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**Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation**

**2013**

Department of the Treasury  
Internal Revenue Service

▶ Do not enter Social Security numbers on this form as it may be made public.  
▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2013, or tax year beginning , 2013, and ending ,

THE MENTAL INSIGHT FOUNDATION  
538 BROADWAY, SUITE A  
SONOMA, CA 95476

**A** Employer identification number  
94-3256579

**B** Telephone number (see the instructions)  
(707) 938-8248

**C** If exemption application is pending, check here

**D** 1 Foreign organizations, check here   
2 Foreign organizations meeting the 85% test, check here and attach computation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

**G** Check all that apply:

<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change

**H** Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, column (c), line 16)  
▶ \$ 19,110,020.

**J** Accounting method:  Cash  Accrual  
 Other (specify) \_\_\_\_\_ (Part I, column (d) must be on cash basis.)

<b>Part III Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>1</b> Contributions, gifts, grants, etc. received (att sch)					
<b>2</b> Ck <input checked="" type="checkbox"/> if the foundn is not req to att Sch B					
<b>3</b> Interest on savings and temporary cash investments		74,473.	74,473.	N/A	
<b>4</b> Dividends and interest from securities		94,544.	94,544.		
<b>5a</b> Gross rents					
<b>b</b> Net rental income or (loss)					
<b>6a</b> Net gain/(loss) from sale of assets not on line 10		539,090.			
<b>b</b> Gross sales price for all assets on line 6a		3,138,860.			
<b>7</b> Capital gain net income (from Part IV, line 2)			539,090.		
<b>8</b> Net short-term capital gain					
<b>9</b> Income modifications					
<b>10a</b> Gross sales less returns and allowances					
<b>b</b> Less: Cost of goods sold					
<b>c</b> Gross profit/(loss) (att sch)					
<b>11</b> Other income (attach schedule)		754,169.	7,047.		
<b>12</b> Total. Add lines 1 through 11		1,462,276.	715,154.		
<b>13</b> Compensation of officers, directors, trustees, etc.		75,912.			75,912.
<b>14</b> Other employee salaries and wages					
<b>15</b> Pension plans, employee benefits					
<b>16a</b> Legal fees (attach schedule)					
<b>b</b> Accounting fees (attach sch) SEE ST. 2		44,150.			44,150.
<b>c</b> Other prof fees (attach sch)					
<b>17</b> Interest					
<b>18</b> Taxes (attach schedule)(see instrs) SEE STM 3		383,148.	2,329.		377,228.
<b>19</b> Depreciation (attach sch) and depletion					
<b>20</b> Occupancy					
<b>21</b> Travel, conferences, and meetings					
<b>22</b> Printing and publications					
<b>23</b> Other expenses (attach schedule) SEE STATEMENT 4		394,169.	133,046.		261,123.
<b>24</b> Total operating and administrative expenses. Add lines 13 through 23		897,379.	135,375.		758,413.
<b>25</b> Contributions, gifts, grants paid PART XV		1,060,000.			1,060,000.
<b>26</b> Total expenses and disbursements. Add lines 24 and 25		1,957,379.	135,375.		1,818,413.
<b>27</b> Subtract line 26 from line 12:					
<b>a</b> Excess of revenue over expenses and disbursements		-495,103.			
<b>b</b> Net investment income (if negative, enter -0-)			579,779.		
<b>c</b> Adjusted net income (if negative, enter -0-)					

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Part III Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
ASSETS	1	Cash – non-interest-bearing			
	2	Savings and temporary cash investments	409,306.	314,718.	314,718.
	3	Accounts receivable			
		Less: allowance for doubtful accounts			
	4	Pledges receivable			
		Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch)			
		Less: allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U.S. and state government obligations (attach schedule)			
	b	Investments – corporate stock (attach schedule)	6,943,006.	7,686,055.	7,686,055.
	c	Investments – corporate bonds (attach schedule)			
	11	Investments – land, buildings, and equipment: basis			
	Less: accumulated depreciation (attach schedule)				
12	Investments – mortgage loans				
13	Investments – other (attach schedule)	9,717,598.	11,036,745.	11,036,745.	
14	Land, buildings, and equipment: basis				
	Less: accumulated depreciation (attach schedule)				
15	Other assets (describe SEE STATEMENT 5)	1,630.	72,502.	72,502.	
16	<b>Total assets</b> (to be completed by all filers – see the instructions. Also, see page 1, item I).	17,071,540.	19,110,020.	19,110,020.	
LIABILITIES	17	Accounts payable and accrued expenses	61,105.	41,400.	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe SEE STATEMENT 6)	138,795.	47,000.	
	23	<b>Total liabilities</b> (add lines 17 through 22)	199,900.	88,400.	
NET ASSET BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds	6,250,000.	6,250,000.	
	28	Paid-in or capital surplus, or land, building, and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	10,621,640.	12,771,620.		
30	<b>Total net assets or fund balances</b> (see instructions)	16,871,640.	19,021,620.		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions)	17,071,540.	19,110,020.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	16,871,640.
2	Enter amount from Part I, line 27a	2	-495,103.
3	Other increases not included in line 2 (itemize) SEE STATEMENT 7	3	2,692,307.
4	Add lines 1, 2, and 3	4	19,068,844.
5	Decreases not included in line 2 (itemize) SEE STATEMENT 8	5	47,224.
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	19,021,620.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE STATEMENT 9			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss). <span style="border: 1px solid black; padding: 2px;">If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7</span>	2	539,090.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 <span style="border: 1px solid black; padding: 2px;"> </span>	3	46,330.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	2,062,343.	17,119,465.	0.120468
2011	1,832,332.	17,713,330.	0.103444
2010	1,555,211.	15,110,472.	0.102923
2009	1,585,760.	14,167,750.	0.111927
2008	1,553,901.	10,153,440.	0.153042

2 Total of line 1, column (d)	2	0.591804
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.118361
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	17,839,858.
5 Multiply line 4 by line 3	5	2,111,543.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	5,798.
7 Add lines 5 and 6	7	2,117,341.
8 Enter qualifying distributions from Part XII, line 4	8	1,818,413.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	11,596.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	11,596.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	11,596.
6 Credits/Payments:			
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a	15,920.	
b Exempt foreign organizations - tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c	15,000.	
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	30,920.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	19,324.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax 19,324. Refunded	11	0.	

**Part VII Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions). CA		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses.</i>		X

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes', attach statement (see instructions)
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?
Website address N/A
14 The books are in care of VIRGINIA HUBBELL Telephone no. (707) 938-8248
Located at 538 BROADWAY, SUITE A SONOMA CA ZIP + 4 95476
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here N/A
and enter the amount of tax-exempt interest received or accrued during the year 15 N/A
16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

1 a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?
Organizations relying on a current notice regarding disaster assistance check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?
If 'Yes,' list the years 20 \_\_, 20 \_\_, 20 \_\_, 20 \_\_
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
20 \_\_, 20 \_\_, 20 \_\_, 20 \_\_
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?

**Part VII** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No
- (3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No
- (4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)  Yes  No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No

Organizations relying on a current notice regarding disaster assistance check here.

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No

If 'Yes' to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

5b	N/A	
6b		X
7b		

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		75,912.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000  0



**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b> Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
<b>a</b> Average monthly fair market value of securities.....	<b>1a</b>	7,614,824.
<b>b</b> Average of monthly cash balances .....	<b>1b</b>	424,285.
<b>c</b> Fair market value of all other assets (see instructions) .....	<b>1c</b>	10,072,422.
<b>d</b> Total (add lines 1a, b, and c).....	<b>1d</b>	18,111,531.
<b>e</b> Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) .....	<b>1e</b>	0.
<b>2</b> Acquisition indebtedness applicable to line 1 assets.....	<b>2</b>	0.
<b>3</b> Subtract line 2 from line 1d .....	<b>3</b>	18,111,531.
<b>4</b> Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions) .....	<b>4</b>	271,673.
<b>5</b> Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4. ....	<b>5</b>	17,839,858.
<b>6</b> Minimum investment return. Enter 5% of line 5.....	<b>6</b>	891,993.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b> Minimum investment return from Part X, line 6....	<b>1</b>	891,993.
<b>2a</b> Tax on investment income for 2013 from Part VI, line 5. ....	<b>2a</b>	11,596.
<b>b</b> Income tax for 2013. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b> Add lines 2a and 2b. ....	<b>2c</b>	11,596.
<b>3</b> Distributable amount before adjustments. Subtract line 2c from line 1 .....	<b>3</b>	880,397.
<b>4</b> Recoveries of amounts treated as qualifying distributions .....	<b>4</b>	
<b>5</b> Add lines 3 and 4. ....	<b>5</b>	880,397.
<b>6</b> Deduction from distributable amount (see instructions) .....	<b>6</b>	
<b>7</b> Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 .....	<b>7</b>	880,397.

**Part XII** Qualifying Distributions (see instructions)

<b>1</b> Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
<b>a</b> Expenses, contributions, gifts, etc – total from Part I, column (d), line 26.....	<b>1a</b>	1,818,413.
<b>b</b> Program-related investments – total from Part IX-B.....	<b>1b</b>	
<b>2</b> Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes.....	<b>2</b>	
<b>3</b> Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b> Suitability test (prior IRS approval required) .....	<b>3a</b>	
<b>b</b> Cash distribution test (attach the required schedule) .....	<b>3b</b>	
<b>4</b> Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 .....	<b>4</b>	1,818,413.
<b>5</b> Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) .....	<b>5</b>	
<b>6</b> Adjusted qualifying distributions. Subtract line 5 from line 4.....	<b>6</b>	1,818,413.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII. Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
<b>1</b> Distributable amount for 2013 from Part XI, line 7. . . . .				880,397.
<b>2</b> Undistributed income, if any, as of the end of 2013:				
<b>a</b> Enter amount for 2012 only. . . . .			0.	
<b>b</b> Total for prior years: 20 __, 20 __, 20 __		0.		
<b>3</b> Excess distributions carryover, if any, to 2013:				
<b>a</b> From 2008 . . . . .	1,049,141.			
<b>b</b> From 2009 . . . . .	880,785.			
<b>c</b> From 2010 . . . . .	806,190.			
<b>d</b> From 2011 . . . . .	953,288.			
<b>e</b> From 2012 . . . . .	1,217,016.			
<b>f</b> Total of lines 3a through e. . . . .	4,906,420.			
<b>4</b> Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 1,818,413.				
<b>a</b> Applied to 2012, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years (Election required – see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required – see instructions)	0.			
<b>d</b> Applied to 2013 distributable amount				880,397.
<b>e</b> Remaining amount distributed out of corpus.	938,016.			
<b>5</b> Excess distributions carryover applied to 2013 . . . . . (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5. . . . .	5,844,436.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b. . . . .		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount – see instructions. . . . .		0.		
<b>e</b> Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount – see instructions. . . . .			0.	
<b>f</b> Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014. . . . .				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .	0.			
<b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	1,049,141.			
<b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a. . . . .	4,795,295.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2009 . . . . .	880,785.			
<b>b</b> Excess from 2010 . . . . .	806,190.			
<b>c</b> Excess from 2011 . . . . .	953,288.			
<b>d</b> Excess from 2012 . . . . .	1,217,016.			
<b>e</b> Excess from 2013 . . . . .	938,016.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

**1** a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2** a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.

	Tax year				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
<b>b</b> 85% of line 2a.					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed.					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities.					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> 'Assets' alternative test — enter:					
<b>(1)</b> Value of all assets.					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i).					
<b>b</b> 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
<b>c</b> 'Support' alternative test — enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
<b>(3)</b> Largest amount of support from an exempt organization.					
<b>(4)</b> Gross investment income.					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

SEE STATEMENT 11

**b** The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT FOR LINE 2A

**c** Any submission deadlines:

SEE STATEMENT FOR LINE 2A

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENT FOR LINE 2A

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p><i>a Paid during the year</i> SEE STATEMENT 12</p>				
<b>Total</b> .....				<b>3 a</b> 1,060,000.
<p><i>b Approved for future payment</i></p>				
<b>Total</b> .....				<b>3 b</b>





## THE MENTAL INSIGHT FOUNDATION

94-3256579

STATEMENT 1  
FORM 990-PF, PART I, LINE 11  
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
KIMPTON HOTEL GROUP, LLC.....	\$ 730,659.	\$ 7,874.	
NT PRIV EQUITY FUND III.....	10,565.	-835.	
TBD RESTAURANT HOLDING.....	6,420.		
KGH, LLC - WORKER'S COMP.....	5,112.		
TAX REFUND.....	1,006.		
WASH SALE.....	399.		
NT PRIV EQUITY FUND V.....	8.	8.	
TOTAL	<u>\$ 754,169.</u>	<u>\$ 7,047.</u>	<u>\$ 0.</u>

STATEMENT 2  
FORM 990-PF, PART I, LINE 16B  
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING.....	\$ 44,150.			\$ 44,150.
TOTAL	<u>\$ 44,150.</u>	<u>\$ 0.</u>	<u></u>	<u>\$ 44,150.</u>

STATEMENT 3  
FORM 990-PF, PART I, LINE 18  
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAX.....	\$ 311,279.			\$ 305,359.
STATE TAX.....	68,352.			68,352.
FOREIGN TAXES UBS.....	1,825.	\$ 1,825.		1,825.
FOREIGN TAXES NORTHERN TRUST.....	241.	241.		241.
NT PRIVATE EQUITY FUND III FOR. TAX				
	252.	252.		252.
NT PRIVATE EQUITY FUND V FOR. TAX.	11.	11.		11.
KGH PASSTHROUGH PAYMENTS.....	1,188.			1,188.
TOTAL	<u>\$ 383,148.</u>	<u>\$ 2,329.</u>	<u></u>	<u>\$ 377,228.</u>

## THE MENTAL INSIGHT FOUNDATION

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**STATEMENT 4**  
**FORM 990-PF, PART I, LINE 23**  
**OTHER EXPENSES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
NT PRIVATE EQUITY FUND III DEDUCTIONS				
	\$ 25,603.	\$ 25,603.		
VOYAGER CAPITAL DEDUCTIONS.....	1,063.	1,063.		
FT VENTURES DEDUCTIONS.....	80.	80.		
MAVERON EQUITY PARTNER DEDUCTIONS	679.	679.		
KGH LLC, DEDUCTIONS.....	6,118.	6,118.		
LAZARD.....	20.	20.		
NT PRIVATE EQUITY FUND V DEDUCTIONS				
	14,191.	14,191.		
FOUNDATION ADMINISTRATION.....	255,256.			\$ 255,256.
NORTHERN TRUST AGENCY FEES.....	18,882.	18,882.		
NORTHERN TRUST MANAGEMENT FEES.....	25.	25.		
UBS MANAGEMENT FEES.....	59,928.	59,928.		
ADMINISTRATIVE.....	1,842.			1,842.
INSURANCE.....	4,025.			4,025.
NOTIONAL INTEREST.....	6,457.	6,457.		
<b>TOTAL</b>	<b>\$ 394,169.</b>	<b>\$ 133,046.</b>		<b>\$ 261,123.</b>

**STATEMENT 5**  
**FORM 990-PF, PART II, LINE 15**  
**OTHER ASSETS**

	BOOK VALUE	FAIR MARKET VALUE
PREPAID EXPENSE.....	\$ 72,502.	\$ 72,502.
<b>TOTAL</b>	<b>\$ 72,502.</b>	<b>\$ 72,502.</b>

**STATEMENT 6**  
**FORM 990-PF, PART II, LINE 22**  
**OTHER LIABILITIES**

DONATION PAYABLE.....	\$ 47,000.
<b>TOTAL</b>	<b>\$ 47,000.</b>

**STATEMENT 7**  
**FORM 990-PF, PART III, LINE 3**  
**OTHER INCREASES**

VOYAGER BOOK TO TAX DIFFERENCES.....	\$ 3,482.
FT VENTURES BOOK TO TAX K-1 DIFFERENCES.....	171.
KIMPTON GROUP HOLDING, LLC K-1 BOOK TO TAX DIFFERENCES.....	1,732,723.
NT PRIVATE EQUITY FUND V BOOK TO TAX.....	12,824.
NORTHERN TRUST UNREALIZED GAIN.....	73,079.
UBS UNREALIZED GAINS.....	788,553.
FOREIGN TAX NOT ON BOOKS.....	263.

**STATEMENT 7 (CONTINUED)**  
**FORM 990-PF, PART III, LINE 3**  
**OTHER INCREASES**

FEDERAL TAX BOOK TO TAX .....	\$	51,773.
STATE TAX BOOK TO TAX .....		28,249.
COMPOSITE TAX PAYMENTS BOOK TO TAX .....		1,188.
ROUNDING.....		2.
	<b>TOTAL</b>	<b>\$ 2,692,307.</b>

**STATEMENT 8**  
**FORM 990-PF, PART III, LINE 5**  
**OTHER DECREASES**

NT PRIVATE EQUITY FUND III BOOK TO TAX DIFFERENCE .....	\$	38,089.
TBD K-1 BOOK TO TAX DIFFERENCE.....		6,420.
LAZARD K-1 BOOK TO TAX DIFFERENCE .....		2,715.
	<b>TOTAL</b>	<b>\$ 47,224.</b>

**STATEMENT 9**  
**FORM 990-PF, PART IV, LINE 1**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	NT PRIVATE EQUITY FUND III PER K-1	PURCHASED	VARIOUS	VARIOUS	1,794.		0.	1,794.				\$ 1,794.
2	NT PRIVATE EQUITY FUND III PER K-1	PURCHASED	VARIOUS	VARIOUS	34,317.		0.	34,317.				34,317.
3	VOYAGER CAPITAL FUND PER K-1	PURCHASED	VARIOUS	VARIOUS	0.		6,699.	-6,699.				-6,699.
4	MAVERON EQUITY PARTNERS 2000 PER K-1	PURCHASED	VARIOUS	VARIOUS	5,632.		0.	5,632.				5,632.
5	KIMPTON GROUP HOLDING, LLC PER K-1	PURCHASED	VARIOUS	VARIOUS	283.		0.	283.				283.
6	NT PRIVATE EQUITY FUND V PER K-1	PURCHASED	VARIOUS	VARIOUS	373.		0.	373.				373.
7	NT PRIVATE EQUITY FUND V PER K-1	PURCHASED	VARIOUS	VARIOUS	531.		0.	531.				531.
8	SEE ATTACHED	PURCHASED	VARIOUS	VARIOUS	665,070.		662,373.	2,697.				2,697.
9	SEE ATTACHED	PURCHASED	VARIOUS	VARIOUS	740,262.		755,082.	-14,820.				-14,820.
10	SEE ATTACHED	PURCHASED	VARIOUS	VARIOUS	299,564.		258,098.	41,466.				41,466.
11	SEE ATTACHED	PURCHASED	VARIOUS	VARIOUS	1391034.		917,518.	473,516.				473,516.
											<b>TOTAL</b>	<b>\$ 539,090.</b>

## THE MENTAL INSIGHT FOUNDATION

94-3256579

**STATEMENT 10**  
**FORM 990-PF, PART VIII, LINE 1**  
**LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES**

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED</u>	<u>COMPEN- SATION</u>	<u>CONTRI- BUTION TO EBP &amp; DC</u>	<u>EXPENSE ACCOUNT/ OTHER</u>
DAVID HERSKOVITS 43 S. PORTLAND AVE BROOKLYN, NY 11217	PRESIDENT 1.00	\$ 0.	\$ 0.	0.
ROBERT P. BUNJE 349 SAILFISH ISLE FOSTER CITY, CA 94404	TREASURER 3.00	37,956.	0.	0.
ISABELLE KIMPTON 101 CEDAR DRIVE #24 INCLINE VILLAGE, NV 89451	SECRETARY 1.00	0.	0.	0.
GRAHAM KIMPTON 89 DOMINGA AVE. FAIRFAX, CA 94930	VICE PRESIDENT 1.00	0.	0.	0.
BARRY BUNSHOFT 1 MARKET ST., 20TH FLOOR SAN FRANCISCO, CA 94105	DIRECTOR 3.00	37,956.	0.	0.
LEN DELL'AMICO PO BOX 748 FAIRFAX, CA 94978	DIRECTOR 1.00	0.	0.	0.
JENNIFER CATHERINE EGAN 43 SOUTH PORTLAND AVE. BROOKLYN, NY 11217	DIRECTOR 1.00	0.	0.	0.
LAURA KIMPTON PO BOX 228 VINEBURG, CA 95487	DIRECTOR 1.00	0.	0.	0.
KAY KIMPTON WALKER 2620 JACKSON SAN FRANCISCO, CA 94115	DIRECTOR 1.00	0.	0.	0.
		TOTAL \$ 75,912.	\$ 0.	\$ 0.

**STATEMENT 11**  
**FORM 990-PF, PART XV, LINE 2A-D**  
**APPLICATION SUBMISSION INFORMATION**

NAME OF GRANT PROGRAM:	BOARD OF DIRECTORS
NAME:	MENTAL INSIGHT FOUNDATION
CARE OF:	538 BROADWAY, SUITE A
STREET ADDRESS:	SONOMA, CA 95476
CITY, STATE, ZIP CODE:	707 938-8248
TELEPHONE:	
E-MAIL ADDRESS:	
FORM AND CONTENT:	WRITTEN APPLICATION
SUBMISSION DEADLINES:	NONE

## THE MENTAL INSIGHT FOUNDATION

94-3256579

**STATEMENT 11 (CONTINUED)**  
**FORM 990-PF, PART XV, LINE 2A-D**  
**APPLICATION SUBMISSION INFORMATION**

RESTRICTIONS ON AWARDS: SEE STATEMENT

**STATEMENT 12**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
HOFFMAN INSTITUTE 223 SAN ANSELMO STE 4 SAN ANSELMO, CA 94901	NONE	PUBLIC	CHARITABLE	\$ 50,000.
S.F. ART INSTITUTE 135 VAN NESS AVE SAN FRANCISCO CA 94102,	NONE	PUBLIC	CHARITABLE	50,000.
HOME FOR CONTEMPORARY THEATRE 145 AVE OF THE AMERICAS NEW YORK NY 10013,	NONE	PUBLIC	CHARITABLE	50,000.
RAINFOREST ACTION NETWORK 221 PINE ST STE 500 SAN FRANCISCO, CA 94104	NONE	PUBLIC	CHARITABLE	15,000.
SPIRIT ROCK MEDITATION CTR P O BOX 169 WOODACRE, CA 94973	NONE	PUBLIC	CHARITABLE	50,000.
MARIN HUMANE SOCIETY 171 BEL MARIN KEYS BLVD. NOVATO, CA 94949	NONE	PUBLIC	CHARITABLE	15,000.
CENTER FOR MIND BODY MEDICINE 5225 CONNECTICUT AVE NW #414 WASHINGTON, DC 20015	NONE	PUBLIC	CHARITABLE	15,000.
CLUBBED THUMB 312 E. 23RD ST #4B NEW YORK, NY 10010	NONE	PUBLIC	CHARITABLE	7,000.
PROJECT AVARY INC 1018 GRAND AVE SAN RAFAEL, CA 94901	NONE	PUBLIC	CHARITABLE	15,000.
THEATER ET AL INC 5-39 49TH AVE LONG ISLAND, NY 11101	NONE	PUBLIC	CHARITABLE	15,000.

## THE MENTAL INSIGHT FOUNDATION

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**STATEMENT 12 (CONTINUED)**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

<u>NAME AND ADDRESS</u>	<u>DONEE RELATIONSHIP</u>	<u>FOUND- ATION STATUS</u>	<u>PURPOSE OF GRANT</u>	<u>AMOUNT</u>
FRACTURED ATLAS 248 W.35TH ST. STE 1202 NEW YORK, NY 10001	NONE	PUBLIC	CHARITABLE	\$ 25,000.
MA COALITION FOR THE HOMELESS 8 WINTER ST STE 402 BOSTON, MA 02108	NONE	PUBLIC	CHARITABLE	115,000.
IMMEDIATE LIFE INC./REV. BILLY P. O. BOX 1556 NEW YORK, NY 10013	NONE	PUBLIC	CHARITABLE	5,000.
INSIGHT PRISON PROJECT P. O. BOX 888 WOODACRE, CA 94973	NONE	PUBLIC	CHARITABLE	25,000.
POLYBE & SEATS 867 W. 181ST ST. 4H NEW YORK, NY 10033	NONE	PUBLIC	CHARITABLE	1,000.
RAW ARTWORKS 37 CENTRAL SQUARE LYNN, MA 0190	NONE	PUBLIC	CHARITABLE	20,000.
COMMUNITY ALLIANCE WITH FAMILY FARMERS 36355 RUSSELL BLVD. P.O. BOX 363 DAVIS, CA 95617	NONE	PUBLIC	CHARITABLE	15,000.
FARM SANCTUARY INC. P.O. BOX 150 WATKINS GLEN, NY 14891	NONE	PUBLIC	CHARITABLE	25,000.
FOREST ETHICS ONE HAIGHT STREET SAN FRANCISCO, CA 94102	NONE	PUBLIC	CHARITABLE	30,000.
HOSPITALITY HOMES P.O. BOX 15265 BOSTON, MA 02215	NONE	PUBLIC	CHARITABLE	15,000.
ALLIANCE OF RESIDENT THEATRES/NY 520 8TH AVENUE NEW YORK, NY 10018	NONE	PUBLIC	CHARITABLE	5,000.
CASCADE CANYON SCHOOL 2626 SIR FRANCIS DRAKE BLVD FAIRFAX, CA 94930	NONE	PUBLIC	CHARITABLE	25,000.

## THE MENTAL INSIGHT FOUNDATION

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STATEMENT 12 (CONTINUED)  
 FORM 990-PF, PART XV, LINE 3A  
 RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
GREAT SMALL WORKS, INC. 315 WEST 86TH STREET, SUITE 4E NEW YORK, NY 10024	NONE	PUBLIC	CHARITABLE	\$ 3,000.
PECULIAR WORKS PROJECT 595 BROADWAY, 2ND FLOOR NEW YORK, NY 10012	NONE	PUBLIC	CHARITABLE	2,000.
TALKING BAND P.O. BOX 293, PRINCE STATION NEW YORK, NY 10012	NONE	PUBLIC	CHARITABLE	3,000.
FOREVER FREE HORSE RESCUE 78450 AVENUE 41 BERMUDA DUNES, CA 92203	NONE	PUBLIC	CHARITABLE	35,000.
SAINT ANN'S SCHOOL 129 PIERREPONT STREET BROOKLYN HEIGHTS, NY 11201	NONE	PUBLIC	CHARITABLE	20,000.
BUSHWICK STARR, INC. 207 STARR STREET BROOKLYN, NY 11237	NONE	PUBLIC	CHARITABLE	10,000.
JUST FOOD, INC. 1155 AVENUE OF THE AMERICAS NEW YORK, NY 10036	NONE	PUBLIC	CHARITABLE	15,000.
MULTIDISCIPLINARY ASSOCIATION 1215 MISSION STREET SANTA CRUZ, CA 95060	NONE	PUBLIC	CHARITABLE	50,000.
BRIDGE FUND OF NEW YORK CITY, INC. 271 MADISON AVENUE, SUITE 907 NEW YORK, NY 10016	NONE	PUBLIC	CHARITABLE	15,000.
OCEAN CONSERVATION RESEARCH P.O. BOX 559 LAGUNITAS, CA 94938	NONE	PUBLIC	CHARITABLE	5,000.
RIVERKEEPER 20 SECOR ROAD OSSINING, NY 10562	NONE	PUBLIC	CHARITABLE	10,000.

## THE MENTAL INSIGHT FOUNDATION

94-3256579

STATEMENT 12 (CONTINUED)  
 FORM 990-PF, PART XV, LINE 3A  
 RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
31 DOWN 248 W. 35TH STREET, 10TH FLOOR NEW YORK, NY 10001	NONE	PUBLIC	CHARITABLE	\$ 1,000.
A HOST OF PEOPLE - FRACTURED ATLAS 248 WEST 35TH STREET, 10TH FLOOR NEW YORK, NY 10003	NONE	PUBLIC	CHARITABLE	2,000.
CALIFORNIA INST. FOR YIDDISH CULTURE 333 WASHINGTON BLVD. #118 MARINA DEL REY, CA 90292	NONE	PUBLIC	CHARITABLE	3,000.
CENTER FOR INVESTIGATING HEALTHY MINDS 150 HIGHLAND AVENUE STE. S119 MADISON, WI 53705	NONE	PUBLIC	CHARITABLE	100,000.
FOUNDATION EARTH 660 PENNSYLVANIA AVE. S.E. STE. 302 WASHINGTON, DC 20003	NONE	PUBLIC	CHARITABLE	5,000.
INCUBATOR ARTS PROJECT 131 EAST 10TH STREET NEW YORK, NY 10003	NONE	PUBLIC	CHARITABLE	4,000.
LITTLE LORD 145 FOURTH AVE., #2L BROOKLYN, NY 11217	NONE	PUBLIC	CHARITABLE	2,000.
MARIN CARBON PROJECT P.O. BOX 759 NICASIO, CA 94946	NONE	PUBLIC	CHARITABLE	25,000.
THE FIELD (NELLIE TENDER) 161 6TH AVENUE 14TH FLOOR NEW YORK, NY 10013	NONE	PUBLIC	CHARITABLE	1,000.
NEW WORK BY DANIEL FISH 248 W. 35TH STREET, 10TH FL. NEW YORK, NY 10001	NONE	PUBLIC	CHARITABLE	1,000.
SPCA SERVING ERIE COUNTY (PAWS & PATRIOT 2407 ZIMMERLY RD. ERIE, PA 16506	NONE	PUBLIC	CHARITABLE	35,000.

## THE MENTAL INSIGHT FOUNDATION

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STATEMENT 12 (CONTINUED)  
FORM 990-PF, PART XV, LINE 3A  
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
THEATER RECONSTRUCTION ENSEMBLE 138 S. OXFORD ST. BROOKLYN, NY 11217	NONE	PUBLIC	CHARITABLE	\$ 1,000.
TITLE: POINT PRODUCTIONS 248 W. 35TH STREET, 10TH FLOOR NEW YORK, NY 10001	NONE	PUBLIC	CHARITABLE	2,000.
UCSF OSHER CENTER FOR INTEGRATIVE MEDICI 500 PARNASSUS AVE. SAN FRANCISCO, CA 94143	NONE	PUBLIC	CHARITABLE	15,000.
YOUNG ADULT AND FAMILY CENTER AT UCSF 500 PARNASSUS AVE. SAN FRANCISCO, CA 94143	NONE	PUBLIC	CHARITABLE	50,000.
BAY CHAMBER CONCERTS 18 CENTRAL STREET ROCKPORT, ME 04856	NONE	PUBLIC	CHARITABLE	2,000.
INQUIRING SYSTEMS, INC. 21885 BONNESS RD. SONOMA, CA 95476	NONE	PUBLIC	CHARITABLE	30,000.
URBAN SCHOOL OF SAN FRANCISCO 1563 PAGE STREET SAN FRANCISCO, CA 94117	NONE	PUBLIC	CHARITABLE	25,000.
TOTAL				<u>\$ 1,060,000.</u>

STATEMENT 13  
FORM 990-PF, PART XVI-A, LINE 11  
OTHER REVENUE

OTHER REVENUE	(A) BUSI- NESS CODE	(B) UNRELATED BUSINESS AMOUNT	(C) EXCLU- SION CODE	(D) EXCLUDED AMOUNT	(E) RELATED OR EXEMPT FUNCTION
KIMPTON HOTEL GROUP, LLC	721110	\$ 722,785.	18	\$ 7,874.	
NT PRIV EQUITY FUND III	900099	11,400.	18	-835.	
TBD RESTAURANT HOLDING TAX REFUND	900099	6,420.		1,006.	
NT PRIV EQUITY FUND V			18	8.	
KGH, LLC - WORKER'S COMP.	721110	5,112.			
WASH SALE			18	399.	
TOTAL		<u>\$ 745,717.</u>		<u>\$ 8,452.</u>	<u>\$ 0.</u>

# Portfolio Statements - Periodic Reporting

31 Dec 13

Account number: MIFDTN  
MENTAL INSIGHT FDTN

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## ◆ Asset Detail

Description Asset ID	Shares/PAR value	Market value	Market price	Cost	Unrealized gain/loss	Income/expense	Accrued	Market value including accruals	Estimated annual income
<b>Equity Securities</b>									
<b>Equity funds</b>									
MFC FLEXSHARES TR.MORNINGSTAR GLOBAL UPSTREAM NAT RES INDEX FD									
33939L407	3,100 00	106,361.00	34.310	112,181.87	-5,820.87	2,155.05		108,516.05	2,154.50
<b>Total equity funds</b>		<b>106,361.00</b>		<b>112,181.87</b>	<b>-5,820.87</b>	<b>2,155.05</b>		<b>108,516.05</b>	<b>2,154.50</b>
<b>Total equity securities</b>		<b>106,361.00</b>		<b>112,181.87</b>	<b>-5,820.87</b>	<b>2,155.05</b>		<b>108,516.05</b>	<b>2,154.50</b>
<b>Fixed Income Securities</b>									
<b>Corporate/government</b>									
NATIONAL AUSTRALIA BK LIMITED 1 6 DUE 08-07-2015									
63254AAC2	25,000 00	25,398.55	101.594	24,984.00	414.55	160.00		25,558.55	400 00
WESTPAC BKG CORP 3% DUE 12-09-2015									
961214BP7	25,000 00	26,071.92	104.288	24,973.50	1,098.42	45.83		26,117.75	750.00
BK MONTREAL MEDIUM TRANCHE # 2 55 11/06/2022									
06366RLJ5	25,000 00	22,855.62	91.423	24,741.75	-1,886.13	97.39		22,953.01	637.50
ROYAL BK CDA GLOBAL MEDIUM TERM SR BK NITRANCHE # TR 320.8 DUE 10-30-2015									
78008SPH3	45,000 00	45,110.92	100.247	44,989.20	121.72	61.00		45,171.92	360 00
BNP PARIBAS TRANCHE # TR 143 3 25 03-03-2023									
05574LFY9	30,000 00	28,364.26	94.614	29,787.30	-1,403.04	319.58		28,703.84	975 00
<b>TOTAL CAP INTL 2 7% DUE 01-25-2023</b>									
89153VAE9	25,000 00	23,061.10	92.244	24,946.75	-1,885.65	282.50		23,353.60	675 00
<b>NIPPON TELEG &amp; TEL CORP 1 4 DUE 07-18-2017 REG</b>									
654624AE5	25,000 00	24,698.97	98.796	24,968.25	-267.28	158.47		24,857.44	350 00
<b>SHELL INTL FIN B V 2 25% DUE 01-06-2023</b>									
822582AV4	35,000 00	31,252.41	89.293	34,646.50	-3,394.09	382.81		31,635.22	787.50
<b>STATOIL ASA 2 45 DUE 01-17-2023 REG</b>									
85771PAG7	35,000 00	31,902.95	91.151	35,094.81	-3,191.86	390.63		32,293.58	857.50

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# Portfolio Statements - Periodic Reporting

31 Dec 13

Account number MIFDTN  
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## ◆ Asset Detail

Description Asset ID	Shares/PAR value	Market value	Market price	Cost	Unrealized gain/loss	Accrued income/expense	Market value including accruals	Estimated annual income
<b>Fixed Income Securities</b>								
<b>Corporate/government</b>								
ASTRAZENECA PLC 1.95% DUE 09-18-2019	25,000.00	24,290.45	97.162	24,989.00	-678.55	139.47	24,429.92	487.50
BP CAP MKTS P L C 2.75% DUE 05-10-2023	25,000.00	22,827.40	91.310	24,749.50	-1,922.10	97.39	22,924.79	687.50
DIAGEO CAP PLC 2.625% DUE 04-29-2023	25,000.00	22,793.15	91.173	24,938.75	-2,145.60	113.02	22,906.17	656.25
RIO TINTO FIN USA 1.375% DUE 06-17-2016	25,000.00	25,389.35	101.557	24,927.00	462.35	13.36	25,402.71	343.75
VODAFONE GROUP PLC 1.5% DUE 02-19-2018	30,000.00	29,249.61	97.499	29,862.00	-612.39	165.00	29,414.81	450.00
AT&T INC 2.625 DUE 12-01-2022	25,000.00	22,556.55	90.226	24,980.50	-2,423.95	54.68	22,611.23	656.25
002068BN1								
BAXTER INTL INC 2.4% DUE 08-15-2022	30,000.00	27,194.64	90.649	30,010.50	-2,815.86	272.00	27,466.64	720.00
071813BF5								
BB&T CORP SR MEDIUM TERM NTS BOOK ENTRY TRANCHE # TR 00002 6.85% DUE 04-30-2019	25,000.00	30,062.90	120.252	32,109.25	-2,046.35	290.17	30,353.07	1,712.50
05531FAB9								
BECTON DICKINSON & 3.25% DUE 11-12-2020	25,000.00	24,960.05	99.840	24,567.55	392.50	110.59	25,070.64	612.50
075887AW9								
BERKSHIRE HATHAWAY 3% DUE 05-15-2022	25,000.00	23,966.40	95.866	24,807.75	-841.35	95.83	24,062.23	750.00
084664BT7								
COLGATE-PALMOLIVE CO MTN BOOTRANCHE # TR000094 1.3 1-15-17/11-8-11	25,000.00	24,951.02	99.804	24,865.50	85.52	149.86	25,100.88	325.00
19416QDX5								
COSTCO WHSL CORP 1 7% DUE 12-15-2019	30,000.00	28,896.81	96.323	29,932.80	-1,035.99	22.66	28,919.47	510.00
22160KAF2								
FANNIE MAE 0 5 05-27-2015	25,000.00	25,066.25	100.265	24,965.42	100.83	11.80	25,078.05	125.00
3135G0KM4								

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## ◆ Asset Detail

Description Asset ID	Shares/PAR value	Market value	Market price	Cost	Unrealized gain/loss	Accrued income/expense	Market value including accruals	Estimated annual income
<b>Fixed Income Securities</b>								
<b>Corporate/government</b>								
FEDERAL HOME LN MTG CORP MEDIUM TERM NTSNT 3 DUE 07-28-2014 BE0	50,000 00	50,800 20	101 600	50,143 55	656 65	637 50	51,437 70	1,500 00
FEDERAL HOME LN MTG CORP REFERENCE NTS FED BO1.375 DUE 02-25-2014	50,000 00	50,091 60	100 183	49,725 30	366 30	240 62	50,332 22	687 50
PHLB .25 02-20-2015	50,000 00	50,010 35	100 021	49,967 25	43 10	45 48	50,055 83	125 00
PHLB 5 11-20-2015	50,000 00	50,063 00	100 126	50,170 70	-107 70	28 47	50,091 47	250 00
PHLMC 1.5 11-13-2017	25,000 00	25,026 35	100 105	25,495 00	-468 65	50 00	25,076 35	375 00
FNMA 1 09-20-2017	50,000 00	49,377 35	98 755	49,587 00	-209 65	140 27	49,517 62	500 00
FNMA FNMA 625 10-30-2014	50,000 00	50,184 15	100 368	50,281 25	-97 10	52 95	50,237 10	312 50
GEN DYNAMICS CORP 1% DUE 11-15-2017	25,000 00	24,223 10	96 892	24,844 00	-620 90	31 94	24,255 04	250 00
GEN ELEC CAP CORP MEDIUM TERM NTS BO TRANCHE # TR 00688 1.5 DUE 07-12-2016	30,000 00	30,317 13	101 057	29,990 40	326 73	211 25	30,528 38	450 00
GNMA POOL #005228 3 5% 11-20-2026 BE0	14,253 60	14,891 27	104 474	15,633 50	-742 23	41 57	14,932 84	498 88
GOLDMAN SACHS 6 25% DUE 09-01-2017	25,000 00	28,621 50	114 486	29,401 50	-780 00	520 83	29,142 33	1,562 50
INTEL CORP 2 7% DUE 12-15-2022	25,000 00	23,045 17	92 181	24,968 35	-1,923 18	30 00	23,075 17	675 00
458140AM2	35,000 00	30,588 00	87 394	34,439 30	-3,851 30	273 43	30,861 43	656 25
INTL BUSINESS 1 875% DUE 09-01-2022								
459200HG9								

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## ◆ Asset Detail

Description Asset ID	Shares/PAR value	Market value	Market price	Cost	Unrealized gain/loss	Accrued income/expense	Market value including accruals	Estimated annual income
<b>Fixed Income Securities</b>								
<b>Corporate/government</b>								
MEDTRONIC INC 1.375% DUE 04-01-2018	25,000.00	24,541.20	98.165	24,982.50	-421.30	85.93	24,627.13	343.75
METLIFE INC 3.048% DUE 12-15-2022	35,000.00	32,640.16	93.258	35,583.80	-2,943.64	47.41	32,687.57	1,066.80
MFO PIMCO FDS PAC INV MT SER HIGH YIELD FD INSTL CL	7,914.76	76,060.84	9.610	60,178.42	15,882.42	368.98	76,429.82	4,424.35
693390841								
NATL SEMICONDUCTOR 3.95% DUE 04-15-2015	25,000.00	26,057.77	104.231	27,250.00	-1,192.23	208.47	28,266.24	987.50
637640AF0								
PNC BK N A PITTSBURGH PA 2.7 DUE 11-01-2022	25,000.00	22,661.67	90.647	25,077.75	-2,416.08	112.50	22,774.17	675.00
69349JAG3								
PRAXAIR INC 4.5% DUE 08-15-2019	25,000.00	27,692.62	110.771	29,119.00	-1,426.38	425.00	28,117.62	1,125.00
74005PAU8								
ST STR CORPORATION 3.1% DUE 05-15-2023	25,000.00	23,245.17	92.981	24,950.75	-1,705.58	99.02	23,344.19	775.00
857477AL7								
U S BANCORP MEDIUM TERM SUB NTS 2.95 DUE 07-15-2022	25,000.00	23,228.15	92.913	25,685.04	-2,456.89	340.06	23,568.21	737.50
91159JAA4								
UNITED STATES TREAS NTS .25 DUE 01-15-2015	50,000.00	50,041.00	100.082	50,037.28	3.72	57.74	50,098.74	125.00
912828RZ5								
UNITED STATES TREAS NTS .25 DUE 06-30-2014 REG	50,000.00	50,039.05	100.078	50,000.17	38.88	0.34	50,039.39	125.00
912828TAB								
UNITED STATES TREAS NTS 8.75% DUE 04-30-2017 REG	50,000.00	49,851.55	99.703	50,210.13	-358.58	74.93	49,926.48	437.50
912828SS0								
UNITED STATES TREAS NTS 1.375 DUE 11-30-2018	50,000.00	49,250.00	98.500	50,463.09	-1,213.09	60.43	49,310.43	687.50
912828RT9								
UNITED STATES TREAS NTS DTD 00419.25% DUE 08-31-2014 REG	50,000.00	50,042.95	100.086	50,047.04	-4.09	42.47	50,085.42	125.00
912828TL4								

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31 Dec 13

Account number: MIFD TN  
MENTAL INSIGHT FDTN

## ◆ Asset Detail

Description Asset ID	Shares/PAR value	Market value	Market price	Cost	Unrealized gain/loss	Accrued Income/expense	Market value including accruals	Estimated annual income
<b>Fixed Income Securities</b>								
<b>Corporate/government</b>								
UNITED STATES TREAS NTS DTD 01/31/2012 25% DUE 01-31-2014 REG								
912828SB7	25,000 00	25,003 90	100 016	25,021 57	-17 67	26 15	25,030 05	62 50
UNITED STATES TREAS NTS DTD 05/15/2012 .25% DUE 05-15-2015 REG								
912828SUS	50,000 00	50,031 25	100 063	49,847 82	183 43	16 22	50,047 47	125 00
UNITED STATES TREAS NTS DTD 06/30/2012 1 DUE 06-30-2019								
912828TC4	50,000 00	47,546 90	95 094	49,836 14	-2,289 24	1 38	47,548 28	500 00
UNITED STATES TREAS NTS DTD 08/15/2013 2 5% DUE 08-15-2023 REG								
912828VS6	25,000 00	24,007 80	96 031	24,282 33	-274 53	236 07	24,243 87	625 00
UNITED STATES TREAS NTS DTD 10/31/2012 25% DUE 10-31-2014 REG								
912828TU4	25,000 00	25,021 47	100 086	24,976 65	44 82	10 70	25,032 17	62 50
UNITED STATES TREAS NTS DTD 11/15/2012 1 625% DUE 11-15-2022 REG								
912828TV6	25,000 00	22,566 40	90 266	24,905 37	-2,338 97	52 74	22,619 14	406 25
UNITED STATES TREAS NTS DTD 11/15/2013 2 75% DUE 11-15-2023 REG								
912828WE6	25,000 00	24,457 02	97 828	24,769 63	-312 81	89 26	24,546 28	687 50
UTD STATES TREAS CPN 125 DUE 07-31-2014								
912828TF7	50,000 00	50,005 85	100 012	50,001 14	4 71	26 15	50,032 00	62 50
UTD STATES TREAS CPN .25 DUE 05-31-2014								
912828SW1	25,000 00	25,014 65	100 059	24,995 20	19 45	5 49	25,020 14	62 50
VERIZON 6.1% DUE 04-15-2018								
92343VAM6	25,000 00	29,034 72	116 139	25,481 00	3,553 72	321 94	29,356 66	1,525 00
<b>Total corporate/government</b>		<b>1,896,222.54</b>		<b>1,927,144.50</b>	<b>-30,921.96</b>	<b>8,457.73</b>	<b>1,904,680.27</b>	<b>37,625.03</b>
<b>Municipal issues</b>								
HYDRO-QUEBEC GTD GLOBAL NT 2% DUE 06-30-2016 REG								
448814J80	25,000 00	25,670 00	102 680	24,995 25	674 75	1 38	25,671 38	500 00
<b>Total municipal issues</b>		<b>25,670.00</b>		<b>24,995.25</b>	<b>674.75</b>	<b>1.38</b>	<b>25,671.38</b>	<b>500.00</b>

# Portfolio Statements - Periodic Reporting

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## ◆ Asset Detail

Description Asset ID	Shares/PAR value	Market value	Market price	Cost	Unrealized gain/loss	Accrued income/expense	Market value including accruals	Estimated annual income
<b>Total fixed income securities</b>		<b>1,921,892.54</b>		<b>1,952,139.75</b>	<b>-30,247.21</b>	<b>8,459.11</b>	<b>1,930,351.65</b>	<b>38,125.03</b>
<b>Hedge Funds</b>								
<b>Hedge equity</b>								
NORTHERN TRUST EQUITY LONG/SHORT STRATEGIES FUND								
124983064	19,455.25	235,603.07	12.110	200,000.00	35,603.07	0.00	235,603.07	0.00
<b>Total hedge equity</b>		<b>235,603.07</b>		<b>200,000.00</b>	<b>35,603.07</b>	<b>0.00</b>	<b>235,603.07</b>	<b>0.00</b>
<b>Total hedge funds</b>		<b>235,603.07</b>		<b>200,000.00</b>	<b>35,603.07</b>	<b>0.00</b>	<b>235,603.07</b>	<b>0.00</b>
<b>Private Equity</b>								
<b>Private equity</b>								
NORTHERN TRUST PRIVATE EQUITY FUND III								
000571067	554,625.00	734,445.00	734,445.000	554,625.00	179,820.00	0.00	734,445.00	0.00
NORTHERN TRUST PRIVATE EQUITY FUND V								
991MCS996	130,000.00	130,000.00	130,000.000	130,000.00	0.00	0.00	130,000.00	0.00
<b>Total private equity</b>		<b>864,445.00</b>		<b>684,625.00</b>	<b>179,820.00</b>	<b>0.00</b>	<b>864,445.00</b>	<b>0.00</b>
<b>Total private equity</b>		<b>864,445.00</b>		<b>684,625.00</b>	<b>179,820.00</b>	<b>0.00</b>	<b>864,445.00</b>	<b>0.00</b>
<b>Real Estate</b>								
<b>Real estate funds</b>								
MFB NORTHERN FDS GLOBAL REAL ESTATE INDEX FD								
665162541	29,506.61	267,624.95	9.070	287,778.16	-20,153.21	0.00	267,624.95	9,560.14
<b>Total real estate funds</b>		<b>267,624.95</b>		<b>287,778.16</b>	<b>-20,153.21</b>	<b>0.00</b>	<b>267,624.95</b>	<b>9,560.14</b>
<b>Total real estate</b>		<b>267,624.95</b>		<b>287,778.16</b>	<b>-20,153.21</b>	<b>0.00</b>	<b>267,624.95</b>	<b>9,560.14</b>
<b>Cash and Short Term Investments</b>								
<b>Short term</b>								

# Portfolio Statements - Periodic Reporting

31 Dec 13

Account number MIFDTN  
MENTAL INSIGHT FDTN

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## ◆ Asset Detail

Description Asset ID	Shares/PAR value	Market value	Cost	Unrealized gain/loss	Accrued income/expense	Market value including accruals	Estimated annual income
<b>Cash and Short Term Investments</b>							
<b>Short term</b>							
MFB NORTHERN FDS MONEY MKT FD MONEY MARKET FUND	0.00	131,130.46	131,130.46	0.00	2.83	131,133.29	13.11
<b>Total short term</b>		<b>131,130.46</b>	<b>131,130.46</b>	<b>0.00</b>	<b>2.83</b>	<b>131,133.29</b>	<b>13.11</b>
<b>Total cash and short term investments</b>		<b>131,130.46</b>	<b>131,130.46</b>	<b>0.00</b>	<b>2.83</b>	<b>131,133.29</b>	<b>13.11</b>

## Adjustments To Cash

Pending trade purchases	United States dollar	0.00	-368.98	0.00	0.00	-368.98	0.00
<b>Total pending trade purchases</b>			<b>-368.98</b>	<b>0.00</b>	<b>0.00</b>	<b>-368.98</b>	<b>0.00</b>
<b>Total adjustments to cash</b>			<b>-368.98</b>	<b>0.00</b>	<b>0.00</b>	<b>-368.98</b>	<b>0.00</b>
<b>Total</b>		<b>1,526,688.04</b>	<b>1,526,688.04</b>	<b>159,201.78</b>	<b>10,616.99</b>	<b>1,637,305.03</b>	<b>49,852.78</b>

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December 2013



Account name:  
Account number:

MENTAL INSIGHT FOUNDATION

Your Financial Advisor:  
CLIFFORD/BARNOWSKI  
415-963-5200/888-660-5750

## Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

### Cash

### Cash and money balances

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
RMA TAX-FREE FUND INC	108,257.70	123,816.55	1.00	0.01%	Nov 22 to Dec 23	32

### Equities

#### Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ABBOTT LABS								
Symbol: ABT Exchange: NYSE								
EAI: \$1,984 Current yield: 2.30%	Oct 22, 13	2,255,000	36.975	83,380.43	38.330	86,434.15	3,053.72	ST
ALTERA CORP								
Symbol: ALTR Exchange: OTC								
EAI: \$1,181 Current yield: 1.85%	Jun 26, 12	81,000	32.129	2,602.50	32.511	2,633.39	30.89	LT
	Oct 11, 12	631,000	32.327	20,398.53	32.511	20,514.44	115.91	LT
	Dec 5, 12	793,000	30.979	24,567.06	32.511	25,781.22	1,214.16	LT
	May 14, 13	463,000	32.937	15,249.88	32.511	15,052.59	-197.29	ST
Security total		1,968,000	31.920	62,817.97		63,981.64	1,163.67	

#### AMER EXPRESS CO

Symbol: AXP Exchange: NYSE  
EAI: \$2,203 Current yield: 1.01%

	Feb 23, 12	1,020,000	52.686	53,739.93	90.730	92,544.60	38,804.67	LT
	Dec 17, 12	573,000	57.276	32,819.32	90.730	51,988.29	19,168.97	LT
	Feb 11, 13	674,000	62.009	41,794.54	90.730	61,152.02	19,357.48	ST
	Jul 19, 13	128,000	74.231	9,501.59	90.730	11,613.44	2,111.85	ST
Security total		2,395,000	57.560	137,855.38		217,298.35	79,442.97	

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December 2013

Account name:  
Account number:

MENTAL INSIGHT FOUNDATION

Your Financial Advisor:  
CLIFFORD/BARNOWSKI  
415-963-5200/888-660-5750

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>BECTON DICKINSON &amp; CO</b>								
Symbol: BDJ Exchange: NYSE								
EAI: \$2,498 Current yield: 1.97%								
	Jan 11, 10	162,000	78.092	12,651.03	110.490	17,899.38	5,248.35	LT
	Feb 5, 10	243,000	74.500	18,103.60	110.490	26,849.07	8,745.47	LT
	Feb 24, 10	510,000	77.995	39,777.45	110.490	56,349.90	16,572.45	LT
	Apr 22, 10	207,000	76.834	15,904.64	110.490	22,871.43	6,966.79	LT
	Mar 2, 11	24,000	79.704	1,912.91	110.490	2,651.76	738.85	LT
Security total		1,146,000	77.094	88,349.63		126,621.54	38,271.91	
<b>BG GROUP PLC SPON ADR</b>								
Symbol: BRGY Exchange: OTC								
EAI: \$1,880 Current yield: 1.26%								
	Jan 25, 08	126,000	19.501	2,457.25	21.690	2,732.94	275.69	LT
	Dec 11, 08	215,000	14.570	3,132.55	21.690	4,663.35	1,530.80	LT
	Aug 14, 09	1,510,000	16.858	25,456.79	21.690	32,751.90	7,295.11	LT
	Mar 11, 10	1,745,000	17.754	30,981.50	21.690	37,849.05	6,867.55	LT
	May 4, 10	2,070,000	16.150	33,430.50	21.690	44,898.30	11,467.80	LT
	Jul 13, 12	26,000	20.361	529.40	21.690	563.94	34.54	LT
	Feb 7, 13	1,195,000	17.906	21,398.39	21.690	25,919.55	4,521.16	ST
Security total		6,887,000	17.045	117,386.38		149,379.03	31,992.65	
<b>BORG WARNER INC</b>								
Symbol: BWA Exchange: NYSE								
EAI: \$809 Current yield: 0.89%								
	Nov 15, 12	1,602,000	30.933	49,555.23	55.910	89,567.82	40,012.59	LT
	Nov 29, 12	16,000	33.120	529.92	55.910	894.56	364.64	LT
Security total		1,618,000	30.955	50,085.15		90,462.38	40,377.23	
<b>CIMAREX ENERGY CO</b>								
Symbol: XEC Exchange: NYSE								
EAI: \$223 Current yield: 0.53%								
	Aug 17, 11	75,000	68.351	5,126.38	104.910	7,868.25	2,741.87	LT
	Sep 9, 11	324,000	67.369	21,827.75	104.910	33,990.84	12,163.09	LT
Security total		399,000	67.554	26,954.13		41,859.09	14,904.96	
<b>COSTCO WHOLESALE CORP</b>								
Symbol: COST Exchange: OTC								
EAI: \$862 Current yield: 1.04%								
	Aug 6, 13	279,000	119.262	33,274.24	119.020	33,206.58	-67.66	ST
	Aug 8, 13	200,000	117.241	23,448.28	119.020	23,804.00	355.72	ST
	Oct 11, 13	216,000	115.627	24,975.56	119.020	25,708.32	732.76	ST

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Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		695,000	117,551	81,698.08		82,718.90	1,020.82	
<b>COVIDIEN PLC</b>								
Symbol: COV Exchange: NYSE								
EAI: \$2,337 Current yield: 1.88%								
	Feb 24, 10	616,000	45.589	28,082.91	68.100	41,949.60	13,866.69	LT
	Apr 22, 10	299,000	44.921	13,431.40	68.100	20,361.90	6,930.50	LT
	Jun 9, 10	295,000	36.613	10,837.58	68.100	20,157.60	9,320.02	LT
	Sep 2, 10	320,000	33.475	10,712.22	68.100	21,792.00	11,079.78	LT
	Oct 11, 13	295,000	61.046	18,008.86	68.100	20,089.50	2,080.64	ST
Security total		1,826,000	44.399	81,072.97		124,350.60	43,277.63	
<b>DANAHER CORP</b>								
Symbol: DHR Exchange: NYSE								
EAI: \$254 Current yield: 0.13%								
	Jan 17, 08	382,000	39.809	15,207.08	77.200	29,490.40	14,283.32	LT
	Apr 22, 08	1,170,000	36.762	43,012.65	77.200	90,324.00	47,311.35	LT
	Jul 23, 09	588,000	31.002	18,229.76	77.200	45,393.60	27,163.84	LT
	Apr 18, 13	396,000	58.191	23,043.91	77.200	30,571.20	7,527.29	ST
Security total		2,536,000	39.232	99,493.40		195,779.20	96,285.80	
<b>EBAY INC</b>								
Symbol: EBAY Exchange: OTC								
EAI: \$254 Current yield: 0.13%								
	Dec 17, 13	1,209,000	52.895	63,950.78	54.865	66,331.78	2,381.00	ST
<b>ECOLAB INC</b>								
Symbol: ECL Exchange: NYSE								
EAI: \$451 Current yield: 1.05%								
	Aug 29, 11	410,000	51.948	21,298.89	104.270	42,750.70	21,451.81	LT
<b>GOOGLE INC CL A</b>								
Symbol: GOOG Exchange: OTC								
EAI: \$923 Current yield: 1.46%								
	Jun 30, 11	59,000	504.417	29,760.64	1,120.710	66,121.89	36,361.25	LT
<b>GRAINGER W W INC</b>								
Symbol: GWW Exchange: NYSE								
EAI: \$923 Current yield: 1.46%								
	Apr 14, 10	248,000	111.846	27,737.81	255.420	63,344.16	35,606.35	LT
<b>HERMAN MILLER INC</b>								
Symbol: MLHR Exchange: OTC								
EAI: \$1,194 Current yield: 1.90%								
	Sep 2, 09	2,133,000	15.936	33,992.98	29.520	62,966.16	28,973.18	LT
<b>HUNT J B TRANS SVCS INC</b>								
Symbol: JBHT Exchange: OTC								
EAI: \$625 Current yield: 0.78%								
	Jul 18, 12	152,000	54.832	8,334.58	77.300	11,749.60	3,415.02	LT
	Jul 19, 12	276,000	54.876	15,145.78	77.300	21,334.80	6,189.02	LT



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Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
	Sep 17, 12	470,000	51.991	24,436.10	77.300	36,331.00	11,894.90	LT
	Jul 18, 13	144,000	75.575	10,882.92	77.300	11,131.20	248.28	ST
Security total		1,042,000	56.429	58,799.38		80,546.60	21,747.22	

INTERCONTINENTALEXCHANGE GROUP

Symbol: ICE Exchange: NYSE								
EAI: \$1,986 Current yield: 1.16%								
	Mar 27, 12	139,000	137.872	19,164.22	224.920	31,263.88	12,099.66	LT
	Apr 24, 12	73,000	129.031	9,419.31	224.920	16,419.16	6,999.85	LT
	Jun 26, 12	77,000	132.429	10,197.09	224.920	17,318.84	7,121.75	LT
	Nov 5, 12	143,000	132.910	19,213.62 <sup>2</sup>	224.920	32,163.56	12,949.94	LT
	Dec 20, 12	332,000	127.016	42,169.48	224.920	74,673.44	32,503.96	LT
Security total		764,000	131.104	100,163.72		171,838.88	71,675.16	

INTUIT

Symbol: INTU Exchange: OTC								
EAI: \$1,503 Current yield: 1.00%								
	May 1, 13	1,115,000	59.981	66,879.71	76.320 A	85,096.80	18,217.09	ST
	May 22, 13	484,000	58.828	28,473.04	76.320	36,938.88	8,465.84	ST
	Jul 2, 13	378,000	62.554	23,645.64	76.320	28,848.96	5,203.32	ST
Security total		1,977,000	60.191	118,998.39		150,884.64	31,886.25	

MASTERCARD INC CL A

Symbol: MA Exchange: NYSE								
EAI: \$370 Current yield: 0.53%								
	Sep 16, 10	84,000	210.862	17,712.47	835.460	70,178.64	52,466.17	LT

MC CORMICK & CO NV

Symbol: MKC Exchange: NYSE								
EAI: \$919 Current yield: 2.15%								
	Feb 3, 11	177,000	44.624	7,898.52	68.920	12,198.84	4,300.32	LT
	Apr 6, 11	444,000	47.668	21,164.81	68.920	30,600.48	9,435.67	LT
Security total		621,000	46.801	29,063.33		42,799.32	13,735.99	

MICROCHIP TECHNOLOGY INC

Symbol: MCHP Exchange: OTC								
EAI: \$2,805 Current yield: 3.17%								
	Nov 20, 13	1,978,000	42.621	84,304.93	44.750	88,515.50	4,210.57	ST

NATI INSTRUMENTS CORP

Symbol: NATI Exchange: OTC								
EAI: \$1,305 Current yield: 1.75%								
	Feb 4, 08	1,018,000	17.338	17,650.82	32.020	32,596.36	14,945.54	LT
	Mar 7, 12	1,312,000	25.736	33,765.89	32.020	42,010.24	8,244.35	LT
Security total		2,330,000	22.067	51,416.71		74,606.60	23,189.89	

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Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>NEWELL RUBBERMAID INC</b>								
Symbol: NWL Exchange: NYSE								
EAI: \$4,249 Current yield: 1.85%								
	Dec 5, 12	778,000	21.700	16,883.14	32.410	25,214.98	8,331.84	LT
	Dec 14, 12	1,618,000	22.015	35,620.59	32.410	52,439.38	16,818.79	LT
	Feb 19, 13	1,761,000	23.968	42,208.88	32.410	57,074.01	14,865.13	ST
	Mar 6, 13	953,000	23.918	22,794.23	32.410	30,886.73	8,092.50	ST
	Jun 13, 13	1,125,000	27.186	30,584.81	32.410	36,461.25	5,876.44	ST
	Aug 8, 13	403,000	26.862	10,825.71	32.410	13,061.23	2,235.52	ST
	Oct 9, 13	444,000	26.631	11,824.21	32.410	14,390.04	2,565.83	ST
Security total		7,082,000	24.109	170,741.57		229,527.62	58,786.05	
<b>NOBLE ENERGY INC</b>								
Symbol: NBL Exchange: NYSE								
EAI: \$952 Current yield: 0.82%								
	Aug 31, 10	1,033,000	34.746	35,893.03	68.110	70,357.63	34,464.60	LT
	Jun 26, 12	632,000	38.928	24,602.75	68.110	43,045.52	18,442.77	LT
Security total		1,665,000	36.334	60,495.78		113,403.15	52,907.37	
<b>NOVOZYMES A/S ADR</b>								
Symbol: NVZMY Exchange: OTC								
EAI: \$249 Current yield: 0.60%								
	Jun 3, 09	988,000	15.870	15,679.56	42.260	41,752.88	26,073.32	LT
<b>NUCOR CORP</b>								
Symbol: NUE Exchange: NYSE								
EAI: \$2,497 Current yield: 2.77%								
	Jun 18, 13	1,687,000	44.734	75,467.27	53.380 A	90,052.06	14,584.79	ST
<b>O REILLY AUTOMOTIVE INC</b>								
Symbol: ORLY Exchange: OTC								
	Oct 17, 12	268,000	80.324	21,526.89	128.710	34,494.28	12,967.39	LT
	Oct 18, 12	256,000	79.523	20,358.07	128.710	32,949.76	12,591.69	LT
Security total		524,000	79.933	41,884.96		67,444.04	25,559.08	
<b>PALL CORP</b>								
Symbol: PLL Exchange: NYSE								
EAI: \$839 Current yield: 1.29%								
	Mar 13, 12	220,000	59.178	13,019.18	85.350 A	18,777.00	5,757.82	LT
	Jun 8, 12	543,000	52.634	28,580.42	85.350	46,345.05	17,764.63	LT
Security total		763,000	54.521	41,599.60		65,122.05	23,522.45	
<b>PRAXAIR INC</b>								
Symbol: PX Exchange: NYSE								
EAI: \$1,315 Current yield: 1.85%								
	Dec 11, 08	333,000	55.814	18,586.30	130.030	43,299.99	24,713.69	LT



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Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Dec 29, 08	215,000	56.367	12,118.95	130.030	27,956.45	15,837.50	LT
Security total		548,000	56.031	30,705.25		71,256.44	40,551.19	
<b>PROCTER &amp; GAMBLE CO</b>								
Symbol: PG Exchange: NYSE								
EAI: \$3,417 Current yield: 2.96%								
	Feb 5, 10	116,000	61.327	7,113.95	81.410	9,443.56	2,329.61	LT
	Apr 22, 10	232,000	63.308	14,687.55	81.410	18,887.12	4,199.57	LT
	Dec 17, 10	389,000	64.846	25,225.25	81.410	31,668.49	6,443.24	LT
	Jan 27, 11	398,000	63.540	25,288.92	81.410	32,401.18	7,112.26	LT
	Apr 5, 11	285,000	61.856	17,629.16	81.410	23,201.85	5,572.69	LT
Security total		1,420,000	63.341	89,944.83		115,602.20	25,657.37	
<b>PROGRESSIVE CORP OHIO</b>								
Symbol: PGR Exchange: NYSE								
EAI: \$1,438 Current yield: 1.05%								
	Apr 16, 08	1,818,000	17.028	30,957.63	27.270	49,576.86	18,619.23	LT
	Mar 10, 10	665,000	17.049	11,338.12	27.270	18,134.55	6,796.43	LT
	May 23, 11	931,000	21.552	20,065.10	27.270	25,388.37	5,323.27	LT
	Sep 9, 11	1,326,000	18.139	24,052.58	27.270	36,160.02	12,107.44	LT
	Jul 13, 12	305,000	19.806	6,040.98	27.270	8,317.35	2,276.37	LT
Security total		5,045,000	18.326	92,454.41		137,577.15	45,122.74	
<b>ROCHE HLDG LTD SPONS ADR SWITZ ADR</b>								
Symbol: RHHBY Exchange: OTC								
EAI: \$2,837 Current yield: 2.31%								
	Jan 5, 10	568,000	43.223	24,550.89	70.200	39,873.60	15,322.71	LT
	Apr 22, 10	514,000	39.949	20,533.94	70.200	36,082.80	15,548.86	LT
	Jul 22, 10	349,000	33.200	11,586.80	70.200	24,499.80	12,913.00	LT
	May 29, 12	316,000	39.827	12,585.49	70.200	22,183.20	9,597.71	LT
Security total		1,747,000	39.643	69,257.12		122,639.40	53,382.28	
<b>RYANAIR HOLDINGS PLC SPON ADR</b>								
Symbol: RYAAY Exchange: OTC								
	Oct 10, 13	739,000	49.444	36,539.49	46.930	34,681.27	-1,858.22	ST
	Nov 4, 13	722,000	43.330	31,683.65 <sup>2</sup>	46.930	33,883.46	2,199.81	ST
Security total		1,461,000	46.696	68,223.14		68,564.73	341.59	

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>SCRIPPS NETWORKS INTERACT INC</b>								
CLA								
Symbol: SNI Exchange: NYSE								
EAI: \$479 Current yield: 0.69%								
Security total		799,000	40.780	32,582.90	86.410	69,041.59	36,458.69	LT
	Aug 29, 11	218,000	41.252	8,992.96	86.410	18,837.38	9,844.42	LT
	Sep 9, 11	581,000	40.602	23,589.94	86.410	50,204.21	26,614.27	LT
<b>SMUCKER J M CO NEW</b>								
Symbol: SJM Exchange: NYSE								
EAI: \$1,515 Current yield: 2.24%								
Security total		653,000	68.298	44,598.37	103.620	67,663.86	23,065.49	LT
	Feb 24, 10	245,000	58.924	14,436.48	103.620	25,386.90	10,950.42	LT
	Jun 26, 12	408,000	73.926	30,161.89	103.620	42,276.96	12,115.07	LT
<b>TEXAS INSTRUMENTS</b>								
Symbol: TXN Exchange: OTC								
EAI: \$6,197 Current yield: 2.73%								
Security total		5,164,000	24.963	128,907.02	43.910	226,751.24	97,844.22	LT
	Jun 9, 10	1,664,000	24.348	40,515.24	43.910	73,066.24	32,551.00	LT
	Sep 2, 10	925,000	23.879	22,088.08	43.910	40,616.75	18,528.67	LT
	Sep 8, 10	1,025,000	23.479	24,066.69	43.910	45,007.75	20,941.06	LT
	Jun 26, 12	377,000	26.821	10,111.59	43.910	16,554.07	6,442.48	LT
	Oct 11, 12	1,173,000	27.387	32,125.42	43.910	51,506.43	19,381.01	LT
<b>TJX COS INC NEW</b>								
Symbol: TJX Exchange: NYSE								
EAI: \$825 Current yield: 0.91%								
Security total		1,422,000	56.407	80,210.15	63.730	90,624.06	10,413.91	ST
	Aug 6, 13	658,000	53.730	35,354.34	63.730	41,934.34	6,580.00	ST
	Oct 28, 13	764,000	58.711	44,855.81	63.730	48,689.72	3,833.91	ST
<b>TW TELECOM INC CLA</b>								
Symbol: TWTC Exchange: OTC								
UNILEVER NV N Y SHS NEW								
NETHERLANDS SPON SPON ADR								
Symbol: UN Exchange: NYSE								
EAI: \$4,355 Current yield: 2.95%								
Security total		244,000	39.733	9,694.97	40.230	9,816.12	121.15	ST
	Sep 4, 13	1,334,000	28.926	38,587.55	30.470	40,646.98	2,059.43	ST
	Oct 5, 11	1,521,000	31.368	47,711.64	40.230	61,189.83	13,478.19	LT
	Jan 25, 12	1,294,000	32.755	42,385.49	40.230	52,057.62	9,672.13	LT
	Feb 2, 12	314,000	32.631	10,246.39	40.230	12,632.22	2,385.83	LT
	Aug 8, 13	244,000	39.733	9,694.97	40.230	9,816.12	121.15	ST



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Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Oct 28, 13	299,000	39.898	11,929.65	40.230	12,028.77	99.12	ST
		3,672,000	33.216	121,968.14		147,724.56	25,756.42	
<b>US BANCORP DEL (NEW)</b>								
Symbol: USB Exchange: NYSE	Dec 9, 13	2,161,000	39.849	86,115.20	40.400	87,304.40	1,189.20	ST
EAI: \$1,988 Current yield: 2.28%								
<b>3M CO</b>								
Symbol: MMM Exchange: NYSE	Jan 17, 08	512,000	78.400	40,140.80	140.250 A	71,808.00	31,667.20	LT
EAI: \$3,984 Current yield: 2.44%	Dec 11, 08	167,000	54.860	9,161.62	140.250	23,421.75	14,260.13	LT
	May 14, 09	365,000	58.034	21,182.67	140.250	51,191.25	30,008.58	LT
	Dec 5, 13	121,000	127.474	15,424.47	140.250	16,970.25	1,545.78	ST
Security total		1,165,000	73.742	85,909.56		163,391.25	77,481.69	
<b>Total</b>				<b>\$2,841,625.93</b>	<b>RFD</b>	<b>\$4,175,859.41</b>	<b>\$1,334,233.48</b>	

Total estimated annual income: \$63,428

\* Value has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction.

Other equity investments

Cost basis and gains and losses have not been adjusted automatically for return of capital payments. Restricted security values are estimated for informational purposes. See Important information about your statement at the end of this document for additional information.

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
<b>LAZARD LTD CL A MLP</b>								
Symbol: LAZ Exchange: NYSE	Mar 7, 12	1,905,000	29.975	57,103.71	45.320	86,334.60	29,230.89	LT
EAI: \$2,481 Current yield: 2.21%	May 29, 12	576,000	23.346	13,447.64	45.320	26,104.32	12,656.68	LT
Security total		2,481,000	28.437	70,551.35	F-3	112,438.92	41,887.57	



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Your assets (continued)

Your total assets

	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash and money balances	123,816.55	2.81%	123,816.55		
Equities	4,175,659.41		2,841,625.93	63,428.00	1,334,233.48
Common stock	4,175,659.41		2,841,625.93	63,428.00	1,334,233.48
Other equity investments	112,438.92		70,551.35	2,481.00	41,887.57
Total equities	4,288,298.33	97.19%	2,912,177.28	65,909.00	1,376,121.05
<b>Total</b>	<b>\$4,412,114.88</b>	<b>100.00%</b>	<b>\$3,035,993.83</b>	<b>\$65,909.00</b>	<b>\$1,376,121.05</b>

Account activity this month

For more information about the price/value shown for restricted securities, see Important information about your statement at the end of this document.

Date	Activity	Description	Your expense code	Quantity/Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Nov 29		Cash and money balance					\$108,257.70
Dec 2	Dividend	ALTERA CORP PAID ON	3726			558.90	
Dec 2	Dividend	CIMAREX ENERGY CO PAID ON	443			62.02	
Dec 2	Dividend	GRAINGER W W INC PAID ON	307 AS OF 12/01/13			285.51	
Dec 2	Dividend	SMUCKER J M CO NEW PAID ON	740			429.20	109,593.33
Dec 3	Dividend	NATL INSTRUMENTS CORP PAID ON	2605			364.70	109,958.03
Dec 5	Dividend	TJX COS INC NEW PAID ON	1595			231.28	110,189.31
Dec 6	Fee Charge	FED FUND WIRE TRANSFER FEE				-25.00	
Dec 6	Withdrawal	FEDERAL FUNDS TO Mental Insight Foundation AT THE NORTHERN TRUST COMPANY				-514,000.00	
Dec 6	Sold	ABBOTT LABS UNSOLICITED Other fees and charges \$ .19		-289.000	37.433000	10,817.95	
Dec 6	Sold	AMER EXPRESS CO UNSOLICITED Other fees and charges \$ .43		-289.000	84.909000	24,538.27	
		Average Priced Trade					
		Average Priced Trade					

continued next page



# 2013 Tax Information Statement

Account Number: 94-3256579  
 Recipient's Tax ID Number:  Corrected  2nd TIN notice  
 Recipient's Name and Address:  
 MENTAL INSIGHT FOUNDATION  
 C/O ROBERT BUNJE  
 349 SAILFISH ISLE  
 FOSTER CITY, CA 94404

THE NORTHERN TRUST COMPANY  
 P.O. BOX 803878  
 CHICAGO, IL 60680

## 2013 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
<b>Short Term Sales</b>								
25468PCV6	DISNEY WALT CO NEW MEDIUM TERM NTS BOOK 1.1	MTN1	24,953.75	24,822.25	0.00	131.50	0.00	0.00
912828MG2	UNITED STATES TREAS NTS DTD 00271 1.375%DUE		50,000.00	50,371.26	0.00	-371.26	0.00	0.00
83368RAC6	SOCIETE GENERALE 2.75 10-12-2017		25,552.50	24,980.25	0.00	572.25	0.00	0.00
36202FYZ3	GNMA POOL #005228 3.5% 11-20-2026 BEO	GNNM11	513.42	513.42	0.00	0.00	0.00	0.00
02364WBD6	AMERICA MOVIL SAB DE CV 3.125 DUE 07-16-2022 REG		25,333.75	25,081.45	0.00	252.30	0.00	0.00
404280AN9	HSBC HLDGS PLC 4 DUE 03-30-2022		26,863.50	24,837.00	0.00	2,026.50	0.00	0.00
064159BA3	BK NOVA SCOTIA B C .75% DUE 10-09-2015		24,970.50	24,999.25	0.00	-28.75	0.00	0.00
36202FYZ3	GNMA POOL #005228 3.5% 11-20-2026 BEO	GNNM11	542.76	542.76	0.00	0.00	0.00	0.00
064149C88	BANK N S HALIFAX 4 375% DUE 01-13-2021		28,556.25	28,541.50	0.00	14.75	0.00	0.00

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# 2013 Tax Information Statement

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 MENTAL INSIGHT FOUNDATION  
 C/O ROBERT BUNJE  
 349 SAILFISH ISLE  
 FOSTER CITY, CA 94404

Account Number: 94-3256579  
 Recipient's Tax ID Number:

Corrected  2nd TIN notice

THE NORTHERN TRUST COMPANY  
 P.O. BOX 803878  
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
693390841	PIMCO FDS PAC INVT MGMT SER HIGH YIELD FD INSTL CL	PHIYX						
212.8000	03/01/2013 Various		2,059.90	2,025.38	0.00	34.52	0.00	0.00
767201AQ9	RIO TINTO FIN USA 3.75% DUE 09-20-2021							
25000.0000	03/01/2013 05/17/2012		26,737.50	26,506.50	0.00	231.00	0.00	0.00
36202FYZ3	GNMA POOL #005228 3.5% 11-20-2026 BEO	GNMAIL						
400 8100	03/20/2013 06/07/2012		400.81	400.81	0.00	0.00	0.00	0.00
05531FAM5	BB&T CORP SR MEDIUM TERM NTS BOOK ENTRY	MTN1						
25000.0000	04/11/2013 11/14/2012		25,009.75	24,969.00	0.00	40.75	0.00	0.00
38141GEA8	GOLDMAN SACHS 5.125% DUE 01-15-2015 01-15-2015 BEO							
25000 0000	04/19/2013 07/16/2012		26,721.25	26,394.00	0.00	327.25	0.00	0.00
36202FYZ3	GNMA POOL #005228 3.5% 11-20-2026 BEO	GNMAIL						
635.6800	04/22/2013 06/07/2012		635.68	635.68	0.00	0.00	0.00	0.00
78011DAC8	ROYAL BK OF CDA 1.2 DUE 09-19-2017							
25000.0000	04/24/2013 09/12/2012		25,187.00	24,957.50	0.00	189.50	0.00	0.00
912828TJ9	UNITED STATES TREAS NTS DTD 08/15/2012 1.625% DUE							
25000.0000	08-15-2022 REG 04/26/2013 10/10/2012		25,078.03	24,849.71	0.00	228.32	0.00	0.00
912828NCO	UNITED STATES TREAS NTS DTD 05-17-2010 1.375 DUE							
50000.0000	05-15-2013 05/08/2013 09/19/2012		50,009.60	50,388.84	0.00	-379.24	0.00	0.00

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# 2013 Tax Information Statement

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 349 SAILFISH ISLE  
 FOSTER CITY, CA 94404

THE NORTHERN TRUST COMPANY  
 P.O. BOX 803878  
 CHICAGO, IL 60680

Corrected  2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
36202FYZ3	GNMA POOL #005228 3.5% 11-20-2026 BEO	05/20/2013	06/07/2012	794.18	794.18	0.00	0.00	0.00	0.00
912828NH9	UNITED STATES NTS 06/15/2010 1.125% 06/15/2013	06/17/2013	09/19/2012	50,000.00	50,340.01	0.00	-340.01	0.00	0.00
50000.0000									
912828RD4	US TREAS NTS 1.25 DUE 08-31-2013 08-31-2013	07/10/2013	01/23/2013	25,003.82	24,996.18	0.00	7.64	0.00	0.00
25000.0000									
912828RD4	US TREAS NTS 125 DUE 08-31-2013 08-31-2013	08/20/2013	01/23/2013	25,000.89	24,996.18	0.00	4.71	0.00	0.00
25000.0000									
912828RN2	UNITED STATES TREAS NTS 0.25 10-31-2013	10/16/2013	01/29/2013	24,996.99	25,016.69	0.00	-19.70	0.00	0.00
25000.0000									
912828PZ7	UNITED STATES TREAS NTS DTD 03-15-2011 1.25% DUE 03-15-2014 REG	10/17/2013	06/20/2013	25,116.13	25,203.21	0.00	-87.08	0.00	0.00
25000.0000									
912828SG6	UNITED STATES TREAS NTS 0.25 DUE 02-28-2014	10/17/2013	Various	50,025.22	50,043.14	0.00	-17.92	0.00	0.00
50000.0000									
912828PU8	US TREAS NTS .50 DUE 11-15-2013 REG 11-15-2013REG	11/15/2013	Various	50,000.00	50,105.63	0.00	-105.63	0.00	0.00
50000.0000									
912828SB7	UNITED STATES TREAS NTS DTD 01/31/2012 .25% DUE 01-31-2014 REG	12/05/2013	06/13/2013	25,007.73	25,021.57	0.00	-13.84	0.00	0.00
25000.0000									

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THE NORTHERN TRUST COMPANY  
 P.O. BOX 803878  
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
<b>Total Short Term Sales</b>										
<b>Long Term Sales</b>					665,070.91	662,373.35	0.00	2,697.56	0.00	0.00
36241KYR3	GNMA POOL #782520 5.5% 12-15-2038 BEO	01/15/2013	08/10/2011	GNMA	567.61	567.61	0.00	0.00	0.00	0.00
912828MT4	US TREAS NTS 1.375 DUE 03-15-2013 REG 03-15-2013 REG	02/14/2013	03/16/2011		25,023.35	25,398.52	0.00	-375.17	0.00	0.00
36241KYR3	GNMA POOL #782520 5.5% 12-15-2038 BEO	02/15/2013	08/10/2011	GNMA	665.53	665.53	0.00	0.00	0.00	0.00
6655300	MFB NORTHERN FDS GLOBAL REAL ESTATE INDEX FD	02/20/2013	Various	NGREX	95,531.00	104,778.86	0.00	-9,247.86	0.00	0.00
912828MT4	US TREAS NTS 1.375 DUE 03-15-2013 REG 03-15-2013 REG	02/22/2013	03/16/2011		25,016.52	25,398.52	0.00	-382.00	0.00	0.00
002799AJ3	ABBEY NATL TREAS 4% DUE 04-27-2016	03/01/2013	Various		26,585.50	25,089.10	0.00	1,496.40	0.00	0.00
665162541	MFB NORTHERN FDS GLOBAL REAL ESTATE INDEX FD	03/01/2013	03/25/2008	NGREX	13,499.00	14,302.16	0.00	-803.16	0.00	0.00
693390841	PIMCO FDS PAC INVT MGMT SER HIGH YIELD FD INSTL CL	03/01/2013	Various	PHYX	4,162.49	3,951.97	0.00	210.52	0.00	0.00

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THE NORTHERN TRUST COMPANY  
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 CHICAGO, IL 60680

Corrected

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
693390841	PIMCO FDS PAC INVT MGMT SER HIGH YIELD FD INSTL CL	PHIYX	131.8800	03/01/2013	01/31/2012	1,276.60	1,215.92	0.00	60.68	0.00	0.00
665162541	MFB NORTHERN FDS GLOBAL REAL ESTATE INDEX FD	NGREX	2414.1800	03/13/2013	03/25/2008	23,152.00	24,503.93	0.00	-1,351.93	0.00	0.00
36241KYR3	GNMA POOL #782520 5.5% 12-15-2038 BEO	GNMA	588.3000	03/15/2013	08/10/2011	588.30	588.30	0.00	0.00	0.00	0.00
3134G3RL6	FEDERAL HOME LN MTG CORP 2 DUE 03-21-2019	FHLB1	25000.0000	03/21/2013	02/23/2012	25,000.00	25,000.00	0.00	0.00	0.00	0.00
313372RK2	FEDERAL HOME LN BKS 1 DUE 03-27-2013 REG	FHLB1	50000.0000	03/27/2013	03/16/2011	50,000.00	50,309.90	0.00	-309.90	0.00	0.00
665162541	MFB NORTHERN FDS GLOBAL REAL ESTATE INDEX FD	NGREX	5289.7500	04/02/2013	03/25/2008	51,628.00	53,690.97	0.00	-2,062.97	0.00	0.00
06366QW86	BK MNTRL MED TERM SENR NTS BK EN TRANCHE# TR	MTN1	25000.0000	04/10/2013	01/06/2012	26,172.25	24,948.75	0.00	1,223.50	0.00	0.00
36241KYR3	GNMA POOL #782520 5.5% 12-15-2038 BEO	GNMA	612.3800	04/15/2013	08/10/2011	612.38	612.38	0.00	0.00	0.00	0.00
36241KYR3	GNMA POOL #782520 5.5% 12-15-2038 BEO	GNMA	533.1900	05/15/2013	08/10/2011	533.19	533.19	0.00	0.00	0.00	0.00

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 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
36241KYR3	GNMA POOL #782520 5.5% 12-15-2038 BEO	05/29/2013	08/10/2011	GNMA 11,519.99	12,261.22	0.00	-741.23	0.00	0.00
10623 5900									
665162541	MFB NORTHERN FDS GLOBAL REAL ESTATE INDEX FD	05/31/2013	03/25/2008	NGREX 100,000.00	106,060.61	0.00	-6,060.61	0.00	0.00
10449 3200									
0394838B7	ARCHER DANIELS 4.479 DUE 03-01-2021	06/11/2013	03/30/2011	MTN1 27,255.00	25,182.25	0.00	2,072.75	0.00	0.00
25000.0000									
36962G4R2	GENERAL ELEC CAP CORP MEDIUM TERM NTS BOOK	06/14/2013	09/13/2010	MTN1 27,207.75	24,804.25	0.00	2,403.50	0.00	0.00
25000.0000									
36241KYR3	GNMA POOL #782520 5.5% 12-15-2038 BEO	06/17/2013	08/10/2011	GNMA 444.21	512.69	0.00	-68.48	0.00	0.00
444 2100									
36202FYZ3	GNMA POOL #005228 3.5% 11-20-2026 BEO	06/20/2013	06/07/2012	GNMMAIL 550.66	550.66	0.00	0.00	0.00	0.00
550 6600									
3133XXYX9	FHLB FEDERAL HOME LOAN BANKDUE 06/21/2013 1.875	06-21-2013		FHLB1 50,000.00	50,850.80	0.00	-850.80	0.00	0.00
50000.0000									
36202FYZ3	GNMA POOL #005228 3 5% 11-20-2026 BEO	07/22/2013	06/07/2012	GNMMAIL 413.39	413.39	0.00	0.00	0.00	0.00
413.3900									
36202FYZ3	GNMA POOL #005228 3.5% 11-20-2026 BEO	08/20/2013	06/07/2012	GNMMAIL 385.76	385.76	0.00	0.00	0.00	0.00
385.7600									

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 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
36202FYZ3	GNMA POOL #005228 3.5% 11-20-2026 BEO	GNMAIL	322.3600	09/20/2013	06/07/2012	322.36	322.36	0.00	0.00	0.00	0.00
912828RN2	UNITED STATES TREAS NTS 0.25 10-31-2013		25000.0000	10/16/2013	10/26/2011	24,996.99	24,982.51	0.00	14.48	0.00	0.00
912828PZ7	UNITED STATES TREAS NTS DTD 03-15-2011 1.25% DUE 03-15-2014 REG		25000.0000	10/17/2013	03/16/2011	25,116.13	25,193.44	0.00	-77.31	0.00	0.00
36202FYZ3	GNMA POOL #005228 3.5% 11-20-2026 BEO	GNMAIL	344.5400	10/21/2013	06/07/2012	344.54	344.54	0.00	0.00	0.00	0.00
36202FYZ3	GNMA POOL #005228 3.5% 11-20-2026 BEO	GNMAIL	449.4600	11/20/2013	06/07/2012	449.46	449.46	0.00	0.00	0.00	0.00
36202FYZ3	GNMA POOL #005228 3.5% 11-20-2026 BEO	GNMAIL	373.8400	12/20/2013	06/07/2012	373.84	373.84	0.00	0.00	0.00	0.00
313373JR4	FHLB TRANCHE 1 375 05-28-2014	FHLB1	50000.0000	12/27/2013	11/22/2011	50,250.90	50,974.50	0.00	-723.60	0.00	0.00
3135G0KM4	FANNIE MAE 0 5 05-27-2015	FNMAB	25000.0000	12/27/2013	04/26/2012	25,075.48	24,965.43	0.00	110.05	0.00	0.00
31398AZV7	FNMA 2.625% DUE 11-20-2014	FNMAB	25000.0000	12/27/2013	10/29/2009	25,542.28	24,898.70	0.00	643.58	0.00	0.00
<b>Total Long Term Sales</b>						<b>740,262.46</b>	<b>755,082.02</b>	<b>0.00</b>	<b>-14,819.56</b>	<b>0.00</b>	<b>0.00</b>

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**UBS Financial Services Inc.**

1000 Harbor Boulevard  
Weehawken, NJ 07086-6790  
Federal ID 13-2638166

**Your Financial Advisor:**  
CLIFFORD/BARNOWSKI  
415-963-5200/888-660-5750

**Payer:**  
MENTAL INSIGHT FOUNDATION  
349 SAILFISH ISLE  
FOSTER CITY CA 94404-1824

**2013 Informational Statement**

**Account Number:**

**Tax Identification Number: 94-3256579**

**Proceeds from Broker Transactions**

Short-term transactions for covered securities; included in Box 2a.

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
<b>Description (Box 8) ABBOTT LABS</b>										
Sell	6 0000		10/22/13	11/20/13	228 11	221 85				6 26
Sell	289 0000		10/22/13	12/03/13	10,817 95	10,686 01				131 94
<b>Sub Total</b>	<b>295.0000</b>				<b>11,046.06</b>	<b>10,907.86</b>				<b>138.20</b>
<b>Description (Box 8) BORGWARNER INC</b>										
Sell	200.0000		11/15/12	10/10/13	20,382.23	12,373.34				8,008.89
<b>Description (Box 8) CAMERON INTL CORP</b>										
Sell	1,721.0000		11/02/12	10/28/13	92,812.09	87,805.25				5,006.84
<b>Description (Box 8) CME GROUP INC</b>										
Sell	353.0000		10/26/12	10/16/13	27,080.98	19,455.03				7,625.95
<b>Description (Box 8) COSTCO WHOLESALE CORP</b>										
Sell	6 0000		08/06/13	11/20/13	736 01	715 58				20 43
Sell	87 0000		08/06/13	12/03/13	10,782 60	10,375 83				406 77
<b>Sub Total</b>	<b>93.0000</b>				<b>11,518.61</b>	<b>11,091.41</b>				<b>427.20</b>
<b>Description (Box 8) INTUIT</b>										
Sell	233.0000		05/01/13	12/03/13	17,282.22	13,975.76				3,306.46

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**Payer:**  
 MENTAL INSIGHT FOUNDATION  
 349 SAILFISH ISLE  
 FOSTER CITY CA 94404-1824

**2013 Informational Statement**

**Account Number:**  
**Tax Identification Number: 94-3256579**

**Proceeds from Broker Transactions (continued)**

Short-term transactions for covered securities; included in Box 2a (continued)

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
Description (Box 8) MICROCHIP TECHNOLOGY INC										
Sell	245.0000		11/20/13	12/03/13	10,432.09	10,442.22				(10.13)
Description (Box 8) NEWELL RUBBERMAID INC										
Sell	866.0000		12/05/12	12/03/13	25,912.95	18,792.81				7,120.14
Description (Box 8) NIUCOR CORP										
Sell	15.0000		06/18/13	11/20/13	794.09	671.02				123.07
Sell	204.0000		06/18/13	12/03/13	10,343.25	9,125.86				1,217.39
<b>Sub Total</b>	<b>219.0000</b>				<b>11,137.34</b>	<b>9,796.88</b>				<b>1,340.46</b>
Description (Box 8) O REILLY AUTOMOTIVE INC										
Sell	183.0000		07/30/12	05/01/13	19,714.42	15,845.61				3,868.81
Description (Box 8) PALL CORP										
Sell	410.0000		03/13/12	02/11/13	27,898.04	24,263.02				3,635.02
Description (Box 8) RYANAIR HOLDINGS PLC SPON ADR										
Sell	176.0000		10/10/13	12/03/13	8,302.84	8,702.23	399.39			0.00
Description (Box 8) TJX COS INC NEW										
Sell	173.0000		08/06/13	12/03/13	10,780.05	9,295.29				1,484.76

continued next page

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 1000 Harbor Boulevard  
 Weehawken, NJ 07086-6790  
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 415-963-5200/888-660-5750

**Payer:**  
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 FOSTER CITY CA 94404-1824

**2013 Informational Statement**

**Account Number:**

**Tax Identification Number: 94-3256579**

**Proceeds from Broker Transactions (continued)**

Short-term transactions for covered securities; included in Box 2a. (continued)

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
Description (Box 8) TW TELECOM INC CL A CUSIP 873111104 Symbol (Box 1d) TWTC										
Sell	13 0000		09/04/13	11/20/13	364 90	376 04				(11 14)
Sell	172 0000		09/04/13	12/03/13	4,898 90	4,975 31				(76 41)
<b>Sub Total</b>	<b>185.0000</b>				<b>5,263.80</b>	<b>5,351.35</b>				<b>(87.55)</b>
<b>Total</b>	<b>5,352.0000</b>				<b>\$299,563.72</b>	<b>\$258,098.06</b>				<b>\$41,865.05</b>

Long-term transactions for covered securities; included in Box 2a.

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
Description (Box 8) ALTERA CORP CUSIP 021441100 Symbol (Box 1d) ALTR										
Sell	1,117 0000		01/20/11	08/29/13	39,702 85	42,089 68				(2,386 83)
Sell	262 0000		09/08/11	08/29/13	9,312 57	9,210 77				101 80
Sell	298 0000		09/08/11	11/15/13	9,659 14	10,476 37				(817 23)
Sell	1,105 0000		12/12/11	11/15/13	35,816 63	39,120 76				(3,304 13)
Sell	126 0000		06/26/12	11/15/13	4,084 07	4,048 33				35 74
Sell	13 0000		06/26/12	11/20/13	408 19	417 69				(9 50)
Sell	216 0000		06/26/12	12/03/13	6,937 80	6,939 99				(2 19)
<b>Sub Total</b>	<b>3,137.0000</b>				<b>105,921.25</b>	<b>112,303.59</b>				<b>(6,382.34)</b>

Description (Box 8) AMER EXPRESS CO

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
Description (Box 8) AMER EXPRESS CO CUSIP 025816109 Symbol (Box 1d) AXP										
Sell	289.0000		02/23/12	12/03/13	24,538.27	15,226.31				9,311.96

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**UBS Financial Services Inc.**  
 1000 Harbor Boulevard  
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**Payer:**  
 MENTAL INSIGHT FOUNDATION  
 349 SAILFISH ISLE  
 FOSTER CITY CA 94404-1824

**2013 Informational Statement**

**Account Number:**

**Tax Identification Number: 94-3256579**

**Proceeds from Broker Transactions (continued)**

Long-term transactions for covered securities, included in Box 2a. (continued)

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
<b>Description (Box 8) BLACKROCK INC</b>										
Sell	101.0000		05/23/11	06/17/13	27,499.08	19,601.28				7,897.80
Sell	62.0000		09/08/11	06/17/13	16,880.63	9,706.78				7,173.85
<b>Sub Total</b>	<b>163.0000</b>				<b>44,379.71</b>	<b>29,308.06</b>				<b>15,071.65</b>
<b>Description (Box 8) BORGWARNER INC</b>										
Sell	5.0000		11/15/12	11/20/13	514.04	309.33				204.71
Sell	91.0000		11/15/12	12/03/13	9,509.34	5,629.87				3,879.47
<b>Sub Total</b>	<b>96.0000</b>				<b>10,023.38</b>	<b>5,939.20</b>				<b>4,084.18</b>
<b>Description (Box 8) CIMAREX ENERGY CO</b>										
Sell	557.0000		08/17/11	02/19/13	36,760.85	38,071.89				(1,311.04)
Sell	162.0000		08/17/11	10/28/13	17,079.46	11,072.98				6,006.48
Sell	44.0000		08/17/11	12/03/13	4,346.24	3,007.47				1,338.77
<b>Sub Total</b>	<b>763.0000</b>				<b>58,186.55</b>	<b>52,152.34</b>				<b>6,034.21</b>
<b>Description (Box 8) CME GROUP INC</b>										
Sell	119.0000		03/27/12	06/13/13	8,602.94	6,991.23				1,611.71
Sell	290.0000		03/27/12	07/12/13	22,217.84	17,037.46				5,180.38
Sell	120.0000		03/27/12	10/16/13	9,206.00	7,049.99				2,156.01
Sell	390.0000		04/24/12	10/16/13	29,919.50	21,420.27				8,499.23
<b>Sub Total</b>	<b>919.0000</b>				<b>69,946.28</b>	<b>52,498.95</b>				<b>17,447.33</b>

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**2013 Informational Statement**

**Account Number:**

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**Proceeds from Broker Transactions (continued)**

Long-term transactions for covered securities, included in Box 2a. (continued)

Activity Type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
<b>Description (Box 8): ECOLAB INC</b>										
Sell	107 0000		08/11/11	10/10/13	10,542 18	4,858 69				5,683 49
Sell	4 0000		08/11/11	11/20/13	426 11	181 63				244 48
Sell	95 0000		08/11/11	12/03/13	9,941 58	4,313 79				5,627 79
Sell	88 0000		08/11/11	12/06/13	9,171 73	3,995 93				5,175 80
Sell	311 0000		08/29/11	12/06/13	32,413 72	16,155 98				16,257 74
<b>Sub Total</b>	<b>605.0000</b>				<b>62,495.32</b>	<b>29,506.02</b>				<b>32,989.30</b>
<b>Description (Box 8): GOOGLE INC CL A</b>										
Sell	23 0000		04/27/11	01/17/13	16,503 28	12,341 66				4,161 62
Sell	14 0000		04/27/11	06/13/13	12,185 40	7,512 32				4,673 08
Sell	24 0000		04/27/11	07/02/13	21,242 40	12,878 26				8,364 14
Sell	24 0000		04/27/11	07/12/13	22,054 22	12,878 25				9,175 97
Sell	10 0000		04/27/11	12/03/13	10,531 22	5,365 94				5,165 28
Sell	16 0000		04/27/11	12/05/13	16,887 64	8,585 51				8,302 13
Sell	6 0000		04/27/11	12/16/13	6,433 45	3,219 56				3,213 89
Sell	5 0000		06/30/11	12/16/13	5,361 20	2,522 09				2,839 11
<b>Sub Total</b>	<b>122.0000</b>				<b>111,198.81</b>	<b>65,303.59</b>				<b>45,895.22</b>
<b>Description (Box 8): HUNT J B TRANS SVCS INC</b>										
Sell	9 0000		07/18/12	11/20/13	651 98	493 50				158 48
Sell	125 0000		07/18/12	12/03/13	9,509 53	6,854 10				2,655 43
<b>Sub Total</b>	<b>134.0000</b>				<b>10,161.51</b>	<b>7,347.60</b>				<b>2,813.91</b>

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**2013 Informational Statement**

**Account Number:**

**Tax Identification Number: 94-3256579**

**Proceeds from Broker Transactions (continued)**

Long-term transactions for covered securities; included in Box 2a. (continued)

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
<b>Description (Box 8) INTERCONTINENTALEXCHANGE GROUP COM</b>										
Sell	22 0000		03/27/12	11/20/13	4,656 66	3,033 19				1,623 47
Sell	90 0000		03/27/12	12/03/13	19,206 58	12,498 48				6,798 10
<b>Sub Total</b>	<b>112,0000</b>				<b>23,863.24</b>	<b>15,441.67</b>				<b>8,421.57</b>
<b>Description (Box 8) MC CORMICK &amp; CO NV</b>										
Sell	137 0000		02/03/11	05/01/13	9,904 58	6,113 54				3,791 04
Sell	85 0000		02/03/11	06/12/13	6,055 29	3,793 08				2,262 21
Sell	5 0000		02/03/11	11/20/13	343 74	223 12				120 62
Sell	96 0000		02/03/11	12/03/13	6,599 94	4,283 94				2,316 00
Sell	164 0000		02/03/11	12/05/13	11,232 32	7,318 40				3,913 92
<b>Sub Total</b>	<b>487,0000</b>				<b>34,135.87</b>	<b>21,732.08</b>				<b>12,403.79</b>
<b>Description (Box 8) O REILLY AUTOMOTIVE INC</b>										
Sell	69 0000		07/30/12	10/10/13	8,636 51	5,974 57				2,661 94
Sell	171 0000		09/13/12	10/10/13	21,403 51	14,032 67				7,370 84
Sell	4 0000		09/13/12	11/20/13	496 11	328 25				167 86
Sell	78 0000		09/13/12	12/03/13	9,694 85	6,400 87				3,293 98
Sell	98 0000		09/13/12	12/05/13	11,870 41	8,042 11				3,828 30
Sell	17 0000		10/17/12	12/05/13	2,059 15	1,365 51				693 64
<b>Sub Total</b>	<b>437,0000</b>				<b>54,160.54</b>	<b>36,143.98</b>				<b>18,016.56</b>

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**2013 Informational Statement**

**Account Number:**

**Tax Identification Number: 94-3256579**

**Proceeds from Broker Transactions (continued)**

Long-term transactions for covered securities, included in Box 2a (continued)

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
<b>Description (Box 8) PALL CORP</b>										
Sell	19 0000		03/13/12	11/20/13	1,536 79	1,124 39				412 40
Sell	124 0000		03/13/12	12/03/13	10,268 56	7,338 08				2,930 48
Sell	115 0000		03/13/12	12/05/13	9,422 28	6,805 49				2,616 79
Sell	141 0000		03/13/12	12/16/13	11,697 46	8,344 11				3,353 35
<b>Sub Total</b>	<b>399.0000</b>				<b>32,925.09</b>	<b>23,612.07</b>				<b>9,313.02</b>
<b>Description (Box 8) SCRIPPS NETWORKS INTERACT INC CL A</b>										
Sell	140.0000		08/29/11	12/11/13	11,302.98	5,775.29				5,527.69
<b>Description (Box 8) TARGET CORP</b>										
Sell	727 0000		01/14/11	08/06/13	52,096 71	40,111 07				11,985 64
Sell	47 0000		02/28/11	08/06/13	3,368 01	2,466 95				901 06
Sell	402 0000		04/05/11	08/06/13	28,807 26	20,397 24				8,410 02
<b>Sub Total</b>	<b>1,176.0000</b>				<b>84,271.98</b>	<b>62,975.26</b>				<b>21,296.72</b>
<b>Description (Box 8) UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR</b>										
Sell	448.0000		10/05/11	12/03/13	17,417.98	14,053.14				3,364.84
<b>Total</b>	<b>9,427.0000</b>				<b>\$754,928.76</b>	<b>\$549,319.15</b>				<b>\$205,609.61</b>

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**Proceeds from Broker Transactions (continued)**

Long-term transactions for noncovered securities; included in Box 2a.

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
<b>Description (Box 8): ALTERA CORP</b>										
Sell	747 0000		01/17/08	08/29/13	26,551 50	13,082 88				13,468 62
Sell	54 0000		12/11/08	08/29/13	1,919 39	792 72				1,126 67
<b>Sub Total</b>	<b>801.0000</b>				<b>28,470.89</b>	<b>13,875.60</b>				<b>14,595.29</b>
<b>Description (Box 8): BECTON DICKINSON &amp; CO</b>										
Sell	16 0000		01/11/10	11/20/13	1,738 05	1,249 49				488 56
Sell	139 0000		01/11/10	12/03/13	15,011 21	10,854 90				4,156 31
<b>Sub Total</b>	<b>155.0000</b>				<b>16,749.26</b>	<b>12,104.39</b>				<b>4,644.87</b>
<b>Description (Box 8): BG GROUP PLC SPON ADR</b>										
Sell	15 0000		01/25/08	11/20/13	302 39	292 53				9 86
Sell	835 0000		01/25/08	12/03/13	16,741 46	16,284 17				457 29
<b>Sub Total</b>	<b>850.0000</b>				<b>17,043.85</b>	<b>16,576.70</b>				<b>467.15</b>
<b>Description (Box 8): BLACKROCK INC</b>										
Sell	39 0000		11/10/10	05/01/13	10,307 21	6,575 12				3,732 09
Sell	113 0000		11/10/10	06/17/13	30,766 30	19,050 99				11,715 31
Sell	35 0000		12/03/10	06/17/13	9,529 39	5,958 45				3,570 94
<b>Sub Total</b>	<b>187.0000</b>				<b>50,602.90</b>	<b>31,584.56</b>				<b>19,018.34</b>

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**2013 Informational Statement**

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**Proceeds from Broker Transactions (continued)**

Long-term transactions for noncovered securities; included in Box 2a. (continued)

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1b)	Date sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
<b>Description (Box 8) CIMAREX ENERGY CO</b>										
Sell	230.0000		12/11/08	02/19/13	15,179.52	6,160.89				9,018.63
<b>Description (Box 8) COVIDIEN PLC</b>										
Sell	11.0000		02/24/10	11/20/13	728.74	501.48				227.26
Sell	217.0000		02/24/10	12/03/13	14,554.70	9,892.85				4,661.85
<b>Sub Total</b>	<b>228.0000</b>				<b>15,283.44</b>	<b>10,394.33</b>				<b>4,889.11</b>
<b>Description (Box 8) DANAHER CORP</b>										
Sell	35.0000		01/17/08	11/20/13	2,581.21	1,393.31				1,187.90
Sell	354.0000		01/17/08	12/03/13	26,140.54	14,092.43				12,048.11
Sell	168.0000		01/17/08	12/05/13	12,420.20	6,687.92				5,732.28
Sell	302.0000		01/17/08	12/16/13	22,577.82	12,022.35				10,555.47
<b>Sub Total</b>	<b>859.0000</b>				<b>63,719.77</b>	<b>34,196.01</b>				<b>29,523.76</b>
<b>Description (Box 8) GRAINGER W W INC</b>										
Sell	29.0000		04/14/10	10/10/13	7,396.03	3,243.53				4,152.50
Sell	3.0000		04/14/10	11/20/13	762.26	335.54				426.72
Sell	32.0000		04/14/10	12/03/13	8,182.58	3,579.07				4,603.51
Sell	24.0000		04/14/10	12/05/13	6,136.56	2,684.30				3,452.26
<b>Sub Total</b>	<b>88.0000</b>				<b>22,477.43</b>	<b>9,842.44</b>				<b>12,634.99</b>

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**Proceeds from Broker Transactions (continued)**

Long-term transactions for noncovered securities; included in Box 2a. (continued)

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
<b>Description (Box 8) HERMAN MILLER INC</b>										
Sell	494 0000		09/02/09	02/19/13	12,295 23	7,872 73				4,422 50
Sell	20 0000		09/02/09	11/20/13	612 19	318 73				293 46
Sell	258 0000		09/02/09	12/03/13	7,899 85	4,111 67				3,788 18
<b>Sub Total</b>	<b>772.0000</b>				<b>20,807.27</b>	<b>12,303.13</b>				<b>8,504.14</b>
<b>Description (Box 8) LAZARD LTD CL A</b>										
Sell	29 0000		03/07/12	11/20/13	1,215 28	869 30				345 98
Sell	309 0000		03/07/12	12/03/13	12,772 85	9,262 49				3,510 36
<b>Sub Total</b>	<b>338.0000</b>				<b>13,988.13</b>	<b>10,131.79</b>				<b>3,856.34</b>
<b>Description (Box 8) MALLINCKRODT PUB LTD CO</b>										
Cash in Lieu	0 8750		02/24/10	07/01/13	38 63	31 68				6 95
Sell	104 6250		02/24/10	07/03/13	4,415 82	3,788 14				627 68
Sell	37 3750		04/22/10	07/03/13	1,577 46	1,333 40				244 06
Sell	37 0000		06/09/10	07/03/13	1,561 62	1,075 89				485 73
Sell	40 0000		09/02/10	07/03/13	1,688 25	1,063 46				624 79
<b>Sub Total</b>	<b>219.8750</b>				<b>9,281.78</b>	<b>7,292.57</b>				<b>1,989.21</b>
<b>Description (Box 8) MASTERCARD INC CL A</b>										
Sell	5 0000		09/16/10	06/13/13	2,830 58	1,054 32				1,776 26
Sell	11 0000		09/16/10	12/03/13	8,281 54	2,319 49				5,962 05

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**Proceeds from Broker Transactions (continued)**

Long-term transactions for noncovered securities; included in Box 2a (continued)

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
Description (Box 8) MASTERCARD INC CL A										
Sell	9,000		09/16/10	12/05/13	6,784.32	1,897.76				4,886.56
<b>Sub Total</b>	<b>25,000</b>				<b>17,896.44</b>	<b>5,271.57</b>				<b>12,624.87</b>
Description (Box 8) NATL INSTRUMENTS CORP										
Sell	557,000		01/17/08	05/14/13	15,874.59	11,200.45				4,674.14
Sell	396,000		01/17/08	06/10/13	11,167.25	7,962.98				3,204.27
Sell	274,000		01/17/08	10/11/13	8,382.85	5,509.74				2,873.11
Sell	139,000		02/04/08	10/11/13	4,252.62	2,410.08				1,842.54
Sell	275,000		02/04/08	12/03/13	8,478.16	4,768.15				3,710.01
<b>Sub Total</b>	<b>1,641,000</b>				<b>48,155.47</b>	<b>31,851.40</b>				<b>16,304.07</b>
Description (Box 8) NOBLE ENERGY INC										
Sell	194,000		08/31/10	07/19/13	12,668.83	6,740.81				5,928.02
Sell	5,000		08/31/10	11/20/13	364.44	173.73				190.71
Sell	192,000		08/31/10	12/03/13	13,348.80	6,671.31				6,677.49
<b>Sub Total</b>	<b>391,000</b>				<b>26,382.07</b>	<b>13,585.85</b>				<b>12,796.22</b>
Description (Box 8) NOVOZYMES AVS ADR										
Sell	17,000		06/03/09	11/20/13	647.27	269.79				377.48
Sell	118,000		06/03/09	12/03/13	4,493.71	1,872.66				2,621.05
<b>Sub Total</b>	<b>135,000</b>				<b>5,140.98</b>	<b>2,142.45</b>				<b>2,998.53</b>

continued next page

**UBS Financial Services Inc.**

1000 Harbor Boulevard  
Weehawken, NJ 07086-6790  
Federal ID 13-2638166

**Your Financial Advisor:**  
CLIFFORD/BARNOWSKI  
415-963-5200/888-660-5750

**Payer:**  
MENTAL INSIGHT FOUNDATION  
349 SAILFISH ISLE  
FOSTER CITY CA 94404-1824

**2013 Informational Statement**

**Account Number:**

**Tax Identification Number: 94-3256579**

**Proceeds from Broker Transactions (continued)**

Long-term transactions for noncovered securities; included in Box 2a. (continued)

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
<b>Description (Box 8) PRAXAIR INC</b>										
Sell	9 0000		12/11/08	11/20/13	1,122 73	502 33				620 40
Sell	64 0000		12/11/08	12/03/13	7,940 34	3,572 14				4,368 20
<b>Sub Total</b>	<b>73.0000</b>				<b>9,063.07</b>	<b>4,074.47</b>				<b>4,988.60</b>
<b>Description (Box 8) PROCTER &amp; GAMBLE CO</b>										
Sell	185 0000		02/05/10	05/01/13	14,316 46	11,345 53				2,970 93
Sell	12 0000		02/05/10	11/20/13	1,014 46	735 93				278 53
Sell	175 0000		02/05/10	12/03/13	14,667 30	10,732 26				3,935 04
<b>Sub Total</b>	<b>372.0000</b>				<b>29,998.22</b>	<b>22,813.72</b>				<b>7,184.50</b>
<b>Description (Box 8) PROGRESSIVE CORP OHIO</b>										
Sell	696 0000		04/16/08	10/10/13	18,558 52	11,851 76				6,706 76
Sell	583 0000		04/16/08	12/03/13	16,278 54	9,927 56				6,350 98
Sell	155 0000		04/16/08	12/05/13	4,221 28	2,639 40				1,581 88
<b>Sub Total</b>	<b>1,434.0000</b>				<b>39,058.34</b>	<b>24,418.72</b>				<b>14,639.62</b>
<b>Description (Box 8) ROCHE HLDG LTD SPONS ADR SWITZ ADR</b>										
Sell	35 0000		11/24/09	05/22/13	2,297 40	1,454 81				842 59
Sell	170 0000		01/05/10	05/22/13	11,158 78	7,347 98				3,810 80

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**UBS Financial Services Inc.**  
 1000 Harbor Boulevard  
 Weehawken, NJ 07086-6790  
 Federal ID 13-2638166

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 CLIFFORD/BARNOWSKI  
 415-963-5200/888-660-5750

**Payer:**  
 MENTAL INSIGHT FOUNDATION  
 349 SAILFISH ISLE  
 FOSTER CITY CA 94404-1824

**2013 Informational Statement**

**Account Number:**

**Tax Identification Number: 94-3256579**

**Proceeds from Broker Transactions (continued)**

Long-term transactions for noncovered securities; included in Box 2a. (continued)

Activity type	Quantity / face value (Box 7e)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
<b>Description (Box 8) SMUCKER J M CO NEW</b>										
Sell	8.0000		02/24/10	11/20/13	804.59	471.40				333.19
Sell	79.0000		02/24/10	12/03/13	8,287.36	4,655.02				3,632.34
<b>Sub Total</b>	<b>429.0000</b>				<b>44,215.06</b>	<b>25,093.54</b>				<b>19,121.52</b>
<b>Description (Box 8) TEXAS INSTRUMENTS</b>										
Sell	31.0000		01/17/08	11/20/13	1,300.27	946.12				354.15
Sell	312.0000		01/17/08	12/03/13	13,363.19	9,522.24				3,840.95
Sell	14.0000		12/11/08	12/03/13	599.64	211.82				387.82
Sell	312.0000		06/09/10	12/03/13	13,363.19	7,596.61				5,766.58
<b>Sub Total</b>	<b>669.0000</b>				<b>28,626.29</b>	<b>18,276.79</b>				<b>10,349.50</b>
<b>Description (Box 8) 3M CO</b>										
Sell	15.0000		01/17/08	11/20/13	1,934.52	1,176.00				758.52
Sell	124.0000		01/17/08	12/03/13	15,578.43	9,721.60				5,856.83
<b>Sub Total</b>	<b>139.0000</b>				<b>17,512.95</b>	<b>10,897.60</b>				<b>6,615.35</b>
<b>Total</b>	<b>11,409.8750</b>				<b>\$636,105.50</b>	<b>\$368,198.53</b>				<b>\$267,906.97</b>
<b>Total</b>					<b>\$1,690,597.98</b>					

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Weehawken, NJ 07086-6790  
Federal ID 13-2638166

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CLIFFORD/BARNOWSKI  
415-963-5200/888-660-5750

**Payer:**

MENTAL INSIGHT FOUNDATION  
349 SAILFISH ISLE  
FOSTER CITY CA 94404-1824

**2013 Informational Statement**

**Account Number:**

**Tax Identification Number: 94-3256579**

**2013 Realized Gain/Loss Summary**

Description	Sale amount	Cost/other basis	Wash sale loss disallowed	Net Gain/Loss
<b>Short-term Gain/Loss</b>				
Short-term transactions for covered securities, included in Box 2a	299,563 72	258,098 06	399 39	41,865 05
<b>Total short-term</b>	\$299,563 72	\$258,098 06	\$399 39	\$41,865 05
<b>Long-term Gain/Loss</b>				
Long-term transactions for covered securities, included in Box 2a	754,928 76	549,319 15		205,609 61
Long-term transactions for noncovered securities, included in Box 2a	636,105 50	368,198 53		267,906 97
<b>Total long-term</b>	\$1,391,034 26	\$917,517 68		\$473,516 58
<b>Sub Total</b>	<b>\$1,690,597.98</b>	<b>\$1,175,615.74</b>	<b>\$399.39</b>	<b>\$515,381.63</b>
<b>Totals</b>	<b>\$1,690,597.98</b>	<b>\$1,175,615.74</b>	<b>\$399.39</b>	<b>\$515,381.63</b>

**Dividends and Distributions Details**

Transactions shown as "Qualified Dividend" only indicate that the dividend is issuer-qualified. We do not compute the holding period required for a qualified dividend. It is the responsibility of the taxpayer to determine whether the holding period requirements have been met. To assist you, we include the ex-dividend date information if available.

Security Description	CUSIP	Activity Description	Ex Date	Payment Date	Amount
ALTERA CORP	021441100	Qualified Dividend	02/07/13	03/01/13	544 30
		Qualified Dividend	05/08/13	06/03/13	544 30
		Qualified Dividend	08/08/13	09/03/13	885 90
		Qualified Dividend	11/07/13	12/02/13	558 90
		<b>Sub-Total:</b>			<b>\$ 2,533.40</b>
AMER EXPRESS CO	025816109	Qualified Dividend	01/02/13	02/08/13	376 40
		Qualified Dividend	04/03/13	05/10/13	511 20
		Qualified Dividend	07/02/13	08/09/13	587 88
		Qualified Dividend	10/02/13	11/08/13	617 32
		<b>Sub-Total:</b>			<b>\$ 2,092.80</b>
BECTON DICKINSON & CO	075887109	Qualified Dividend	03/06/13	03/29/13	644 00
		Qualified Dividend	06/05/13	06/28/13	644 00
		Qualified Dividend	09/05/13	09/30/13	644 00
		Qualified Dividend	12/06/13	12/31/13	624 57
		<b>Sub-Total:</b>			<b>\$ 2,556.57</b>

continued next page

# Application for Extension of Time To File an Exempt Organization Return

Department of the Treasury  
Internal Revenue Service

► **File a separate application for each return.**  
► Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868).

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only.

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	<b>THE MENTAL INSIGHT FOUNDATION</b>	<b>94-3256579</b>
File by the due date for filing your return. See instructions.	Number, street, and room or suite number. If a P.O. box, see instructions.	Social security number (SSN)
	<b>538 BROADWAY, SUITE A</b> City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	<b>SONOMA, CA 95476</b>	

Enter the Return code for the return that this application is for (file a separate application for each return).  **04**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

• The books are in the care of ► VIRGINIA HUBBELL

Telephone No. ► (707) 938-8248 Fax No. ► \_\_\_\_\_

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for.

**1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 2014, to file the exempt organization return for the organization named above.  
The extension is for the organization's return for:

- calendar year 2013 or
- tax year beginning \_\_\_\_\_, 20\_\_\_\_, and ending \_\_\_\_\_, 20\_\_\_\_.

**2** If the tax year entered in line 1 is for less than 12 months, check reason:  Initial return  Final return  Change in accounting period

<b>3a</b> If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b> \$	<b>11,725.</b>
<b>b</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b> \$	<b>30,920.</b>
<b>c Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b> \$	<b>0.</b>

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box  **X**
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Enter filer's identifying number, see instructions

<b>Type or print</b>  <small>File by the extended due date for filing your return. See instructions.</small>	Name of exempt organization or other filer, see instructions. <b>THE MENTAL INSIGHT FOUNDATION</b>	Employer identification number (EIN) or <b>94-3256579</b>
	Number, street, and room or suite number. If a P O box, see instructions. <b>COMYNS, SMITH, MCCLEARY &amp; DEEVER, LLP</b> <b>3470 MT. DIABLO BLVD. #A110</b>	Social security number (SSN) City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>LAFAYETTE, CA 94549</b>

Enter the Return code for the return that this application is for (file a separate application for each return). **04**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in care of ▶ VIRGINIA HUBBELL  
 Telephone No. ▶ (707) 938-8248 Fax No. ▶ \_\_\_\_\_
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN)... \_\_\_\_\_ . If this is for the whole group, check this box  . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for.

- I request an additional 3-month extension of time until 11/15, 2014.
- For calendar year 2013, or other tax year beginning \_\_\_\_\_, 20\_\_\_\_, and ending \_\_\_\_\_, 20\_\_\_\_.
- If the tax year entered in line 5 is for less than 12 months, check reason:  Initial return  Final return  Change in accounting period
- State in detail why you need the extension ADDITIONAL TIME IS NEEDED TO GATHER FINANCIAL INFORMATION NEEDED TO PREPARE A COMPLETE AND ACCURATE RETURN.

<b>8a</b> If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>8a</b> \$	0.
<b>b</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	<b>8b</b> \$	5,920.
<b>c Balance due.</b> Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>8c</b> \$	0.

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ *NR* Title ▶ CPA Date ▶ 8.5.14  
**BAA** FIFZ0502L 12/31/13 Form 8868 (Rev 1-2014)