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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation THE ATSUHIKO AND INA GOODWIN TATEUCHI FOUNDATION		A Employer identification number 91-2090773
Number and street (or P.O. box number if mail is not delivered to street address) C/O PERKINS COIE LLP 1201 THIRD AVENUE	Room/suite 4800	B Telephone number 206-359-8528
City or town, state or province, country, and ZIP or foreign postal code SEATTLE, WA 98101-3099		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 12,369,026.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	232,155.	232,155.		STATEMENT 1
	4 Dividends and interest from securities	19,511.	19,511.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-48,480.			
	b Gross sales price for all assets on line 6a	4,731,059.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	203,186.	251,666.	0.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.	0.	0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 3	5,338.	0.	0.	5,338.
	b Accounting fees STMT 4	10,338.	0.	0.	10,338.
	c Other professional fees STMT 5	49,623.	25,623.	0.	24,000.
	17 Interest				
	18 Taxes STMT 6	4,556.	0.	0.	35.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	89.	0.	0.	89.
	22 Printing and publications				
	23 Other expenses STMT 7	2,124.	0.	0.	2,081.
	24 Total operating and administrative expenses. Add lines 13 through 23	72,068.	25,623.	0.	41,881.
	25 Contributions, gifts, grants paid	958,850.			958,850.
26 Total expenses and disbursements. Add lines 24 and 25	1,030,918.	25,623.	0.	1,000,731.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-827,732.				
b Net investment income (if negative, enter -0-)		226,043.			
c Adjusted net income (if negative, enter -0-)			0.		

**THE ATSUHIKO AND INA GOODWIN TATEUCHI
FOUNDATION**

91-2090773

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		177,767.	197,003.	197,003.
	2	Savings and temporary cash investments		4,581,664.	5,179,091.	5,179,091.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 8		3,023,850.	1,394,533.	1,394,548.
	b	Investments - corporate stock STMT 9		1,486,422.	1,753,683.	2,894,071.
	c	Investments - corporate bonds STMT 10		2,630,035.	2,552,260.	2,704,313.
	11	Investments - land, buildings, and equipment basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other					
14	Land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶ STATEMENT 11)		818.	0.	0.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		11,900,556.	11,076,570.	12,369,026.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶ STATEMENT 12)		0.	3,746.	
23	Total liabilities (add lines 17 through 22)		0.	3,746.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		11,900,556.	11,072,824.	
30	Total net assets or fund balances		11,900,556.	11,072,824.		
31	Total liabilities and net assets/fund balances		11,900,556.	11,076,570.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	11,900,556.
2	Enter amount from Part I, line 27a	2	-827,732.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	11,072,824.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	11,072,824.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENTS - PAGE 14				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 4,731,059.		4,779,539.	-48,480.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			-48,480.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	-48,480.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	1,537,676.	13,227,719.	.116246
2011	1,232,898.	14,082,977.	.087545
2010	1,926,865.	15,245,507.	.126389
2009	1,276,906.	15,503,329.	.082363
2008	936,293.	18,377,673.	.050947

2 Total of line 1, column (d)	2	.463490
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.092698
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	12,514,377.
5 Multiply line 4 by line 3	5	1,160,058.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,260.
7 Add lines 5 and 6	7	1,162,318.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	1,000,731.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	4,521.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	4,521.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	4,521.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	818.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	10,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	10,818.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	43.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	6,254.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> 6,254. Refunded <input checked="" type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			X
1c Did the foundation file Form 1120-POL for this year?			X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>WA</u>			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.TATEUCHI.ORG	13	X	
14 The books are in care of ► N&K CPAS, INC. Telephone no. ► (808) 524-2255 Located at ► 1001 BISHOP ST, ASB TOWER STE 1700, HONOLULU, HI ZIP+4 ► 96813-3696			
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a During the year did the foundation (either directly or indirectly):			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ► <input type="checkbox"/>	1b		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► _____			
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) N/A	3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A
▶ ☐

5b

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
INA TATEUCHI	PRES, VICE PRES, TREASURER			
C/O PERKINS COIE LLP, 1201 THIRD AVE SEATTLE, WA 98101	20.00	0.	0.	0.
LAURA HURDELBRINK	SECRETARY			
C/O PERKINS COIE LLP, 1201 THIRD AVE SEATTLE, WA 98101	8.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

▶ 0

Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A**Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B**Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	7,465,077.
b	Average of monthly cash balances	1b	5,239,874.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	12,704,951.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	12,704,951.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	190,574.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	12,514,377.
6	Minimum investment return. Enter 5% of line 5	6	625,719.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	625,719.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	4,521.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	4,521.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	621,198.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	621,198.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	621,198.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,000,731.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,000,731.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,000,731.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				621,198.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009	424,343.			
c From 2010	1,178,162.			
d From 2011	536,411.			
e From 2012	882,334.			
f Total of lines 3a through e	3,021,250.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 1,000,731.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				621,198.
e Remaining amount distributed out of corpus	379,533.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	3,400,783.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	3,400,783.			
10 Analysis of line 9:				
a Excess from 2009	424,343.			
b Excess from 2010	1,178,162.			
c Excess from 2011	536,411.			
d Excess from 2012	882,334.			
e Excess from 2013	379,533.			

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income**

[illegible]Form **990-PF** (2013)

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE STATEMENT 14				
Total			3a	958,850.
b Approved for future payment				
NONE				
Total			3b	0.

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

☒ Isa Is Fatache
Signature of officer or trustee

Date 8/2/14

▶ x President
Title

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

MICHAEL I. TANAKA

Preparer's signature

Michael Karch

Date

JUL 24 2014

Check ☐ self-employed

PTIN

P00412914

Firm's name ▶ N&K CPAS, INC.

Firm's EIN ▶	99-0169131
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Firm's address ► 1001 BISHOP ST., STE 1700
HONOLULU, HI 96813-3696

Phone no. 808-524-2255

Form **990-PF** (2013)

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BANK OF AMERICA	69,457.	69,457.	69,457.
BANK OF AMERICA	2,175.	2,175.	2,175.
FIRST HAWAIIAN BANK	9,639.	9,639.	9,639.
MORGAN STANLEY	451.	451.	451.
OPUS BANK	537.	537.	537.
UNION BANK	703.	703.	703.
US BANK	41,493.	41,493.	41,493.
US BANK	205.	205.	205.
WELLS FARGO	107,495.	107,495.	107,495.
TOTAL TO PART I, LINE 3	232,155.	232,155.	232,155.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
FIRST HAWAIIAN BANK	7.	1.	6.	6.	6.
MORGAN STANLEY	38.	0.	38.	38.	38.
MORGAN STANLEY	18,900.	0.	18,900.	18,900.	18,900.
MORGAN STANLEY	559.	0.	559.	559.	559.
WELLS FARGO	8.	0.	8.	8.	8.
TO PART I, LINE 4	19,512.	1.	19,511.	19,511.	19,511.

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL - SEE STMT 15	5,338.	0.	0.	5,338.
TO FM 990-PF, PG 1, LN 16A	5,338.	0.	0.	5,338.

FORM 990-PF	ACCOUNTING FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING - SEE STMT 15	10,338.	0.	0.	10,338.	
TO FORM 990-PF, PG 1, LN 16B	10,338.	0.	0.	10,338.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
MANAGEMENT FEES - FMG - SEE STMT 15	24,000.	0.	0.	24,000.	
MANAGEMENT FEES - INVESTMENTS - SEE STMT 15	25,623.	25,623.	0.	0.	
TO FORM 990-PF, PG 1, LN 16C	49,623.	25,623.	0.	24,000.	

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
TAXES & LICENSES - OTHER	35.	0.	0.	35.	
FEDERAL INVESTMENT EXCISE TAX	4,521.	0.	0.	0.	
TO FORM 990-PF, PG 1, LN 18	4,556.	0.	0.	35.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
DUES & SUBSCRIPTIONS	1,375.	0.	0.	1,375.	
OFFICE SUPPLIES & EXPENSES	706.	0.	0.	706.	
PENALTIES	43.	0.	0.	0.	
TO FORM 990-PF, PG 1, LN 23	2,124.	0.	0.	2,081.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	8
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
GOVERNMENT BONDS	X		1,394,533.	1,394,548.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			1,394,533.	1,394,548.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS					
TOTAL TO FORM 990-PF, PART II, LINE 10A			1,394,533.	1,394,548.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	9
DESCRIPTION			BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK			1,753,683.	2,894,071.
TOTAL TO FORM 990-PF, PART II, LINE 10B			1,753,683.	2,894,071.

FORM 990-PF	CORPORATE BONDS	STATEMENT 10
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	2,552,260.	2,704,313.
TOTAL TO FORM 990-PF, PART II, LINE 10C	2,552,260.	2,704,313.

FORM 990-PF	OTHER ASSETS	STATEMENT 11
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
INCOME TAX REFUNDS RECEIVABLE	818.	0.	0.
TO FORM 990-PF, PART II, LINE 15	818.	0.	0.

FORM 990-PF	OTHER LIABILITIES	STATEMENT 12
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DESCRIPTION	BOY AMOUNT	EOY AMOUNT
INCOME TAXES PAYABLE	0.	3,746.
TOTAL TO FORM 990-PF, PART II, LINE 22	0.	3,746.

NAME: The Atsuhiko and Ina Goodwin Tateuchi Foundation

TAX YEAR ENDED: December 31, 2013

EIN: 91-2090773

GRANT APPLICATION SUBMISSION INFORMATION

Part XV, Lines 2A through 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

Foundation Management Group, LLC
1000 Second Ave. 34th Floor
Seattle, WA 98104-1022

TELEPHONE NUMBER

(206)667-0300

FORM AND CONTENT OF APPLICATIONS

Initial application should be made through a concise letter or e-mail of inquiry (one or two pages), which should include the following information:

- 1) A brief statement of the organization's history and goals;
- 2) The exact purpose of the project and grant request;
- 3) An explanation of how the program stimulates educational opportunities for students involved in contemporary Japanese studies and promotes artistic and cultural exchanges between the United States and Japan;
- 4) The target population(s) to be served; and,
- 5) The amount requested, total project budget, total organization budget and other sources of funds anticipated or committed.

The letter of inquiry will receive a preliminary review (in most cases within 30 days) to determine if it warrants a more complete application. Grant applications may then be submitted at any time, but are normally reviewed quarterly. Applicants should be informed of the Foundation's decision within 30 days of the grant review process conclusion.

ANY SUBMISSION DEADLINES

None

RESTRICTIONS AND LIMITATIONS ON AWARDS

Organization must qualify as an exempt organization under Section 501(c)(3) of the Internal Revenue Code.

NAME: The Atsuhiko and Ina Goodwin Tateuchi Foundation
TAX YEAR: December 31, 2013
EIN: 91-2090773

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

FORM 990-PF, Part XV, Line 3a

<u>Recipient Name</u>	<u>Recipient Address</u>	<u>Recipient Relationship and Purpose of Grant</u>	<u>Recipient Status</u>	<u>Amount</u>
Asian Art Museum of San Francisco	200 Larkin Street San Francisco, CA 94102	N/A To fund charitable needs	Public Charity	75,000
Bellevue Botanical Garden Society	12001 Main Street Bellevue, WA 98005	N/A To fund charitable needs	Public Charity	500
Bellevue Botanical Garden Society	12001 Main Street Bellevue, WA 98005	N/A To fund charitable needs	Public Charity	40,000
Bishop Museum	1525 Bernice Street Honolulu, HI 96817	N/A To fund charitable needs	Public Charity	20,000
Bloedel Reserve	7571 NE Dolphin Drive Bainbridge Isle, WA 98110	N/A To fund charitable needs.	Public Charity	35,000
Eastside Nihon Matsuri Association	17419 NE 126th Place Redmond, WA 98052	N/A To fund charitable needs	Public Charity	3,000
Executive Development Institute	40 Lake Bellevue, Suite 100 Bellevue, WA 98005	N/A To fund charitable needs	Public Charity	3,850
Hawaii Opera Theatre	848 S. Beretania St , Suite 301 Honolulu, HI 96813	N/A To fund charitable needs	Public Charity	9,000
Japan Community Service of Seattle	1414 S Weller Street Seattle, WA 98144	N/A To fund charitable needs	Public Charity	2,000
Japan Society of Northern California	500 Washington Street, Suite 300 San Francisco, CA 94111-2940	N/A To fund charitable needs	Public Charity	3,000
Japan-America Society of Hawaii	P O Box 1412 Honolulu, HI 96806	N/A To fund charitable needs.	Public Charity	6,000
Japan-America Society of Hawaii	P O. Box 1412 Honolulu, HI 96806	N/A To fund charitable needs	Public Charity	16,500
Japanese American National Museum	369 East First Street Los Angeles, CA 90012	N/A To fund charitable needs.	Public Charity	5,000
Japanese American National Museum	369 East First Street Los Angeles, CA 90012	N/A To fund charitable needs	Public Charity	320,000
PBS Hawaii	2350 Dole Street Honolulu, HI 96822	N/A To fund charitable needs	Public Charity	20,000
Portland Japanese Garden	611 SW Kingston Avenue Portland, OR 97205	N/A To fund charitable needs	Public Charity	100,000
Seattle Art Museum	1300 First Avenue Seattle, WA 98101-2003	N/A To fund charitable needs	Public Charity	100,000

NAME: The Atsuhiko and Ina Goodwin Tateuchi Foundation
TAX YEAR: December 31, 2013
EIN: 91-2090773

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

FORM 990-PF, Part XV, Line 3a

<u>Recipient Name</u>	<u>Recipient Address</u>	<u>Recipient Relationship and Purpose of Grant</u>	<u>Recipient Status</u>	<u>Amount</u>
Seattle Public Library Foundation	1000 Fourth Avenue Seattle, WA 98104	N/A To fund charitable needs	Public Charity	10,000
Seattle Symphony	200 University Street Seattle, WA 98101	N/A To fund charitable needs	Public Charity	5,000
Seattle Symphony	200 University Street Seattle, WA 98101	N/A To fund charitable needs.	Public Charity	25,000
The Wing Luke Asian Museum	719 South King Street Seattle, WA 98104	N/A To fund charitable needs	Public Charity	25,000
University of Washington Foundation	4333 Brooklyn Avenue, NE Box 359504 Seattle, WA 98195-9504	N/A To fund charitable needs	Public Charity	60,000
University of Washington Foundation	4333 Brooklyn Avenue, NE Box 359504 Seattle, WA 98195-9504	N/A To fund charitable needs	Public Charity	25,000
University of Washington Foundation	4333 Brooklyn Avenue, NE Box 359504 Seattle, WA 98195-9504	N/A To fund charitable needs	Public Charity	<u>50,000</u>
Total to Form 990-PF, Part XV, Line 3a				<u><u>958,850</u></u>

NAME: The Atsuhiko and Ina Goodwin Tateuchi Foundation

TAX YEAR ENDED: December 31, 2013

EIN: 91-2090773

DETAIL OF PROFESSIONAL FEES PAID

Form 990-PF, Part I, line 16, Column (a)

<u>Name of Provider</u>	<u>Type of Service Provided</u>	<u>Amount Paid</u>
<u>Line 16a, Legal Fees</u>		
Perkins Coie LLP	Review of grant agreements	\$ <u>5,338</u>
<u>Line 16b, Accounting Fees</u>		
N&K CPAs, Inc.	Tax return preparation	\$ <u>10,338</u>
<u>Line 16c, Professional Fees</u>		
Foundation Management Group, LLC	Grants management and foundation administration	\$ <u>24,000</u>
Bank of America	Investment management	4,315
Morgan Stanley	Investment management	20,534
First Hawaiian Bank	Investment management	754
U.S Bank	Investment management	20
		<u>25,623</u>
Total Professional Fees, Line 16c		\$ <u>49,623</u>

NAME: The Atsuhiko and Ina Goodwin Tateuchi Foundation
TAX YEAR: December 31, 2013
EIN: 91-2090773

STATEMENT REGARDING DISTRIBUTIONS MADE DURING THE START-UP PERIOD AND FULL PAYMENT PERIOD

Form 990-PF, Part XI and Part XII

	START-UP PERIOD				Subtotal	FULL PAYMENT PERIOD							
	2002	2003	2004	2005		2006	2007	2008	2009	2010	2011	2012	2013
Distributable amount, Part XI, line 7	1,027,093	1,012,333	1,000,767	975,768		970,075	936,167	911,926	770,580	755,489	700,318	620,441	620,612
Percentage of Distributable Amount	20%	40%	60%	80%		100%	100%	100%	100%	100%	100%	100%	100%
Start-up/Full Payment Period Distrib Req	205,419	404,933	600,460	780,614	1,991,426	970,075	936,167	911,926	770,580	755,489	700,318	620,441	620,612
Prior Year Excess Distrib Carried Forward							(841,826)	(872,167)	(2,003,492)	(1,781,492)	(2,033,651)	(1,236,729)	(1,540,698)
Full Payment Period Minimum Amount						970,075	94,341	39,759	(1,232,912)	(1,026,003)	(1,333,333)	(616,288)	(920,086)
Actual Payments Made													
Grants													
Arboretum Foundation	-	-	-	-	-	-	-	150,000	50,000	-	-	-	-
Asian Art Museum Foundation	250,000	250,000	250,000	250,000	1,000,000	1,000,000	-	250,000	250,000	-	-	25,000	75,000
Bellevue Botanical Garden Society	-	100,000	50,000	2,500	152,500	-	-	-	-	-	40,000	40,000	40,500
Bellevue Philharmonic Orchestra	-	-	-	-	-	-	-	5,000	-	-	-	-	-
Bishop Museum	-	-	-	-	-	-	-	-	200,000	-	100,000	-	20,000
Bloedel Reserve	-	-	-	-	-	-	-	-	-	-	-	35,000	35,000
California State University Northridge Foundation	-	-	-	-	-	-	-	-	1,000	-	-	-	-
Community School of Arts & Music	-	-	100,000	100,000	200,000	100,000	100,000	600,000	-	-	-	9,000	-
Crown Prince Akhito Scholarship	-	-	-	-	-	-	-	-	34,000	33,000	33,000	-	-
Densho: The Japanese American Project	-	-	-	-	-	-	-	15,000	50,000	25,000	30,000	55,000	-
Eastside Nihon Matsun Association	-	2,000	2,000	2,500	8,500	2,500	2,500	2,500	2,500	2,500	2,500	6,000	3,000
Executive Development Institute	-	-	-	-	-	-	-	3,700	3,600	3,500	3,850	3,850	3,850
Hawaii Opera Theatre	-	-	-	-	-	-	-	-	-	9,000	9,000	9,000	9,000
Hokubei Hochi Foundation	-	-	-	-	-	-	-	-	-	-	-	2,000	-
Inter-Univ Japanese Language Studies	45,000	9,000	9,200	-	63,200	-	-	-	-	-	-	100,000	-
International Community Health Center Foundation	-	-	-	-	-	-	-	-	-	-	25,000	-	-
International Student Conferences	-	-	-	-	-	-	-	2,500	-	-	-	-	-
Japan-America Society of Hawaii	-	10,000	2,500	20,000	32,500	20,000	-	11,200	18,200	16,200	21,500	16,500	22,500
Japan Community Service of Seattle	-	-	-	-	-	-	-	-	-	-	-	1,000	2,000
Japan Society of Northern California	-	-	-	-	-	-	-	-	-	-	-	-	3,000
Japan-US Educational Commission	-	-	-	-	-	100,000	100,000	100,000	100,000	100,000	-	-	-
Japanese American National Museum	-	-	-	-	-	10,000	10,000	-	520,000	520,000	370,000	370,000	325,000
Japanese Cultural Center of Hawaii	-	-	-	-	-	-	-	-	-	-	-	5,000	-
King County Library System	-	-	-	-	-	-	-	12,000	-	5,000	50,000	-	-
King FM Classical 98.1	-	-	-	-	-	-	-	-	-	-	-	-	-
Kirkland Performance Center	-	-	2,000	-	2,000	-	-	-	-	-	-	-	-
Make-A-Wish Foundation International	-	20,000	-	-	20,000	-	-	-	-	-	-	-	-
Midon & Friends	-	-	-	-	-	-	-	-	5,000	-	10,000	-	-
Nihonmachi Little Friends	-	-	-	-	-	-	-	-	50,000	50,000	-	-	-
Nikkei Heritage Association of Washington	-	-	-	-	-	-	-	2,500	90,000	9,300	7,800	-	-
PBS Hawaii	-	-	-	-	-	-	-	-	13,000	13,000	12,000	-	20,000
Balance forward	297,000	391,000	415,700	375,000	1,478,700	1,222,500	212,500	1,154,400	1,169,300	982,500	719,650	677,350	558,850

NAME: The Atsuhiko and Ina Goodwin Tateuchi Foundation
TAX YEAR: December 31, 2013
EIN: 91-2090773

STATEMENT REGARDING DISTRIBUTIONS MADE DURING THE START-UP PERIOD AND FULL PAYMENT PERIOD

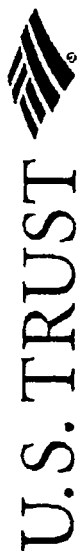
Form 990-PF, Part XI and Part XII

	START-UP PERIOD				Subtotal	FULL PAYMENT PERIOD							
	2002	2003	2004	2005		2006	2007	2008	2009	2010	2011	2012	2013
Balance forward	297,000	391,000	415,700	375,000	1,478,700	1,222,500	212,500	1,154,400	1,169,300	982,500	719,650	677,350	558,850
Performing Arts Center Eastside	-	-	-	-	-	-	-	-	-	509,178	-	-	-
Polyclinic Community Health Foundation	-	-	-	-	-	-	-	-	-	-	1,000	1,000	-
Portland Japanese Garden	-	-	-	-	-	-	-	-	-	-	-	-	100,000
Seattle Art Museum	-	-	-	-	-	400,000	400,000	400,000	400,000	-	3,000	100,000	100,000
Seattle Foundation	-	-	-	-	-	50,000	50,000	160,000	-	200,000	250,000	520,000	-
Seattle Japanese Language School	-	-	-	-	-	-	-	14,238	5,882	-	-	-	-
Seattle Opera	-	-	-	-	-	-	-	-	-	-	-	25,000	-
Seattle Parks Foundation	-	90,000	50,000	-	140,000	-	-	-	-	-	-	-	-
Seattle Public Library Foundation	-	100,000	105,000	100,000	305,000	100,000	100,000	10,000	-	10,000	10,000	10,000	10,000
Seattle Symphony	-	-	-	-	-	-	-	5,000	15,000	30,000	5,000	25,000	30,000
Seattle Theatre Group	-	-	-	25,000	25,000	-	-	-	-	-	-	-	-
Seattle University School of Law	-	-	-	-	-	-	-	-	-	-	21,000	-	-
Seattle Young Artists Music Festival	-	-	-	-	-	-	-	-	-	-	500	500	-
SF Asian Art Museum	-	5,000	-	-	5,000	-	-	-	-	-	25,000	-	-
Student Conservation Association	-	-	-	-	-	-	-	-	-	8,000	9,000	-	-
Tokyo Union Church Foundation	-	-	10,000	2,000	12,000	2,000	2,000	2,000	2,000	-	-	-	-
United States-Japan Foundation	-	-	-	-	-	-	2,000	-	-	-	-	-	-
University of Washington Foundation	-	42,547	47,000	-	89,547	49,000	63,000	111,500	-	100,000	-	110,000	135,000
Wing Luke Asian Museum	-	-	-	-	-	-	100,000	130,000	130,000	130,000	130,000	30,000	25,000
YMCA of Greater Seattle	-	-	-	-	-	-	-	-	-	2,000	5,000	-	-
Administration	40,597	70,438	56,238	29,222	196,495	38,401	39,008	54,113	59,310	61,973	57,579	41,848	41,881
	337,597	698,985	683,938	531,222	2,251,742	1,811,901	966,508	2,043,251	1,781,492	2,033,651	1,236,729	1,540,698	1,000,731
Actual Distributions > Distribution Requirement					260,316	841,826	872,167	2,003,492	1,781,492	2,033,651	1,236,729	1,540,698	1,000,731

The taxpayer has met the requirement to distribute the start-up period minimum amount during its start-up period

The taxpayer has met the requirement to distribute the full payment period minimum amount in its full payment period

2013 Tax Information Letter



Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
FLORIDA PWR & LT CO 1ST MTG BD	FPL13	341081EN3	250000	09/10/07	02/01/13	\$250,000.00	\$250,000.00	\$0.00
FEDERAL HOME LN BKS	FHLB13	3133XRX88	250000	02/19/10	09/06/13	\$250,000.00	\$267,202.50	\$-17,202.50
FEDERAL HOME LN BKS	FHLB13	3133X1BV8	250000	02/19/10	09/16/13	\$250,000.00	\$271,485.25	\$-21,485.25
FED FARM CRED BK	FFCB13	31331GCS6	250000	02/19/10	10/07/13	\$250,000.00	\$266,217.50	\$-16,217.50
FEDERAL HOME LN BKS	FHLB13	3133XSAE8	250000	02/19/10	10/18/13	\$250,000.00	\$263,871.50	\$-13,871.50
Total long term gain/loss from sales:						\$1,250,000.00	\$1,318,776.75	\$-68,776.75

Transaction Detail Section (continued)**Statement of Value & Activity**

January 1, 2013 - December 31, 2013

Sales/Maturities

1/1/13 - 12/31/13 of	\$140,381.40	
Federated Tax Free Obligations		
1/31/13 Matured 5,000 Par Value of	\$5,000.00	-\$235.40
Wells Fargo Co 4.375% 1/31/13		
Trade Date 1/31/13		
LT Capital Loss of \$235.40- on Federal Cost		
Federal Tax Cost \$5,235.40		
5,000 Par Value at 100 %		
2/11/13 Matured 10,000 Par Value of	\$10,000.00	\$3.50
Berkshire Hathway 2.125% 2/11/13		
Trade Date 2/11/13		
LT Capital Gain of \$3.50 on Federal Cost		
Federal Tax Cost \$9,996.50		
10,000 Par Value at 100 %		



First Hawaiian Bank.

WEALTH MANAGEMENT GROUP

Transaction Detail Section (continued)

Statement of Value & Activity

January 1, 2013 - December 31, 2013

Date	Transaction Description	Principal Cash	Income Cash	Realized G/L
5/31/13	Matured 50,000 Par Value of U.S. Treasury Notes 3.500% 5/31/13 Trade Date 5/31/13	\$50,000.00		-\$2,375.00
	LT Capital Loss of \$2,375.00- on Federal Cost Federal Tax Cost \$52,375.00			
	50,000 Par Value at 100 %			
6/14/13	Matured 25,000 Par Value of FHLB 4.250% 6/14/13 Trade Date 6/14/13	\$25,000.00		-\$1,456.01
	LT Capital Loss of \$1,456.01- on Federal Cost Federal Tax Cost \$26,456.01			
	25,000 Par Value at 100 %			
7/1/13	Matured 10,000 Par Value of U.S. Treasury Notes 3.375% 6/30/13 Trade Date 6/30/13	\$10,000.00		-\$610.16
	LT Capital Loss of \$610.16- on Federal Cost Federal Tax Cost \$10,610.16			
	10,000 Par Value at 100 %			
9/13/13	Matured 30,000 Par Value of FHLB 5.250% 9/13/13 Trade Date 9/13/13	\$30,000.00		-\$2,972.40
	LT Capital Loss of \$2,972.40- on Federal Cost Federal Tax Cost \$32,972.40			
	30,000 Par Value at 100 %			

Transaction Detail Section (continued)**Statement of Value & Activity**

January 1, 2013 - December 31, 2013

Date	Transaction Description	Principal Cash	Income Cash	Realized G/L
11/29/13	Full Call 10,000 \$1 PV Verizon Wireless 5.550% 2/01/14 on 11/29/13 at \$1.00809 LT Capital Loss of \$949.30- on Federal Cost Federal Tax Cost \$11,030.20 Corporate Action Id: 21532	\$10,080.90		-\$949.30
		SALES PRICE		
		\$280,462.30	\$0.00	
				COST
				289,057.07
				-8,594.77
Total Sales/Maturities				
12/31/13 Ending Balance		-\$78,484.91	\$78,484.91	



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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CITIGROUP INC NEW								
		CUSIP: 172967424		Symbol (Box 1d): C				
	500.000	05/06/13	12/27/13	\$26,091.99	\$23,984.85	\$0.00	\$2,107.14	\$0.00
	1,000.000	05/08/13	12/31/13	\$51,803.89	\$47,969.70	\$0.00	\$3,834.19	\$0.00
	500.000	05/08/13	12/31/13	\$25,901.95	\$24,658.70	\$0.00	\$1,243.25	\$0.00
Security Subtotal	2,000.000			\$103,797.83	\$96,613.25	\$0.00	\$7,184.58	\$0.00
ING U.S INC								
		CUSIP: 45885E106		Symbol (Box 1d): VOYA				
	600.000	07/25/13	08/12/13	\$18,374.20	\$18,549.30	\$0.00	(\$175.10)	\$0.00
	600.000	07/25/13	08/12/13	\$18,374.20	\$18,549.30	\$175.10	(\$175.10)	\$0.00
	800.000	07/25/13	08/12/13	\$24,498.93	\$24,732.40	\$233.47	(\$233.47)	\$0.00
	1,500.000	07/26/13	08/12/13	\$45,935.50	\$47,122.95	\$0.00	(\$1,187.46)	\$0.00
	200.000	08/01/13	08/12/13	\$6,124.73	\$6,431.04	\$0.00	(\$306.31)	\$0.00
	600.000	07/25/13	09/19/13	\$17,301.12	\$19,555.82	\$0.00	(\$2,254.70)	\$0.00
	800.000	07/25/13	09/19/13	\$23,058.15	\$25,957.63	\$0.00	(\$2,899.48)	\$0.00
Security Subtotal	5,100.000			\$153,676.83	\$160,898.44	\$408.57	(\$7,221.62)	\$0.00
JPMORGAN CHASE & CO								
		CUSIP: 46625H100		Symbol (Box 1d): JPM				
	500.000	05/30/13	12/31/13	\$28,051.94	\$27,912.70	\$0.00	\$1,139.24	\$0.00
TRAVELERS COMPANIES INC COM								
		CUSIP: 89417E109		Symbol (Box 1d): TRV				
	800.000	03/05/13	07/25/13	\$65,907.81	\$65,630.32	\$0.00	\$277.49	\$0.00
VERIFONE SYSTEMS INC								
		CUSIP: 82342Y109		Symbol (Box 1d): PAY				
	1,000.000	01/04/13	02/21/13	\$18,345.09	\$30,883.90	\$0.00	(\$12,538.81)	\$0.00
	2,000.000	01/04/13	02/21/13	\$38,690.17	\$61,366.60	\$0.00	(\$24,676.43)	\$0.00

CONTINUED ON NEXT PAGE

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities
(Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8948 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 7b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
VERIFONE SYSTEMS INC	250.000	01/07/13	02/21/13	\$4,586.27	\$7,917.78	\$0.00	(\$3,331.51)	\$0.00
	350.000	01/23/13	02/21/13	\$8,420.78	\$12,171.88	\$0.00	(\$3,751.10)	\$0.00
Security Subtotal	3,600.000			\$86,042.31	\$112,340.16	\$0.00	(\$26,297.85)	\$0.00
YAHOO INC		CUSIP: 984332106		Symbol (Box 1d): YHOO				
	2,000.000	07/27/12	06/24/13	\$47,940.76	\$32,391.40	\$0.00	\$15,549.36	\$0.00
	1,500.000	10/03/12	06/24/13	\$35,955.57	\$24,421.95	\$0.00	\$11,533.62	\$0.00
	700.000	10/24/12	06/24/13	\$16,779.27	\$11,724.93	\$0.00	\$5,054.34	\$0.00
Security Subtotal	4,200.000			\$100,675.60	\$68,538.28	\$0.00	\$32,137.32	\$0.00
YUM BRANDS INC		CUSIP: 988498101		Symbol (Box 1d): YUM				
	500.000	02/08/13	05/30/13	\$34,415.44	\$32,768.45	\$0.00	\$1,647.99	\$0.00
Total Short Term Covered Securities				\$563,568.76	\$564,701.60	\$408.57	(\$11,132.85)	\$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basic Reported to IRS) as being checked for this section. These transactions should be reported on Form 9848 Part II with box 0 checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
APPLE INC	50,000	03/25/11	01/15/13	\$24,315.19	\$17,527.90	\$0.00	\$6,787.29	\$0.00
BOEING CO	200,000	04/26/11	02/08/13	\$15,303.94	\$15,141.86	\$0.00	\$162.08	\$0.00
	100,000	04/28/11	02/08/13	\$7,651.97	\$7,835.00	\$0.00	(\$183.03)	\$0.00
	200,000	12/01/11	02/08/13	\$15,303.93	\$14,241.38	\$0.00	\$1,062.55	\$0.00
Security Subtotal	500,000			\$38,259.84	\$37,210.24	\$0.00	\$1,041.60	\$0.00
INTL BUSINESS MACHINES CORP	250,000	05/02/11	05/03/13	\$51,165.03	\$43,156.93	\$0.00	\$8,008.10	\$0.00
	50,000	05/12/11	05/03/13	\$10,233.01	\$8,607.00	\$0.00	\$1,626.01	\$0.00
	100,000	06/30/11	05/03/13	\$20,466.00	\$17,177.43	\$0.00	\$3,288.57	\$0.00
	100,000	06/30/11	05/08/13	\$20,404.10	\$17,177.43	\$0.00	\$3,226.67	\$0.00
	100,000	12/01/11	05/08/13	\$20,404.10	\$16,993.38	\$0.00	\$3,410.72	\$0.00
	200,000	03/30/12	05/14/13	\$40,527.11	\$41,805.52	\$0.00	(\$1,278.41)	\$0.00
Security Subtotal	800,000			\$163,199.35	\$146,817.69	\$0.00	\$16,381.66	\$0.00
Total Long Term Covered Securities				\$225,774.38	\$201,663.83	\$0.00	\$24,110.55	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS



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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities [#] (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
COLGATE PALMOLIVE CO	1,200.000	07/30/09	07/12/13	\$70,864.36	\$43,302.18	\$0.00	\$27,362.18	\$0.00
EXXON MOBIL CORP	400.000	11/16/09	08/01/13	\$36,859.15	\$29,844.00	\$0.00	\$7,015.15	\$0.00
MC DONALDS CORP	200.000	04/17/09	10/16/13	\$18,956.59	\$11,214.38	\$0.00	\$7,742.21	\$0.00
	400.000	07/30/09	10/16/13	\$37,913.17	\$22,406.48	\$0.00	\$15,506.69	\$0.00
Security Subtotal	800.000			\$56,869.76	\$33,620.86	\$0.00	\$23,248.90	\$0.00
MICROSOFT CORP	500.000	10/14/09	01/02/13	\$13,614.69	\$13,060.00	\$0.00	\$554.69	\$0.00
	500.000	11/09/09	01/02/13	\$13,614.69	\$14,568.85	\$0.00	(\$954.16)	\$0.00
Security Subtotal	1,000.000			\$27,229.38	\$27,628.85	\$0.00	(\$399.47)	\$0.00

CONTINUED ON NEXT PAGE

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities [#] (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.) (Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SYMBOL (Box 1d): PG	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
PROCTER & GAMBLE	1,000,000	08/07/09	01/02/13	\$68,953.65	\$52,288.40	\$0.00	\$0.00	\$16,665.25	\$0.00
	500,000	09/18/09	01/02/13	\$34,476.82	\$28,801.75	\$0.00	\$0.00	\$5,675.07	\$0.00
Security Subtotal	1,500,000			\$103,430.47	\$81,090.15	\$0.00	\$0.00	\$22,340.32	\$0.00
Total Long Term Noncovered Securities				\$295,053.12	\$215,486.04	\$0.00	\$0.00	\$79,567.08	\$0.00
Total Long Term Covered and Noncovered Securities				\$520,827.50	\$417,149.87	\$0.00	\$0.00	\$103,677.63	\$0.00
Total Short and Long Term, Covered and Noncovered Securities				\$1,074,396.26	\$981,851.47	\$408.57	\$408.57	\$92,544.78	\$0.00
Form 1099-B Total Reportable Amounts									
Total Sales Price (Box 2a)				\$1,074,396.26					
Total Cost or Other Basis (Box 3)					\$766,365.43				
Total Wash Sale Loss Disallowed (Box 5)						\$408.57	\$408.57		
Total Fed Tax Withheld (Box 4)									\$0.00

[#] Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.

2013 ENHANCED SUMMARY

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

For noncovered transactions, we provide supplemental cost basis information, when it is available, to assist you with completing your tax return, however, THIS INFORMATION IS NOT PROVIDED TO THE INTERNAL REVENUE SERVICE.

**LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013**

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
BANK OF BARODA CD / 060624MWW0 NEW YORK NY ACT/365 FDIC INSURED CPN 0.450% DUE 09/19/13	240,000.0000	09/12/12	240,000.00	240,000.00	0.00	REDEMPTION
BANK OF INDIA CD / 062782U47 NEW YORK NY ACT/365 FDIC INSURED CPN 0.450% DUE 10/30/13	240,000.0000	10/24/12	240,000.00	240,000.00	0.00	REDEMPTION
CITIGROUP CAP XV 6.50% / 17310G202 DUE 9/15/66 CALL STARTING 9/15/11 04/16/13	6,720.0000	09/07/06	168,000.00	168,000.00	0.00	REDEMPTION
GNMA 03-86 VN / 38374CR94 REMIC MULTICLASS CMO CPN 5.000% DUE 06/20/23 DTD 10/01/03 FC 11/20/03 11/20/13	135,000.0000	03/02/09	841.14	4,553.65	-3,712.51	REDEMPTION
MIZUHO CORP BANK USA CD / 60688TEZ8 NEW YORK NY ACT/365 FDIC INSURED CPN 0.350% DUE 09/19/13	240,000.0000	09/12/12	240,000.00	240,000.00	0.00	REDEMPTION

020 / WH / WH49

2013 ENHANCED SUMMARY

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) *continued*
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
SOVEREIGN BANK CD / 84603M2S4 WILMINGTON DE ACT/365 FDIC INSURED CPN 0.400% DUE 09/19/13 09/19/13	240,000 0000	09/12/12	240,000 00	240,000.00	0.00	REDEMPTION
UNION BANK NA SR / 90520EAB7 UNSECURED CPN 2.125% DUE 12/16/13 DTD 12/16/10 FC 06/16/11 12/16/13	250,000 0000	08/15/12	250,000 00	256,276.94	-6,276.94	REDEMPTION
WELLS FARGO COMPANY / 949746FJ5 SUBORDINATE NOTES CPN 4.950% DUE 10/16/13 DTD 10/16/03 FC 04/16/04 10/16/13	250,000 0000	08/15/12	250,000.00	266,950.44	-16,950.44	REDEMPTION
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES			1,628,841.14	1,655,781.03	-26,939.89	

UNKNOWN TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES (Box 6)
Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
GNMA 03-86 VN / 38374CR94 REMIC MULTICLASS CMO CPN 5.000% DUE 06/20/23 DTD 10/01/03 FC 11/20/03 01/20/13	135,000.0000	N/A	10,189 65	N/A	N/A	PRINCIPAL PAYMENT

020 / WH / WH49

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE ATTACHMENT A BANK OF AMERICA (319)		VARIOUS	VARIOUS
b	SEE ATTACHMENT B FIRST HAWAIIAN BANK (900)		VARIOUS	VARIOUS
c	SEE ATTACHMENT C MORGAN STANLEY (589)		VARIOUS	VARIOUS
d	SEE ATTACHMENT C MORGAN STANLEY - WASH SALE ADJ			
e	SEE ATTACHMENT C MORGAN STANLEY (589)		VARIOUS	VARIOUS
f	SEE ATTACHMENT D WELLS FARGO (327)		VARIOUS	VARIOUS
g	PRINCIPAL PYMTS GNMA 03-86 REMIC MULTICLASS CMO		VARIOUS	VARIOUS
h	200,000 SHS FEDERAL HOME LOAN BANK CONSOL BOND		09/10/09	12/13/13
i	107,000 SHS FINANCING CORP SER D STRIPS CPN GENER		09/18/09	09/26/13
j	100,000 SHS GOV'T TR CTFS ISRAEL TRUST ZERO CPN		09/02/09	04/01/13
k	CAPITAL GAINS DIVIDENDS			
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,250,000.		1,318,777.	-68,777.
b 280,462.		289,057.	-8,595.
c 553,569.		564,702.	-11,133.
d		-409.	409.
e 520,827.		417,150.	103,677.
f 1,628,841.		1,655,781.	-26,940.
g 90,359.		90,359.	0.
h 200,000.		237,122.	-37,122.
i 107,000.		107,000.	0.
j 100,000.		100,000.	0.
k 1.			1.
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-68,777.
b			-8,595.
c			-11,133.
d			409.
e			103,677.
f			-26,940.
g			0.
h			-37,122.
i			0.
j			0.
k			1.
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-48,480.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Form **8868**

(Rev. January 2014)

Department of the Treasury
Internal Revenue Service**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

► **File a separate application for each return.**► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **►**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ☐ **►**

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. THE ATSUHIKO AND INA GOODWIN TATEUCHI FOUNDATION	Employer identification number (EIN) or 91-2090773
	Number, street, and room or suite no. If a P.O. box, see instructions C/O N&K CPAs, INC., 1001 BISHOP STREET, ASB TOWER, SUITE 1700	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. HONOLULU, HAWAII 96813-3696	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► N&K CPAs, INC.

Telephone No. ► (808) 524-2255

Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐ **►**
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUG 15, 20 14, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☒ calendar year 20 13 or

► ☐ tax year beginning _____, 20 _____, and ending _____, 20 _____.

- 2** If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	10,818.00
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	818.00
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	10,000.00

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form **8868** (Rev. 1-2014)