



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation J F SHEA COMPANY FOUNDATION		A Employer identification number 95-2554052	
Number and street (or P O box number if mail is not delivered to street address) 655 BREA CANYON ROAD		B Telephone number (see instructions) (909) 594-0941	
City or town, state or province, country, and ZIP or foreign postal code WALNUT, CA 91789		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 5,714,923		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	87,140	87,140		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	1,383,500			
	b Gross sales price for all assets on line 6a 1,402,250				
	7 Capital gain net income (from Part IV , line 2)		1,383,500		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	1,470,640	1,470,640		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest	4	4		0
	18 Taxes (attach schedule) (see instructions)	18,881	85		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	18,885	89		0
	25 Contributions, gifts, grants paid	706,597			706,597
	26 Total expenses and disbursements. Add lines 24 and 25	725,482	89		706,597
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	745,158			
	b Net investment income (if negative, enter -0-)		1,470,551		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	14,286	23,812	23,812
	2	Savings and temporary cash investments	40,528	797,421	797,421
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	365,034	343,773	4,893,690
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	419,848	1,165,006	5,714,923	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	419,848	1,165,006	
	30	Total net assets or fund balances (see page 17 of the instructions)	419,848	1,165,006	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	419,848	1,165,006		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	419,848
2	Enter amount from Part I, line 27a	2	745,158
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	1,165,006
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,165,006

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,383,500
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	257,060	5,717,488	0 044960
2011	252,105	5,909,727	0 042659
2010	165,753	5,475,511	0 030272
2009	380,739	5,100,851	0 074642
2008	342,155	7,200,956	0 047515

2	Total of line 1, column (d).	2	0 240048
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 048010
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	5,512,749
5	Multiply line 4 by line 3.	5	264,667
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	14,706
7	Add lines 5 and 6.	7	279,373
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	706,597

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	14,706
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	14,706
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	14,706
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	18,300
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	15,000
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	33,300
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ☐	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . ☐	10	18,594
11	Enter the amount of line 10 to be Credited to 2014 estimated tax ☐ 18,594 Refunded ☐	11	0

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ 0 (2) On foundation managers ☐ \$ _____ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶RONALD L LAKEY Telephone no ▶(909) 594-9500 Located at ▶655 BREYA CANYON ROAD WALNUT CA ZIP +4 ▶91789			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	☐ Yes	☒ No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes	☐ No	
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☒ No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☒ No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN F SHEA	PRESIDENT	0	0	0
655 BREA CANYON ROAD WALNUT, CA 91789	1 00			
PETER O SHEA	TREASURER	0	0	0
655 BREA CANYON ROAD WALNUT, CA 91789	1 00			
RONALD L LAKEY	VICE PRESIDENT	0	0	0
655 BREA CANYON ROAD WALNUT, CA 91789	1 00			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	5,582,325
b	Average of monthly cash balances.	1b	14,374
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	5,596,699
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	5,596,699
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	83,950
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,512,749
6	Minimum investment return. Enter 5% of line 5.	6	275,637

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	275,637
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	14,706
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	14,706
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	260,931
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	260,931
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	260,931

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	706,597
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	706,597
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	14,706
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	691,891
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				260,931
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			18,356	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 706,597				
a Applied to 2012, but not more than line 2a			18,356	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				260,931
e Remaining amount distributed out of corpus	427,310			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	427,310			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .	0			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	427,310			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .	427,310			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	706,597
b <i>Approved for future payment</i>				
Total			3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a

Transfers from the reporting foundation to a noncharitable exempt organization of

1

Cash.

1a(1)

No

2

Other assets.

1a(2)

No

b

Other transactions

1

Sales of assets to a noncharitable exempt organization.

1b(1)

No

2

Purchases of assets from a noncharitable exempt organization.

1b(2)

No

3

Rental of facilities, equipment, or other assets.

1b(3)

No

4

Reimbursement arrangements.

1b(4)

No

5

Loans or loan guarantees.

1b(5)

No

6

Performance of services or membership or fundraising solicitations.

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes

☒ No

If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

2014-07-23

Signature of officer or trustee

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

☒ Yes☐ No

Paid Preparer Use Only

Print/Type preparer's name RONALD L LAKEY	Preparer's Signature	Date	Check if self-employed ☐	PTIN P01251459
Firm's name ☐ JF SHEA CO INC			Firm's EIN ☐ 94-1530032	
Firm's address ☐ 655 BREA CANYON ROAD WALNUT, CA 91789			Phone no (909) 594-9500	

Form 990-PF (2013)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
6000 SHS - AES CORP	D	1982-01-20	2013-02-07
5000 SHS - AES CORP	D	1982-01-20	2013-03-27
3000 SHS - AES CORP	D	1982-01-20	2013-04-26
4000 SHS - AES CORP	D	1982-01-20	2013-06-28
10000 SHS - AES CORP	D	1982-01-20	2013-07-03
12000 SHS - AES CORP	D	1982-01-20	2013-08-08
15000 SHS - AES CORP	D	1982-01-20	2013-10-10
88654 SHS - SYMMETRICOM INC	D	1990-03-20	2013-12-03
10000 SHS - SYMMETRICOM INC	D	1993-12-13	2013-12-03
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
66,000			66,000
61,944			61,944
40,170			40,170
48,079			48,079
117,598			117,598
156,169			156,169
201,149			201,149
636,536			636,536
71,800		18,750	53,050
2,805			2,805

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			66,000
			61,944
			40,170
			48,079
			117,598
			156,169
			201,149
			636,536
			53,050
			2,805

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ALS ASSOCIATION AZ CHAPTER 4643 E THOMAS ROAD SUITE 1 PHOENIX,AZ 85018	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	250
AMERICAN PASTIME 615 WINN DR UPLAND,CA 91760	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	10,000
ARCHDIOCESE OF LOS ANGELES 3424 WILSHIRE BOULEVARD LOS ANGELES,CA 90010	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	394,441
B'NAI B'RITH INTERNATIONAL 3397 BARHAM BLVD LOS ANGELES,CA 90068	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	1,750
BOSTON COLLEGE TRIBUTE DINNER 140 COMMONWEALTH AVENUE CHESTNUT HILL,MA 02467	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	2,500
BOYS & GIRLS CLUB OF CAPISTRANO VALLEY 1 VIA POSITIVA SAN JUAN CAPISTRANO,CA 92675	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	1,000
CALIFORNIA HOMEBUILDING FOUNDATION 1215 K STREET SUITE 1200 SACRAMENTO,CA 95814	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	16,686
CIRCLE THE CITY 220 S 12TH AVENUE PHOENIX,AZ 85007	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	2,500
CITY OF HOPE 1055 WILSHIRE BLVD 12TH FLOOR LOS ANGELES,CA 90017	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	2,500
COMMUNITY FOOD SHARE 6363 HORIZON LANE LONGMONT,CO 80503	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	1,000
CORNELIA CONNELLY SCHOOL 2323 WEST BROADWAY ANAHEIM,CA 92804	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	6,136
DIOCESE OF ORANGE 2811 EAST VILLA REAL DRIVE ORANGE,CA 92867	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	2,183
DOUGLAS COUNTY FAIR FOUNDATION 500 FAIRGROUNDS DRIVE CASTLE ROCK,CO 80104	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	1,000
DOWNING ST FOUNDATION 5150 E YALE CIRCLE SUITE 303 DENVER,CO 80222	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	2,500
FULCRUM FOUNDATION 710 NINTH AVENUE SEATTLE,WA 98104	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	20,000
Total  3a				706,597

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
GIRLS ON THE RUN 21001 N TATUM BLVD SUITE 1630-436 PHOENIX,AZ 85050	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	300
GOOD NEWS RESCUE MISSION PO BOX 991626 REDDING,CA 96099	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	1,000
GOOD SHEPHERD SHELTER 2561 W VENICE BLVD LOS ANGELES,CA 90019	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	1,687
HEART OF JESUS RETREAT CENTER 2927 SOUTH GREENVILLE STREET SANTA ANA,CA 927046001	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	6,000
HELPS INTERNATIONAL 15301 DALLAS PKWY SUITE 200 ADDISON,TX 75001	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	250
HOMEAID AMERICA IRVINE 9 EXECUTIVE CIRCLE SUITE 275 IRVINE,CA 91614	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	15,000
HOMEAID AMERICA COLORADO 600 GRANT STREET SUITE 208 DENVER,CO 80203	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	1,000
HOMEAID AMERICA INLAND EMPIRE 3891 11TH ST RIVERSIDE,CA 92501	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	1,000
HOMEAID AMERICA NORTHERN CALIFORNIA 6210 STONERIDGE MALL ROAD 5TH FLOOR PLEASANTON,CA 94588	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	10,000
HOME BUILDERS CARE 7740 N 16TH STREET SUITE 385 PHOENIX,AZ 85020	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	500
JF SHEA THERAPEUTIC RIDING CENTER 26284 OSO RD SAN JUAN CAPISTRANO,CA 92675	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	5,000
JUVENILE DIABETES RESEARCH FOUNDATION 10401 N MERIDIAN STREET SUITE 150 INDIANAPOLIS,IN 46290	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	500
KIDWORKS FOUNDATION FOR SUCCESS 1902 W CHESTNUT AVENUE SANTA ANA,CA 92703	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	2,744
LIGHT UP A LIFEMERCY FOUNDATION NORTH 2400 WASHINGTON AVE SUITE 410 REDDING,CA 96001	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	500
LIVERMORE VALLEY PERFORMING ARTS CENTER 2400 FIRST STREET LIVERMORE,CA 94550	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	50,000
Total  3a				706,597

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
LUNGEVITY FOUNDATION 435 NORTH LASALLE STREET SUITE 310 CHICAGO,IL 60654	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	2,500
MIZEL INSTITUTE 400 S KEARNEY STREET DENVER,CO 802241238	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	5,000
MUSCULAR DYSTROPHY ASSOCIATION 3300 E SUNRISE DRIVE TUCSON,AZ 857183208	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	100
NATURE'S HOTLINE 3760 NORTHLAND DRIVE LOS ANGELES,CA 90008	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	1,000
NORTH PHOENIX KIWANIS FOUNDATION 4005 E HAMBLIN DRIVE PHOENIX,AZ 850508713	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	250
ORANGE CATHOLIC FOUNDATION 2811 EAST VILLA REAL DRIVE ORANGE,CA 92867	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	54,698
ORANGE COUNTY COUNCIL-BOY SCOUTS AMERICA 1211 E DYER ROAD SANTA ANA,CA 92705	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	4,616
ORANGE COUNTY UNITED WAY FILE 51041 LOS ANGELES,CA 900741041	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	1,900
PEACEWORK MEDICAL PROJECTS 2322 NORTH 8TH STREET PHOENIX,AZ 85006	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	1,000
RED ROCKS CENTURY 7000 E BELLEVIEWAVE SUITE 355 GREENWOOD VILLAGE,CO 80111	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	1,500
RIVERSIDE COUNTY SEARCH DOGS 43950 ACACIA AVENUE HEMET,CA 92543	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	300
ROMAN CATHOLIC BISHOP OF SAN BERNARDINO 1201 EAST HIGHLAND AVENUE SAN BERNARDINO,CA 92404	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	29,804
ST JOSEPH'S CATHOLIC SCHOOL 608 CIVIC CENTER DRIVE EAST SANTA ANA,CA 927014199	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	4,542
ST VINCENT DE PAUL SOCIETY PO BOX 13600 PHOENIX,AZ 85002	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	150
SALVATION ARMY 2961 LARKSPUR LANE REDDING,CA 96002	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	1,000
Total  3a				706,597

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SALVATION ARMY-LAAC 1532 WEST 11TH STREET LOS ANGELES, CA 90015	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	1,000
SHASTA COLLEGE FOUNDATION PO BOX 496006 REDDING, CA 960496006	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	4,000
SHASTA FAMILY JUSTICE CENTER 1670 MARKET STREET SUITE 300 REDDING, CA 96001	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	2,500
SHERIFF'S CHARITIES PO BOX 1088 DANVILLE, CA 94526	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	1,250
SPECIAL OLYMPICS OF SHASTA COUNTY 4305 EMILY WAY REDDING, CA 96001	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	500
UNIVERSITY OF COLORADO 1800 GRANT STREET SUITE 725 DENVER, CO 80203	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	2,500
USC LUSK CENTER FOR REAL ESTATE 650 CHILDS WAY RGL 331 LOS ANGELES, CA 900890626	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	20,000
WHEELCHAIR FOUNDATION 3820 BLACKHAWK ROAD DANVILLE, CA 945064617	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	1,300
WILLIAM R MEYN FOUNDATION 10111 INVERNESS MAIN STREET SUITE 0 ENGLEWOOD, CO 80112	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	4,260
WOMEN'S FUND OF SHASTA REGIONAL COMMUNITY FOUNDATION 1335 ARBORETUM DRIVE SUITE B REDDING, CA 96003	NONE	NON-PROFIT	TO FURTHER EXEMPT ACTIVITIES	1,000
Total			3a	706,597

**TY 2013 Investments Corporate
Stock Schedule****Name:** J F SHEA COMPANY FOUNDATION**EIN:** 95-2554052

Name of Stock	End of Year Book Value	End of Year Fair Market Value
98,654 SHS SYMMETRICOM INC	0	0
15,000 SHS ALTERA CORP	1,509	487,665
18,500 SHS HCP INC	342,264	671,920
257,347 SHS AES CORP	0	3,734,105

TY 2013 Taxes Schedule**Name:** J F SHEA COMPANY FOUNDATION**EIN:** 95-2554052

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FRANCHISE TAX BOARD	10	10		0
CA ATTORNEY GENERAL	75	75		0
UNITED STATES TREASURY	18,796	0		0