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**Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation**

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

For calendar year 2013, or tax year beginning , 2013, and ending

The Ross McKee Foundation
1111 Alvarado Avenue Apt #219
Davis, CA 95616

A Employer identification number
94-1450203

B Telephone number (see the instructions)
530-758-2299 219-2460

C If exemption application is pending, check here ▶ ☐

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply

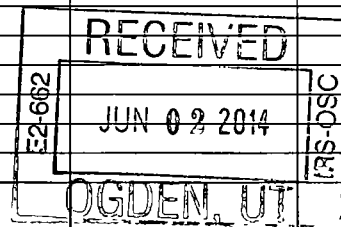
| | |
|---|--|
| <input type="checkbox"/> Initial return | <input type="checkbox"/> Initial return of a former public charity |
| <input type="checkbox"/> Final return | <input type="checkbox"/> Amended return |
| <input type="checkbox"/> Address change | <input type="checkbox"/> Name change |

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)
\$ 1,119,474.

J Accounting method ☐ Cash ☒ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions)) | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--|--|------------------------------------|---------------------------|-------------------------|---|
| REVENUE | 1 Contributions, gifts, grants, etc., received (att sch) | 105. | | | |
| | 2 Ck <input checked="" type="checkbox"/> if the foundn is not req to att Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | 1. | 1. | N/A | |
| | 4 Dividends and interest from securities | 27,379. | 27,379. | | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain/(loss) from sale of assets not on line 10 | 61,802. | | | |
| | b Gross sales price for all assets on line 6a | 509,649. | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 61,802. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| b Less Cost of goods sold | | | | | |
| c Gross profit/(loss) (att sch) | | | | | |
| 11 Other income (attach schedule) | | | | | |
| See Statement 1 | | 475. | | | |
| 12 Total. Add lines 1 through 11 | | 89,762. | 89,182. | | |
| 13 Compensation of officers, directors, trustees, etc. | | 24,000. | | | 3,000. |
| 14 Other employee salaries and wages | | | | | |
| 15 Pension plans, employee benefits | | | | | |
| 16a Legal fees (attach schedule) | | | | | |
| b Accounting fees (attach sch) | See St 2 | 9,403. | | | |
| c Other prof fees (attach sch) | | | | | |
| 17 Interest | | | | | |
| 18 Taxes (attach schedule)(see instrs) | See Stm 3 | 3,103. | | | 385. |
| 19 Depreciation (attach sch) and depletion | | | | | |
| 20 Occupancy | | | | | |
| 21 Travel, conferences, and meetings | | | | | |
| 22 Printing and publications | | 80. | | | 80. |
| 23 Other expenses (attach schedule) | | | | | |
| See Statement 4 | | 22,343. | 5,960. | | 10,014. |
| 24 Total operating and administrative expenses. Add lines 13 through 23 | | 58,929. | 5,960. | | 13,479. |
| 25 Contributions, gifts, grants paid Part XV | | 94,415. | | | 94,415. |
| 26 Total expenses and disbursements. Add lines 24 and 25 | | 153,344. | 5,960. | | 107,894. |
| 27 Subtract line 26 from line 12: | | | | | |
| a Excess of revenue over expenses and disbursements | | -63,582. | | | |
| b Net investment income (if negative, enter -0-) | | | 83,222. | | |
| c Adjusted net income (if negative, enter -0-) | | | | | |



| Part II Balance Sheets | | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) | | | Beginning of year | End of year | |
|-----------------------------|--|---|--|-----------------------|-------------------|-------------|--|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value | | | |
| ASSETS | 1 | Cash – non-interest-bearing | 27,201. | 17,604. | 17,604. | | |
| | 2 | Savings and temporary cash investments | 118,265. | 65,752. | 65,752. | | |
| | 3 | Accounts receivable | | | | | |
| | | Less allowance for doubtful accounts | | | | | |
| | 4 | Pledges receivable | | | | | |
| | | Less allowance for doubtful accounts | | | | | |
| | 5 | Grants receivable | | | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | | | |
| | 7 | Other notes and loans receivable (attach sch) | | | | | |
| | | Less allowance for doubtful accounts | | | | | |
| | 8 | Inventories for sale or use | | | | | |
| | 9 | Prepaid expenses and deferred charges | | | | | |
| | 10a | Investments – U S and state government obligations (attach schedule) | | | | | |
| | b | Investments – corporate stock (attach schedule) Statement 5 | 731,185. | 991,612. | 991,612. | | |
| | c | Investments – corporate bonds (attach schedule) | 68,026. | | | | |
| | LIABILITIES | 11 | Investments – land, buildings, and equipment basis | | | | |
| | | Less accumulated depreciation (attach schedule) | | | | | |
| 12 | | Investments – mortgage loans | | | | | |
| 13 | | Investments – other (attach schedule) Statement 6 | 88,951. | 44,506. | 44,506. | | |
| 14 | | Land, buildings, and equipment basis | | | | | |
| | | Less accumulated depreciation (attach schedule) | | | | | |
| 15 | | Other assets (describe) | | | | | |
| 16 | | Total assets (to be completed by all filers – see the instructions Also, see page 1, item I) | 1,033,628. | 1,119,474. | 1,119,474. | | |
| LIABILITIES | 17 | Accounts payable and accrued expenses | | | | | |
| | 18 | Grants payable | | | | | |
| | 19 | Deferred revenue | | | | | |
| | 20 | Loans from officers, directors, trustees, & other disqualified persons | | | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | | | |
| | 22 | Other liabilities (describe See Statement 7) | 467. | 1,524. | | | |
| | 23 | Total liabilities (add lines 17 through 22) | 467. | 1,524. | | | |
| NET ASSETS OR FUND BALANCES | Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input checked="" type="checkbox"/> | | | | | | |
| | 24 | Unrestricted | 1,033,161. | 1,117,950. | | | |
| | 25 | Temporarily restricted | | | | | |
| | 26 | Permanently restricted | | | | | |
| | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/> | | | | | | |
| | 27 | Capital stock, trust principal, or current funds | | | | | |
| | 28 | Paid-in or capital surplus, or land, building, and equipment fund | | | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | | | | | |
| | 30 | Total net assets or fund balances (see instructions) | 1,033,161. | 1,117,950. | | | |
| | 31 | Total liabilities and net assets/fund balances (see instructions) | 1,033,628. | 1,119,474. | | | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|--|---|------------|
| 1 | Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 1,033,161. |
| 2 | Enter amount from Part I, line 27a | 2 | -63,582. |
| 3 | Other increases not included in line 2 (itemize) See Statement 8 | 3 | 148,371. |
| 4 | Add lines 1, 2, and 3 | 4 | 1,117,950. |
| 5 | Decreases not included in line 2 (itemize) | 5 | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30 | 6 | 1,117,950. |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company) | | (b) How acquired P — Purchase D — Donation | (c) Date acquired (month, day, year) | (d) Date sold (month, day, year) |
|--|--|--|---|-------------------------------------|
| 1 a See Statement 9 | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h)) |
|---|--------------------------------------|---|---|
| (i) Fair Market Value as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of column (i) over column (j), if any | |
| a | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| | | | |
|---|---|---|---------|
| 2 Capital gain net income or (net capital loss) | — [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7] — | 2 | 61,802. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) | | | |
| If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 | — [] — | 3 | 5,285. |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

| 1 Enter the appropriate amount in each column for each year, see the instructions before making any entries | | | |
|---|---------------------------------------|--|--|
| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (column (b) divided by column (c)) |
| 2012 | 100,668. | 1,039,084. | 0.096881 |
| 2011 | 105,998. | 1,062,734. | 0.099741 |
| 2010 | | | |
| 2009 | | | |
| 2008 | | | |

| | | |
|--|---|------------|
| 2 Total of line 1, column (d) | 2 | 0.196622 |
| 3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.098311 |
| 4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5 | 4 | 1,040,721. |
| 5 Multiply line 4 by line 3 | 5 | 102,314. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 832. |
| 7 Add lines 5 and 6 | 7 | 103,146. |
| 8 Enter qualifying distributions from Part XII, line 4 | 8 | 107,894. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

| | | | |
|--|-----|--------|------|
| 1 a. Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instrs) | | | |
| b. Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b | | 1 | 832. |
| c. All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b) | | | |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 2 | 0. |
| 3 Add lines 1 and 2 | | 3 | 832. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 4 | 0. |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | | 5 | 832. |
| 6 Credits/Payments | | | |
| a. 2013 estimated tax pmts and 2012 overpayment credited to 2013 | 6 a | | |
| b. Exempt foreign organizations – tax withheld at source | 6 b | | |
| c. Tax paid with application for extension of time to file (Form 8868) | 6 c | 1,800. | |
| d. Backup withholding erroneously withheld | 6 d | | |
| 7 Total credits and payments. Add lines 6a through 6d | 7 | 1,800. | |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached | 8 | 19. | |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | 9 | 0. | |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 | 949. | |
| 11 Enter the amount of line 10 to be credited to 2014 estimated tax | 11 | 109. | |

Part VII-A Statements Regarding Activities

| | Yes | No |
|--|-----|----|
| 1 a. During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | | X |
| b. Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i> | | X |
| c. Did the foundation file Form 1120-POL for this year? | | X |
| d. Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0. | | |
| e. Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0. | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i> | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i> | | X |
| 4 a. Did the foundation have unrelated business gross income of \$1,000 or more during the year? | | X |
| b. If 'Yes,' has it filed a tax return on Form 990-T for this year? | N/A | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i> | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | | X |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i> | X | |
| 8 a. Enter the states to which the foundation reports or with which it is registered (see instructions) CA | | |
| b. If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i> | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i> | | X |
| 10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i> | | X |

BAA

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Part VII-A Statements Regarding Activities (continued)

| | | | | |
|----|--|----|---|-----|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>http://rossmckeefoundation.org/</u> | 13 | X | |
| 14 | The books are in care of <u>John Cron</u> Telephone no <u>530-758-2299</u> Located at <u>425 Market Street 22nd Floor San Francisco CA</u> ZIP + 4 <u>94105</u> | | | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 -- Check here and enter the amount of tax-exempt interest received or accrued during the year <u>N/A</u> | 15 | | N/A |
| 16 | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country | 16 | | X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

| File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies. | | Yes | No |
|---|--|-----|-----|
| 1 a | During the year did the foundation (either directly or indirectly) | | |
| (1) | Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (2) | Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (3) | Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (4) | Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (5) | Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (6) | Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b | If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/> | 1 b | N/A |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? | 1 c | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | |
| a | At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u> | | |
| b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement -- see instructions) | 2 b | N/A |
| c | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u> | | |
| 3 a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b | If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) | 3 b | N/A |
| 4 a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4 a | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? | 4 b | X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a.** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b X

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7 b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| See Statement 10 | | 24,000. | 0. | 0. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| None | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|--|---------------------|------------------|
| None | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| Total number of others receiving over \$50,000 for professional services | | 0 |

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

| | Expenses |
|-------|----------|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|--|--------|
| 1 N/A | |
| 2 | |
| All other program-related investments See instructions | |
| 3 | |
| Total. Add lines 1 through 3 | 0. |

BAA

Form 990-PF (2013)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|-----|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes | | |
| a | Average monthly fair market value of securities | 1 a | 975,118. |
| b | Average of monthly cash balances | 1 b | 81,452. |
| c | Fair market value of all other assets (see instructions) | 1 c | |
| d | Total (add lines 1a, b, and c) | 1 d | 1,056,570. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1 e | 0. |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 1,056,570. |
| 4 | Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions) | 4 | 15,849. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 1,040,721. |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 52,036. |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

| | | | |
|-----|---|-----|---------|
| 1 | Minimum investment return from Part X, line 6 | 1 | 52,036. |
| 2 a | Tax on investment income for 2013 from Part VI, line 5 | 2 a | 832. |
| b | Income tax for 2013 (This does not include the tax from Part VI) | 2 b | |
| c | Add lines 2a and 2b | 2 c | 832. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 51,204. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | |
| 5 | Add lines 3 and 4 | 5 | 51,204. |
| 6 | Deduction from distributable amount (see instructions) | 6 | |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 51,204. |

Part XIII Qualifying Distributions (see instructions)

| | | | |
|---|--|-----|----------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes | | |
| a | Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26 | 1 a | 107,894. |
| b | Program-related investments — total from Part IX-B | 1 b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required) | 3 a | |
| b | Cash distribution test (attach the required schedule) | 3 b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 107,894. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | 5 | 832. |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 107,062. |

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

BAA

Form 990-PF (2013)

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2012 | (c) 2012 | (d) 2013 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2013 from Part XI, line 7 | | | | 51,204. |
| 2 Undistributed income, if any, as of the end of 2013. | | | | |
| a Enter amount for 2012 only | | | 0. | |
| b Total for prior years. 20 __, 20 __, 20 __ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2013 | | | | |
| a From 2008 | | | | |
| b From 2009 | | | | |
| c From 2010 | | | | |
| d From 2011 | | | | 53,748. |
| e From 2012 | | | | 50,464. |
| f Total of lines 3a through e | 104,212. | | | |
| 4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 107,894. | | | | |
| a Applied to 2012, but not more than line 2a | | | 0. | |
| b Applied to undistributed income of prior years (Election required – see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required – see instructions) | 0. | | | |
| d Applied to 2013 distributable amount | | | | 51,204. |
| e Remaining amount distributed out of corpus | 56,690. | | | |
| 5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).) | 0. | | | 0. |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 160,902. | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b Taxable amount – see instructions | | 0. | | |
| e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount – see instructions | | | 0. | |
| f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 | | | | 0. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) | 0. | | | |
| 8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) | 0. | | | |
| 9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a | 160,902. | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2009 | | | | |
| b Excess from 2010 | | | | |
| c Excess from 2011 | | | | 53,748. |
| d Excess from 2012 | | | | 50,464. |
| e Excess from 2013 | | | | 56,690. |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a. If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

| Tax year | Prior 3 years | | | (e) Total |
|--|---------------|----------|----------|-----------|
| (a) 2013 | (b) 2012 | (c) 2011 | (d) 2010 | |
| b 85% of line 2a | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | |
| a 'Assets' alternative test — enter | | | | |
| (1) Value of all assets | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | |
| b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | |
| c 'Support' alternative test — enter | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | |
| (3) Largest amount of support from an exempt organization | | | | |
| (4) Gross investment income | | | | |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

See Statement 11

b The form in which applications should be submitted and information and materials they should include

See Statement for Line 2a

c Any submission deadlines.

See Statement for Line 2a

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

See Statement for Line 2a

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|--|--------------------------------------|-------------------------------------|---------|
| <i>a Paid during the year</i> See Statement 12 | | | | |
| Total | | | ▶ 3 a | 94,415. |
| <i>b Approved for future payment</i> | | | | |
| Total | | | ▶ 3 b | |

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

| Enter gross amounts unless otherwise indicated | | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions) |
|--|--|---------------------------|-------------------------------|--------------------------------------|---------|--|
| | (a) Business code | (b) Amount | (c) Exclu- sion code | (d) Amount | | |
| 1 | Program service revenue | | | | | |
| a | Piano competition app.fee | | | 1 | 475. | |
| b | | | | | | |
| c | | | | | | |
| d | | | | | | |
| e | | | | | | |
| f | | | | | | |
| g | Fees and contracts from government agencies | | | | | |
| 2 | Membership dues and assessments | | | | | |
| 3 | Interest on savings and temporary cash investments | | | 14 | 1. | |
| 4 | Dividends and interest from securities | | | 14 | 27,379. | |
| 5 | Net rental income or (loss) from real estate | | | | | |
| a | Debt-financed property | | | | | |
| b | Not debt-financed property | | | | | |
| 6 | Net rental income or (loss) from personal property | | | | | |
| 7 | Other investment income | | | | | |
| 8 | Gain or (loss) from sales of assets other than inventory | | | 18 | 61,802. | |
| 9 | Net income or (loss) from special events | | | | | |
| 10 | Gross profit or (loss) from sales of inventory | | | | | |
| 11 | Other revenue | | | | | |
| a | | | | | | |
| b | | | | | | |
| c | | | | | | |
| d | | | | | | |
| e | | | | | | |
| 12 | Subtotal Add columns (b), (d), and (e) | | | | 89,657. | |
| 13 | Total. Add line 12, columns (b), (d), and (e) | | | | 89,657. | |

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

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Statement 1
Form 990-PF, Part I, Line 11
Other Income

| | (a) Revenue per Books | (b) Net Investment Income | (c) Adjusted Net Income |
|---------------------------|-----------------------------|---------------------------------|-------------------------------|
| Piano competition app.fee | \$ 475. | | |
| Total | <u>\$ 475.</u> | <u>\$ 0.</u> | <u>\$ 0.</u> |

Statement 2
Form 990-PF, Part I, Line 16b
Accounting Fees

| | (a) Expenses per Books | (b) Net Investment Income | (c) Adjusted Net Income | (d) Charitable Purposes |
|---------------------------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| Acctg fees - tax preparation, reports | \$ 9,403. | | | |
| Total | <u>\$ 9,403.</u> | <u>\$ 0.</u> | <u></u> | <u>\$ 0.</u> |

Statement 3
Form 990-PF, Part I, Line 18
Taxes

| | (a) Expenses per Books | (b) Net Investment Income | (c) Adjusted Net Income | (d) Charitable Purposes |
|---------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| Filing fees | \$ 25. | | | |
| Payroll taxes | 3,078. | | | \$ 385. |
| Total | <u>\$ 3,103.</u> | <u>\$ 0.</u> | <u></u> | <u>\$ 385.</u> |

Statement 4
Form 990-PF, Part I, Line 23
Other Expenses

| | (a) Expenses per Books | (b) Net Investment Income | (c) Adjusted Net Income | (d) Charitable Purposes |
|--|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| Answering service/mail box service | \$ 2,500. | | | \$ 1,250. |
| Bank charges | 74. | \$ 74. | | |
| Executive director's expenses reimbursed | 1,007. | | | 503. |
| Foreign taxes withholding on dividends | 1,654. | 1,654. | | |
| Instrument & hall rental-performance | 300. | | | 300. |
| Insurance | 2,545. | | | |
| Investment fees-managed accounts | 4,232. | 4,232. | | |
| Office expense | 270. | | | |

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Statement 4 (continued)
Form 990-PF, Part I, Line 23
Other Expenses

| | (a) Expenses per Books | (b) Net Investment Income | (c) Adjusted Net Income | (d) Charitable Purposes |
|--|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| Piano perform. cmptn-judges' honoraria | \$ 1,785. | | | \$ 1,785. |
| Piano performance competition awards | 6,000. | | | 6,000. |
| Postage and delivery | 13. | | | 7. |
| Storage facility | 1,626. | | | |
| Telephone expenses | 337. | | | 169. |
| Total | \$ 22,343. | \$ 5,960. | | \$ 10,014. |

Statement 5
Form 990-PF, Part II, Line 10b
Investments - Corporate Stocks

| Corporate Stocks | Valuation Method | Book Value | Fair Market Value |
|-------------------------------------|---------------------|---------------|----------------------|
| Anheuser Busch Spon ADR | Mkt Val | \$ 29,277. | \$ 29,277. |
| Apple Inc | Mkt Val | 28,051. | 28,051. |
| Bank of NY Mellon | Mkt Val | 49,790. | 49,790. |
| Becton Dickinson & Co | Mkt Val | 0. | 0. |
| Berkshire Hathaway B New | Mkt Val | 35,568. | 35,568. |
| Chevron Corporation | Mkt Val | 24,982. | 24,982. |
| China Mobile Ltd ADR | Mkt Val | 0. | 0. |
| Cisco Systems Inc | Mkt Val | 24,673. | 24,673. |
| Citigroup Inc New | Mkt Val | 28,661. | 28,661. |
| Conocophillips | Mkt Val | 19,429. | 19,429. |
| Devon Energy Cp New | Mkt Val | 23,201. | 23,201. |
| Diageo PLC New ADR | Mkt Val | 13,242. | 13,242. |
| Exxon Mobil Corporation | Mkt Val | 19,127. | 19,127. |
| Fedex Corporation | Mkt Val | 17,971. | 17,971. |
| Fiserv Inc | Mkt Val | 29,525. | 29,525. |
| Franklin Resources Inc | Mkt Val | 10,103. | 10,103. |
| General Electric Company | Mkt Val | 40,644. | 40,644. |
| General Motors Co | Mkt Val | 30,652. | 30,652. |
| Google Inc Class A | Mkt Val | 28,018. | 28,018. |
| Intel Corp | Mkt Val | 30,497. | 30,497. |
| Johnson & Johnson | Mkt Val | 29,767. | 29,767. |
| Lowe's Companies Inc | Mkt Val | 0. | 0. |
| Medtronic Inc | Mkt Val | 24,391. | 24,391. |
| Microsoft Corp | Mkt Val | 30,863. | 30,863. |
| Paychex Inc | Mkt Val | 14,797. | 14,797. |
| Pepsico Incorporated | Mkt Val | 26,956. | 26,956. |
| Procter & Gamble | Mkt Val | 0. | 0. |
| Teva Pharmaceutical Industries | Mkt Val | 28,056. | 28,056. |
| The Charles Schwab Corp | Mkt Val | 0. | 0. |
| U S Bancorp Del New | Mkt Val | 23,230. | 23,230. |
| United Parcel Service B | Mkt Val | 15,762. | 15,762. |
| United Technologies Corp | Mkt Val | 17,070. | 17,070. |
| Wells Fargo & Co New | Mkt Val | 23,835. | 23,835. |
| I Shares Core Total ETF | Mkt Val | 0. | 0. |
| Ishares Iboxx Investors Grade Bd Fd | Mkt Val | 0. | 0. |
| IBI Group Inc. (Andrieu) | Mkt Val | 0. | 0. |

Statement 5 (continued)
Form 990-PF, Part II, Line 10b
Investments - Corporate Stocks

| <u>Corporate Stocks</u> | <u>Valuation Method</u> | <u>Book Value</u> | <u>Fair Market Value</u> |
|--------------------------------------|-----------------------------|-----------------------|------------------------------|
| Just Energy Group Inc (Andrieu) | Mkt Val | \$ 32,220. | \$ 32,220. |
| Lightstream Res LTD F (Andrieu) | Mkt Val | 0. | 0. |
| Parallel Energy Trst F (Andrieu) | Mkt Val | 9,418. | 9,418. |
| Prospect Capital Corp (Andrieu) | Mkt Val | 25,200. | 25,200. |
| American International Group New | Mkt Val | 22,973. | 22,973. |
| Bank of America Corp | Mkt Val | 24,912. | 24,912. |
| Coca Cola Company | Mkt Val | 28,917. | 28,917. |
| Hospira Corp | Mkt Val | 18,576. | 18,576. |
| National Oilwell Varco | Mkt Val | 21,871. | 21,871. |
| Northrop Grumman Corp | Mkt Val | 22,922. | 22,922. |
| Parker Hannifin Corp | Mkt Val | 22,512. | 22,512. |
| Qualcomm Inc | Mkt Val | 27,844. | 27,844. |
| Whiting USA Trust (Andrieu) 12/31/13 | Mkt Val | 16,109. | 16,109. |
| | Total | \$ 991,612. | \$ 991,612. |

Statement 6
Form 990-PF, Part II, Line 13
Investments - Other

| <u>Other Publicly Traded Securities</u> | <u>Valuation Method</u> | <u>Book Value</u> | <u>Fair Market Value</u> |
|---|-----------------------------|-----------------------|------------------------------|
| Bailard Real Estate Investment Trust I | Mkt Val | \$ 35,283. | \$ 35,283. |
| Armour Residential REIT (Andrieu) | Mkt Val | 9,223. | 9,223. |
| | Total | \$ 44,506. | \$ 44,506. |

Statement 7
Form 990-PF, Part II, Line 22
Other Liabilities

| | | |
|-------------------------|-------|-----------|
| Accrued Payroll & Taxes | | \$ 1,524. |
| | Total | \$ 1,524. |

Statement 8
Form 990-PF, Part III, Line 3
Other Increases

| | | |
|---|-------|-------------|
| Net Unrealized Gains or Losses on Investments | | \$ 148,371. |
| | Total | \$ 148,371. |

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Statement 9
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

| Item | (a) Description | (b) How Acquired | (c) Date Acquired | (d) Date Sold |
|------|------------------------------|---------------------|----------------------|------------------|
| 1 | 775 sh Charles Schwab | Purchased | 12/05/2012 | 2/25/2013 |
| 2 | 350 sh Lowes Companies | Purchased | 3/01/2010 | 3/07/2013 |
| 3 | 25 sh Anheuser Busch | Purchased | 4/04/2011 | 3/07/2013 |
| 4 | 25 sh Diageo PLC | Purchased | 2/06/2009 | 3/07/2013 |
| 5 | 250 sh Cisco | Purchased | Various | 3/07/2013 |
| 6 | 5 sh Google Inc | Purchased | 8/09/2012 | 3/07/2013 |
| 7 | 155 sh IShares Tr | Purchased | Various | 3/18/2013 |
| 8 | 160 sh Ishares Iboxx | Purchased | Various | 3/18/2013 |
| 9 | 165 sh Ishares Tr | Purchased | 4/04/2011 | 3/18/2013 |
| 10 | 265 sh IShares Core | Purchased | Various | 3/18/2013 |
| 11 | 300 sh IShares Tr | Purchased | Various | 3/18/2013 |
| 12 | 35 sh IShares IBoxx | Purchased | 4/04/2011 | 3/18/2013 |
| 13 | 50 sh Lowes Company | Purchased | 3/01/2010 | 4/04/2013 |
| 14 | 150 sh Lowes Company Inc | Purchased | 3/19/2013 | 4/04/2013 |
| 15 | 100 sh Wells Fargo New | Purchased | Various | 4/09/2013 |
| 16 | 150 sh Wells Fargo New | Purchased | 1/07/2011 | 4/09/2013 |
| 17 | 75 sh US Bancorp | Purchased | 3/18/2013 | 4/09/2013 |
| 18 | 75 sh US Bancorp | Purchased | 3/01/2010 | 4/09/2013 |
| 19 | 25 sh Franklin Resources | Purchased | 10/04/2010 | 4/18/2013 |
| 20 | 25 sh Franklin Resources | Purchased | 3/19/2013 | 4/18/2013 |
| 21 | 50 sh Exxon Mobil | Purchased | 3/18/2013 | 5/15/2013 |
| 22 | 25 sh Procter & Gamble | Purchased | 3/18/2013 | 5/22/2013 |
| 23 | 193 sh Procter & Gamble | Purchased | 1/21/2009 | 5/22/2013 |
| 24 | 100 sh General Motors Inc | Purchased | 6/18/2013 | 6/18/2013 |
| 25 | 100 sh General Motors Inc | Purchased | 9/19/2011 | 6/18/2013 |
| 26 | 225 sh Cisco Systems | Purchased | 3/19/2013 | 6/18/2013 |
| 27 | 225 sh Intel Corp Inc | Purchased | Various | 6/18/2013 |
| 28 | 25 sh Berkshire Hathaway | Purchased | 6/23/2011 | 6/18/2013 |
| 29 | 25 sh Berkshire Hathaway | Purchased | 3/18/2013 | 6/18/2013 |
| 30 | 25 sh Chevron | Purchased | 3/19/2013 | 6/18/2013 |
| 31 | 250 sh Microsoft Corp | Purchased | Various | 6/18/2013 |
| 32 | 50 sh Medtronic Inc | Purchased | 2/05/2009 | 6/18/2013 |
| 33 | 75 sh Medtronic Inc | Purchased | Various | 6/18/2013 |
| 34 | 75 sh Paychex Inc | Purchased | 3/18/2013 | 6/18/2013 |
| 35 | 100 sh Bank of NY Mellon | Purchased | Various | 6/18/2013 |
| 36 | 275 sh Lowes Companies Inc | Purchased | 3/01/2010 | 6/21/2013 |
| 37 | 175 sh Becton Dickinson | Purchased | 1/18/2011 | 7/09/2013 |
| 38 | 400 sh Lowes Companies | Purchased | Various | 7/09/2013 |
| 39 | 100 sh China Mobile | Purchased | 3/01/2010 | 9/10/2013 |
| 40 | 100 sh Cisco | Purchased | Various | 9/10/2013 |
| 41 | 100 sh Microsoft | Purchased | Various | 9/10/2013 |
| 42 | 100 sh Paychex | Purchased | 1/01/2013 | 9/10/2013 |
| 43 | 200 sh Cisco | Purchased | 2/03/2009 | 9/10/2013 |
| 44 | 25 sh Exxon Mobil Corp | Purchased | 3/19/2013 | 9/10/2013 |
| 45 | 50 sh Franklin Resources Ben | Purchased | 10/04/2010 | 9/10/2013 |
| 46 | 50 sh Microsoft | Purchased | 2/03/2009 | 9/10/2013 |
| 47 | 50 sh Paychex | Purchased | 2/10/2009 | 9/10/2013 |
| 48 | 75 sh China Mobile | Purchased | 3/18/2013 | 9/10/2013 |
| 49 | 75 sh Exxon Mobil Corp | Purchased | 1/21/2009 | 9/10/2013 |
| 50 | 300 sh China Mobile | Purchased | 3/01/2010 | 10/21/2013 |
| 51 | 100 sh Medtronic | Purchased | 2/05/2009 | 12/03/2013 |
| 52 | 225 sh Paychex Inc | Purchased | 2/10/2009 | 12/03/2013 |
| 53 | 50 sh Medtronic | Purchased | 7/02/2013 | 12/03/2013 |
| 54 | 10 sh Apple | Purchased | 1/04/2013 | 12/13/2013 |
| 55 | 25 sh Fedex Corporation | Purchased | 2/17/2012 | 12/13/2013 |
| 56 | 25 sh Fedex Inc | Purchased | 7/02/2013 | 12/13/2013 |

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Statement 9 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

| Item | (a) Description | (b) How Acquired | (c) Date Acquired | (d) Date Sold |
|------|-----------------------------|---------------------|----------------------|------------------|
| 57 | 25 sh Fiserv Inc | Purchased | 3/01/2010 | 12/13/2013 |
| 58 | 25 sh Fiserv Inc | Purchased | 3/19/2013 | 12/13/2013 |
| 59 | 5 sh Google | Purchased | 3/19/2013 | 12/13/2013 |
| 60 | 300 sh IBI Group Inc | Purchased | 9/25/2012 | 3/18/2013 |
| 61 | 1200 sh IBI Group Inc | Purchased | 9/25/2012 | 3/18/2013 |
| 62 | 800 sh Eagle Energy Trust | Purchased | 3/13/2013 | 11/21/2013 |
| 63 | 125 sh Full Circle Cap Corp | Purchased | 7/22/2013 | 11/21/2013 |
| 64 | 2200 sh Eagle Energy Trust | Purchased | 3/13/2013 | 11/22/2013 |
| 65 | 14 sh Lightstream Resources | Purchased | 3/13/2013 | 12/31/2013 |
| 66 | 432 sh Bailard | Purchased | 1/01/2009 | 3/31/2013 |
| 67 | 280 sh Bailard | Purchased | 1/01/2009 | 6/30/2013 |
| 68 | 851 sh Bailard | Purchased | 1/01/2009 | 9/30/2013 |
| 69 | 490 sh Bailard | Purchased | 1/01/2009 | 12/31/2013 |

| Item | (e) Gross Sales | (f) Deprec. Allowed | (g) Cost Basis | (h) Gain (Loss) | (i) FMV 12/31/69 | (j) Adj. Bas. 12/31/69 | (k) Excess (i) - (j) | (l) Gain (Loss) |
|------|-----------------------|---------------------------|----------------------|-----------------------|------------------------|------------------------------|----------------------------|-----------------------|
| 1 | 12,860. | | 9,906. | 2,954. | | | | \$ 2,954. |
| 2 | 13,526. | | 8,389. | 5,137. | | | | 5,137. |
| 3 | 2,378. | | 1,490. | 888. | | | | 888. |
| 4 | 2,993. | | 1,396. | 1,597. | | | | 1,597. |
| 5 | 5,451. | | 4,207. | 1,244. | | | | 1,244. |
| 6 | 4,157. | | 3,215. | 942. | | | | 942. |
| 7 | 16,346. | | 16,179. | 167. | | | | 167. |
| 8 | 19,109. | | 19,072. | 37. | | | | 37. |
| 9 | 19,930. | | 18,061. | 1,869. | | | | 1,869. |
| 10 | 29,239. | | 29,689. | -450. | | | | -450. |
| 11 | 31,638. | | 31,502. | 136. | | | | 136. |
| 12 | 4,180. | | 3,808. | 372. | | | | 372. |
| 13 | 1,922. | | 1,198. | 724. | | | | 724. |
| 14 | 5,766. | | 5,746. | 20. | | | | 20. |
| 15 | 3,751. | | 3,772. | -21. | | | | -21. |
| 16 | 5,627. | | 4,681. | 946. | | | | 946. |
| 17 | 2,531. | | 2,564. | -33. | | | | -33. |
| 18 | 2,531. | | 1,837. | 694. | | | | 694. |
| 19 | 3,637. | | 2,658. | 979. | | | | 979. |
| 20 | 3,637. | | 3,714. | -77. | | | | -77. |
| 21 | 4,553. | | 4,445. | 108. | | | | 108. |
| 22 | 1,974. | | 1,912. | 62. | | | | 62. |
| 23 | 15,243. | | 5,726. | 9,517. | | | | 9,517. |
| 24 | 3,394. | | 2,306. | 1,088. | | | | 1,088. |
| 25 | 3,394. | | 2,814. | 580. | | | | 580. |
| 26 | 5,600. | | 4,841. | 759. | | | | 759. |
| 27 | 5,722. | | 4,662. | 1,060. | | | | 1,060. |
| 28 | 2,871. | | 1,871. | 1,000. | | | | 1,000. |
| 29 | 2,871. | | 2,560. | 311. | | | | 311. |
| 30 | 3,031. | | 2,991. | 40. | | | | 40. |
| 31 | 8,755. | | 6,874. | 1,881. | | | | 1,881. |
| 32 | 2,643. | | 1,704. | 939. | | | | 939. |
| 33 | 3,964. | | 3,389. | 575. | | | | 575. |
| 34 | 2,828. | | 2,533. | 295. | | | | 295. |
| 35 | 2,971. | | 2,832. | 139. | | | | 139. |
| 36 | 10,847. | | 6,591. | 4,256. | | | | 4,256. |
| 37 | 17,072. | | 12,936. | 4,136. | | | | 4,136. |
| 38 | 16,888. | | 8,491. | 8,397. | | | | 8,397. |

Statement 9 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

| Item | (e) Gross Sales | (f) Deprec. Allowed | (g) Cost Basis | (h) Gain (Loss) | (i) FMV 12/31/69 | (j) Adj. Bas. 12/31/69 | (k) Excess (i) - (j) | (l) Gain (Loss) |
|-------|-----------------------|---------------------------|----------------------|-----------------------|------------------------|------------------------------|----------------------------|-----------------------|
| 39 | 5,729. | | 4,944. | 785. | | | | \$ 785. |
| 40 | 2,418. | | 2,374. | 44. | | | | 44. |
| 41 | 3,223. | | 3,042. | 181. | | | | 181. |
| 42 | 3,996. | | 3,540. | 456. | | | | 456. |
| 43 | 4,835. | | 3,128. | 1,707. | | | | 1,707. |
| 44 | 2,190. | | 2,213. | -23. | | | | -23. |
| 45 | 2,374. | | 1,772. | 602. | | | | 602. |
| 46 | 1,612. | | 925. | 687. | | | | 687. |
| 47 | 1,998. | | 1,272. | 726. | | | | 726. |
| 48 | 4,297. | | 3,959. | 338. | | | | 338. |
| 49 | 6,571. | | 98. | 6,473. | | | | 6,473. |
| 50 | 16,369. | | 14,832. | 1,537. | | | | 1,537. |
| 51 | 5,728. | | 3,407. | 2,321. | | | | 2,321. |
| 52 | 9,828. | | 5,723. | 4,105. | | | | 4,105. |
| 53 | 2,864. | | 2,606. | 258. | | | | 258. |
| 54 | 5,660. | | 5,289. | 371. | | | | 371. |
| 55 | 3,488. | | 2,320. | 1,168. | | | | 1,168. |
| 56 | 3,488. | | 2,470. | 1,018. | | | | 1,018. |
| 57 | 2,768. | | 1,223. | 1,545. | | | | 1,545. |
| 58 | 2,768. | | 2,136. | 632. | | | | 632. |
| 59 | 5,378. | | 4,064. | 1,314. | | | | 1,314. |
| 60 | 2,046. | | 2,990. | -944. | | | | -944. |
| 61 | 8,186. | | 11,959. | -3,773. | | | | -3,773. |
| 62 | 6,009. | | 5,135. | 874. | | | | 874. |
| 63 | 947. | | 1,020. | -73. | | | | -73. |
| 64 | 15,479. | | 14,142. | 1,337. | | | | 1,337. |
| 65 | 13,745. | | 20,296. | -6,551. | | | | -6,551. |
| 66 | 5,631. | | 6,676. | -1,045. | | | | -1,045. |
| 67 | 26,082. | | 30,408. | -4,326. | | | | -4,326. |
| 68 | 14,908. | | 16,790. | -1,882. | | | | -1,882. |
| 69 | 13,204. | | 14,532. | -1,328. | | | | -1,328. |
| Total | | | | | | | | \$ 61,802. |

Statement 10
Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, and Key Employees

| Name and Address | Title and Average Hours Per Week Devoted | Compensation | Contri- bution to EBP & DC | Expense Account/ Other |
|--|--|--------------|----------------------------------|------------------------------|
| John Cron 425 Market Street 22nd Floor San Francisco, CA 94105 | Treasurer 10.00 | \$ 3,000. | \$ 0. | \$ 0. |
| Ray Gerba, Esq. 425 Market Street 22nd Floor San Francisco, CA 94105 | 2nd Vice Pres 3.00 | 0. | 0. | 0. |

The Ross McKee Foundation

94-1450203

Statement 10 (continued)
Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, and Key Employees

| <u>Name and Address</u> | <u>Title and Average Hours Per Week Devoted</u> | <u>Compen- sation</u> | <u>Contri- bution to EBP & DC</u> | <u>Expense Account/ Other</u> |
|--|---|---------------------------|---|---------------------------------------|
| Dr. Patricia Taylor Lee 425 Market Street 22nd Floor San Francisco, CA 94105 | Vice President 3.00 | \$ 0. | \$ 0. | \$ 0. |
| Roger S. Gross, Esq. 317 Noe Street San Francisco, CA 94114 | President 3.00 | 0. | 0. | 0. |
| Tony Angelo 425 Market Street 22nd Floor San Francisco, CA 94105 | Secretary 3.00 | 0. | 0. | 0. |
| Nicholas Pavkovic 425 Market Street 22nd Floor San Francisco, CA 94105 | Executive Dir. 10.00 | 21,000. | 0. | 0. |
| | | Total \$ 24,000. | \$ 0. | \$ 0. |

Statement 11
Form 990-PF, Part XV, Line 2a-d
Application Submission Information

Name of Grant Program:
Name: The Ross McKee Foundation
Care Of: John Cron, Treasurer
Street Address: 1111 Alvarado Avenue Apt #219
City, State, Zip Code: Davis, CA 95616
Telephone: 530-758-2299
E-Mail Address: JohnCron@aol.com
Form and Content: Written requests stating organization's intent for use of the funds.
Submission Deadlines: Requests may be submitted throughout the year.
Restrictions on Awards: Awards generally are for quality musical events or educational programs featuring piano, chamber music groups, orchestral, jazz, new music.

The Ross McKee Foundation

94-1450203

Statement 12
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

| <u>Name and Address</u> | <u>Donee Relationship</u> | <u>Found- ation Status</u> | <u>Purpose of Grant</u> | <u>Amount</u> |
|---|---------------------------|------------------------------------|---|---------------|
| Community Music Center 544 Capp St San Francisco, CA 94110 | None | PUBLIC | Education for music performance and composition. | \$ 21,250. |
| SF State Foundation 1600 Holloway Ave San Francisco, CA 94132 | None | PUBLIC | College level education for piano students. | 2,000. |
| SF Conservatory of Music 50 Oak St San Francisco, CA 94102 | None | PUBLIC | Education for music performance and composition. | 2,000. |
| Merola Opera Program 301 Van Ness Avenue San Francisco, CA 94102 | None | PUBLIC | Support coaching of student opera singers. | 5,000. |
| Noontime Concerts 660 California Street San Francisco, CA 94108 | None | PUBLIC | Support of accessible daytime concert programs. | 4,500. |
| Palo Alto Philharmonic PO Box 50490 Palo Alto, CA 94303 | None | | | 1,700. |
| San Francisco Contemporary Music Players 55 New Montgomery Street San Francisco, CA 94105 | None | PUBLIC | Support of performances of new and innovative musical programs. | 7,000. |
| Irving M. Klein Int'l String Competition c/o SFSU, 1600 Holloway Avenue San Francisco, CA 94132 | None | PUBLIC | Support of training for student musicians. | 4,000. |
| Music at Kohl Mansion 2750 Adeline Drive Burlingame, CA 94010 | None | | | 5,200. |
| California Summer Music 236 West Portal Avenue #104 San Francisco, CA 94127 | None | PUBLIC | Support of accessible concert programs for a wide variety of communities. | 3,600. |

The Ross McKee Foundation

94-1450203

Statement 12 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

| <u>Name and Address</u> | <u>Donee Relationship</u> | <u>Found- ation Status</u> | <u>Purpose of Grant</u> | <u>Amount</u> |
|---|---------------------------|------------------------------------|---|---------------|
| Rhythmic Concepts-Living Jazz 2501 Harrison Street Oakland, CA 94612 | No relationship | PUBLIC | Support of live, innovative performances of jazz music in accessible locations in the Bay Area. | \$ 15,000. |
| Berkeley Chamber Performances 6166 Buena Vista Avenue Oakland, CA 94618 | No relationship | PUBLIC | Support of chamber music performances accessible to local communities. | 1,250. |
| San Francisco Performances 500 Sutter Street Suite 710 San Francisco, CA 94102 | No relationship | PUBLIC | Support of accessible and affordable public concerts in the Bay Area by outstanding performers. | 12,500. |
| New Century Chamber Orchestra 665 3rd Street Suite 345 San Francisco, CA 94107 | No relationship | PUBLIC | Arts, culture, humanities, accessible concerts, innovative programming. | 5,000. |
| Musical Traditions-Paul Drescher Ensemble 282 Flatbush Avenue #101 Brooklyn, NY 11217 | No relationship | PUBLIC | Arts, culture, humanities, innovative new performances of opera and musical theatre. | 1,000. |
| Bay Area Rainbow Symphony 2261 Market Street Suite 178A San Francisco, CA 94114 | No relationship | PUBLIC | Support of public, accessibly priced concert event. | 665. |
| Music Sources-Ctr for Hist.Infrmd Perfrm PO Box 6882 Albany, CA 94706 | No relationship | PUBLIC | Support of historically correct performances on authentic instruments, accessible concerts. | 1,250. |

Statement 12 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

| <u>Name and Address</u> | <u>Donee Relationship</u> | <u>Found- ation Status</u> | <u>Purpose of Grant</u> | <u>Amount</u> |
|---|-------------------------------|------------------------------------|---|-------------------|
| Eva-Maria Zimmerman 754 46th Avenue San Francisco, CA 94121 | No relationship | Individ. | Underwriting of events with innovative programming and accessible concert performances. Foundation follows up on grants to individuals with reporting of expenditures and documentation and evaluation of events supported. | \$ 1,500. |
| Total | | | | \$ <u>94,415.</u> |

REQUEST FOR ABATEMENT OF LATE PAYMENT PENALTIES FOR FORM 990-PF:

The Ross McKee Foundation (the Foundation) has previously filed Form 990 until it was notified in late April 2014 after IRS audit that its status should be changed to a Private Non-Operating Foundation, required to file Form 990-PF.

The auditor requested in his April 24, 2014 report of examination (copy is available if needed) that the Form 990-PF filings start with the 2011 year. The Foundation had no control over the timing of this change directed by the auditor, and has cooperated with the request in a timely manner. Therefore the Foundation requests abatement of any late filing or late payment penalties on the filing and tax due with the 2013 Form 990-PF since the Foundation did not receive the instruction to change its status until April 2014 and therefore was not informed of any need to pay estimated tax payments for the Form 990-PF tax on investment income. The Foundation was relying on its prior status as a publicly supported organization, in good faith, until the IRS made its determination of the change in status.

Form **8868**

(Rev. January 2014)

Department of the Treasury
Internal Revenue Service**Application for Extension of Time To File an
Exempt Organization Return**► **File a separate application for each return.**► **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Part I: Automatic 3-Month Extension of Time. Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

| | | |
|---|---|---|
| Type or print File by the due date for filing your return. See instructions | Name of exempt organization or other filer, see instructions | Employer identification number (EIN) or |
| | The Ross McKee Foundation | 94-1450203 |
| | Number, street, and room or suite number. If a P.O. box, see instructions | Social security number (SSN) |
| | 1111 Alvarado Avenue Apt #219 | |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions | |
| | Davis, CA 95616 | |

Enter the Return code for the return that this application is for (file a separate application for each return).

04

| Application Is For | Return Code | Application Is For | Return Code |
|---|-------------|-----------------------------------|-------------|
| Form 990 or Form 990-EZ | 01 | Form 990-T (corporation) | 07 |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 4720 (individual) | 03 | Form 4720 (other than individual) | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (section 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

- The books are in the care of ► John Cron

Telephone No ► 530-758-2299

Fax No ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 2014, to file the exempt organization return for the organization named above. The extension is for the organization's return for

► ☒ calendar year 2013 or► ☐ tax year beginning _____, 20____, and ending _____, 20____

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

| | | |
|--|--------------|--------|
| 3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions | 3a \$ | 1,800. |
| b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit | 3b \$ | 1,800. |
| c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions | 3c \$ | 0. |

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions**BAA For Privacy Act and Paperwork Reduction Act Notice, see instructions.**Form **8868** (Rev. 1-2014)

FIFZ0501L 12/31/13

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

| | | Enter filer's identifying number, see instructions |
|---|---|--|
| Type or print File by the extended due date for filing your return. See instructions | Name of exempt organization or other filer, see instructions | Employer identification number (EIN) or |
| | <u>The Ross McKee Foundation</u> | <u>94-1450203</u> |
| | Number, street, and room or suite number. If a P.O. box, see instructions | Social security number (SSN) |
| | <u>A.L. Nella and Company, LLP</u> <u>1390 Market St., Suite 1004</u> | |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions | |
| | <u>San Francisco, CA 94102</u> | |

Enter the Return code for the return that this application is for (file a separate application for each return)

| Application Is For | Return Code | Application Is For | Return Code |
|---|-------------|-----------------------------------|-------------|
| Form 990 or Form 990-EZ | 01 | | |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 4720 (individual) | 03 | Form 4720 (other than individual) | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (section 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in care of John Cron
Telephone No 530-758-2299 Fax No _____
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 4 I request an additional 3-month extension of time until 11/15, 20 14
- 5 For calendar year 2013, or other tax year beginning _____, 20 _____, and ending _____, 20 _____
- 6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period
- 7 State in detail why you need the extension Taxpayer is waiting for third party verification of certain items necessary in order to file a complete return.

| | | | |
|--|-----------|----|---------------|
| 8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions | 8a | \$ | <u>1,800.</u> |
| b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868 | 8b | \$ | <u>1,800.</u> |
| c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions | 8c | \$ | <u>0.</u> |

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature BAA Title Treasurer Date _____

FIFZ0502L 12/31/13 Form 8868 (Rev 1-2014)