Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

**2013**

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation
HITZ FOUNDATION
C/O FRANK, RIMERMAN + CO LLP

**A Employer identification number** 94-3379521

Number and street (or P O box number if mail is not delivered to street address) 1801 PAGE MILL ROAD | Room/suite

**B** Telephone number (650)845-8100

City or town, state or province, country, and ZIP or foreign postal code
PALO ALTO, CA 94304

**C** If exemption application is pending, check here ▶ ☐

**G** Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

**D** 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

**H** Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

**I** Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 42,478,647.

**J** Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I** **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc , received | 5,288,275. | | N/A | |
| | 2 Check ▶ ☐ if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | 32,960. | 32,960. | | STATEMENT 2 |
| | 4 Dividends and interest from securities | 541,737. | 541,737. | | STATEMENT 3 |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 1,550,169. | | | STATEMENT 1 |
| | b Gross sales price for all assets on line 6a 14,600,010. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 4,183,225. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) | | | | |
| | 11 Other income | 35,794. | 35,794. | | STATEMENT 4 |
| | 12 **Total.** Add lines 1 through 11 | 7,448,935. | 4,793,716. | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | 0. | 0. | | 0. |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees STMT 5 | 2,006. | 0. | | 0. |
| | b Accounting fees STMT 6 | 24,000. | 18,000. | | 6,000. |
| | c Other professional fees STMT 7 | 120,250. | 120,250. | | 0. |
| | 17 Interest | 3,876. | 3,876. | | 0. |
| | 18 Taxes STMT 8 | 117,456. | 17,650. | | 0. |
| | 19 Depreciation and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses STMT 9 | 150,082. | 145,838. | | 0. |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 417,670. | 305,614. | | 6,000. |
| | 25 Contributions, gifts, grants paid | 1,334,800. | | | 1,334,800. |
| | 26 **Total expenses and disbursements.** Add lines 24 and 25 | 1,752,470. | 305,614. | | 1,340,800. |
| | 27 Subtract line 26 from line 12 | | | | |
| | a Excess of revenue over expenses and disbursements | 5,696,465. | | | |
| | b **Net investment income** (if negative, enter -0-) | | 4,488,102. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | N/A | |

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| Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only) | | Beginning of year (a) Book Value | End of year (b) Book Value | (c) Fair Market Value |
|---|---|---|---|---|
| Assets | 1 Cash - non-interest-bearing | | | |
| | 2 Savings and temporary cash investments | 1,060,358. | 6,226,511. | 6,226,511. |
| | 3 Accounts receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments - U S and state government obligations | | | |
| | b Investments - corporate stock | | | |
| | c Investments - corporate bonds | | | |
| | 11 Investments - land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 12 Investments - mortgage loans | | | |
| | 13 Investments - other STMT 10 | 33,454,948. | 33,985,260. | 36,252,136. |
| | 14 Land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 15 Other assets (describe ▶ ) | | | |
| | 16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I) | 34,515,306. | 40,211,771. | 42,478,647. |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | | |
| | 22 Other liabilities (describe ▶ ) | | | |
| | 23 Total liabilities (add lines 17 through 22) | 0. | 0. | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31. | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31. | | | |
| | 27 Capital stock, trust principal, or current funds | 1,956,357. | 1,956,357. | |
| | 28 Paid-in or capital surplus, or land, bldg , and equipment fund | 0. | 0. | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | 32,558,949. | 38,255,414. | |
| | 30 Total net assets or fund balances | 34,515,306. | 40,211,771. | |
| | 31 Total liabilities and net assets/fund balances | 34,515,306. | 40,211,771. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 34,515,306. |
| 2 | Enter amount from Part I, line 27a | 2 | 5,696,465. |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | 0. |
| 4 | Add lines 1, 2, and 3 | 4 | 40,211,771. |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 0. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 40,211,771. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | | | | |
| b | SEE ATTACHED STATEMENTS | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | 14,600,010. | | 10,416,785. | 4,183,225. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | 4,183,225. |

| | | | |
|---|---|---|---|
| 2 Capital gain net income or (net capital loss) | If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 | 2 | 4,183,225. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8 | | 3 | N/A |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2012 | 868,564. | 31,390,405. | .027670 |
| 2011 | 281,019. | 28,583,705. | .009831 |
| 2010 | 548,634. | 21,293,738. | .025765 |
| 2009 | 1,403,042. | 17,918,166. | .078303 |
| 2008 | 1,328,244. | 20,945,261. | .063415 |

| | | |
|---|---|---|
| 2 Total of line 1, column (d) | 2 | .204984 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .040997 |
| 4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5 | 4 | 36,095,820. |
| 5 Multiply line 4 by line 3 | 5 | 1,479,820. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 44,881. |
| 7 Add lines 5 and 6 | 7 | 1,524,701. |
| 8 Enter qualifying distributions from Part XII, line 4 | 8 | 1,340,800. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

| | | | | |
|---|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1<br>Date of ruling or determination letter ________ (attach copy of letter if necessary-see instructions) | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | | 1 | 89,762. |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | 2 | 0. |
| 3 | Add lines 1 and 2 | | 3 | 89,762. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | 4 | 0. |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | | 5 | 89,762. |
| 6 | Credits/Payments | | | |
| a | 2013 estimated tax payments and 2012 overpayment credited to 2013 | 6a 117,008. | | |
| b | Exempt foreign organizations - tax withheld at source | 6b | | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | | |
| d | Backup withholding erroneously withheld | 6d | | |
| 7 | Total credits and payments Add lines 6a through 6d | | 7 | 117,008. |
| 8 | Enter any **penalty** for underpayment of estimated tax Check here ☒ if Form 2220 is attached | | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | 10 | 27,246. |
| 11 | Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ | 27,246. Refunded ▶ | 11 | 0. |

**Part VII-A Statements Regarding Activities**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?<br>*If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | 1b | | X |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0. | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0. | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>*If "Yes," attach a detailed description of the activities.* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | X | |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | X | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>*If "Yes," attach the statement required by General Instruction T.* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV* | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶<br>CA | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | X |

**Part VII-A Statements Regarding Activities** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ FRANK, RIMERMAN + CO. LLP Telephone no ▶ (650) 845-8100
Located at ▶ 1801 PAGE MILL ROAD, PALO ALTO, CA ZIP+4 ▶ 94304

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶ | | | |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

| | | | Yes | No |
|---|---|---|---|---|
| | **File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.** | | | |
| 1a | During the year did the foundation (either directly or indirectly) | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| a | At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ____, ____, ____, ____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions ) N/A | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2013 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969, (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013 )* N/A | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? | 4b | | X |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

| | | | | |
|---|---|---|---|---|
| **5a** During the year did the foundation pay or incur any amount to | | | | |
| **(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | ☐ Yes ☒ No | | | |
| **(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? | ☐ Yes ☒ No | | | |
| **(3)** Provide a grant to an individual for travel, study, or other similar purposes? | ☐ Yes ☒ No | | | |
| **(4)** Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? | ☒ Yes ☐ No | | | |
| **(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | ☐ Yes ☒ No | | | |
| **b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? | | 5b | | X |
| Organizations relying on a current notice regarding disaster assistance check here | ▶ ☐ | | | |
| **c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? SEE STATEMENT 11 | ☒ Yes ☐ No | | | |
| *If "Yes," attach the statement required by Regulations section 53.4945-5(d)* | | | | |
| **6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | ☐ Yes ☒ No | | | |
| **b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | 6b | | X |
| *If "Yes" to 6b, file Form 8870.* | | | | |
| **7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | ☐ Yes ☒ No | | | |
| **b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? | N/A | 7b | | |

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, foundation managers and their compensation.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| KEN HITZ<br>FRANK, RIMERMAN + CO 1801 PAGE MILL R<br>PALO ALTO, CA 94304 | PRESIDENT<br>2.00 | 0. | 0. | 0. |
| KEVIN MCAULIFFE<br>FRANK, RIMERMAN + CO 1801 PAGE MILL R<br>PALO ALTO, CA 94304 | SECRETARY<br>0.25 | 0. | 0. | 0. |
| YEN HITZ<br>FRANK, RIMERMAN + CO 1801 PAGE MILL R<br>PALO ALTO, CA 94304 | TREASURER<br>0.25 | 0. | 0. | 0. |
| DAVID HITZ<br>FRANK, RIMERMAN + CO 1801 PAGE MILL R<br>PALO ALTO, CA 94304 | CHAIRMAN<br>2.00 | 0. | 0. | 0. |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| BROWNSON, REHMUS, & FOXWORTH, INC. | INVESTMENT ADVISORY | 102,000. |
| | | |
| | | |
| | | |
| | | |

**Total** number of others receiving over $50,000 for professional services ▶ 0

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B Summary of Program-Related Investments**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments See instructions<br>3 | |
| **Total.** Add lines 1 through 3 ▶ | 0. |

## Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | | |
|---|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | | | |
| a | Average monthly fair market value of securities | | 1a | 29,201,334. |
| b | Average of monthly cash balances | | 1b | 1,153,440. |
| c | Fair market value of all other assets | | 1c | 6,290,729. |
| d | **Total** (add lines 1a, b, and c) | | 1d | 36,645,503. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | | 2 | 0. |
| 3 | Subtract line 2 from line 1d | | 3 | 36,645,503. |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions) | | 4 | 549,683. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4 | | 5 | 36,095,820. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | | 6 | 1,804,791. |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part )

| | | | | |
|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | 1 | 1,804,791. |
| 2a | Tax on investment income for 2013 from Part VI, line 5 | 2a 89,762. | | |
| b | Income tax for 2013 (This does not include the tax from Part VI ) | 2b 2,566. | | |
| c | Add lines 2a and 2b | | 2c | 92,328. |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | | 3 | 1,712,463. |
| 4 | Recoveries of amounts treated as qualifying distributions | | 4 | 0. |
| 5 | Add lines 3 and 4 | | 5 | 1,712,463. |
| 6 | Deduction from distributable amount (see instructions) | | 6 | 0. |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | | 7 | 1,712,463. |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc - total from Part I, column (d), line 26 | 1a | 1,340,800. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 1,340,800. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b | 5 | 0. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 1,340,800. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Form **990-PF** (2013)

**Part XIII Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2012 | (c) 2012 | (d) 2013 |
|---|---|---|---|---|
| 1 Distributable amount for 2013 from Part XI, line 7 | | | | 1,712,463. |
| 2 Undistributed income, if any, as of the end of 2013 | | | | |
| a Enter amount for 2012 only | | | 535,345. | |
| b Total for prior years ______, ______, ______ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2013 | | | | |
| a From 2008 | | | | |
| b From 2009 | | | | |
| c From 2010 | | | | |
| d From 2011 | | | | |
| e From 2012 | | | | |
| f **Total** of lines 3a through e | 0. | | | |
| 4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 1,340,800. | | | | |
| a Applied to 2012, but not more than line 2a | | | 535,345. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2013 distributable amount | | | | 805,455. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a)) | 0. | | | 0. |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 0. | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instr | | | 0. | |
| f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 | | | | 907,008. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) | 0. | | | |
| 8 Excess distributions carryover from 2008 not applied on line 5 or line 7 | 0. | | | |
| 9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a | 0. | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2009 | | | | |
| b Excess from 2010 | | | | |
| c Excess from 2011 | | | | |
| d Excess from 2012 | | | | |
| e Excess from 2013 | | | | |

## Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2013 | (b) 2012 | (c) 2011 | (d) 2010 | (e) Total |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon<br>a "Assets" alternative test - enter<br>(1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter<br>(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

## Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

DAVID HITZ

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| *a Paid during the year* | | | | |
| A CHILD UNCHAINED CORPORATION<br>1694 ALMOND BLOSSOM LANE<br>SAN JOSE, CA 95124 | NONE | PUBLIC CHARITY | HUMAN RIGHTS | 1,000. |
| ALABAMA SHAKESPEARE FESTIVAL<br>1 FESTIVAL DR<br>MONTGOMERY, AL 36117 | NONE | PUBLIC CHARITY | HISTORY | 100,000. |
| DEEP SPRINGS COLLEGE<br>DEEP SPRINGS RANCH ROAD HIGHWAY 168<br>BIG PINE, CA 93513 | NONE | PUBLIC CHARITY | EDUCATION | 422,800. |
| GLOBAL HERITAGE FUND<br>625 EMERSON ST<br>PALO ALTO, CA 94301 | NONE | PUBLIC CHARITY | HISTORY | 50,000. |
| IDAHO MUSEUM OF NATURAL HISTORY<br>921 S. 8TH AVENUE, STOP 8096<br>POCATELLO, ID 83209 | NONE | PUBLIC CHARITY | HISTORY | 120,000. |
| Total SEE CONTINUATION SHEET(S) | | | ▶ 3a | 1,334,800. |
| *b Approved for future payment* | | | | |
| GLOBAL HERITAGE FUND<br>625 EMERSON ST<br>PALO ALTO, CA 94301 | NONE | PUBLIC CHARITY | HISTORY | 50,000. |
| LESLEY UNIVERSITY<br>29 EVERETT ST<br>CAMBRIDGE, MA 02138 | NONE | PUBLIC CHARITY | EDUCATION | 300,000. |
| PACUNAM<br>DIAGONAL 6, 10/01 ZONA<br>NIVEL 19, NIVEL 19, GUATEMALA | NONE | NON-US CHARITABLE ORG. | SCIENTIFIC AND ARCHAELOGICAL RESEARCH | 365,000. |
| Total | | | ▶ 3b | 715,000. |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income (a) Business code | (b) Amount | Excluded by section 512, 513, or 514 (c) Exclusion code | (d) Amount | (e) Related or exempt function income |
|---|---|---|---|---|---|
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | 498. | 14 | 32,462. | |
| 4 Dividends and interest from securities | | 1. | 14 | 541,736. | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | 19,041. | 14 | 16,753. | |
| 8 Gain or (loss) from sales of assets other than inventory | | 70. | 18 | 1,550,099. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e) | | 19,610. | | 2,141,050. | 0. |

13 Total. Add line 12, columns (b), (d), and (e) 13 2,160,660.

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) |
|---|---|
| | |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | Yes | No |
|---|---|---|---|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| (1) Cash | 1a(1) | | X |
| (2) Other assets | 1a(2) | | X |
| b Other transactions | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) Reimbursement arrangements | 1b(4) | | X |
| (5) Loans or loan guarantees | 1b(5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 2014-11-11 Title: Chirman

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| KEVIN MCAULIFFE | [signature] | 11/10/14 | | P00184925 |

Firm's name ▶ FRANK, RIMERMAN & CO., LLP Firm's EIN ▶ 94-1341042

Firm's address ▶ 1801 PAGE MILL ROAD
PALO ALTO, CA 94304 Phone no 650-845-8100

**Schedule B**
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

# Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*

OMB No 1545-0047

**2013**

| Name of the organization | Employer identification number |
|---|---|
| HITZ FOUNDATION<br>C/O FRANK, RIMERMAN + CO LLP | 94-3379521 |

**Organization type** (check one):

| **Filers of:** | **Section:** |
|---|---|
| Form 990 or 990-EZ | ☐ 501(c)( ) (enter number) organization |
| | ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation |
| | ☐ 527 political organization |
| Form 990-PF | ☒ 501(c)(3) exempt private foundation |
| | ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | ☐ 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule.**
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of $5,000 or more during the year ▶ $ ____________

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

| Name of organization | Employer identification number |
|---|---|
| HITZ FOUNDATION<br>C/O FRANK, RIMERMAN + CO LLP | 94-3379521 |

**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|---|---|---|---|
| 1 | DAVID HITZ<br>1801 PAGE MILL ROAD<br>PALO ALTO, CA 94304 | $ 5,288,275. | Person [X]<br>Payroll [ ]<br>Noncash [X]<br>(Complete Part II for noncash contributions.) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions.) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions.) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions.) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions.) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions.) |

| Name of organization | Employer identification number |
|---|---|
| HITZ FOUNDATION<br>C/O FRANK, RIMERMAN + CO LLP | 94-3379521 |

**Part II** **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| 1 | 39,000 SHS NTAP | $ 1,588,275. | 12/11/13 |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

Name of organization: HITZ FOUNDATION C/O FRANK, RIMERMAN + CO LLP

Employer identification number: 94-3379521

**Part III** *Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year. Complete columns (a) through (e) and the following line entry For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc, contributions of $1,000 or less for the year (Enter this information once.) ▶ $

Use duplicate copies of Part III if additional space is needed.

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

**Part IV** Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr ) |
|---|---|---|---|---|
| 1a | 1,147.124 SHS DFA INTERNATION SMALL COMPANY PORT | P | VARIOUS | 11/14/13 |
| b | 44.315 SHS ISHARES CORE S&P MID-CAP ETF | P | 04/01/13 | 08/05/13 |
| c | 55.132 SHS ISHARES CORE S&P MID-CAP ETF | P | 07/02/13 | 08/05/13 |
| d | 50.840 SHS ISHARES CORE S&P SMALL-CAP ETF | P | 04/01/13 | 08/05/13 |
| e | 56.855 SHS ISHARES CORE S&P SMALL-CAP ETF | P | 07/02/13 | 08/05/13 |
| f | 88.255 SHS ISHARES CORE S&P 500 ETF | P | 04/01/13 | 08/05/13 |
| g | 93.195 SHS ISHARES CORE S&P 500 ETF | P | 07/02/13 | 08/05/13 |
| h | 113.493 NETAPP INC COM | P | 07/23/13 | 08/28/13 |
| i | 4,097.185 SHS DFA INTERNATIONAL SMALL COMPANY POR | P | VARIOUS | 11/14/13 |
| j | 37.495 SHS ISHARES CORE S&P MID-CAP ETF | P | 03/30/12 | 08/05/13 |
| k | 56.403 SHS ISHARES CORE S&P SMALL-CAP ETF | P | 03/30/12 | 08/05/13 |
| l | 82.754 SHS ISHARES CORE S&P 500 ETF | P | 03/30/12 | 08/05/13 |
| m | 88.076 SHS ISHARES CORE S&P 500 ETF | P | 06/25/12 | 08/05/13 |
| n | 19,786.397 SHS DFA INTERNATIONAL SMALL COMPANY PO | P | VARIOUS | 08/05/13 |
| o | 15,844.893 SHS DFA INTERNATIONAL SMALL COMPANY PO | P | VARIOUS | 11/14/13 |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 22,115. | | 16,927. | 5,188. |
| b | 5,533. | | 5,086. | 447. |
| c | 6,883. | | 6,469. | 414. |
| d | 4,983. | | 4,429. | 554. |
| e | 5,572. | | 5,216. | 356. |
| f | 15,156. | | 13,889. | 1,267. |
| g | 15,986. | | 15,192. | 794. |
| h | 4,702. | | 4,650. | 52. |
| i | 78,988. | | 60,458. | 18,530. |
| j | 4,681. | | 3,692. | 989. |
| k | 5,528. | | 4,283. | 1,245. |
| l | 14,195. | | 11,577. | 2,618. |
| m | 15,108. | | 11,758. | 3,350. |
| n | 351,970. | | 334,310. | 17,660. |
| o | 305,467. | | 267,715. | 37,752. |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-") |
|---|---|---|---|---|
| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| a | | | | 5,188. |
| b | | | | 447. |
| c | | | | 414. |
| d | | | | 554. |
| e | | | | 356. |
| f | | | | 1,267. |
| g | | | | 794. |
| h | | | | 52. |
| i | | | | 18,530. |
| j | | | | 989. |
| k | | | | 1,245. |
| l | | | | 2,618. |
| m | | | | 3,350. |
| n | | | | 17,660. |
| o | | | | 37,752. |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } | 2 | |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 | 3 | |

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**Part IV** Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | 38,759.690 SHS DFA ONE YEAR FIXED INCOME PRTF INS | P | 05/20/11 | 07/26/13 |
| b | 60,503.388 SHS DFA ONE YEAR FIXED INCOME PRTF INS | P | 05/20/11 | 11/14/13 |
| c | 7,028.939 SHS ISHARES CORE S&P MID-CAP ETF | P | 03/09/11 | 08/05/13 |
| d | 32.119 SHS ISHARES CORE S&P MID-CAP ETF | P | 03/31/11 | 08/05/13 |
| e | 9,462.318 SHS ISHARES CORE S&P SMALL-CAP ETF | P | 03/09/11 | 08/05/13 |
| f | 33.584 SHS ISHARES CORE S&P SMALL-CAP ETF | P | 03/31/11 | 08/05/13 |
| g | 8,910.003 SHS ISHARES CORE S&P 500 ETF | P | 03/09/11 | 08/05/13 |
| h | 80.617 SHS ISHARES CORE S&P 500 ETF | P | 03/31/11 | 08/05/13 |
| i | 21,822.000 SHS ISHARES MSCI EAFE ETF | P | 03/09/11 | 08/05/13 |
| j | 70,000.000 SHS NETAPP INC COM | D | 06/01/92 | VARIOUS |
| k | 10,183.299 SHS PARAMETRIC TAX MGD EMERGING MKTS I | P | 03/11/11 | 05/29/13 |
| l | 91,008.373 SHS VANGUARD INFLATION PROCTED SEC ADM | P | VARIOUS | 05/29/13 |
| m | 123,348.837 SHS VANGUARD SHORT TERM INVMT GRADE A | P | 07/01/09 | 11/14/13 |
| n | 22,431.584 SHS WELLS FARGO ADVT EMERG MRKTS EQ IN | P | 03/11/11 | 05/29/13 |
| o | FROM K-1 - LEGACY VENTURE V (QP), LLC | P | VARIOUS | VARIOUS |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 401,158. | | 401,158. | 0. |
| b | 624,998. | | 626,203. | -1,205. |
| c | 877,596. | | 676,427. | 201,169. |
| d | 4,010. | | 3,152. | 858. |
| e | 927,359. | | 679,481. | 247,878. |
| f | 3,291. | | 2,449. | 842. |
| g | 1,528,403. | | 1,183,830. | 344,573. |
| h | 13,829. | | 10,740. | 3,089. |
| i | 1,341,308. | | 1,326,038. | 15,270. |
| j | 2,843,972. | | 694. | 2,843,278. |
| k | 491,267. | | 502,265. | -10,998. |
| l | 2,499,970. | | 2,407,536. | 92,434. |
| m | 1,325,970. | | 1,310,637. | 15,333. |
| n | 499,970. | | 520,524. | -20,554. |
| o | 11,376. | | | 11,376. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-") |
|---|---|---|---|---|
| a | | | | 0. |
| b | | | | -1,205. |
| c | | | | 201,169. |
| d | | | | 858. |
| e | | | | 247,878. |
| f | | | | 842. |
| g | | | | 344,573. |
| h | | | | 3,089. |
| i | | | | 15,270. |
| j | | | | 2,843,278. |
| k | | | | -10,998. |
| l | | | | 92,434. |
| m | | | | 15,333. |
| n | | | | -20,554. |
| o | | | | 11,376. |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } | 2 | |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 | 3 | |

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**Part IV** Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | FROM K-1 - LEGACY VENTURE V (QP), LLC | P | VARIOUS | VARIOUS |
| b | FROM K-1 - NORTHGATE VENTURE PARTNERS III | P | VARIOUS | VARIOUS |
| c | FROM K-1 - NORTHGATE VENTURE PARTNERS III (Q), L. | P | VARIOUS | VARIOUS |
| d | FROM K-1 - NORTHGATE PRIVATE EQUITY PARTNERS III | P | VARIOUS | VARIOUS |
| e | FROM K-1 - NORTHGATE PRIVATE EQUITY PARTNERS III | P | VARIOUS | VARIOUS |
| f | FROM K-1 - NORTHGATE V-B, LP | P | VARIOUS | VARIOUS |
| g | FROM K-1 - NORTHGATE V-B, LP | P | VARIOUS | VARIOUS |
| h | FROM K-1 - STRATEGIC REAL ESTATE FUND III, LP | P | VARIOUS | VARIOUS |
| i | FROM K-1 - STRATEGIC REAL ESTATE FUND III, LP | P | VARIOUS | VARIOUS |
| j | FROM K-1 - METROPOLITAN REAL ESTATE PARTNERS GLOB | P | VARIOUS | VARIOUS |
| k | FROM K-1 - METROPOLITAN REAL ESTATE PARTNERS GLOB | P | VARIOUS | VARIOUS |
| l | FROM K-1 - TOWNSEND REAL ESTATE ALPHA FUND I, LP | P | VARIOUS | VARIOUS |
| m | FROM K-1 - TOWNSEND REAL ESTATE ALPHA FUND I, LP | P | VARIOUS | VARIOUS |
| n | | | | |
| o | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 58,782. | | | 58,782. |
| b | -5,528. | | | -5,528. |
| c | 68,203. | | | 68,203. |
| d | 5,454. | | | 5,454. |
| e | 165,610. | | | 165,610. |
| f | 74. | | | 74. |
| g | 54,070. | | | 54,070. |
| h | 3,687. | | | 3,687. |
| i | -4,672. | | | -4,672. |
| j | 1,146. | | | 1,146. |
| k | 1,529. | | | 1,529. |
| l | -4. | | | -4. |
| m | 315. | | | 315. |
| n | | | | |
| o | | | | |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-") |
|---|---|---|---|---|
| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| a | | | | 58,782. |
| b | | | | -5,528. |
| c | | | | 68,203. |
| d | | | | 5,454. |
| e | | | | 165,610. |
| f | | | | 74. |
| g | | | | 54,070. |
| h | | | | 3,687. |
| i | | | | -4,672. |
| j | | | | 1,146. |
| k | | | | 1,529. |
| l | | | | -4. |
| m | | | | 315. |
| n | | | | |
| o | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } | 2 | 4,183,225. |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 | 3 | N/A |

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**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| ISU HITZ FUND<br>921 S. 8TH AVENUE<br>POCATELLO, ID 83209 | NONE | PUBLIC CHARITY | EDUCATION | 25,000. |
| LESLEY UNIVERSITY<br>29 EVERETT ST<br>CAMBRIDGE, MA 02138 | NONE | PUBLIC CHARITY | EDUCATION | 200,000. |
| MAASAI WILDERNESS CONSERVATION FUND<br>PO BOX 1413<br>SANTA BARBARA, CA 93102 | NONE | PUBLIC CHARITY | ENVIRONMENT | 200,000. |
| PACUNAM<br>DIAGONAL 6, 10/01 ZONA<br>NIVEL 19, NIVEL 19, GUATEMALA | NONE | NON-US CHARITABLE ORG. | SCIENTIFIC AND ARCHAELOGICAL RESEARCH | 215,000. |
| VIETNAMESE YOUTH DEVELOPMENT CENTER<br>166 EDDY ST<br>SAN FRANCISCO, CA 94102 | NONE | PUBLIC CHARITY | EDUCATION | 1,000. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| **Total from continuation sheets** | | | | 641,000. |

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 1,147.124 SHS DFA INTERNATION SMALL COMPANY PORT | PURCHASED | VARIOUS | 11/14/13 |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 22,115. | 16,927. | 0. | 0. | 5,188. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 44.315 SHS ISHARES CORE S&P MID-CAP ETF | PURCHASED | 04/01/13 | 08/05/13 |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 5,533. | 5,086. | 0. | 0. | 447. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 55.132 SHS ISHARES CORE S&P MID-CAP ETF | PURCHASED | 07/02/13 | 08/05/13 |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 6,883. | 6,469. | 0. | 0. | 414. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 50.840 SHS ISHARES CORE S&P SMALL-CAP ETF | PURCHASED | 04/01/13 | 08/05/13 |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 4,983. | 4,429. | 0. | 0. | 554. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 56.855 SHS ISHARES CORE S&P SMALL-CAP ETF | PURCHASED | 07/02/13 | 08/05/13 |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 5,572. | 5,216. | 0. | 0. | 356. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 88.255 SHS ISHARES CORE S&P 500 ETF | PURCHASED | 04/01/13 | 08/05/13 |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 15,156. | 13,889. | 0. | 0. | 1,267. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 93.195 SHS ISHARES CORE S&P 500 ETF | PURCHASED | 07/02/13 | 08/05/13 |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 15,986. | 15,192. | 0. | 0. | 794. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 113.493 NETAPP INC COM | PURCHASED | 07/23/13 | 08/28/13 |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 4,702. | 4,650. | 0. | 0. | 52. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 4,097.185 SHS DFA INTERNATIONAL SMALL COMPANY PORT | PURCHASED | VARIOUS | 11/14/13 |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 78,988. | 60,458. | 0. | 0. | 18,530. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 37.495 SHS ISHARES CORE S&P MID-CAP ETF | PURCHASED | 03/30/12 | 08/05/13 |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 4,681. | 3,692. | 0. | 0. | 989. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 56.403 SHS ISHARES CORE S&P SMALL-CAP ETF | PURCHASED | 03/30/12 | 08/05/13 |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 5,528. | 4,283. | 0. | 0. | 1,245. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 82.754 SHS ISHARES CORE S&P 500 ETF | PURCHASED | 03/30/12 | 08/05/13 |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 14,195. | 11,577. | 0. | 0. | 2,618. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 88.076 SHS ISHARES CORE S&P 500 ETF | PURCHASED | 06/25/12 | 08/05/13 |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 15,108. | 11,758. | 0. | 0. | 3,350. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 19,786.397 SHS DFA INTERNATIONAL SMALL COMPANY PORT | PURCHASED | VARIOUS | 08/05/13 |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 351,970. | 334,310. | 0. | 0. | 17,660. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 15,844.893 SHS DFA INTERNATIONAL SMALL COMPANY PORT | PURCHASED | VARIOUS | 11/14/13 |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 305,467. | 267,715. | 0. | 0. | 37,752. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 38,759.690 SHS DFA ONE YEAR FIXED INCOME PRTF INSTL | PURCHASED | 05/20/11 | 07/26/13 |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 401,158. | 401,158. | 0. | 0. | 0. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 60,503.388 SHS DFA ONE YEAR FIXED INCOME PRTF INSTL | PURCHASED | 05/20/11 | 11/14/13 |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 624,998. | 626,203. | 0. | 0. | -1,205. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 7,028.939 SHS ISHARES CORE S&P MID-CAP ETF | PURCHASED | 03/09/11 | 08/05/13 |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 877,596. | 676,427. | 0. | 0. | 201,169. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 32.119 SHS ISHARES CORE S&P MID-CAP ETF | PURCHASED | 03/31/11 | 08/05/13 |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 4,010. | 3,152. | 0. | 0. | 858. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 9,462.318 SHS ISHARES CORE S&P SMALL-CAP ETF | PURCHASED | 03/09/11 | 08/05/13 |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 927,359. | 679,481. | 0. | 0. | 247,878. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 33.584 SHS ISHARES CORE S&P SMALL-CAP ETF | PURCHASED | 03/31/11 | 08/05/13 |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 3,291. | 2,449. | 0. | 0. | 842. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 8,910.003 SHS ISHARES CORE S&P 500 ETF | PURCHASED | 03/09/11 | 08/05/13 |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 1,528,403. | 1,183,830. | 0. | 0. | 344,573. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 80.617 SHS ISHARES CORE S&P 500 ETF | PURCHASED | 03/31/11 | 08/05/13 |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 13,829. | 10,740. | 0. | 0. | 3,089. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 21,822.000 SHS ISHARES MSCI EAFE ETF | PURCHASED | 03/09/11 | 08/05/13 |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 1,341,308. | 1,326,038. | 0. | 0. | 15,270. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 70,000.000 SHS NETAPP INC COM | DONATED | 06/01/92 | VARIOUS |

| (B) GROSS SALES PRICE | (C) VALUE AT TIME OF ACQ. | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 2,843,972. | 2,633,750. | 0. | 0. | 210,222. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 10,183.299 SHS PARAMETRIC TAX MGD EMERGING MKTS INST | PURCHASED | 03/11/11 | 05/29/13 |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 491,267. | 502,265. | 0. | 0. | -10,998. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 91,008.373 SHS VANGUARD INFLATION PROCTED SEC ADM CL | PURCHASED | VARIOUS | 05/29/13 |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 2,499,970. | 2,407,536. | 0. | 0. | 92,434. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 123,348.837 SHS VANGUARD SHORT TERM INVMT GRADE ADMIRAL | PURCHASED | 07/01/09 | 11/14/13 |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 1,325,970. | 1,310,637. | 0. | 0. | 15,333. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| 22,431.584 SHS WELLS FARGO ADVT EMERG MRKTS EQ INSTL | PURCHASED | 03/11/11 | 05/29/13 |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 499,970. | 520,524. | 0. | 0. | -20,554. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| FROM K-1 - LEGACY VENTURE V (QP), LLC | PURCHASED | VARIOUS | VARIOUS |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 11,376. | 0. | 0. | 0. | 11,376. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| FROM K-1 - LEGACY VENTURE V (QP), LLC | PURCHASED | VARIOUS | VARIOUS |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 58,782. | 0. | 0. | 0. | 58,782. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| FROM K-1 - NORTHGATE VENTURE PARTNERS III | PURCHASED | VARIOUS | VARIOUS |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| -5,528. | 0. | 0. | 0. | -5,528. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| FROM K-1 - NORTHGATE VENTURE PARTNERS III (Q), L.P. | PURCHASED | VARIOUS | VARIOUS |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 68,203. | 0. | 0. | 0. | 68,203. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| FROM K-1 - NORTHGATE PRIVATE EQUITY PARTNERS III B-3, L.P. | PURCHASED | VARIOUS | VARIOUS |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 5,454. | 0. | 0. | 0. | 5,454. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| FROM K-1 - NORTHGATE PRIVATE EQUITY PARTNERS III B-3, L.P. | PURCHASED | VARIOUS | VARIOUS |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 165,610. | 0. | 0. | 0. | 165,610. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| FROM K-1 - NORTHGATE V-B, LP | PURCHASED | VARIOUS | VARIOUS |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 74. | 0. | 0. | 0. | 74. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| FROM K-1 - NORTHGATE V-B, LP | PURCHASED | VARIOUS | VARIOUS |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 54,070. | 0. | 0. | 0. | 54,070. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| FROM K-1 - STRATEGIC REAL ESTATE FUND III, LP | PURCHASED | VARIOUS | VARIOUS |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 3,687. | 0. | 0. | 0. | 3,687. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| FROM K-1 - STRATEGIC REAL ESTATE FUND III, LP | PURCHASED | VARIOUS | VARIOUS |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| -4,672. | 0. | 0. | 0. | -4,672. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| FROM K-1 - METROPOLITAN REAL ESTATE PARTNERS GLOBAL V | PURCHASED | VARIOUS | VARIOUS |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 1,146. | 0. | 0. | 0. | 1,146. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| FROM K-1 - METROPOLITAN REAL ESTATE PARTNERS GLOBAL V | PURCHASED | VARIOUS | VARIOUS |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 1,529. | 0. | 0. | 0. | 1,529. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| FROM K-1 - TOWNSEND REAL ESTATE ALPHA FUND I, LP | PURCHASED | VARIOUS | VARIOUS |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| -4. | 0. | 0. | 0. | -4. |

| (A) DESCRIPTION OF PROPERTY | MANNER ACQUIRED | DATE ACQUIRED | DATE SOLD |
|---|---|---|---|
| FROM K-1 - TOWNSEND REAL ESTATE ALPHA FUND I, LP | PURCHASED | VARIOUS | VARIOUS |

| (B) GROSS SALES PRICE | (C) COST OR OTHER BASIS | (D) EXPENSE OF SALE | (E) DEPREC. | (F) GAIN OR LOSS |
|---|---|---|---|---|
| 315. | 0. | 0. | 0. | 315. |

| | |
|---|---|
| CAPITAL GAINS DIVIDENDS FROM PART IV | 0. |
| TOTAL TO FORM 990-PF, PART I, LINE 6A | 1,550,169. |

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

| SOURCE | (A) REVENUE PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|
| FIDELITY | 2,838. | 2,838. | |
| LEGACY VENTURE V (QP), LLC | 586. | 586. | |
| METROPOLITAN REAL ESTATE PARTNERS GLOBAL V, LP | 4,227. | 4,227. | |
| NORTHGATE PRIVATE EQUITY PARTNERS III B-3, L.P. | 7,974. | 7,974. | |
| NORTHGATE V-B, LP | 9,060. | 9,060. | |
| NORTHGATE VENTURE PARTNERS III (Q), L.P. | 1,315. | 1,315. | |
| STRATEGIC REAL ESTATE FUND III, LP | 6,619. | 6,619. | |
| TOWNSEND REAL ESTATE ALPHA FUND I | 341. | 341. | |
| TOTAL TO PART I, LINE 3 | 32,960. | 32,960. | |

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | (A) REVENUE PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|---|---|
| FIDELITY | 442,656. | 0. | 442,656. | 442,656. | |
| FIDELITY - CAPITAL GAIN DISTRIBUTION | 53,142. | 0. | 53,142. | 53,142. | |
| LEGACY VENTURE V (QP), LLC | 1,388. | 0. | 1,388. | 1,388. | |
| METROPOLITAN REAL ESTATE PARTNERS GLOBAL V | 1,797. | 0. | 1,797. | 1,797. | |
| NORTHGATE PRIVATE EQUITY PARTNERS III B-3, L.P. | 29,282. | 0. | 29,282. | 29,282. | |
| NORTHGATE V-B, LP | 1,657. | 0. | 1,657. | 1,657. | |
| NORTHGATE VENTURE PARTNERS III (Q), L.P. | 840. | 0. | 840. | 840. | |
| STRATEGIC REAL ESTATE FUND III, LP | 10,737. | 0. | 10,737. | 10,737. | |
| TOWNSEND REAL ESTATE ALPHA FUND I | 238. | 0. | 238. | 238. | |
| TO PART I, LINE 4 | 541,737. | 0. | 541,737. | 541,737. | |

FORM 990-PF OTHER INCOME STATEMENT 4

| DESCRIPTION | (A) REVENUE PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|
| FROM NORTHGATE VENTURE PARTNERS III (Q), L.P. | -146. | -146. | |
| FROM NORTHGATE PRIVATE EQUITY PARTNERS III B-3, L.P. | 7,182. | 7,182. | |
| LEGACY VENTURE V (QP), LLC | -1,346. | -1,346. | |
| STRATEGIC REAL ESTATE FUND III, LP | 14,140. | 14,140. | |
| METROPOLITAN REAL ESTATE PARTNERS GLOBAL V, LP | 2,227. | 2,227. | |
| NORTHGATE V-B, LP | 8,236. | 8,236. | |
| TOWNSEND REAL ESTATE ALPHA FUND I, LP | 5,501. | 5,501. | |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | 35,794. | 35,794. | |

FORM 990-PF LEGAL FEES STATEMENT 5

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| LEGAL FEES | 2,006. | 0. | | 0. |
| TO FM 990-PF, PG 1, LN 16A | 2,006. | 0. | | 0. |

FORM 990-PF ACCOUNTING FEES STATEMENT 6

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| TAX & ACCOUNTING FEES | 24,000. | 18,000. | | 6,000. |
| TO FORM 990-PF, PG 1, LN 16B | 24,000. | 18,000. | | 6,000. |

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 7

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| INVESTMENT ADVISORY FEES | 120,250. | 120,250. | | 0. |
| TO FORM 990-PF, PG 1, LN 16C | 120,250. | 120,250. | | 0. |

FORM 990-PF TAXES STATEMENT 8

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| FEDERAL & STATE TAXES | 2,010. | 0. | | 0. |
| TAXES OTHER | 97,796. | 0. | | 0. |
| FOREIGN TAXES - K-1 | 3,408. | 3,408. | | 0. |
| FOREIGN TAXES | 14,242. | 14,242. | | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 117,456. | 17,650. | | 0. |

FORM 990-PF OTHER EXPENSES STATEMENT 9

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| OTHER DEDUCTIONS | 9,230. | 9,230. | | 0. |
| NON-DEDUCTIBLE EXPENSES | 503. | 0. | | 0. |
| PORTFOLIO DEDUCTIONS | 130,616. | 130,616. | | 0. |
| CHARITABLE CONTRIBUTIONS | 826. | 0. | | 0. |
| BANK FEES | 719. | 719. | | 0. |
| SECTION 59(E)(2) EXPENDITURES | 1,792. | 1,792. | | 0. |
| BUSINESS EXPENSES | 3,481. | 3,481. | | 0. |
| OTHER DEDUCTIONS | 2,915. | 0. | | 0. |
| TO FORM 990-PF, PG 1, LN 23 | 150,082. | 145,838. | | 0. |

FORM 990-PF OTHER INVESTMENTS STATEMENT 10

| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|
| INVESTMENT IN NORTHGATE VENTURE PARTNERS III | FMV | 472,551. | 681,303. |
| INVESTMENT IN NORTHGATE PRIVATE EQUITY PARTNERS III-B3 | FMV | 1,214,770. | 1,548,830. |
| INVESTMENT IN LEGACY VENTURE V | FMV | 607,425. | 604,495. |
| INVESTMENT IN STRATEGIC REAL ESTATE FUND III | FMV | 444,429. | 493,876. |
| FIDELITY | FMV | 28,156,262. | 29,435,102. |
| INVESTMENT IN METROPOLITAN REAL ESTATE PARTNERS GLOBAL V, LP | FMV | 222,140. | 230,014. |
| INVESTMENT IN AURORA OFFSHORE FUND | FMV | 1,350,000. | 1,506,475. |
| INVESTMENT IN TOWNSEND REAL ESTATE ALPHA FUND I | FMV | 217,522. | 249,051. |
| INVESTMENT IN SKYBRIDGE MULTI-ADVISOR HEDGE FUND | FMV | 500,000. | 617,633. |
| INVESTMENT IN NORTHGATE V-B, LP | FMV | 575,161. | 660,357. |
| INVESTMENT IN ENERGY MATERIALS CORPORATION | FMV | 225,000. | 225,000. |
| TOTAL TO FORM 990-PF, PART II, LINE 13 | | 33,985,260. | 36,252,136. |

FORM 990-PF | EXPENDITURE RESPONSIBILITY STATEMENT PART VII-B, LINE 5C | STATEMENT 11

GRANTEE'S NAME

PACUNAM

GRANTEE'S ADDRESS

DIAGONAL 6, 10/01 ZONA 10 CENTRO COM LAS MARG
NIVEL 19, GUATEMALA

| GRANT AMOUNT | DATE OF GRANT | AMOUNT EXPENDED |
|---|---|---|
| 215,000. | 07/30/13 | 215,000. |

PURPOSE OF GRANT

FUNDING OF SCIENTIFIC AND ARCHEOLOGICAL RESEARCH AT MIRADOR PROJECT PER ATTACHED REPORT

DATES OF REPORTS BY GRANTEE

DECEMBER 2013

ANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

APPROVED PER BOARD OF DIRECTOR RESOLUTION

Hitz
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# The Maya Biosphere Preservation by Supporting Archaeological Projects 2013 Report to Hitz Foundation

Hitz FOUNDATION

Pacunam
FUNDACIÓN PATRIMONIO CULTURAL Y NATURAL MAYA

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## Background

Pacunam was founded in 2006 to help save and conserve Guatemala's cultural and natural heritage for the benefit of future generations. Leading corporations operating in Guatemala and International Organizations, including Hitz Foundation, have joined their efforts to preserve the Maya Biosphere Reserve (MBR).

The main focus in the MBR over the past few years has been on supporting archaeological research in strategic locations; this allows us the understanding of our culture and at the same time enables its worldwide dissemination. The mere on-site presence of a team of researchers and support staff has proven to be an effective deterrent for criminal activities, which are much more likely to take place in abandoned or unpopulated areas, and, thus helping to protect these archaeological sites and the immediate surrounding areas from deforestation and looting.

On 2013 Pacunam submitted to Hitz Foundation an annual project proposal intended to support Waka, Holmul, La Corona and Tintal archaeological projects to carry out archaeological research seasons, to help save and conserve the Maya Biosphere Reserve and at the same time, to dissuade criminal activities and generate environmental conservation awareness in order to accomplish our main goal.

The projects entail supporting scientific research, which includes investigation, excavation, restoration and protection of the structures and buildings of the archaeological sites, and a subsequent phase of laboratory work which includes classification and restoration of the artifacts found in the sites.

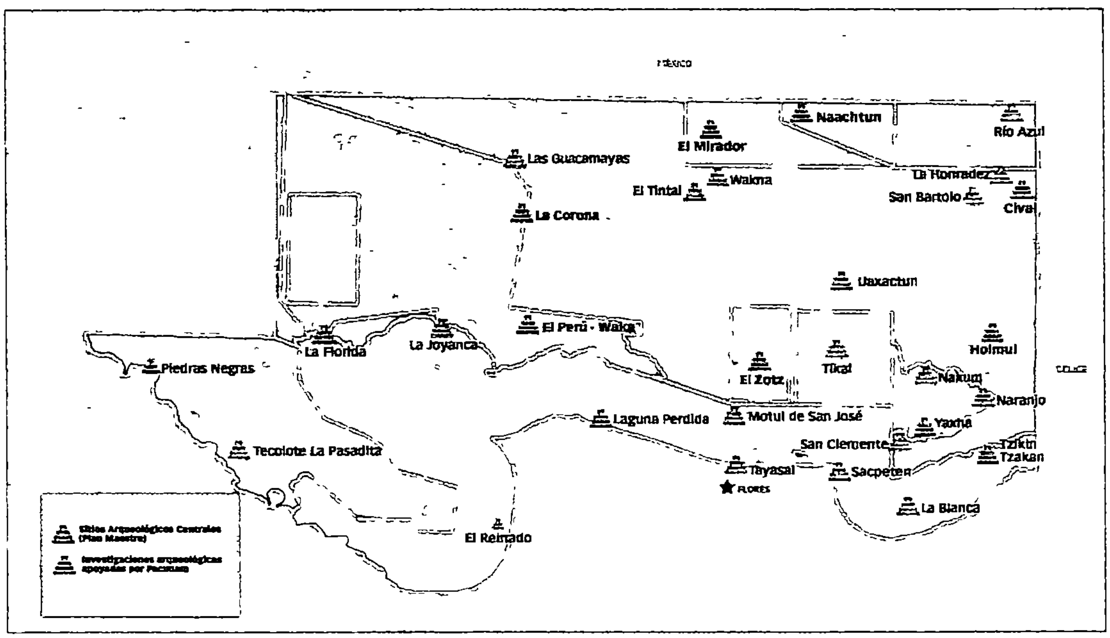


Illustration1: This map shows the main archaeological sites located inside the MBR. The sites Pacunam supports are shown in a darker color.

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# Support for Archaeological Projects

## El Perú – Waka' Archaeological Project

Project Director: Dr. David Freidel from Washington University of Saint Louis

**2013 Field Season Overview**

### Scientific Results

a. Regional mapping

   The project completed 80% of the peripheral mapping in north and north east sectors, regarding the epicenter of this ancient city. With this data we now have a greater understanding of the original geographical layout that the ancient Maya built and planned their city around.

b. Excavations in the Main Plaza
   - Discovery of the Estela 44.
   - Intensive excavations in Plaza 2.
   - Excavations in royal residences patios.

c. Epigraphic studies
   - Deciphering of the Estela 44, which is a death tribute to *ChakTokIch'aak,* father of *Wa'oomUch'abTz'ikin,* whose mother "Lady. Ikoom" was related to the Kan Kingdom, so this clearly shows that the king of Waka' was against Tikal.

d. Laboratory achievements in Guatemala City, restoration of Queen K'abel's burial:
   - Restoration of 7 ceramic plates, vases and artifacts.
   - Registration of 1,515 jade pieces.
   - Discovery of iconography in a ceramic plate. The image represents a Teotihuacan warrior serpent.
   - Discovery of a zoomorphic stone figurine inside a ceramic cylinder.
   - Ongoing elaboration of the ceramic sampler from Waka'.
   - Performed physiochemical laboratory analysis of botanical samples, human and faunal bones, and vessel contents in the United States.
   - Ongoing elaboration of Queen K'abel's burial catalogue.

### Camp

- Shower and latrine improvement
- Tent platform improvement
- Basic signaling fabricated and installed.
- Maintenance of the wooden bridge that leads to the Main Group.

### Public Relations

a. Seven Conferences in Guatemala, United States and Mexico:
   - Archaeological Investigations Symposium in Guatemala

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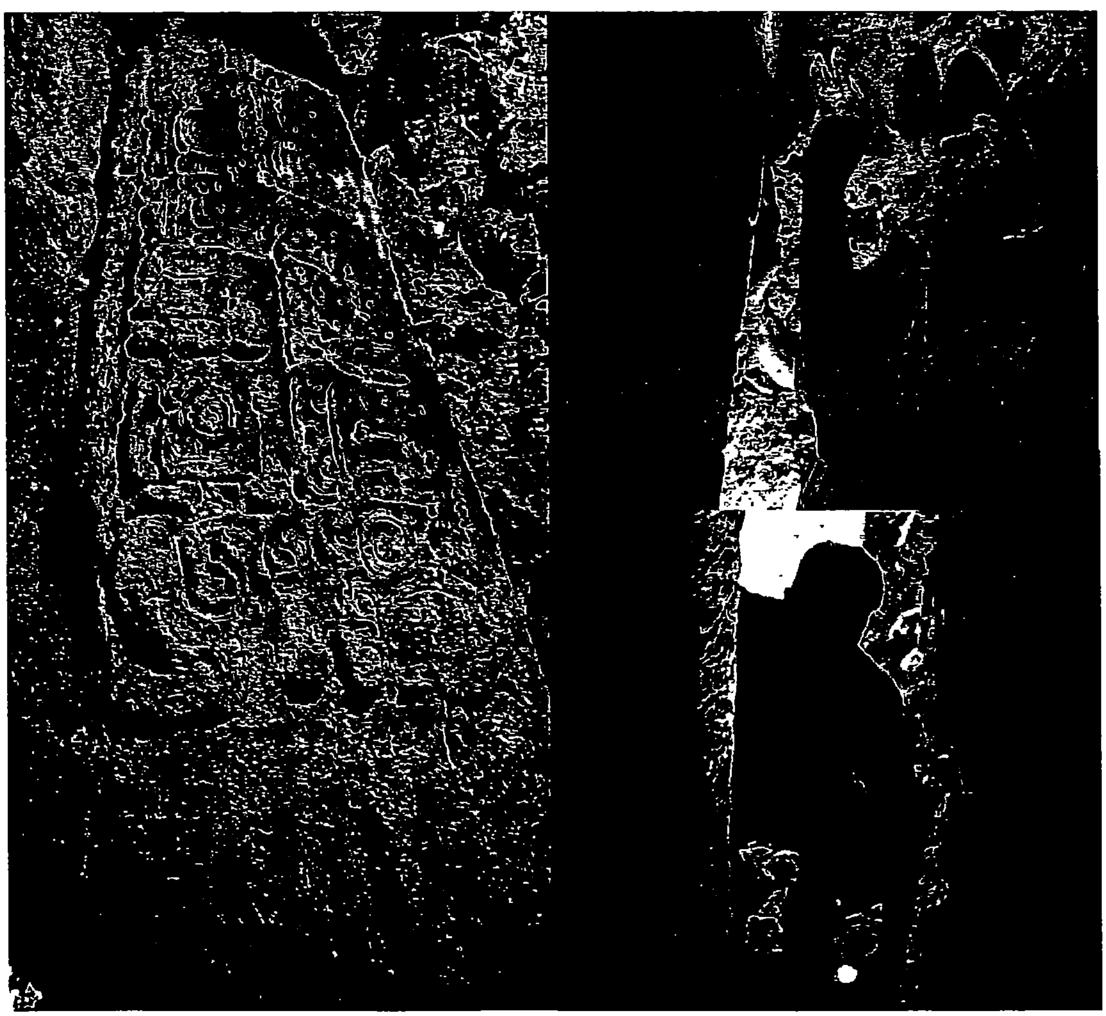
- VI Maya Archaeology Convention
- XXI International Encounter, Campeche Mexico
- Civilization Dialogue by National Geographic Society in Guatemala
- *Popol Vuh* Museum: "Royal Court from Burial 39: restoration and new interpretations", Guatemala.
- Wooster School, Connecticut, United States.

b. One press conference
c. Two press publications in Guatemala and in the United States
d. Two academic publications: 2013 Season report and 2013 Technical report.

**Hired Staff in 2013**

| | |
|---|---|
| Professionals | 11 |
| Students | 1 |
| Technical Archaeological Staff | 2 |
| Administrative and Logistics Staff | 23 |
| **Total** | 38 |



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## La Corona Archaeological Project

Project Director: Dr. Marcello Canuto from Tulane University

**2013 Field Season Overview**

### Scientific Results

a. Archaeological excavations
    - Discovery of 8 offerings and 4 burials.
    - Discovery of "The Kaan Queens" phase in the Palace.

b. Archaeobotanical results

    The presence of the following was detected:
    - Pine
    - Mace
    - Zapote (*Manilkara zapota*)
    - Jocote (*Spondias purpurea*)
    - Chipilín (*Crotalaria longirostrata*)

c. Epigraphic studies
    - Finding of several plates with glyphs and discovery of the presence of *Namaan* (La Florida, another ancient Maya city) in La Corona.
    - New monument nomenclature
    - Repatriation: Follow up for the repatriation of a panel located in San Francisco

### Conservation achievements

- Creation of a "Special Management Unit"[1] as a part of the Multiple Use Zone in the MBR.
- Re location of trails to create green areas in the camp zone.
- Collaborations with Pro Petén, WCS and CONAP. [2]
- Prevention of illegal activities: no looting, wildfire or invasion activity registered in 2013.

### Public Relations

a. Fourteen Conferences
    - Tulane Maya Symposium, Civilization Dialogue by National Geographic Society in Guatemala, Technological University at El Salvador, National Congress of Tourist Guides, Autonomous University of Yucatan and V Central American Congress of Archaeology.
    - VI Maya Archaeology Convention (2).
    - CUDEP's[3] Archaeological Encounter (2).
    - National Archaeology Symposium in Guatemala.

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[1] Special Management Unit:

[2] Pro Petén is a nonprofit environmental organization that works in the department of Petén,Guatemala.

[3] Cudep stands for *Centro Universitario de Petén*, University Center of Petén.

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b. Eight Publications
    - "La Corona Notes" Series published in Mesoweb, both in English and Spanish.
    - Three talks published in the XXIV National Archaeology Symposium Memoirs.
    - Two undergrad theses from Del Valle University in Guatemala.
    - One Doctoral thesis from University of Pennsylvania.

**Hired Staff in 2013**

| | |
|---|---|
| Professionals | 4 |
| Students | 6 |
| Technical Archaeological Staff | 26 |
| Administrative and Logistics Staff | 8 |
| Surveillance Staff | 4 |
| **Total** | 48 |

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## Holmul Archaeological Project

Project Director: Dr. Francisco Estrada-Belli from Boston University

**2013 Field Season Overview**

### Scientific Results

a. Archaeological excavations
   - Discovery of Pre- Classic Mask (*K'ininchAhaw* Deity) in Building C, Group II.
   - Discovery of stucco frieze in Building A, Group II.
   - Discovery of burial with three vessels Teotihuacan Style in Building D, Group I.
   - Discovery of Late Pre-Classic mask (*Main Bird* Deity)in Building D, Group II.
   - Discovery of Late Pre-Classic sub –platform under Group I's platform.

b. Epigraphic studies
   - Holmul Frieze photographic documentation using the high resolution photomosaic technique by Alexandre Tokovine.
   - Graphic and photographic documentation of the frieze's epigraphic text by Alexandre Tokovine.

### Conservation achievements

- New palm tree for Building B (underworld mask), Group I.
- Solar panels and permanent illumination system installed inside the mask tunnel (Building B, Group II).
- Cleaning and maintenance of Holmul's plazas, structures and minor sites.
- Trail and wooden stairs renovation to access major pyramids.
- No looting registered in 2013.

### Camp

- Renovation of palm roof in dining room and bedrooms.
- Installation of solar panels and a refrigerator.
- Heliport maintenance.
- Holmul site –camp trail maintenance and improvement.

### Collaborations

- Installation of motion activated cameras for WCS jaguar studies.
- Palynological data recollection for USGS Holmul region palaeoenvironmental study.

### Public Relations

a. Press Conference to disclose Stucco Frieze finding, August 7th 2013

b. Conferences
   - Inter American Development Bank (Heavenly Jade Expo) February 5th, 2013.
   - National Archaeology Symposium in Guatemala (2).
   - Pre Columbian Society of Washington, DC, September 7th, 2013.

- Middle American Research Institute, September 26th, 2013.
- Maya at the Playa, Flagler, Florida, September 26th, 2013.

c. Publications

- Palaeo Climatology, Palaeogeography, Palaeoecology.
- XXIV Symposium Memoirs
- Season report
- Trilingual Publication for Kids (Maya K'akchikel, English and Spanish) "To the Stars by Canoe: A Mayan Parable for Children"

**Hired Staff in 2013**

| | |
|---|---|
| Professionals | 3 |
| Students | 10 |
| Technical Archaeological Staff | 70 |
| Administrative and Logistics Staff | 3 |
| Surveillance Staff | 8 |
| **Total** | 102 |

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## El Tintal Archaeological Project

Project Director: Dr. Candidate Mary Jane Acuña from Washington University of Saint Louis

**2013 Season Overview**

Tintal is a pre-Hispanic archaeological site located in the northern and central area of Petén, Guatemala, within the Maya Biosphere Reserve and in the forestry concession managed by the community of Carmelita, of the municipality of San Andrés. Its geographic coordinates are 17°34'15.9"N and 89°59'54.8"W, taken at the Mano de León complex. Elevation: 305 masl. It is close and connected to better known site El Mirador by a causeway created and used by the Maya during the Pre-Classic and Classic periods.

The main objective of the Tintal Archaeological Project (TAP) is the long term scientific research of the site of Tintal. TAP will carry out the first systematic investigations of the ancient city of Tintal, a settlement with just over 850 structures dispersed over $3.76mi^2$. Archaeological research will be directed towards elucidating Tintal's ancient culture and history, from its origins in the Preclassic through the Classic periods. TAP will be an interdisciplinary project, with professionals in archaeology, conservation, paleoethnobotany, zoo archaeology, and geoarchaeology, among other.

As a new project, TAP does not have any background or history. Present challenges are directly related with launching the project and establishing the proper infrastructure and logistics. In June 2013 there was a field recognition trip to establish the first connection with local Carmelita residents who will help with logistics and will provide several services, and to locate a proper place to install the archaeological team camp

Specific objectives for the first field season (2014) include initiating the topographic map of the site with digital equipment, documentation and analysis of looters' trenches, test pitting program in plazas and patios to gather chronological data from across the site, and the implementation of a formal long-term archaeological research plan. Future goals and objectives can only be defined more precisely after compiling preliminary and basic results. In addition to traditional archaeological research, Tintal has the potential to incorporate interdisciplinary studies including geoarchaeology (in association with the bajos and canals), paleoethnobotany and zoo archaeology to learn about ancient subsistence patterns and human-plan-animal relations, and paleoenvironment, among others.

The main beneficiaries will be the Guatemalan population in general, particularly students (both national and international), the community of Carmelita, scientific community and archaeology amateurs.

## PACUNAM General Support

PACUNAM schedules a fiscal year for the archaeological projects, from January to December, but since the agreement between Hitz Foundation and Pacunam was signed on July 26th, the Pacunam General Support clause started executing on August 1st 2013 and thus, will be finished on July 31st 2014. This donation is being used for:

### Project Coordinator

The project coordinator was hired to perform the following activities:

- Collect and review proposals submitted to the Foundation.
- Collect and review reports submitted by the implementation staff of the Conservation Agreements.
- Support the Executive Director with the management of cash flow and budget.
- Responsible of having an off-accountability auxiliary bookkeeping account for each project including the Hitz Foundation Funds.
- Organize fundraising events to support Archaeological Projects.

### Development Coordinator

- Collect and review proposals submitted to the Foundation, specifically those delivered by the Archaeological Projects.
- Analysis of the documentation related to the projects implemented by the Foundation.
- Attend and cooperate with the technicians and consultants who support the projects of the Foundation, specifically for the Archaeological Projects.
- Meet with the directors, co-directors and technicians of each of the Archaeological Projects supported by the Foundation.
- Assist the publication of articles of the discoveries of each Archaeological Project in newspapers and major specialized media, both national and international.

Hitz FOUNDATION

Pacunam
FUNDACIÓN PATRIMONIO CULTURAL Y NATURAL MAYA

## Financial Report

The amounts assigned to each of the components are:

| Purpose | Assigned Budget | Spent to date | Pending |
|---|---|---|---|
| Waka' – Peru AP | $25,000 | $25,000 | $- |
| La Corona AP | $25,000 | $25,000 | $- |
| Holmul AP | $50,000 | $50,000 | $- |
| Tintal Recognition Trip | $5,500 | $5,500 | $- |
| Tintal AP | $100,000 | $100,000 | $- |
| Project Coordinator | $18,600 | $10,250 | $8,350 |
| Development Coordinator | $26,400 | $17,600 | $8,800 |
| | | TOTAL PENDING | $17,150 |

Form 8868 (Rev 1-2014) Page 2

• If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II and check this box ▶ [X]

Note Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1)

**Part II** Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)

Enter filer's identifying number, see instructions

| Type or print<br>File by the due date for filing your return See instructions | Name of exempt organization or other filer, see instructions.<br>HITZ FOUNDATION<br>C/O FRANK, RIMERMAN + CO LLP | Employer identification number (EIN) or<br>94-3379521 |
|---|---|---|
| | Number, street, and room or suite no If a P O box, see instructions<br>1801 PAGE MILL ROAD | Social security number (SSN) |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions<br>PALO ALTO, CA 94304 | |

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

| Application Is For | Return Code | Application Is For | Return Code |
|---|---|---|---|
| Form 990 or Form 990-EZ | 01 | | |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 4720 (individual) | 03 | Form 4720 (other than individual) | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

• The books are in the care of ▶ FRANK, RIMERMAN + CO. LLP 1801 PAGE MILL ROAD - PALO ALTO, CA 94304

Telephone No. ▶ (650) 845-8100 Fax No. ▶ (650) 494-1975

• If the organization does not have an office or place of business in the United States, check this box ▶ [ ]

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ [ ] . If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until NOVEMBER 15, 2014

5 For calendar year 2013 , or other tax year beginning ______, and ending ______.

6 If the tax year entered in line 5 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
THE TAXPAYER RESPECTFULLY REQUESTS ADDITIONAL TIME TO GATHER THE INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN.

| | | | |
|---|---|---|---|
| 8a | If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | 8a | $ 37,008. |
| b | If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868. | 8b | $ 37,008. |
| c | Balance due. Subtract line 8b from line 8a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions | 8c | $ 0. |

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Title ▶ SECRETARY Date ▶ 8/1/14

Form 8868 (Rev 1-2014)

323842
12-31-13

Form **8868**
(Rev. January 2014)

Department of the Treasury
Internal Revenue Service

# Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.
▶ Information about Form 8868 and its instructions is at www irs.gov/form8868

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

*Do not complete Part II unless* you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing** *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

**Part I** **Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ [ ]

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

**Enter filer's identifying number**

| Type or print | Name of exempt organization or other filer, see instructions. | Employer identification number (EIN) or |
|---|---|---|
| File by the due date for filing your return See instructions | HITZ FOUNDATION<br>C/O FRANK, RIMERMAN + CO LLP | 94-3379521 |
| | Number, street, and room or suite no If a P.O box, see instructions<br>1801 PAGE MILL ROAD | Social security number (SSN) |
| | City, town or post office, state, and ZIP code For a foreign address, see instructions.<br>PALO ALTO, CA 94304 | |

Enter the Return code for the return that this application is for (file a separate application for each return) [0|4]

| Application Is For | Return Code | Application Is For | Return Code |
|---|---|---|---|
| Form 990 or Form 990-EZ | 01 | Form 990-T (corporation) | 07 |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 4720 (individual) | 03 | Form 4720 (other than individual) | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

- The books are in the care of ▶ FRANK, RIMERMAN + CO. LLP 1801 PAGE MILL ROAD - PALO ALTO, CA 94304
Telephone No. ▶ (650) 845-8100 Fax No ▶ (650) 494-1975
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ [ ] . If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for

**1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2014 , to file the exempt organization return for the organization named above. The extension is for the organization's return for:
▶ [X] calendar year 2013 or
▶ [ ] tax year beginning ______ , and ending ______ .

Frank, Rimerman & Co. LLP 94-1341042
1801 Page Mill Road
Palo Alto, CA 94304

**2** If the tax year entered in line 1 is for less than 12 months, check reason: [ ] Initial return [ ] Final return
[ ] Change in accounting period

| | | | | |
|---|---|---|---|---|
| **3a** | If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | 3a | $ | 37,008. |
| **b** | If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. | 3b | $ | 17,008. |
| **c** | **Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions | 3c | $ | 20,000. |

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.