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## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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► Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

2013

For calendar year 2013 or tax year beginning

, and ending

Name of foundation		A Employer identification number			
FREITAS FOUNDATION		94-6068174			
Number and street (or P O box number if mail is not delivered to street address) <b>19 PALM AVENUE</b>		Room/suite			
City or town, state or province, country, and ZIP or foreign postal code <b>SAN RAFAEL, CA 94901</b>		C If exemption application is pending, check here ► <input type="checkbox"/>			
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here ► <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/>			
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/>			
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ <b>3,514,753.</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/>			
<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		4,350.		N/A	
2 Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B Interest on savings and temporary cash investments		13.	13.		STATEMENT 1
3 Dividends and interest from securities		49,020.	49,020.		STATEMENT 2
5a Gross rents b Net rental income or (loss)		73,426.			
6a Net gain or (loss) from sale of assets not on line 10 Gross sales price for all assets on line 6a b 852,038.					
7 Capital gain net income (from Part IV, line 2)		73,426.			
8 Net short-term capital gain					
9 Income modifications Gross sales less returns and allowances					
10a Less Cost of goods sold b Gross profit or (loss)					
11 Other income					
12 Total Add lines 1 through 11		126,809.	122,459.		
13 Compensation of officers, directors, trustees, etc		2,100.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees b Accounting fees c Other professional fees					
17 Interest					
18 Taxes		6,530.	553.		5,147.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		500.	0.		500.
22 Printing and publications					
23 Other expenses		36,104.	34,184.		1,920.
24 Total operating and administrative expenses Add lines 13 through 23		45,234.	34,737.		7,567.
25 Contributions, gifts, grants paid		423,200.			423,200.
26 Total expenses and disbursements. Add lines 24 and 25		468,434.	34,737.		430,767.
27 Subtract line 26 from line 12: a Excess of revenue over expenses and disbursements b Net investment income (if negative, enter -0-) c Adjusted net income (if negative, enter -0-)		-341,625.	87,722.	N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
1	Cash - non-interest-bearing		278,572.	27,128.	27,128.
2	Savings and temporary cash investments		114,001.	876,238.	876,238.
3	Accounts receivable ►				
	Less: allowance for doubtful accounts ►				
4	Pledges receivable ►				
	Less: allowance for doubtful accounts ►				
5	Grants receivable				
6	Receivables due from officers, directors, trustees, and other disqualified persons				
7	Other notes and loans receivable ►				
	Less: allowance for doubtful accounts ►				
8	Inventories for sale or use				
9	Prepaid expenses and deferred charges				
10a	Investments - U.S. and state government obligations	STMT 5			
b	Investments - corporate stock		2,217,861.	556,921.	887,345.
c	Investments - corporate bonds				
11	Investments - land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
12	Investments - mortgage loans				
13	Investments - other	STMT 6	177,846.	986,890.	1,246,643.
14	Land, buildings, and equipment: basis ►		477,399.		
	Less accumulated depreciation	STMT 7		477,399.	477,399.
15	Other assets (describe ► )				
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		3,265,679.	2,924,576.	3,514,753.
17	Accounts payable and accrued expenses				522.
18	Grants payable				
19	Deferred revenue				
20	Loans from officers, directors, trustees, and other disqualified persons				
21	Mortgages and other notes payable				
22	Other liabilities (describe ► )				
23	Total liabilities (add lines 17 through 22)		0.		522.
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ► <input checked="" type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	3,021,014.	2,915,042.	
	25	Temporarily restricted	244,665.	9,012.	
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► <input type="checkbox"/>				
	and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances		3,265,679.	2,924,054.	
31	Total liabilities and net assets/fund balances		3,265,679.	2,924,576.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,265,679.
2	Enter amount from Part I, line 27a	2	-341,625.
3	Other increases not included in line 2 (itemize) ►	3	0.
4	Add lines 1, 2, and 3	4	2,924,054.
5	Decreases not included in line 2 (itemize) ►	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,924,054.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a MORGAN STANLEY A/C # 528-106987-502 LT SALES</b>			P	VARIOUS	VARIOUS
<b>b MORGAN STANLEY A/C # 528-106987-502 ST SALES</b>			P	VARIOUS	VARIOUS
<b>c CAPITAL GAINS DIVIDENDS</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a 73,484.</b>		<b>65,237.</b>		<b>8,247.</b>	
<b>b 778,394.</b>		<b>713,375.</b>		<b>65,019.</b>	
<b>c 160.</b>				<b>160.</b>	
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(I) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
<b>a</b>					<b>8,247.</b>
<b>b</b>					<b>65,019.</b>
<b>c</b>					<b>160.</b>
<b>d</b>					
<b>e</b>					
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2		<b>73,426.</b>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			3		<b>N/A</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	<b>107,336.</b>	<b>2,813,992.</b>	<b>.038144</b>
2011	<b>135,311.</b>	<b>2,909,630.</b>	<b>.046505</b>
2010	<b>131,035.</b>	<b>2,878,313.</b>	<b>.045525</b>
2009	<b>102,200.</b>	<b>2,520,524.</b>	<b>.040547</b>
2008	<b>170,552.</b>	<b>3,358,620.</b>	<b>.050780</b>

2 Total of line 1, column (d)

2 **.221501**

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3 **.044300**

4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5

4 **3,009,992.**

5 Multiply line 4 by line 3

5 **133,343.**

6 Enter 1% of net investment income (1% of Part I, line 27b)

6 **877.**

7 Add lines 5 and 6

7 **134,220.**

8 Enter qualifying distributions from Part XII, line 4

8 **430,767.**If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**1a Exempt operating foundations described in section 4940(d)(2), check here ►  and enter "N/A" on line 1.

Date of ruling or determination letter: \_\_\_\_\_ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ►  and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments:

a 2013 estimated tax payments and 2012 overpayment credited to 2013

6a 307.

b Exempt foreign organizations - tax withheld at source

6b

c Tax paid with application for extension of time to file (Form 8868)

6c

d Backup withholding erroneously withheld

6d

7 Total credits and payments. Add lines 6a through 6d

7 307.

8 Enter any penalty for underpayment of estimated tax. Check here  if Form 2220 is attached

8 5.

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

9 575.

10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

10

11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ►

Refunded ►

11

**Part VII-A Statements Regarding Activities**

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

Yes

No

1a X

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?

1b X

*If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*

c Did the foundation file Form 1120-POL for this year?

1c X

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

(1) On the foundation. ► \$ 0. (2) On foundation managers. ► \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0.

2 X

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

*If "Yes," attach a detailed description of the activities*

3 X

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*

4a X

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4b

b If "Yes," has it filed a tax return on Form 990-T for this year?

5 X

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

*If "Yes," attach the statement required by General Instruction T.*

6 X

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

7 X

- By language in the governing instrument, or
- By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*

8a Enter the states to which the foundation reports or with which it is registered (see instructions) ►

8b X

CA

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*

9 X

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*

10 X

10 Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	<input checked="" type="checkbox"/>
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	<input checked="" type="checkbox"/>
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	<input checked="" type="checkbox"/>
Website address ► <u>N/A</u>			
14	The books are in care of ► <u>DAVID FREITAS</u> Located at ► <u>19 PALM DRIVE, SAN RAFAEL, CA</u>	Telephone no. ► <u>(415) 453-9433</u> ZIP+4 ► <u>94901</u>	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	<input type="checkbox"/>
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A ► <input type="checkbox"/>	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	<input checked="" type="checkbox"/>
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(l)(5)): a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ► _____, _____, _____, _____, _____ b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____, _____	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No N/A	
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3b	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4a 4b	<input checked="" type="checkbox"/>

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?
- (3) Provide a grant to an individual for travel, study, or other similar purposes?
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

 Yes  No Yes  No Yes  No Yes  No Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

► 

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

 Yes  No

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

 Yes  No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

 Yes  No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

 Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

 Yes  No

N/A

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		2,100.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

►

0

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**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
<b>NONE</b>		

**Total number of others receiving over \$50,000 for professional services**

**0**
**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

**Expenses**

1	<b>N/A</b>	
2		
3		
4		

**Part IX-B Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

**Amount**

1	<b>N/A</b>	
2		
3		
<b>Total. Add lines 1 through 3</b>		<b>0.</b>

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**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions )

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,082,304.
b	Average of monthly cash balances	1b	884,838.
c	Fair market value of all other assets	1c	88,687.
d	<b>Total</b> (add lines 1a, b, and c)	1d	3,055,829.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,055,829.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	45,837.
5	<b>Net value of noncharitable-use assets</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,009,992.
6	<b>Minimum investment return</b> Enter 5% of line 5	6	150,500.

**Part XI** **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	150,500.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	877.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	877.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	149,623.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	149,623.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	149,623.

**Part XII** **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	430,767.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	430,767.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	877.
6	<b>Adjusted qualifying distributions</b> Subtract line 5 from line 4	6	429,890.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				149,623.
2 Undistributed income, if any, as of the end of 2013			140,058.	
a Enter amount for 2012 only				
b Total for prior years: <b>2011</b>		<b>10,848.</b>		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e		0.		
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ <b>430,767.</b>			140,058.	
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)		0.		
d Applied to 2013 distributable amount				149,623.
e Remaining amount distributed out of corpus		<b>141,086.</b>		0.
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))		0.		
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	<b>141,086.</b>			
b Prior years' undistributed income. Subtract line 4b from line 2b		<b>10,848.</b>		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			<b>10,848.</b>	
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.	
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.				0.
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)		0.		
8 Excess distributions carryover from 2008 not applied on line 5 or line 7		0.		
9 <b>Excess distributions carryover to 2014.</b> Subtract lines 7 and 8 from line 6a		<b>141,086.</b>		
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013		<b>141,086.</b>		

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

**b** Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

**Part XV** **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

## 1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**NONE**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

## 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a. The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b. The form in which applications should be submitted and information and materials they should include:

**c. Any submission deadlines:**

d. Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

## Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
BEYOND HUNGER PO BOX 151148 <u>SAN RAFAEL, CA 94901</u>	NONE	PUBLIC CHARITY	FREEDOM FROM THE OBSESSION WITH FOOD AND WEIGHT	5,000.
BOLINAS COMMUNITY CENTER P.O. BOX 122 <u>BOLINAS, CA 94924</u>	NONE	PUBLIC CHARITY	COMMUNITY SUPPORT	5,000.
CASA ALLEGRA 35 MITCHELL BLVD STE 8 <u>SAN RAFAEL, CA 94903</u>	NONE	PUBLIC CHARITY	COMMUNITY SUPPORT	10,000.
COMMUNITY INSTITUTE OF PSYCHOTHERAPY 1330 LINCOLN AVE <u>SAN RAFAEL, CA 94901</u>	NONE	PUBLIC CHARITY	MEDICAL HELP FOR THE NEEDY	15,000.
MARIN FOOD BANK 75 DIGITAL DR. <u>NOVATO, CA 94949</u>	NONE	PUBLIC CHARITY	FOOD FOR THE NEEDY	7,500.
<b>Total</b>	<b>SEE CONTINUATION SHEET(S)</b>			► 3a 423,200.
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>				► 3b 0.

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		122,459.	0.
13 Total Add line 12, columns (b), (d), and (e)				13	122,459.
(See worksheet in line 13 instructions to verify calculations.)					

## **Relationship of Activities to the Accomplishment of Exempt Purposes**



## FREITAS FOUNDATION

94-6068174

## Part XV. Supplementary Information

## 3. Grants and Contributions Paid During the Year (Continuation)

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MARIN WALDORF SCHOOL 755 IDYLBERRY ROAD SAN RAFAEL, CA 94903	NONE	PUBLIC CHARITY	EDUCATION PROGRAMS	3,000.
NORTHGATE ATHLETIC BOOSTERS 425 CASTLE ROCK ROAD WALNUT CREEK, CA 94598	NONE	PUBLIC CHARITY	EDUCATION PROGRAMS	5,000.
SAN GERONIMO VALLEY COMMUNITY CENTER PO BOX 996 WOODACRE, CA 94901	NONE	PUBLIC CHARITY	COMMUNITY GYM FOR CHILDREN	7,500.
ST. MARY'S COLLEGE 1928 SAINT MARY'S ROAD MORAGA, CA 94556	NONE	PUBLIC CHARITY	EDUCATION PROGRAMS	2,000.
ST. PHILIP'S FOOD 5038 HYLAND AVE SAN JOSE, CA 95127	NONE	PUBLIC CHARITY	COMMUNITY SUPPORT	15,000.
ST. RAPHAEL'S CHURCH 1100 FIFTH AVE SAN RAFAEL, CA 94901	NONE	PUBLIC CHARITY	COMMUNITY SUPPORT	1,200.
ST. RAPHAEL'S SCHOOL 1100 FIFTH AVE SAN RAFAEL, CA 94901	NONE	PUBLIC CHARITY	EDUCATION PROGRAMS	314,000.
USF LAW SCHOOL 2130 FULTON ST SAN FRANCISCO, CA 94117	NONE	PUBLIC CHARITY	EDUCATION PROGRAMS	5,000.
WEST MARIN COMMUNITY SERVICES 555 NORTHGATE DRIVE SAN RAFAEL, CA 94903	NONE	PUBLIC CHARITY	COMMUNITY SUPPORT	15,000.
MARIN LITERACY PROGRAM P.O. BOX 151080 SAN RAFAEL, CA 94915	NONE	PUBLIC CHARITY	EDUCATION PROGRAM	1,500.
Total from continuation sheets				380,700.

## FREITAS FOUNDATION

94-6068174

## Part XV Supplementary Information

### 3. Grants and Contributions Paid During the Year (Continuation)

**Total from continuation sheets**

323631  
05-01-13

# Morgan Stanley

## Corporate Tax Statement Tax Year 2013

FREITAS FOUNDATION  
DAVID P FREITAS  
19 PALM AVENUE  
SAN RAFAEL CA 94901-2221

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: 94-0668174  
Account Number: 528 109987 502  
Customer Service: 866-324-6088

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

#### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Short Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8849 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1e)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE ALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
FINISH LINE INC CL A	CUSIP: 317823100	Symbol (Box 1d): FINL	28.000 12/12/12 03/05/13	\$505.94	\$527.09	\$0.00	\$(-21.15)	\$0.00
			1,535.000 12/12/12 03/25/13	\$27,400.51	\$28,895.61	\$0.00	\$(-1,495.10)	\$0.00
<b>Total Short Term Covered Securities</b>			<b>\$27,906.45</b>	<b>\$29,422.70</b>	<b>\$0.00</b>	<b>\$(-1,516.25)</b>	<b>\$0.00</b>	<b>\$0.00</b>

**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

# Morgan Stanley

## Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004

FREITAS FOUNDATION  
DAVID P FREITAS  
19 PALM AVENUE  
SAN RAFAEL CA 94901-2221

Identification Number: 26-4310632  
Taxpayer ID Number: 94-6068174  
Account Number: 528 106987 502

Customer Service: 866-324-6088

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

#### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities <sup>#</sup> (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8849 Part I with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1e)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	QAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)
EATON CORP PLC SHS	CUSIP: G29183103	Symbol (Box 1d): ETN						
	251,000	12/03/12	03/05/13	\$16,768.59	\$12,865.41	\$0.00	\$2,803.18	\$0.00
	444,000	12/03/12	08/13/13	\$29,883.50	\$22,735.84	\$0.00	\$6,947.56	\$0.00
Security Subtotal	695,000			\$46,452.09	\$35,101.35	\$0.00	\$9,750.74	\$0.00
TELEFONICA SA ADR	9,000	05/17/12	03/05/13	\$126.27	\$112.50	\$0.00	\$12.77	\$0.00
Total Short Term Noncovered Securities				\$45,577.36	\$35,813.85	\$0.00	\$9,763.51	\$0.00
Total Short Term Covered and Noncovered Securities				\$73,483.81	\$65,236.55	\$0.00	\$8,247.26	\$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

104951 MS130A01 645138

# Morgan Stanley

## Corporate Tax Statement Tax Year 2013

FREITAS FOUNDATION  
DAVID P FREITAS  
19 PALM AVENUE  
SAN RAFAEL CA 94901-2221

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: 94-6068174  
Account Number: 528 108987 502  
Customer Service: 866-324-6088

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

#### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities \* (Consider Box 8a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
AMERICAN EXPRESS CO	CUSIP: 025816109	Symbol (Box 1d): AXP	03/05/13	\$19,374.34	\$13,495.10	\$0.00	\$5,939.24	\$0.00
APPLE INC	CUSIP: 037833100	Symbol (Box 1d): AAPL	03/05/13	\$7,757.46	\$3,523.18	\$0.00	\$4,234.28	\$0.00
AT&T INC	CUSIP: 002026R102	Symbol (Box 1d): T	02/02/10	\$11,683.81	\$8,833.25	\$0.00	\$2,730.56	\$0.00
AVNET INC	CUSIP: 053807103	Symbol (Box 1d): AVT	03/05/13	\$7,159.77	\$6,831.89	\$0.00	\$257.88	\$0.00
BANK OF AMERICA CORP	CUSIP: 060506104	Symbol (Box 1d): BAC	03/05/13	\$2,389.00	\$27,557.72	\$27,737.44	\$0.00	(\$179.72)
CELCENE CORP	CUSIP: 151020104	Symbol (Box 1d): CELG	04/04/11	\$24,188.85	\$14,044.50	\$0.00	\$10,142.45	\$0.00
CENTURYLINK INC	CUSIP: 155700106	Symbol (Box 1d): CTL	11/18/10	\$89,000	\$3,156.75	\$3,636.24	\$0.00	(\$479.46)
CHEVRON CORP	CUSIP: 166764100	Symbol (Box 1d): CVX	04/29/11	\$102,000	\$11/23/10	\$12,048.44	\$8,310.84	\$0.00
CONS DISCRET SEL SECT SPDR FD	CUSIP: 813697407	Symbol (Box 1d): XLY	03/05/13	773,000	\$40,113.24	\$27,751.40	\$0.00	\$12,361.84
CONS STAPLES SEL SECT SPDR FD	CUSIP: 813697308	Symbol (Box 1d): XLP	03/05/13	1,346,000	\$51,887.50	\$42,422.56	\$0.00	\$9,574.94

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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# Morgan Stanley

## Corporate Tax Statement Tax Year 2013

FREITAS FOUNDATION  
DAVID P FREITAS  
19 PALM AVENUE  
SAN RAFAEL CA 94901-2221

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: 94-6068174  
Account Number: 528 108987 502  
Customer Service: 866-324-6088

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

#### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 8a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE SALE (Box 1a)	SALES PRICE (Box 2e)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CSX CORP	510,000	01/28/11	03/05/13	\$12,026.75	\$11,877.83	\$0.00	\$159.92	\$0.00
CVS CAREMARK CORP	289,000	11/23/10	03/05/13	\$15,052.08	\$8,862.88	\$0.00	\$6,189.20	\$0.00
DOW CHEMICAL CO	200,000	08/23/11	03/05/13	\$8,484.51	\$5,145.92	\$0.00	\$1,339.59	\$0.00
ENERGY SEL SECT SPDR FD	151,000	11/23/10	03/05/13	\$11,818.85	\$9,346.48	\$0.00	\$2,472.37	\$0.00
FEDEX CORP	117,000	01/28/11	03/05/13	\$12,688.36	\$10,794.77	\$0.00	\$1,953.59	\$0.00
GOLDMAN SACHS GRP INC	180,000	04/16/10	03/05/13	\$24,733.86	\$26,614.40	\$0.00	(\$1,880.54)	\$0.00
HEALTH CARE SEL SECT SPDR FD	389,000	11/23/10	03/05/13	\$17,720.89	\$12,243.03	\$0.00	\$5,477.86	\$0.00
HOME DEPOT INC	378,000	11/23/10	03/05/13	\$26,540.03	\$11,698.72	\$0.00	\$14,841.31	\$0.00
HONEYWELL INTERNATIONAL INC	16,000	04/09/08	03/05/13	\$1,129.78	\$943.33	\$0.00	\$186.45	\$0.00
	184,000	07/21/06	03/05/13	\$13,688.61	\$8,732.73	\$0.00	\$3,905.88	\$0.00
Security Subtotal	210,000			\$14,828.38	\$10,756.06	\$0.00	\$4,072.33	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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# Morgan Stanley

## Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004

FREITAS FOUNDATION  
DAVID P FREITAS  
19 PALM AVENUE  
SAN RAFAEL CA 94901-2221

Identification Number: 26-4310632  
Taxpayer ID Number: 94-6068174  
Account Number: 528 106987 502  
Customer Service: 866-324-6088

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

#### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities \* (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
(Continued)

DESCRIPTION (Box 6)	QUANTITY (Box 8)	DATE ACQUIRED (Box 1e)	DATE SALE (Box 1b)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
INDUSTRIAL SEL SEC SPDR FD	286,000	11/23/10	03/05/13	\$11,857.95	\$9,215.21	\$0.00	\$2,642.74	\$0.00
INTL BUSINESS MACHINES CORP	51,000	08/27/08	03/05/13	\$10,572.06	\$6,377.35	\$0.00	\$4,194.71	\$0.00
IShares MSCI EAFE FUND	668,000	12/30/11	03/05/13	\$33,538.67	\$28,165.94	\$0.00	\$5,354.73	\$0.00
	388,000	12/30/11	03/05/13	\$23,459.38	\$19,713.30	\$0.00	\$3,745.48	\$0.00
<u>Security Subtotal</u>	<u>967,000</u>			<u>\$56,988.05</u>	<u>\$47,887.34</u>	<u>\$0.00</u>	<u>\$8,100.21</u>	<u>\$0.00</u>
IShares MSCI EMERGING MKTS FD	152,000	12/30/11	03/05/13	\$6,612.20	\$5,789.18	\$0.00	\$823.02	\$0.00
	154,000	12/30/11	03/05/13	\$6,689.20	\$5,865.95	\$0.00	\$833.85	\$0.00
<u>Security Subtotal</u>	<u>306,000</u>			<u>\$13,311.40</u>	<u>\$11,654.53</u>	<u>\$0.00</u>	<u>\$1,656.87</u>	<u>\$0.00</u>
IShares NASDAQ BIOTECH FUND	94,000	11/23/10	03/05/13	\$14,382.87	\$8,288.17	\$0.00	\$6,074.70	\$0.00
JPMORGAN CHASE & CO	474,000	11/23/10	03/05/13	\$23,657.90	\$17,939.93	\$0.00	\$5,723.97	\$0.00
MACYS INC	142,000	11/23/10	03/05/13	\$5,931.92	\$3,1676.10	\$0.00	\$2,255.82	\$0.00
	152,000	04/29/11	03/05/13	\$6,556.63	\$3,752.05	\$0.00	\$2,806.48	\$0.00
<u>Security Subtotal</u>	<u>299,000</u>			<u>\$12,481.55</u>	<u>\$7,428.15</u>	<u>\$0.00</u>	<u>\$5,062.30</u>	<u>\$0.00</u>
MARATHON OIL CO	197,000	02/28/08	03/05/13	\$6,430.38	\$6,449.09	\$0.00	(\$1871)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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# Morgan Stanley

## Corporate Tax Statement Tax Year 2013

FFREITAS FOUNDATION  
DAVID P FREITAS  
19 PALM AVENUE  
SAN RAFAEL CA 94901-2221

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004

Identification Number: 26-4310632

Taxpayer ID Number: 94-6068174  
Account Number: 528 106987 502

Customer Service: 866-324-6098

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

#### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities \* (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
MARATHON PETROLEUM CORP	258,000	02/28/08	03/05/13	\$22,692.29	\$11,426.55	\$0.00	\$11,265.74	\$0.00
MATERIALS SEL SECT SPDR FD	438,000	11/23/10	03/05/13	\$17,109.86	\$16,222.56	\$0.00	\$1,887.30	\$0.00
MORGAN STANLEY								
CLISIP: 617446446	300,000	11/30/06	03/05/13	\$8,872.88	\$18,118.77	\$0.00	(\$12,245.89)	\$0.00
CLISIP: 61369Y100	1,000,000	11/30/06	03/05/13	\$22,909.58	\$84,223.37	\$0.00	(\$41,313.79)	\$0.00
CLISIP: 61369Y100	700,000	01/10/07	03/05/13	\$16,036.71	\$47,155.59	\$0.00	(\$31,118.88)	\$0.00
CLISIP: 61369Y100	500,000	05/31/07	03/05/13	\$11,454.29	\$35,779.85	\$0.00	(\$24,325.07)	\$0.00
Security Subtotal	2,500,000			\$57,273.86	\$166,277.59	\$0.00	(\$109,993.59)	\$0.00
MYLAN INC								
CLISIP: 628580107	1,367,000	09/28/10	02/28/13	\$41,253.35	\$26,071.43	\$0.00	\$15,181.92	\$0.00
CLISIP: 628580107	100,000	06/24/11	02/28/13	\$31,017.80	\$2,285.30	\$0.00	\$732.50	\$0.00
Security Subtotal	1,467,000			\$44,271.15	\$28,356.73	\$0.00	\$15,814.42	\$0.00
NATIONAL OILWELL VARCO INC								
CLISIP: 637071101	2,000	09/03/08	03/05/13	\$134.59	\$125.43	\$0.00	\$8.16	\$0.00
CLISIP: 637071101	8,000	11/23/10	03/05/13	\$744.10	\$786.44	\$0.00	(\$42.34)	\$0.00
OCCIDENTAL PETROLEUM CORP DE								
CLISIP: 674589106	8,000	04/28/11	03/05/13	\$10,313.49	\$10,108.08	\$0.00	\$205.41	\$0.00
CLISIP: 674589106	107,000	04/28/11	08/13/13	\$24,267.19	\$21,633.17	\$0.00	\$2,634.02	\$0.00
PARKER HANNIFIN CORP								
CLISIP: 701094104	107,000	04/28/11	03/05/13	\$10,313.49	\$10,108.08	\$0.00	\$205.41	\$0.00
CLISIP: 701094104	229,000	04/28/11	08/13/13	\$24,267.19	\$21,633.17	\$0.00	\$2,634.02	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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# Morgan Stanley

## Corporate Tax Statement Tax Year 2013

FREITAS FOUNDATION  
DAVID P FREITAS  
19 PALM AVENUE  
SAN RAFAEL CA 94901-2221

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004

Identification Number: 26-4310632

Taxpayer ID Number: 94-6068174  
Account Number: 528 106987 502

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

#### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities \* (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1b)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE ALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 6)	FEDERAL INCOME TAX WITHHELD (Box 4)
PARKER HANNIFIN CORP	11,000.00	05/10/11	08/13/13	\$1,165.87	\$987.47	\$0.00	\$1,178.20	\$0.00
Security Subtotal	58,000.00	06/24/11	08/13/13	\$6,146.28	\$4,853.87	\$0.00	\$1,187.41	\$0.00
STATE STREET CORP	405,000.00	CLISIP: 857477103	Symbol (Box 1d): STT	\$41,882.63	\$37,687.59	\$0.00	\$4,205.04	\$0.00
THE FINANCIAL SEL SECT SPDR FD	527,000.00	11/23/10	03/05/13	\$30,430.45	\$22,214.89	\$0.00	\$8,215.56	\$0.00
THE TECHNOLOGY SEL SEC SPDR FD	1,177,000.00	11/23/10	03/05/13	\$21,214.36	\$17,017.18	\$0.00	\$4,197.18	\$0.00
UNION PACIFIC CORP	75,000.00	04/28/11	03/05/13	\$2,262.89	\$2,003.06	\$0.00	\$259.83	\$0.00
UTILITIES SEL SECT SPDR FUND	108,000.00	08/19/11	03/05/13	\$15,062.42	\$9,645.26	\$0.00	\$5,417.16	\$0.00
	535,000.00	04/29/11	03/05/13	\$20,400.05	\$17,701.33	\$0.00	\$2,698.72	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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# Morgan Stanley

## Corporate Tax Statement Tax Year 2013

FREITAS FOUNDATION  
DAVID P FREITAS  
19 PALM AVENUE  
SAN RAFAEL CA 94901-2221

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities\* (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8849 Part II with box E checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 6)	FEDERAL INCOME TAX WITHHELD (Box 4)
VERIZON COMMUNICATIONS	175,000	11/23/10	03/05/13	\$8,276.19	\$5,679.07	\$0.00	\$2,597.12	\$0.00
Total Long Term Noncovered Securities				\$778,393.60	\$713,374.54	\$0.00	\$65,019.06	\$0.00
Total Short and Long Term, Covered and Noncovered Securities				\$851,977.41	\$778,611.09	\$0.00	\$73,266.32	\$0.00
<b>Form 1099-B Total Reportable Amounts</b>								
Total Sales Price (Box 2a)				<b>\$851,977.41</b>				
Total Cost or Other Basis (Box 3)					<b>\$29,422.70</b>			
Total Wash Sale Loss Disallowed (Box 5)						<b>\$0.00</b>		
Total Fed Tax Withheld (Box 4)							<b>\$0.00</b>	

\* Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.

**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
WELLS FARGO BANK	13.	13.	
TOTAL TO PART I, LINE 3	13.	13.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MORGAN STANLEY A/C # 528-106987-502	49,020.	0.	49,020.	49,020.	
MORGAN STANLEY A/C # 528-106987-502	160.	160.	0.	0.	
TO PART I, LINE 4	49,180.	160.	49,020.	49,020.	

## FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	553.	553.		0.
CALIFORNIA FTB	10.	0.		10.
FEDERAL EXCISE TAX	830.	0.		0.
PROPERTY TAX	4,923.	0.		4,923.
PAYROLL TAX	214.	0.		214.
TO FORM 990-PF, PG 1, LN 18	6,530.	553.		5,147.

## FORM 990-PF

## OTHER EXPENSES

## STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK/INVESTMENT FEES	34,184.	34,184.		0.
SALARY	1,920.	0.		1,920.
TO FORM 990-PF, PG 1, LN 23	36,104.	34,184.		1,920.

## FORM 990-PF

## CORPORATE STOCK

## STATEMENT 5

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MORGAN STANLEY - 106987	556,921.	887,345.
TOTAL TO FORM 990-PF, PART II, LINE 10B	556,921.	887,345.

## FORM 990-PF

## OTHER INVESTMENTS

## STATEMENT 6

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
HENNESSY MICRO CAP GROWTH FUND LLC	COST	0.	0.
MORGAN STANLEY - 106987	COST	986,890.	1,246,643.
TOTAL TO FORM 990-PF, PART II, LINE 13		986,890.	1,246,643.

## FORM 990-PF

## DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT

## STATEMENT 7

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
LAND	477,399.	0.	477,399.
TOTAL TO FM 990-PF, PART II, LN 14	477,399.	0.	477,399.

FORM 990-PF	PART VIII - LIST OF OFFICERS, TRUSTEES AND FOUNDATION MANAGERS	DIRECTORS	STATEMENT	8
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN EXPENSE CONTRIB ACCOUNT
JOSEPH G WRIGHT 19 PALM AVENUE SAN RAFAEL, CA 94901	BOARD MEMBER 4.00	1,700.	0. 0.
DAVID P. FREITAS 19 PALM AVENUE SAN RAFAEL, CA 94901	PRESIDENT 5.00	100.	0. 0.
NANCY FREITAS LAMBERT 19 PALM AVENUE SAN RAFAEL, CA 94901	BOARD MEMBER 1.00	100.	0. 0.
REV RAY G DECKER 19 PALM AVENUE SAN RAFAEL, CA 94901	BOARD MEMBER 1.00	100.	0. 0.
MEGAN FREITAS NEALE 19 PALM AVENUE SAN RAFAEL, CA 94901	SECRETARY/TREASURER 3.00	100.	0. 0.
DIANE FREITAS PHILLIPS 19 PALM AVENUE SAN RAFAEL, CA 94901	BOARD MEMBER 1.00	0.	0. 0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		2,100.	0. 0.

Depreciation and Amortization Detail FORM 990-PF PAGE 1

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