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Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public. ▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf. OMB No 1545-0052

FOR	;aler	ndar year 2013 or tax year deginning			, and en	aing				
Na	ne o	f foundation					A Employer ide	ntification	number	
Т	ΗE	ARJUN GUPTA FAMILY F	OUNDA	TION			90-004	13591		
Nur	nber a	and street (or PO box number if mail is not delivered to s	treet addres:	s)		Room/suite	<b>B</b> Telephone nu	mber		
_9	80	E. HOPKINS		970925	3771					
		own, state or province, country, and ZIP or foreign	gn póstal c	ode			C If exemption app	lication is p	ending, check here	
		EN, CO 81611		7			<u> </u>			
G (	heci	k all that apply Initial return	<u> </u>	Initial return of a fo	ormer public cl	nanty	D 1. Foreign org	janization:	s, check here	
		Final return  X Address change	X	Amended return  Name change			2. Foreign organ	nizations me	eeting the 85% test,	
H (	heck	k type of organization X Section 501(c)(3		<u></u>					•	
	_	ection 4947(a)(1) nonexempt charitable trust	_	taxable private founda	ation				tus was terminated )(A), check here	<b>'</b> ▶□
l Fa			unting met	T-2-1	Accru	ıal	1		60-month terminal	tion
(fi	om i	Part II, col. (c), line 16)	Other (sp						)(B), check here	<b>—</b>
	\$	1,826,884. (Part I, o	olumn (d	) must be on cash	basis.)					
Pa	irt l	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may n necessarily equal the amounts in column (a))	ot (	a) Revenue and cpenses per books	(b) Net inv		(c) Adjusted		(d) Disbursen for chantable pu (cash basis o	rposes
	1	Contributions, gifts, grants, etc., received		54,230.						
	2	Check In if the foundation is not required to attach Sch. E								
	3	Interest on savings and temporary cash investments								
	4	Dividends and interest from securities		19,251.	19	9,251.			STATEMEN	T 1
	5a	Gross rents	ļ							
	۱ ـ	Net rental income or (loss)	-	11,209.	<u>, , , , , , , , , , , , , , , , , , , </u>	1-		'!	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
ē	Ι.	Net gain or (loss) from sale of assets not on line 10 Gross sales price for all 268, 326		11,209.		•••••	<b></b>	······	<b>-</b>	······································
Revenue	b   7	Capital gain net income (from Part IV, line 2)	<u>•</u>		1.	1,209.		•		
æ	8	Net short-term capital gain		······		-,		•••••		
<b>S</b>	9	Income modifications							1	•
SCANNED	10a	Gross sales less returns and allowances								
2	b	Less Cost of goods sold							, , , ,	······································
	C	Gross profit or (loss)								
$\bigcirc$	11		<u> </u>	04 600	2.	1.0				
<u>&gt;</u>	12	Total Add lines 1 through 11	-	84,690.	30	TASS	5-37 <sub>1</sub>	0.		0.
aug	13 14	Compensation of officers, directors, trustees, etc Other employee salaries and wages					1			<u> </u>
<b>-</b>		Pension plans, employee benefits					등하			
<b>⊚</b> 8	16a	Legal fees				15	Z			
\$103 Expens	Ь	Accounting fees				핑				
æ ¤	C	Other professional fees	-			10	9 8			
tive	17	Interest	<u> </u>	1.00		出	\frac{1}{2} \ \f			
Operating and Administrative	18	Taxes STMT 2	<u> </u>	160.		1-4.		0.		0.
Ë	19	Depreciation and depletion	-				600			
Adn	20 21	Occupancy Travel, conferences, and meetings			_					
P	22	Printing and publications			_	<del></del>				<u>-</u>
в Б	23	Other expenses STMT 3		5,802.	!	5,492.		0.	-	0.
atir		Total operating and administrative		•						
<u>p</u>		expenses. Add lines 13 through 23		5,962.		5,492.		0.		0.
O		Contributions, gifts, grants paid		222,398.					222,	398.
	26	Total expenses and disbursements.		220 262		- 400		^	222	200
_	-	Add lines 24 and 25		228,360.		5,492.		0.	222,	398.
	1	Subtract line 26 from line 12.		<143,670.	<b>-</b>					
	i i	1 Excess of revenue over expenses and disbursements 2 Net investment income (if negative, enter -0-)		-143,070.		1,968.		*************		**********
	i .	Adjusted net income (if negative, enter -0-)				-,		0.		······································

D	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of y	/еаг
- था	column should be for end-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value
1	Cash - non-interest-bearing	1,297,831.	156,204.	156,204.
2	Savings and temporary cash investments	·		· · · · · · · · · · · · · · · · · · ·
3	Accounts receivable			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ►			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other			
	disqualified persons .			
7	Other notes and loans receivable			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges	600.	600.	600.
9 10a	Investments - U.S. and state government obligations. STMT 4	428,595.	301,261.	301,865.
ı	investments - corporate stock STMT 5	0.	1,113,244.	1,167,043.
(	Investments - corporate bonds STMT 6	211,556.	200,449.	199,959.
11	Investments - land, buildings, and equipment basis			
	Less: accumulated depreciation			
12	Investments - mortgage loans			
13	Investments - other STMT 7	1,824.	1,213.	1,213.
14	Land, buildings, and equipment basis ▶			
	Less: accumulated depreciation			
15	Other assets (describe ►)			
16	Total assets (to be completed by all filers - see the			
	instructions Also, see page 1, item 1)	1,940,406.	1,772,971.	1,826,884.
17	Accounts payable and accrued expenses			
18	Grants payable .			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
19 20 21	Mortgages and other notes payable			
22	Other liabilities (describe )			
23	Total liabilities (add lines 17 through 22)	0.	0.	
ł	Foundations that follow SFAS 117, check here			
	and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted	1,940,406.	1,772,971.	
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here			
25 26	and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg, and equipment fund			
28 29 30	Retained earnings, accumulated income, endowment, or other funds			
30	Total net assets or fund balances	1,940,406.	1,772,971.	
31	Total liabilities and net assets/fund balances	1,940,406.	1,772,971.	
art	Analysis of Changes in Net Assets or Fund B	alances		
Tota	al net assets or fund balances at beginning of year - Part II, column (a), line	30		
	ist agree with end-of-year figure reported on prior year's return)	** ** * *	1	1,940,406.
	er amount from Part I, line 27a		2	<143,670.
	er increases not included in line 2 (itemize)		3	0.
	lines 1, 2, and 3		. 4	1,796,736.
	reases not included in line 2 (itemize)   UNREALIZED GAI	NS / LOSSES	5	23,765.
	al net assets or fund balances at end of year (line 4 minus line 5) - Part II. co		6	1,772,971.

THE ARJUN GUPTA FAMILY FOUNDATION

90-0043591

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Form **990-PF** (2013)

Form, 990-PF (2013)

	ARJUN GUPTA FA				90	<u>-00435</u>	91 Page 3
<u> </u>	and Losses for Tax on		Income	(b) How acquired	4.55	<del></del>	
	nbe the kind(s) of property sold (e rehouse, or common stock, 200 s			P - Purchase D - Donation	(c) Date ac (mo , day		(d) Date sold (mo , day, yr )
PUBLICLY TRADE		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		D - Donation			
							· · · · · · · · · · · · · · · · · · ·
C							
1							
(e) Gross sales price	(f) Depreciation allowed (or allowable)		st or other basis expense of sale			n or (loss) (f) minus (g)	
268,326.			257,11	7.			11,209
)							
c		ļ <u>.</u>					
<u> </u>							
Complete only for assets showin	g gain in column (h) and owned t	· 1 · · · · · · · · · · · · · · · · · ·				l (h) gain min it less than -0-	
(i) FM V as of 12/31/69	(j) Adjusted basis as of 12/31/69		cess of col (I) col (J), if any			rom col (h))	· ) ur
B							11,209
:	·	<b>_</b>					
d							
				<del>_                                     </del>			
Contal asia ast income as (ast as	If gain, also en	ter in Part I, line	7	<b>}</b>			11,209
Capital gain net income or (net ca		-0- in Part I, line	7	f 2			11,209
Net short-term capital gain or (los		and (6).		)			
If gain, also enter in Part I, line 8, If (loss), enter -0- in Part I, line 8	column (c)			) a		N/A	
	nder Section 4940(e) f	or Reduced	Tax on Net	Investment Inc	come		
For optional use by domestic private							
		10 10(0) 000	1100 111100001110110110110110	, , , , , , , , , , , , , , , , , , ,			
section 4940(d)(2) applies, leave th	nis part blank						
Vas the foundation liable for the sec	tion 4942 tax on the distributable	amount of any ye	ear in the base per	iod?			Yes X No
"Yes," the foundation does not qual	ify under section 4940(e) Do not	complete this pa	ırt				
Enter the appropriate amount in (				ntries			
(a) Base period years	(b)			(c)		(d) Distributio	n ratio
Calendar year (or tax year beginni			Net value of no	ncharitable-use assets	10	ol (b) divided	l by col (c))
2012		22,673.		769,582			.159402
2011		13,400.		744,523			.152312
2010	1	45,449.		805,078			.180664
2009		68,770.		740,018			.092930
2008		65,020.		952,041	-		.068295
							CEDCO
Total of line 1, column (d)					2		.653603
Average distribution ratio for the	•	al on line 2 by 5,	or by the number	of years			120721
the foundation has been in exister	nce if less than 5 years		••		3		.130721
						1	045 100
Enter the net value of noncharitat	le-use assets for 2013 from Part	X, line 5		••	-   4		845,199
Mulhah lan 4 hu lan 0					_		241,206
Multiply line 4 by line 3					5		241,200
Enter 19/, of not investment incom	on /19/ of Dart Line 27h				ایا		250
Enter 1% of net investment incon	18 (1% 01 Part 1, lille 270)				6		
Add lines 5 and 6					,		241,456
Add iiiles 5 alid 0		٠		-	7		-11/470
Enter qualifying distributions from	n Part XII line 4				8		222,398.
		1b. and ac		n n 10/ tou			,
If line 8 is equal to or greater than See the Part VI instructions	i iiile 7, check we dox in Part VI, I	ilie TD, and comp	mete tilat part usin	y a 1% tax fate			

Part VI   Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(c), or 4948 - see instructions)		n.990-PF(2013) THE ARJUN GUPTA FAMILY FOUNDA				0043			Page 4
Date of ruling or determination litter	Pa	et VI Excise Tax Based on Investment Income (Section 49-	40(a), 4940(b), 4940(€	), or 4	948	- see ir	str	ctio	ns)
b Domestic foundations that meet the section 4947(a) requirements in Part V, check here ▶ □ and enter 1% of Part I, line 12/b  6 All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)  2 Tax under section 511 (domestic section 4947(a)(1) frusts and taxable foundations only Others enter 40-)  3 499.  4 0.0.  5 Add lines 1 and 2  4 Subtlet A (income) tax (domestic section 4947(a)(1) frusts and taxable foundations only Others enter 40-)  5 Tax based on investment income. Subtract line 4 from line 3 if zero or less, enter 40-  5 Cereditz/Payments  2 2013 estimated tax payments and 2012 overpayment credited to 2013  5 Exempt foreign organizations—tax withheld at source  6 Tax pad with application for extension of time to file (Form 8888)  6 Backup withholding enroveously withheld  7 Total credits and payments Add lines 6s through 6d  8 Extent any penalty for undersynament of stemsted tax Check here □ if Form 2220 is attached  8 Backup withholding enroveously withheld  10 Tax late. If the total of lines 5 and 6s is more than line 7, enter amount of weed  9 Tax due. If the total of lines 5 and 6s is more than line 7, enter amount of weed  10 Tax late. If the total of lines 5 and 6s is more than line 7, enter amount of weed  10 Tax positical campagn 10 to be Credited to 2014 estimated tax ▶ 360 . Refunded ▶ 10 360 . Refunded ▶ 10 360 . Refunded ▶ 11 0 360 . Refunded ▶ 11 0 360 . Refunded № 11 0 360 . Refunded 11 0 1 360 . Refunded № 11 0 360 . Refunded 11 1 0 0 . Refunded 11	1a	Exempt operating foundations described in section 4940(d)(2), check here 🕨 🔙 and	enter "N/A" on line 1	) [					
and Part I, line 27b  2 All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b)  2 Tax under acedon 511 (domestic section 4947(a)(1) frusts and taxable foundations only Others enter -0-)  3 Add lines 1 and 2  4 99 .  4 90 .  5 Subtite 8 (norme) tax (domestic section 4947(a)(1) frusts and taxable foundations only Others enter -0-)  5 Tax based on Investment Incomes. Subtract line 4 from line 3 if zero or less, enter -0-  5 CreditsPayments  2 2013 estimated tax payments and 2012 overpayment credited to 2013  6 Be lines 1 and 2		Date of ruling or determination letter (attach copy of letter if no	eces <u>sary</u> -see instructions)						
E. All other domestic foundations enter 2% of time 27b. Exempt foreign organizations settler 4% of Part I, line 12, col. (b)  2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)  3 3 499.  4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)  5 Tax based on investment incomes. Subtract line 4 from line 3 if zero or less, enter -0-  6 Cerebit/Pyments  2 2013 estimated tax payments and 2012 overpayment credited to 2013  6 Exempt foreign organizations - tax withheld at source  6 Tax paid with application for extension of time to file (Form 8868)  6 Exempt foreign organizations - tax withheld at source  6 Tax paid with application for extension of time to file (Form 8868)  7 Total credits and payments Add lines 5 and 8 is more than the total of line 5 and 8 is enter than inno 7, onler amount overgald  7 Total credits and payments for suntension of the total of lines 5 and 8 is more than the total of lines 5 and 8 is enter than inno 7, onler amount overgald  1 Toverpayment. If line 7 is more than the total of lines 5 and 8 is enter than inno 7, onler amount overgald  1 Toverpayment. If line 8 is more than the total of lines 5 and 8 is enter than 100 or than 100 or the second of the second or than 100 or the second of the second or than 100 or than	b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here	▶ and enter 1%	<b>)</b>	1	ļ	_	4	<u>99.</u>
2 Tax under section 511 (domestic section 4947(a)(1) inusts and taxable foundations only Others enter -0-) 3 499.  3 499.  Subtite A (income) tax (domestic section 4947(a)(1) inusts and taxable foundations only Others enter -0-) 5 Tax based on investment income. Subtract line 4 from line 3 if zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3 if zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3 if zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3 if zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3 if zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3 if zero or less, enter -0- 6 Exempt foreign organizations - tax withheld at source 6 Tax paid with application for extension of time to file (form 8868) 6 Edit of the control of the 3 from 1		of Part I, line 27b							
3 499.  3 499.  4 0.0.  5 Tax based on Investment Income. Subtract time 4 from line 3 if zero or less, enter -0-  5 Tax based on Investment Income. Subtract time 4 from line 3 if zero or less, enter -0-  5 Tax based on Investment Income. Subtract time 4 from line 3 if zero or less, enter -0-  6 Credits/Payments  2 2013 estimated tax payments and 2012 overpayment credited to 2013  6 Experit or legal or line 2 from 1 from 2013  6 Experit or legal or line 2 from	£	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4	% of Part I, line 12, col (b)	)					_
4 Subtitlé A (income) tax (domesto section 4947(a)(1) fursis and taxable foundations only Others enter-0-) 5 Tax based on investment income. Subtract line 4 from line 3 if zero or less, enter-0- 5 Credits/Pyments 2 2013 setimated tax payments and 2012 overpayment credited to 2013 5 Exempt foreign organizations – tax withheld at source 5 Tax paid with application for extension of time to file (Form 8868) 6 Backup withholding ernoreously withheld 7 Total credits and payments Add lines 6a through 6d 8 Enter any penalty for underpayment of estimated tax Check here ☐ if form 2220 is attached 9 Tax due. If the total of lines 5 and 8 is more than the 7, enter amount owed 9 Tax due. If the total of lines 5 and 8 is more than the 7, enter amount owed 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to be Credited to 2014 estimated tax ≥ 12 Dump the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any potitical campaign? 12 Dump the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any potitical campaign? 13 Dump the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any potitical campaign? 13 Dud it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? 14 Enter the amount (farmy) part of the foundation of the activities and copies of any materials published or distributed by the foundation in connection with the activities. 15 Did the foundation have unrelated business gross to substantial continued in the activities of incorporation, or bylaws, or other similar instruments? If "Yes," artach a certain description of the activities. 16 A set the remulsurement (if any) pad by the foundation during the year? 17 Was there a liquidation, t	2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only	Others enter -0-)	ļ	_ 2	ļ			
5 Tax based on investment income. Subtract tine 4 from line 3 if zero or less, enter -0- 6 Credit/Payments 2 2013 estimated tax payments and 2012 overpayment credited to 2013 6	3	Add lines 1 and 2	••-	ļ	3			4	
8 Credits/Payments a 2019 estimated tax payments and 2012 overpayment credited to 2013 Be Bernpt foreign organizations - tax withheld at source 1 Exa paid with application for extension of time to file (Form 8868) 1 Recive witholding earnouse) withheld 1 Total credits and payments Add lines 6a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here If if Form 2220 is attached 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 9 Tax due. If the total of lines 5 and 8, enter the amount overpald 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpald 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpald 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpald 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpald 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpald 11 Total credits and 11 Total Company of the calculation of the calculation of the section of the activities and copies of any materials published or distributed by the foundation in connection with the activities. 1 In the numbrosement (if any) to such a political expenditure section of the activities and copies of any materials published or distributed by the foundation in connection with the activities. 2 In the reimbrosement (if any) to such a political expenditure section of the activities of the changes. 3 In the reimbrosement (if any) to such a political expenditure section of the changes. 4 In the reimbrosement (if any) to such a political expenditure section of the changes. 4 In the reimbrosement (if any) to such a political expenditure than the changes and the foundation in the ope	4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only	Others enter -0-)		4	ļ			
a 2013 estimated tax payments and 2012 overpayment credited to 2013 b Exempt foreign organizations - tax withheld at source 1 Tax paid with application for extension of time to file (Form 8868) 6 Bob 9 To 1 total credits and payments. Add times 6a through 6d 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 10 Overpayment, If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to be 10	5	·		ļ	5	<u> </u>		4	<u>99.</u>
b Exempt foreign organizations - tax withheld at source  1 ax paid with application for extension of time to file (Form 8868)  8 a Backup withholding erroneously withheld  7 Total credits and payments. Add lines 5a through 6d  8 Enter any penalty for underpayment of estimated tax. Check here	6	Credits/Payments	1 1	[					
c Tax paid with application for extension of time to file (Form 8868)  6 Backup withholding erroneously withheld  7 7 859.  8 Enter any penalty for underpayment of estimated tax. Check here  from 2220 is attached  8 Inter any penalty for underpayment of estimated tax. Check here  from 2220 is attached  8 Tax due. If the total of lines 5 and 8 is more than tine 7, enter amount owed  9 Tax due. If the total of lines 5 and 8 is more than tine 7, enter amount owed  10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid  10 During the tax pear, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?  10 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?  10 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?  10 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?  10 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?  11 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year  12 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year  13 Has the foundation in Far 9 Dept. This year?  14 Bas the foundation made any changes, not previously been reported to the IRS?  15 Has the foundation made any changes, not previously peptred to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If Yes, "attach a conformed copy of the changes  15 Has the foundation made any changes, not previously reported to the IRS, in the g	а	2013 estimated tax payments and 2012 overpayment credited to 2013	62	859.					
d Backup withholding erroneously withhold 7 Total credits and payments. Add lines 6 at through 6d 8 Enter any penalty for underpayment of estimated tax. Check here	þ	Exempt foreign organizations - tax withheld at source	6b						
7 Total credits and payments. Add lines 6a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached 8 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 9 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount owerpaid 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to be Credited to 2014 estimated tax ▶ 360 · Refunded ▶ 11 0 360 · Refunded ▶ 11 0 0 · PRAT VII-A Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1b Did it spend more than \$100 during the year (either directly) for political purposes (see instructions for the definition)? 1b Li X if the answer is "Yes" to 1aor 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. 1c Did the foundation file from 1120-P01, for this year? 2 It as the foundation his form 1120-P01, for this year? 3 It is the foundation may be any activities that have not previously been reported to the IRS? 1 If "Yes," attach a detailed description of the activities. 3 It is the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 3 It is the foundation have any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4 It is the requirements of section 508(e) (relating to sections 4941 through 4945) satisfie	C	Tax paid with application for extension of time to file (Form 8868)							
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b If "Yes," has it filed a tax return on Form 990-T for this year?  Was there a liquidation, termination, dissolution, or substantial contraction during the year?  If "Yes," attach the statement required by General Instruction T  Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either  By language in the governing instrument, or  By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?  Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV  7 X  Ba Enter the states to which the foundation reports or with which it is registered (see instructions)  CA  b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)  of each state as required by General Instruction G? If "No," attach explanation  g is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV  9 X			<del>-</del>			}			
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year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	۵	•	section 4942/i\/3\ or 4942/i\/5	\ for ealer	ndar	ŀ	OD	-1	
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses  10 X	3			, ivi valel	iual	ŀ	٥		x
	10		-	ec.		ŀ			$\frac{1}{X}$

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orm	990-PF (2013) THE ARJUN GUPTA FAMILY FOUNDATION 90-0043	591		Page 5
Pa	rt VII-A Statements Regarding Activities (continued)			
11 '	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of			
	section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?			
	If "Yes," attach statement (see instructions)	12		<u>X</u>
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Х	
	Website address ► N/A	222		
14	The books are in care of ► AL HOWARD Telephone no ►970925		Ţ	
_	Located at ▶ 980 E. HOPKINS, ASPEN, CO ZIP+4 ▶81	011		<del></del>
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here		<b>,</b> ▶	
	and enter the amount of tax-exempt interest received or accrued during the year		/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank,	1 1	Yes	No
	securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign			
D <sub>2</sub>	country  Lat VII-B Statements Regarding Activities for Which Form 4720 May Be Required	<u> </u>		
1.6		T 7	Yes	No
1.	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  During the year did the foundation (either directly or indirectly)	<b>  </b>	162	140
14	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?  Yes X No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)			
	a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  Yes X No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available			
	for the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No"			
	If the foundation agreed to make a grant to or to employ the official for a period after			
	termination of government service, if terminating within 90 days )			
h	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations			
	section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?  N/A	16		
	Organizations relying on a current notice regarding disaster assistance check here			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected			
Ĭ	before the first day of the tax year beginning in 2013?	10		Х
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation	-		
_	defined in section 4942(j)(3) or 4942(j)(5)).			
а	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning			
	before 2013? Yes X No			
	If "Yes," list the years ▶			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect			
	valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach			
	statement - see instructions ) N/A	2b		
C	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.			
	<b>&gt;</b>			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time			
	during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after			
	May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose			
	of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C,			
	Form 4720, to determine if the foundation had excess business holdings in 2013.)  N/A	3b	]	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that			
	had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

orm 990-PF (2013) THE ARJUN GUPTA FAMILY F			90-00435	91 p	age <b>6</b>
Part VII-B   Statements Regarding Activities for Which F	orm 4720 May Be F	Required (contin	ued)		
5a During the year did the foundation pay or incur any amount to					
`(1) `Carry on propaganda, or otherwise attempt to influence legislation (section	1 4945(e))?	Ye	es X No		
(2) Influence the outcome of any specific public election (see section 4955), o	r to carry on, directly or indire				
any voter registration drive?			es X No		
(3) Provide a grant to an individual for travel, study, or other similar purposes	? .	. L Ye	s X No		
(4) Provide a grant to an organization other than a charitable, etc., organization	n described in section				
509(a)(1), (2), or (3), or section 4940(d)(2)?		, 🗀 Ye	es X No		
(5) Provide for any purpose other than religious, charitable, scientific, literary,	or educational purposes, or f				
the prevention of cruelty to children or animals?		Ye	s X No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify und	ler the exceptions described i	n Regulations			
section 53 4945 or in a current notice regarding disaster assistance (see instru	ctions)?		N/A	5b	
Organizations relying on a current notice regarding disaster assistance check h	ere				
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption for	rom the tax because it mainta	ined			
expenditure responsibility for the grant?	N	//A 🛒 🔲 Ye	s 🔲 No		
If "Yes," attach the statement required by Regulations section 53.4945	5-5 <i>(d</i> ).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to	pay premiums on		į		
a personal benefit contract?		. 🔲 Ye	es X No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a p	ersonal benefit contract?			6b	X
If "Yes" to 6b, file Form 8870.					
7a At any time during the tax year, was the foundation a party to a prohibited tax s	helter transaction?		s X No		
b If "Yes," did the foundation receive any proceeds or have any net income attribu	itable to the transaction?		N/A	7b	
Part VIII Information About Officers, Directors, Trust		nagers, Highly	1		
Paid Employees, and Contractors					
1 List all officers, directors, trustees, foundation managers and their	compensation.			····	
f-1 Name and address	(b) Title, and average hours per week devoted	(c) Compensation (If not paid,	(d) Contributions to employee benefit plans and deferred	(e) Expe account, o	nse other
(a) Name and address	to position	enter -0-)	and deterred compensation	allowand	
ARJUN GUPTA	PRESIDENT				
980 EAST HOPKINS AVE.					
ASPEN, CO 81611	2.00	0.	0.		0.
AL HOWARD	TREASURER				
950 TOWER LANE, SUITE 1600					
FOSTER CITY, CA 94404	1.00	0.	0.		0.
STEPHEN GREEN	SECRETARY				
950 TOWER LANE, SUITE 1600					
FOSTER CITY, CA 94404	2.00	0.	0.		0.
2 Compensation of five highest-paid employees (other than those inc	luded on line 1). If none,	enter "NONE."			
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average	(a) Componention	(d) Contributions to employee benefit plans and deferred	(e) Expe account, o	nse
(a) Name and address of each employee paid more than \$50,000	hours per week devoted to position	(c) Compensation	and deferred compensation	allowand	Ces
NONE					
		i i		Ì	

Total number of other employees paid over \$50,000

Form. 990-PF (2013) THE ARJUN GUPTA FAMILY FOUNDATION	90-	0043591 Page 7
Part VIII Information About Officers, Directors, Trustees, Foundation Paid Employees, and Contractors (continued)	Managers, Highly	
3 Five highest-paid independent contractors for professional services. If none, enter "NO	NE."	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		▶ 0
Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical in number of organizations and other beneficiaries served, conferences convened, research papers produced,		Expenses
1 N/A		
2		
3		
4		
Part IX-B Summary of Program-Related Investments		
Describe the two largest program-related investments made by the foundation during the tax year on lines 1	and 2	Amount
1 N/A		
2		
All other program-related investments See instructions 3		
Total. Add lines 1 through 3	<b>&gt;</b>	0.

Form **990-PF** (2013)

P	Minimum Investment Return (All domestic foundations must complete this part. Foreign fou	ndations,	see instructions.)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
а	Average monthly fair market value of securities	1a	820,583.
b	Average of monthly cash balances	1b	820,583. 1,052,715.
C	Fair market value of all other assets	10	
đ	Total (add lines 1a, b, and c)	1d	1,873,298.
е	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,873,298.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	28,099.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	1,845,199.
6	Minimum investment return. Enter 5% of line 5	6	92,260.
P	Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations are foreign organizations check here  and do not complete this part )	nd certain	
1	Minimum investment return from Part X, line 6	1	92,260.
2a	Tax on investment income for 2013 from Part VI, line 5		
þ	Income tax for 2013 (This does not include the tax from Part VI )		
C	Add lines 2a and 2b	2c	499.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	91,761.
4	Recoveries of amounts treated as qualifying distributions .	4	0.
5	Add lines 3 and 4	5	91,761.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	91,761.
P	Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
а	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	222,398.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
а	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	222,398.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		
	income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	222,398.
	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation	qualifies for	r the section

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4940(e) reduction of tax in those years

Page 9

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI,	, , , , , , , , , , , , , , , , , , , ,			01.761
line 7	<u> </u>			91,761.
2 Undistributed income, if any, as of the end of 2013			0	
a Enter amount for 2012 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2013:			'	
a From 2008				
b From 2009				
c From 2010 91,660.				
d From 2011 76, 314.				
e From 2012 84,750.				
f Total of lines 3a through e	252,724.			
4 Qualifying distributions for 2013 from	232/1210			
Part XII, line 4 > \$ 222, 398.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior	***************************************			<del></del>
years (Election required - see instructions)		0.		
· · · · · · · · · · · · · · · · · · ·			* nn •	<del>                                     </del>
c Treated as distributions out of corpus	0.			
(Election required - see instructions) d Applied to 2013 distributable amount			· · · · · · · · · · · · · · · · · · ·	91,761.
e Remaining amount distributed out of corpus	130,637.		•••••••••••••••••••••••••••••••••••••••	31//01.
5 Excess distributions carryover applied to 2013	0.			0.
(If an amount appears in column (d), the same amount must be shown in column (a) )				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	383,361.			
b Prior years' undistributed income. Subtract				
line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable			······································	
amount - see instructions		0.		
e Undistributed income for 2012 Subtract line				
4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2013 Subtract				
lines 4d and 5 from line 1. This amount must				
be distributed in 2014				0.
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by				
section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008				
not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014.				
Subtract lines 7 and 8 from line 6a	383,361.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010 91,660.		Į.		
c Excess from 2011 76,314.				
d Excess from 2012 84,750.				
e Excess from 2013 130,637.				
				Cam 000 DE (0010)

Form 990-PF (2013) THE ARJU					143591 Page
Part XIV Private Operating Fo			II-A, question 9)	N/A	
1 à If the foundation has received a ruling or					
foundation, and the ruling is effective for		*	<b>▶</b>	14040(1)(2) 25 14	0.40(-)/5)
b Check box to indicate whether the founda  2 a Enter the lesser of the adjusted net	Tax year	ig iounuation described	Prior 3 years	4942(j)(3) or4	942(j)(5)
· F	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
income from Part I or the minimum	(4) 2010	(5) 2012	(6) 2011	(4) 2010	(0,100)
investment return from Part X for					
each year listed	· · - · · - · · - · · · · · · · · ·				
b 85% of line 2a					
c Qualifying distributions from Part XII,					
line 4 for each year listed			1	<del> </del>	<del> </del>
d Amounts included in line 2c not					
used directly for active conduct of					
exempt activities					
e Qualifying distributions made directly					
for active conduct of exempt activities					
Subtract line 2d from line 2c  3 Complete 3a, b, or c for the					
alternative test relied upon			}		
a "Assets" alternative test - enter			1		
(1) Value of all assets	·		<del> </del>		
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return					
shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross					
investment income (interest,			1		
dividends, rents, payments on securities loans (section					
512(a)(5)), or royalties)					
(2) Support from general public					
and 5 or more exempt organizations as provided in					
section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from			•		
an exempt organization					
(4) Gross investment income			<u> </u>		
Part XV Supplementary Infor at any time during the			if the foundation	n had \$5,000 or m	ore in assets
		ructions.)			
1 Information Regarding Foundation	_	Ab 00/ -44b- 4-4-1	A-b-A		
a List any managers of the foundation who year (but only if they have contributed me			tributions received by the	e toundation before the cit	ose of any tax
ARJUN GUPTA	0,000/ (000 0	000,000,000,(0),(2), /			
b List any managers of the foundation who	own 10% or more of th	a ctock of a corporation	/or an aqually large oor	ion of the autombio of a	andrambia or
other entity) of which the foundation has			(or an equally large port	ion of the ownership of a p	Jarthership of
NONE	<u>-</u>				
2 Information Regarding Contribution	on, Grant, Gift, Loan	Scholarship etc. F	rograms:	·	<del></del> -
Check here ► X if the foundation on			•	not accept unsolicited red	uests for funds. If
the foundation makes gifts, grants, etc. (					
a The name, address, and telephone numb		<u> </u>		<del> </del>	
		ролоон то нитоги сър			
b The form in which applications should be	submitted and informa	tion and materials they s	hould include		
c Any submission deadlines		<del>.</del>		<del></del>	. ,
d Any restrictions or limitations on awards					

٠,

### Part XVI-A Analysis of Income-Producing Activities

		11		· · · · · · · · · · · · · · · · · · ·	
Enter gross amounts unless otherwise indicated	(a) Business	1 business income (b) Amount	(C) Exclu- sion	(d) Amount	(e) Related or exempt function income
1 Program service revenue	code	Amount	code	Aillouilt	Tunction income
a					
b			<b></b>		
c	.				
d					
e					
f		<del></del>			
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash					
investments					
4 Dividends and interest from securities			14	19,251.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal					
property					
7 Other investment income					·
8 Gain or (loss) from sales of assets other			-		
than inventory			18	11,209.	
9 Net income or (loss) from special events		······································	<del>- </del>		· ·-
10 Gross profit or (loss) from sales of inventory	<del></del>				
11 Other revenue					
	ŀ				
					_
	·				
	· <del> </del>		<del>                                     </del>		
d			+ + -		•
e  12 Subtotal Add columns (b), (d), and (e)				30,460.	0.
12 Subtotal Add columns (b), (d), and (e)	L 1		• 1		22 462
(See worksheet in line 13 instructions to verify calculations.)	•		•	13	30,460.
				· · · · · · · · · · · · · · · · · · ·	
Part XVI-B Relationship of Activities	to the Acco	mplishment of I	Exempt P	urposes	
Line No. Explain below how each activity for which incomplete the foundation's exempt purposes (other than			l-A contribute	d importantly to the accomp	olishment of
the realisation 3 exempt purposes (either than	by providing far	ida for adoir parpoaca)			
			<del></del>		
		<del></del>			
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		<del> </del>		<del> </del>	<del></del>
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	<del></del>	<del></del>			
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			-		·
<del></del>			<del></del>		
323621					

# Form 990-PF (2013) THE ARJUN GUPTA FAMILY FOUNDATION 90-0043591 Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Excilipt Organ	izations .					
1	Did the or	ganization directly or indir	rectly engage in any of the follow	ing with any other organization	described in section 501(c) of		Yes	No
	the Code (	(other than section 501(c)	)(3) organizations) or in section (	527, relating to political organiz	ations?			
a	Transfers	from the reporting founda	ation to a noncharitable exempt o	organization of:				
	(1) Cash					1a(1)		X
	(2) Other	assets				1a(2)		X
b	Other tran	sactions:						
	(1) Sales	of assets to a noncharital	ble exempt organization			1b(1)		<u> </u>
	(2) Purch	nases of assets from a noi	ncharitable exempt organization			1b(2)		X
	(3) Renta	al of facilities, equipment, (	or other assets			1b(3)		X
	(4) Reiml	bursement arrangements				1b(4)		X
	(5) Loans	s or loan guarantees				1b(5)		X
	(6) Perfo	rmance of services or me	mbership or fundraising solicitat	ions		1b(6)		X
C	Sharing of	f facilities, equipment, mai	iling lists, other assets, or paid e	mployees		1c		X
d		•			ays show the fair market value of the goods, o		ets,	
					in any transaction or sharing arrangement, s	how in		
	_		other assets, or services received					
<b>a)</b> L	ine no	(b) Amount involved	(c) Name of noncharitab	le exempt organization	(d) Description of transfers, transactions, and	sharing am	angeme	nts
			N/A					
				<u></u>				
		<del></del>						
				<del> </del>	·			
			<u> </u>	<u></u>				
2a		•	tly affiliated with, or related to, or	, -	itions described	٦.,	ि चंड	٦
_		• •	r than section 501(c)(3)) or in se	ction 527?	L	Yes	LX	] No
b	If "Yes," co	omplete the following sch		(h) Time of aggregation	(a) Description of valations			
		(a) Name of org	janizauon	(b) Type of organization	(c) Description of relations	iib		
		N/A			- <del></del>			
		·····		<del>                                     </del>	<del></del>			
	_		<u>-                                    </u>	<del> </del>	<del></del>			
	Under	penalties (penury, I declare	that I Man yamined this return, include	ting accompanying echedules and st	tatements, and to the best of my knowledge			
Si	ا ممط ام				tion of which preparer has any knowledge retu	y the IRS o	e prepar	er
He		Mr.	41/	17/22/2014	PRESIDENT	wn below		7 I
		nature of efficer or trustee	1	Date	Title	& Yes		J No
	Oigi	Print/Type preparer's na			Date Check if PTIN			
		Trans Type preparer 5 lia	in charet?	aignature	self- employed			
Pa	nid	KELLY G BO	occe cpx   X Z		1/1.1. b	0156	760	
	eparer	Firm's name ► REE		PANY, INC.	Firm's EIN ▶ 84 – 0			
	se Only	THIN S HAINE FREE	DE HENKI & COM		LIIII SEIN POG-O	, , , ,	٠,	
		Firm's address A A	0 EAST MAIN ST	REET, SUITE 2				
		i .	PEN, CO 81611	MARI, DOILE Z	Phone no. (970)	925	-37	71
_		I AS	LEW, CO OTOIT			orm <b>99</b> 0		
					F	ルロ ララし	/- C C	(2013)

### Schedule B (Form 990, 990°EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Name of the organization

**Schedule of Contributors** 

➤ Attach to Form 990, Form 990-EZ, or Form 990-PF.

Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990

OMB No 1545-0047

2013

Employer identification number

<u>T</u>	HE ARJUN GUPTA FAMILY FOUNDATION	90-0043591					
Organization type (check	one):						
Filers of:	Section:						
Form 990 or 990-EZ	501(c)( ) (enter number) organization	501(c)( ) (enter number) organization					
	4947(a)(1) nonexempt charitable trust not treated as a private foundation						
	527 political organization						
Form 990-PF	X 501(c)(3) exempt private foundation						
	4947(a)(1) nonexempt charitable trust treated as a private foundation						
	501(c)(3) taxable private foundation						
• •	is covered by the <b>General Rule</b> or a <b>Special Rule.</b> c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Ru	ile. See instructions.					
•	on filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in miplete Parts I and II.	oney or property) from any one					
Special Rules							
509(a)(1) and 170	(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the reg O(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the of (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.						
total contribution	I (c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributed from any one contributed from \$1,000 for use exclusively for religious, charitable, scientific, literary, or edifferuelty to children or animals. Complete Parts I, II, and III.						
contributions for If this box is chec purpose. Do not	I (c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributes exclusively for religious, charitable, etc., purposes, but these contributions did not to cked, enter here the total contributions that were received during the year for an exclusive complete any of the parts unless the <b>General Rule</b> applies to this organization because role, etc., contributions of \$5,000 or more during the year	tal to more than \$1,000. ely religious, chantable, etc.,					

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

Employer identification number

## THE ARJUN GUPTA FAMILY FOUNDATION

90-0043591

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

K **** *			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ARJUN GUPTA  980 EAST HOPKINS AVENUE  ASPEN, CO 81611	\$54,230.	Person X Payroll
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Payroll Complete Part II for noncash contributions.)

Name of organization

Employer identification number

# THE ARJUN GUPTA FAMILY FOUNDATION

90-0043591

Part # N	Ioncash Property	(see instructions	). Use duplicate co	pies of Part II if a	dditional space is needed.
----------	------------------	-------------------	---------------------	----------------------	----------------------------

Pari H	Moncash Property (see instructions), use duplicate copies of P	art ii ii additioriai space is needed.	
(a) No. from Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		s	
(a) No. from Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		<b>\$</b>	
(a) No. from Part i	(b)  Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		<b>\$</b>	
(a) No. from Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		<b>\$</b>	
(a) No. from Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	l-13	Sabadula B (Farm	990, 990-EZ, or 990-PF) (20

Name of organization

Employer identification number

	RJUN GUPTA FAMILY FOUND	ATION		90-0043591	
Part III	Exclusively religious, charitable, etc., indiverse. Complete columns (a) through (e) and the total of exclusively religious, charitable, etc.	he following line entry. For organizati c., contributions of <b>\$1,000 or less</b> fo	ons completing Part III, ent	er _	
(-) NI- I	Use duplicate copies of Part III if addition	al space is needed.	1		
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) De	scription of how gift is held	
		(e) Transfer of gi			
	Transferee's name, address, a	nd ZIP + 4	Relationship of t	ransferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) De	scription of how gift is held	
		(e) Transfer of gi	ft		
	Transferee's name, address, a	nd ZIP + 4	Relationship of transferor to transferee		
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) De	scription of how gift is held	
		(e) Transfer of gi	ft		
	Transferee's name, address, a	nd ZIP + 4	Relationship of t	ransferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) De	scription of how gift is held	
		(e) Transfer of gi	ft		
	Transferee's name, address, a	nd ZIP + 4	Relationship of t	ransferor to transferee	
į					

Part XV Supplementary Informat 3 Grants and Contributions Paid During th				
Recipient	If recipient is an individual,	T		
Name and address (home or business)	show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
	or substantial contributor			
KIPP BAY AREA SCHOOLS	NONE	501(C)(3)	general	
1404 FRANKLIN STREET, #500				
DARLAND, CA 94612				5,000
COLLEGE TRACK	NONE	501(C)(3)	GENERAL	
111 BROADWAY				
DARLAND, CA 94607				23,000
CHALLENGE ASPEN	NONE	501(C)(3)	GENERAL	
ро вох 6639				
SNOWMASS VILLAGE, CO 81615				1,000
ASPEN MUSIC FESTIVAL	NONE	501(C)(3)	GENERAL	
225 MUSIC SCHOOL ROAD	NONE	501(0)(3)		
ASPEN, CO 81611				500
CHAI LIPELINE	NONE	501(C)(3)	GENERAL	
151 WEST 30TH STREET	10111			
NEW YORK , NY 10001				1,000
IACC, INC.	NONE	501(C)(3)	GENERAL	
517 EAST 87TH ST, SUITE 1B				
NEW YORK , NY 10128				5,000
ROCKY MOUNTAIN INSTITUTE	NONE	501(C)(3)	GENERAL	
2317 SNOWMASS CREEK RD SNOWMASS, CO 81654				3,000
ACUMEN FUND, INC.	NONE	501(C)(3)	GENERAL	
79 NINTH AVENUE, SUITE 315				
NEW YORK , NY 10011				25,000
NATIONAL JEWISH HEALTH	NONE	501(C)(3)	GENERAL	
PO BOX 17169				
DENVER, CO 80217				25,000
AIDS / LIPECYCLE 2014	NONE	501(C)(3)	general	
1035 MARKET STREET, SUITE 400 SAN FRANCISCO, CA 94103				250
Total from continuation sheets			<u> </u>	179,105

Part XV Supplementary Information 3 Grants and Contributions Paid During the				1
Recipient	If recipient is an individual	Foundation	Purpose of grant or contribution	Amount
Name and address (home or business)	show any relationship to any foundation manager or substantial contributor	status of recipient	contribution	Amount
ROCKY MOUNTAIN INSTITUTE	NONE	501(C)(3)	GENERAL	
2317 SNOWMASS CREEK RD				
SNOWMASS, CO 81654				22,000.
ROCKY MOUNTAIN INSTITUTE	NONE	501(C)(3)	GENERAL	
2317 SNOWMASS CREEK RD				2 000
SNOWMASS, CO 81654				3,000.
NATURAL RESOURCES DEFENSE COUNCIL	NONE	501(C)(3)	GENERAL	
40 WEST 20TH STREET	TORE	P***(5)(3)		
NEW YORK , NY 10011				25,000.
THE ASPEN INSTITUTE	NONE	501(C)(3)	GENERAL	
1000 N 3RD ST				
ASPEN, CO 81611				14,744.
THE ASPEN INSTITUTE	NONE	501(C)(3)	GENERAL	
1000 N 3RD ST				
ASPEN, CO 81611		<u> </u>		25,611.
				-
			-	
Total from continuation sheets				
I man moun commingation suggra	· · · · · · · · · · · · · · · · · · ·	· · · · ·	· ·	<u> </u>

FORM 990-PF	DIVIDEND	S AND I	NTEREST	r from secur	ITIES	ST	ATEMENT	1
SOURCE	GROSS AMOUNT	GA	ITAL INS DENDS	(A) REVENUE PER BOOKS	(B) NET INVE MENT INC		(C) ADJUST NET INC	
ML - 2CT-02069	3,333	•	0.	3,333.	3,3	33.	3,3	33.
ML - 2CT-02069	7		0.	7.		7.		7.
ML - 2CT-02133 ML - 2CT-02140	359 946		0. 0.	359. 946.		59. 46.		59. 46.
ML - 2CT - 02140 $ML - 2CT - 02140$	14,606		0.	14,606.	14,6		14,6	
TO PART I, LINE 4	19,251	•	0.	19,251.	19,251.		19,251.	
FORM 990-PF			TAXES	· · · · · · · · · · · · · · · · · · ·		ST	ATEMENT	2
DESCRIPTION		(A) EXPENS PER BO		(B) ET INVEST- ENT INCOME	(C) ADJUSTE NET INCO		(D) CHARITA PURPOS	
STATE TAX	STATE TAX		160. 0.		0.		0.	
TO FORM 990-PF, PG	1, LN 18 =		160.	0.		0.		0.
FORM 990-PF		ОТН	ER EXPI	ENSES		ST	ATEMENT	3
DESCRIPTION		(A) EXPENS PER BO		(B) ET INVEST- ENT INCOME	(C) ADJUSTE NET INCO		(D) CHARITA PURPOS	
BANK SERVICE CHARGE	IS		30.	0.		0.		0.
LICENSES AND PERMIT MERRILL LYNCH INVES	S	2,	002.	2,002.		0.		0.
FEES		2,	750.	2,750.		0.		0.
MERRILL LYNCH INVES FEES #2069	TMENT		740.	740.		0.		0.
SUNRISE EQUITY			280.	0.		0.		0.
TO FORM 990-PF, PG	1, LN 23	5,	802.	5,492.		0.		0.

MERRILL LYNCH #2140         X         301,261.         301,865.           TOTAL U.S. GOVERNMENT OBLIGATIONS         301,261.         301,865.           TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS         TOTAL TO FORM 990-PF, PART II, LINE 10A         301,261.         301,865.           FORM 990-PF         CORPORATE STOCK         STATEMENT 5           DESCRIPTION         BOOK VALUE         FAIR MARKET VALUE           MERRILL LYNCH #2140         125,612.         126,977.           MERRILL LYNCH #2069         987,632.         1,040,066.           TOTAL TO FORM 990-PF, PART II, LINE 10B         1,113,244.         1,167,043.           FORM 990-PF         CORPORATE BONDS         STATEMENT 6           DESCRIPTION         BOOK VALUE         FAIR MARKET VALUE           MERRILL LYNCH #2140         200,449.         199,959.           TOTAL TO FORM 990-PF, PART II, LINE 10C         200,449.         199,959.           FORM 990-PF         OTHER INVESTMENTS         STATEMENT 7           DESCRIPTION         WALUATION METHOD         BOOK VALUE         FAIR MARKET VALUE           CARA THERAPEUTICS - COMMON STOCK FMV 1,213.         1,213.         1,213.           SUNRISE EQUITY PARTNERS, L.P.         FMV 1,213.         0.	FORM 990-PF U.S. AND STATE/C	CITY GOVERNMENT	OBLIGATIONS	STATEMENT 4
TOTAL U.S. GOVERNMENT OBLIGATIONS  TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS  TOTAL TO FORM 990-PF, PART II, LINE 10A  DESCRIPTION  MERRILL LYNCH #2140  MERRILL LYNCH #2069  TOTAL TO FORM 990-PF, PART II, LINE 10B  DESCRIPTION  MERRILL LYNCH #2069  TOTAL TO FORM 990-PF, PART II, LINE 10B  DESCRIPTION  DESCRIPTION  MERRILL LYNCH #2140  DESCRIPTION  DESCRIPTION  MERRILL LYNCH #2140  TOTAL TO FORM 990-PF, PART II, LINE 10C  DESCRIPTION  MERRILL LYNCH #2140  TOTAL TO FORM 990-PF, PART II, LINE 10C  DESCRIPTION  MERRILL LYNCH #2140  DESCRIPTION  METHOD  DESCRIPTION  TALL MARKET  VALUE  PAIR MARKET  VALUE  TOTAL TO FORM 990-PF  OTHER INVESTMENTS  TOTAL TO FORM 990-PF  OTHER MARKET  VALUE  TOTAL TO FORM 990-PF  OTHER MARKET  TOTAL TO FORM 990-PF  OTHER MARKET  TOTAL TO FORM 990-PF  OTHER MARKET  TOTAL TO FORM 990-PF  TOTAL TO FORM 9	DESCRIPTION		BOOK VALUE	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS  TOTAL TO FORM 990-PF, PART II, LINE 10A  301,261.  301,865.  FORM 990-PF  CORPORATE STOCK  STATEMENT 5  BOOK VALUE  FAIR MARKET VALUE  MERRILL LYNCH #2140  MERRILL LYNCH #2069  TOTAL TO FORM 990-PF, PART II, LINE 10B  DESCRIPTION  DESCRIPTION  BOOK VALUE  FAIR MARKET VALUE  TOTAL TO FORM 990-PF, PART II, LINE 10B  DESCRIPTION  BOOK VALUE  FAIR MARKET VALUE  FAIR MARKET VALUE  FAIR MARKET  TOTAL TO FORM 990-PF, PART II, LINE 10C  DESCRIPTION  TOTAL TO FORM 990-PF, PART II, LINE 10C  DESCRIPTION  TOTAL TO FORM 990-PF, PART II, LINE 10C  DESCRIPTION  TOTAL TO FORM 990-PF, PART II, LINE 10C  TOTAL TO FORM 990-PF, PART II, LINE 10C  DESCRIPTION  TOTAL TO FORM 990-PF, PART II, LINE 10C  DESCRIPTION  TOTAL TO FORM 990-PF, PART II, LINE 10C  TOTAL TO FORM 990-PF  OTHER INVESTMENTS  STATEMENT  TOTAL TO FORM 990-PF  OTHER INVESTMENTS  STATEMENT  TOTAL TO FORM 990-PF  OTHER INVESTMENTS  STATEMENT  TOTAL TO FORM 990-PF  OTHER INVESTMENTS  DESCRIPTION  TOTAL TO FORM 990-PF  OTHER INVESTMENTS  STATEMENT  TOTAL TO FORM 990-PF  FAIR MARKET  VALUE  FAIR MARKET  VALUE  FAIR MARKET  VALUE  TOTAL TO FORM 990-PF  FAIR MARKET  FAIR MARKET  VALUE  FAIR MARKET  FAIR MARKET  VALUE  FAIR MARKET  FAIR MARKET  FAIR MARKET  VALUE  FAIR MARKET  FAIR MA	MERRILL LYNCH #2140	x	301,261.	301,865.
TOTAL TO FORM 990-PF, PART II, LINE 10A  301,261.  301,865.  FORM 990-PF  CORPORATE STOCK  STATEMENT 5  DESCRIPTION  MERRILL LYNCH #2140  MERRILL LYNCH #2069  TOTAL TO FORM 990-PF, PART II, LINE 10B  DESCRIPTION  DESCRIPTION  MERRILL LYNCH #2140  DESCRIPTION  DESCRIPTION  MERRILL LYNCH #2140  DESCRIPTION  DESCRIPTION  DESCRIPTION  MERRILL LYNCH #2140  TOTAL TO FORM 990-PF, PART II, LINE 10C  DESCRIPTION  DESCRIPTION  TOTAL TO FORM 990-PF, PART II, LINE 10C  DESCRIPTION  TOTAL TO FORM 990-PF, PART II, LINE 10C  DESCRIPTION  TOTAL TO FORM 990-PF, PART II, LINE 10C  DESCRIPTION  TOTAL TO FORM 990-PF, PART II, LINE 10C  DESCRIPTION  TOTAL TO FORM 990-PF, PART II, LINE 10C  DESCRIPTION  TOTAL TO FORM 990-PF, PART II, LINE 10C  DESCRIPTION  TOTAL TO FORM 990-PF, PART II, LINE 10C  TOTAL TO FORM 990-PF  OTHER INVESTMENTS  STATEMENT  TOTAL TO FORM 990-PF  OTHER INVESTMENTS  OTHER MARKET  VALUE  CARA THERAPEUTICS - COMMON STOCK  FMV  1,213. 1,213. 0. 0.	TOTAL U.S. GOVERNMENT OBLIGATIONS	•	301,261.	301,865.
FORM 990-PF CORPORATE STOCK STATEMENT 5  DESCRIPTION BOOK VALUE FAIR MARKET VALUE  MERRILL LYNCH #2140 125,612. 126,977.  MERRILL LYNCH #2069 987,632. 1,040,066.  TOTAL TO FORM 990-PF, PART II, LINE 10B 1,113,244. 1,167,043.  FORM 990-PF CORPORATE BONDS STATEMENT 6  DESCRIPTION BOOK VALUE FAIR MARKET VALUE  MERRILL LYNCH #2140 200,449. 199,959.  TOTAL TO FORM 990-PF, PART II, LINE 10C 200,449. 199,959.  FORM 990-PF OTHER INVESTMENTS STATEMENT 7  DESCRIPTION VALUATION METHOD BOOK VALUE VALUE  CARA THERAPEUTICS - COMMON STOCK FMV 1,213. 1,213. 1,213. SUNRISE EQUITY PARTNERS, L.P. FMV 0. 0.	TOTAL STATE AND MUNICIPAL GOVERNMEN	T OBLIGATIONS		
DESCRIPTION  MERRILL LYNCH #2140  MERRILL LYNCH #2069  TOTAL TO FORM 990-PF, PART II, LINE 10B  DESCRIPTION  MERRILL LYNCH #2140  TOTAL TO FORM 990-PF  CORPORATE BONDS  STATEMENT  BOOK VALUE  FAIR MARKET  VALUE  TOTAL TO FORM 990-PF, PART II, LINE 10C  200,449.  199,959.  FORM 990-PF  OTHER INVESTMENTS  STATEMENT  TOTAL TO FORM 990-PF, PART II, LINE 10C  DESCRIPTION  VALUATION  METHOD  DESCRIPTION  METHOD  BOOK VALUE  FAIR MARKET  VALUE  TOTAL TO FORM 990-PF, PART II, LINE 10C  OTHER INVESTMENTS  STATEMENT  TOTAL TO FORM 990-PF  OTHER INVESTMENTS  TAIR MARKET  VALUE  OC.  OC.	TOTAL TO FORM 990-PF, PART II, LINE	: 10A	301,261.	301,865.
DESCRIPTION         BOOK VALUE         VALUE           MERRILL LYNCH #2140         125,612. 126,977. 987,632. 1,040,066.         1,040,066.           TOTAL TO FORM 990-PF, PART II, LINE 10B         1,113,244. 1,167,043.           FORM 990-PF         CORPORATE BONDS         STATEMENT 6           DESCRIPTION         BOOK VALUE         FAIR MARKET VALUE           MERRILL LYNCH #2140         200,449. 199,959.           TOTAL TO FORM 990-PF, PART II, LINE 10C         200,449. 199,959.           FORM 990-PF         OTHER INVESTMENTS         STATEMENT 7           DESCRIPTION         VALUATION METHOD         BOOK VALUE         FAIR MARKET VALUE           CARA THERAPEUTICS - COMMON STOCK         FMV         1,213. 1,	FORM 990-PF COR	RPORATE STOCK		STATEMENT 5
MERRILL LYNCH #2069         987,632.         1,040,066.           TOTAL TO FORM 990-PF, PART II, LINE 10B         1,113,244.         1,167,043.           FORM 990-PF         CORPORATE BONDS         STATEMENT 6           DESCRIPTION         BOOK VALUE         FAIR MARKET VALUE           MERRILL LYNCH #2140         200,449.         199,959.           TOTAL TO FORM 990-PF, PART II, LINE 10C         200,449.         199,959.           FORM 990-PF         OTHER INVESTMENTS         STATEMENT 7           DESCRIPTION         VALUATION METHOD BOOK VALUE         FAIR MARKET VALUE           CARA THERAPEUTICS - COMMON STOCK FMV 1,213.         1,213.           SUNRISE EQUITY PARTNERS, L.P. FMV 0.         0.	DESCRIPTION		BOOK VALUE	
FORM 990-PF CORPORATE BONDS STATEMENT 6  DESCRIPTION BOOK VALUE VALUE  MERRILL LYNCH #2140 200,449. 199,959.  TOTAL TO FORM 990-PF, PART II, LINE 10C 200,449. 199,959.  FORM 990-PF OTHER INVESTMENTS STATEMENT 7  DESCRIPTION VALUATION METHOD BOOK VALUE FAIR MARKET VALUE  CARA THERAPEUTICS - COMMON STOCK FMV 1,213. 1,213. SUNRISE EQUITY PARTNERS, L.P. FMV 0. 0.	MERRILL LYNCH #2140 MERRILL LYNCH #2069	•		126,977. 1,040,066.
DESCRIPTION  MERRILL LYNCH #2140  TOTAL TO FORM 990-PF, PART II, LINE 10C  DESCRIPTION  TOTAL TO FORM 990-PF  OTHER INVESTMENTS  TAIR MARKET 200,449. 199,959.  VALUATION BOOK VALUE  FAIR MARKET 7  VALUATION METHOD BOOK VALUE  CARA THERAPEUTICS - COMMON STOCK FMV 1,213. 1,213. SUNRISE EQUITY PARTNERS, L.P. FMV 0. 0.	TOTAL TO FORM 990-PF, PART II, LINE	2 10в	1,113,244.	1,167,043.
DESCRIPTION  MERRILL LYNCH #2140  TOTAL TO FORM 990-PF, PART II, LINE 10C  DESCRIPTION  TOTAL TO FORM 990-PF  OTHER INVESTMENTS  VALUATION METHOD  DESCRIPTION  CARA THERAPEUTICS - COMMON STOCK SUNRISE EQUITY PARTNERS, L.P.  METHOD  BOOK VALUE  VALUE  TOTAL TO FORM 990-PF, PART II, LINE 10C  VALUATION METHOD  BOOK VALUE  TAIR MARKET VALUE  1,213. 1,213. 0.	FORM 990-PF COR	RPORATE BONDS		STATEMENT 6
TOTAL TO FORM 990-PF, PART II, LINE 10C  200,449. 199,959.  FORM 990-PF  OTHER INVESTMENTS  VALUATION METHOD  BOOK VALUE  CARA THERAPEUTICS - COMMON STOCK SUNRISE EQUITY PARTNERS, L.P.  FMV  1,213. 0. 0.	DESCRIPTION		BOOK VALUE	
FORM 990-PF OTHER INVESTMENTS STATEMENT 7  DESCRIPTION WETHOD BOOK VALUE VALUE  CARA THERAPEUTICS - COMMON STOCK FMV 1,213. 1,213. SUNRISE EQUITY PARTNERS, L.P. FMV 0. 0.	MERRILL LYNCH #2140		200,449.	199,959.
DESCRIPTION  CARA THERAPEUTICS - COMMON STOCK SUNRISE EQUITY PARTNERS, L.P.  VALUATION METHOD BOOK VALUE VALUE  1,213. 1,213. FMV 0. 0.	TOTAL TO FORM 990-PF, PART II, LINE	E 10C	200,449.	199,959.
DESCRIPTION METHOD BOOK VALUE VALUE  CARA THERAPEUTICS - COMMON STOCK FMV 1,213. 1,213. SUNRISE EQUITY PARTNERS, L.P. FMV 0. 0.	FORM 990-PF OTHE	ER INVESTMENTS		STATEMENT 7
SUNRISE EQUITY PARTNERS, L.P. FMV 0. 0.	DESCRIPTION		BOOK VALUE	
TOTAL TO FORM 990-PF, PART II, LINE 13 1,213. 1,213.				1,213.
	TOTAL TO FORM 990-PF, PART II, LINE	E 13	1,213.	1,213.

Form\* **8868** (Rev. January 2014)

Department of the Treasury

Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

File a separate application for each return.

▶ Information about Form 8868 and its instructions is at www.irs.gov/form8868

OMB No. 1545-1709

If you are filing for an Automatic 3-Month	Extension, complet	te only Pa	rt I and check this box			► X
• If you are filing for an Additional (Not Aut	omatic) 3-Month Ext	tension, c	complete only Part II (on page 2 of	this form).	,	
Do not complete Part II unless you have a	ready been granted a	an automa	tic 3-month extension on a previous	sty filed Fo	rm 8868.	
Electronic filing (e-file) You can electronic	ally file Form 8868 if y	ou need a	3-month automatic extension of tir	ne to file (	6 months for a co	rporation
required to file Form 990-T), or an additional	-					
of time to file any of the forms listed in Part I	•		•		•	
Personal Benefit Contracts, which must be s						
visit www.irs.gov/efile and click on e-file for (	, ,		(000		g c	,
			ubmit original (no copies ne	eded).		
A corporation required to file Form 990-T and						
Part I only						
All other corporations (including 1120-C filen	s), partnerships, REM	ICs. and ti	rusts must use Form 7004 to reques	at an exter	sion of time	
to file income tax returns.	,,,,		•		er's identifying n	umber
Type or Name of exempt organization or	other filer, see instru	ctions.			r identification nu	
print	•			. ,		` `
File by the THE ARJUN GUPTA	FAMILY FOUN	DATI	ON		90-0043	591
due date for Number, street, and room or suffling your 980 F. HOPKINS	te no. If a P.O. box, se	ee instruc	tions.	Social se	cunty number (S	SN)
return See instructions City, town or post office, state, a	and ZIP code. For a fo	reign add	ress, see instructions.		•	
ASPEN, CO 81611						
Enter the Return code for the return that this	application is for (file	a separa	te application for each return)			0 1
Application		Return	Application			Return
Is For		Code	Is For			Code
Form 990 or Form 990-EZ		01	Form 990-T (corporation)			07
Form 990-BL		02	Form 1041-A		<u></u>	08
Form 4720 (individual)		03	Form 4720 (other than individual)			09
Form 990-PF		04	Form 5227			10
Form 990-T (sec. 401(a) or 408(a) trust)		05	Form 6069			11
Form 990-T (trust other than above)		06	Form 8870			12
	OWARD		1 0111 0070			12
• The books are in the care of ▶ 980		- ASI	PEN. CO 81611			
Telephone No. ▶ 9709253771			Fax No.		<del></del> _	
If the organization does not have an office	or place of business	in the Un				▶ □
If this is for a Group Return, enter the org				f this is fo	r the whole arour	check this
			ch a list with the names and EINs o			
1 I request an automatic 3-month (6 mor					ord the extension	113 101.
AUGUST 15, 2014			tion return for the organization name		The extension	
is for the organization's return for:		. organiza	non rotom for the organization man	od abovo.	THE EXICIONS	
► X calendar year 2013 or						
		20	d ending			
tax year beginning		, an	d ending		_ ·	
2 If the tex year entered in line 1 in far le	no than 12 months, a	hook rone	on: Initial return	Final retur	<b>~</b>	
2 If the tax year entered in line 1 is for le  Change in accounting period	ss triair 12 months, C	Heur reas	on miliai return	rınaı retur	11	
	000.05 000.7 4700	or 6000	enter the tentative tay I			
3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any						0.
nonrefundable credits. See instruction		4.		3a	\$	<u> </u>
<b>b</b> If this application is for Forms 990-PF,				۱		٥
estimated tax payments made. Include				Зь	\$	0.
c Balance due. Subtract line 3b from lin	e sa. include your pa	yment wit	n uns torm, a requirea,			0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment

instructions.