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Form 990  Department of the Treasury Internal Revenue Service	Return of Organization Exempt From Income Tax Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations) ▶ Do not enter Social Security numbers on this form as it may be made public. By law, the IRS generally cannot redact the information on the form. ▶ Information about Form 990 and its instructions is at www.irs.gov/form990	OMB No 1545-0047 2013 Open to Public Inspection
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A For the 2013 calendar year, or tax year beginning 01-01-2013 , 2013, and ending 12-31-2013			
B Check if applicable ☐ Address change ☐ Name change ☐ Initial return ☐ Terminated ☐ Amended return ☐ Application pending	C Name of organization ASSOCIATION FOR THE ADVANCEMENT OF ARTIFICIAL INTELLIGENCE Doing Business As		D Employer identification number 94-2702216
	Number and street (or P O box if mail is not delivered to street address) Room/suite 2275 EAST BAYSHORE ROAD		E Telephone number (650) 328-3123
	City or town, state or province, country, and ZIP or foreign postal code PALO ALTO, CA 94303		G Gross receipts \$ 2,346,447
	F Name and address of principal officer		
	H(a) Is this a group return for subordinates? ☐ Yes ☒ No H(b) Are all subordinates included? ☐ Yes ☒ No If "No," attach a list (see instructions)		
I Tax-exempt status ☒ 501(c)(3) ☐ 501(c) () ◀(Insert no) ☐ 4947(a)(1) or ☐ 527		H(c) Group exemption number ▶	
J Website: ▶ www.aaai.org			
K Form of organization ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶			L Year of formation 1980
M State of legal domicile CA			

Part I	Summary
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Activities & Governance	1 Briefly describe the organization's mission or most significant activities Scientific Society devoted to advancing the scientific understanding of the mechanisms underlying thought and intelligent behavior and their embodiment in machines. AAAI also aims to increase public understanding of artificial intelligence, improve the teaching and training of AI practitioners, and provide guidance for research planners and funders concerning the importance and potential of current AI developments and future directions.		
	2 Check this box ☒ if the organization discontinued its operations or disposed of more than 25% of its net assets		
	3 Number of voting members of the governing body (Part VI, line 1a)	3	16
	4 Number of independent voting members of the governing body (Part VI, line 1b)	4	16
	5 Total number of individuals employed in calendar year 2013 (Part V, line 2a)	5	4
6 Total number of volunteers (estimate if necessary)	6		
7a Total unrelated business revenue from Part VIII, column (C), line 12	7a	5,383	
b Net unrelated business taxable income from Form 990-T, line 34	7b	793	
Revenue	8 Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9 Program service revenue (Part VIII, line 2g)	667,982	629,459
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	729,494	823,270
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	226,604	346,815
	12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	-33,661	13,407
		1,590,419	1,812,951
Expenses	13 Grants and similar amounts paid (Part IX, column (A), lines 1–3)	112,430	150,687
	14 Benefits paid to or for members (Part IX, column (A), line 4)		0
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	572,037	526,689
	16a Professional fundraising fees (Part IX, column (A), line 11e)		0
	b Total fundraising expenses (Part IX, column (D), line 25) ☒ 0		
	17 Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	952,937	884,458
	18 Total expenses. Add lines 13–17 (must equal Part IX, column (A), line 25)	1,637,404	1,561,834
	19 Revenue less expenses. Subtract line 18 from line 12	-46,985	251,117
	Net Assets or Fund Balances		Beginning of Current Year
20 Total assets (Part X, line 16)		7,807,707	9,049,844
21 Total liabilities (Part X, line 26)		669,128	618,284
22 Net assets or fund balances. Subtract line 21 from line 20		7,138,579	8,431,560

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	***** Signature of officer			2014-11-17 Date	
	TED SENATOR Treasurer Type or print name and title				
Paid Preparer Use Only	Print/Type preparer's name Adrianne Phillips		Preparer's signature		Date
	Firm's name ▶ Vavrinek Trine Day & Co LLP			Check ☐ if self-employed	PTIN P00798062
	Firm's address ▶ 260 Sheridan Ave Suite 440 Palo Alto, CA 94306			Phone no (650) 462-0400	

May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No

Part III

Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

1

Briefly describe the organization's mission

Scientific Society devoted to advancing the scientific understanding of the mechanisms underlying thought and intelligent behavior and their embodiment in machines AAAI also aims to increase public understanding of artificial intelligence, improve the teaching and training of AI practitioners, and provide guidance for research planners and funders concerning the importance and potential of current AI developments and future directions

2

Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

Yes

No

If "Yes," describe these new services on Schedule O

3

Did the organization cease conducting, or make significant changes in how it conducts, any program services?

Yes

No

If "Yes," describe these changes on Schedule O

4

Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a

(Code) (Expenses \$ 922,276 including grants of \$ 150,687) (Revenue \$ 823,270)

Conferences, exhibits, symposiums, and tutorials 656 total paid registrants and 134 complimentary registrants for the 2013 Technical Conference, 10 exhibitors, 1,450 tutorial seats reserved, and 449 tutorial participants

4b

(Code) (Expenses \$ 329,027 including grants of \$) (Revenue \$ 384,896)

Membership maintenance, magazine, internet, & publications production 3,503 members as of December 31, 2013 which is a consistent level of magazine production during 2013 compared to 2012

4c

(Code) (Expenses \$ including grants of \$) (Revenue \$)

4d

Other program services (Describe in Schedule O)

(Expenses \$ including grants of \$) (Revenue \$)

4e

Total program service expenses

1,251,303

Part IV

Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A 	1 Yes	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	2	No
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3	No
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	4	No
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5	No
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I 	6	No
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II 	7	No
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III 	8	No
9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV 	9	No
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V 	10	No
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI 	11a Yes	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII 	11b	No
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII 	11c	No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX 	11d	No
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X 	11e Yes	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X 	11f	No
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII 	12a Yes	
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional 	12b	No
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13	No
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a	No
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV 	14b	No
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV 	15	No
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV 	16 Yes	
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)	17	No
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18	No
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19	No
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a	No
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	

Part IV

Checklist of Required Schedules (continued)

21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21	Yes	
22	Did the organization report more than \$5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		No
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		No
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		No
25a	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>	25b		No
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If so, complete Schedule L, Part II</i>	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>	27		No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28b	Yes	
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c		No
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	29		No
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	33		No
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>	34		No
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		No
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	35b		No
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O	38	Yes	

Part V

Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

☐

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable.	12	
1b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.	0	
1c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			No
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return.	4	
2b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions).		Yes	
3a Did the organization have unrelated business gross income of \$1,000 or more during the year?		Yes	
3b If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O.			
4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?			No
4b If "Yes," enter the name of the foreign country: See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.			
5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?			No
5b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			No
5c If "Yes," to line 5a or 5b, did the organization file Form 8886-T?			
6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?			No
6b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			
7 Organizations that may receive deductible contributions under section 170(c).			
7a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?			No
7b If "Yes," did the organization notify the donor of the value of the goods or services provided?			
7c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			No
7d If "Yes," indicate the number of Forms 8282 filed during the year.		0	
7e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			No
7f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			No
7g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?			No
7h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?			No
8 Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?			No
9 Sponsoring organizations maintaining donor advised funds.			
9a Did the organization make any taxable distributions under section 4966?			No
9b Did the organization make a distribution to a donor, donor advisor, or related person?			No
10 Section 501(c)(7) organizations. Enter			
10a Initiation fees and capital contributions included on Part VIII, line 12.			
10b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities.			
11 Section 501(c)(12) organizations. Enter			
11a Gross income from members or shareholders.			
11b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them).			
12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?			No
12b If "Yes," enter the amount of tax-exempt interest received or accrued during the year.			
13 Section 501(c)(29) qualified nonprofit health insurance issuers.			
13a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.			No
13b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans.			
13c Enter the amount of reserves on hand.			
14a Did the organization receive any payments for indoor tanning services during the tax year?			No
14b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.			

Part VI

Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	16	
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O			
b	Enter the number of voting members included in line 1a, above, who are independent	16	
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3	No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5	No
6	Did the organization have members or stockholders?	6	Yes
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a	Yes
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b	No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following		
a	The governing body?	8a	Yes
b	Each committee with authority to act on behalf of the governing body?	8b	No
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9	No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a	Yes
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	Yes
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	Yes
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	No
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	No
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	No
13	Did the organization have a written whistleblower policy?	13	No
14	Did the organization have a written document retention and destruction policy?	14	Yes
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a	The organization's CEO, Executive Director, or top management official	15a	Yes
b	Other officers or key employees of the organization	15b	Yes
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	No
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

Section C. Disclosure

17	List the States with which a copy of this Form 990 is required to be filed▶
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. ☐ Own website ☐ Another's website ☒ Upon request ☐ Other (explain in Schedule O)
19	Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year
20	State the name, physical address, and telephone number of the person who possesses the books and records of the organization ▶COLLEEN BOYCE AAI 2275 EAST BAYSHORE ROAD 160 PALO ALTO, CA 94303 (650) 328-3123

Check if Schedule O contains a response or note to any line in this Part VII ☐

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

* List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.

• List all of the organization's **current** key employees, if any See instructions for definition of "key employee "

• List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations

• List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations

• List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons

☒ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

[illegible]

Part VII

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
1b Sub-Total										
c Total from continuation sheets to Part VII, Section A										
d Total (add lines 1b and 1c)								421,021		

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization: 2

		Yes	No
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>	3	No
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	4	Yes
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>	5	No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
Live Oak Press LLC PO Box 60036 PALO ALTO CA 94306	Publishing/Consult	212,045

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ►1

Part VIII

Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns	1a			
	b	Membership dues	1b	384,896		
	c	Fundraising events	1c			
	d	Related organizations	1d			
	e	Government grants (contributions)	1e	28,994		
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	215,569		
	g	Noncash contributions included in lines 1a-1f \$				
	h	Total. Add lines 1a-1f		629,459		
Program Service Revenue	2a	Symposiums & Conferences	Business Code			
			900099	787,500	787,500	
	b	WORKSHOPS	900099	35,770	35,770	
	c					
	d					
	e					
	f	All other program service revenue				
	g	Total. Add lines 2a-2f		823,270		
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts)		176,233	176,233	
	4	Income from investment of tax-exempt bond proceeds		0		
	5	Royalties		0		
	6a	Gross rents	(i) Real	(ii) Personal		
	b	Less rental expenses				
	c	Rental income or (loss)				
	d	Net rental income or (loss)			0	
	7a	Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other		
		618,125				
	b	Less cost or other basis and sales expenses				
		447,543				
	c	Gain or (loss)				
		170,582				
	d	Net gain or (loss)			170,582	
	8a	Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c) See Part IV, line 18	a			
	b	Less direct expenses	b			
	c	Net income or (loss) from fundraising events			0	
	9a	Gross income from gaming activities See Part IV, line 19	a			
	b	Less direct expenses	b			
	c	Net income or (loss) from gaming activities			0	
	10a	Gross sales of inventory, less returns and allowances	a			
		93,977				
	b	Less cost of goods sold	b			
	85,953					
c	Net income or (loss) from sales of inventory			8,024	8,024	
	Miscellaneous Revenue	Business Code				
11a	Advertising		3,590		3,590	
b	Mailing Lists Sales		1,118		1,118	
c	Online Job Posting		675		675	
d	All other revenue					
e	Total. Add lines 11a-11d		5,383			
12	Total revenue. See Instructions		1,812,951	1,178,109	5,383	

Part IX

Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the United States. See Part IV, line 21.	44,537	44,537		
2	Grants and other assistance to individuals in the United States. See Part IV, line 22.	58,116	58,116		
3	Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16.	48,034	48,034		
4	Benefits paid to or for members.	0			
5	Compensation of current officers, directors, trustees, and key employees.	191,737	143,803	47,934	
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B).	0			
7	Other salaries and wages.	220,864	165,648	55,216	
8	Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions).	39,791	30,042	9,749	
9	Other employee benefits.	45,234	33,580	11,654	
10	Payroll taxes.	29,063	21,943	7,120	
11	Fees for services (non-employees):				
a	Management.	0			
b	Legal.	2,902		2,902	
c	Accounting.	27,370		27,370	
d	Lobbying.	0			
e	Professional fundraising services. See Part IV, line 17.	0			
f	Investment management fees.	60		60	
g	Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O).	46,110	21,093	25,017	
12	Advertising and promotion.	1,780	1,780		
13	Office expenses.	6,072	3,666	2,406	
14	Information technology.	12	12		
15	Royalties.	2,236	2,236		
16	Occupancy.	54,837		54,837	
17	Travel.	28,127	21,147	6,980	
18	Payments of travel or entertainment expenses for any federal, state, or local public officials.	0			
19	Conferences, conventions, and meetings.	297,752	297,752		
20	Interest.	0			
21	Payments to affiliates.	0			
22	Depreciation, depletion, and amortization.	4,455		4,455	
23	Insurance.	13,970	1,254	12,716	
24	Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O).				
a	CONTRACTED LABOR	110,891	110,891		
b	BANK/CREDIT CARD FEES	56,908	50,905	6,003	
c	PRODUCTION COSTS	47,595	47,595		
d	PRINTING & PUBLICATIONS	42,548	42,548		
e	All other expenses	140,833	104,721	36,112	
25	Total functional expenses. Add lines 1 through 24e.	1,561,834	1,251,303	310,531	0
26	Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ☐ if following SOP 98-2 (ASC 958-720).				

Part X

Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X

					(A)		(B)
					Beginning of year		End of year
Assets	1	Cash—non-interest-bearing			76,363	1	147,582
	2	Savings and temporary cash investments			91,600	2	91,760
	3	Pledges and grants receivable, net				3	0
	4	Accounts receivable, net			15,032	4	10,635
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L				5	0
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L				6	0
	7	Notes and loans receivable, net				7	0
	8	Inventories for sale or use			49,347	8	39,934
	9	Prepaid expenses and deferred charges			46,656	9	45,057
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	10a	77,742	11,316	10c	8,881
	b	Less accumulated depreciation	10b	68,861			
	11	Investments—publicly traded securities			7,515,501	11	8,703,960
	12	Investments—other securities See Part IV, line 11				12	0
	13	Investments—program-related See Part IV, line 11				13	0
	14	Intangible assets				14	0
	15	Other assets See Part IV, line 11			1,892	15	2,035
16	Total assets. Add lines 1 through 15 (must equal line 34)			7,807,707	16	9,049,844	
Liabilities	17	Accounts payable and accrued expenses			112,996	17	103,137
	18	Grants payable				18	
	19	Deferred revenue				19	
	20	Tax-exempt bond liabilities				20	
	21	Escrow or custodial account liability Complete Part IV of Schedule D				21	
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L				22	
	23	Secured mortgages and notes payable to unrelated third parties				23	
	24	Unsecured notes and loans payable to unrelated third parties				24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D			556,132	25	515,147
	26	Total liabilities. Add lines 17 through 25			669,128	26	618,284
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here ☒ and complete lines 27 through 29, and lines 33 and 34.						
	27	Unrestricted net assets			7,138,579	27	8,431,560
	28	Temporarily restricted net assets				28	
	29	Permanently restricted net assets				29	
	Organizations that do not follow SFAS 117 (ASC 958), check here ☐ and complete lines 30 through 34.						
	30	Capital stock or trust principal, or current funds				30	
	31	Paid-in or capital surplus, or land, building or equipment fund				31	
	32	Retained earnings, endowment, accumulated income, or other funds				32	
	33	Total net assets or fund balances			7,138,579	33	8,431,560
	34	Total liabilities and net assets/fund balances			7,807,707	34	9,049,844

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	1,812,951
2	Total expenses (must equal Part IX, column (A), line 25)	2	1,561,834
3	Revenue less expenses Subtract line 2 from line 1	3	251,117
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	7,138,579
5	Net unrealized gains (losses) on investments	5	1,041,864
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	8,431,560

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990 ☐ Cash ☒ Accrual ☐ Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis		No
2b	Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both ☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis	Yes	
2c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O		No
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		No
3b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		

2013

Open to Public Inspection

SCHEDULE A

(Form 990 or 990EZ)

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

- ▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.
- ▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

Department of the Treasury

Internal Revenue Service

Name of the organization ASSOCIATION FOR THE ADVANCEMENT OF ARTIFICIAL INTELLIGENCE	Employer identification number 94-2702216
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Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

- 1

☐

A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2

☐

A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)
- 3

☐

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state _____
- 5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)
- 6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7

☐

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II)
- 8

☐

A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)
- 9

☒

An organization that normally receives (1) more than 33¹/₃% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33¹/₃% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)
- 10

☐

An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**
- 11

☐

An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a

☐

Type I

b

☐

Type II

c

☐

Type III - Functionally integrated

d

☐

Type III - Non-functionally integrated

e

☐

By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f

☐

If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box

g

☐

Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

(i)

A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?

(ii)

A family member of a person described in (i) above?

(iii)

A 35% controlled entity of a person described in (i) or (ii) above?

h

☐

Provide the following information about the supported organization(s)

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U S ?		(vii) Amount of monetary support
			Yes	No	Yes	No	Yes	No	
Total									

Part II

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4						

Section B. Total Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
11 Total support (Add lines 7 through 10)						
12 Gross receipts from related activities, etc (see instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here ▶						

Section C. Computation of Public Support Percentage						
14 Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f))		14				
15 Public support percentage for 2012 Schedule A, Part II, line 14		15				
16a 33 1/3% support test—2013. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶						
b 33 1/3% support test—2012. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶						
17a 10%-facts-and-circumstances test—2013. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶						
b 10%-facts-and-circumstances test—2012. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶						
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶						

Part IIISupport Schedule for Organizations Described in Section 509(a)(2)
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")	663,524	521,202	610,599	667,982	629,459	3,092,766
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose	1,064,908	850,713	898,822	818,888	917,247	4,550,578
3 Gross receipts from activities that are not an unrelated trade or business under section 513						0
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						0
5 The value of services or facilities furnished by a governmental unit to the organization without charge						0
6 Total. Add lines 1 through 5	1,728,432	1,371,915	1,509,421	1,486,870	1,546,706	7,643,344
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						0
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						0
c Add lines 7a and 7b						
8 Public support (Subtract line 7c from line 6)						7,643,344

Section B. Total Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
9 Amounts from line 6	1,728,432	1,371,915	1,509,421	1,486,870	1,546,706	7,643,344
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	167,393	174,942	179,596	186,929	176,233	885,093
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						0
c Add lines 10a and 10b	167,393	174,942	179,596	186,929	176,233	885,093
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on	11,442	6,829	4,293	6,023	5,383	33,970
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV)						0
13 Total support. (Add lines 9, 10c, 11, and 12)	1,907,267	1,553,686	1,693,310	1,679,822	1,728,322	8,562,407
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here ▶						

Section C. Computation of Public Support Percentage		
15 Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f))	15	89 270 %
16 Public support percentage from 2012 Schedule A, Part III, line 15	16	88 330 %

Section D. Computation of Investment Income Percentage		
17 Investment income percentage for 2013 (line 10c, column (f) divided by line 13, column (f))	17	10 340 %
18 Investment income percentage from 2012 Schedule A, Part III, line 17	18	11 260 %
19a 33 1/3% support tests—2013. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ▶		
b 33 1/3% support tests—2012. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ▶		
20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶		

Part IV **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Return Reference	Explanation	
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SCHEDULE D
(Form 990)

Supplemental Financial Statements

OMB No 1545-0047

2013

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

► Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b

► Attach to Form 990. ► See separate instructions. ► Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization ASSOCIATION FOR THE ADVANCEMENT OF ARTIFICIAL INTELLIGENCE	Employer identification number 94-2702216
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Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	
2	Aggregate contributions to (during year)	
3	Aggregate grants from (during year)	
4	Aggregate value at end of year	
5	Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No	
6	Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No	

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1

Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or education) ☐ Preservation of an historically important land area

☐ Protection of natural habitat ☐ Preservation of a certified historic structure

☐ Preservation of open space

2

Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Year
a	Total number of conservation easements
b	Total acreage restricted by conservation easements
c	Number of conservation easements on a certified historic structure included in (a)
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register

3

Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ►

4

Number of states where property subject to conservation easement is located ►

5

Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

☐ Yes ☐ No

6

Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ►

7

Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ► \$

8

Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?

☐ Yes ☐ No

9

In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a

If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b

If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1

► \$

(ii) Assets included in Form 990, Part X

► \$

2

If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a

Revenues included in Form 990, Part VIII, line 1

► \$

b

Assets included in Form 990, Part X

► \$

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Cat No 52283D

Schedule D (Form 990) 2013

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

- 3 Using the organization’s acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)
- a ☐ Public exhibition

b ☐ Scholarly research

c ☐ Preservation for future generations

d ☐ Loan or exchange programs

e ☐ Other
- 4 Provide a description of the organization’s collections and explain how they further the organization’s exempt purpose in Part XIII
- 5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization’s collection?

☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes ☐ No
- b If "Yes," explain the arrangement in Part XIII and complete the following table
- c Beginning balance

d Additions during the year

e Distributions during the year

f Ending balance

	Amount
1c	
1d	
1e	
1f	
- 2a Did the organization include an amount on Form 990, Part X, line 21?

☐ Yes ☐ No
- b If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII

☐

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

- | | (a)Current year | (b)Prior year | b (c)Two years back | (d)Three years back | (e)Four years back |
|--|-----------------|---------------|---------------------|---------------------|--------------------|
| 1a Beginning of year balance | | | | | |
| b Contributions | | | | | |
| c Net investment earnings, gains, and losses | | | | | |
| d Grants or scholarships | | | | | |
| e Other expenditures for facilities and programs | | | | | |
| f Administrative expenses | | | | | |
| g End of year balance | | | | | |
- 2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as
- a Board designated or quasi-endowment ▶

b Permanent endowment ▶

c Temporarily restricted endowment ▶
- The percentages in lines 2a, 2b, and 2c should equal 100%
- 3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by
- (i) unrelated organizations

(ii) related organizations

	Yes	No
3a(i)		
3a(ii)		
3b		
- b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?
- 4 Describe in Part XIII the intended uses of the organization's endowment funds

Part VI Land, Buildings, and Equipment. Complete if the organization answered 'Yes' to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b)Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements	9,825			9,825
d Equipment	67,917		68,861	-944
e Other				
Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c).) ▶				8,881

Part XI

Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements	1	2,940,708
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
a	Net unrealized gains on investments	2a	1,041,864
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIII)	2d	85,953
e	Add lines 2a through 2d	2e	1,127,817
3	Subtract line 2e from line 1	3	1,812,891
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII)	4b	60
c	Add lines 4a and 4b	4c	60
5	Total revenue Add lines 3 and 4c. (This must equal Form 990, Part I, line 12)	5	1,812,951

Part XII

Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements	1	1,647,727
2	Amounts included on line 1 but not on Form 990, Part IX, line 25		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIII)	2d	85,953
e	Add lines 2a through 2d	2e	85,953
3	Subtract line 2e from line 1	3	1,561,774
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII)	4b	60
c	Add lines 4a and 4b	4c	60
5	Total expenses Add lines 3 and 4c. (This must equal Form 990, Part I, line 18)	5	1,561,834

Part XIII

Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
Part XI, Line 2d Other revenue amounts included in F/S but not included on form 990	COST OF GOODS SOLD \$85953
Part XI, Line 4b Other revenue amounts included on 990 but not included in F/S	INVESTMENT FEE \$60
Part XII, Line 2d Other expenses and losses per audited F/S	COST OF GOODS SOLD \$85953
Part XII, Line 4b Other revenue amounts included on 990 but not included in F/S	INVESTMENT FEE \$60

[illegible]

SCHEDULE F
(Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

► Complete if the organization answered "Yes" to Form 990,
Part IV, line 14b, 15, or 16.
► Attach to Form 990. ► See separate instructions.
► Information about Schedule F (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public
Inspection

Name of the organization
ASSOCIATION FOR THE ADVANCEMENT OF
ARTIFICIAL INTELLIGENCE

Employer identification number
94-2702216

Part I

General Information on Activities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

- 1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ Yes ☐ No
- 2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.
- 3 Activites per Region (The following Part I, line 3 table can be duplicated if additional space is needed)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
(1)					
(2)					
(3)					
(4)					
(5)					
3a Sub-total					
b Total from continuation sheets to Part I					
c Totals (add lines 3a and 3b)					

Part II

Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)									
(2)									
(3)									
(4)									

- 2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter ▶ _____
- 3 Enter total number of other organizations or entities ▶ _____

Part IIIGrants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 16.
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1) SCHOLARSHIPS	CENTRAL AMERICA	1	629	CHECK/WIRE			
(2) SCHOLARSHIPS	EAST ASIA/PACIFIC	17	7,500	CHECK/WIRE			
(3) SCHOLARSHIPS	EUROPE	31	31,021	CHECK/WIRE			
(4) SCHOLARSHIPS	MIDDLE EAST	5	2,208	CHECK/WIRE			
(5) SCHOLARSHIPS	NORTH AMERICA	4	3,876	CHECK/WIRE			
(6) SCHOLARSHIPS	SOUTH AMERICA	5	2,800	WIRE			
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

Part IV Foreign Forms

- 1

Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)*

☐

Yes

☒

No
- 2

Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A)*

☐

Yes

☒

No
- 3

Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations. (see Instructions for Form 5471)*

☐

Yes

☒

No
- 4

Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)*

☐

Yes

☒

No
- 5

Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships. (see Instructions for Form 8865)*

☐

Yes

☒

No
- 6

Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713).*

☐

Yes

☒

No

Part V

Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

990 Schedule F, Supplemental Information

Return Reference	Explanation
Part I, Line 2 - Grantmakers Explanation For Monitoring Use of Funds Outside US	SCHOLARSHIP GRANTS ARE PAID ON A REIMBURSEMENT BASIS THE SCHOLARSHIP RECIPIENT MUST PROVIDE RECEIPTS SUPPORTING ALLOWED EXPENSES AND THE ORGANIZATION WILL REIMBURSE THE MAXIMUM ALLOWED PER THE SCHOLARSHIP AWARDED

OMB No 1545-0047

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

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Inspection**

Employer identification number
94-2702216

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

2	Enter total number of section 501(c)(3) and government organizations listed in the line 1 table	1
3	Enter total number of other organizations listed in the line 1 table	0

Part III

Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

(a)Type of grant or assistance	(b)Number of recipients	(c)Amount of cash grant	(d)Amount of non-cash assistance	(e)Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance
(1) SCHOLARSHIPS AND AWARDS	76	58,116		BOOK VALUE	

Part IV

Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

Return Reference	Explanation
------------------	-------------

Schedule J
(Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 23.
▶ Attach to Form 990. ▶ See separate instructions.

▶ Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
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ARTIFICIAL INTELLIGENCE

Employer identification number

94-2702216

Part I

Questions Regarding Compensation

	Yes	No
1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items. ☐ First-class or charter travel ☐ Travel for companions ☐ Tax idemnification and gross-up payments ☐ Discretionary spending account ☐ Housing allowance or residence for personal use ☐ Payments for business use of personal residence ☐ Health or social club dues or initiation fees ☐ Personal services (e g , maid, chauffeur, chef)		
1b If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain.		
2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a?		
3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III. ☐ Compensation committee ☐ Independent compensation consultant ☐ Form 990 of other organizations ☐ Written employment contract ☒ Compensation survey or study ☒ Approval by the board or compensation committee		
4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization:		
4a Receive a severance payment or change-of-control payment?		No
4b Participate in, or receive payment from, a supplemental nonqualified retirement plan?		No
4c Participate in, or receive payment from, an equity-based compensation arrangement? If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.		No
Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.		
5 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:		
5a The organization?		No
5b Any related organization? If "Yes," to line 5a or 5b, describe in Part III.		No
6 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:		
6a The organization?		No
6b Any related organization? If "Yes," to line 6a or 6b, describe in Part III.		No
7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III.		No
8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.		No
9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?		No

Part II **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported as deferred in prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
(1) CAROL HAMILTON EXECUTIVE DIRECTOR	(i) (ii)	191,737					191,737	

Part III **Supplemental Information**

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
------------------	-------------

Schedule L
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Transactions with Interested Persons
▶ Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.
▶ Information about Schedule L (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047
2013
Open to Public Inspection

Name of the organization
ASSOCIATION FOR THE ADVANCEMENT OF
ARTIFICIAL INTELLIGENCE

Employer identification number
94-2702216

Part I Excess Benefit Transactions (section 501(c)(3) and section 501(c)(4) organizations only).
Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1	(a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected?	
				Yes	No

2

Enter the amount of tax incurred by organization managers or disqualified persons during the year under section 4958 ▶ \$

3

Enter the amount of tax, if any, on line 2, above, reimbursed by the organization ▶ \$

Part II Loans to and/or From Interested Persons.
Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26, or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?		(i) Written agreement?	
			To	From			Yes	No	Yes	No	Yes	No
Total ▶ \$												

Part III Grants or Assistance Benefitting Interested Persons.
Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance

Part IV Business Transactions Involving Interested Persons.
Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1) CAROL HAMILTON	EXECUTIVE DIRECTOR	212,045	PUBLISHING AND CONSULTING		No

Part V Supplemental Information
Provide additional information for responses to questions on Schedule L (see instructions)

Return Reference	Explanation
Schedule L, Part V Supplemental Information	A FAMILY MEMBER OF THE EXECUTIVE DIRECTOR IS A PUBLISHING SERVICES VENDOR OF THE ORGANIZATION

SCHEDULE O

(Form 990 or 990-EZ)

Department of the Treasury

Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at
www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public
Inspection

Name of the organization ASSOCIATION FOR THE ADVANCEMENT OF ARTIFICIAL INTELLIGENCE	Employer identification number 94-2702216
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990 Schedule O, Supplemental Information

Return Reference	Explanation
Form 990, Part VI, Line 11b Form 990 Review Process	
Form 990, Part VI, Line 15b Compensation Review and Approval Process for Officers and Key Employees	AAA1 REVIEWS AND DETERMINES THE COMPENSATION OF ITS KEY EMPLOYEES ANNUALLY AFTER A REVIEW OF THE COMPENSATION SURVEYS OF THE COUNCIL OF ENGINEERING AND SCIENTIFIC SOCIETIES (CESSE) , THE AMERICAN SOCIETY OF ASSOCIATION EXECUTIVES (ASAE NORTHERN CALIFORNIA CHAPTER), AS WELL AS THE US GOVERNMENT COST OF LIVING INDEX FOR THE SAN FRANCISCO BAY AREA THIS REVIEW IS CONDUCTED BY THE EXECUTIVE DIRECTOR, AND INCREMENTAL SALARY INCREASES ARE GIVEN BASED ON A GENERAL RANGE DECIDED BY THE EXECUTIVE DIRECTOR AND THE PRESIDENT IF A KEY EMPLOYEE IS HIRED OR PROMOTED INTO A RANGE OUTSIDE THE NORMAL GUIDELINES FOR THAT POSITION, THE EXECUTIVE DIRECTOR CONSULTS WITH THE EXECUTIVE COMMITTEE ON CHANGING THE GUIDELINES FOR THAT POSITION, AND ACTS IN ACCORDANCE WITH THEIR RECOMMENDATION
Form 990, Part VI, Line 19 Other Organization Documents Publicly Available	THE DOCUMENTS ARE AVAILABLE UPON REQUEST TO THE ASSOCIATION AND ALSO THROUGH THE OFFICE OF THE CALIFORNIA ATTORNEY GENERAL

AAAI - December 31, 2013
Schedule of Grants Expenditures
YR 2013

Conference	Name (Individuals)	Amount	Region
AAAI-13 Conference Scholarships	Amiri, Hadi	\$ 450	East Asia/Pacific
AAAI-13 Conference Scholarships	Chen, Yan	\$ 450	East Asia/Pacific
AAAI-13 Conference Scholarships	Dong, Mingzhi	\$ 450	East Asia/Pacific
AAAI-13 Conference Scholarships	Fang, Liangda	\$ 450	East Asia/Pacific
AAAI-13 Conference Scholarships	Gratton, Michael James	\$ 450	East Asia/Pacific
AAAI-13 Conference Scholarships	Kupcsik, Andras Gabor	\$ 450	East Asia/Pacific
AAAI-13 Conference Scholarships	Liang, Guohua	\$ 450	East Asia/Pacific
AAAI-13 Conference Scholarships	Mohtarami, Mitra	\$ 450	East Asia/Pacific
AAAI-13 Conference Scholarships	Shatabda, Swakkhar	\$ 450	East Asia/Pacific
AAAI-13 Conference Scholarships	Wang, Yingze	\$ 300	East Asia/Pacific
AAAI-13 Conference Scholarships	Xu, Miao	\$ 450	East Asia/Pacific
AAAI-13 Conference Scholarships	Akasiadis, Charilaos	\$ 510	Europe
AAAI-13 Conference Scholarships	Davila, Javier Romero	\$ 450	Europe
AAAI-13 Conference Scholarships	Lackner, Martin	\$ 425	Europe
AAAI-13 Conference Scholarships	Maliadis, Kleanthis	\$ 450	Europe
AAAI-13 Conference Scholarships	Meir, Reshef	\$ 375	Europe
AAAI-13 Conference Scholarships	Noessner, Jan	\$ 450	Europe
AAAI-13 Conference Scholarships	Pfandler, Andreas	\$ 450	Europe
AAAI-13 Conference Scholarships	Previti, Alessandro	\$ 450	Europe
AAAI-13 Conference Scholarships	Samadi, Mehdi	\$ 300	Europe
AAAI-13 Conference Scholarships	Azaria, Amos	\$ 450	Middle East
AAAI-13 Conference Scholarships	Hajaj, Chen	\$ 450	Middle East
AAAI-13 Conference Scholarships	Nissim, Raz	\$ 408	Middle East
AAAI-13 Conference Scholarships	Rochlin, Igor	\$ 450	Middle East
AAAI-13 Conference Scholarships	Sharon, Guni	\$ 450	Middle East
AAAI-13 Conference Scholarships	Doucette, John	\$ 300	North America
AAAI-13 Conference Scholarships	Allen, Thomas	\$ 300	US
AAAI-13 Conference Scholarships	Andersen, Garrett	\$ 300	US
AAAI-13 Conference Scholarships	Barrett, Samuel	\$ 300	US
AAAI-13 Conference Scholarships	Briggs, Gordon	\$ 300	US
AAAI-13 Conference Scholarships	Chang, Maria de los Angeles	\$ 300	US

AAAI - December 31, 2013
Schedule of Grants Expenditures
YR 2013

Conference	Name (Individuals)	Amount	Region
AAAI-13 Conference Scholarships	Chen, Wenlin	\$ 300	US
AAAI-13 Conference Scholarships	Chhabra, Meenal	\$ 300	US
AAAI-13 Conference Scholarships	Dickerson, John	\$ 300	US
AAAI-13 Conference Scholarships	Doppa, Janardhan Rao	\$ 300	US
AAAI-13 Conference Scholarships	Faghmous, James	\$ 300	US
AAAI-13 Conference Scholarships	Gilpin, Sean	\$ 300	US
AAAI-13 Conference Scholarships	Hanna, Josiah	\$ 300	US
AAAI-13 Conference Scholarships	Kim, Been	\$ 300	US
AAAI-13 Conference Scholarships	Kurokawa, David	\$ 300	US
AAAI-13 Conference Scholarships	Lavoie, Allen	\$ 300	US
AAAI-13 Conference Scholarships	Li, Boyang	\$ 300	US
AAAI-13 Conference Scholarships	Li, Justin (Ning Hui)	\$ 300	US
AAAI-13 Conference Scholarships	Nguyen, Thanh Hong	\$ 290	US
AAAI-13 Conference Scholarships	Nyew, Hui Meen	\$ 300	US
AAAI-13 Conference Scholarships	Pazis, Jason	\$ 300	US
AAAI-13 Conference Scholarships	Schneider, Anthony	\$ 300	US
AAAI-13 Conference Scholarships	Shao, Huijuan	\$ 300	US
AAAI-13 Conference Scholarships	Squire, Shawn	\$ 300	US
AAAI-13 Conference Scholarships	Stern, Roni	\$ 300	US
AAAI-13 Conference Scholarships	Styler, Alexander	\$ 300	US
AAAI-13 Conference Scholarships	Tawiah, Clifford	\$ 300	US
AAAI-13 Conference Scholarships	Urieli, Daniel	\$ 300	US
AAAI-13 Conference Scholarships	Venugopal, Deepak	\$ 300	US
AAAI-13 Conference Scholarships	Waters, Austin	\$ 300	US
AAAI-13 Conference Scholarships	Williams, Tom	\$ 300	US
AAAI-13 Conference Scholarships	Yun, Xi	\$ 300	US
		<i>Dept 052</i>	
		<u>\$ 20,458</u>	
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Chang, George	\$ 450	East Asia/Pacific
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Chua, Freddy	\$ 450	East Asia/Pacific

AAAI - December 31, 2013
Schedule of Grants Expenditures
YR 2013

Conference	Name (Individuals)	Amount	Region
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Jang, Jiyeon	\$ 450	East Asia/Pacific
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Park, Jaram	\$ 450	East Asia/Pacific
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Park, Kunwoo	\$ 450	East Asia/Pacific
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Park, Minsu	\$ 450	East Asia/Pacific
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Alam, Firoj	\$ 350	Europe
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Chiarandini, Luca	\$ 350	Europe
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Doodson, James	\$ 350	Europe
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Garcia Gavilanes, Ruth Olimpia	\$ 350	Europe
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Hadiji, Fabian	\$ 350	Europe
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Lehmann, Janette	\$ 350	Europe
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Malmi, Eric	\$ 350	Europe
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Momeni Roochi, Elaheh	\$ 350	Europe
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Nguyen, Dong	\$ 350	Europe
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Scholz, Christoph	\$ 350	Europe
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Zhong, Changtao	\$ 350	Europe
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Calais Guerra, Pedro Henrique	\$ 450	South America
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	de Las Casas, Diego Couto	\$ 450	South America
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Otoni Santiago Machado de Faria, Raphael	\$ 450	South America
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Pesce, Joao Paulo Barros Cotta	\$ 450	South America
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Bandari, Roja	\$ 350	US
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Chang, Pamara Flora	\$ 250	US
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Choi, Yoon Hyung	\$ 250	US
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Grinberg, Nir	\$ 250	US
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Han, Kyungsik	\$ 250	US
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Hu, Yuheng	\$ 350	US
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Kairam, Sanjay	\$ 350	US
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Kooti, Farshad	\$ 350	US
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Korayem, Mohammed	\$ 250	US
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Lee, Kyumin	\$ 350	US

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Conference	Name (Individuals)	Amount	Region
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Lee, Tak Yeon	\$ 250	US
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Merritt, Sears	\$ 350	US
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Morstatter, Fred	\$ 350	US
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Mukherjee, Arjun	\$ 350	US
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Purohit, Hemant	\$ 250	US
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Sharma, Amit	\$ 250	US
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Teodoro, Rannie	\$ 250	US
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Wire fees	\$ 11	US
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Wong, Felix Ming Fai	\$ 250	US
Intl Con on Weblogs and Social Media (ICWSM) Scholarships	Yano, Tae	\$ 250	US
	<i>Dept 069</i>	<u>\$ 13,911</u>	
Doctroal Consortium Scholarships @ AAAI-13	Mendoza, Mariana	\$ 1,000	South America
Doctroal Consortium Scholarships @ AAAI-13	Bauer, Daniel	\$ 825	US
Doctroal Consortium Scholarships @ AAAI-13	Doran, Gary	\$ 978	US
Doctroal Consortium Scholarships @ AAAI-13	Gupta, Shekhar	\$ 1,000	US
Doctroal Consortium Scholarships @ AAAI-13	Harrison, Brent	\$ 1,000	US
Doctroal Consortium Scholarships @ AAAI-13	Hatem, Matthew	\$ 1,000	US
Doctroal Consortium Scholarships @ AAAI-13	Lasecki, Walter	\$ 1,000	US
Doctroal Consortium Scholarships @ AAAI-13	Panella, Alessandro	\$ 967	US
Doctroal Consortium Scholarships @ AAAI-13	Reimburse DC lunches and dinner	\$ 2,587	US
Doctroal Consortium Scholarships @ AAAI-13	Schlegel, Daniel	\$ 1,000	US
Doctroal Consortium Scholarships @ AAAI-13	Wilt, Christopher	\$ 1,000	US
Doctroal Consortium Scholarships @ AAAI-13	Zhu, Ying	\$ 1,000	US
	<i>Dept 052</i>	<u>\$ 35,330</u>	
AI Video 13 Scholarships	Dorigo, Marco (for Brambila & Birattari travel)	\$ 2,649	Europe
AI Video 13 Scholarships	Stollenga, Marijn	\$ 773	Europe
	<i>Dept 052</i>	<u>\$ 3,422</u>	

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<u>Conference</u>	<u>Name (Individuals)</u>	<u>Amount</u>	<u>Region</u>
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Conference	Name (Individuals)	Amount	Region
Educational Advances in AI (EAAI-13) Scholarships	Ackers, Frederick	\$ 1,175	US
Educational Advances in AI (EAAI-13) Scholarships	Dennis, Carrington	\$ 1,180	US
Educational Advances in AI (EAAI-13) Scholarships	Frazier, Matt	\$ 1,175	US
Educational Advances in AI (EAAI-13) Scholarships	Ghazanfari, Nasim	\$ 1,180	US
Educational Advances in AI (EAAI-13) Scholarships	Heiberg, Andrew	\$ 575	US
Educational Advances in AI (EAAI-13) Scholarships	Hilliard, Elizabeth	\$ 1,144	US
Educational Advances in AI (EAAI-13) Scholarships	Morgans, Soja-Marie	\$ 958	US
Educational Advances in AI (EAAI-13) Scholarships	Stern, Roni	\$ 1,175	US
Educational Advances in AI (EAAI-13) Scholarships	Valentine, Stephanie	\$ 1,175	US
Educational Advances in AI (EAAI-13) Scholarships	Winner, Kevin	\$ 1,075	US
Educational Advances in AI (EAAI-13) Scholarships	Yaseen, Ashraf	\$ 1,180	US
	<i>Dept 070</i>	<u>\$ 11,992</u>	
Other awards	Classic Paper Regin, Jean-Charles	\$ 1,000	Europe
Other awards	Classic Paper Cassandra, Anthony	\$ 1,000	US
Other awards	Distinguished Service Award - Ted Senator	\$ 1,000	US
Other awards	R S Englemore Award - McGuinness, Debor	\$ 1,000	US
	<i>Dept 070</i>	<u>\$ 19,422</u>	
AIIDE-13 Scholarships	Nogueira , Pedro	\$ 730	Europe
AIIDE-13 Scholarships	Tremblay , Jonathan	\$ 540	North America
AIIDE-13 Scholarships	Davis , Nicholas	\$ 540	US
AIIDE-13 Scholarships	Mawhorter , Peter	\$ 540	US
AIIDE-13 Scholarships	Robertson , Justus	\$ 445	US
AIIDE-13 Scholarships	Samuel , Benjamin	\$ 540	US
	<i>Dept 068</i>	<u>\$ 3,335</u>	

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Conference	Name (Individuals)	Amount	Region
Fall Symposium Sites (FSS-13) Scholarships	Guzman	\$ 629	Central America
Fall Symposium Sites (FSS-13) Scholarships	Beek	\$ 1,100	Europe
Fall Symposium Sites (FSS-13) Scholarships	Freitas, Andre	\$ 450	Europe
Fall Symposium Sites (FSS-13) Scholarships	Ke, Wang	\$ 750	North America
Fall Symposium Sites (FSS-13) Scholarships	Beasley	\$ 629	US
Fall Symposium Sites (FSS-13) Scholarships	Boteanu	\$ 400	US
Fall Symposium Sites (FSS-13) Scholarships	Chakraborty	\$ 713	US
Fall Symposium Sites (FSS-13) Scholarships	Cheatham	\$ 250	US
Fall Symposium Sites (FSS-13) Scholarships	DiFranzo	\$ 400	US
Fall Symposium Sites (FSS-13) Scholarships	Grady	\$ 450	US
Fall Symposium Sites (FSS-13) Scholarships	Jimenz	\$ 285	US
Fall Symposium Sites (FSS-13) Scholarships	Kassin	\$ 500	US
Fall Symposium Sites (FSS-13) Scholarships	Khaled	\$ 750	US
Fall Symposium Sites (FSS-13) Scholarships	Krisnadhi	\$ 250	US
Fall Symposium Sites (FSS-13) Scholarships	Mohan	\$ 500	US
Fall Symposium Sites (FSS-13) Scholarships	Sui	\$ 629	US
Fall Symposium Sites (FSS-13) Scholarships	Sylvester	\$ 315	US
Fall Symposium Sites (FSS-13) Scholarships	Tai	\$ 500	US
Fall Symposium Sites (FSS-13) Scholarships	Zhang	\$ 629	US
	<i>Dept 067</i>	<u>\$ 10,130</u>	
Computer Poker Competition @ AAAI-13	Bard, Nolan	\$ 2,286	North America
Computer Poker Competition @ AAAI-13	Parker, Jon	\$ 1,477	US
Computer Poker Competition @ AAAI-13	Ganzfried, Sam	\$ 2,571	US
Computer Poker Competition @ AAAI-13	Waugh, Kevin	\$ 2,600	US
Computer Poker Competition @ AAAI-13	Koy, Adrian	\$ 3,100	Europe
Computer Poker Competition @ AAAI-13	Schmid, Martin	\$ 3,194	Europe
Computer Poker Competition @ AAAI-13	Sweeney, Neill	\$ 3,289	Europe

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Conference	Name (Individuals)	Amount	Region
Computer Poker Competition @ AAAI-13	Ranca, Razvan	\$ 3,427	Europe
Computer Poker Competition @ AAAI-13	Teofilo, Luis	\$ 3,600	Europe
	<i>Dept 051</i>	<u>\$ 25,543</u>	