



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, 2013, and ending , 20

Name of foundation

PEI FAMILY FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

333 W. Santa Clara St., Suite 620

A Employer identification number

91-2163497

City or town, state or province, country, and ZIP or foreign postal code

San Jose CA 95113

B Telephone number (see instructions)

408-297-0700

G Check all that apply: Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name change

C If exemption application is pending, check here

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

D 1. Foreign organizations, check here 2. Foreign organizations meeting the 85% test, check here and attach computation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 766,023 J Accounting method: Cash Accrual
 Other (specify) _____

E If private foundation status was terminated under section 507(b)(1)(A), check here F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	117,200			
	2 Check ► <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	14,454	14,454		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	118,783			
	b Gross sales price for all assets on line 6a 239,249				
	7 Capital gain net income (from Part IV, line 2)		118,783		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)	0			
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	250,437	133,237	0	
	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	2,926			2,926
	b Accounting fees (attach schedule) 2014	4,530			4,530
	c Other professional fees (attach schedule)	8,579	8,579		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	2,750			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	10,178			10,178
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	28,963	8,579	0	17,634
	25 Contributions, gifts, grants paid	50,200			50,200
	26 Total expenses and disbursements. Add lines 24 and 25	79,163	8,579	0	67,834
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	171,274			
	b Net investment income (if negative, enter -0-)		124,658		
	c Adjusted net income (if negative, enter -0-)			0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	22,758	58,305	58,305
	3	Accounts receivable ►			
		Less: allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less: allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ►			
		Less: allowance for doubtful accounts ►			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	105,872	134,139	217,918
	c	Investments—corporate bonds (attach schedule)			
Liabilities	11	Investments—land, buildings, and equipment, basis ►			
		Less accumulated depreciation (attach schedule) ►			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	419,402	460,222	489,800
	14	Land, buildings, and equipment: basis ►			
		Less accumulated depreciation (attach schedule) ►			
	15	Other assets (describe ►)			
	16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	548,032	652,666	766,023
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ►)	0	0	
	23	Total liabilities (add lines 17 through 22)			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ► <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	548,032	652,666	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	548,032	652,666	
	31	Total liabilities and net assets/fund balances (see instructions)	548,032	652,666	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	548,032
2	Enter amount from Part I, line 27a	2	171,274
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	719,306
5	Decreases not included in line 2 (itemize) ► Contribution of appreciated securities	5	66,640
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	652,666

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See schedule attached					
b Distributions					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 230,747		120,466	110,281		
b 8,502			8,502		
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	118,783	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	65,703	543,308	0.1209
2011	72,055	474,722	0.1518
2010	75,854	340,299	0.2229
2009	79,666	277,603	0.2870
2008	27,414	246,471	0.1112
2 Total of line 1, column (d)			2 0.8938
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .17876
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 687,271
5 Multiply line 4 by line 3			5 122,857
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,247
7 Add lines 5 and 6			7 124,104
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 67,834

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)	1	2,493
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	2,493
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	2,493
6	Credits/Payments.		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	2,998
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	2,998
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	505
11	Enter the amount of line 10 to be Credited to 2014 estimated tax ►	505	Refunded ►
		11	0

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	X	
1c	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	X	
2	Did the foundation file Form 1120-POL for this year?	X	
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ _____ (2) On foundation managers. ► \$ _____		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	X	
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	X	
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b	If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	X	
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► California	X	
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	X	
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	X	

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►	13	X
14	The books are in care of ► Kevin Pei Located at ► 333 W. Santa Clara St., Suite 620, San Jose CA	Telephone no. ► 408-297-0700 ZIP+4 ► 95113	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here. and enter the amount of tax-exempt interest received or accrued during the year ► 15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
1b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ►	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
1c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ► 20____, 20____, 20____, 20____	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
2b	b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
3a	c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
3b	3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
4a	b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
4b	4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?			
	Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			
	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?			
	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	7b			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors
1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Kevin Pei, 333 W. Santa Clara St., Suite 620 San Jose CA 95113	Pres, Dir 2 hrs.	0	0	5,047
Melinda Pei same address	Secy,CFO,Dir 2 hrs.	0	0	5,046

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000				►

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		►

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc		Expenses
1		
2		
3		
4		

Part IX-B **Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1		
2		
All other program-related investments. See instructions		
3		
Total. Add lines 1 through 3		► 0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	663,135
b	Average of monthly cash balances	1b	34,602
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	697,737
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	697,737
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	10,466
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	687,271
6	Minimum investment return. Enter 5% of line 5	6	34,364

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	34,364
2a	Tax on investment income for 2013 from Part VI, line 5	2a	2,493
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	2,493
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	31,871
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	31,871
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	31,871

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	67,834
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	67,834
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	67,834

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				31,871
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only				
b Total for prior years: 20 ____,20 ____,20 ____				
3 Excess distributions carryover, if any, to 2013:				
a From 2008	23,975			
b From 2009	80,113			
c From 2010	76,725			
d From 2011	72,055			
e From 2012	65,703			
f Total of lines 3a through e	318,571			
4 Qualifying distributions for 2013 from Part XII, line 4. ► \$ 67,834				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2013 distributable amount				
e Remaining amount distributed out of corpus	67,834			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	31,871			31,871
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	354,534			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014			0	
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	354,534			
10 Analysis of line 9:				
a Excess from 2009	72,217			
b Excess from 2010	76,725			
c Excess from 2011	72,055			
d Excess from 2012	65,703			
e Excess from 2013	67,834			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ►																																					
b	Check box to indicate whether the foundation is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)																																					
2a	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: center;">Tax year</th> <th colspan="3" style="text-align: center;">Prior 3 years</th> <th rowspan="2" style="text-align: center;">(e) Total</th> </tr> <tr> <th style="text-align: center;">(a) 2013</th> <th style="text-align: center;">(b) 2012</th> <th style="text-align: center;">(c) 2011</th> <th style="text-align: center;">(d) 2010</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">0</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td style="text-align: center;">0</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td style="text-align: center;">0</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td style="text-align: center;">0</td> </tr> <tr> <td style="text-align: center;">0</td> </tr> </tbody> </table>				Tax year	Prior 3 years			(e) Total	(a) 2013	(b) 2012	(c) 2011	(d) 2010	0	0	0	0	0					0					0					0	0	0	0	0	0
Tax year	Prior 3 years			(e) Total																																		
(a) 2013	(b) 2012	(c) 2011	(d) 2010																																			
0	0	0	0	0																																		
				0																																		
				0																																		
				0																																		
0	0	0	0	0																																		
3	Complete 3a, b, or c for the alternative test relied upon:																																					
a	"Assets" alternative test—enter:																																					
(1)	Value of all assets																																					
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i)																																					
b	"Endowment" alternative test—enter $\frac{2}{3}$ of minimum investment return shown in Part X, line 6 for each year listed																																					
c	"Support" alternative test—enter:																																					
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)																																					
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)																																					
(3)	Largest amount of support from an exempt organization																																					
(4)	Gross investment income																																					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

Kevin Pei and Melinda Pei

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

none

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Ronald McDonald House Stanford CA		501c3	general fund	50,100
Consumer Checkbook San Francisco CA		501c3	general fund	100
Total				► 3a 50,200
b Approved for future payment				
None				
Total				► 3b 0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Line	Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
			(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue.							
a							
b							
c							
d							
e							
f							
g Fees and contracts from government agencies							
2 Membership dues and assessments							
3 Interest on savings and temporary cash investments							
4 Dividends and interest from securities							
5 Net rental income or (loss) from real estate:							
a Debt-financed property							
b Not debt-financed property							
6 Net rental income or (loss) from personal property							
7 Other investment income							
8 Gain or (loss) from sales of assets other than inventory							
9 Net income or (loss) from special events							
10 Gross profit or (loss) from sales of inventory							
11 Other revenue: a							
b							
c							
d							
e							
12 Subtotal. Add columns (b), (d), and (e)				0			0
13 Total. Add line 12, columns (b), (d), and (e)						13	133,237

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of:	1a(1)	X
	(1) Cash	1a(2)	X
	(2) Other assets		
b	Other transactions:	1b(1)	X
	(1) Sales of assets to a noncharitable exempt organization	1b(2)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(3)	X
	(3) Rental of facilities, equipment, or other assets	1b(4)	X
	(4) Reimbursement arrangements	1b(5)	X
	(5) Loans or loan guarantees	1b(6)	X
	(6) Performance of services or membership or fundraising solicitations	1c	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees		
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Sign
Here

Signature of officer or trustee

Dat

► President

Title

May the IRS discuss this return with the preparer shown below (see instructions)? Yes No

Paid Preparer Use Only	Print/Type preparer's name Ronald G. Coleman	Preparer's signature 	Date 2/17/14	Check <input type="checkbox"/> if self-employed	PTIN PO1492463
	Firm's name ► Ronald G. Coleman, PC	Firm's EIN ► 77-0331678			
	Firm's address ► 333 W. Santa Clara St., Suite 620, San Jose CA 95113	Phone no 408-297-0700			

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

2013

► Attach to Form 990, Form 990-EZ, or Form 990-PF.

► Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

Name of the organization

PEI FAMILY FOUNDATION

Employer identification number
91-2163497

Organization type (check one):

Filers of:**Section:**

Form 990 or 990-EZ

 501(c)() (enter number) organization 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation 527 political organization

Form 990-PF

 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ► \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization

PEI FAMILY FOUNDATION

Employer identification number

91-2163497

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Kevin Pei and Melinda Pei 333 W. Santa Clara St., Suite 620 San Jose CA 95113	\$ 117,200	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-----	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Pei Charitable Foundation
333 W. Santa Clara St., Suite 620,
San Jose CA 95113
91-2163497
12/31/2013

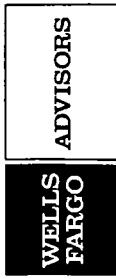
Part I. Revenue and Expense

Expenses:

Legal fees: annual meeting, minutes, resolutions	2,926
Accounting fees: annual tax return prep, annual meetings	4,530
Asset management fees	8,579
Excise tax paid	2,750
Other expenses:	
Medical expense reimbursements	10,093
Filing fees	<u>85</u>
	10,178

Part II. Balance Sheet

See schedules attached


 DECEMBER 1 - DECEMBER 31, 2013
 ACCOUNT NUMBER 3904-1205

Stocks, options & ETFs

Stocks and ETFs

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ANNUAL YIELD (%)
APPLE INC									
AAPL	55	372 78	20,502.90	362 59	7,854.28	30,856.10	10,353.20		
Acquired 08/05/11 L				5,076.26		2,778.02			
Acquired 08/18/11 L									
Total	5.05	69	\$25,579.16		561.0200	\$38,710.38	\$13,131.22	\$841.80	2.17
GOLDMAN SACHS GROUP INC									
GS	2.31	100	100.00	10,035.00	177.2600	17,726.00	7,691.00		
Acquired 09/17/08 L nc									
MAGELLAN MIDSTREAM PRTNS									
MMP	2.89	350	51.87	18,155.55	63.2700	22,144.50	3,988.95		
Acquired 06/07/13 S nc									
ORACLE CORPORATION									
ORCL	978	0 14	133.42	0 28	6.16	37,418.28	37,284.86		
Acquired 03/28/91 L nc									
Acquired 09/30/92 L nc									
Total	4.99	1,000		\$139.58	38.2600	\$38,260.00	\$38,120.42	\$480.00	1.25
PEPSICO INCORPORATED									
PEP	1.08	100	65 46	6,546.58	82 9400	8,294.00	1,747.42		
Acquired 11/16/11 L									
PLAINS ALL AMERICAN PIPELINE LP									
PAA	1.69	250	56 02	14,005.25	51.7700	12,942.50	-1,062.75		
Acquired 06/07/13 S nc									
POWERSHARES QQQ TR ET									
SERIES 1									
QQQ	550	62 34	34,289.47	66 34	13,269.14	48,378.00	14,088.53		
Acquired 06/06/12 L									
Acquired 08/06/12 L									
Total	8.61	750		\$47,558.61	87.9600	\$65,970.00	\$18,411.39	\$669.75	1.02

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER: 3904-1205

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ANNUAL YIELD (%)
VANGUARD INDEX FDS ET S&P 500 ETF SHS NEW VOO	1.81	82	147.79	12,119.25	169 1500	13,870.30	1,751.05	254.85	1.83
Acquired 06/26/13 S									
Total Stocks and ETFs	28.45		\$134,138.98		\$217,917.68	\$83,778.70		\$4,073.90	1.87
Total Stocks, options & ETFs	28.45		\$134,138.98		\$217,917.68	\$83,778.70		\$4,073.90	1.87

nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS

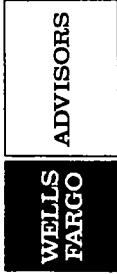
Mutual Funds

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest income only, and typically do not reflect Total return.

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ANNUAL YIELD (%)
INVESSCO BALANCED-RISK ALLOCATION CL Y									
ABRYX	305.77600	13.11	4,008.72						
Acquired 10/11/12 L	1,578.53200	12.67	20,000.00						
Acquired 04/19/13 S	120.35600	12.52	1,500.86						
Reinvestments L									
Total	3.11	2,004.66400	\$25,515.58		11.8900	\$23,835.45	\$1,680.13	N/A	N/A
Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments)									
INVESSCO DEVELOPING MARKET FUNDS									
CLASS Y									
GTDYX									
Acquired 08/05/11 Lnc	315.25900	31.72	10,000.00						
Acquired 08/18/11 Lnc	40.79600	30.64	1,250.00						
Total	1.51	356.05500	\$11,250.00		32.4400	\$11,550.42	\$300.42	\$130.31	1.13



ADVISORS

PEI FAMILY FOUNDATION

Page 7 of 18

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 3904-1205

Mutual Funds

Open End Mutual Funds continued

ASSET ADVISOR

020 ND ND3.1

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 3904-1205**Mutual Funds****Open End Mutual Funds continued**

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	
								ANNUAL YIELD (%)	N/A
LEGG MASON PARTNERS CLEARBRIDGE AGGRESSIVE GROWTH FUND CLASS I									
SAGYX									
Acquired 10/04/13 S		108.73700	183.93	20,000.00		21,279.83	1,279.83		
Acquired 12/23/13 S		51.73000	193.31	10,000.00		10,123.56	123.56		
Total	4.10	160.46700		\$30,000.00	195.7000	\$31,403.39	\$1,403.39	N/A	N/A
MAINSTAY FDS TR HIGH YIELD OPPRTYS FD CLASS I									
MYHIX									
Acquired 08/05/11 L nc		431.77900	11.58	5,000.00		5,302.24	302.24		
Acquired 08/18/11 L nc		66.72600	11.24	750.00		819.39	69.39		
Acquired 10/14/11 L nc		921.65900	10.85	10,000.00		11,317.97	1,317.97		
Acquired 01/19/12 L		896.05700	11.16	10,000.00		11,003.59	1,003.59		
Reinvestments L nc		13.01900	11.17	145.44		159.87	14.43		
Total	3.73	2,329.24000		\$25,895.44	12.2800	\$28,603.06	\$2,707.62	\$1,590.87	5.56
Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments)									
NEUBERGER BERMAN EQUITY FDS REAL ESTATE FD INSTL CL									
NBRIX									
Acquired 12/05/11 L nc		303.45900	11.60	3,520.12		3,838.75	318.63		
Acquired 08/06/12 L		1,073.72900	13.97	15,000.00		13,582.67	-1,417.33		
Total	2.27	1,377.18800		\$18,520.12	12.6500	\$17,421.42	-\$1,098.70	\$407.64	2.34
WELLS FARGO FDS TRUST EMERGING MARKETS EQUITY FUND INSTL CLASS EMGNX									
Acquired 08/18/11 L nc		150.05200	21.65	3,250.00		3,305.64	55.64		
Acquired 12/20/12 L		664.89400	22.56	15,000.00		14,647.61	-352.39		
Acquired 02/01/13 S		434.21600	23.03	10,000.00		9,565.78	-434.22		
Acquired 06/07/13 S		229.99100	21.74	5,000.00		5,066.71	66.71		
Total	4.25	1,479.15300		\$33,250.00	22.0300	\$32,585.74	-\$664.26	\$131.64	0.40



PEI FAMILY FOUNDATION

Page 9 of 18

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 3904-1205

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	
								ANNUAL YIELD (%)	
ROYCE FUND PENNSYLVANIA MUTUAL FD INVESTMENT CL PENNX									
Acquired 10/14/11 L nc		917,43100	10.90	10,000.00		13,513.75		3,513.75	
Acquired 12/23/13 S		685,40100	14.59	10,000.00		10,095.96		95.96	
Total	3.08	1,602.83200		\$20,000.00	14.7300	\$23,609.71		\$2.08	0.01
PIMCO FDS PAC INV MGMT SER TOTAL RETURN FD INSTL CL PTRX									
Acquired 08/05/11 L nc		1,353,79100	11.08	15,000.00		14,472.01		-527.99	
Acquired 08/18/11 L nc		203,25200	11.07	2,250.00		2,172.78		-77.22	
Reinvestments L nc		11,33800	10.88	123.46		121.20		-2.26	
Total	2.19	1,568.38100		\$17,373.46	10.6900	\$16,765.99		-\$607.47	\$432.87
Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments)									
								\$17,250.00	
								-\$484.01	
RS INV TR GLOBAL NATURAL RESOURCES FUND CL Y RSNYX									
Acquired 10/14/11 L nc		419,57600	35.81	15,025.02		15,004.03		-20.99	
Acquired 01/19/12 L		279,17400	35.82	10,000.00		9,983.26		-16.74	
Acquired 12/20/12 L		134,40900	37.20	5,000.00		4,806.47		-193.53	
Total	3.89	833.15900		\$30,025.02	35.7600	\$29,793.76		-\$231.26	N/A
TEMPLETON INCOME TR GLOBAL TOTAL RETURN FD ADVISOR CLASS TTRZX									
Acquired 10/11/12 L		1,466,12000	13.56	19,880.59		19,777.94		-102.65	
Acquired 02/01/13 S		726,74400	13.76	10,000.00		9,803.79		-196.21	
Reinvestments L		82,87100	13.52	1,120.72		1,117.93		-2.79	
Total	4.01	2,275.73500		\$31,001.31	13.4900	\$30,699.66		-\$301.65	4.18
Client Investment (Excluding Reinvestments) Gain/Loss on Client Investment (Including Reinvestments)									
								\$29,880.59	
								\$819.07	

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 3904-1205**Mutual Funds****Open End Mutual Funds continued**

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
TRANSAMERICA FUNDS									
SMALL/MID CAP VALUE CLASS I									
TSVIX	171.95800 646 55200	18.90 23.20	3,250.00 15,000.00	4,933.47 18,549.58	1,683.47 3,549.58				
Acquired 08/18/11 L nc Acquired 12/20/12 L									
Total	3.07	818.51000	\$18,250.00	\$28,6900	\$23,483.05	\$5,233.05		\$164.52	0.70
Total Open End Mutual Funds	63.94		\$460,221.70		\$489,799.80	\$29,578.10		\$7,201.95	1.47
Total Mutual Funds	63.94		\$460,221.70		\$489,799.80	\$29,578.10		\$7,201.95	1.47

nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS

Activity detail

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
12/02	Cash	DIVIDEND		FEDERATED INV SER FDS INC BD FD INSTL SHS 120213 2,078.31000	78.14	
12/02	Cash	DIVIDEND		MAINSTAY FDS TR HIGH YIELD OPPRTYS FD CLASS I 112913 2,329 24000 AS OF 11/29/13	121.82	
12/02	Cash	DIVIDEND		PIMCO FDS PAC INV INSTL CL 112913 1,568 38100 AS OF 11/29/13	31.55	
12/05	Cash	LT CAP GAIN		FEDERATED EQUITY FDS STRATEGIC VALUE DIVID FD INSTL 120513 4,175 36500	124.82	



PEI FAMILY FOUNDATION

Page 17 of 18

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER 3904-1205

Realized gain/loss

Realized Gain/Loss Summary

	THIS PERIOD GAIN	THIS PERIOD LOSS	THIS PERIOD NET	THIS YEAR GAIN	THIS YEAR LOSS	THIS YEAR NET
Short term	0.00	0.00	0.00	1,827.24	-2,737.07	-909.83
Long term	73,424.70	0.00	73,424.70	111,191.12	0.00	111,191.12
Total Realized Gain/Loss	\$73,424.70	\$0.00	\$73,424.70	\$113,018.36	-\$2,737.07	\$110,281.29

Realized Gain/Loss Detail

Long term

DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
NEUBERGER BERMAN EQUITY FDS REAL ESTATE FD INSTL CL CUSIP 641224795	793.02100	11 6000	12/05/11nc	12/23/13	10,000.00	9,199.05	800 95
ORACLE CORPORATION CUSIP 68389X105	100.00000	0.2800	09/30/92nc	12/06/13	3,541.44	28.00	3,513 44
	900 00000	0 2800	09/30/92nc	12/06/13	31,888.70	252 00	31,636 70
	1,022.00000	0 1400	03/28/91nc	12/19/13	37,613.04	139 43	37,473 61
Total Long term					\$83,043.18	\$9,618.48	\$73,424.70

nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS

Specific instructions and disclosures

Available funds

"Available for loan" reflects the approximate amount available as of the statement period ending date and should be reduced by any pending checks and Visa charges not yet cleared This amount is the approximate amount available for withdrawal and loans A margin loan is a variable rate loan secured by your account

Income on non-reportable accounts

Your income summary is categorized into taxable and tax-exempt income based upon the securities that you hold Please be aware that, since this is a 1099 non-reportable account, this income will NOT be reported to the IRS, but is being provided to you for informational purposes only