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Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

2013

Department of the Treasury
Internal Revenue Service

- ▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2013, or tax year beginning , 2013, and ending ,

HARDEN FOUNDATION
P.O. BOX 779
SALINAS, CA 93902

A Employer identification number
94-6098887

B Telephone number (see the instructions)
(831) 442-3005

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ☐ Accounting method: ☐ Cash ☒ Accrual
▶ \$ 61,520,070. (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (att sch)			
2 Ck ☒ if the foundn is not req to att Sch B			
3 Interest on savings and temporary cash investments	131.	131.	
4 Dividends and interest from securities	811,617.	811,617.	
5a Gross rents	100,268.	100,268.	
b Net rental income or (loss) 61,784.			
6a Net gain/(loss) from sale of assets not on line 10	2,007,707.		
b Gross sales price for all assets on line 6a 11,844,956.			
7 Capital gain net income (from Part IV, line 2)	2,007,707.		
8 Net short-term capital gain			
9 Income modifications			
10a Gross sales less returns and allowances			
b Less Cost of goods sold			
c Gross profit/(loss) (att sch)			
11 Other income (attach schedule) SEE STATEMENT 1	9,114,800.		
12 Total. Add lines 1 through 11	12,034,523.	2,919,723.	0.
13 Compensation of officers, directors, trustees, etc.	227,291.	107,110.	120,182.
14 Other employee salaries and wages	108,264.		108,264.
15 Pension plans, employee benefits	267,505.	68,885.	196,228.
16a Legal fees (attach schedule) SEE ST 2	195.	65.	130.
b Accounting fees (attach sch) SEE ST 3	52,375.	26,188.	26,188.
c Other professional fees (attach sch) SEE ST 4	424,320.	424,320.	
17 Interest			
18 Fees (attach schedule) (see instrs) SEE ST 5	235,681.	3,432.	3,727.
19 Depreciation (attach sch) and depletion	174,157.	7,359.	
20 Occupancy	36,158.	9,581.	26,061.
21 Travel, conferences, and meetings	9,323.	4,115.	5,413.
22 Printing and publications	3,330.	226.	3,104.
23 Other expenses (attach schedule) SEE STATEMENT 6	191,960.	49,603.	135,536.
24 Total operating and administrative expenses. Add lines 13 through 23	1,730,559.	700,884.	624,833.
25 Contributions, gifts, grants paid PART XV	2,357,768.		2,357,768.
26 Total expenses and disbursements. Add lines 24 and 25	4,088,327.	700,884.	0.
27 Subtract line 26 from line 12			2,982,601.
a Excess of revenue over expenses and disbursements	7,946,196.		
b Net investment income (if negative, enter 0-)		2,218,839.	
c Adjusted net income (if negative, enter 0-)		0.	

SCANNED AUG 27 2014

ADMINISTRATIVE AND OPERATING EXPENSES

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AUG 25 2014
SALINAS, UT

S 914

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash – non-interest-bearing		64,680.	59,610.	59,610.
	2	Savings and temporary cash investments		1,688,493.	1,090,746.	1,090,746.
	3	Accounts receivable	20,207.			
		Less: allowance for doubtful accounts		21,941.	20,207.	20,207.
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach sch)				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges		21,373.	13,503.	13,503.
	10a	Investments – U.S. and state government obligations (attach schedule)		1,423,573.	1,345,649.	1,345,649.
	b	Investments – corporate stock (attach schedule)		36,655,454.	45,647,686.	45,647,686.
	c	Investments – corporate bonds (attach schedule)		1,191,309.	966,888.	966,888.
	11	Investments – land, buildings, and equipment basis				
	Less: accumulated depreciation (attach schedule)					
12	Investments – mortgage loans					
13	Investments – other (attach schedule)					
14	Land, buildings, and equipment basis	8,107,089.				
	Less: accumulated depreciation (attach schedule)	SEE STMT 7	2,103,637.	6,162,467.	6,003,452.	12,372,456.
15	Other assets (describe SEE STATEMENT 8)		14,734.	3,325.	3,325.	
16	Total assets (to be completed by all filers – see the instructions. Also, see page 1, item I)		47,244,024.	55,151,066.	61,520,070.	
LIABILITIES	17	Accounts payable and accrued expenses		32,084.	66,833.	
	18	Grants payable		461,115.	150,000.	
	19	Deferred revenue		32,876.	36,157.	
	20	Loans from officers, directors, trustees, & other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe SEE STATEMENT 9)		761,045.	994,976.	
	23	Total liabilities (add lines 17 through 22)		1,287,120.	1,247,966.	
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ☒					
	24	Unrestricted		45,956,904.	53,903,100.	
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☐					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, building, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see instructions)		45,956,904.	53,903,100.	
	31	Total liabilities and net assets/fund balances (see instructions)		47,244,024.	55,151,066.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year– Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	45,956,904.
2	Enter amount from Part I, line 27a	2	7,946,196.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	53,903,100.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)– Part II, column (b), line 30	6	53,903,100.

Part IV Capital Gains and Losses **Part V Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)

(b) How acquired
P — Purchase
D — Donation(c) Date acquired
(month, day, year)(d) Date sold
(month, day, year)**1 a SCHEDULE ATTACHED**

P

VARIOUS

VARIOUS

b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 11,844,956.		9,837,249.	2,007,707.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a			2,007,707.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss).If gain, also enter in Part I, line 7
If (loss), enter -0- in Part I, line 7

2

2,007,707.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0-
in Part I, line 8

3

0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	2,612,221.	46,494,788.	0.056183
2011	3,392,450.	48,579,055.	0.069834
2010	2,597,607.	46,637,335.	0.055698
2009	2,562,699.	42,792,399.	0.059887
2008	4,522,238.	52,510,119.	0.086121

2 Total of line 1, column (d)

2

0.327723

3 Average distribution ratio for the 5-year base period— divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3

0.065545

4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5

4

50,241,405.

5 Multiply line 4 by line 3

5

3,293,073.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6

22,188.

7 Add lines 5 and 6

7

3,315,261.

8 Enter qualifying distributions from Part XII, line 4

8

2,996,367.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948— see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary— see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here. ☐ and enter 1% of Part I, line 27b		1	44,377.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	44,377.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	44,377.
6 Credits/Payments			
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a	47,702.	
b Exempt foreign organizations— tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c	20,000.	
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	67,702.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	23,325.	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax	11	23,325.	Refunded

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes.</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) CA		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes', attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address HTTP://WWW.HARDENFOUNDATION.ORG	13	X	
14	The books are in care of JOSEPH C. GRAINGER Telephone no. (831) 442-3005 Located at 1636 ERCIA STREET SALINAS CA ZIP + 4 93906			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here N/A and enter the amount of tax-exempt interest received or accrued during the year 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If 'Yes,' list the years 20__ , 20__ , 20__ , 20__		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement— see instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20__ , 20__ , 20__ , 20__		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b N/A

Organizations relying on a current notice regarding disaster assistance check here.

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b X

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7 b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		227,291.	123,649.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARIA HOLGUIN-GUERRERO 1636 ERCIA ST. SALINAS, CA 93906	PROG OFFICER 40	82,468.	78,969.	0.

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
UBS FINANCIAL SERVICES, INC. 200 CLOCK TOWER PLACE, SUITE C-100 CARMEL, CA 93923-8680	INVESTMENT MANAGER	318,024.
REIK 1540 INTERNATIONAL PARKWAY, # 2000 LAKE MARY, FL 32746	INVESTMENT MANAGER	106,294.
ROLLIE HAAS MASTER LANDSCAPES P.O. BOX 2208 SALINAS, CA 93902	YARD MAINTENANCE	58,204.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 SEE STATEMENT 11	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1 a	43,682,057.
b	Average of monthly cash balances	1 b	1,403,392.
c	Fair market value of all other assets (see instructions)	1 c	5,921,054.
d	Total (add lines 1a, b, and c)	1 d	51,006,503.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	51,006,503.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	765,098.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	50,241,405.
6	Minimum investment return Enter 5% of line 5	6	2,512,070.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,512,070.
2 a	Tax on investment income for 2013 from Part VI, line 5	2 a	44,377.
b	Income tax for 2013 (This does not include the tax from Part VI)	2 b	
c	Add lines 2a and 2b	2 c	44,377.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,467,693.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	2,467,693.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,467,693.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.— total from Part I, column (d), line 26	1 a	2,982,601.
b	Program-related investments— total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	13,766.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,996,367.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	2,996,367.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				2,467,693.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years 20 , 20 , 20		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008 2,333,499.				
b From 2009 427,037.				
c From 2010 285,598.				
d From 2011 996,657.				
e From 2012 309,359.				
f Total of lines 3a through e	4,352,150.			
4 Qualifying distributions for 2013 from Part XII, line 4: \$ 2,996,367.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2013 distributable amount				2,467,693.
e Remaining amount distributed out of corpus	528,674.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	4,880,824.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a. Taxable amount – see instructions			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	2,333,499.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	2,547,325.			
10 Analysis of line 9				
a Excess from 2009 427,037.				
b Excess from 2010 285,598.				
c Excess from 2011 996,657.				
d Excess from 2012 309,359.				
e Excess from 2013 528,674.				

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income**

[illegible]

Form 990-PF (2013)

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SCHEDULE ATTACHED ,	NOT APPLICABLE	PUBLIC	SCHEDULE ATTACHED	2,673,768.
Total			3 a	2,673,768.
b Approved for future payment SEE STATEMENT 13				
Total			3 b	150,000.

HARDEN FOUNDATION

94-6098887

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
FMV ADJUSTMENT-SECURITIES			
TOTAL	\$ 9,114,800.	\$ 0.	\$ 0.

STATEMENT 2
FORM 990-PF, PART I, LINE 16A
LEGAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTTONE, LEACH, OLSEN & RAY LLP	\$ 195.	\$ 65.		\$ 130.
TOTAL	\$ 195.	\$ 65.	\$ 0.	\$ 130.

STATEMENT 3
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
KOKJER, PIEROTTI, MAIOCCO & DUCK LLP	\$ 37,875.	\$ 18,938.		\$ 18,938.
RYAN & MCDONALD	14,500.	7,250.		7,250.
TOTAL	\$ 52,375.	\$ 26,188.	\$ 0.	\$ 26,188.

STATEMENT 4
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
REIK-INVESTMENT MANAGEMENT FEES	\$ 106,294.	\$ 106,294.		
UBS - INVESTMENT MANAGEMENT	318,026.	318,026.		
TOTAL	\$ 424,320.	\$ 424,320.	\$ 0.	\$ 0.

HARDEN FOUNDATION

94-6098887

STATEMENT 5
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
2013 EXCISE TAX -INVESTMENT INCOME	\$ 228,340.			
ATTORNEY GENERAL	150.			\$ 150.
EDD ASSESSMENT	182.			
LICENSES	125.			125.
REAL ESTATE TAXES	39,416.	\$ 35,964.		3,452.
REAL ESTATE TAXES-RENTAL ALLOCATION				
	-32,532.	-32,532.		
TOTAL	\$ 235,681.	\$ 3,432.	\$ 0.	\$ 3,727.

STATEMENT 6
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ALARM EXPENSES	\$ 1,751.	\$ 38.		\$ 1,713.
BANK CHARGES	119.	44.		75.
DISPOSAL HOUSE	470.			470.
DUES & SUBSCRIPTIONS	2,592.	105.		2,486.
GRANT EXPENSE	4,885.			
HOUSE SUPPLIES	552.			552.
INSURANCE	25,266.	12,461.		12,633.
INSURANCE REIMBURSEMENTS & OTHER	-836.	-215.		-621.
INSURANCE-RENTAL ALLOCATION	-5,952.	-5,952.		
OFFICE EXPENSES	3,158.	368.		2,790.
OFFICE SUPPLIES	5,924.	1,692.		4,205.
RENTAL EXPENSES	38,484.	38,484.		
REPAIRS & MAINTENANCE	92,971.	2,153.		90,817.
SALINAS VALLEY MEMORIAL HOSP.-FLOWERS	2,602.			2,484.
STATE COMPENSATION INSURANCE	5,149.	425.		4,824.
UTILITIES-HOUSE	14,825.			13,108.
TOTAL	\$ 191,960.	\$ 49,603.	\$ 0.	\$ 135,536.

STATEMENT 7
FORM 990-PF, PART II, LINE 14
LAND, BUILDINGS, AND EQUIPMENT

CATEGORY	BASIS	ACCUM. DEPREC.	BOOK VALUE	FAIR MARKET VALUE
FURNITURE AND FIXTURES	\$ 275,607.	\$ 259,257.	\$ 16,350.	\$ 16,351.
IMPROVEMENTS	6,440,485.	1,844,380.	4,596,105.	4,596,105.
LAND	1,390,997.		1,390,997.	7,760,000.
TOTAL	\$ 8,107,089.	\$ 2,103,637.	\$ 6,003,452.	\$ 12,372,456.

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HARDEN FOUNDATION

94-6098887

7/31/14

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STATEMENT 8
FORM 990-PF, PART II, LINE 15
OTHER ASSETS

	BOOK VALUE	FAIR MARKET VALUE
EXCISE TAX OVERPAYMENT.....	\$ 3,325.	\$ 3,325.
TOTAL	<u>\$ 3,325.</u>	<u>\$ 3,325.</u>

STATEMENT 9
FORM 990-PF, PART II, LINE 22
OTHER LIABILITIES

RANCH 4 SALES DEPOSIT.....	\$ 600,000.
DEFERRED EXCISE TAX	394,976.
TOTAL	<u>\$ 994,976.</u>

STATEMENT 10
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
LINDA TAYLOR 911-B BLANCO CIRCLE SALINAS, CA 93901	SECRETARY VARIABLE	\$ 0.	\$ 0.	\$ 0.
LYDIA M. TORRES 310 THIRD STREET SOLEDAD, CA 93960	NON-VOTING/NBM 40.00	65,358.	47,130.	0.
PATRICIA TYNAN CHAPMAN 14540 CAMPO DELL CIELO SALINAS, CA 93908	PRESIDENT VARIABLE	0.	0.	0.
C. BILL ELLIOT 26001 BUCKS RUN SALINAS, CA 93908	VICE PRES/TREAS VARIABLE	0.	0.	0.
JOSEPH C. GRAINGER 19667 WOODCREST DRIVE SALINAS, CA 93908	EXEC. DIRECTOR 40.00	161,933.	76,519.	0.
MIKE ANTLE P.O. BOX 4070 SALINAS, CA 93912	DIRECTOR VARIABLE	0.	0.	0.
TOTAL		<u>\$ 227,291.</u>	<u>\$ 123,649.</u>	<u>\$ 0.</u>

HARDEN FOUNDATION

94-6098887

STATEMENT 11
FORM 990-PF, PART IX-A, LINE 1
SUMMARY OF DIRECT CHARITABLE ACTIVITIES

DIRECT CHARITABLE ACTIVITIES

EXPENSES

THE HARDEN FOUNDATION IS NOT INVOLVED IN THE DIRECT ACTIVE CONDUCT OF CHARITABLE ACTIVITIES. THE FOUNDATION PROVIDES SUPPORT TO 501(C)(3) ORGANIZATIONS WITH A DEMONSTRATED ABILITY TO PROVIDE MEANINGFUL AND MEASURABLE ONGOING BENEFITS TO THE PEOPLE OF MONTEREY COUNTY, CALIFORNIA. AFTER GRANTING SUPPORT, HARDEN FOUNDATION DOES NOT MAINTAIN SIGNIFICANT INVOLVEMENT WITH THE GRANTEE ORGANIZATION.

STATEMENT 12
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM:
NAME: JOSEPH GRAINGER
CARE OF: HARDEN FOUNDATION
STREET ADDRESS: P.O. BOX 779
CITY, STATE, ZIP CODE: SALINAS, CA 93902
TELEPHONE: (831) 442-3005
E-MAIL ADDRESS: JGRAINGER@HARDENFOUNDATION.ORG
FORM AND CONTENT: SEE ATTACHED "GUIDELINES FOR GRANT APPLICANTS"
SUBMISSION DEADLINES: SEE ATTACHED "GUIDELINES FOR GRANT APPLICANTS"
RESTRICTIONS ON AWARDS: SEE ATTACHED "GUIDELINES FOR GRANT APPLICANTS"

STATEMENT 13
FORM 990-PF, PART XV, LINE 3B
RECIPIENT APPROVED FOR FUTURE PAYMENT

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
SALINAS VALLEY MEMORIAL HOSPITAL FOUNDAT P.O. BOX 4760 SALINAS, CA 93912	N/A	PUBLIC	FUNDING FOR THE VINCI ROBOTIC SURGICAL ROOM	\$ 100,000.
SPCA OF MONTEREY COUNTY P.O. BOX 3058 MONTEREY, CA 93942	N/A	PUBLIC	FUNDS FOR THEIR CAPITAL PROJECT TO RENOVATE AND EXPAND THE ANIMAL SUPPORT AREAS	50,000.
TOTAL \$				<u>150,000.</u>

HARDEN FOUNDATION 94-6098887								
P O BOX 779								
SALINAS, CA 93902								
2013 FORM 990-PF RETURN OF PRIVATE FOUNDATION								
PART I, LINE 19 AND PART II LINE 14 DEPRECIATION, DEPLETION AND ACCUMULATED DEPRECIATION								
FOR THE YEAR END 12/31/2013								

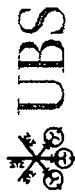
HARDEN FOUNDATION 94-6098887								
P O BOX 779								
SALINAS, CA 93902								
2013 FORM 990-PF RETURN OF PRIVATE FOUNDATION								
PART I, LINE 19 AND PART II, LINE 14 DEPRECIATION, DEPLETION AND ACCUMULATED DEPRECIATION								
FOR THE YEAR END 12/31/2013								
	DATE	BASIS	PRIOR			DEPRECIATION	CHARITABLE	CHARITABLE
	ACQUIRED		DEPRECIATION	METHOD	LIFE	EXPENSE	%	AMOUNT
LEATHER SOFA	08/02/90	4,800 46	\$4,800 46	-	-			
COCKTAIL TABLE	10/11/90	427 00	427 00	-	-			
GRANDFATHER CLOCK	10/11/90	2,334 62	2,334 62	-	-			
2 CHAIRS	10/16/90	1,129 42	1,129 42	-	-			
BUKHARA RUG	11/28/90	448 35	448 35	-	-			
CREDENZA	05/01/85	788 64	788 64	-	-			
TYPEWRITER STAND	05/01/85	200 34	200 34	-	-			
AT & T PHONE SYSTEM	10/07/86	1,533 22	1,533 22	-	-			
PHONE JACKS AND LINES	12/17/86	400 00	400 00	-	-			
SECURITY SYSTEM	12/11/86	1,328 50	1,328 50	-	-			
3 MONROE CALCULATORS	04/30/86	150 00	150 00	-	-			
2 IBM TYPEWRITERS - USED	04/30/86	300 00	300 00	-	-			
TABLE - USED	04/30/86	300 00	300 00	-	-			
2 RADIOS	04/30/86	60 00	60 00	-	-			
4 CONFERENCE ROOM CHAIRS	04/30/86	600 00	600 00	-	-			
ROUND WOODEN CHAIRS	04/30/86	100 00	100 00	-	-			
2 EXECUTIVE DESK CHAIRS	04/30/86	200 00	200 00	-	-			
SECRETARIAL CHAIR	04/30/86	100 00	100 00	-	-			
2 LATERAL FILE CABINETS	04/30/86	125 00	125 00	-	-			
CHECK PROTECTOR	04/30/86	25 00	25 00	-	-			
TYPEWRITER STAND	04/30/86	50 00	50 00	-	-			
TABLE	04/30/86	30 00	30 00	-	-			
LAMP AND CHAIR	04/30/86	100 00	100 00	-	-			
REFRIGERATOR - OFFICE	01/20/87	439 32	439 32	-	-			
AT & T - 2 PHONES	05/01/90	571 20	571 20	-	-			
PAINTINGS - BATHROOMS	04/01/91	571 27	571 27	-	-			
FAX MACHINE	04/01/91	2,076 70	2,076 70	-	-			
SAFE	12/01/92	1,235 52	1,235 52	SL MACRS	7			
RICOH COPIER	08/25/93	3,818 10	3,818 10	-	-			
OFFICE LIGHTING	06/01/93	1,491 00	1,491 00	SL MACRS	7			
OFFICE FURNITURE - JOE AND LYDIA	06/01/95	12,564 12	12,564 12	SL MACRS	7			
OFFICE FURNITURE - JOE SETTEE	06/01/95	2,063 97	2,063 97	SL MACRS	7			
OFFICE FURNITURE - GRAINGER GROWERS	09/01/95	1,170 00	1,170 00	SL MACRS	7			
PICTURES - JOE'S OFFICE	08/01/95	564 61	564 61	-	-			
TELEPHONE SYSTEM	06/01/96	7,014 48	7,014 48	SL MACRS	5			
BOOKCASE-JOE'S OFFICE	06/01/97	1,672 10	1,672 10	SL MACRS	7			
CELLULAR TELEPHONE-JOE	02/01/99	154 14	154 14	SL MACRS	7			
MONROE CALCULATORS	03/12/99	308 16	308 16	SL MACRS	7			
OFFICE FURNITURE & EQUIPMENT	12/08/00	500 00	500 00	SL MACRS	7			
OFFICE FURNITURE	01/18/01	4,542 15	4,542 15	SL MACRS	7			
OFFICE FURNITURE	09/01/01	9,559 66	9,559 66	SL MACRS	7		75%	
OFFICE FURNITURE	03/04/02	193 04	193 04	SL MACRS	7	-	75%	-
OFFICE FURNITURE	11/25/02	85 79	85 79	SL MACRS	7	-	75%	-
TV	09/21/04	461 16	461 16	SL MACRS	7	-	75%	-
TYPEWRITER	11/05/04	104 98	104 98	SL MACRS	7	-	75%	-
OFFICE FURNITURE	11/04/05	433 14	433 14	SL MACRS	7	-	75%	-
OFFICE FURNITURE	02/08/06	5,116 75	5055 82	SL MACRS	7	60 93	75%	45 69
OFFICE EQUIPMENT	07/20/06	704 70	629 19	SL MACRS	7	75 51	75%	56 63
HARDEN HOME FURNSHINGS	VARIOUS	36,850 58	36850 58	SL MACRS	7	-	100%	-
OFFICE FURNITURE & EQUIPMENT	11/13/2007	3,711 77	2739 64	SL MACRS	7	530 25	75%	397 69
VEHICLE	03/20/07	4,500 00	5175	SL MACRS	5	-	100%	-
OFFICE FURNITURE & EQUIPMENT	02/29/08	197 15	136 12	SL MACRS	7	28 16	75%	21 12
HARDEN HOME FURNSHINGS	05/04/07	1,077 49	872 25	SL MACRS	7	153 93	100%	153 93
OFFICE FURNITURE & EQUIPMENT	06/03/08	1,603 38	1049 82	SL MACRS	7	229 05	75%	171 79
OFFICE FURNITURE & EQUIPMENT	08/11/08	14,219 53	8971 84	SL MACRS	7	2,031 36	75%	1,523 52
OFFICE FURNITURE & EQUIPMENT	09/19/08	958 04	581 67	SL MACRS	7	136 86	75%	102 65
OFFICE FURNITURE & EQUIPMENT	10/31/08	269 01	160 13	SL MACRS	7	38 43	75%	28 82
OFFICE FURNITURE & EQUIPMENT	11/24/08	269 36	157 13	SL MACRS	7	38 48	75%	28 86
OFFICE FURNITURE & EQUIPMENT-GABILAN	05/13/09	528 00	387 2	SL MACRS	5	105 60	75%	79 20
OFFICE FURNITURE & EQUIPMENT-PALACE	08/26/09	2,456 36	1169 7	SL MACRS	7	350 91	75%	263 18
OFFICE FURNITURE & EQUIPMENT-VECTOR	09/21/09	1,359 38	631 14	SL MACRS	7	194 20	75%	145 65
OFFICE FURNITURE & EQUIPMENT-PALACE	12/16/09	2,492 58	1068 25	SL MACRS	7	356 08	75%	267 06
HOUSE EQUIPMENT	02/23/09	467 97	256 27	SL MACRS	7	66 85	75%	50 14
OFFICE FURNITURE & EQUIPMENT	01/21/10	1,101 09	458 79	SL MACRS	7	157 30	75%	117 97
OFFICE FURNITURE & EQUIPMENT	03/19/10	1,052 64	413 54	SL MACRS	7	150 38	75%	112 78
OFFICE FURNITURE & EQUIPMENT	04/22/10	1,475 89	562 24	SL MACRS	7	210 84	75%	158 13
OFFICE FURNITURE & EQUIPMENT	05/20/10	201 27	103 98	SL MACRS	5	40 25	75%	30 19
WATER HEATER	07/18/11	1,250 00	252 97	SL MACRS	7	178 57	75%	133 93
		148,988 10	\$134,808 43			5,133 96		3,888 93

HARDEN FOUNDATION 94-6098887								
P O BOX 779								
SALINAS, CA 93902								
2013 FORM 990-PF RETURN OF PRIVATE FOUNDATION								
PART I, LINE 19 AND PART II, LINE 14-DEPRECIATION, DEPLETION AND ACCUMULATED DEPRECIATION								
FOR THE YEAR END 12/31/2013								
	DATE	LIFE	METHOD	BASIS	PRIOR	CURRENT	CHARITABLE	CHARITABLE
	ACQUIRED				DEPRECIATION	PROVISION	%	AMOUNT
RANCH4 DEPRECIABLE ITEMS-								
1/2 SHOP & STORAGE	12/27/85	10	SL	1,479 00	1,479 00	-	-	-
1/2 SHOP & STORAGE	12/30/87	10	SL	1,479 00	1,479 00	-	-	-
1/2 EQUIPMENT SHED	12/27/85	10	SL	5,200 00	5,200 00	-	-	-
1/2 EQUIPMENT SHED	12/30/87	10	SL	5,200 00	5,200 00	-	-	-
1/2 2 WELLS WITH 30HP MOTORS	12/27/85	10	SL	24,350 00	24,350 00	-	-	-
1/2 2 WELLS WITH 30HP MOTORS	12/30/87	10	SL	24,350 00	24,350 00	-	-	-
HARDEN FOUNDATION OFFICE	06/01/90	31 5	SL	386,673 43	276,711 16	12,275 35	66 67%	8,183 97
UNDERGROUND ELECTRICAL	05/01/93	31 5	SL	29,218 64	18,242 42	927 58	75 00%	695 68
CARPET CARETAKERS APARTMENT	03/01/93	7	SL	1,679 00	1,679 00	-	100 00%	-
POOL FILTER	10/01/93	7	SL	1,042 92	1,042 92	-	100 00%	-
HARDEN HOME	VARIOUS	39	SL	238,357 88	238,357 88	-	100 00%	-
RETROFITTING-HARDEN HOME	05/09/95	39	SL	12,924 00	5,854 38	331 38	100 00%	331 38
GARAGE DOORS-HARDEN HOME & OFFICE	09/15/95	39	SL	3,175 00	1,411 11	81 41	83 33%	67 84
SEWER AND WATER LINES-OFFICE	09/01/95	39	SL	10,045 50	4,464 72	257 58	83 33%	214 64
SEWER AND WATER LINES-HOUSE	09/01/95	39	SL	10,045 50	4,464 72	257 58	100 00%	257 58
BLOCK WALL	02/01/96	39	SL	87,805 65	38,086 69	2,251 43	83 33%	1,876 11
ENTRANCE GATE AND DRIVEWAY	02/01/96	39	SL	113,586 30	49,269 24	2,912 47	83 33%	2,426 96
LANDSCAPING	02/29/96	15	SL	52,218 98	52,218 98	-	100 00%	-
LANDSCAPING-ENTRY	03/01/97	15	SL	7,886 83	7,886 83	-	100 00%	-
BARN PROJECT	03/01/97	39	SL	21,000 00	9,064 08	538 46	83 33%	448 70
SERVICE GATE	05/01/98	39	SL	17,706 00	7,566 66	454 00	100 00%	454 00
LANDSCAPING FOUNDATION GROUNDS	10/01/96	15	SL	35,745 58	35,745 58	-	100 00%	-
WINDOWS-MILL	09/01/97	39	SL	5,320 67	2,091 93	136 43	100 00%	136 43
ROOF-MILL	10/01/97	39	SL	12,003 00	4,694 09	307 77	100 00%	307 77
REMODELING-HARDEN HOME-39 YR	01/01/98	39	SL	22,218 58	8,545 66	569 71	100 00%	569 71
REMODELING-HARDEN HOME-7 YR	01/01/98	7	SL	77,567 48	77,567 48	-	100 00%	-
REMODELING-HARDEN HOME-7 YR	09/01/98	7	SL	15,556 98	15,556 98	-	100 00%	-
REMODELING-HARDEN HOME-7 YR	03/08/99	7	SL	2,387 92	2,387 92	-	100 00%	-
FURNITURE & ACCESSORIES-HARDEN HOME-7YR	02/01/00	7	SL	7,945 18	7,945 18	-	100 00%	-
PATIO IMPROVEMENTS	12/01/99	39	SL	235,347 16	78,951 89	6,034 54	100 00%	6,034 54
PATIO & LIGHTING IMPROVEMENTS	9/1/2000	39	SL	34,393 45	10,950 01	881 88	100 00%	881 88
FURNITURE & ACCESSORIES-HARDEN HOME-7YR	8/1/2000	7	SL	11,922 46	11,922 46	-	100 00%	-
HOUSE LIGHTING	12/1/2000	7	SL	2,805 64	2,805 64	-	100 00%	-
LANDSCAPING	8/17/2001	39	SL	9,024 03	2,622 40	231 39	100 00%	231 39
PAVING & PATIO IMPROVEMENTS	2/1/2002	39	SL	51,961 30	14,544 71	1,332 34	100 00%	1,332 34
HOME IMPROVEMENTS	9/1/2001	39	SL	8,750 83	2,542 88	224 38	100 00%	224 38
ROLL-UP BARN DOORS IMPROVEMENT	3/18/2003	39	SL	9,871 00	2,467 73	253 10	100 00%	253 10
AWNING	6/24/2004	7	SL	532 88	532 88	-	100 00%	-
PATIO FURNITURE	3/8/2004	7	SL	491 23	491 23	-	100 00%	-
IMPROVEMENTS-HARDEN HOME	8/10/2005	39	SL	4,972 29	956 19	127 49	100 00%	127 49
IMPROVEMENTS-HARDEN HOME	2/1/2006	39	SL	6,019 57	1,067 58	154 35	100 00%	154 35
REAL PROPERTY IMPROVEMENTS	8/28/2006	39	SL	3,870 62	628 58	99 25	100 00%	99 25
ROOFING	8/16/2007	39	SL	38,096 00	5,209 71	976 82	100 00%	976 82
IMPROVEMENTS	9/14/2007	39	SL	24,890 00	3,403 78	638 21	100 00%	638 21
WINDMILL	7/1/2007	39	SL	1,980,805 95	279,344 44	50,789 90	100 00%	50,789 90
WINDMILL IMPROVEMENTS	10/1/2007	39	SL	19,048 00	2,564 15	488 41	100 00%	488 41
WINDMILL IMPROVEMENTS	10/24/2007	39	SL	16,000 00	2,119 67	410 26	100 00%	410 26
WINDMILL IMPROVEMENTS	2/1/2008	39	SL	2,085 00	262 85	53 46	100 00%	53 46
WINDMILL IMPROVEMENTS	2/25/2008	39	SL	2,048 00	253 80	52 51	100 00%	52 51
YARD EQUIPMENT	8/7/2008	7	SL	517 20	326 35	73 89	100 00%	73 89
REAL PROPERTY IMPROVEMENTS	9/17/2008	39	SL	2,699 36	294 14	69 21	100 00%	69 21
APEX SIGNS & GRAPHICS	11/17/2008	7	SL	595 04	347 12	85 01	100 00%	85 01
IMPROVEMENTS-DILBECK & SONS	11/24/2008	39	SL	76,171 00	7,975 1C	1,953 10	100 00%	1,953 10
IMPROVEMENTS-CARETAKERS	11/24/2008	39	SL	7,703 00	806 50	197 51	100 00%	197 51
WINDMILL IMPROVEMENTS	6/1/2008	39	SL	12,140 73	1,426 79	311 30	100 00%	311 30
OFFICE IMPROVEMENTS	11/24/2008	39	SL	18,390 50	1,925 50	471 55	100 00%	471 55
OFFICE IMPROVEMENTS	12/29/2008	39	SL	1,955 00	200 52	50 13	100 00%	50 13
RESOURCE CENTER & PARKING LOT	12/1/2008	39	SL	2,208,721 71	231,255 05	56,633 89	100 00%	56,633 89
SERVICE GATE	12/1/2008	7	SL	20,987 07	12,242 45	2,998 15	100 00%	2,998 15
LANDSCAPING	11/1/2008	15	SL	129,971 02	36,103 05	8,664 73	100 00%	8,664 73
IMPROVEMENTS	3/10/2009	39	SL	55,251 00	5,430 65	1,416 69	100 00%	1,416 69
FIRST ALARM	3/30/2009	7	SL	3,750 00	2,008 92	535 71	100 00%	535 71
IMPROVEMENTS	6/22/2009	39	SL	79,709 00	7,153 37	2,043 82	100 00%	2,043 82
IMPROVEMENTS	9/30/2009	39	SL	44,627 74	3,718 98	1,144 30	100 00%	1,144 30
APEX SIGNS & GRAPHICS	10/1/2009	7	SL	603 94	280 41	86 28	100 00%	86 28

HARDEN FOUNDATION 94-6098887								
P O BOX 779								
SALINAS, CA 93902								
2013 FORM 990-PF RETURN OF PRIVATE FOUNDATION								
PART I, LINE 19 AND PART II, LINE 14-DEPRECIATION, DEPLETION AND ACCUMULATED DEPRECIATION								
FOR THE YEAR END 12/31/2013								
	DATE				PRIOR	CURRENT	CHARITABLE	CHARITABLE
	ACQUIRED	LIFE	METHOD	BASIS	DEPRECIATION	PROVISION	%	AMOUNT
PARKING IMPROVEMENTS	1/22/2009	15	SL	1,181 69	308 55	78 78	100 00%	78 78
PARKING IMPROVEMENTS	4/20/2009	15	SL	397 82	97 24	26 52	100 00%	26 52
PARKING IMPROVEMENTS	5/26/2009	15	SL	447 64	106 93	29 84	100 00%	29 84
PARKING IMPROVEMENTS - A&b fire	6/1/2009	15	SL	150 00	35 83	10 00	100 00%	10 00
PARKING IMPROVEMENTS - BFI	6/8/2009	15	SL	118 77	28 38	7 92	100 00%	7 92
OFFICE IMPROVEMENTS - QUARTZ INTERN	2/11/2009	39	SL	1,955 00	196 34	50 13	100 00%	50 13
OFFICE IMPROVEMENTS - WHEELERS FLOORING	2/23/2009	39	SL	4,880 00	479 66	125 13	100 00%	125 13
OFFICE IMPROVEMENTS - WHEELERS FLOORING	5/13/2009	39	SL	8,100 00	761 53	207 69	100 00%	207 69
PARKING IMPROVEMENTS	2/18/2010	15	SL	870 74	164 47	58 05	100 00%	58 05
OFFICE IMPROVEMENTS-transparent glass coat	2/17/2010	39	SL	5,270 00	382 87	135 13	100 00%	135 13
LAND & IMPRV-Gate & Fence	2/18/2010	15	SL	14,000 00	2,644 44	933 33	100 00%	933 33
LANDSCAPING - WALKWAY	8/23/2010	15	SL	4,523 46	703 64	301 56	100 00%	301 56
Land & Improvements Real Property Roy Alsop Pump	6/13/2011	15	SL	14,265 19	1,505 77	951 01	100 00%	951 01
Improvements-Bunkhouse Room & Parking Area	2/22/2011	7	SL	3,717 43	973 61	531 06	100 00%	531 06
Improvements-Bunkhouse Room & Parking Area	4/20/2011	7	SL	5,386 79	1,282 57	769 54	100 00%	769 54
Improvements-Bunkhouse Room & Parking Area	5/19/2011	7	SL	939 22	212 44	134 17	100 00%	134 17
Improvements-Bunkhouse Room & Parking Area	9/19/2011	7	SL	727 31	129 88	103 90	100 00%	103 90
Improvements-Bunkhouse Room & Parking Area	2/7/2011	7	SL	1,911 49	295 83	273 07	100 00%	273 07
Improvements - Real Prop	2/21/2012	7	SL	555 21	69 40	79 32	100 00%	79 32
Improvements - Real Prop	3/12/2012	7	SL	555 21	62 79	79 32	100 00%	79 32
LAND & IMPRV-Gate & Fence	8/14/2013	15	SL	7,634 27	-	190 86	100 00%	190 86
Improvements - Phone System Bunkhouse	10/8/2013	7	SL	741 10	-	22 06	100 00%	22 06
Improvements - Water Heater	11/4/2013	39	SL	1,258 98	-	4 04	100 00%	4 04
GRAND TOTAL DEPRECIATION								
DEPRECIATION PER FORM 990-PF				6,440,485 07	1,678,493 29	165,887 13		160,556 78
LAND				1,390,997 04	-	-		
FURNITURE AND EQUIPMENT				275,607 11	250,986 12	8,270 36		6,241 23
PART II, LINE 14				8,107,089 22	1,929,479 41	174,157 49		166,798 01

HARDEN FOUNDATION
FEIN: 94-6098887
2013 FORM 990-PF
FOR THE YEAR ENDED 12/31/13
PART II

See Attachment for UBS <u>Account</u>		<u>Cost</u>	<u>FMV</u>	<u>Unrealized Gain (Loss)</u>	<u>Attachment Page</u>
Line 10a Investments - U.S. and state government					
ML 09282 EF		140.74	148.76	8.02	3
ML 09273 EF		1,321,681.12	1,345,500.45	23,819.33	11
		<u>1,321,821.86</u>	<u>1,345,649.21</u>	<u>23,827.35</u>	
Line 10b Investments - corporate stock					
ML 09274 EF		2,063,819.55	14,784,213.67	12,720,394.12	16
ML 09282 EF		-	1,552.50	1,552.50	2
ML 09279 EF		8,893,504.43	11,411,126.06	2,517,621.63	29
ML 09275 EF		5,230,799.44	5,301,674.63	70,875.19	47
ML 09276 EF		756,855.73	840,350.42	83,494.69	59
ML 09278 EF		9,006,668.65	13,308,768.43	4,302,099.78	66
		<u>25,951,647.80</u>	<u>45,647,685.71</u>	<u>19,696,037.91</u>	
Line 10c Investments - corporate bonds					
ML 09273 EF		<u>937,944.36</u>	<u>966,888.13</u>	<u>28,943.77</u>	11



Business Services Account
December 2013

Account name: HARDEN FOUNDATION
Account number: ML 09282 EF

Your Financial Advisor
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
UBS BANK USA BUS ACCT	73,158.11	87,844.34					250,000.00

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
NTHN CALIF BANCORP INC		1,350,000		---	1.150	1,552.50		
Symbol: NTLB Exchange: OTC				---				



Business Services Account
December 2013

Account name:
Account number:

HARDEN FOUNDATION
ML 09282 EF

Your Financial Advisor
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Your assets (continued)

Fixed income

Asset backed securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. The cost basis for asset backed securities has been adjusted automatically for return of principal payments and if issued at a discount, accreted original issue discount (OID).

Holding	Trade date	Quantity	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
FHR 1599 C								
RATE 06 1000% MATURES 10/15/23								
CURRENT PAR VALUE 148								
ACCRUED INTEREST \$0.75								
CUSIP 313371F56								
EAL \$9 Current yield 6.07%	Dec 13, 99	27,000,000	95.120	140.74	100.515	148.76	8.02	LT

Your total assets

Cash	Cash and money balances	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Equities	* Common stock	87,844.34	98.10%	87,844.34		
Fixed income	Asset backed securities	1,552.50	1.73%			
	Total accrued interest	148.76		140.74	9.00	8.02
	Total fixed income	149.51	0.17%	140.74	9.00	8.02
Total		\$89,546.35	100.00%	\$87,985.08	\$9.00	\$8.02

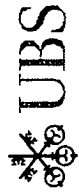
* Missing cost basis information

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Nov 29		Cash and money balance					\$73,158.11
Dec 3	Withdrawal	WELLS FARGO BANK, NATIONAL ASS ELECTRONIC FUNDS TRANSFER				-50,000.00	23,158.11
Dec 5	Transfer	FM ML 09278 9100				100,000.00	
Dec 5	Transfer	FM ML 09279 7100				100,000.00	223,158.11

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December 2013

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
UBS BANK USA BUS ACCT	35,306 53	72,535 16					250,000 00

Fixed income

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). If you have made a tax

election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization.

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
NATIONAL RURAL UTIL CORP MW +15BP RATE 04 750% MATURES 03/01/14 ACCRUED INTEREST \$1,535 83 CUSIP 637432DC6 Moody A1 S&P A+ EAI \$2,304 Current yield 4.72% Original cost basis \$98,060 21	May 29, 09	97,000 000	100 042	97,040 75	100 714	97,692 58	651 83	LT

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December 2013

Account name:
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HARDEN FOUNDATION
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Your Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
J P MORGAN CHASE & CO								
NTS B/E								
RATE 03 700% MATURES 01/20/15								
ACCURED INTEREST \$2,068.40								
CUSIP 46625HHIP8								
Moody A3 S&P A								
EAI \$4,625 Current yield 3.59%								
Original cost basis \$132,987.50	Nov 09, 10	125,000,000	101,655	127,069.04	103,120	128,900.00	1,830.96	LT
CISCO SYSTEMS INC MW								
+20BP NTS								
RATE 05 500% MATURES 02/22/16								
ACCURED INTEREST \$985.41								
CUSIP 17275RAC6								
Moody A1 S&P AA-								
EAI \$2,750 Current yield 5.00%								
Original cost basis \$55,448.50	Nov 20, 13	50,000,000	110,349	55,174.93	110,013	55,006.50	-168.43	ST
CALL TEXAC INSTRUMENTS								
WHOLE+10BP								
RATE 02 375% MATURES 05/16/16								
ACCURED INTEREST \$303.47								
CUSIP 882508AR5								
Moody A1 S&P A+								
EAI \$2,375 Current yield 2.29%								
Original cost basis \$101,750.00	Jul 27, 11	100,000,000	100,885	100,885.92	103,654	103,654.00	2,768.08	LT
GENL ELEC CAP CORP NTS								
B/E								
RATE 02 900% MATURES 01/09/17								
ACCURED INTEREST \$1,039.17								
CUSIP 36962G5N0								
Moody A1 S&P AA+								
EAI \$2,175 Current yield 2.78%								
Original cost basis \$78,840.75	Jul 24, 12	75,000,000	103,513	77,634.95	104,397	78,297.75	662.80	LT

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December 2013

Account name:
Account number:

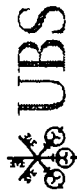
HARDEN FOUNDATION
ML 09273 EF

Your Financial Advisor
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
JOHN DEERE CPTL CORP								
MED TERM NTS								
RATE 01 400% MATURES 03/15/17								
ACCURED INTEREST \$309 16								
CUSIP 24422ERN1								
Moody A2 S&P A								
EAI \$1,050 Current yield 1 40%								
Original cost basis \$75,327 00								
	Mar 02, 12	75,000 000	100 280	75,210 60	99 731	74,798 25	-412 35	LT
WELLS FARGO & CO NEW NTS								
RATE 05 625% MATURES 12/11/17								
ACCURED INTEREST \$312 50								
CUSIP 949746NX5								
Moody A2 S&P A+								
EAI \$5,625 Current yield 4 91%								
Original cost basis \$112,944 00								
	Oct 28, 10	100,000 000	107 568	107,568 40	114 620	114,620 00	7,051 60	LT
SYSCO CORP NTS								
CALL@MMW+30BP								
RATE 05 250% MATURES 02/12/18								
ACCURED INTEREST \$1,418 95								
CUSIP 871829AL1								
Moody A1 S&P A								
EAI \$3,675 Current yield 4 69%								
Original cost basis \$70,951 30								
	Feb 08, 08	70,000 000	100 642	70,449 53	111 974	78,381 80	7,932 27	LT
APPLE INC CALL@MMW+10BP								
NTS B/E								
RATE 01 000% MATURES 05/03/18								
ACCURED INTEREST \$120 83								
CUSIP 037833AJ9								
Moody Aa1 S&P AA+								
EAI \$750 Current yield 1 03%								
	Jun 28, 13	75,000 000	95 902	71,926 50	96 695	72,521 25	594 75	ST

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December 2013

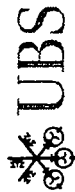
Account name:
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HARDEN FOUNDATION
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Your Financial Advisor
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
COCA COLA CO COM NTS								
MW+30BPS								
RATE 04 875% MATURES 03/15/19								
ACCURED INTEREST \$1,148.33								
CUSIP 191216AM2								
Moody Aa3 S&P AA-								
EAI \$3,900 Current yield 4.35%								
Original cost basis \$80,489.60	Mar 05, 09	80,000,000	100,353	80,282.99	112,100	89,680.00	9,397.01	LT
EMC CORP MASS B/E								
CALL@MW+20BP								
RATE 02 650% MATURES 06/01/20								
ACCURED INTEREST \$110.41								
CUSIP 268648AQ5								
Moody A1 S&P A								
EAI \$1,325 Current yield 2.71%	Jun 10, 13	50,000,000	99,684	49,842.00	97,938	48,969.00	-873.00	ST
SIMON PTY GROUP LP B/E								
RATE 03 375% MATURES 03/15/22								
CALLABLE								
ACCURED INTEREST \$248.43								
CUSIP 828807CK1								
Moody A2 S&P A								
EAI \$844 Current yield 3.46%	Jul 25, 13	25,000,000	99,435	24,858.75	97,468	24,367.00	-491.75	ST
Total		\$922,000,000		\$937,944.36		\$966,888.13	\$28,943.77	
Total accrued interest: \$9,600.89								
Total estimated annual income: \$31,398								



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HARDEN FOUNDATION
ML 09273 EF

Your Financial Advisor
ELLIOTT/FOCARACCI
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Your assets • Fixed income (continued)

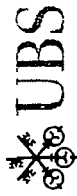
Government securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID) if you have made a

tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization

Holding	Trade date	Quantity	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
U S TREASURY NOTE								
RATE 4 0000% MATURES 02/15/14								
ACCRUED INTEREST \$2,250 00								
CUSIP 912828CA6								
EAI \$3,000 Current yield 3 98%								
Original cost basis \$133,783 64	May 15, 13	130,000 000	100 472	130,613 64	100 465	130,604 50	-9 14	ST
Original cost basis \$20,557 88	May 24, 13	20,000 000	100 467	20,093 57	100 465	20,093 00	-0 57	ST
Security total		150,000 000		150,707 21		150,697 50	-9 71	
FNMA								
RATE 4 6250% MATURES 10/15/14								
ACCRUED INTEREST \$1,269 30								
CUSIP 31359MWJ8								
EAI \$6,013 Current yield 4 47%	Aug 07, 07	130,000 000	96 773	125,805 81	103 494	134,542 20	8,736 39	LT
U S TREASURY NOTE								
RATE 4 0000% MATURES 02/15/15								
ACCRUED INTEREST \$375 00								
CUSIP 912828DM9								
EAI \$1,000 Current yield 3 84%								
Original cost basis \$26,452 23	Jul 25, 13	25,000 000	104 188	26,047 20	104 242	26,060 50	13 30	ST
U S TREASURY NOTE								
RATE 2 5000% MATURES 04/30/15								
ACCRUED INTEREST \$421 27								
CUSIP 912828MZ0								
EAI \$2,500 Current yield 2 43%								
Original cost basis \$93 486.25	May 02, 12	88,000 000	102 786	90,451 95	103 019	90,656 72	204 77	LT
Original cost basis \$12,503 01	May 31, 13	12,000 000	102 912	12,349 54	103 019	12,362 28	12 74	ST
Security total		100,000 000		102,801 49		103,019 00	217 51	

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December 2013

Account name:
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HARDEN FOUNDATION
ML 09273 EF

Your Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Your assets • Fixed income • Government securities (continued)

Holding	Trade date	Quantity	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
U S TREASURY NOTE								
RATE 4 1250% MATURES 05/15/15								
ACCRUED INTEREST \$471 75								
CUSIP 912828DV9								
EAI \$3,713 Current yield 3 92%								
Original cost basis \$97,210 85	Apr 17, 13	90,000 000	105 292	94,763 11	105 320	94,788 00	24 89	ST
FHLMC NTS								
RATE 4 7500% MATURES 11/17/15								
ACCRUED INTEREST \$435 42								
CUSIP 3134A4VG6								
EAI \$3,563 Current yield 4 39%								
Original cost basis \$85,558 95	Jul 24, 12	75,000 000	108 003	81,002 44	108 086	81,064 50	62 06	LT
U S TREASURY NOTE								
RATE 4 5000% MATURES 02/15/16								
ACCRUED INTEREST \$421 87								
CUSIP 912828EW6								
EAI \$1,125 Current yield 4 14%								
Original cost basis \$27,538 17	Jul 25, 13	25,000 000	108 436	27,109 15	108 648	27,162 00	52 85	ST
FNMA NTS								
RATE 5 2500% MATURES 09/15/16								
ACCRUED INTEREST \$772 91								
CUSIP 31359MW41								
EAI \$2,625 Current yield 4 68%								
Original cost basis \$58,269 00	Mar 04, 13	50,000 000	112 699	56,349 79	112 192	56,096 00	-253 79	ST
U S TREASURY NOTE								
RATE 0 8750% MATURES 01/31/17								
ACCRUED INTEREST \$272 84								
CUSIP 912828SC5								
EAI \$656 Current yield 0 87%								
Original cost basis \$76,163 34	Nov 30, 12	75,000 000	101 157	75,868 27	100 094	75,070 50	-797 77	LT
U S TREASURY NOTE								
RATE 4 5000% MATURES 05/15/17								
ACCRUED INTEREST \$548 94								
CUSIP 912828GS3								
EAI \$4,320 Current yield 4 03%								
Original cost basis \$100,620 00	Oct 10, 08	96,000 000	102 401	98,305 42	111 594	107,130 24	8,824 82	LT

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ACCESS
December 2013

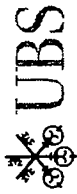
Account name.
Account number

HARDEN FOUNDATION
ML 09273 EF

Your Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Your assets • Fixed income • Government securities (continued)

Holding	Trade date	Quantity	Purchase price (\$)	Cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
U S TREASURY NOTE								
RATE 3 8750% MATURES 05/15/18								
ACCRUED INTEREST \$393.92								
CUSIP 912828HZ6								
EAI \$3,100 Current yield 3.52%								
Original cost basis \$56,968.44	Oct 19, 12	49,000,000	112,833	55,288.60	110,219	54,007.31	-1,281.29	LT
Original cost basis \$36,184.15	Nov 20, 12	31,000,000	113,389	35,150.67	110,219	34,167.89	-982.78	LT
Security total		80,000,000		90,439.27		88,175.20	-2,264.07	
U S TREASURY NOTE								
RATE 3 1250% MATURES 05/15/19								
ACCRUED INTEREST \$365.33								
CUSIP 912828KQ2								
EAI \$2,875 Current yield 2.93%	Dec 23, 09	18,000,000	95,687	17,223.75	106,563	19,181.34	1,957.59	LT
Original cost basis \$38,317.87	Aug 15, 12	34,000,000	110,209	37,471.10	106,563	36,231.42	-1,239.68	LT
Original cost basis \$45,461.10	Sep 13, 12	40,000,000	111,081	44,432.51	106,563	42,625.20	-1,807.31	LT
Security total		92,000,000		99,127.36		98,037.96	-1,089.40	
U S TREASURY NOTE								
RATE 3 6250% MATURES 02/15/20								
ACCRUED INTEREST \$815.62								
CUSIP 912828MP2								
EAI \$2,175 Current yield 3.33%								
Original cost basis \$67,846.87	Oct 14, 11	60,000,000	109,880	65,928.34	108,844	65,306.40	-621.94	LT
U S TREASURY NOTE								
RATE 2 6250% MATURES 11/15/20								
ACCRUED INTEREST \$416.95								
CUSIP 912828PC8								
EAI \$3,281 Current yield 2.58%	Dec 15, 10	125,000,000	93,421	116,777.34	101,625	127,031.25	10,253.91	LT
U S TREASURY NOTE								
RATE 2 0000% MATURES 02/15/23								
ACCRUED INTEREST \$900.00								
CUSIP 912828UN8								
EAI \$2,400 Current yield 2.16%	Sep 05, 13	120,000,000	92,207	110,648.92	92,766	111,319.20	670.28	ST
Total		1,293,000,000		\$1,321,681.12		\$1,345,500.45	\$23,819.33	
Total accrued interest: \$10,131.12								
Total estimated annual income: \$42,346								



ACCESS
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Account name
Account number:

HARDEN FOUNDATION
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Your Financial Advisor:
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831-622-0222/800-937-2400

Your assets (continued)

Your total assets

Cash	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash and money balances	72,535.16	3.02%	72,535.16		
Fixed Income					
Corporate bonds and notes	966,888.13		937,944.36	31,398.00	28,943.77
Government securities	1,345,500.45		1,321,681.12	42,346.00	23,819.33
Total accrued interest	19,732.01				
Total fixed income	2,332,120.59	96.98%	2,259,625.48	73,744.00	52,763.10
Total	\$2,404,655.75	100.00%	\$2,332,160.64	\$73,744.00	\$52,763.10

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Nov 29		Cash and money balance					\$35,306.53
Dec 2	Interest	EMC CORP MASS B/E 02 650% 060120 DTD060613 FC120113 CALL@MW+20BP PAID ON 50000 AS OF 12/01/13				644.10	35,950.63
		CUSIP 268648AQ5					
Dec 6	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 12/05/13				2.66	35,953.29
Dec 11	Interest	WELLS FARGO & CO NEW NTS 05 625% 121117 DTD121007 FC061108 PAID ON 100000				2,812.50	38,765.79
		CUSIP 949746NX5					
Dec 16	Interest	NATIONAL RURAL UTIL CORP 04 750% 030114 DTD022504 FC090104 MW +15BP				457.19	
		CUSIP 637432DC6					
Dec 16	Call Redemption	NATIONAL RURAL UTIL CORP 04 750% 030114 DTD022504 FC090104 MW +15BP		-33,000.000		33,312.18	72,535.16
		CUSIP 637432DC6					
Dec 31		Closing cash and money balance					\$72,535.16
		Proceeds from investment transactions					\$33,312.18



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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
UBS BANK USA BUS ACCT	16,833.64	135,254.77					250,000.00

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
BERKSHIRE HATHAWAY INC NEW								
CL B								
Symbol BRK B Exchange NYSE	Nov 3, 94	2,732,940	23.061	63,024.36	118.560	324,017.37	260,993.01	LT
	Dec 29, 94	3,036,600	23.315	70,798.61	118.560	360,019.30	289,220.69	LT
	Dec 30, 94	2,024,400	23.315	47,199.07	118.560	240,012.86	192,813.79	LT
	Jul 17, 98	1,012,200	73.822	74,723.53	118.560	120,006.43	45,282.90	LT
	Jan 20, 99	354,270	66.388	23,519.54	118.560	42,002.25	18,482.71	LT
	Jan 22, 99	961,590	66.388	63,838.74	118.560	114,006.11	50,167.37	LT
Security total		10,122,000	33.897	343,103.85		1,200,064.32	856,960.47	

BOSWELL J G CO

Symbol BWEL Exchange OTC

EAI \$3,200 Current yield 1.82%

Jan 3, 13

Jan 9, 13

880.000

880.000

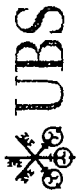
19,360.00

13,200.00

1,452.00

990.00

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Managed Accounts Consulting
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Your Financial Advisor:
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Feb 21, 13	63 000	826 000	52,038 00	880 000	55,440 00	3,402 00	ST
	Mar 6, 13	50 000	837 200	41,860 00	880 000	44,000 00	2,140 00	ST
	Mar 6, 13	50 000	843 000	42,150 00	880 000	44,000 00	1,850 00	ST
		200 000	830 830	166,166 00		176,000 00	9,834 00	
CHURCH & DWIGHT CO INC								
Symbol CHD Exchange NYSE								
EAI \$39,536 Current yield 1 69%	Oct 20, 93	5,300 000	3 940	20,885.40	66 280	351,284 00	330,398 60	LT
	Dec 12, 94	30,000 000	2 848	85,447 94	66 280	1,988,400 00	1,902,952 06	LT
Security total		35,300 000	3 012	106,333 34		2,339,684 00	2,233,350 66	
CINTAS CORP								
Symbol CTAS Exchange OTC								
EAI \$2,310 Current yield 1 29%	Jul 3, 95	3,000 000	12 083	36,250 00	59 590	178,770 00	142,520 00	LT
FRISCHS RESTAURANTS								
Symbol FRS Exchange AMEX								
EAI \$31,680 Current yield 2 81%	Dec 17, 90	1,012 358	9 878	10,000 08	25 630	25,946 73	15,946 65	LT
	Dec 17, 90	590 839	9 676	5,717 21	25 630	15,143 21	9,426 00	LT
	Dec 18, 90	3,289 081	9 475	31,165 56	25 630	84,299 14	53,133 58	LT
	Jan 21, 91	1,518 536	8 299	12,602 99	25 630	38,920 09	26,317 10	LT
	Jan 21, 91	253 089	9 007	2,279 81	25 630	6,486 68	4,206 87	LT
	Jan 21, 91	253 089	9 210	2,331 04	25 630	6,486 68	4,155 64	LT
	Jan 23, 91	506 179	9 105	4,608 91	25 630	12,973 36	8,364 45	LT
	Jan 23, 91	378 553	9 232	3,495 10	25,630	9,702 30	6,207 20	LT
	Jan 23, 91	253 089	9 105	2,304 46	25 630	6,486 68	4,182 22	LT
	Jan 23, 91	253 089	9 611	2,432 48	25 630	6,486 68	4,054 20	LT
	Jan 23, 91	253,089	9 611	2,432 49	25 630	6,486 68	4,054 19	LT
	Jan 23, 91	125 463	9 285	1,165 03	25 630	3,215 62	2,050 59	LT
	Jan 24, 91	884 731	9 313	8,240 02	25 630	22,675 66	14,435 64	LT
	Jan 24, 91	633 805	9 084	5,757 79	25 630	16,244 42	10,486 63	LT
	Jan 24, 91	633 805	9 286	5,885 74	25 630	16,244 42	10,358 68	LT
	Jan 25, 91	2,530 894	9 445	23,905 89	25 630	64,866 81	40,960 92	LT
	Jan 25, 91	1,265 447	9 244	11,698 63	25 630	32,433 41	20,734 78	LT
	Jan 25, 91	633 805	9 228	5,849 33	25 630	16,244 42	10,395 09	LT
	Jan 25, 91	633 805	9 329	5,912 91	25 630	16,244 42	10,331 51	LT

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Managed Accounts Consulting
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Your Financial Advisor
ELLIOTT/FOCARACCI
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec. 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Jan 28, 91	1,265,447	8 702	11,012 46	25 630	32,433 41	21,420 95	LT
	Jan 28, 91	633 805	9 192	5,826 37	25 630	16,244 42	10,418 05	LT
	Jan 29, 91	1,265,447	8 052	10,189 59	25 630	32,433 41	22,243 82	LT
	Jan 29, 91	1,012 358	7 548	7,642 18	25 630	25,946 73	18,304 55	LT
	Jan 29, 91	633 805	7 536	4,776 36	25 630	16,244 42	11,468 06	LT
	Jan 29, 91	633 805	7 536	4,776 36	25 630	16,244 42	11,468 06	LT
	Jan 29, 91	633 805	7 837	4,967 42	25 630	16,244 42	11,277 00	LT
	Jan 29, 91	506 179	7 951	4,024 88	25 630	12,973 36	8,948 48	LT
	Jan 29, 91	506 179	8 253	4,177 73	25 630	12,973 36	8,795 63	LT
	Jan 29, 91	253,089	7 649	1,936 02	25 630	6,486 68	4,550 66	LT
	Jan 29, 91	125 463	8 121	1,018 96	25 630	3,215 62	2,196 66	LT
	Jan 30, 91	1,265,447	7 453	9,432 07	25 630	32,433 41	23,001 34	LT
	Jan 30, 91	1,265,447	7 654	9,686 98	25 630	32,433 41	22,746 43	LT
	Jan 30, 91	1,265,447	7 856	9,941 91	25 630	32,433 41	22,491 50	LT
	Jan 30, 91	1,265,447	8 057	10,196 83	25 630	32,433 41	22,236 58	LT
	Jan 31, 91	2,530,894	9 538	24,141 66	25 630	64,866 81	40,725 15	LT
	Jan 31, 91	1,265,447	9 237	11,689 65	25 630	32,433 41	20,743 76	LT
	Jan 31, 91	633 805	8 319	5,273 05	25 630	16,244 42	10,971 37	LT
	Jan 31, 91	633 805	8 620	5,463 64	25 630	16,244 42	10,780 78	LT
	Jan 31, 91	633 805	9 522	6,035 40	25 630	16,244 42	10,209 02	LT
	Jan 31, 91	378 553	8 961	3,392 53	25 630	9,702 30	6,309 77	LT
	Feb 1, 91	3,162,536	9 653	30,530 01	25 630	81,055 80	50,525 79	LT
	Feb 1, 91	1,265,447	9 750	12,339 22	25 630	32,433 41	20,094 19	LT
	Feb 4, 91	2,530,899	9 643	24,407 80	25 630	64,866 93	40,459 13	LT
	Feb 4, 91	1,265,447	9 543	12,076 77	25 630	32,433 41	20,356 64	LT
	Feb 4, 91	1,012 358	9 543	9,661 41	25 630	25,946 73	16,285 32	LT
	Feb 4, 91	253 089	9 443	2,389 93	25 630	6,486 68	4,096 75	LT
Security total		44,000 000	8 973	394,792 66		1,127,720 00	732,927 38	

MC CORMICK & CO NV

Symbol MKC Exchange NYSE

EAI \$39,368 Current yield 2 15%

Jun 15, 93

9,400 000

10 593

99,581 25

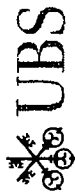
68 920

647,848 00

548,266 75

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December 2013

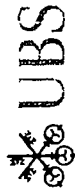
Account name:
Account number:

HARDEN FOUNDATION
ML 09274 EF

Your Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
SIGMA ALDRICH CORP Symbol SIAL Exchange OTC EAI \$20,640 Current yield 0.91%	Oct 17, 94	7,000 000	10 187	71,312 50	68 920	482,440 00	411,127 50	LT
	Jan 5, 95	3,000 000	9 468	28,406 25	68 920	206,760 00	178,353 75	LT
	Sep 17, 97	2,000 000	12 062	24,125 00	68 920	137,840 00	113,715 00	LT
	Sep 17, 97	2,000 000	12 062	24,125 00	68 920	137,840 00	113,715 00	LT
	Sep 17, 97	2,000 000	12 062	24,125 00	68 920	137,840 00	113,715 00	LT
Security total	Sep 17, 97	1,200 000	12 062	14,475 00	68 920	82,704 00	68,229 00	LT
		26,600 000	10 758	286,150 00		1,833,272 00	1,547,122 00	
TEION RANCH CO Symbol TRC Exchange NYSE EAI \$34,272 Current yield 1.47%	Oct 18, 94	20,000 000	8 578	171,562 50	94 010	1,880,200 00	1,708,637 50	LT
	Jan 5, 95	4,000 000	8 500	34,000 00	94 010	376,040 00	342,040 00	LT
		24,000 000	8 565	205,562 50		2,256,240 00	2,050,677 50	
TIFFANY & CO NEW Symbol TIF Exchange NYSE EAI \$34,272 Current yield 1.47%	Jan 14, 91	3,550 000	22 750	80,762 50	36 760	130,498 00	49,735 50	LT
TOOTSIE ROLL INDUST Symbol TR Exchange NYSE EAI \$7,849 Current yield 0.98%	Jan 23, 95	25,200 000	3 801	95,809 74	92 780	2,338,056 00	2,242,246 26	LT
Security total	Oct 17, 94	3,495 175	8 947	31,273 22	32 540	113,732 99	82,459 77	LT
	Dec 12, 94	21,032 825	7 764	163,310 59	32 540	684,408 13	521,097 54	LT
		24,528 000	7 933	194,583 81		798,141 12	603,557 31	
US BANCORP DEL (NEW) Symbol USB Exchange NYSE EAI \$23,000 Current yield 2.28%	Jan 21, 94	5,200 000	4 011	20,859 12	40 400	210,080 00	189,220 88	LT
	Nov 2, 94	4,500 000	4 346	19,558 22	40 400	181,800 00	162,241 78	LT
	Nov 3, 94	12,600 000	4 322	54,466 59	40 400	509,040 00	454,573 41	LT
	Nov 4, 94	2,700 000	4 353	11,754 00	40 400	109,080 00	97,326 00	LT
		25,000 000	4 266	106,637 93		1,010,000 00	903,362 07	
Security total								
WILLIAMS SONOMA INC Symbol WSM Exchange NYSE EAI \$29,636 Current yield 2.13%	Mar 2, 92	23,900 000	1 994	47,667 22	58 280	1,392,892 00	1,345,224 78	LT
Total				\$2,063,819.55		\$14,781,337.44	\$12,717,517.93	
Total estimated annual income: \$231,491								



Managed Accounts Consulting
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Your assets • Equities (continued)

Warrants

Holding	Trade date	Number of warrants	Purchase price per warrant (\$)	Cost basis (\$)	Price per warrant on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
WARRANTS TEJON RANCH CO Expires Aug 31, 16		524 000	---	This information was unavailable---	5 489	2,876 23		

Your total assets

	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	135,254.77	0.91%	135,254.77		
Equities	14,781,337.44		2,063,819.55	231,491.00	12,717,517.93
* Warrants	2,876 23				
Total equities	14,784,213.67	99.09%	2,063,819.55	231,491.00	12,717,517.93
Total	\$14,919,468.44	100.00%	\$2,199,074.32	\$231,491.00	\$12,717,517.93

* Missing cost basis information

Total unrealized gain =

Account activity this month

14,784,213.67

- 2,063,819.55 =

12,720,394.12

For more information about the price/value shown for restricted securities, see Important information about your statement at the end of this document

Date	Activity	Description	Your expense code	Quantity/Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Nov 29		Cash and money balance					\$16,833.64
Dec 2	Dividend	CHURCH & DWIGHT CO INC PAID ON	35300		9,884 00		26,717 64
Dec 6	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 12/05/13			45		26,718 09
Dec 9	Sold	TOOTSIE ROLL INDUST UNSOLICITED Other fees and charges \$ 49		-858 000	32.759067	28,106 79	54,824.88
		An affiliate of UBS Financial Services Inc makes a market in this security and may have acted as principal ▶ Average Priced Trade ▶ SYMBOL TR ▶ CUSIP NO. 890516107 ▶ Location of Execution 09 ▶ Capacity Agent					
Dec 10	Dividend	BOSWELL J G CO PAID ON	200		800 00		55,624 88
Dec 11	Dividend	CINTAS CORP PAID ON	3000		2,310 00		
Dec 11	Sold	TOOTSIE ROLL INDUST UNSOLICITED Other fees and charges \$ 69		-1,197 000	32 95	39,440 46	
		An affiliate of UBS Financial Services Inc makes a market in this security and may have acted as principal ▶ Average Priced Trade ▶ SYMBOL TR ▶ CUSIP NO. 890516107 ▶ Location of Execution 09 ▶ Capacity Agent					

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December 2013

Account name: HARDEN FOUNDATION
Account number: ML 09279 EF

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Your assets

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Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

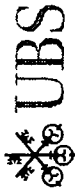
Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	0.00	1,699.47					
UBS BANK USA BUS ACCT	250,000.00	241,819.49					250,000.00
UBS SELECT PRIME CAPITAL	212,328.18	0.00	1.00	0.01%	Nov 1 to Nov 30	30	
Total	\$462,328.18	\$243,518.96					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AGRIUM INC (CANADA) CAD								
Symbol AGU Exchange NYSE								
EAI \$3.975 Current yield 3.28%								
CAD Exchange rate 1.06250								
	Nov 22, 13	1,059,000	88.809	94,049.58	91.480	96,877.32	2,827.74	ST
	Nov 26, 13	111,000	88.771	9,853.64	91.480	10,154.28	300.64	ST
	Nov 27, 13	107,000	89.065	9,530.06	91.480	9,788.36	258.30	ST
	Dec 10, 13	48,000	90.354	4,337.03	91.480	4,391.04	54.01	ST
Security total		1,325,000	88.883	117,770.31		121,211.00	3,440.69	

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ELLIOTT/FOCARACCI
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AMERICAN INTL GROUP INC COM NEW								
Symbol AIG Exchange NYSE								
EAI \$3.768 Current yield 0.78%								
	May 7, 12	1,924,000	31.158	59,949.34	51.050	98,220.20	38,270.86	LT
	May 8, 12	1,854,000	31.640	58,661.67	51.050	94,646.70	35,985.03	LT
	May 14, 12	471,000	31.304	14,744.33	51.050	24,044.55	9,300.22	LT
	May 15, 12	558,000	31.024	17,311.73	51.050	28,485.90	11,174.17	LT
	May 16, 12	523,000	30.917	16,170.01	51.050	26,699.15	10,529.14	LT
	Aug 3, 12	606,000	30.992	18,781.39	51.050	30,936.30	12,154.91	LT
	Sep 11, 12	1,826,000	32.722	59,751.47	51.050	93,217.30	33,465.83	LT
	Sep 21, 12	384,000	33.590	12,898.83	51.050	19,603.20	6,704.37	LT
	Jan 14, 13	1,274,000	34.932	44,504.51	51.050	65,037.70	20,533.19	ST
Security total		9,420,000	32.142	302,773.28		480,891.00	178,117.72	
ANGLOGOLD ASHANTI LTD NEW SPON ADR								
Symbol AU Exchange NYSE								
EAI \$2.485 Current yield 2.09%								
	Mar 28, 08	534,000	33.226	17,742.90	11.720	6,258.48	-11,484.42	LT
	Jun 10, 08	2,200,000	34.164	75,161.90	11.720	25,784.00	-49,377.90	LT
	Jun 11, 08	700,000	33.166	23,216.83	11.720	8,204.00	-15,012.83	LT
	Jun 18, 08	1,200,000	31.507	37,808.40	11.720	14,064.00	-23,744.40	LT
	Jun 26, 08	2,500,000	31.927	79,817.75	11.720	29,300.00	-50,517.75	LT
	Sep 1, 09	494,000	36.040	17,803.81	11.720	5,789.68	-12,014.13	LT
	Feb 25, 10	216,000	34.853	7,528.44	11.720	2,531.52	-4,996.92	LT
	Jan 10, 11	226,000	44.574	10,073.84	11.720	2,648.72	-7,425.12	LT
	Jan 13, 11	1,147,000	44.621	51,181.09	11.720	13,442.84	-37,738.25	LT
	Jan 14, 11	364,000	44.106	16,054.88	11.720	4,266.08	-11,788.80	LT
	Jan 18, 11	48,000	44.967	2,158.46	11.720	562.56	-1,595.90	LT
	Oct 8, 12	512,000	33.736	17,273.04	11.720	6,000.64	-11,272.40	LT
Security total		10,141,000	35.087	355,821.34		118,852.52	-236,968.82	
AON PLC								
Symbol AON Exchange NYSE								
EAI \$3.390 Current yield 0.83%								
	Sep 27, 06	2,218,000	49.080	108,859.44	83.890	186,068.02	77,208.58	LT
	Jun 11, 09	700,000	49.080	34,356.00	83.890	58,723.00	24,367.00	LT

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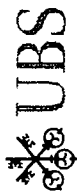
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831-622-0222/800-937-2400

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Dec 1, 09	227 000	49 080	11,141 16	83 890	19,043 03	7,901 87	LT
	Dec 2, 09	707 000	49 080	34,699 56	83 890	59,310 23	24,610 67	LT
	Dec 3, 09	891 000	49 080	43,730 28	83 890	74,745 99	31,015 71	LT
	Dec 4, 09	100 000	49 080	4,908 00	83 890	8,389 00	3,481 00	LT
Security total		4,843 000	49 080	237,694 44		406,279 27	168,584 83	
APACHE CORP								
Symbol APA Exchange NYSE								
EAI \$3,546 Current yield 0 93%	Jan 3, 07	1,300,000	64 989	84,485 70	85 940	111,722 00	27,236 30	LT
	Jan 3, 07	26 000	65 135	1,693 53	85 940	2,234 44	540 91	LT
	Apr 17, 07	1,100 000	74 652	82,117 53	85 940	94,534 00	12,416 47	LT
	Apr 18, 07	500 000	74 770	37,385 00	85 940	42,970 00	5,585 00	LT
	Apr 18, 07	300 000	74 811	22,443 48	85 940	25,782 00	3,338 52	LT
	Feb 27, 13	884 000	74 257	65,643 81	85 940	75,970 96	10,327 15	ST
	May 20, 13	323 000	84 057	27,150 44	85 940	27,758 62	608 18	ST
Security total		4,433 000	72 393	320,919 49		380,972 02	60,052 53	
APPLIED MATERIALS INC								
Symbol AMAT Exchange OTC								
EAI \$2,182 Current yield 2 26%	Dec 27, 12	550 000	11 371	6,254 55	17 680	9,724 00	3,469 45	LT
	Dec 28, 12	3,834 000	11 318	43,393 97	17 680	67,785 12	24,391 15	LT
	Dec 31, 12	1,070,000	11 266	12,054 94	17 680	18,917 60	6,862 66	ST
Security total		5,454,000	11 313	61,703 46		96,426 72	34,723 26	
AVON PRODUCTS INC								
Symbol AVP Exchange NYSE								
EAI \$1,912 Current yield 1 39%	Nov 5, 13	7,965 000	17 635	140,462 78	17 220	137,157 30	-3,305 48	ST
BAKER HUGHES INC								
Symbol BHI Exchange NYSE								
EAI \$1,726 Current yield 1 09%	Jul 24, 13	591 000	47 636	28,153 17	55 260	32,658 66	4,505 49	ST
	Jul 25, 13	252 000	47 436	11,954 07	55 260	13,925 52	1,971 45	ST
	Jul 26, 13	1,646 000	47 624	78,389 93	55 260	90,957 96	12,568 03	ST
	Jul 29, 13	388 000	47 454	18,412 19	55 260	21,440 88	3,028 69	ST
Security total		2,877 000	47 588	136,909 36		158,983 02	22,073 66	

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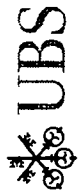
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of Shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
BARRICK GOLD CORP CAD								
Symbol ABX Exchange NYSE								
EAI \$1,203 Current yield 1 13%								
CAD Exchange rate 1 06250								
	Dec 6, 05	576 000	26 806	15,440 60	17 630	10,154 88	-5,285 72	LT
	Dec 9, 05	1,100 000	27 866	30,653 04	17 630	19,393 00	-11,260 04	LT
	Sep 10, 09	1,254 000	37 202	46,651 31	17 630	22,108 02	-24,543 29	LT
	Feb 1, 13	1,958 000	32 173	62,996.69	17 630	34,519 54	-28,477 15	ST
	Feb 4, 13	1,126 000	32 344	36,420 13	17 630	19,851 38	-16,568 75	ST
Security total		6,014 000	31 952	192,161 77		106,026 82	-86,134 95	
CA INC								
Symbol CA Exchange OTC								
EAI \$11,141 Current yield 2 97%								
	May 3, 06	2,675 000	25 179	67,355 97	33 650	90,013 75	22,657 78	LT
	Nov 15, 07	1,000 000	25 377	25,377 70	33 650	33,650 00	8,272 30	LT
	Jun 11, 09	3,300 000	17 995	59,386 14	33 650	111,045 00	51,658 86	LT
	May 17, 10	2,445 000	20 577	50,311 50	33 650	82,274 25	31,962 75	LT
	Jan 26, 11	1,721 000	23 337	40,162 98	33 650	57,911 65	17,748 67	LT
Security total		11,141 000	21 775	242,594 29		374,894 65	132,300 36	
CANADIAN NAT RESOURCES LTD CAD								
Symbol CNQ Exchange NYSE								
EAI \$9,361 Current yield 2 22%								
CAD Exchange rate 1 06250								
	Nov 16, 09	1,338 000	34 638	46,346 52	33 840	45,277 92	-1,068 60	LT
	Nov 19, 09	464 000	33 411	15,502 80	33 840	15,701 76	198 96	LT
	Nov 20, 09	1,988 000	32 916	65,438 80	33 840	67,273 92	1,835 12	LT
	Jan 25, 10	594 000	33 278	19,767 25	33 840	20,100 96	333 71	LT
	Jan 26, 10	2,292 000	32,930	75,477 62	33 840	77,561 28	2,083 66	LT
	Mar 19, 12	164 000	35,950	5,895 82	33 840	5,549 76	-346 06	LT
	Mar 20, 12	488 000	35 200	17,177 80	33 840	16,513 92	-663 88	LT
	Apr 23, 12	461 000	31 873	14,693 82	33 840	15,600 24	906 42	LT
	Apr 24, 12	1,381 000	31 747	43,843 57	33 840	46,733 04	2,889 47	LT
	Dec 7, 12	1,442 000	28 167	40,617 82	33 840	48,797 28	8,179 46	LT
	Dec 10, 12	700 000	28 344	19,841 15	33 840	23,688 00	3,846 85	LT
	Feb 14, 13	624 000	31 142	19,433 11	33 840	21,116 16	1,683 05	ST
	Feb 15, 13	500 000	30 886	15,443 00	33 840	16,920 00	1,477 00	ST

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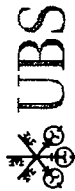
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		12,436 000	32 123	399,479 08		420,834 24	21,355 16	
CAPITAL ONE FINCL CORP								
Symbol COF Exchange NYSE								
EAI \$3,106 Current yield 1 57%	Sep 5, 12	2,016 000	55 881	112,658 11	76 610	154,445 76	41,787 65	LT
	Sep 6, 12	120 000	56 656	6,798 82	76 610	9,193 20	2,394 38	LT
	Sep 11, 12	360 000	56 150	20,214 22	76 610	27,579 60	7,365 38	LT
	Sep 24, 12	92 000	57 465	5,286 83	76 610	7,048 12	1,761 29	LT
Security total		2,588 000	56 012	144,957 98		198,266 68	53,308 70	
CENTURYLINK INC								
Symbol CTL Exchange NYSE								
EAI \$18,081 Current yield 6 78%	Jun 7, 13	1,203 000	35 348	42,524 36	31 850	38,315 55	-4,208 81	ST
	Jun 11, 13	1,486 000	35 578	52,869 50	31 850	47,329 10	-5,540 40	ST
	Jun 12, 13	1,810 000	35 478	64,215 90	31 850	57,648 50	-6,567 40	ST
	Aug 8, 13	1,884 000	34 639	65,260 63	31 850	60,005 40	-5,255 23	ST
	Sep 10, 13	1,988 000	32 522	64,654 93	31 850	63,317 80	-1,337 13	ST
Security total		8,371 000	34 587	289,525 32		266,616 35	-22,908 97	
CISCO SYSTEMS INC								
Symbol CSCO Exchange OTC								
EAI \$5,137 Current yield 3 03%	May 16, 11	779 000	16 801	13,088 60	22 430	17,472 97	4,384 37	LT
	Sep 8, 11	4,819 000	16 195	78,045 63	22 430	108,090 17	30,044 54	LT
	Feb 10, 12	1,956 000	19 875	38,875 89	22 430	43,873 08	4,997 19	LT
Security total		7,554 000	17 211	130,010 12		169,436 22	39,426 10	
CITIGROUP INC								
Symbol C Exchange NYSE								
EAI \$347 Current yield 0 08%	Dec 17, 09	2,941 200	31 983	94,071 34	52 110	153,265 93	59,194 59	LT
	Dec 17, 09	50 900	31 984	1,627 99	52 110	2,652 40	1,024 41	LT
	Dec 18, 09	1,324 500	32 602	43,181 35	52 110	69,019 70	25,838 35	LT
	Mar 31, 11	1,202 400	44 198	53,143 68	52 110	62,657 06	9,513 38	LT
	May 12, 11	694 000	41 999	29,147 72	52 110	36,164 34	7,016 62	LT
	May 13, 11	230 000	41 888	9,634 40	52 110	11,985 30	2,350 90	LT
	Jun 1, 11	1,000 000	40 182	40,182 50	52 110	52,110 00	11,927 50	LT
	Jul 27, 12	1,226 000	27 388	33,578 67	52 110	63,886 86	30,308 19	LT

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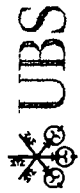
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		8,669 000	35 133	304,567 65		451,741 59	147,173 94	
CVS CAREMARK CORP								
Symbol CVS Exchange NYSE								
EAI \$4.035 Current yield 1 54%	Nov 5, 09	860 000	28 788	24,758 28	71 570	61,550 20	36,791 92	LT
	Feb 4, 11	2,808 000	32 622	91,603 70	71 570	200,968 56	109,364 86	LT
Security total		3,668 000	31 724	116,361 98		262,518 76	146,156 78	
GENERAL MOTORS CO								
Symbol GM Exchange NYSE								
	Nov 22, 10	3,376 000	34 214	115,507 81	40 870	137,977 12	22,469 31	LT
	Nov 23, 10	989 000	33 697	33,326 93	40 870	40,420 43	7,093 50	LT
	Feb 3, 11	456 000	35 559	16,215 22	40 870	18,636 72	2,421 50	LT
	Feb 15, 11	473 000	36 013	17,034 57	40 870	19,331 51	2,296 94	LT
	Feb 22, 11	544 000	35 662	19,400 13	40 870	22,233 28	2,833 15	LT
	Feb 23, 11	119 000	35 494	4,223 89	40 870	4,863 53	639 64	LT
	Feb 1, 12	1,629 000	24 411	39,766 50	40 870	66,577 23	26,810 73	LT
	Feb 2, 12	357 000	24 502	8,747 43	40 870	14,590 59	5,843 16	LT
	Jun 8, 12	388 000	21 711	8,423 87	40 870	15,857 56	7,433 69	LT
	Mar 18, 13	2,706 000	28 028	75,845 93	40 870	110,594 22	34,748 29	ST
Security total		11,037 000	30 669	338,492 28		451,082 19	112,589 91	
GOLDMAN SACHS GROUP INC								
Symbol GS Exchange NYSE								
EAI \$2.902 Current yield 1.24%	Mar 17, 11	274 000	154 621	42,366 18	177 260	48,569 24	6,203 06	LT
	Apr 15, 11	131 000	154 986	20,303 18	177 260	23,221 06	2,917 88	LT
	Apr 29, 11	151 000	149 833	22,624 80	177 260	26,766 26	4,141 46	LT
	May 6, 11	92 000	149 985	13,798 66	177 260	16,307 92	2,509 26	LT
	May 23, 11	289 000	136 745	39,519 33	177 260	51,228 14	11,708 81	LT
	Jul 1, 11	382 000	135 884	51,908 03	177 260	67,713 32	15,805 29	LT
Security total		1,319 000	144 443	190,520 18		233,805 94	43,285 76	
HARTFORD FINCL SERVICES GROUP INC								
Symbol HIG Exchange NYSE								
EAI \$7.805 Current yield 1 66%	Aug 3, 06	330 000	82 763	27,311 96	36 230	11,955 90	-15,356 06	LT
	Jul 23, 07	1,600 000	96 251	154,002 40	36 230	57,968 00	-96,034 40	LT
	May 22, 08	1,400 000	70 550	98,770 00	36 230	50,722 00	-48,048 00	LT

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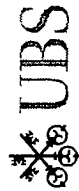
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
INGERSOLL-RAND PLC Symbol IR Exchange NYSE EAI \$2,271 Current yield 1 36%	Dec 22, 09	337 000	23 324	7,860 26	36 230	12,209 51	4,349 25	LT
	Sep 22, 11	4,726 000	15 858	74,949 16	36 230	171,222 98	96,273 82	LT
	Nov 15, 11	927 000	17 813	16,513 11	36 230	33,585 21	17,072 10	LT
	Nov 16, 11	1,312 000	17 681	23,198 78	36 230	47,533 76	24,334 98	LT
	Nov 17, 11	1,286 000	17 262	22,199 96	36 230	46,591 78	24,391 82	LT
	Aug 2, 12	1,090 000	16 145	17,598 92	36 230	39,490 70	21,891 78	LT
Security total		13,008 000	34 010	442,404 55		471,279 84	28,875 29	
INTERPUBLIC GROUP OF COS INC Symbol IPG Exchange NYSE EAI \$894 Current yield 1 69%	Dec 31, 01	303 000	13 186	3,995 58	61 600	18,664 80	14,669 22	LT
	Dec 8, 05	2,400 000	28 280	67,874.13	61 600	147,840 00	79,965 87	LT
		2,703,000	26 589	71,869 71		166,504 80	94,635 09	
JPMORGAN CHASE & CO Symbol JPM Exchange NYSE EAI \$7,646 Current yield 2 60%	Dec 23, 13	1,892 000	16 924	32,021 34	17 700	33,488 40	1,467 06	ST
	Dec 26, 13	1,088 000	17 284	18,805 79	17 700	19,257 60	451 81	ST
		2,980 000	17 056	50,827 13		52,746 00	1,918 87	
LOEWS CORP Symbol L Exchange NYSE EAI \$1,110 Current yield 0 52%	Dec 1, 05	1,922 000	38 570	74,131 54	58 480	112,398 56	38,267 02	LT
	Sep 26, 08	2,100 000	41 047	86,199 33	58 480	122,808 00	36,608 67	LT
	Dec 18, 13	1,008 000	55 794	56,240 65	58 480	58,947 84	2,707 19	ST
		5,030 000	43 056	216,571 52		294,154 40	77,582 88	
Security total	Nov 13, 07	140 000	44 940	6,291 60	48 240	6,753 60	462 00	LT
	Oct 10, 08	400 000	24 218	9,687 56	48 240	19,296 00	9,608 44	LT
	Oct 15, 08	900 000	31 162	28,046 43	48 240	43,416 00	15,369 57	LT
	Oct 16, 08	800 000	29 900	23,920 32	48 240	38,592 00	14,671 68	LT
	Mar 19, 09	1,300 000	22 986	29,882 84	48 240	62,712 00	32,829 16	LT
	Mar 20, 09	900 000	22 921	20,629 44	48 240	43,416 00	22,786 56	LT
Security total		4,440 000	26 680	118,458 19		214,185 60	95,727 41	

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
METLIFE INC								
Symbol MET Exchange NYSE								
EAI \$6,635 Current yield 2.04%	Oct 9, 08	3,187 000	27 998	89,230 26	53 920	171,843 04	82,612 78	LT
	Dec 3, 09	2,219 000	34 250	76,000 75	53 920	119,648 48	43,647 73	LT
	Dec 6, 10	626 000	40 831	25,560 21	53 920	33,753 92	8,193 71	LT
Security total		6,032 000	31 630	190,791 22		325,245 44	134,454 22	
METRO AG ADR								
Symbol MTRY Exchange OTC								
EAI \$635 Current yield 1.80%	Aug 28, 13	2,984 000	7 341	21,907 63	9 630	28,735 92	6,828 29	ST
	Oct 7, 13	684 000	8 020	5,485 95	9 630	6,586 92	1,100 97	ST
Security total		3,668 000	7 468	27,393 58		35,322 84	7,929 26	
MICROSOFT CORP								
Symbol MSFT Exchange OTC								
EAI \$12,422 Current yield 2.99%	Dec 9, 05	1,727 000	27 720	47,872 44	37 410	64,607 07	16,734 63	LT
	Jun 9, 08	3,200 000	27 465	87,890 24	37 410	119,712 00	31,821 76	LT
	Aug 15, 11	2,378 000	25 457	60,537 22	37 410	88,960 98	28,423 76	LT
	Mar 28, 13	1,680 000	28 484	47,853 62	37 410	62,848 80	14,995 18	ST
	Apr 5, 13	716 000	28 404	20,337 62	37 410	26,785 56	6,447 94	ST
	Apr 16, 13	1,390 000	28 990	40,297 07	37 410	51,999 90	11,702 83	ST
Security total		11,091 000	27 481	304,788 21		414,914 31	110,126 10	
MOSAIC CO								
Symbol MOS Exchange NYSE								
EAI \$1,415 Current yield 2.12%	Feb 27, 12	118 000	58 822	6,941 07	47 270	5,577 86	-1,363 21	LT
	Feb 29, 12	631 000	57 961	36,573 52	47 270	29,827 37	-6,746 15	LT
	Mar 1, 12	240 000	57 927	13,902 62	47 270	11,344 80	-2,557 82	LT
	Jun 5, 12	426 000	46 416	19,773 39	47 270	20,137 02	363 63	LT
Security total		1,415 000	54 552	77,190 60		66,887 05	-10,303 55	
NORWEGIAN CRUISE LINE HLDGS LTD								
Symbol NCLH Exchange OTC								
	Nov 20, 13	299 000	32 600	9,747 64	35 470	10,605 53	857 89	ST
	Nov 21, 13	191 000	32 925	6,288 69	35 470	6,774 77	486 08	ST
	Nov 22, 13	880 000	33 136	29,160 30	35 470	31,213 60	2,053 30	ST
	Nov 25, 13	186 000	33 395	6,211 60	35 470	6,597 42	385 82	ST

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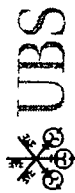
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ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Nov 26, 13	128 000	33 987	4,350 39	35 470	4,540 16	189 77	ST
	Dec 4, 13	3,386 000	33 587	113,726 59	35 470	120,101 42	6,374 83	ST
	Dec 4, 13	1,086 000	33 333	36,200 07	35 470	38,520 42	2,320 35	ST
Security total		6,156 000	33 412	205,685 28		218,353 32	12,668 04	
NRG ENERGY INC NEW								
Symbol NRG Exchange NYSE								
EAI \$2.567 Current yield 1 67%	Mar 11, 08	1,348 000	42 427	57,191 87	28 720	38,714 56	-18,477 31	LT
	Mar 12, 08	800 000	42 859	34,287 20	28 720	22,976 00	-11,311 20	LT
	Mar 13, 08	800 000	42 003	33,602 80	28 720	22,976 00	-10,626 80	LT
	Mar 13, 08	500 000	42 050	21,025 00	28 720	14,360 00	-6,665 00	LT
	Apr 14, 08	1,900 000	41 243	78,361 89	28 720	54,568 00	-23,793 89	LT
Security total		5,348 000	41 972	224,468 76		153,594 56	-70,874 20	
OCCIDENTAL PETROLEUM CRP								
Symbol OXY Exchange NYSE								
EAI \$4.316 Current yield 2 69%	Apr 14, 10	482 000	85 770	41,341 33	95 100	45,838 20	4,496 87	LT
	Apr 15, 10	329 000	85 657	28,181 48	95 100	31,287 90	3,106 42	LT
	Aug 27, 13	367 000	87 401	32,076 20	95 100	34,901 70	2,825 50	ST
	Aug 29, 13	421 000	88 302	37,175 31	95 100	40,037 10	2,861 79	ST
	Aug 30, 13	87 000	88 139	7,668 11	95 100	8,273 70	605 59	ST
Security total		1,686 000	86 858	146,442 43		160,338 60	13,896 17	
ORACLE CORP								
Symbol ORCL Exchange NYSE								
EAI \$3.671 Current yield 1 25%	Aug 14, 13	2,460 000	33 605	82,669 04	38 260	94,119 60	11,450 56	ST
	Aug 15, 13	864 000	32,785	28,326 33	38 260	33,056 64	4,730 31	ST
	Oct 24, 13	4,324 000	33 069	142,992 09	38 260	165,436 24	22,444 15	ST
Security total		7,648 000	33 210	253,987 46		292,612 48	38,625 02	
PACCAR INC								
Symbol PCAR Exchange OTC								
EAI \$2.929 Current yield 1 35%	Sep 7, 11	213 000	36 485	7,771 31	59 170	12,603 21	4,831 90	LT
	Sep 8, 11	475 000	36 576	17,373 98	59 170	28,105 75	10,731 77	LT
	Sep 9, 11	1,411 000	35 490	50,077 24	59 170	83,488 87	33,411 63	LT
	Sep 12, 11	431 000	34 993	15,082 33	59 170	25,502 27	10,419 94	LT

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HARDEN FOUNDATION
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Your Financial Advisor:
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
PFIZER INC								
Symbol PFE Exchange NYSE								
EAI \$12,977 Current yield 3.40%	Sep 28, 11	331,000	35.058	11,604.33	59.170	19,585.27	7,980.94	LT
	Sep 29, 11	337,000	35.376	11,922.02	59.170	19,940.29	8,018.27	LT
	Sep 30, 11	463,000	34.537	15,991.05	59.170	27,395.71	11,404.66	LT
Security total		3,661,000	35.461	129,822.26		216,621.37	86,799.11	
PHILIP MORRIS INTL INC								
Symbol PM Exchange NYSE								
EAI \$12,977 Current yield 3.40%	Apr 14, 10	2,153,000	17.050	36,709.08	30.630	65,946.39	29,237.31	LT
	Apr 26, 10	3,461,000	16.888	58,449.71	30.630	106,010.43	47,560.72	LT
	Dec 22, 10	2,424,000	17.468	42,344.61	30.630	74,247.12	31,902.51	LT
	Dec 27, 10	2,635,000	17.515	46,154.13	30.630	80,710.05	34,555.92	LT
	Dec 28, 10	1,266,000	17.661	22,359.46	30.630	38,777.58	16,418.12	LT
	Dec 29, 10	539,000	17.610	9,492.17	30.630	16,509.57	7,017.40	LT
Security total		12,478,000	17.271	215,509.16		382,201.14	166,691.98	
PHILLIPS 66								
Symbol PM Exchange NYSE								
EAI \$4,316 Current yield 4.31%	Jun 23, 06	1,148,000	37.873	43,478.89	87.130	100,025.24	56,546.35	LT
RAYTHEON CO NEW								
Symbol RTN Exchange NYSE								
EAI \$2,133 Current yield 2.02%	Nov 29, 13	795,000	69.629	55,355.77	77.130	61,318.35	5,962.58	ST
	Nov 29, 13	400,000	69.629	27,851.96	77.130	30,852.00	3,000.04	ST
	Dec 3, 13	96,000	69.891	6,709.54	77.130	7,404.48	694.94	ST
	Dec 5, 13	76,000	69.441	5,277.53	77.130	5,861.88	584.35	ST
Security total		1,367,000	69.638	95,194.80		105,436.71	10,241.91	
RAYTHEON CO NEW								
Symbol RTN Exchange NYSE								
EAI \$4,371 Current yield 2.43%	Oct 13, 03	698,000	27.577	19,249.30	90.700	63,308.60	44,059.30	LT
	Aug 16, 11	692,000	41.067	28,418.71	90.700	62,764.40	34,345.69	LT
	Aug 17, 11	304,000	41.369	12,576.36	90.700	27,572.80	14,996.44	LT
	Aug 18, 11	247,000	40.105	9,906.03	90.700	22,402.90	12,496.87	LT
	Aug 19, 11	46,000	39.822	1,831.83	90.700	4,172.20	2,340.37	LT
Security total		1,987,000	36.227	71,982.23		180,220.90	108,238.67	

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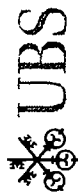
HARDEN FOUNDATION
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ELLIOTT/FOCARACCI
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
SANDRI SPON ADR								
Symbol SNY Exchange NYSE								
EAI \$8.303 Current yield 2.34%								
	Aug 13, 07	1,495,000	40.538	60,604.31	53.630	80,176.85	19,572.54	LT
	May 4, 10	773,000	33.208	25,670.40	53.630	41,455.99	15,785.59	LT
	May 5, 10	1,136,000	32.788	37,247.74	53.630	60,923.68	23,675.94	LT
	Nov 29, 10	647,000	30.955	20,028.34	53.630	34,698.61	14,670.27	LT
	Nov 30, 10	1,234,000	30.515	37,655.88	53.630	66,179.42	28,523.54	LT
	Dec 1, 10	372,000	31.354	11,663.69	53.630	19,950.36	8,286.67	LT
	Oct 9, 13	807,000	48.853	39,424.94	53.630	43,279.41	3,854.47	ST
	Dec 3, 13	152,000	51.448	7,820.13	53.630	8,151.76	331.63	ST
Security total		6,616,000	36.293	240,115.43		354,816.08	114,700.65	
TALISMAN ENERGY INC CAD								
Symbol TLM Exchange NYSE								
EAI \$9.061 Current yield 2.32%								
CAD Exchange rate 1.06250								
	Apr 22, 08	3,800,000	21.230	80,674.00	11.650	44,270.00	-36,404.00	LT
	Apr 22, 08	1,500,000	21.255	31,883.70	11.650	17,475.00	-14,408.70	LT
	Jul 23, 08	2,300,000	18.350	42,206.84	11.650	26,795.00	-15,411.84	LT
	Jul 23, 08	1,300,000	18.440	23,972.52	11.650	15,145.00	-8,827.52	LT
	Jun 29, 11	1,396,000	19.811	27,656.30	11.650	16,263.40	-11,392.90	LT
	Jun 30, 11	734,000	20.340	14,930.00	11.650	8,551.10	-6,378.90	LT
	Jul 29, 11	2,755,000	18.485	50,927.55	11.650	32,095.75	-18,831.80	LT
	Oct 20, 11	1,121,000	13.389	15,009.07	11.650	13,059.65	-1,949.42	LT
	Oct 21, 11	1,229,000	13.863	17,038.12	11.650	14,317.85	-2,720.27	LT
	Nov 9, 11	3,268,000	13.449	43,952.31	11.650	38,072.20	-5,880.11	LT
	Nov 10, 11	601,000	13.564	8,152.02	11.650	7,001.65	-1,150.37	LT
	Feb 1, 12	2,841,000	11.996	34,081.20	11.650	33,097.65	-983.55	LT
	Feb 2, 12	971,000	12.054	11,704.63	11.650	11,312.15	-392.48	LT
	Feb 3, 12	461,000	12.445	5,737.38	11.650	5,370.65	-366.73	LT
	Apr 4, 12	401,000	12.658	5,076.18	11.650	4,671.65	-404.53	LT
	Apr 5, 12	1,645,000	12.663	20,831.62	11.650	19,164.25	-1,667.37	LT
	Apr 9, 12	565,000	12.574	7,104.82	11.650	6,582.25	-522.57	LT
	Apr 10, 12	767,000	12.369	9,487.25	11.650	8,935.55	-551.70	LT

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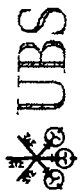
Account name: HARDEN FOUNDATION
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Your Financial Advisor:
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Sep 24, 12	1,892,000	13.636	25,799.50	11.650	22,041.80	-3,757.70	LT
	Sep 25, 12	1,120,000	13.699	15,343.66	11.650	13,048.00	-2,295.66	LT
	Jun 13, 13	912,000	11.582	10,562.97	11.650	10,624.80	61.83	ST
	Jun 14, 13	1,980,000	11.612	22,993.15	11.650	23,067.00	73.85	ST
Security total		33,559,000	15.648	525,124.79		390,962.35	-134,162.44	
TEVA PHARMACEUTICALS IND LTD								
ISRAEL ADR								
Symbol TEVA Exchange OTC								
EAI \$7,625 Current yield 2.71%								
Security total	Jun 7, 11	731,000	49.667	36,306.87	40.080	29,298.48	-7,008.39	LT
	Jun 8, 11	1,347,000	49.727	66,983.21	40.080	53,987.76	-12,995.45	LT
	Jun 21, 11	753,000	47.548	35,804.17	40.080	30,180.24	-5,623.93	LT
	Jun 22, 11	391,000	47.640	18,627.59	40.080	15,671.28	-2,956.31	LT
	Jun 23, 11	239,000	47.246	11,291.99	40.080	9,579.12	-1,712.87	LT
	Sep 20, 11	1,451,000	36.864	53,490.82	40.080	58,156.08	4,665.26	LT
	May 30, 13	1,441,000	38.832	55,957.20	40.080	57,755.28	1,798.08	ST
	May 31, 13	658,000	38.627	25,417.09	40.080	26,372.64	955.55	ST
	May 31, 13	10,000	38.625	386.25	40.080	400.80	14.55	ST
	May 31, 13	7,021,000	43.336	304,265.19		281,401.68	-22,863.51	
TIME WARNER INC NEW								
Symbol TWX Exchange NYSE								
EAI \$5,350 Current yield 1.65%								
Security total	Oct 13, 10	941,000	31.542	29,681.21	69.720	65,606.52	35,925.31	LT
	Oct 14, 10	1,811,000	31.323	56,727.22	69.720	126,262.92	69,535.70	LT
	Dec 21, 10	945,000	31.817	30,067.92	69.720	65,885.40	35,817.48	LT
	Dec 22, 10	955,000	31.967	30,529.06	69.720	66,582.60	36,053.54	LT
Security total		4,652,000	31.600	147,005.41		324,337.44	177,332.03	
UNION PACIFIC CORP								
Symbol UNP Exchange NYSE								
EAI \$3,991 Current yield 1.88%								
Security total	Mar 17, 04	1,263,000	31.000	39,153.63	168.000	212,184.00	173,030.37	LT
UNION GROUP								
Symbol UNM Exchange NYSE								
EAI \$6,172 Current yield 1.65%								
Security total	May 20, 10	2,373,000	21.368	50,706.50	35.080	83,244.84	32,538.34	LT
	Sep 13, 10	963,000	22.145	21,325.83	35.080	33,782.04	12,456.21	LT
	Sep 14, 10	3,229,000	22.193	71,664.10	35.080	113,273.32	41,609.22	LT

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
VALERO ENERGY CORP NEW Symbol VLO Exchange NYSE EAI \$3,709 Current yield 1.79%	Jan 24, 12	129 000	22 926	2,957 57	35 080	4,525 32	1,567 75	LT
	Jan 25, 12	121 000	23 076	2,792 27	35 080	4,244 68	1,452 41	LT
	Jan 26, 12	629 000	22 902	14,405 92	35 080	22,065 32	7,659 40	LT
	Mar 8, 12	1,139 000	23 437	26,694 86	35 080	39,956 12	13,261 26	LT
	Aug 9, 12	1,298 000	19 330	25,090 73	35 080	45,533 84	20,443 11	LT
	Aug 10, 12	760 000	19 356	14,710 64	35 080	26,660 80	11,950 16	LT
Security total		10,641 000	21 647	230,348 42		373,286 28	142,937 86	
VIACOM INC NEW CL B Symbol VIAB Exchange OTC EAI \$3,985 Current yield 1.37%	Nov 8, 13	1,341 000	39 982	53,616 93	50 400	67,586 40	13,969 47	ST
	Nov 11, 13	2,008 000	40 103	80,527 23	50 400	101,203 20	20,675 97	ST
	Nov 13, 13	772 000	40 879	31,558 82	50 400	38,908 80	7,349 98	ST
Security total		4,121 000	40 209	165,702 98		207,698 40	41,995 42	
WELLS FARGO & CO NEW Symbol WFC Exchange NYSE EAI \$7,658 Current yield 2.64%	Mar 9, 06	3,321 000	38 164	126,743 97	87 340	290,056 14	163,312 17	LT
	Dec 9, 05	4,291 000	31 471	135,045 49	45 400	194,811 40	59,765 91	LT
	May 2, 11	1,455 000	29 107	42,350 83	45 400	66,057 00	23,706 17	LT
Security total	Dec 18, 13	636 000	44 115	28,057 20	45 400	28,874 40	817 20	ST
		6,382 000	32 193	205,453 52		289,742 80	84,289 28	
Total				\$8,893,504.43		\$11,411,126.06	\$2,517,621.63	
Total estimated annual income: \$212,264								

Your total assets

	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	243,518.96	2.09%	243,518.96		
Equities	11,411,126.06	97.91%	8,893,504.43	212,264.00	2,517,621.63
Total	\$11,654,645.02	100.00%	\$9,137,023.39	\$212,264.00	\$2,517,621.63



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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

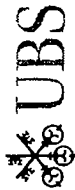
UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	16,623.71	943.50					
UBS BANK USA BUS ACCT	250,000.00	234,344.37					250,000.00
UBS SELECT PRIME CAPITAL	18,806.41	0.00	1.00	0.01%	Nov 1 to Nov 30	30	
Total	\$285,430.12	\$235,287.87					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AEGON NV ADR N.Y. SHS								
NETHERLANDS ADR								
Symbol: AEG Exchange NYSE	Aug 13, 07	1,394,000	18.152	25,304.86	9.480	13,215.12	-12,089.74	LT
	Aug 14, 07	152,000	18.100	2,751.20	9.480	1,440.96	-1,310.24	LT
	Sep 6, 07	1,130,000	18.081	20,431.98	9.480	10,712.40	-9,719.58	LT
	Feb 13, 08	1,340,000	14.036	18,809.18	9.480	12,703.20	-6,105.98	LT
	Apr 29, 08	340,000	15.100	5,134.00	9.480	3,223.20	-1,910.80	LT
	Aug 12, 08	428,000	10.630	4,549.64	9.480	4,057.44	-492.20	LT
	Aug 16, 10	750,000	5.515	4,136.25	9.480	7,110.00	2,973.75	LT
	Sep 16, 10	170,000	5.760	979.29	9.480	1,611.60	632.31	LT
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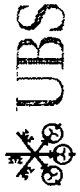
HARDEN FOUNDATION
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Sep 17, 10	1,100 000	5 697	6,267 36	9 480	10,428 00	4,160 64	LT
	Apr 23, 12	1,260 000	4 475	5,639 38	9 480	11,944 80	6,305 42	LT
	May 22, 12	463 000	4 090	1,893 67	9 480	4,389 24	2,495 57	LT
	Earnings	1,012 000	6 703	6,784 03	9 480	9,593 76	2,809 73	
		9,539 000	10 764	102,680 84		90,429 72	-12,251 12	
AMERICA MOVIL S A B DE C V								
SER L SPON ADR								
Symbol AMX Exchange NYSE								
EAI \$1.354 Current yield 1 48%								
Security total	Aug 24, 11	640 000	23 947	15,326 14	23 370	14,956 80	-369 34	LT
	Aug 26, 11	40 000	23 127	925 10	23 370	934 80	9 70	LT
	Sep 6, 11	180 000	24 346	4,382 42	23 370	4,206 60	-175 82	LT
	Sep 21, 11	360 000	23 312	8,392 61	23 370	8,413 20	20 59	LT
	Oct 3, 11	500 000	21 876	10,938 00	23 370	11,685 00	747 00	LT
	Oct 17, 11	180 000	22 997	4,139 57	23 370	4,206 60	67 03	LT
	Dec 13, 11	25 000	22,507	562 68	23 370	584 25	21 57	LT
	Dec 15, 11	225 000	22 507	5,064 23	23 370	5,258 25	194 02	LT
	Dec 27, 11	200 000	22 518	4,503 76	23 370	4,674 00	170 24	LT
	Jan 4, 12	80 000	23 118	1,849 44	23 370	1,869 60	20 16	LT
	Feb 17, 12	720 000	23 457	16,889 62	23 370	16,826 40	-63 22	LT
	Mar 19, 13	775 000	18 909	14,654 79	23 370	18,111 75	3,456 96	ST
		3,925 000	22 326	87,628 36		91,727 25	4,098 89	
ASTELLAS PHARMA INC ADR								
Symbol ALPMY Exchange OTC								
EAI \$1.350 Current yield 1 81%								
Security total	Jun 30, 10	300 000	8 503	2,550 91	14 836	4,450 80	1,899 89	LT
	Jul 30, 10	2,680 000	8 498	22,776 18	14 836	39,760 48	16,984 30	LT
	Nov 24, 10	840 000	9 215	7,740 81	14 836	12,462 24	4,721 43	LT
	Apr 8, 11	1,200 000	9 217	11,061 33	14 836	17,803 20	6,741 87	LT
		5,020 000	8 791	44,129 23		74,476 72	30,347 49	
ASTRAZENECA PLC SPON ADR								
Symbol AZN Exchange NYSE								
EAI \$7.112 Current yield 4 72%								
Security total	Jun 27, 07	800 000	53.198	42,558 56	59 370	47,496 00	4,937 44	LT
	Aug 14, 07	1,100 000	47 254	51,980 39	59 370	65,307 00	13,326 61	LT
	Feb 4, 08	640 000	41 563	26,600 32	59 370	37,996 80	11,396 48	LT

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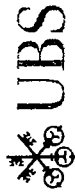
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec. 31 (\$)	Value on Dec. 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		2,540,000	47.693	121,139.27		150,799.80	29,660.53	
BANCO DO BRASIL S A SPON ADR								
Symbol BDRY Exchange OTC								
EAI \$1,459 Current yield 4.65%	Nov 8, 12	1,660,000	10.845	18,003.03	10.383	17,235.78	-767.25	LT
	Jun 5, 13	1,360,000	11.810	16,061.60	10.383	14,120.88	-1,940.72	ST
Security total		3,020,000	11.280	34,064.63		31,356.66	-2,707.97	
BANCO SANTANDER BRASIL ADS								
Symbol BSBR Exchange NYSE								
EAI \$1,218 Current yield 3.29%	Nov 23, 11	280,000	6.885	1,927.80	6.100	1,708.00	-219.80	LT
	Mar 22, 12	50,000	9.644	482.22	6.100	305.00	-177.22	LT
	Mar 28, 12	3,575,000	9.428	33,707.25	6.100	21,807.50	-11,899.75	LT
	Apr 10, 12	180,000	8.491	1,528.54	6.100	1,098.00	-430.54	LT
	Jul 18, 13	1,975,000	5.950	11,751.25	6.100	12,047.50	296.25	ST
Security total		6,060,000	8.151	49,397.06		36,966.00	-12,431.06	
BARCLAYS PLC ADR								
Symbol BCS Exchange NYSE								
EAI \$2,000 Current yield 2.18%	Nov 7, 07	1,330,000	43.189	57,442.17	18.130	24,112.90	-33,329.27	LT
	Dec 13, 07	770,000	43.292	33,335.23	18.130	13,960.10	-19,375.13	LT
	Dec 20, 07	450,000	39.858	17,936.19	18.130	8,158.50	-9,777.69	LT
	Feb 4, 08	1,050,000	38.157	40,065.80	18.130	19,036.50	-21,029.30	LT
	Mar 12, 12	35,000	14.761	516.64	18.130	634.55	117.91	LT
	Mar 16, 12	90,000	15.763	1,418.70	18.130	1,631.70	213.00	LT
	Mar 28, 12	180,000	15.988	2,877.97	18.130	3,263.40	385.43	LT
	Apr 11, 12	120,000	13.399	1,607.94	18.130	2,175.60	567.66	LT
	Oct 1, 13	1,006,000	12.004	12,076.31	18.130	18,238.78	6,162.47	ST
	Earnings	19,000	16.430	312.17	18.130	344.47	32.30	
Security total		5,050,000	33.186	167,589.12		91,556.50	-76,032.62	
BP PLC SPON ADR								
Symbol BP Exchange NYSE								
EAI \$9,104 Current yield 4.69%	Jul 2, 09	478,000	47.861	22,877.75	48.610	23,235.58	357.83	LT
	May 17, 11	40,000	42.452	1,698.08	48.610	1,944.40	246.32	LT
	May 26, 11	770,000	45.262	34,851.74	48.610	37,429.70	2,577.96	LT

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Jun 6, 11	10 000	43 960	439 60	48 610	486 10	46 50	LT
	Jul 11, 11	60 000	43 788	2,627 31	48 610	2,916 60	289 29	LT
	Aug 5, 11	140 000	38 770	5,427 80	48 610	6,805 40	1,377 60	LT
	Aug 11, 11	75 000	38 288	2,871 64	48 610	3,645 75	774 11	LT
	Aug 19, 11	330 000	38 975	12,861.85	48 610	16,041 30	3,179 45	LT
	Aug 25, 11	30 000	39 467	1,184 03	48 610	1,458 30	274 27	LT
	Aug 26, 11	15 000	37 956	569 34	48 610	729 15	159 81	LT
	Sep 20, 11	15 000	38.363	575 45	48 610	729 15	153 70	LT
	Oct 4, 11	45 000	33 659	1,514 68	48 610	2,187 45	672 77	LT
	Oct 28, 11	10 000	44 954	449 54	48 610	486 10	36 56	LT
	Dec 12, 11	70 000	41 818	2,927 29	48 610	3,402 70	475 41	LT
	Mar 21, 12	210 000	46 029	9,666 26	48 610	10,208 10	541 84	LT
	Mar 22, 12	120 000	45 814	5,497 79	48 610	5,833 20	335 41	LT
	Mar 28, 12	30 000	45 119	1,353 57	48 610	1,458 30	104 73	LT
	May 9, 12	70 000	39 028	2,731 96	48 610	3,402 70	670 74	LT
	Feb 8, 13	525 000	43 344	22,756 07	48 610	25,520 25	2,764 18	ST
	Apr 19, 13	370 000	41 024	15,179 14	48 610	17,985 70	2,806 56	ST
	Apr 26, 13	280 000	42 176	11,809 50	48 610	13,610 80	1,801 30	ST
	Sep 17, 13	300 000	42 140	12,642 00	48 610	14,583 00	1,941 00	ST
Security total		3,993 000	43 204	172,512 39		194,099 73	21,587 34	
CANON INC ADR JAPAN SPON ADR								
Symbol	CAJ	Exchange	NYSE					
EAI	\$4.071	Current yield	3 84%					
	Apr 14, 09	1,590 000	31 182	49,579 70	32 000	50,880 00	1,300 30	LT
	Aug 10, 12	360 000	34 213	12,316 86	32 000	11,520 00	-796 86	LT
	Aug 28, 13	510 000	30 482	15,546 18	32 000	16,320 00	773 82	ST
	Sep 30, 13	855 000	32 092	27,438 66	32 000	27,360 00	-78 66	ST
Security total		3,315 000	31 638	104,881 40		106,080 00	1,198 60	
CARREFOUR SA SPON ADR								
Symbol	CRFFY	Exchange	OTC					
	Jan 12, 07	2,360 000	11 423	26,959 55	7 880	18,596.80	-8,362 75	LT
	Jul 15, 08	2,200 000	10 032	22,072 20	7 880	17,336 00	-4,736 20	LT
	Aug 13, 08	1,950 000	11 062	21,572 77	7 880	15,366 00	-6,206 77	LT
	Sep 10, 08	2,100 000	9 808	20,597 89	7 880	16,548 00	-4,049 89	LT

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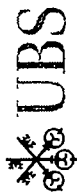
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Oct 23, 09	2,400 000	9 180	22,032 82	7 880	18,912 00	-3,120 82	LT
	Sep 21, 11	4,620 000	4 408	20,367 27	7 880	36,405 60	16,038 33	LT
	Jan 12, 12	120 000	4 246	509 60	7 880	945 60	436 00	LT
	Aug 1, 12	3,795 000	3 624	13,753 08	7 880	29,904 60	16,151 52	LT
	Earnings	506 000	5 216	2,639 40	7 880	3,987 28	1,347 88	
		20,051 000	7 506	150,504 58		158,001 88	7,497 30	
CEMEX S A B DE C V SPON ADR								
Symbol	CX	Exchange	NYSE					
	Nov 27, 09	2,256 822	9 392	21,198 04	11 830	26,698 21	5,500 17	LT
	Apr 15, 10	421 034	9 232	3,887 16	11 830	4,980 83	1,093 67	LT
	May 17, 10	263 147	9 332	2,455 91	11 830	3,113 02	657 11	LT
	Jun 7, 10	168 702	9 101	1,535 51	11 830	1,995 74	460 23	LT
	Jun 29, 10	421 755	8 813	3,717 10	11 830	4,989 36	1,272 26	LT
	Jul 19, 10	556 717	8 321	4,632 69	11 830	6,585 96	1,953 27	LT
	Aug 6, 10	309 287	8 394	2,596 21	11 830	3,658 87	1,062 66	LT
	Aug 13, 10	247 430	7 778	1,924 69	11 830	2,927 09	1,002 40	LT
	Aug 20, 10	506 107	7 511	3,801 84	11 830	5,987 24	2,185 40	LT
Security total		5,151 000	8 882	45,749 15		60,936 33	15,187 17	
CENTRAIS ELETRICAS BRAS SA								
SPON ADR	REP 50 COM							
(ELETROBRAS)								
Symbol	EBR	Exchange	NYSE					
	Dec 20, 99	2,840 000	9 547	27,113 76	2 590	7,355 60	-19,758 16	LT
	May 7, 01	1,980 000	8 230	16,295 40	2 590	5,128 20	-11,167 20	LT
	Apr 27, 12	360 000	8 375	3,015 07	2 590	932 40	-2,082 67	LT
	Dec 17, 13	2,782 000	2 555	7,108 01	2 590	7,205 38	97 37	ST
	Dec 17, 13	1,392 000	2 570	3,577 72	2 590	3,605 28	27 56	ST
Security total		9,354 000	6 105	57,109 96		24,226 86	-32,883 10	
CRH PLC IRELAND SPON ADR								
Symbol	CRH	Exchange	NYSE					
EAI \$4,792 Current yield 3 19%								
	Oct 29, 10	4,770 000	17 352	82,769 52	25 550	121,873 50	39,103 98	LT
	Jul 11, 13	1,110 000	20 422	22,668 86	25 550	28,360 50	5,691 64	ST
Security total		5,880 000	17 932	105,438 38		150,234 00	44,795 62	

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
DAI NIPPON PRINTING CO SPON ADR								
Symbol DNPJ Exchange OTC								
EAI \$1,269 Current yield 2.37%	Jul 21, 06	2,035,000	14.954	30,432.41	10.640	21,652.40	-8,780.01	LT
	Nov 29, 06	3,000,000	14.869	44,608.20	10.640	31,920.00	-12,688.20	LT
Security total		5,035,000	14.904	75,040.61		53,572.40	-21,468.21	
DAICHI SANKYO CO LTD SPON ADR								
Symbol DSNKY Exchange OTC								
EAI \$2,228 Current yield 2.76%	Apr 14, 09	1,000,000	16.796	16,796.70	18.395	18,395.00	1,598.30	LT
	May 20, 10	100,000	18.053	1,805.30	18.395	1,839.50	34.20	LT
	Oct 25, 10	1,550,000	21.637	33,538.28	18.395	28,512.25	-5,026.03	LT
	Oct 29, 10	350,000	21.065	7,373.00	18.395	6,438.25	-934.75	LT
	Mar 14, 11	450,000	20.080	9,036.18	18.395	8,277.75	-758.43	LT
	Mar 15, 11	50,000	18.805	940.28	18.395	919.75	-20.53	LT
	Mar 31, 11	50,000	19.310	965.52	18.395	919.75	-45.77	LT
	May 31, 13	835,000	16.651	13,903.75	18.395	15,359.82	1,456.07	ST
Security total		4,385,000	19.238	84,359.01		80,662.07	-3,696.94	
DAIMLER AG ORD EUR								
Symbol DDAIF Exchange OTC								
EUR Exchange rate 0.72571	Aug 10, 12	185,000	50.106	9,269.65	86.920	16,080.20	6,810.55	LT
DEUTSCHE TELEKOM AG DE SPON ADR								
Symbol DTEGY Exchange OTC								
EAI \$4,847 Current yield 5.19%	Dec 9, 05	400,000	16.664	6,665.80	17.260	6,904.00	238.20	LT
	Jan 20, 06	860,000	15.997	13,757.42	17.260	14,843.60	1,086.18	LT
	Jan 31, 07	1,150,000	17.503	20,129.49	17.260	19,849.00	-280.49	LT
	Feb 23, 07	1,800,000	18.162	32,692.50	17.260	31,068.00	-1,624.50	LT
	May 9, 07	1,200,000	17.050	20,460.00	17.260	20,712.00	252.00	LT
Security total		5,410,000	17.321	93,705.21		93,376.60	-328.61	
ENI SPA IT SPON ADR								
Symbol E Exchange NYSE								
EAI \$5,396 Current yield 4.77%	Jul 13, 09	250,000	43.858	10,964.63	48.490	12,122.50	1,157.87	LT
	Feb 26, 10	710,000	45.040	31,978.40	48.490	34,427.90	2,449.50	LT

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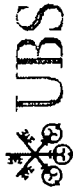
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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Apr 8, 10	40 000	46 000	1,840 03	48 490	1,939 60	99 57	LT
	Apr 22, 10	340 000	46 718	15,884 15	48 490	16,486 60	602 45	LT
	Apr 29, 10	170 000	44 885	7,630 48	48 490	8,243 30	612 82	LT
	May 5, 10	40 000	42 757	1,710 30	48 490	1,939 60	229 30	LT
	May 6, 10	80 000	41 085	3,286 87	48 490	3,879 20	592 33	LT
	May 7, 10	15 000	39 652	594 79	48 490	727 35	132 56	LT
	May 12, 10	20 000	42 020	840 40	48 490	969 80	129 40	LT
	May 17, 10	60 000	39 285	2,357 12	48 490	2,909 40	552 28	LT
	Jun 2, 10	195 000	36 600	7,137 00	48 490	9,455 55	2,318 55	LT
	Jun 7, 10	40 000	35 328	1,413 15	48 490	1,939 60	526 45	LT
	Mar 9, 11	120 000	49 703	5,964 38	48 490	5,818 80	-145 58	LT
	Mar 15, 11	40 000	46 967	1,878 71	48 490	1,939 60	60 89	LT
	May 24, 11	90 000	46 143	4,152 88	48 490	4,364 10	211 22	LT
	Jul 12, 11	20 000	43 749	874 98	48 490	969 80	94 82	LT
	Sep 23, 11	45 000	33 587	1,511 43	48 490	2,182 05	670 62	LT
	Sep 29, 11	60 000	35 649	2,138 97	48 490	2,909 40	770 43	LT
		2,335 000	43 751	102,158 67		113,224 15	11,065 48	
ERICSSON SEK 10 NEW 2002 ADR								
Symbol ERIC Exchange OTC								
EAI \$1,720 Current yield 2.40%								
Security total	Nov 8, 07	70 000	14 948	1,046.39	12 240	856 80	-189 59	LT
	Dec 4, 07	3,220 000	12 116	39,013 52	12 240	39,412 80	399 28	LT
	Dec 10, 07	2,560 000	12 536	32,094 21	12 240	31,334 40	-759 81	LT
		5,850 000	12 334	72,154 12		71,604 00	-550 12	
FIRST PAC CO LTD SPONS ADR ADR								
Symbol FPAPY Exchange OTC								
EAI \$1,058 Current yield 2.01%								
Security total	May 21, 12	5,500 000	4 992	27,456 00	5 720	31,460 00	4,004 00	LT
	May 29, 12	900 000	4 984	4,485 96	5 720	5,148 00	662 04	LT
	Jun 5, 12	2,800 000	4 933	13,814 92	5 720	16,016 00	2,201 08	LT
		9,200 000	4 974	45,756 88		52,624 00	6,867 12	
FLEXTRONICS INTL LTD								
Symbol FLEX Exchange OTC								
Security total	Nov 3, 08	5,330 000	4 311	22,978 16	7 770	41,414 10	18,435 94	LT
	Dec 17, 13	1,830 000	7 478	13,684 92	7 770	14,219 10	534 18	ST

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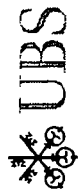
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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		7,160,000	5.121	36,663.08		55,633.20	18,970.12	
FUJIFILM HOLDINGS CORP ADR								
Symbol FUJY Exchange OTC								
EAI \$974 Current yield 1.00%								
	May 19, 04	150,000	29.130	4,369.50	28.454	4,268.10	-101.40	LT
	Sep 2, 05	510,000	33.083	16,872.48	28.454	14,511.54	-2,360.94	LT
	Mar 8, 06	800,000	31.013	24,810.72	28.454	22,763.20	-2,047.52	LT
	Nov 19, 09	970,000	27.882	27,045.83	28.454	27,600.38	554.55	LT
	Apr 11, 11	465,000	29.786	13,850.86	28.454	13,231.11	-619.75	LT
	Jun 5, 13	545,000	20.471	11,157.19	28.454	15,507.43	4,350.24	ST
Security total		3,440,000	28.519	98,106.58		97,881.76	-224.82	
GDF SUEZ SPON ADR								
Symbol GDFZY Exchange OTC								
EAI \$9,933 Current yield 5.73%								
	Mar 9, 12	20,000	26.103	522.07	23.670	473.40	-48.67	LT
	Mar 22, 12	340,000	25.922	8,813.48	23.670	8,047.80	-765.68	LT
	Mar 27, 12	460,000	26.254	12,077.12	23.670	10,888.20	-1,188.92	LT
	Mar 29, 12	1,220,000	25.873	31,565.67	23.670	28,877.40	-2,688.27	LT
	Apr 3, 12	160,000	25.742	4,118.80	23.670	3,787.20	-331.60	LT
	Apr 10, 12	140,000	24.202	3,388.31	23.670	3,313.80	-74.51	LT
	May 2, 12	20,000	23.166	463.32	23.670	473.40	10.08	LT
	May 4, 12	80,000	22.702	1,816.21	23.670	1,893.60	77.39	LT
	Jul 24, 12	3,135,000	20.413	63,995.38	23.670	74,205.45	10,210.07	LT
	Dec 20, 12	780,000	20.217	15,769.31	23.670	18,462.60	2,693.29	LT
	Feb 19, 13	970,000	19.819	19,225.16	23.670	22,959.90	3,734.74	ST
Security total		7,325,000	22.083	161,754.83		173,382.75	11,627.92	
GLAXO SMITHKLINE PLC ADR								
Symbol GSK Exchange NYSE								
EAI \$5,601 Current yield 4.51%								
	Dec 22, 06	330,000	52.404	17,293.58	53.390	17,618.70	325.12	LT
	Jun 21, 07	440,000	52.003	22,881.50	53.390	23,491.60	610.10	LT
	Jul 11, 07	420,000	51.846	21,775.70	53.390	22,423.80	648.10	LT
	Jul 25, 07	750,000	52.585	39,438.98	53.390	40,042.50	603.52	LT
	Dec 3, 13	385,000	53.003	20,406.39	53.390	20,555.15	148.76	ST
Security total		2,325,000	52.385	121,796.15		124,131.75	2,335.60	

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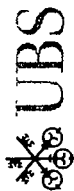
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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec. 31 (\$)	Value on Dec. 31 (\$)	Unrealized gain or loss (\$)	Holding period
H LUNDBECK A/S SPON ADR								
Symbol HLUYX Exchange OTC								
EAI \$560 Current yield 0.89%	Aug 24, 10	25 000	15 250	381 25	25 440	636 00	254 75	LT
	Nov 14, 13	2,455 000	21 684	53,234 22	25 440	62,455 20	9,220 98	ST
Security total		2,480 000	21 619	53,615 47		63,091 20	9,475 73	
HONDA MOTOR CO ADR JAPAN ADR								
Symbol HMC Exchange NYSE								
EAI \$1,350 Current yield 1.74%	Mar 24, 11	600 000	37 915	22,749 24	41 350	24,810 00	2,060 76	LT
	Apr 1, 11	465 000	36 864	17,141 85	41 350	19,227 75	2,085 90	LT
	Apr 11, 11	795 000	34 137	27,139 07	41 350	32,873 25	5,734 18	LT
	Apr 12, 11	15 000	34 136	512 04	41,350	620 25	108 21	LT
Security total		1,875 000	36 023	67,542 20		77,531 25	9,989 05	
HSBC HOLDINGS PLC NEW GB SPON ADR								
Symbol HSBC Exchange NYSE								
EAI \$3,494 Current yield 4.35%	Jun 20, 11	330 000	48 448	15,988 10	55 130	18,192 90	2,204 80	LT
	Jul 27, 11	10 000	49 599	495 99	55 130	551 30	55 31	LT
	Jul 29, 11	20 000	48 910	978 21	55 130	1,102 60	124 39	LT
	Nov 9, 11	280 000	41 792	11,701 87	55 130	15,436 40	3,734 53	LT
	Nov 15, 11	15 000	39 364	590 46	55 130	826 95	236 49	LT
	Apr 23, 12	40 000	43 917	1,756 70	55 130	2,205 20	448 50	LT
	Oct 3, 13	515 000	54 293	27,961 00	55 130	28,391 95	430 95	ST
	Oct 14, 13	240 000	55 280	13,267 27	55 130	13,231 20	-36 07	ST
	Earnings	6 000	53 200	319 20	55 130	330 78	11 58	
Security total		1,456 000	50 178	73,058 80		80,269 28	7,210 48	
INTESA SANPAOLO SPON ADR								
Symbol ISNPY Exchange OTC								
EAI \$5,649 Current yield 3.83%	Jan 23, 02	1,388 000	16 426	22,799 44	14 950	20,750 60	-2,048 84	LT
	Apr 28, 04	900 000	16 426	14,783 50	14 950	13,455 00	-1,328 50	LT
	Mar 31, 10	20 000	22 696	453 92	14 950	299 00	-154 92	LT
	Sep 6, 11	1,100 000	8 784	9,663 39	14 950	16,445 00	6,781 61	LT
	Sep 29, 11	165 000	9.621	1,587 51	14 950	2,466 75	879 24	LT

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HARDEN FOUNDATION
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Your Financial Advisor
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of Shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ITALCEMENTI S P A UNSPONS ADR Symbol ITALY Exchange OTC EAI \$467 Current yield 0.54%	Jan 4, 13	1,895,000	10.742	20,357.41	14.950	28,330.25	7,972.84	ST
	Feb 27, 13	1,730,000	9.822	16,993.62	14.950	25,863.50	8,869.88	ST
	Mar 12, 13	1,365,000	9.882	13,489.54	14.950	20,406.75	6,917.21	ST
	Mar 15, 13	1,295,000	9.798	12,688.47	14.950	19,360.25	6,671.78	ST
	Security total	9,858,000	11.444	112,816.80		147,377.10	34,560.30	
J SAINSBURY PLC SPON ADR Symbol JSAY Exchange OTC EAI \$2,777 Current yield 4.23%	Apr 14, 10	40,000	12.468	498.74	8.540	341.60	-157.14	LT
	Apr 19, 11	4,650,000	9.876	45,927.12	8.540	39,711.00	-6,216.12	LT
	Apr 2, 13	5,470,000	5.813	31,798.57	8.540	46,713.80	14,915.23	ST
	Security total	10,160,000	7.699	78,224.43		86,766.40	8,541.97	
KONINKLIJKE AHOLD NV NEW 2007 SPON ADR Symbol AHONY Exchange OTC EAI \$2,799 Current yield 2.65%	Jun 20, 08	1,198,000	25.848	30,966.98	24.575	29,440.85	-1,526.13	LT
	Apr 12, 11	1,475,000	22.109	32,612.10	24.575	36,248.12	3,636.02	LT
	Security total	2,673,000	23.786	63,579.08		65,688.97	2,109.89	
LUKOIL OIL CO SPON ADR (25 RUBLES) Symbol LUKOY Exchange OTC EAI \$4,424 Current yield 5.79%	Oct 3, 05	2,100,000	6.231	13,086.04	18.010	37,821.00	24,734.96	LT
	Apr 12, 06	1,648,000	6.315	10,407.45	18.010	29,680.48	19,273.03	LT
	Dec 1, 06	1,392,000	9.049	12,597.36	18.010	25,069.92	12,472.56	LT
	Aug 13, 10	200,000	12.842	2,568.52	18.010	3,602.00	1,033.48	LT
	Aug 18, 10	200,000	12.827	2,565.56	18.010	3,602.00	1,036.44	LT
	Oct 20, 10	75,000	13.429	1,007.24	18.010	1,350.75	343.51	LT
	Nov 23, 10	240,000	12.707	3,049.90	18.010	4,322.40	1,272.50	LT
	Security total	5,855,000	7.734	45,282.07		105,448.55	60,166.48	
	Feb 10, 12	10,000	60.632	606.32	63.120	631.20	24.88	LT
	Feb 14, 12	30,000	61.848	1,855.46	63.120	1,893.60	38.14	LT
	Mar 22, 12	850,000	62.285	52,942.68	63.120	53,652.00	709.32	LT

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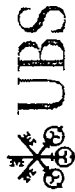
HARDEN FOUNDATION
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Your Financial Advisor:
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Mar 27, 12	270 000	63 786	17,222 22	63 120	17,042 40	-179 82	LT
	Mar 28, 12	30 000	62 714	1,881 44	63 120	1,893 60	12 16	LT
	Mar 29, 12	20 000	61 082	1,221 65	63 120	1,262 40	40 75	LT
Security total		1,210 000	62 587	75,729 77		76,375 20	645 43	
MARKS & SPENCER GROUP INC SPON								
ADR								
Symbol	MAKSY Exchange OTC							
EAI	\$2,753 Current yield 3 41%							
	Mar 17, 08	610 000	14 423	8,798 15	14 334	8,743 74	-54 41	LT
	Jul 9, 08	3,130 000	9 299	29,108 06	14 334	44,865 42	15,757 36	LT
	Jul 23, 08	1,890 000	10 847	20,501 02	14 334	27,091 26	6,590 24	LT
Security total		5,630 000	10 374	58,407 23		80,700 42	22,293 19	
MITSUBISHI UFJ FINANCIAL GROUP								
INC SPON ADR								
Symbol	MTU Exchange NYSE							
EAI	\$2,142 Current yield 1 90%							
	Nov 13, 06	710 000	11 965	8,495 51	6 680	4,742 80	-3,752 71	LT
	Nov 21, 06	3,560 000	11 774	41,916 86	6 680	23,780 80	-18,136 06	LT
	Mar 28, 07	4,040 000	11 450	46,261 23	6 680	26,987 20	-19,274 03	LT
	Apr 18, 07	3,110 000	11 182	34,777 58	6 680	20,774 80	-14,002 78	LT
	Jul 25, 07	5,450 000	11 094	60,466 66	6 680	36,406 00	-24,060 66	LT
Security total		16,870 000	11 376	191,917 84		112,691 60	-79,226 24	
MS&AD INS GROUP HLDGS ADR								
Symbol	MSADY Exchange OTC							
EAI	\$1,861 Current yield 1 57%							
	Sep 22, 06	2,380 000	20 018	47,644 82	13 400	31,892 00	-15,752 82	LT
	Nov 28, 06	960 000	19 059	18,296 85	13 400	12,864 00	-5,432 85	LT
	Jan 19, 07	2,220 000	20 355	45,188 14	13 400	29,748 00	-15,440 14	LT
	Sep 20, 07	3,300 000	17 670	58,313 92	13 400	44,220 00	-14,093 92	LT
Security total		8,860 000	19 125	169,443 73		118,724 00	-50,719 73	
NIPPON TELEG & TEL CORP SPON								
ADR								
Symbol	NTT Exchange NYSE							
EAI	\$3,194 Current yield 2 70%							
	Jun 27, 07	1,755 000	22 492	39,474 51	27 040	47,455 20	7,980 69	LT
	Jul 25, 07	2,620 000	22 307	58,444 34	27 040	70,844 80	12,400 46	LT
Security total		4,375 000	22 381	97,918 85		118,300 00	20,381 15	

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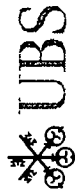
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
NISSAN MTR LTD SPONS ADR JAPAN ADR								
Symbol NSANY Exchange OTC								
EAI \$3.397 Current yield 2.77%	Sep 13, 13	3,870,000	20.059	77,629.88	16.800	65,016.00	-12,613.88	ST
	Oct 4, 13	1,405,000	19.989	28,085.39	16.800	23,604.00	-4,481.39	ST
	Nov 8, 13	860,000	18.097	15,563.68	16.800	14,448.00	-1,115.68	ST
	Nov 22, 13	1,170,000	18.409	21,538.88	16.800	19,656.00	-1,882.88	ST
Security total		7,305,000	19.551	142,817.83		122,724.00	-20,093.83	
ORANGE SPON ADR								
Symbol ORAN Exchange NYSE								
EAI \$5.128 Current yield 4.26%	Jun 17, 05	410,000	27.480	11,267.01	12.350	5,063.50	-6,203.51	LT
	Jun 22, 05	620,000	27.329	16,944.10	12.350	7,657.00	-9,287.10	LT
	Apr 24, 06	2,430,000	22.662	55,070.60	12.350	30,010.50	-25,060.10	LT
	Sep 19, 06	830,000	21.671	17,987.59	12.350	10,250.50	-7,737.09	LT
	Mar 28, 07	1,400,000	26.104	36,546.17	12.350	17,290.00	-19,256.17	LT
	Jun 1, 09	80,000	24.210	1,936.80	12.350	988.00	-948.80	LT
	Jun 1, 09	34,000	26.104	887.55	12.350	419.90	-467.65	LT
	Jun 1, 10	150,000	18.699	2,804.97	12.350	1,852.50	-952.47	LT
	Jun 29, 10	1,175,000	17.883	21,012.64	12.350	14,511.25	-6,501.39	LT
	May 15, 12	280,000	12.799	3,583.89	12.350	3,458.00	-125.89	LT
	Jun 21, 13	1,410,000	9.707	13,687.40	12.350	17,413.50	3,726.10	ST
	Jun 21, 13	930,000	9.687	9,009.03	12.350	11,485.50	2,476.47	ST
Security total		9,749,000	19.565	190,737.75		120,400.15	-70,337.60	
PETROLEO BRASILEIRO SA								
PETROBRAS NON VTG SPON ADR								
Symbol PBR A Exchange NYSE								
	May 3, 10	30,000	37.000	1,110.00	14.690	440.70	-669.30	LT
	May 25, 10	10,000	28.033	280.33	14.690	146.90	-133.43	LT
	Jun 22, 10	315,000	32.498	10,236.96	14.690	4,627.35	-5,609.61	LT
	Jun 29, 10	1,245,000	29.845	37,157.15	14.690	18,289.05	-18,868.10	LT
	Jul 16, 10	30,000	30.313	909.41	14.690	440.70	-468.71	LT
	Aug 13, 10	15,000	31.365	470.48	14.690	220.35	-250.13	LT
	Aug 20, 10	130,000	30.556	3,972.31	14.690	1,909.70	-2,062.61	LT
	Aug 26, 10	30,000	29.499	884.99	14.690	440.70	-444.29	LT

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Oct 19, 10	990 000	30 686	30,379 64	14 690	14,543 10	-15,836 54	LT
	Oct 22, 10	10 000	29 000	290 00	14 690	146 90	-143 10	LT
	Aug 25, 11	100 000	24 968	2,496 85	14 690	1,469 00	-1,027 85	LT
	Oct 17, 11	320 000	22 000	7,040 00	14 690	4,700 80	-2,339 20	LT
	Apr 3, 13	775 000	17 630	13,663 25	14 690	11,384 75	-2,278 50	ST
	Jul 9, 13	1,280 000	13 526	17,313 41	14 690	18,803 20	1,489 79	ST
Security total		5,280 000	23 902	126,204 78		77,563 20	-48,641 58	

PORTUGAL TELECOM SGPS S A 1

ADR = 1 ORD SPON ADR

Symbol PT Exchange NYSE

EAI \$2,043 Current yield 5 81%

POSCO SPON ADR

Symbol PKX Exchange NYSE

EAI \$1,390 Current yield 1 89%

	May 30, 13	8,140 000	4 435	36,105 78	4 320	35,164 80	-940 98	ST
	Nov 9, 11	155 000	82 354	12,764 92	78 000	12,090 00	-674 92	LT
	Nov 16, 11	10 000	84 625	846 25	78 000	780 00	-66 25	LT
	Nov 18, 11	50 000	83 315	4,165 75	78 000	3,900 00	-265 75	LT
	Dec 27, 11	285 000	83 889	23,908 59	78 000	22,230 00	-1,678 59	LT
	Jan 4, 12	50 000	84 980	4,249 02	78 000	3,900 00	-349 02	LT
	Feb 14, 12	50 000	90 957	4,547 88	78 000	3,900 00	-647 88	LT
	Feb 17, 12	75 000	91 283	6,846 28	78 000	5,850 00	-996 28	LT
	Mar 27, 12	45 000	85 008	3,825 36	78 000	3,510 00	-315 36	LT
	Mar 29, 12	20 000	82 419	1,648 38	78 000	1,560 00	-88 38	LT
	Mar 15, 13	205 000	74 399	15,251 84	78 000	15,990 00	738 16	ST
Security total		945 000	82 597	78,054 27		73,710 00	-4,344 27	

SANOFI SPON ADR

Symbol SNY Exchange NYSE

EAI \$2,949 Current yield 2 34%

	Feb 22, 07	760 000	43 786	33,278 05	53 630	40,758 80	7,480 75	LT
	Jul 27, 07	520 000	41 458	21,558 52	53 630	27,887 60	6,329 08	LT
	Aug 17, 07	510 000	39 008	19,894 13	53 630	27,351 30	7,457 17	LT
	Dec 17, 13	560 000	50 080	28,045 12	53 630	30,032 80	1,987 68	ST
Security total		2,350 000	43 734	102,775 82		126,030 50	23,254 68	

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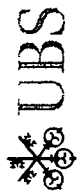
HARDEN FOUNDATION
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Your Financial Advisor:
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Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
SUEZ ENVIRONNEMENT CO ADR								
Symbol SZEY Exchange OTC								
EAI \$3,213 Current yield 3.44%	Sep 27, 12	8,065,000	5.740	46,299.55	9.030	72,826.95	26,527.40	LT
	May 16, 13	2,265,000	7.116	16,118.88	9.030	20,452.95	4,334.07	ST
Security total		10,330,000	6.042	62,418.43		93,279.90	30,861.47	
SWISS RE LTD SPON ADR								
Symbol SSREY Exchange OTC								
EAI \$2,163 Current yield 4.04%	Jun 15, 11	40,000	57.759	2,310.39	92.380	3,695.20	1,384.81	LT
	Jun 22, 11	540,000	56.236	30,367.71	92.380	49,885.20	19,517.49	LT
Security total		580,000	56.342	32,678.10		53,580.40	20,902.30	
TAKEDA PHARMACEUTICAL CO LTD								
SPON ADR								
Symbol TKPY Exchange OTC								
EAI \$2,100 Current yield 3.40%	Mar 4, 08	180,000	27.292	4,912.63	23.020	4,143.60	-769.03	LT
	Oct 4, 10	525,000	23.126	12,141.41	23.020	12,085.50	-55.91	LT
	Oct 28, 10	860,000	23.199	19,951.57	23.020	19,797.20	-154.37	LT
	Mar 31, 11	60,000	23.452	1,407.16	23.020	1,381.20	-25.96	LT
	Jul 12, 11	1,060,000	23.044	24,427.06	23.020	24,401.20	-25.86	LT
Security total		2,685,000	23.404	62,839.83		61,808.70	-1,031.13	
TE CONNECTIVITY LTD CHF								
Symbol TEL Exchange NYSE								
EAI \$1,047 Current yield 1.81%								
CHF Exchange rate 0.88935	Aug 10, 07	1,047,000	32.615	34,148.01	55.110	57,700.17	23,552.16	LT
TELECOM ITALIA SPA NEW REPSTG								
10 ORD SHS SPON ADR								
Symbol TI Exchange NYSE								
EAI \$3,034 Current yield 2.09%	Jul 29, 96	1,089,000	9.315	10,144.82	9.960	10,846.44	701.62	LT
	Nov 6, 98	759,000	21.963	16,670.60	9.960	7,559.64	-9,110.96	LT
	Dec 19, 03	525,000	29.858	15,675.87	9.960	5,229.00	-10,446.87	LT
	Sep 30, 05	840,000	32.745	27,506.30	9.960	8,366.40	-19,139.90	LT
	Jan 20, 06	890,000	28.479	25,346.93	9.960	8,864.40	-16,482.53	LT
	Feb 15, 06	2,010,000	27.859	55,997.39	9.960	20,019.60	-35,977.79	LT
	Mar 29, 06	510,000	28.770	14,672.70	9.960	5,079.60	-9,593.10	LT
	Feb 14, 07	1,020,000	31.178	31,801.66	9.960	10,159.20	-21,642.46	LT

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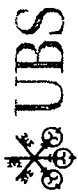
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Jul 18, 07	900 000	28 684	25,816 14	9 960	8,964 00	-16,852 14	LT
	Aug 10, 07	710 000	26 385	18,733 49	9 960	7,071 60	-11,661 89	LT
	Dec 17, 09	990 000	15 764	15,607 25	9 960	9,860 40	-5,746 85	LT
	Jun 11, 12	1,430 000	8 878	12,695 97	9 960	14,242 80	1,546 83	LT
	Jul 16, 13	2,915 000	6 417	18,706 98	9 960	29,033 40	10,326 42	ST
Security total		14,588 000	19 837	289,376 10		145,296 48	-144,079 62	
TELEFONICA S A SPON ADR								
Symbol	TEF	Exchange	NYSE					
EAI	\$1,249	Current yield	2.22%					
Security total	Feb 3, 06	747 000	14 996	11,202 04	16 340	12,205 98	1,003 94	LT
	May 20, 09	1,230 000	20 402	25,094 67	16 340	20,098 20	-4,996 47	LT
	Apr 27, 10	15 000	22 129	331 94	16 340	245 10	-86 84	LT
	May 17, 12	52 000	12 500	650 00	16 340	849 68	199 68	LT
	May 16, 13	1,405 000	14 330	20,134 35	16 340	22,957 70	2,823 35	ST
Security total		3,449 000	16 646	57,413 00		56,356 66	-1,056 34	
TELEFONICA BRASIL SA SPON ADR								
Symbol	VIV	Exchange	NYSE					
EAI	\$2,707	Current yield	8.46%					
Security total	Nov 14, 00	369 067	26 902	9,928 95	19 220	7,093 47	-2,835 48	LT
	May 15, 01	130,093	24 801	3,226 55	19 220	2,500 40	-726 15	LT
	Jan 21, 05	78 876	11 959	943 35	19 220	1,515 99	572 64	LT
	Sep 11, 09	185 964	14 844	2,760 52	19 220	3,574 22	813 70	LT
	Jul 24, 13	900 000	21 780	19,602 72	19 220	17,298 00	-2,304 72	ST
Security total		1,664 000	21 912	36,462 09		31,982 08	-4,480 01	
TESCO PLC SPONS ADR								
Symbol	TSCDY	Exchange	OTC					
EAI	\$2,899	Current yield	3.83%					
Security total	Feb 21, 12	2,905 000	15 370	44,652 46	16 840	48,920 20	4,267 74	LT
	Mar 28, 12	1,590 000	16 239	25,821 28	16 840	26,775 60	954 32	LT
		4,495 000	15 678	70,473 74		75,695 80	5,222 06	
TIM PARTICIPACOES SA SPON ADR								
Symbol	TSU	Exchange	NYSE					
EAI	\$2,509	Current yield	2.54%					
Security total	Nov 14, 00	394 478	15 044	5,934 82	26 240	10,351 10	4,416 28	LT
	May 15, 01	67 522	10 674	720 79	26 240	1,771 78	1,050 99	LT

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Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Aug 7, 12 Dec 26, 12	2,065,000 1,240,000	22.006 19.140	45,443.63 23,734.47	26.240 26.240	54,185.60 32,537.60	8,741.97 8,803.13	LT LT
TOKIO MARINE HOLDINGS INC SPON ADR		3,767,000	20.131	75,833.71		98,846.08	23,012.37	
Symbol TKOMY Exchange OTC								
EAI \$1,581 Current yield 1.45%	Feb 28, 05 Sep 6, 07 Dec 20, 07	1,080,000 1,390,000 790,000	29.287 36.564 32.282	31,630.78 50,824.93 25,503.25	33.450 33.450 33.450	36,126.00 46,495.50 26,425.50	4,495.22 -4,329.43 922.25	LT LT LT
Security total		3,260,000	33.116	107,958.96		109,047.00	1,088.04	
TOTAL S.A. FRANCE SPON ADR								
Symbol TOT Exchange NYSE								
EAI \$6,119 Current yield 4.29%	Mar 12, 10 Apr 16, 10 Apr 23, 10 May 6, 10 May 12, 10 May 13, 10 May 19, 10 May 28, 10 Jun 2, 10 Jun 7, 10 Nov 23, 10	1,010,000 1,020,000 30,000 30,000 40,000 20,000 10,000 40,000 50,000 10,000 70,000	58.674 58.082 56.839 49.457 50.688 51.279 47.466 47.414 45.309 45.208 50.869	59,261.55 59,243.95 1,705.17 1,483.72 2,027.53 1,025.58 474.66 1,896.58 2,265.48 452.08 3,560.86	61.270 61.270 61.270 61.270 61.270 61.270 61.270 61.270 61.270 61.270 61.270	61,882.70 62,495.40 1,838.10 1,838.10 2,450.80 1,225.40 612.70 2,450.80 3,063.50 612.70 4,288.90	2,621.15 3,251.45 132.93 354.38 423.27 199.82 138.04 554.22 798.02 160.62 728.04	LT LT LT LT LT LT LT LT LT LT LT
Security total		2,330,000	57.252	133,397.16		142,759.10	9,361.94	
TOYOTA MOTOR CORP NEW JAPAN SPON ADR								
Symbol TM Exchange NYSE								
EAI \$1,619 Current yield 1.92%	Mar 12, 10 May 17, 10 Jun 17, 10 Jul 30, 10 Aug 10, 10 Aug 24, 10	40,000 95,000 15,000 10,000 30,000 395,000	76.897 76.313 72.162 70.615 71.639 70.482	3,075.88 7,249.75 1,082.43 706.15 2,149.17 27,840.43	121.920 121.920 121.920 121.920 121.920 121.920	4,876.80 11,582.40 1,828.80 1,219.20 3,657.60 48,158.40	1,800.92 4,332.65 746.37 513.05 1,508.43 20,317.97	LT LT LT LT LT LT

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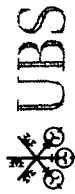
HARDEN FOUNDATION
ML 09275 EF

Your Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Sep 17, 10	10 000	72 205	722 05	121 920	1,219 20	497 15	LT
	Oct 1, 10	55 000	71 218	3,917 02	121 920	6,705 60	2,788 58	LT
	Oct 7, 10	40 000	71 686	2,867 47	121 920	4,876 80	2,009 33	LT
		690 000	71 899	49,610 35		84,124 80	34,514 45	
UBS AG REGS ORD CHF								
Symbol UBS Exchange NYSE								
EAI \$805 Current yield 0 83%								
CHF Exchange rate 0 88935								
Security total	Jun 4, 10	3,520 000	12 927	45,505 50	19 250	67,760 00	22,254 50	LT
	Jun 24, 10	1,050 000	13 984	14,683 52	19 250	20,212 50	5,528 98	LT
	Sep 23, 11	100 000	10 946	1,094 66	19 250	1,925 00	830 34	LT
	Sep 29, 11	80 000	12 540	1,003 26	19 250	1,540 00	536 74	LT
	Oct 17, 11	240 000	11 824	2,837 86	19 250	4,620 00	1,782 14	LT
	Oct 19, 11	40 000	11 737	469 49	19 250	770 00	300 51	LT
		5,030 000	13 041	65,594 29		96,827 50	31,233 21	
UNILEVER NV N Y SHS NEW								
NETHERLANDS SPON SPON ADR								
Symbol UN Exchange NYSE								
EAI \$3.315 Current yield 2 95%								
Security total	Sep 15, 04	1,370 000	20 268	27,767 34	40 230	55,115 10	27,347 76	LT
	Nov 1, 10	60 000	29 941	1,796 51	40 230	2,413 80	617 29	LT
	Mar 10, 11	15 000	30 448	456 73	40 230	603 45	146 72	LT
	Sep 3, 13	495 000	37 875	18,748 22	40 230	19,913 85	1,165 63	ST
	Dec 20, 13	855 000	38 903	33,262 24	40 230	34,396 65	1,134 41	ST
		2,795 000	29 349	82,031 04		112,442 85	30,411 81	
VODAFONE GROUP PLC NEW SPON								
ADR								
Symbol VOD Exchange NYSE								
EAI \$3.635 Current yield 4 05%								
Security total	Aug 4, 09	1,006 000	21 308	21,436 52	39 310	39,545 86	18,109 34	LT
	May 17, 10	25 000	19 774	494 37	39 310	982 75	488 38	LT
	Mar 18, 11	40 000	27 612	1,104 51	39 310	1,572 40	467 89	LT
	Jun 27, 11	200 000	26 355	5,271 12	39 310	7,862 00	2,590 88	LT
	Jul 6, 11	560 000	26 493	14,836 36	39 310	22,013 60	7,177 24	LT
	Dec 21, 12	455 000	25 110	11,425 05	39 310	17,886 05	6,461 00	LT

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HARDEN FOUNDATION
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Your Financial Advisor
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		2,286,000	23 870	54,567 93		89,862 66	35,294 73	
WM MORRISON SUPERMARKETS PLC ADR								
Symbol MRWSY Exchange OTC								
EAI \$4,429 Current yield 4 01 %	Nov 26, 13	5,050 000	21 420	108,175 04	21 870	110,443 50	2,268 46	ST
Total				\$5,230,799.44		\$5,301,674.63	\$70,875.18	
Total estimated annual income				\$154,287				

Your total assets

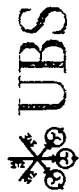
	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	235,287.87	4.25 %	235,287.87		
Equities	5,301,674.63	95.75 %	5,230,799.44	154,287.00	70,875.18
Total	\$5,536,962.50	100.00 %	\$5,466,087.31	\$154,287.00	\$70,875.18

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Nov 29		Cash and money balance					\$285,430.12
Dec 2	Sold	TDK CORP ADR JAPAN ADR UNSOLICITED Other fees and charges \$ 84		-1,045 000	45 978999	48,047 21	
		Average Priced Trade ▶ SYMBOL TTDKY ▶ CUSIP NO 872351408 ▶ Location of Execution 09 ▶ Capacity Agent					
Dec 2	Bought	WM MORRISON SUPERMARKETS PLC ADR UNSOLICITED		5,050 000	21 420800	-108,175 04	225,302 29
		Average Priced Trade ▶ SYMBOL MRWSY ▶ CUSIP NO 929331107 ▶ Location of Execution 09 ▶ Capacity Agent					
Dec 3	Dividend	UBS SELECT PRIME CAPITAL FUND AS OF 12/02/13				01	225,302 30
Dec 4	Fee	TELEFONICA BRASIL SA SPON ADR CUSIP 87936R106				-15 28	
Dec 4	Foreign Tax Withheld	TELEFONICA BRASIL SA SPON ADR CUSIP 87936R106				-21 92	

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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

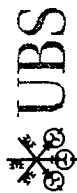
Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	0.00	269.34					
UBS BANK USA BUS ACCT	35,920.95	41,133.96					250,000.00
Total	\$35,920.95	\$41,403.30					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AEGON NV ADR N.Y. SHS								
NETHERLANDS ADR								
Symbol AEG Exchange NYSE	May 1, 13	678,000	6.694	4,538.73	9.480	6,427.44	1,888.71	ST
	May 8, 13	874,000	6.635	5,799.43	9.480	8,285.52	2,486.09	ST
Earnings		52,000	6.780	352.56	9.480	492.96	140.40	
Security total		1,604,000	6.665	10,690.72		15,205.92	4,515.20	
AGEAS SPON ADR								
Symbol AGESY Exchange OTC	Apr 23, 12	308,000	18.382	5,661.96	42.810	13,185.48	7,523.52	LT
EAI \$1.067 Current yield 5.95%	Dec 11, 13	111,000	40.546	4,500.66	42.810	4,751.91	251.25	ST
Security total		419,000	24.254	10,162.62		17,937.39	7,774.77	

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AGRIUM INC (CANADA) CAD								
Symbol: AGU Exchange NYSE								
EAI \$456 Current yield 3.28%								
CAD Exchange rate 1.06250								
	Jul 1, 13	50 000	87 137	4,356 86	91 480	4,574 00	217 14	ST
	Jul 2, 13	40 000	87 807	3,512 31	91 480	3,659 20	146 89	ST
	Jul 30, 13	62 000	87 300	5,412 60	91 480	5,671 76	259 16	ST
Security total		152 000	87 380	13,281 77		13,904 96	623 19	
ALLIANZ SE ADR								
Symbol: AZSEY Exchange OTC								
EAI \$341 Current yield 2.40%								
	Aug 9, 11	431 000	11 051	4,763 32	18 130	7,814 03	3,050 71	LT
	Apr 19, 13	354 000	13 689	4,846 01	18 130	6,418 02	1,572 01	ST
Security total		785 000	12 241	9,609 33		14,232 05	4,622 72	
ALSTOM ADR								
Symbol: ALSMY Exchange OTC								
EAI \$323 Current yield 2.03%								
	Aug 18, 11	1,490 000	4 398	6,554 21	3 600	5,364 00	-1,190 21	LT
	Oct 3, 11	880 000	3 182	2,800 25	3 600	3,168 00	367 75	LT
	Oct 2, 12	899 000	3 446	3,098 31	3 600	3,236 40	138 09	LT
	May 7, 13	379 000	3 676	1,393 52	3 600	1,364 40	-29 12	ST
	Jun 21, 13	770 000	3 333	2,566 91	3 600	2,772 00	205 09	ST
Security total		4,418 000	3,715	16,413 20		15,904 80	-508 40	
AXIS CAPITAL HOLDINGS LTD SHS								
Symbol: AXS Exchange NYSE								
EAI \$302 Current yield 2.27%								
	Oct 16, 09	29 000	30 208	876 06	47 570	1,379 53	503 47	LT
	Feb 4, 10	251 000	28 494	7,152 04	47 570	11,940 07	4,788 03	LT
Security total		280 000	28 672	8,028 10		13,319 60	5,291 50	
BANCO SANTANDER BRASIL ADS								
Symbol: BSBR Exchange NYSE								
EAI \$342 Current yield 3.29%								
	Oct 12, 12	429 000	7 320	3,140 28	6 100	2,616 90	-523 38	LT
	Oct 18, 12	383 000	7 380	2,826 54	6 100	2,336 30	-490 24	LT
	Nov 5, 12	257 000	6 937	1,782 81	6 100	1,567 70	-215 11	LT
	Nov 6, 12	107 000	7 016	750 78	6 100	652 70	-98 08	LT
	Jan 15, 13	267 000	7 620	2,034 65	6 100	1,628 70	-405 95	ST
	Jul 23, 13	260 000	6 420	1,669 20	6 100	1,586 00	-83 20	ST

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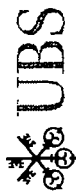
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		1,703,000	7.166	12,204.26		10,388.30	-1,815.96	
BARRICK GOLD CORP CAD								
Symbol ABX Exchange NYSE								
EAI \$242 Current yield 1.14%								
CAD Exchange rate 1.06250								
	Aug 18, 09	74,000	33.473	2,477.02	17.630	1,304.62	-1,172.40	LT
	Dec 17, 09	200,000	38.482	7,696.40	17.630	3,526.00	-4,170.40	LT
	Jan 21, 11	243,000	47.269	11,486.59	17.630	4,284.09	-7,202.50	LT
	Sep 27, 11	17,000	48.529	825.00	17.630	299.71	-525.29	LT
	Jul 26, 12	53,000	31.540	1,671.67	17.630	934.39	-737.28	LT
	Jul 27, 12	136,000	32.297	4,392.51	17.630	2,397.68	-1,994.83	LT
	Dec 21, 12	68,000	33.548	2,281.32	17.630	1,198.84	-1,082.48	LT
	Mar 21, 13	96,000	29.113	2,794.92	17.630	1,692.48	-1,102.44	ST
	Apr 19, 13	322,000	17.909	5,766.76	17.630	5,676.86	-89.90	ST
Security total		1,209,000	32.582	39,392.19		21,314.67	-18,077.52	
CAMECO CORP CANADA CAD								
Symbol CCJ Exchange NYSE								
EAI \$333 Current yield 1.81%								
CAD Exchange rate 1.06250								
	Aug 8, 11	126,000	22.601	2,847.84	20.770	2,617.02	-230.82	LT
	Aug 26, 11	111,000	22.138	2,457.34	20.770	2,305.47	-151.87	LT
	Dec 20, 11	74,000	17.746	1,313.23	20.770	1,536.98	223.75	LT
	Nov 9, 12	363,000	17.300	6,280.15	20.770	7,539.51	1,259.36	LT
	Oct 8, 13	214,000	17.804	3,810.16	20.770	4,444.78	634.62	ST
Security total		888,000	18.816	16,708.72		18,443.76	1,735.04	
CARREFOUR SA SPON ADR								
Symbol CRRFY Exchange OTC								
	Oct 4, 11	634,000	4.475	2,837.53	7.880	4,995.92	2,158.39	LT
	Dec 21, 11	288,000	4.334	1,248.25	7.880	2,269.44	1,021.19	LT
	Jan 12, 12	900,000	4.219	3,797.82	7.880	7,092.00	3,294.18	LT
	Jul 25, 12	1,070,000	3.279	3,508.53	7.880	8,431.60	4,923.07	LT
Security total		2,892,000	3.939	11,392.13		22,788.96	11,396.83	
CATLIN GROUP SPON ADR								
Symbol CNGRY Exchange OTC								
EAI \$711 Current yield 4.59%								
	Jun 24, 13	382,000	14.702	5,616.35	19.300	7,372.60	1,756.25	ST
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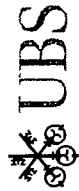
HARDEN FOUNDATION
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Aug 14, 13	139 000	15 101	2,099 15	19 300	2,682 70	583 55	ST
	Oct 15, 13	159 000	15 919	2,531 14	19 300	3,068 70	537 56	ST
	Oct 16, 13	122 000	15 852	1,933 96	19 300	2,354 60	420 64	ST
Security total		802 000	15 188	12,180 60		15,478 60	3,298 00	
CENTRAIS ELETRICAS BRAS SA								
SPON ADR REP 50 COM								
(ELETROBRAS)								
Symbol EBR Exchange NYSE	Apr 9, 13	1,575 000	3 149	4,961 09	2 590	4,079 25	-881 84	ST
	Apr 10, 13	1,715 000	3 170	5,436 55	2 590	4,441 85	-994 70	ST
Security total		3,290 000	3 160	10,397 64		8,521 10	-1,876 54	
CREDIT SUISSE GROUP SPON ADR								
Symbol CS Exchange NYSE	Jul 19, 12	431 659	17 209	7,428 82	31 040	13,398 68	5,969 86	LT
	Jul 31, 12	224 342	16 511	3,704 13	31 040	6,963 56	3,259 43	LT
	Nov 8, 13	90 000	28 858	2,597 25	31 040	2,793 60	196 35	ST
Security total		746 000	18 405	13,730 20		23,155 84	9,425 64	
DAI NIPPON PRINTING CO SPON								
ADR								
Symbol DNPLY Exchange OTC	Dec 12, 07	528 000	15 715	8,297 73	10 640	5,617 92	-2,679 81	LT
EAI \$409 Current yield 2.37%	Dec 10, 09	1,095 000	13 281	14,543 02	10 640	11,650 80	-2,892 22	LT
Security total		1,623 000	14 073	22,840 75		17,268 72	-5,572 03	
DAICHI SANKYO CO LTD SPON ADR								
Symbol DSNKY Exchange OTC	Apr 21, 11	296 000	19 494	5,770 38	18 395	5,444 92	-325 46	LT
EAI \$220 Current yield 2.76%	Apr 25, 11	138 000	19 062	2,630 58	18 395	2,538 51	-92 07	LT
Security total		434 000	19 357	8,400 96		7,983 43	-417 53	
ELECTRICITE DE FRANCE ADR								
Symbol ECIFY Exchange OTC	Apr 7, 11	252 000	7 868	1,982 76	7 010	1,766 52	-216 24	LT
EAI \$449 Current yield 3.37%	Dec 16, 11	692 000	4 658	3,223 68	7 010	4,850 92	1,627 24	LT
	Dec 19, 11	116 000	4 666	541 30	7 010	813 16	271 86	LT
	Jan 7, 13	841 000	3 681	3,096 52	7 010	5,895 41	2,798 89	ST
Security total		1,901 000	4 652	8,844 26		13,326 01	4,481 75	

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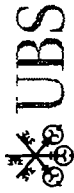
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ERICSSON SEK 10 NEW 2002 ADR								
Symbol ERIC Exchange OTC								
EAI \$408 Current yield 2.40%	Sep 7, 12	58 000	9 165	531 62	12 240	709 92	178 30	LT
	Sep 21, 12	280 000	9 560	2,676 86	12 240	3,427 20	750 34	LT
	Oct 26, 12	402 000	8 653	3,478 75	12 240	4,920 48	1,441 73	LT
	Jul 18, 13	298 000	11 572	3,448 66	12 240	3,647 52	198 86	ST
	Oct 24, 13	349 000	12 428	4,337 44	12 240	4,271 76	-65 68	ST
Security total		1,387 000	10 435	14,473 33		16,976 88	2,503 55	
FINMECCANICA SPA ADR								
Symbol FINMY Exchange OTC	Jun 5, 12	661 000	1 658	1,096 40	3 770	2,491 97	1,395 57	LT
	Feb 28, 13	1,971 000	2 428	4,786 97	3 770	7,430 67	2,643 70	ST
Security total		2,632 000	2 235	5,883 37		9,922 64	4,039 27	
FUJI FILM HOLDINGS CORP ADR								
Symbol FUJIY Exchange OTC	Dec 11, 07	409 000	45 148	18,465 69	28 454	11,637 68	-6,828 01	LT
EAI \$191 Current yield 0.99%	Mar 5, 08	267 000	36 716	9,803 28	28 454	7,597 22	-2,206 06	LT
Security total		676 000	41 818	28,268 97		19,234 90	-9,034 07	
GLAXO SMITHKLINE PLC ADR								
Symbol GSK Exchange NYSE	Apr 22, 10	50 000	39 029	1,951 48	53 390	2,669 50	718 02	LT
EAI \$785 Current yield 4.51%	Feb 7, 11	276 000	38 930	10,744 71	53 390	14,735 64	3,990 93	LT
Security total		326 000	38 945	12,696 19		17,405 14	4,708 95	
HOME RETAIL GROUP PLC SPON ADR								
Symbol HMRTY Exchange OTC	Sep 6, 11	214 000	7 569	1,619 92	12 620	2,700 68	1,080 76	LT
EAI \$115 Current yield 1.24%	Dec 29, 11	96 000	11 692	1,122 50	12 620	1,211 52	89 02	LT
	Jan 12, 12	245 000	5 159	1,264 13	12 620	3,091 90	1,827 77	LT
	Jan 12, 12	180 000	11 546	2,078 39	12 620	2,271 60	193 21	LT
Security total		735 000	8 279	6,084 94		9,275 70	3,190 76	
ING GROEP N V NL SPON ADR								
Symbol ING Exchange NYSE	Feb 14, 13	213 000	8 829	1,880 75	14 010	2,984 13	1,103 38	ST
	Feb 22, 13	721 000	8 394	6,052 29	14 010	10,101 21	4,048 92	ST

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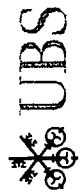
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Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Mar 26, 13	403 000	7 347	2,961 08	14 010	5,646 03	2,684 95	ST
KB FINL GROUP INC SPON ADR		1,337 000	8 148	10,894 12		18,731 37	7,837 25	
Symbol KB Exchange NYSE								
EAI \$119 Current yield 0.97%								
Security total	Dec 20, 12	81 000	35 207	2,851 83	40 510	3,281 31	429 48	LT
KINROSS GOLD CORP NEW CAD								
Symbol KGC Exchange NYSE								
CAD Exchange rate 1.06250								
Security total	Jan 11, 13	148 000	36 191	5,356 39	40 510	5,995 48	639 09	ST
Jan 16, 13		75 000	36 573	2,743 02	40 510	3,038 25	295 23	ST
Security total		304 000	36 024	10,951 24		12,315 04	1,363 80	
KINROSS GOLD CORP NEW CAD								
Symbol KGC Exchange NYSE								
CAD Exchange rate 1.06250								
Security total	Aug 18, 10	63 000	15 459	973 96	4 380	275 94	-698 02	LT
MANPOWERGROUP INC								
Symbol MAN Exchange NYSE								
EAI \$104 Current yield 1.07%								
Security total	Jan 21, 11	361 000	17 165	6,196 71	4 380	1,581 18	-4,615 53	LT
MITSUBISHI TANABE PHARMA CORP								
SPON ADR								
Symbol MTZPY Exchange OTC								
EAI \$129 Current yield 1.15%								
Security total	Feb 25, 11	220 000	15 945	3,508 08	4 380	963 60	-2,544 48	LT
MANPOWERGROUP INC								
Symbol MAN Exchange NYSE								
EAI \$104 Current yield 1.07%								
Security total	Sep 27, 11	101 000	15 374	1,552 83	4 380	442 38	-1,110 45	LT
MITSUBISHI TANABE PHARMA CORP								
SPON ADR								
Symbol MTZPY Exchange OTC								
EAI \$129 Current yield 1.15%								
Security total	Nov 23, 11	178 000	13 011	2,316 08	4 380	779 64	-1,536 44	LT
MANPOWERGROUP INC								
Symbol MAN Exchange NYSE								
EAI \$104 Current yield 1.07%								
Security total	Jan 17, 12	580 000	10 504	6,092 67	4 380	2,540 40	-3,552 27	LT
MITSUBISHI TANABE PHARMA CORP								
SPON ADR								
Symbol MTZPY Exchange OTC								
EAI \$129 Current yield 1.15%								
Security total	May 21, 12	126 000	8 085	1,018 71	4 380	551 88	-466 83	LT
MANPOWERGROUP INC								
Symbol MAN Exchange NYSE								
EAI \$104 Current yield 1.07%								
Security total	Nov 7, 12	292 000	9 380	2,738 96	4 380	1,278 96	-1,460 00	LT
MITSUBISHI TANABE PHARMA CORP								
SPON ADR								
Symbol MTZPY Exchange OTC								
EAI \$129 Current yield 1.15%								
Security total	Nov 4, 13	687 000	4 948	3,399 96	4 380	3,009 06	-390 90	ST
MANPOWERGROUP INC								
Symbol MAN Exchange NYSE								
EAI \$104 Current yield 1.07%								
Security total		2,608 000	10 659	27,797 96		11,423 04	-16,374 92	
MITSUBISHI TANABE PHARMA CORP								
SPON ADR								
Symbol MTZPY Exchange OTC								
EAI \$129 Current yield 1.15%								
Security total	Jul 24, 12	113 000	32 461	3,668 13	85 860	9,702 18	6,034 05	LT
MANPOWERGROUP INC								
Symbol MAN Exchange NYSE								
EAI \$104 Current yield 1.07%								
Security total	May 9, 13	520 000	14 719	7,654 35	13 930	7,243 60	-410 75	ST
MITSUBISHI TANABE PHARMA CORP								
SPON ADR								
Symbol MTZPY Exchange OTC								
EAI \$129 Current yield 1.15%								
Security total	May 13, 13	286 000	13 638	3,900 47	13 930	3,983 98	83 51	ST
MANPOWERGROUP INC								
Symbol MAN Exchange NYSE								
EAI \$104 Current yield 1.07%								
Security total		806 000	14 336	11,554 82		11,227 58	-327 24	
MITSUBISHI TANABE PHARMA CORP								
SPON ADR								
Symbol MTZPY Exchange OTC								
EAI \$129 Current yield 1.15%								
Security total	Aug 12, 10	376 000	11 063	4,159 95	13 400	5,038 40	878 45	LT
MANPOWERGROUP INC								
Symbol MAN Exchange NYSE								
EAI \$104 Current yield 1.07%								
Security total	Apr 18, 11	300 000	11 184	3,355 23	13 400	4,020 00	664 77	LT
MITSUBISHI TANABE PHARMA CORP								
SPON ADR								
Symbol MTZPY Exchange OTC								
EAI \$129 Current yield 1.15%								

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Apr 19, 11	640 000	11 132	7,124.99	13 400	8,576 00	1,451 01	LT
	Jul 20, 12	241 000	7 870	1,896.67	13 400	3,229 40	1,332 73	LT
Security total		1,557 000	10 621	16,536.84		20,863 80	4,326 96	
NIPPON TELEG & TEL CORP SPON ADR								
Symbol NTT Exchange NYSE								
EAI \$771 Current yield 2.70%	Dec 11, 07	393 000	23 808	9,356.74	27 040	10,626 72	1,269 98	LT
	Apr 14, 09	355 000	19 341	6,866.30	27 040	9,599 20	2,732 90	LT
	Dec 15, 10	308 000	22 744	7,005.31	27 040	8,328 32	1,323 01	LT
Security total		1,056 000	21 997	23,228.35		28,554 24	5,325 89	
OAO GAZPROM LEVEL 1 ADR PROGRAM (RUSSIA) SPON ADR								
Symbol OGZPY Exchange OTC								
EAI \$611 Current yield 3.30%	Oct 15, 10	181 000	10 721	1,940.60	8 650	1,565 65	-374 95	LT
	Aug 11, 11	835 000	10 765	8,989.11	8 650	7,222 75	-1,766 36	LT
	Sep 29, 11	695 000	9 715	6,751.93	8 650	6,011 75	-740 18	LT
	May 23, 12	427 000	8 978	3,833.95	8 650	3,693 55	-140 40	LT
Security total		2,138 000	10 063	21,515.59		18,493.70	-3,021 89	
PANASONIC CORP SPON ADR								
Symbol PCRFY Exchange OTC								
EAI \$58 Current yield 0.38%	Sep 27, 10	326 000	13 769	4,488.92	11 660	3,801 16	-687 76	LT
	Mar 17, 11	305 000	11 842	3,611.84	11 660	3,556 30	-55 54	LT
	Sep 25, 12	668 000	6 859	4,582.15	11 660	7,788 88	3,206 73	LT
Security total		1,299 000	9 764	12,682.91		15,146 34	2,463 43	
ROHM CO LTD ADR								
Symbol ROHCY Exchange OTC								
EAI \$81 Current yield 0.56%	Feb 5, 09	136 000	25 161	3,421.98	24 280	3,302 08	-119 90	LT
	Oct 29, 10	459 000	31 133	14,290.23	24 280	11,144 52	-3,145 71	LT
Security total		595 000	29 768	17,712.21		14,446 60	-3,265 61	
ROYAL DSM N V SPON ADR								
Symbol RDSMY Exchange OTC								
EAI \$178 Current yield 2.13%	Apr 4, 13	423 000	14 972	6,333.24	19 740	8,350 02	2,016 78	ST
							continued next page	

Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ROYAL DUTCH SHELL PLC ADS								
REPSTG 2 CL B ORD SHS SPON ADR								
Symbol RDS B Exchange NYSE								
EAI \$1,094 Current yield 4.79%	Jan 15, 13	90 000	71 509	6,435 89	75 110	6,759 90	324 01	ST
	Feb 5, 13	73 000	71 388	5,211 37	75 110	5,483 03	271 66	ST
	Feb 20, 13	83 000	67 904	5,636 05	75 110	6,234 13	598 08	ST
	Jun 14, 13	58 000	67 807	3,932 82	75 110	4,356 38	423 56	ST
Security total		304 000	69 790	21,216 13		22,833 44	1,617 31	
SANOI SPON ADR								
Symbol SNY Exchange NYSE								
EAI \$454 Current yield 2.34%	Aug 1, 08	43 000	35 348	1,519 99	53 630	2,306 09	786 10	LT
	Jul 1, 09	277 000	30 196	8,364 35	53 630	14,855 51	6,491 16	LT
	Aug 2, 13	42 000	50 958	2,140 27	53 630	2,252 46	112 19	ST
Security total		362 000	33 217	12,024 61		19,414 06	7,389 45	
SEKISUI HOUSE LTD SPON ADR								
Symbol SKHSY Exchange OTC								
EAI \$274 Current yield 2.10%	Mar 10, 08	934 000	8 930	8,340 71	13 950	13,029 30	4,688 59	LT
SEVEN & I HLDGS CO LTD ADR								
Symbol SVNDY Exchange OTC								
EAI \$252 Current yield 1.39%	Jun 5, 09	24 000	47 305	1,135 34	80 021	1,920 50	785 16	LT
	Aug 19, 09	203 000	47 304	9,602 85	80 021	16,244 26	6,641 41	LT
Security total		227 000	47 305	10,738 19		18,164 76	7,426 57	
SHISEIDO CO LTD SPONS								
ADR JAPAN								
Symbol SSDOY Exchange OTC								
EAI \$284 Current yield 1.80%	Apr 1, 09	158 000	14 578	2,303 48	16 150	2,551 70	248 22	LT
	May 24, 11	639 000	16 533	10,565 10	16 150	10,319 85	-245 25	LT
	Aug 2, 12	179 000	14 325	2,564 21	16 150	2,890 85	326 64	LT
Security total		976 000	15 812	15,432 79		15,762 40	329 61	
SIEMENS A G SPON ADR								
Symbol SI Exchange NYSE								
EAI \$395 Current yield 2.16%	Oct 24, 08	53 000	48 594	2,575 52	138 510	7,341 03	4,765 51	LT
	Sep 27, 11	29 000	91 372	2,649 81	138 510	4,016 79	1,366 98	LT

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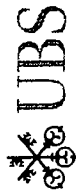
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Apr 11, 13	50 000	104 252	5,212 63	138 510	6,925 50	1,712 87	ST
Security total		132 000	79 075	10,437 96		18,283 32	7,845 36	
SK TELECOM CO LTD ADR								
Symbol SKM Exchange NYSE								
EAI \$43 Current yield 0 24%	May 21, 09	205 000	15 875	3,254 46	24 620	5,047 10	1,792 64	LT
	Aug 20, 09	251 000	15 794	3,964 37	24 620	6,179 62	2,215 25	LT
	Feb 28, 11	287 000	17 566	5,041 50	24 620	7,065 94	2,024 44	LT
Security total		743 000	16 501	12,260 33		18,292 66	6,032 33	
SUMITOMO MITSUI TRUST HOLDINGS								
SPON ADR								
Symbol SUTNY Exchange OTC								
EAI \$263 Current yield 1 46%	Apr 1, 11	475 000	3 499	1,662 46	5 290	2,512 75	850 29	LT
	Sep 12, 12	439 000	2 910	1,277 71	5 290	2,322 31	1,044 60	LT
	Sep 24, 12	666 000	3 072	2,046 02	5 290	3,523 14	1,477 12	LT
	May 24, 13	737 000	4 711	3,472 01	5 290	3,898 73	426 72	ST
	Jun 4, 13	1,098 000	4 183	4,593 92	5 290	5,808 42	1,214 50	ST
Security total		3,415 000	3 822	13,052 12		18,065 35	5,013 23	
SWISSCOM AG SPON ADR								
Symbol SCMWY Exchange OTC								
EAI \$657 Current yield 3 72%	Dec 12, 07	334 000	39 000	13,026 00	52 890	17,665 26	4,639 26	LT
TALISMAN ENERGY INC CAD								
Symbol TLM Exchange NYSE								
EAI \$337 Current yield 2 32%	Oct 20, 11	486 000	13 504	6,563 24	11 650	5,661 90	-901.34	LT
CAD Exchange rate 1 06250	Jan 19, 12	179 000	11 777	2,108.23	11 650	2,085 35	-22 88	LT
	Jan 20, 12	187 000	11 891	2,223 79	11 650	2,178 55	-45 24	LT
	Oct 31, 12	46 000	11 105	510 83	11 650	535 90	25 07	LT
	Nov 5, 12	104 000	11 139	1,158 54	11 650	1,211 60	53 06	LT
	Nov 7, 12	245 000	10 847	2,657 66	11 650	2,854 25	196 59	LT
Security total		1,247 000	12 207	15,222 29		14,527 55	-694 74	

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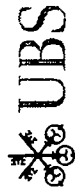
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Your assets • **Equities** • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
TELECOM ITALIA SPA NEW REPSTG								
10 SAVINGS SHS SPON ADR								
Symbol TI A Exchange NYSE								
EAI \$2,593 Current yield 11 12%	May 11, 06	21 000	26 804	562 90	7 770	163 17	-399 73	LT
	Dec 12, 07	840 000	25 250	21,210 00	7 770	6,526 80	-14,683 20	LT
	May 5, 08	1,175 000	16 616	19,523 92	7 770	9,129 75	-10,394 17	LT
	Mar 13, 13	965 000	6 800	6,562 73	7 770	7,498 05	935 32	ST
Security total		3,001 000	15 948	47,859 55		23,317 77	-24,541 78	
TESCO PLC SPONS ADR								
UNITED KINGDOM								
Symbol TSCDY Exchange OTC								
EAI \$654 Current yield 3 83%	May 16, 13	491 000	17 241	8,465 38	16 840	8,268 44	-196 94	ST
	Jun 5, 13	155 000	16 400	2,542 08	16 840	2,610 20	68 12	ST
	Jun 26, 13	162 000	15 209	2,463 92	16 840	2,728 08	264 16	ST
	Oct 9, 13	41 000	17 118	701 86	16 840	690 44	-11 42	ST
	Oct 10, 13	19 000	17 160	326 04	16 840	319 96	-6 08	ST
	Dec 5, 13	146 000	16 547	2,415 93	16 840	2,458 64	42 71	ST
Security total		1,014 000	16 682	16,915 21		17,075 76	160 55	
TEVA PHARMACEUTICALS IND LTD								
ISRAEL ADR								
Symbol TEVA Exchange OTC								
EAI \$665 Current yield 2 71%	Sep 10, 12	43 000	40 740	1,751 84	40 080	1,723 44	-28 40	LT
	Sep 18, 12	85 000	39 895	3,391 08	40 080	3,406 80	15 72	LT
	Sep 20, 12	69 000	40 083	2,765 76	40 080	2,765 52	-0 24	LT
	Sep 24, 12	68 000	40 109	2,727 45	40 080	2,725 44	-2 01	LT
	Oct 10, 12	57 000	39 625	2,258 64	40 080	2,284 56	25 92	LT
	Dec 12, 12	28 000	39 225	1,098 30	40 080	1,122 24	23 94	LT
	Jan 15, 13	124 000	38 028	4,715 52	40 080	4,969 92	254 40	ST
	Apr 23, 13	55 000	37 860	2,082 35	40 080	2,204 40	122 05	ST
	Oct 3, 13	83 000	37 891	3,269 64 ²	40 080	3,326 64	57 00	
Security total		612 000	39 315	24,060 58		24,528 96	468 38	
TNT EXPRESS N V ADR								
Symbol TNTIEY Exchange OTC	Jan 22, 13	782 000	7 323	5,726 98	9 250	7,233 50	1,506 52	ST
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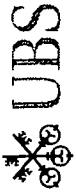
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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Feb 12, 13	659 000	7 431	4,897 62	9 250	6,095 75	1,198 13	ST
	Earnings	17 000	8 074	137 27	9 250	157 25	19 98	
Security total		1,458 000	7 381	10,761 87		13,486 50	2,724 63	
TOYOTA MOTOR CORP NEW JAPAN								
SPON ADR								
Symbol TM Exchange NYSE								
EAI \$251 Current yield 1 92%	Oct 20, 10	23 000	71 646	1,647 86	121 920	2,804 16	1,156 30	LT
	Oct 21, 10	84 000	71 580	6,012 72	121 920	10,241 28	4,228 56	LT
Security total		107 000	71 594	7,660 58		13,045 44	5,384 86	
VALLOUREC SA SPON ADR								
Symbol VLOWY Exchange OTC								
EAI \$170 Current yield 1 17%	Mar 1, 13	326 000	10 565	3,444 42	10 920	3,559 92	115 50	ST
	Mar 27, 13	656 000	9 766	6,406 82	10 920	7,163 52	756 70	ST
	Oct 22, 13	349 000	12 347	4,309 36	10 920	3,811 08	-498 28	ST
Security total		1,331 000	10 639	14,160 60		14,534 52	373 92	
VIVENDI SA ADR								
Symbol VIVHY Exchange OTC								
EAI \$351 Current yield 3 91%	Mar 8, 12	7 000	18 535	129 75	26 370	184 59	54 84	LT
	Apr 10, 12	333 000	17 179	5,720 74	26 370	8,781 21	3,060 47	LT
Security total		340 000	17 207	5,850 49		8,965 80	3,115 31	
VODAFONE GROUP PLC NEW SPON								
ADR								
Symbol VOD Exchange NYSE								
EAI \$735 Current yield 4 05%	Nov 10, 08	303 000	16 724	5,067 43	39 310	11,910 93	6,843 50	LT
	Dec 20, 12	159 000	25 431	4,043 53	39 310	6,250 29	2,206 76	LT
Security total		462 000	19 721	9,110 96		18,161 22	9,050 26	
WACOAL HOLDINGS CORP ADR								
Symbol WACLX Exchange OTC								
EAI \$297 Current yield 2 50%	Jan 18, 06	7 000	68 907	482 35	50 940	356 58	-125 77	LT
	May 14, 07	56 000	63 835	3,574 80	50 940	2,852 64	-722 16	LT
	Jul 19, 07	1 000	61 630	61 63	50 940	50 94	-10 69	LT
	Jul 20, 07	2 000	61 480	122 96	50 940	101 88	-21 08	LT
	Nov 15, 07	10 000	58 905	589 05	50 940	509 40	-79 65	LT

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Your assets • Equities • Common stock (continued)

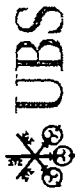
Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total								
WEATHERFORD INTL LTD CHF Symbol WFT Exchange NYSE CHF Exchange rate 0.88935	Nov 16, 07	9 000	60 545	544 91	50 940	458 46	-86 45	LT
	Jan 21, 11	148 000	68 592	10,151 70	50 940	7,539 12	-2,612 58	LT
		233 000	66 641	15,527 40		11,869 02	-3,658 38	
Security total								
WOLTERS KLUWER NV SPONSORED ADR NETHERLANDS ADR Symbol WTKWY Exchange OTC EAI \$463 Current yield 2.65%	Aug 23, 12	242 000	12 736	3,082 23	15 490	3,748 58	666 35	LT
	Sep 25, 12	250 000	12 629	3,157 43	15 490	3,872 50	715 07	LT
	Nov 13, 12	192 000	9 363	1,797 83	15 490	2,974 08	1,176 25	LT
Security total		684 000	11 751	8,037 49		10,595 16	2,557 67	
Total				\$756,855.73		\$840,350.42	\$83,494.69	

Total estimated annual income: \$19,304

* Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

Your total assets

	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	41,403.30	4.70%	41,403.30		
Equities	840,350.42	95.30%	756,855.73	19,304.00	83,494.69
Total	\$881,753.72	100.00%	\$798,259.03	\$19,304.00	\$83,494.69



Managed Accounts Consulting
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Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC. See the *Important information about your statement* at the end of this document for details about those balances.

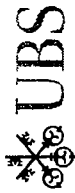
Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
UBS BANK USA BUS ACCT	250,000 00	250,000 00					250,000 00
UBS SELECT PRIME CAPITAL	195,794 44	4,815 34	1 00	0 01%	Nov 1 to Nov 30	30	
Total	\$445,794.44	\$254,815.34					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AMAZON COM INC								
Symbol AMZN Exchange OTC	Mar 5, 12	342 000	180 540	61,744 68	398 790	136,386 18	74,641 50	LT
	Jul 16, 12	255 000	216 820	55,289 10	398 790	101,691 45	46,402 35	LT
	May 9, 13	379 000	261 019	98,926 50	398 790	151,141 41	52,214 91	ST
Security total		976 000	221 271	215,960 28		389,219 04	173,258 76	
AMER EXPRESS CO								
Symbol AXP Exchange NYSE	Sep 17, 12	3,511 000	59 310	208,238 46	90 730	318,553 03	110,314 57	LT
EAI \$4,832 Current yield 1 01%	Oct 17, 12	874 000	59 278	51,809 76	90 730	79,298 02	27,488 26	LT
	Apr 10, 13	867 000	65 505	56,793 36	90 730	78,662 91	21,869 55	ST
Security total		5,252 000	60 328	316,841 58		476,513 96	159,672 38	

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Account number:

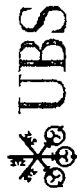
HARDEN FOUNDATION
ML 09278 EF

Your Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Your assets • **Equities** • **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
APPLE INC								
Symbol AAPL Exchange OTC								
EAI \$12,237 Current yield 2.17%	Apr 10, 12	478 000	629 205	300,760 28	561 020	268,167 56	-32,592 72	LT
	Apr 24, 12	124 000	559 439	69,370 47	561 020	69,566 48	196 01	LT
	Jul 16, 12	98 000	608 210	59,604 58	561 020	54,979 96	-4,624 62	LT
	Dec 10, 12	143 000	529 504	75,719 10	561 020	80,225 86	4,506 76	LT
	Mar 6, 13	160 000	426 573	72,613 69 ²	561 020	89,763 20	17,149 51	
Security total		1,003 000	576 339	578,068 12		562,703 06	-15,365 06	
BIOGEN IDEC INC								
Symbol BIIB Exchange OTC								
	Nov 17, 11	1,125 000	109 160	122,806 01	279 572	314,518 50	191,712 49	LT
	Dec 6, 11	825 000	112 160	92,532 33	279 572	230,646 90	138,114 57	LT
Security total		1,950 000	110 430	215,338 34		545,165 40	329,827 06	
CELGENE CORP								
Symbol CELG Exchange OTC								
	Oct 6, 08	20 000	56 208	1,124 16	168 968	3,379 36	2,255 20	LT
	Oct 17, 08	337 000	56 997	19,207 99	168 968	56,942 22	37,734 23	LT
	Mar 24, 09	500 000	46 837	23,418 50	168 968	84,484 00	61,065 50	LT
	Apr 1, 09	925 000	37 208	34,417 40	168 968	156,295 40	121,878 00	LT
	Sep 17, 09	1,177 000	52 899	62,263 06	168 968	198,875 33	136,612 27	LT
Security total		2,959 000	47 459	140,431 11		499,976 31	359,545 20	
CERNER CORP								
Symbol CERN Exchange OTC								
	Oct 28, 11	3,913 000	32 233	126,129 29	55 740	218,110 62	91,981 33	LT
	Nov 2, 12	164 000	38 985	6,393 69	55 740	9,141 36	2,747 67	LT
Security total		4,077 000	32 505	132,522 98		227,251 98	94,729 00	
COCA COLA CO COM								
Symbol KO Exchange NYSE								
EAI \$8,691 Current yield 2.71%	Aug 30, 11	4,392 000	34 974	153,607 78	41 310	181,433 52	27,825 74	LT
	Oct 11, 11	3,200 000	33 449	107,038 88	41 310	132,192 00	25,153 12	LT
	Nov 2, 12	168 000	37 186	6,247 32	41 310	6,940 08	692 76	LT
Security total		7,760 000	34 394	266,893 98		320,565 60	53,671 62	
COGNIZANT TECH SOLUTIONS CRP								
Symbol CTSH Exchange OTC								
	Dec 19, 13	2,053 000	97 192	199,535 79	100 980	207,311 94	7,776 15	ST

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Managed Accounts Consulting
December 2013

Account name:
Account number:

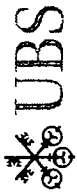
HARDEN FOUNDATION
ML 09278 EF

Your Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CONOCOPHILLIPS								
Symbol COP Exchange NYSE								
EAI \$10,689 Current yield 3.91%	Aug 23, 11	1,741,000	49.774	86,656.54	70.650	123,001.65	36,345.11	LT
	Aug 24, 11	1,300,000	50.728	65,947.20	70.650	91,845.00	25,897.80	LT
	Nov 5, 13	832,000	73.050	60,777.60	70.650	58,780.80	-1,996.80	ST
Security total		3,873,000	55.095	213,381.34		273,627.45	60,246.11	
COSTCO WHOLESALE CORP								
Symbol COST Exchange OTC								
EAI \$2,946 Current yield 1.04%	Feb 5, 09	176,000	43.183	7,600.31	119.020	20,947.52	13,347.21	LT
	Mar 11, 09	1,464,000	39.529	57,871.77	119.020	174,245.28	116,373.51	LT
	Dec 18, 09	736,000	58.387	42,972.91	119.020	87,598.72	44,625.81	LT
Security total		2,376,000	45.642	108,444.99		282,791.52	174,346.53	
DICK'S SPORTING GOODS INC								
Symbol DKS Exchange NYSE								
EAI \$2,714 Current yield 0.86%	Jul 11, 13	3,408,000	50.806	173,150.25	58.100	198,004.80	24,854.55	ST
	Sep 5, 13	2,019,000	48.304	97,526.99	58.100	117,303.90	19,776.91	ST
Security total		5,427,000	49.876	270,677.24		315,308.70	44,631.46	
EMERSON ELECTRIC CO								
Symbol EMR Exchange NYSE								
EAI \$9,045 Current yield 2.45%	Dec 14, 12	3,358,000	52.060	174,818.15	70.180	235,664.44	60,846.29	LT
	Dec 18, 12	1,901,000	53.140	101,019.33	70.180	133,412.18	32,392.85	LT
Security total		5,259,000	52.451	275,837.48		369,076.62	93,239.14	
EXPRESS SCRIPTS HLDG CO								
Symbol ESRX Exchange OTC								
	Jun 7, 12	3,062,000	53.248	163,046.30	70.240	215,074.88	52,028.58	LT
	Jul 17, 12	1,731,000	57.800	100,051.97	70.240	121,585.44	21,533.47	LT
	Nov 6, 12	796,000	55.843	44,451.03	70.240	55,911.04	11,460.01	LT
Security total		5,589,000	55.028	307,549.30		392,571.36	85,022.06	
FACEBOOK INC CL A								
Symbol FB Exchange OTC								
FASTENAL CO	May 16, 13	7,761,000	26.501	205,678.14	54.649	424,130.88	218,452.74	ST
Symbol FAST Exchange OTC								
EAI \$7,046 Current yield 2.10%	Oct 12, 09	48,000	19.852	952.94	47.510	2,280.48	1,327.54	LT
	Oct 15, 09	1,200,000	19.742	23,691.12	47.510	57,012.00	33,320.88	LT

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Managed Accounts Consulting
December 2013

Account name: HARDEN FOUNDATION
Account number: ML 09278 EF

Your Financial Advisor
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Dec 15, 10	2,000 000	29 828	59,657 70	47 510	95,020 00	35,362 30	LT
	Mar 24, 11	176 000	31 451	5,535 52	47 510	8,361 76	2,826 24	LT
	Jun 7, 12	2,190 000	40 220	88,081 80	47 510	104,046 90	15,965 10	LT
	Dec 10, 12	1,432 000	41 948	60,069 97	47 510	68,034 32	7,964 35	LT
Security total		7,046 000	33 776	237,989 05		334,755 46	96,766 41	
FEDEX CORP								
Symbol	FDX	Exchange	NYSE					
EAI	\$1,584	Current yield	0 42%					
Security total	May 3, 13	1,789 000	95 037	170,022 45	143 770	257,204 53	87,182 08	ST
	May 14, 13	851 000	100 420	85,457 85	143 770	122,348 27	36,890 42	ST
Security total		2,640 000	96.773	255,480 30		379,552 80	124,072 50	
GILEAD SCIENCES INC								
Symbol	GILD	Exchange	OTC					
Security total	Nov 8, 10	2,023 000	19 352	39,150 51	75 100	151,927 30	112,776 79	LT
	Dec 17, 10	3,230 000	18 624	60,156 33	75 100	242,573 00	182,416 67	LT
	Jul 26, 12	1,600 000	25 954	41,527 52	75 100	120,160 00	78,632 48	LT
	Jul 26, 12	120 000	25 954	3,114 56	75 100	9,012 00	5,897 44	LT
Security total		6,973 000	20 644	143,948 92		523,672 30	379,723 38	
GOLDMAN SACHS GROUP INC								
Symbol	GS	Exchange	NYSE					
EAI	\$4,490	Current yield	1 24%					
Security total	Mar 13, 12	745 000	120 744	89,954 43	177 260	132,058 70	42,104 27	LT
	Apr 5, 12	792 000	118 387	93,762 98	177 260	140,389 92	46,626 94	LT
	Jul 16, 12	504 000	97 098	48,937 70	177 260	89,339 04	40,401 34	LT
Security total		2,041 000	113 991	232,655 11		361,787 66	129,132 55	
GOOGLE INC CL A								
Symbol	GOOG	Exchange	OTC					
Security total	Mar 18, 09	251 000	335 992	84,334 24	1,120 710	281,298 21	196,963 97	LT
	Jul 9, 10	75 000	464 340	34,825 50	1,120 710	84,053 25	49,227 75	LT
	Sep 13, 10	75 000	481 960	36,147 00	1,120 710	84,053 25	47,906 25	LT
	Feb 2, 12	115 000	584 160	67,178 40	1,120 710	128,881 65	61,703 25	LT
Security total		516 000	431 173	222,485 14		578,286 36	355,801 22	
INFORMATICA CORP								
Symbol	INFA	Exchange	OTC					
Security total	Jul 18, 13	5,225 000	39 520	206,493 57	41 500	216,837 50	10,343 93	ST
	Sep 20, 13	1,485 000	40 896	60,731 30	41 500	61,627 50	896 20	ST
Security total		6,710 000	39 825	267,224 87		278,465 00	11,240 13	

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Managed Accounts Consulting
December 2013

Account name:
Account number.

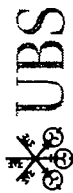
HARDEN FOUNDATION
ML 09278 EF

Your Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
INTUITIVE SURGICAL INC NEW								
Symbol ISRG Exchange OTC	Jul 18, 13	551 000	421 925	232,481 01	384 080	211,628 08	-20,852 93	ST
	Jul 19, 13	163 000	372 010	60,637 63	384 080	62,605 04	1,967 41	ST
Security total		714 000	410 530	293,118 64		274,233 12	-18,885 52	
JACOBS ENGINEERING GROUP INC								
Symbol JEC Exchange NYSE	Nov 5, 13	3,809 000	61 631	234,755 15	62 990	239,928 91	5,173 76	ST
	Dec 3, 13	1,826 000	58 910	107,569 66	62 990	115,019 74	7,450 08	ST
Security total		5,635 000	60 750	342,324 81		354,948 65	12,623 84	
LAS VEGAS SANDS CORP								
Symbol LVS Exchange NYSE	Feb 2, 12	1,078 000	51 352	55,357 56	78 870	85,021 86	29,664 30	LT
EAI \$6,226 Current yield 1 78%	May 1, 12	721 000	56 448	40,699 58	78 870	56,865 27	16,165 69	LT
	Jul 16, 12	1,204 000	39 737	47,843 59	78 870	94,959 48	47,115 89	LT
	Jul 26, 12	1,444 000	35 583	51,382 72	78 870	113,888 28	62,505 56	LT
Security total		4,447 000	43 914	195,283 45		350,734 89	155,451 44	
LKQ CORP NEW								
Symbol LKQ Exchange OTC	Mar 13, 12	7,734 000	15 709	121,494 18	32 900	254,448 60	132,954 42	LT
MCDONALDS CORP								
Symbol MCD Exchange NYSE	Jul 23, 09	1,456 000	56 419	82,147 37	97 030	141,275 68	59,128 31	LT
EAI \$10,277 Current yield 3 34%	Sep 11, 09	916 000	54 538	49,957 54	97 030	88,879 48	38,921 94	LT
	Sep 17, 09	300 000	56 171	16,851 54	97 030	29,109 00	12,257 46	LT
	Jan 12, 10	500 000	62 338	31,169 25	97 030	48,515 00	17,345 75	LT
Security total		3,172 000	56 786	180,125 70		307,779 16	127,653 46	
MONSANTO CO NEW								
Symbol MON Exchange NYSE	Mar 1, 12	2,459 000	79 562	195,642 96	116 550	286,596 45	90,953 49	LT
EAI \$4,229 Current yield 1 48%								
PRAXAIR INC								
Symbol PX Exchange NYSE	Jan 7, 13	1,494 000	112 862	168,617 02	130 030	194,264 82	25,647 80	ST
EAI \$4,841 Current yield 1 85%	Apr 10, 13	523 000	112 954	59,075 36	130 030	68,005 69	8,930 33	ST
Security total		2,017 000	112 887	227,692 38		262,270 51	34,578 13	

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Managed Accounts Consulting
December 2013

Account name
Account number

HARDEN FOUNDATION
ML 09278 EF

Your Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
PRICELINE COM INC NEW								
Symbol PCIN Exchange OTC	Jul 19, 12	113 000	675 923	76,379 30	1,162 400	131,351 20	54,971 90	LT
	Aug 28, 12	96 000	589 563	56,598 05	1,162 400	111,590 40	54,992 35	LT
Security total		209 000	636 255	132,977 35		242,941 60	109,964 25	
QUALCOMM INC								
Symbol QCOM Exchange OTC	Mar 24, 11	2,695 000	53 435	144,009 21	74 250	200,103 75	56,094 54	LT
EAI \$7,227 Current yield 1 89%	Apr 26, 11	1,404 000	57 158	80,250 67	74 250	104,247 00	23,996 33	LT
	Jul 19, 12	1,063 000	58 599	62,291 48	74 250	78,927 75	16,636 27	LT
Security total		5,162 000	55 512	286,551 36		383,278 50	96,727 14	
ROBERT HALF INTL INC								
Symbol RHI Exchange NYSE	Sep 6, 13	6,523 000	37 032	241,561 69	41 990	273,900 77	32,339 08	ST
EAI \$4,175 Current yield 1 52%								
SALESFORCE COM INC								
Symbol CRM Exchange NYSE	May 24, 13	3,111 000	42 033	130,764 67	55 190	171,696 09	40,931 42	ST
	Jul 2, 13	1,463 000	38 094	55,732 40	55 190	80,742 97	25,010 57	ST
Security total		4,574 000	40 773	186,497 07		252,439 06	65,941 99	
SCHLUMBERGER LTD NETHERLANDS								
ANTILLES								
Symbol SLB Exchange NYSE	Oct 16, 13	2,733 000	92 004	251,448 85	90 110	246,270 63	-5,178 22	ST
EAI \$4,331 Current yield 1 39%	Nov 5, 13	732 000	93 074	68,130 31	90 110	65,960 52	-2,169 79	ST
Security total		3,465 000	92 231	319,579 16		312,231 15	-7,348 01	
STARBUCKS CORP								
Symbol SBUX Exchange OTC	Dec 18, 12	2,204 000	54 798	120,775 23	78 390	172,771 56	51,996 33	LT
EAI \$4,340 Current yield 1 33%	Jan 2, 13	1,969 000	54 638	107,583 21	78 390	154,349 91	46,766 70	ST
Security total		4,173 000	54 723	228,358 44		327,121 47	98,763 03	
STERICYCLE INC								
Symbol SRCL Exchange OTC	Nov 1, 12	934 000	95 547	89,241 46	116 170	108,502 78	19,261 32	LT
	Dec 20, 12	1,179 000	92 844	109,463 08	116 170	136,964 43	27,501 35	LT
Security total		2,113 000	94 039	198,704 54		245,467 21	46,762 67	
TRIMBLE NAVIGATION LTD								
Symbol TRMB Exchange OTC	Feb 20, 13	4,458 000	30 817	137,385 08	34 700	154,692 60	17,307 52	ST



Managed Accounts Consulting
December 2013

Account name: HARDEN FOUNDATION
Account number: ML 09278 EF

Your Financial Advisor
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Mar 5, 13 May 3, 13	4,294 000 2,601 000 11,353 000	30 649 26 785 29 841	131,733 51 ² 69,669 35 338,787 94	34 700 34 700	149,001 80 90,254 70 393,949 10	17,268 29 20,585 35 55,161 16	ST ST
VISA INC CL A								
Symbol V Exchange NYSE	Jul 16, 12	1,055 000	128 306	135,363 04	222 680	234,927 40	99,564 36	LT
EAI \$2.686 Current yield 0 72%	Aug 28, 12	624 000	127 555	79,594 94	222 680	138,952 32	59,357 38	LT
Security total		1,679 000	128 027	214,957 98		373,879 72	158,921 74	
WALGREEN CO								
Symbol WAG Exchange NYSE	Feb 20, 13	3,330 000	41 982	139,802 06	57 440	191,275 20	51,473 14	ST
EAI \$8.332 Current yield 2 19%	Mar 12, 13	3,283 000	41 030	134,703 13	57 440	188,575 52	53,872 39	ST
Security total		6,613 000	41 510	274,505 19		379,850 72	105,345 53	
YUM! BRANDS INC								
Symbol YUM Exchange NYSE	Jul 8, 10	618 000	39 946	24,686 69	75 610	46,726 98	22,040 29	LT
EAI \$5.676 Current yield 1 96%	Sep 13, 10	1,600 000	45 268	72,429 60	75 610	120,976 00	48,546 40	LT
	Feb 26, 13	10 000	64 957	649 57	75 610	756 10	106 53	ST
	Nov 22, 13	1,607 000	77 035	123,795 89	75 610	121,505 27	-2,290 62	ST
Security total		3,835 000	57 774	221,561 75		289,964 35	68,402 60	
Total				\$9,006,668.65		\$13,308,768.43	\$4,302,099.78	
Total estimated annual income: \$126,614								

² Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction

Your total assets

	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash	254,815.34	1.88%	254,815.34		
Equities	13,308,768.43	98.12%	9,006,668.65	126,614.00	4,302,099.78
Total	\$13,563,583.77	100.00%	\$9,261,483.99	\$126,614.00	\$4,302,099.78

HARDEN FOUNDATION
FEIN 94-6098887
2013 FORM 199
PART I, LINE 6 AND PART II, LINE 6
FOR THE YEAR ENDED DECEMBER 31, 2013

<u>DESCRIPTION</u>	<u>STATEMENT</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>SALES PRICE</u>	<u>COST BASIS</u>	<u>WASH LOSS DISALLOWED</u>	<u>GAIN (LOSS)</u>	<u>ATTACHMENT PAGE</u>
UBS A/C# ML 09274 EF SEE ATTACHMENT (LT)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	100,277 90	27,338 31	-	72,939 59	3
UBS A/C# ML 09279 EF SEE ATTACHMENT (ST)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	306,842 08	291,072 26	-	15,769 82	4
SEE ATTACHMENT (LT)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	2,671,287 67	2,194,236 08	-	477,051 59	8
				2,978,129 75	2,485,308 34	-	492,821 41	
UBS A/C# ML 09275 EF SEE ATTACHMENT (ST)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	182,641 79	118,761 13	-	63,880 66	9
SEE ATTACHMENT (LT)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	1,142,075 62	1,094,192 35	-	47,883 27	13
				1,324,717 41	1,212,953 48	-	111,763 93	
UBS A/C# ML 09273 EF SEE ATTACHMENT (ST)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	154,014 00	159,502 34	-	(5,488 34)	14
SEE ATTACHMENT (LT)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	741,594 34	744,662 10	-	(3,067 76)	15
				895,608 34	904,164 44	-	(8,556 10)	
UBS A/C# ML 09280 EF SEE ATTACHMENT (ST)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	497,584 53	470,794 12	-	26,790 41	23
SEE ATTACHMENT (LT)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	895,354 22	629,937 68	-	265,416 54	32
				1,392,938 75	1,100,731 80	-	292,206 95	
UBS A/C# ML 09276 EF SEE ATTACHMENT (ST)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	123,948 68	111,849 54	-	12,099 14	35
SEE ATTACHMENT (LT)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	476,699 37	525,867 51	-	(49,168 14)	43
				600,648 05	637,717 05	-	(37,069 00)	
UBS A/C# ML 09278 EF SEE ATTACHMENT (ST)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	1,535,812 46	1,417,931 80	-	117,880 66	46
SEE ATTACHMENT (LT)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	3,016,258 44	2,050,539 15	-	965,719 29	49
				4,552,070 90	3,468,470 95	-	1,083,599 95	
UBS A/C# ML 09282 EF FHR 1599 C RATE 06 1000% MATURES 10/15/23		VARIOUS LT	VARIOUS LT	564 64	564 64	-	-	N/A
TOTAL				11,844,955 74	9,837,249 01	-	2,007,706 73	



UBS Financial Services Inc
200 Clock Tower Place
Suite C-100
Carmel CA 93923-8680

EPZ3003846917 1213 X1 ML 0

2013 Year End Summary

Account name: HARDEN FOUNDATION
(REIK & CO)

Account number: ML 09274 EF

Your Financial Advisor:
ELLIOTT/FOCARACCI
Phone 831-622-0222/800-937-2400

HARDEN FOUNDATION
(REIK & CO)
PO BOX 779
SALINAS CA 93902-0779

Summary of gains and losses

	Amount (\$)
Long term	72,939.59

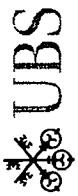
Realized gains and losses

Estimated 2013 gains and losses for transactions with trade dates through 12/31/13 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order.

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
TOOTSIE ROLL INDUST	FIFO	0.400	Oct 17, 94	Apr 05, 13	11.22	3.58			7.64
	FIFO	858.000	Oct 17, 94	Dec 04, 13	28,106.79	7,676.99			20,429.80
									continued next page

See Important information about your statement on the last page of this statement for more information. We may not adjust gains and losses for all capital changes. Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.



Managed Accounts Consulting

Account name:
Account number:

HARDEN FOUNDATION
ML 09274 EF

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
	FIFO	1,000 000	Oct 17, 94	Dec 06, 13	32,719 43	8,947 54			23,771 89
	FIFO	1,197 000	Oct 17, 94	Dec 06, 13	39,440 46	10,710 20			28,730 26
Total					\$100,277.90	\$27,338.31			\$72,939.59
Net long-term capital gains or losses									\$72,939.59
Net capital gains/losses:									\$72,939.59



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Account name: HARDEN FOUNDATION
Account number: ML 09279 EF

Your Financial Advisor:
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Realized gains and losses

Estimated 2013 gains and losses for transactions with trade dates through 12/31/13 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order.

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
BEST BUY CO INC	FIFO	1,172 000	Apr 16, 12	Jan 14, 13	16,981 20	25,568 82		-8,587 62	
	FIFO	1,289 000	Apr 17, 12	Jan 14, 13	18,676 41	28,290 71		-9,614 30	
	FIFO	136 000	Apr 18, 12	Jan 14, 13	1,970 52	2,996 72		-1,026 20	
	FIFO	688 000	Apr 20, 12	Jan 14, 13	9,968 48	14,919 90		-4,951 42	
	FIFO	1,083 000	Apr 20, 12	Jan 15, 13	15,498 46	23,485 83		-7,987 37	
EMC CORP MASS	FIFO	1,486 000	May 28, 13	Jun 27, 13	35,236 46	35,467 85		-231 39	
VODAFONE GROUP PLC NEW SPON ADR	FIFO	1,100 000	Jan 28, 13	Sep 04, 13	35,355 69	29,799 44			5,556 25
	FIFO	965 000	Jan 28, 13	Sep 05, 13	31,704 53	26,142 23			5,562 30
	FIFO	57 000	Jan 29, 13	Sep 05, 13	1,872 70	1,555 99			316 71
	FIFO	674 000	Jan 29, 13	Sep 06, 13	22,106 35	18,398 92			3,707 43
	FIFO	362 000	Jan 29, 13	Oct 02, 13	12,957 89	9,881 91			3,075 98
	FIFO	238 000	Jan 31, 13	Oct 02, 13	8,519 27	6,501 07			2,018 20
	FIFO	470 000	Feb 01, 13	Oct 02, 13	16,823 78	12,838 38			3,985 40
	FIFO	917 000	Feb 20, 13	Oct 02, 13	32,824 27	22,852 37			9,971 90
	FIFO	621 000	Feb 20, 13	Oct 03, 13	22,278 17	15,475 82			6,802 35
	FIFO	678 000	Feb 20, 13	Oct 14, 13	24,067 90	16,896 30			7,171 60
Total					\$306,842.08	\$291,072.26		-\$32,398.30	\$48,168.12
Net short-term capital gains and losses									\$15,769.82

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
ALLEGION PLC	FIFO	101 000	Dec 31, 01	Dec 03, 13	4,347 08	1,018 40			3,328 68
	FIFO	544 000	Dec 08, 05	Dec 03, 13	23,413 95	11,763 97			11,649 98
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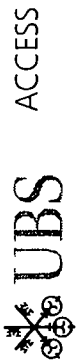
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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
AON PLC	FIFO	256 000	Dec 08, 05	Dec 04, 13	10,746.48	5,535.98			5,210.50
APACHE CORP	FIFO	522 000	Sep 27, 06	Mar 08, 13	31,339.81	25,619.76			5,720.05
BARRICK GOLD CORP CAD	FIFO	461 000	Jan 03, 07	Nov 27, 13	42,465.70	30,027.60			12,438.10
CA INC	FIFO	1,780 000	Dec 06, 05	Apr 10, 13	44,619.68	47,715.75		-3,096.07	
	FIFO	709 000	Jul 26, 02	May 03, 13	19,666.51	5,693.20			13,973.31
	FIFO	490 000	Dec 01, 05	May 03, 13	13,591.80	14,118.03		-526.23	
	FIFO	160 000	Dec 01, 05	May 06, 13	4,426.88	4,609.97		-183.09	
	FIFO	1,158 000	Dec 01, 05	Jun 10, 13	33,846.01	33,364.64			481.37
	FIFO	524 000	Dec 01, 05	Jul 10, 13	15,296.97	15,097.64			199.33
	FIFO	1,168 000	Dec 01, 05	Sep 11, 13	35,955.44	33,652.77			2,302.67
	FIFO	744 000	May 03, 06	Sep 11, 13	22,903.12	18,733.77			4,169.35
	FIFO	333 000	May 03, 06	Sep 12, 13	10,153.36	8,384.87			1,768.49
	FIFO	52 000	May 03, 06	Sep 16, 13	1,589.98	1,309.35			280.63
	FIFO	924 000	May 03, 06	Nov 15, 13	30,268.51	23,266.14			7,002.37
	FIFO	472 000	May 03, 06	Nov 18, 13	15,530.70	11,884.86			3,645.84
CANADIAN NAT RESOURCES LTD CAD	FIFO	576 000	Nov 16, 09	Nov 27, 13	18,578.85	19,951.86		-1,373.01	
CISCO SYSTEMS INC	FIFO	284 000	May 12, 11	Apr 24, 13	5,785.94	4,788.86			997.08
	FIFO	2,390 000	May 12, 11	Aug 07, 13	62,500.51	40,300.66			22,199.85
	FIFO	4,383 000	May 12, 11	Oct 23, 13	97,652.86	73,907.03			23,745.83
	FIFO	1,181 000	May 13, 11	Oct 23, 13	26,312.57	19,913.79			6,398.78
	FIFO	484 000	May 16, 11	Oct 23, 13	10,783.48	8,132.07			2,651.41
CITIGROUP INC	FIFO	1,234 000	Dec 17, 09	Jan 09, 13	52,873.99	39,468.25			13,405.74
EMC CORP MASS	FIFO	4,059 000	Jan 23, 12	Jun 26, 13	97,498.32	94,833.66			2,664.66
	FIFO	57 000	Jan 23, 12	Jun 27, 13	1,351.60	1,331.74			19.86
GOLDMAN SACHS GROUP INC	FIFO	252 000	Aug 25, 10	Sep 16, 13	42,262.71	36,364.78			5,897.93
	FIFO	292 000	Aug 26, 10	Sep 16, 13	48,971.08	42,157.12			6,813.96
	FIFO	66 000	Aug 26, 10	Sep 17, 13	11,012.04	9,528.66			1,483.38
	FIFO	17 000	Mar 17, 11	Sep 17, 13	2,836.44	2,628.56			207.88

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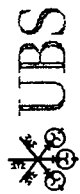
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Account number: ML 09279 EFYour Financial Advisor:
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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
HALLIBURTON CO (HOLDING COMPANY)	FIFO	847 000	Nov 03, 08	Jan 25, 13	33,496.15	15,284.37			18,211.78
	FIFO	1,001 000	Nov 06, 08	Jan 25, 13	39,586.36	17,981.96			21,604.40
	FIFO	99 000	Nov 06, 08	Jul 24, 13	4,446.82	1,778.44			2,668.38
	FIFO	508 000	Nov 11, 08	Jul 24, 13	22,818.00	9,299.55			13,518.45
	FIFO	92 000	Nov 11, 08	Jul 25, 13	4,114.33	1,684.17			2,430.16
	FIFO	148 000	Jul 20, 12	Jul 25, 13	6,618.71	4,596.88			2,021.83
HARTFORD FINCL SERVICES GROUP INC	FIFO	1,657 000	Jul 20, 12	Jul 26, 13	76,232.10	51,466.42			24,765.68
	FIFO	232 000	Sep 09, 99	Apr 24, 13	6,431.43	11,137.74		-4,706.31	
	FIFO	978 000	Jan 17, 01	Apr 24, 13	27,111.80	57,885.38		-30,773.58	
	FIFO	222 000	Jan 17, 01	May 06, 13	6,461.68	13,139.62		-6,677.94	
	FIFO	1,000 000	Aug 02, 06	May 06, 13	29,106.64	83,648.30		-54,541.66	
	FIFO	48 000	Aug 03, 06	May 06, 13	1,397.12	3,972.65		-2,575.53	
INGERSOLL-RAND PLC	FIFO	958 000	Aug 03, 06	Nov 15, 13	33,934.55	79,287.43		-45,352.88	
	FIFO	222 000	Aug 03, 06	Nov 18, 13	7,815.88	18,373.50		-10,557.62	
	FIFO	142 000	Aug 03, 06	Nov 19, 13	4,972.69	11,752.41		-6,779.72	
	FIFO	257 000	Dec 31, 01	Aug 01, 13	15,964.59	4,252.78			11,711.81
	FIFO	707 000	Dec 31, 01	Aug 02, 13	43,850.91	11,699.30			32,151.61
	FIFO	482 000	Dec 01, 05	Mar 08, 13	24,100.86	18,590.74			5,510.12
JPMORGAN CHASE & CO LINCOLN NATL CORP IND	FIFO	829 000	Jun 22, 10	Mar 08, 13	26,985.42	22,776.11			4,209.31
	FIFO	683 000	Jun 23, 10	Mar 08, 13	22,232.86	18,395.10			3,837.76
	FIFO	741 000	Jun 23, 10	Mar 11, 13	24,573.08	19,957.21			4,615.87
	FIFO	197 000	Jun 24, 10	Mar 11, 13	6,532.93	5,240.93			1,292.00
	FIFO	1,223 000	Jun 24, 10	Mar 15, 13	40,701.39	32,536.32			8,165.07
	FIFO	949 000	Feb 27, 09	Jan 24, 13	40,840.44	23,315.13			17,525.31
MERCK & CO INC NEW COM	FIFO	990 000	Feb 27, 09	Jan 24, 13	42,604.88	24,298.66			18,306.22
	FIFO	10 000	Feb 27, 09	Jan 25, 13	430.08	245.44			184.64
	FIFO	2,310 000	Oct 26, 09	Jan 25, 13	99,347.59	74,049.13			25,298.46
	FIFO	1,978 000	Oct 09, 08	Dec 18, 13	100,254.77	55,380.44			44,874.33

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
MOSAIC CO	FIFO	516 000	Feb 16, 12	Nov 11, 13	24,757 30	28,353 58		-3,596 28	
	FIFO	115 000	Feb 16, 12	Nov 12, 13	5,519 00	6,319 11		-800 11	
	FIFO	97 000	Feb 27, 12	Nov 12, 13	4,655 15	5,705 79		-1,050 64	
NOBLE ENERGY INC	FIFO	390 000	Sep 23, 08	Feb 25, 13	43,193 71	23,454 09			19,739 62
	FIFO	438 000	Sep 23, 08	Feb 27, 13	48,262 36	26,340 76			21,921 60
	FIFO	150 000	Sep 23, 08	Feb 28, 13	16,645 97	9,020 80			7,625 17
OCCIDENTAL PETROLEUM CRP	FIFO	307 000	Apr 12, 10	Nov 27, 13	29,534 73	26,603 03			2,931 70
	FIFO	185 000	Apr 14, 10	Nov 27, 13	17,797 80	15,867 52			1,930 28
	FIFO	116 000	Apr 14, 10	Nov 29, 13	11,115 03	9,949 37			1,165 66
	FIFO	110 000	Apr 14, 10	Nov 29, 13	10,540 12	9,434 75			1,105 37
PFIZER INC	FIFO	376 000	Apr 14, 10	Apr 24, 13	11,571 89	6,410 88			5,161 01
PITNEY BOWES INC	FIFO	570 000	Dec 09, 05	Apr 01, 13	8,264 87	23,876 84		-15,611 97	
	FIFO	316 000	Nov 19, 07	Apr 01, 13	4,581 93	12,114 43		-7,532 50	
	FIFO	184 000	Nov 19, 07	Apr 02, 13	2,661 33	7,053 97		-4,392 64	
	FIFO	200 000	Nov 19, 07	Apr 02, 13	2,892 76	7,672 76		-4,780 00	
	FIFO	1,284 000	Jan 07, 08	Apr 02, 13	18,571 49	47,412 73		-28,841 24	
	FIFO	972 000	Jan 07, 08	Apr 03, 13	14,077 35	35,891 87		-21,814 52	
	FIFO	1,144 000	Jan 07, 08	Apr 04, 13	16,558 11	42,243 12		-25,685 01	
RAYTHEON CO NEW	FIFO	493 000	Oct 13, 03	Nov 11, 13	41,955 10	13,595 85			28,359 25
	FIFO	212 000	Oct 13, 03	Nov 12, 13	18,005 06	5,846 50			12,158 56
SANOFI SPON ADR	FIFO	1,257 000	Aug 13, 07	Jan 11, 13	61,451 72	50,956 27			10,495 45
	FIFO	583 000	Aug 13, 07	Jan 14, 13	28,442 24	23,633 65			4,808 59
	FIFO	830 000	Aug 13, 07	Jan 16, 13	40,042 28	33,646 54			6,395 74
	FIFO	1,538 000	Aug 13, 07	Apr 29, 13	83,830 81	62,347 44			21,483 37
	FIFO	864 000	Aug 13, 07	Apr 30, 13	46,945 60	35,024 84			11,920 76
	FIFO	133 000	Aug 13, 07	May 02, 13	7,172 34	5,391 55			1,780 79
TIME WARNER INC NEW	FIFO	918 000	Oct 13, 10	Jul 12, 13	56,548 83	28,955 74			27,593 09
	FIFO	2 000	Oct 13, 10	Jul 15, 13	122 98	63 09			59 89
	FIFO	24 000	Oct 13, 10	Jul 17, 13	1,476 24	757 01			719 23

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Account name:
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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
UNUM GROUP	FIFO	1,004 000	May 20, 10	Jun 24, 13	28,760 69	21,453 57			7,307 12
	FIFO	959 000	May 20, 10	Jul 26, 13	30,179 01	20,492 01			9,687 00
	FIFO	398 000	May 20, 10	Jul 30, 13	12,557 83	8,504 51			4,053 32
	FIFO	264 000	May 20, 10	Jul 31, 13	8,376 89	5,641 17			2,735 72
	FIFO	1,472 000	May 20, 10	Nov 04, 13	46,669 98	31,453 85			15,216 13
VIACOM INC NEW CL B	FIFO	159 000	Jan 06, 06	May 22, 13	11,047 61	6,781 34			4,266 27
	FIFO	582 000	Jan 06, 06	May 23, 13	40,248 50	24,822 24			15,426 26
	FIFO	444 000	Jan 06, 06	May 28, 13	30,901 33	18,936 56			11,964 77
	FIFO	574 000	Jan 06, 06	Aug 02, 13	45,183 87	24,481 04			20,702 83
	FIFO	128 000	Mar 09, 06	Aug 02, 13	10,075 84	4,885 04			5,190 80
	FIFO	229 000	Mar 09, 06	Oct 15, 13	18,921 99	8,739 65			10,182 34
	FIFO	322 000	Mar 09, 06	Oct 16, 13	26,765 39	12,288 94			14,476 45
WELLS FARGO & CO NEW	FIFO	521 000	Feb 10, 92	Jul 16, 13	22,389 53	2,368 92			20,020 61
	FIFO	709 000	Dec 09, 05	Jul 16, 13	30,468 68	22,313 51			8,155 17
Total					\$2,671,287.67	\$2,194,236.08		-\$281,248.55	\$758,300.14
Net long-term capital gains or losses									\$477,051.59
Net capital gains/losses:									\$492,821.41



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Account name
HARDEN FOUNDATION
Account number:
ML 09275 EFYour Financial Advisor:
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Realized gains and losses

Estimated 2013 gains and losses for transactions with trade dates through 12/31/13 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order.

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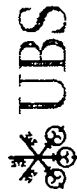
Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
SEAGATE TECHNOLOGY PLC									
SHS	FIFO	410 000	Oct 02, 12	May 14, 13	16,761 16	12,317 47			4,443.69
	FIFO	440 000	Oct 02, 12	May 30, 13	19,411 89	13,218 74			6,193 15
	FIFO	275 000	Oct 02, 12	Jul 22, 13	12,931 73	8,261 72			4,670 01
	FIFO	815 000	Dec 28, 12	Jul 22, 13	38,324 96	24,387 25			13,937 71
SEIKO EPSON CORP									
UNSPONSORED ADR	FIFO	5,140 000	Feb 22, 13	Oct 21, 13	47,164 84	24,422 71			22,742 13
TDK CORP ADR JAPAN ADR	FIFO	1,045 000	Feb 05, 13	Nov 26, 13	48,047 21	36,153 24			11,893 97
Total					\$182,641.79	\$118,761.13			\$63,880.66
Net short-term capital gains and losses									\$63,880.66

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
AEGON NV ADR N Y SHS									
NETHERLANDS ADR	FIFO	1,100 000	Aug 23, 04	Aug 14, 13	8,546 19	11,920 48		-3,374 29	
	FIFO	645 000	Sep 15, 04	Aug 14, 13	5,011 17	7,273 73		-2,262 56	
	FIFO	2,295 000	Sep 15, 04	Nov 15, 13	19,486 05	25,880 94		-6,394 89	
	FIFO	1,880 000	Sep 15, 04	Nov 25, 13	16,579 62	21,200 95		-4,621 33	
	FIFO	5 000	Aug 17, 05	Nov 25, 13	44 09	70 55		-26 46	
	FIFO	184 000	Aug 17, 05	Dec 19, 13	1,646 35	2,596 24		-949 89	
	FIFO	1,080 000	Jul 11, 07	Dec 19, 13	9,663 35	21,033 22		-11,369 87	
	FIFO	36 000	Aug 13, 07	Dec 19, 13	322 11	653 50		-331 39	
AKZO NOBEL NV ADR									
	FIFO	690 000	Dec 19, 03	Jan 15, 13	15,657 26	8,180 18			7,477 08
	FIFO	365 000	Oct 13, 04	Jan 15, 13	8,282 47	4,309 15			3,973 32
	FIFO	925 000	Oct 13, 04	Feb 15, 13	21,448 23	10,920 46			10,527 77

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Account name: HARDEN FOUNDATION
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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
	FIFO	210 000	Jul 08, 11	Feb 15, 13	4,869 33	4,357 57			511 76
	FIFO	90 000	Aug 11, 11	Feb 15, 13	2,086 86	1,491 26			595 60
	FIFO	810 000	Sep 12, 11	Feb 15, 13	18,781 70	11,627 17			7,154 53
	FIFO	540 000	Oct 19, 11	Feb 15, 13	12,521 13	8,853 80			3,667 33
	FIFO	30 000	Nov 21, 11	Feb 15, 13	695 61	439 54			256 07
	FIFO	30 000	Nov 23, 11	Feb 15, 13	695 62	438 00			257 62
	FIFO	60 000	Dec 19, 11	Feb 15, 13	1,391 24	876 00			515 24
	FIFO	15 000	Jun 04, 10	Feb 25, 13	785 66	482 65			303 01
	FIFO	435 000	Jun 29, 10	Feb 25, 13	22,784 00	14,829 80			7,954 20
	FIFO	255 000	Jun 30, 10	Feb 25, 13	13,356 14	8,673 08			4,683 06
	FIFO	1,350 000	Nov 11, 05	Oct 10, 13	9,707 14	11,961 65		-2,254 51	
	FIFO	1,425 000	Jan 12, 07	Oct 10, 13	10,246 42	16,278 54		-6,032 12	
	FIFO	2,165 000	Jan 12, 07	Oct 29, 13	16,173 78	24,731 97		-8,558 19	
	FIFO	0 120	Nov 27, 09	Apr 30, 13	1 35	1 13			0 22
	FIFO	2,985 000	Jul 21, 06	Mar 08, 13	27,591 22	44,639 18		-17,047 96	
	FIFO	330 000	Apr 25, 05	Sep 27, 13	4,803 17	6,322 67		-1,519 50	
	FIFO	800 000	Jun 08, 05	Sep 27, 13	11,644 03	14,681 20		-3,037 17	
	FIFO	750 000	Oct 05, 05	Sep 27, 13	10,916 29	13,634 55		-2,718 26	
	FIFO	1,040 000	Dec 09, 05	Sep 27, 13	15,137 25	17,331 08		-2,193 83	
	FIFO	475 000	Jul 13, 09	Jan 22, 13	24,559 32	20,832 79			3,726 53
	FIFO	3,760 000	Nov 03, 08	Aug 13, 13	34,409 79	16,209 74			18,200 05
	FIFO	1,555 000	May 06, 08	Jun 13, 13	16,642 56	8,532 13			8,110 43
	FIFO	3,230 000	May 06, 08	Sep 04, 13	39,797 11	17,722 69			22,074 42
	FIFO	1,205 000	May 06, 08	Sep 04, 13	14,839 32	6,611 71			8,227 61
	FIFO	137 000	Feb 11, 04	Nov 08, 13	2,567 77	993 19			1,574 58
	FIFO	508 000	Oct 03, 05	Nov 08, 13	9,521 38	3,165 58			6,355 80

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Account name: HARDEN FOUNDATION
Account number: ML 09275 EFYour Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
MARKS & SPENCER GROUP INC SPON ADR	FIFO	1,155 000	Nov 20, 03	May 24, 13	16,556 06	10,898 12			5,657 94
	FIFO	935 000	Dec 11, 03	May 24, 13	13,402 53	9,104 59			4,297 94
	FIFO	865 000	Dec 11, 03	Sep 06, 13	13,452 07	8,422 97			5,029 10
	FIFO	500 000	Mar 17, 08	Sep 06, 13	7,775 77	7,211 60			564 17
NIPPON TELEG & TEL CORP SPON ADR	FIFO	370 000	Aug 24, 05	Jul 02, 13	9,575 58	8,294 48			1,281 10
	FIFO	465 000	Jun 27, 07	Jul 02, 13	12,034 17	10,459 06			1,575 11
SANOI SPON ADR	FIFO	385 000	Feb 22, 07	Apr 15, 13	20,292 01	16,857 95			3,434 06
SEIKO EPSON CORP UNSPONSORED ADR	FIFO	3,165 000	Sep 06, 07	Oct 03, 13	27,434 37	40,460 10		-13,025 73	
	FIFO	1,345 000	Sep 06, 07	Oct 21, 13	12,341 77	17,193 94		-4,852 17	
SEVEN & I HLDGS CO LTD ADR	FIFO	260 000	Jul 20, 10	Feb 06, 13	15,655 29	12,152 71			3,502 58
	FIFO	140 000	Oct 19, 10	Feb 06, 13	8,429 77	6,678 85			1,750 92
	FIFO	150 000	Nov 19, 10	Feb 06, 13	9,031 90	7,554 95			1,476 95
	FIFO	110 000	Apr 08, 11	Feb 06, 13	6,623 39	5,310 06			1,313 33
SONY CORP ADR NEW JAPAN ADR	FIFO	240 000	Oct 19, 05	Feb 04, 13	3,778 14	7,960 66		-4,182 52	
	FIFO	420 000	Aug 24, 07	Feb 04, 13	6,611 74	19,831 69		-13,219 95	
	FIFO	1,590 000	Oct 28, 08	Feb 04, 13	25,030 18	31,343 83		-6,313 65	
	FIFO	260 000	Jun 17, 11	Feb 04, 13	4,092 98	6,444 88		-2,351 90	
	FIFO	275 000	Oct 26, 11	Feb 04, 13	4,329 12	5,572 19		-1,243 07	
STMICROELECTRONICS N V EUR	FIFO	165 000	Jun 28, 06	Jan 25, 13	1,422 14	2,560 01		-1,137 87	
	FIFO	2,130 000	Feb 11, 08	Jan 25, 13	18,358 48	24,466 46		-6,107 98	
	FIFO	2,470 000	Feb 27, 08	Jan 25, 13	21,288 94	31,996 87		-10,707 93	
	FIFO	2,480 000	Dec 08, 11	Jan 25, 13	21,375 14	14,757 74			6,617 40
	FIFO	270 000	Dec 15, 11	Jan 25, 13	2,327 13	1,503 06			824 07
SUMITOMO MITSUI FINANCIAL SPON ADR	FIFO	2,475 000	Aug 20, 07	Jan 15, 13	18,643 01	38,998 08		-20,355 07	
	FIFO	1,070 000	Sep 05, 07	Jan 15, 13	8,059 81	16,354 74		-8,294 93	

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Account name: HARDEN FOUNDATION
Account number: ML 09275 EFYour Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
TAKEDA PHARMACEUTICAL CO LTD SPON ADR	FIFO	275 000	Sep 05, 07	Nov 15, 13	2,769 31	4,203 32		-1,434 01	
	FIFO	4,720 000	Sep 06, 07	Nov 15, 13	47,531 46	70,272 30		-22,740 84	
	FIFO	1,440 000	Sep 19, 07	Nov 15, 13	14,501 12	20,479 68		-5,978 56	
	FIFO	3,155 000	Sep 26, 07	Nov 15, 13	31,771 56	45,692 60		-13,921 04	
TE CONNECTIVITY LTD CHF	FIFO	820 000	Mar 04, 08	Mar 11, 13	21,833 90	22,379 77		-545 87	
	FIFO	822 000	Aug 01, 07	Nov 06, 13	43,053 80	28,985 36			14,068 44
	FIFO	143 000	Aug 10, 07	Nov 06, 13	7,489 90	4,663 96			2,825 94
TOTAL S A FRANCE SPON ADR	FIFO	435 000	Mar 12, 10	Dec 19, 13	25,524 14	25,523 54			0 60
TOYOTA MOTOR CORP NEW JAPAN SPON ADR	FIFO	40 000	Oct 16, 09	Apr 22, 13	4,476 92	3,177 88			1,299 04
	FIFO	180 000	Oct 22, 09	Apr 22, 13	20,146 14	14,082 26			6,063 88
	FIFO	115 000	Mar 12, 10	Apr 22, 13	12,871 14	8,843 16			4,027 98
	FIFO	200 000	Mar 12, 10	May 09, 13	23,594 63	15,379 40			8,215 23
	FIFO	160 000	Mar 12, 10	May 20, 13	20,482 90	12,303 52			8,179 38
UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR	FIFO	20 000	Jun 09, 04	Apr 25, 13	828 06	444 32			383 74
	FIFO	610 000	Sep 15, 04	Apr 25, 13	25,255.69	12,363 56			12,892 13
WOLTERS KLUWER NV SPONSORED ADR NETHERLANDS ADR	FIFO	560 000	May 28, 03	Oct 01, 13	14,533 88	6,800 42			7,733 46
	FIFO	970 000	May 28, 03	Nov 07, 13	26,150 17	11,779 29			14,370 88
	FIFO	100 000	Jun 06, 03	Nov 07, 13	2,695 89	1,319 99			1,375 90
	FIFO	910 000	Jun 06, 03	Dec 19, 13	25,008 73	12,011 91			12,996 82
	FIFO	261 000	Apr 15, 05	Dec 19, 13	7,172 83	4,619 70			2,553 13
	FIFO	183 000	Apr 27, 06	Dec 19, 13	5,029 23	4,520 10			509 13
	FIFO	149 000	Apr 21, 09	Dec 19, 13	4,094 84	2,783 32			1,311 52
	FIFO	136 000	Apr 20, 10	Dec 19, 13	3,737 57	2,586 31			1,151 26
	FIFO	136 000	Apr 20, 11	Dec 19, 13	3,737 57	2,993 22			744 35
	FIFO	60 000	Nov 10, 11	Dec 19, 13	1,648.92	1,020 26			628 66

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Your Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Long-term capital gains and losses (continued)

Gains and losses not calculated

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
RTS BARCLAYS PLC SPON ADR EXP 10/02/13	FIFO	0.250	Nov 07, 07	Sep 19, 13	1.40	0.00			

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Account name: HARDEN FOUNDATION
Account number: ML 09273 EFYour Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Realized gains and losses

Estimated 2013 gains and losses for transactions with trade dates through 12/31/13 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order.

See important information about your statement on the last page of this statement for more information. We may not adjust gains and losses for all capital changes. Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

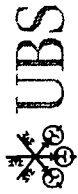
Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
OCCIDENTAL PETROLEUM 04 100% 020121 DTD121610 CORP NTS CALL@MW+15BP	FIFO	50,000 000	Nov 20, 12	Jun 10, 13	54,014.00	57,439.50		-3,425.50	
US TSY NOTE 03 875 % DUE 02/15/13									
DTD 02/15/03 FC 08/15/03	FIFO	100,000 000	Jul 24, 12	Feb 15, 13	100,000.00	102,062.84		-2,062.84	
Total					\$154,014.00	\$159,502.34		-\$5,488.34	-\$5,488.34

Net short-term capital gains and losses

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
FHLMC 04 500 % DUE 071513 DTD 071803 FC 01152004	FIFO	130,000 000	Jan 31, 06	Jul 15, 13	130,000.00	127,163.40			2,836.60
NATIONAL RURAL UTIL CORP 04 750% 030114 DTD022504 FC090104 MW +15BP	FIFO	33,000 000	May 29, 09	Dec 16, 13	33,312.18	33,017.33			294.85
ORACLE SYSTEMS CORP NTS 04 950% 041513 DTD040908 CALL@MW+35BP	FIFO	125,000 000	Jun 17, 08	Apr 15, 13	125,000.00	125,160.00		-160.00	
PROCTER & GAMBLE CO NTS 04 700% 021519 DTD020609 CALL@MW+35BP B/E	FIFO	66,000 000	Feb 05, 09	Jun 27, 13	74,392.56	66,318.12			8,074.44
US TSY NOTE 01 375 % DUE 05/15/13									
DTD 05/15/10 FC 11/15/10	FIFO	110,000 000	Aug 04, 10	May 15, 13	110,000.00	111,821.88		-1,821.88	
	FIFO	132,000 000	Aug 09, 11	May 15, 13	132,000.00	134,567.81		-2,567.81	

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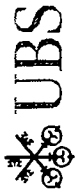
Account name: HARDEN FOUNDATION
Account number: ML 09273 EFYour Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
US TSY NOTE 03 125 % DUE 09/30/13 DTD 09/30/08 FC 03/31/09	FIFO	36,000 000	Aug 15, 11	Sep 05, 13	36,071 60	38,241 56 ¹		-2,169 96	
WALT DISNEY CO NTS 04 500% 121513 DTD 122208 FC061509 CALL@MW T+50BP	FIFO	100,000 000	Sep 28, 11	Oct 01, 13	100,818 00	108,372 00 ¹		-7,554 00	
Total					\$741,594.34	\$744,662.10		-\$14,273.65	\$11,205.89
Net long-term capital gains or losses									
Net capital gains/losses:									
									-\$3,067.76
									-\$8,556.10

¹ Indicates cost basis information provided by you or another third party UBS FS has not verified this information and does not guarantee its accuracy



UBS Financial Services Inc
200 Clock Tower Place
Suite C-100
Carmel CA 93923-8680

EPZ3003846893 1213 X1 ML 0

2013 Year End Summary

Account name: HARDEN FOUNDATION

(SANTA BARBARA)

Account number: ML 09280 EF

Your Financial Advisor:

ELLIOTT/FOCARACCI

Phone 831-622-0222/800-937-2400

HARDEN FOUNDATION
(SANTA BARBARA)
PO BOX 779
SALINAS CA 93902-0779

Summary of gains and losses

	Amount (\$)
Short term	26,790 41
Long term	265,416.54
Total	\$292,206.95

Realized gains and losses

Estimated 2013 gains and losses for transactions with trade dates through 12/31/13 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order.

Short-term capital gains and losses

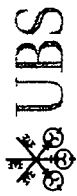
Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
ADOBE SYSTEMS INC (DELAWARE)	FIFO	71 000	Aug 14, 13	Aug 27, 13	3,226 89	3,333 26		-106 37	

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Member SIPC

EPZ30001003846893 PZ3000183645 00012 1213 029595066 ML09280EF0 100000

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Business Services Account

Account name:
Account number.HARDEN FOUNDATION
ML 09280 EFYour Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
ALLIANCE DATA SYSTEMS CORP									
	FIFO	6 000	Jul 16, 13	Jul 30, 13	1,162.18	1,132.10			30.08
	FIFO	17 000	Jul 16, 13	Aug 27, 13	3,398.24	3,207.63			190.61
ALTERA CORP	FIFO	13 000	Jul 20, 12	Apr 03, 13	430.35	408.28			22.07
APPLE INC	FIFO	10 000	May 23, 12	Feb 05, 13	4,585.80	5,584.52		-998.72	
	FIFO	5 000	May 23, 12	Mar 06, 13	2,130.05	2,792.26		-662.21	
	FIFO	11 000	May 23, 12	Apr 26, 13	4,571.12	6,142.97		-1,571.85	
	FIFO	4 000	Jul 20, 12	Apr 26, 13	1,662.22	2,432.48		-770.26	
	FIFO	6 000	Jul 20, 12	May 13, 13	2,728.74	3,648.72		-919.98	
	FIFO	6 000	Jul 20, 12	Jun 10, 13	2,657.41	3,648.72		-991.31	
	FIFO	16 000	Oct 24, 12	Aug 27, 13	7,960.66	9,797.91		-1,837.25	
BMC SOFTWARE INC **MERGER EFF 09/2013**									
	FIFO	3 000	Jul 20, 12	Jul 16, 13	137.07	121.49			15.58
BROADCOM CORP CL A	FIFO	75 000	Jul 20, 12	Jan 23, 13	2,607.17	2,397.45			209.72
CELGENE CORP	FIFO	16 000	Apr 30, 13	May 13, 13	2,073.36	1,876.02			197.34
	FIFO	12 000	Apr 30, 13	Jun 10, 13	1,414.66	1,407.01			7.65
	FIFO	12 000	Apr 30, 13	Jul 30, 13	1,745.01	1,407.01			338.00
	FIFO	39 000	Apr 30, 13	Aug 27, 13	5,434.95	4,572.79			862.16
COSTCO WHOLESALE CORP	FIFO	54 000	Jan 30, 13	Aug 27, 13	6,004.13	5,552.18			451.95
CVS CAREMARK CORP	FIFO	65 000	Oct 24, 12	Feb 05, 13	3,346.78	3,001.34			345.44
	FIFO	39 000	Oct 24, 12	Mar 06, 13	2,037.31	1,800.81			236.50
	FIFO	99 000	Oct 24, 12	Apr 26, 13	5,730.99	4,571.27			1,159.72
	FIFO	46 000	Oct 24, 12	May 13, 13	2,690.02	2,124.03			565.99
	FIFO	38 000	Oct 24, 12	Jun 10, 13	2,233.60	1,754.63			478.97
	FIFO	36 000	Oct 24, 12	Jul 30, 13	2,197.40	1,662.28			535.12
	FIFO	120 000	Oct 24, 12	Aug 27, 13	6,927.48	5,540.94			1,386.54
DANAHER CORP	FIFO	39 000	Apr 03, 13	Apr 26, 13	2,348.53	2,348.53	-37.97		
	FIFO	20 000	Apr 03, 13	May 13, 13	1,232.97	1,223.84			9.13
	FIFO	32 000	Apr 03, 13	Jun 10, 13	1,993.57	1,958.16			35.41
	FIFO	32 000	Apr 03, 13	Jul 30, 13	2,155.48	1,958.15			197.33

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Business Services Account

Account name:
Account number:

HARDEN FOUNDATION
ML 09280 EF

Your Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
DIRECTV	FIFO	17 000	Apr 03, 13	Aug 27, 13	1,119 26	1,040 27			78 99
	FIFO	39 000	May 23, 13	Aug 27, 13	2,567 71	2,471 47	37 97		96 24
	FIFO	47 000	May 23, 13	Aug 27, 13	3,094 43	2,932 68			161 75
	FIFO	60 000	Jan 23, 13	Feb 05, 13	3,146 93	3,146 93	-53 25		
	FIFO	48 000	Jan 23, 13	Mar 06, 13	2,357 23	2,357 23	-202 92		
	FIFO	127 000	Jan 23, 13	Apr 26, 13	7,142 59	6,773 73			368 86
	FIFO	61 000	Jan 23, 13	May 13, 13	3,902 69	3,253 52			649 17
	FIFO	74 000	Jan 23, 13	May 23, 13	4,735 56	3,946 89			788 67
	FIFO	34 000	Jan 23, 13	Jun 10, 13	2,103 54	1,813 44			290 10
	FIFO	34 000	Feb 22, 13	Jul 30, 13	2,133 12	1,656 43			476 69
DOLLAR GEN CORP NEW	FIFO	48 000	Feb 22, 13	Aug 27, 13	2,750 35	2,541 41	202 92		208 94
	FIFO	60 000	Feb 22, 13	Aug 27, 13	3,437 94	2,976 37	53 25		461 57
	FIFO	3 000	Feb 22, 13	Aug 27, 13	171 90	146 16			25 74
	FIFO	65 000	May 09, 12	Feb 05, 13	2,947 03	3,039 80		-92 77	
	FIFO	33 000	May 09, 12	Mar 06, 13	1,595 84	1,543 29			52 55
	FIFO	110 000	May 09, 12	Mar 20, 13	5,435 88	5,144 28			291 60
	FIFO	190 000	Aug 01, 12	Mar 20, 13	9,389 25	9,724 11		-334 86	
	FIFO	37 000	Jul 20, 12	Feb 22, 13	1,350 94	1,239 42			111 52
	FIFO	50 000	Nov 29, 12	Feb 05, 13	2,821 94	2,612 73			209 21
	FIFO	37 000	Nov 29, 12	Mar 06, 13	1,992 41	1,933 42			58 99
DONALDSON CO INC	FIFO	87 000	Nov 29, 12	Apr 26, 13	4,562 19	4,546 15			16 04
	FIFO	40 000	Nov 29, 12	May 13, 13	2,208 51	2,090 19			118 32
	FIFO	35 000	Nov 29, 12	Jun 10, 13	1,856 81	1,828 91			27 90
	FIFO	31 000	Nov 29, 12	Jul 30, 13	1,610 85	1,619 89		-9 04	
	FIFO	17 000	Nov 29, 12	Aug 27, 13	873 28	888 33		-15 05	
	FIFO	89 000	Jan 30, 13	Aug 27, 13	4,571 85	4,997 34		-425 49	
	FIFO	23 000	Jul 16, 13	Jul 30, 13	1,062 42	1,030 37			32 05
	FIFO	73 000	Jul 16, 13	Aug 27, 13	2,996 60	3,270 31		-273 71	
	FIFO	40 000	Aug 14, 13	Aug 27, 13	1,641 97	1,777 11		-135 14	
	FIFO								
EBAY INC									
GAP INC									

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Business Services Account

Account name:
Account number:

HARDEN FOUNDATION
ML 09280 EF

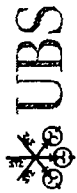
Your Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
GARTNER INC	FIFO	43 000	Apr 30, 13	May 13, 13	2,448.80	2,448.80	-24.76		
	FIFO	34 000	Apr 30, 13	Jun 10, 13	1,937.29	1,955.84		-18.55	92.81
	FIFO	31 000	Apr 30, 13	Jul 30, 13	1,876.08	1,783.27			10.21
	FIFO	3 000	May 08, 13	Jul 30, 13	181.56	171.35			6.73
	FIFO	43 000	May 08, 13	Aug 27, 13	2,487.51	2,480.78	24.76		49.78
	FIFO	68 000	May 08, 13	Aug 27, 13	3,933.73	3,883.95			2,392.02
GOOGLE INC CL A	FIFO	14 000	Nov 29, 12	Aug 27, 13	12,044.83	9,652.81			195.83
GRAINGER W W INC	FIFO	15 000	Jul 20, 12	Feb 05, 13	3,269.03	3,073.20			228.64
	FIFO	9 000	Jul 20, 12	Mar 06, 13	2,072.56	1,843.92			868.22
	FIFO	22 000	Jul 20, 12	Apr 26, 13	5,375.58	4,507.36			156.19
	FIFO	3 000	Jul 20, 12	May 13, 13	770.83	614.64			374.20
	FIFO	7 000	Aug 01, 12	May 13, 13	1,798.61	1,424.41			473.48
	FIFO	9 000	Aug 01, 12	Jun 10, 13	2,304.86	1,831.38			822.47
	FIFO	16 000	Aug 01, 12	Jun 18, 13	4,078.25	3,255.78			228.75
	FIFO	4 000	Aug 01, 12	Jul 30, 13	1,042.70	813.95			
HERBALIFE LTD	FIFO	133 000	Feb 15, 12	Jan 10, 13	5,599.87	7,916.16		-2,316.29	
IHS INC CL A	FIFO	35 000	Apr 09, 12	Feb 05, 13	3,592.67	3,311.01			281.66
	FIFO	16 000	Apr 09, 12	Mar 06, 13	1,784.74	1,513.61			271.13
	FIFO	3 000	Jul 20, 12	May 13, 13	306.01	306.01	-10.15		
	FIFO	20 000	Jul 20, 12	Jun 10, 13	2,176.56	2,107.75			68.81
	FIFO	24 000	May 08, 13	Aug 27, 13	2,596.28	2,452.30			143.98
	FIFO	12 000	Jul 20, 12	Jan 30, 13	259.35	188.01			71.34
JUNIPER NETWORKS INC	FIFO	15 000	Oct 24, 12	Feb 05, 13	1,422.72	1,190.10			232.62
KANSAS CITY STHN NEW	FIFO	13 000	Oct 24, 12	Mar 06, 13	1,363.80	1,031.42			332.38
	FIFO	29 000	Oct 24, 12	Apr 26, 13	3,092.21	2,300.86			791.35
	FIFO	14 000	Oct 24, 12	May 13, 13	1,557.61	1,110.76			446.85
	FIFO	11 000	Oct 24, 12	Jun 10, 13	1,211.31	872.74			338.57
	FIFO	11 000	Oct 24, 12	Jul 30, 13	1,187.55	872.74			314.81
	FIFO	35 000	Oct 24, 12	Aug 27, 13	3,711.69	2,776.90			934.79
	FIFO								
	FIFO								

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Business Services Account

Account name:
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HARDEN FOUNDATION
ML 09280 EF

Your Financial Advisor:
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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
LKQ CORP NEW	FIFO	49 000	Mar 22, 12	Jan 23, 13	1,114 73	763 32			351 41
	FIFO	623 000	Mar 22, 12	Jan 30, 13	14,235 85	9,705 06			4,530 79
	FIFO	20 000	Jul 20, 12	Jan 30, 13	457 01	350 30			106 71
MCKESSON CORP	FIFO	16 000	Jun 18, 13	Jul 30, 13	1,975 81	1,843 22			132 59
	FIFO	39 000	Jun 18, 13	Aug 27, 13	4,739 58	4,492 85			246 73
	FIFO	16 000	Jul 08, 13	Aug 27, 13	1,944 45	1,869 03			75 42
MEAD JOHNSON NUTRITION CO COM STOCK	FIFO	20 000	Aug 22, 12	Feb 05, 13	1,521 97	1,420 12			101 85
	FIFO	14 000	Aug 22, 12	Mar 06, 13	1,057 26	994 09			63 17
	FIFO	33 000	Aug 22, 12	Apr 26, 13	2,629 38	2,343 21			286 17
	FIFO	15 000	Aug 22, 12	May 13, 13	1,190 52	1,065 09			125 43
	FIFO	12 000	Aug 22, 12	Jun 10, 13	981 46	852 07			129 39
	FIFO	51 000	Aug 22, 12	Jul 08, 13	3,557 55	3,621 32		-63 77	
	FIFO	47 000	May 23, 13	Aug 27, 13	4,032 53	2,887 16			1,145 37
OCEANEERING INTL INC	FIFO	20 000	Jun 07, 13	Jun 10, 13	1,454 97	1,464 15		-9 18	
	FIFO	19 000	Jun 07, 13	Jul 30, 13	1,513 83	1,390 95			122 88
	FIFO	66 000	Jun 07, 13	Aug 27, 13	5,276 61	4,831 71			444 90
ORACLE CORP	FIFO	100 000	Jan 10, 13	Feb 05, 13	3,555 34	3,468 77			86 57
	FIFO	101 000	Jan 10, 13	Mar 06, 13	3,623 21	3,503 46		-473 45	119 75
	FIFO	206 000	Jan 10, 13	Apr 26, 13	6,672 21	7,145 66		-95 87	
	FIFO	99 000	Jan 10, 13	May 13, 13	3,338 21	3,434 08		-52 47	
	FIFO	80 000	Jan 10, 13	Jun 10, 13	2,722 55	2,775 02		-79 73	
	FIFO	39 000	Jan 10, 13	Jul 30, 13	1,273 09	1,352 82		-63 81	
	FIFO	37 000	Feb 22, 13	Jul 30, 13	1,207 81	1,271 62		-677 67	
PETSMART INC	FIFO	253 000	Feb 22, 13	Aug 27, 13	8,017 43	8,695 10			
	FIFO	25 000	Dec 20, 12	Feb 05, 13	1,625 21	1,776 50		-151 29	
	FIFO	14 000	Dec 20, 12	Mar 06, 13	923 42	994 83		-71 41	
	FIFO	33 000	Dec 20, 12	Apr 26, 13	2,217 55	2,217 55	-127 43		
	FIFO	25 000	Dec 20, 12	May 13, 13	1,716 80	1,716 80	-59 70		

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Business Services Account

Account name:
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HARDEN FOUNDATION
ML 09280 EF

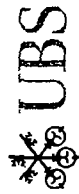
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831-622-0222/800-937-2400

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PHILIP MORRIS INTL INC	FIFO	22 000	Dec 20, 12	Jun 10, 13	1,501 55	1,563 31		-61 76	
	FIFO	21 000	Dec 20, 12	Jul 30, 13	1,528 35	1,492 26			36 09
	FIFO	10 000	Dec 20, 12	Aug 27, 13	699 39	710 60		-11 21	
	FIFO	25 000	May 08, 13	Aug 27, 13	1,748 47	1,797 33	59 70	-48 86	
	FIFO	33 000	May 08, 13	Aug 27, 13	2,307 98	2,421 10	127 43	-113 12	
	FIFO	1 000	May 08, 13	Aug 27, 13	69 94	69 51			0 43
POLARIS INDUSTRIES INC (MINN)	FIFO	35 000	Jan 30, 13	Feb 05, 13	3,077 48	3,076 39			1 09
	FIFO	22 000	Jan 30, 13	Mar 06, 13	2,015 37	1,933 74			81 63
	FIFO	83 000	Jan 30, 13	Apr 26, 13	7,938 77	7,295 45			643 32
	FIFO	39 000	Jan 30, 13	May 13, 13	3,670 21	3,427 98			242 23
	FIFO	32 000	Jan 30, 13	Jun 10, 13	2,937 55	2,812 71			124 84
	FIFO	30 000	Jan 30, 13	Jul 30, 13	2,675 35	2,636 91			38 44
	FIFO	5 000	Jan 30, 13	Aug 27, 13	419 69	439 48		-19 79	
	FIFO	96 000	Apr 03, 13	Aug 27, 13	8,058 10	9,084 87		-1,026 77	
	FIFO	11 000	Feb 22, 13	Mar 06, 13	986 79	935 68			51 11
	FIFO	51 000	Feb 22, 13	Apr 26, 13	4,375 04	4,338 18			36 86
PRECISION CASTPARTS CORP	FIFO	25 000	Feb 22, 13	May 13, 13	2,199 45	2,126 55			72 90
	FIFO	18 000	Feb 22, 13	Jun 10, 13	1,725 45	1,531 12			194 33
	FIFO	5 000	Feb 22, 13	Jul 30, 13	552 04	425 31			126 73
	FIFO	14 000	Apr 03, 13	Jul 30, 13	1,545 71	1,263 52			282 19
	FIFO	60 000	Apr 03, 13	Aug 27, 13	6,761 28	5,415 11			1,346 17
	FIFO	15 000	Mar 08, 12	Feb 05, 13	2,797 74	2,555 71			242 03
QUALCOMM INC	FIFO	9 000	Mar 08, 12	Mar 06, 13	1,705 10	1,533 42			171 68
	FIFO	189 000	Jul 20, 12	May 08, 13	12,166 41	10,995 00			1,171 41
RED HAT INC	FIFO	55 000	Aug 01, 12	Feb 05, 13	3,092 93	2,910 05			182 88
	FIFO	37 000	Aug 01, 12	Mar 06, 13	1,956 52	1,957 67		-1 15	
	FIFO	82 000	Aug 01, 12	Apr 26, 13	3,980 45	4,338 62		-358 17	
	FIFO	16 000	Aug 01, 12	May 13, 13	827 23	846 56		-19 33	
	FIFO	26 000	Oct 03, 12	May 13, 13	1,344 25	1,457 51		-113 26	
	FIFO								

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Business Services Account

Account name:
Account number:

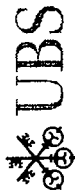
HARDEN FOUNDATION
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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
RESMED INC	FIFO	31 000	Oct 03, 12	Jun 10, 13	1,435 48	1,737 80		-302 32	
	FIFO	31 000	Oct 03, 12	Jul 30, 13	1,582 52	1,737 79		-155 27	
	FIFO	102 000	Oct 03, 12	Aug 14, 13	5,422 01	5,717 92		-295 91	
RESMED INC	FIFO	23 000	Jul 08, 13	Jul 30, 13	1,076 38	1,034 21			42 17
	FIFO	70 000	Jul 08, 13	Aug 27, 13	3,429 94	3,147 60			282 34
	FIFO	33 000	Aug 14, 13	Aug 27, 13	1,616 97	1,610 56			6 41
ROPER INDS INC NEW	FIFO	7 000	Feb 22, 13	Mar 06, 13	878 50	869 92			8 58
	FIFO	30 000	Feb 22, 13	Apr 26, 13	3,689 92	3,728 24		-38 32	
	FIFO	14 000	Feb 22, 13	May 13, 13	1,692 98	1,739 85		-46 87	
ROPER INDS INC NEW	FIFO	11 000	Feb 22, 13	Jun 10, 13	1,360 90	1,367 02		-6 12	
	FIFO	12 000	Feb 22, 13	Jul 30, 13	1,520 61	1,491 29			29 32
	FIFO	36 000	Feb 22, 13	Aug 27, 13	4,470 04	4,473 89		-3 85	
STARBUCKS CORP	FIFO	75 000	Sep 21, 12	Feb 05, 13	4,223 16	3,842 86			380 30
	FIFO	38 000	Sep 21, 12	Mar 06, 13	2,173 55	1,947 04			226 51
	FIFO	93 000	Sep 21, 12	Apr 26, 13	5,579 88	4,765 14			814 74
STARBUCKS CORP	FIFO	2 000	Sep 21, 12	May 13, 13	125 44	102 48			22 96
	FIFO	42 000	Oct 17, 12	May 13, 13	2,634 18	2,048 72			585 46
	FIFO	35 000	Oct 17, 12	Jun 10, 13	2,309 96	1,707 27			602 69
STARBUCKS CORP	FIFO	35 000	Oct 17, 12	Jul 30, 13	2,520 31	1,707 27			813 04
	FIFO	113 000	Oct 17, 12	Aug 27, 13	8,006 48	5,512 04			2,494 44
STRYKER CORP	FIFO	78 000	Mar 20, 13	Apr 26, 13	5,063 65	5,063 65	-36 54		
	FIFO	37 000	Mar 20, 13	May 13, 13	2,537 52	2,419 32			118 20
	FIFO	15 000	Mar 20, 13	Jun 10, 13	1,008 98	980 80			28 18
STRYKER CORP	FIFO	15 000	Apr 03, 13	Jun 10, 13	1,008 97	975 53			33 44
	FIFO	29 000	Apr 03, 13	Jul 30, 13	2,046 49	1,886 04			160 45
	FIFO	78 000	Apr 03, 13	Aug 27, 13	5,272 71	5,109 33	36 54		163 38
STRYKER CORP	FIFO	16 000	Apr 03, 13	Aug 27, 13	1,081 58	1,040 57			41 01
	FIFO	17 000	Feb 06, 12	Jan 23, 13	1,568 04	1,381 17			186 87
	FIFO	20 000	Feb 06, 12	Feb 05, 13	2,072 55	1,624 92			447 63
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Realized gains and losses (continued)

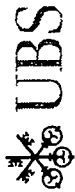
Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
WATERS CORP	FIFO	31 000	May 08, 13	Aug 27, 13	3,044 15	2,945 60			98 55
Total					\$497,584.53	\$470,794.12		-\$15,839.58	\$42,629.99
Net short-term capital gains and losses									\$26,790.41

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
ACCENTURE PLC IRELAND CL A	FIFO	70 000	Dec 27, 05	Feb 05, 13	5,135 78	2,029 61			3,106 17
	FIFO	37 000	Dec 27, 05	Mar 06, 13	2,860.78	1,072.79			1,787 99
	FIFO	94 000	Dec 27, 05	Apr 26, 13	7,406.09	2,725 47			4,680 62
	FIFO	46 000	Dec 27, 05	May 13, 13	3,674 10	1,333 74			2,340 36
	FIFO	36 000	Dec 27, 05	Jun 10, 13	2,929 16	1,043 80			1,885 36
	FIFO	35 000	Dec 27, 05	Jul 30, 13	2,581 33	1,014 81			1,566 52
	FIFO	115 000	Dec 27, 05	Aug 27, 13	8,393 70	3,334 35			5,059 35
	FIFO	1 000	Jul 20, 12	Aug 27, 13	72 99	59 13			13 86
AKAMAI TECHNOLOGIES INC	FIFO	227 000	Apr 16, 10	Jan 10, 13	8,966 25	7,543 90			1,422 35
	FIFO	154 000	Apr 27, 11	Jan 10, 13	6,082 83	6,299 91		-217 08	
ALLERGAN INC	FIFO	27 000	Dec 20, 07	Jan 23, 13	2,823 60	1,718 28			1,105 32
	FIFO	40 000	Dec 20, 07	Feb 05, 13	4,248.70	2,545 60			1,703 10
	FIFO	27 000	Dec 20, 07	Mar 06, 13	3,007 19	1,718 28			1,288 91
	FIFO	63 000	Dec 20, 07	Apr 26, 13	7,064 66	4,009.32			3,055 34
	FIFO	29 000	Dec 20, 07	May 13, 13	3,006 94	1,845 56			1,161 38
	FIFO	24 000	Dec 20, 07	Jun 10, 13	2,397 08	1,527 36			869 72
	FIFO	22 000	Dec 20, 07	Jul 30, 13	2,006 05	1,400 08			605 97
	FIFO	68 000	Dec 20, 07	Aug 27, 13	5,996 13	4,327 52			1,668.61
	FIFO	8 000	Jul 20, 12	Aug 27, 13	705 43	686 40			19 03
ALTERA CORP	FIFO	53 000	Apr 05, 11	Jan 23, 13	1,873 52	2,258 19		-384 67	
	FIFO	70 000	Apr 05, 11	Feb 05, 13	2,417 75	2,982 51		-564 76	
	FIFO	44 000	Apr 05, 11	Mar 06, 13	1,537 33	1,874 72		-337 39	

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Business Services Account

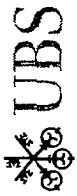
Account name: HARDEN FOUNDATION
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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
	FIFO	81 000	Apr 05, 11	Apr 03, 13	2,681 42	3,451 19		-769 77	
	FIFO	281 000	Aug 10, 11	Apr 03, 13	9,302 21	9,758 93		-456 72	
AMERICAN TOWER CORP REIT	FIFO	45 000	Mar 23, 11	Feb 05, 13	3,457 41	2,216 32			1,241 09
	FIFO	33 000	Mar 23, 11	Mar 06, 13	2,562 50	1,625 29			937 21
	FIFO	89 000	Mar 23, 11	Apr 26, 13	7,362 35	4,383 38			2,978 97
	FIFO	41 000	Mar 23, 11	May 13, 13	3,402 10	2,019 30			1,382 80
	FIFO	33 000	Mar 23, 11	Jun 10, 13	2,533 70	1,625 30			908 40
	FIFO	32 000	Mar 23, 11	Jul 30, 13	2,324 12	1,576 04			748 08
	FIFO	106 000	Mar 23, 11	Aug 27, 13	7,339 31	5,220 65			2,118 66
AMPHENOL CORP NEW CL A	FIFO	45 000	Feb 20, 09	Feb 05, 13	3,093 23	1,137 46			1,955 77
	FIFO	18 000	Feb 20, 09	Mar 06, 13	1,312 35	454 98			857 37
	FIFO	16 000	Oct 30, 09	Mar 06, 13	1,166 53	649 81			516 72
	FIFO	81 000	Oct 30, 09	Apr 26, 13	6,044 31	3,289 65			2,754 66
	FIFO	38 000	Oct 30, 09	May 13, 13	3,025 11	1,543 30			1,481 81
	FIFO	32 000	Oct 30, 09	Jun 10, 13	2,491 48	1,299 61			1,191 87
	FIFO	29 000	Oct 30, 09	Jul 30, 13	2,262 24	1,177 78			1,084 46
	FIFO	100 000	Oct 30, 09	Aug 27, 13	7,596 87	4,061 30			3,535 57
APPLE INC	FIFO	5 000	Jul 20, 12	Jul 30, 13	2,276 21	3,040 60		-764 39	
	FIFO	2 000	Jul 20, 12	Aug 27, 13	995 08	1,216 24		-221 16	
BMC SOFTWARE INC	FIFO	45 000	Feb 09, 11	Jan 23, 13	1,974 11	1,974 11	-212 07		
MERGER EFF 09/2013	FIFO	100 000	Feb 09, 11	Feb 05, 13	4,214 31	4,233 63	-624 55	-19 32	
	FIFO	43 000	Feb 09, 11	Mar 06, 13	1,816 71	2,089 02		-272 31	
	FIFO	111 000	Feb 09, 11	Apr 26, 13	5,067 25	5,392 58		-325 33	
	FIFO	52 000	Feb 09, 11	May 13, 13	2,358 15	2,526 25		-168 10	
	FIFO	42 000	Feb 09, 11	Jun 10, 13	1,899 63	2,040 44		-140 81	
	FIFO	29 000	Feb 09, 11	Jul 16, 13	1,325 06	1,408 87		-83 81	
	FIFO	97 000	Jan 30, 13	Jul 16, 13	4,432 09	4,642 87	624 55	-210 78	
	FIFO	45 000	Jan 30, 13	Jul 16, 13	2,056 12	2,076 24	212 07	-20 12	

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Business Services Account

Account name: HARDEN FOUNDATION
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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
BROADCOM CORP CL A	FIFO	430 000	Mar 03, 11	Jan 23, 13	14,947 76	18,071 14		-3,123 38	
	FIFO	125 000	Sep 14, 11	Jan 23, 13	4,345 27	4,368 65		-23 38	
CHECK POINT SOFTWARE TECH LTD ILS	FIFO	50 000	Jul 30, 10	Jan 23, 13	2,428 95	1,685 82			743 13
	FIFO	11 000	Jul 30, 10	Feb 05, 13	559 45	370 88			188 57
	FIFO	34 000	Nov 19, 10	Feb 05, 13	1,729 20	1,493 10			236 10
	FIFO	25 000	Nov 19, 10	Mar 06, 13	1,298 47	1,097 86			200 61
	FIFO	73 000	Nov 19, 10	Apr 26, 13	3,292 52	3,205 77			86 75
	FIFO	35 000	Nov 19, 10	May 13, 13	1,676 11	1,537 01			139 10
	FIFO	29 000	Nov 19, 10	Jun 10, 13	1,462 44	1,273 52			188 92
	FIFO	27 000	Nov 19, 10	Jul 30, 13	1,525 47	1,185 70			339 77
	FIFO	37 000	Nov 19, 10	Aug 27, 13	2,083 33	1,624 84			458 49
	FIFO	52 000	Jul 20, 12	Aug 27, 13	2,927 93	2,587 07			340 86
CHICAGO BRIDGE & IRON NV EUR	FIFO	60 000	Nov 10, 11	Feb 05, 13	3,137 94	2,219 06			918 88
	FIFO	42 000	Nov 10, 11	Mar 06, 13	2,328 85	1,553 34			775 51
	FIFO	150 000	Nov 10, 11	Apr 03, 13	8,426 11	5,547 65			2,878 46
	FIFO	3 000	Nov 10, 11	Apr 16, 13	156 15	110 95			45 20
	FIFO	198 000	Mar 08, 12	Apr 16, 13	10,305 67	9,009 69			1,295 98
COSTCO WHOLESALE CORP	FIFO	30 000	Sep 10, 10	Feb 05, 13	3,111 53	1,781 08			1,330 45
	FIFO	26 000	Sep 10, 10	Mar 06, 13	2,663 64	1,543 60			1,120 04
	FIFO	61 000	Sep 10, 10	Apr 26, 13	6,674 47	3,621 54			3,052 93
	FIFO	28 000	Sep 10, 10	May 13, 13	3,076 01	1,662 34			1,413 67
	FIFO	23 000	Sep 10, 10	Jun 10, 13	2,536 40	1,365 49			1,170 91
	FIFO	22 000	Sep 10, 10	Jul 30, 13	2,575 06	1,306 13			1,268 93
	FIFO	19 000	Sep 10, 10	Aug 27, 13	2,112 56	1,128 02			984 54
COVIDIEN PLC	FIFO	50 000	Nov 10, 11	Feb 05, 13	3,112 11	2,299 86			812 25
	FIFO	27 000	Nov 10, 11	Mar 06, 13	1,757 39	1,241 92			515 47
	FIFO	70 000	Nov 10, 11	Apr 26, 13	4,316 10	3,219 81			1,096 29
	FIFO	34 000	Nov 10, 11	May 13, 13	2,257 21	1,563 90			693 31

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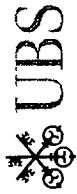
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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
DISCOVERY COMMUNICATIONS INC CL A	FIFO	28 000	Nov 10, 11	Jun 10, 13	1,823 05	1,287 92			535 13
	FIFO	25 000	Nov 10, 11	Jul 30, 13	1,528 97	1,046 08			482 89
	FIFO	86 000	Nov 10, 11	Aug 27, 13	5,174 53	3,598 52			1,576 01
DISCOVERY COMMUNICATIONS INC CL A	FIFO	36 000	May 13, 11	Jan 23, 13	2,452 63	1,596 59			856 04
	FIFO	65 000	May 13, 11	Feb 05, 13	4,565 51	2,882 74			1,682 77
	FIFO	70 000	May 13, 11	Feb 22, 13	4,866 16	3,104 48			1,761 68
	FIFO	50 000	Jun 24, 11	Feb 22, 13	3,475 82	2,011 58			1,464 24
	FIFO	27 000	Jun 24, 11	Mar 06, 13	2,043 04	1,086 25			956 79
	FIFO	71 000	Jun 24, 11	Apr 26, 13	5,640 11	2,856 43			2,783 68
	FIFO	33 000	Jun 24, 11	May 13, 13	2,554 22	1,327 64			1,226 58
	FIFO	26 000	Jun 24, 11	Jun 10, 13	1,935 93	1,046 02			889 91
	FIFO	26 000	Jun 24, 11	Jul 30, 13	2,107 52	1,046 02			1,061 50
	FIFO	37 000	Jun 24, 11	Aug 27, 13	2,944 41	1,488 57			1,455 84
	FIFO	47 000	Jul 20, 12	Aug 27, 13	3,740 19	2,366 20			1,373 99
	FIFO	85 000	Mar 10, 10	Jan 23, 13	3,113 48	1,885 70			1,227 78
	FIFO	100 000	Mar 10, 10	Feb 05, 13	3,773 42	2,218 48			1,554 94
	FIFO	365 000	Mar 10, 10	Feb 22, 13	13,326 80	8,097 43			5,229 37
ECOLAB INC	FIFO	222 000	Mar 11, 10	Feb 22, 13	8,105 61	4,895 98			3,209 63
	FIFO	12 000	Jan 21, 10	Feb 05, 13	892 66	552 97			339 69
	FIFO	47 000	Jan 28, 10	Feb 05, 13	3,496 25	2,065 08			1,431 17
	FIFO	6 000	Feb 25, 10	Feb 05, 13	446 33	251 39			194 94
	FIFO	32 000	Feb 25, 10	Mar 06, 13	2,489 32	1,340 73			1,148 59
	FIFO	85 000	Feb 25, 10	Apr 26, 13	7,066 16	3,561 31			3,504 85
	FIFO	74 000	Feb 25, 10	Apr 30, 13	6,174 43	3,100 44			3,073 99
	FIFO	25 000	Feb 25, 10	May 13, 13	2,176 95	1,047 44			1,129 51
	FIFO	21 000	Feb 25, 10	Jun 10, 13	1,786 02	879 86			906 16
	FIFO	20 000	Feb 25, 10	Jul 30, 13	1,882 57	837 95			1,044 62
	FIFO	55 000	Feb 25, 10	Aug 27, 13	5,040 66	2,304 38			2,736 28
									continued next page



Business Services Account

Account name:
Account number:

HARDEN FOUNDATION
ML 09280 EF

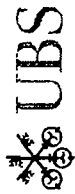
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831-622-0222/800-937-2400

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
EMC CORP MASS	FIFO	12 000	Jul 20, 12	Aug 27, 13	1,099 78	809 95			289 83
	FIFO	149 000	Dec 17, 09	Feb 05, 13	3,719 94	2,493 11			1,226 83
	FIFO	26 000	Feb 04, 10	Feb 05, 13	649 12	436 95			212 17
	FIFO	103 000	Feb 04, 10	Mar 06, 13	2,503 87	1,730 99			772 88
	FIFO	247 000	Feb 04, 10	Apr 26, 13	5,533 72	4,151 00			1,382 72
	FIFO	119 000	Feb 04, 10	May 13, 13	2,745 77	1,999 88			745 89
	FIFO	98 000	Feb 04, 10	Jun 10, 13	2,437 53	1,646 96			790 57
	FIFO	91 000	Feb 04, 10	Jul 30, 13	2,396 28	1,529 32			866 96
	FIFO	33 000	Feb 04, 10	Aug 27, 13	857 65	554 59			303 06
	FIFO	273 000	Aug 31, 11	Aug 27, 13	7,095 15	6,180 94			914 21
EXPRESS SCRIPTS HLDG CO	FIFO	30 000	Dec 01, 05	Feb 05, 13	1,664 18	652 57			1,011 61
	FIFO	32 000	Dec 01, 05	Mar 06, 13	1,859 64	696 08			1,163 56
	FIFO	79 000	Dec 01, 05	Apr 26, 13	4,571 95	1,718 45			2,853 50
	FIFO	37 000	Dec 01, 05	May 13, 13	2,289 66	804 84			1,484 82
	FIFO	30 000	Dec 01, 05	Jun 10, 13	1,881 87	652 58			1,229 29
	FIFO	30 000	Dec 01, 05	Jul 30, 13	1,955 67	652 57			1,303 10
	FIFO	95 000	Dec 01, 05	Aug 27, 13	6,094 14	2,066 49			4,027 65
GILEAD SCIENCES INC	FIFO	52 000	Jul 24, 07	Feb 05, 13	2,115 02	988 08			1,126 94
	FIFO	53 000	Dec 20, 07	Feb 05, 13	2,155 70	1,213 15			942 55
	FIFO	77 000	Dec 20, 07	Mar 06, 13	3,490 33	1,762 50			1,727 83
	FIFO	194 000	Dec 20, 07	Apr 26, 13	10,032 20	4,440 58			5,591 62
	FIFO	93 000	Dec 20, 07	May 13, 13	5,051 65	2,128 73			2,922 92
	FIFO	98 000	Dec 20, 07	May 23, 13	5,448 44	2,243 18			3,205 26
	FIFO	55 000	Dec 20, 07	Jun 10, 13	2,877 01	1,258 93			1,618 08
	FIFO	56 000	Dec 20, 07	Jul 30, 13	3,460 18	1,281 82			2,178 36
	FIFO	24 000	Dec 20, 07	Aug 14, 13	1,398 13	549 35			848 78
	FIFO	150 000	Dec 20, 07	Aug 27, 13	8,893 48	3,433 44			5,460 04
	FIFO	4 000	Jul 20, 12	Aug 27, 13	237 16	106 47			130 69
GOOGLE INC CL A	FIFO	5 000	Sep 21, 09	Feb 05, 13	3,845 01	2,465 29			1,379 72
	FIFO	6 000	Sep 21, 09	Mar 06, 13	4,995 79	2,958 36			2,037 43

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Business Services Account

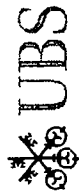
Account name: HARDEN FOUNDATION
Account number: ML 09280 EFYour Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
	FIFO	9 000	Sep 21, 09	Apr 26, 13	7,214 60	4,437 53			2,777 07
	FIFO	2 000	Mar 04, 10	Apr 26, 13	1,603 24	1,106 00			497 24
	FIFO	6 000	Mar 04, 10	May 13, 13	5,266 14	3,317 98			1,948 16
	FIFO	4 000	Mar 04, 10	Jun 10, 13	3,547 58	2,211 99			1,335 59
	FIFO	1 000	Mar 04, 10	Jul 30, 13	891 45	553 00			338 45
	FIFO	3 000	Jun 15, 11	Jul 30, 13	2,674 33	1,509 07			1,165 26
GRAINGER W W INC	FIFO	14 000	Aug 01, 12	Aug 27, 13	3,509 88	2,848 81			661 07
HERBALIFE LTD	FIFO	61 000	Sep 10, 10	Jan 10, 13	2,568 36	1,763 37			804 99
IHS INC CL A	FIFO	41 000	Apr 09, 12	Apr 26, 13	3,941 24	3,878 61			62 63
	FIFO	20 000	Apr 09, 12	May 13, 13	2,040 03	1,892 01			148 02
	FIFO	19 000	Jul 20, 12	Jul 30, 13	2,093 57	2,002 37			91 20
	FIFO	36 000	Jul 20, 12	Aug 27, 13	3,894 41	3,793 95			100 46
	FIFO	3 000	May 08, 13	Aug 27, 13	324 53	316 69	10 15		7 84
INTUIT	FIFO	40 000	Dec 20, 07	Feb 05, 13	2,521 14	1,204 20			1,316 94
	FIFO	24 000	Dec 20, 07	Mar 06, 13	1,633 16	722 52			910 64
	FIFO	62 000	Dec 20, 07	Apr 26, 13	3,647 39	1,866 51			1,780 88
	FIFO	30 000	Dec 20, 07	May 13, 13	1,770 56	903 15			867 41
	FIFO	24 000	Dec 20, 07	Jun 10, 13	1,413 58	722 52			691 06
	FIFO	22 000	Dec 20, 07	Jul 30, 13	1,396 10	662 31			733 79
	FIFO	76 000	Dec 20, 07	Aug 27, 13	4,826 68	2,287 98			2,538 70
JUNIPER NETWORKS INC	FIFO	71 000	Apr 25, 11	Jan 23, 13	1,510 85	2,845 33		-1,334 48	
	FIFO	460 000	Apr 25, 11	Jan 30, 13	9,941 62	18,434 50		-8,492 88	
	FIFO	371 000	Sep 09, 11	Jan 30, 13	8,018 13	7,866 46			151 67
MALLINCKRODT PUB LTD CO	FIFO	0 875	Nov 10, 11	Jul 01, 13	38 63	29 08			9 55
	FIFO	13 000	Nov 10, 11	Jul 16, 13	535 92	432 01			103 91
MONSANTO CO NEW	FIFO	25 000	Dec 17, 08	Feb 05, 13	2,557 19	1,893 47			663 72
	FIFO	22 000	Dec 17, 08	Mar 06, 13	2,255 83	1,666 25			589 58
	FIFO	56 000	Dec 17, 08	Apr 26, 13	5,892 35	4,241 36			1,650 99
	FIFO	27 000	Dec 17, 08	May 13, 13	2,880 57	2,044 94			835 63

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Business Services Account

Account name:
Account number:

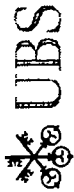
HARDEN FOUNDATION
ML 09280 EF

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831-622-0222/800-937-2400

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
NATL-OILWELLVARCO INC	FIFO	22 000	Dec 17, 08	Jun 10, 13	2,333 72	1,666 25			667 47
	FIFO	21 000	Dec 17, 08	Jul 30, 13	2,112 84	1,590 51			522 33
	FIFO	10 000	Dec 17, 08	Aug 27, 13	979 98	757 39			222 59
	FIFO	59 000	Nov 30, 11	Aug 27, 13	5,781 90	4,289 67			1,492 23
	FIFO	40 000	Jun 15, 11	Feb 05, 13	2,741 94	2,809 30		-67 36	
	FIFO	24 000	Jun 15, 11	Mar 06, 13	1,620 20	1,685 58		-65 38	
	FIFO	54 000	Jun 15, 11	Apr 26, 13	3,557 98	3,792 55		-234 57	
	FIFO	23 000	Jun 15, 11	May 13, 13	1,548 40	1,615 35		-66 95	
	FIFO	4 000	Feb 06, 12	May 13, 13	269 29	330 72		-61 43	
	FIFO	112 000	Feb 06, 12	Jun 07, 13	7,858 62	9,260 22		-1,401 60	
NIKE INC CL B	FIFO	93 000	Apr 11, 08	Jan 23, 13	4,927 96	3,125 04			1,802 92
	FIFO	70 000	Apr 11, 08	Feb 05, 13	3,788 33	2,352 18			1,436 15
	FIFO	37 000	Apr 11, 08	Mar 06, 13	2,047 53	1,243 29			804 24
	FIFO	4 000	Jul 07, 10	Mar 06, 13	221 36	134 91			86 45
	FIFO	103 000	Jul 07, 10	Apr 26, 13	6,360 44	3,473 92			2,886 52
	FIFO	49 000	Jul 07, 10	May 13, 13	3,167 29	1,652 64			1,514 65
	FIFO	40 000	Jul 07, 10	Jun 10, 13	2,524 86	1,349 10			1,175 76
	FIFO	68 000	Jul 07, 10	Jul 16, 13	4,263 86	2,293 46			1,970 40
	FIFO	20 000	Jul 07, 10	Jul 30, 13	1,258 37	674 55			583 82
	FIFO	3 000	Jul 20, 12	Jul 30, 13	188 76	140 27			48 49
NU SKIN ENTERPRISES INC	FIFO	73 000	Jul 20, 12	Aug 27, 13	4,626 66	3,413 28			1,213 38
	FIFO	30 000	May 31, 11	Feb 05, 13	1,295 97	1,156 62			139 35
	FIFO	20 000	May 31, 11	Mar 06, 13	834 38	771 08			63 30
	FIFO	33 000	May 31, 11	Apr 26, 13	1,632 28	1,272 29			359 99
	FIFO	16 000	Sep 23, 11	Apr 26, 13	791 41	690 45			100 96
	FIFO	24 000	Sep 23, 11	May 13, 13	1,416 45	1,035 67			380 78
	FIFO	27 000	Sep 23, 11	Jun 10, 13	1,599 72	1,165 14			434 58
	FIFO	27 000	Sep 23, 11	Jul 30, 13	2,235 83	1,165 13			1,070 70
	FIFO	44 000	Sep 23, 11	Aug 27, 13	3,775 13	1,898 74			1,876 39
	FIFO	202 000	Jun 04, 08	Jan 30, 13	22,159 53	19,450 40			2,709 13
PRAXAIR INC									
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Business Services Account

Account name:
Account number

HARDEN FOUNDATION
ML 09280 EF

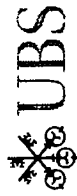
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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
PRECISION CASTPARTS CORP	FIFO	20 000	Mar 08, 12	Apr 26, 13	3,766 89	3,407 61			359 28
	FIFO	9 000	Mar 08, 12	May 13, 13	1,872 68	1,533 43			339 25
	FIFO	8 000	Mar 08, 12	Jun 10, 13	1,754 60	1,363 04			391 56
	FIFO	7 000	Mar 08, 12	Jul 30, 13	1,557 47	1,192 67			364 80
	FIFO	24 000	Mar 08, 12	Aug 27, 13	5,144 07	4,089 13			1,054 94
PRICE T ROWE GROUP INC	FIFO	35 000	Apr 27, 11	Feb 05, 13	2,529 74	2,262 17			267 57
	FIFO	28 000	Apr 27, 11	Mar 06, 13	2,083 71	1,809 73			273 98
	FIFO	68 000	Apr 27, 11	Apr 26, 13	4,839 67	4,395 07			444 60
	FIFO	33 000	Apr 27, 11	May 13, 13	2,476 14	2,132 90			343 24
	FIFO	26 000	Apr 27, 11	Jun 10, 13	1,962 19	1,680 47			281 72
	FIFO	25 000	Apr 27, 11	Jul 30, 13	1,865 97	1,615 84			250 13
	FIFO	81 000	Apr 27, 11	Aug 27, 13	5,727 41	5,235 30			492 11
	FIFO	2 000	Jul 20, 12	Aug 27, 13	141 42	124 24			17 18
	FIFO	80 000	Dec 05, 05	Feb 05, 13	5,359 09	3,555 20			1,803 89
	FIFO	45 000	Dec 05, 05	Mar 06, 13	2,997 83	1,999 80			998 03
ROSS STORES INC	FIFO	49 000	Dec 05, 05	Apr 26, 13	3,024 90	2,177 56			847 34
	FIFO	66 000	Apr 16, 10	Apr 26, 13	4,074 35	2,798 89			1,275 46
	FIFO	89 000	Apr 16, 10	May 08, 13	5,729 16	3,774 26			1,954 90
	FIFO	25 000	Sep 08, 09	Feb 05, 13	1,494 47	578 39			916 08
	FIFO	31 000	Sep 08, 09	Mar 06, 13	1,847 25	717 20			1,130 05
SCHLUMBERGER LTD NETHERLANDS ANTILLES	FIFO	68 000	Sep 08, 09	Apr 26, 13	4,421 26	1,573 22			2,848 04
	FIFO	33 000	Sep 08, 09	May 13, 13	2,140 66	763 47			1,377 19
	FIFO	27 000	Sep 08, 09	Jun 10, 13	1,752 00	624 65			1,127 35
	FIFO	26 000	Sep 08, 09	Jul 30, 13	1,749 77	601 53			1,148 24
	FIFO	86 000	Sep 08, 09	Aug 27, 13	5,875 43	1,989 65			3,885 78
	FIFO	40 000	Mar 06, 08	Feb 05, 13	3,212 59	3,517 37		-304 78	
	FIFO	32 000	Mar 06, 08	Mar 06, 13	2,469 70	2,813 89		-344 19	
	FIFO	76 000	Mar 06, 08	Apr 26, 13	5,593 83	6,682 99		-1,089 16	

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Business Services Account

Account name:
Account number:

HARDEN FOUNDATION
ML 09280 EF

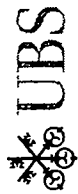
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831-622-0222/800-937-2400

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
TRACTOR SUPPLY COMPANY	FIFO	36 000	Mar 06, 08	May 13, 13	2,777 34	3,165 63		-388 29	
	FIFO	10 000	Mar 06, 08	Jun 10, 13	734 54	879 34		-144 80	
	FIFO	20 000	Nov 11, 10	Jun 10, 13	1,469 07	1,473 98		-4 91	
	FIFO	28 000	Nov 11, 10	Jul 30, 13	2,282 52	2,063 57			218 95
	FIFO	95 000	Nov 11, 10	Aug 27, 13	7,694 87	7,001 39			693 48
TRACTOR SUPPLY COMPANY	FIFO	11 000	Feb 06, 12	Mar 06, 13	1,148 26	893 70			254 56
	FIFO	29 000	Feb 06, 12	Apr 26, 13	3,169 78	2,356 12			813 66
	FIFO	13 000	Feb 06, 12	May 13, 13	1,480 50	1,056 19			424 31
	FIFO	11 000	Feb 06, 12	Jun 10, 13	1,253 21	893 70			359 51
	FIFO	10 000	Feb 06, 12	Jul 30, 13	1,203 58	812 46			391 12
	FIFO	22 000	Feb 06, 12	Aug 27, 13	2,639 95	1,787 40			852 55
	FIFO	12 000	Jul 20, 12	Aug 27, 13	1,439 98	946 80			493 18
	FIFO	15 000	Dec 12, 07	Jan 23, 13	1,322 07	1,157 33			164 74
	FIFO	40 000	Dec 12, 07	Feb 05, 13	3,568 32	3,086 22			482 10
	FIFO	31 000	Dec 12, 07	Mar 06, 13	2,823 42	2,391 82			431 60
UNTD TECHNOLOGIES CORP	FIFO	9 000	Dec 12, 07	Apr 26, 13	823 54	694 40			129 14
	FIFO	61 000	May 13, 10	Apr 26, 13	5,581 77	4,487 32			1,094 45
	FIFO	34 000	May 13, 10	May 13, 13	3,226 19	2,501 13			725 06
	FIFO	26 000	May 13, 10	Jun 10, 13	2,450 80	1,912 63			538 17
	FIFO	26 000	May 13, 10	Jul 30, 13	2,748 80	1,912 63			836 17
	FIFO	6 000	May 13, 10	Aug 27, 13	608 57	441 38			167 19
	FIFO	80 000	Nov 10, 11	Aug 27, 13	8,114 26	6,179 60			1,934 66
	FIFO	25 000	Apr 16, 10	Feb 05, 13	3,968 66	2,325 98			1,642 68
	FIFO	24 000	Apr 16, 10	Mar 06, 13	3,867 03	2,232 94			1,634 09
	FIFO	17 000	Apr 16, 10	Apr 26, 13	2,854 23	1,581 67			1,272 56
VISA INC CL A	FIFO	35 000	Nov 11, 10	Apr 26, 13	5,876 37	2,743 39			3,132 98
	FIFO	35 000	Nov 11, 10	Apr 30, 13	5,911 69	2,743 39			3,168 30
	FIFO	21 000	Nov 11, 10	May 13, 13	3,752 96	1,646 04			2,106 92
	FIFO	14 000	Nov 11, 10	Jun 10, 13	2,550 93	1,097 36			1,453 57
	FIFO	14 000	Nov 11, 10	Jul 30, 13	2,686 83	1,097 35			1,589 48

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Business Services Account

Account name:
Account number:

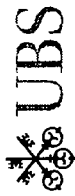
HARDEN FOUNDATION
ML 09280 EF

Your Financial Advisor:
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831-622-0222/800-937-2400

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
WATERS CORP	FIFO	45 000	Nov 11, 10	Aug 27, 13	7,773.61	3,527.22			4,246.39
	FIFO	30 000	May 13, 09	Feb 05, 13	2,747.04	1,322.19			1,424.85
	FIFO	11 000	May 13, 09	Mar 06, 13	1,041.68	484.80			556.88
	FIFO	31 000	May 13, 09	Apr 26, 13	2,860.62	1,366.26			1,494.36
	FIFO	19 000	May 13, 09	May 13, 13	1,831.37	837.39			993.98
	FIFO	18 000	May 13, 09	Jun 10, 13	1,761.09	793.31			967.78
	FIFO	16 000	May 13, 09	Jul 30, 13	1,610.58	705.17			905.41
	FIFO	16 000	May 13, 09	Aug 27, 13	1,571.17	705.17			866.00
	FIFO	7 000	Jul 20, 12	Aug 27, 13	687.39	539.35			148.04
	FIFO	50 000	Oct 30, 09	Feb 05, 13	1,751.62	1,411.48			340.14
WELLS FARGO & CO NEW	FIFO	53 000	Oct 30, 09	Mar 06, 13	1,911.67	1,496.18			415.49
	FIFO	113 000	Oct 30, 09	Apr 26, 13	4,268.27	3,189.95			1,078.32
	FIFO	15 000	Feb 09, 11	Apr 26, 13	566.59	495.11			71.48
	FIFO	60 000	Feb 09, 11	May 13, 13	2,290.75	1,980.45			310.29
	FIFO	47 000	Feb 09, 11	Jun 10, 13	1,938.25	1,551.36			386.89
	FIFO	48 000	Feb 09, 11	Jul 30, 13	2,081.72	1,584.36			497.36
	FIFO	155 000	Feb 09, 11	Aug 27, 13	6,474.24	5,116.18			1,358.06
					\$895,354.22	\$629,937.68		-\$22,104.06	\$287,520.60
									\$265,416.54
									\$292,206.95
Total									
Net long-term capital gains or losses									
Net capital gains/losses:									



ACCESS

Account name: HARDEN FOUNDATION
Account number: ML 09276 EFYour Financial Advisor:
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Realized gains and losses

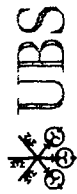
Estimated 2013 gains and losses for transactions with trade dates through 12/31/13 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order.

See Important information about your statement on the last page of this statement for more information. We may not adjust gains and losses for all capital changes. Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
AEGON NV ADR N Y SHS NETHERLANDS ADR	FIFO	613 000	May 01, 13	Jul 30, 13	4,628 13	4,103 60			524 53
	FIFO	298 000	May 01, 13	Nov 18, 13	2,536 83	1,994 91			541 92
AGRIUM INC (CANADA) CAD	FIFO	20 000	Jul 01, 13	Nov 18, 13	1,803 77	1,742 75			61 02
ANGLOGOLD ASHANTI LTD NEW SPON ADR	FIFO	46 000	Nov 14, 12	May 17, 13	792 41	1,461 42		-669 01	
	FIFO	60 000	Dec 14, 12	May 17, 13	1,033 57	1,803 15		-769 58	
CATLIN GROUP SPON ADR	FIFO	130 000	Jun 24, 13	Nov 18, 13	2,197 87	1,911 33			286 54
CREDIT SUISSE GROUP SPON ADR	Adjustment	107 000	Jul 19, 12	Jan 15, 13	2,987 52	1,897 76			1,089 76
	FIFO	0 853	Jul 19, 12	May 20, 13	25 78	14 69			11 09
	FIFO	112 000	Jul 19, 12	Jun 10, 13	3,247 29	1,927 52			1,319 77
ERICSSON SEK 10 NEW 2002 ADR	FIFO	389 000	Feb 07, 12	Jan 23, 13	4,109 11	3,733 19			375 92
	FIFO	35 000	Feb 08, 12	Jan 23, 13	369 71	336 66			33 05
IMPALA PLATINUM HLDG LTD SPON ADR	FIFO	223 000	Mar 12, 12	Jan 08, 13	4,260 40	4,638 18		-377 78	
	FIFO	58 000	Mar 12, 12	Feb 06, 13	1,065 25	1,206 34		-141 09	
	FIFO	216 000	Aug 23, 12	Feb 06, 13	3,967 12	3,539 83			427 29
ING GROEP N V NL SPON ADR	FIFO	162 000	Jul 20, 12	Jun 03, 13	1,505 23	1,015 51			489 72
	FIFO	184 000	Feb 14, 13	Nov 18, 13	2,371 74	1,624 69			747 05
	FIFO	78 000	Feb 14, 13	Nov 19, 13	999 94	688 72			311 22
KB FINL GROUP INC SPON ADR	FIFO	62 000	Dec 20, 12	Nov 18, 13	2,320 84	2,182 89			137 95
KIRIN HOLDINGS LTD SPON ADR	FIFO	437 000	Jan 24, 13	Feb 21, 13	5,934 64	5,232 11			702 53

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
MANPOWERGROUP INC	FIFO	76 000	Jul 20, 12	Jan 15, 13	3,544 19	2,602 08			942 11
	FIFO	43 000	Jul 20, 12	Mar 14, 13	2,446 04	1,472 23			973 81
MITSUBISHI TANABE PHARMA CORP SPON ADR	FIFO	178 000	May 09, 13	Nov 18, 13	2,497 30	2,620 14		-122 84	
MOSAIC CO	FIFO	98 000	Nov 14, 12	Jan 11, 13	5,821 19	4,818 02			1,003 17
NEWCREST MINING LTD SPON AUSTRALIA ADR	FIFO	137 000	Jul 20, 12	May 22, 13	2,027 71	3,082 50		-1,054 79	
	FIFO	100 000	Jan 14, 13	May 22, 13	1,480 07	2,318 84		-838 77	
NINTENDO LTD ADR NEW JAPAN ADR	FIFO	320 000	Dec 28, 12	Oct 02, 13	4,474 39	4,152 61			321 78
	FIFO	283 000	Jan 31, 13	Oct 02, 13	3,957 03	3,459 79			497 24
ORKLA ASA A SHS SPON ADR	FIFO	720 000	Aug 28, 12	Mar 05, 13	5,948 87	5,349 67			599 20
PING AN INSURANCE (GROUP) CO OF CHINA LTD REPSTG 20 H SHS SPON	FIFO	178 000	Oct 02, 13	Nov 18, 13	3,227 08	2,679 75			547 33
	FIFO	160 000	Oct 02, 13	Nov 27, 13	2,946 30	2,408 77			537 53
	FIFO	342 000	Oct 03, 13	Nov 27, 13	6,297 72	5,185 47			1,112 25
ROYAL DSM N V SPON ADR	FIFO	145 000	Apr 04, 13	Jul 30, 13	2,527 31	2,170 97			356 34
	FIFO	123 000	Apr 04, 13	Nov 19, 13	2,489 48	1,841 58			647 90
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR	FIFO	40 000	Jan 15, 13	Nov 18, 13	2,819 95	2,860 40		-40 45	
	FIFO	19 000	Jan 15, 13	Nov 19, 13	1,340 87	1,358 69		-17 82	
SIEMENS A G SPON ADR	Adjustment	50 000	Apr 11, 13	Jul 25, 13	150 30	190 57		-40 27	
TESCO PLC SPONS ADR UNITED KINGDOM	FIFO	124 000	May 16, 13	Nov 19, 13	2,140 20	2,137 90			2 30
TEVA PHARMACEUTICALS IND LTD ISRAEL ADR	FIFO	86 000	Sep 10, 12	Jul 30, 13	3,397 80	3,503 68		-105 88	
TNT EXPRESS N V ADR	FIFO	272 000	Jan 22, 13	Jul 30, 13	2,124 28	1,991 99			132 29
	FIFO	224 000	Jan 22, 13	Oct 08, 13	1,995 81	1,640 47			355 34
	FIFO	165 000	Jan 22, 13	Nov 19, 13	1,455 27	1,208 37			246 90

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Realized gains and losses (continued)

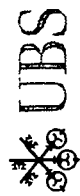
Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
VALLOUREC SA SPON ADR	FIFO	353 000	Jan 10, 13	Jul 30, 13	4,041 78	3,730 79			310 99
	FIFO	95 000	Jan 10, 13	Aug 21, 13	1,176.61	1,004 03			172 58
	FIFO	52,000	Jan 10, 13	Nov 19, 13	583 43	549 58			33 85
	FIFO	130 000	Mar 01, 13	Nov 19, 13	1,458 57	1,373 54			85 03
WEATHERFORD INTL LTD CHF	FIFO	200 000	Aug 23, 12	May 13, 13	2,678 46	2,547 30			131 16
	FIFO	199 000	Aug 23, 12	Jun 14, 13	2,743 52	2,534 56			208 96
Total					\$123,948.68	\$111,849.54		-\$4,178.28	\$16,277.42
Net short-term capital gains and losses									\$12,099.14

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
AGEAS SPON ADR	FIFO	63 000	Apr 10, 12	Nov 18, 13	2,611.05	1,259 87			1,351 18
	FIFO	12 000	Apr 10, 12	Nov 19, 13	501 13	239 98			261 15
	FIFO	9 000	Apr 23, 12	Nov 19, 13	375 85	165 45			210 40
ALLIANZ SE ADR	FIFO	357 000	May 24, 10	Jun 05, 13	5,481 39	3,574 89			1,906 50
	FIFO	75 000	May 24, 10	Jul 30, 13	1,166 84	751 03			415 81
	FIFO	107,000	Aug 09, 11	Jul 30, 13	1,664 70	1,182 54			482 16
	FIFO	192 000	Aug 09, 11	Nov 18, 13	3,341 32	2,121 95			1,219 37
ALSTOM ADR	FIFO	734 000	Aug 18, 11	Nov 18, 13	2,649 69	3,228.72		-579 03	
ALUMINA LTD SPON ADR	FIFO	704 000	Dec 12, 07	May 13, 13	2,937 87	15,889.21		-12,951 34	
	FIFO	745 000	May 19, 10	May 13, 13	3,108.96	4,122 31		-1,013 35	
	FIFO	715 000	Sep 28, 11	May 13, 13	2,983 77	4,011 22		-1,027 45	
ANGLOGOLD ASHANTI LTD NEW SPON ADR	FIFO	444 000	Jul 22, 08	May 17, 13	7,649 99	10,928 88		-3,278 89	
	FIFO	71 000	Jul 22, 08	May 17, 13	1,223.06	1,747 64		-524.58	
	FIFO	128 000	Feb 08, 11	May 17, 13	2,204 95	5,838 58		-3,633 63	
AXIS CAPITAL HOLDINGS LTD SHS	FIFO	104 000	Oct 16, 09	May 13, 13	4,742 73	3,141 74			1,600 99
	FIFO	68 000	Oct 16, 09	Nov 18, 13	3,325 82	2,054 21			1,271 61

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
BANCO SANTANDER BRASIL ADS	FIFO	308 000	Oct 12, 12	Nov 18, 13	2,061 53	2,254 56		-193 03	
BARRICK GOLD CORP CAD	FIFO	155 000	Aug 18, 09	Oct 24, 13	3,126 84	5,188 35		-2,061 51	
	FIFO	51 000	Aug 18, 09	Nov 19, 13	903 70	1,707 13		-803 43	
CAMECO CORP CANADA CAD	FIFO	133 000	Apr 07, 10	Jan 16, 13	2,824 37	3,580 01		-755 64	
	FIFO	105 000	May 25, 10	Jan 16, 13	2,229 76	2,471 37		-241 61	
	FIFO	95 000	May 25, 10	Jun 10, 13	2,043 41	2,236 01		-192 60	
	FIFO	103 000	May 25, 10	Jul 15, 13	2,208 36	2,424 30		-215 94	
	FIFO	67 000	Mar 15, 11	Jul 15, 13	1,436 50	2,134 11		-697 61	
	FIFO	267 000	Mar 15, 11	Jul 16, 13	5,602 72	8,504 59		-2,901 87	
	FIFO	11 000	Aug 08, 11	Jul 16, 13	230 82	248 62		-17 80	
	FIFO	194 000	Aug 08, 11	Nov 18, 13	3,740 25	4,384 77		-644 52	
	FIFO	57 000	Aug 08, 11	Nov 19, 13	1,100 84	1,288 31		-187 47	
CARREFOUR SA SPON ADR	FIFO	234 000	Oct 22, 09	Jan 23, 13	1,286 97	2,143 14		-856 17	
	FIFO	366 000	Oct 28, 09	Jan 23, 13	2,012 96	3,221 09		-1,208 13	
	FIFO	349 000	Oct 28, 09	Jun 10, 13	1,971 82	3,071 48		-1,099 66	
	FIFO	220 000	Oct 28, 09	Jul 30, 13	1,328 78	1,936 18		-607 40	
	FIFO	173 000	Dec 02, 10	Jul 30, 13	1,044 90	1,498 80		-453 90	
	FIFO	425 000	Dec 02, 10	Oct 08, 13	2,936 70	3,682 03		-745 33	155 79
	FIFO	64 000	Oct 04, 11	Oct 08, 13	442 23	286 44			94 78
	FIFO	36 000	Oct 04, 11	Oct 10, 13	255 90	161 12			1,031.65
	FIFO	354 000	Oct 04, 11	Nov 18, 13	2,616 01	1,584 36			547 08
	FIFO	191 000	Oct 04, 11	Nov 19, 13	1,401 92	854 84			
CREDIT SUISSE GROUP SPON ADR	FIFO	107 000	Jul 19, 12	Nov 19, 13	3,066 01	1,841 46			1,224 55
DAI NIPPON PRINTING CO SPON ADR	FIFO	311 000	Dec 12, 07	May 13, 13	3,069 50	4,887 49		-1,817 99	
	FIFO	226 000	Dec 12, 07	Oct 08, 13	2,271 26	3,551 68		-1,280 42	
	FIFO	210 000	Dec 12, 07	Nov 18, 13	2,223 86	3,300 23		-1,076 37	
	FIFO	85 000	Dec 12, 07	Nov 19, 13	894.18	1,335 81		-441 63	

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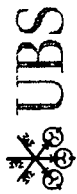
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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
DAIICHI SANKYO CO LTD									
SPON ADR	FIFO	176 000	Apr 12, 11	May 13, 13	3,372 08	3,266 63			105 45
	FIFO	92 000	Apr 12, 11	Nov 19, 13	1,795 81	1,707 56			88 25
	FIFO	23 000	Apr 21, 11	Nov 19, 13	448 95	448 37			0 58
EAST JAPAN RAILWAY CO									
ADR	FIFO	195 000	Feb 24, 11	Feb 28, 13	2,381.39	2,255 93			125 46
	FIFO	76 000	Feb 24, 11	Apr 09, 13	1,091 75	879 24			212 51
	FIFO	54,000	Mar 02, 11	Apr 09, 13	775 71	629 63			146.08
	FIFO	697 000	Mar 02, 11	Apr 22, 13	9,782 87	8,126 95			1,655 92
ELECTRICITE DE FRANCE									
ADR	FIFO	890 000	Mar 23, 10	May 13, 13	4,129 51	9,210 70		-5,081 19	
	FIFO	146 000	Mar 23, 10	Jul 30, 13	829 27	1,510 97		-681 70	
	FIFO	746 000	Nov 10, 10	Jul 30, 13	4,237 20	6,600 68		-2,363 48	
	FIFO	89 000	Nov 10, 10	Aug 05, 13	517 09	787 49		-270 40	
	FIFO	103 000	Nov 10, 10	Nov 18, 13	737 47	911 35		-173 88	
	FIFO	344 000	Nov 11, 10	Nov 18, 13	2,462.99	3,047 63		-584 64	
	FIFO	91,000	Apr 07, 11	Nov 18, 13	651 55	716 00		-64 45	
ERICSSON SEK 10 NEW 2002									
ADR	FIFO	94 000	Feb 08, 12	Mar 13, 13	1,214 47	904 17			310 30
	FIFO	108 000	Feb 08, 12	Mar 19, 13	1,375 87	1,038 83			337 04
	FIFO	273 000	Feb 10, 12	Mar 19, 13	3,477 88	2,592 77			885 11
	FIFO	250 000	Feb 10, 12	Oct 08, 13	3,266 07	2,374 32			891 75
	FIFO	127 000	Feb 10, 12	Nov 18, 13	1,582 41	1,206.16			376 25
	FIFO	50 000	Sep 07, 12	Nov 18, 13	622 99	458 29			164 70
	FIFO	75 000	Sep 07, 12	Nov 19, 13	927 73	687 43			240 30
FINMECCANICA SPA ADR									
ADR	FIFO	260 000	May 19, 10	Jan 23, 13	844 98	1,462 40		-617 42	
	FIFO	1,942 000	Nov 26, 10	Jan 23, 13	6,311 36	11,376 43		-5,065 07	
	FIFO	903 000	Nov 26, 10	Jun 10, 13	2,383 88	5,289.87		-2,905 99	
	FIFO	35 000	Nov 26, 10	Sep 24, 13	108 90	205 03		-96 13	
	FIFO	869 000	Aug 05, 11	Sep 24, 13	2,703 76	3,009 00		-305 24	
	FIFO	672 000	Aug 05, 11	Oct 03, 13	2,356 19	2,326 87			29 32

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
FUJI FILM HOLDINGS CORP									
ADR	FIFO	612 000	Aug 05, 11	Oct 08, 13	2,239 88	2,119 11			120 77
	FIFO	836 000	Aug 05, 11	Oct 11, 13	3,130 35	2,894 73			235 62
	FIFO	511 000	Aug 05, 11	Oct 14, 13	1,906 51	1,769 39			137 12
	FIFO	1,289 000	Jun 05, 12	Oct 14, 13	4,809 17	2,138 07			2,671 10
GLAXO SMITHKLINE PLC ADR									
	FIFO	9 000	May 10, 06	Jul 30, 13	199 89	316 81		-116 92	
	FIFO	85 000	Dec 11, 07	Jul 30, 13	1,887 81	3,837 61		-1,949 80	
	FIFO	149 000	Dec 11, 07	Nov 18, 13	3,799 43	6,727 11		-2,927 68	
	FIFO	37 000	Dec 11, 07	Nov 19, 13	934 23	1,670 50		-736 27	
	FIFO	11 000	Jan 14, 10	Feb 12, 13	498 37	461 48			36 89
	FIFO	76 000	Apr 22, 10	Feb 12, 13	3,443 30	2,966 26			477 04
	FIFO	77 000	Apr 22, 10	Jun 10, 13	3,997 00	3,005 28			991 72
	FIFO	53 000	Apr 22, 10	Nov 19, 13	2,788 28	2,068 58			719 70
GOLD FIELDS LTD SPON ADR									
	FIFO	109 000	Apr 08, 08	Feb 28, 13	904 68	1,297 64		-392 96	
	FIFO	828 000	May 22, 08	Feb 28, 13	6,872 25	10,087 09		-3,214 84	
	FIFO	77 000	May 22, 08	Mar 06, 13	625 95	938 05		-312 10	
	FIFO	530 000	Feb 04, 10	Mar 06, 13	4,308 49	5,037 55		-729 06	
HOME RETAIL GROUP PLC									
SPON ADR	FIFO	266 000	Jun 09, 11	Oct 08, 13	2,840 83	3,153 27		-312 44	
	FIFO	64 000	Sep 06, 11	Oct 08, 13	683 51	484 46			199 05
	FIFO	168 000	Sep 06, 11	Nov 18, 13	2,079 80	1,271 71			808 09
ING GROEP N V NL SPON									
ADR	FIFO	425 000	May 09, 12	May 13, 13	3,694 66	2,800 75			893 91
	FIFO	69 000	May 09, 12	Jun 03, 13	641 11	454 71			186 40
	FIFO	307 000	Jul 20, 12	Jul 30, 13	3,098 38	1,924 47			1,173 91
	FIFO	223 000	Jul 20, 12	Oct 08, 13	2,562 23	1,397 89			1,164 34
	FIFO	75 000	Jul 20, 12	Nov 18, 13	966 74	470 15			496 59
KINROSS GOLD CORP NEW									
CAD	FIFO	43 000	Oct 30, 09	Nov 19, 13	211 13	785 34		-574 21	
	FIFO	148 000	Feb 03, 10	Nov 19, 13	726 68	2,572 57		-1,845 89	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
MANPOWERGROUP INC									
	FIFO	274 000	Aug 18, 10	Nov 19, 13	1,345 35	4,235 93		-2,890 58	
	FIFO	25 000	Jul 20, 12	Jul 30, 13	1,650 97	855 95			795 02
	FIFO	14 000	Jul 24, 12	Jul 30, 13	924 55	454 46			470 09
	FIFO	32 000	Jul 24, 12	Nov 18, 13	2,582 36	1,038 76			1,543.60
MARINE HARVEST ASA ADR									
	FIFO	114 000	Sep 09, 11	Jan 22, 13	2,215 22	1,242 76			972 46
	FIFO	347 000	Sep 12, 11	Jan 22, 13	6,742 82	3,649 68			3,093 14
	FIFO	104 000	Sep 12, 11	Jan 23, 13	2,008 26	1,093 85			914 41
MS&AD INS GROUP HLDGS ADR									
	FIFO	864 000	Dec 14, 07	Apr 12, 13	11,233 91	14,472 00		-3,238 09	
	FIFO	200 000	Aug 04, 10	Apr 12, 13	2,600 44	2,274 92			325 52
	FIFO	160 000	Aug 04, 10	Jul 30, 13	2,092 76	1,819 94			272 82
	FIFO	22 000	Aug 12, 10	Jul 30, 13	287 76	243 40			44 36
	FIFO	157 000	Aug 12, 10	Nov 18, 13	2,128 88	1,737 00			391 88
	FIFO	115 000	Aug 12, 10	Nov 19, 13	1,581 22	1,272 33			308 89
NEWCREST MINING LTD SPON AUSTRALIA ADR									
	FIFO	5 000	Aug 13, 08	May 17, 13	71 36	105 66		-34 30	
	FIFO	314 000	Aug 11, 09	May 17, 13	4,481 34	7,793 64		-3,312 30	
	FIFO	196 000	May 28, 10	May 17, 13	2,797 27	5,364 09		-2,566 82	
	FIFO	155 000	Dec 19, 11	May 17, 13	2,212 13	4,810 28		-2,598 15	
	FIFO	55 000	Dec 19, 11	May 22, 13	814 04	1,706 88		-892 84	
	FIFO	68 000	Apr 26, 12	May 22, 13	1,006 45	1,838 52		-832 07	
NINTENDO LTD ADR NEW JAPAN ADR									
	FIFO	106 000	Aug 20, 09	Apr 11, 13	1,596 50	3,431 14		-1,834 64	
	FIFO	257 000	Aug 28, 09	Apr 11, 13	3,870 77	8,552 86		-4,682 09	
	FIFO	78 000	Oct 04, 10	Apr 11, 13	1,174 79	2,474 44		-1,299 65	
	FIFO	144 000	Oct 04, 10	Jul 18, 13	2,353 72	4,568 20		-2,214 48	
	FIFO	103 000	Aug 01, 11	Jul 18, 13	1,683 57	2,040 57		-357 00	
	FIFO	27 000	Aug 01, 11	Jul 19, 13	446 13	534 91		-88 78	
	FIFO	173 000	Aug 01, 11	Oct 02, 13	2,418 96	3,427 37		-1,008 41	

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Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
NIPPON TELEG & TEL CORP SPON ADR	FIFO	146 000	Dec 11, 07	Jul 30, 13	3,679.80	3,476.04			203.76
	FIFO	123 000	Dec 11, 07	Nov 18, 13	3,282.17	2,928.44			353.73
	FIFO	54 000	Dec 11, 07	Nov 19, 13	1,421.80	1,285.66			136.14
OAO GAZPROM LEVEL 1 ADR PROGRAM (RUSSIA) SPON ADR	FIFO	212 000	Oct 15, 10	Nov 19, 13	1,912.21	2,272.97		-360.76	
	FIFO	186 000	Aug 17, 07	May 13, 13	1,478.85	3,290.90		-1,812.05	
	FIFO	305 000	Sep 12, 07	May 13, 13	2,425.00	5,337.44		-2,912.44	
PANASONIC CORP SPON ADR	FIFO	1 000	Sep 12, 07	Jul 30, 13	8.69	17.50		-8.81	
	FIFO	345 000	Dec 11, 07	Jul 30, 13	2,998.69	7,222.68		-4,223.99	
	FIFO	275 000	Dec 11, 07	Nov 18, 13	2,893.23	5,757.21		-2,863.98	
POLYUS GOLD INTL LTD SPON GDR	FIFO	76 000	Sep 27, 10	Nov 18, 13	799.58	1,046.50		-246.92	
	FIFO	272 000	Aug 03, 11	Jan 15, 13	829.53	978.63		-149.10	
	FIFO	1,290 000	Aug 12, 11	Jan 15, 13	3,934.15	4,330.27		-396.12	
ROHM CO LTD ADR	FIFO	59 000	Jan 16, 09	Nov 18, 13	1,248.42	1,466.47		-218.05	
	FIFO	35 000	Feb 05, 09	Nov 18, 13	740.59	880.66		-140.07	
	FIFO	49 000	Feb 05, 09	Nov 19, 13	1,056.42	1,232.91		-176.49	
SANOFI SPON ADR	FIFO	61 000	May 21, 08	Jan 15, 13	2,918.16	2,277.68			640.48
	FIFO	64 000	May 21, 08	Feb 12, 13	3,067.02	2,389.69			677.33
	FIFO	47 000	May 21, 08	May 13, 13	2,584.94	1,754.94			830.00
SEKISUI HOUSE LTD SPON ADR	FIFO	8 000	May 21, 08	Nov 18, 13	431.75	298.71			133.04
	FIFO	39 000	Aug 01, 08	Nov 18, 13	2,104.80	1,378.59			726.21
	FIFO	18 000	Aug 01, 08	Nov 19, 13	959.74	636.27			323.47
SEKISUI HOUSE LTD SPON ADR	FIFO	555 000	Dec 12, 07	Apr 09, 13	8,193.84	6,821.17			1,372.67
	FIFO	115 000	Mar 10, 08	Apr 09, 13	1,697.82	1,026.96			670.86
	FIFO	91 000	Mar 10, 08	Nov 19, 13	1,253.78	812.64			441.14

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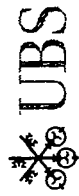
Account name:
Account number:HARDEN FOUNDATION
ML 09276 EFYour Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
SEVEN & I HLDGS CO LTD									
ADR	FIFO	29 000	Jun 05, 09	May 13, 13	2,202 21	1,371 87			830 34
	FIFO	25 000	Jun 05, 09	Jul 30, 13	1,888 47	1,182 65			705 82
	FIFO	26 000	Jun 05, 09	Nov 19, 13	1,959 07	1,229 95			729 12
SHISEIDO CO LTD SPONS									
ADR JAPAN	FIFO	191 000	Dec 18, 08	Jul 30, 13	2,916 52	4,031 38		-1,114 86	
	FIFO	16 000	Dec 18, 08	Oct 08, 13	275 80	337 71		-61 91	
	FIFO	118 000	Apr 01, 09	Oct 08, 13	2,034 05	1,720 32			313 73
	FIFO	144 000	Apr 01, 09	Nov 19, 13	2,518 23	2,099 38			418 85
SIBANYE GOLD LTD SPON									
ADR	FIFO	27 250	Apr 08, 08	Mar 01, 13	167 61	214 17		-46 56	
	FIFO	226 250	May 22, 08	Mar 01, 13	1,391 64	1,819 62		-427 98	
	FIFO	132 500	Feb 04, 10	Mar 01, 13	814 99	831 41		-16 42	
	Adjustment	117 000	Oct 24, 08	Jul 25, 13	351 70	207 86			143 84
	FIFO	37 000	Oct 24, 08	Oct 08, 13	4,487 28	1,798 01			2,689 27
	FIFO	19 000	Oct 24, 08	Nov 18, 13	2,473 95	923 30			1,550 65
	FIFO	8 000	Oct 24, 08	Nov 19, 13	1,035 98	388 76			647 22
	Adjustment	29 000	Sep 27, 11	Jul 25, 13	87 18	96 88		-9 70	
SK TELECOM CO LTD ADR									
	FIFO	33 000	Apr 14, 09	Jan 15, 13	560 40	537 02			23 38
	FIFO	163 000	May 21, 09	Jan 15, 13	2,768 02	2,587 69			180 33
	FIFO	258 000	May 21, 09	Feb 07, 13	4,677 98	4,095 86			582 12
	FIFO	151 000	May 21, 09	May 07, 13	3,244 92	2,397 18			847 74
	FIFO	108 000	May 21, 09	Jul 30, 13	2,339 62	1,714 55			625 07
	FIFO	99 000	May 21, 09	Nov 18, 13	2,302 70	1,571 66			731 04
	FIFO	41 000	May 21, 09	Nov 19, 13	947 08	650 89			296 19
SUMITOMO MITSUI TRUST									
HOLDINGS SPON ADR	FIFO	3,143 000	Apr 01, 11	Apr 09, 13	16,433 75	11,000 22			5,433 53
	FIFO	1,044 000	Apr 01, 11	Jul 30, 13	4,906 71	3,653 91			1,252 80
	FIFO	476 000	Apr 01, 11	Nov 18, 13	2,408 52	1,665 96			742 56
	FIFO	173 000	Apr 01, 11	Nov 19, 13	866 71	605 48			261 23

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Account name: HARDEN FOUNDATION
Account number: ML 09276 EFYour Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
SWISSCOM AG SPON ADR	FIFO	51 000	Dec 12, 07	Jul 30, 13	2,253 65	1,989 00			264 65
	FIFO	77 000	Dec 12, 07	Nov 18, 13	3,950 03	3,003 00			947 03
	FIFO	21 000	Dec 12, 07	Nov 19, 13	1,073 71	819 00			254 71
TALISMAN ENERGY INC CAD	FIFO	190 000	Oct 20, 11	Jul 30, 13	2,185 82	2,565 87		-380 05	
	FIFO	209 000	Oct 20, 11	Nov 19, 13	2,512 16	2,822 46		-310 30	
TELECOM ITALIA SPA NEW REPSTG 10 SAVINGS SHS SPON ADR	FIFO	474 000	Dec 07, 05	Oct 24, 13	3,601 34	11,908 07		-8,306 73	
	FIFO	208 000	Dec 07, 05	Nov 19, 13	1,478 86	5,225 48		-3,746 62	
	FIFO	144 000	May 11, 06	Nov 19, 13	1,023 82	3,859 88		-2,836 06	
TEVA PHARMACEUTICALS IND LTD ISRAEL ADR	FIFO	67 000	Sep 10, 12	Oct 08, 13	2,604 92	2,604 92	-124 68		
	FIFO	69 000	Sep 10, 12	Nov 19, 13	2,670 25	2,811 09		-140 84	
TOYOTA MOTOR CORP NEW JAPAN SPON ADR	FIFO	27 000	Sep 09, 10	May 13, 13	3,366 29	1,887 74			1,478 55
	FIFO	53 000	Sep 10, 10	May 13, 13	6,607 89	3,742 18			2,865 71
	FIFO	5 000	Oct 20, 10	May 13, 13	623 39	358 23			265 16
	FIFO	20 000	Oct 20, 10	Nov 18, 13	2,551 16	1,432 93			1,118 23
VIVENDI SA ADR	FIFO	113 000	Mar 08, 12	Oct 08, 13	2,608 44	2,094 49			513 95
	FIFO	77 000	Mar 08, 12	Nov 19, 13	1,936 14	1,427 22			508 92
VODAFONE GROUP PLC NEW SPON ADR	FIFO	135 000	Nov 10, 08	May 02, 13	4,052 61	2,257 77			1,794 84
	FIFO	71 000	Nov 10, 08	Jul 30, 13	2,110 09	1,187 42			922 67
	FIFO	126 000	Nov 10, 08	Aug 29, 13	4,006 73	2,107 25			1,899 48
	FIFO	57 000	Nov 10, 08	Oct 08, 13	1,984 15	953 28			1,030 87
	FIFO	76 000	Nov 10, 08	Nov 01, 13	2,805 87	1,271 03			1,534 84
	FIFO	58 000	Nov 10, 08	Nov 18, 13	2,165 69	970 01			1,195 68
	FIFO	26 000	Nov 10, 08	Nov 19, 13	964 06	434 83			529 23
WACOAL HOLDINGS CORP ADR	FIFO	40 000	Jan 18, 06	Nov 19, 13	2,205 68	2,756 28		-550 60	
WEATHERFORD INTL LTD CHF	FIFO	205 000	Aug 23, 12	Nov 18, 13	3,420 32	2,610 99			809 33

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Account name
Account number:

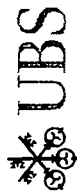
HARDEN FOUNDATION
ML 09276 EF

Your Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
WOLTERS KLUWER NV SPONSORED ADR NETHERLANDS ADR	FIFO	16 000	Apr 29, 09	Mar 14, 13	340 84	265 84			75.00
	FIFO	71 000	Jun 04, 09	Mar 14, 13	1,512 47	1,378 33			134 14
	FIFO	165 000	Jun 04, 09	Jul 30, 13	3,933 53	3,203 16			730 37
	FIFO	117 000	Jun 04, 09	Nov 18, 13	3,266 59	2,271 33			995 26
	FIFO	37 000	Jun 15, 09	Nov 18, 13	1,033.02	626 71			406 31
	FIFO	36 000	Jun 15, 09	Nov 19, 13	1,004 24	609 78			394 46
Total					\$476,699.37	\$525,867.51		-\$132,137.67	\$82,969.53
Net long-term capital gains or losses									-\$49,168.14
Net capital gains/losses:									-\$37,069.00



UBS Financial Services Inc
200 Clock Tower Place
Suite C-100
Carmel CA 93923-8680

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2013 Year End Summary

Account name: HARDEN FOUNDATION

(RED GRANITE)

Account number: ML 09278 EF

Your Financial Advisor:

ELLIOTT/FOCARACCI

Phone 831-622-0222/800-937-2400

HARDEN FOUNDATION
(RED GRANITE)
PO BOX 779
SALINAS CA 93902-0779

Summary of gains and losses

	Amount (\$)
Short term	117,880.66
Long term	965,719.29
Total	\$1,083,599.95

Realized gains and losses

Estimated 2013 gains and losses for transactions with trade dates through 12/31/13 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order.

See Important information about your statement on the last page of this statement for more information. We may not adjust gains and losses for all capital changes. Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

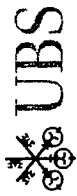
Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
AMAZON COM INC	FIFO	327 000	Mar 05, 12	Jan 30, 13	89,691 05	59,036 58			30,654 47
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Member SIPC

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Managed Accounts Consulting

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Account number: ML 09278 EF

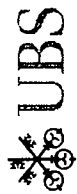
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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
AMER EXPRESS CO	FIFO	130 000	Sep 17, 12	Feb 26, 13	8,038.16	7,710.34			327.82
	FIFO	95 000	Sep 17, 12	Jul 19, 13	7,036.83	5,634.48			1,402.35
APPLE INC	FIFO	23 000	Apr 10, 12	Feb 26, 13	10,109.83	10,109.83	-4,361.90	-8,218.47	
CITRIX SYSTEMS INC	FIFO	736 000	Jul 26, 12	May 24, 13	46,559.36	54,777.83			12.84
COACH INC	FIFO	47 000	May 03, 13	Jul 19, 13	2,782.33	2,769.49			
	FIFO	2,840 000	May 03, 13	Oct 23, 13	137,592.20	167,348.14		-29,755.94	
DEERE AND CO	FIFO	710 000	Dec 10, 12	Aug 30, 13	59,433.14	60,850.83		-1,417.69	
DICK'S SPORTING GOODS INC	FIFO	11 000	Jul 11, 13	Jul 19, 13	559.79	558.88			0.91
EMERSON ELECTRIC CO	FIFO	86 000	Dec 14, 12	Feb 26, 13	4,811.59	4,477.18			334.41
	FIFO	66 000	Dec 14, 12	Jul 19, 13	3,818.90	3,435.97			382.93
EXPRESS SCRIPTS HLDG CO	FIFO	206 000	Jun 07, 12	Feb 26, 13	11,330.74	10,969.15			361.59
FACEBOOK INC CL A	FIFO	70 000	May 16, 13	Jul 19, 13	1,797.19	1,855.10		-57.91	
	FIFO	1,156 000	May 16, 13	Oct 03, 13	57,298.91	30,635.74			26,663.17
FLUOR CORP NEW	FIFO	2,589 000	Jan 02, 13	Apr 17, 13	141,642.05	156,771.20		-15,129.15	
	FIFO	1,591 000	Jan 22, 13	Apr 17, 13	87,042.30	101,836.09		-14,793.79	
GOLDMAN SACHS GROUP INC	FIFO	82 000	Mar 13, 12	Feb 26, 13	12,165.26	9,901.03			2,264.23
ILLUMINA INC	FIFO	1,912 000	May 01, 12	Jan 07, 13	96,301.84	86,626.22			9,675.62
KIMBERLY CLARK CORP	FIFO	138 000	May 01, 12	Feb 26, 13	12,969.53	10,864.37			2,105.16
	FIFO	1,959 000	May 01, 12	Mar 27, 13	189,361.52	154,226.78			35,134.74
LKQ CORP NEW	FIFO	2,766 000	Mar 13, 12	Jan 30, 13	62,604.24	43,451.37			19,152.87
	FIFO	67 000	Mar 13, 12	Feb 26, 13	1,498.76	1,052.51			446.25
MICROSOFT CORP	FIFO	318 000	Sep 10, 12	Feb 26, 13	8,700.32	9,821.84		-1,121.52	
	FIFO	2,302 000	Sep 10, 12	Mar 12, 13	63,833.26	71,100.27		-7,267.01	
	FIFO	5,077 000	Sep 10, 12	May 16, 13	172,563.36	156,809.74			15,753.62
MONSANTO CO NEW	FIFO	97 000	Mar 01, 12	Feb 26, 13	9,587.23	7,717.52			1,869.71
PRAXAIR INC	FIFO	63 000	Jan 07, 13	Feb 26, 13	6,974.58	7,110.36		-135.78	
	FIFO	34 000	Jan 07, 13	Jul 19, 13	3,959.91	3,837.33			122.58
PRICELINE COM INC NEW	FIFO	18 000	Jul 16, 12	Feb 26, 13	12,115.53	11,497.77			617.76

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Managed Accounts Consulting

Account name:
Account number:

HARDEN FOUNDATION
ML 09278 EF

Your Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

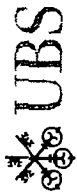
Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
SALESFORCE COM INC	FIFO	53 000	May 24, 13	Jul 19, 13	2,244 75	2,227 75			17 00
	FIFO	1,238 000	May 24, 13	Nov 15, 13	70,410 64	52,036 85			18,373 79
STARBUCKS CORP	FIFO	646 000	Dec 18, 12	Nov 07, 13	51,684 92	35,399 64			16,285.28
STERICYCLE INC	FIFO	81 000	Nov 01, 12	Feb 26, 13	7,712 00	7,739 36		-27 36	
	FIFO	23,000	Nov 01, 12	Jul 19, 13	2,703 19	2,197 59			505 60
TRIMBLE NAVIGATION LTD	FIFO	31 000	Feb 20, 13	Feb 26, 13	1,787 42	1,787 42			
	FIFO	66 000	Feb 20, 13	Jul 19, 13	1,798 77	2,033 97	-123 27	-235 20	
VISA INC CL A	FIFO	456 000	Jul 16, 12	Jan 30, 13	71,349.40	58,507 63			12,841 77
	FIFO	25 000	Jul 16, 12	Feb 26, 13	3,941 66	3,207 65			734 01
Total					\$1,535,812.46	\$1,417,931.80		-\$78,159.82	\$196,040.48
Net short-term capital gains and losses									\$117,880.66

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
AMAZON COM INC	FIFO	5 000	Mar 05, 12	Jul 19, 13	1,524.22	902 70			621 52
	FIFO	326 000	Mar 05, 12	Nov 05, 13	116,414 75	58,856 04			57,558 71
BIOGEN IDEC INC	FIFO	67 000	Nov 17, 11	Feb 26, 13	10,842 37	7,313 78			3,528 59
	FIFO	48 000	Nov 17, 11	Jul 19, 13	10,843 49	5,239 73			5,603 76
CELGENE CORP	FIFO	662 000	Sep 25, 08	Jan 24, 13	64,518 92	42,797 97			21,720 95
	FIFO	70 000	Sep 25, 08	Feb 26, 13	6,972 55	4,525 47			2,447.08
	FIFO	92 000	Sep 25, 08	Apr 08, 13	10,639 15	5,947 75			4,691 40
	FIFO	634 000	Oct 06, 08	Apr 08, 13	73,317 60	35,635 87			37,681 73
	FIFO	66 000	Oct 06, 08	Jul 19, 13	8,826 69	3,709 73			5,116 96
CERNER CORP	FIFO	121 000	Oct 28, 11	Feb 26, 13	10,480 51	7,800 48			2,680 03
	FIFO	47 000	Oct 28, 11	Jul 19, 13	2,365 50	1,514 97			850 53
	FIFO	974 000	Oct 28, 11	Nov 15, 13	55,593 68	31,395 34			24,198 34
CHEVRON CORP	FIFO	80 000	Sep 29, 10	Feb 26, 13	9,200 59	6,499 88			2,700 71
	FIFO	5 000	Sep 29, 10	Jul 19, 13	629 34	406 25			223 09
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Managed Accounts Consulting

Account name: HARDEN FOUNDATION
Account number: ML 09278 EF

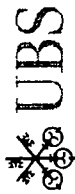
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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CITRIX SYSTEMS INC	FIFO	1,497 000	Sep 29, 10	Oct 16, 13	177,624 04	121,629 00			55,995 04
	FIFO	500 000	Nov 02, 10	Oct 16, 13	59,326 67	41,084 40			18,242 27
	FIFO	72 000	Jan 25, 12	Feb 26, 13	5,061 92	4,868 04			193 88
	FIFO	2,152 000	Jan 25, 12	May 24, 13	136,135 52	145,500 38		-9,364 86	
COCA COLA CO COM	FIFO	348 000	Aug 30, 11	Feb 26, 13	13,183 40	12,171 11			1,012 29
	FIFO	60 000	Aug 30, 11	Jul 19, 13	2,455 76	2,098 47			357 29
COLGATE PALMOLIVE CO	FIFO	484 000	Aug 23, 11	Feb 05, 13	53,118 73	41,590 85			11,527 88
	FIFO	533 000	Aug 23, 11	Mar 12, 13	61,413 81	45,801 49			15,612 32
	FIFO	726 000	Aug 23, 11	Jul 18, 13	42,693 65	31,193 13			11,500 52
	FIFO	2,090 000	Sep 30, 11	Jul 18, 13	122,905 95	93,380 47			29,525 48
CONOCOPHILLIPS	FIFO	147 000	Aug 23, 11	Feb 26, 13	8,470 42	7,316 78			1,153 64
	FIFO	9 000	Aug 23, 11	Jul 19, 13	589 76	447 97			141 79
COSTCO WHOLESALE CORP	FIFO	120 000	Feb 05, 09	Feb 26, 13	12,018 86	5,182 03			6,836 83
	FIFO	32 000	Feb 05, 09	Jul 19, 13	3,752 25	1,381 88			2,370 37
DEERE AND CO	FIFO	149 000	Jun 15, 11	Feb 26, 13	12,903 07	12,196 81			706 26
	FIFO	10 000	Jun 15, 11	Jul 19, 13	836 99	818 58			18 41
	FIFO	159 000	Jun 15, 11	Aug 30, 13	13,309 67	13,015 39			294 28
	FIFO	500 000	Jun 17, 11	Aug 30, 13	41,854 33	39,573 50			2,280 83
	FIFO	450 000	Jul 01, 11	Aug 30, 13	37,668 88	37,901 70		-232 82	
	FIFO	1,600 000	Nov 30, 11	Aug 30, 13	133,933 83	125,959 36			7,974 47
EMC CORP MASS	FIFO	128 000	Mar 19, 08	Feb 26, 13	2,929 11	1,862 40			1,066 71
	FIFO	73 000	Mar 19, 08	Jul 19, 13	1,864 72	1,062 15			802 57
	FIFO	59 000	Mar 19, 08	Dec 19, 13	1,413 03	858 45			554 58
	FIFO	1,490 000	Apr 30, 08	Dec 19, 13	35,684 87	23,497 30			12,187 57
EXXON MOBIL CORP	FIFO	2,700 000	Mar 16, 09	Dec 19, 13	64,663 88	28,533 33			36,130 55
	FIFO	2,370 000	Mar 19, 09	Dec 19, 13	56,760 51	27,107 59			29,652 92
EXPRESS SCRIPTS HLDG CO	FIFO	1,600 000	May 04, 09	Dec 19, 13	38,319 33	20,457 60			17,861 73
EXXON MOBIL CORP	FIFO	68 000	Jun 07, 12	Jul 19, 13	4,502 34	3,620 88			881 46
	FIFO	300 000	Nov 30, 05	Jan 22, 13	27,230 48	17,553 00			9,677 48
	FIFO	303 000	Oct 06, 06	Jan 22, 13	27,502 78	20,485 83			7,016 95

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Managed Accounts Consulting

Account name:
Account number:

HARDEN FOUNDATION
ML 09278 EF

Your Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
	FIFO	97 000	Oct 06, 06	Feb 05, 13	8,689 24	6,558 17			2,131 07
	FIFO	300 000	May 07, 08	Feb 05, 13	26,873 94	26,931 00		-57 06	
	FIFO	395 000	Apr 29, 09	Feb 05, 13	35,384 02	26,940 82			8,443 20
	FIFO	5 000	Apr 29, 09	Feb 26, 13	443 04	341 02			102 02
	FIFO	17 000	Oct 12, 09	Feb 26, 13	1,506 34	1,194 22			312 12
	FIFO	683 000	Oct 12, 09	Apr 15, 13	59,676 61	47,979 38			11,697 23
	FIFO	300 000	Oct 16, 09	Apr 15, 13	26,212 27	21,736 83			4,475 44
	FIFO	500 000	Mar 05, 10	Apr 15, 13	43,687 12	33,188 50			10,498 62
	FIFO	275 000	Jul 13, 10	Apr 15, 13	24,027 92	16,362 14			7,665 78
FASTENAL CO	FIFO	254 000	Oct 12, 09	Feb 26, 13	12,928 31	5,042 66			7,885 65
	FIFO	22 000	Oct 12, 09	Jul 19, 13	1,030 77	436 77			594 00
GILEAD SCIENCES INC	FIFO	268 000	Nov 08, 10	Feb 26, 13	11,231 66	5,186 53			6,045 13
	FIFO	1,929 000	Nov 08, 10	Apr 08, 13	90,719 42	37,331 35			53,388 07
	FIFO	446 000	Nov 08, 10	May 03, 13	24,722 61	8,631 31			16,091 30
GOLDMAN SACHS GROUP INC	FIFO	1 000	Mar 13, 12	Jul 19, 13	163 98	120 74			43 24
GOOGLE INC CL A	FIFO	16 000	Mar 18, 09	Feb 26, 13	12,616 36	5,375 89			7,240 47
ILLUMINA INC	FIFO	1,599 000	Dec 06, 11	Jan 07, 13	80,536 95	47,404 27			33,132 68
INTL BUSINESS MACH	FIFO	38 000	Mar 16, 09	Feb 26, 13	7,573 99	3,511 59			4,062 40
	FIFO	364 000	Mar 16, 09	Jul 16, 13	70,646 80	33,637 31			37,009 49
	FIFO	300 000	Mar 24, 09	Jul 16, 13	58,225 39	29,663 55			28,561 84
	FIFO	357 000	Jun 11, 10	Jul 16, 13	69,288 21	45,630 67			23,657 54
INTUIT	FIFO	88 000	Mar 24, 10	Feb 26, 13	5,487 38	3,065 33			2,422 05
	FIFO	2,130 000	Mar 24, 10	Jul 02, 13	132,951 43	74,194 93			58,756 50
	FIFO	600 000	Jul 07, 10	Jul 02, 13	37,451 11	21,360 00			16,091 11
	FIFO	85 000	Nov 23, 10	Jul 02, 13	5,305 57	3,778 75			1,526 82
	FIFO	800 000	Dec 05, 11	Jul 02, 13	49,934 81	43,505 44			6,429 37
LAS VEGAS SANDS CORP	FIFO	70 000	Feb 02, 12	Feb 26, 13	3,498 06	3,594 65		-96 59	
	FIFO	110 000	Feb 02, 12	Jul 19, 13	6,144 98	5,648 73			496 25
	FIFO	1,044 000	Feb 02, 12	Nov 07, 13	72,540 55	53,611 60			18,928 95

continued next page



Managed Accounts Consulting

Account name:
Account number:

HARDEN FOUNDATION
ML 09278 EF

Your Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
LKQ CORP NEW	FIFO	30 000	Mar 13, 12	Jul 19, 13	774 29	471 27			303 02
	FIFO	2,371 000	Mar 13, 12	Oct 03, 13	76,452 75	37,246 28			39,206 47
MCDONALDS CORP	FIFO	154 000	Jul 23, 09	Feb 26, 13	14,824 36	8,688 67			6,135 69
	FIFO	22 000	Jul 23, 09	Jul 19, 13	2,191 60	1,241 24			950 36
MONSANTO CO NEW	FIFO	22 000	Mar 01, 12	Jul 19, 13	2,267 56	1,750 36			517 20
PRICELINE COM INC NEW	FIFO	2 000	Jul 16, 12	Jul 19, 13	1,784 07	1,277 53			506 54
	FIFO	138 000	Jul 16, 12	Aug 08, 13	128,115 60	88,149 60			39,966 00
	FIFO	61 000	Jul 16, 12	Nov 07, 13	63,437 20	38,964 68			24,472 52
QUALCOMM INC	FIFO	184 000	Mar 24, 11	Feb 26, 13	12,013 86	9,832 17			2,181 69
	FIFO	50 000	Mar 24, 11	Jul 19, 13	3,065 95	2,671 78			394 17
STERICYCLE INC	FIFO	585 000	Nov 01, 12	Nov 15, 13	69,084 08	55,895 34			13,188 74
YUM! BRANDS INC	FIFO	1,277 000	Jul 08, 10	Feb 05, 13	79,230 46	51,011 17			28,219 29
	FIFO	17 000	Jul 08, 10	Jul 19, 13	1,219 90	679 08			540 82
Total					\$3,016,258.44	\$2,050,539.15		-\$9,751.33	\$975,470.62
Net long-term capital gains or losses									\$965,719.29
Net capital gains/losses:									\$1,083,599.95

Guidelines for Grant Applications

Grantmaking

Introduction

The Foundation seeks to support nonprofit organizations that will achieve all or some of the following objectives:

- Improve the well-being of young people
- Strengthen the family
- Develop individual self-reliance and health
- Prevent inappropriate institutionalization of individuals
- Improve the quality of life through cultural activities
- Encourage more humane treatment of animals
- Eliminate duplication and improve coordination of social and community services

The Harden Foundation seeks to support 501(C)3 organizations with a demonstrated ability to provide meaningful and measurable ongoing benefits to the people of Monterey County, California and especially the Salinas Valley.

Scope and Types of Grants

Geographic Limits:

501(C)3 organizations who provide services or programs in Monterey County, California are eligible to submit grant proposals to the Foundation. The Harden Foundation does not make grants to organizations headquartered outside of Monterey County unless they demonstrate service to the area. The Foundation will consider grants in the following seven focus areas: Children, Youth, & Families, Senior Citizens, General, Health, Agricultural Education, Animal Welfare and Environment, and Arts & Culture.

Type of Grants

The Foundation provides both general support and project support to a broad range of nonprofits working in the Foundation's focus areas. The Foundation will consider proposals for one-time capital grants, special projects, and operating support. Requests for ongoing operating support are discouraged. In general, operating grants for start-up programs will be made for a maximum of two (2) years. Thereafter, operating grants will be made at the Board's discretion and, if granted, will be on a matching basis. Operating grants will not be made in amounts exceeding one-third of the operating budget of the organization except for start-up funds. Typically, grants range from \$5,000 to \$100,000, and higher for major or capital projects.

The Foundation will consider **one** grant request per organization in a calendar year. Multi-year grants will be considered only in exceptional cases. Grant requests should not exceed \$100,000.

Deadlines for submitting grant applications are **MARCH 1** and **SEPTEMBER 1** of each year. Grants will be reviewed and funded semi-annually, in January (for previous year September 1 deadline) and in July for March 1 deadline.

Exceptions:

The Foundation does **NOT** fund:

- Organizations that do not have a current 501©3 status
- Organizations that support sectarian religious programs
- Creation or addition to endowments
- Annual events, conferences, or fundraising events
- Academic or medical research or scholarships to individuals
- Foundations or associations established for the benefit of an organization which receives substantial tax support
- Individuals
- Political parties, candidates or partisan political organizations or activities
- Organizations whose activities are located outside of Monterey County
- The Foundation does not accept unsolicited proposals from public or private institutions of primary, secondary or higher education. However, grant proposals from public institutions will be considered for special projects, which are agriculture related.

Guide for Submitting a Grant Application

- Determine if your organization is eligible

In order to be considered for funding, an organization must be designated as a nonprofit, tax exempt "charitable organization" (without private foundation status) and conduct programs within our focus areas and in our geographic funding area. The applicant should also be current on all reports due to the Foundation for any previous grants.

- Submit a grant proposal and the necessary attachments.

Complete the Monterey County Area Grantmakers Common Grant Application (CGA) and include the necessary attachments. Please submit only one copy of the complete grant application package.

You may download the Monterey County Area Grantmakers Common grant Application form our website, HYPERLINK "<http://www.hardenfoundation.org>" www.hardenfoundation.org or call our office to obtain the forms at 831-442-3005. Supporting materials submitted as part of your grant application cannot be returned. Please do not submit any materials for which you do not have a copy. Please do not submit your proposal in a binder or folder.

Applications may be mailed, dropped off, or sent via e-mail to the Foundation's office. Faxed applications are not accepted. E-mail applications can be sent either as a Word document or scanned PDF document to: HYPERLINK "<mailto:grants@hardenfoundation.org>" grants@hardenfoundation.org. For applications submitted by e-mail in Word format, a copy of the signed Common Grant Application Form (page 7 of the Monterey County Area Grantmakers Common Grant Application) should be mailed to the Foundation.

Guide for submitting applications:

- Send one complete copy of your grant application.
- Use standard typeface no smaller than 11 points 1" margins.
- Use 8.5 x 11" white paper only with 1" margins
- Print your application single-sided.
- Do not bind your application or put application in a presentation binder.
- Clip the application together with a single clip. Do not staple any portion of the application.
- Faxed applications are not accepted.

**MONTEREY
COUNTY AREA
GRANTMAKERS**

Common Grant Application

Table of Contents

▪ Before You Begin	1
▪ Participating Grantmakers	2
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▪ Common Impact Evaluation Form (UW and CFMC)	11-14

Participating Grantmakers

Community Foundation for Monterey County

First 5 Monterey County

Harden Foundation

Monterey Peninsula Foundation

United Way Monterey County

Before You Begin: Strategies for a Successful Grant Application

Dear Nonprofit Colleague:

To save you time and effort in the grant application process, five Monterey County area grantmakers have developed this common application form to help streamline the grant seeking process. Some strategies for successful grant seeking include:

- Research the funding interest of a grantmaker before applying and obtain a copy of its current grant guidelines.
- Familiarize yourself with the funder's application process and procedures.
- Follow the specified format and any specific instructions from the grantmaker.

All grantmakers listed on Page 2 accept this grant application, but may have additional instructions for the application. Please research the individual grantmaker's grant guidelines and instructions before applying for a grant.

WHAT IS THE PURPOSE OF THE COMMON GRANT APPLICATION FORMAT?

The five participating grantmakers in the Monterey County Area created the Common Grant Application Format in 2005 to simplify the process of requesting and making grants. It is designed to benefit both grantseekers and grantmakers. It is not simply a form to be filled in. It is a format to be used as an outline for organizing and writing proposals.

HOW SHOULD YOU USE THE COMMON GRANT APPLICATION FORMAT?

Read this entire document before you begin. Pay attention to the instructions for preparation that follow. You may duplicate the forms if necessary. Copies can be downloaded at the websites of the participating grantmakers.

TIP - Do not simply produce a mass mailing of a single proposal.

Take care to tailor each request, particularly in the narrative, to the specific interests of each funder.

HOW SHOULD YOU START?

Know your purpose. Clarify your organization's priorities and purpose in seeking funds.

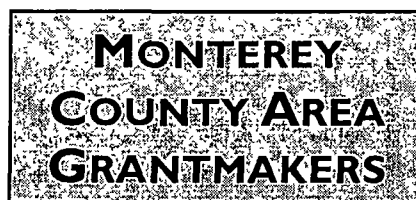
WHAT SHOULD YOU DO NEXT?

Conduct research to identify grantmakers that make grants to your type of organization and in your geographic area. Review grant guidelines and make certain that the purpose of your proposal fits within the funder's guidelines.

TIP - Share your passion, your organization's uniqueness and strengths and how you will make a difference through your outcomes.

THE REVIEW PROCESS

During the review process, staff members may ask various people for information, including your organization's board members, other agencies and grantmakers, clients, and members of the community. If you do not wish us to contact any of these sources, please let us know.



Common Grant Application

PARTICIPATING GRANTMAKERS LIST

Information about individual grantmakers is available from each funder. Please contact individual grantmaker about specific guideline or requirement questions.

Community Foundation for Monterey County

(Deadlines: See CFMC website)

2354 Garden Road
Monterey, CA 93940
831-375-9712
Fax: 831-375-4731
www.cfmco.org
Contact: Janet Shing
Senior Program Officer
janet@cfmco.org

Harden Foundation

(Deadlines: March 1, September 1)

P.O. Box 779
Salinas, CA 93902
831-442-3005
Fax: 831-443-1429
www.hardenfoundation.org
Contact: Maria Guerrero
Senior Program Officer
maria@hardenfoundation.org

United Way Monterey County

(Deadline: see UWMC website)

60 Garden Court, Ste. 350
Monterey, CA 93940
831-372-8026
Fax: 831-372-4945
www.unitedwaymcca.org
Contact: Yuri Anderson
Community Impact Director
yanderson@unitedwaymcca.org

First 5 Monterey County

1125 Baldwin St
Salinas, CA 93906
831-444-8549
Fax: 831-444-8637
www.first5monterey.org
Contact: Joanna Steinbruner
Administrative Manager
joanna@first5monterey.org

Monterey Peninsula Foundation

(No deadlines)

1 Lower Ragsdale Drive
Bldg. 3, Ste. 100
Monterey, CA 93940
831-649-1533
Fax: 831-649-1763
www.montereypeninsulafoundation.org
Contact: Laurel Lee-Alexander
Director of Philanthropy
lla@montereypeninsulafoundation.org

Notes:

1 – The Monterey Peninsula Foundation's funding areas include Monterey, Santa Cruz and San Benito Counties.

2 - The Youth Fund has separate Grant Guidelines and Grant Application – do not use this application form for the Youth Fund.

MONTEREY COUNTY AREA GRANTMAKERS Common Grant Application Checklist

Indicate to which funder this application is directed (apply to each funder separately):

- ☐ Community Foundation for Monterey County
- ☐ First 5 Monterey County
- ☐ Harden Foundation (Deadlines: March 1, September 1)
- ☐ Monterey Peninsula Foundation
- ☐ United Way Monterey County (Deadline: see UWMC website)

Each item listed on this Grant Application Checklist should be enclosed in this order with your application. Note that incomplete proposals will not be accepted. Please do not type answers on this checklist; indicate the items enclosed by marking the corresponding box. Submit one copy of the completed Grant Application packet (see Section III, Submitting your Application), including the following:

I. General Forms

- ☐ A completed and signed Grant Application Form (two pages) – with signature of authorized representative
- ☐ A letter from the Board Chairperson or Board member indicating approval for this grant application

II. Proposal Narrative (3 - 5 pages only), including the following information:

☐ Introduction and Background of Organization (incorporating the following points:)

1. Briefly describe your organization's history and major accomplishments
2. Describe your current programs and activities
3. Who is your constituency (be specific about demographics such as race, gender, ethnicity, age, sexual orientation and people with disabilities)? How are they actively involved in your work and how do they benefit from this program and/or your organization?

☐ Describe Your Request (incorporating the following points):

1. Problem statement: what problems, needs or issues are addressed, and how was this determined?
2. How does your work address and/or change the underlying or root causes of the problem?
3. If other than general operating support, describe the program for which you seek funding, why you decided to pursue this project and whether it is new or an expansion of an existing program
4. Include a list of all other grant requests (pending and approved) for this project, showing funding source and amount requested

TIP - Be clear and succinct.
Grantmakers appreciate a concise

☐ Evaluation

1. For applicants to the Community Foundation for Monterey County or United Way Monterey County: The **Common Impact Evaluation Plan** is required at the time of application to the Community Foundation for Monterey County and United Way Monterey County. Appendix 1 of this application contains the instructions for completing the Impact Evaluation Plan for these organizations. The last section of the common grant application contains information on evaluation requirements and the common evaluation reporting questions for the Community Foundation for Monterey County and United Way Monterey County, to be completed later in the year or cycle.

Revised 12/2/13

2. For applicants to First 5: The Common Impact Evaluation Form will be used by First 5 after the application process is complete. **Please contact First 5 for instructions on evaluation if this application is directed to First 5.**
3. For applicants to the Harden Foundation or the Monterey Peninsula Foundation, the Common Impact Evaluation Plan is NOT required. Please include in your 3-5 page narrative:
- What are the goals, expected outcomes and activities involved in this request, and when will each be accomplished?
 - Provide a clear plan for the evaluation of the results of the program/project in relation to its stated objectives. How will you show that the expected outcomes were met? Include:
 - Expected outcomes
 - How outcomes will be measured

TIP - Set measurable goals and specify your plans to communicate with the funder during the grant period.

III. Project Budget

- ☐ A detailed program/project budget, in your agency's normal format, showing revenues and expenses for the total program/project, and explaining how the funds requested in this application would be allocated (for the year(s) reflected in the grant request). Note: requests for operating support will not require a program/project budget, but please indicate in your narrative how the requested funds will be allocated.

IV. Organizational Structure

- ☐ A list of the current Board of Directors with related demographic information (include name, city of residence and profession of each Board member)
- ☐ Staff roster with name and title
- ☐ Organization Chart, if available

TIP - Follow the budget and financial instructions carefully and include all information requested.

V. Organization's Financial Statements

- ☐ A detailed organization current annual budget (for the year reflected in the grant request), showing both income and expenses
- ☐ Complete financial statements for the most recently completed fiscal year including:
- Balance Sheet or Statement of Financial Position. This financial statement lists the organization's assets and liabilities by category at a specific point in time. Examples of asset lines are cash, accounts receivable, prepaid expenses, property and equipment. Examples of liability lines are accounts payable, salaries and wages payable, accrued vacation, plus equity/real estate, vehicles, and long term debt.
 - Profit & Loss Statement or Statement of Activities. This financial statement lists income and expenses by categories. Examples of income categories are grants, individual donations, earned revenue and events. Examples of expense lines are salaries and wages, payroll taxes, insurance, contract services, occupancy/utilities/rent, insurance and vehicle operation/maintenance.
- ☐ An audited financial statement for your most recently completed fiscal year is required by First 5 Monterey County, United Way Monterey County and, if this request is for more than \$20,000, by the Monterey Peninsula Foundation
- ☐ Complete year-to-date financial statements: 1) Balance Sheet or Statement of Financial Position; and 2) Profit & Loss Statement or Statement of Activities. If your year-to-date statements cover a period of three months or less, please provide financial statements for two completed years, including both the most recently completed fiscal year and the prior year's statement.
- ☐ A list of the ten largest financial gifts (grants or gifts from individuals or organizations) received in your most recent fiscal year (donors who have requested anonymity may be listed as Anonymous)

V. Other

- ☐ If applicable, a completed Grant Report for a previous grant, if not already submitted (Please refer to the specific grant agreement/contract from the grantmaker). For Community Foundation for Monterey County, and Monterey Peninsula Foundation, please provide a progress report on previous grants (Community Foundation - Community Impact grants; Monterey Peninsula Foundation - all grants) for projects not yet completed and for which the final Grant Report will be due on a later date.

MONTEREY COUNTY AREA GRANTMAKERS SUBMITTING YOUR APPLICATION

Please see individual grantmakers' websites for proposal deadlines (if applicable) and other information about submitting an application.

A. By E-mail:

Applications via e-mail are preferred by the following grantmakers:

- Community Foundation for Monterey County: grants@cfmco.org
- Harden Foundation: grants@hardenfoundation.org
- Monterey Peninsula Foundation: grants@montereypeninsulafoundation.org
- United Way Monterey County: yanderson@unitedwaymcca.org

The preferred format is one scanned PDF document comprising all parts of the application, signed as appropriate, in the order requested.

- If necessary, documents such as the narrative, financials, audit, board list and Impact Plan, may be scanned as separate PDF files
- Please do not scan the individual pages of a document as separate files. For example, don't create a separate PDF document for each page of the narrative
- If you are including a Grant Report for a previous grant, this should be a separate document

For other formats:

- ☒ Use standard typeface no smaller than 11 points with 1" margins
- ☒ Save in standard Word or Excel format
- ☒ To comply with audit requirements for signatures, if you email unsigned files:
 - MAIL a signed Common Grant Application Form (page 6 of this packet)
 - MAIL a signed Board Approval letter (Section I.D. of this checklist).

B. On Paper:

All Monterey County Area Grantmakers accept applications by U.S. mail or by hand delivery

Send one complete copy of your grant application.

- ☒ Use standard typeface no smaller than 11 points
- ☒ Use 8 ½ x 11" white paper only with 1" margins
- ☒ Print your application single-sided
- ☒ Collate the parts in the order listed on the checklist
- ☒ Do not bind your application or put your application in a presentation folder
- ☒ Do not use tabs or separate sheets of paper to divide your application into sections
- ☒ Clip the application together with a single clip. Do not staple any portion of the application
- ☒ Faxed applications are not accepted

MONTEREY COUNTY AREA GRANTMAKERS
Common Grant Application Form

Please provide the information requested on this form **completed on these two pages only**. Please do not type "see attached" on any item. You may print this form on your computer, or type directly on the form. Please do not bind your application or put your application in a presentation folder. Clip the application together with a single clip - do not use staples.

A. ORGANIZATION AND PROGRAM/PROJECT INFORMATION

1. Legal organization name: _____

2. Year organization was founded: _____

3. Tax status: Tax ID # (EIN): _____

___ 501 (c) (3) nonprofit

___ 501 (c) (___) Specify: _____

___ Other status: _____

4. Organization address: _____

5. Telephone: _____

6. Fax: _____

7. Organization website: _____

8. Executive Director or principal officer (Name and Title): _____

9. Email: _____

10. Contact for this proposal: _____

11. Daytime telephone: _____

12. Email: _____

13. Mailing address, if different from above: _____

14. Amount requested: _____

15. Period grant will cover: _____

16. Type of request (check all that apply):

___ General Support

___ Program/project support

___ Capital Project

___ Organizational Development

___ Other _____

17. Program/Project Title: _____

18. Total Project Budget:
19. Total organizational budget (current year).
20. Percentage of budget spent on fundraising (derive from Part IX, 5.D on the organization's 990):
21. Fiscal Year ending date:
22. Summary of the organization's mission (two to three sentences):
23. Summary of the project or grant request (two to three sentences):
24. Who will be served by the project or grant {demographics, direct number served and service area(s)}?

B. BOARD OF DIRECTORS INFORMATION

1. What is the minimum and maximum number of Board members required by your organization's Bylaws?
2. How much **money** did the Board of Directors (your organization's governing board) contribute to the organization in the most recent fiscal year?
3. What percentage of the Board of Directors donated funds?

C. ORGANIZATION AGREEMENT and SIGNATURE

The organization hereby agrees that funds, if granted, will be used only for the purpose described above unless written approval from the grantmaker is received.

Signature of authorized representative

Print Name and Title

Date Application Submitted

MONTEREY COUNTY AREA GRANTMAKERS

Helpful Hints – Preparing a Program/Project Budget

The budget tips and sample provided below are meant to serve as a guide, not a mandatory budget format. Your budget does not have to duplicate this format exactly.

TIPS ON PREPARING A PROJECT BUDGET FOR YOUR GRANT APPLICATION

- Clearly depict how much money is needed and how it will be used
- The budget should include sources (income) and uses (expenses) of funds
- Sources of funds should include names of other funding organizations and amounts pledged or received as well as amounts still needed to be raised and possible sources for those funds
- Indicate the time period covered by the budget
- Indicate if requested expenses are one-time or ongoing
- Make sure that you have accounted for all costs, such as benefits and payroll taxes as well as salaries, so that there will be no surprises mid-project
- Finally, make sure all the numbers add up

SAMPLE BUDGET CATEGORIES

Below is a list of suggested budget categories to use when developing your proposal budget. The clearer you are about where funds are coming from and how they will be used, the easier it will be for the reviewer to assess your financial need.

Sources of Funds

- ✓ Federal/state/local grants or contracts
- ✓ Bequests
- ✓ Corporate and foundation grants
- ✓ United Way and Federated Campaigns
- ✓ Other fundraising, such as individual gifts and special events
- ✓ Other types of income (fees, membership dues, sales of publications or merchandise, etc.)

Uses of Funds (Expenses)

- ✓ Salaries, benefits and payroll taxes
- ✓ Professional or consulting fees
- ✓ Insurance
- ✓ Travel and transportation
- ✓ Supplies, copying, postage
- ✓ Rent and utilities
- ✓ Maintenance
- ✓ Staff training and development
- ✓ Administrative overhead
- ✓ Equipment—non-capitalized (minor)
- ✓ Equipment—capitalized (major)
- ✓ Other services

**MONTEREY COUNTY AREA GRANTMAKERS
 SAMPLE FORMAT FOR A PROGRAM/PROJECT BUDGET**

Organization Name: ABC Nonprofit
 Program Name: Kids and College
 Program Date: 7/1/12– 6/30/13
 Request to: (Name of Funder)

Sources of Funds:						Actual (Leave this column blank until the final grant report)
Income:	(Name of this funder) This Request	Other Proposals	Committed Funds	Program Revenue	TOTAL	
(Name of Funder)	\$25,000				\$25,000	
Foundation A		\$50,000			\$50,000	
Foundation B			\$2,500		\$2,500	
State Grant		\$2,500			\$2,500	
Annual Silent Auction				\$10,000	\$10,000	
Donations from individuals				\$5,000	\$5,000	
	\$25,000	\$52,500	\$2,500	\$15,000	\$95,000	

						Actual (Leave this column blank until the final grant report)
Expenses:	(Name of Funder)	(Other Source)	(Other Source)	Program Revenue	TOTAL	
<u>Ongoing:</u> Salaries:						
Program Manager	\$15,000	\$30,000			\$45,000	
Part-Time Staff 1			\$2,500		\$2,500	
Part-Time Staff 2		\$2,500			\$2,500	
Taxes and Benefits	\$2,000				\$2,000	
Professional Services (Evaluation)				\$5,000	\$5,000	
Supplies		\$5,000			\$5,000	
Travel		\$5,000		\$5,000	\$10,000	
Publications and Postage		\$5,000			\$5,000	
Rent and Utilities				\$4,000	\$4,000	
<u>One-time:</u> Staff Training	\$3,000	\$6,000		\$1,000	\$10,000	
Equipment	\$5,000				\$5,000	
	\$25,000	\$52,500	\$2,500	\$15,000	\$95,000	

MONTEREY COUNTY AREA GRANTMAKERS Information about Evaluation Requirements

Evaluation is a useful tool both to demonstrate the impact that programs are having in the community and to help make improvements in program implementation. As previously stated, applicants submitting requests to Harden Foundation, First 5 Monterey County, and Monterey Peninsula Foundation must contact those grantors directly and ask to have the correct version of evaluation and reporting guidelines and forms e-mailed. Please note the Monterey Peninsula Foundation's Grant Outcome Evaluation Report is available on the foundation's website. Please note the Harden Foundation's Grant Outcome Evaluation Report is available on the foundation's website.

Common Impact Evaluation Reporting Questions

Please retain this page for applications directed to **Community Foundation for Monterey County and United Way Monterey County. At time of reporting**, please write brief responses to each of the following questions, reflecting the experience of the time period you are reporting on.

Time Period _____

1. Of what aspect of your program or project are you most proud and why?
2. What challenges did you face and how did you respond to them?
3. What changes occurred, if any, in personnel, board membership, partners or in organization and how did you respond to them?
4. What were the lessons learned and what do you see as next steps?
5. Please write a brief story (1 to 3 paragraphs) that depicts the success of one of your clients or accomplishments.
6. **(Community Foundation for Monterey County only)** Please update the budget you submitted with the application to show other grants or other funding sources pursued and/or obtained, as well as your actual expenditures for this time period.

The Harden Foundation and the Monterey Peninsula Foundation **do not** require the submission of an Impact Evaluation Plan. Please see the "Evaluation" section on page 4 for the evaluation information that is required as part of the 3-5 page Narrative.

AG Against Hunger

<i>Funding for the Harvest Program addressing the problems of produce surplus and hunger in the Salinas Valley</i>	98226	9/3/2013	\$10,000.00
	9600071		
\$10,000.00			

Agriculture and Land Based Training Association

<i>Funding to support their core farmer development programs in the Salinas Valley</i>	98136	3/14/2013	\$10,000.00
\$10,000.00			

Alisal Center for the Fine Arts, Inc.

<i>Operating support to provide youth arts programs in East Salinas</i>	98057	3/14/2013	\$1,500.00
	9600003		
\$15,000.00			

Alliance on Aging

<i>Operating support to provide services for seniors in Monterey County.</i>	98147	3/14/2013	\$20,000.00
\$30,000.00			
<i>Operating support to provide services for seniors in Monterey County</i>	98147	4/12/2013	\$10,000.00
\$30,000.00			
		<i>Total Alliance on Aging</i>	\$30,000.00
		(2 items)	

Alzheimer's Association/Monterey County Chapter

<i>General operating support.</i>	98191	9/3/2013	\$10,000.00
	9600073		
\$25,000.00			

<i>General operating support</i>	98191	9/12/2013	\$15,000.00
	9600073		
\$25,000.00			
<i>Total Alzheimer's Association/Monterey County Chapter</i> (2 items)			\$25,000.00
American Red Cross Monterey Bay Area Chapter			
<i>Operating support for the Disaster Preparedness</i>	98068	1/31/2013	\$20,000.00
<i>program in Monterey County</i>	9600005		
\$20,000.00			
Animal Friends Rescue Project			
<i>Funding for medical costs and emergency dog</i>	98102	3/14/2013	\$5,000.00
<i>boarding</i>			
\$15,000.00			
<i>Funding for medical costs and emergency dog</i>	98102	4/1/2013	\$6,800.00
<i>boarding</i>			
\$15,000.00			
<i>Funding for medical costs and emergency dog</i>	98102	5/8/2013	\$3,200.00
<i>boarding</i>			
\$15,000.00			
<i>Total Animal Friends Rescue Project</i> (3 items)			\$15,000.00
Ariel Theatrical, Inc.			
<i>Funding for the theater arts programs for Salinas</i>	98232	7/31/2013	\$25,000.00
<i>youth and to replace their aging electrical system</i>	9600075		
\$50,000.00			
<i>Funding for the theater arts programs for Salinas</i>	98232	11/13/2013	\$20,000.00

youth and to replace their aging electrical system

9600075

\$50,000.00

Total Ariel Theatrical, Inc.
(2 items)

\$45,000.00

Aromas Community Center Foundation

Capital funds to help complete Phase II of the A R
Wilson Community Park in Aromas

98212

7/31/2013

\$10,000.00

9600076

\$20,000.00

Capital funds to help complete Phase II of the A.R
Wilson Community Park in Aromas

98212

10/17/2013

\$5,000.00

9600076

\$20,000.00

Total Aromas Community Center Foundation
(2 items)

\$15,000.00

Arts Council for Monterey County

Operating support to provide youth and family arts
programs including Professional Artists in the
Schools

98065

2/11/2013

\$5,000.00

9600007

\$15,000.00

Operating support for the Art & Culture 101
programs, serving students throughout the Salinas
Valley

98241

11/13/2013

\$5,000.00

9600077

\$15,000.00

Total Arts Council for Monterey County
(2 items)

\$10,000.00

Big Sur Land Trust

<i>Funding to support the Co-Creating Outdoor Education for Salinas Valley Youth initiative</i>	98237	7/31/2013	\$15,000.00
	9600078		
\$15,000.00			

Blind and Visually Impaired Center of Monterey County

<i>Operating support to provide services to blind and visually impaired individuals in Monterey County.</i>	98138	4/12/2013	\$10,000.00
\$15,000.00			

<i>Operating support to provide services to blind and visually impaired individuals in Monterey County.</i>	98138	7/1/2013	\$5,000.00
\$15,000.00			

<i>Total Blind and Visually Impaired Center of Monterey County (2 items)</i>			\$15,000.00
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Boys & Girls Club of Monterey County

<i>General operating support</i>	98210	8/15/2013	\$100,000.00
	9600079		
\$100,000.00			

Breathe California

<i>Operating support for the asthma education and tobacco prevention programs in Monterey County</i>	98158	5/8/2013	\$10,000.00
\$10,000.00			

California Agricultural Leadership Foundation

<i>Operating support for the Ag Leadership Program</i>	98184	8/15/2013	\$15,000.00
	9600080		
\$15,000.00			

California International Airshow

Support for transitional funding	98259	5/8/2013	\$100,000.00
\$100,000.00	9600065		
2013 President Club	98266	6/19/2013	\$750 00
\$750 00	9600069		
Total California International Airshow (2 items)			\$100,750.00
Camino Del Arte			
Funding to support their youth arts programs.	98182	8/19/2013	\$5,000.00
\$5,000.00	9600081		
Cancer Patients Alliance			
Funding to support advocating for cancer patients in Monterey County.	98100	5/23/2013	\$10,000.00
\$10,000.00	9600064		
Center for Community Advocacy			
Operating support to develop and strengthen volunteer neighborhood leaders in Monterey County	98121	3/14/2013	\$15,000.00
\$15,000.00			
Central Coast Center for Independent Living			
Operating support to provide independent living skills services for people with disabilities in Monterey County.	98205	9/3/2013	\$30,000.00
\$30,000.00	9600083		

Central Coast HIV/AIDS Services

<i>Operating support for their HIV education, prevention and testing programs</i>	98128	4/12/2013	\$15,000.00
\$15,000.00			

**Central Coast Visiting Nurse Association &
Hospice**

<i>Funding for equipment and training costs</i>	98126	1/22/2013	\$25,000.00
\$25,000.00			

Central Coast YMCA

<i>Operating support for their afterschool enrichment programs</i>	98117	4/1/2013	\$45,000.00
\$45,000.00			

Central Coast Youth Sports Organization, Inc.

<i>Funding for the South County Sports & Community Integration Program in Greenfield.</i>	98251	7/31/2013	
	9600084		
\$10,000.00			

Chartwell School

<i>Operating support to help serve children with specific learning challenges</i>	98114	3/14/2013	\$20,000.00
\$20,000.00			

Children's Hospice & Palliative Care Coalition

<i>Funding to provide direct services for critically ill children and their families in Monterey County</i>	98242	10/17/2013	\$5,000.00
	9600086		
\$20,000.00			

Christine Marie's Star Riders

<i>Funding or their therapeutic horseback riding program for children with special needs at two sites</i>	98175	3/14/2013	\$9,200.00
\$25,000.00			
<i>Funding or their therapeutic horseback riding program for children with special needs at two sites</i>	98175	4/12/2013	\$15,500.00
\$25,000.00			
<i>Total Christine Marie's Star Riders (2 items)</i>			\$24,700.00
Coalition of Homeless Services Providers			
<i>Operating support to coordinate a 10 year plan to prevent and end homelessness in Monterey County</i>	98061	2/28/2013	\$5,000.00
	9600017		
\$5,000.00			
Coastal Kids Home Care			
<i>Funding to provide professional home nursing and social service visits to serviously ill children and their families</i>	98204	8/15/2013	\$10,000.00
	9600088		
\$20,000 00			
<i>Funding to provide professional home nursing and social service visits to serviously ill children and their families.</i>	98204	9/30/2013	\$7,500.00
	9600088		
\$20,000.00			
<i>Funding to provide professional home nursing and social service visits to serviously ill children and their families</i>	98204	10/31/2013	\$2,500.00
	9600088		
\$20,000.00			

<i>Total Coastal Kids Home Care</i>			\$20,000.00
<i>(3 items)</i>			
Colleagues of the Arts			
<i>Funding for their student awards and mentorship program helping students realize their potential in the arts</i>	98060	2/28/2013	\$5,000.00
	9600019		
\$5,000.00			
Community Alliance with Family Farmers			
<i>Funding for the Farm to School program in Greenfield</i>	98220	12/10/2013	\$5,000.00
	9600089		
\$5,000.00			
Community Housing Improvement Systems & Planning Assoc.			
<i>Funding for after-school programs at seven multi-family housing units in South County and Castroville</i>	98223	10/17/2013	\$20,000.00
	9600090		
\$20,000.00			
Community Human Services Project			
<i>Operating support to provide youth and family services in Monterey County.</i>	98161	9/3/2013	\$10,000.00
\$30,000.00			
<i>Operating support to provide youth and family services in Monterey County</i>	98161	12/30/2013	\$10,000.00
\$30,000.00			
<i>Total Community Human Services Project</i>			\$20,000.00

(2 items)

Community Partnership for Youth

<i>Operating support for their After-school Mentor-Tutor programs</i>	98209	8/15/2013	\$12,600.00
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	9600091		
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\$25,000.00

<i>Operating support for their After-school Mentor-Tutor programs</i>	98209	9/30/2013	\$12,400.00
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	9600091		
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\$25,000.00

<i>Total Community Partnership for Youth</i>			\$25,000.00
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(2 items)

Compassionate Care Alliance

<i>Funding to provide resources on end of life issues to Monterey County residents</i>	98228	9/3/2013	\$5,000.00
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	9600092		
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\$8,000.00

Council on Foundation

<i>2014 Membership dues</i>	98344	12/30/2013	\$5,890.00
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	9600130		
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\$5,890.00

Dance Kids of Monterey County

<i>Funding to support the Summer Stage & Theatre Camp and the Folkloric/Latino Nutcracker.</i>	98018	2/28/2013	\$5,000.00
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	9600022		
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\$5,000.00

Door to Hope

<i>Purchase of Poinsettias for the office and house.</i>	98343	11/21/2013	\$227.50
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	9600129		
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\$227.50

Easter Seals Central California

<i>General operating support to provide services in Monterey County</i>	98028	1/31/2013	\$20,000.00
	9600023		
\$20,000.00			

Educational Resources of Monterey County

<i>Operating funds to provide educational programs, activities & events for youth and families in North County</i>	98192	7/31/2013	\$10,000.00
	9600094		
\$20,000.00			

El Camino Real Futbol League

<i>Operating support for training and development costs for their youth soccer programs in Monterey County</i>	98130	5/8/2013	\$10,000.00
\$20,000.00			

<i>Funding to help send the Salinas Pumas team to the US Youth Regional Tournament in Hawaii from June 17-23, 2013.</i>	98263	5/31/2013	\$5,000.00
	9600067		
\$5,000.00			

<i>Operating support for training and development costs for their youth soccer programs in Monterey County</i>	98130	7/1/2013	\$10,000.00
\$20,000.00			

<i>Total El Camino Real Futbol League</i>			\$25,000.00
<i>(3 items)</i>			

El Sistema USA/Salinas, Inc.

<i>Operating support for their arts program</i>	98096	5/8/2013	\$50,000.00
\$50,000 00			

Exploring New Horizons Outdoor Schools

<i>Funding to support a 5-day outdoor education program for 550 Salinas students.</i>	98125	3/14/2013	\$6,000.00
\$10,000.00			

<i>Funding to support a 5-day outdoor education program for 550 Salinas students</i>	98125	12/10/2013	\$4,000.00
\$10,000 00			

<i>Total Exploring New Horizons Outdoor Schools</i>			\$10,000.00
(2 items)			

Family Service Agency of the Central Coast

<i>Operating support to provide suicide prevention education services in Monterey County</i>	98133	7/18/2013	\$25,000.00
\$25,000.00			

First Night Monterey

<i>Operating support for their visual and performing arts programs</i>	98240	12/10/2013	\$5,000.00
	9600095		
\$5,000.00			

Food Bank for Monterey County

<i>Operating support for the Emergency Food Assistance program throughout Monterey County</i>	98131	5/8/2013	\$50,000.00
\$50,000.00			

Foundation for Monterey County Free Libraries

<i>Funding for general operations and homework</i>	98188	10/31/2013	\$30,000.00
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centers throughout Monterey County.

\$30,000.00

9600097

Franciscan Workers of Junipero Serra

*Operating support for Dorothy's Kitchen to
continue to provide meals for homeless individuals*

98052

1/22/2013

\$20,000.00

\$20,000.00

9600028

*Operating support for the Women Alive Emergency
Program and organizational capacity-building*

98233

9/3/2013

\$20,000.00

9600098

*Total Franciscan Workers of Junipero Serra
(2 items)*

\$40,000.00

**Future Citizens Foundation (First Tee of
Monterey)**

*Funding to expand their classes for youth in the
Alisal Union School District*

98103

4/1/2013

\$10,000.00

\$25,000 00

*Funding to expand their classes for youth in the
Alisal Union School District*

98103

7/18/2013

\$15,000:00

\$25,000 00

*Total Future Citizens Foundation (First Tee of Monterey)
(2 items)*

\$25,000.00

Gateway Center of Monterey County, Inc.

*Funding for phase 2 of their residential facility
project serving adults with developmental
disabilities*

98199

7/31/2013

\$20,000.00

\$20,000.00

9600099

Girl Scouts of California's Central Coast

<i>Operating support for the East Salinas Program Center</i>	98144	4/12/2013	\$20,000.00
\$20,000.00			

Girls Inc. of the Central Coast

<i>Operating support for their leadership programs in Monterey County for girls grades 5-12</i>	98031	2/28/2013	
	9600031		
\$15,000 00			

<i>Operating support for the youth leadership programs in Alisal and N Salinas High Schools</i>	98187	9/30/2013	\$15,000.00
	9600100		
\$15,000.00			

<i>Total Girls Inc. of the Central Coast</i>			\$22,500.00
<i>(2 items)</i>			

Gonzales Youth Football

<i>Funding to support youth football activities for South County students</i>	98189	7/31/2013	\$5,000.00
	9600101		
\$5,000.00			

Harmony at Home

<i>Operating support for the Sticks & Stones school based counseling program in Salinas.</i>	98132	1/31/2013	\$6,500.00
\$20,000.00			

<i>Operating support for the Sticks & Stones school based counseling program in Salinas</i>	98132	3/14/2013	\$8,800.00
\$20,000 00			

<i>Operating support for the Sticks & Stones school based counseling program in Salinas.</i>	98132	4/1/2013	\$4,700.00
\$20,000 00			

<i>Total Harmony at Home</i>			\$20,000.00
(3 items)			

Hartnell College Foundation

<i>Funding for the Phase II of the athletics facilities on the Salinas campus</i>	97943	4/12/2013	\$150,000.00
\$250,000 00			

Health Projects Center

<i>Funding to provide supportive services for family caregivers of frail elderly & functionally disabled residents in Monterey County</i>	98196	12/10/2013	\$10,000.00
	9600102		
\$10,000.00			

Healthy Eating Lifestyle Principles, Inc.

<i>Funding for the Afterschool Nutrition Education program at six elementary schools on the Monterey Peninsula</i>	98217	8/15/2013	\$8,000.00
	9600103		
\$8,000.00			

Hope, Horses and Kids

<i>Funding to provide equine learning services for children with special needs and their families in Monterey County</i>	98215	7/31/2013	\$6,750.00
	9600104		
\$6,750.00			

Independent Transportation Network Monterey

County

<i>Funding to provide transportation for seniors and visually impaired adults in the Salinas Valley</i>	98156	1/22/2013	\$15,000 00
\$15,000.00			

Interim, Inc.

<i>Capital funding for a 20 bedroom apartment complex in Marina providing permanent housing for adults with psychiatric disabilities</i>	98148	1/22/2013	\$25,000 00
\$25,000.00			

Jacob's Heart Children's Cancer Association

<i>Funding to provide services to children with cancer and their families in Monterey County.</i>	98134	4/1/2013	\$10,000.00
\$20,000 00			

<i>Funding to provide services to children with cancer and their families in Monterey County</i>	98134	5/31/2013	\$10,000.00
\$20,000.00			

<i>Total Jacob's Heart Children's Cancer Association</i>			\$20,000.00
(2 items)			

Josephine Kernes Memorial Pool

<i>Operating support to provide aquatic therapeutic services for individuals with disabilities</i>	98145	7/31/2013	\$10,000.00
\$10,000.00			

Junior Achievement of Northern California

<i>Funding for their financial literacy, entrepreneurship and workforce readiness programs for students in Monterey County</i>	98151	7/1/2013	\$10,000.00
\$10,000.00			

Kinship Center

<i>Operating support for the Family Ties Relative Care-Giver Program in Monterey County</i>	98239	9/30/2013	\$25,000.00
	9600105		
\$25,000.00			

Legal Services for Seniors

<i>Operating support to provide services for seniors who would not otherwise have access to legal services</i>	98035	1/31/2013	\$25,000 00
	9600037		
\$25,000.00			

Life Is For Everyone, Inc.

<i>Operating support for the after-school homework assistance & tutoring program in East Salinas</i>	98164	5/23/2013	\$15,000.00
\$15,000 00			

Lyceum of Monterey County

<i>Operating support for their enrichment programs for youth throughout Monterey County</i>	98109	3/14/2013	\$20,000.00
\$20,000.00			

Marina Youth Arts, Inc.

<i>Operating support for their after-school arts programs in Marina</i>	98159	12/10/2013	\$10,000.00
\$10,000.00			

Meals on Wheels of the Salinas Valley, Inc.

<i>Operating support to provide home-delivered meals for seniors in the Salinas Valley</i>	98020	1/31/2013	\$10,000.00
	9600038		
\$40,000 00			

<i>Operating support to provide home-delivered meals to frail seniors and disabled adults in Monterey County</i>	98180	9/12/2013	\$20,000.00
\$50,000.00	9600107		
<i>Operating support to provide home-delivered meals to frail seniors and disabled adults in Monterey County</i>	98180	10/17/2013	\$10,000.00
\$50,000.00	9600107		
<i>Operating support to provide home-delivered meals to frail seniors and disabled adults in Monterey County.</i>	98180	11/21/2013	\$10,000.00
\$50,000.00	9600107		
<i>Operating support to provide home-delivered meals to frail seniors and disabled adults in Monterey County</i>	98180	12/30/2013	\$10,000.00
\$50,000 00	9600107		
<i>Total Meals on Wheels of the Salinas Valley, Inc. (5 items)</i>			\$60,000.00
Mee Memorial Hospital Foundation			
<i>Funding to help purchase software for a digital fetal monitoring system to monitor patients and their babies</i>	98122	1/22/2013	\$25,000.00
\$25,000.00			
Monterey Bay Retired Senior Volunteer Program			
<i>Operating support for the senior volunteer program in Monterey County.</i>	98022	4/19/2013	\$3,100.00
\$20,000.00	9600039		

<i>General operating support</i>	98258	12/10/2013	\$20,000.00
	9600108		
\$20,000.00			

<i>Total Monterey Bay Retired Senior Volunteer Program</i>			\$23,100.00
(2 items)			

**Monterey County Agriculture & Rural Life
 Museum**

<i>Funding for the Tour Guide and Docent Program & to upgrade their website</i>	98186	9/30/2013	\$5,000.00
	9600109		
\$5,000.00			

Monterey County Police Activites League

<i>Operating support for their youth programs in North Monterey County.</i>	98167	6/18/2013	\$20,000.00
\$20,000 00			

Monterey County Pops!

<i>Funding to provide pops & patriotic orchestral music performed by professional musicians for families in Monterey County.</i>	98190	7/31/2013	\$5,000.00
	9600110		
\$5,000.00			

Monterey County Rape Crisis Center

<i>Operating support for the Child Safety/Abuse Prevention Program throughout Monterey County.</i>	98244	12/30/2013	\$30,000.00
	9600114		
\$30,000.00			

Monterey County Symphony Association

<i>Funding for general operating support and Music in the Schools Program</i>	98235	9/3/2013	\$40,000.00
	9600111		
\$40,000.00			
Monterey Horse Park			
<i>Funding to help the Environmental Report Stage project.</i>	98091	1/22/2013	\$20,000.00
\$20,000.00			
Monterey Institute for Research in Astronomy			
<i>Funding to purchase equipment and to support their student intern program</i>	98171	1/22/2013	\$10,000.00
\$10,000.00			
Monterey Jazz Festival			
<i>Funding for the in-school Traveling Musicians Program serving over 1,000 students throughout Monterey County</i>	98066	11/13/2013	\$10,000.00
	9600042		
\$10,000.00			
Monterey Museum of Art			
<i>Operating support for their arts education programs</i>	98023	3/14/2013	\$10,000.00
	9600043		
\$10,000.00			
<i>Operating support for their arts education programs</i>	98238	9/12/2013	\$5,000.00
	9600113		
\$5,000.00			
<i>Total Monterey Museum of Art</i>			\$15,000.00

(2 items)

Multiple Sclerosis Quality of Life Project

<i>Funding to provide services to individuals with multiple sclerosis and their families in Monterey County</i>	98142	5/8/2013	\$20,000.00
\$20,000.00			

National Steinbeck Center Foundation

<i>Funding to support a broad-based series of programs and exhibitions</i>	98168	4/19/2013	\$20,000.00
\$50,000.00			

<i>Funding to support a broad-based series of programs and exhibitions</i>	98168	7/18/2013	\$30,000.00
\$50,000.00			

<i>Total National Steinbeck Center Foundation</i>			\$50,000.00
(2 items)			

Natividad Medical Foundation

<i>Funding to purchase an integrated monitoring system for newborns in their operating rooms.</i>	98163	1/22/2013	\$44,000.00
\$44,000.00			

O'Neill Sea Odyssey

<i>Funding to support the ocean-based science and ecology program for 960 Salinas youth</i>	98092	2/28/2013	\$10,000.00
\$10,000.00			

Pacific Grove Art Center Associates, Inc.

<i>Funding for the ArtSmart Program to educate and encourage art programs for children</i>	98198	11/13/2013	\$5,000.00
	9600115		
\$5,000.00			

Pacific Repertory Theatre

Operating support for the School of Dramatic Arts and Tix4Kids programs 98206 9/12/2013 \$5,000.00

9600116
 \$15,000.00

Operating support for the School of Dramatic Arts and Tix4Kids programs. 98206 10/31/2013 \$10,000.00

9600116
 \$15,000.00

Total Pacific Repertory Theatre \$15,000.00
 (2 items)

Partners For Peace

Operating support for the Strengthening Families program working with youth and their families. 98146 3/14/2013 \$10,000.00

\$15,000.00

Operating support for the Strengthening Families program working with youth and their families 98146 4/12/2013 \$5,000.00

\$15,000.00

Total Partners For Peace \$15,000.00
 (2 items)

Planned Parenthood Mar Monte

Operating support for teen pregnancy prevention programs in Monterey County. 98113 2/28/2013 \$20,000.00

\$20,000.00

Project Kindle, Inc.

Funding to offer support to children from Monterey County infected with or affected by HIV/AIDS 98166 2/28/2013 \$5,000.00

including attending an annual camp

\$5,000.00

Prunedale Senior Center, Inc.

<i>Operating support to provide hot meals, recreational activities and transportation for seniors</i>	98150	10/31/2013	\$15,000.00
\$15,000 00			

Public Recreation Unlimited, Inc.

<i>Funding for the construction of a new stadium at the Salinas Sports Complex</i>	97877	5/8/2013	\$166,000.00
\$500,000.00			

<i>Funding for the 2013 Miss California Rodeo Tiara & Buckle</i>	98262	5/23/2013	\$4,350.00
	9600066		
\$4,350 00			

<i>Total Public Recreation Unlimited, Inc. (2 items)</i>			\$170,350.00
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Raising A Reader

<i>Funding to provide books, literacy and parent engagement support to 1,900 children and their families in Monterey County</i>	98225	12/30/2013	\$10,000.00
	9600117		
\$10,000.00			

Rancho Cielo

<i>General operating support</i>	98160	9/12/2013	\$40,000.00
\$40,000.00			

Rebuilding Together

<i>Funding to rehabilitate homes of low-income</i>	98221	7/31/2013	\$10,000.00
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*homeowners and at least one nonprofit facility in
 Monterey County*

\$10,000 00 9600087

Salinas Girls Fast Pitch, Inc.

*Funding to send 4 teams to either regional or
 national tournaments*

\$10,000.00 98341 10/31/2013 \$10,000.00
 9600126

Salinas Jaycees Foundation, Inc.

Funding for the 2013 Children's Shopping Tour.

\$10,000 00 98342 10/31/2013 \$10,000.00
 9600128

Salinas Police Activities League

Funding for the 2013 annual Christmas Toy Drive.

\$10,000.00 98326 10/31/2013 \$10,000.00
 9600127

Salinas Salad Bowl Bobby Sox

*Support to sent 8 teams to the District, Regional
 and the National All-Star tournaments between
 June 28-July 30 2013 in Salinas, Madera and La
 Mirada*

\$4,000.00 98267 7/18/2013 \$4,000.00
 9600070

Salinas Valley Memorial Hospital Foundation

Funding for the Vinci Robotic Surgical Room
 \$500,000 00

97718 1/22/2013 \$100,000.00

Salinas Valley Youth Soccer League

<i>Operating support to help youth participate in soccer activities in Salinas and throughout Monterey County</i>	98069	1/22/2013	\$5,000.00
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	9600051		
\$15,000.00			

<i>Operating support to help youth participate in soccer activities in Salinas and throughout Monterey County</i>	98069	6/18/2013	\$5,000.00
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	9600051		
\$15,000.00			

<i>Total Salinas Valley Youth Soccer League</i>			\$10,000.00
<i>(2 items)</i>			

Salvation Army Service Extension

<i>Funding to provide services for families in South Monterey County</i>	98101	2/28/2013	\$20,000.00
\$20,000.00			

Salvation Army-Salinas Corps.

<i>Operating support for the Kids Cafe After-School program and Summer Day Camp for Salinas youth</i>	98077	7/1/2013	\$10,000.00
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	9600053		
\$10,000.00			

Second Chance Youth Program

<i>Matching funds for the Street Outreach Services in Salinas project</i>	98054	4/19/2013	\$2,000.00
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	9600054		
\$25,000.00			

Seniors Council of Santa Cruz and San Benito

County

<i>Operating support for the Foster Grandparent Program in Monterey County</i>	98153	7/31/2013	\$25,000.00
\$25,000.00			

Shelter Outreach Plus

<i>Funding for the Interfaith Homeless Emergency Lodging Program for homeless men in Salinas</i>	98224	10/31/2013	\$15,000.00
	9600118		
\$15,000.00			

**Society for the Prevention of Cruelty to
Animals-SPCA**

<i>Funds for their capital project to renovate and expand the animal support areas</i>	97443	1/22/2013	\$50,000.00
\$250,000.00			

Sol Treasures, Inc.

<i>Funding to support their art, music & theater classes, events and workshops.</i>	98149	4/1/2013	\$7,000.00
\$10,000.00			

<i>Funding to support their art, music & theater classes, events and workshops</i>	98149	5/23/2013	\$3,000.00
\$10,000.00			

<i>Total Sol Treasures, Inc. (2 items)</i>			\$10,000.00
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**Soledad Community Health Care District
Foundation**

<i>Capital funding to upgrade diagnostic equipment at the Soledad Medical Clinic.</i>	98162	1/22/2013	\$20,000.00
\$20,000.00			

Special Kids Crusade, Inc.

<i>Funding for the Interagency Autism Consortium Screening Project</i>	98195	7/31/2013	\$15,000.00
	9600119		
\$15,000.00			

SpectorDance

<i>Funding to offer extensive ocean educational programs & a multidisciplinary dance project for Monterey County students</i>	98082	1/22/2013	\$10,000.00
	9600056		
\$10,000.00			

<i>Funding for a multidisciplinary performance initiative about gang violence in collaboration with Rancho Cielo and National Steinbeck Center</i>	98253	9/3/2013	\$10,000.00
	9600120		
\$10,000.00			

<i>Total SpectorDance (2 items)</i>			\$20,000.00
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Sunrise House

<i>Operating support to provide crisis intervention, drug intervention & prevention, and education services for youth and families in the Salinas Valley</i>	98227	9/12/2013	\$20,000.00
	9600121		
\$20,000.00			

United Way of Monterey County

<i>Funding for the Volunteer Center and the 2-1-1 Program, connecting families to services available in the community</i>	98219	9/12/2013	\$15,000.00
	9600122		
\$15,000.00			

University Corporation at Monterey Bay

<i>Funding to support the Return of the Natives environmentally based habitat restoration programs for Salinas youth.</i>	98116	2/28/2013	\$10,000.00
\$10,000.00			

Valley Guild

<i>Funding to replace their water heater and all plumbing required.</i>	98260	5/31/2013	\$5,000.00
	9600068		
\$5,000.00			

Ventana Wildlife Society

<i>Funding for their education and outreach program for youth throughout Monterey County.</i>	98112	7/31/2013	\$20,000.00
\$20,000.00			

Veterans Transition Center of Monterey County

<i>Funding for the Coming Home Program, providing services to homeless veterans and their families.</i>	98181	9/3/2013	\$20,000.00
	9600123		
\$20,000.00			

Voices for Children - CASA

<i>Operating support to serve abused or neglected children in Monterey County.</i>	98097	3/14/2013	\$5,000.00
\$30,000.00			
<i>Operating support to serve abused or neglected children in Monterey County</i>	98097	4/1/2013	\$5,900.00
\$30,000.00			

<i>Operating support to serve abused or neglected children in Monterey County</i>	98097	4/19/2013	\$7,125.00
\$30,000.00			
<i>Operating support to serve abused or neglected children in Monterey County</i>	98097	5/8/2013	\$11,975.00
\$30,000.00			
<i>Total Voices for Children - CASA (4 items)</i>			\$30,000.00
Young Life Monterey County			
<i>Operating support for their youth programs</i>	98143	1/22/2013	\$10,000.00
\$20,000.00			
<i>Operating support for their youth programs</i>	98143	4/19/2013	\$10,000.00
\$20,000.00			
<i>Total Young Life Monterey County (2 items)</i>			\$20,000.00
Youth Arts Collective			
<i>Operating support to continue their after-school youth arts programs</i>	98193	9/30/2013	\$10,000.00
	9600124		
\$10,000.00			
Youth Music Monterey			
<i>Operating support for the Junior Youth and Honors Orchestras & South County Strings.</i>	98085	2/28/2013	\$15,000.00
	9600062		
\$15,000.00			
Grand Total (160 items)			\$2,673,767.50