONG-TERM COVERED				**24,776.83**	**18,543.51**	**6,233.32**	**0.00**	**75.95**	**0.00**	
NON-COVERED[1] (BOX 6A): FORM 8949 (BOX E) WITH BASIS NOT REPORTED TO THE IRS										
ALTERRA CAPITAL HOLDINGS QTY (BOX 1E) 45 000	G0229R108	VARIOUS	04/19/13	1,433 23	858 02	575 21	0 00	0 00	0 00	
TOTAL LONG-TERM NON-COVERED				**1,433.23**	**858.02**	**575.21**	**0.00**	**0.00**	**0.00**	
TOTAL SALE TRADES OR EXCHANGES (BOX 2A)				**39,961.22**	**31,198.70**	**8,762.52**	**0.00**	**75.95**	**0.00**	

[1] These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2013 1099 Tax Guide online for more information on covered securities.

[2] Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column. If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed.

[3] The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

[4] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.

1099-B

Account Number: 7270-0287

DETAILS - PROCEEDS FROM CHANGES IN CORPORATE CONTROL OR CAPITAL STRUCTURE

This section includes cash and stock received from mergers, redemptions (including those redeemed at maturity), tenders, liquidations, cash received in lieu of fractional shares, bankruptcies and any other transaction where a price per share is not available due to the transaction initiated by the issuer and not by you.

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	CASH OR STOCK RECEIVED IN REPORTABLE CHANGE IN CONTROL OR CAPITAL STRUCTURE (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)[3]	IF CHECKED, LOSS NOT ALLOWED IN BOX 2A[3] (BOX 2B)	ACTIVITY TYPE	WASH SALE LOSS DISALLOWED[4] (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF)[5]
LONG-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD MORE THAN ONE YEAR (BOX 1C)										
COVERED (BOX 6B): FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS										
MID-AMERICA APT CMNTYS QTY (BOX 1E): 0 680	59522J103	06/08/11	10/02/13	43 21	37 43	5 78		CASH IN LIEU	0 00	
TOTAL LONG-TERM COVERED				43.21	37.43	5.78			0.00	
TOTAL PROCEEDS FROM CHANGES IN CONTROL AND CAPITAL STRUCTURE (BOX 2A)				43.21	37.43	5.78			0.00	

[1] These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008. Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2013 1099 Tax Guide online for more information on covered securities.

[2] For principal payments from REMICs or other fixed income securities, LPL Financial defaults to the accounting method of recognizing gain or loss at the final redemption or disposition of the asset. You may instead elect a different accounting method and recognize gain or loss on every principal paydown, which would override or nullify the gain or loss amount shown on this statement. Should you choose the latter, please consult with your tax professional on calculating the actual gain or loss amount for your tax return.

[3] A loss may not be taken on any cash and stock received from this merger.

[4] The amounts listed in this column represent the losses you incurred from wash sales triggered by the timing of redemptions and reinvestments, which cannot be deducted from your tax return.

[5] Collectibles Allocation Factor (CAF): Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered "collectibles," which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed. Please refer to the 2013 WHFIT Guide online for details.