



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF****Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

- Do not enter Social Security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2013 or tax year beginning

, and ending

Name of foundation

SMITH, J.B. T/U/A FBO GUIDE DOGS

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

Wells Fargo Bank N.A. Trust Tax Dept - 1 W 4th St 4th Floor MAC D4000-041

City or town

State

ZIP code

Winston Salem

NC

27101-3818

Foreign country name

Foreign province/state/county

Foreign postal code

A Employer identification number

91-6071424

B Telephone number (see instructions)

(888) 730-4933

C If exemption application is pending, check here ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☒ Address change ☐ Name change

D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐H Check type of organization: ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) **\$ 232,724**

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____
 (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	5,883	5,758		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	7,459			
b	Gross sales price for all assets on line 6a	80,850			
7	Capital gain net income (from Part IV, line 2)		7,459		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	Total. Add lines 1 through 11	13,342	13,217	0	
13	Compensation of officers, directors, trustees, etc.	3,692	2,769		923
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)	1,199			1,199
c	Other professional fees (attach schedule)				
17	Interest				
18	Taxes (attach schedule) (see instructions)	79			
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)				
24	Total operating and administrative expenses. Add lines 13 through 23	4,970	2,848	0	2,122
25	Contributions, gifts, grants paid	8,913			8,913
26	Total expenses and disbursements. Add lines 24 and 25	13,883	2,848	0	11,035
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	-541			
b	Net investment income (if negative, enter -0-)		10,369		
c	Adjusted net income (if negative, enter -0-)			0	

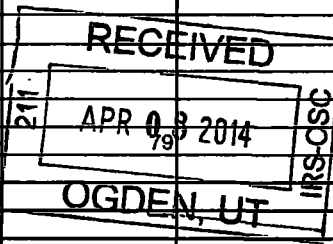
For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2013)

HTA

ENVELOPE
POSTMARK DATE
APR 02 2014

SCANNED APR 11 2014
FOOTMARK DATE



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	190	487	487
	2	Savings and temporary cash investments	6,578	8,063	8,063
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
Liabilities	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	202,924	201,040	224,175
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	209,692	209,590	232,725
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	209,692	209,590	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	209,692	209,590	
	31	Total liabilities and net assets/fund balances (see instructions)	209,692	209,590	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	209,692
2	Enter amount from Part I, line 27a	2	-541
3	Other increases not included in line 2 (itemize) ▶ See Attached Statement	3	819
4	Add lines 1, 2, and 3	4	209,970
5	Decreases not included in line 2 (itemize) ▶ See Attached Statement	5	380
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	209,590

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement			
b See Attached Statement			
c See Attached Statement			
d See Attached Statement			
e See Attached Statement			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			0
b			0
c			0
d			0
e			0

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			0
b			0
c			0
d			0
e			0

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	7,459
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	10,509	226,630	0.046371
2011	10,197	228,045	0.044715
2010	8,745	219,186	0.039898
2009	8,947	197,589	0.045281
2008	10,314	228,897	0.045060

2 Total of line 1, column (d)	2	0.221325
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.044265
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	230,038
5 Multiply line 4 by line 3	5	10,183
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	104
7 Add lines 5 and 6	7	10,287
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	11,035

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	104
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	104
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	104
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	75
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	75
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	29
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0
11	Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NV		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ▶ N/A				
14	The books are in care of ▶ WELLS FARGO BANK N.A. Telephone no. ▶ 888-730-4933			
Located at ▶ 1 W 4th St 4th Floor MAC D4000-041 Winston Salem NC ZIP+4 ▶ 27101-3818				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank N.A. Trust Tax Dept 1 W 4th St 4th Floor MAC D4000-041 Winston Salem, NC	TRUSTEE 4.00	3,692		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
2	
All other program-related investments See instructions.	
3 NONE	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	226,597
b	Average of monthly cash balances	1b	6,944
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	233,541
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	233,541
4	Cash deemed held for charitable activities. Enter 1 1/4 % of line 3 (for greater amount, see instructions)	4	3,503
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	230,038
6	Minimum investment return. Enter 5% of line 5	6	11,502

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	11,502
2a	Tax on investment income for 2013 from Part VI, line 5 2a	104	
b	Income tax for 2013. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	104
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	11,398
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	11,398
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	11,398

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	11,035
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	11,035
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	104
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	10,931

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				11,398
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			5,058	
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 11,035				
a Applied to 2012, but not more than line 2a			5,058	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2013 distributable amount				5,977
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				5,421
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2013	(b) 2012	(c) 2011	(d) 2010	
				0
b 85% of line 2a				0
c Qualifying distributions from Part XII, line 4 for each year listed				0
d Amounts included in line 2c not used directly for active conduct of exempt activities				0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				0
3 Complete 3a, b, or c for the alternative test relied upon:				
a "Assets" alternative test—enter:				
(1) Value of all assets				0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				0
c "Support" alternative test—enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				0
(3) Largest amount of support from an exempt organization				0
(4) Gross investment income				0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include:

- c** Any submission deadlines:

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Attached Statement				
Total			▶ 3a	8,913
b <i>Approved for future payment</i> NONE				
Total			▶ 3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	5,883	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	7,459	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue: a					
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)		0		13,342	0
13	Total. Add line 12, columns (b), (d), and (e)				13	13,342

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | |
|---|---|----|
| 1 | <p>Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> | |
| a | <p>Transfers from the reporting foundation to a noncharitable exempt organization of:</p> | |
| | <p>(1) Cash</p> | 1a |
| | <p>(2) Other assets</p> | 1a |
| b | <p>Other transactions:</p> | |
| | <p>(1) Sales of assets to a noncharitable exempt organization</p> | 1b |
| | <p>(2) Purchases of assets from a noncharitable exempt organization</p> | 1b |
| | <p>(3) Rental of facilities, equipment, or other assets</p> | 1b |
| | <p>(4) Reimbursement arrangements</p> | 1b |
| | <p>(5) Loans or loan guarantees</p> | 1b |
| | <p>(6) Performance of services or membership or fundraising solicitations</p> | 1b |
| c | <p>Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> | 1 |
| d | <p>If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> | |

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

John A. S. ... SVP Wells Fargo Bank N.A.
Signature of officer or trustee

3/31/2014
Date

SVP Wells Fargo Bank N.A.

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

JOSEPH J CASTRIANO

Preparer's signature

Date _____

3/31/2014

Check ☒ if self-employed

PTIN

P01251603

Firm's name ► PricewaterhouseCoopers, LLP

Firm's EIN ▶ 13-4008324

Firm's address ► 600 GRANT STREET, PITTSBURGH, PA 15219-2777

Phone no.	412-355-6000
-----------	--------------

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year
Recipient(s) paid during the year

Name

GUIDE DOGS FOR THE BLIND INC

Street

P.O. BOX 151200

City

SAN RAFAEL

State

CA

Zip Code

94915-1200

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

8,913

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Part 1, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions										Amount				
Short-Term CG Distributions										1,098				
Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
1	X CORE EQUITY FUND	140995127			2/7/2013		5/7/2013	320	302					18
2	X CORE EQUITY FUND	140995127			2/7/2013		7/15/2013	760	728					32
3	X CORE EQUITY FUND	140995127			4/30/2010		7/15/2013	730	608					124
4	X CORE EQUITY FUND	140995127			10/15/2013		11/29/2013	289	254					35
5	X CORE EQUITY FUND	140995127			4/30/2010		11/29/2013	280	238					42
6	X CREDIT SUISSE COMM RET S	2254AR305			9/9/2011		10/14/2013	972	1,229					-257
7	X CREDIT SUISSE COMM RET S	2254AR305			11/22/2013		10/14/2013	1,244	1,538					-294
8	X DREYFUS EMG MKT DEBT LG	261860494			9/9/2011		5/7/2013	251	235					16
9	X DREYFUS EMG MKT DEBT LG	261860494			9/9/2011		10/14/2013	1,139	1,187					-48
10	X DREYFUS EMG MKT DEBT LG	261860494			8/17/2012		10/14/2013	2,483	2,506					-23
11	X DRIEHAUS ACTIVE INCOME F	262028555			2/20/2013		11/27/2013	237	236					1
12	X EATON VANCE GLOBAL MACI	277923728			7/17/2013		10/14/2013	1,978	2,012					-34
13	X EATON VANCE GLOBAL MACI	277923728			11/27/2013		11/27/2013	234	239					-5
14	X GATEWAY FUND - Y #1886	367829884			2/11/2013		7/15/2013	3,578	3,528					48
15	X HUSSMAN STRATEGIC GRW	445108100			7/15/2013		7/15/2013	2,435	2,363					72
16	X HUSSMAN STRATEGIC GRW	445108100			2/19/2008		2/7/2013	2,220	3,268					-1,048
17	X HUSSMAN STRATEGIC GRW	445108100			4/24/2008		2/7/2013	927	778					149
18	X HUSSMAN STRATEGIC GRW	445108100			2/7/2013		2/7/2013	892	892					0
19	X HUSSMAN STRATEGIC GRW	445108100			5/4/2010		2/7/2013	480	588					-108
20	X HUSSMAN STRATEGIC GRW	445108100			2/6/2011		2/7/2013	1,054	1,200					-146
21	X HUSSMAN STRATEGIC GRW	445108100			2/17/2012		2/7/2013	443	502					-59
22	X ISHARES MSCI EAFE	464287465			12/22/2008		2/7/2013	8,000	6,432					1,568
23	X ISHARES MSCI EAFE	464287465			2/6/2009		2/7/2013	5,114	3,545					1,569
24	X ISHARES SAP MID-CAP 400 G	464287606			7/15/2013		11/27/2013	439	407					32
25	X ISHARES SAP MID-CAP 400 G	464287606			4/30/2010		5/7/2013	305	228					78
26	X ISHARES SAP MID-CAP 400 G	464287606			4/30/2010		7/15/2013	427	308					119
27	X ISHARES CORE S&P SMALL-C	464287804			2/7/2011		2/7/2013	5,255	4,453					802
28	X ISHARES CORE S&P SMALL-C	464287804			5/15/2012		7/15/2013	673	507					166
29	X ISHARES CORE S&P SMALL-C	464287804			6/15/2012		11/27/2013	108	72					36
30	X ISHARES CORE S&P SMALL-C	464287804			8/15/2012		11/27/2013	108	72					36
31	X ISHARES CORE S&P SMALL-C	464287804			2/7/2011		11/27/2013	488	424					64
32	X PIMCO FOREIGN BD FD USD	993390882			9/9/2011		2/7/2013	833	749					84
33	X SPDR DJ WILSHIRE INTERNA	78463X983			5/2/2011		11/27/2013	127	125					2
34	X SPDR DJ WILSHIRE INTERNA	78463X983			7/15/2013		11/27/2013	127	124					3
35	X AMEX MATERIALS SPDR	81368Y100			2/7/2013		7/15/2013	361	354					7
36	X AMEX MATERIALS SPDR	81368Y100			4/30/2010		11/27/2013	311	243					68
37	X AMEX HEALTH CARE SPDR	81369Y209			4/30/2010		7/15/2013	548	348					203
38	X CONSUMER STAPLES SECTO	81369Y208			4/30/2010		7/15/2013	928	688					270
39	X AMEX CONSUMER DISCR SPI	81369Y407			2/7/2013		7/15/2013	835	707					128
40	X AMEX CONSUMER DISCR SPI	81369Y407			4/30/2010		7/15/2013	837	320					517
41	X AMEX CONSUMER DISCR SPI	81369Y407			2/7/2013		11/27/2013	292	142					150
42	X AMEX ENERGY SELECT SPDR	81369Y509			2/7/2013		7/15/2013	409	390					19
43	X AMEX ENERGY SELECT SPDR	81369Y509			4/30/2010		7/15/2013	409	19					389
44	X AMEX ENERGY SELECT SPDR	81369Y605			5/7/2013		7/15/2013	655	484					171
45	X FINANCIAL SELECT SECTOR	81369Y605			4/7/2013		7/15/2013	407	383					24
46	X FINANCIAL SELECT SECTOR	81369Y605			4/30/2010		7/15/2013	692	582					130
47	X FINANCIAL SELECT SECTOR	81369Y605			10/15/2013		11/27/2013	237	224					13
48	X AMEX INDUSTRIAL SPDR	81368Y704			4/30/2010		7/15/2013	758	597					191
49	X AMEX TECHNOLOGY SELECT	81369Y903			2/7/2013		7/15/2013	721	681					40
50	X AMEX TECHNOLOGY SELECT	81369Y903			2/7/2013		7/15/2013	288	268					22
51	X AMEX TECHNOLOGY SELECT	81369Y903			4/30/2010		7/15/2013	1,374	1,024					350
52	X VANGUARD FTSE DEVELOPE	921943958			2/7/2013		7/15/2013	483	333					150
53	X VANGUARD FTSE DEVELOPE	921943958			2/7/2013		11/27/2013	1,080	1,047					33
54	X VANGUARD MSCI EAFE ETL	921943958			2/7/2013		7/15/2013	943	831					112
55	X VANGUARD MSCI EAFE ETL	921943958			2/7/2013		7/15/2013	425	397					28
56	X VANGUARD FTSE EMERGING	922042858			2/7/2013		10/14/2013	485	498					-23
57	X VANGUARD FTSE EMERGING	922042858			2/7/2013		10/14/2013	2,281	2,375					-94
58	X WELLS FARGO ADV INTL BON	949817538			2/6/2009		5/7/2013	781	280					481
59	X WELLS FARGO ADV INTL BON	949817538			2/6/2009		5/7/2013	472	469					3
60	X WELLS FARGO ADV HI INC-JN	949817538			2/21/2008		7/15/2013	1,507	1,498					8
61	X TAXABLE TOTAL RETURN BO	991263912			2/7/2011		11/27/2013	232	233					-1
62	X TAXABLE TOTAL RETURN BO	991263912			2/7/2011		2/7/2013	453	463					0
63	X TAXABLE TOTAL RETURN BO	991263912			10/14/2009		5/7/2013	449	432					17
64	X TAXABLE TOTAL RETURN BO	991263912			2/7/2011		5/7/2013	2,846	2,841					5
65	X TAXABLE TOTAL RETURN BO	991263912			4/22/2008		5/7/2013	281	284					-34
66	X TAXABLE SHORT-TERM BON	999812906			1/7/2004		7/15/2013	1,444	1,478					-34
67	X TAXABLE SHORT-TERM BON	999812906			4/7/2004		7/15/2013	328	333					-7
68	X TAXABLE SHORT-TERM BON	999812906			7/15/2005		7/15/2013	5,134	5,240					-106

Check "x" to include in Part IV	Description	CUSIP #	Check "x" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Capital Gains/Losses		Net Gain or Loss
											Other sales	Other sales	
	Long Term CG Distributions								80,850		73,391	7,459	
	Short Term CG Distributions								0		0	0	
69	TAXABLE INTERMEDIATE TERM	999708902		8/15/2012		2/7/2013	268	272				0	-3
70	TAXABLE INTERMEDIATE TERM	999708902		4/28/2011		2/7/2013	559	672				0	-14
71	TAXABLE INTERMEDIATE TERM	999708902		2/7/2011		5/7/2013	1,179	1,798				0	-17
72	TAXABLE INTERMEDIATE TERM	999708902		4/28/2011		5/7/2013	1,199	1,195				0	-28
73	TAXABLE INTERMEDIATE TERM	999708902		2/16/2006		5/7/2013	278	268				0	10
74	NET CTF LOSS												
75	UT CTF GAIN						1,412	763				-763	1,412

Part I, Line 16b (990-PF) - Accounting Fees

		1,199	0	0	1,199
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEES	1,199			1,199

Part I, Line 18 (990-PF) - Taxes

		79	79	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAXES PAID	79	79		

Part II, Line 13 (990-PF) - Investments - Other

		202,924		201,040	224,175
Asset Description		Book Value Beg. of Year	Book Value End of Year	Book Value End of Year	FMV End of Year
1	Basis of Valuation				
2	MERGER FD SH BEN INT 260	0	6,835	0	6,924
3	PIMCO FOREIGN BD FD USD H-INST 103	0	10,630	0	10,435
4	CONSUMER STAPLES SECTOR SPDR TR	0	1,061	0	1,633
5	AMEX FINANCIAL SELECT SPDR	0	1,899	0	2,623
6	AMEX MATERIALS SPDR	0	410	0	508
7	AMEX TECHNOLOGY SELECT SPDR	0	2,001	0	3,074
8	AMEX ENERGY SELECT SPDR	0	992	0	1,505
9	TAXABLE SHORT-TERM BOND FUND	0	19,213	0	19,074
10	TAXABLE INTERMEDIATE TERM BD FD	0	21,402	0	21,667
11	TAXABLE TOTAL RETURN BOND FUND	0	21,186	0	21,620
12	FID ADV EMER MKTS INC- CLI 607	0	3,499	0	3,415
13	ISHARES TR SMALLCAP 600 INDEX FD	0	3,882	0	6,548
14	ISHARES S&P MIDCAP 400 VALUE	0	3,603	0	5,579
15	EATON VANCE GLOBAL MACRO - I	0	2,340	0	2,284
16	ISHARES S&P MIDCAP 400 GROWTH	0	5,386	0	8,411
17	AMEX INDUSTRIAL SPDR	0	1,138	0	1,881
18	CAPITAL PARTNERS CORE EQUITY FUND	0	6,509	0	8,211
19	DREYFUS EMG MKT DEBT LOC C-I 6083	0	8,289	0	7,972
20	DRIEHAUS ACTIVE INCOME FUND	0	11,346	0	11,487
21	ASG GLOBAL ALTERNATIVES-Y 1993	0	4,603	0	4,563
22	WELLS FARGO INTL ADV FUND-IS 4705	0	10,613	0	10,028
23	VANGUARD REIT VIPER	0	3,432	0	6,843
24	VANGUARD EMERGING MARKETS ETF	0	9,192	0	11,190
25	SPDR DJ WILSHIRE INTERNATIONAL REA	0	4,502	0	6,798
26	VANGUARD EUROPE PACIFIC ETF	0	10,617	0	12,254
27	WELLS FARGO ADV HI INC-INS 3110	0	12,821	0	13,303
28	GATEWAY FUND - Y 1986	0	3,393	0	3,579
29	CREDIT SUISSE COMM RT ST-CO 2156	0	8,180	0	6,865
30	AMEX HEALTH CARE SPDR	0	1,035	0	1,830
31	AMEX CONSUMER DISCR SPDR	0	1,030	0	2,071

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	MUTUAL FUND & CTF INCOME ADDITION	1	777
2	UNDISTRIBUTED CTF CAPITAL GAIN	2	17
3	FEDERAL EXCISE TAX REFUND	3	25
4	Total	4	819

Line 5 - Decreases not included in Part III, Line 2

1	COST BASIS ADJUSTMENT	1	16
2	MUTUAL FUND & CTF INCOME SUBTRACTION	2	295
3	PY RETURN OF CAPITAL ADJUSTMENT	3	69
4	Total	4	380

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions										1,088	Short Term CG Distributions										0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																							
Description of Property Sold										CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	FMV as of 12/31/89	Adjusted Basis as of 12/31/89	Excess of FMV Over Adj Basis	Gain Minus Excess of FMV Over Adjusted Basis or Losses																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																						
1 CORE EQUITY FUND										140095127		2/7/2013	2/7/2013	320			0	302	18	0	0	0	0	6,371																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																				
2 CORE EQUITY FUND										140095127		4/30/2010	4/30/2010	760			0	726	34	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																				
3 CORE EQUITY FUND										140095127		4/30/2010	4/30/2010	290			0	290	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																					
4 CORE EQUITY FUND										140095127		4/30/2010	4/30/2010	280			0	280	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																					
5 CREDIT SURVEILLANCE FUND										223443438		9/6/2011	9/6/2011	872			0	872	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																					
6 CREDIT SURVEILLANCE FUND										223443438		11/23/2010	10/4/2013	1,264			0	1,228	36	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																					
7 DREYFUS EMG MGT DEBT LOC C1										241090494		9/6/2011	9/7/2013	231			0	235	-4	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																					
8 DREYFUS EMG MGT DEBT LOC C1										241090494		9/6/2011	10/4/2013	1,338			0	1,187	151	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																					
9 DREYFUS EMG MGT DEBT LOC C1										241090494		8/17/2012	10/4/2013	2,483			0	2,509	-26	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																					
10 DREYFUS EMG MGT DEBT LOC C1										241090494		2/11/2013	11/27/2013	237			0	236	1	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																					
11 DREYFUS EMG MGT DEBT LOC C1										241090494		7/17/2013	11/27/2013	1,878			0	2,012	-134	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																					
12 EATON VANCE GLOBAL MACRO - 1										277623728		2/1/2013	11/27/2013	234			0	234	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																					
13 EATON VANCE GLOBAL MACRO - 1										277623728		2/1/2013	11/27/2013	3,576			0	3,558	18	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																					
14 GATEWAY FUND - Y F1988										367825884		8/17/2012	8/17/2012	2,238			0	2,283	-45	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																					
15 HUSSMAN STRATEGIC GROWTH FUND										448108100		2/1/2013	2/1/2013	1,069			0	1,069	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																					
16 HUSSMAN STRATEGIC GROWTH FUND										448108100		2/1/2013	2/1/2013	1,069			0	1,069	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																					
17 HUSSMAN STRATEGIC GROWTH FUND										448108100		2/1/2013	2/1/2013	1,069			0	1,069	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																					
18 HUSSMAN STRATEGIC GROWTH FUND										448108100		2/1/2013	2/1/2013	1,069			0	1,069	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																					
19 HUSSMAN STRATEGIC GROWTH FUND										448108100		2/1/2013	2/1/2013	1,069			0	1,069	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																					
20 HUSSMAN STRATEGIC GROWTH FUND										448108100		2/1/2013	2/1/2013	1,069			0	1,069	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																					
21 HUSSMAN STRATEGIC GROWTH FUND										448108100		2/1/2013	2/1/2013	1,069			0	1,069	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																					
22 ISHARES MSCI EAFE										484237485		12/22/2008	2/7/2013	8,600			0	8,432	168	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																				
23 ISHARES MSCI EAFE										484237485		2/6/2009	2/7/2013	5,114			0	3,545	1,569	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																				
24 ISHARES S&P MID-CAP 400 GROWTH										484237705		7/15/2013	11/27/2013	436			0	407	29	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																				
25 ISHARES S&P MID-CAP 400 GROWTH										484237705		4/30/2010	7/15/2013	306			0	220	86	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																				
26 ISHARES S&P MID-CAP 400 GROWTH										484237705		4/30/2010	7/15/2013	437			0	306	131	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																				
27 ISHARES S&P MID-CAP 400 GROWTH										484237705		2/7/2013	2/7/2013	5,296			0	4,453	843	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																				
28 ISHARES S&P MID-CAP 400 GROWTH										484237705		5/15/2012	7/15/2013	673			0	507	166	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																				
29 ISHARES S&P MID-CAP 400 GROWTH										484237705		5/15/2012	11/27/2013	104			0	72	32	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																				
30 ISHARES S&P MID-CAP 400 GROWTH										484237705		8/15/2012	11/27/2013	104			0	72	32	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																				
31 ISHARES S&P MID-CAP 400 GROWTH										484237705		2/7/2011	11/27/2013	648			0	424	224	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																				
32 FINCO FOREIGN FD USD HNET A1										093900862		8/9/2011	2/7/2013	466			0	464	2	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																				
33 SPDR DJ WILL SHIRE INTERNATIONAL										744330363		5/2/2011	5/2/2013	833			0	833	0	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																				
34 SPDR DJ WILL SHIRE INTERNATIONAL										744330363		5/2/2011	5/2/2013	1,171			0	1,171	0	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																				
35 AMEX MATERIALS SPDR										813897100		2/7/2013	2/7/2013	381			0	381	0	0	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																			
36 AMEX MATERIALS SPDR										813897100		4/30/2010	11/27/2013	311			0	243	68	0	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																			
37 AMEX HEALTH CARE SPDR										813897100		4/30/2010	7/15/2013	548			0	349	199	0	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																			
38 AMEX HEALTH CARE SPDR										813897100		4/30/2010	7/15/2013	548			0	349	199	0	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																			
39 AMEX HEALTH CARE SPDR										813897100		4/30/2010	7/15/2013	548			0	349	199	0	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																			
40 AMEX HEALTH CARE SPDR										813897100		4/30/2010	7/15/2013	548			0	349	199	0	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																			
41 AMEX CONSUMER DISCR SPDR										813897107		2/7/2013	7/15/2013	838			0	707	131	0	0	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																		
42 AMEX CONSUMER DISCR SPDR										813897107		4/30/2010	7/15/2013	537			0	320	217	0	0	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																		
43 AMEX CONSUMER DISCR SPDR										813897107		4/30/2010	11/27/2013	282			0	142	140	0	0	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																		
44 AMEX ENERGY SELECT SPDR										813897508		2/7/2013	7/15/2013	409			0	390	19	0	0	0	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																	
45 AMEX ENERGY SELECT SPDR										813897508		4/30/2010	7/15/2013	655			0	484	171	0	0	0	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																	
46 AMEX ENERGY SELECT SPDR										813897508		5/7/2013	7/15/2013	407			0	383	24	0	0	0	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																	
47 AMEX ENERGY SELECT SPDR										813897508		4/30/2010	7/15/2013	682			0	582	100	0	0	0	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																	
48 AMEX ENERGY SELECT SPDR										813897508		10/15/2013	11/27/2013	237			0	224	13	0	0	0	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																	
49 AMEX ENERGY SELECT SPDR										813897508		4/30/2010	7/15/2013	758			0	587	171	0	0	0	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																	
50 AMEX ENERGY SELECT SPDR										813897508		2/7/2013	7/15/2013	421			0	381	40	0	0	0	0	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																
51 AMEX ENERGY SELECT SPDR										813897508		4/30/2010	7/15/2013	1,371			0	1,038	333	0	0	0	0	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																
52 AMEX ENERGY SELECT SPDR										813897508		4/30/2010	11/27/2013	443			0	353	90	0	0	0	0	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																
53 AMEX ENERGY SELECT SPDR										813897508		2/7/2013	7/15/2013	1,980			0	1,647	333	0	0	0	0	0	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																															
54 VANGUARD FTSE DEVELOPED ETF										921613459		2/7/2013	11/27/2013	843			0	851	-8	0	0	0	0	0	0	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																														
55 VANGUARD FTSE DEVELOPED ETF										921613459		2/7/2013	7/15/2013	425			0	387	38	0	0	0	0	0	0	0	0	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																												
56 VANGUARD MSCI EAFE ETF										922042856		5/7/2013	10/4/2013	465			0	486	-21	0	0	0	0	0	0	0	0	0	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																											
57 VANGUARD FTSE EMERGING MARKET										922042856		2/7/2013	10/4/2013	2,281			0	2,375	-94	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																										
58 VANGUARD FTSE EMERGING MARKET										922042856		2/6/2009	5/7/2013	781			0	280	501	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																								
59 WELLS FARGO ADV INTL BOND - US										949817536		2/6/2011	2/7/2013	472			0	489	-17	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date		Amount
1 Credit from prior year return		1	75
2 First quarter estimated tax payment		2	
3 Second quarter estimated tax payment		3	
4 Third quarter estimated tax payment		4	
5 Fourth quarter estimated tax payment		5	
6 Other payments		6	
7 Total		7	75

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1	Distributable amounts for 2012 that remained undistributed at the beginning of the 2013 tax year	1	5,058
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	5,058