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**CHANGE OF ACCOUNTING PERIOD
Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For **990-PF**

Department of the Treasury
Internal Revenue Service

For calendar year 2013 or tax year beginning

FEB 1, 2013

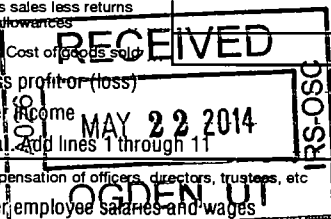
, and ending

DEC 31, 2013

Name of foundation AUGUSTYN FOUNDATION TRUST		A Employer identification number 95-3673855
Number and street (or P.O. box number if mail is not delivered to street address) 2058 N. MILLS AVENUE, #245		B Telephone number (909) 624-1366
City or town, state or province, country, and ZIP or foreign postal code CLAREMONT, CA 91711		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change </div> <div> <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change </div> </div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 2,659,297.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1.	1.		STATEMENT 1
	4 Dividends and interest from securities	47,163.	47,163.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	22,989.			
	b Gross sales price for all assets on line 6a	1,190,178.			
	7 Capital gain net income (from Part IV, line 2)		22,989.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	521.	521.		STATEMENT 3
	12 Total. Add lines 1 through 11	70,674.	70,674.		
	13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	STMT 4	9,022.	0.	9,022.
	c Other professional fees				
	17 Interest				
	18 Taxes	STMT 5	4,363.	0.	10.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
22 Printing and publications					
23 Other expenses	STMT 6	9,662.	8,817.	150.	
24 Total operating and administrative expenses. Add lines 13 through 23		23,047.	8,817.	9,182.	
25 Contributions, gifts, grants paid		197,000.		197,000.	
26 Total expenses and disbursements. Add lines 24 and 25		220,047.	8,817.	206,182.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<149,373.>			
b Net investment income (if negative, enter -0-)			61,857.		
c Adjusted net income (if negative, enter -0-)			N/A		

SCANNED MAY 28 2014



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash - non-interest-bearing			29,579.	27,539.	27,539.
	2 Savings and temporary cash investments			1,065,300.	75,575.	75,575.
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock STMT 7			284,565.	790,709.	910,037.
	c Investments - corporate bonds STMT 8			1,187,607.	1,570,191.	1,560,937.
	11 Investments - land, buildings, and equipment basis ▶					
Less accumulated depreciation ▶						
12 Investments - mortgage loans						
13 Investments - other STMT 9			130,245.	85,206.	85,209.	
14 Land, buildings, and equipment basis ▶						
Less accumulated depreciation ▶						
15 Other assets (describe ▶)						
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)			2,697,296.	2,549,220.	2,659,297.	
Liabilities	17 Accounts payable and accrued expenses					
	18 Grants payable					
	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶)					
23 Total liabilities (add lines 17 through 22)			0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>					
	27 Capital stock, trust principal, or current funds			0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds			2,697,296.	2,549,220.	
	30 Total net assets or fund balances			2,697,296.	2,549,220.	
31 Total liabilities and net assets/fund balances			2,697,296.	2,549,220.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,697,296.
2 Enter amount from Part I, line 27a	2	<149,373.>
3 Other increases not included in line 2 (itemize) ▶ PRIOR PERIOD ADJUSTMENT	3	• 1,297.
4 Add lines 1, 2, and 3	4	2,549,220.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,549,220.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a NORTHERN TRUST BANK - SEE ATTACHED STATEMENT	P	VARIOUS	VARIOUS
b NORTHERN TRUST BANK - SEE ATTACHED STATEMENT	P	VARIOUS	VARIOUS
c CAPITAL GAINS DIVIDENDS			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 269,881.		273,826.	<3,945.>
b 918,218.		893,363.	24,855.
c 2,079.			2,079.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			<3,945.>
b			24,855.
c			2,079.
d			
e			

2 Capital gain net income or (net capital loss)	<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; padding-left: 5px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div> <div style="margin-left: 10px;"> <div style="border: 1px solid black; width: 40px; height: 20px; display: flex; align-items: center; justify-content: center;">2</div> </div> </div>	22,989.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; padding-left: 5px;"> If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 </div> <div style="margin-left: 10px;"> <div style="border: 1px solid black; width: 40px; height: 20px; display: flex; align-items: center; justify-content: center;">3</div> </div> </div>	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	102,380.	1,976,045.	.051811
2011	271,074.	2,005,198.	.135186
2010	215,057.	2,144,817.	.100268
2009	115,992.	2,108,067.	.055023
2008	123,646.	1,942,944.	.063638

2 Total of line 1, column (d)	2	.405926
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.081185
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	2,714,333.
5 Multiply line 4 by line 3	5	220,363.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	619.
7 Add lines 5 and 6	7	220,982.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	206,182.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	1,237.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,237.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,237.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	2,600.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,600.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,363.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>CA</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► GRETCHEN AUGUSTYN Located at ► 5467 AYON AVENUE, IRWINDALE, CA Telephone no. ► 626-969-8011 ZIP+4 ► 91706			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
GRETCHEN J. AUGUSTYN	TRUSTEE			
5467 AYON AVENUE				
IRWINDALE, CA 91706	1.00	0.	0.	0.
JEFFREY AUGUSTYN	TRUSTEE			
1974 AVENIDA MONTE VISTA				
SAN DIMAS, CA 91773	1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	2,583,258.
b Average of monthly cash balances	1b	172,410.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	2,755,668.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	2,755,668.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	41,335.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,714,333.
6 Minimum investment return. Enter 5% of line 5 ADJUSTED FOR SHORT TAX PERIOD	6	124,189.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	124,189.
2a Tax on investment income for 2013 from Part VI, line 5	2a	1,237.
b Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	1,237.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	122,952.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	122,952.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	122,952.

Part XII**Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	206,182.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	206,182.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	206,182.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				122,952.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	27,491.			
b From 2009	12,654.			
c From 2010	109,170.			
d From 2011	173,224.			
e From 2012	6,143.			
f Total of lines 3a through e	328,682.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$	206,182.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				122,952.
e Remaining amount distributed out of corpus	83,230.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	411,912.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	27,491.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	384,421.			
10 Analysis of line 9:				
a Excess from 2009	12,654.			
b Excess from 2010	109,170.			
c Excess from 2011	173,224.			
d Excess from 2012	6,143.			
e Excess from 2013	83,230.			

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income**

[illegible]Form **990-PF** (2013)

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHMENT 1				197,000.
Total			▶ 3a	197,000.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

2013 Tax Information Statement

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Recipient's Name and Address:

AUGUSTYN FOUNDATION TRUST
C/O GRETCHEN AUGUSTYN, TRUSTEE
2058 N. MILLS AVE. # 517
CLAREMONT, CA 91711-2812

Account Number: 23-10509

Recipient's Tax ID Number: 95-3673855

☐ Corrected

☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2013 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales										
33939L605	MFC FLEXSHARES TR IBOX 5 YR TARGET DURATION TIPS INDEX FD	03/12/2013	05/02/2012		10,463.77	10,400.00	0.00	63.77	0.00	0.00
400 0000										
665162582	MFB NORTN FUNDS EMERGING MKTS EQTY EQTY INDEX FD	03/12/2013	11/23/2012	NOEMX	15,539.00	15,000.00	0.00	539.00	0.00	0.00
1314 6400										
968837106	WHOLE FOODS MKT INC	03/12/2013	08/07/2012	WFM	4,317.90	4,716.48	0.00	-398.58	0.00	0.00
50 0000										
025816109	AMERICAN EXPRESS CO	03/19/2013	08/07/2012	AXP	3,251.42	2,889.35	0.00	362.07	0.00	0.00
50 0000										
110122108	BRISTOL MYERS SQUIBB CO	03/19/2013	11/23/2012	BMJ	3,917.91	3,253.00	0.00	664.91	0.00	0.00
100 0000										
25746U109	DOMINION RES INC VA NEW	03/19/2013	08/07/2012	D	5,662.87	5,414.00	0.00	248.87	0.00	0.00
100 0000										
384802104	W W GRAINGER INC	03/19/2013	08/07/2012	GWV	4,460.50	4,186.00	0.00	274.50	0.00	0.00
20 0000										
655044105	NOBLE ENERGY INC COM	05/03/2013	11/23/2012	NBL	3,957.01	3,347.05	0.00	609.96	0.00	0.00
35 0000										
665162541	MFB NORTHERN FDS GLOBAL REAL ESTATE INDEX FD	06/18/2013	07/31/2012	NGREX	13,000.00	11,848.12	0.00	1,151.88	0.00	0.00
1371.3100										

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Account Number: 23-10509

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
33939L407	MFC FLEXSHARES TR MORNINGSTAR GLOBAL	06/24/2013	08/07/2012		18,661.30	20,495.88	0.00	-1,834.58	0.00	0.00
600 0000	UPSTREAM NAT RES INDEX FD									
665162475	MFB NORTHERN FDS MULTI-MANAGER GLOBAL			NMMGX						
133.5000	REALESTATE FD	06/24/2013	Various		2,306.87	2,405.57	0.00	-98.70	0.00	0.00
665162541	MFB NORTHERN FDS GLOBAL REAL ESTATE INDEX FD			NGREX						
3258.3200		06/24/2013	07/31/2012		28,412.57	28,151.88	0.00	260.69	0.00	0.00
G5785G107	MALLINCKRODT PLC COMMON STOCK			MNK						
.5000		07/01/2013	03/19/2013		22.04	22.62	0.00	-0.58	0.00	0.00
665162533	MFB NORTHERN FUNDS BD INDEX FD			NOBOX						
2884.6200		09/16/2013	11/28/2012		30,000.00	32,019.28	0.00	-2,019.28	0.00	0.00
G5785G107	MALLINCKRODT PLC COMMON STOCK			MNK						
12.0000		09/16/2013	03/19/2013		520.55	542.76	0.00	-22.21	0.00	0.00
665162533	MFB NORTHERN FUNDS BD INDEX FD			NOBOX						
2362.9500		10/30/2013	11/28/2012		25,000.00	26,228.75	0.00	-1,228.75	0.00	0.00
665162533	MFB NORTHERN FUNDS BD INDEX FD			NOBOX						
4285.7100		11/21/2013	11/28/2012		45,000.00	47,571.38	0.00	-2,571.38	0.00	0.00
665162467	MFB NORTHERN FUNDS ULTRA SHORT FIXED INCOME FD			NUSFX						
5414.2400		11/26/2013	05/03/2013		55,387.66	55,333.53	0.00	54.13	0.00	0.00

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 AUGUSTYN FOUNDATION TRUST
 C/O GRETCHEN AUGUSTYN, TRUSTEE
 2058 N. MILLS AVE. # 517
 CLAREMONT, CA 91711-2812

Account Number: 23-10509
 Recipient's Tax ID Number: 95-3673855

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Total Short Term Sales					269,881.37	273,825.65	-3,944.28	0.00	0.00
Long Term Sales									
38374XVC3	GNMA 4% DUE 09-16-2033 2009-024 4 DUE 09-16-2033			GNMAR					
2066.7600	01/16/2013 09/10/2010			2,066.76	2,066.76	0.00	0.00	0.00	0.00
36202EQ77	GNMA POOL #004078 5% 02-20-2023 BEO			GNMAIL					
30.7700	01/22/2013 09/10/2010			30.77	30.77	0.00	0.00	0.00	0.00
38375PKA8	GNMA 4.25% DUE 07-20-2037 4.25 07-20-2037			CMO1					
588.9400	01/22/2013 09/10/2010			588.94	588.94	0.00	0.00	0.00	0.00
38374XVC3	GNMA 4% DUE 09-16-2033 2009-024 4 DUE 09-16-2033			GNMAR					
1549.5900	02/19/2013 09/10/2010			1,549.59	1,549.59	0.00	0.00	0.00	0.00
36202EQ77	GNMA POOL #004078 5% 02-20-2023 BEO			GNMAIL					
30.9100	02/20/2013 09/10/2010			30.91	30.91	0.00	0.00	0.00	0.00
38375PKA8	GNMA 4.25% DUE 07-20-2037 4.25 07-20-2037			CMO1					
629.2000	02/20/2013 09/10/2010			629.20	629.20	0.00	0.00	0.00	0.00
20825C104	CONOCOPHILLIPS			COP					
40.0000	03/12/2013 02/28/2012			2,341.14	2,342.55	0.00	-1.41	0.00	0.00
231021106	CUMMINS ENGINE INC			CMI					
92.0000	03/12/2013 04/28/2010			11,001.11	6,791.41	0.00	4,209.70	0.00	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

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Cusip	Quantity Sold	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
718172109	100.0000	PHILIP MORRIS INTERNATIONAL	03/12/2013	09/16/2011	PM	9,121.79	6,903.61	0.00	2,218.18	0.00	0.00
847560109	200.0000	SPECTRA ENERGY CORP COM STK	03/12/2013	02/28/2012	SE	5,875.87	6,258.00	0.00	-382.13	0.00	0.00
872540109	440.0000	TJX COS INC NEW	03/12/2013	07/25/2011	TJX	19,742.35	12,260.25	0.00	7,482.10	0.00	0.00
89417E109	45.0000	THE TRAVELERS COMPANIES INC.	03/12/2013	02/28/2012	TRV	3,668.76	2,631.15	0.00	1,037.61	0.00	0.00
38374XYC3	1515.6700	GNMA 4% DUE 09-16-2033 2009-024 4 DUE 09-16-2033	03/18/2013	09/10/2010	GNMAR	1,515.67	1,515.67	0.00	0.00	0.00	0.00
037833100	11.0000	APPLE COMPUTER INC	03/19/2013	09/10/2009	AAPL	4,983.82	1,896.70	0.00	3,087.12	0.00	0.00
46625H100	25.0000	J P MORGAN CHASE & CO	03/19/2013	02/28/2012	JPM	1,227.47	979.98	0.00	247.49	0.00	0.00
91324P102	87.0000	UNITEDHEALTH GROUP INC	03/19/2013	04/21/2011	UNH	4,790.11	4,166.07	0.00	624.04	0.00	0.00
949746101	50.0000	WELLS FARGO & CO NEW	03/19/2013	02/28/2012	WFC	1,871.95	1,569.49	0.00	302.46	0.00	0.00

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Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
36202EQ77	GNMA POOL #004078 5% 02-20-2023 BEO			GNMALL						
31.0500	03/20/2013 09/10/2010			31.05		31.05	0.00	0.00	0.00	0.00
38375PKA8	GNMA 4.25% DUE 07-20-2037 4.25 07-20-2037			CMO1						
548.4000	03/20/2013 09/10/2010			548.40		548.40	0.00	0.00	0.00	0.00
38374XYC3	GNMA 4% DUE 09-16-2033 2009-024 4 DUE 09-16-2033			GNMAR						
1371.0700	04/16/2013 09/10/2010			1,371.07		1,371.07	0.00	0.00	0.00	0.00
36202EQ77	GNMA POOL #004078 5% 02-20-2023 BEO			GNMALL						
31.1900	04/22/2013 09/10/2010			31.19		31.19	0.00	0.00	0.00	0.00
38375PKA8	GNMA 4 25% DUE 07-20-2037 4.25 07-20-2037			CMO1						
540.7100	04/22/2013 09/10/2010			540.71		540.71	0.00	0.00	0.00	0.00
037833100	APPLE COMPUTER INC			AAPL						
10.0000	05/03/2013 09/10/2009			4,507.99		1,724.27	0.00	2,783.72	0.00	0.00
30219G108	EXPRESS SCRIPTS HLDG CO COM			ESRX						
126.0000	05/03/2013 07/25/2011			7,752.60		7,182.00	0.00	570.60	0.00	0.00
665162699	MFB NORTHN HI YIELD FXD INC FD			NHFX						
12787.7200	05/03/2013 02/28/2012			100,000.00		93,733.99	0.00	6,266.01	0.00	0.00
38374XYC3	GNMA 4% DUE 09-16-2033 2009-024 4 DUE 09-16-2033			GNMAR						
555.8800	05/16/2013 09/10/2010			555.88		1,068.88	0.00	-513.00	0.00	0.00

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P.O. BOX 803878
CHICAGO, IL 60680

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Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
36202EQ77	GNMA POOL #004078 5% 02-20-2023 BEO			GNMALL						
31.3400	05/20/2013 09/10/2010			31.34		31.34	0.00	0.00	0.00	0.00
38375PKA8	GNMA 4.25% DUE 07-20-2037 4.25 07-20-2037			CMO1						
574.0400	05/20/2013 09/10/2010			574.04		574.04	0.00	0.00	0.00	0.00
665162475	MFB NORTHN FDS MULTI-MANAGER GLOBAL REALESTATE FD			NMMGX						
1341.9200	06/18/2013 05/02/2012			25,000.00		23,765.43	0.00	1,234.57	0.00	0.00
665162699	MFB NORTHN HI YIELD FXD INC FD			NHFX						
10540.1800	06/18/2013 02/28/2012			80,000.00		77,259.52	0.00	2,740.48	0.00	0.00
693390841	PIMCO FDS PAC INVT MGMT SER HIGH YIELD FD INSTL CL			PHIYX						
4179.7300	06/19/2013 05/03/2012			40,000.00		39,122.27	0.00	877.73	0.00	0.00
36202EQ77	GNMA POOL #004078 5% 02-20-2023 BEO			GNMALL						
31.4800	06/20/2013 09/10/2010			31.48		31.48	0.00	0.00	0.00	0.00
38375PKA8	GNMA 4.25% DUE 07-20-2037 4.25 07-20-2037			CMO1						
541.1400	06/20/2013 09/10/2010			541.14		541.14	0.00	0.00	0.00	0.00
665162475	MFB NORTHN FDS MULTI-MANAGER GLOBAL REALESTATE FD			NMMGX						
1524.8900	06/24/2013 Various			26,350.00		26,234.57	0.00	115.43	0.00	0.00
693390841	PIMCO FDS PAC INVT MGMT SER HIGH YIELD FD INSTL CL			PHIYX						
1714.9000	06/25/2013 05/03/2012			16,000.00		16,051.46	0.00	-51.46	0.00	0.00

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THE NORTHERN TRUST COMPANY
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Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
36202EQ77	GNMA POOL #004078 5% 02-20-2023 BEO			GNMAIL						
31.6300	07/22/2013 09/10/2010				31.63	31.63	0.00	0.00	0.00	0.00
38375PKA8	GNMA 4 25% DUE 07-20-2037 4 25 07-20-2037			CMO1						
426 9000	07/22/2013 09/10/2010				426.90	426.90	0.00	0.00	0.00	0.00
36202EQ77	GNMA POOL #004078 5% 02-20-2023 BEO			GNMAIL						
31.7700	08/20/2013 09/10/2010				31.77	31.77	0.00	0.00	0.00	0.00
38375PKA8	GNMA 4.25% DUE 07-20-2037 4.25 07-20-2037			CMO1						
471.2600	08/20/2013 09/10/2010				471.26	471.26	0.00	0.00	0.00	0.00
263534109	E I DU PONT DE NEMOURS & CO			DD						
60.0000	09/16/2013 02/28/2012				3,577.73	3,084.60	0.00	493.13	0.00	0.00
580135101	MC DONALDS CORP. COMMON STOCK, NO PAR			MCD						
25.0000	09/16/2013 06/13/2005				2,440.70	734.50	0.00	1,706.20	0.00	0.00
580135101	MC DONALDS CORP. COMMON STOCK, NO PAR			MCD						
41 0000	09/16/2013 Various				4,002.75	3,723.11	0.00	279.64	0.00	0.00
855244109	STARBUCKS CORP COM			SBUX						
113 0000	09/16/2013 05/19/2011				8,486.01	4,191.51	0.00	4,294.50	0.00	0.00
36202EQ77	GNMA POOL #004078 5% 02-20-2023 BEO			GNMAIL						
31.9200	09/20/2013 09/10/2010				31.92	31.92	0.00	0.00	0.00	0.00

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Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
38375PKA8	GNMA 4.25% DUE 07-20-2037	09/20/2013	09/10/2010	CMO1	458.58	458.58	0.00	0.00	0.00	0.00
458.5800										
36202EQ77	GNMA POOL #004078 5% 02-20-2023 BEO	10/21/2013	09/10/2010	GNMAIL	32.06	32.06	0.00	0.00	0.00	0.00
32.0600										
38375PKA8	GNMA 4.25% DUE 07-20-2037	10/21/2013	09/10/2010	CMO1	451.00	451.00	0.00	0.00	0.00	0.00
451.0000										
665162467	MFB NORTHERN FUNDS ULTRA SHORT FIXED INCOME FD	10/30/2013	07/31/2012	NUSFX	25,000.00	24,975.50	0.00	24.50	0.00	0.00
2446.1800										
36202EQ77	GNMA POOL #004078 5% 02-20-2023 BEO	11/20/2013	09/10/2010	GNMAIL	32.21	32.21	0.00	0.00	0.00	0.00
32.2100										
38375PKA8	GNMA 4.25% DUE 07-20-2037	11/20/2013	09/10/2010	CMO1	372.05	372.05	0.00	0.00	0.00	0.00
372.0500										
665162467	MFB NORTHERN FUNDS ULTRA SHORT FIXED INCOME FD	11/21/2013	07/31/2012	NUSFX	40,000.00	39,921.81	0.00	78.19	0.00	0.00
3910.0700										
665162467	MFB NORTHERN FUNDS ULTRA SHORT FIXED INCOME FD	11/25/2013	07/31/2012	NUSFX	141,000.00	140,724.32	0.00	275.68	0.00	0.00
13782.9900										
665162467	MFB NORTHERN FUNDS ULTRA SHORT FIXED INCOME FD	11/26/2013	Various	NUSFX	94,612.34	94,378.37	0.00	233.97	0.00	0.00
9248.5200										

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☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
665162533	MFB NORTHERN FUNDS BD INDEX FD			NOBOX						
20019.0700	12/02/2013	11/28/2012		210,000.00	222,211.69	0.00	0.00	-12,211.69	0.00	0.00
36202EQ77	GNMA POOL #004078 5% 02-20-2023 BEO			GNMALL						
34.3200	12/20/2013	09/10/2010		34.32	34.32	0.00	0.00	0.00	0.00	0.00
38375PKA8	GNMA 4 25% DUE 07-20-2037 4.25 07-20-2037			CMO1						
332.1500	12/20/2013	09/10/2010		332.15	332.15	0.00	0.00	0.00	0.00	0.00
Total Long Term Sales				906,728.48	878,705.12	0.00	0.00	28,023.36	0.00	0.00

Long Term 28% Sales										
78463V107	MFC SPDR GOLD TR GOLD SHS			GLD						
100.0000	05/03/2013	02/28/2012		14,175.68	17,344.00	0.00	0.00	-3,168.32	0.00	0.00
Total Long Term 28% Sales				14,175.68	17,344.00	0.00	0.00	-3,168.32	0.00	0.00

This is important tax information and is being furnished to you.



Account Statement

January 1, 2013 - December 31, 2013

Account Number 23-10509
AUGUSTYN FOUNDATION TRUST

Portfolio Summary

Equity Securities	Market Value	Change From Prior Period	Cost	Unrealized Gain/Loss	Estimated Annual Income	Yield	% of Assets
Large Cap	\$ 380,608.17	\$ 75,676.40	\$ 284,099.19	\$ 95,983.29	\$ 7,582.10	2.0%	14.5%
Mid Cap	34,480.10	22,352.10	31,794.54	2,685.56	1,334.28	3.9%	1.3%
Small Cap	33,839.64	33,839.64	29,770.17	4,069.47	284.53	0.8%	1.3%
International Developed	333,173.25	329,643.45	314,545.10	18,628.15	6,519.18	2.0%	12.7%
International Emerging	127,935.34	112,330.57	130,500.00	(2,564.66)	2,537.15	2.0%	4.9%
Total Equity Securities	\$ 910,036.50	\$ 573,842.16	\$ 790,709.00	\$ 118,801.81	\$ 18,257.24	2.0%	34.6%
Fixed Income Securities							
Corporate/Government	1,398,956.50	241,353.74	1,405,314.37	(7,205.62)	20,646.08	1.5%	53.2%
Other Bonds	161,980.00	125,893.61	164,877.00	(2,897.00)	682.50	0.4%	6.2%
Total Fixed Income Securities	\$ 1,560,936.50	\$ 367,247.35	\$ 1,570,191.37	(\$ 10,102.62)	\$ 21,328.58	1.4%	59.3%
Real Estate							
Real Estate Funds	32,700.77	(\$ 64,966.38)	34,000.00	(1,299.23)	1,379.87	4.2%	1.2%
Total Real Estate	\$ 32,700.77	(\$ 64,966.38)	\$ 34,000.00	(\$ 1,299.23)	\$ 1,379.87	4.2%	1.2%
Commodities							
Commodities	52,507.77	14,714.03	51,205.85	259.15	1,042.50	2.0%	2.0%
Total Commodities	\$ 52,507.77	\$ 14,714.03	\$ 51,205.85	\$ 259.15	\$ 1,042.50	2.0%	2.0%
Cash and Short Term Investments							
Cash	75,574.91	(\$ 983,506.20)	75,562.39	0.00	7.56	0.0%	2.9%

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Account Statement

January 1, 2013 - December 31, 2013

Account Number 23-10509
AUGUSTYN FOUNDATION TRUST

Portfolio Summary (continued)

	Market Value	Change From Prior Period	Cost	Unrealized Gain/Loss	Estimated Annual Income	Yield	% of Assets
Total Cash and Short Term Investments	\$ 75,574.91	(\$ 983,506.20)	\$ 75,562.39	\$ 0.00	\$ 7.56	0.0%	2.9%
Total Portfolio	\$ 2,631,756.45	(\$ 92,669.04)	\$ 2,521,668.61	\$ 107,659.11	\$ 42,015.74	1.6%	100.0%



Northern Trust

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
NORTHERN TRUST BANK	1.	1.	
TOTAL TO PART I, LINE 3	1.	1.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NORTHERN TRUST BANK	49,242.	2,079.	47,163.	47,163.	
TO PART I, LINE 4	49,242.	2,079.	47,163.	47,163.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NORTHERN TRUST BANK	521.	521.	
TOTAL TO FORM 990-PF, PART I, LINE 11	521.	521.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	9,022.	0.		9,022.
TO FORM 990-PF, PG 1, LN 16B	9,022.	0.		9,022.

FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FRANCHISE TAX BOARD	10.	0.		10.
IRS - AMOUNT DUE WITH 2012 RETURN	1,753.	0.		0.
IRS - QUARTERLY PAYMENTS	2,600.	0.		0.
TO FORM 990-PF, PG 1, LN 18	4,363.	0.		10.

FORM 990-PF	OTHER EXPENSES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	8,967.	8,817.		150.
ASSOCIATION OF SMALL FOUNDATIONS	695.	0.		0.
TO FORM 990-PF, PG 1, LN 23	9,662.	8,817.		150.

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
NORTHERN TRUST BANK - SEE ATTACHED	790,709.	910,037.
TOTAL TO FORM 990-PF, PART II, LINE 10B	790,709.	910,037.

FORM 990-PF	CORPORATE BONDS	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
NORTHERN TRUST BANK - SEE ATTACHED	1,570,191.	1,560,937.
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,570,191.	1,560,937.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
NORTHERN TRUST BANK - SEE ATTACHED	COST	85,206.	85,209.
TOTAL TO FORM 990-PF, PART II, LINE 13		85,206.	85,209.

FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT	10
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NAME OF MANAGER

GRETCHEN J. AUGUSTYN
JEFFREY AUGUSTYN

<u>Name</u>	<u>Relationship</u>	<u>Purpose</u>	<u>Amount</u>
Capuchin Franciscan "House of Peace"	None	Support of Public Charity	\$ 5,000 00
Catholic Answers	None	Support of Public Charity	\$ 50,000 00
Damien High School	None	Support of Public Charity	\$ 1,000 00
Dominican Sisters of Mary	None	Support of Public Charity	\$ 1,000 00
Dominican Sisters of Mission San Jose	None	Support of Public Charity	\$ 1,000 00
Eternal Word Television Network	None	Support of Public Charity	\$ 500 00
Flintridge Sacred Heart Academy	None	Support of Public Charity	\$ 500 00
Heart of the Nation - Sunday TV Mass	None	Support of Public Charity	\$ 1,000 00
Maryvale Daughters of Chanty	None	Support of Public Charity	\$ 1,000 00
Our Lady of the Assumption	None	Support of Public Charity	\$ 5,000 00
San Secondo D'Asti Catholic Church	None	Support of Public Charity	\$ 1,000 00
St Anne's	None	Support of Public Charity	\$ 1,000 00
St Joseph's Indian School	None	Support of Public Charity	\$ 2,500 00
St Lawrence Seminary High School	None	Support of Public Charity	\$ 1,000 00
St Lucy Pnory High School	None	Support of Public Charity	\$ 500 00
St Michael's Abbey Foundation	None	Support of Public Charity	\$ 25,000 00
St Monica School	None	Support of Public Charity	\$ 2,500 00
St Scholastica Pnory	None	Support of Public Charity	\$ 1,000 00
American Institute for Roman Culture	None	Support of Public Charity	\$ 5,000 00
Betty Brinn Children's Museum	None	Support of Public Charity	\$ 1,500 00
Boy Scouts Crater Lake Council	None	Support of Public Charity	\$ 1,000 00
Boys Town	None	Support of Public Charity	\$ 1,000 00
Braille Institute	None	Support of Public Charity	\$ 2,000 00
David & Margaret Youth and Family Services	None	Support of Public Charity	\$ 1,000 00
Ettie Lee Youth and Family Services	None	Support of Public Charity	\$ 1,000 00
Greater Los Angeles Zoo Association	None	Support of Public Charity	\$ 1,000 00
LeRoy Hayes Center	None	Support of Public Charity	\$ 1,000 00
Manan Homes	None	Support of Public Charity	\$ 1,000 00
Rockford Institute	None	Support of Public Charity	\$ 1,000 00
University of LaVerne	None	Support of Public Charity	\$ 1,000 00
Virginia Intermont College	None	Support of Public Charity	\$ 1,000 00
Vision Forward	None	Support of Public Charity	\$ 2,000 00
Webb Schools	None	Support of Public Charity	\$ 5,000 00
Nation Audubon Society, Inc	None	Support of Public Charity	\$ 500 00
Best Friends Animal Society	None	Support of Public Charity	\$ 1,000 00
Defenders of Wildlife	None	Support of Public Charity	\$ 2,000 00
Greenpeace Fund	None	Support of Public Charity	\$ 2,000 00
McKeever Environmental Center	None	Support of Public Charity	\$ 10,000 00
National Wildlife Foundation	None	Support of Public Charity	\$ 3,000 00
Nature Conservancy	None	Support of Public Charity	\$ 4,000 00
Save the Redwoods League	None	Support of Public Charity	\$ 1,000 00
Schlitz Audubon Nature Center	None	Support of Public Charity	\$ 2,500 00
The Vital Ground Foundation	None	Support of Public Charity	\$ 10,000 00
World Wildlife Fund - Northern Great Plains Program	None	Support of Public Charity	\$ 5,000 00
American Cancer Society	None	Support of Public Charity	\$ 1,000 00
American Red Cross	None	Support of Public Charity	\$ 2,000 00
American Red Cross - Philippine Relief	None	Support of Public Charity	\$ 2,000 00
Casa Colina Foundation	None	Support of Public Charity	\$ 1,000 00
Doctors Without Borders	None	Support of Public Charity	\$ 2,000 00
Guide Dogs of the Desert	None	Support of Public Charity	\$ 1,500 00
Inland Hospice Association	None	Support of Public Charity	\$ 500 00
Navy Seal Foundation	None	Support of Public Charity	\$ 2,000 00
Semper Fi Fund	None	Support of Public Charity	\$ 2,000 00
Smile Train	None	Support of Public Charity	\$ 2,000 00
Special Operations Fund	None	Support of Public Charity	\$ 10,000 00
The Salvation Army	None	Support of Public Charity	\$ 2,000 00
Wounded Warrior Project			\$ 2,000 00
			<u>197,000 00</u>