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Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation

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- Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

2013

For calendar year 2013, or tax year beginning **, 2013, and ending**JOHN AND BEVERLY STAUFFER FOUNDATION  
333 SO. HOPE STREET, 43RD FLOOR  
LOS ANGELES, CA 90071

**G** Check all that apply:  Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

**H** Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

**I** Fair market value of all assets at end of year **(from Part II, column (c), line 16)** **J** Accounting method.  Cash  Accrual  
 Other (specify) **► \$ 5,059,822.** **(Part I, column (d) must be on cash basis)**

<b>A</b>	Employer identification number <b>95-2241406</b>
<b>B</b>	Telephone number (see the instructions) <b>(213) 617-4143</b>
<b>C</b>	If exemption application is pending, check here ► <input type="checkbox"/>
<b>D</b>	1 Foreign organizations, check here ► <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/>
<b>E</b>	If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/>
<b>F</b>	If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/>

<b>Part I</b> Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</i>		<b>(a)</b> Revenue and expenses per books	<b>(b)</b> Net investment income	<b>(c)</b> Adjusted net income	<b>(d)</b> Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc, received (att sch)					
2 Ck ► <input checked="" type="checkbox"/> if the foundn is not req to att Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities.		139,037.	139,037.	139,037.	
5a Gross rents.					
b Net rental income or (loss)		812,957.			
6a Net gain/(loss) from sale of assets not on line 10					
b Gross sales price for all assets on line 6a	4,717,254.				
7 Capital gain net income (from Part IV, line 2).			812,957.		
8 Net short-term capital gain				62,564.	
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold	89				
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule)		SEE STATEMENT 11	2,737.		
12 Total. Add lines 1 through 11	954,731.		951,994.	201,601.	8,862.
13 Compensation of officers, directors, trustees, etc.		17,725.	8,863.		
14 Other employee salaries and wages.					
15 Pension plans, employee benefits.					
16a Legal fees (attach schedule)	SEE ST 2	25,420.	12,710.		12,710.
b Accounting fees (attach sch)	SEE ST 3	5,500.			5,500.
c Other prof fees (attach sch)					
17 Interest					
18 Taxes (attach schedule)(see instrs)	SEE STM 4	11,970.	729.		
19 Depreciation (attach sch) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule)					
	SEE STATEMENT 5	16,123.	15,229.		894.
24 Total operating and administrative expenses. Add lines 13 through 23		76,738.	37,531.		27,966.
25 Contributions, gifts, grants paid PART XV		252,500.			252,500.
26 Total expenses and disbursements. Add lines 24 and 25		329,238.	37,531.	0.	280,466.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		625,493.			
b Net investment income (if negative, enter -0-)			914,463.		
c Adjusted net income (if negative, enter -0-)				201,601.	

614 22

<b>Part II</b> Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year		
			(a) Book Value	(b) Book Value	(c) Fair Market Value	
ASSETS	1	1 Cash – non-interest-bearing				
	2	2 Savings and temporary cash investments	281,141.	44,768.	44,768.	
	3	3 Accounts receivable				
		Less: allowance for doubtful accounts				
	4	4 Pledges receivable				
		Less: allowance for doubtful accounts				
	5	5 Grants receivable				
	6	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	7 Other notes and loans receivable (attach sch)				
		Less: allowance for doubtful accounts				
	8	8 Inventories for sale or use				
	9	9 Prepaid expenses and deferred charges	3,040.			
	10a	10a Investments – U S and state government obligations (attach schedule)	STATEMENT 6		4,020.	4,434.
	b	b Investments – corporate stock (attach schedule)	STATEMENT 7	2,545,748.	4,722,547.	4,979,572.
	c	c Investments – corporate bonds (attach schedule)		1,114,960.		
	11	11 Investments – land, buildings, and equipment: basis				
	Less: accumulated depreciation (attach schedule)					
12	12 Investments – mortgage loans					
13	13 Investments – other (attach schedule)	STATEMENT 8	207,533.	31,553.	31,048.	
14	14 Land, buildings, and equipment: basis					
	Less: accumulated depreciation (attach schedule)					
15	15 Other assets (describe ▶ SEE STATEMENT 9 )		14,664.	541.		
16	16 Total assets (to be completed by all filers – see the instructions Also, see page 1, item I)		4,167,086.	4,803,429.	5,059,822.	
LIABILITIES	17	17 Accounts payable and accrued expenses				
	18	18 Grants payable				
	19	19 Deferred revenue				
	20	20 Loans from officers, directors, trustees, & other disqualified persons				
	21	21 Mortgages and other notes payable (attach schedule)				
	22	22 Other liabilities (describe ▶ SEE STATEMENT 10 )			10,850.	
	23	23 Total liabilities (add lines 17 through 22)		0.	10,850.	
NET FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.					
	24	24 Unrestricted				
	25	25 Temporarily restricted				
	26	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			X		
	27	27 Capital stock, trust principal, or current funds		4,167,086.	4,792,579.	
	28	28 Paid-in or capital surplus, or land, building, and equipment fund				
	29	29 Retained earnings, accumulated income, endowment, or other funds				
	30	30 Total net assets or fund balances (see instructions)		4,167,086.	4,792,579.	
	31	31 Total liabilities and net assets/fund balances (see instructions)		4,167,086.	4,803,429.	

**Part III** Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,167,086.
2	Enter amount from Part I, line 27a	2	625,493.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	4,792,579.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	4,792,579.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)			(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE STATEMENT 11					
b					
c					
d					
e					
(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					(i) Gains (Column (h)) gain minus column (k), but not less than -0- or Losses (from column (h))
(i) Fair Market Value as of 12/31/69		(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)	[ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 ]			2	812,957.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	[ If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 ]			3	62,564.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	216,886.	4,937,380.	0.043927
2011	215,124.	4,646,992.	0.046293
2010	205,367.	4,591,946.	0.044723
2009	210,408.	3,987,958.	0.052761
2008	210,936.	4,619,200.	0.045665

2 Total of line 1, column (d)	2	0.233369
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.046674
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	4,859,192.
5 Multiply line 4 by line 3	5	226,798.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	9,145.
7 Add lines 5 and 6	7	235,943.
8 Enter qualifying distributions from Part XII, line 4	8	280,466.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

**Part VI** **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

## Part VII-A Statements Regarding Activities

**1 a** During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

**b** Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?

*If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*

**c** Did the foundation file **Form 1120-POL** for this year?

**d** Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year.  
(1) On the foundation ► \$ 0. (2) On foundation managers ► \$ 0

**e** Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ 0.

**2** Has the foundation engaged in any activities that have not previously been reported to the IRS?  
*If 'Yes,' attach a detailed description of the activities.*

**3** Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*

**4 a** Did the foundation have unrelated business gross income of \$1,000 or more during the year?  
**b** If 'Yes,' has it filed a tax return on **Form 990-T** for this year?

**5** Was there a liquidation, termination, dissolution, or substantial contraction during the year?  
*If 'Yes,' attach the statement required by General Instruction T.*

**6** Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either.

- By language in the governing instrument, or
- By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

**7** Did the foundation have at least \$5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*

**8 a** Enter the states to which the foundation reports or with which it is registered (see instructions) ►

CA

**b** If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If 'No,' attach explanation

**9** Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If 'Yes,' complete Part XIV

**10** Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses

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**Part VII-A Statements Regarding Activities (continued)**

11		X
12		X
13	X	
Website address ► N/A		
14	Telephone no. ► (213) 620-1780	
Located at ► 333 S. HOPE STREET, 43RD FLOOR LOS ANGELES C ZIP + 4 ► 90071-1448		
15	N/A ► 15	N/A
16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22 1. If 'Yes,' enter the name of the foreign country ►		

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.		
1 a	During the year did the foundation (either directly or indirectly):	
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	
Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).	
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If 'Yes,' list the years ► 20 __ , 20 __ , 20 __ , 20 __		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20 __ , 20 __ , 20 __ , 20 __	
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5 a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?
- (3) Provide a grant to an individual for travel, study, or other similar purposes?
- (4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

 Yes  No Yes  No  
 Yes  No Yes  No  
 Yes  Nob If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here N/A  Yes  No

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

 Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

 Yes  No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

5b N/A

6b X

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12		17,725.	0.	0.
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-----				
-----				
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**2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE'.**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
-----				
-----				
-----				
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-----				
-----				

Total number of other employees paid over \$50,000

0

**Part VII: Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3. Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

## Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

organizations and other beneficiaries served, conferences convened, research papers produced, etc	
1	<u>N/A</u>
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

1	<u>N/A</u>	
2		

All other program-related investments See instructions.

3 \_\_\_\_\_  
\_\_\_\_\_  
Total. Add lines 1 through 3 ► 0.

**BAA**

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

- 1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:
  - a Average monthly fair market value of securities
  - b Average of monthly cash balances
  - c Fair market value of all other assets (see instructions)
  - d **Total** (add lines 1a, b, and c)
  - e Reduction claimed for blockage or other factors reported on lines 1a and 1c  
(attach detailed explanation)
- 2 Acquisition indebtedness applicable to line 1 assets
- 3 Subtract line 2 from line 1d
- 4 Cash deemed held for charitable activities Enter 1-1/2% of line 3  
(for greater amount, see instructions)
- 5 **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4
- 6 **Minimum investment return.** Enter 5% of line 5

1a	4,219,806.
1b	713,384.
1c	
1d	4,933,190.
1e	0.
2	0.
3	4,933,190.
4	73,998.
5	4,859,192.
6	242,960.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part.)

- 1 Minimum investment return from Part X, line 6
- 2a Tax on investment income for 2013 from Part VI, line 5
- b Income tax for 2013 (This does not include the tax from Part VI)
- c Add lines 2a and 2b
- 3 Distributable amount before adjustments. Subtract line 2c from line 1
- 4 Recoveries of amounts treated as qualifying distributions
- 5 Add lines 3 and 4
- 6 Deduction from distributable amount (see instructions)
- 7 **Distributable amount as adjusted** Subtract line 6 from line 5 Enter here and on Part XIII, line 1

1	242,960.
2a	9,145.
2b	
2c	9,145.
3	233,815.
4	
5	233,815.
6	
7	233,815.

**Part XII Qualifying Distributions** (see instructions)

- 1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes
  - a Expenses, contributions, gifts, etc – total from Part I, column (d), line 26
  - b Program-related investments – total from Part IX-B
- 2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes
- 3 Amounts set aside for specific charitable projects that satisfy the
  - a Suitability test (prior IRS approval required)
  - b Cash distribution test (attach the required schedule)
- 4 **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4
- 5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)
- 6 **Adjusted qualifying distributions.** Subtract line 5 from line 4

1a	280,466.
1b	
2	
3a	
3b	
4	280,466.
5	9,145.
6	271,321.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7.				233,815.
2 Undistributed income, if any, as of the end of 2013:			169,223.	
a Enter amount for 2012 only		0.		
b Total for prior years: 20 ___, 20 ___, 20 ___,				
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 280,466.			169,223.	
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2013 distributable amount				111,243.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount – see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount – see instructions			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				122,572.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

**Part XIV. Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

**Part XV** **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

## 1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

**NONE**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include:

**c Any submission deadlines:**

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** **Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
SEE STATEMENT 13				
<b>Total</b>			► 3a	252,500.
<i>b Approved for future payment</i>				
<b>Total</b>			► 3b	

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
<b>1</b>	<b>Program service revenue:</b>					
a						
b						
c						
d						
e						
f						
<b>g</b>	<b>Fees and contracts from government agencies</b>					
<b>2</b>	<b>Membership dues and assessments</b>					
<b>3</b>	<b>Interest on savings and temporary cash investments</b>					
<b>4</b>	<b>Dividends and interest from securities</b>					<b>139,037.</b>
<b>5</b>	<b>Net rental income or (loss) from real estate:</b>					
a	Debt-financed property					
b	Not debt-financed property					
<b>6</b>	<b>Net rental income or (loss) from personal property</b>					
<b>7</b>	<b>Other investment income</b>					<b>2,737.</b>
<b>8</b>	<b>Gain or (loss) from sales of assets other than inventory</b>					<b>812,957.</b>
<b>9</b>	<b>Net income or (loss) from special events</b>					
<b>10</b>	<b>Gross profit or (loss) from sales of inventory</b>					
<b>11</b>	<b>Other revenue:</b>					
a						
b						
c						
d						
e						
<b>12</b>	<b>Subtotal Add columns (b), (d), and (e)</b>					<b>954,731.</b>
<b>13</b>	<b>Total. Add line 12, columns (b), (d), and (e)</b>					<b>954,731.</b>

(See worksheet in line 13 instructions to verify calculations )

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of:

- (1) Cash . . .
- (2) Other assets

**b Other transactions:**

- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets
- (4) Reimbursement arrangements.
- (5) Loans or loan guarantees
- (6) Performance of services or membership or fundraising solicitation

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees

	Yes	No
1 a (1)		X
1 a (2)		X
1 b (1)		X
1 b (2)		X
1 b (3)		X
1 b (4)		X
1 b (5)		X
1 b (6)		X
1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes  No

**b** If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

**Sign Here** *Lawrence V. Young Jr.* | 5.13.14 ► **TREASURER**  
Signature of officer or trustee Date Title  
May the IRS discuss this return with the preparer shown below (see instructions?)  Yes  No

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	DAVID E. RUTT	DAVID E. RUTT	8/8/14		P00122960
	Firm's name	DORFMAN & RUTT, LLP			Firm's EIN ► 20-1699405
	Firm's address	6420 WILSHIRE BLVD STE 800 LOS ANGELES, CA 90048			Phone no (323) 934-1100

BAA

## Form 990-PF (2013)

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**STATEMENT 1**  
**FORM 990-PF, PART I, LINE 11**  
**OTHER INCOME**

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
OTHER INVESTMENT INCOME	\$ 2,737.	\$ 0.	\$ 0.
TOTAL	\$ 2,737.	\$ 0.	\$ 0.

**STATEMENT 2**  
**FORM 990-PF, PART I, LINE 16A**  
**LEGAL FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
SHEPPARD, MULLIN	\$ 25,420.	\$ 12,710.	\$ 0.	\$ 12,710.
TOTAL	\$ 25,420.	\$ 12,710.	\$ 0.	\$ 12,710.

**STATEMENT 3**  
**FORM 990-PF, PART I, LINE 16B**  
**ACCOUNTING FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DORFMAN & RUTT	\$ 5,500.	\$ 0.	\$ 0.	\$ 5,500.
TOTAL	\$ 5,500.	\$ 0.	\$ 0.	\$ 5,500.

**STATEMENT 4**  
**FORM 990-PF, PART I, LINE 18**  
**TAXES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAXES	\$ 11,181.	\$ 729.	\$ 729.	
FOREIGN TAXES PAID				
STATE FEES	60.			
TOTAL	\$ 11,970.	\$ 729.	\$ 0.	\$ 0.

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## FEDERAL STATEMENTS

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JOHN AND BEVERLY STAUFFER FOUNDATION

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**STATEMENT 5**  
**FORM 990-PF, PART I, LINE 23**  
**OTHER EXPENSES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADVISORY FEES	\$ 14,334.	\$ 14,334.		
MEETING EXPENSE	1,380.	690.	\$ 690.	
OFFICE	373.	187.		186.
POSTAGE	36.	18.		18.
<b>TOTAL</b>	<b>\$ 16,123.</b>	<b>\$ 15,229.</b>	<b>\$ 0.</b>	<b>\$ 894.</b>

**STATEMENT 6**  
**FORM 990-PF, PART II, LINE 10A**  
**INVESTMENTS - U.S. AND STATE GOVERNMENT OBLIGATIONS**

STATE/MUNICIPAL OBLIGATIONS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
CA ST GO BAB BE 5.95% 4-01-16	COST	\$ 4,020.	\$ 4,434.
		\$ 4,020.	\$ 4,434.
	<b>TOTAL</b>	<b>\$ 4,020.</b>	<b>\$ 4,434.</b>

**STATEMENT 7**  
**FORM 990-PF, PART II, LINE 10B**  
**INVESTMENTS - CORPORATE STOCKS**

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
AMERICAN HIGH-INCOME TRUST CL F2	COST	\$ 235,000.	\$ 233,118.
BOND FUND OF AMERICA CL F2	COST	475,000.	461,597.
CAPITAL GROUP GLOBAL EQUITY FUND	COST	685,000.	784,095.
CAPITAL INCOME BUILDER CL F2	COST	1,910,000.	1,995,061.
INCOME FUND OF AMERICA CL F2	COST	1,185,000.	1,259,741.
NEW WORLD FUND CL F2	COST	232,547.	245,960.
	<b>TOTAL</b>	<b>\$ 4,722,547.</b>	<b>\$ 4,979,572.</b>

**STATEMENT 8**  
**FORM 990-PF, PART II, LINE 13**  
**INVESTMENTS - OTHER**

OTHER INVESTMENTS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
DIVIDEND & INTEREST RECEIVABLE	COST	\$ 0.	0.
INVESTMENT IN PARTNERSHIP	COST	31,553.	31,048.
	<b>TOTAL</b>	<b>\$ 31,553.</b>	<b>\$ 31,048.</b>

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**STATEMENT 9**  
**FORM 990-PF, PART II, LINE 15**  
**OTHER ASSETS**

	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
ORGANIZATION EXPENSE	\$ 541.	\$ 541.
<b>TOTAL</b>	<b>\$ 541.</b>	<b>0.</b>

**STATEMENT 10**  
**FORM 990-PF, PART II, LINE 22**  
**OTHER LIABILITIES**

EXCISE TAXES PAYABLE	\$ 7,109.
INVESTMENT FEES PAYABLE	\$ 3,741.
<b>TOTAL</b>	<b>\$ 10,850.</b>

**STATEMENT 11**  
**FORM 990-PF, PART IV, LINE 1**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED		(C) DATE ACQUIRED		(D) DATE SOLD		
		PURCHASED	VARIOUS	PURCHASED	VARIOUS	PURCHASED	VARIOUS	
1	CAPITAL GROUP	PURCHASED	VARIOUS	PURCHASED	VARIOUS	PURCHASED	VARIOUS	
2	CAPITAL GROUP	PURCHASED	VARIOUS	PURCHASED	VARIOUS	PURCHASED	4/01/2013	
3	MORGAN STANLEY - 098792	PURCHASED	VARIOUS	PURCHASED	VARIOUS	PURCHASED	VARIOUS	
4	MORGAN STANLEY - 098792	PURCHASED	VARIOUS	PURCHASED	VARIOUS	PURCHASED	1/15/2013	
5	MORGAN STANLEY - 098793	PURCHASED	VARIOUS	PURCHASED	VARIOUS	PURCHASED	VARIOUS	
6	MORGAN STANLEY - 100639	PURCHASED	VARIOUS	PURCHASED	VARIOUS	PURCHASED	VARIOUS	
7	MORGAN STANLEY - 100639	PURCHASED	VARIOUS	PURCHASED	VARIOUS	PURCHASED	VARIOUS	
ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	4203528.		3456574.	746,954.				\$ 746,954.
2	62,772.		0.	62,772.				62,772.
3	65,180.		64,923.	257.				257.
4	3,260.		3,505.	-245.				-245.
5	368,458.		365,454.	3,004.				3,004.
6	13,564.		13,386.	178.				178.
7	492.		455.	37.				37.
								TOTAL \$ 812,957.

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## FEDERAL STATEMENTS

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**STATEMENT 12**  
**FORM 990-PF, PART VIII, LINE 1**  
**LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES**

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN-SATION	CONTRI-BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
CAROLINE BARTLESON 1901 W BRADLEY PLACE #2 CHICAGO, IL 60613	DIRECTOR 1.00	\$ 1,500.	\$ 0.	\$ 0.
WINIFRED H. RUTTER 31330 BROAD BEACH ROAD MALIBU, CA 90265	SECRETARY 1.00	2,500.	0.	0.
BEN A. SCHUCK, III 120 NORTH TENTH STREET SANTA PAULA, CA 93060-2803	VICE PRESIDENT 1.00	2,500.	0.	0.
LESLIE S. BARTLESON 78-365 HIGHWAY 111, PMB 321 LA QUINTA, CA 92253	VICE PRESIDENT 1.00	3,180.	0.	0.
BROOKE S. TINNEY P.O. BOX 1120 CARDIFF, CA 92007	PRESIDENT 1.00	3,045.	0.	0.
LAURENCE K. GOULD, JR. 333 S. HOPE STREET, 43RD FLOOR LOS ANGELES, CA 90071	TREASURER 4.00	5,000.	0.	0.
TOTAL		\$ 17,725.	\$ 0.	\$ 0.

**STATEMENT 13**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
GOOD SHEPHERD CENTER 267 N. BELMONT AVE LOS ANGELES, CA 90026	NONE	N/A	GENERAL OPERATIONS	\$ 5,000.
LAS PATRONAS PO BOX 986 LA JOLLA, CA 92038	NONE	N/A	GENERAL OPERATIONS	5,500.
MIDNIGHT MISSION 396 S. LOS ANGELES STREET LOS ANGELES, CA 90013	NONE	N/A	GENERAL OPERATIONS	3,000.

JOHN AND BEVERLY STAUFFER FOUNDATION

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**STATEMENT 13 (CONTINUED)**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
LOS ANGELES OPERA 135 NORTH GRAND AVENUE LOS ANGELES, CA 90012	NONE	N/A	GENERAL OPERATIONS	\$ 10,000.
SALVATION ARMY PO BOX 15899, DEL VALLE LOS ANGELES, CA 90015	NONE	N/A	GENERAL OPERATIONS	3,000.
SANTA BARBARA TRUST PO BOX 388 SANTA BARBARA, CA 93103	NONE	N/A	GENERAL OPERATIONS	10,000.
HELEN WOODWARD ANIMAL CEN 6461 EL APAJO ROAD RANCHO SANTA FE, CA 92067	NONE	N/A	GENERAL OPERATIONS	20,000.
ZOOLOGICAL SOCIETY OF S.D P.O. BOX 551 SAN DIEGO, CA 92112	NONE	N/A	GENERAL OPERATIONS	15,000.
L.A. MASTER CHORALE 135 N. GRAND AVENUE LOS ANGELES, CA 90012	NONE	N/A	GENERAL OPERATIONS	6,000.
S.B. MISSION ARCHIVE 2201 LAGUNA STREET SANTA BARBARA, CA 93105	NONE	N/A	GENERAL OPERATIONS	10,000.
BOYS & GIRLS CLUB OF SANTA CLA 806 RAILROAD AVE. SANTA PAULA, CA 93060	NONE	N/A	GENERAL OPERATIONS	12,000.
CA STATE SUMMER SCH. ARTS 2047 CENTURY PARK E., 12F LOS ANGELES, CA 90067	NONE	N/A	GENERAL OPERATIONS	5,000.
CA STATE UNIVERSITY FOUND 401 GOLDEN SHORE LONG BEACH, CA 90802	NONE	N/A	GENERAL OPERATIONS	9,000.
L.A. CHAMBER ORCHESTRA 611 W. SIXTH ST., STE 271 LOS ANGELES, CA 90017	NONE	N/A	GENERAL OPERATIONS	5,000.
ONEGENERATION 17400 VICTORY BLVD. VAN NUYS, CA 91406	NONE	N/A	GENERAL OPERATIONS	5,000.
PEPPERDINE UNIVERSITY 24255 PACIFIC COAST HWY MALIBU, CA 90263	NONE	N/A	GENERAL OPERATIONS	10,000.

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**STATEMENT 13 (CONTINUED)**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
AUTISM SOCIETY, N. COUNTY CHAP P.O. BOX 131161 CARLSBAD, CA 92013	NONE	N/A	GENERAL OPERATIONS	\$ 6,000.
SB MUSEUM OF NATURAL HISTORY 2559 PUESTA DEL SOL ROAD SANTA BARBARA, CA 93105	NONE	N/A	GENERAL OPERATIONS	10,000.
CHARMLEE NATURE PRESERVE FOUND P.O. BOX 6586 MALIBU, CA 90264	NONE	N/A	GENERAL OPERATIONS	5,000.
THE NATURE OF WILDWORKS P.O. BOX 109 TOPANGA, CA 90290	NONE	N/A	GENERAL OPERATIONS	5,000.
OJAI FESTIVALS, LTD P.O. BOX 185 OJAI, CA 93024	NONE	N/A	GENERAL OPERATIONS	5,000.
VENTURA COUNTY ARTS COUNCIL 646 COUNTY SQUARE DRIVE, STE 1 VENTURA, CA 93003	NONE	N/A	GENERAL OPERATIONS	5,000.
CALIFORNIA MISSIONS FOUNDATION 4129 MAIN ST., SUITE 207 RIVERSIDE, CA 92501	NONE	N/A	GENERAL OPERATIONS	20,000.
WILL POWER TO YOUTH P.O. BOX 575 OJAI, CA 93024	NONE	N/A	GENERAL OPERATIONS	5,000.
COLBURN SCHOOL OF PERF. ARTS 200 SOUTH GRAND AVENUE LOS ANGELES, CA 90012	NONE	N/A	GENERAL OPERATIONS	6,500.
EASTERN SIERRA FOUNDATION POST OFFICE BOX 216 BISHOP, CA 93515	NONE	N/A	GENERAL OPERATIONS	10,000.
PARTNERSHIP SCHOLARS P.O. BOX 361 LITTLE RIVER, CA 95456	NONE	N/A	GENERAL OPERATIONS	5,000.

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**STATEMENT 13 (CONTINUED)**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
COACHELLA VALLEY RESCUE MISSION 47-518 VAN BUREN/PO BOX 10660 INDIO, CA 92202	NONE	N/A	GENERAL OPERATIONS	\$ 5,000.
ROSEMONT ELEMENTARY SCHOOL 421 NORTH ROSEMONT AVENUE LOS ANGELES, CA 90026	NONE	N/A	GENERAL OPERATIONS	1,500.
LA COSTA CANYON HIGH SCHOOL FDN ONE MAVERICK WAY CARLSBAD, CA 92009	NONE	N/A	GENERAL OPERATIONS	5,000.
VERDUGO YOUNG MUSICIANS ASSOCIATION P.O. BOX 8343 LA CRESCENTA, CA 91224	NONE	N/A	GENERAL OPERATIONS	10,000.
UCLA BROAD CNT FOR REGENERATIVE MEDICINE 610 CHARLES E. YOUNG DRIVE, SOUTH LOS ANGELES, CA 90095	NONE	N/A	GENERAL OPERATIONS	5,000.
ARROYOS & FOOTHILLS CONSERVANCY 301 EAST COLORADO BLVD, SUITE 320 PASADENA, CA 91101	NONE	N/A	GENERAL OPERATIONS	5,000.
PASADENA EDUCATIONAL FOUNDATION 351 SOUTH HUDSON PASADENA, CA 91109	NONE	N/A	GENERAL OPERATIONS	5,000.
TOTAL				<u>\$ 252,500.</u>

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**FEDERAL SUPPLEMENTAL INFORMATION**

**PAGE 1**

**JOHN AND BEVERLY STAUFFER FOUNDATION**

**95-2241406**

PART VII-B  
LINE 1 A (3)  
LINE 1 A (4)

LEGAL FEES ARE PAID TO THE LAW FIRM OF SHEPPARD, MULLIN, RICHTER AND HAMPTON, L.L.P. FOR LEGAL AND ADMINISTRATIVE SERVICES REQUIRED DURING THE YEAR. ONE OF THE TRUSTEES OF THE FOUNDATION IS OF COUNSEL TO THE FIRM. THE FEES PAID ARE REASONABLE AND NECESSARY FOR THE FOUNDATION TO CARRY ON ITS EXEMPT PURPOSES AND, THEREFORE, ARE NOT CONSIDERED ACTS OF SELF DEALING.