Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation
KRISHNAN-SHAH FAMILY FOUNDATION, INC.

A Employer identification number
94-3288599

Number and street (or P O box number if mail is not delivered to street address)
27241 ALTAMONT ROAD

Room/suite

B Telephone number
415-362-5990

City or town, state or province, country, and ZIP or foreign postal code
LOS ALTOS HILLS, CA 94022

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☒ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 18,114,947.

J Accounting method. ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received	5,630,483.		N/A	
	2	Check ▶ ☐ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	163,392.	163,392.		STATEMENT 1
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	4,894,878.			
	b	Gross sales price for all assets on line 6a 11,996,014.				
	7	Capital gain net income (from Part IV, line 2)		4,894,878.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income	414.	414.		STATEMENT 2
	12	**Total.** Add lines 1 through 11	10,689,167.	5,058,684.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0.	0.		0.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees				
	b	Accounting fees STMT 3	2,500.	1,125.		1,375.
	c	Other professional fees STMT 4	143,147.	64,416.		78,731.
	17	Interest				
	18	Taxes STMT 5	4,888.	1,139.		0.
	19	Depreciation and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses STMT 6	25,776.	25,776.		0.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	176,311.	92,456.		80,106.
	25	Contributions, gifts, grants paid	315,611.			315,611.
	26	**Total expenses and disbursements.** Add lines 24 and 25	491,922.	92,456.		395,717.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	10,197,245.			
	b	**Net investment income** (if negative, enter -0-)		4,966,228.		
	c	**Adjusted net income** (if negative, enter -0-)			N/A	

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Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	29,400.		
	2	Savings and temporary cash investments	432,561.	909,527.	909,527.
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S. and state government obligations			
	b	Investments - corporate stock STMT 8	408,144.	9,302,372.	16,131,279.
	c	Investments - corporate bonds			
	11	Investments land, buildings, and equipment: basis ▶ Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 9	5,356,714.	680,780.	1,074,141.
	14	Land, buildings, and equipment: basis ▶ Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	6,226,819.	10,892,679.	18,114,947.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	6,226,819.	6,226,819.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	0.	4,665,860.	
	30	**Total net assets or fund balances**	6,226,819.	10,892,679.	
	31	**Total liabilities and net assets/fund balances**	6,226,819.	10,892,679.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,226,819.
2	Enter amount from Part I, line 27a	2	10,197,245.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	16,424,064.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7	5	5,531,385.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	10,892,679.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MERRILL LYNCH (SEE ATTACHED)	P	01/01/13	06/30/13
b	MERRILL LYNCH (SEE ATTACHED)	P	01/01/12	06/30/13
c	LEGACY VENTURES IV SHORT-TERM GAINS/LOSSES	P	01/01/13	06/30/13
d	LEGACY VENTURES IV LONG-TERM GAINS/LOSSES	P	01/01/12	06/30/13
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,632,112.		2,641,346.	<9,234.>
b	9,268,240.		4,459,790.	4,808,450.
c	3,749.			3,749.
d	91,913.			91,913.
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				<9,234.>
b				4,808,450.
c				3,749.
d				91,913.
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 4,894,878.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	315,202.	6,835,109.	.046115
2011	290,350.	6,385,321.	.045471
2010	133,150.	5,922,309.	.022483
2009	24,694.	5,363,303.	.004604
2008	128,077.	5,715,011.	.022411

2	**Total** of line 1, column (d)	2	.141084
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.028217
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	12,028,681.
5	Multiply line 4 by line 3	5	339,413.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	49,662.
7	Add lines 5 and 6	7	389,075.
8	Enter qualifying distributions from Part XII, line 4	8	395,717.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ **(attach copy of letter if necessary-see instructions)** **b** Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b **c** All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			1	49,662.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	49,662.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	49,662.
6 Credits/Payments:				
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	2,069.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c	95,961.		
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	98,030.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	4.
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	48,364.
11 Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶		48,364. **Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ REALIZE CPA, LLP Telephone no.▶415-362-5990

Located at ▶50 CALIFORNIA STREET, SUITE 3550, SAN FRANCISCO, ZIP+4 ▶94111

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X (No)

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LATA K. SHAH 27241 ALTAMONT ROAD LOS ALTOS HILLS, CA 94022	PRESIDENT/DIRECTOR 1.00	0.	0.	0.
AJAY B. SHAH 27241 ALTAMONT ROAD LOS ALTOS HILLS, CA 94022	SECRETARY/DIRECTOR 1.00	0.	0.	0.
RAJ KRISHNAN 27241 ALTAMONT ROAD LOS ALTOS HILLS, CA 94022	DIRECTOR 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	10,236,564.
b	Average of monthly cash balances		1b	1,001,176.
c	Fair market value of all other assets		1c	974,119.
d	**Total** (add lines 1a, b, and c)		1d	12,211,859.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	12,211,859.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	183,178.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	12,028,681.
6	**Minimum investment return.** Enter 5% of line 5		6	601,434.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	601,434.
2a	Tax on investment income for 2013 from Part VI, line 5	2a 49,662.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	49,662.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	551,772.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	551,772.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	551,772.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	395,717.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	395,717.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	49,662.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	346,055.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				551,772.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			304,641.	
b Total for prior years: _____, _____, _____		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 395,717.				
a Applied to 2012, but not more than line 2a			304,641.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				91,076.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				460,696.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

SEE STATEMENT 10

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AMERICAN INDIA FOUNDATION 580 CALIFORNIA STREET STE 1200 SAN FRANCISCO, CA 94104	NONE	501(C)(3)	GENERAL OPERATING	50,000.
JEENA 1510 CENTRE POINT DRIVE MILPITAS, CA 95035	NONE	501(C)(3)	GENERAL OPERATING	5,000.
NURU INTERNATIONAL 855 EL CAMINO REAL STE 13A-411 PALO ALTO, CA 94301	NONE	501(C)(3)	GENERAL OPERATING	20,000.
GLOBAL HERITAGE FUND 625 EMERSON STREET, STE 200 PALO ALTO, CA 94301	NONE	501(C)(3)	GENERAL OPERATING	17,000.
SACHI PO BOX 60542 PALO ALTO, CA 94306	NONE	501(C)(3)	GENERAL OPERATING	1,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	315,611.
b *Approved for future payment* NONE				
Total			▶ 3b	0.

Form **990-PF** (2013)

323611
10-10-13

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	163,392.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	414.	
8 Gain or (loss) from sales of assets other than inventory			18	4,894,878.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		5,058,684.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 5,058,684.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

323621
10-10-13

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 11·11·14 | Title ▶ PRESIDENT

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
KYLE VINEYARD	Kyle M Vineyard	11/6/14		P00653810

Firm's name ▶ REALIZE CPA, LLP — Firm's EIN ▶ 94-3145806

Firm's address ▶ 50 CALIFORNIA STREET, SUITE 3550
SAN FRANCISCO, CA 94111

Phone no. (415) 362-5990

Form **990-PF** (2013)

323622
10-10-13

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Name of the organization	Employer identification number
KRISHNAN-SHAH FAMILY FOUNDATION, INC.	94-3288599

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of $5,000 or more during the year . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
KRISHNAN-SHAH FAMILY FOUNDATION, INC.	94-3288599

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	AJAY & LATA SHAH 27241 ALTAMONT ROAD LOS ALTOS HILLS, CA 94022	$ 5,630,483.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	Employer identification number
KRISHNAN-SHAH FAMILY FOUNDATION, INC.	94-3288599

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	VARIOUS PUBLIC SECURITIES (SEE ATTACHED)	$ 5,630,483.	12/27/13

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Schedule B, Part II, column (b)
2013

Date of Transfer	Description	Symbol	Shares	High Price	Low Price	Avg Price	FMV
12/19/2013	Campbell Soup	CPB	2,304	43 43	42 81	43 12	99,348 48
12/19/2013	Capital One	COF	1,358	73 78	72 77	73 28	99,507 45
12/19/2013	Dun & Bradstreet	DNB	1,893	118 03	116 26	117 15	221,755 49
12/19/2013	United Parcel Service	UPS	2,920	103 24	102 40	102 82	300,234 40
12/20/2013	Texas Instruments	TXN	7,158	43 00	42 49	42 75	305,968 71
12/20/2013	Manhattan Associates	MANH	631	121 28	118 26	119 77	75,574 87
12/20/2013	Invensense Inc	INVN	9,840	17 15	16 42	16 79	165,164 40
12/20/2013	Guidewire Software	GWRE	11,724	48 60	46 22	47 41	555,834 84
12/20/2013	Flextronics	FLEX	45,467	7 61	7 38	7 50	340,775 17
12/20/2013	Equinix	EQIX	592	171 05	168 60	169 83	100,536 40
12/20/2013	Adobe Systems	ADBE	5,128	59 55	58 22	58 89	301,962 28
12/23/2013	Campbell Soup	CPB	2,118	42 89	42 44	42 67	90,364 47
12/23/2013	Capital One	COF	1,197	75 33	74 50	74 92	89,673 26
12/17/2013	Pandora	P	13,857	27 14	26 24	26 69	369,843 33
12/27/2013	Cvent	CVT	68,360	37 55	36 00	36 78	2,513,939 00
						Total FMV	**$ 5,630,482.54**

Name of organization

KRISHNAN-SHAH FAMILY FOUNDATION, INC.

Employer identification number

94-3288599

Part III *Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less for the year.** (Enter this information once.) ▶ $

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
LEGACY VENTURES IV INTEREST/DIVIDEND INCOME	3,895.	0.	3,895.	3,895.	
MERRILL LYNCH - DIVIDEND INCOME	159,497.	0.	159,497.	159,497.	
TO PART I, LINE 4	163,392.	0.	163,392.	163,392.	

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
LEGACY VENTURES IV OTHER INCOME	414.	414.	
TOTAL TO FORM 990-PF, PART I, LINE 11	414.	414.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	2,500.	1,125.		1,375.
TO FORM 990-PF, PG 1, LN 16B	2,500.	1,125.		1,375.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MERRILL LYNCH FEES	143,147.	64,416.		78,731.
TO FORM 990-PF, PG 1, LN 16C	143,147.	64,416.		78,731.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAXES	3,749.	0.		0.
FOREIGN TAXES	1,139.	1,139.		0.
TO FORM 990-PF, PG 1, LN 18	4,888.	1,139.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FILING FEES	180.	180.		0.
LEGACY VENTURES IV EXPENSES	25,596.	25,596.		0.
TO FORM 990-PF, PG 1, LN 23	25,776.	25,776.		0.

FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 7

DESCRIPTION	AMOUNT
EXCESS FMV OVER COST OF ASSETS CONTRIBUTED	5,531,385.
TOTAL TO FORM 990-PF, PART III, LINE 5	5,531,385.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	9,302,372.	16,131,279.
TOTAL TO FORM 990-PF, PART II, LINE 10B	9,302,372.	16,131,279.

FORM 990-PF OTHER INVESTMENTS STATEMENT 9

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
OTHER INVESTMENTS	COST	680,780.	1,074,141.
TOTAL TO FORM 990-PF, PART II, LINE 13		680,780.	1,074,141.

FORM 990-PF PART XV - LINE 1A STATEMENT 10
LIST OF FOUNDATION MANAGERS

NAME OF MANAGER

LATA K. SHAH
AJAY B. SHAH

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
KQED 2601 MARIPOSA STREET SAN FRANCISCO, CA 94110	NONE	501(C)(3)	GENERAL OPERATING	2,500.
TALKING CRANES 141 LAUREL WAY MOUNTAIN VIEW, CA 94040	NONE	501(C)(3)	GENERAL OPERATING	1,000.
UNIVERSITY OF CA PRESS 2120 BERKELEY WAY BERKELEY, CA 94704	NONE	501(C)(3)	GENERAL OPERATING	2,000.
FRESH LIFELINES FOR YOUTH 568 VALLEY WAY MILPITAS, CA 95035	NONE	501(C)(3)	GENERAL OPERATING	20,000.
DOWNTOWN COLLEGE PREP 1460 THE ALAMEDA SAN JOSE, CA 95126	NONE	501(C)(3)	GENERAL OPERATING	10,000.
GLOBAL FUND FOR WOMEN 222 SUTTER STREET, STE 500 SAN FRANCISCO, CA 94108	NONE	501(C)(3)	GENERAL OPERATING	2,000.
STANFORD UNIVERSITY 450 SERRA MALL STANFORD, CA 94305	NONE	501(C)(3)	GENERAL OPERATING	167,611.
THE INNOCENCE PROJECT 40 WORTH ST. STE 701 NEW YORK, NY 10013	NONE	501(C)(3)	GENERAL OPERATING	5,000.
SAN MARGA IRAIVAN TEMPLE 107 KAHOLALELE ROAD KAPAA, HI 96746	NONE	501(C)(3)	GENERAL OPERATING	5,000.
HINDU COMMUNITY & CULTURAL CENTER 1232 ARROWHEAD AVE LIVERMORE, CA 94551	NONE	501(C)(3)	GENERAL OPERATING	5,000.
Total from continuation sheets				222,611.

KRISHNAN-SHAH FAMILY FOUNDATION, INC. 94-3288599

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
VILLAGE ENTERPRISE 1161 CHERRY ST SAN CARLOS, CA 94070	NONE	501(C)(3)	GENERAL OPERATING	2,500.
Total from continuation sheets				

323631
05-01-13

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) * This Statement	Year to Date
CAPITAL ONE FINL	10870.0000	01/02/07	02/01/13	615,807.57	3,005 23	612,802 34	
CAPITAL ONE FINL	1030 0000	10/24/08	02/01/13	58,351.60	95 77	58,255.83	
COVANCE INC	3235 0000	09/21/01	02/01/13	219 625.05	2,095 10	217,529.95	
CAMPBELL SOUP CO	8280.0000	01/02/07	02/01/13	304,220.26	2,508 84	301,711.42	

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

February 01, 2013 February 28, 2013

REALIZED GAINS/(LOSSES) (continued)

		Acquired	Liquidation			Gains/(Losses) *	
Description	*Quantity*	*Date*	*Date*	*Sale Amount*	*Cost Basis*	*This Statement*	*Year to Date*
CAMPBELL SOUP CO	1718 0000	10/24/08	02/01/13	63,122 02	520 55	62,601.47	
CAMPBELL SOUP CO	45.0000	10/24/08	02/01/13	1,653 37	13 63	1,639 74	
CAMPBELL SOUP CO	4505 0000	10/24/08	02/01/13	165,520 80	1,365 01	164,155 79	
CAMPBELL SOUP CO	17695 0000	12/22/08	02/01/13	650,142.21	4,342 36	645,799 85	
CONOCOPHILLIPS	3400 0000	10/24/08	02/01/13	198,181.56	61,896 46	136,285 10	
EXXON MOBIL CORP COM	6800.0000	10/24/08	02/01/13	611,238 99	92,786 00	518,452.99	
FEDERATED INVESTRS B	10964.0000	10/24/08	02/01/13	260 217 04	931 58	259 285 46	
ISHARES S&P 500 VALUE	58 0000	10/16/09	01/30/13	4 116 81	3 046.86	1 069 95	
ISHARES IBOXX $	1364 0000	10/16/09	01/30/13	162,783 66	142,152.81	20 630 85	
ISHARES IBOXX $	315 0000	04/13/10	01/30/13	37,593.00	33,282 62	4,310 38	
ISHARES IBOXX $	707.0000	04/13/10	02/22/13	84 686 57	74,700 98	9,985 59	
ISHARES IBOXX $	55 0000	12/14/10	02/22/13	6,588.06	5,924 05	664 01	
ISHARES IBOXX $	726 0000	09/16/11	02/22/13	86,962.45	81,769 38	5,193 07	
ISHARES IBOXX $	2504 0000	02/03/12	02/22/13	299,936.63	290,834 62	9 102 01	
ISHARES IBOXX $	105 0000	02/15/12	02/22/13	12 577.21	12,227 25	349 96	
ISHARES CORE TOTAL U.S	1576 0000	08/17/09	01/30/13	173,710 71	162,920.26	10,790 45	
ISHARES CORE TOTAL U S	1942 0000	08/17/09	02/22/13	214,259 58	200,755 81	13,503 77	
ISHARES CORE TOTAL U.S	216 0000	10/16/09	02/22/13	23,831.13	22,520 16	1 310 97	
ISHARES CORE TOTAL U.S	762.0000	04/13/10	02/22/13	84,070 96	79,308.96	4 762 00	
ISHARES CORE TOTAL U.S.	147.0000	12/14/10	02/22/13	16,218.41	15,432.94	785 47	
ISHARES CORE TOTAL U S.	1326 0000	02/03/12	02/22/13	146,296 71	146,336 70	(39 99)	
ISHARES CORE TOTAL U S	117 0000	02/15/12	02/22/13	12,908 53	12,934 35	(25 82)	
VANGUARD SMALL CAP	14.0000	02/03/12	02/22/13	1,333.47	1,198 74	134 73	
VANGUARD SHORT TERM BOND	2634 0000	10/16/09	02/22/13	213,112.93	210,324.91	2,788 02	
VANGUARD SHORT TERM BOND	493 0000	04/13/10	02/22/13	39 887.88	39,335.19	552 69	
VANGUARD SHORT TERM BOND	90 0000	12/14/10	02/22/13	7,281.76	7,260.30	21 46	
◇ VANGUARD SHORT TERM BOND	3965 0000	09/16/11	02/22/13	320,802.13	323 611.42	N/C	
◇ VANGUARD SHORT TERM BOND	2183 0000	09/16/11	02/22/13	176 623 20	178,169 90	N/C	
◇ VANGUARD SHORT TERM BOND	3106 0000	02/03/12	02/22/13	251,301.74	252,393.56	N/C	
ISHARES S&P 500	44 0000	10/11/07	01/30/13	3,488 68	3,180 17	308.51	

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

February 01, 2013 - February 28, 2013

REALIZED GAINS/(LOSSES) (continued)

Description	*Quantity*	*Acquired Date*	*Liquidation Date*	*Sale Amount*	*Cost Basis*	*Gains/(Losses) * This Statement*	*Year to Date*
KELLOGG CO	5300 0000	05/25/00	02/01/13	311,861.97	12,025.53	299,836.44	
PROCTER & GAMBLE CO	7200.0000	10/24/08	02/01/13	544,247 33	224,948.67	319,298 66	
STARBUCKS CORP	5500 0000	05/25/00	02/01/13	313,223 48	6,423.31	306,800 17	
VALSPAR CORP COM	3300 0000	10/24/08	02/01/13	222,207 45	576 84	221,630.61	
Subtotal (Long-Term)						4,212,283 90	4,212,283 90
ISHARES IBOXX $	69 0000	04/13/12	02/22/13	8,265.02	7,995 72	269 30	
ISHARES IBOXX $	3432 0000	02/01/13	02/22/13	411,095 27	409,700 49	1,394 78	
ISHARES CORE TOTAL U S.	3719 0000	02/01/13	02/22/13	410,314 87	409,940 91	373 96	
VANGUARD SMALL CAP VALUE	1.0000	02/03/12	01/30/13	77 34	69 92	7.42	
Subtotal (Short-Term)						2,045 46	2,045 46
TOTAL				**7,749,745.41**	**3,540,863.86**	**4,214,329.36**	**4,214,329.36**

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) * This Statement	Gains/(Losses) * Year to Date
Subtotal (Long-Term)							4,212,283 90
ISHARES TR CORE SHORT	15084 0000	02/22/13	02/26/13	1,500,460 87	1 510,511.76	(10,050.89)	
Subtotal (Short-Term)						*(10,050.89)*	*(8,005 43)*
TOTAL				**1,600,460.87**	**1,510,511.76**	**(10,050.89)**	**4,204,278.47**

* - Excludes transactions for which we have insufficient data

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YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

June 29, 2013 July 31 2013

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) * This Statement	Gains/(Losses) * Year to Date
ISHARES S&P 500 VALUE	7353 0000	10/16/09	07/17/13	186 735.54	123,607 79	63 127 75	
ISHARES S&P 500 VALUE	5614.0000	10/16/09	07/23/13	451,864.12	294,914 65	156,949 47	
VANGUARD SMALL CAP VALUE	382 0000	02/03/12	07/23/13	34,311.13	26,709 63	7,601.50	
VANGUARD SMALL CAP VALUE	514.0000	04/13/12	07/23/13	46,167.35	34,982.84	11,184.51	
VANGUARD SMALL CAP	192 0000	02/03/12	07/17/13	21 045 86	16,439 86	4,606 00	
VANGUARD SMALL CAP	775.0000	02/03/12	07/23/13	85 592.61	66,358 83	19,233 78	
◆ VANGUARD SHORT TERM BOND	121.0000	09/16/11	07/17/13	9 715 40	9,870.52	N/C	
◇ VANGUARD SHORT TERM BOND	45 0000	09/16/11	07/17/13	3 613.17	3,670 85	(57 68)	
ISHARES S&P MIDCAP 400	328.0000	10/11/07	07/23/13	45,148 90	30,582.73	14,566 17	
ISHARES S&P MIDCAP 400	678 0000	08/17/09	07/23/13	93,326 09	45,668 25	47,657 84	
ISHARES S&P MIDCAP 400	59 0000	04/13/10	07/23/13	8 121.29	5,170 76	2,950 53	
ISHARES S&P MIDCAP 400	1273 0000	09/16/11	07/23/13	175 227 33	127,054.57	48 172.76	
ISHARES S&P 500	5227 0000	10/11/07	07/23/13	462,686.51	377,789.27	84,897.24	
◇ ISHARES S&P 500	424 0000	10/11/07	07/23/13	37,531.87	30,540 88	6,990.99	
ISHARES S&P 500	1078.0000	08/17/09	07/23/13	95,423 01	54,232 89	41,190 12	
ISHARES S&P MID-CAP 400	415 0000	09/16/11	07/17/13	44,349 37	30,332 35	14,017.02	
ISHARES S&P MID-CAP 400	2223 0000	09/16/11	07/23/13	241,472 06	162 479 07	78,992 99	
Subtotal (Long-Term)						*602,080.99*	*4,814,364.89*
◇ ISHARES 1-3 YEAR	86 0000	02/22/13	07/17/13	9 020.20	9,089 61	N/C	
ISHARES 1-3 YEAR	41.0000	02/22/13	07/17/13	4 300.33	4,333 42	(33.09)	
Subtotal (Short-Term)						*(33 09)*	*(8,038.52)*
TOTAL				**2,055,652.14**	**1,453,828.77**	**602,047.90**	**4,806,326.37**

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

October 01, 2013 - October 31, 2013

REALIZED GAINS/(LOSSES)

		Acquired	Liquidation			Gains/(Losses) *	
Description	*Quantity*	*Date*	*Date*	*Sale Amount*	*Cost Basis*	*This Statement*	*Year to Date*
VANGUARD SMALL CAP VALUE	21 0000	04/13/12	10/03/13	1,893 33	1,430 52	462 81	
VANGUARD SMALL CAP	20 0000	02/03/12	10/03/13	2,291.96	1,713 69	578 27	
✦ VANGUARD SHORT TERM BOND	148 0000	09/16/11	10/03/13	11,893 27	12 081 91	N/C	
◇ VANGUARD SHORT TERM BOND	3401.0000	09/16/11	10/03/13	273,304 02	277 639 01	(4 334 99)	
ISHARES S&P MIDCAP 400	43 0000	09/16/11	10/03/13	5,994 10	4 291.71	1,702 39	
ISHARES S&P MID-CAP 400	42 0000	09/16/11	10/03/13	4 538 44	3 069 78	1,468 66	
Subtotal (Long-Term)						*(122.86)*	*4,814,242 C3*
◇ ISHARES 1-3 YEAR	39 0000	02/22/13	10/03/13	4,103 68	4,124 37	N/C	
ISHARES 1 3 YEAR	2683 0000	02/22/13	10/03/13	282 312 43	283,735.71	(1,423 28)	
VANGAURD FTSE DEVELOPED	206 0000	07/23/13	10/03/13	8 162.47	7 844 48	317 99	
Subtotal (Short-Term)						*(1,105.29)*	*(9,143 81)*

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

October 01, 2013 - October 31, 2013

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses)* This Statement	Gains/(Losses)* Year to Date
TOTAL				**594,493.70**	**595,931.18**	**(1,228.15)**	**4,805,098.22**