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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**

▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation
WILLIAM H BAUMAN & MARY L BAUMAN FOUNDATION

A Employer identification number
93-6234071

Number and street (or P O box number if mail is not delivered to street address) 7991 SW EDGEWATER DRIVE E | Room/suite

B Telephone number (see instructions)
(503) 651-1153

City or town, state or province, country, and ZIP or foreign postal code
WILSONVILLE, OR 97070

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 9,836,348

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) ________
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	249,929	249,929		
	5a	Gross rents				
	b	Net rental income or (loss) ________				
	6a	Net gain or (loss) from sale of assets not on line 10	1,245,638			
	b	Gross sales price for all assets on line 6a 4,963,252				
	7	Capital gain net income (from Part IV, line 2)		1,245,638		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	1,495,567	1,495,567		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	16,750	10,750		6,000
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	6,145	3,595		2,550
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	9,364	2,621		932
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings	638	0		638
	22	Printing and publications				
	23	Other expenses (attach schedule)	82,995	82,668		327
	24	**Total operating and administrative expenses.** Add lines 13 through 23	115,892	99,634		10,447
	25	Contributions, gifts, grants paid	550,000			550,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	665,892	99,634		560,447
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	829,675			
	b	**Net investment income** (if negative, enter -0-)		1,395,933		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	35,818	36,672	36,672
	2	Savings and temporary cash investments	673,693	359,058	359,058
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	7,232,996	8,372,713	9,264,476
	c	Investments—corporate bonds (attach schedule)	52,500	52,500	102,278
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	330,285	334,024	73,864
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	8,325,292	9,154,967	9,836,348
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	4,207,108	4,207,108	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	4,118,184	4,947,859	
	30	**Total net assets or fund balances** (see page 17 of the instructions)	8,325,292	9,154,967	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	8,325,292	9,154,967	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,325,292
2	Enter amount from Part I, line 27a	2	829,675
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	9,154,967
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	9,154,967

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,245,638
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	447,181	7,817,459	0 057203
2011	442,123	8,190,228	0 053982
2010	490,805	7,739,807	0 063413
2009	463,836	6,469,674	0 071694
2008	463,496	7,850,779	0 059038

2	**Total** of line 1, column (d).	2	0 305330
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 061066
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	8,862,750
5	Multiply line 4 by line 3.	5	541,213
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	13,959
7	Add lines 5 and 6.	7	555,172
8	Enter qualifying distributions from Part XII, line 4.	8	560,447

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	13,959
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	13,959
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	13,959
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a — 4,240		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	4,240
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	9,719
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶OR			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶PAUL SCHWINDT Telephone no ▶(503) 651-1153

Located at ▶7991 SW EDGEWATER DR E WILSONVILLE OR ZIP+4 ▶97070

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
BILL VERMILLION 7991 SW EDGEWATER DRIVE E WILSONVILLE, OR 97070	TRUSTEE 0 01	2,000	0	0
CLARENCE KNOEPFLE 7991 SW EDGEWATER DRIVE E WILSONVILLE, OR 97070	TRUSTEE 0 01	2,000	0	0
PAUL SCHWINDT 7991 SW EDGEWATER DRIVE E WILSONVILLE, OR 97070	INVEST MGR 2 00	10,750	0	0
ROD VERMILLION 7991 SW EDGEWATER DRIVE E WILSONVILLE, OR 97070	TRUSTEE 0 01	2,000	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	8,255,931
b	Average of monthly cash balances	1b	741,785
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	8,997,716
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	8,997,716
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	134,966
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	8,862,750
6	**Minimum investment return.** Enter 5% of line 5	6	443,138

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	443,138
2a	Tax on investment income for 2013 from Part VI, line 5 — 2a: 13,959		
b	Income tax for 2013 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	13,959
3	Distributable amount before adjustments Subtract line 2c from line 1	3	429,179
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	429,179
6	Deduction from distributable amount (see instructions)	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	429,179

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	560,447
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	560,447
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	13,959
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	546,488

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				429,179
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 20___ , 20___ , 20___		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008. 38,410				
b From 2009. 150,112				
c From 2010. 111,311				
d From 2011. 35,217				
e From 2012. 60,519				
f Total of lines 3a through e.	395,569			
4 Qualifying distributions for 2013 from Part XII, line 4 ► $ 560,447				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				429,179
e Remaining amount distributed out of corpus	131,268			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	526,837			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .	38,410			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	488,427			
10 Analysis of line 9				
a Excess from 2009. . . . 150,112				
b Excess from 2010. . . . 111,311				
c Excess from 2011. . . . 35,217				
d Excess from 2012. . . . 60,519				
e Excess from 2013. . . . 131,268				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				550,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	249,929	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	1,245,638	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		0		1,495,567	0

13 **Total.** Add line 12, columns (b), (d), and (e). 13 1,495,567

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2014-02-20	******	May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
BILLY M MORGAN JR				P00056990
Firm's name ▶ GEFFEN MESHER & COMPANY PC			Firm's EIN ▶ 93-1042710	
Firm's address ▶ 888 SW 5TH AVE SUITE 800 PORTLAND, OR 97204			Phone no (503) 221-0141	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
20000 SH AK STEEL HOLDING CORP	P	2013-03-13	2013-12-18
30000 SH AK STEEL HOLDING CORP	P	2013-10-17	2013-12-19
5000 SH APPLIED MATERIALS INC	P	2012-03-29	2013-01-22
2500 SH CARNIVAL CORP	P	2013-07-12	2013-08-27
4000 SH CORNING INC	P	2012-11-02	2013-10-15
3000 SH CORNING INC	P	2012-12-14	2013-10-15
4000 SH MEADWESTVACO CORP	P	2013-04-25	2013-08-01
10000 SH MICRON TECHNOLOGY INC	P	2013-04-30	2013-09-18
5000 NEWFIELD EXPLORATION CO	P	2013-04-30	2013-11-11
3000 SH POPULAR INC COM	P	2012-04-12	2013-02-07
2000 SH QUALCOMM INC	P	2013-04-26	2013-08-05
204 SH UTS MOTORS LIQUIDATION CO	P	2012-06-12	2013-03-13
6000 SH VODAFONE GROUP PLC	P	2012-12-18	2013-09-09
3000 SH ABBVIE INC	P	2009-08-03	2013-04-01
4000 SH AETNA INC NEW	P	2006-04-17	2013-06-24
1000 SH AETNA INC NEW	P	2006-09-05	2013-06-24
2000 SH AETNA INC NEW	P	2010-01-12	2013-08-27
3000 SH AETNA INC NEW	P	2010-02-01	2013-08-27
10000 SH AK STEEL HOLDING CORP	P	2010-10-15	2013-12-18
10000 SH AK STEEL HOLDING CORP	P	2011-09-21	2013-12-18
5000 CICSCO SYSTEMS INC	P	2010-11-11	2013-11-14
5000 CICSCO SYSTEMS INC	P	2011-03-09	2013-11-14
5000 CICSCO SYSTEMS INC	P	2011-06-07	2013-11-14
3000 SH CORNING INC	P	2012-03-29	2013-10-15
3000 SH ELI LILLY & CO	P	2010-11-24	2013-04-17
2000 SH ELI LILLY & CO	P	2011-02-14	2013-04-17
3000 SH EXELON CORPORATION	P	2010-03-25	2013-02-25
2000 SH EXELON CORPORATION	P	2010-09-21	2013-02-25
1730 SH MERCK & CO INC	P	2008-01-14	2013-10-15
1730 SH MERCK & CO INC	P	2008-01-25	2013-10-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
3460 SH MERCK & CO INC	P	2008-04-02	2013-10-15
3080 SH MERCK & CO INC	P	2010-04-22	2013-10-15
2000 SH PFIZER INC	P	2003-05-06	2013-03-07
2000 SH PFIZER INC	P	2004-07-22	2013-03-07
3000 SH PFIZER INC	P	2004-11-09	2013-03-07
2960 SH PFIZER INC	P	2005-12-29	2013-03-07
40 SH PFIZER INC	P	2005-12-29	2013-04-11
4960 SH PFIZER INC	P	2007-07-10	2013-04-11
40 SH PFIZER INC	P	2007-07-10	2013-11-19
6960 SH PFIZER INC	P	2008-07-14	2013-11-19
20000 SH RITE AID CORP	P	2007-10-30	2013-04-29
20000 SH RITE AID CORP	P	2007-12-31	2013-04-29
10000 SH RITE AID CORP	P	2010-01-12	2013-04-29
20000 SH RITE AID CORP	P	2010-01-12	2013-04-29
30000 SH RITE AID CORP	P	2010-03-01	2013-04-29
10236 SH RITE AID CORP	P	2010-10-27	2013-04-29
39764 SH RITE AID CORP	P	2010-10-27	2013-04-29
2000 SH TEXAS INSTRUMENTS INCORPORATED	P	2001-09-17	2013-03-11
3000 SH TEXAS INSTRUMENTS INCORPORATED	P	2006-10-27	2013-03-11
3000 SH TEXAS INSTRUMENTS INCORPORATED	P	2006-11-08	2013-03-11
OPPENHEIMER SETTLEMENT	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
124,816		71,197	53,619
216,596		124,796	91,800
63,399		61,780	1,619
91,824		89,924	1,900
57,839		47,436	10,403
43,379		38,235	5,144
150,199		138,958	11,241
172,797		92,695	80,102
149,747		109,150	40,597
84,748		57,000	27,748
132,178		123,400	8,778
5,498			5,498
199,017		152,400	46,617
122,912		69,999	52,913
249,396		152,601	96,795
62,349		36,630	25,719
127,102		64,081	63,021
190,653		89,371	101,282
62,408		136,500	-74,092
62,408		76,795	-14,387
106,012		101,700	4,312
106,012		90,294	15,718
106,012		77,750	28,262
43,379		41,637	1,742
171,356		102,568	68,788
114,237		69,198	45,039
92,548		131,089	-38,541
61,699		84,998	-23,299
80,879		45,056	35,823
80,879		34,817	46,062

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
161,758		48,840	112,918
143,992		105,358	38,634
56,399		64,709	-8,310
56,399		65,872	-9,473
84,598		85,211	-613
83,470		70,595	12,875
1,225		954	271
151,892		128,864	23,028
1,274		1,039	235
221,749		124,701	97,048
50,599		78,739	-28,140
50,599		56,071	-5,472
25,300		15,734	9,566
50,999		31,469	19,530
76,498		46,594	29,904
26,306		9,417	16,889
102,191		36,551	65,640
70,758		54,591	16,167
106,138		90,758	15,380
106,138		89,492	16,646
2,692			2,692

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			53,619
			91,800
			1,619
			1,900
			10,403
			5,144
			11,241
			80,102
			40,597
			27,748
			8,778
			5,498
			46,617
			52,913
			96,795
			25,719
			63,021
			101,282
			-74,092
			-14,387
			4,312
			15,718
			28,262
			1,742
			68,788
			45,039
			-38,541
			-23,299
			35,823
			46,062

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			112,918
			38,634
			-8,310
			-9,473
			-613
			12,875
			271
			23,028
			235
			97,048
			-28,140
			-5,472
			9,566
			19,530
			29,904
			16,889
			65,640
			16,167
			15,380
			16,646
			2,692

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BOYS & GIRLS AID SOCIETY PORTLAND OREGON 7119 SE MILWAUKIE AVE PORTLAND, OR 97202		SECTION 170(B)(1)(A)	GENERAL PROGRAM FUNDING	25,000
CASEY EYE INSTITUTE 3303 SW BOND AVE PORTLAND, OR 97239		SECTION 501(C)(3), I	GENERAL PROGRAM FUNDING	25,000
CITY TEAM MINISTRIES PORTLAND OREGON 526 SE GRAND AVE PORTLAND, OR 97214		SECTION 501(C)(3), I	GENERAL PROGRAM FUNDING	10,000
DOERNBECHER CHILDREN'S HOSPITAL PORTLAND OREGON 3181 SW SAM JACKSON PARK ROAD PORTLAND, OR 97239		SECTION 509(A)(2), I	CHILDHOOD DIABETES	10,000
DOUGY CENTER PORTLAND OREGON PO BOX 86852 PORTLAND, OR 97286		509(A)(1) & 170(B)(1	CONTINUED MINISTRY FOR GRIEVING CHILDREN	15,000
GEORGE FOX EVANGELICAL SEMINARY PORTLAND OREGON 12753 SW 68TH AVE PORTLAND, OR 97223		SECTION 170(B)(1)(A)	SEMINARY TRAINING	40,000
GEORGE FOX UNIVERSITY NEWBERG OREGON 414 N MERIDIAN STREET NEWBERG, OR 97132		SECTION 170(B)(1)(A)	STUDENT SCHOLARSHIPS	120,000
OREGON BALLET THEATRE PORTLAND OREGON 818 SE 6TH AVE PORTLAND, OR 97214		SECTION 170(B)(1)(A)	YOUTH OUTREACH PROGRAM	5,000
PACIFIC CONFERENCE OF EVANGELICAL CHURCH NA PORTLAND OREGON 18121 SE RIVER RD MILWAUKIE, OR 97267		SECTION 170(B)(1)(A)	SCHOOL OF MINISTRY	137,000
PORTLAND ART MUSEUM PORTLAND OREGON 1219 SW PARK AVE PORTLAND, OR 97205		SECTION 170(B)(1)(A)	YOUTH EDUCATION	10,000
ROSEMARY ANDERSON SCHOOL PORTLAND OREGON 717 N KILLINGSWORTH CT PORTLAND, OR 97217		SECTION 501(C)(3), I	GENERAL PROGRAM FUNDING	15,000
SALVATION ARMY PORTLAND OREGON 8495 SE MONTEREY AVE HAPPY VALLEY, OR 97086		SECTION 501(C)(3), I	CONTINUED EFFORTS	30,000
TRILLIUM FAMILY SERVICES PORTLAND OREGON 3415 SE POWELL BLVD PORTLAND, OR 97202		SECTION 501(C)(3), I	GENERAL PROGRAM FUNDING	80,000
WARNER PACIFIC COLLEGE 2219 SE 68TH AVE PORTLAND, OR 97215		SECTION 170(B)(1)(A)	STUDENT SCHOLARSHIPS AND ACADEMIC PROGRAMS	20,000
OHSU CENTER FOR WOMENS HEALTH 3181 SW SAM JACKSON PARK ROAD PORTLAND, OR 97239		SECTION 509(A)(2), I	GENERAL PROGRAM FUNDING	8,000
Total . . . . ▶ **3a**				550,000

TY 2013 Accounting Fees Schedule

Name: WILLIAM H BAUMAN & MARY L BAUMAN FOUNDATION

EIN: 93-6234071

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GEFFEN MESHER & CO ,PC	6,145	3,595		2,550

TY 2013 Investments Corporate Bonds Schedule

Name: WILLIAM H BAUMAN & MARY L BAUMAN FOUNDATION

EIN: 93-6234071

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BURLINGTON NTHN 3.2% 1/1/45	52,500	102,278

TY 2013 Investments Corporate Stock Schedule

Name: WILLIAM H BAUMAN & MARY L BAUMAN FOUNDATION

EIN: 93-6234071

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BOSTON SCIENTIFIC CORP	597,732	480,800
CHEVRON CORP	108,346	374,730
CITIGROUP	79,648	104,220
DU PONT DE NEMOURS	166,828	259,880
ELECTRO SCIENTIFIC INDS	160,220	104,600
FELCOR LODGING TRUST INC TOTAL	562,246	408,000
INTEL CORP	464,035	519,100
PFIZER INC	138,715	245,040
ROYAL DUTCH SHELL CL A ADR	175,960	285,080
ALCOA INC	540,560	531,500
ABBOTT LABS	134,829	191,650
AT&T INC	259,379	351,600
GLAXO SMITHKLINE	105,142	160,170
GENERAL ELECTRIC	266,102	420,450
OVERSEAS SHIPHOLDING GROUP INC	109,700	76,500
GOL LINHAS AEREAS INTELIGENTES	210,705	137,100
GENERAL MOTORS COMPANY	259,842	141,083
BAXTER INTERNATIONAL INC	105,515	139,100
DOW CHEMICAL CO	306,145	444,000
APPLE INC	215,670	280,510
CENTURYLINK INC	333,913	318,500
EMC CORP-MASS	144,779	150,900
FREEPORT MCMORAN COPPER & GOLD INC	329,213	377,400
HEWLETT PACKARD CO	149,879	167,880
KINDER MORGAN INC	141,120	144,000
LSI CORPORATION	124,600	220,700
MICROSOFT CORP	200,514	224,460
XEROX CORP	110,199	121,700
NTM INC	0	0
BP PLC	214,644	246,043

Name of Stock	End of Year Book Value	End of Year Fair Market Value
COMPANHIA ENERGETICA DE MINAS GERAIS CEMIG	239,751	194,750
HONDA MOTOR CO LTD ADR	107,940	124,050
HSBC HOLDINGS PLC	275,840	275,650
PETROLEO BRASILEIRO SA PETROBRAS	136,899	137,800
STATOIL ASA	145,002	144,780
TECK RESOURCES LIMITED SUB VOTING CL B	118,300	130,050
VALE S A	283,200	305,000
ANNALY CAPITAL MANAGEMENT INC	225,700	199,400
RAYONIER INC REIT	121,350	126,300
UNALLOCATED COST BASIS	2,551	0

TY 2013 Investments - Other Schedule

Name: WILLIAM H BAUMAN & MARY L BAUMAN FOUNDATION

EIN: 93-6234071

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
OPPENHEIMER CHAMPION INCOME FUND CLASS A	AT COST	334,024	73,864

TY 2013 Other Expenses Schedule

Name: WILLIAM H BAUMAN & MARY L BAUMAN FOUNDATION

EIN: 93-6234071

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ACCOUNT FEES	81,432	81,432		0
SUBSCRIPTIONS	908	908		0
OFFICE EXPENSE	655	328		327

TY 2013 Taxes Schedule

Name: WILLIAM H BAUMAN & MARY L BAUMAN FOUNDATION

EIN: 93-6234071

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
STATE FILING FEE	932	0		932
FOREIGN TAXES PAID ON DIVIDENDS	2,621	2,621		0
FEDERAL EXCISE TAX 2012	1,571	0		0
ESTIMATED FEDERAL TAX DEPOSIT 2013	4,240	0		0