Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter Social Security numbers on this form as it may be made public By law, the IRS generally cannot redact the information on the form

▶ Information about Form 990 and its instructions is at *www.IRS.gov/form990*

OMB No 1545-0047

2013

Open to Public Inspection

A For the 2013 calendar year, or tax year beginning 01-01-2013 , 2013, and ending 12-31-2013

B Check if applicable
- ☐ Address change
- ☐ Name change
- ☐ Initial return
- ☐ Terminated
- ☐ Amended return
- ☐ Application pending

C Name of organization
Animal Legal Defense Fund Inc

Doing Business As

Number and street (or P O box if mail is not delivered to street address) Room/suite
170 E Cotati Avenue

City or town, state or province, country, and ZIP or foreign postal code
Cotati, CA 94931

D Employer identification number
94-2681680

E Telephone number
(707) 795-2533

G Gross receipts $ 8,507,235

F Name and address of principal officer
Stephen Wells
170 E Cotati Avenue
Cotati, CA 94931

H(a) Is this a group return for subordinates? ☐ Yes ☑ No

H(b) Are all subordinates included? ☐ Yes ☑ No
If "No," attach a list (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status ☑ 501(c)(3) ☐ 501(c) ( ) ◄ (insert no) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ aldf org

K Form of organization ☑ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation 1979 **M** State of legal domicile CA

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities
The Animal Legal Defense Fund's mis- sion is to protect the lives and advance the interests of animals through the le- gal system ALDF accomplishes this mission by filing high-impact lawsuits to pro- tect animals from harm, providing free assistance to prosecutors [continued pg 2]

2 Check this box ▶☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

3	Number of voting members of the governing body (Part VI, line 1a)	3	7
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	7
5	Total number of individuals employed in calendar year 2013 (Part V, line 2a)	5	36
6	Total number of volunteers (estimate if necessary)	6	20
7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	3,076
b	Net unrelated business taxable income from Form 990-T, line 34	7b	

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	5,759,329	8,293,474
	9	Program service revenue (Part VIII, line 2g)		54,720
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	106,442	80,432
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	71,044	75,886
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	5,936,815	8,504,512
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	473,884	458,448
	14	Benefits paid to or for members (Part IX, column (A), line 4)		0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	1,828,340	2,196,040
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	63,000	96,000
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶1,122,529		
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	3,508,944	4,802,408
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	5,874,168	7,552,896
	19	Revenue less expenses Subtract line 18 from line 12	62,647	951,616

			Beginning of Current Year	End of Year
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	6,835,778	8,771,029
	21	Total liabilities (Part X, line 26)	859,629	1,536,551
	22	Net assets or fund balances Subtract line 21 from line 20	5,976,149	7,234,478

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

****** 2014-10-08
Signature of officer Date

Stephen Wells CEO
Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Douglas W Regalia				P00186389

Firm's name ▶ Regalia & Associates CPAs — Firm's EIN ▶

Firm's address ▶ 103 Town Country Dr Ste K, Danville, CA 94526 — Phone no (925) 314-0390

May the IRS discuss this return with the preparer shown above? (see instructions) ☑ Yes ☐ No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III . . . . ☑

1 Briefly describe the organization's mission

nationwide to assure that animal abusers are punished for their crimes, supporting tough animal protection legislation, and providing resources and opportunities to law students and professionals to advance the emerging field of animal law [cont Sch O]

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . ☐ Yes ☑ No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . ☐ Yes ☑ No

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code) (Expenses $ 3,705,710 including grants of $) (Revenue $)

Litigation ProgramThe Animal Legal Defense Fund's litigation program files cutting-edge lawsuits to stop the abuse of companion animals and animals abused in industries including factory farming, laboratories, and the entertainment industry ALDF staff attorneys may bring suit themselves, or ALDF may retain outside counsel to represent it, we may also file either as a named plaintiff, or as the attorneys for other organizations or individuals Our civil actions on behalf of animals often include filing amicus curiae briefs arguing the case for recognition of the bonds between humans and nonhuman animals and formal complaints against government agencies charged with enforcing laws meant to protect animals

4b (Code) (Expenses $ 726,579 including grants of $) (Revenue $)

Animal Law ProgramALDFs Animal Law Program works closely with law students and law professionals to advance the emerging field of animal law The Animal Law Program collaborates with students, faculty, and school administrations to facilitate the development of animal law courses at law schools and assists law students in forming student animal law groups, such as Student Animal Legal Defense Fund (SALDF) chapters The Animal Law Program also assists bar association members interested in forming animal law bar sections or committees and partners with pro bono coordinators interested in developing animal law volunteer opportunities at their firms As more and more law students and attorneys advocate for the voiceless, ALDF is one step closer to fulfilling our mission

4c (Code) (Expenses $ 477,593 including grants of $) (Revenue $)

Criminal Justice ProgramThrough our Criminal Justice Program, ALDF works with prosecutors and enforcement agencies to ensure that state criminal anti-cruelty statutes are vigorously enforced and that those convicted of abuse, cruelty, and neglect receive appropriate sentences We work with state legislators to enact felony anti-cruelty statutes in states that do not yet have them and to upgrade existing laws in states that do The Criminal Justice Program also maintains a nationwide database of cruelty cases and current and model animal protection laws available to prosecutors, legislators, and researchers

4d Other program services (Describe in Schedule O)

(Expenses $ 458,448 including grants of $ 458,448) (Revenue $)

4e **Total program service expenses** ▶ 5,368,330

Part IV **Checklist of Required Schedules**

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	Yes	
2	Is the organization required to complete *Schedule B, Schedule of Contributors* (see instructions)?	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		No
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II*	4	Yes	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III*	5		No
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		No
9	Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		No
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10		No
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable			
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI.*	11a	Yes	
b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII*	11b	Yes	
c	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII*	11c		No
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX*	11d		No
e	Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X*	11e	Yes	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X*	11f	Yes	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI and XII*	12a	Yes	
b	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional*	12b		No
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		No
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		No
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Parts I and IV*	14b		No
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or other assistance to or for any foreign organization? *If "Yes," complete Schedule F, Parts II and IV*	15		No
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or other assistance to or for foreign individuals? *If "Yes," complete Schedule F, Parts III and IV*	16		No
17	Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I (see instructions)*	17	Yes	
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18		No
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		No
20a	Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H*	20a		No
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		

Part IV Checklist of Required Schedules *(continued)*

		Line	Yes	No
21	Did the organization report more than $5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21	Yes	
22	Did the organization report more than $5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23		No
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a*	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		No
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		No
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		No
25a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		No
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? *If so, complete Schedule L, Part II*	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? *If "Yes," complete Schedule L, Part III*	27		No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV*	28c		No
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I*	33		No
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1*	34		No
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		No
b	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35b		No
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	Yes	

Part V **Statements Regarding Other IRS Filings and Tax Compliance**

Check if Schedule O contains a response or note to any line in this Part V . . . . ☐

					Yes	No
1a	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable	**1a**	24			
b	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable	**1b**	0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			**1c**	Yes	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	**2a**	36			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? **Note.** If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)			**2b**	Yes	
3a	Did the organization have unrelated business gross income of $1,000 or more during the year?			**3a**	Yes	
b	If "Yes," has it filed a Form 990-T for this year? *If "No" to line 3b, provide an explanation in Schedule O*			**3b**		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?			**4a**		No
b	If "Yes," enter the name of the foreign country ▶ See instructions for filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts					
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?			**5a**		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			**5b**		No
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?			**5c**		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?			**6a**		No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			**6b**		
7	**Organizations that may receive deductible contributions under section 170(c).**					
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?			**7a**		No
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?			**7b**		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			**7c**		No
d	If "Yes," indicate the number of Forms 8282 filed during the year	**7d**	0			
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			**7e**		No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			**7f**		No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?			**7g**		No
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?			**7h**		No
8	**Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?			**8**		No
9	**Sponsoring organizations maintaining donor advised funds.**					
a	Did the organization make any taxable distributions under section 4966?			**9a**		No
b	Did the organization make a distribution to a donor, donor advisor, or related person?			**9b**		No
10	**Section 501(c)(7) organizations.** Enter					
a	Initiation fees and capital contributions included on Part VIII, line 12	**10a**				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	**10b**				
11	**Section 501(c)(12) organizations.** Enter					
a	Gross income from members or shareholders	**11a**				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	**11b**				
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?			**12a**		No
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	**12b**				
13	**Section 501(c)(29) qualified nonprofit health insurance issuers.**					
a	Is the organization licensed to issue qualified health plans in more than one state? **Note.** See the instructions for additional information the organization must report on Schedule O			**13a**		No
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	**13b**				
c	Enter the amount of reserves on hand	**13c**				
14a	Did the organization receive any payments for indoor tanning services during the tax year?			**14a**		No
b	If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O*			**14b**		

Part VI **Governance, Management, and Disclosure** *For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.*

Check if Schedule O contains a response or note to any line in this Part VI . . . ☑

Section A. Governing Body and Management

				Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year . . .	1a	7		
	If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O				
b	Enter the number of voting members included in line 1a, above, who are independent . . .	1b	7		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? . . .		2		No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? .		3		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? . . .		4	Yes	
5	Did the organization become aware during the year of a significant diversion of the organization's assets? .		5		No
6	Did the organization have members or stockholders? . . .		6		No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? . . .		7a		No
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? . . .		7b		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following				
a	The governing body? . . .		8a	Yes	
b	Each committee with authority to act on behalf of the governing body? . . .		8b	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O* . . .		9		No

Section B. Policies (*This Section B requests information about policies not required by the Internal Revenue Code.*)

			Yes	No
10a	Did the organization have local chapters, branches, or affiliates? . . .	10a	Yes	
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	Yes	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? . . .	11a	Yes	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990 . . .			
12a	Did the organization have a written conflict of interest policy? *If "No," go to line 13* . . .	12a	Yes	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? . . .	12b	Yes	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this was done* . . .	12c	Yes	
13	Did the organization have a written whistleblower policy? . . .	13	Yes	
14	Did the organization have a written document retention and destruction policy? . . .	14	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official . . .	15a	Yes	
b	Other officers or key employees of the organization . . .	15b	Yes	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? . . .	16a		No
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? . . .	16b		

Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed▶

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you made these available Check all that apply

☑ Own website ☑ Another's website ☑ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization
▶Stephen Wells 170 E Cotati Avenue
Cotati, CA 94931 (707) 795-2533

Part VII Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII . . . . ☐

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee): Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(1) Sarah H Luick Chair	4 00 0 00	X		X				0	0	0
(2) Marilyn Forbes Vice Chair	4 00 0 00	X		X				0	0	0
(3) Susann MacLachlan Secretary	4 00 0 00	X		X				0	0	0
(4) James M Rockenbach Treasurer	4 00 0 00	X		X				0	0	0
(5) David Cassuto Director	4 00 0 00	X						0	0	0
(6) Nicole Roth Director	4 00 0 00	X						0	0	0
(7) Michelle Ullrich-Kow- nacki Director	4 00 0 00	X						0	0	0
(8) Joyce Tischler Founder	40 00 0 00			X				107,464	0	10,931
(9) Stephen Wells Chief Exec Ofcr	40 00 0 00			X				122,958	0	11,768
(10) Pamela Hart Director of ALP	40 00 0 00					X		109,572	0	11,008
(11) Scott Heiser Director of CJP	40 00 0 00					X		109,487	0	15,765
(12) Carter Dillard Dir of Litigation	40 00 0 00					X		104,127	0	9,716
(13) Lisa Franzetta Dir of Communic	40 00 0 00					X		94,227	0	9,908

Part VII **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** *(continued)*

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position: Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations

		(D)	(E)	(F)
1b	**Sub-Total** . . . . ▶			
c	**Total from continuation sheets to Part VII, Section A** . . . . ▶			
d	**Total (add lines 1b and 1c)** . . . . ▶	647,835		69,096

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶5

			Yes	No
3	Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3		No
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4		No
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation
Chapman Cubine Adams & Hussey 100 Montgomery Street San Francisco CA 94104	Marketing/Mailing	496,083

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization ▶1

Part VIII **Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII . . . . ☐

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts	1a Federated campaigns . . 1a					
	b Membership dues 1b					
	c Fundraising events 1c					
	d Related organizations . . . 1d					
	e Government grants (contributions) 1e					
	f All other contributions, gifts, grants, and similar amounts not included above 1f	8,293,474				
	g Noncash contributions included in lines 1a-1f $	2,151,247				
	h **Total.** Add lines 1a-1f . . . . ▶		8,293,474			
Program Service Revenue		Business Code				
	2a Conferences		54,720	54,720		
	b					
	c					
	d					
	e					
	f All other program service revenue					
	g **Total.** Add lines 2a–2f . . . . ▶		54,720			
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts) . . . . ▶		80,432			80,432
	4 Income from investment of tax-exempt bond proceeds . . ▶		0			
	5 Royalties . . . . ▶		0			
		(i) Real / (ii) Personal				
	6a Gross rents	5,799 /				
	b Less rental expenses	2,723 /				
	c Rental income or (loss)	3,076 /				
	d Net rental income or (loss) . . . . ▶		3,076		3,076	
		(i) Securities / (ii) Other				
	7a Gross amount from sales of assets other than inventory					
	b Less cost or other basis and sales expenses					
	c Gain or (loss)					
	d Net gain or (loss) . . . . ▶		0			
	8a Gross income from fundraising events (not including $ ____ of contributions reported on line 1c) See Part IV, line 18 . . a					
	b Less direct expenses . . . b					
	c Net income or (loss) from fundraising events . . ▶		0			
	9a Gross income from gaming activities See Part IV, line 19 . . . a					
	b Less direct expenses . . . b					
	c Net income or (loss) from gaming activities . . ▶		0			
	10a Gross sales of inventory, less returns and allowances . a					
	b Less cost of goods sold . . b					
	c Net income or (loss) from sales of inventory . . ▶		0			
	Miscellaneous Revenue	Business Code				
	11a Other Income		72,810	72,810		
	b					
	c					
	d All other revenue					
	e **Total.** Add lines 11a–11d . . . . ▶		72,810			
	12 **Total revenue.** See Instructions . . . . . ▶		8,504,512	127,530	3,076	80,432

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns All other organizations must complete column (A)

Check if Schedule O contains a response or note to any line in this Part IX . . . . ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States See Part IV, line 21	403,448	403,448		
2 Grants and other assistance to individuals in the United States See Part IV, line 22	55,000	55,000		
3 Grants and other assistance to governments, organizations, and individuals outside the United States See Part IV, lines 15 and 16	0			
4 Benefits paid to or for members	0			
5 Compensation of current officers, directors, trustees, and key employees	253,121	182,110	50,624	20,387
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0			
7 Other salaries and wages	1,574,886	1,042,885	418,159	113,842
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	54,325	36,405	13,931	3,989
9 Other employee benefits	158,768	106,395	40,715	11,658
10 Payroll taxes	154,940	103,829	39,734	11,377
11 Fees for services (non-employees)				
a Management	0			
b Legal	351,485	351,485		
c Accounting	21,370		21,370	
d Lobbying	0			
e Professional fundraising services See Part IV, line 17	96,000			96,000
f Investment management fees	0			
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	238,785	88,272	108,400	42,113
12 Advertising and promotion	71,660	63,958	1,077	6,625
13 Office expenses	18,634	1,575	17,059	
14 Information technology	42,268	16,689	25,579	
15 Royalties . .	0			
16 Occupancy	63,919		63,919	
17 Travel	159,094	130,245	19,048	9,801
18 Payments of travel or entertainment expenses for any federal, state, or local public officials	0			
19 Conferences, conventions, and meetings	38,978	27,555	5,137	6,286
20 Interest	43,151	2,894	40,257	
21 Payments to affiliates	0			
22 Depreciation, depletion, and amortization	41,916	18,720	23,196	
23 Insurance	26,869	13,618	13,251	
24 Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O)				
a Legal fees - pro bono	1,711,247	1,711,247		
b Postage and Shipping	511,930	395,641	14,535	101,754
c Outside Services	482,083	10,471		471,612
d Printing and Publications	427,921	335,729	5,892	86,300
e All other expenses	551,098	270,159	140,154	140,785
25 **Total functional expenses.** Add lines 1 through 24e	7,552,896	5,368,330	1,062,037	1,122,529
26 **Joint costs.** Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation Check here ▶ ☑ if following SOP 98-2 (ASC 958-720)	1,239,402	985,958		253,444

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X . . . . ☐

Section	Line	Description			(A) Beginning of year	Line	(B) End of year
Assets	1	Cash–non-interest-bearing			1,675,314	1	2,539,257
	2	Savings and temporary cash investments				2	0
	3	Pledges and grants receivable, net			1,045,178	3	732,656
	4	Accounts receivable, net				4	0
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L				5	0
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L				6	0
	7	Notes and loans receivable, net				7	0
	8	Inventories for sale or use				8	0
	9	Prepaid expenses and deferred charges			9,830	9	12,573
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	10a	2,507,617			
	b	Less accumulated depreciation	10b	401,522	841,020	10c	2,106,095
	11	Investments—publicly traded securities				11	0
	12	Investments—other securities See Part IV, line 11			3,264,436	12	3,380,448
	13	Investments—program-related See Part IV, line 11				13	0
	14	Intangible assets				14	0
	15	Other assets See Part IV, line 11				15	0
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)			6,835,778	16	8,771,029
Liabilities	17	Accounts payable and accrued expenses			149,186	17	334,997
	18	Grants payable				18	
	19	Deferred revenue				19	
	20	Tax-exempt bond liabilities				20	
	21	Escrow or custodial account liability Complete Part IV of Schedule D				21	
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L				22	
	23	Secured mortgages and notes payable to unrelated third parties			603,240	23	1,038,982
	24	Unsecured notes and loans payable to unrelated third parties				24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D			107,203	25	162,572
	26	**Total liabilities.** Add lines 17 through 25			859,629	26	1,536,551
Net Assets or Fund Balances		**Organizations that follow SFAS 117 (ASC 958), check here ▶ ☑ and complete lines 27 through 29, and lines 33 and 34.**					
	27	Unrestricted net assets			4,880,435	27	6,401,804
	28	Temporarily restricted net assets			1,095,714	28	832,674
	29	Permanently restricted net assets				29	
		Organizations that do not follow SFAS 117 (ASC 958), check here ▶ ☐ and complete lines 30 through 34.					
	30	Capital stock or trust principal, or current funds				30	
	31	Paid-in or capital surplus, or land, building or equipment fund				31	
	32	Retained earnings, endowment, accumulated income, or other funds				32	
	33	Total net assets or fund balances			5,976,149	33	7,234,478
	34	Total liabilities and net assets/fund balances			6,835,778	34	8,771,029

Part XI Reconcilliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI . . . . ☑

1	Total revenue (must equal Part VIII, column (A), line 12)	1	8,504,512
2	Total expenses (must equal Part IX, column (A), line 25)	2	7,552,896
3	Revenue less expenses Subtract line 2 from line 1	3	951,616
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	5,976,149
5	Net unrealized gains (losses) on investments	5	285,421
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	21,292
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	7,234,478

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII . . . . ☐

			Yes	No
1	Accounting method used to prepare the Form 990 ☐ Cash ☑ Accrual ☐ Other ____________ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		No
	If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
b	Were the organization's financial statements audited by an independent accountant?	2b	Yes	
	If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both ☑ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c	Yes	
	If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a		No
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	3b		

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493281003234

SCHEDULE A (Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.
▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
Animal Legal Defense Fund Inc

Employer identification number
94-2681680

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☑ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)

9 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)

10 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a ☐ Type I **b** ☐ Type II **c** ☐ Type III - Functionally integrated **d** ☐ Type III - Non-functionally integrated

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

		Yes	No
(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?	**11g(i)**		
(ii) A family member of a person described in (i) above?	**11g(ii)**		
(iii) A 35% controlled entity of a person described in (i) or (ii) above?	**11g(iii)**		

h Provide the following information about the supported organization(s)

(i) Name of supported organization	**(ii)** EIN	**(iii)** Type of organization (described on lines 1- 9 above or IRC section **(see instructions)**)	**(iv)** Is the organization in col **(i)** listed in your governing document?		**(v)** Did you notify the organization in col **(i)** of your support?		**(vi)** Is the organization in col **(i)** organized in the U S ?		**(vii)** Amount of monetary support
			Yes	**No**	**Yes**	**No**	**Yes**	**No**	
Total									

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")	3,709,922	5,235,083	5,481,293	5,759,329	8,293,474	28,479,101
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						0
3 The value of services or facilities furnished by a governmental unit to the organization without charge						0
4 **Total.** Add lines 1 through 3	3,709,922	5,235,083	5,481,293	5,759,329	8,293,474	28,479,101
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						0
6 **Public support.** Subtract line 5 from line 4						28,479,101

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
7 Amounts from line 4	3,709,922	5,235,083	5,481,293	5,759,329	8,293,474	28,479,101
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	55,436	54,795	104,277	106,442	80,432	401,382
9 Net income from unrelated business activities, whether or not the business is regularly carried on						0
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)	28,125	13,085	2,860	71,044	72,810	187,924
11 **Total support** (Add lines 7 through 10)						29,068,407

12 Gross receipts from related activities, etc (see instructions) | 12 | 250,322

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** . . . ▶☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f)) | 14 | 97 970 %

15 Public support percentage for 2012 Schedule A, Part II, line 14 | 15 | 98 170 %

16a **33 1/3% support test—2013.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☑

b **33 1/3% support test—2012.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

17a **10%-facts-and-circumstances test—2013.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶☐

b **10%-facts-and-circumstances test—2012.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶☐

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 **Public support** (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
13 **Total support.** (Add lines 9, 10c, 11, and 12)						

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ▶☐

Section C. Computation of Public Support Percentage

15 Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2012 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for **2013** (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from **2012** Schedule A, Part III, line 17	18	

19a **33 1/3% support tests—2013.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

b **33 1/3% support tests—2012.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶☐

Part IV **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Return Reference	Explanation

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493281003234

SCHEDULE C (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ Complete if the organization is described below. ▶ Attach to Form 990 or Form 990-EZ.
▶ See separate instructions. ▶ Information about Schedule C (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Open to Public Inspection

If the organization answered "Yes" to Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes" to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes" to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, Part V, line 35c (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization	Employer identification number
Animal Legal Defense Fund Inc	94-2681680

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV

2 Political expenditures ▶ $

3 Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ $

2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ $

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☑ No

4a Was a correction made? ☐ Yes ☑ No

b If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ $

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ $

3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b ▶ $

4 Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☐ No

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	**(b)** Address	**(c)** EIN	**(d)** Amount paid from filing organization's funds If none, enter -0-	**(e)** Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A **Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

A Check ▶ ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)

B Check ▶ ☐ if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	**(a)** Filing organization's totals	**(b)** Affiliated group totals
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)		
b Total lobbying expenditures to influence a legislative body (direct lobbying)	7,500	
c Total lobbying expenditures (add lines 1a and 1b)	7,500	
d Other exempt purpose expenditures	7,545,396	
e Total exempt purpose expenditures (add lines 1c and 1d)	7,552,896	
f Lobbying nontaxable amount Enter the amount from the following table in both columns	527,645	

If the amount on line 1e, column (a) or (b) is:	**The lobbying nontaxable amount is:**
Not over $500,000	20% of the amount on line 1e
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000
Over $17,000,000	$1,000,000

	(a)	(b)
g Grassroots nontaxable amount (enter 25% of line 1f)	131,911	
h Subtract line 1g from line 1a If zero or less, enter -0-		
i Subtract line 1f from line 1c If zero or less, enter -0-		

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? ☐ **Yes** ☑ **No**

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	**(a)** 2010	**(b)** 2011	**(c)** 2012	**(d)** 2013	**(e)** Total
2a Lobbying nontaxable amount	344,968	376,928	395,482	527,645	1,645,023
b Lobbying ceiling amount (150% of line 2a, column(e))					2,467,535
c Total lobbying expenditures	7,041	7,500	7,500	7,500	29,541
d Grassroots nontaxable amount	86,242	94,232	98,871	131,911	411,256
e Grassroots ceiling amount (150% of line 2d, column (e))					616,884
f Grassroots lobbying expenditures	7,041	7,500	7,500	7,500	29,541

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response to lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.	(a) Yes	(a) No	(b) Amount
1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a Volunteers?			
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c Media advertisements?			
d Mailings to members, legislators, or the public?			
e Publications, or published or broadcast statements?			
f Grants to other organizations for lobbying purposes?			
g Direct contact with legislators, their staffs, government officials, or a legislative body?			
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i Other activities?			
j Total Add lines 1c through 1i			
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b If "Yes," enter the amount of any tax incurred under section 4912			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		
2	Did the organization make only in-house lobbying expenditures of $2,000 or less?	2		
3	Did the organization agree to carry over lobbying and political expenditures from the prior year?	3		

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).**		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, line 2, and Part II-B, line 1 Also, complete this part for any additional information

Return Reference	Explanation

Part IV Supplemental Information *(continued)*

Return Reference	Explanation

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b
▶ Attach to Form 990. ▶ See separate instructions. ▶ Information about Schedule D (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
Animal Legal Defense Fund Inc

Employer identification number
94-2681680

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate contributions to (during year)		
3	Aggregate grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or education) ☐ Preservation of an historically important land area
☐ Protection of natural habitat ☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

			Held at the End of the Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶________

4 Number of states where property subject to conservation easement is located ▶________

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶________

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $ ________

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $ ________

(ii) Assets included in Form 990, Part X ▶ $ ________

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a Revenues included in Form 990, Part VIII, line 1 ▶ $ ________

b Assets included in Form 990, Part X ▶ $ ________

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☐ Public exhibition
b ☐ Scholarly research
c ☐ Preservation for future generations
d ☐ Loan or exchange programs
e ☐ Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table

			Amount
c	Beginning balance	1c	
d	Additions during the year	1d	
e	Distributions during the year	1e	
f	Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII . . . . ☐

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

		(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a	Beginning of year balance					
b	Contributions					
c	Net investment earnings, gains, and losses					
d	Grants or scholarships					
e	Other expenditures for facilities and programs					
f	Administrative expenses					
g	End of year balance					

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a Board designated or quasi-endowment ▶

b Permanent endowment ▶

c Temporarily restricted endowment ▶

The percentages in lines 2a, 2b, and 2c should equal 100%

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

			Yes	No
	(i) unrelated organizations	3a(i)		
	(ii) related organizations	3a(ii)		
b	If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIII the intended uses of the organization's endowment funds

Part VI Land, Buildings, and Equipment. Complete if the organization answered 'Yes' to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		520,392		520,392
b Buildings		1,812,938	233,239	1,579,699
c Leasehold improvements				
d Equipment				
e Other		174,287	168,283	6,004
Total. Add lines 1a through 1e *(Column (d) must equal Form 990, Part X, column (B), line 10(c).)* ▶				2,106,095

Part VII Investments—Other Securities. Complete if the organization answered 'Yes' to Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
Other		
Total. *(Column (b) must equal Form 990, Part X, col (B) line 12)* ▶	3,380,448	

Part VIII Investments—Program Related. Complete if the organization answered 'Yes' to Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Total. *(Column (b) must equal Form 990, Part X, col (B) line 13)* ▶		

Part IX Other Assets. Complete if the organization answered 'Yes' to Form 990, Part IV, line 11d See Form 990, Part X, line 15

(a) Description	(b) Book value
Total. *(Column (b) must equal Form 990, Part X, col.(B) line 15.)* . . . . ▶	

Part X Other Liabilities. Complete if the organization answered 'Yes' to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1 (a) Description of liability	(b) Book value
Federal income taxes	
Accrued payroll liabilities	162,572
Total. *(Column (b) must equal Form 990, Part X, col (B) line 25)* ▶	162,572

2. Liability for uncertain tax positions In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740) Check here if the text of the footnote has been provided in Part XIII ☑

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements			1	8,791,567
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12				
a	Net unrealized gains on investments	2a			
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIII)	2d	422,055		
e	Add lines **2a** through **2d**			2e	422,055
3	Subtract line **2e** from line **1**			3	8,369,512
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII)	4b	135,000		
c	Add lines **4a** and **4b**			4c	135,000
5	Total revenue Add lines **3** and **4c.** (This must equal Form 990, Part I, line 12)			5	8,504,512

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return. Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements			1	7,555,619
2	Amounts included on line 1 but not on Form 990, Part IX, line 25				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIII)	2d	2,723		
e	Add lines **2a** through **2d**			2e	2,723
3	Subtract line **2e** from line **1**			3	7,552,896
4	Amounts included on Form 990, Part IX, line 25, but not on line **1:**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIII)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total expenses Add lines **3** and **4c.** (This must equal Form 990, Part I, line 18)			5	7,552,896

Part XIII Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b Also complete this part to provide any additional information

Return Reference	Explanation
Part X FIN48 Footnote	Income TaxesFinancial statement presentation follows the recommendations of ASC 740, Income Taxes Under ASC 740, the Agency is required to report information regarding its exposure to various tax positions taken by the Agency and requires a two-step process that separates recognition from measurement The first step is determining whether a tax position has met the recognition threshold, the second step is measuring a tax position that meets the recognition threshold Management believes that it has adequately evaluated its current tax positions and has concluded that as of December 31, 2013, the Agency does not have any uncertain tax positions for which a reserve or an accrual for a tax liability would be necessary The Agency has received notification from the Internal Revenue Service and the State of California that it qualifies for tax-exempt status under Section 501 (c)(3) of the Internal Revenue Code and Section 23701d of the California Revenue and Taxation Code The exemptions are subject to periodic review by the federal and state taxing authorities and management is confident that the Agency continues to satisfy all federal and state statutes in order to qualify for continued tax exemption status The Agency may periodically receive unrelated business income (such as sublease rental income) requiring the Agency to file separate tax returns under federal and state statutes Under such conditions, the Agency calculates, accrues and remits the applicable taxes
Part XI, Line 2d Other revenue amounts included in F/S but not included on form 990	Net assets released from restriction $419332 Expenses reflected on Form 990-T $2723
Part XI, Line 4b Other revenue amounts included on 990 but not included in F/S	Temporarily restricted contributions $135000
Part XII, Line 2d Other expenses and losses per audited F/S	Expenses reflected on Form 990-T $2723

Part XIII **Supplemental Information** ***(continued)***

Return Reference	Explanation

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493281003234

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than $15,000 on Form 990-EZ, line 6a.
▶Attach to Form 990 or Form 990-EZ. ▶See separate instructions.
▶Information about Schedule G (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
Animal Legal Defense Fund Inc

Employer identification number
94-2681680

Part I **Fundraising Activities.** Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

1 Indicate whether the organization raised funds through any of the following activities Check all that apply

a ☑ Mail solicitations
b ☑ Internet and email solicitations
c ☐ Phone solicitations
d ☐ In-person solicitations
e ☑ Solicitation of non-government grants
f ☐ Solicitation of government grants
g ☐ Special fundraising events

2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? ☑ **Yes** ☐ **No**

b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least $5,000 by the organization

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions? Yes	No	(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
1 Chapman Cubine 100 Montgomery San Fran, CA 94104	Direct Mail		No	1,998,459	496,083	1,502,376
2			No			
3						
4						
5						
6						
7						
8						
9						
10						
Total . . . . ▶				1,998,459	496,083	1,502,376

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing

CA

Part II **Fundraising Events.** Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than $15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than $5,000.

		(a) Event #1 (event type)	(b) Event #2 (event type)	(c) Other events (total number)	(d) Total events (add col (a) through col (c))
Revenue	1 Gross receipts				
	2 Less Contributions				
	3 Gross income (line 1 minus line 2)				
Direct Expenses	4 Cash prizes				
	5 Noncash prizes				
	6 Rent/facility costs				
	7 Food and beverages				
	8 Entertainment				
	9 Other direct expenses				
	10 Direct expense summary Add lines 4 through 9 in column (d) ▶				( )
	11 Net income summary Subtract line 10 from line 3, column (d) ▶				

Part III **Gaming.** Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than $15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))
Revenue	1 Gross revenue				
Direct Expenses	2 Cash prizes				
	3 Non-cash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	☐ Yes ____% ☐ No	☐ Yes ____% ☐ No	☐ Yes ____% ☐ No	
	7 Direct expense summary Add lines 2 through 5 in column (d) ▶				
	8 Net gaming income summary Subtract line 7 from line 1, column (d) ▶				

9 Enter the state(s) in which the organization operates gaming activities ____________

a Is the organization licensed to operate gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain ____________

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain ____________

11 Does the organization operate gaming activities with nonmembers? . . . . ☐ Yes ☐ No

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? . . . . ☐ Yes ☐ No

13 Indicate the percentage of gaming activity operated in

a	The organization's facility	13a	%
b	An outside facility	13b	%

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records

Name ▶

Address ▶

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? . . . . ☐ Yes ☐ No

b If "Yes," enter the amount of gaming revenue received by the organization ▶ $ ____________ and the amount of gaming revenue retained by the third party ▶ $ ____________

c If "Yes," enter name and address of the third party

Name ▶

Address ▶

16 Gaming manager information

Name ▶

Gaming manager compensation ▶ $

Description of services provided ▶

☐ Director/officer ☐ Employee ☐ Independent contractor

17 Mandatory distributions

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? . . . . ☐ Yes ☐ No

b Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ $

Part IV **Supplemental Information.** Provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

Return Reference	Explanation

Schedule I (Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990
▶ Information about Schedule I (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
Animal Legal Defense Fund Inc

Employer identification number
94-2681680

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . ☑ **Yes** ☐ **No**

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States.

Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	**(b)** EIN	**(c)** IRC Code section if applicable	**(d)** Amount of cash grant	**(e)** Amount of non-cash assistance	**(f)** Method of valuation (book, FMV, appraisal, other)	**(g)** Description of non-cash assistance	**(h)** Purpose of grant or assistance
(1) Animal Law Review Lewis and Clark Law School Terwilliger Portland, OR 97219		Gov't	287,205	0			See Details on Sch I page 2
(2) GLORY FOUNDATION 707 Sw Washington St Ste 1500 Portland, OR 97205	72-1574570	501c3	54,223	0			See Details on Sch I page 4
(3) Various Student ALDF Chapters 170 E Cotati Avenue Cotati, CA 94931	94-2681680	501c3	45,370	0			Symposiums and Speaker Events

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . ▶ 3

3 Enter total number of other organizations listed in the line 1 table . . . ▶ 0

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	**(b)** Number of recipients	**(c)** Amount of cash grant	**(d)** Amount of non-cash assistance	**(e)** Method of valuation (book, FMV, appraisal, other)	**(f)** Description of non-cash assistance
(1) Scholarships	12	55,000			

Part IV **Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

Return Reference	**Explanation**
Additional Supplemental Information	Center for Animal Law StudiesLewis & Clark Law School10015 SW Terwilliger BlvdPortland, OR 97219Type of Entitiy 501c3FEIN 93-0386858Amount of grants funded in 2013 $287,205Purpose The Center for Animal Law Studies is a collaboration between the Animal Legal Defense Fund and Lewis and Clark Law School It is an academic and scholarly Animal Law program dedicated to 1) Training future leaders for careers in Animal Law and public policy,2) Conducting high-quality, independent legal research that advances the field of Animal Law,3) Developing innovative recommendations and legal strategies relating to Animal Law within administrative, legislative, litigation and other settings,4) Creating a scholarly environment where students, regardless of particular point of view, feel included and respected, and5) Ensuring that the interests of animals are always considered as the field developsVarious Student Animal Legal Defense Fund (SALDF) chapters170 E Cotati AvenueCotati, CA 94931Type of Entitiy 501c3FEIN 94-2681680Amount of grants funded in 2013 $45,370Purpose Providing support for the activities and projects of ALDFs law school student chaptersGlory Foundation707 SW Washington Street Ste 1500Portland, OR 97205Type of Entitiy 501c3FEIN 72-1574570Amount of grants funded in 2013 $54,223Purpose Providing support for the activities and projects of animal protection programs

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493281003234

SCHEDULE M (Form 990)

Department of the Treasury
Internal Revenue Service

Noncash Contributions

▶Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
▶ Attach to Form 990.
▶Information about Schedule M (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
Animal Legal Defense Fund Inc

Employer identification number
94-2681680

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art				
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded				
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential	X	1	440,000	
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ▶ (Legal services)	X	10	1,711,247	FMV
26 Other ▶( )				
27 Other ▶( )				
28 Other ▶ ( )				

		Line	Yes	No
29	Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement	29		
30a	During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?	30a		No
b	If "Yes," describe the arrangement in Part II			
31	Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?	31		No
32a	Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?	32a		No
b	If "Yes," describe in Part II			
33	If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II			

Part II **Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493281003234

SCHEDULE O (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.
▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
Animal Legal Defense Fund Inc

Employer identification number
94-2681680

Return Reference	Explanation
Client Note 1	Client Note 1 - PROPERTY & EQUIPMENT--------------------Property and equipment consist of the following at December 31, 2013 and 2012 2013 2012 ------------- -------------Building and land $ 1,026,339 1,026,339Furniture and equipment 174,287 174,287Less accumulated depreciation (401,522) (359,606) ------------- ------------- $ 2,106,095 841,020 ------------- ------------- During the year ended December 31, 2005, the Agency purchased real estate property in Cotati, California which is used to house a portion of its corporate office The total purchase price was $980,783 (including escrow fees and related acquisition costs) During the year ended December 31, 2013, the Agency purchased real estate property in Cotati, California which is also being used to house a portion of its corporate office The total purchase price was $866,991 (including escrow fees and related acquisition costs) Depreciation expense related to all property and equipment amounted to $41,916 and $35,392 for the years ended December 31, 2013 and 2012, respectively INVESTMENTS-----------Investments consist of certificates of deposit, money market funds, mutual funds, marketable securities and bonds Cost basis and market value of investments are as follows at December 31, 2013 and 2012 December 31, 2013 December 31, 2012 -------------------- -------------------- Cost Fair Cost Fair Basis Value Basis Value --------- --------- --------- ---------Certificates of deposit $ 784,214 784,214 256,842 256,842Money market deposits 991,746 1,394,691 487,050 487,050Securities/corporate stocks 712,664 735,854 911,301 1,012,777Mutual funds/Bonds/ETFs/Other 425,338 434,772 1,397,701 1,474,534Real Estate Investment Trust 50,067 30,917 40,026 33,233 --------- - --------- --------- --------- $ 2,964,029 3,380,448 3,092,920 3,264,436 --------- --------- --------- ---------Money market deposits include funds held in highly liquid investments with maturity dates of less than three months Net investment income (interest and dividends) amounted to $80,432 and $106,442 for the years ended December 31, 2013 and 2012, respectively During the years ended December 31, 2013 and 2012, there were net unrealized gains of $285,421 and $118,857, respectively, related to the Agencys investments

Return Reference	Explanation
Form 990, Part III, Line 4d Other Program Services Description	OTHER PROGRAM SERVICES 4 ALDF Grants and Scholarship ProgramsAs part of ALDFs Animal Law Program, ALDF makes grants available to various organizations, law centers, individuals and student chapters of ALDF at law schools across the country and in Canada to support the efforts to inform, educate, and take action for animal protection laws Amount of grants and scholarships funded during the year ended December 31, 2013 amounted to $458,448, which included various payments to law schools, organizations, and individuals OTHER PROGRAM SERVICES 5 Form 990, Part III, Line 1Continuation of "Statement of Program Service Accomplishments" For more than three decades, the Animal Legal Defense Fund (aldf org) has been fighting to protect the lives and advance the interests of animals through the legal system Founded in 1979 by attorneys active in shaping the emerging field of animal law, ALDF has blazed the trail for stronger enforcement of anti-cruelty laws and more humane treatment of animals in every corner of American life Today, ALDF's groundbreaking efforts to push the U S legal system to end the suffering of abused animals are supported by thousands of dedicated attorneys and more than 100,000 members and supporters Every day, ALDF works to protect animals by * Filing groundbreaking lawsuits to stop animal abuse and expand the boundaries of animal law * Providing free legal assistance to prosecutors handling cruelty cases * Working to strengthen state anti-cruelty statutes * Encouraging the federal government to enforce existing animal protection laws * Nurturing the future of animal law through Student Animal Legal Defense Fund chapters and our Animal Law Program * Providing public education through seminars, workshops, and other outreach efforts Recent legal battles include fighting to free Tony, a tiger who has spent a decade on display at a Louisiana truck stop, promoting mandatory registries for convicted animal abusers, fighting the sale of puppy mill puppies at retail pet stores, demanding Endangered Species Act protection for Lolita, a solitary orca forced to perform at a small Miami aquarium, and supporting the principles put forth in ALDF's Animal Bill of Rights (animalbillofrights org) In addition to our national headquarters in the San Francisco Bay Area, the Animal Legal Defense Fund maintains an office in Portland, Oregon Legislative Affairs ProgramALDFs legislative affairs program helps pass tougher animal protection laws by working with state and federal legislatures as well as county boards and municipal councils The program also works to block legislative bills proposed by those who seek to exploit or endanger animal welfare At every level the program seeks to enhance ALDFs work within the legal system by ensuring better laws are on the books for animals

Return Reference	Explanation
Form 990, Part VI, Line 1a Explanation of Delegated Broad Authority to Committee	In accordance with common practice in the nonprofit community, the Board delegates certain matters to the Executive Committee, which is empowered to act between Board meetings if necessary, and sometimes with specifically delegated authority to act in particular areas on behalf of the full Board The composition of Executive Committee includes the Organization's Board officers and other designated officials

Return Reference	Explanation
Form 990, Part VI, Line 4 Description of Significant Changes to Organizational Documents	The Board of Directors adopted amended Bylaws of Animal Legal Defense Fund effective May 16, 2014

Return Reference	Explanation
Form 990, Part VI, Line 11b Form 990 Review Process	Form 990 is prepared by an outside tax professional The form is then reviewed by the Organization's management, a member of the Board of Directors, and the Executive Director This group of individuals then discusses the contents of the return with the outside tax professional After a full review (with modifications where necessary), the final version of the tax return is provided to all members of the Organization's voting body A representative of management authorizes the final Form 990 which is then e-filed with the Internal Revenue Service

Return Reference	Explanation
Form 990, Part VI, Line 12c Explanation of Monitoring and Enforcement of Conflicts	Members of the Board of Directors review all potential conflicts of interest at least annually All personnel and board members are required to disclose (in writing) potential conflicts and any related party affiliations Loans between the Organization and members of management and the Board are strictly prohibited The Organization seeks full transparency on all relationships Any potential conflicts (in fact or appearance) are discussed openly and resolved in accordance with the Organization's policies and procedures

Return Reference	Explanation
Form 990, Part VI, Line 15a Compensation Review & Approval Process - CEO, Top Management	Members of the Board of Directors review the compensation of all high-level personnel periodically in accordance with IRS rules and regulations Efforts are made to secure compensation data from industry sources in order to determine competitiveness and appropriateness of salaries Every effort is made to ensure that the process is thorough and transparent in accordance with IRS guidelines and the Organization's policies and procedures

Return Reference	Explanation
Form 990, Part VI, Line 15b Compensation Review and Approval Process for Officers and Key Employees	Compensation of other high-level personnel and key employees is reviewed periodically by members of management Efforts are made to secure compensation data from industry sources in order to determine competitiveness and appropriateness of salaries and all related benefits All decisions are then documented in personnel files

Return Reference	Explanation
Form 990, Part VI, Line 19 Other Organization Documents Publicly Available	All of the organization's governing documents, financial statements and other legal filings are maintained in a secure environment and held available for inspection by tax authorities and the general public Tax returns are posted annually to www guidestar org (where it is available for viewing as an electronic copy) and are also available at the Organization's office in Cotati, California (for a physical inspection)

Return Reference	Explanation
Other Changes In Net Assets Or Fund Balances - Other Increases	Change in present value of long-term pledges = $21292

BYLAWS

OF

ANIMAL LEGAL DEFENSE FUND

(Amended May 6, 2014)

TABLE OF CONTENTS

BYLAWS
OF
ANIMAL LEGAL DEFENSE FUND

(May 2014)

ARTICLE I

PRINCIPAL OFFICE

The principal office of the corporation is in the State of California The location of the principal office in California may be changed by resolution of the Board

ARTICLE II

MEMBERSHIP

The corporation shall have no voting members, but the Board of Directors may, by resolution, establish one or more classes of nonvoting members and provide for eligibility requirements for membership and rights and duties of members, including the obligation to pay dues

ARTICLE III

BOARD OF DIRECTORS

Section 1. Powers and Duties.

The corporation shall have a Board of Directors who may exercise all the powers of the corporation subject to any restrictions imposed by law, by the Articles of Incorporation or by these bylaws The Board of Directors may delegate the management of the activities of the corporation to any person or persons, management company or committee however composed, provided that the activities and affairs of the corporation shall be managed and all corporate powers shall be exercised under the ultimate direction of the Board of Directors

Section 2. Number of Directors.

The number of Directors shall be not less than five (5) nor more than fifteen (15), with the exact number of authorized Directors to be fixed from time to time by resolution of the Board of Directors

Section 3. Election.

The Directors then in office shall elect their successors Each Director shall hold office until a successor has been elected In addition to the slate of candidates recommended to the Board by the Nominating Committee, any Director may recommend candidates for membership on the Board of Directors The Board may adopt due diligence criteria for recruiting candidates for Director, which shall be used to evaluate any proposed candidates

Section 4. Term.

Board members are elected to three-year terms Board members can serve two consecutive terms Once a Board member leaves the Board, he or she can be re-elected after a one year absence The Board shall determine the initial term of a Director The Board shall have staggered Director terms to the extent it is practicable, so that an equal number of Directors will be elected each year

The following rules apply to those Directors serving as of May 5, 2014

(a) those Directors up for re-election for a new term to start in 2015 will be eligible to serve two additional two year terms, and

(b) those Directors up for re-election for a new term to start in 2016 will be eligible to serve one additional two year term

Section 5. Qualifications.

No less than one-half of the members of the Board of Directors shall be persons having a valid license to practice law in the State or Territory of the United States, including the District of Columbia, or in another country Initial and continuing qualifications for all Directors are as follows

(a) A complete and accurate application for Board membership (in a form approved by the Board) shall be on file with the Secretary, and updated as requested by the Secretary,

(b) Timely payments of dues and assessments, and,

(c) Execution of an agreement to uphold the goals and purposes of the corporation, and compliance with all bylaws (including but not limited to the Standard of Care in Section 16 of this Article), rules, regulations and duly adopted policies of the corporation

Section 6. Vacancies.

A vacancy shall be deemed to exist in the event that the actual number of Directors is less than the currently authorized number for any reason Vacancies may be filled by a majority of the remaining Directors for the unexpired portion of the term

Section 7. Resignation and Removal.

Resignations shall be effective upon receipt in writing by the Secretary of the corporation, unless a later effective date is specified in the resignation A majority of the Directors then in office may remove any Director at any time, with or without cause

Section 8. Limitations on Interested Persons.

At no time, shall more than 49% of the Directors of the corporation be interested persons An interested person means either

(a) Any person currently being compensated by the corporation for services rendered to it within the previous twelve months, whether as a full-time or part-time employee, independent contractor, or otherwise, excluding any reasonable compensation paid to a Director in his or her capacity as a Director, or

(b) Any brother, sister, ancestor, descendant, spouse, brother-in-law, sister-in-law, son-in-law, daughter-in-law, mother-in-law, or father-in-law of any such person

Section 9. Annual Meeting.

A meeting of the Board of Directors shall be held at least once per year Annual meetings shall be called by the Chairperson or if s/he fails to do so, by any two Directors, and noticed in accordance with Section 11 (Notice)

Section 10. Special Meetings.

Special meetings of the Board of Directors may be called by the Chairperson or any two Directors, and noticed in accordance with Section 11 Special meetings shall be held at the date, place, and time stated in the notice

Section 11. Notice.

The Board of Directors shall not dispense with notice of any meeting Notice of any meeting of the Board of Directors shall be given to each Director at least four (4) days before any such meeting if given by first-class mail or forty-eight (48) hours before any such meeting if given personally, by telephone, including a voice messaging system or other system or technology designed to record and communicate messages, telegraph, facsimile, electronic mail, or other electronic means, or by overnight delivery service, and shall state the date, place, and time of the meeting

Section 12. Waiver of Notice.

The transactions of any meeting of the Board of Directors, however called and noticed or wherever held, shall be valid as though taken at a meeting duly held after regular call and notice if a quorum is present, and if, either before or after the meeting, each of the Directors not present signs a written waiver of notice, a consent to holding the meeting, or an approval of the minutes The waiver of notice or consent need not specify the purpose of the meeting All waivers, consents and approvals shall be filed with the corporate records or made a part of the minutes of the meeting Notice of a meeting shall also be deemed given to any Director who attends the meeting without protesting the lack of adequate notice before the meeting or at its
commencement

Section 13. Quorum and Voting.

A majority of the total number of directors then in office shall constitute a quorum, provided that in no event shall the required quorum be less than one-fifth of the authorized number of Directors or two Directors, whichever is larger The act of a majority of the Directors present at a meeting at which a quorum is present shall be the act of the Board of Directors, except as otherwise provided in Article III, Sections 6 (filling Board vacancies), 7 (removing Directors), 14 (taking action without a meeting), 17C (approving self-dealing transactions), 18B (approving indemnification), Article IV, Section 1 (appointing Board Committees), Article V, Section 3 (removing officers), and Article VIII, Section 4 (amending bylaws) of these bylaws or in the California Nonprofit Public Benefit Corporation Law A meeting at which a quorum is initially present may continue to transact business notwithstanding the withdrawal of Directors, if any action taken is approved by at least a majority of the required quorum for such meeting

Section 14. Action Without a Meeting.

Any action required or permitted to be taken by the Board of Directors may be taken without a meeting if all members of the Board shall individually or collectively consent to such action Such written consents shall be filed with the minutes of the proceedings of the Board Such written consents shall have the same force and effect as the unanimous vote of such Directors

Section 15. Telephone Meetings.

Directors may participate in a meeting through use of conference telephone, electronic video screen communication, or other communications equipment so long as all of the following apply

(a) each Director participating in the meeting can communicate with all of the other Directors concurrently,

(b) each Director is provided with the means of participating in all matters before the Board, including the capacity to propose, or to interpose an objection to, a specific action to be taken by the corporation, and

(c) the corporation verifies that,

 (1) a person communicating by telephone, electronic video screen, or other communications equipment is entitled to participate in the Board meeting as a Director, or by invitation of the Board or otherwise, and
 (2) all motions, votes, or other actions required to be made by a Director were actually made by a Director and not by someone who is not entitled to participate as a Director

Section 16. Standard of Care.

A. General.

A Director shall perform the duties of a Director, including duties as a member of any Board Committee on which the Director may serve, in good faith, in a manner such Director believes to be in the best interest of the corporation and with such care, including reasonable inquiry, as an ordinarily prudent person in a like situation would use under similar circumstances

In performing the duties of a Director, a Director shall be entitled to rely on information, opinions, reports, or statements, including financial statements and other financial data, in each case prepared or presented by

(1) One or more Officers or employees of the corporation whom the Director believes to be reliable and competent as to the matters presented,

(2) Counsel, independent accountants, or other persons as to matters which the Director believes to be within such person's professional or expert competence, or

(3) A Board Committee upon which the Director does not serve, as to matters within its designated authority, provided that the Director believes such Committee merits confidence, so long as in any such case, the Director acts in good faith after reasonable inquiry when the need therefore is indicated by the circumstances and without knowledge that would cause such reliance to be unwarranted

Except as provided in Section 17 below, a person who performs the duties of a Director in accordance with this Section shall have no liability based upon any failure or alleged failure to discharge that person's obligations as a Director, including, without limiting the generality of the foregoing, any actions or omissions which exceed or defeat a public or charitable purpose to which a corporation, or assets held by it, are dedicated

B. Investments.

Except with respect to assets held for use or used directly in carrying out the corporation's charitable activities, in investing, reinvesting, purchasing or acquiring, exchanging, selling, and managing the corporation's investments, the Board shall avoid speculation, looking instead to the permanent disposition of the funds, considering the probable income as well as the probable safety of the corporation's capital No investment violates this Section where it conforms to provisions authorizing such investment contained in an instrument or agreement pursuant to which the assets were contributed to the corporation

Section 17. Prohibited Transactions.

A. Loans.

Except as permitted by Section 5236 of the California Nonprofit Public Benefit Corporation Law, the corporation shall not make any loan of money or property to, or guarantee the obligation of, any Director or Officer, provided, however, that the corporation may advance money to a Director or Officer of the corporation or any subsidiary for expenses reasonably anticipated to be incurred in performance of the duties of such Officer or Director so long as such individual would be entitled to be reimbursed for such expenses absent that advance

B. Self-Dealing Transaction.

Except as provided in Subsection C below, the Board of Directors shall not approve or permit the corporation to engage in any self-dealing transaction A self-dealing transaction is a transaction to which the corporation is a party and in which one or more of its Directors has a material financial interest, unless the transaction comes within California Corporations Code Section 5233(b)

C. Approval.

The corporation may engage in a self-dealing transaction if the transaction is approved by a court or by the California Attorney General The corporation may also engage in a self-dealing transaction if the Board determines, before the transaction, that (1) the corporation is entering into the transaction for its own benefit, (2) the transaction is fair and reasonable to the corporation at the time, and (3) after reasonable investigation, the Board determines that it could not have obtained a more advantageous arrangement with reasonable effort under the circumstances Such determinations must be made by the Board, in good faith, with knowledge of the material facts concerning the transaction and the Director's interest in the transaction, and by a vote of a majority of the Directors then in office, without counting the vote of the interested Director or Directors

Where it is not reasonably practicable to obtain approval of the Board before entering into a self-dealing transaction, a Board Committee may approve such transaction in a manner consistent with the requirements above, provided that, at its next meeting, the full Board determines in good faith that the Board Committee's approval of the transaction was consistent with the requirements above and that it was not reasonably practical to obtain advance approval by the full Board, and ratifies the transaction by a majority of the Directors then in office without the vote of any interested Director

Section 18. Indemnification and Insurance.

A. Right of Indemnity.

To the fullest extent allowed by Section 5238 of the California Nonprofit Public Benefit Corporation Law, the corporation may indemnify and advance expenses to its agents, in connection with any proceeding, and in accordance with Section 5238 For purposes of this Section, "agent" shall have the same meaning as in Section 5238(a), including Directors, Officers, employees, other agents, and persons formerly occupying such positions, "proceeding" shall have the same meaning as in Section 5238(a), including any threatened action or investigation under Section 5233 or brought by the California Attorney General, and "expenses" shall have the same meaning as in Section 5238(a), including reasonable attorneys' fees

B. Approval of Indemnity.

On written request to the Board of Directors in each specific case by any agent seeking indemnification, to the extent that the agent has been successful on the merits, the Board shall promptly authorize indemnification in accordance with Section 5238(d) Otherwise, the Board shall promptly determine, by a majority vote of a quorum consisting of Directors who are not parties to the proceeding, whether, in the specific case, the agent has met the applicable standard of conduct stated in Section 5238(b) or Section 5238(c), and, if so, may authorize indemnification to the extent permitted thereby

C. Advancing Expenses.

The Board of Directors may authorize the advance of expenses incurred by or on behalf of an agent of this corporation in defending any proceeding prior to final disposition, if the Board finds that

(1) the requested advances are reasonable in amount under the circumstances, and

(2) before any advance is made, the agent will submit a written undertaking satisfactory to the Board to repay the advance unless it is ultimately determined that the agent is entitled to indemnification for the expenses under this Section

The Board shall determine whether the undertaking must be secured, and whether interest shall accrue on the obligation created thereby

D. Insurance.

The Board of Directors may adopt a resolution authorizing the purchase of insurance on behalf of any agent for any liability asserted against or incurred by the agent in such capacity or arising out of the agent's status as such, and such insurance may provide for coverage against liabilities beyond this corporation's power to indemnify the agent under law

Section 19. Inspection.

Every Director shall have the absolute right at any reasonable time to inspect and copy all books, records, and documents, and to inspect the physical properties of the corporation

Section 20. Compensation.

The Board of Directors may authorize, by resolution, the payment to a Director of reasonable compensation for services as a Director The Board may authorize the advance or reimbursement to a Director of actual reasonable expenses incurred in carrying out his or her duties as a Director, such as for attending meetings of the Board and Board Committees

ARTICLE IV

COMMITTEES

Section 1. Board Committees.

The Board of Directors may, by resolution adopted by a majority of the Directors then in office, create any number of Board Committees, each consisting of two (2) or more Directors and only of Directors, to serve at the pleasure of the Board Appointments to any Board Committee shall be by a majority vote of the Directors then in office Board Committees may be given all the authority of the Board, except for the powers to

(a) set the number of directors within a range specified in these bylaws,

(b) elect Directors or remove Directors without cause,

(c) fill vacancies on the Board of Directors or on any Board Committee,

(d) fix compensation of Directors for serving on the Board or any Board Committee,

(e) amend or repeal these bylaws or adopt new bylaws,

(f) adopt amendments to the Articles of Incorporation of the corporation,

(g) amend or repeal any resolution of the Board of Directors which by its express terms is not so amendable or repealable,

(h) create any other Board Committees or appoint the members of any Board Committees, or

(i) approve any merger, reorganization, voluntary dissolution, or disposition or substantially all of the assets of this corporation

Section 2. Meetings.

Meetings and actions of Board Committees shall be governed by and held and taken in accordance with the provisions of Article III of these bylaws concerning meetings and actions of the Board of Directors, with such changes in the content of those bylaws as are necessary to substitute the Board Committee and its members for the Board of Directors and its members Minutes shall be kept of each meeting of any Board Committee and shall be filed with the corporate records

Section 3. Standing Board Committees.

The corporation shall have the following standing Board Committees and such other committees as the Board of Directors may create

(a) Executive Committee,

(b) Governance Committee, and

(c) Audit Committee

(d) Compensation Review Committee

Each Board Committee shall make periodic reports and recommendations, including an annual report to the Board of Directors A majority of members of any Board Committee shall constitute a quorum and the act or a vote of a quorum shall constitute the act or vote of the Board Committee

Section 4. Executive Committee.

The Executive Committee shall consist of the Chairperson, Vice Chairperson, Secretary, Treasurer and other Directors, if any, elected annually to that Committee by majority vote of the Directors then in office

(a) **Duties.** The duties of this Committee shall include any tasks expressly assigned by the Board of Directors and shall specifically include but are not limited to, the following duties

(1) In cooperation with the Executive Director, the development and recommendation to the Board of an annual business plan and budget for the corporation,

(2) Supervision of planning for the annual meeting, including the development of an agenda, place and time for annual meeting,

(3) Development and recommendation to the Board of Directors of an annual plan of Board activities,

(4) Supervision of the work of the Board Committees,

(5) Evaluation of individual Directors, and

(6) Ongoing evaluation of the overall activities of the corporation, and presenting recommendations regarding same to the Board of Directors

(b) **Frequency of Meetings.** This Committee shall meet at least once every three (3) months

Section 5. Governance Committee.

(a) **Duties.** The Governance Committee shall consist of a minimum of two Directors and shall consist of such other Directors, Staff and Non-Directors as needed to fulfill its work of performing ongoing reviews of the operations of the Board of Directors, and making recommendations to enhance the quality and future viability of the Board Specific duties are dependent on Board needs at any specific time, involve working in partnership with the Board Chair and CEO-Executive Director, but include the following

(1) Prospect for candidates with useful skills and backgrounds to serve on the Board of Directors using due diligence criteria as discussed with the Board, and develop a slate of candidates for election to the position of Director to be voted on by the full Board of Directors,

(2) Oversee orientation for new Directors and educate candidates about the organization and Board responsibilities,

(3) Develop an annual list of Committee assignments for the Directors to be voted on by the full Board of Directors,

(4) Facilitate the professional development of the Board with updates as needed to the Board member responsibilities statement, with reaching the goals set for the Board, and with securing non-profit Board education and training,

(5) Guide the Board in effective governance practices regarding Board policies, Bylaw revisions, and in meeting legal compliance requirements,

(6) Set up a Board self-evaluation process for assessing on a periodic basis the effectiveness of the Board in meeting its goals and responsibilities,

(7) Assist in securing an annual job performance evaluation of the CEO-Executive Director by the full Board of Directors, and,

(8) Engage in other tasks as assigned by the Board of Directors

(b) **Sub-Committees.** The Governance Committee may breakdown its work into sub-committees as needed

(c) **Frequency of Meetings.** The Governance Committee shall meet at least bi-annually Sub-committees, if any, shall meet as often as needed

Section 6. Audit Committee

The Audit Committee shall consist only of Directors and shall not include the Chair of the Executive Committee and shall not include more than half the members of the Executive Committee The Audit Committee shall have a minimum of two members, elected annually by a majority of the Directors then in office The Committee may appoint non-Directors to serve as advisors, although no staff members shall serve on the Audit Committee, and may appoint up to three such advisors, who shall not cast binding votes on any matters No members of the Audit Committee shall have any material financial interest in any entity doing business with the corporation

(a) **Duties.** The Audit Committee shall

(1) Make recommendations to the Board on the hiring and firing of all independent certified public accountants (CPA) who do work for the organization,

(2) Negotiate the compensation of the independent CPA,

(3) Confer with the auditor to assure that the financial affairs of the agency are in order,

(4) Review the annual audit and decide whether to accept it, and,

(5) Approve non-audit services by the CPA firm and ensure that such services conform to standards set by the U S Comptroller General

(b) **Frequency of Meetings.** The Audit Committee shall meet at least annually and as often as necessary

Section 7. Compensation Review Committee

The Compensation Review Committee shall consist of only Directors and shall have a minimum of two members elected annually by a majority of the Directors then in office

(a) **Duties.** The duties of the Compensation Review Committee shall include reviewing the compensation form the Executive Director/CEO as well as of the staff The Committee shall review marketplace data on compensation levels appropriate for the size of the corporation within the non-profit sector in which it operates to secure consistency, reasonableness and parity The Committee shall assist the Executive Director/CEO in setting staff compensation, and will assist the Board in setting a just and reasonable compensation for the Executive Director/CEO, including benefits and any other amounts of compensation

(b) **Frequency of Meetings.** The Compensation Review Committee shall meet at least annually and as often as necessary

Section 8. Advisory Committees.

The Board of Directors may establish one or more Advisory Committees to the Board The members of any Advisory Committee may consist of Directors or Non-Directors and may be appointed as the Board determines Advisory Committees may not exercise the authority of the Board to make decisions on behalf of the corporation, but shall be restricted to making recommendations to the Board or Board Committees, and implementing Board or Board Committee decisions and policies under the supervision and control of the Board or Board Committee

Section 9. Meetings of Advisory Committees.

Advisory Committees shall determine their own meeting rules and whether minutes shall be kept

The Board of Directors may adopt rules for the governance of any Board or Advisory Committee not inconsistent with the provisions of these bylaws

ARTICLE V

OFFICERS

Section 1. Officers.

The Officers of the corporation shall be a Chairperson of the Board, a Vice Chairperson of the Board, a Secretary, a Treasurer, and such other Officers, as the Board of Directors may, in its discretion, elect or appoint, and with such duties and responsibilities as assigned to them from time to time by the Board Any number of offices may be held by the same person, except that neither the Secretary nor the Treasurer may serve concurrently as Chairperson of the Board

Section 2. Election.

The Officers of this corporation shall be elected annually by the Board of Directors, and each shall serve at the pleasure of the Board, subject to the rights, if any, of an Officer under any contract of employment

Section 3. Removal.

Subject to the rights, if any, of an Officer under any contract of employment, any Officer may be removed, with or without cause, by a majority of the Directors then in office, or by an Officer on whom such power of removal has been conferred by the Board of Directors

Section 4. Resignation.

Any Officer may resign at any time by giving written notice to any other Officer of the corporation Any resignation shall take effect on receipt of that notice by any other Officer of the corporation or at any later time specified by that notice and, unless otherwise specified in that notice, the acceptance of the resignation shall not be necessary to make it effective Any resignation is without prejudice to the rights, if any, of this corporation under any contract to which the Officer is a party

Section 5. Vacancies.

A vacancy in any office for any reason shall be filled in the same manner as these bylaws provide for election to that office

Section 6. Chairperson of the Board.

The Chairperson of the Board shall be elected annually from among the Directors of the corporation The Chairperson shall preside at all meetings of the Board of Directors and shall have such other powers as may be determined by the Board or as prescribed in these bylaws The Chairperson shall be the liaison between the Executive Director and the Board

Section 7. Vice Chairperson.

The Vice Chairperson of the Board shall be elected annually from among the Directors of the corporation The Vice Chairperson shall, in the Chairperson's absence, preside at all meetings of the Board of Directors, and shall have such other powers and duties as may be prescribed by the Board or these bylaws

Section 8. Secretary.

The Secretary shall be elected annually from among the Directors of the corporation The Secretary shall supervise the keeping of a full and complete record of the proceedings of the Directors, shall supervise the giving of such notices as may be proper or necessary, shall supervise the keeping of the minute books of the corporation, and shall have such other powers as may be prescribed by the Board or these bylaws

Section 9. Treasurer.

The Treasurer shall be elected annually from among the Directors of the corporation The Treasurer shall supervise the charge and custody of all funds of the corporation, the deposit of such funds in the manner prescribed by the Board of Directors, and the keeping and maintaining of adequate and correct accounts of the corporation's properties and business transactions, shall render reports and accountings as required, and shall have such other powers and duties as may be prescribed by the Board or these bylaws

ARTICLE VI

STAFF

Section 1. Executive Director.

(a) The Board of Directors shall hire the Executive Director and the Executive Director shall serve at the pleasure of the Board of Directors The Executive Director may be removed by the Board of Directors at any time with or without cause The Executive Director may resign at any time by giving notice to the Chairperson of the Board Any resignation shall take effect upon receipt of that notice by the Chairperson of the Board or any later time specified by that notice and unless otherwise specified in that notice the acceptance of the resignation shall not be necessary to make it effective Any resignation by the Executive Director is without prejudice to the rights, if any, of the corporation under any contract to which the Executive Director is a party Any vacancy in the office of Executive Director shall be filled by the Board of Directors

(b) The Executive Director shall be the chief executive of the corporation responsible for the general management of its affairs and the most senior member of the corporation's staff The Executive Director shall, subject to the control of the Board, generally supervise, direct and control the business and other activities of the corporation All members of the corporation's staff shall report to the Executive Director The Executive Director shall report directly to the Executive Committee and to the Board of Directors and attend all meetings of the Board of Directors and Executive Committee and, if requested, any meeting of any Board Committee The Executive Director shall not serve as a Director of the corporation, nor shall he or she be deemed an Officer of the corporation, by virtue of holding the job described as chief executive officer

ARTICLE VII
GRANTS ADMINISTRATION

Section 1. Purpose of Grants.

The corporation shall have the power to make grants and contributions and to render other financial assistance for the purposes expressed in the corporation's Articles of Incorporation

Section 2. Exclusive Power in the Board of Directors.

The Board of Directors shall have exclusive control over grants, contributions, and other financial assistance given by the corporation The Board shall require that such requests specify the use to which the funds will be put If the Board approves a request for funds, the Board shall authorize payment of such funds to the approved grantee The Board may delegate to Board Committees the authority to review and to approve requests for funds, subject to such restrictions as the Board may determine

Section 3. Refusal; Withdrawal.

The Board of Directors, in its absolute discretion, shall have the right to refuse to make any grants or contributions, or to render other financial assistance, for any or all of the purposes for which the funds are requested In addition, the Board, in its absolute discretion, shall have the right to withdraw its approval of any grant at any time and use the funds for other purposes within the scope of the purposes expressed in the corporation's Articles of Incorporation

Section 4. Accounting Required.

The Board of Directors shall require that all grantees furnish a periodic accounting to show that the funds granted by this corporation were expended for the purposes that were approved by the Board

Section 5. Restrictions on Contributions.

The corporation shall retain complete control and discretion over the use of all contributions it receives Contributions received by the corporation from solicitations for specific grants shall be regarded as for the use of the corporation and not for any particular organization or individual mentioned in the solicitation The corporation may accept contributions earmarked by the donor exclusively for allocation to one or more foreign organizations or individuals only if the Board of Directors of this corporation has approved in advance the charitable activity for which the donation was made

ARTICLE VIII

MISCELLANEOUS

Section 1. Fiscal Year.

The fiscal year of the corporation shall be the calendar year

Section 2. Contracts, Notes, and Checks.

All contracts entered into on behalf of the corporation must be authorized by the Board of Directors Except as otherwise provided by law, every check, draft, promissory note, money order, or other evidence of indebtedness of the corporation shall be signed by the person or persons so authorized by the Board from time to time

Section 3. Annual Reports to Directors.

Within 120 days after the end of the corporation's fiscal year, the Executive Director shall furnish a written report to all Directors containing the following information

(a) The assets and liabilities, including the trust funds of the corporation, as of the end of the fiscal year,

(b) The principal changes in assets and liabilities, including trust funds, during the fiscal year,

(c) The revenue and receipts of the corporation, both unrestricted and restricted for particular purposes, during the fiscal year,

(d) The expenses or disbursements of the corporation, for both general and restricted purposes, during the fiscal year,

(e) Any transaction during the previous fiscal year involving more than Fifty Thousand Dollars ($50,000 00) between the corporation and any of its Directors or Officers, or any of a number of such transactions in which the same person had a direct or indirect material financial interest, and which transactions in the aggregate involved more than $50,000 00, as well as the amount and circumstances of any indemnifications or advances aggregating more than Ten Thousand Dollars ($10,000 00) paid during the fiscal year to any Director or Officer of the corporation For each transaction, the report must disclose the names of the interested persons involved in such transaction, stating such person's relationship to the corporation, the nature of such person's interest in the transaction and, where practicable, the value of such interest

The foregoing report shall be accompanied by any report thereon of independent accountants or, if there is no such report, the certificate of an authorized Officer of the corporation that such statements were prepared without an audit from the books and records of the corporation

Section 4. Amendments.

Proposed amendments to these bylaws shall be submitted in writing to the Directors at least one (1) week in advance of the Board meeting at which they will be considered for adoption The vote of a majority of the Directors then in office or the unanimous written consent of the Directors shall be required to adopt a bylaw amendment

Section 5. Governing Law.

In all matters not specified in these bylaws, or in the event these bylaws shall not comply with applicable law, the California Nonprofit Benefit Corporation Law as then in effect shall apply

CERTIFICATE OF SECRETARY

I, the undersigned, certify that I am presently the duly elected and acting Secretary of Animal Legal Defense Fund, a California non-profit public benefit corporation, and the above Bylaws, consisting of seventeen (17) pages, are the Bylaws of this corporation as adopted and amended by the Board of Directors at a duly called and noticed meeting at which a quorum was at all times present on May 6, 2014.

DATED: May 6, 2014

Susann MacLachlan, Secretary