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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation MARVIN AND SONDRAL SMALLEY FOUNDATION		A Employer identification number 95-2535589
Number and street (or P.O. box number if mail is not delivered to street address) 963 STONE CANYON ROAD	Room/suite	B Telephone number 310-440-1133
City or town, state or province, country, and ZIP or foreign postal code LOS ANGELES, CA 90077		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 3,371,281.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		73,248.	73,248.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		47,367.			
b Gross sales price for all assets on line 6a 339,275.					
7 Capital gain net income (from Part IV, line 2)			47,367.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		120,615.	120,615.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 2		750.	250.		500.
c Other professional fees STMT 3		17,326.	17,326.		0.
17 Interest					
18 Taxes STMT 4		2,527.	2,447.		80.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		1.	1.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		20,604.	20,024.		580.
25 Contributions, gifts, grants paid		186,626.			186,626.
26 Total expenses and disbursements. Add lines 24 and 25		207,230.	20,024.		187,206.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-86,615.			
b Net investment income (if negative, enter -0-)			100,591.		
c Adjusted net income (if negative, enter -0-)				N/A	

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LHA For Paperwork Reduction Act Notice, see instructions

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	164,335.	8,001.	8,001.
	2 Savings and temporary cash investments	251,450.	407,622.	407,622.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	1,324,986.	1,222,144.	2,390,536.
	c Investments - corporate bonds STMT 7	543,827.	560,216.	565,122.
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	2,284,598.	2,197,983.	3,371,281.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	2,284,598.	2,197,983.	
	30 Total net assets or fund balances	2,284,598.	2,197,983.	
	31 Total liabilities and net assets/fund balances	2,284,598.	2,197,983.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,284,598.
2 Enter amount from Part I, line 27a	2	-86,615.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	2,197,983.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,197,983.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 339,275.		291,908.	47,367.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			47,367.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	47,367.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	147,092.	2,889,523.	.050905
2011	165,678.	2,762,979.	.059964
2010	294,625.	2,810,545.	.104828
2009	213,054.	2,707,391.	.078693
2008	175,941.	2,962,907.	.059381

2 Total of line 1, column (d)	2	.353771
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.070754
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	3,174,048.
5 Multiply line 4 by line 3	5	224,577.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,006.
7 Add lines 5 and 6	7	225,583.
8 Enter qualifying distributions from Part XII, line 4	8	187,206.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	2,012.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,012.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,012.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,500.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,500.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed SEE STATEMENT 8	9	512.	
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address **▶ N/A**

14 The books are in care of **▶ MARVIN SMALLEY** Telephone no. **▶ 310-440-1133**
 Located at **▶ 963 STONE CANYON ROAD, LOS ANGELES, CA** ZIP+4 **▶ 90077**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here **▶** ☐
 and enter the amount of tax-exempt interest received or accrued during the year **▶ 15** **N/A**

16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? **▶** ☐ Yes ☒ No
 See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country **▶**

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ▶ _____ , _____ , _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A		2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ _____ , _____ , _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) N/A		3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE - DISTRIBUTIONS ARE MADE DIRECTLY TO CHARITABLE ORGANIZATIONS.	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NONE	
	0.
2	
All other program-related investments. See instructions.	
3 NONE	
	0.
	0.

Total. Add lines 1 through 3

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,806,679.
b	Average of monthly cash balances	1b	415,705.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	3,222,384.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,222,384.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	48,336.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,174,048.
6	Minimum investment return. Enter 5% of line 5	6	158,702.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	158,702.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	2,012.
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	2,012.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	156,690.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	156,690.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	156,690.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	187,206.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	187,206.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	187,206.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				156,690.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	29,928.			
b From 2009	79,294.			
c From 2010	155,672.			
d From 2011	28,879.			
e From 2012	4,026.			
f Total of lines 3a through e	297,799.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$	187,206.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				156,690.
e Remaining amount distributed out of corpus	30,516.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	328,315.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	29,928.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	298,387.			
10 Analysis of line 9:				
a Excess from 2009	79,294.			
b Excess from 2010	155,672.			
c Excess from 2011	28,879.			
d Excess from 2012	4,026.			
e Excess from 2013	30,516.			

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AMERICAN JEWISH UNIVERSITY 15600 MULHOLLAND DR. BEL-AIR, CA 90077		PUBLIC CHARITY	ASSISTANCE TO EDUCATIONAL FACILITY	29,566.
ANTI-DEFAMATION LEAGUE 605 THIRD AVENUE NEW YORK, NY 10158		PUBLIC CHARITY	FIGHT PREJUDICE	300.
BEIT T'SHUVAH 8831 VENICE BLVD. LOS ANGELES, CA 90034		PUBLIC CHARITY	ASSISTANCE TO UNDERPRIVILEGED PEOPLE	23,450.
CEDARS SINAI MEDICAL CENTER 8700 BEVERLY BLVD. LOS ANGELES, CA 90048		PUBLIC CHARITY	MEDICAL RESEARCH	1,500.
CONCERN FOUNDATION 1026 S. ROBERTSON #300 LOS ANGELES, CA 90035		PUBLIC CHARITY	MEDICAL RESEARCH	200.
Total	SEE CONTINUATION SHEET(S)			186,626.
b Approved for future payment				
NONE				
Total				0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|--------------|----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

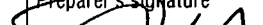
(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here   

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr. 7)?
☒ Yes ☐ No

Paid Preparer Use Only	Print/preparer's name IRA BRODSKY	Preparer's signature 	Date 8/7/14	Check ☐ if self-employed	PTIN P00838068
	Firm's name ▶ TAYLOR AND LIEBERMAN, A.A.C				Firm's EIN ▶ 95-3279305
	Firm's address ▶ 10866 WILSHIRE BLVD., STE 1100 LOS ANGELES, CA 90024				Phone no. 310-475-9520

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► File a separate application for each return.

► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file) . You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete ☐

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print	Name of exempt organization or other filer, see instructions.	Enter filer's identifying number
	MARVIN AND SONDRAL SMALLEY FOUNDATION	Employer identification number (EIN) or 95-2535589
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions. 963 STONE CANYON ROAD	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. LOS ANGELES, CA 90077	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

MARVIN SMALLEY

- The books are in the care of ► **963 STONE CANYON ROAD - LOS ANGELES, CA 90077**
Telephone No. ► **310-440-1133** Fax No. ►
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
 ► ☒ calendar year **2013** or
 ► ☐ tax year beginning , and ending

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	1,500.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	1,500.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

MARVIN AND SONDRAL SMALLEY FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	GENERAL ELECTRIC CAP CLD 169 SH	P	10/07/04	01/02/13
b	GENERAL ELECTRIC CRP CLD 50,000 SH	P	03/16/04	04/01/13
c	JPM CHASE CAP TR XI CLD 1,000 SH	P	03/09/04	05/08/13
d	CISCO SYSTEMS INC 1,000 SH	P	06/27/95	06/20/13
e	WISDOMTREE INTL M/C 600 SH	P	08/21/07	06/20/13
f	GOLDMAN SACHS BK 50,000 SH	P	07/18/12	08/26/13
g	NAPA CALIF SOLID WASTE 10,000 SH	P	11/30/11	08/01/13
h	GE CAP RETIAL BK 50,000 CD	P	07/18/12	09/30/13
i	ISHARES CORE S&P SMALL 700 SH	P	01/25/07	12/17/13
j	ISHARES CORE S&P SMALL 200 SH	P	03/22/07	12/17/13
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,225.		4,471.	-246.
b 50,000.		50,000.	0.
c 25,000.		25,110.	-110.
d 24,339.		2,883.	21,456.
e 30,456.		39,072.	-8,616.
f 50,000.		50,000.	0.
g 10,000.		10,200.	-200.
h 50,000.		50,000.	0.
i 74,087.		46,494.	27,593.
j 21,168.		13,678.	7,490.
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-246.
b			0.
c			-110.
d			21,456.
e			-8,616.
f			0.
g			-200.
h			0.
i			27,593.
j			7,490.
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	47,367.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JEWISH FEDERATION 6505 WILSHIRE BLVD. 3RD FLOOR LOS ANGELES, CA 90048		PUBLIC CHARITY	ASSISTANCE TO RELIGIOUS FACILITY	40,480.
KCET 2900 ALAMEDA AVENUE BURBANK, CA 91505		PUBLIC CHARITY	PERFORMING ARTS ASSISTANCE	1,000.
LACMA 5905 WILSHIRE BLVD. LOS ANGELES, CA 90036		PUBLIC CHARITY	ASSISTANCE TO THE ARTS	2,500.
LOS ANGELES JEWISH HOME 7155 TAMPA AVE RESEDA, CA 91335		PUBLIC CHARITY	ASSISTANCE TO THE ELDERLY	1,630.
NACHSHON TEMPLE 4924 BALBOA BLVD ENCINO, CA 91316		PUBLIC CHARITY	ASSISTANCE TO THE ARTS	350.
STOP CANCER 2566 OVERLAND AVE. #790 LOS ANGELES, CA 90064		PUBLIC CHARITY	MEDICAL RESEARCH	26,400.
UCLA FOUNDATION 10920 WILSHIRE BLVD, STE 900 LOS ANGELES, CA 90024		PUBLIC CHARITY	EDUCATIONAL ASSISTANCE	200.
JEWISH VOCATIONAL SERVICES 6505 WILSHIRE BLVD STE 200 LOS ANGELES, CA 90048		PUBLIC CHARITY	ASSISTANCE TO UNDERPRIVLEDGED PEOPLE	50.
HEBREW UNION COLLEGE 3077 UNIVERSITY AVE LOS ANGELES, CA 90007		PUBLIC CHARITY	EDUCATIONAL ASSISTANCE	1,500.
JEWISH FEDERATION OF LAS VEGAS 2317 RENAISSANCE DR LAS VEGAS, NV 89119		PUBLIC CHARITY	ASSISTANCE TO RELIGIOUS ACTIVITY	1,000.
Total from continuation sheets				131,610.

Part XV Supplementary Information**3** Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SUSAN KOMEN 4699 MURPHY CANYON RD STE 102B SAN DIEGO, CA 92123		PUBLIC CHARITY	ASSISTANCE TO CANCER RESEARCH	200.
BEL AIR ASSOCIATION 100 BEL AIR RD LOS ANGELES, CA 90077		PUBLIC CHARITY	ASSISTANCE TO THE COMMUNITY	150.
HAMMER MUSEUM 10899 WILSHIRE BLVD LOS ANGELES, CA 90024		PUBLIC CHARITY	ASSISTANCE TO THE ARTS	350.
SKIRBALL CULTURAL CENTER 2701 N SEPULVEDA BLVD LOS ANGELES, CA 90049		PUBLIC CHARITY	ASSISTANCE TO THE ARTS	100.
RETT SYNDROME RESEARCH TRUST 67 UNDER CLIFF RD TRUMBULL, CT 06611		PUBLIC CHARITY	ASSISTANCE TO MEDICAL RESEARCH	200.
CHILDRENS HOSPITAL 4650 SUNSET BLVD LOS ANGELES, CA 90027		PUBLIC CHARITY	ASSISTANCE TO CHILDRENS MEDICAL NEEDS	1,000.
AMERICAN CANCER SOCIETY 250 WILLIAMS ST N.W. ATLANTA, GA 30303		PUBLIC CHARITY	ASSITANCE TO CANCER RESEACH	300.
CITY OF HOPE 1500 EAST DUARTE RD DUARTE, CA 91010		PUBLIC CHARITY	ASSISTANCE TO MEDICAL RESEARCH	50,000.
JEFFREY GOODMAN CLINIC 1625 N SCHRADER BLVD LOS ANGELES, CA 90028		PUBLIC CHARITY	ASSISTANCE TO MEDICAL TREATMENT	200.
UNIVERSITY OF JUDAISM SCRIPTURE 15600 MULHOLLAND DR. LOS ANGELES, CA 90077		PUBLIC CHARITY	ASSITANCE TO RELIGIOUS ACTIVITIES	4,000.
Total from continuation sheets				

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
LESS INTEREST PAID PURCHASE	-583.	0.	-583.	-583.	
MERRILL LYNCH DIVIDENDS	53,456.	0.	53,456.	53,456.	
MERRILL LYNCH INTEREST	19,075.	0.	19,075.	19,075.	
MERRILL LYNCH OID	1,300.	0.	1,300.	1,300.	
TO PART I, LINE 4	73,248.	0.	73,248.	73,248.	

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	750.	250.		500.
TO FORM 990-PF, PG 1, LN 16B	750.	250.		500.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	17,326.	17,326.		0.
TO FORM 990-PF, PG 1, LN 16C	17,326.	17,326.		0.

FORM 990-PF	TAXES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ATTORNEY GENERAL REGISTRY	50.	0.		50.	
FOREIGN TAXES	897.	897.		0.	
FEDERAL EXCISE TAX					
FOUNDATION	1,550.	1,550.		0.	
CA FILING FEE	30.	0.		30.	
TO FORM 990-PF, PG 1, LN 18	2,527.	2,447.		80.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
PORTFOLIO EXPENSES	1.	1.		0.	
TO FORM 990-PF, PG 1, LN 23	1.	1.		0.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	6
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
1,800 SHS AT&T INC	45,481.	63,288.		
300 SHS APPLE INC	33,030.	168,306.		
1,400 SHS BED, BATH & BEYOND	53,694.	112,420.		
1,000 SHS BERKSHIRE HATHAWAY B	52,869.	118,560.		
3,100 SHS CISCO SYSTEMS	8,937.	69,533.		
1,000 SHS COSTCO	40,127.	119,020.		
700 SHS EXXON MOBIL	41,977.	70,840.		
1,016 SHS NEXTERA ENERGY INC	32,181.	86,990.		
100 SHS GOOGLE INC CL A	45,817.	112,071.		
700 SHS ISHARES S&P MIDCAP	58,068.	93,667.		
900 SHS ISHARES S&P SMALLCAP	0.	0.		
909 SHS JOHNSON & JOHNSON	50,699.	83,255.		
3,200 SHS MICROSOFT CORP	19,881.	119,712.		
1,350 SHS NESTLE	33,409.	99,346.		
1,100 SHS PEPSICO	62,865.	91,234.		
1,102 SHS PROCTOR & GAMBLE	34,042.	89,714.		
1,000 SHS QUALCOMM INC	53,564.	74,250.		

800 SHS ROYAL DUTCH PETROL	40,764.	57,016.
400 SHS STERICYCLE INC	28,812.	46,468.
521 SHS SIMON PROPERTY GROUP	55,622.	79,275.
1,424 SHS SOUTHERN COMPANY	43,722.	58,541.
800 SHS STRYKER	33,383.	60,112.
1,931 SHS TORTOISE ENERGY	54,440.	92,051.
1,800 SHS VERIZON COMMUNICATIONS	50,196.	88,452.
600 SHS WAL-MART STORES INC	29,160.	47,214.
600 SHS WISDOMTREE TR INTL	0.	0.
169 SHS GENERAL ELECTRIC CAP PFD	0.	0.
1,000 SHS JP MORGAN CHASE PFD	0.	0.
500 SH PHILIP MORRIS	34,875.	43,565.
200 SH PHILIP MORRIS	16,568.	17,426.
500 SH ACCENTURE	28,560.	41,110.
800 SH EXPRESS SCRIPTS	44,170.	56,192.
600 SH NIKE	32,694.	47,184.
300 SH UNION PACIFIC	33,671.	50,400.
300 SH ALLERGAN INC	28,866.	33,324.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,222,144.	2,390,536.

FORM 990-PF

CORPORATE BONDS

STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
30,000 AMGEN INC	30,437.	30,816.
25,000 CISCO SYSTEMS	26,975.	27,503.
35,000 COSTCO WHOLESALE CORP.	37,275.	39,315.
50,000 GENERAL ELECTRIC CAP	0.	0.
50,000 GE CAP FINL	50,662.	50,715.
50,000 GE CAP RETAIL	0.	0.
50,000 GOLDMAN SACHS	50,497.	50,076.
50,000 GOLDMAN SACHS CD	0.	0.
35,000 PHILIP MORRIS INT'L	37,457.	35,466.
100,000 WELLS FARGO	100,010.	103,776.
50,000 FPL GROUP CAPITAL INC.	50,166.	51,328.
10,000 NAPA CA SOLID WASTE	0.	0.
50,000 DISCOVER BANK CD	49,725.	49,142.
50,000 GE CAPITAL BANK CD	50,958.	51,006.
30,000 PEPPERDINE UNIV	34,176.	33,115.
40,000 VERIZON COMMUNICATIONS	41,878.	42,864.
TOTAL TO FORM 990-PF, PART II, LINE 10C	560,216.	565,122.

FORM 990-PF	INTEREST AND PENALTIES	STATEMENT	8
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TAX DUE FROM FORM 990-PF, PART VI	512.
LATE PAYMENT INTEREST	4.
LATE PAYMENT PENALTY	8.
TOTAL AMOUNT DUE	524.

FORM 990-PF	LATE PAYMENT PENALTY	STATEMENT	9
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DESCRIPTION	DATE	AMOUNT	BALANCE	MONTHS	PENALTY
TAX DUE	05/15/14	512.	512.	3	8.
DATE FILED	08/15/14		512.		
TOTAL LATE PAYMENT PENALTY					8.

FORM 990-PF	LATE PAYMENT INTEREST	STATEMENT	10
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DESCRIPTION	DATE	AMOUNT	BALANCE	RATE	DAYS	INTEREST
TAX DUE	05/15/14	512.	512.	.0300	92	4.
DATE FILED	08/15/14		516.			
TOTAL LATE PAYMENT INTEREST						4.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 11
 TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MARVIN SMALLEY 963 STONE CANYON ROAD LOS ANGELES, CA 90077	PRESIDENT 1.00	0.	0.	0.
SONDRA SMALLEY 963 STONE CANYON ROAD LOS ANGELES, CA 90077	SECRETARY 1.00	0.	0.	0.
DEBRA SMALLEY 963 STONE CANYON ROAD LOS ANGELES, CA 90077	TRUSTEE 0.00	0.	0.	0.
JEFFREY SMALLEY 963 STONE CANYON ROAD LOS ANGELES, CA 90077	TRUSTEE 0.00	0.	0.	0.
SCOTT SMALLEY 963 STONE CANYON ROAD LOS ANGELES, CA 90077	TRUSTEE 0.00	0.	0.	0.
LORI SMALLEY SCHWARTZ 963 STONE CANYON ROAD LOS ANGELES, CA 90077	TRUSTEE 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF PART XV - LINE 1A STATEMENT 12
 LIST OF FOUNDATION MANAGERS

NAME OF MANAGER

MARVIN SMALLEY
SONDRA SMALLEY