



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF****Return of Private Foundation**

OMB No 1545-0052

2013Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation
Do not enter Social Security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2013 or tax year beginning

, 2013, and ending

, 20

Name of foundation THE RAZI FAMILY FOUNDATION		A Employer identification number 91-2169259
Number and street (or P O box number if mail is not delivered to street address) 2201 DUPONT DRIVE, SUITE 300		B Telephone number (see instructions) (949) 833-1554
City or town, state or province, country, and ZIP or foreign postal code IRVINE, CA 92612		C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 2,713,765.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	126.	126.		ATCH 1
	4 Dividends and interest from securities	54,277.	54,277.		ATCH 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	151,217.			
	b Gross sales price for all assets on line 6a	886,667.			
	7 Capital gain net income (from Part IV, line 2)		151,217.		
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule) ATCH 3	71.	71.			
12 Total. Add lines 1 through 11	205,691.	205,691.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) ATCH 4	11,188.	5,594.		5,594.
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) ATCH 5	2,956.	2,456.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 6	29,691.	25,345.		
	24 Total operating and administrative expenses. Add lines 13 through 23	43,835.	33,395.		5,594.
	25 Contributions, gifts, grants paid	214,650.			214,650.
26 Total expenses and disbursements. Add lines 24 and 25	258,485.	33,395.	0	220,244.	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-52,794.				
b Net investment income (if negative, enter -0-)		172,296.			
c Adjusted net income (if negative, enter -0-)					

g14

12

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	24,865.	69,182.	69,182.
	2 Savings and temporary cash investments	119,946.	97,854.	97,854.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U.S. and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) ATCH 7	1,398,212.	1,396,487.	1,775,590.
	c Investments - corporate bonds (attach schedule) ATCH 8	652,501.	577,356.	591,105.
	Liabilities	11 Investments - land, buildings, and equipment basis		
Less accumulated depreciation (attach schedule) ▶				
12 Investments - mortgage loans				
13 Investments - other (attach schedule) ATCH 9		215,942.	216,272.	178,485.
14 Land, buildings, and equipment basis				
Less accumulated depreciation (attach schedule) ▶				
15 Other assets (describe ▶ ATCH 10)		28.	1,549.	1,549.
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		2,411,494.	2,358,700.	2,713,765.
17 Accounts payable and accrued expenses				
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable (attach schedule)				
22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)	0	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, . . . ▶ ☒ check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	2,411,494.	2,358,700.	
	30 Total net assets or fund balances (see instructions)	2,411,494.	2,358,700.	
	31 Total liabilities and net assets/fund balances (see instructions)	2,411,494.	2,358,700.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,411,494.
2 Enter amount from Part I, line 27a	2	-52,794.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	2,358,700.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,358,700.

Form 990-PF (2013)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)	
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)		If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	151,217.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	184,625.	2,571,696.	0.071791
2011	141,741.	2,716,986.	0.052168
2010	155,730.	2,624,172.	0.059344
2009	98,500.	2,503,455.	0.039346
2008	170,227.	3,084,340.	0.055191
2 Total of line 1, column (d)			2 0.277840
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.055568
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 2,606,787.
5 Multiply line 4 by line 3			5 144,854.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,723.
7 Add lines 5 and 6			7 146,577.
8 Enter qualifying distributions from Part XII, line 4			8 220,244.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	1,723.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	1,723.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,723.
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,778.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	2,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	3,778.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,055.	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax ☒ 2,055. Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☒ \$ _____ (2) On foundation managers ☒ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ CA, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation.	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV.		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2013)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ☐ N/A				
14 The books are in care of ☐ ALI C. RAZI Telephone no ☐ (949) 833-1554				
Located at ☐ 2201 DUPONT DRIVE, SUITE 300, IRVINE, CA ZIP+4 ☐ 92612				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐			
and enter the amount of tax-exempt interest received or accrued during the year ☐ 15				
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ☐				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐	1b	
Organizations relying on a current notice regarding disaster assistance check here ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? ☐	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No		
If "Yes," list the years ☐		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) ☐	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ☐		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) ☐	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? ☐	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? ☐	4b	X

Form 990-PF (2013)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
If "Yes" to 6b, file Form 8870**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 11		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

Form 990-PF (2013)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		0
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	

Form 990-PF (2013)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,515,704.
b	Average of monthly cash balances	1b	129,991.
c	Fair market value of all other assets (see instructions)	1c	789.
d	Total (add lines 1a, b, and c)	1d	2,646,484.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	2,646,484.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	39,697.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	2,606,787.
6	Minimum investment return. Enter 5% of line 5	6	130,339.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	130,339.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1,723.
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	1,723.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	128,616.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	128,616.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	128,616.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	220,244.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	220,244.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	1,723.
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	218,521.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2013)

Page **9****Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				128,616.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only				
b Total for prior years 20 <u>11</u> , 20 <u>10</u> , 20 <u>09</u>				
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012 48,511.				
f Total of lines 3a through e	48,511.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ <u>220,244.</u>				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				128,616.
e Remaining amount distributed out of corpus	91,628.			
5 Excess distributions carryover applied to 2013 . (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	140,139.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	140,139.			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012 48,511.				
e Excess from 2013 91,628.				

Form **990-PF** (2013)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

ALI C. AND ANOUSHEH RAZI

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ATCH 12

b The form in which applications should be submitted and information and materials they should include

SEE APPLICATION INSTRUCTIONS ATTACHED

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE APPLICATION INSTRUCTIONS ATTACHED

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year ATCH 13				
Total			3a	214,650.
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations)

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
▼	

[illegible]

THE RAZI FAMILY FOUNDATION

APPLICATION INSTRUCTIONS FOR DISCRETIONARY GRANT

STATEMENT OF PURPOSE

The Razi Family Foundation (the "Foundation") has been organized under the California Nonprofit Public Benefit Corporation Law for charitable purposes. These purposes include the following:

1. To provide the Foundation's local and national community with economic support and personal commitment from its Directors to become actively involved in philanthropic projects.
2. To be active in the support of qualified philanthropic endeavors in the areas of education, social welfare, historical and environmental preservation, medical and scientific research and cultural pursuits.
3. To establish a presence in the community embodying the Foundation's philosophy of caring through creatively approaching problems and supporting worthwhile endeavors.

A substantial portion of the Foundation's annual income is disbursed to those charitable organizations which, in the discretion of the Directors, serve the guiding purposes of the Foundation as set forth in the Statement of Purpose.

GRANT APPLICATION INSTRUCTIONS

The attached outline provides a format for grant applications. This format should be followed, although Applicant is encouraged to supplement the basic outline in the manner which will best inform the Directors of the Applicant's fitness for financial assistance.

In determining the merits and priority of in application, the Directors will give particular consideration to:

- (a) The importance of the particular problem or need being addressed;
- (b) The adequacy of the proposed program;
- (c) The ability of the Applicant to achieve the expected result;

- (d) The potential for substantial community benefit; and
- (e) The extent to which the proposed program promotes the fundamental ideals of the Foundation.

Be sure to include the necessary attachments in your application. The application must be accompanied by the enclosed Officers' Certificate, duly executed and dated. Attachments must be typewritten on 8 1/2" x 11" white bond paper.

Any questions concerning the application procedure should be directed in writing to:

Ali and Anousheh Razi
2201 Dupont Drive, Suite 300
Irvine, CA 92612

Exhibit "A"

APPLICATION FOR DISCRETIONARY GRANT

I. Identification of Applicant

- A. Legal Name
- B. Address and Telephone Number of Principal Office
- C. Name of Individual Responsible for submission of Application
- D. Type of Entity
- E. Brief History of Applicant
- F. Federal Identification Number

II. Qualifications of Applicant

- A. Purposes and Goals
- B. Current Programs and Activities
- C. Constituency Served
- D. Accomplishments
- E. Qualifications in Undertaking Proposed Program

III. Proposed Program

- A. Description of Problem/Need
- B. Program Objectives
- C. Relation of Objectives to The Razi Family Foundation Purposes
- D. Methods of Achieving Objectives
- E. Budget
- F. Time of Completion

G. Criteria for Determining Success or failure of Program

IV. Grant Request

A. Amount of Funds Requested

B. Schedule for Disbursement of Funds to Applicant

C. Anticipated Funding Support from Sources Other than The Razi Family Foundation

V. Attachments to Application

A. Names and Addresses of Members of Governing Board

B. Most Recent Financial Statements

C. Current Year Budget

D. Current Annual Report

Exhibit "B"

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					23,409.	
75,000.		SALE OF PUBLICLY TRADED SECURITIES 75,147.					VARIOUS -147.	VARIOUS
95,000.		SALE OF PUBLICLY TRADED SECURITIES 76,459.					VARIOUS 18,541.	VARIOUS
93,018.		SALE OF PUBLICLY TRADED SECURITIES 75,053.					VARIOUS 17,965.	VARIOUS
107,736.		SALE OF PUBLICLY TRADED SECURITIES 108,010.					VARIOUS -274.	VARIOUS
171,923.		SALE OF PUBLICLY TRADED SECURITIES 113,110.					VARIOUS 58,813.	VARIOUS
57,418.		SALE OF PUBLICLY TRADED SECURITIES 65,866.					VARIOUS -8,448.	VARIOUS
152,271.		SALE OF PUBLICLY TRADED SECURITIES 130,913.					VARIOUS 21,358.	VARIOUS
11,614.		SALE OF PUBLICLY TRADED SECURITIES 10,085.					VARIOUS 1,529.	VARIOUS
99,278.		SALE OF PUBLICLY TRADED SECURITIES 80,807.					VARIOUS 18,471.	VARIOUS
TOTAL GAIN (LOSS)							<u>151,217.</u>	

ATTACHMENT 1FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
NORTHERN TRUST OF CALIFORNIA	126.	126.
TOTAL	<u>126.</u>	<u>126.</u>

ATTACHMENT 2FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
NORTHERN TRUST OF CALIFORNIA	54,277.	54,277.
TOTAL	<u>54,277.</u>	<u>54,277.</u>

ATTACHMENT 3

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OTHER INVESTMENT INCOME	71.	71.
TOTALS	<u>71.</u>	<u>71.</u>

ATTACHMENT 4

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING FEES	11,188.	5,594.		5,594.
TOTALS	<u>11,188.</u>	<u>5,594.</u>		<u>5,594.</u>

ATTACHMENT 5

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FOREIGN TAXES	2,456.	2,456.
FEDERAL EXCISE TAX	500.	
TOTALS	<u>2,956.</u>	<u>2,456.</u>

ATTACHMENT 6

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
DUES AND SUBSCRIPTIONS	4,286.	
FEEs, LICENSES & PERMITS	60.	
INVESTMENT MANAGEMENT FEES	25,345.	25,345.
TOTALS	<u>29,691.</u>	<u>25,345.</u>

ATTACHMENT 7FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
NORTHERN TRUST - EQUITY SEC.	795,263.	1,060,866.
NORTHERN TRUST INT'L DEV.	601,224.	714,724.
TOTALS	<u>1,396,487.</u>	<u>1,775,590.</u>

ATTACHMENT 8

FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
NORTHERN TRUST - FIXED INCOME	577,356.	591,105.
TOTALS	<u>577,356.</u>	<u>591,105.</u>

FORM 990PF, PART II - OTHER INVESTMENTS

ATTACHMENT 9

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
NORTHERN TRUST COMMODITIES FD	216,272.	178,485.
TOTALS	<u>216,272.</u>	<u>178,485.</u>

FORM 990PF, PART II - OTHER ASSETS

ATTACHMENT 10

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
OTHER RECEIVABLE	1,549.	1,549.
TOTALS	<u>1,549.</u>	<u>1,549.</u>

THE RAZI FAMILY FOUNDATION

91-2169259

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 11

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
ALI CYRUS RAZI 12735 SAN VICENTE BLVD. LOS ANGELES, CA 90049	PRESIDENT	0	0	0
ANOUSHEH RAZI 12735 SAN VICENTE BLVD. LOS ANGELES, CA 90049	SECRETARY/TREASURER	0	0	0
BABAK CYRUS RAZI 12735 SAN VICENTE BLVD. LOS ANGELES, CA 90049	FAMILY DIRECTOR	0	0	0
NILLOOFAR RAZI HOWE 660 S. GREYNA GREEN WAY LOS ANGELES, CA 90049	FAMILY DIRECTOR	0	0	0
KEYVAN CYRUS RAZI 12735 SAN VICENTE BLVD. LOS ANGELES, CA 90049	FAMILY DIRECTOR	0	0	0
GRAND TOTALS		0	0	0

ATTACHMENT 12

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

ALI C. RAZI
2201 DUPONT DRIVE, SUITE 300
IRVINE, CA 92612

THE RAZI FAMILY FOUNDATION

91-2169259

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 13

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE MIDNIGHT MISSION 601 S SAN PEDRO STREET LOS ANGELES, CA 90014	NONE PC		TO PROVIDE HOMELESS SHELTER	34,800
FARHANG FOUNDATION 3481 AIRPORT DRIVE, SUITE 200 TORRANCE, CA 90505	NONE PC		TO PROMOTE THE ART AND CULTURE OF IRANIAN AMERICANS	119,850
SIBLEY MEMORIAL HOSPITAL FOUNDATION 5255 LOUGHBORO ROAD NW WASHINGTON, DC 20016	NONE PC		TO PROVIDE COMMUNITY HEALTH CARE SERVICES.	4,700
LOS ANGELES COUNTY MUSEUM OF ART 5905 WILSHIRE BLVD LOS ANGELES, CA 90036	NONE PC		IN SUPPORT OF THE MUSEUM'S ART PROGRAMS	2,000
THE MUSEUM OF CONTEMPORARY ART 250 SOUTH GRAND AVENUE LOS ANGELES, CA 90012	NONE PC		IN SUPPORT OF THE MUSEUM'S ART PROGRAMS	25,000
CALIFORNIA STATE UNIVERSITY FOUNDATION 401 GOLDEN SHORE, 6TH FLOOR LONG BEACH, CA 90802	NONE PC		TO PROMOTE EDUCATION	17,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 13 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UCI FOUNDATION 100 THEORY, SUITE 250 IRVINE, CA 92617	NONE PC		TO SUPPORT UC IRVINE'S MISSION OF EDUCATION	10,000.
CSUSB PHILANTHROPIC FOUNDATION 5500 UNIVERSITY PARKWAY SAN BERNARDINO, CA 92407	NONE PC		TO SUPPORT THE MISSION OF CALIFORNIA STATE UNIVERSITY, SAN BERNARDINO.	1,000.
WHITNEY HIGH SCHOOL FOUNDATION 16800 SHOEMAKER AVENUE CERRITOS, CA 90703	NONE PC		TO SUPPORT WHITNEY HIGH SCHOOL.	300

TOTAL CONTRIBUTIONS PAID 214,650.

**SCHEDULE D
(Form 1041)**

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
▶ Information about Schedule D and its separate instructions is at www.irs.gov/form1041.

OMB No 1545-0092

2013

Name of estate or trust

THE RAZI FAMILY FOUNDATION

Employer identification number

91-2169259

Note: Form 5227 filers need to complete **only** Parts I and II

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below

This form may be easier to complete if you round off cents to whole dollars

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
1b Totals for all transactions reported on Form(s) 8949 with Box A checked	251,768.	259,108.		-7,340.
2 Totals for all transactions reported on Form(s) 8949 with Box B checked				
3 Totals for all transactions reported on Form(s) 8949 with Box C checked				
4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824			4	
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts			5	
6 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet			6 ()	
7 Net short-term capital gain or (loss). Combine lines 1a through 6 in column (h) Enter here and on line 17, column (3) on the back ▶			7	-7,340.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below

This form may be easier to complete if you round off cents to whole dollars

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with Box D checked	611,490.	476,342.		135,148.
9 Totals for all transactions reported on Form(s) 8949 with Box E checked				
10 Totals for all transactions reported on Form(s) 8949 with Box F checked				
11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824			11	
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts.			12	
13 Capital gain distributions.			13	23,409.
14 Gain from Form 4797, Part I.			14	
15 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet			15 ()	
16 Net long-term capital gain or (loss). Combine lines 8a through 15 in column (h) Enter here and on line 18a, column (3) on the back ▶			16	158,557.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2013

Part III Summary of Parts I and II**Caution:** Read the instructions before completing this part.

	(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
17 Net short-term gain or (loss)	17		-7,340.
18 Net long-term gain or (loss):			
a Total for year	18a		158,557.
b Unrecaptured section 1250 gain (see line 18 of the wrksht)	18b		
c 28% rate gain	18c		10,625.
19 Total net gain or (loss). Combine lines 17 and 18a. ▶	19		151,217.

Note: If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and do not complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of	20	()
a The loss on line 19, column (3) or		
b \$3,000		

Note: If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part only if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if

- Either line 18b, col (2) or line 18c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part only if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col (2) or line 18c, col (2) is more than zero.

21 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34). . .	21		
22 Enter the smaller of line 18a or 19 in column (2) but not less than zero.	22		
23 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T). . .	23		
24 Add lines 22 and 23	24		
25 If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- . . . ▶	25		
26 Subtract line 25 from line 24. If zero or less, enter -0-	26		
27 Subtract line 26 from line 21. If zero or less, enter -0-	27		
28 Enter the smaller of the amount on line 21 or \$2,450	28		
29 Enter the smaller of the amount on line 27 or line 28	29		
30 Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% ▶	30		
31 Enter the smaller of line 21 or line 26	31		
32 Subtract line 30 from line 26.	32		
33 Enter the smaller of line 21 or \$11,950.	33		
34 Add lines 27 and 30	34		
35 Subtract line 34 from line 33. If zero or less, enter -0-	35		
36 Enter the smaller of line 32 or line 35	36		
37 Multiply line 36 by 15%. ▶	37		
38 Enter the amount from line 31	38		
39 Add lines 30 and 36	39		
40 Subtract line 39 from line 38. If zero or less, enter -0-	40		
41 Multiply line 40 by 20% ▶	41		
42 Figure the tax on the amount on line 27. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041).	42		
43 Add lines 37, 41, and 42	43		
44 Figure the tax on the amount on line 21. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041).	44		
45 Tax on all taxable income. Enter the smaller of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36). ▶	45		

Schedule D (Form 1041) 2013

Sales and Other Dispositions of Capital Assets

OMB No 1545-0074

Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.**2013**Attachment
Sequence No **12A**

Name(s) shown on return

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Social security number or taxpayer identification number

THE RAZI FAMILY FOUNDATION

91-2169259

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a, you are not required to report these transactions on Form 8949 (see instructions).

You **must** check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☒ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☐ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	SALE OF PUBLICLY TRAD SECURITIES	VARIOUS	VARIOUS	75,000.	75,147.			-147.
	SALE OF PUBLICLY TRAD SECURITIES	VARIOUS	VARIOUS	107,736.	108,010.			-274.
	SALE OF PUBLICLY TRAD SECURITIES	VARIOUS	VARIOUS	57,418.	65,866.			-8,448.
	SALE OF PUBLICLY TRAD SECURITIES	VARIOUS	VARIOUS	11,614.	10,085.			1,529.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtr- act negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked)►				251,768.	259,108.			-7,340.

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

Social security number or taxpayer identification number

THE RAZI FAMILY FOUNDATION

91-2169259

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part II Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☒ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS

☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example: 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	SALE OF PUBLICLY TRADE SECURITIES	VARIOUS	VARIOUS	95,000.	76,459.			18,541.
	SALE OF PUBLICLY TRADE SECURITIES	VARIOUS	VARIOUS	93,018.	75,053.			17,965.
	SALE OF PUBLICLY TRADE SECURITIES	VARIOUS	VARIOUS	171,923.	113,110.			58,813.
	SALE OF PUBLICLY TRADE SECURITIES	VARIOUS	VARIOUS	152,271.	130,913.			21,358.
	SALE OF PUBLICLY TRADE SECURITIES	VARIOUS	VARIOUS	99,278.	80,807.			18,471.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶				611,490.	476,342.			135,148.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

2013 Tax Information Statement

Page 7 of 18
Ref: EMR

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-22387
Recipient's Tax ID Number: 91-2169259

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2013 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Quantity Sold	Stock or Other Symbol	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Not Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales										
665162467	MFB NORTHERN FUNDS ULTRA SHORT FIXED INCOME FD			NUSFX						
7345.7400	10/10/2013	12/05/2012			75,000.00	75,146.91	0.00	-146.91	0.00	0.00
Total Short Term Sales										
					75,000.00	75,146.91	0.00	-146.91	0.00	0.00
Long Term Sales										
665162574	MFB NORTN MULTIMGR MID CAP FD			NMMCX						
1457.7300	06/03/2013	01/30/2012			20,000.00	16,880.50	0.00	3,119.50	0.00	0.00
665162574	MFB NORTN MULTIMGR MID CAP FD			NMMCX						
3500.3700	07/22/2013	04/09/2007			50,580.36	40,009.22	0.00	10,571.14	0.00	0.00
665162574	MFB NORTN MULTIMGR MID CAP FD			NMMCX						
1689.9400	07/22/2013	01/30/2012			24,419.64	19,569.50	0.00	4,850.14	0.00	0.00
Total Long Term Sales										
					95,000.00	76,459.22	0.00	18,540.78	0.00	0.00
Long Term 28% Sales										
78463V107	MFC SPDR GOLD TR GOLD SHS			GLD						
705.0000	05/22/2013	Various			93,017.77	75,053.05	0.00	17,964.72	0.00	0.00
Total Long Term 28% Sales										
					93,017.77	75,053.05	0.00	17,964.72	0.00	0.00

This is important tax information and is being furnished to you.



2013 Tax Information Statement

Page 7 of 39
Ref: EMR

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71353
Recipient's Tax ID Number: 91-2169259

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2013 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales								
00206R102	AT&T INC	T	1,583.74	1,600.87	0.00	-17.13	0.00	0.00
46.0000	01/10/2013 Various							
00206R102	AT&T INC	T	1,495.33	1,539.18	0.00	-43.85	0.00	0.00
45.0000	01/18/2013 Various							
988498101	YUM BRANDS INC	YUM	2,929.24	2,923.32	0.00	5.92	0.00	0.00
45.0000	01/18/2013 Various							
00206R102	AT&T INC	T	3,445.52	3,437.49	0.00	8.03	0.00	0.00
103.0000	01/22/2013 05/15/2012							
88076W103	TERADATA CORP DEL COM STK	TDC	624.66	666.85	0.00	-42.19	0.00	0.00
10.0000	02/08/2013 03/01/2012							
14040H105	CAPITAL ONE FINL CORP	COF	1,699.45	1,801.51	0.00	-102.06	0.00	0.00
32.0000	02/19/2013 07/20/2012							
14040H105	CAPITAL ONE FINL CORP	COF	3,270.30	3,314.18	0.00	-43.88	0.00	0.00
63.0000	02/21/2013 Various							
399473107	GROUPON INC	GRPN	442.22	408.02	0.00	34.20	0.00	0.00
87.0000	03/01/2013 02/28/2013							
191216100	COCA COLA CO	KO	1,117.44	1,110.09	0.00	7.35	0.00	0.00
29.0000	03/04/2013 04/30/2012							

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 8 of 39
Ref: EMR

Account Number: 23-71353
Recipient's Tax ID Number: 91-2169259

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
015351109	ALEXION PHARMACEUTICALS INC	ALXN	279.99	336.49	0.00	-56.50	0.00	0.00
191216100	COCA COLA CO	KO						
45.0000	03/06/2013 Various		1,752.71	1,692.74	0.00	59.97	0.00	0.00
015351109	ALEXION PHARMACEUTICALS INC	ALXN						
10.0000	03/07/2013 Various		919.89	1,078.09	0.00	-158.20	0.00	0.00
015351109	ALEXION PHARMACEUTICALS INC	ALXN						
9.0000	03/11/2013 Various		823.31	834.71	0.00	-11.40	0.00	0.00
90384S303	ULTA SALON COSMETICS & FRAGRANCE INC COMSTK	ULTA						
15.0000	03/19/2013 Various		1,123.34	1,469.68	0.00	-346.34	0.00	0.00
288648102	EMC CORP COM	EMC						
38.0000	04/16/2013 08/17/2012		866.02	1,019.07	0.00	-153.05	0.00	0.00
149123101	CATERPILLAR INC	CAT						
7.0000	05/02/2013 01/22/2013		582.61	675.22	0.00	-92.61	0.00	0.00
Y0486S104	AVAGO TECHNOLOGIES LTD	AVGO						
10.0000	05/06/2013 10/11/2012		321.05	334.88	0.00	-13.83	0.00	0.00
369604103	GENERAL ELECTRIC CO	GE						
55.0000	05/15/2013 Various		1,259.55	1,283.13	0.00	-23.58	0.00	0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 9 of 39
Ref: EMR

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71353
Recipient's Tax ID Number: 91-2169259

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
00184X105	AOL INC COM STK	AOL	1,093.19	1,296.20	0.00	-203.01	0.00	0.00
32.0000	05/30/2013 Various							
30303M102	FACEBOOK INC CL A CL A	FB	2,844.73	3,244.57	0.00	-399.84	0.00	0.00
117.0000	05/30/2013 Various							
00184X105	AOL INC COM STK	AOL	1,129.10	1,292.84	0.00	-163.74	0.00	0.00
33.0000	05/31/2013 Various							
30303M102	FACEBOOK INC CL A CL A	FB	1,946.96	1,779.33	0.00	167.63	0.00	0.00
79.0000	05/31/2013 Various							
00507K103	#REORG/ACTAVIS INC STK MERGER ACTAVIS PLC 281PAW1 EFF 10-01-2013	ACT	858.96	747.36	0.00	111.60	0.00	0.00
7.0000	06/03/2013 05/06/2013							
023135106	AMAZON COM INC	AMZN	530.05	555.32	0.00	-25.27	0.00	0.00
2.0000	06/03/2013 01/28/2013							
054303102	AVON PRODS INC	AVP	487.61	488.66	0.00	-1.05	0.00	0.00
21.0000	06/03/2013 05/06/2013							
13645T100	CANADIAN PACIFIC RAILWAY LTD COM	CP	792.95	759.62	0.00	33.33	0.00	0.00
6.0000	06/03/2013 03/08/2013							
17275R102	CISCO SYS INC	CSCO	556.71	557.75	0.00	-1.04	0.00	0.00
23.0000	06/03/2013 05/30/2013							

This is important tax information and is being furnished to you.



2013 Tax Information Statement

Page 10 of 39
Ref: EMR

Account Number: 23-71353
Recipient's Tax ID Number: 91-2169259

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
172967424	CITIGROUP INC COM NEW COM NEW	C	825.75	818.03	0.00	7.72	0.00	0.00
16.0000	06/03/2013 05/16/2013							
189754104	COACH INC	COH	233.16	235.19	0.00	-2.03	0.00	0.00
4.0000	06/03/2013 05/02/2013							
20030N101	COMCAST CORP NEW CL A	CMCSA	966.10	975.47	0.00	-9.37	0.00	0.00
24.0000	06/03/2013 Various							
225447101	CREE INC	CREE	427.76	415.35	0.00	12.41	0.00	0.00
7.0000	06/03/2013 05/06/2013							
247361702	DELTA AIR LINES INC DEL COM NEW COM NEW	DAL	689.90	714.82	0.00	-24.92	0.00	0.00
39.0000	06/03/2013 Various							
30219G108	EXPRESS SCRIPTS HLDG CO COM	ESRX	812.95	828.89	0.00	-15.94	0.00	0.00
13.0000	06/03/2013 09/27/2012							
369604103	GENERAL ELECTRIC CO	GE	823.19	805.21	0.00	17.98	0.00	0.00
35.0000	06/03/2013 10/01/2012							
38141G104	GOLDMAN SACHS GROUP INC	GS	977.44	989.11	0.00	-11.67	0.00	0.00
6.0000	06/03/2013 05/31/2013							
42805T105	HERTZ GLOBAL HLDGS INC COM	HTZ	1,212.94	998.47	0.00	214.47	0.00	0.00
48.0000	06/03/2013 Various							

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 11 of 39
Ref: EMR

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71353
Recipient's Tax ID Number: 91-2169259

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol		Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld		State Tax Withheld
				Stocks Bonds, etc.	Cost or Other Basis					
693506107	PPG INDS INC COM			PPG						
4.0000	06/03/2013 05/06/2013			615.71	606.50	0.00	9.21	0.00	0.00	0.00
716933106	PHARMACYCLICS INC COM			PCYC						
1.0000	06/03/2013 05/15/2013			87.33	88.84	0.00	-1.51	0.00	0.00	0.00
723787107	PIONEER NAT RES CO			PXD						
5.0000	06/03/2013 Various			698.69	622.70	0.00	75.99	0.00	0.00	0.00
742718109	PROCTER & GAMBLE CO			PG						
11.0000	06/03/2013 12/07/2012			847.32	774.96	0.00	72.36	0.00	0.00	0.00
75886F107	REGENERON PHARMACEUTICALS INC			REGN						
1.0000	06/03/2013 05/02/2013			233.72	242.97	0.00	-9.25	0.00	0.00	0.00
78442P106	SLM CORPORATION SECURITIES			SLM						
26.0000	06/03/2013 04/12/2013			621.65	542.20	0.00	79.45	0.00	0.00	0.00
80004C101	SANDISK CORP			SNDK						
14.0000	06/03/2013 Various			818.15	763.61	0.00	54.54	0.00	0.00	0.00
82967N108	#REORG SIRIUS NAME CHANGE SIRIUS XM HLDGS INC			SIRI						
193.0000	COM 000211FAE1 11/15/2013			657.16	640.74	0.00	16.42	0.00	0.00	0.00
917047102	URBAN OUTFITTERS INC			URBN						
11.0000	06/03/2013 Various			456.82	432.44	0.00	24.38	0.00	0.00	0.00

This is important tax information and is being furnished to you.



2013 Tax Information Statement

Page 12 of 39

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71353
Recipient's Tax ID Number: 91-2169259

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withhold	State Tax Withhold
983320106	WHIRLPOOL CORP	WHR	6.0000	06/03/2013	03/11/2013	753.29	711.14	0.00	42.15	0.00	0.00
98978V103	ZOETIS INC COM USD0.01 CL 'A'	ZTS	6.0000	06/03/2013	03/14/2013	193.02	211.36	0.00	-18.34	0.00	0.00
G1151C101	ACCENTURE PLC SHS CL A NEW	ACN	14.0000	07/01/2013	10/01/2012	1,016.49	981.68	0.00	34.81	0.00	0.00
369604103	GENERAL ELECTRIC CO	GE	70.0000	07/05/2013	Various	1,612.74	1,610.18	0.00	2.56	0.00	0.00
369604103	GENERAL ELECTRIC CO	GE	70.0000	07/11/2013	Various	1,688.72	1,576.07	0.00	92.65	0.00	0.00
369604103	GENERAL ELECTRIC CO	GE	71.0000	07/12/2013	Various	1,679.70	1,537.09	0.00	142.61	0.00	0.00
80004C101	SANDISK CORP	SNDK	28.0000	07/18/2013	Various	1,677.40	1,504.12	0.00	173.28	0.00	0.00
80004C101	SANDISK CORP	SNDK	56.0000	07/19/2013	Various	3,483.71	2,843.30	0.00	640.41	0.00	0.00
824348106	SHERWIN WILLIAMS CO	SHW	3.0000	07/19/2013	08/30/2012	511.02	429.33	0.00	81.69	0.00	0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 13 of 39
 Recipient's Name and Address: Ref: EMR
 THE RAZI FAMILY FOUNDATION
 ATTN: MR. ALI C RAZI
 12735 SAN VICENTE BOULEVARD
 LOS ANGELES, CA 90049

Account Number: 23-71353
 Recipient's Tax ID Number: 91-2169259

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Salos are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
42805T105	HERTZ GLOBAL HLDGS INC COM	07/30/2013	Various	HTZ	1,090.61	0.00	257.31	0.00	0.00
189754104	COACH INC	07/31/2013	05/02/2013	COH	1,424.49	0.00	-163.02	0.00	0.00
231021106	CUMMINS ENGINE INC	07/31/2013	Various	CMI	1,078.22	0.00	50.07	0.00	0.00
30219G108	EXPRESS SCRIPTS HLDG CO COM	07/31/2013	09/27/2012	ESRX	1,319.14	0.00	43.92	0.00	0.00
30219G108	EXPRESS SCRIPTS HLDG CO COM	08/01/2013	Various	ESRX	2,296.94	0.00	210.54	0.00	0.00
30219G108	EXPRESS SCRIPTS HLDG CO COM	08/02/2013	Various	ESRX	1,690.90	0.00	322.27	0.00	0.00
98978V103	ZOETIS INC COM USD0.01 CL 'A'	08/07/2013	Various	ZTS	926.09	0.00	-67.74	0.00	0.00
98978V103	ZOETIS INC COM USD0.01 CL 'A'	08/15/2013	Various	ZTS	1,139.62	0.00	-45.83	0.00	0.00
98978V103	ZOETIS INC COM USD0.01 CL 'A'	08/16/2013	Various	ZTS	839.63	0.00	-19.57	0.00	0.00

This is important tax information and is being furnished to you.



2013 Tax Information Statement

Page 14 of 39
Ref: EMR

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71353
Recipient's Tax ID Number: 91-2169259

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
61166W101	MONSANTO CO NEW	MON	1,493.75	1,505.21	0.00	-11.46	0.00	0.00
15.0000	09/04/2013 Various							
247361702	DELTA AIR LINES INC DEL COM NEW COM NEW	DAL	918.05	862.52	0.00	55.53	0.00	0.00
41.0000	09/11/2013 Various							
917047102	URBAN OUTFITTERS INC	URBN	1,725.24	1,804.48	0.00	-79.24	0.00	0.00
45.0000	09/11/2013 Various							
00507K103	#REORG/ACTAVIS INC STK MERGER ACTAVIS PLC	ACT	864.06	810.95	0.00	53.11	0.00	0.00
6.0000	2R1PAW1 EFF 10/01/2013 10/01/2013 07/30/2013							
17275R102	CISCO SYS INC	CSCO	1,182.46	1,342.38	0.00	-159.92	0.00	0.00
52.0000	10/10/2013 Various							
886547108	TIFFANY & CO NEW	TIF	1,434.03	1,526.07	0.00	-92.04	0.00	0.00
19.0000	10/10/2013 Various							
886547108	TIFFANY & CO NEW	TIF	1,729.33	1,803.91	0.00	-74.58	0.00	0.00
23.0000	10/11/2013 Various							
963320106	WHIRLPOOL CORP	WHR	1,707.19	1,504.92	0.00	202.27	0.00	0.00
13.0000	10/15/2013 Various							
172967424	CITIGROUP INC COM NEW COM NEW	C	1,421.31	1,298.44	0.00	122.87	0.00	0.00
28.0000	10/17/2013 Various							

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 15 of 39
Ref: EMR

Account Number: 23-71353
Recipient's Tax ID Number: 91-2169259

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Quantity Sold	Date of Sale or Exchange	Description	Stock or Other Symbol		Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
				Stocks Bonds, etc.	Cost or Other Basis				
38141G104			GOLDMAN SACHS GROUP INC	GS					
40.0000		10/18/2013	Various	6,328.88	5,915.26	0.00	413.62	0.00	0.00
17275R102			CISCO SYS INC	CSCO					
62.0000		10/23/2013	Various	1,385.90	1,573.93	0.00	-188.03	0.00	0.00
172967424			CITIGROUP INC COM NEW COM NEW	C					
70.0000		10/23/2013	Various	3,514.16	2,930.38	0.00	583.78	0.00	0.00
260543103			DOW CHEMICAL CO	DOW					
46.0000		10/28/2013	08/26/2013	1,803.79	1,792.85	0.00	10.94	0.00	0.00
260543103			DOW CHEMICAL CO	DOW					
45.0000		10/29/2013	08/26/2013	1,770.89	1,753.88	0.00	17.01	0.00	0.00
054303102			AVON PRODS INC	AVP					
165.0000		11/01/2013	Various	2,867.40	3,591.56	0.00	-724.16	0.00	0.00
17275R102			CISCO SYS INC	CSCO					
68.0000		11/15/2013	Various	1,457.95	1,670.28	0.00	-212.33	0.00	0.00
17275R102			CISCO SYS INC	CSCO					
171.0000		12/03/2013	Various	3,615.85	4,100.81	0.00	-484.96	0.00	0.00
399473107			GROUPON INC	GRPN					
147.0000		12/03/2013	09/20/2013	1,331.17	1,857.95	0.00	-526.78	0.00	0.00

This is important tax information and is being furnished to you.



2013 Tax Information Statement

Account Number: 23-71353
Recipient's Tax ID Number: 91-2169259

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Total Short Term Sales										
437076102	HOME DEPOT INC			HD						
13.0000	01/02/2013 Various				818.05	332.73	0.00	485.32	0.00	0.00
14040H105	CAPITAL ONE FINL CORP			COF						
33.0000	01/22/2013 Various				1,859.73	1,744.37	0.00	115.36	0.00	0.00
57636Q104	MASTERCARD INC CL A			MA						
4.0000	01/28/2013 06/08/2009				2,060.82	667.64	0.00	1,393.18	0.00	0.00
57636Q104	MASTERCARD INC CL A			MA						
2.0000	02/07/2013 02/03/2012				1,034.25	775.35	0.00	258.90	0.00	0.00
966837106	WHOLE FOODS MKT INC			WFM						
7.0000	02/07/2013 06/08/2009				650.60	147.38	0.00	503.22	0.00	0.00
88076W103	TERADATA CORP DEL COM STK			TDC						
49.0000	02/08/2013 Various				3,060.84	2,493.72	0.00	567.12	0.00	0.00
191216100	COCA COLA CO			KO						
68.0000	02/14/2013 08/03/2010				2,507.37	1,917.83	0.00	589.54	0.00	0.00
14040H105	CAPITAL ONE FINL CORP			COF						
43.0000	02/15/2013 Various				2,357.30	2,103.15	0.00	254.15	0.00	0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 17 of 39
Ref: EMR

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71353
Recipient's Tax ID Number: 91-2169259

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol		Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld		State Tax Withheld
				Stocks Bonds, etc.	Cost or Other Basis					
532716107	LIMITED INC			LTD						
75.0000	02/15/2013	Various		3,322.75	2,859.93	0.00	462.82	0.00	0.00	0.00
966837106	WHOLE FOODS MKT INC			WFM						
52.0000	02/15/2013	Various		4,568.67	1,082.92	0.00	3,485.75	0.00	0.00	0.00
14040H105	CAPITAL ONE FINL CORP			COF						
1.0000	02/19/2013	07/15/2011		53.11	48.30	0.00	4.81	0.00	0.00	0.00
191216100	COCA COLA CO			KO						
38.0000	02/19/2013	Various		1,431.65	1,064.77	0.00	366.88	0.00	0.00	0.00
550021109	LULULEMON ATHLETICA INC COM			LULU						
26.0000	02/19/2013	01/27/2012		1,783.30	1,656.01	0.00	127.29	0.00	0.00	0.00
191216100	COCA COLA CO			KO						
32.0000	02/28/2013	Various		1,240.76	891.13	0.00	349.63	0.00	0.00	0.00
191216100	COCA COLA CO			KO						
21.0000	03/01/2013	08/12/2010		812.27	583.78	0.00	228.49	0.00	0.00	0.00
037833100	APPLE COMPUTER INC			AAPL						
3.0000	03/04/2013	06/08/2009		1,279.02	423.06	0.00	855.96	0.00	0.00	0.00
191216100	COCA COLA CO			KO						
16.0000	03/04/2013	08/12/2010		616.52	444.78	0.00	171.74	0.00	0.00	0.00

This is important tax information and is being furnished to you.



2013 Tax Information Statement

Page 18 of 39
Ref: EMR

Account Number: 23-71353
Recipient's Tax ID Number: 91-2169259

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
015351109	ALEXION PHARMACEUTICALS INC	03/06/2013	Various	ALXN	1,341.02	0.00	338.93	0.00	0.00
18.0000									
037833100	APPLE COMPUTER INC	03/07/2013	06/08/2009	AAPL	282.04	0.00	567.26	0.00	0.00
2.0000									
90384S303	ULTA SALON COSMETICS & FRAGRANCE INC	03/19/2013	Various	ULTA	1,567.55	0.00	80.00	0.00	0.00
22.0000									
268648102	EMC CORP COM	04/12/2013	Various	EMC	1,843.70	0.00	-279.21	0.00	0.00
69.0000									
268648102	EMC CORP COM	04/16/2013	02/14/2012	EMC	1,022.09	0.00	-133.27	0.00	0.00
39.0000									
Y0486S104	AVAGO TECHNOLOGIES LTD	05/01/2013	Various	AVGO	1,821.78	0.00	-146.34	0.00	0.00
53.0000									
149123101	CATERPILLAR INC	05/02/2013	Various	CAT	2,372.69	0.00	956.52	0.00	0.00
40.0000									
Y0486S104	AVAGO TECHNOLOGIES LTD	05/02/2013	Various	AVGO	898.17	0.00	-50.51	0.00	0.00
27.0000									
717081103	PFIZER INC	05/06/2013	04/19/2012	PFE	804.23	0.00	231.11	0.00	0.00
36.0000									

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 19 of 39
Ref: EMR

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71353
Recipient's Tax ID Number: 91-2169259

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Y0486S104	AVAGO TECHNOLOGIES LTD	05/06/2013	09/29/2011	AVGO	610.00	0.00	-16.97	0.00	0.00
244199105	DEERE & CO	05/16/2013	Various	DE	3,869.82	0.00	1,402.67	0.00	0.00
31428X106	FEDEX CORP COM	05/16/2013	Various	FDX	999.26	0.00	52.65	0.00	0.00
31428X106	FEDEX CORP COM	05/29/2013	Various	FDX	2,612.36	0.00	308.32	0.00	0.00
31428X106	FEDEX CORP COM	05/29/2013	Various	FDX	1,064.29	0.00	56.92	0.00	0.00
717081103	PFIZER INC	05/30/2013	Various	PFE	1,327.47	0.00	350.40	0.00	0.00
79466L302	SALESFORCE COM INC COM STK	05/30/2013	12/22/2010	CRM	843.49	0.00	159.26	0.00	0.00
79466L302	SALESFORCE COM INC COM STK	05/30/2013	Various	CRM	1,223.06	0.00	209.61	0.00	0.00
037833100	APPLE COMPUTER INC	06/03/2013	Various	AAPL	2,239.06	0.00	1,707.48	0.00	0.00

This is important tax information and is being furnished to you.



2013 Tax Information Statement

Page 20 of 39
Ref: EMR

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71353
Recipient's Tax ID Number: 91-2169259

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withhold	State Tax Withhold
09062X103	BIOGEN IDEC INC COM STK TO BIOGEN IDEC SEC#2005597 EFF 11/13/03 06/03/2013 02/01/2012	BIIB	1,581.90	836.34	0.00	745.56	0.00	0.00
127097103	CABOT OIL & GAS CORP CL A	COG						
11.0000	06/03/2013 05/10/2011		775.27	308.75	0.00	466.52	0.00	0.00
231021106	CUMMINS ENGINE INC	CMI						
6.0000	06/03/2013 03/06/2012		705.35	700.50	0.00	4.85	0.00	0.00
254709108	DISCOVER FINL SVCS COM STK	DFS						
37.0000	06/03/2013 Various		1,777.68	925.07	0.00	852.61	0.00	0.00
278642103	EBAY INC	EBAY						
21.0000	06/03/2013 04/20/2012		1,113.40	855.03	0.00	258.37	0.00	0.00
375558103	GILEAD SCIENCES INC	GILD						
28.0000	06/03/2013 04/20/2012		1,477.85	724.59	0.00	753.26	0.00	0.00
38259P508	GOOGLE INC CL A	GOOG						
2.0000	06/03/2013 12/23/2011		1,222.52	1,267.86	0.00	454.66	0.00	0.00
437076102	HOME DEPOT INC	HD						
16.0000	06/03/2013 Various		1,259.50	402.54	0.00	856.96	0.00	0.00
517834107	LAS VEGAS SANDS CORP	LVS						
15.0000	06/03/2013 09/25/2009		861.88	261.64	0.00	600.24	0.00	0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 21 of 39
Ref: EMR

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71353
Recipient's Tax ID Number: 91-2169259

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol		Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld		State Tax Withheld
				Stocks Bonds, etc.					Tax Withheld		
518439104	LAUDER ESTEE COS INC CL A	06/03/2013	06/08/2009	EL	736.88	183.20	0.00	553.68	0.00	0.00	0.00
11.0000											
53578A108	LINKEDIN CORP CL A	06/03/2013	05/07/2012	LNKD	818.39	572.05	0.00	246.34	0.00	0.00	0.00
5.0000											
574599106	MASCO CORP. COMMON STOCK, \$1 PAR	06/03/2013	Various	MAS	757.75	498.81	0.00	258.94	0.00	0.00	0.00
37.0000											
57636Q104	MASTERCARD INC CL A	06/03/2013	06/08/2009	MA	1,149.12	333.82	0.00	815.30	0.00	0.00	0.00
2.0000											
57636Q104	MASTERCARD INC CL A	06/03/2013	Various	MA	2,298.24	1,585.93	0.00	712.31	0.00	0.00	0.00
4.0000											
61166W101	MONSANTO CO NEW	06/03/2013	11/08/2010	MON	507.17	311.49	0.00	195.68	0.00	0.00	0.00
5.0000											
61166W101	MONSANTO CO NEW	06/03/2013	01/06/2012	MON	1,014.33	774.29	0.00	240.04	0.00	0.00	0.00
10.0000											
654106103	NIKE INC CLASS B	06/03/2013	12/12/2011	NKE	1,064.69	817.59	0.00	247.10	0.00	0.00	0.00
17.0000											
655044105	NOBLE ENERGY INC COM	06/03/2013	01/24/2012	NBL	1,207.99	1,058.24	0.00	149.75	0.00	0.00	0.00
21.0000											

This is important tax information and is being furnished to you.



2013 Tax Information Statement

Page 22 of 39
Ref: EMR

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION*
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71353
Recipient's Tax ID Number: 91-2169259

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
717081103	PFIZER INC	PFE	1,022.29	729.94	0.00	292.35	0.00	0.00
747525103	QUALCOMM INC	QCOM	1,383.37	1,390.97	0.00	-7.60	0.00	0.00
79466L302	SALESFORCE COM INC COM STK	CRM	408.79	329.08	0.00	79.71	0.00	0.00
824348106	SHERWIN WILLIAMS CO	SHW	744.99	377.81	0.00	367.18	0.00	0.00
855244109	STARBUCKS CORP COM	SBUX	1,197.20	262.52	0.00	934.68	0.00	0.00
907818108	UNION PAC CORP COM	UNP	1,240.30	907.82	0.00	332.49	0.00	0.00
91911K102	VALEANT PHARMACEUTICALS INTERNATIONAL INC COMMON STOCK	VRX	1,151.00	684.69	0.00	466.31	0.00	0.00
G1151C101	ACCENTURE PLC SHS CL A NEW	ACN	1,146.72	842.21	0.00	304.51	0.00	0.00
G60754101	MICHAEL KORS HOLDINGS LTD COM NPV	KORS	1,046.43	808.49	0.00	237.94	0.00	0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 23 of 39
Ref: EMR

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71353
Recipient's Tax ID Number: 91-2169259

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip		Description	Stock or Other Symbol		Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.						
N53745100	LYONDELLBASELL IND N V COM USD0.01 CL		LYB						
11.0000	06/03/2013	Various	734.79	467.60	0.00	267.19	0.00	0.00	0.00
254709108	DISCOVER FINL SVCS COM STK		DFS						
14.0000	06/10/2013	03/28/2011	687.16	344.51	0.00	342.65	0.00	0.00	0.00
747525103	QUALCOMM INC		QCOM						
33.0000	06/10/2013	Various	2,044.01	1,513.85	0.00	530.16	0.00	0.00	0.00
747525103	QUALCOMM INC		QCOM						
2.0000	06/10/2013	02/03/2012	123.88	122.37	0.00	1.51	0.00	0.00	0.00
254709108	DISCOVER FINL SVCS COM STK		DFS						
10.0000	06/20/2013	Various	474.55	245.15	0.00	229.40	0.00	0.00	0.00
G1151C101	ACCENTURE PLC SHS CL A NEW		ACN						
55.0000	07/01/2013	12/20/2010	3,993.36	2,677.30	0.00	1,316.06	0.00	0.00	0.00
G1151C101	ACCENTURE PLC SHS CL A NEW		ACN						
16.0000	07/01/2013	Various	1,161.71	889.94	0.00	271.77	0.00	0.00	0.00
717081103	PFIZER INC		PFE						
30.0000	07/02/2013	06/25/2012	829.20	675.49	0.00	153.71	0.00	0.00	0.00
98978V103	ZOETIS INC COM USD0.01 CL 'A'		ZTS						
.8600	07/03/2013	06/25/2012	26.69	19.55	0.00	7.14	0.00	0.00	0.00

This is important tax information and is being furnished to you.



2013 Tax Information Statement

Page 24 of 39
Ref: EMR

Account Number: 23-71353
Recipient's Tax ID Number: 91-2169259

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
53578A108	LINKEDIN CORP CL A	LNKD	4.0000	07/11/2013	05/07/2012	786.23	457.64	0.00	328.59	0.00	0.00
747525103	QUALCOMM INC	QCOM	22.0000	07/18/2013	Various	1,350.46	988.10	0.00	362.35	0.00	0.00
278642103	EBAY INC	EBAY	9.0000	07/19/2013	04/20/2012	474.93	366.45	0.00	108.48	0.00	0.00
79466L302	SALESFORCE COM INC COM STK	CRM	42.0000	07/19/2013	Various	1,783.38	1,367.92	0.00	415.46	0.00	0.00
824348106	SHERWIN WILLIAMS CO	SHW	16.0000	07/19/2013	01/11/2012	2,725.46	1,511.24	0.00	1,214.22	0.00	0.00
231021106	CUMMINS ENGINE INC	CMI	6.0000	07/25/2013	Various	697.30	639.97	0.00	57.33	0.00	0.00
79466L302	SALESFORCE COM INC COM STK	CRM	39.0000	07/25/2013	Various	1,671.27	1,252.51	0.00	418.76	0.00	0.00
655044105	NOBLE ENERGY INC COM	NBL	30.0000	07/29/2013	Various	1,860.97	1,506.82	0.00	354.15	0.00	0.00
231021106	CUMMINS ENGINE INC	CMI	22.0000	07/31/2013	Various	2,635.63	2,136.66	0.00	498.97	0.00	0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 25 of 39
Ref: EMR

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71353
Recipient's Tax ID Number: 91-2169259

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol		Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax		State Tax Withheld
				Bonds, etc.	MA				Tax Withheld	Withheld	
57636Q104	MASTERCARD INC CL A	08/01/2013	06/08/2009	8,988.01	MA	2,336.74	0.00	6,651.27	0.00	0.00	0.00
14.0000											
61166W101	MONSANTO CO NEW	08/01/2013	Various	1,185.29	MON	746.82	0.00	438.47	0.00	0.00	0.00
12.0000											
98978V103	ZOETIS INC COM USD0.01 CL 'A'	08/07/2013	Various	401.30	ZTS	255.95	0.00	145.35	0.00	0.00	0.00
13.0000											
61166W101	MONSANTO CO NEW	08/19/2013	Various	1,055.72	MON	972.05	0.00	83.67	0.00	0.00	0.00
11.0000											
278642103	EBAY INC	08/23/2013	Various	1,385.67	EBAY	1,232.05	0.00	153.62	0.00	0.00	0.00
27.0000											
278642103	EBAY INC	08/26/2013	Various	826.20	EBAY	697.85	0.00	128.34	0.00	0.00	0.00
16.0000											
61166W101	MONSANTO CO NEW	08/26/2013	11/05/2010	2,272.88	MON	1,424.02	0.00	848.86	0.00	0.00	0.00
23.0000											
61166W101	MONSANTO CO NEW	08/26/2013	08/01/2012	988.21	MON	868.16	0.00	120.05	0.00	0.00	0.00
10.0000											
278642103	EBAY INC	08/27/2013	Various	819.61	EBAY	542.18	0.00	277.43	0.00	0.00	0.00
16.0000											

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 26 of 39
Ref: EMR

Account Number: 23-71353
Recipient's Tax ID Number: 91-2169259

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
61166W101	MONSANTO CO NEW	MON	1,171.20	742.96	0.00	428.24	0.00	0.00
12.0000	08/27/2013 11/05/2010							
61166W101	MONSANTO CO NEW	MON	582.07	371.48	0.00	210.59	0.00	0.00
6.0000	08/28/2013 11/05/2010							
61166W101	MONSANTO CO NEW	MON	199.17	123.83	0.00	75.34	0.00	0.00
2.0000	09/04/2013 11/05/2010							
278642103	EBAY INC	EBAY	3,428.01	2,105.26	0.00	1,322.75	0.00	0.00
66.0000	09/05/2013 Various							
917047102	URBAN OUTFITTERS INC	URBN	1,648.57	1,614.04	0.00	34.53	0.00	0.00
43.0000	09/11/2013 Various							
38259P508	GOOGLE INC CL A	GOOG	2,700.87	1,750.86	0.00	950.01	0.00	0.00
3.0000	09/20/2013 10/17/2011							
00507K103	#REORG/ACTAVIS INC STK MERGER ACTAVIS PLC	ACT	5,904.41	3,378.97	0.00	2,525.44	0.00	0.00
41.0000	2R1PAW1 EFF 10-01-2013							
38259P508	GOOGLE INC CL A	GOOG	1,724.90	1,513.13	0.00	211.77	0.00	0.00
2.0000	10/10/2013 10/08/2012							
57636Q104	MASTERCARD INC CL A	MA	712.95	471.61	0.00	241.34	0.00	0.00
1.0000	10/23/2013 10/08/2012							

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 27 of 39
Ref: EMR

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71353
Recipient's Tax ID Number: 91-2169259

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Quantity Sold	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol		Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld		State Tax Withheld
					Stocks Bonds, etc.	Cost or Other Basis					
723787107	2.0000	PIONEER NAT RES CO	10/23/2013	09/14/2012	PXD 400.87	230.50	0.00	170.37	0.00	0.00	0.00
907818108	9.0000	UNION PAC CORP COM	10/28/2013	Various	UNP 1,370.95	1,055.97	0.00	314.98	0.00	0.00	0.00
907818108	12.0000	UNION PAC CORP COM	10/30/2013	01/19/2012	UNP 1,796.95	1,355.97	0.00	440.98	0.00	0.00	0.00
N53745100	20.0000	LYONDELLBASELL IND N V COM USD0.01 CL A	10/30/2013	Various	LYB 1,510.30	793.12	0.00	717.17	0.00	0.00	0.00
907818108	24.0000	UNION PAC CORP COM	10/31/2013	Various	UNP 3,635.48	2,647.15	0.00	988.33	0.00	0.00	0.00
57636Q104	1.0000	MASTERCARD INC CL A	11/06/2013	06/08/2009	MA 733.73	166.91	0.00	566.82	0.00	0.00	0.00
723787107	2.0000	PIONEER NAT RES CO	11/06/2013	09/14/2012	PXD 410.84	230.51	0.00	180.33	0.00	0.00	0.00
G60754101	13.0000	MICHAEL KORS HOLDINGS LTD COM NPV	11/06/2013	Various	KORS 1,026.95	650.46	0.00	376.49	0.00	0.00	0.00
127097103	18.0000	CABOT OIL & GAS CORP CL A	11/08/2013	05/10/2011	COG 594.88	252.62	0.00	342.06	0.00	0.00	0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 28 of 39
Ref: EMR

Recipient's Name and Address:

THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71353

Recipient's Tax ID Number: 91-2169259

☐ Corrected

☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
42805T105	HERTZ GLOBAL HLDGS INC COM	HTZ	749.90	490.23	0.00	259.67	0.00	0.00
127097103	CABOT OIL & GAS CORP CL A	COG	3,713.47	1,531.10	0.00	2,182.37	0.00	0.00
42805T105	HERTZ GLOBAL HLDGS INC COM	HTZ	663.53	414.39	0.00	249.14	0.00	0.00
91911K102	VALEANT PHARMACEUTICALS INTERNATIONAL INC COMMON STOCK	VRX	1,292.03	604.84	0.00	687.19	0.00	0.00
254709108	DISCOVER FINL SVCS COM STK	DFS	734.75	539.81	0.00	194.94	0.00	0.00
254709108	DISCOVER FINL SVCS COM STK	DFS	694.00	471.84	0.00	222.16	0.00	0.00
57636Q104	MASTERCARD INC CL A	MA	797.40	166.91	0.00	630.49	0.00	0.00
82968B103	SIRIUS XM HLDGS INC COM	SIRI	934.32	777.73	0.00	156.59	0.00	0.00
82968B103	SIRIUS XM HLDGS INC COM	SIRI	892.41	698.58	0.00	193.83	0.00	0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 29 of 39
Ref: EMR

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71353
Recipient's Tax ID Number: 91-2169259

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal		State	
								Income	Tax Withheld	Tax	Withheld
254709108	DISCOVER FINL SVCS COM STK			DFS							
17.0000	12/26/2013	07/20/2012		926.29	595.82	0.00	330.47	0.00	0.00	0.00	0.00
G60754101	MICHAEL KORS HOLDINGS LTD COM NPV			KORS							
13.0000	12/26/2013	Various		1,053.93	604.47	0.00	449.46	0.00	0.00	0.00	0.00
Total Long Term Sales				171,923.38	113,109.83	0.00	58,813.54	0.00	0.00	0.00	0.00

This is important tax information and is being furnished to you.



2013 Tax Information Statement

Page 8 of 33
Ref: EMR

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number:
23-71357
91-2169259

Recipient's Tax ID Number:

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2013 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales									
009126202	ADR AIR LIQUIDE ADR			AIQVY					
2.0000	01/09/2013	05/02/2012		48.94	47.11	0.00	1.83	0.00	0.00
03524A108	ADR ANHEUSER BUSCH INBEV SA/NV SPONSOREDADR			BUD					
2.0000	01/09/2013	05/04/2012		168.96	146.67	0.00	22.29	0.00	0.00
049255706	ADR ATLAS COPCO AB SPONSORED ADR NEW REPSTG			ATLKY					
6.0000	01/09/2013	05/02/2012		166.02	139.55	0.00	26.47	0.00	0.00
055434203	BG PLC ADR FIN INST N			BRGYY					
1.0000	01/09/2013	05/02/2012		16.53	24.04	0.00	-7.51	0.00	0.00
12637N105	ADR CSL LTD ADR			CMXHY					
6.0000	01/09/2013	05/02/2012		164.58	118.21	0.00	46.37	0.00	0.00
191459205	ADR COCHLEAR LTD ADR UNSPON			CHEOY					
3.0000	01/09/2013	05/02/2012		126.13	102.20	0.00	23.93	0.00	0.00
368287207	GAZPROM O A O SPONSORED ADR			OGZPY					
7.0000	01/09/2013	05/02/2012		67.90	79.86	0.00	-11.96	0.00	0.00
45104G104	ADR ICICI BK LTD			IBN					
6.0000	01/09/2013	05/02/2012		266.87	201.80	0.00	65.07	0.00	0.00
453038408	IMPERIAL OIL LTD COM NEW COM NEW NOT USE SEE			IMO					
3.0000	01/09/2013	05/02/2012		131.31	139.88	0.00	-8.57	0.00	0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 9 of 33
Ref: EMR

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71357
Recipient's Tax ID Number: 91-2169259

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
501897102	ADR LI & FUNG LTD ADR	11.0000	01/09/2013	05/02/2012	LFUGY	48.84	0.00	-9.02	0.00	0.00
502117203	ADR L OREAL CO ADR	8.0000	01/09/2013	05/02/2012	LRLCY	194.88	0.00	24.08	0.00	0.00
54338V101	ADR LONZA GROUP AG ADR	19.0000	01/09/2013	05/02/2012	LZAGY	90.01	0.00	18.62	0.00	0.00
62474M108	ADR MTN GROUP LTD SPONSORED ADR	7.0000	01/09/2013	05/02/2012	MTNOY	123.37	0.00	23.54	0.00	0.00
65528V107	ADR NOKIAN TYRES OYJ ADR ADR	7.0000	01/09/2013	05/02/2012	NKRKY	165.61	0.00	-24.56	0.00	0.00
803866300	ADR SASOL LTD SPONSORED ADR	2.0000	01/09/2013	05/02/2012	SSL	95.55	0.00	-8.67	0.00	0.00
80687P106	ADR SCHNEIDER ELEC SA ADR	16.0000	01/09/2013	05/02/2012	SBGSY	198.68	0.00	33.95	0.00	0.00
83569C102	ADR SONOVA HLDG AG UNSP ADR	7.0000	01/09/2013	05/02/2012	SONVY	152.62	0.00	7.96	0.00	0.00
870123106	ADR SWATCH GROUP AG ADR	7.0000	01/09/2013	05/02/2012	SWGAY	163.52	0.00	24.92	0.00	0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71357
Recipient's Tax ID Number: 91-2169259

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol		Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
				Bonds, etc.	SSMX					
87184P109	SYSMEX CORP ADR	01/09/2013	12/06/2012	122.60	118.55	0.00	0.00	3.95	0.00	0.00
881575302	TESCO PLC SPONSORED ADR	01/09/2013	05/02/2012	134.80	126.35	0.00	0.00	8.45	0.00	0.00
900148701	ADR TURKIYE GARANTI BANKASI A S SPONSORED ADR	01/09/2013	05/02/2012	232.31	165.02	0.00	0.00	67.29	0.00	0.00
98418R100	ADR XINYI GLASS HLDGS LTD ADS REPSTG 20 SHS	01/09/2013	07/10/2012	83.16	67.83	0.00	0.00	15.33	0.00	0.00
G16962105	BUNGE LIMITED	01/09/2013	05/02/2012	148.68	130.76	0.00	0.00	17.92	0.00	0.00
N72482107	QIAGEN N V EURO.01	01/09/2013	05/02/2012	113.62	101.22	0.00	0.00	12.40	0.00	0.00
N72482107	QIAGEN N V EURO.01	01/10/2013	05/02/2012	3,772.34	3,357.26	0.00	0.00	415.08	0.00	0.00
N72482107	QIAGEN N V EURO.01	01/11/2013	05/02/2012	2,810.50	2,496.85	0.00	0.00	313.65	0.00	0.00
806857108	SCHLUMBERGER LTD ISIN #AN8068571086	02/05/2013	05/02/2012	2,718.44	2,526.62	0.00	0.00	191.82	0.00	0.00

This is important tax information and is being furnished to you.



2013 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 23-71357
Recipient's Tax ID Number: 91-2169259

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
501897102	ADR LI & FUNG LTD ADR	02/12/2013	05/02/2012	LFUGY	1,509.50	0.00	-682.84	0.00	0.00
340.0000									
501897102	ADR LI & FUNG LTD ADR	02/13/2013	05/02/2012	LFUGY	1,043.33	0.00	-467.99	0.00	0.00
235.0000									
501897102	ADR LI & FUNG LTD ADR	02/14/2013	05/02/2012	LFUGY	15,312.52	0.00	-6,466.72	0.00	0.00
3449.0000									
806857108	SCHLUMBERGER LTD ISIN #AN8068571086	02/15/2013	05/02/2012	SLB	2,675.24	0.00	204.07	0.00	0.00
36.0000									
042068106	ARM HLDGS PLC SPONSORED ADR	02/21/2013	Various	ARMH	315.82	0.00	186.26	0.00	0.00
12.0000									
042068106	ARM HLDGS PLC SPONSORED ADR	02/22/2013	05/02/2012	ARMH	1,446.82	0.00	1,008.49	0.00	0.00
58.0000									
870123106	ADR SWATCH GROUP AG ADR	03/11/2013	05/02/2012	SWGAY	2,990.03	0.00	633.92	0.00	0.00
128.0000									
02364W105	AMERICA MOVIL S A DE CV SPON ADR SER L SHARES	03/18/2013	05/02/2012	AMX	10,316.23	0.00	-3,200.36	0.00	0.00
385.0000									
05948K101	ADR BANCO BILBAO VIZCAYA ARGENTARIA S A SPONSORED ADR	06/03/2013	02/22/2013	BBVA	1,671.40	0.00	-93.91	0.00	0.00
168.0000									

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 12 of 33
Ref: EMR

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71357
Recipient's Tax ID Number: 91-2169259

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
072743206	ADR BAYERISCHE MOTOREN WERKE A G ADR	06/03/2013	02/13/2013	BAMXY	1,651.41	0.00	-17.91	0.00	0.00
51.0000									
71654V408	ADR PETROLEO BRASILEIRO SA PETROBRAS SPONSORED ADR	06/03/2013	10/04/2012	PBR	1,685.33	0.00	-374.28	0.00	0.00
73.0000									
73755L107	POTASH CORP. OF SASKATCHEWAN INC	06/03/2013	09/26/2012	POT	2,726.74	0.00	-111.03	0.00	0.00
63.0000									
86959C103	SVENSKA HANDELSBANKEN AB ADR	06/03/2013	07/24/2012	SVNLY	1,181.91	0.00	391.98	0.00	0.00
72.0000									
98418R100	ADR XINYI GLASS HLDGS LTD ADS REPSTG 20 SHS	06/03/2013	Various	XYIGY	1,003.96	0.00	519.69	0.00	0.00
89.0000									
73755L107	POTASH CORP. OF SASKATCHEWAN INC	09/23/2013	Various	POT	7,207.26	0.00	-1,736.73	0.00	0.00
170.0000									
54338V101	ADR LONZA GROUP AG ADR	10/03/2013	02/12/2013	LZAGY	1,805.73	0.00	464.34	0.00	0.00
281.0000									
Total Short Term Sales					57,417.70	0.00	-8,448.39	0.00	0.00
Long Term Sales									
018805101	ALLIANZ AKTIENGESSELLSCHAFT SPONSORED ADR REPSTG 1/10 SH	01/09/2013	04/15/2011	AZSEY	44.75	0.00	-3.71	0.00	0.00
3.0000									

This is important tax information and is being furnished to you.



2013 Tax Information Statement

Page 13 of 33
Ref: EMIR

Account Number: 23-71357
Recipient's Tax ID Number: 91-2169259

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol		Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
				Stocks	Bonds, etc.					
23304Y100	DBS GROUP HOLDINGS LTD-SPONSORED ADR	01/09/2013	11/02/2009	DBSDY		113.52	0.00	30.42	0.00	0.00
3.0000										
404280406	ADR HSBC HLDGS PLC SPONSORED ADR NEW	01/09/2013	10/12/2010	HSBC		104.87	0.00	2.31	0.00	0.00
2.0000										
502441306	ADR LVMH MOET HENNESSY LOUIS VUITTON ADR	01/09/2013	11/09/2010	LVMUY		130.46	0.00	13.34	0.00	0.00
4.0000										
904767704	ADR UNILEVER PLC SPONSORED ADR NEW	01/09/2013	09/14/2011	UL		94.17	0.00	21.00	0.00	0.00
3.0000										
83569C102	ADR SONOVA HLDG AG UNSP ADR	05/14/2013	05/02/2012	SONVY		3,161.37	0.00	-48.19	0.00	0.00
145.0000										
465562106	ADR ITAU UNIBANCO HLDG SA SPONSORED ADR	05/21/2013	05/02/2012			2.84	0.00	0.16	0.00	0.00
.2000										
001317205	ADR AIA GROUP LTD SPONSORED ADR	06/03/2013	05/02/2012	AAGIY		3,137.69	0.00	678.49	0.00	0.00
215.0000										
009126202	ADR AIR LIQUIDE ADR	06/03/2013	05/02/2012	AIQIY		4,711.02	0.00	473.58	0.00	0.00
200.0000										
018805101	ALLIANZ AKTIENGESELLSCHAFT SPONSORED ADR	06/03/2013	04/15/2011	AZSEY		4,325.85	0.00	180.67	0.00	0.00
290.0000										

2013 Tax Information Statement

Page 14 of 33
Ref: EMR

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71357
Recipient's Tax ID Number: 91-2169259

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
03524A108	ADR ANHEUSER BUSCH INBEV SA/NV SPONSOREDADR	BUD	3,025.05	2,420.00	0.00	605.05	0.00	0.00
33.0000	06/03/2013 05/04/2012							
042068106	ARM HLDGS PLC SPONSORED ADR	ARMH	5,342.30	3,143.09	0.00	2,199.21	0.00	0.00
126.0000	06/03/2013 05/02/2012							
049255706	ADR ATLAS COPCO AB SPONSORED ADR NEW REPSTG	ATKY	2,439.04	2,093.29	0.00	345.75	0.00	0.00
90.0000	06/03/2013 05/02/2012							
055434203	BG PLC ADR FIN INST N	BRGY	2,428.69	3,148.58	0.00	-719.89	0.00	0.00
131.0000	06/03/2013 05/02/2012							
12637N105	ADR CSL LTD ADR	CMXHY	2,492.23	1,694.36	0.00	797.87	0.00	0.00
86.0000	06/03/2013 05/02/2012							
136375102	CANADIAN NATL RY CO COM	CNI	2,761.24	2,310.19	0.00	451.05	0.00	0.00
27.0000	06/03/2013 05/02/2012							
191459205	ADR COCHLEAR LTD ADR UNSPON	CHEOY	1,356.65	1,771.48	0.00	-414.83	0.00	0.00
52.0000	06/03/2013 05/02/2012							
23304Y100	DBS GROUP HOLDINGS LTD-SPONSORED ADR	DBSDY	2,334.85	1,627.12	0.00	707.73	0.00	0.00
43.0000	06/03/2013 11/02/2009							
237545108	ADR DASSAULT SYS S A SPONSORED ADR	DASTY	5,483.69	4,183.81	0.00	1,299.88	0.00	0.00
43.0000	06/03/2013 05/02/2012							

This is important tax information and is being furnished to you.



2013 Tax Information Statement

Page 15 of 33
Ref: EMR

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71357
Recipient's Tax ID Number: 91-2169259

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
307305102	FANUC CORPORATION	FANUY	141.0000	06/03/2013	05/02/2012	3,403.88	4,059.12	0.00	-655.24	0.00	0.00
358029106	FRESENIUS MED CARE AG & CO KGAA EACH REPR 1/3 NPV	FMS	124.0000	06/03/2013	05/02/2012	4,212.69	4,356.08	0.00	-143.39	0.00	0.00
368287207	GAZPROM O A O SPONSORED ADR	OGZPY	163.0000	06/03/2013	05/02/2012	1,231.97	1,859.60	0.00	-627.63	0.00	0.00
404280406	ADR HSBC HLDGS PLC SPONSORED ADR NEW	HSBC	30.0000	06/03/2013	10/12/2010	1,665.87	1,573.02	0.00	92.85	0.00	0.00
45104G104	ADR ICICI BK LTD	IBN	73.0000	06/03/2013	05/02/2012	3,210.48	2,455.25	0.00	755.23	0.00	0.00
453038408	IMPERIAL OIL LTD COM NEW COM NEW NOT USE SEE SEC #2415113	IMO	49.0000	06/03/2013	05/02/2012	1,907.04	2,284.69	0.00	-377.65	0.00	0.00
465562106	ADR ITAU UNIBANCO HLDG SA SPONSORED ADR REPSTG 500 PFD PFD ADR		234.0000	06/03/2013	05/02/2012	3,521.63	3,319.82	0.00	201.81	0.00	0.00
466140100	ADR JGC CORP	JGCCY	55.0000	06/03/2013	05/02/2012	3,721.78	3,253.47	0.00	468.31	0.00	0.00
502117203	ADR L OREAL CO ADR	LRLCY	116.0000	06/03/2013	05/02/2012	3,908.25	2,825.82	0.00	1,082.43	0.00	0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 16 of 33
Ref: EMR

Account Number: 23-71357
Recipient's Tax ID Number: 91-2169259

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
502441306	ADR LVMH MOET HENNESSY LOUIS VUITTON ADR	06/03/2013	05/02/2012	LVMUY	1,777.96	1,690.07	0.00	87.89	0.00	0.00
54338V101	ADR LONZA GROUP AG ADR	06/03/2013	05/02/2012	LZAGY	2,583.56	1,629.67	0.00	953.89	0.00	0.00
344.0000				MTNOY	1,299.98	1,251.33	0.00	48.65	0.00	0.00
62474M108	ADR MTN GROUP LTD SPONSORED ADR	06/03/2013	05/02/2012	NSRGY	5,323.22	3,794.08	0.00	1,529.14	0.00	0.00
71.0000				NKRKY	2,049.56	2,294.88	0.00	-245.32	0.00	0.00
641069406	NESTLE S A SPONSORED ADR REPSTG REG SH	06/03/2013	Various	RHHBY	4,373.92	3,243.38	0.00	1,130.54	0.00	0.00
81.0000				SAP	5,597.90	4,924.15	0.00	673.75	0.00	0.00
65528V107	ADR NOKIAN TYRES OYJ ADR ADR	06/03/2013	05/02/2012	SSL	1,207.42	1,289.87	0.00	-82.45	0.00	0.00
97.0000				SLB	2,700.95	2,749.55	0.00	-48.60	0.00	0.00
771195104	ADR ROCHE HLDG LTD SPONSORED ADR ISIN #US771195104	06/03/2013	Various							
72.0000										
803054204	SAP AKTIENGESSELLSCHAFT SPONSORED ADR	06/03/2013	05/02/2012							
75.0000										
803866300	ADR SASOL LTD SPONSORED ADR	06/03/2013	05/02/2012							
27.0000										
806857108	SCHLUMBERGER LTD ISIN #AN8068571086	06/03/2013	05/02/2012							
37.0000										

This is important tax information and is being furnished to you.



2013 Tax Information Statement

Page 17 of 33
Ref: EMR

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71357
Recipient's Tax ID Number: 91-2169259

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
80687P106	ADR SCHNEIDER ELEC SA ADR			SBGSY					
219.0000	06/03/2013	05/02/2012		3,495.18	2,719.39	0.00	775.79	0.00	0.00
83569C102	ADR SONOVA HLDG AG UNSP ADR			SONVY					
55.0000	06/03/2013	05/02/2012		1,433.22	1,417.17	0.00	16.05	0.00	0.00
870123106	ADR SWATCH GROUP AG ADR			SWGAY					
68.0000	06/03/2013	05/02/2012		1,971.96	1,588.45	0.00	383.51	0.00	0.00
87184P109	SYSMEX CORP ADR			SSMXY					
101.0000	06/03/2013	05/29/2012		3,218.81	2,019.39	0.00	1,199.42	0.00	0.00
874039100	TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR			TSM					
203.0000	06/03/2013	05/02/2012		3,781.82	3,204.19	0.00	577.63	0.00	0.00
881575302	TESCO PLC SPONSORED ADR			TSCDY					
103.0000	06/03/2013	05/02/2012		1,707.71	1,626.82	0.00	80.89	0.00	0.00
900148701	ADR TURKIYE GARANTI BANKASI A S SPONSORED ADR			TKGBY					
610.0000	06/03/2013	05/02/2012		2,775.45	2,287.81	0.00	487.64	0.00	0.00
90460M204	ADR UNICHARM CORP SPONSORED ADR			UNICY					
296.0000	06/03/2013	05/02/2012		3,368.41	3,353.01	0.00	15.40	0.00	0.00
904767704	ADR UNILEVER PLC SPONSORED ADR NEW			UL					
41.0000	06/03/2013	Various		1,728.11	1,335.31	0.00	392.80	0.00	0.00

2013 Tax Information Statement

Page 18 of 33
Ref: EMR

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71357
Recipient's Tax ID Number: 91-2169259

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
92337A102	ADR WPP PLC ADR DR EACH REPR 5 SHS	WPPGY	4,189.91	3,356.72	0.00	833.19	0.00	0.00
49.0000	06/03/2013 05/02/2012							
G16962105	BUNGE LIMITED	BG	2,709.28	2,549.85	0.00	159.43	0.00	0.00
39.0000	06/03/2013 05/02/2012							
404280406	ADR HSBC HLDGS PLC SPONSORED ADR NEW	HSBC	3,277.88	3,146.03	0.00	131.85	0.00	0.00
60.0000	06/06/2013 10/12/2010							
404280406	ADR HSBC HLDGS PLC SPONSORED ADR NEW	HSBC	1,311.15	1,093.29	0.00	217.86	0.00	0.00
24.0000	06/06/2013 05/02/2012							
042068106	ARM HLDGS PLC SPONSORED ADR	ARMH	5,583.85	3,766.73	0.00	1,817.12	0.00	0.00
151.0000	06/20/2013 05/02/2012							
009126202	ADR AIR LIQUIDE ADR	AIQY	2,272.53	2,049.29	0.00	223.24	0.00	0.00
87.0000	07/26/2013 05/02/2012							
54338V101	ADR LONZA GROUP AG ADR	LZAGY	4,450.90	2,610.30	0.00	1,840.60	0.00	0.00
551.0000	09/17/2013 05/02/2012							
98418R100	ADR XINYI GLASS HLDGS LTD ADS REPSTG 20 SHS	XYIGY	2,030.34	1,256.05	0.00	764.29	0.00	0.00
113.0000	09/24/2013 Various							
54338V101	ADR LONZA GROUP AG ADR	LZAGY	856.63	502.17	0.00	354.46	0.00	0.00
106.0000	10/02/2013 05/02/2012							

This is important tax information and is being furnished to you.



2013 Tax Information Statement

Page 19 of 33

Ref: EMR

Recipient's Name and Address:

THE RAZI FAMILY FOUNDATION

ATTN: MR. ALI C RAZI

12735 SAN VICENTE BOULEVARD

LOS ANGELES, CA 90049

23-71357

91-2169259

Account Number:

Recipient's Tax ID Number:

☐ Corrected

☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY

P.O. BOX 803878

CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol		Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
				Stocks Bonds, etc.	LZAGY					
54338V101	ADR LONZA GROUP AG ADR	10/03/2013	05/02/2012	24.24		14.21	0.00	10.03	0.00	0.00
358029106	FRESENIUS MED CARE AG & CO.KGAA EACH REPR	10/09/2013	05/02/2012	1,173.73	FMS	1,299.80	0.00	-126.07	0.00	0.00
358029106	FRESENIUS MED CARE AG & CO.KGAA EACH REPR	10/10/2013	05/02/2012	2,373.37	FMS	2,634.72	0.00	-261.35	0.00	0.00
75.0000							0.00			
Total Long Term Sales				152,270.89		130,913.01	0.00	21,357.88	0.00	0.00

2013 Tax Information Statement

Page 7 of 31
Ref: EMR

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71354
Recipient's Tax ID Number: 91-2169259

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2013 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales											
124857202	CBS CORP	CBS	6.0000	03/27/2013	06/29/2012	276.05	194.48	0.00	81.57	0.00	0.00
00846U101	AGILENT TECHNOLOGIES INC COM	A	23.0000	06/03/2013	09/28/2012	1,039.58	882.58	0.00	157.00	0.00	0.00
026874784	AMERICAN INTERNATIONAL GROUP INC COM NEW	AIG	34.0000	06/03/2013	03/08/2013	1,510.59	1,343.48	0.00	167.11	0.00	0.00
037833100	APPLE COMPUTER INC	AAPL	2.0000	06/03/2013	04/18/2013	895.62	790.72	0.00	104.90	0.00	0.00
124857202	CBS CORP	CBS	25.0000	06/03/2013	06/29/2012	1,234.23	810.34	0.00	423.89	0.00	0.00
244199105	DEERE & CO	DE	17.0000	06/03/2013	09/04/2012	1,500.90	1,265.65	0.00	235.25	0.00	0.00
61945C103	MOSAIC CO/THE	MOS	14.0000	06/03/2013	09/04/2012	848.53	796.08	0.00	52.45	0.00	0.00
674599105	OCCIDENTAL PETE CORP COM	OXY	20.0000	06/03/2013	08/24/2012	1,877.97	1,751.72	0.00	126.25	0.00	0.00
G94368100	#REORG/WARNER CH STOCK MERGER ACTAVIS	WCRX	55.0000	06/03/2013	09/20/2013	1,047.73	1,087.86	0.00	-40.13	0.00	0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 8 of 31
Ref: EMR

Account Number: 23-71354
Recipient's Tax ID Number: 91-2169259

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
00846U101	AGILENT TECHNOLOGIES INC COM	A	2.0000	06/25/2013	09/28/2012	84.80	76.75	0.00	8.05	0.00	0.00
026874784	AMERICAN INTERNATIONAL GROUP INC COM NEW	AIG	3.0000	06/25/2013	03/08/2013	130.60	118.54	0.00	12.06	0.00	0.00
124857202	CBS CORP	CBS	1.0000	06/25/2013	06/29/2012	47.80	32.41	0.00	15.39	0.00	0.00
61945C103	MOSAIC CO/THE	MOS	3.0000	06/25/2013	09/04/2012	167.45	170.59	0.00	-3.14	0.00	0.00
G0083B108	ACTAVIS PLC COM	ACT	.6800	10/01/2013	05/20/2013	106.61	84.06	0.00	22.55	0.00	0.00
002824100	ABBOTT LABORATORIES	ABT	2.0000	12/30/2013	11/29/2013	76.52	76.93	0.00	-0.41	0.00	0.00
026874784	AMERICAN INTERNATIONAL GROUP INC COM NEW	AIG	2.0000	12/30/2013	03/08/2013	102.00	79.03	0.00	22.97	0.00	0.00
037833100	APPLE COMPUTER INC	AAPL	1.0000	12/30/2013	06/25/2013	555.33	401.02	0.00	154.31	0.00	0.00
17275R102	CISCO SYS INC	CSCO	5.0000	12/30/2013	07/08/2013	111.25	122.48	0.00	-11.23	0.00	0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71354
Recipient's Tax ID Number: 91-2169259

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stcks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withhold	State Tax Withhold
Total Short Term Sales								
Long Term Sales								
74834L100	QUEST DIAGNOSTICS INC	DGX						
62.0000	02/04/2013 02/01/2011		3,581.13	3,562.33	0.00	18.80	0.00	0.00
00206R102	AT&T INC	T						
8.0000	03/27/2013 02/01/2011		292.15	222.17	0.00	69.98	0.00	0.00
00507K103	#REORG/ACTAVIS INC STK MERGER ACTAVIS PLC	ACT						
3.0000	2R1PAW1 EFF 10-01-2013 03/27/2013 02/01/2011		272.87	165.47	0.00	107.40	0.00	0.00
03073E105	AMERISOURCE BERGEN CORP	ABC						
4.0000	03/27/2013 02/01/2011		202.56	146.51	0.00	56.05	0.00	0.00
037411105	APACHE CORP	APA						
1.0000	03/27/2013 02/01/2011		75.78	119.10	0.00	-43.32	0.00	0.00
071813109	BAXTER INTL INC	BAX						
3.0000	03/27/2013 04/17/2007		215.62	165.36	0.00	50.26	0.00	0.00
260003108	DOVER CORP	DOV						
3.0000	03/27/2013 02/01/2011		216.45	194.44	0.00	22.01	0.00	0.00
369604103	GENERAL ELECTRIC CO	GE						
5.0000	03/27/2013 02/01/2011		115.35	103.22	0.00	12.13	0.00	0.00

This is important tax information and is being furnished to you.



2013 Tax Information Statement

Page 10 of 31
Ref: EMR

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 23-71354
Recipient's Tax ID Number: 91-2169259

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

☐ Corrected

☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol	Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
408216101	HALLIBURTON CO				HAL						
40000	03/27/2013	11/08/2011				162.66	155.34	0.00	7.32	0.00	0.00
42809H107	HESS CORP COM STK				HES						
2.0000	03/27/2013	07/15/2011				144.05	145.06	0.00	1.01	0.00	0.00
438516106	HONEYWELL INTL INC				HON						
1.0000	03/27/2013	02/01/2011				75.01	56.38	0.00	18.63	0.00	0.00
50540R409	LABORATORY CORP AMER HLDGS NEW				LH						
1.0000	03/27/2013	02/01/2011				89.39	80.87	0.00	0.52	0.00	0.00
594918104	MICROSOFT CORP COM				MSFT						
6.0000	03/27/2013	02/01/2011				169.92	166.87	0.00	3.05	0.00	0.00
65339F101	NEXTERA ENERGY INC COM				NEE						
1.0000	03/27/2013	09/24/2008				76.95	52.94	0.00	24.01	0.00	0.00
744573106	PUBLIC SERVICE ENTERPRISE GROUP INC., COMMON STOCK				PEG						
4.0000	03/27/2013	02/01/2011				134.60	130.24	0.00	4.36	0.00	0.00
80004C101	SANDISK CORP				SNDK						
4.0000	03/27/2013	02/08/2011				220.23	198.50	0.00	21.73	0.00	0.00
88732J207	TIME WARNER CABLE INC COM				TWC						
2.0000	03/27/2013	02/01/2011				191.70	137.14	0.00	54.56	0.00	0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 11 of 31
Ref: EMR

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71354
Recipient's Tax ID Number: 91-2169259

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
902973304	US BANCORP	03/27/2013	02/01/2011	USB	82.44	0.00	18.84	0.00	0.00
3.0000									
913017109	UNITED TECHNOLOGIES CORP	03/27/2013	02/01/2011	UTX	81.73	0.00	10.95	0.00	0.00
1.0000									
931142103	WAL MART STORES INC	03/27/2013	02/01/2011	WMT	112.28	0.00	37.10	0.00	0.00
2.0000									
949746101	WELLS FARGO & CO NEW	03/27/2013	05/02/2011	WFC	87.36	0.00	23.40	0.00	0.00
3.0000									
00507K103	#REORG/ACTAVIS INC STK MERGER ACTAVIS PLC	2R1PAW1 EFF 10-01-2013	05/13/2013	ACT	1,820.17	0.00	2,130.23	0.00	0.00
33.0000									
00507K103	#REORG/ACTAVIS INC STK MERGER ACTAVIS PLC	2R1PAW1 EFF 10-01-2013	05/20/2013	ACT	2,647.51	0.00	3,486.60	0.00	0.00
48.0000									
00206R102	AT&T INC	06/03/2013	02/01/2011	T	1,610.71	0.00	424.47	0.00	0.00
58.0000									
020002101	ALLSTATE CORP	06/03/2013	02/01/2011	ALL	883.96	0.00	439.58	0.00	0.00
28.0000									
025816109	AMERICAN EXPRESS CO	06/03/2013	03/16/2011	AXP	1,063.82	0.00	840.40	0.00	0.00
25.0000									

This is important tax information and is being furnished to you.



2013 Tax Information Statement

Page 12 of 31
Ref: EMR

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71354
Recipient's Tax ID Number: 91-2169259

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
03073E105	AMERISOURCE BERGEN CORP	ABC	2,149.59	1,501.78	0.00	647.81	0.00	0.00
41.0000	06/03/2013 02/01/2011							
037411105	APACHE CORP	APA	1,345.90	1,905.63	0.00	559.73	0.00	0.00
16.0000	06/03/2013 02/01/2011							
064058100	BANK NEW YORK MELLON CORP COM STK	BK	1,413.74	1,495.99	0.00	-82.25	0.00	0.00
47.0000	06/03/2013 02/01/2011							
071813109	BAXTER INTL INC	BAX	1,890.78	1,488.24	0.00	402.54	0.00	0.00
27.0000	06/03/2013 04/17/2007							
073730103	BEAM INC	BEAM	1,101.58	812.23	0.00	289.35	0.00	0.00
17.0000	06/03/2013 02/01/2011							
099724106	BORG WARNER AUTOMOTIVE INC	BWA	1,042.97	820.29	0.00	222.68	0.00	0.00
13.0000	06/03/2013 12/15/2011							
165764100	CHEVRONTXACO CORP COM	CVX	2,841.37	2,203.52	0.00	637.85	0.00	0.00
23.0000	06/03/2013 02/01/2011							
20825C104	CONOCOPHILLIPS	COP	1,357.60	1,201.68	0.00	155.92	0.00	0.00
22.0000	06/03/2013 02/01/2011							
260003108	DOVER CORP	DOV	2,039.92	1,685.11	0.00	354.81	0.00	0.00
26.0000	06/03/2013 02/01/2011							

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 13 of 31
Ref: EMR

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71354
Recipient's Tax ID Number: 91-2169259

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
	Date of Sale or Exchange	Date of Acquisition						
268648102	EMC CORP COM		EMC					
48.0000	06/03/2013	08/25/2011	1,182.22	1,022.27	0.00	159.95	0.00	0.00
278642103	EBAY INC		EBAY					
33.0000	06/03/2013	08/19/2010	1,749.63	753.54	0.00	996.09	0.00	0.00
30219G108	EXPRESS SCRIPTS HLDG CO COM		ESRX					
23.0000	06/03/2013	01/25/2012	1,438.28	1,208.99	0.00	229.29	0.00	0.00
35671D857	FREEMPORT MCMORAN C & G CL B COM STK		FCX					
44.0000	06/03/2013	02/01/2011	1,378.50	2,482.37	0.00	-1,103.87	0.00	0.00
369604103	GENERAL ELECTRIC CO		GE					
122.0000	06/03/2013	02/01/2011	2,869.39	2,518.69	0.00	350.70	0.00	0.00
38141G104	GOLDMAN SACHS GROUP INC		GS					
8.0000	06/03/2013	07/11/2011	1,303.26	1,054.87	0.00	248.39	0.00	0.00
406216101	HALLIBURTON CO		HAL					
30.0000	06/03/2013	Various	1,259.38	1,125.68	0.00	133.70	0.00	0.00
42809H107	HESS CORP COM STK		HES					
27.0000	06/03/2013	07/15/2011	1,855.95	1,958.34	0.00	-102.39	0.00	0.00
438516106	HONEYWELL INTL INC		HON					
21.0000	06/03/2013	02/01/2011	1,647.00	1,183.95	0.00	463.05	0.00	0.00

This is important tax information and is being furnished to you.



2013 Tax Information Statement

Page 14 of 31
 Recipient's Name and Address:
 THE RAZI FAMILY FOUNDATION
 ATTN: MR. ALI C RAZI
 12735 SAN VICENTE BOULEVARD
 LOS ANGELES, CA 90049

Account Number: 23-71354
 Recipient's Tax ID Number: 91-2169259

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
458140100	INTEL CORP	INTC						
46.0000	06/03/2013 02/01/2011		1,152.84	987.48	0.00	165.36	0.00	0.00
46825H100	J P MORGAN CHASE & CO	JPM						
39.0000	06/03/2013 04/17/2007		2,108.40	1,952.73	0.00	155.67	0.00	0.00
46825H100	J P MORGAN CHASE & CO	JPM						
1.0000	06/03/2013 02/01/2011		54.06	45.43	0.00	8.63	0.00	0.00
500255104	KOHL'S CORP	KSS						
17.0000	06/03/2013 05/16/2011		874.63	939.23	0.00	-64.60	0.00	0.00
50540R409	LABORATORY CORP AMER HLDGS NEW	LH						
11.0000	06/03/2013 02/01/2011		1,093.38	977.55	0.00	115.83	0.00	0.00
594918104	MICROSOFT CORP COM	MSFT						
40.0000	06/03/2013 02/01/2011		1,411.59	1,112.48	0.00	299.11	0.00	0.00
66339F101	NEXTERA ENERGY INC COM	NEE						
21.0000	06/03/2013 09/24/2008		1,603.06	1,111.65	0.00	491.41	0.00	0.00
68389X105	ORACLE CORPORATION	ORCL						
36.0000	06/03/2013 07/14/2011		1,232.98	1,155.41	0.00	77.57	0.00	0.00
718172109	PHILIP MORRIS INTERNATIONAL	PM						
15.0000	06/03/2013 02/01/2011		1,383.28	869.56	0.00	513.72	0.00	0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 15 of 31
Ref: EMR

Recipient's Name and Address:

THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71354

Recipient's Tax ID Number: 91-2169259

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Not Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
742718109	PROCTER & GAMBLE CO	PG	1,463.54	1,205.70	0.00	257.84	0.00	0.00
19.0000	06/03/2013 02/01/2011							
744573106	PUBLIC SERVICE ENTERPRISE GROUP INC., COMMON STOCK	PEG	1,292.83	1,269.88	0.00	22.95	0.00	0.00
39.0000	06/03/2013 02/01/2011							
80004C101	SANDISK CORP	SNDK	1,402.54	1,190.97	0.00	211.57	0.00	0.00
24.0000	06/03/2013 02/08/2011							
88732J207	TIME WARNER CABLE INC COM	TWC	1,233.42	891.40	0.00	342.02	0.00	0.00
13.0000	06/03/2013 02/01/2011							
902973304	US BANCORP	USB	1,159.93	906.79	0.00	253.14	0.00	0.00
33.0000	06/03/2013 02/01/2011							
913017109	UNITED TECHNOLOGIES CORP	UTX	1,610.89	1,389.36	0.00	221.53	0.00	0.00
17.0000	06/03/2013 02/01/2011							
931142103	WAL MART STORES INC	WMT	2,113.12	1,571.99	0.00	541.13	0.00	0.00
28.0000	06/03/2013 02/01/2011							
949746101	WELLS FARGO & CO NEW	WFC	2,910.91	2,096.57	0.00	814.34	0.00	0.00
72.0000	06/03/2013 05/02/2011							
00206R102	AT&T INC	T	140.47	111.08	0.00	29.39	0.00	0.00
4.0000	06/25/2013 02/01/2011							

This is important tax information and is being furnished to you.



2013 Tax Information Statement

Page 16 of 31
Ref: EMR

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 23-71354
Recipient's Tax ID Number: 91-2169259

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol		Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
				Bonds, etc.	Stocks					
025816109	AMERICAN EXPRESS CO	06/25/2013	03/16/2011	AXP	219.44	127.66	0.00	91.78	0.00	0.00
037411105	APACHE CORP	06/25/2013	02/01/2011	APA	165.12	238.20	0.00	-73.08	0.00	0.00
099724106	BORG WARNER AUTOMOTIVE INC	06/25/2013	12/15/2011	BWA	82.84	63.10	0.00	19.74	0.00	0.00
278642103	EBAY INC	06/25/2013	08/19/2010	EBAY	103.24	45.67	0.00	57.57	0.00	0.00
35671D857	FREEMPORT MCMORAN C & G CL B COM STK	06/25/2013	02/01/2011	FCX	136.30	282.09	0.00	-145.79	0.00	0.00
369604103	GENERAL ELECTRIC CO	06/25/2013	02/01/2011	GE	161.99	144.52	0.00	17.47	0.00	0.00
42809H107	HES CORP COM STK	06/25/2013	07/15/2011	HES	127.47	145.06	0.00	-17.59	0.00	0.00
438516106	HONEYWELL INTL INC	06/25/2013	02/01/2011	HON	77.61	56.38	0.00	21.23	0.00	0.00
458140100	INTEL CORP	06/25/2013	02/01/2011	INTC	71.01	64.40	0.00	6.61	0.00	0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 17 of 31
Ref: EMR

Recipient's Name and Address:

THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71354

Recipient's Tax ID Number: 91-2169259

☐ Corrected

☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
46625H100	J P MORGAN CHASE & CO	JPM	4.0000	06/25/2013	02/01/2011	208.75	181.73	0.00	27.02	0.00	0.00
500255104	KOHL'S CORP	KSS	2.0000	06/25/2013	05/16/2011	101.28	110.50	0.00	-9.22	0.00	0.00
744573106	PUBLIC SERVICE ENTERPRISE GROUP INC., COMMON STOCK	PEG	3.0000	06/25/2013	02/01/2011	96.98	97.68	0.00	-0.70	0.00	0.00
902973304	US BANCORP	USB	1.0000	06/25/2013	02/01/2011	35.86	27.48	0.00	8.38	0.00	0.00
931142103	WAL MART STORES INC	WMT	2.0000	06/25/2013	02/01/2011	148.92	112.28	0.00	36.64	0.00	0.00
00206R102	AT&T INC	T	84.0000	07/08/2013	02/01/2011	2,988.31	2,332.75	0.00	655.56	0.00	0.00
80004C101	SANDISK CORP	SNDK	40.0000	07/08/2013	02/08/2011	2,406.09	1,984.95	0.00	421.14	0.00	0.00
073730103	BEAM INC	BEAM	73.0000	09/30/2013	02/01/2011	4,710.39	3,487.81	0.00	1,222.58	0.00	0.00
61945C103	MOSAIC CO/THE	MOS	71.0000	09/30/2013	09/04/2012	3,054.85	4,037.29	0.00	-982.44	0.00	0.00

This is important tax information and is being furnished to you.



2013 Tax Information Statement

Page 18 of 31
Ref: EMR

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71354
Recipient's Tax ID Number: 91-2169259

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
50540R409	LABORATORY CORP AMER HLDGS NEW			LH						
37.0000		11/27/2013	02/01/2011		3,784.89	3,288.13	0.00	496.76	0.00	0.00
50540R409	LABORATORY CORP AMER HLDGS NEW			LH						
12.0000		11/29/2013	02/01/2011		1,224.55	1,066.42	0.00	158.13	0.00	0.00
00206R102	AT&T INC			T						
3.0000		12/30/2013	02/01/2011		105.42	83.31	0.00	22.11	0.00	0.00
00846U101	AGILENT TECHNOLOGIES INC COM			A						
2.0000		12/30/2013	09/28/2012		114.62	76.75	0.00	37.87	0.00	0.00
020002101	ALLSTATE CORP			ALL						
2.0000		12/30/2013	02/01/2011		108.18	63.14	0.00	45.04	0.00	0.00
037411105	APACHE CORP			APA						
1.0000		12/30/2013	02/01/2011		86.44	119.10	0.00	-32.66	0.00	0.00
064058100	BANK NEW YORK MELLON CORP COM STK			BK						
3.0000		12/30/2013	02/01/2011		103.65	95.49	0.00	8.16	0.00	0.00
099724106	BORG WARNER AUTOMOTIVE INC			BWA						
4.0000		12/30/2013	12/15/2011		224.22	126.20	0.00	98.02	0.00	0.00
124857202	CBS CORP			CBS						
2.0000		12/30/2013	06/29/2012		127.58	64.83	0.00	62.75	0.00	0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 19 of 31
Ref: EMR

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71354
Recipient's Tax ID Number: 91-2169259

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Salos are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
166764100	CHEVRONTEXACO CORP COM	12/30/2013	02/01/2011	124.52	95.81	0.00	28.71	0.00	0.00
20825C104	CONOCOPHILLIPS	12/30/2013	02/01/2011	140.10	109.25	0.00	30.85	0.00	0.00
244199105	DEERE & CO	12/30/2013	09/04/2012	181.24	148.90	0.00	32.34	0.00	0.00
260003108	DOVER CORP	12/30/2013	02/01/2011	96.41	64.81	0.00	31.60	0.00	0.00
268648102	EMC CORP COM	12/30/2013	08/25/2011	125.00	106.49	0.00	18.51	0.00	0.00
278642103	EBAY INC	12/30/2013	08/19/2010	108.08	45.67	0.00	62.41	0.00	0.00
35671D857	FREEPORT MCMORAN C & G CL B COM STK	12/30/2013	02/01/2011	113.31	169.25	0.00	-55.94	0.00	0.00
369604103	GENERAL ELECTRIC CO	12/30/2013	02/01/2011	27.83	20.64	0.00	7.19	0.00	0.00
38141G104	GOLDMAN SACHS GROUP INC	12/30/2013	07/11/2011	175.47	131.86	0.00	43.61	0.00	0.00

This is important tax information and is being furnished to you.



2013 Tax Information Statement

Page 20 of 31
Ref: EMR

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number: 23-71354
Recipient's Tax ID Number: 91-2169259

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
406216101	HALLIBURTON CO	HAL	3.0000	12/30/2013	11/09/2011	151.23	111.78	0.00	39.45	0.00	0.00
42809H107	HESS CORP COM STK	HES	1.0000	12/30/2013	07/15/2011	82.77	72.53	0.00	10.24	0.00	0.00
438516106	HONEYWELL INTL INC	HON	1.0000	12/30/2013	02/01/2011	90.90	56.38	0.00	34.52	0.00	0.00
458140100	INTEL CORP	INTC	5.0000	12/30/2013	02/01/2011	129.00	107.33	0.00	21.67	0.00	0.00
500255104	KOHL'S CORP	KSS	2.0000	12/30/2013	05/16/2011	112.54	110.50	0.00	2.04	0.00	0.00
65339F101	NEXTERA ENERGY INC COM	NEE	2.0000	12/30/2013	09/24/2008	169.81	105.87	0.00	63.94	0.00	0.00
68389X105	ORACLE CORPORATION	ORCL	3.0000	12/30/2013	07/14/2011	113.85	96.28	0.00	17.57	0.00	0.00
742718109	PROCTER & GAMBLE CO	PG	1.0000	12/30/2013	02/01/2011	81.78	63.46	0.00	18.32	0.00	0.00
744573106	PUBLIC SERVICE ENTERPRISE GROUP INC., COMMON STOCK	PEG	3.0000	12/30/2013	02/01/2011	95.79	97.68	0.00	-1.89	0.00	0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 21 of 31
Ref: EMR

Account Number: 23-71354
Recipient's Tax ID Number: 91-2169259

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Not Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
80004C101	SANDISK CORP	1.0000	12/30/2013	02/08/2011	70.10	49.62	0.00	20.48	0.00	0.00
902973304	US BANCORP	3.0000	12/30/2013	02/01/2011	120.45	82.44	0.00	38.01	0.00	0.00
949746101	WELLS FARGO & CO NEW	1.0000	12/30/2013	05/02/2011	45.36	29.12	0.00	16.24	0.00	0.00
Total Long Term Sales					99,278.44	80,806.94	0.00	18,471.50	0.00	0.00

This is important tax information and is being furnished to you.



Form **8868**

(Rev. January 2014)

Department of the Treasury
Internal Revenue Service**Application for Extension of Time To File an
Exempt Organization Return**

OMB No. 1545-1709

▶ File a separate application for each return.

▶ Information about Form 8868 and its instructions is at www.irs.gov/form8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

**Type or
print**File by the
due date for
filing your
return. See
instructions

Name of exempt organization or other filer, see instructions

Employer identification number (EIN) or

THE RAZI FAMILY FOUNDATION

91-2169259

Number, street, and room or suite no. If a P.O. box, see instructions

Social security number (SSN)

2201 DUPONT DRIVE, SUITE 300

City, town or post office, state, and ZIP code. For a foreign address, see instructions

IRVINE, CA 92612

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)		Form 990-T (trust other than above)	12

- The books are in the care of ▶ ALI C. RAZI

Telephone No ▶ 949 833-1554

FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 2014, to file the exempt organization return for the organization named above. The extension is for the organization's return for
- ▶ ☒ calendar year 2013 or
- ▶ ☐ tax year beginning _____, 20____, and ending _____, 20____

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a \$	3,778.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b \$	1,778.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c \$	2,000.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form **8868** (Rev. 1-2014)

JSA

3F8054 2 000

V 13-4.7F

0018081.0