



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation EDMUND AND MARY SHEA FAMILY FOUNDATION		A Employer identification number 95-4107214	
Number and street (or P O box number if mail is not delivered to street address) 655 BREA CANYON ROAD		B Telephone number (see instructions) (909) 594-0941	
City or town, state or province, country, and ZIP or foreign postal code WALNUT, CA 91789		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 15,871,071		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	520,833			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	155	155		
	4 Dividends and interest from securities.	371,639	371,639		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	971,918			
	b Gross sales price for all assets on line 6a 1,040,549				
	7 Capital gain net income (from Part IV, line 2) . . .		971,918		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
Operating and Administrative Expenses	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	6,026	6,026		
	12 Total. Add lines 1 through 11	1,870,571	1,349,738		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	12,600	12,600		0
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	42,288	10,185		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	110,168	110,168		0
	24 Total operating and administrative expenses. Add lines 13 through 23	165,056	132,953		0
	25 Contributions, gifts, grants paid	350,200			350,200
	26 Total expenses and disbursements. Add lines 24 and 25	515,256	132,953		350,200
	27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements		1,355,315			
b Net investment income (if negative, enter -0-)			1,216,785		
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	342,540	1,537,537	1,537,537
	2	Savings and temporary cash investments	696,226	1,002,620	1,002,620
	3	Accounts receivable ▶ <u>119,147</u>			
		Less allowance for doubtful accounts ▶ _____	323	119,147	119,147
	4	Pledges receivable ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	12,547	1,030	1,030
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	6,667,577	6,585,575	10,134,605
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____			
Liabilities		Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	2,775,259	2,559,967	3,076,132
	14	Land, buildings, and equipment basis ▶ _____			
		Less accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	10,494,472	11,805,876	15,871,071
	17	Accounts payable and accrued expenses	100,000	75,000	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	100,000	75,000	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
		and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
		and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	10,394,472	11,730,876	
	30	Total net assets or fund balances (see page 17 of the instructions)	10,394,472	11,730,876	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	10,494,472	11,805,876	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	10,394,472
2	Enter amount from Part I, line 27a	2	1,355,315
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	11,749,787
5	Decreases not included in line 2 (itemize) ▶ _____	5	18,911
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	11,730,876

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	971,918
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	711,950	8,615,402	0 082637
2011	611,449	6,567,076	0 093108
2010	610,170	6,197,751	0 098450
2009	394,330	5,378,232	0 073320
2008	628,527	6,433,905	0 097690

2	Total of line 1, column (d).	2	0 445205
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 089041
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	14,502,160
5	Multiply line 4 by line 3.	5	1,291,287
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	12,168
7	Add lines 5 and 6.	7	1,303,455
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	350,200

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)		
1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	24,336
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3 Add lines 1 and 2.	3	24,336
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	24,336
6 Credits/Payments		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	11,270
b Exempt foreign organizations—tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	25,000
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d.	7	36,270
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed <input type="checkbox"/>	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . <input type="checkbox"/>	10	11,934
11 Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> 11,934 Refunded <input type="checkbox"/>	11	0

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c Did the foundation file Form 1120-POL for this year?.	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ 0 (2) On foundation managers <input type="checkbox"/> \$ _____ 0			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____ 0			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a	Yes	
b If "Yes," has it filed a tax return on Form 990-T for this year?.	4b	Yes	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CA _____			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of RON LAKEY Telephone no (909) 594-0941 Located at 655 BREYA CANYON ROAD WALNUT CA ZIP+4 91789			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. Organizations relying on a current notice regarding disaster assistance check here. <input checked="" type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. If "Yes," list the years 20____, 20____, 20____, 20____ <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARY S SHEA	PRESIDENT	0	0	0
655 BREA CANYON ROAD WALNUT, CA 91789	2 00			
COLLEEN SHEA MORRISSEY	TREASURER	0	0	0
655 BREA CANYON ROAD WALNUT, CA 91789	1 00			
RONALD L LAKEY	ASSISTANT SECRETARY	0	0	0
655 BREA CANYON ROAD WALNUT, CA 91789	1 00			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	9,974,743
b	Average of monthly cash balances.	1b	1,612,332
c	Fair market value of all other assets (see instructions).	1c	3,135,930
d	Total (add lines 1a, b, and c).	1d	14,723,005
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	14,723,005
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	220,845
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	14,502,160
6	Minimum investment return. Enter 5% of line 5.	6	725,108

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	725,108
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	24,336
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	7,767
c	Add lines 2a and 2b.	2c	32,103
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	693,005
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	693,005
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	693,005

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	350,200
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	350,200
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	350,200
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				693,005
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.	314,511			
b From 2009.	130,000			
c From 2010.	314,378			
d From 2011.	311,746			
e From 2012.	302,040			
f Total of lines 3a through e.	1,372,675			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 350,200				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				350,200
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	342,805			342,805
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,029,870			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .	0			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	1,029,870			
10 Analysis of line 9				
a Excess from 2009. . . .	101,706			
b Excess from 2010. . . .	314,378			
c Excess from 2011. . . .	311,746			
d Excess from 2012. . . .	302,040			
e Excess from 2013. . . .				

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	350,200
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a

Transfers from the reporting foundation to a noncharitable exempt organization of

1

Cash.

1a(1)

No

2

Other assets.

1a(2)

No

b

Other transactions

1

Sales of assets to a noncharitable exempt organization.

1b(1)

No

2

Purchases of assets from a noncharitable exempt organization.

1b(2)

No

3

Rental of facilities, equipment, or other assets.

1b(3)

No

4

Reimbursement arrangements.

1b(4)

No

5

Loans or loan guarantees.

1b(5)

No

6

Performance of services or membership or fundraising solicitations.

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes

☒ No

b

If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

2014-11-13

Date

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

☒ Yes

☐ No

Paid Preparer Use Only

Print/Type preparer's name RONALD L LAKEY	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN P01251459
Firm's name <input type="checkbox"/> JF SHEA CO INC			Firm's EIN <input type="checkbox"/> 94-1530032	
Firm's address <input type="checkbox"/> 655 BREA CANYON RD WALNUT, CA 91789			Phone no (909) 594-9500	

Form 990-PF (2013)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FROM PARTNERSHIPS	P		
FROM PARTNERSHIPS	P		
286 SHS - THAI FUND INC (COMMON)	D	2005-03-23	2013-09-17
2000 SHS - MAKO SURGICAL CORP (COMMON)	D	2010-05-10	2013-12-18
3000 SHS - MAKO SURGICAL CORP (COMMON)	D	2010-05-11	2013-12-18
0 SHS - THAI FUND INC (COMMON)	D	2005-03-23	2013-01-31
JP MORGAN - HCP INC CAPITAL GAIN DISTRIBUTION	D		
MORGAN STANLEY- HCP INC CAPITAL GAIN DITRIBUTION	D		
MORGAN STANLEY- THAI FUND INC CAPITAL GAIN DISTRIBUTION	D		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
143,794			143,794
724,262			724,262
6,529		5,606	923
60,000		25,210	34,790
90,000		37,815	52,185
373			373
4,397			4,397
697			697
10,497			10,497


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			143,794
			724,262
			923
			34,790
			52,185
			373
			4,397
			697
			10,497


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ALS ASSOCIATION 1275 K STREET NW SUITE 250 WASHINGTON,DC 20005	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	5,000
AMERICAN PARKINSON DISEASE ASSOCIATION 135 PARKINSON AVENUE STATEN ISLAND,NY 10305	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	2,000
BOYS & GIRLS CLUB OF PASADENA 2020 N FAIR OAKS AVE PASADENA,CA 91103	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	250
CAN LIV 164 MARKET STREET SUITE 129 CHARLESTON,SC 29401	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	1,000
CAROLINAS HEALTHCARE FOUNDATION 1221 E MOREHEAD ST CHARLOTTE,NC 28204	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	4,000
CHANDLER SCHOOL 1005 ARMADA DR PASADENA,CA 91103	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	250
COASTLANDS VINEYARD CHURCH PO BOX 676 MORRO BAY,CA 93443	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	1,000
DOLLIES MAKING A DIFFERENCE 440 TOYOPA DRIVE PACIFIC PALISADES,CA 90272	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	1,000
GEORGETOWN UNIVERSITY 3700 O ST NW WASHINGTON,DC 20057	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	10,000
HARBOR DAY SCHOOL 3443 PACIFIC VIEW DRIVE CORONA DEL MAR,CA 92625	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	7,000
HOUSE RESEARCH INSTITUTE 2100 W 3RD STREET LOS ANGELES,CA 90057	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	5,000
HUNTINGTON HOSPITAL POB 7013 100 W CALIFORNIA BLVD PASADENA,CA 91109	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	2,000
JESUIT SCHOOL OF THEOLOGY AT SANTA CLARA UNIVERSITY 1735 LEROY AVE BERKELEY,CA 94709	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	50,000
JOHN AND COLLEEN MORRISSEY FOUNDATION 655 BREA CANYON RD WALNUT,CA 91789	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	75,000
JOHN TRACY CLINIC 806 W ADAMS BLVD LOS ANGELES,CA 90007	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	10,000
Total  3a				350,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JON WOOD TENNIS SERVICES 45 WYLLYS AVENUE MIDDLETOWN,CT 06459	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	3,500
KCET POB 469028 ESCONDIDO,CA 92046	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	200
LOYOLA HIGH SCHOOL 1901 VENICE BLVD LOS ANGELES,CA 90006	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	55,500
MARINE CORPS SCHOLARSHIP FOUNDATION 909 N WASHINGTONSTREET STE 400 ALEXANDRIA,VA 32214	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	1,000
MAYFIELD SENIOR SCHOOL - MAUREEN SHEA SCHOLARSHIP FUND 500 BELLEFONTAINE ST PASADENA,CA 91105	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	500
MAYFIELD JUNIOR SCHOOL 405 S EUCLID AVE PASADENA,CA 91101	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	22,500
MAYFIELD SENIOR SCHOOL 500 BELLEFONTAINE ST PASADENA,CA 91105	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	15,000
MOUNTAINBROOK COMMUNITY CHURCH 1775 CALLE JOAQUIN SAN LUIS OBISPO,CA 93405	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	4,000
NATIONAL MS SOCIETY - GEORGIA CHAPTER 1117 PERIMETER CENTER WEST ROAD E101 ATLANTA,GA 30338	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	1,000
NORTH LAKE TAHOE HISTORICAL SOCIETY PO BOX 6141 TAHOE CITY,CA 96145	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	100
PASADENA HUMANE SOCIETY 361 S RAYMOND AVE PASADENA,CA 91105	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	1,000
POLYTECHNIC SCHOOL 1030 E CALIFORNIA BLVD PASADENA,CA 91106	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	10,550
SAN LUIS OBISPO CHRISTIAN SCHOOL POB 385 525 CERRO ROMAULDO AVE SAN LUIS OBISPO,CA 934060385	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	6,000
SAN MARINO POLICE OFFICERS' ASSOCIATION PO BOX 861 SAN GABRIEL,CA 91778	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	1,000
SEMESTER AT SEA POB 400885 CHARLOTTESVILLE,VA 22904	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	2,000
Total  3a				350,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ST ANDREW CATHOLIC CHURCH 311 N RAYMOND PASADENA, CA 91103	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	13,600
ST ANDREW CATHOLIC SCHOOL 311 N RAYMOND PASADENA, CA 91103	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	25,000
ST EDMUND'S NURSERY SCHOOL POB 80038 1175 SAN GABRIEL BLVD SAN MARINO, CA 91118	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	250
ST MARY'S COLLEGE OF CALIFORNIA 1928 ST MARYS RD MORAGA, CA 94556	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	1,500
SUSAN G KOMEN FOR THE CURE 5005 LBJ FREEWAY STE 250 DALLAS, TX 75244	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	1,500
UNIVERSITY OF SOUTHERN CALIFORNIA UNIVERSITY PARK LOS ANGELES, CA 900897799	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	9,000
USC MARSHALL DEAN'S STRATEGIC FUND UNIVERSITY PARK LOS ANGELES, CA 900897799	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	500
VILLA ESPERANZA SERVICES 2060 E VILLA ST PASADENA, CA 91107	NONE	TAX EXEMPT	FURTHERANCE OF EXEMPT ACTIVITIES	1,500
Total  3a				350,200

<div>Schedule B</div> <div>(Form 990, 990-EZ, or 990-PF)</div> <div>Department of the Treasury Internal Revenue Service</div>	<div>Schedule of Contributors</div> <div>▶ Attach to Form 990, 990-EZ, or 990-PF.</div> <div>▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <u>www.irs.gov/form990</u>.</div>	<div>OMB No 1545-0047</div> <div>2013</div>
---	--	---

<div>Name of the organization</div> <div>EDMUND AND MARY SHEA FAMILY FOUNDATION</div>	<div>Employer identification number</div> <div>95-4107214</div>
---	---

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<div><input type="checkbox"/> 501(c)() (enter number) organization</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation</div> <div><input type="checkbox"/> 527 political organization</div>
Form 990-PF	<div><input checked="" type="checkbox"/> 501(c)(3) exempt private foundation</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation</div> <div><input type="checkbox"/> 501(c)(3) taxable private foundation</div>

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

[illegible]

Name of organization EDMUND AND MARY SHEA FAMILY FOUNDATION	Employer identification number 95-4107214
---	---

Part II	Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization EDMUND AND MARY SHEA FAMILY FOUNDATION	Employer identification number 95-4107214
---	---

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	

TY 2013 Accounting Fees Schedule

Name: EDMUND AND MARY SHEA FAMILY FOUNDATION

EIN: 95-4107214

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FINANCIAL AUDIT FEES	12,600	12,600		0

**TY 2013 Investments Corporate
Stock Schedule**

Name: EDMUND AND MARY SHEA FAMILY FOUNDATION
EIN: 95-4107214

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PD-LD, INC	0	662
AES CORP/THE	328,410	638,324
ALLEGiant TRAVEL COMPANY	187,950	263,600
ALTRIA GROUP INC	217,284	445,324
ARTIO INTERNATIONAL EQUITY FUND II	296,967	342,089
BERKSHIRE HATHAWAY INC	135,225	177,900
BROCADE COMMUNICATIONS SYSTEMS INC	105,600	177,300
CENOVUS ENERGY INC	249,353	216,308
CUTERA INC	8,034	10,180
DEVON ENERGY CORP	53,235	61,870
ENCANA CORP	151,676	136,278
FORD MOTOR CO	46,160	61,720
GILEAD SCIENCES INC	93,443	811,080
HCP INC	921,774	1,387,424
ISHARES MSCI BRAZIL INDEX FUND	141,375	111,700
ISHARES MSCI JAPAN INDEX FUND	14,235	18,209
ISHARES MSCI SOUTH KOREA INDEX FUND	31,038	32,335
JDS UNIPHASE CORP	11,348	11,362
KRAFT FOODS GROUP INC	13,582	44,745
LORILLARD INC	325,110	456,120
MAKO SURGICAL CORP	0	0
MONDELEZ INTERNATIONAL INC	25,124	87,932
MORGAN STANLEY	6,024	12,544
PETROLEO BRASILEIRO SA	124,150	68,900
PHILIP MORRIS INTERNATIONAL INC	1,534,578	1,969,138
PMC - SIERRA INC	52,594	318,285
PORTFOLIO RECOVERY ASSOCIATES INC	517,073	951,120
THAI FUND INC/THE	23,771	22,034
VANGUARD PACIFIC STOCK INDEX FUND	336,442	383,788
VIASAT INC	387,850	626,500

Name of Stock	End of Year Book Value	End of Year Fair Market Value
WELLS FARGO & CO	174,175	227,000
WIRELESS RONIN TECHNOLOGIES INC	32,075	5,040
XTEP INTERNATIONAL HOLDINGS	14,520	25,794
YONGYE INTERNATIONAL INC	25,400	32,000

TY 2013 Investments - Other Schedule

Name: EDMUND AND MARY SHEA FAMILY FOUNDATION

EIN: 95-4107214

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
PARTNERSHIP	AT COST	2,559,967	3,076,132

TY 2013 Other Decreases Schedule**Name:** EDMUND AND MARY SHEA FAMILY FOUNDATION**EIN:** 95-4107214

Description	Amount
NONDEDUCTIBLE EXPENSES	701
PRIOR YEAR BASIS ADJUSTMENT	18,210

TY 2013 Other Expenses Schedule**Name:** EDMUND AND MARY SHEA FAMILY FOUNDATION**EIN:** 95-4107214

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT EXPENSES FROM PSHIPS	9,156	9,156		0
MISCELLANEOUS	5,760	5,760		0
OTHER INVESTMENT LOSS FROM PSHIPS	95,252	95,252		0

TY 2013 Other Income Schedule**Name:** EDMUND AND MARY SHEA FAMILY FOUNDATION**EIN:** 95-4107214

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
AG REALTY III	-1,640	-1,640	-1,640
PINNACLE NATURAL RESOURCE LP	44	44	44
ROI PARTNERS, LP	0	0	0
KKR FINANCIAL HOLDINGS LLC	2,308	2,308	2,308
ROYALTY INCOME FROM PASSTHROUGH K-1S	1,188	1,188	1,188
OTHER INCOME FROM PASSTHROUGH K-1S	2,486	2,486	2,486
PORTFOLIO INCOME FROM PASSTHROUGH K-1S	1,640	1,640	1,640

TY 2013 Taxes Schedule**Name:** EDMUND AND MARY SHEA FAMILY FOUNDATION**EIN:** 95-4107214

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	5,661	5,661		0
FRANCHISE TAX BOARD	4,524	4,524		0
INTERNAL REVENUE SERVICE	32,103	0		0