See a Social Security Number? Say Something!
Report Privacy Problems to https://public.resource.org/privacy
Or call the IRS Identity Theft Hotline at 1-800-908-4490

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

► **Do not enter Social Security numbers on this form as it may be made public.**
► Information about Form 990-PF and its separate instructions is at ***www.irs.gov/form990pf.***

OMB No 1545 0052

2013

For calendar year 2013, or tax year beginning , 2013, and ending ,

DOROTHY COLLINS BROWN
CHARITABLE FOUNDATION
2049 CENTURY PARK EAST #1150
LOS ANGELES, CA 90067

A Employer identification number: 95-4031664

B Telephone number (see the instructions): 800-509-2251

C If exemption application is pending, check here ► ☐

D 1 Foreign organizations, check here ► ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, column (c), line 16)* ► $ 883,491.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)	105,277.			
	2 Ck ► ☐ if the foundn is **not** req to att Sch B				
	3 Interest on savings and temporary cash investments	1.	1.	1.	
	4 Dividends and interest from securities	14,371.	14,371.	14,371.	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	109,031.			
	b Gross sales price for all assets on line 6a 1,082,440.				
	7 Capital gain net income (from Part IV, line 2)		109,031.		
	8 Net short-term capital gain			47,922.	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule) SEE STATEMENT 1	134.			
	12 **Total.** Add lines 1 through 11	228,814.	123,403.	62,294.	
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors trustees, etc	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch) SEE ST 2	1,695.		1,695.	
	c Other prof fees (attach sch) SEE ST 3	21,993.		21,993.	
	17 Interest				
	18 Taxes (attach schedule)(see instrs) SEE STM 4	1,984.		1,984.	
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 **Total operating and administrative expenses.** Add lines 13 through 23	25,672.		25,672.	
	25 Contributions, gifts, grants paid STMT 5	82,500.			82,500.
	26 **Total expenses and disbursements.** Add lines 24 and 25	108,172.	0.	25,672.	82,500.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	120,642.			
	b **Net investment income** (if negative, enter -0-)		123,403.		
	c **Adjusted net income** (if negative, enter -0-)			36,622.	

RECEIVED
JUN 0 3 2014
A005
OGDEN, UT

SCANNED JUN 0 5 2014

BAA For Paperwork Reduction Act Notice, see instructions.

TEEA0504L 10/18/13 Form **990-PF** (2013)

6-18 14

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
ASSETS	1 Cash – non-interest-bearing			
	2 Savings and temporary cash investments	38,901.	39,298.	39,298.
	3 Accounts receivable ►			
	Less allowance for doubtful accounts ►			
	4 Pledges receivable ►			
	Less allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ►			
	Less allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	1,200.	2,000.	2,000.
	10a Investments – U S and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule) STATEMENT 6	577,376.	705,000.	842,193.
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule)			
	14 Land, buildings, and equipment basis ►			
	Less. accumulated depreciation (attach schedule) ►			
	15 Other assets (describe ►)			
	16 **Total assets** (to be completed by all filers – see the instructions Also, see page 1, item I)	617,477.	746,298.	883,491.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ► SEE STATEMENT 7)		8,179.	
	23 **Total liabilities** (add lines 17 through 22)	0.	8,179.	
NET ASSETS OR FUND BALANCES	**Foundations that follow SFAS 117, check here ► ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	617,477.	738,119.	
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see instructions)	617,477.	738,119.	
	31 **Total liabilities and net assets/fund balances** (see instructions)	617,477.	746,298.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	617,477.
2	Enter amount from Part I, line 27a	2	120,642.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	738,119.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	738,119.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a	SEE ATTACHED - MERRILL LYNCH SHORT TERM	P	VARIOUS	VARIOUS
b	SEE ATTACHED - MERRILL LYNCH LONG TERM	P	VARIOUS	VARIOUS
c	1000 PHARMACYCLICS INC	P	7/15/13	7/15/13
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	678,027.		630,105.	47,922.
b	299,136.		238,027.	61,109.
c	105,277.		105,277.	0.
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				47,922.
b				61,109.
c				0.
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7	2	109,031.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) — If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	47,922.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income) N/A

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012			
2011			
2010			
2009			
2008			

2	**Total** of line 1, column (d)	2	
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	
5	Multiply line 4 by line 3	5	
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	
7	Add lines 5 and 6	7	
8	Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1 Date of ruling or determination letter. _____ (attach copy of letter if necessary – see instrs)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► ☐ and enter 1% of Part I, line 27b	1	2,468.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	2,468.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	2,468.
6	Credits/Payments		
a	2013 estimated tax pmts and 2012 overpayment credited to 2013 — 6a: 2,000.		
b	Exempt foreign organizations – tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	2,000.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** SEE STATEMENT 8 ►	9	471.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►	10	
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ► **Refunded** ►	11	

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b		X
	*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ► $ 0. **(2)** On foundation managers ► $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► N/A			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► MERRILL LYNCH TRUST COMPANY Telephone no ► 800-509-2251

Located at ► 2049 CENTURY PARK EAST, STE 1150 LOS ANGELES ZIP + 4 ► 90067

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** — Check here N/A ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X

See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If 'Yes,' enter the name of the foreign country ►

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No			
	If 'Yes,' list the years ► 20 _ _ , 20 _ _ , 20 _ _ , 20 _ _			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement — see instructions)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20 _ _ , 20 _ _ , 20 _ _ , 20 _ _			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		5b	N/A
Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No		
If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
If 'Yes' to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JACK SURBER 350 S. GRAND AVENUE, 27TH FLR LOS ANGELES, CA 90071	TRUSTEE 0	0.	0.	0.
RODNEY HAGENBUCH 16826 MONTE HERMOSO DRIVE PACIFIC PALISADES, CA 90272	TRUSTEE 0	0.	0.	0.
JOHN QUINN 3033 EDGEWICK ROAD GLENDALE, CA 91206	TRUSTEE 0	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NOT APPLICABLE - THE FOUNDATION DOES NOT ADMINISTER OR MAINTAIN ANY FORMAL PROGRAMS. THE FOUNDATION ISSUES CASH DIRECTLY TO CHARITABLE INSTITUTIONS.	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ►	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes			
a Average monthly fair market value of securities		1a	88,589.
b Average of monthly cash balances		1b	
c Fair market value of all other assets (see instructions)		1c	
d **Total** (add lines 1a, b, and c)		1d	88,589.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2 Acquisition indebtedness applicable to line 1 assets		2	0.
3 Subtract line 2 from line 1d		3	88,589.
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)		4	1,329.
5 **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	87,260.
6 **Minimum investment return.** Enter 5% of line 5		6	4,363.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	4,363.
2a Tax on investment income for 2013 from Part VI, line 5	2a 2,468.		
b Income tax for 2013 (This does not include the tax from Part VI)	2b		
c Add lines 2a and 2b		2c	2,468.
3 Distributable amount before adjustments Subtract line 2c from line 1		3	1,895.
4 Recoveries of amounts treated as qualifying distributions		4	
5 Add lines 3 and 4		5	1,895.
6 Deduction from distributable amount (see instructions)		6	
7 **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	1,895.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	82,500.
b Program-related investments – total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	82,500.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6 **Adjusted qualifying distributions.** Subtract line 5 from line 4	6	82,500.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				1,895.
2 Undistributed income, if any, as of the end of 2013.				
a Enter amount for 2012 only			0.	
b Total for prior years 20 ___, 20 ___, 20 ___		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008 — 86,500.				
b From 2009 — 7,226.				
c From 2010 — 23,998.				
d From 2011 — 24,310.				
e From 2012 — 54,368.				
f **Total** of lines 3a through e	196,402.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► $ 82,500.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2013 distributable amount				1,895.
e Remaining amount distributed out of corpus	80,605.			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a))*	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	277,007.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	86,500.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	190,507.			
10 Analysis of line 9				
a Excess from 2009 — 7,226.				
b Excess from 2010 — 23,998.				
c Excess from 2011 — 24,310.				
d Excess from 2012 — 54,368.				
e Excess from 2013 — 80,605.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test — enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year — see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Total			► **3a**	
b *Approved for future payment*				
Total			► **3b**	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments				1.	
4 Dividends and interest from securities			14	14,371.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					109,031.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a LITIGATION SETTLEMENT			1		
b NON TAXABLE DISTRIBUTIONS			1	134.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				14,506.	109,031.
13 **Total.** Add line 12, columns (b), (d), and (e)				13	123,537.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of.			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee — Date 5/29/14 — Title TRUSTEE

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
KIRBY N. HIGASHI, CPA	KIRBY N. HIGASHI, CPA	5/23/14		P00257479

Firm's name ► SADD VELAZQUEZ HIGASHI SHAMMAA, LLP — Firm's EIN ► 80-0519547

Firm's address ► 330 N BRAND BLVD STE 200 GLENDALE, CA 91203-2361 — Phone no (818) 547-5701

Schedule B
(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, Form 990-EZ, or Form 990-PF**
► Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at ***www.irs.gov/form990.***

OMB No 1545-0047

2013

Name of the organization	Employer identification number
DOROTHY COLLINS BROWN CHARITABLE FOUNDATION	95-4031664

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor (Complete Parts I and II)

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of $5,000 or more during the year ► $ _____

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990EZ, or 990-PF.

Schedule **B** (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization: DOROTHY COLLINS BROWN

Employer identification number: 95-4031664

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JACK SURBER 2 CALIFORNIA PLAZA, SUITE 2700 350 SOUTH GRAND AVE, CA 90071	$ 105,277.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization: DOROTHY COLLINS BROWN

Employer identification number: 95-4031664

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	1000 SHARES PHARMACYCLICS, INC	$ 105,277.	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization: DOROTHY COLLINS BROWN

Employer identification number: 95-4031664

Part III ***Exclusively*** **religious, charitable, etc., individual contributions to section 501(c)(7), (8) or (10) organizations that total more than $1,000 for the year.** Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions) ► $ N/A

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	N/A		

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

DOROTHY COLLINS BROWN CHARITABLE FOUNDATION

Merrill Lynch
Bank of America Corporation

Account No.	Taxpayer No.	Page
23C-74E44	95-4031664	7 of 43

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your tax return.

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available).

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
ASTRAZENECA PLC SPND ADR REDEEMED		*CUSIP Number*	*046353108*					
139.0000	Sale	04/17/13	07/25/13	7,051.62	7,034.80	0.00	16.82	
14 0000	Sale	07/19/13	07/25/13	710.24	700.39	0.00	9.85	
	Security Subtotal			***7,761.86***	***7,735.19***	***0.00***	***26.67***	
ALTERA CORP COM REDEEMED		*CUSIP Number*	*021441106*					
1 0000	Sale	03/01/13	10/04/13	36 90	34.79	0 00	2 11	
2 0000	Sale	03/01/13	10/10/13	73.07	69.58	0.00	3.49	
	Security Subtotal			***109.97***	***104 37***	***0.00***	***5.60***	

Merrill Lynch
Bank of America Corporation

Account No. 230-74E44 | Taxpayer No. 95-4031664 |

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
AMERICAN INTERNATIONAL GROUP INC REDEEMED		*CUSIP Number*	*026874784*					
92.0000	Sale	05/08/13	12/18/13	4,612 33	4,069.82	0 00	542.51	
30 0000	Sale	07/15/13	12/18/13	1,504 02	1,406.85	0 00	97 17	
59.0000	Sale	07/19/13	12/18/13	2,957.92	2,755.60	0.00	202.32	
2 0000	Sale	10/16/13	12/18/13	100.27	102.31	0.00	(2.04)	
	Security Subtotal			***9,174.54***	***8,334.58***	***0.00***	***839.96***	
ARCHER DANIELS MIDLD REDEEMED		*CUSIP Number*	*039483102*					
113.0000	Sale	02/13/13	09/18/13	4,192.02	3,485 51	0.00	706.51	
2.0000	Sale	02/13/13	10/04/13	73.71	61 69	0.00	12.02	
1.0000	Sale	02/13/13	10/10/13	37.04	30 85	0.00	6.19	
	Security Subtotal			***4,302.77***	***3,578.05***	***0.00***	***724.72***	
BAKER HUGHES INC REDEEMED		*CUSIP Number*	*057224107*					
38.0000	Sale	09/26/12	01/10/13	1,634.53	1,737 66	0 00	(103.13)	
46 0000	Sale	09/26/12	01/11/13	1,980.37	2,103.49	0 00	(123 12)	
25.0000	Sale	09/26/12	01/16/13	1,059.08	1,143 20	0.00	(84.12)	
	Security Subtotal			***4,673.98***	***4,984.35***	***0.00***	***(310.37)***	
BARD C R INC REDEEMED		*CUSIP Number*	*067383109*					
2.0000	Sale	07/30/13	10/04/13	231 19	228.22	0.00	2.97	
BHP BILLITON LTD ADR REDEEMED		*CUSIP Number*	*088606108*					
48 0000	Sale	07/31/12	03/15/13	3,502 75	3 193 61	0 00	309 14	
3 0000	Sale	07/31/12	04/09/13	209 99	199.60	0 00	10 39	
	Security Subtotal			***3,712.74***	***3,393.21***	***0.00***	***319.53***	
BANK NEW YORK MELLON CORP REDEEMED		*CUSIP Number*	*064058100*					
134 0000	Sale	07/09/12	02/26/13	3,620 10	2,885.02	0 00	735.08	
104.0000	Sale	07/09/12	03/06/13	2,917 34	2,239 12	0.00	678 22	
54.0000	Sale	07/09/12	04/10/13	1,513 35	1 162.62	0 00	350 73	
130 0000	Sale	08/24/12	04/10/13	3,643 27	2 917 16	0 00	726 11	

Merrill Lynch
Bank of America Corporation

Account No. 230-74E44 | Taxpayer No. 95-4031664 | Page 9 of 43

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
BANK NEW YORK MELLON CORP REDEEMED		*CUSIP Number*	*064058100*					
99.0000	Sale	09/26/12	04/10/13	2,774.50	2,231.35	0 00	543.15	
14 0000	Sale	07/08/13	10/04/13	423 26	410.46	0 00	12 80	
11.0000	Sale	07/08/13	10/10/13	333.95	322.51	0.00	11.44	
	Security Subtotal			***15,225.77***	***12,168.24***	***0.00***	***3,057.53***	
BOEING COMPANY REDEEMED		*CUSIP Number*	*097023105*					
49.0000	Sale	07/09/12	06/21/13	4,852.73	3,627.50	0 00	1.225.23	
39.0000	Sale	08/01/12	06/21/13	3,862.39	2,878 19	0.00	984.20	
	Security Subtotal			***8,715.12***	***6,505.69***	***0.00***	***2,209.43***	
CSX CORP REDEEMED		*CUSIP Number*	*126408103*					
19 0000	Sale	08/07/12	08/01/13	477 46	436.32	0.00	41 14	
77 0000	Sale	05/03/13	09/10/13	2,001 98	1,909.38	0.00	92 60	
130 0000	Sale	07/19/13	09/10/13	3,379 99	3,292.26	0 00	87.73	
	Security Subtotal			***5,859.43***	***5,637.96***	***0.00***	***221.47***	
COMCAST CRP NEW CL A SPL REDEEMED		*CUSIP Number*	*20030N200*					
140.0000	Sale	07/09/12	07/01/13	5,516 46	4 307.79	0 00	1,208.67	
CARNIVAL CORP PAIRED SHS REDEEMED		*CUSIP Number*	*143658300*					
2 0000	Sale	07/09/12	02/07/13	78 01	66.53	0.00	11 48	
92 0000	Sale	07/09/12	02/08/13	3,596 65	3,060.60	0.00	536 05	
19 0000	Sale	07/09/12	03/05/13	688 73	632.08	0 00	56 65	
143 0000	Sale	07/09/12	03/06/13	5,191 17	4,757 24	0.00	433 93	
12.0000	Sale	05/01/13	10/04/13	387 26	406 74	0.00	(19.48)	
	Security Subtotal			***9,941.82***	***8,923.19***	***0.00***	***1,018.63***	

Merrill Lynch
Bank of America Corporation

Account No. 230-74E44 | Taxpayer No. 95-4031664 | Page 10 of 43

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CHEVRON CORP		*CUSIP Number*	*166764100*					
REDEEMED								
21.0000	Sale	09/10/12	04/16/13	2,457.07	2,401.37	0.00	55.70	
17.0000	Sale	10/22/12	04/16/13	1,989 06	1,900.57	0 00	88.49	
2 0000	Sale	10/23/12	04/16/13	234 00	222.18	0 00	11.82	
21.0000	Sale	10/26/12	04/16/13	2,457.09	2,328.09	0.00	129.00	
	Security Subtotal			***7,137.22***	***6,852.21***	***0.00***	***285.01***	
CATERPILLAR INC DEL		*CUSIP Number*	*149123101*					
REDEEMED								
20.0000	Sale	07/09/12	02/26/13	1,800.62	1.661.66	0.00	138.96	
1.0000	Sale	07/31/12	02/26/13	90.04	84.75	0 00	5.29	
31.0000	Sale	07/31/12	03/07/13	2,773.83	2.627.12	0.00	146.71	
47 0000	Sale	10/26/12	03/07/13	4,205.49	3,941.35	0 00	264 14	
	Security Subtotal			***8,869.98***	***8,314.88***	***0.00***	***555.10***	
CA INC		*CUSIP Number*	*12673P105*					
REDEEMED								
4 0000	Sale	07/01/13	10/04/13	117 59	114.44	0 00	3.15	
1 0000	Sale	07/01/13	10/10/13	29 10	28 61	0.00	0 49	
	Security Subtotal			***146.69***	***143.05***	***0.00***	***3.64***	
CME GROUP INC		*CUSIP Number*	*12572Q105*					
REDEEMED								
5 0000	Sale	05/15/13	10/10/13	368.39	320.97	0 00	47 42	
CLIFFS NATURAL RESOURCES INC		*CUSIP Number*	*18683K101*					
REDEEMED								
5.0000	Sale	09/27/13	10/10/13	103 84	104 46	0 00	(0 62)	
CITIGROUP INC COM NEW		*CUSIP Number*	*172967424*					
REDEEMED								
39.0000	Sale	07/09/12	04/09/13	1,716 22	1 012 23	0 00	703 99	
COCA COLA COM		*CUSIP Number*	*191216100*					
REDEEMED								
35 0000	Sale	02/08/13	05/28/13	1,505 51	1,353 08	0 00	152 43	
57 0000	Sale	02/08/13	06/10/13	2,351 07	2,203 58	0 00	147.49	
22.0000	Sale	02/11/13	06/10/13	907 44	849 76	0 00	57.68	

Merrill Lynch
Bank of America Corporation

Account No. 230-74E44 | Taxpayer No. 95-4031664 | Page 11 of 43

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
COCA COLA COM		*CUSIP Number*	*191216100*					
REDEEMED								
45 0000	Sale	02/11/13	06/10/13	1,856.12	1,738 14	0.00	117.98	
29.0000	Sale	02/11/13	07/16/13	1,161.63	1,120.13	0 00	41.50	
95 0000	Sale	02/25/13	07/16/13	3,805 35	3,599 20	0 00	206 15	
49.0000	Sale	04/23/13	07/16/13	1,962.76	2,092.30	0.00	(129.54)	
	Security Subtotal			***13,549.88***	***12,956.19***	***0.00***	***593.69***	
COMERICA INC	*COM*	*CUSIP Number*	*200340107*					
REDEEMED								
4 0000	Sale	07/19/13	10/04/13	156 80	168.55	0 00	(11.75)	
2.0000	Sale	07/19/13	10/10/13	79.43	84.27	0.00	(4.84)	
	Security Subtotal			***236.23***	***252.82***	***0.00***	***(16.59)***	
CUMMINS INC	*COM*	*CUSIP Number*	*231021106*					
REDEEMED								
6 0000	Sale	07/09/12	02/22/13	683 98	572 15	0 00	111.83	
27 0000	Sale	07/09/12	02/25/13	3,090.57	2,574.66	0 00	515 91	
33.0000	Sale	07/09/12	04/04/13	3,729 07	3,146 82	0 00	582.25	
3 0000	Sale	07/09/12	04/19/13	319 78	286.07	0 00	33 71	
28 0000	Sale	10/10/12	04/19/13	2,984.65	2,458 15	0.00	526 50	
	Security Subtotal			***10,808.05***	***9,037.85***	***0.00***	***1,770.20***	
DIAMOND OFFSHORE DRLNG		*CUSIP Number*	*25271C102*					
REDEEMED								
31 0000	Sale	07/09/12	03/12/13	2,146 79	1 840.40	0 00	306 39	
12.0000	Sale	07/09/12	03/13/13	831 11	712.41	0 00	118 70	
46.0000	Sale	07/09/12	04/19/13	2,996.55	2,730.92	0 00	265.63	
16 0000	Sale	07/09/12	04/22/13	1,048 00	949 88	0 00	98 12	
25 0000	Sale	08/30/12	04/22/13	1,637 51	1,647.49	0 00	(9 98)	
18 0000	Sale	11/05/12	04/22/13	1,179 02	1 238.35	0 00	(59 33)	
	Security Subtotal			***9,838.98***	***9,119.45***	***0.00***	***719.53***	
DOMINION RES INC NEW VA		*CUSIP Number*	*25746U109*					
REDEEMED								
129 0000	Sale	03/08/13	05/14/13	7,749 20	7 195 03	0 00	554 17	

Merrill Lynch
Bank of America Corporation

Account No. 230-74E44 | Taxpayer No. 95-4031664 | Page 12 of 43

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
DUKE ENERGY CORP NEW		*CUSIP Number*	*26441C204*					
REDEEMED								
105 0000	Sale	02/05/13	07/15/13	7,457.27	7,233 86	0 00	223.41	
27.0000	Sale	03/28/13	07/15/13	1,917.59	1,949.83	0.00	(32 24)	
	Security Subtotal			***9,374.86***	***9,183.69***	***0.00***	***191.17***	
DEERE CO		*CUSIP Number*	*244199105*					
REDEEMED								
43.0000	Sale	08/28/12	02/13/13	3,958 23	3,173.00	0.00	785.23	
5.0000	Sale	08/28/12	02/19/13	450.66	368.95	0.00	81 71	
8.0000	Sale	08/28/12	03/07/13	719.99	590.33	0.00	129.66	
31.0000	Sale	08/28/12	03/08/13	2,798.60	2,287.52	0 00	511.08	
2 0000	Sale	08/28/12	04/05/13	170 19	147.58	0 00	22.61	
38.0000	Sale	10/15/12	04/05/13	3,233.77	3.136.68	0.00	97.09	
	Security Subtotal			***11,331.44***	***9,704.06***	***0.00***	***1,627.38***	
DOVER CORP		*CUSIP Number*	*260003108*					
REDEEMED								
10 0000	Sale	07/11/12	04/18/13	700 53	511.67	0.00	188.86	
114 0000	Sale	07/11/12	04/23/13	7,865 97	5 833 03	0 00	2,032 94	
	Security Subtotal			***8,566.50***	***6,344.70***	***0.00***	***2,221.80***	
DOW CHEMICAL CO		*CUSIP Number*	*260543103*					
REDEEMED								
216.0000	Sale	12/28/12	02/13/13	7,145 38	6,878 35	0 00	267 03	
15 0000	Sale	05/09/13	10/04/13	584 [illegible]	523 [illegible]	0 00	60 45	
7 0000	Sale	05/09/13	10/10/13	281 25	244.46	0.00	36.79	
72 0000	Sale	05/09/13	10/18/13	2,984 36	2,514.40	0 00	469 96	
109 0000	Sale	05/09/13	12/02/13	4,300 [illegible]	3,806 [illegible]	0 00	554 11	
40 0000	Sale	05/16/13	12/02/13	1,600 [illegible]	1,417 [illegible]	0 00	182.98	
	Security Subtotal			*16,956.14*	*15,384 82*	*0 00*	*1,571.32*	
E M C CORPORATION MASS		*CUSIP Number*	*268648102*					
REDEEMED								
23 0000	Sale	07/19/13	10/04/13	577 85	586 39	0 00	(8 54)	
303 0000	Sale	07/19/13	10/18/13	7,575 45	7,724 98	0 00	(149.53)	
201.0000	Sale	07/19/13	12/19/13	4,831.16	5 124.50	0.00	(293.34)	
	Security Subtotal			***12,984.46***	***13,435.87***	***0 00***	***(451.41)***	

Merrill Lynch
Bank of America Corporation

Account No.	Taxpayer No.	Page
230-74E44	95-4031664	13 of 43

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

1099-B — 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
EXXON MOBIL CORP COM		*CUSIP Number*	*30231G102*					
REDEEMED								
23.0000	Sale	12/28/12	11/04/13	2,116.15	1,958.62	0.00	157.53	
59.0000	Sale	03/28/13	11/04/13	5,428.39	5,342.53	0.00	85.86	
40.0000	Sale	04/17/13	11/04/13	3,680.27	3,435.42	0.00	244.85	
54.0000	Sale	07/19/13	11/04/13	4,968.37	5,124.34	0.00	(155.97)	
	Security Subtotal			***16,193.18***	***15,860.91***	***0.00***	***332.27***	
EXELON CORPORATION		*CUSIP Number*	*30161N101*					
REDEEMED								
111.0000	Sale	03/28/13	07/11/13	3,441.52	3,818.77	0.00	(377.25)	
2.0000	Sale	03/28/13	07/12/13	62.05	68.81	0.00	(6.76)	
138.0000	Sale	04/01/13	07/12/13	4,281.86	4,744.73	0.00	(462.87)	
169.0000	Sale	04/01/13	07/15/13	5,249.76	5,810.57	0.00	(560.81)	
	Security Subtotal			***13,035.19***	***14,442.88***	***0.00***	***(1,407.69)***	
EATON CORP PLC		*CUSIP Number*	*G29183103*					
REDEEMED								
7.0000	Sale	05/17/13	10/04/13	478.23	466.91	0.00	11.32	
6.0000	Sale	05/17/13	10/10/13	402.53	400.21	0.00	2.32	
	Security Subtotal			***880.76***	***867.12***	***0.00***	***13.64***	
EMERSON ELEC CO		*CUSIP Number*	*291011104*					
REDEEMED								
85.0000	Sale	12/28/12	04/22/13	4,590.61	4,454.09	0.00	135.52	
45.0000	Sale	12/28/12	04/23/13	[illegible]	[illegible]	[illegible]	72.05	
	Security Subtotal			***7,020.73***	***6,812.14***	***0.00***	***208.59***	
FLUOR CORP NEW DEL COM		*CUSIP Number*	*343412102*					
REDEEMED								
1.0000	Sale	08/29/13	10/01/13	71.65	63.90	0.00	7.75	
1.0000	Sale	08/29/13	10/10/13	70.93	63.90	0.00	7.03	
	Security Subtotal			***142.58***	***127.80***	***0.00***	***14.78***	
FEDEX CORP DELAWARE COM		*CUSIP Number*	*31428X106*					
REDEEMED								
24.0000	Sale	07/09/12	05/20/13	2,429.88	2,186.00	0.00	243.88	
23.0000	Sale	08/01/12	05/20/13	2,328.64	2,044.70	0.00	283.94	
	Security Subtotal			***4,758.52***	***4,230.70***	***0.00***	***527.82***	

Merrill Lynch
Bank of America Corporation

Account No.	Taxpayer No.	Page
230-74E44	95-4031664	14 of 43

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
FORD MOTOR CO		*CUSIP Number*	*345370860*					
REDEEMED								
149.0000	Sale	06/29/12	04/22/13	1,917.36	1 439.30	0.00	478 06	
196.0000	Sale	10/02/12	04/22/13	2,522.17	1,917.72	0 00	604.45	
	Security Subtotal			***4,439.53***	***3,357.02***	***0.00***	***1,082.51***	
FACEBOOK INC		*CUSIP Number*	*30303M102*					
CLASS A COMMON STOCK								
REDEEMED								
6 0000	Sale	08/06/13	10/04/13	300 68	232.67	0 00	68 01	
17.0000	Sale	08/06/13	10/10/13	831.12	659.24	0 00	171.88	
57.0000	Sale	08/06/13	11/22/13	2,678.97	2,210.39	0.00	468.58	
164 0000	Sale	08/06/13	11/25/13	7,271 07	6.359.73	0.00	911.34	
99.0000	Sale	08/06/13	12/06/13	4,852.31	3 839 10	0 00	1.013 21	
35 0000	Sale	08/06/13	12/12/13	1,820 14	1.357.26	0.00	462 88	
126.0000	Sale	08/13/13	12/12/13	6,552 52	4,649.35	0.00	1,903.17	
4.0000	Sale	10/09/13	12/12/13	208 01	183 32	0 00	24 69	
8 0000	Sale	10/16/13	12/12/13	416 04	407.92	0 00	8.12	
	Security Subtotal			***24,930.86***	***19,898.98***	***0.00***	***5,031.88***	
GOLDMAN SACHS GROUP INC		*CUSIP Number*	*38141G104*					
REDEEMED								
40.0000	Sale	07/09/12	04/17/13	5,609 21	3,770.47	0 00	1.838 74	
GENERAL ELECTRIC		*CUSIP Number*	*369604103*					
REDEEMED								
61 0000	Sale	[illegible]	[illegible]	1,342.1[illegible]	[illegible]	[illegible]	176.3[illegible]	
54 0000	Sale	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	157 0	
41 0000	Sale	07/10/12	04/25/[illegible]	[illegible]	81[illegible]	[illegible]	85 67	
81 0000	Sale	07 10/12	04/26/[illegible]	[illegible]	1 61[illegible]	0	169 [illegible]	
107 0000	Sale	10/22/12	04/26/[illegible]	[illegible]	2 [illegible]	[illegible]	15 2[illegible]	
	Security Subtotal			***7,569.59***	***6,965.89***	***0.00***	***603.70***	
HALLIBURTON COMPANY		*CUSIP Number*	*406216101*					
REDEEMED								
3.0000	Sale	12/13/12	10/04/13	144.96	101 31	0 00	43 65	
2 0000	Sale	12/13/12	10/10/13	98 75	67 54	0.00	31 21	
	Security Subtotal			***243.71***	***168 85***	***0 00***	***74.86***	

Merrill Lynch
Bank of America Corporation

Account No. 230-74E44 | Taxpayer No. 95-4031664 |

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
HONDA MOTOR ADR NEW		*CUSIP Number*	*438128308*					
REDEEMED								
102.0000	Sale	04/23/13	07/22/13	3,997.72	4,069.04	0.00	(71.32)	
8.0000	Sale	04/23/13	07/23/13	312.72	319.14	0.00	(6.42)	
72.0000	Sale	04/24/13	07/23/13	2,814.51	2,894.39	0.00	(79.88)	
10.0000	Sale	04/24/13	07/24/13	390.22	402.00	0.00	(11.78)	
60.0000	Sale	04/25/13	07/24/13	2,341.38	2,422.81	0.00	(81.43)	
104.0000	Sale	04/25/13	07/26/13	3,951.98	4,199.54	0.00	(247.56)	
	Security Subtotal			***13,808.53***	***14,306.92***	***0.00***	***(498.39)***	
INTEL CORP		*CUSIP Number*	*458140100*					
REDEEMED								
32.0000	Sale	05/23/12	02/26/13	662.65	808.72	0.00	(146.07)	
5.0000	Sale	07/09/12	02/26/13	103.54	130.25	0.00	(26.71)	
	Security Subtotal			***766.19***	***938.97***	***0.00***	***(172.78)***	
INTL BUSINESS MACHINES CORP IBM		*CUSIP Number*	*459200101*					
REDEEMED								
11.0000	Sale	03/05/13	05/02/13	2,222.16	2,268.15	0.00	(45.99)	
42.0000	Sale	03/14/13	05/02/13	8,484.62	9,044.68	0.00	(560.06)	
	Security Subtotal			***10,706.78***	***11,312.83***	***0.00***	***(606.05)***	
INVESCO LTD		*CUSIP Number*	*G491BT108*					
REDEEMED								
131.0000	Sale	07/09/12	04/09/13	3,799.24	2,863.50	0.00	935.74	
69.0000	Sale	07/09/12	04/10/13	2,026.01	1,508.25	0.00	517.75	
	Security Subtotal			*5,825.25*	*4,371.76*	*0.00*	*1,453.49*	
JUNIPER NETWORKS INC		*CUSIP Number*	*48203R104*					
REDEEMED								
12.0000	Sale	07/31/13	10/04/13	242.54	259.18	0.00	(16.64)	
3.0000	Sale	07/31/13	10/10/13	60.00	64.71	0.00	(4.71)	
129.0000	Sale	07/31/13	11/18/13	2,554.15	2,786.17	0.00	(232.02)	
72.0000	Sale	08/01/13	11/18/13	1,125.57	1,270.66	0.00	(145.09)	
168.0000	Sale	08/02/13	11/18/13	3,326.35	3,668.82	0.00	(342.47)	
8.0000	Sale	10/09/13	11/18/13	158.40	157.76	0.00	0.64	
	Security Subtotal			*7,767.06*	*8,507.38*	*0.00*	*(740.32)*	

Merrill Lynch
Bank of America Corporation

Account No.	Taxpayer No.	Page
230-74E44	95-4031664	16 of 43

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

1099-B — 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
JOY GLOBAL INC DEL COM		*CUSIP Number*	*481165108*					
REDEEMED								
COST BASIS REFLECTS TRAD								
46.0000	Sale	07/09/12	03/26/13	2,714.08	2,463.16	0.00	250 92	
64 0000	Sale	07/09/12	03/27/13	3,776 05	3.427.01	0 00	349 04	
31.0000	Sale	07/09/12	04/15/13	1,644 69	1.659.96	0 00	(15.27)	
10 0000	Sale	07/09/12	04/16/13	540 60	535.47	0 00	5 13	
14 0000	Sale	08/30/12	04/16/13	756 84	751.94	0.00	4 90	
61 0000	Sale	12/04/12	04/16/13	3,297.68	3 406.70	0.00	(109 02)	
	Security Subtotal			***12,729.94***	***12,244 24***	***0.00***	***485.70***	
JOHNSON AND JOHNSON COM		*CUSIP Number*	*478160104*					
REDEEMED								
17.0000	Sale	12/31/12	07/31/13	1,589 94	1 184.05	0.00	405 89	
9 0000	Sale	07/19/13	07/31/13	841 74	824.20	0.00	17 54	
	Security Subtotal			***2,431.68***	***2,008.25***	***0.00***	***423.43***	
LABORATORY CP AMER HLDGS		*CUSIP Number*	*50540R409*					
REDEEMED								
8 0000	Sale	05/31/13	10/02/13	792 00	798 40	0 00	(6.40)	
81 0000	Sale	06/24/13	10/02/13	8,019 06	8,033 [illegible]	0 00	(14 37)	
12.0000	Sale	07/19/13	10/02/13	1,186 02	1,1[illegible]	0 00	28 10	
	Security Subtotal			***9,999.08***	***9,991.75***	***0.00***	***7.33***	
LYONDELLBASELL INDUSTRIE		*CUSIP Number*	*N53745100*					
REDEEMED								
41 0000	Sale	07/10/12	01/31/13	2 596 14	1 655 05	0 00	941 09	
59 0000	Sale	07/10/12	04 [illegible]	3 [illegible]	2 [illegible]	0	[illegible]	
	Security Subtotal			*6,025.13*	*4,036 70*	0 [illegible]	*1,988* [illegible]	
MOODY'S CORP		*CUSIP Number*	*615369105*					
REDEEMED								
93 0000	Sale	07/09/12	04 03/13	4,858 87	3 415 [illegible]	0 00	1,443 90	
MORGAN STANLEY		*CUSIP Number*	*617446448*					
REDEEMED								
146.0000	Sale	07/09/12	01/04/13	2,878 87	2 025 79	0 00	852 58	
623 0000	Sale	07/19/13	07/25/13	17,264.59	17,324 07	0 00	(59 48)	
	Security Subtotal			***20,143.26***	***19,349.86***	***0.00***	***793.40***	

Merrill Lynch
Bank of America Corporation

Account No.	Taxpayer No.	Page
230-74E44	95-4031664	17 of 43

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

1099-B — 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
METLIFE INC COM		*CUSIP Number*	*59156R108*					
REDEEMED								
9.0000	Sale	10/02/13	10/10/13	42839	420.76	0.00	7.63	
MANITOWOC CO INC WIS		*CUSIP Number*	*563571108*					
REDEEMED								
5 0000	Sale	09/03/13	10/04/13	10060	99 49	0.00	1 11	
3 0000	Sale	09/03/13	10/10/13	5558	59 70	0.00	(4 12)	
111 0000	Sale	09/03/13	11/26/13	2,21599	2,208.71	0.00	11 28	
25 0000	Sale	09/04/13	11/26/13	50000	497.26	0 00	2 74	
29 0000	Sale	09/10/13	11/26/13	58000	607.55	0.00	(27 55)	
128 0000	Sale	09/11/13	11/26/13	2,55999	2,622 30	0.00	(62 31)	
2.0000	Sale	10/09/13	11/26/13	4000	36 68	0.00	3.32	
6 0000	Sale	10/16/13	11/26/13	12001	112.86	0.00	7.15	
	Security Subtotal			***6,17617***	***6,244.55***	***0.00***	***(68.38)***	
MCDERMOTT INTL INC		*CUSIP Number*	*580037109*					
REDEEMED								
289 0000	Sale	08/28/12	03/01/13	3,14086	3 289.40	0.00	(148 54)	
191 0000	Sale	10/23/12	03/01/13	2,07580	2,041 65	0 00	34 15	
32.0000	Sale	10/23/12	03/06/13	[illegible]	342 [illegible]	0 00	3.58	
160.0000	Sale	12/31/12	03/05/13	[illegible]	[illegible]	[illegible]	0 03	
31.0000	Sale	01/30/13	03/05/13	33485	389.04	[illegible]	(54 15)	
	Security Subtotal			***7,62537***	***7,790.34***	***0.00***	***(164.97)***	
MICROSOFT CORP		*CUSIP Number*	*594918104*					
REDEEMED								
73.00[illegible]	Sale	07/09/12	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	
40 00[illegible]	Sale	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	
	Security Subtotal			*3,86640*	*3,445 46*	*0 00*	*420.9[illegible]*	
NATIONAL-OILWELL VARCO INC		*CUSIP Number*	*637071101*					
REDEEMED								
27 0000	Sale	07/23/13	08/08/13	1,97007	1 957.1[illegible]	0 00	3.92	
34 0000	Sale	07/23/13	07/09/13	2,[illegible]	2 477 1[illegible]	0 00	7 51	
55 0000	Sale	07/23/13	08/12/13	[illegible]	4,007.1[illegible]	0 [illegible]	7 82	
	Security Subtotal			*8,47071*	*8,451.42*	*0.00*	*19.29*	

Merrill Lynch
Bank of America Corporation

Account No. 230-74E44

Taxpayer No. 95-4031664

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
NETAPP INC		*CUSIP Number*	*64110D104*					
REDEEMED								
6.0000	Sale	05/14/13	10/04/13	253.75	215 38	0 00	38.37	
193.0000	Sale	05/14/13	12/05/13	7,913 69	6,928 18	0 00	985 51	
22 0000	Sale	07/19/13	12/05/13	902.08	907.72	0 00	(5 64)	
52.0000	Sale	07/30/13	12/05/13	2,132.18	2,126.77	0.00	5.41	
3 0000	Sale	10/16/13	12/05/13	123 02	121.40	0.00	1.62	
	Security Subtotal			***11,324.72***	***10,299.45***	***0.00***	***1,025.27***	
NEWMONT MINING CORP		*CUSIP Number*	*651639106*					
79 0000	Sale	02/21/13	12/31/13	1,813 60	3,211.93	0 00	(1,398.33)	
54 0000	Sale	04/03/13	12/31/13	1,239.68	2,133 78	0 00	(894.10)	
28.0000	Sale	07/19/13	12/31/13	642.80	800.94	0.00	(158 14)	
3 0000	Sale	10/16/13	12/31/13	68.88	77.55	0.00	(8.67)	
	Security Subtotal			***3,764.96***	***6,224.20***	***0.00***	***(2,459.24)***	
NUCOR CORPORATION		*CUSIP Number*	*670346105*					
REDEEMED								
111 0000	Sale	11/13/12	04/15/13	4 780 66	4,467 49	0 00	313 17	
58 0000	Sale	11/13/12	[illegible]	[illegible]	[illegible]	[illegible]	159 79	
66 0000	Sale	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	(18 04)	
3 0000	Sale	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	
	Security Subtotal			*10,258.38*	*9,798 53*	*0 00*	*459.85*	
OCCIDENTAL PETE CORP CAL		*CUSIP Number*	*674599105*					
REDEEMED								
100 0000	Sale	05/06/13	08/23/13	8,811 71	9 039 67	[illegible]	(227 95	
[illegible]	Sale	05/06/13	[illegible]	[illegible]	[illegible]	[illegible]	[illegible]	
	Security Subtotal			*8,906.28*	*9,130.07*	*0.00*	*(223.79)*	
ORACLE CORP $0 01 DEL		*CUSIP Number*	*68389X105*					
REDEEMED								
223.0000	Sale	10/11/12	05/01/13	7,367 53	[illegible]	0 00	523 31	
PALL CORP PV $0.10		*CUSIP Number*	*696429307*					
REDEEMED								
2 0000	Sale	07/12/13	10/01/13	153 95	141.02	0 00	12.93	
1 0000	Sale	07/12/13	10/10/13	76.34	70 51	0.00	5 83	
	Security Subtotal			***230.29***	***211.53***	***0.00***	***18.76***	

Merrill Lynch
Bank of America Corporation

Account No. 230-74E44

Taxpayer No. 95-4031664

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
PIONEER NATURAL RES CO		*CUSIP Number*	*723787107*					
REDEEMED								
18.0000	Sale	12/27/12	04/25/13	2,123 95	1,862.98	0.00	260.97	
6 0000	Sale	12/28/12	04/25/13	707 99	623 16	0 00	84.83	
1 0000	Sale	12/28/12	10/04/13	199 88	103.86	0 00	96 02	
	Security Subtotal			***3,031.82***	***2,590.00***	***0.00***	***441.82***	
PPL CORPORATION		*CUSIP Number*	*69351T106*					
REDEEMED								
236 0000	Sale	11/05/12	08/01/13	7,553 33	6,820.12	0 00	733 21	
20.0000	Sale	04/23/13	08/01/13	640.12	652 84	0 00	(12 72)	
46.0000	Sale	04/23/13	08/02/13	1,471.97	1,501.53	0.00	(29.56)	
28.0000	Sale	04/23/13	08/05/13	896.02	913.97	0 00	(17.95)	
25.0000	Sale	07/19/13	08/05/13	800 02	788 65	0 00	11.37	
	Security Subtotal			***11,361.46***	***10,677.11***	***0.00***	***684.35***	
PARKER HANNIFIN CORP		*CUSIP Number*	*701094104*					
REDEEMED								
3 0000	Sale	07/19/13	10/01/13	321 73	303 83	0 00	17.90	
2 0000	Sale	07/19/13	10/10/13	21[illegible]	[illegible]	0 00	10 06	
49 0000	Sale	07/19/13	11/14/13	5 733 03	4 9[illegible]	0 00	770 49	
1.0000	Sale	07/19/13	11/15/13	117.09	101 28	0 00	15.81	
	Security Subtotal			***6,384.46***	***5,570.20***	***0.00***	***814.26***	
PROCTER & GAMBLE CO		*CUSIP Number*	*742718109*					
REDEEMED								
61.0000	Sale	04/03/13	06/27/13	4,758 19	4,750 81	0 00	7 38	
107 0000	Sale	04/16/13	06/27/13	8,346 35	8,548 88	0 00	(202 53)	
21 0000	Sale	04/16/13	07/12/13	1,701 59	1 677 82	0 00	23 77	
33.0000	Sale	04/16/13	09/18/13	2,648 68	2,636 57	0 00	12 11	
40 0000	Sale	04/17/13	09/18/13	3,210 54	3,195 94	0.00	14 60	
1 0000	Sale	04/17/13	10/04/13	75 88	79 90	0 00	(4 02)	
48 0000	Sale	04/17/13	10/17/13	3,791 [illegible]	3,835 [illegible]	0 00	(43 19)	
6 0000	Sale	04/23/13	10/17/13	473 99	491 40	0 00	(17.41)	
30.0000	Sale	04/24/13	10/17/13	2,369 96	2,382 00	0 00	(12.04)	
20.0000	Sale	07/19/13	10/17/13	1,579.98	1,618.90	0 00	(38.92)	
	Security Subtotal			***28,957.09***	***29,217.34***	***0.00***	***(260.25)***	

Merrill Lynch
Bank of America Corporation

Account No. 230-74E44 | Taxpayer No. 95-4031664 | Page 20 of 43

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
RANGE RESOURCES CORP DEL		*CUSIP Number*	*75281A109*					
REDEEMED								
105.0000	Sale	07/09/12	02/05/13	7,171.16	6,463 41	0 00	707.75	
8 0000	Sale	07/10/12	02/05/13	546 38	490 21	0 00	56.17	
53 0000	Sale	07/10/12	04/22/13	3,978 37	3 247.66	0 00	730 71	
	Security Subtotal			***11,695.91***	***10,201.28***	***0.00***	***1,494.63***	
REINSURNCE GROUP AMERICA		*CUSIP Number*	*759351604*					
REDEEMED								
53 0000	Sale	03/20/13	07/25/13	3,597.25	3,169 39	0 00	427 86	
26.0000	Sale	04/29/13	07/25/13	1,764.69	1,603.37	0.00	161 32	
24.0000	Sale	04/30/13	07/25/13	1,628 94	1,480 76	0.00	148.18	
47.0000	Sale	07/19/13	07/25/13	3,190.03	3,148.77	0.00	41.26	
	Security Subtotal			***10,180.91***	***9,402.29***	***0.00***	***778.62***	
ROWAN COMPANIES PLC		*CUSIP Number*	*G7665A101*					
CL A								
REDEEMED								
7.0000	Sale	07/29/13	10/04/13	258 36	237 30	0 00	21 06	
1 0000	Sale	07/29/13	10/10/13	36 34	33 90	0.00	2.44	
	Security Subtotal			***294.70***	***271.20***	***0.00***	***23.50***	
SANDISK CORP INC		*CUSIP Number*	*80004C101*					
REDEEMED								
62 0000	Sale	07/09/12	04/22/13	3,224 33	2,241.91	0.00	982 42	
SCHLUMBERGER LTD		*CUSIP Number*	*806857108*					
REDEEMED								
20 0000	Sale	10/24/12	04/22/13	1,408.43	1.415 83	0 00	(7.40)	
37.0000	Sale	10/24/12	04/23/13	2,640 40	2,619.28	0 00	21 12	
49.0000	Sale	12/14/12	04/23/13	3,496.76	3.369.97	0 00	126.79	
13 0000	Sale	12/26/12	04/23/13	927.72	898 30	0.00	29 42	
	Security Subtotal			***8,473.31***	***8,303.38***	***0.00***	***169.93***	

Merrill Lynch
Bank of America Corporation

Account No. 230-74E44 | Taxpayer No. 95-4031664 | Page 21 of 43

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SCHWAB CHARLES CORP NEW		*CUSIP Number*	*808513105*					
REDEEMED								
236.0000	Sale	07/09/12	03/05/13	4,012.78	2,947.38	0 00	1,065.40	
150 0000	Sale	07/09/12	04/09/13	2,550.69	1,873.34	0 00	677.35	
135 0000	Sale	08/10/12	04/09/13	2,295 63	1,740.93	0 00	554 70	
	Security Subtotal			***8,859.10***	***6,561.65***	***0.00***	***2,297.45***	
SPECTRA ENERGY CORP		*CUSIP Number*	*847560109*					
REDEEMED								
123 0000	Sale	04/23/13	06/13/13	4,181.94	3,763 05	0 00	418 89	
33.0000	Sale	04/24/13	06/13/13	1,121.99	1,021.17	0 00	100.82	
113.0000	Sale	04/24/13	10/17/13	3,955.47	3,496.73	0.00	458.74	
21.0000	Sale	04/25/13	10/17/13	735.08	659.31	0 00	75.77	
200 0000	Sale	04/26/13	10/17/13	7,000 85	6,231.48	0 00	769.37	
87 0000	Sale	04/26/13	10/18/13	3,053 24	2,710 69	0 00	342 55	
59 0000	Sale	07/19/13	10/18/13	2,070.60	2,135 18	0 00	(64.58)	
	Security Subtotal			***22,119.17***	***20,017.61***	***0.00***	***2,101.56***	
SOUTHERN COMPANY		*CUSIP Number*	*842587107*					
REDEEMED								
292 0000	Sale	04/24/13	07/15/13	13,168 62	13,961 02	0.00	(792 40)	
STAPLES INC		*CUSIP Number*	*855030102*					
REDEEMED								
216 0000	Sale	02/21/13	08/19/13	3,556 34	2 924.85	0 00	631.49	
188.0000	Sale	02/21/13	10/02/13	2,839 91	2,545.71	0 00	294 20	
77 0000	Sale	07/19/13	10/02/13	1,163 16	1,260.37	0 00	(97 21)	
5 0000	Sale	07/19/13	10/04/13	74 04	81 84	0.00	(7 80)	
7 0000	Sale	07/19/13	10/10/13	104.03	114.58	0.00	(10 55)	
	Security Subtotal			***7,737.48***	***6,927.35***	***0.00***	***810.13***	
TEXAS INSTRUMENTS		*CUSIP Number*	*882508104*					
REDEEMED								
224.0000	Sale	12/28/12	04/03/13	7,654 31	6,861.48	0.00	792.83	

Merrill Lynch
Bank of America Corporation

Account No. 230-74E44

Taxpayer No. 95-4031664

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
TARGET CORP COM		*CUSIP Number*	*87612E106*					
REDEEMED								
16.0000	Sale	05/06/13	07/26/13	1,136 03	1 119.18	0.00	16.85	
67 0000	Sale	05/08/13	07/26/13	4,757.13	4,685.14	0.00	71 99	
24.0000	Sale	07/19/13	07/26/13	1,704 06	1,740.84	0 00	(36.78)	
	Security Subtotal			***7,597.22***	***7,545 16***	***0.00***	***52.06***	
THE MOSAIC COMPANY COMMON SHARES		*CUSIP Number*	*61945C103*					
REDEEMED								
6 0000	Sale	02/19/13	10/04/13	273.43	371.29	0 00	(97 86)	
113.0000	Sale	02/19/13	10/11/13	5,114.10	6,992.53	0.00	(1,878.43)	
112 0000	Sale	05/03/13	10/11/13	5,068.85	6,821.53	0.00	(1,752.68)	
30 0000	Sale	07/19/13	10/11/13	1,357.74	1,616 10	0.00	(258.36)	
	Security Subtotal			***11,814.12***	***15,801.45***	***0.00***	***(3,987.33)***	
URBAN OUTFITTERS INC		*CUSIP Number*	*917047102*					
REDEEMED								
52 0000	Sale	08/08/12	02/27/13	2,080.36	1,606.77	0.00	473 59	
46.0000	Sale	08/09/12	02/27/13	1,840.32	1,421.02	0.00	419 30	
67 0000	Sale	08/09/12	03/25/13	2,621.85	2,069.75	0.00	552.10	
64.0000	Sale	10/09/12	03/25/13	2,504.46	2,360.33	0.00	144.13	
	Security Subtotal			***9,046.99***	***7,457.87***	***0.00***	***1,589.12***	
UNITEDHEALTH GROUP INC		*CUSIP Number*	*91324P102*					
REDEEMED								
1 0000	Sale	02/19/13	10/04/13	72 61	55 89	0.00	16.72	
2 0000	Sale	02/19/13	10/10/13	145 29	111.79	0.00	33.50	
	Security Subtotal			***217.90***	***167.68***	***0.00***	***50.22***	
UNITED STS STL CORP NEW		*CUSIP Number*	*912909108*					
REDEEMED								
1 0000	Sale	09/13/13	10/04/13	21.54	19.87	0.00	1 67	
2.0000	Sale	09/13/13	10/10/13	43.21	39.74	0 00	3 47	
308 0000	Sale	09/13/13	12/04/13	8,317.73	6,120.27	0 00	2,197 46	
91.0000	Sale	09/20/13	12/04/13	2,457.51	1,901 80	0 00	555.71	
8.0000	Sale	10/16/13	12/04/13	216.04	185.60	0.00	30.44	
93 0000	Sale	10/28/13	12/04/13	2,511 54	2,165.78	0.00	345 76	
	Security Subtotal			***13,567.57***	***10,433.06***	***0.00***	***3,134.51***	

Merrill Lynch
Bank of America Corporation

Account No. 230-74E44

Taxpayer No. 95-4031664

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
U S AWYS GROUP INC		*CUSIP Number*	*90341W108*					
REDEEMED								
16.0000	Sale	06/06/13	10/04/13	329 09	261.76	0 00	67.33	
2.0000	Sale	06/06/13	10/10/13	40.67	32 72	0.00	7.95	
	Security Subtotal			***369.76***	***294.48***	***0.00***	***75.28***	
UNITED CONTL HLDGS INC		*CUSIP Number*	*910047109*					
REDEEMED								
85.0000	Sale	04/15/13	08/19/13	2,581 42	2,497 04	0 00	84 38	
12 0000	Sale	04/15/13	10/04/13	379 43	352.52	0.00	26 91	
	Security Subtotal			***2,960.85***	***2,849.56***	***0.00***	***111.29***	
VERIZON COMMUNICATNS COM		*CUSIP Number*	*92343V104*					
REDEEMED								
82.0000	Sale	03/05/13	06/25/13	4 100.03	3,903.07	0 00	196 96	
7 0000	Sale	03/06/13	06/25/13	350.01	332.98	0.00	17 03	
140 0000	Sale	03/06/13	07/08/13	7,133.72	6,659.63	0.00	474 09	
	Security Subtotal			***11,583.76***	***10,895.68***	***0.00***	***688.08***	
ZIMMER HOLDINGS INC COM		*CUSIP Number*	*98956P102*					
REDEEMED								
11 0000	Sale	05/17/13	09/09/13	880 03	878.90	0.00	1.13	
10.0000	Sale	07/19/13	09/09/13	800.04	846 00	0.00	(45.96)	
	Security Subtotal			***1,680.07***	***1,724.90***	***0.00***	***(44.83)***	
WEATHERFORD INTL LTD.		*CUSIP Number*	*H27013103*					
REG.								
REDEEMED								
526 0000	Sale	07/16/13	09/12/13	7,743 47	7,363.26	0.00	380 21	
63.0000	Sale	07/19/13	09/12/13	927.46	892.46	0.00	35.00	
	Security Subtotal			***8,670.93***	***8,255.72***	***0.00***	***415.21***	
WAL-MART STORES INC		*CUSIP Number*	*931142103*					
REDEEMED								
90.0000	Sale	04/16/13	08/08/13	6,932.81	7,091.42	0 00	(158 61)	
1.0000	Sale	04/18/13	08/08/13	77.03	76.95	0 00	0.08	
8.0000	Sale	07/19/13	08/08/13	616 26	622 37	0.00	(6.11)	
	Security Subtotal			***7,626.10***	***7,790.74***	***0.00***	***(164.64)***	

Merrill Lynch
Bank of America Corporation

Account No. 230-74E44

Taxpayer No. 95-4031664

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
YAHOO INC		*CUSIP Number*	*984332106*					
REDEEMED								
31.0000	Sale	12/18/12	08/06/13	847.87	608.22	0 00	239.65	
233.0000	Sale	12/19/12	08/06/13	6,372 71	4,570 11	0.00	1,802.60	
99 0000	Sale	01/29/13	08/06/13	2,707.72	1,970.09	0 00	737 63	
45.0000	Sale	07/01/13	08/06/13	1,230 78	1,147.10	0.00	83.68	
51.0000	Sale	07/19/13	08/06/13	1,394 90	1,497.67	0.00	(102 77)	
	Security Subtotal			***12,553.98***	***9,793.19***	***0.00***	***2,760.79***	
ZIONS BANCORP	*COM*	*CUSIP Number*	*989701107*					
REDEEMED								
167.0000	Sale	07/09/12	04/22/13	3,928.03	3,183.15	0.00	744.88	
Covered Short Term Capital Gains and Losses Subtotal				**678,026.66**	**630,104.62**	**0.00**	**47,922.04**	
NET SHORT TERM CAPITAL GAINS AND LOSSES				**678,026.66**	**630,104.62**	**0.00**	**47,922.04**	

LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)

AETNA INC NEW		*CUSIP Number*	*00817Y108*					
REDEEMED								
5 0000	Sale	07/09/12	10/04/13	324 03	190.63	0 00	133 40	
4.0000	Sale	07/09/12	10/10/13	262.39	152.50	0 00	109.89	
112.0000	Sale	07/09/12	11/14/13	7,186 56	4,270.07	0 00	2,916.49	
	Security Subtotal			***7,772.98***	***4,613.20***	***0.00***	***3,159.78***	
AMERICAN INTERNATIONAL GROUP INC		*CUSIP Number*	*026874784*					
REDEEMED								
13.0000	Sale	07/09/12	10/04/13	637.41	401 89	0.00	235 52	
8.0000	Sale	07/09/12	10/10/13	391.69	247.31	0 00	144.38	
215 0000	Sale	07/09/12	12/17/13	10.646.05	6,646.57	0 00	3,999.48	
37 0000	Sale	07/09/12	12/18/13	1,854.95	1 143 83	0 00	711 12	
36.0000	Sale	10/26/12	12/18/13	1,804.82	1,250 99	0.00	553.83	
	Security Subtotal			***15,334.92***	***9,690.59***	***0.00***	***5,644.33***	

Merrill Lynch
Bank of America Corporation

Account No.	Taxpayer No.	Page
230-74E44	95-4031664	25 of 43

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

1099-B — 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ANADARKO PETE CORP		*CUSIP Number*	*032511107*					
REDEEMED								
54.0000	Sale	02/17/11	02/19/13	4,493.77	4,236.59	0.00	257.18	
BHP BILLITON LTD ADR		*CUSIP Number*	*088606108*					
REDEEMED								
3 0000	Sale	07/31/12	10/04/13	198.62	199.60	0.00	(0 98)	
CSX CORP		*CUSIP Number*	*126408103*					
REDEEMED								
289.0000	Sale	07/24/12	08/01/13	7,262.36	6,241 48	0 00	1,020 88	
124 0000	Sale	08/07/12	09/10/13	3,223.98	2,847.53	0.00	376.45	
	Security Subtotal			***10,486.34***	***9,089.01***	***0.00***	***1,397.33***	
CITIGROUP INC COM NEW		*CUSIP Number*	*172967424*					
REDEEMED								
12.0000	Sale	07/09/12	10/04/13	584.42	311.46	0.00	272.96	
5 0000	Sale	07/09/12	10/10/13	244 59	129 77	0 00	114.82	
	Security Subtotal			***829.01***	***441.23***	***0.00***	***387.78***	
CISCO SYSTEMS INC COM		*CUSIP Number*	*17275R102*					
REDEEMED								
23 0000	Sale	11/14/11	10/04/13	530.23	438.38	0 00	91.85	
1.0000	Sale	11/14/11	10/10/13	22.77	19.06	0.00	3.71	
	Security Subtotal			***553.00***	***457.44***	***0.00***	***95.56***	
EXXON MOBIL CORP COM		*CUSIP Number*	*30231G102*					
REDEEMED								
4 0000	Sale	06/06/12	10/30/13	355 99	313 61	0 00	42 38	
56 0000	Sale	06/11/12	10/30/13	4,983 92	4 495.97	0.00	487.95	
37.0000	Sale	06/22/12	10/30/13	3,292 96	3 072.98	0.00	219.98	
1 0000	Sale	06/25/12	10/30/13	89 00	80.12	0 00	8 88	
13.0000	Sale	07/05/12	11/04/13	1,196 08	1,111.32	0 00	84 76	
44 0000	Sale	07/31/12	11/04/13	4,048 29	3,830.44	0.00	217.85	
43.0000	Sale	08/10/12	11/04/13	3,956 28	3,773.25	0 00	183 03	
39.0000	Sale	06/25/12	11/04/13	3,588.25	3,124.78	0.00	463.47	
	Security Subtotal			***21,510.77***	***19,802.47***	***0.00***	***1,708.30***	

Merrill Lynch
Bank of America Corporation

Account No. 230-74E44

Taxpayer No. 95-4031664

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
GENERAL ELECTRIC		*CUSIP Number*	*369604103*					
REDEEMED								
102 0000	Sale	03/23/11	02/26/13	2,348.07	1,992.38	0.00	355.69	
108.0000	Sale	03/23/11	04/25/13	2,376 33	2,109 57	0.00	266.76	
226 0000	Sale	06/24/11	04/25/13	4,972 70	4,085.20	0 00	887 50	
38.0000	Sale	11/29/11	04/25/13	836.11	571.50	0.00	264.61	
41 0000	Sale	03/20/12	04/25/13	902 13	823.37	0.00	78.76	
	Security Subtotal			***11,435.34***	***9,582.02***	***0.00***	***1,853.32***	
INTEL CORP		*CUSIP Number*	*458140100*					
REDEEMED								
75.0000	Sale	10/10/11	02/26/13	1,553.06	1,714.02	0.00	(160.96)	
53 0000	Sale	10/14/11	02/26/13	1,097.50	1,238.10	0 00	(140.60)	
52.0000	Sale	10/17/11	02/26/13	1,076 79	1,209.15	0.00	(132.36)	
25 0000	Sale	01/30/12	02/26/13	517.69	666.35	0.00	(148 66)	
	Security Subtotal			***4,245.04***	***4,827.62***	***0.00***	***(582.58)***	
INVESCO LTD		*CUSIP Number*	*G491BT108*					
REDEEMED								
16.0000	Sale	07/09/12	10/10/13	536.31	349.74	0.00	186 57	
JOHNSON AND JOHNSON COM		*CUSIP Number*	*478160104*					
REDEEMED								
20 0000	Sale	04/28/11	05/15/13	1,740.59	1,309.73	0 00	430.86	
24 0000	Sale	04/28/11	05/17/13	2,111.95	1,571.67	0 00	540.28	
6.0000	Sale	04/28/11	06/07/13	509 99	392.92	0 00	117 07	
23 0000	Sale	04/28/11	06/10/13	1,954.99	1,506 19	0.00	448.80	
1 0000	Sale	06/01/11	06/10/13	85.00	66 97	0.00	18.03	
45 0000	Sale	06/01/11	06/24/13	3,832.56	3,013.81	0.00	818.75	
13 0000	Sale	09/22/11	07/31/13	1,215 83	804.27	0.00	411 56	
52.0000	Sale	07/09/12	07/31/13	4,863.36	3,523 17	0.00	1,340 19	
	Security Subtotal			***16,314.27***	***12,188.73***	***0.00***	***4,125.54***	
LYONDELLBASELL INDUSTRIE		*CUSIP Number*	*N53745100*					
REDEEMED								
5.0000	Sale	07/10/12	10/04/13	372.33	201.84	0 00	170.49	
3 0000	Sale	07/10/12	10/10/13	223 73	121.10	0.00	102 63	
	Security Subtotal			***596.06***	***322.94***	***0.00***	***273.12***	

Merrill Lynch
Bank of America Corporation

Account No. 230-74E44

Taxpayer No. 95-4031664

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

1099-B — 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or Loss)	Fmarks
LINCOLN NTL CORP IND NPV		*CUSIP Number*	*534187109*					
REDEEMED								
18.0000	Sale	07/09/12	10/04/13	763.99	370.60	0.00	:93 39	
15 0000	Sale	07/09/12	10/10/13	641.69	308 83	0.00	:32 86	
	Security Subtotal			***1,405.68***	***679.43***	***0.00***	***'26.25***	
MONSANTO CO NEW DEL COM		*CUSIP Number*	*61166W101*					
REDEEMED								
48 0000	Sale	07/09/12	07/19/13	4,992 48	3,970.87	0 00	1,021 61	
2.0000	Sale	07/09/12	10/30/13	213 99	165 45	0 00	48·54	
36.0000	Sale	07/09/12	11/08/13	3,851.96	2,978.15	0 00	873·81	
	Security Subtotal			***9,058.43***	***7,114.47***	***0.00***	***1,943.96***	
MERCK AND CO INC SHS		*CUSIP Number*	*58933Y105*					
REDEEMED								
40 0000	Sale	05/03/11	07/22/13	1,919 98	1,463 38	0.00	·56·60	
4.0000	Sale	05/03/11	10/04/13	192.95	146 34	0.00	46·61	
	Security Subtotal			***2,112.93***	***1,609.72***	***0.00***	***503.21***	
MICROSOFT CORP		*CUSIP Number*	*594918104*					
REDEEMED								
51 0000	Sale	05/19/11	06/03/13	1,806.62	1,260.20	0 00	!46·42	
78.0000	Sale	05/24/11	06/03/13	2,763.07	1,883.52	0 00	879·55	
172.0000	Sale	07/09/12	07/31/13	5,505 84	5,144.67	0.00	:61 17	
	Security Subtotal			***10,075.53***	***8,288.39***	***0.00***	***1,'87.14***	
NEWMONT MINING CORP		*CUSIP Number*	*651639106*					
224 0000	Sale	07/09/12	12/31/13	5,142.37	10,720 46	0.00	(5,!78·09)	
48 0000	Sale	12/13/12	12/31/13	1,101.93	2,144.39	0.00	(1,942·46)	
	Security Subtotal			***6,244.30***	***12,864.85***	***0.00***	***(6,620.55)***	
PFIZER INC		*CUSIP Number*	*717081103*					
REDEEMED								
390.0000	Sale	01/05/11	05/06/13	11,224.84	7,088.13	0.00	4,'36·71	
18.0000	Sale	09/22/11	05/06/13	518 08	312.99	0 00	:05 09	
	Security Subtotal			***11,742.92***	***7,401.12***	***0.00***	***4,341.80***	

Merrill Lynch
Bank of America Corporation

Account No. 230-74E44

Taxpayer No. 95-4031664

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

1099-B — 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
RANGE RESOURCES CORP DEL		*CUSIP Number*	*75281A109*					
REDEEMED								
3.0000	Sale	07/10/12	10/04/13	227.15	183.83	0 00	43.32	
1 0000	Sale	07/10/12	10/10/13	76.35	61.28	0.00	15.07	
	Security Subtotal			***303.50***	***245.11***	***0.00***	***58.39***	
REINSURNCE GROUP AMERICA		*CUSIP Number*	*759351604*					
REDEEMED								
60 0000	Sale	07/09/12	07/25/13	4,072 36	3 299.73	0 00	772 63	
70 0000	Sale	07/10/12	07/25/13	4,751.09	3,871.42	0.00	879.67	
	Security Subtotal			***8,823.45***	***7,171.15***	***0.00***	***1,652.30***	
SANDISK CORP INC		*CUSIP Number*	*80004C101*					
REDEEMED								
4.0000	Sale	07/09/12	10/04/13	251.11	144.64	0 00	106 47	
1 0000	Sale	07/09/12	10/10/13	62 29	36 16	0.00	26 13	
	Security Subtotal			***313.40***	***180.80***	***0.00***	***132.60***	
TARGET CORP COM		*CUSIP Number*	*87612E106*					
REDEEMED								
7.0000	Sale	06/06/12	07/25/13	504.65	403 03	0 00	101.62	
12 0000	Sale	06/07/12	07/25/13	865 11	693.11	0 00	172 00	
13.0000	Sale	07/09/12	07/25/13	937.22	754.48	0 00	182 74	
29.0000	Sale	07/09/12	07/26/13	2,059.05	1,683.07	0 00	375.98	
	Security Subtotal			***4,366.03***	***3,533.69***	***0.00***	***832.34***	
ZIMMER HOLDINGS INC COM		*CUSIP Number*	*98956P102*					
REDEEMED								
102.0000	Sale	07/09/12	09/06/13	8,177 10	6,507.00	0.00	1,670.10	
Covered Long Term Capital Gains and Losses Subtotal				**156,929.70**	**131,396.91**	**0.00**	**25,532.79**	

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

ANADARKO PETE CORP		*CUSIP Number*	*032511107*					
REDEEMED								
14 0000	Sale	06/23/10	02/19/13	1,165 05	568.31	0 00	596.74	

Merrill Lynch
Bank of America Corporation

Account No. 230-74E44

Taxpayer No. 95-4031664

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CARNIVAL CORP PAIRED SHS		*CUSIP Number*	*143658300*					
REDEEMED								
52.0000	Sale	06/30/09	02/07/13	2,028.00	1,340.91	0.00	687.09	
21.0000	Sale	06/07/10	02/07/13	819.00	733.00	0.00	86.00	
	Security Subtotal			***2,847.00***	***2,073.91***	***0.00***	***773.09***	
CHEVRON CORP		*CUSIP Number*	*166764100*					
REDEEMED								
27 0000	Sale	01/25/10	04/16/13	3,159 09	2 024.22	0.00	1,134 87	
43 0000	Sale	05/06/10	04/16/13	5,031.15	3,422.43	0.00	1,608 72	
15.0000	Sale	06/04/10	04/16/13	1,755 05	1,066.60	0 00	688.45	
12.0000	Sale	10/13/10	04/16/13	1,404.04	1,006.44	0.00	397.60	
	Security Subtotal			***11,349.33***	***7,519.69***	***0.00***	***3,829.64***	
CATERPILLAR INC DEL		*CUSIP Number*	*149123101*					
REDEEMED								
20 0000	Sale	05/12/09	02/25/13	1,842.39	749 08	0 00	1,093 31	
11 0000	Sale	06/30/09	02/25/13	1,013 32	363 48	0 00	649 84	
31 0000	Sale	06/30/09	02/26/13	2,790.97	1,024.37	0 00	1,766.60	
16 0000	Sale	10/01/10	02/26/13	1,440 50	1 247.81	0.00	192 69	
	Security Subtotal			***7,087.18***	***3,384.74***	***0.00***	***3,702.44***	
CITIGROUP INC COM NEW		*CUSIP Number*	*172967424*					
REDEEMED								
39 0000	Sale	01/26/10	02/25/13	1,679 84	1,240.00	0 00	439 84	
35.0000	Sale	02/19/10	02/25/13	1,507 54	1 203.79	0.00	303.75	
35 0000	Sale	03/29/10	02/25/13	1,507 55	1,477.10	0.00	30 45	
48.0000	Sale	03/31/10	02/25/13	2,067 50	1,938 81	0 00	128 69	
19 0000	Sale	04/14/10	02/25/13	818 39	898.88	0 00	(80 49)	
10 0000	Sale	04/14/10	04/09/13	440.05	473 09	0 00	(33 04)	
30 0000	Sale	05/10/10	04/09/13	1,320 15	1,266.87	0.00	53.28	
55 0000	Sale	09/23/10	04/09/13	2,420.29	2,117.50	0.00	302.79	
	Security Subtotal			***11,761.31***	***10,616.04***	***0.00***	***1,145.27***	

Merrill Lynch
Bank of America Corporation

Account No. 230-74E44

Taxpayer No. 95-4031664

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
EXXON MOBIL CORP COM		*CUSIP Number*	*30231G102*					
REDEEMED								
50.0000	Sale	12/14/09	06/03/13	4,564.85	3,494.18	0 00	1,070.67	
15.0000	Sale	12/14/09	10/29/13	1,334.98	1,048.26	0.00	286.72	
57 0000	Sale	12/14/09	10/30/13	5,072 91	3,983 37	0 00	1,089 54	
36.0000	Sale	04/29/10	10/30/13	3,203.94	2,466.86	0.00	737.08	
	Security Subtotal			***14,176.68***	***10,992.67***	***0.00***	***3,184.01***	
FORD MOTOR CO		*CUSIP Number*	*345370860*					
REDEEMED								
44 0000	Sale	09/30/09	04/10/13	572 10	317.09	0 00	255 01	
206.0000	Sale	12/31/09	04/10/13	2,678.48	2,060.95	0.00	617.53	
93 0000	Sale	12/31/09	04/22/13	1,196.74	930.43	0.00	266.31	
	Security Subtotal			***4,447.32***	***3,308.47***	***0.00***	***1,138.85***	
GOLDMAN SACHS GROUP INC		*CUSIP Number*	*38141G104*					
REDEEMED								
57 0000	Sale	12/30/08	01/02/13	7,443 65	4,427 54	0.00	3,016 11	
7.0000	Sale	12/30/08	02/22/13	1,071.11	543.73	0 00	527.38	
14 0000	Sale	07/27/10	02/22/13	2,142 25	2,061.80	0 00	80 45	
4 0000	Sale	07/27/10	04/17/13	560.92	589.09	0.00	(28.17)	
5.0000	Sale	08/16/10	04/17/13	701.15	741.79	0.00	(40.64)	
10.0000	Sale	09/01/10	04/17/13	1,402.30	1,392.18	0 00	10 12	
7 0000	Sale	09/22/10	04/17/13	981.61	1,049.56	0.00	(67 95)	
	Security Subtotal			***14,302.99***	***10,805.69***	***0.00***	***3,497.30***	
GENERAL ELECTRIC		*CUSIP Number*	*369604103*					
REDEEMED								
55.0000	Sale	02/07/07	02/26/13	1,266 11	1,995.50	0.00	(729.39)	
4 0000	Sale	02/09/07	02/26/13	92 08	143 16	0.00	(51 08)	
85.0000	Sale	09/04/07	02/26/13	1,956 71	3,301 69	0 00	(1,344 98)	
140 0000	Sale	11/26/08	02/26/13	3,222.82	2,194.91	0.00	1,027.91	
	Security Subtotal			***6,537.72***	***7,635.26***	***0.00***	***(1,097.54)***	
JPMORGAN CHASE & CO		*CUSIP Number*	*46625H100*					
REDEEMED								
60.0000	Sale	12/31/07	01/10/13	2,761.21	2,581.10	0.00	180.11	
87 0000	Sale	03/03/08	01/10/13	4,003 76	3,472.07	0.00	531 69	
79 0000	Sale	03/03/08	02/25/13	3,879.55	3,152.79	0.00	726 76	
12.0000	Sale	06/11/08	02/25/13	589 30	450.87	0.00	138 43	

Merrill Lynch
Bank of America Corporation

Account No. 230-74E44

Taxpayer No. 95-4031664

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
JPMORGAN CHASE & CO		*CUSIP Number*	*46625H100*					
REDEEMED								
16.0000	Sale	06/11/08	10/04/13	836.69	601.16	0.00	235.53	
6.0000	Sale	06/11/08	10/10/13	311.21	225.43	0.00	85.78	
	Security Subtotal			***12,381.72***	***10,483.42***	***0.00***	***1,898.30***	
JOHNSON AND JOHNSON COM		*CUSIP Number*	*478160104*					
REDEEMED								
10.0000	Sale	09/22/09	05/15/13	870.29	609.08	0.00	261.21	
13.0000	Sale	11/12/10	05/15/13	1,131.38	827.78	0.00	303.60	
	Security Subtotal			***2,001.67***	***1,436.85***	***0.00***	***564.81***	
MORGAN STANLEY		*CUSIP Number*	*617446448*					
REDEEMED								
89.0000	Sale	04/23/09	01/04/13	1,754.80	1,919.41	0.00	(164.61)	
17.0000	Sale	05/07/09	01/04/13	335.18	473.93	0.00	(138.75)	
140.0000	Sale	05/08/09	01/04/13	2,760.36	3,630.12	0.00	(869.76)	
	Security Subtotal			***4,850.34***	***6,023.45***	***0.00***	***(1,173.12)***	
PFIZER INC		*CUSIP Number*	*717081103*					
REDEEMED								
112.0000	Sale	11/16/10	05/06/13	3,223.54	1,857.83	0.00	1,365.71	
REGIONS FINL CORP		*CUSIP Number*	*7591EP100*					
REDEEMED								
19.0000	Sale	09/30/09	10/04/13	178.26	118.03	0.00	60.18	
7.0000	Sale	09/30/09	10/10/13	66.14	43.50	0.00	22.64	
	Security Subtotal			***244.40***	***161.53***	***0.00***	***82.82***	
SCHLUMBERGER LTD		*CUSIP Number*	*806857108*					
REDEEMED								
17.0000	Sale	12/21/06	04/22/13	1,197.15	1,083.20	0.00	113.95	
20.0000	Sale	11/25/08	04/22/13	1,408.41	898.89	0.00	509.52	
13.0000	Sale	12/01/08	04/22/13	915.47	579.60	0.00	335.87	
27.0000	Sale	05/15/09	04/22/13	1,901.36	1,409.90	0.00	491.46	
41.0000	Sale	06/22/09	04/22/13	2,887.27	2,155.47	0.00	731.80	
12.0000	Sale	01/25/10	04/22/13	845.05	792.03	0.00	52.97	
	Security Subtotal			***9,154.71***	***6,919.14***	***0.00***	***2,235.57***	

Merrill Lynch
Bank of America Corporation

Account No. 230-74E44

Taxpayer No. 95-4031664

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
TARGET CORP	*COM*	*CUSIP Number*	*87612E106*					
REDEEMED								
49.0000	Sale	12/24/08	07/25/13	3,532.54	1,597 64	0 00	1,934.90	
9.0000	Sale	03/16/09	07/25/13	648.83	261.05	0.00	387.78	
	Security Subtotal			***4,181.37***	***1,858.69***	***0.00***	***2,322.68***	
ZIONS BANCORP	*COM*	*CUSIP Number*	*989701107*					
REDEEMED								
19 0000	Sale	09/09/09	04/04/13	459 87	305 63	0.00	154.24	
36 0000	Sale	09/09/09	04/09/13	871 36	579.10	0.00	292.26	
50.0000	Sale	09/25/09	04/09/13	1,210.23	884.76	0 00	325 47	
19.0000	Sale	09/30/09	04/09/13	459.89	339 38	0.00	120.51	
16.0000	Sale	10/14/09	04/09/13	387.28	290.15	0 00	97.13	
38.0000	Sale	11/11/09	04/09/13	919.79	501.57	0.00	418.22	
2 0000	Sale	11/11/09	04/22/13	47 04	26.40	0 00	20.64	
8.0000	Sale	11/12/09	04/22/13	188 16	105.54	0.00	82 62	
	Security Subtotal			***4,543.62***	***3,032.53***	***0.00***	***1,511.09***	
WELLS FARGO & CO NEW DEL		*CUSIP Number*	*949746101*					
REDEEMED								
144 0000	Sale	06/27/08	03/05/13	5,184.54	3,473 69	0 00	1,710 85	
111.0000	Sale	06/27/08	06/21/13	4,555.02	2.677.63	0 00	1,877.39	
43.0000	Sale	11/07/08	06/21/13	1,764.57	1.183.72	0 00	580.85	
35.0000	Sale	11/07/08	07/12/13	1,505.52	963.49	0 00	542 03	
91.0000	Sale	11/07/08	07/15/13	3,916 92	2,505.09	0 00	1.411.83	
9 0000	Sale	11/07/08	09/06/13	378.02	247.76	0.00	130 26	
92 0000	Sale	11/07/08	09/10/13	3,865 44	2,532.61	0.00	1.332 83	
7 0000	Sale	11/07/08	10/11/13	287 13	192.70	0 00	94 43	
65 0000	Sale	12/31/09	10/11/13	2,666 39	1,762 29	0 00	904 01	
89 0000	Sale	12/31/09	10/22/13	3,827.07	2,412.98	0.00	1,414 09	
	Security Subtotal			***27,950.53***	***17,951.96***	***0.00***	***9,998.57***	
Noncovered Long Term Capital Gains and Losses Subtotal				**142,206.48**	**106,630.25**	**0.00**	**35,576.23**	
NET LONG TERM CAPITAL GAINS AND LOSSES				**299,136.18**	**238,027.16**	**0.00**	**61,109.02**	
TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES				**977,162.84**	868131.78			
TOTAL REPORTED SALES PROCEEDS				**977,162.84**				

Merrill Lynch
Bank of America Corporation

Account No. 230-74E44

Taxpayer No. 95-4031664

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

2013 REALIZED CAPITAL GAIN AND LOSS SUMMARY

COVERED SHORT TERM GAINS/LOSSES	NONCOVERED SHORT TERM GAINS/LOSSES	COVERED LONG TERM GAINS/LOSSES	NONCOVERED LONG TERM GAINS/LOSSES
47,922.04	0.00	25,532.79	35,576.23

TAXPAYER NAME. DOROTHY COLLINS BROWN CHARITABLE TIN xxxxx1664

Deposit Confirmation

Your payment has been accepted.

Payment Successful

An EFT Acknowledgement Number has been provided for this payment. Please keep this number for your records

REMINDER: REMEMBER TO FILE ALL RETURNS WHEN DUE!

EFT ACKNOWLEDGEMENT NUMBER:	270454841185125

Payment Information	Entered Data
Taxpayer EIN	xxxxx1664
Tax Form	990PF Return of Private Foundation
Tax Type	Balance due on return or notice
Tax Period	2013
Payment Amount	$471.00
Settlement Date	05/28/2014

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
NON TAXABLE DISTRIBUTIONS	$ 134.		
TOTAL	$ 134.	$ 0.	$ 0.

STATEMENT 2
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION	$ 1,695.		$ 1,695.	
TOTAL	$ 1,695.	$ 0.	$ 1,695.	$ 0.

STATEMENT 3
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNT FEE	$ 150.		$ 150.	
INVESTMENT FEES	21,843.		21,843.	
TOTAL	$ 21,993.	$ 0.	$ 21,993.	$ 0.

STATEMENT 4
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK FEES	$ 2.		$ 2.	
CA REGISTRY OF CHARITABLE TRUSTS	25.		25.	
FOREIGN TAX PAID	31.		31.	
FRANCHISE TAX BOARD	10.		10.	
INTERNAL REVENUE SERVICE	1,916.		1,916.	
TOTAL	$ 1,984.	$ 0.	$ 1,984.	$ 0.

STATEMENT 5
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

CASH GRANTS AND ALLOCATIONS

CLASS OF ACTIVITY:	DONATION	
DONEE'S NAME:	L.A. COUNTY MUSEUM OF ART	
DONEE'S ADDRESS:	5905 WILSHIRE BLVD. LOS ANGELES, CA 90036	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	NON-PROFIT	
AMOUNT GIVEN:		$ 10,000.
CLASS OF ACTIVITY:	DONATION	
DONEE'S NAME:	GLENDALE ASSC FOR THE RETARDED	
DONEE'S ADDRESS:	6512 SAN FERNANDO ROAD GLENDALE, CA 91201	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	NON-PROFIT	
AMOUNT GIVEN:		5,000.
CLASS OF ACTIVITY:	DONATION	
DONEE'S NAME:	STREETLIGHTS PROD. ASST. PROGR	
DONEE'S ADDRESS:	650 N. BRONSON AVE., B108 HOLLYWOOD, CA 91101	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	NON PROFIT	
AMOUNT GIVEN:		2,000.
CLASS OF ACTIVITY:	DONATION	
DONEE'S NAME:	BLIND CHILDRENS CENTER	
DONEE'S ADDRESS:	4120 MARATHON ST. LOS ANGELES, CA 90029	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	NON PROFIT	
AMOUNT GIVEN:		5,000.
CLASS OF ACTIVITY:	DONATION	
DONEE'S NAME:	AMERICAN HEART ASSOCIATION	
DONEE'S ADDRESS:	7272 GREENVILLE AVE. DALLAS, TX 75231	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	NON PROFIT	
AMOUNT GIVEN:		2,000.
CLASS OF ACTIVITY:	DONATION	
DONEE'S NAME:	UNIV OF WASHINGTON FOUNDATION	
DONEE'S ADDRESS:	1900 COMMERCE ST. TACOMA, WA 98402	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	NON PROFIT	
AMOUNT GIVEN:		4,000.
CLASS OF ACTIVITY:	DONATION	
DONEE'S NAME:	MCCALLUM THEATER	
DONEE'S ADDRESS:	73000 FRED WARING DR PALM DESERT, CA 92260	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	NON PROFIT	
AMOUNT GIVEN:		15,000.

STATEMENT 5 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

CLASS OF ACTIVITY:	DONATION	
DONEE'S NAME:	TOWN HALL, LOS ANGELES	
DONEE'S ADDRESS:	515 S. FLOWER ST, STE 1650 LOS ANGELES, CA 90071	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	NON PROFIT	
AMOUNT GIVEN:		$ 2,500.
CLASS OF ACTIVITY:	DONATION	
DONEE'S NAME:	GROWTOWN, INC.	
DONEE'S ADDRESS:	33203 BIDDESTONE LN. FARMINGON HILLS, MI 48334	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	NON PROFIT	
AMOUNT GIVEN:		5,000.
CLASS OF ACTIVITY:	DONATION	
DONEE'S NAME:	BOYS & GIRLS CLUB OF WATCOM COUNTY	
DONEE'S ADDRESS:	635 8TH STREET BLAINE, WA 98230	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	NON PROFIT	
AMOUNT GIVEN:		2,000.
CLASS OF ACTIVITY:	DONATION	
DONEE'S NAME:	ANIMALS AS NATURAL THERAPY	
DONEE'S ADDRESS:	721 VAN WYCK RD BLAINE , WA 98226	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	NON PROFIT	
AMOUNT GIVEN:		5,000.
CLASS OF ACTIVITY:	DONATION	
DONEE'S NAME:	BLAINE SCHOOL BOOSTER CLUB	
DONEE'S ADDRESS:	PO BOX 1849 BLAINE, WA 98231	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	NON PROFIT	
AMOUNT GIVEN:		2,000.
CLASS OF ACTIVITY:	DONATION	
DONEE'S NAME:	CASA OF SAN LUIS OBISPO COUNTY	
DONEE'S ADDRESS:	75 HIGUERA ST STE 180 SAN LUIS OBISPO, CA 93401	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	NON PROFIT	
AMOUNT GIVEN:		2,000.
CLASS OF ACTIVITY:	DONATION	
DONEE'S NAME:	MOUNT BAKER THEATER	
DONEE'S ADDRESS:	104 N. COMMERCIAL ST BELLINGHAM, WA 98225	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	NON PROFIT	
AMOUNT GIVEN:		5,000.
CLASS OF ACTIVITY:	DONATION	

STATEMENT 5 (CONTINUED)
FORM 990-PF, PART I, LINE 25
CONTRIBUTIONS, GIFTS, AND GRANTS

DONEE'S NAME:	PET RESCUE CENTER	
DONEE'S ADDRESS:	PO BOX 6570 LA QUINTA, CA 92248	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	NON PROFIT	
AMOUNT GIVEN:		$ 2,000.
CLASS OF ACTIVITY:	DONATION	
DONEE'S NAME:	UC SANTA BARBARA FOUNDATION	
DONEE'S ADDRESS:	UC SANTA BARBARA SANTA BARBARA, CA 93106	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	NON PROFIT	
AMOUNT GIVEN:		10,000.
CLASS OF ACTIVITY:	DONATION	
DONEE'S NAME:	UNITED CEREBRAL PALSY	
DONEE'S ADDRESS:	6430 INDEPENDENCE AVE WOODLAND HILLS, CA 91136	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	NON PROFIT	
AMOUNT GIVEN:		3,000.
CLASS OF ACTIVITY:	DONATION	
DONEE'S NAME:	WOMEN PLAYING FOR TIME	
DONEE'S ADDRESS:	6733 BITTERSWEET LN ORLANDO, FL 32819	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	NON PROFIT	
AMOUNT GIVEN:		1,000.
	TOTAL	$ 82,500.

STATEMENT 6
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED STATEMENTS	COST	$ 705,000.	$ 842,193.
	TOTAL	$ 705,000.	$ 842,193.

STATEMENT 7
FORM 990-PF, PART II, LINE 22
OTHER LIABILITIES

DEFERRED STOCK PURCHASES	$ 8,179.
TOTAL	$ 8,179.

STATEMENT 8
FORM 990-PF, PART VI, LINE 9
TAX DUE

TAX DUE	$	468.
LATE PAYMENT PENALTY		2.
LATE INTEREST		1.
	TOTAL $	471.