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Form **990-PF**

Department of the Treasury
Internal Revenue Service

**Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ **Do not enter Social Security numbers on this form as it may be made public.**
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

For calendar year 2013, or tax year beginning , 2013, and ending

THE GREENBAUM FOUNDATION
P.O. BOX 9910
RANCHO SANTA FE, CA 92067-4910

A Employer identification number
87-6217358

B Telephone number (see the instructions)
(858) 759-8835

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization. ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)
\$ 35,752,156.
J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

C If exemption application is pending, check here ☐
D 1 Foreign organizations, check here ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc. received (att sch)

4,013,361.

2 Ck ☐ if the foundn is not req to att Sch B

3 Interest on savings and temporary cash investments

212,029.

212,029.

212,029.

4 Dividends and interest from securities

392,767.

392,767.

392,767.

5a Gross rents

b Net rental income or (loss)

6a Net gain/(loss) from sale of assets not on line 10

3,503,784.

b Gross sales price for all assets on line 6a

19,306,886.

7 Capital gain net income (from Part IV, line 2)

3,503,784.

8 Net short-term capital gain

232,418.

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit/(loss) (att sch)

11 Other income (attach schedule)

SEE STATEMENT 1

-62,893.

12 Total. Add lines 1 through 11

8,059,048.

4,108,580.

837,214.

13 Compensation of officers, directors, trustees, etc.

50,865.

50,865.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach sch)

c Other prof fees (attach sch)

17,080.

17,080.

17 Interest

8,993.

8,993.

18 Taxes (attach schedule)(see instrs)

19 Depreciation (attach sch) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

SEE STATEMENT 4

1,100.

1,100.

24 Total operating and administrative expenses. Add lines 13 through 23.

78,038.

78,038.

25 Contributions, gifts, grants paid PART XV

2,200,810.

2,200,810.

26 Total expenses and disbursements. Add lines 24 and 25

2,278,848.

78,038.

0.

2,200,810.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

5,780,200.

b Net investment income (if negative, enter -0-)

4,030,542.

c Adjusted net income (if negative, enter -0-)

837,214.

G18 10NE

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
ASSETS	1	Cash — non-interest-bearing					
	2	Savings and temporary cash investments	1,176,059.	826,428.	826,428.		
	3	Accounts receivable					
		Less: allowance for doubtful accounts					
	4	Pledges receivable					
		Less: allowance for doubtful accounts					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach sch)					
		Less: allowance for doubtful accounts					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments — U.S. and state government obligations (attach schedule)					
	b	Investments — corporate stock (attach schedule)	27,502,684.	34,411,728.	34,411,728.		
	c	Investments — corporate bonds (attach schedule)					
	11	Investments — land, buildings, and equipment: basis					
	Less: accumulated depreciation (attach schedule)						
12	Investments — mortgage loans						
13	Investments — other (attach schedule)						
14	Land, buildings, and equipment: basis						
	Less: accumulated depreciation (attach schedule)						
15	Other assets (describe: SEE STATEMENT 5)	1.	514,000.	514,000.			
16	Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	28,678,744.	35,752,156.	35,752,156.			
LIABILITIES	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, & other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe: SEE STATEMENT 6)	422.	422.			
	23	Total liabilities (add lines 17 through 22)	422.	422.			
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.						
	24	Unrestricted					
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.		X				
	27	Capital stock, trust principal, or current funds	28,678,322.	35,751,734.			
	28	Paid-in or capital surplus, or land, building, and equipment fund					
	29	Retained earnings, accumulated income, endowment, or other funds					
	30	Total net assets or fund balances (see instructions).	28,678,322.	35,751,734.			
	31	Total liabilities and net assets/fund balances (see instructions)	28,678,744.	35,752,156.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	28,678,322.
2	Enter amount from Part I, line 27a	2	5,780,200.
3	Other increases not included in line 2 (itemize) SEE STATEMENT 7	3	1,293,231.
4	Add lines 1, 2, and 3	4	35,751,753.
5	Decreases not included in line 2 (itemize) SEE STATEMENT 8	5	19.
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30.	6	35,751,734.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE STATEMENT 9				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss).	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	3,503,784.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).	If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	232,418.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	2,015,478.	28,912,354.	0.069710
2011	1,997,893.	29,454,867.	0.067829
2010	2,021,897.	28,246,078.	0.071582
2009	1,992,556.	26,668,794.	0.074715
2008	1,992,985.	32,692,952.	0.060961

2 Total of line 1, column (d)	2	0.344797
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.068959
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	30,723,783.
5 Multiply line 4 by line 3	5	2,118,681.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	40,305.
7 Add lines 5 and 6	7	2,158,986.
8 Enter qualifying distributions from Part XII, line 4	8	2,200,810.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here. ☒ and enter 1% of Part I, line 27b		1	40,305.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	40,305.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	40,305.
6 Credits/Payments.			
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a	39,708.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	39,708.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	7.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	613.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☐ Refunded ☒	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) CA		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses.</i>		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes', attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>JAMES R. GREENBAUM, JR.</u> Telephone no <u>(858) 759-8835</u> Located at <u>P.O. BOX 9910 RANCHO SANTA FE CA</u> ZIP + 4 <u>92067-4910</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>N/A</u>	15		N/A
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country <u></u>	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1 b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If 'Yes,' list the years <u>20__ , 20__ , 20__ , 20__</u> ☐ Yes ☒ No		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20__ , 20__ , 20__ , 20__</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☒ Yes ☐ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ Nob If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b

X

Organizations relying on a current notice regarding disaster assistance check here ☐c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

6 b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If 'Yes' to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ Nob If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

N/A

7 b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMES R. GREENBAUM, JR. P.O. BOX 9910 RANCHO SANTA FE, CA 92067-4910	TRUSTEE 40.00	0.	0.	0.
LUCIE BERREBY P.O. BOX 9910 RANCHO SANTA FE, CA 92067	BOARD MEMBER 40.00	50,865.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1 a	28,370,632.
b	Average of monthly cash balances	1 b	1,073,985.
c	Fair market value of all other assets (see instructions)	1 c	1,747,041.
d	Total (add lines 1a, b, and c)	1 d	31,191,658.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	31,191,658.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	467,875.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	30,723,783.
6	Minimum investment return. Enter 5% of line 5	6	1,536,189.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,536,189.
2a	Tax on investment income for 2013 from Part VI, line 5	2 a	40,305.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2 b	
c	Add lines 2a and 2b.	2 c	40,305.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,495,884.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,495,884.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,495,884.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	2,200,810.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,200,810.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	40,305.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,160,505.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				1,495,884.
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			0.	
b Total for prior years 20__, 20__, 20__		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	379,227.			
b From 2009	675,464.			
c From 2010	634,859.			
d From 2011	536,126.			
e From 2012	587,046.			
f Total of lines 3a through e	2,812,722.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 2,200,810.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2013 distributable amount				1,495,884.
e Remaining amount distributed out of corpus	704,926.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	3,517,648.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	379,227.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a.	3,138,421.			
10 Analysis of line 9				
a Excess from 2009	675,464.			
b Excess from 2010	634,859.			
c Excess from 2011	536,126.			
d Excess from 2012	587,046.			
e Excess from 2013	704,926.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i>				
CHARITABLE CONTR - SEE ATTACHED FILE 2121 DECATUR PL NW WASHINGTON, DC 20008	NONE	PUBLIC	GENERAL FUND	2,200,810.
Total				2,200,810.
<i>b Approved for future payment</i>				
Total				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	212,029.	
4	Dividends and interest from securities			14	392,767.	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					3,503,784.
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					
a	<u>FEE REBATES-OTHER INCOME</u>			14		
b	<u>PARTNERSHIP INCOME (LOSS)</u>			14	-62,893.	
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				541,903.	3,503,784.
13	Total. Add line 12, columns (b), (d), and (e)				13	4,045,687.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, Form 990-EZ, or Form 990-PF**
► Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

THE GREENBAUM FOUNDATION

Employer identification number

87-6217358

Organization type (check one):

Filers of:

Form 990 or 990-EZ

Section:

- ☐ 501(c)() (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ► \$

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ,

Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

Employer identification number

THE GREENBAUM FOUNDATION

87-6217358

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JAMES R. GREENBAUM, JR. P.O. BOX 9910 RANCHO SANTA FE, CA 92067-4910	\$ 3,989,362.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
2	LOIS WHITMAN 256 W 38TH STREET NEW YORK, NY 10018	\$ 24,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Employer identification number

87-6217358

Part II

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	STOCKS CONTRIBUTED TO THE FOUNDATION - SEE ATTACHED SCHEDULE FOR DETAILS & SUPPORT FOR FAIR MARKET VALUE AT TIME OF CONTRIBUTION.	\$ 3,989,362.	VARIOUS
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-	- - -	\$ -	-
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-	- - -	\$ -	-
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-	- - -	\$ -	-
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-	- - -	\$ -	-
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-	- - -	\$ -	-
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-	- - -	\$ -	-

Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Employer identification number

87-6217358

organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry.

contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) . .

► \$ N/A

(b)	
-----	--

Schedule K-1
(Form 1065)

Schedule K-1
(Form 1065)

Schedule K-1
(Form 1065)

2013

FEDERAL STATEMENTS

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THE GREENBAUM FOUNDATION

87-6217358

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STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
PARTNERSHIP INCOME (LOSS)	\$ -62,893.		
TOTAL	\$ -62,893.	\$ 0.	\$ 0.

STATEMENT 2
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EQUIVALENCY DETERMINATIONS	\$ 6,280.	\$ 6,280.		
PROFESSIONAL FEES	10,800.	10,800.		
TOTAL	\$ 17,080.	\$ 17,080.	\$ 0.	\$ 0.

STATEMENT 3
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CALIFORNIA TAX	\$ 120.	\$ 120.		
FOREIGN TAXES	2,453.	2,453.		
PAYROLL TAXES	6,420.	6,420.		
TOTAL	\$ 8,993.	\$ 8,993.	\$ 0.	\$ 0.

STATEMENT 4
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DUES & SUBSCRIPTIONS	\$ 1,100.	\$ 1,100.		
TOTAL	\$ 1,100.	\$ 1,100.	\$ 0.	\$ 0.

2013

FEDERAL STATEMENTS

PAGE 2

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THE GREENBAUM FOUNDATION

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STATEMENT 5
FORM 990-PF, PART II, LINE 15
OTHER ASSETS

	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
LOANS TO CHARITIES		\$ 514,000.
RECEIVABLE FROM CHARITABLE ORGANIZATIONS	\$ 514,000.	
TOTAL	\$ 514,000.	\$ 514,000.

STATEMENT 6
FORM 990-PF, PART II, LINE 22
OTHER LIABILITIES

OTHER LIABILITY	\$ 422.
TOTAL	\$ 422.

STATEMENT 7
FORM 990-PF, PART III, LINE 3
OTHER INCREASES

CHANGE IN UNREALIZED GAINS/LOSSES	\$ 1,281,748.
NON-DIVIDEND DISTRIBUTION	11,483.
TOTAL	\$ 1,293,231.

STATEMENT 8
FORM 990-PF, PART III, LINE 5
OTHER DECREASES

BOOK/TAX DIFFERENCES	\$ 19.
TOTAL	\$ 19.

STATEMENT 9
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

<u>ITEM</u>	<u>(A) DESCRIPTION</u>	<u>(B) HOW ACQUIRED</u>	<u>(C) DATE ACQUIRED</u>	<u>(D) DATE SOLD</u>
1	SEE STATEMENT LONG-TERM GAIN(LOSS) ACCT #114273	PURCHASED	VARIOUS	6/15/2013
2	SEE STATEMENT SHORT-TERM GAIN(LOSS) ACCT #114273	PURCHASED	VARIOUS	6/15/2013
3	SEE STATEMENT LONG-TERM GAIN(LOSS) ACCT #026607	PURCHASED	VARIOUS	6/15/2013
4	SEE STATEMENT SHORT-TERM GAIN(LOSS) ACCT #026607	PURCHASED	VARIOUS	6/15/2013
5	SEE STATEMENT LONG-TERM GAIN(LOSS) ACCT #022039	PURCHASED	VARIOUS	6/15/2013

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STATEMENT 9 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
6	SEE STATEMENT SHORT-TERM GAIN (LOSS) ACCT #022039			
7	1256 CONTRACT LT GAIN/LOSS FROM K-1S	PURCHASED	VARIOUS	6/15/2013
8	1256 CONTRACT ST GAIN/LOSS FROM K-1S	PURCHASED	VARIOUS	6/15/2013
9	LONG-TERM GAIN (LOSS) FROM K-1S	PURCHASED	VARIOUS	6/15/2013
10	SHORT-TERM GAIN (LOSS) FROM K-1S	PURCHASED	VARIOUS	6/15/2013
11	CAPITAL GAIN DISTRIBUTIONS FROM K-1S	PURCHASED	VARIOUS	6/15/2013

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	1007441.		985,526.	21,915.				\$ 21,915.
2	0.		0.	0.				0.
3	9187872.		5925744.	3262128.				3262128.
4	498,282.		474,485.	23,797.				23,797.
5	246,636.		343,586.	-96,950.				-96,950.
6	8188817.		8073761.	115,056.				115,056.
7	20,696.		0.	20,696.				20,696.
8	13,798.		0.	13,798.				13,798.
9	60,131.		0.	60,131.				60,131.
10	79,767.		0.	79,767.				79,767.
11	3,446.		0.	3,446.				3,446.
TOTAL								\$ 3503784.

STATEMENT 10
FORM 990-PF, PART VI, LINE 9
TAX DUE

TAX DUE	\$	604.
LATE INTEREST		9.
TOTAL	\$	613.

STATEMENT 11
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM: N/A
NAME:
CARE OF:
STREET ADDRESS:
CITY, STATE, ZIP CODE:
TELEPHONE:
E-MAIL ADDRESS:
FORM AND CONTENT: N/A
SUBMISSION DEADLINES: N/A
RESTRICTIONS ON AWARDS: N/A

2013

FEDERAL SUPPORTING DETAIL

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THE GREENBAUM FOUNDATION

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**OTHER INCOME PRODUCING ACTIVITIES
INTEREST ON SAVINGS & CASH INVESTMENTS**

INTEREST INCOME	\$	206,872.
OID INTEREST		3,081.
OTHER PERIOD INTEREST		14,039.
INTEREST PAID ON BOND PURCHASES		-11,963.
TOTAL	\$	<u>212,029.</u>

**OTHER REVENUE
AMOUNT
PARTNERSHIP INCOME (LOSS)**

ORDINARY INCOME (LOSS) FROM K-1S	\$	-11,075.
OTHER PORTFOLIO INCOME FROM K-1S		28.
ROYALTY INCOME FROM K-1S		403.
OTHER NET RENTAL INCOME FROM K-1S		11.
SEC 988 FOR EXCH LOSS FROM K-1S		427.
SEC 1231 LOSS FROM K-1S		3.
CHARITABLE DEDUCTIONS FROM K-1S		-1.
INTANGIBLE DRILLING COSTS FROM K-1S		-2,021.
SEC 59(E) (2) EXPENSES FROM K-1S		-96.
DEPLETION EXPENSE FROM K-1S		-1,941.
OTHER DEDUCTIONS FROM K-1S		-48,361.
DEDUCTIONS RELATED TO PORTFOLIO INC FROM K-1S		-4.
OTHER PORTFOLIO EXPENSES FROM K-1S		-79.
INVESTMENT INTEREST EXPENSE FROM K-1S		-187.
TOTAL	\$	<u>-62,893.</u>

2013

FEDERAL SUPPLEMENTAL INFORMATION

PAGE 1

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THE GREENBAUM FOUNDATION

87-6217358

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SEVERAL CONTRIBUTIONS WERE MADE TO FOREIGN CHARITIES. WE HAVE IDENTIFIED THOSE CONTRIBUTIONS ON THE ATTACHED "GREENBAUM FOUNDATION CHARITABLE CONTRIBUTIONS" SCHEDULE FOR THE YEAR ENDED 12/31/13. THE CHARITABLE CONTRIBUTIONS WERE MADE USING THE "EQUIVALENCY DETERMINATION" RULES. ALL RELEVANT DOCUMENTATION IS ON FILE WITH THE FOUNDATION.

SINCE THE ANNUAL GROSS REVENUES EXCEEDED \$2 MILLION IN 2013, AN AUDIT WILL BE PERFORMED FOR THE TAX YEAR ENDING 12/31/13.

**The Greenbaum Foundation
Charitable Contributions
For the Year Ended 12/31/2013**

Tostan 2121 Decatur Pl NW Washington, DC 20008	500,000
La Conscience (Togo, W. Africa) Equivalency Determination on file	208,760
End Slavery Now PO Box 65007 Washington, DC 20035	140,250
Last Mile Health (Liberia) PO Box 426133 Cambridge, MA 02142	100,000
Tony Blair Africa Governance Initiative 520 White Plains Rd Ste 500 Tarrytown, NY 10591	100,000
Humane Africa (Uganda) Equivalency Determination on file	60,000
Hands To Hearts International 1125 NW 9th Ave, Ste 307 Portland, OR 97209	50,000
Landesa 1424 4th Ave - Suite 300 Seattle, WA 98101	50,000
Nexus Global Youth Summit (Via Search for Common Ground) 1601 Connecticut Ave. NW Washington, DC 20009	50,000
One H.E.A.R.T. Worldwide 1818 Pacheco Street San Francisco, California 94116	50,000
Rescue Foundation (Mumbai, India) Equivalency Determination on file	50,000
Thorn 200 Park Avenue South, 8th Floor New York, NY 10003	50,000
Truckers Against Trafficking P.O. Box 816 Englewood, CO. 80151	48,000
S.T.O.P. (New Delhi, India) Equivalency Determination on file	40,000
D-Rev: Design Revolution 695 Minnesota Street San Francisco, California 94107	25,000

Kidsave International 4622 Wisconsin Ave., N.W. - Suite 202 Washington, DC 20016	25,000
Polaris Project P.O. Box 53315 Washington, DC 20009	25,000
RefugePoint 689 Massachusetts Avenue, 2nd floor Cambridge, MA 02139	25,000
Clinton Global Initiative 610 President Clinton Avenue Little Rock, AR 72201	19,000
Global Centurian 5311 Tractor Lane Fairfax, VA	10,000
Shining Hope for Communities (Uganda) 14 Red Glen Road Middletown, CT 06457	10,000
Project Compassion Soldier Fund PO Box 153 Manti, UT 84642	7,800
National Underground Railroad Freedom Center 50 E. Freedom Way Cincinnati, OH 45202	6,000
Global Health Corps. 5 Penn Plaza, Floor 2 New York, NY 10001	5,000
Northfield Mount Hermon School Box 4689 One Lamplighter Way Mount Hermon, MA 01354	5,000
Solana Santa Fe P.T.O. 6570 El Apajo Rancho Santa Fe, CA 92091	2,500
TPHS Foundation 3710 Del Mar Heights Road San Diego, CA 92130	1,000

Animal Rights & Welfare Funding

People for the ethical treatment of animals 501 Front Street Norfolk, VA 23510	105,000
Chimp Haven 13600 Chimpanzee Place Keithville, LA 71047	100,000
Last Chance For Animals	50,000

8033 Sunset Blvd #835 Los Angeles, CA 90046	
Mercy For Animals 8033 Sunset Blvd. - Suite 864 Los Angeles, CA 90046	50,000
Animal Advocates of the United States P.O. Box 262 El Cajon, CA 92022	48,000
Fauna Sanctuary, Inc. for Fauna Foundation 1320 State Route 9 Champlain, NY 12919	25,000
Montreal SPCA <i>Equivalency Determination on file</i>	25,000
Physicians Committee for Responsible Medicine 5100 Wisconsin Ave. N.W., Suite 400 Washington, DC 20016	25,000
Carnism.org (via A Well-Fed World) 815 Otis Place, NW Washington, DC 20010	20,000
FACE Foundation 10455 Sorrento Valley Road - Suite 208 San Diego, CA 92121	20,000
FiXiT Foundation 2143 Pier Pointe Place Virginia Beach, Virginia, 23455	20,000
Rancho Coastal Humane Society 389 Requeza St. Encinitas, CA 92024	20,000
Thinking Animals Inc. 311 East 72nd Street Suite 10D New York, NY 10021	18,000
Lockwood Animal Rescue Center P.O. Box 9910 Frazier Park, CA 93225	5,000
Global Federation of Animal Sanctuaries PO Box 32294 Washington, DC 20007	2,500
Labrador Rescuers of San Diego PO Box 1830 La Mesa, CA 91944-4287	2,500
Indie Lab Rescue 333 Washington Boulevard #423 Marina del Rey, CA 90292	1,500
Total	\$2,200,810

Form **8868**

(Rev. January 2014)

Department of the Treasury
Internal Revenue Service**Application for Extension of Time To File an
Exempt Organization Return**► **File a separate application for each return.**► **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	THE GREENBAUM FOUNDATION	87-6217358
	Number, street, and room or suite number. If a P.O. box, see instructions.	Social security number (SSN)
	P.O. BOX 9910	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	RANCHO SANTA FE, CA 92067-4910	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► JAMES R. GREENBAUM, JR.

Telephone No. ► (858) 759-8835 Fax No. ► _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 2014, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

- ☒ calendar year 2013 or
- ☐ tax year beginning _____, 20____, and ending _____, 20____.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a \$	15,000.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b \$	39,708.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c \$	0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.**BAA For Privacy Act and Paperwork Reduction Act Notice, see instructions.**Form **8868** (Rev. 1-2014)

FIF20501L 12/31/13

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the extended due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	THE GREENBAUM FOUNDATION	87-6217358
	Number, street, and room or suite number. If a P.O. box, see instructions	Social security number (SSN)
	JEFF HILL CPA, LLC 425 S. MEDICAL DR, STE 219	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	BOUNTIFUL, UT 84010	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in care of ▶ JAMES R. GREENBAUM, JR.
Telephone No. ▶ (858) 759-8835 Fax No. ▶ _____
- If the organization does not have an office or place of business in the United States, check this box. ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until 11/15, 20 14.
- 5 For calendar year 2013, or other tax year beginning _____, 20____, and ending _____, 20____.
- 6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period
- 7 State in detail why you need the extension ADDITIONAL TIME IS NEEDED TO GATHER THE INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN.

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	40,305.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	39,708.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	597.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ _____ Title ▶ TRUSTEE Date ▶ _____
BAA FIFZ0502L 12/31/13 Form 8868 (Rev 1-2014)

Morgan Stanley

Corporate Tax Statement Tax Year 2013

THE GREENBAUM FOUNDATION
PO BOX 9910
RANCHO SANTA FE CA 92067-4910

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 87-6217358
Account Number 632 114273 355
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
AMERICAN EXPRESS 5875 13MY02	100,000 000	01/29/10	05/02/13	\$100,000 00	\$100,000 00	\$0 00	\$0 00	\$0 00	
CUSIP: 0258M0CW7 Symbol (Box 1d):									
FHR 2801 SU FLR 16582 32OCRG	588.210	03/09/05	01/15/13	\$588 21	\$514 68	\$0 00	\$73 53	\$0 00	PP
	1,791 370	03/09/05	02/15/13	\$1,791 37	\$1,587 45	\$0 00	\$223 92	\$0 00	PP
	2,626 430	03/09/05	03/15/13	\$2,626 43	\$2,298 13	\$0 00	\$328 30	\$0 00	PP
	2,511 890	03/09/05	04/15/13	\$2,511 69	\$2,197 73	\$0 00	\$313 96	\$0 00	PP
	2,114 820	03/09/05	05/15/13	\$2,114 82	\$1,850 47	\$0 00	\$264 35	\$0 00	PP
	2,358 890	03/09/05	08/15/13	\$2,358 89	\$2,064 03	\$0 00	\$294 86	\$0 00	PP
	69 470	03/09/05	07/15/13	\$69 47	\$60 79	\$0 00	\$8 68	\$0 00	PP
	1,533 310	03/09/05	08/15/13	\$1,533 31	\$1,341 65	\$0 00	\$191 66	\$0 00	PP
	2,687 960	03/09/05	09/15/13	\$2,687 96	\$2,351 97	\$0 00	\$335 99	\$0 00	PP
	1,148 260	03/09/05	10/15/13	\$1,148 26	\$1,004 73	\$0 00	\$143 53	\$0 00	PP
	1,562 100	03/09/05	11/15/13	\$1,562 10	\$1,368 84	\$0 00	\$193 26	\$0 00	PP
	255 790	03/09/05	12/15/13	\$255 79	\$223 82	\$0 00	\$31 97	\$0 00	PP
Security Subtotal	19,248 300			\$19,248 30	\$16,842 29	\$0 00	\$2,406 01	\$0 00	
FHR 3237 CB 5500 36JLRG	2,798 580	11/30/06	01/15/13	\$2,798 58	\$2,812 57	\$0 00	(\$13 99)	\$0 00	PP
	2,928 410	11/30/06	02/15/13	\$2,928 41	\$2,941 04	\$0 00	(\$12 63)	\$0 00	PP
	6,620 860	11/30/06	03/15/13	\$6,620 86	\$6,653 96	\$0 00	(\$33 10)	\$0 00	PP
	5,724 070	11/30/06	04/15/13	\$5,724 07	\$5,752 69	\$0 00	(\$28 62)	\$0 00	PP
	5,525 620	11/30/06	05/15/13	\$5,525 62	\$5,553 25	\$0 00	(\$27 63)	\$0 00	PP
	4,202 790	11/30/06	08/15/13	\$4,202 79	\$4,223 80	\$0 00	(\$21 01)	\$0 00	PP
	4,147 760	11/30/06	07/15/13	\$4,147 76	\$4,168 50	\$0 00	(\$20 74)	\$0 00	PP
	3,807 880	11/30/06	08/15/13	\$3,807 88	\$3,826 92	\$0 00	(\$19 04)	\$0 00	PP

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor

THE GREENBAUM FOUNDATION
PO BOX 9910
RANCHO SANTA FE CA 92067-4910

New York, NY 10004
Identification Number
26-4310632

Taxpayer ID Number
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Account Number
632 114273 355

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
FHR 3237 CB 5500 36JLRG	2,902.880	11/30/06	09/15/13	\$2,902.88	\$2,917.39	\$0.00	(\$14.51)	\$0.00	PP
	4,223.850	11/30/06	10/15/13	\$4,223.85	\$4,244.97	\$0.00	(\$21.12)	\$0.00	PP
	2,398.200	11/30/06	11/15/13	\$2,398.20	\$2,410.19	\$0.00	(\$11.99)	\$0.00	PP
	1,357.140	11/30/06	12/15/13	\$1,357.14	\$1,363.93	\$0.00	(\$6.79)	\$0.00	PP
Security Subtotal	49,838.040			\$49,838.04	\$49,889.21	\$0.00	(\$51.17)	\$0.00	
FMCC 8700 14OC01		CUSIP: 345397VL4	Symbol (Box 1d):						
	150,000.000	09/21/11	09/10/13	\$161,130.00	\$155,470.58	\$0.00	\$5,659.42	\$0.00	
ILEASE 6375 13MH25		CUSIP: 45974VB72	Symbol (Box 1d):						
	150,000.000	09/21/11	03/25/13	\$150,000.00	\$148,425.00	\$0.00	\$1,575.00	\$0.00	
JPMORGAN CHASE 7800 *53SP12		CUSIP: 46625HHA1	Symbol (Box 1d):						
	250,000.000	04/21/08	09/10/13	\$280,426.53	\$260,130.66	\$0.00	\$20,295.87	\$0.00	

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Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
MERRILL LYNCH 5450 13FB05	250,000.000	08/19/09	02/05/13	\$250,000.00	\$250,000.00	\$0.00	\$0.00	\$0.00	
Total Long Term Noncovered Securities				\$1,007,440.87	\$977,737.74	\$0.00	\$29,703.13	\$0.00	
Form 1099-B Total Reportable Amounts					+ SALES COSTS				
Total Sales Price (Box 2a)				\$1,007,440.87					
Total Cost or Other Basis (Box 3)					\$0.00				
Total Wash Sale Loss Disallowed (Box 5)						\$0.00			
Total Fed Tax Withheld (Box 4)								\$0.00	

* Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.

PP This represents a return of your investment. Please compare your cost basis for this principal payment with the amount of the payment. You may have a capital loss if your cost basis exceeds the principal received or ordinary income if your cost basis is less than the principal payment. Principal payments are reported on Form 8949, Sales and Other Dispositions of Capital Assets, of the tax return. Please consult your tax advisor.

Morgan Stanley

Corporate Tax Statement Tax Year 2013

THE GREENBAUM FOUNDATION
P O BOX 9910
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8849 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ADECOAGRO SA	1,500,000	01/30/13	03/19/13	\$12,084.77	\$12,000.00	\$0.00	\$84.77	\$0.00	
AES CORP	2,000,000	12/13/13	12/24/13	\$28,383.49	\$26,900.00	\$0.00	\$1,483.49	\$0.00	
AMARIN CORP PLC AMERICAN	2,050,000	07/09/13	07/28/13	\$10,455.17	\$11,787.50	\$0.00	(\$1,332.33)	\$0.00	
AMERICAN CAPITAL AGENCY	2,000,000	02/28/13	03/08/13	\$63,833.98	\$63,260.00	\$0.00	\$573.98	\$0.00	
AMERICAN CAPITAL MORTGAGE	1,600,000	02/12/13	03/19/13	\$40,425.25	\$41,040.00	\$0.00	(\$614.75)	\$0.00	
ANTERO RES CORP COM	425,000	10/10/13	10/17/13	\$22,588.47	\$18,700.00	\$0.00	\$3,888.47	\$0.00	
APOLLO COML REAL EST FIN INC	1,000,000	03/08/13	05/08/13	\$17,887.75	\$17,012.00	\$0.00	\$875.75	\$0.00	
APOLLO INVESTMENT CORP	1,000,000	05/15/13	06/20/13	\$7,702.03	\$8,600.00	\$0.00	(\$897.97)	\$0.00	
	3,500,000	05/15/13	06/20/13	\$26,957.11	\$30,100.00	\$0.00	(\$3,142.89)	\$0.00	
Security Subtotal	4,500,000			\$24,659.14	\$38,700.00	\$0.00	(\$14,040.86)	\$0.00	
ARDMORE SHIPPING CORP COM	2,500,000	07/31/13	09/19/13	\$31,209.45	\$35,000.00	\$0.00	(\$3,790.55)	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement Tax Year 2013

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 87-6217358
Account Number 632 022039 355
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ARES CAPITAL CORP	CUSIP: 04010L103	Symbol (Box 1d): ARCC							
	2,500,000	04/03/13	04/30/13	\$45,120.23	\$44,025.00	\$0.00	\$1,095.23	\$0.00	\$0.00
	2,000,000	09/28/13	11/05/13	\$35,162.18	\$34,300.00	\$0.00	\$862.18	\$0.00	\$0.00
Security Subtotal	4,500,000			\$80,282.41	\$78,325.00	\$0.00	\$1,957.41	\$0.00	\$0.00
ARES COMMERCIAL REAL EST CORP	CUSIP: 04013V108	Symbol (Box 1d): ACRE							
	1,300,000	08/21/13	08/21/13	\$15,694.57	\$17,440.63	\$0.00	(\$1,746.06)	\$0.00	\$0.00
ARMOUR RESIDENTIAL REIT INC	CUSIP: 042315101	Symbol (Box 1d): ARR							
	500,000	02/14/13	05/13/13	\$3,039.83	\$3,405.46	\$0.00	(\$365.63)	\$0.00	\$0.00
	4,100,000	02/14/13	05/13/13	\$21,924.95	\$27,924.78	\$0.00	(\$2,899.83)	\$0.00	\$0.00
Security Subtotal	4,600,000			\$27,964.88	\$31,330.24	\$0.00	(\$3,365.36)	\$0.00	\$0.00
ARMSTRONG WORLD INDS INC NEW	CUSIP: 04247X102	Symbol (Box 1d): AMI							
	550,000	11/07/13	12/10/13	\$29,589.18	\$28,050.00	\$0.00	\$1,539.18	\$0.00	\$0.00
ASHFORD HOSPITALITY TR INC	CUSIP: 044103109	Symbol (Box 1d): AHT							
	1,650,000	06/20/13	10/15/13	\$21,393.40	\$19,508.88	\$0.00	\$1,884.52	\$0.00	\$0.00
ASSOC ESTATES REALTY	CUSIP: 045804105	Symbol (Box 1d): AEC							
	1,400,000	05/29/13	08/12/13	\$21,607.62	\$24,150.00	\$0.00	(\$2,542.38)	\$0.00	\$0.00
AVG TECHNOLOGIES	CUSIP: N07831105	Symbol (Box 1d): AVG							
	1,150,000	08/09/13	09/17/13	\$27,773.95	\$25,012.50	\$0.00	\$2,761.45	\$0.00	\$0.00

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

THE GREENBAUM FOUNDATION
P O BOX 9910
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0716

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
AVIV REIT INC	CUSIP: 05381L101	Symbol (Box 1d): AVIV							
	50,000	03/20/13	04/03/13	\$1,156.96	\$1,000.00	\$0.00	\$156.96	\$0.00	\$0.00
	325,000	03/20/13	04/03/13	\$7,520.21	\$6,500.00	\$0.00	\$1,020.21	\$0.00	\$0.00
	575,000	03/20/13	04/03/13	\$13,304.99	\$11,500.00	\$0.00	\$1,804.99	\$0.00	\$0.00
Security Subtotal	950,000			\$21,982.16	\$19,000.00	\$0.00	\$2,982.16	\$0.00	\$0.00
BAIDU INC ADS	CUSIP: 058752108	Symbol (Box 1d): BIDU							
	400,000	06/17/13	07/16/13	\$41,461.03	\$38,400.00	\$0.00	\$3,061.03	\$0.00	\$0.00
BALTIC TRADING LTD	CUSIP: Y0553W103	Symbol (Box 1d): BAL T							
	2,000,000	09/20/13	10/04/13	\$10,308.35	\$9,200.00	\$0.00	\$1,108.35	\$0.00	\$0.00
BANKUNITED INC	CUSIP: 06852K103	Symbol (Box 1d): BKU							
	1,000,000	03/07/13	07/01/13	\$26,432.06	\$25,250.00	\$0.00	\$1,182.06	\$0.00	\$0.00
	850,000	10/29/13	11/25/13	\$28,948.89	\$26,137.50	\$0.00	\$2,811.39	\$0.00	\$0.00
Security Subtotal	1,850,000			\$55,380.95	\$51,387.50	\$0.00	\$1,993.45	\$0.00	\$0.00
BARRICK GOLD CORP	CUSIP: 067901108	Symbol (Box 1d): ABX							
	500,000	11/08/13	11/22/13	\$8,220.86	\$9,175.00	\$0.00	(\$954.14)	\$0.00	\$0.00
	2,000,000	11/08/13	11/22/13	\$32,883.42	\$36,700.00	\$0.00	(\$3,816.58)	\$0.00	\$0.00
Security Subtotal	2,500,000			\$41,104.28	\$45,875.00	\$0.00	(\$4,770.72)	\$0.00	\$0.00
BERRY PLASTICS GROUP INC	CUSIP: 08579W103	Symbol (Box 1d): BERY							
	625,000	04/19/13	04/30/13	\$11,862.17	\$10,825.00	\$0.00	\$1,037.17	\$0.00	\$0.00
BIOMED REALTY TRUST INC	CUSIP: 08063H107	Symbol (Box 1d): BMR							
	1,000,000	03/27/13	04/11/13	\$22,263.05	\$21,400.00	\$0.00	\$863.05	\$0.00	\$0.00
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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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BIOSCRIP INC		CUSIP: 09069N108	Symbol (Box 1d): BIOS						
	1,500,000	08/13/13	08/28/13	\$18,115.63	\$20,475.00	\$0.00	(\$2,359.37)	\$0.00	
BITAUTO HLDGS LTD SPON ADS		CUSIP: 091727107	Symbol (Box 1d): BITA						
	1,200,000	08/12/13	10/04/13	\$23,891.95	\$19,320.00	\$0.00	\$4,571.95	\$0.00	
BLOOMIN' BRANDS INC COM		CUSIP: 094235108	Symbol (Box 1d): BLMN						
	850,000	05/22/13	08/05/13	\$15,358.49	\$13,975.00	\$0.00	\$1,383.49	\$0.00	
BOSTON PRIVATE FINCL HLDGS INC		CUSIP: 101119105	Symbol (Box 1d): BPFH						
	2,300,000	05/30/13	08/27/13	\$24,154.34	\$22,770.00	\$0.00	\$1,384.34	\$0.00	
	2,500,000	07/23/13	10/28/13	\$28,736.49	\$27,625.00	\$0.00	\$1,111.49	\$0.00	
Security Subtotal	4,800,000			\$52,890.83	\$50,395.00	\$0.00	\$2,495.83	\$0.00	
BRUKER CORPORATION		CUSIP: 116794108	Symbol (Box 1d): BRKR						
	2,200,000	02/26/13	03/04/13	\$38,726.75	\$37,290.00	\$0.00	\$1,436.75	\$0.00	
	2,600,000	05/14/13	07/22/13	\$46,405.78	\$43,810.00	\$0.00	\$2,595.78	\$0.00	
Security Subtotal	4,800,000			\$85,132.53	\$81,100.00	\$0.00	\$4,032.53	\$0.00	
BURLINGTON STORES INC		CUSIP: 122017106	Symbol (Box 1d): BURL						
	125,000	10/01/13	10/15/13	\$3,247.94	\$2,125.00	\$0.00	\$1,122.94	\$0.00	
CALPINE CORP NEW		CUSIP: 131347304	Symbol (Box 1d): CPN						
	2,500,000	05/08/13	05/22/13	\$53,085.56	\$51,875.00	\$0.00	\$1,220.56	\$0.00	
CDN PACIFIC RY LTD NEW		CUSIP: 136451100	Symbol (Box 1d): CP						
	110,000	10/25/13	11/25/13	\$16,438.92	\$15,510.00	\$0.00	\$928.92	\$0.00	
									CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 87-6217358
Account Number 632 022039 355
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CDW CORPORATION	CUSIP: 12514G108	Symbol (Box 1d): CDW							
	450,000	09/27/13	07/11/13	\$8,539.18	\$7,850.00	\$0.00	\$889.18	\$0.00	
CHERRY HILL MTG INVT CORP COM	CUSIP: 164851101	Symbol (Box 1d): CHMI							
	1,250,000	10/04/13	10/08/13	\$21,788.61	\$25,000.00	\$0.00	(\$3,231.39)	\$0.00	
CHESAPEAKE ENERGY CORP	CUSIP: 165167107	Symbol (Box 1d): CHK							
	2,100,000	03/18/13	04/18/13	\$38,937.20	\$43,680.00	\$0.00	(\$4,742.80)	\$0.00	
COBALT INTL ENERGY	CUSIP: 19075F106	Symbol (Box 1d): CIE							
	2,500,000	01/15/13	02/26/13	\$56,194.98	\$62,875.00	\$0.00	(\$6,680.02)	\$0.00	
	2,000,000	05/08/13	05/21/13	\$54,981.55	\$53,600.00	\$0.00	\$1,381.55	\$0.00	
	4,500,000			\$111,186.53	\$116,475.00	\$0.00	(\$5,288.47)	\$0.00	
Security Subtotal									
COLONY FINANCIAL	CUSIP: 19624R106	Symbol (Box 1d): CLNY							
	1,800,000	12/05/12	01/09/13	\$36,476.00	\$35,010.00	\$0.00	\$1,466.00	\$0.00	
CONSTELLUM HOLD CO BV CL A	CUSIP: N22035104	Symbol (Box 1d): CSTM							
	225,000	05/23/13	09/10/13	\$4,370.73	\$3,375.00	\$0.00	\$995.73	\$0.00	
CONTROLADORA VUELA CIA AVI SPN	CUSIP: 21240E105	Symbol (Box 1d): VLRS							
	400,000	09/18/13	10/04/13	\$5,775.67	\$4,800.00	\$0.00	\$975.67	\$0.00	
	625,000	09/18/13	10/04/13	\$9,024.49	\$7,500.00	\$0.00	\$1,524.49	\$0.00	
Security Subtotal									
	1,026,000			\$14,800.16	\$12,300.00	\$0.00	\$2,500.16	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

THE GREENBAUM FOUNDATION
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RANCHO SANTA FE CA 92067-4910

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Taxpayer ID Number 87-6217358

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.) (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 6)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
COTY INC COM CLA	CUSIP: 222070203	Symbol (Box 1d): COTY							
	300.000	06/13/13	09/19/13	\$4,621.81	\$5,250.00	\$0.00	(\$628.19)	\$0.00	
	100.000	06/13/13	09/19/13	\$1,540.60	\$1,750.00	\$0.00	(\$209.40)	\$0.00	
Security Subtotal	400.000			\$6,162.41	\$7,000.00	\$0.00	(\$837.59)	\$0.00	
CROWN CASTLE INTL	CUSIP: 228227104	Symbol (Box 1d): CCI							
	500.000	10/22/13	11/15/13	\$37,855.08	\$37,000.00	\$0.00	\$855.08	\$0.00	
CST BRANDS INC COM	CUSIP: 12846R105	Symbol (Box 1d): CST							
	350.000	11/08/13	12/12/13	\$11,723.76	\$10,850.00	\$0.00	\$873.76	\$0.00	
CYRUSONE INC	CUSIP: 23283R100	Symbol (Box 1d): CONE							
	250.000	01/17/13	02/12/13	\$5,469.72	\$4,750.00	\$0.00	\$719.72	\$0.00	
DIAMOND ROCK HOSPITALITY CO	CUSIP: 252784301	Symbol (Box 1d): DRH							
	5,000.000	04/15/13	06/11/13	\$44,722.21	\$50,250.00	\$0.00	(\$5,527.79)	\$0.00	
DIGITALGLOBE NEW	CUSIP: 25389M877	Symbol (Box 1d): DGI							
	1,000.000	02/28/13	03/08/13	\$28,515.02	\$26,250.00	\$0.00	\$2,265.02	\$0.00	
DOLLAR GEN CORP NEW COM	CUSIP: 256677105	Symbol (Box 1d): DG							
	800.000	03/28/13	04/26/13	\$41,992.01	\$40,800.00	\$0.00	\$1,392.01	\$0.00	
	1,000.000	07/11/13	09/10/13	\$56,625.80	\$54,650.00	\$0.00	\$1,975.80	\$0.00	
Security Subtotal	1,800.000			\$98,617.81	\$95,250.00	\$0.00	\$3,367.81	\$0.00	
DUKE REALTY CORP	CUSIP: 264411505	Symbol (Box 1d): DRE							
	2,500.000	01/10/13	01/23/13	\$37,527.65	\$35,825.00	\$0.00	\$1,902.65	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
DYNAGAS LNG PARTNERS LP	800,000	11/13/13	12/04/13	\$15,145.39	\$14,400.00	\$0.00	\$745.39	\$0.00	
E*TRADE FINANCIAL CORP NEW COM	5,000,000	03/14/13	04/03/13	\$51,254.84	\$56,750.00	\$0.00	(\$5,495.16)	\$0.00	
	5,000,000	03/14/13	04/04/13	\$50,010.87	\$56,750.00	\$0.00	(\$6,739.13)	\$0.00	
Security Subtotal	10,000,000			\$101,265.71	\$113,500.00	\$0.00	(\$12,234.29)	\$0.00	
EINSTEIN NOAH RESTAURANT GP	1,500,000	11/13/13	11/20/13	\$23,138.74	\$25,875.00	\$0.00	(\$2,736.26)	\$0.00	
ELLINGTON RESIDENTIAL MTG REIT	200,000	05/01/13	06/11/13	\$3,433.94	\$4,000.00	\$0.00	(\$566.06)	\$0.00	
	1,125,000	05/01/13	06/11/13	\$19,315.91	\$22,500.00	\$0.00	(\$3,184.09)	\$0.00	
Security Subtotal	1,325,000			\$22,749.85	\$26,500.00	\$0.00	(\$3,750.15)	\$0.00	
ENBRIDGE ENERGY MGMT LLC	0.438	09/10/13	11/14/13	\$12.28	\$12.47	\$0.00	(\$0.19)	\$0.00	
ENDURANCE INTL GROUP HLDGS INC	300,000	10/25/13	11/06/13	\$3,125.87	\$3,600.00	\$0.00	(\$474.33)	\$0.00	
	400,000	10/25/13	11/06/13	\$4,167.55	\$4,800.00	\$0.00	(\$632.45)	\$0.00	
Security Subtotal	700,000			\$7,293.22	\$8,400.00	\$0.00	(\$1,106.78)	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
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New York, NY 10004
Identification Number 26-4310632

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Taxpayer ID Number 87-6217358
Account Number 632 022039 355

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ENDURO ROYALTY TRUST	CUSIP: 29269K100	Symbol (Box 1d): NDRO							
	2,000,000	09/27/13	10/03/13	\$24,632.97	\$27,700.00	\$0.00	(\$3,067.03)	\$0.00	\$0.00
	1,000,000	09/27/13	10/03/13	\$12,316.48	\$13,850.00	\$0.00	(\$1,533.52)	\$0.00	\$0.00
Security Subtotal	3,000,000			\$36,949.45	\$41,550.00	\$0.00	(\$4,600.55)	\$0.00	\$0.00
ENVISION HEALTHCARE HLDGS INC	CUSIP: 29413U103	Symbol (Box 1d): EVHC							
	525,000	08/14/13	08/14/13	\$12,898.69	\$12,075.00	\$0.00	\$821.69	\$0.00	\$0.00
EPIZYME INC	CUSIP: 29428V104	Symbol (Box 1d): EPZM							
	500,000	05/31/13	06/14/13	\$10,808.38	\$7,500.00	\$0.00	\$3,308.38	\$0.00	\$0.00
EQUITY RESIDENTIAL	CUSIP: 29478L107	Symbol (Box 1d): EQR							
	1,000,000	11/28/12	01/02/13	\$56,828.21	\$54,750.00	\$0.00	\$2,078.21	\$0.00	\$0.00
EXLSERVICE HLDGS INC	CUSIP: 302081104	Symbol (Box 1d): EXLS							
	1,400,000	10/30/13	11/05/13	\$33,865.80	\$42,350.00	\$0.00	(\$8,484.20)	\$0.00	\$0.00
EXTRA SPACE STORAGE INC	CUSIP: 30225T102	Symbol (Box 1d): EXR							
	200,000	11/05/13	11/19/13	\$8,256.45	\$9,200.00	\$0.00	(\$943.55)	\$0.00	\$0.00
	800,000	11/05/13	11/19/13	\$33,025.82	\$36,800.00	\$0.00	(\$3,774.18)	\$0.00	\$0.00
Security Subtotal	1,000,000			\$41,282.27	\$46,000.00	\$0.00	(\$4,717.73)	\$0.00	\$0.00
FABINET	CUSIP: G3323L100	Symbol (Box 1d): FN							
	1,200,000	03/14/13	06/14/13	\$17,655.46	\$16,800.00	\$0.00	\$855.46	\$0.00	\$0.00

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92087-4910

Identification Number 26-4310632

Taxpayer ID Number 87-6217358
Account Number 632 022039 355

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0716

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
FIFTH STREET FINANCE CORP COM									
		CUSIP: 31678A103		Symbol (Box 1d): FSC					
	3,000,000	12/04/12	02/12/13	\$32,635.96	\$31,962.14	\$0.00	\$673.82	\$0.00	
	3,250,000	04/11/13	11/26/13	\$31,454.09	\$34,756.46	\$0.00	(\$3,302.37)	\$0.00	
	3,000,000	09/18/13	12/03/13	\$27,605.81	\$30,930.00	\$0.00	(\$3,324.19)	\$0.00	
Security Subtotal	9,250,000			\$91,695.86	\$97,648.60	\$0.00	(\$5,952.74)	\$0.00	
FIREEYE INC									
		CUSIP: 31816Q101		Symbol (Box 1d): FEYE					
	250,000	09/19/13	09/20/13	\$9,821.57	\$5,000.00	\$0.00	\$4,821.57	\$0.00	
FIRST SOLAR, INC									
		CUSIP: 338433107		Symbol (Box 1d): FSLR					
	800,000	08/13/13	06/21/13	\$32,884.06	\$36,800.00	\$0.00	(\$3,915.94)	\$0.00	
FIVE BELOW									
		CUSIP: 33829M101		Symbol (Box 1d): FIVE					
	750,000	04/30/13	05/07/13	\$27,294.98	\$26,625.00	\$0.00	\$669.98	\$0.00	
	125,000	04/30/13	05/07/13	\$4,549.16	\$4,437.50	\$0.00	\$111.66	\$0.00	
Security Subtotal	875,000			\$31,844.14	\$31,062.50	\$0.00	\$781.64	\$0.00	
FLEETCOR TECHNOLOGIES									
		CUSIP: 339041105		Symbol (Box 1d): FLT					
	575,000	03/07/13	03/25/13	\$42,588.33	\$40,681.25	\$0.00	\$1,907.08	\$0.00	
	575,000	05/15/13	05/30/13	\$50,281.41	\$47,581.25	\$0.00	\$2,700.16	\$0.00	
Security Subtotal	1,150,000			\$92,879.74	\$88,262.50	\$0.00	\$4,617.24	\$0.00	
FLY LEASING LTD SPONSORED ADR									
		CUSIP: 34407D109		Symbol (Box 1d): FLY					
	2,050,000	07/12/13	07/19/13	\$29,653.08	\$28,700.00	\$0.00	\$953.08	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
GENERAC HLDGS INC COM		CUSIP: 368738104	Symbol (Box 1d): GNRC						
	1,500,000	02/21/13	03/19/13	\$52,171.22	\$52,500.00	\$0.00	(\$328.78)	\$0.00	
	1,500,000	05/07/13	05/30/13	\$58,070.88	\$55,755.00	\$0.00	\$2,315.88	\$0.00	
	1,000,000	06/14/13	07/11/13	\$38,980.27	\$34,950.00	\$0.00	\$4,030.27	\$0.00	
Security Subtotal	4,000,000			\$149,222.37	\$143,205.00	\$0.00	\$6,017.37	\$0.00	
GENERAL GROWTH PROPERTIES COM		CUSIP: 370023103	Symbol (Box 1d): GGP						
	1,500,000	05/07/13	05/29/13	\$30,833.46	\$34,425.00	\$0.00	(\$3,591.54)	\$0.00	
GENPACT LTD		CUSIP: G3922B107	Symbol (Box 1d): G						
	1,500,000	02/12/13	03/01/13	\$25,881.02	\$24,750.00	\$0.00	\$1,131.02	\$0.00	
GIANT INTERACTIVE GROUP INC		CUSIP: 374511103	Symbol (Box 1d): GA						
	3,300,000	06/05/13	06/14/13	\$24,585.11	\$23,925.00	\$0.00	\$660.11	\$0.00	
	1,500,000	06/05/13	06/14/13	\$11,175.05	\$10,875.00	\$0.00	\$300.05	\$0.00	
	1,000,000	06/05/13	06/14/13	\$7,450.04	\$7,250.00	\$0.00	\$200.04	\$0.00	
Security Subtotal	5,800,000			\$43,210.20	\$42,050.00	\$0.00	\$1,160.20	\$0.00	
GLOBAL BRASS & COPPER HOLDINGS		CUSIP: 37953G103	Symbol (Box 1d): BRSS						
	800,000	05/23/13	05/23/13	\$9,791.82	\$8,800.00	\$0.00	\$991.82	\$0.00	
	200,000	05/23/13	05/23/13	\$2,447.98	\$2,200.00	\$0.00	\$247.98	\$0.00	
Security Subtotal	1,000,000			\$12,239.78	\$11,000.00	\$0.00	\$1,239.78	\$0.00	
GOGO INC		CUSIP: 38046C109	Symbol (Box 1d): GOGO						
	475,000	06/20/13	06/24/13	\$6,884.27	\$8,075.00	\$0.00	(\$1,190.73)	\$0.00	
	100,000	06/20/13	06/24/13	\$1,448.32	\$1,400.00	\$0.00	\$48.32	\$0.00	
Security Subtotal	575,000			\$8,332.59	\$9,775.00	\$0.00	(\$1,442.41)	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
GOLAR LNG PTNRS LP ULPI	2,000,000	01/31/13	03/12/13	\$61,370.24	\$60,000.00	\$0.00	\$1,370.24	\$0.00	
GOLD RES CORP	2,100,000	07/11/13	08/06/13	\$15,085.80	\$17,010.00	\$0.00	(\$1,924.20)	\$0.00	
GOLUB CAPITAL BDC INC COM	1,900,000	01/15/13	03/04/13	\$30,835.43	\$30,153.00	\$0.00	\$682.43	\$0.00	
	2,700,000	05/07/13	05/30/13	\$47,969.73	\$47,169.00	\$0.00	\$800.73	\$0.00	
	1,350,000	09/12/13	10/28/13	\$23,709.84	\$22,882.50	\$0.00	\$827.34	\$0.00	
Security Subtotal	5,950,000			\$102,815.00	\$100,204.50	\$0.00	\$2,610.50	\$0.00	
GOVERNMENT PPTYS INC TR	1,475,000	10/16/12	01/02/13	\$35,497.62	\$34,169.19	\$0.00	\$1,328.43	\$0.00	
	1,350,000	03/11/13	04/11/13	\$35,054.09	\$34,020.00	\$0.00	\$1,034.09	\$0.00	
Security Subtotal	2,825,000			\$70,551.71	\$68,189.19	\$0.00	\$2,362.52	\$0.00	
GRANA Y MONTERO S A	500,000	07/24/13	08/27/13	\$9,412.68	\$10,585.00	\$0.00	(\$1,152.32)	\$0.00	
	500,000	07/24/13	08/27/13	\$9,412.69	\$10,585.00	\$0.00	(\$1,152.31)	\$0.00	
Security Subtotal	1,000,000			\$18,825.37	\$21,130.00	\$0.00	(\$2,304.63)	\$0.00	
GRAPHIC PACKAGING HOLDING CO	3,800,000	05/31/13	07/08/13	\$29,461.28	\$28,080.00	\$0.00	\$1,381.28	\$0.00	
	3,550,000	08/08/13	11/26/13	\$31,078.32	\$30,175.00	\$0.00	\$903.32	\$0.00	
Security Subtotal	7,150,000			\$60,539.60	\$58,255.00	\$0.00	\$2,284.60	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor

New York, NY 10004
Identification Number 26-4310632

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Taxpayer ID Number 87-6217358
Account Number 632 022039 355

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1546-0716

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
HAWAIIAN ELECTRIC IND	1,050 000	03/20/13	04/28/13	\$29,002.22	\$28,087.50	\$0.00	\$914.72	\$0.00	
HCA HOLDINGS INC	2,000 000	12/10/12	01/17/13	\$71,492.23	\$68,200.00	\$0.00	\$5,292.23	\$0.00	
HD SUPPLY HOLDINGS	350 000	08/28/13	07/09/13	\$8,722.52	\$8,300.00	\$0.00	\$422.52	\$0.00	
	400 000	08/28/13	07/08/13	\$7,682.88	\$7,200.00	\$0.00	\$482.88	\$0.00	
Security Subtotal	7,500 000			\$14,495.40	\$13,500.00	\$0.00	\$995.40	\$0.00	
HEALTH CARE REIT INC	275 000	05/09/13	05/21/13	\$21,384.26	\$20,212.50	\$0.00	\$1,151.76	\$0.00	
HERCULES TECH GROWTH CAP	2,100 000	03/08/13	04/23/13	\$26,786.87	\$25,767.00	\$0.00	\$1,019.87	\$0.00	
HERTZ GLOBAL HOLDINGS, INC	2,000 000	03/07/13	03/11/13	\$41,873.06	\$40,480.00	\$0.00	\$1,193.06	\$0.00	
HOME LOAN SERVICING	1,050 000	12/18/12	01/17/13	\$20,728.36	\$19,950.00	\$0.00	\$778.36	\$0.00	
HOSPITALITY PPTYS TR COM SBI	1,200 000	03/19/13	03/25/13	\$31,598.16	\$30,660.00	\$0.00	\$938.16	\$0.00	

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Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC

1 New York Plaza

8th Floor

New York, NY 10004

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THE GREENBAUM FOUNDATION

P O BOX 9910

RANCHO SANTA FE CA 92067-4910

Taxpayer ID Number 87-6217358

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0716

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 6)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
HOUGHTON MIFFLIN HARCOURT CO	CUSIP: 44157R109	Symbol (Box 1d): HMMC							
	325 000	11/13/13	11/15/13	\$4,762.68	\$3,900.00	\$0.00	\$862.68	\$0.00	\$0.00
	500 000	11/13/13	11/15/13	\$7,327.19	\$8,000.00	\$0.00	\$1,327.19	\$0.00	\$0.00
Security Subtotal	825 000			\$12,089.87	\$11,900.00	\$0.00	\$2,189.87	\$0.00	\$0.00
INFINITY PHARMACEUTICALS	CUSIP: 45865G303	Symbol (Box 1d): INFI							
	750 000	04/11/13	04/11/13	\$31,463.84	\$30,000.00	\$0.00	\$1,463.84	\$0.00	\$0.00
ING U S INC	CUSIP: 45885E106	Symbol (Box 1d): VOYA							
	3,800 000	05/02/13	05/02/13	\$76,829.31	\$74,100.00	\$0.00	\$2,729.31	\$0.00	\$0.00
	1,200 000	05/02/13	05/02/13	\$24,261.89	\$23,400.00	\$0.00	\$861.89	\$0.00	\$0.00
	1,000 000	10/24/13	11/05/13	\$30,687.54	\$29,500.00	\$0.00	\$1,187.54	\$0.00	\$0.00
	50 000	10/24/13	11/05/13	\$1,533.38	\$1,475.00	\$0.00	\$58.38	\$0.00	\$0.00
Security Subtotal	6,050 000			\$133,292.12	\$128,475.00	\$0.00	\$4,817.12	\$0.00	\$0.00
INTELSAT S A	CUSIP: L5140P101	Symbol (Box 1d): I							
	800 000	04/18/13	04/19/13	\$15,453.65	\$14,400.00	\$0.00	\$1,053.65	\$0.00	\$0.00
INVESTCO MORTGAGE CAPITAL INC	CUSIP: 46131B100	Symbol (Box 1d): IVR							
	1,500 000	01/23/13	03/19/13	\$32,350.97	\$31,500.00	\$0.00	\$850.97	\$0.00	\$0.00
JAVELIN MORTGAGE INV CORP	CUSIP: 47200B104	Symbol (Box 1d): JMI							
	1,250 000	05/08/13	05/23/13	\$21,617.12	\$24,112.50	\$0.00	(\$2,495.38)	\$0.00	\$0.00

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
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THE GREENBAUM FOUNDATION
P O BOX 9910
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Taxpayer ID Number 87-6217358
Account Number 632 022039 355

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
KAYNE ANDERSON MLP INVT CO	CUSIP: 486606106	Symbol (Box 1d): KYN							
	1,200,000	03/07/13	03/25/13	\$41,117.42	\$40,032.00	\$0.00	\$1,085.42	\$0.00	
	1,000,000	07/10/13	11/05/13	\$38,754.45	\$35,805.84	\$0.00	\$948.61	\$0.00	
Security Subtotal	2,200,000			\$77,871.87	\$75,837.84	\$0.00	\$2,034.03	\$0.00	
KNIGHTSBRIDGE TANKERS LTD	CUSIP: G5289G106	Symbol (Box 1d): VLCCF							
	2,500,000	10/18/13	11/15/13	\$19,243.41	\$22,500.00	\$0.00	(\$3,256.59)	\$0.00	
KOSMOS ENERGY LTD	CUSIP: G5315B107	Symbol (Box 1d): KOS							
	2,800,000	02/15/13	03/19/13	\$30,863.38	\$30,800.00	\$0.00	(\$136.62)	\$0.00	
LACLEDE GROUP INC NEW	CUSIP: 505597104	Symbol (Box 1d): LG							
	600,000	05/22/13	05/30/13	\$27,655.31	\$26,700.00	\$0.00	\$955.31	\$0.00	
LAMAR ADVERTISING CO CL A	CUSIP: 512815101	Symbol (Box 1d): LAMR							
	800,000	12/17/12	01/17/13	\$33,362.06	\$31,600.00	\$0.00	\$1,762.06	\$0.00	
LIBERTY PROPERTY TRUST SBI	CUSIP: 531172104	Symbol (Box 1d): LRY							
	700,000	08/02/13	10/18/13	\$25,868.01	\$25,200.00	\$0.00	\$668.01	\$0.00	
LINKEDIN CORP-A	CUSIP: 53578A108	Symbol (Box 1d): LNKO							
	150,000	09/05/13	09/09/13	\$36,809.92	\$33,450.00	\$0.00	\$3,359.92	\$0.00	
	75,000	09/05/13	09/09/13	\$18,404.96	\$16,725.00	\$0.00	\$1,679.96	\$0.00	
Security Subtotal	225,000			\$55,214.88	\$50,175.00	\$0.00	\$5,039.88	\$0.00	
LINNCO LLC	CUSIP: 535782106	Symbol (Box 1d): LNCO							
	1,000,000	03/21/13	04/02/13	\$39,464.10	\$38,000.00	\$0.00	\$1,464.10	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
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New York, NY 10004

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Identification Number: 26-4310632

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 9849 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE OF SALE (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
LYONDELBASELL NV CL-A	1,000,000	09/04/13	09/10/13	\$70,795.56	\$69,500.00	\$0.00	\$1,295.56	\$0.00	
MARKEL CORP (HOLDING CO)	80,000	08/13/13	11/15/13	\$43,118.24	\$42,000.00	\$0.00	\$1,118.24	\$0.00	
MEDALLION FINCL CORP	2,000,000	12/03/13	12/28/13	\$29,353.68	\$32,800.00	\$0.00	(\$3,446.32)	\$0.00	
MICHAEL KORS HOLDINGS LTD	800,000	02/21/13	03/11/13	\$44,175.32	\$49,200.00	\$0.00	(\$5,024.68)	\$0.00	
MOLYCORP INC DEL COM	7,500,000	01/25/13	01/25/13	\$51,549.93	\$45,000.00	\$0.00	\$6,549.93	\$0.00	
	4,500,000	10/15/13	10/16/13	\$23,078.59	\$22,500.00	\$0.00	\$578.59	\$0.00	
Security Subtotal	12,000,000			\$74,828.52	\$67,500.00	\$0.00	\$7,328.52	\$0.00	
MORGANS HOTEL GROUP	2,475,000	05/30/13	08/05/13	\$18,826.56	\$16,706.25	\$0.00	\$2,120.31	\$0.00	
NANOSTRING TECHNOLOGIES INC	200,000	06/28/13	08/07/13	\$1,446.07	\$2,000.00	\$0.00	(\$553.93)	\$0.00	
	425,000	06/28/13	08/07/13	\$3,072.80	\$4,250.00	\$0.00	(\$1,177.10)	\$0.00	
Security Subtotal	625,000			\$4,518.87	\$6,250.00	\$0.00	(\$1,731.03)	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 87-6217358
Account Number 632 022039 355
Customer Service: 866-324-6088

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 6)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
NAVIOS MARITIME PARTNERS LP									
	1,275,000	02/01/13	03/19/13	\$17,925.79	\$18,041.25	\$0.00	(\$115.46)	\$0.00	
	775,000	08/20/13	11/05/13	\$12,785.39	\$11,051.50	\$0.00	\$1,743.89	\$0.00	
Security Subtotal	2,050,000			\$30,721.18	\$29,092.75	\$0.00	\$1,628.43	\$0.00	
NEW MOUNTAIN FINANCE CP									
	2,550,000	08/25/12	03/01/13	\$39,002.51	\$38,250.00	\$0.00	\$752.51	\$0.00	
	1,000,000	03/20/13	04/23/13	\$14,883.16	\$14,300.00	\$0.00	\$583.16	\$0.00	
	1,850,000	03/20/13	04/23/13	\$27,533.84	\$26,455.00	\$0.00	\$1,078.84	\$0.00	
	2,300,000	10/11/13	11/25/13	\$33,780.63	\$32,982.00	\$0.00	\$798.63	\$0.00	
	2,300,000	08/18/13	12/12/13	\$34,171.40	\$33,485.00	\$0.00	\$706.40	\$0.00	
Security Subtotal	10,000,000			\$149,371.54	\$145,452.00	\$0.00	\$3,919.54	\$0.00	
NIELSEN HOLDINGS NV									
	1,500,000	05/14/13	08/19/13	\$55,188.98	\$52,515.00	\$0.00	\$2,673.98	\$0.00	
NOODLES & COMPANY CLASS A									
	300,000	08/27/13	07/03/13	\$13,285.83	\$5,400.00	\$0.00	\$7,885.83	\$0.00	
	725,000	12/05/13	12/17/13	\$25,848.38	\$28,637.50	\$0.00	(\$2,889.12)	\$0.00	
Security Subtotal	1,025,000			\$39,134.21	\$34,037.50	\$0.00	\$4,978.71	\$0.00	
NORDIC AMERICAN TANKERS LTD									
	4,000,000	04/02/13	05/01/13	\$34,169.22	\$37,760.00	\$0.00	(\$3,590.78)	\$0.00	
	3,000,000	11/22/13	12/05/13	\$24,958.61	\$23,520.00	\$0.00	\$1,438.61	\$0.00	
Security Subtotal	7,000,000			\$59,125.83	\$61,280.00	\$0.00	(\$2,154.17)	\$0.00	

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
NXP SEMICONDUCTORS NV	CUSIP: N6598X109	Symbol (Box 1d): NXPI							
	1,000,000	09/13/13	10/24/13	\$39,858.30	\$37,650.00	\$0.00	\$2,208.30	\$0.00	
OASIS PETROLEUM INC	CUSIP: 874215108	Symbol (Box 1d): OAS							
	1,000,000	12/04/13	12/05/13	\$48,668.78	\$45,150.00	\$0.00	\$1,518.78	\$0.00	
OPHTHOTECH CORP COM	CUSIP: 683745103	Symbol (Box 1d): OPHT							
	125,000	09/24/13	10/07/13	\$4,044.28	\$2,750.00	\$0.00	\$1,294.28	\$0.00	
ORBITZ WORLDWIDE	CUSIP: 68557K109	Symbol (Box 1d): OWW							
	850,000	05/29/13	07/03/13	\$7,507.92	\$7,182.50	\$0.00	\$325.42	\$0.00	
	2,150,000	05/29/13	07/03/13	\$18,990.61	\$18,167.50	\$0.00	\$823.11	\$0.00	
Security Subtotal	3,000,000			\$28,498.53	\$25,350.00	\$0.00	\$1,148.53	\$0.00	
PACIFIC COAST OIL TRUST	CUSIP: 684103102	Symbol (Box 1d): ROYT							
	2,500,000	09/19/13	10/11/13	\$38,349.57	\$42,750.00	\$0.00	(\$4,400.43)	\$0.00	
PANDORA MEDIA INC	CUSIP: 688354107	Symbol (Box 1d): P							
	850,000	09/19/13	10/04/13	\$23,012.49	\$21,250.00	\$0.00	\$1,762.49	\$0.00	
PATTERN ENERGY INC CLS A	CUSIP: 70338P100	Symbol (Box 1d): PEGI							
	200,000	09/27/13	11/11/13	\$4,758.55	\$4,400.00	\$0.00	\$358.55	\$0.00	
	250,000	09/27/13	11/11/13	\$5,948.19	\$5,500.00	\$0.00	\$448.19	\$0.00	
Security Subtotal	450,000			\$10,706.74	\$9,900.00	\$0.00	\$806.74	\$0.00	
PBF ENERGY INC	CUSIP: 68318G106	Symbol (Box 1d): PBF							
	1,450,000	08/07/13	08/24/13	\$35,125.43	\$39,150.00	\$0.00	(\$4,024.57)	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8849 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
PENNANTPARK INVESTMENT CORP	CUSIP: 708062104	Symbol (Box 1d): PNNI							
	3,000 000	08/25/12	01/02/13	\$33,143.55	\$32,460.00	\$0.00	\$683.55	\$0.00	
PENNYMAC MORTGAGE INVESTMENT	CUSIP: 709311T103	Symbol (Box 1d): PMT							
	2,500 000	08/14/13	10/18/13	\$57,210.98	\$55,850.00	\$0.00	\$1,360.98	\$0.00	
PIEDMONT NATURAL GAS NC	CUSIP: 720186105	Symbol (Box 1d): PNY							
	750,000	01/29/13	03/12/13	\$24,937.21	\$24,000.00	\$0.00	\$937.21	\$0.00	
PIONEER NATURAL RESOURCES CO	CUSIP: 723787107	Symbol (Box 1d): PXD							
	300,000	02/15/13	02/18/13	\$39,527.10	\$38,400.00	\$0.00	\$1,127.10	\$0.00	
PLAINS GP HLDGS LP SHS A REP	CUSIP: 72651A108	Symbol (Box 1d): PAGP							
	400 000	10/18/13	12/12/13	\$9,556.50	\$8,800.00	\$0.00	\$756.50	\$0.00	
PORTOLA PHARMACEUTICALS INC	CUSIP: 737010108	Symbol (Box 1d): PTLA							
	500 000	05/22/13	05/28/13	\$9,245.36	\$7,250.00	\$0.00	\$1,995.36	\$0.00	
	1,150,000	10/17/13	11/05/13	\$25,731.75	\$27,312.50	\$0.00	(\$1,580.75)	\$0.00	
Security Subtotal	1,650,000			\$34,977.11	\$34,662.50	\$0.00	\$414.61	\$0.00	
PRIMERICA INC	CUSIP: 74164M108	Symbol (Box 1d): PRI							
	625 000	11/20/12	01/02/13	\$18,740.75	\$17,312.50	\$0.00	\$1,428.25	\$0.00	
	1,200,000	02/12/13	04/30/13	\$40,384.12	\$39,380.00	\$0.00	\$1,024.12	\$0.00	
Security Subtotal	1,825,000			\$59,124.87	\$56,692.50	\$0.00	\$2,432.37	\$0.00	
PROLOGIS INC COM	CUSIP: 74340W103	Symbol (Box 1d): PLD							
	1,000 000	04/25/13	05/07/13	\$42,500.73	\$41,600.00	\$0.00	\$890.73	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Morgan Stanley Smith Barney Holdings LLC

1 New York Plaza

8th Floor

New York, NY 10004

Identification Number

26-4310632

Taxpayer ID Number

87-6217358

Account Number

632 022039 355

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 6)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
QUINTILES TRANSNATIONAL HLDGS									
		CUSIP: 74876Y101		Symbol (Box 1d): Q					
	500.000	05/08/13	05/08/13	\$20,974.49	\$20,000.00	\$0.00	\$974.49	\$0.00	
	500.000	05/09/13	05/09/13	\$20,974.49	\$20,000.00	\$0.00	\$974.49	\$0.00	
	900.000	11/19/13	12/10/13	\$38,247.26	\$38,385.00	\$0.00	\$882.26	\$0.00	
Security Subtotal	1,900.000			\$81,196.24	\$78,385.00	\$0.00	\$2,811.24	\$0.00	
RADIAN GROUP INC									
		CUSIP: 750238101		Symbol (Box 1d): RDN					
	3,000.000	02/27/13	03/05/13	\$31,218.62	\$24,000.00	\$0.00	\$7,218.62	\$0.00	
RE MAX HLDGS INC CL A									
		CUSIP: 75524W108		Symbol (Box 1d): RMAX					
	125.000	10/01/13	11/25/13	\$3,828.82	\$2,750.00	\$0.00	\$878.82	\$0.00	
REALOGY HOLDINGS CORP									
		CUSIP: 75605Y106		Symbol (Box 1d): RLGY					
	425.000	04/11/13	04/24/13	\$19,982.99	\$18,700.00	\$0.00	\$1,282.99	\$0.00	
REALTY INCOME CORP									
		CUSIP: 756109104		Symbol (Box 1d): O					
	1,000.000	03/05/13	04/11/13	\$47,278.33	\$45,829.78	\$0.00	\$1,448.55	\$0.00	
	800.000	10/21/13	12/05/13	\$29,254.76	\$32,391.28	\$0.00	(\$3,136.52)	\$0.00	
Security Subtotal	1,800.000			\$76,533.09	\$78,221.04	\$0.00	(\$1,687.95)	\$0.00	
RESTORATION HARDWARE HLDGS INC									
		CUSIP: 761283100		Symbol (Box 1d): RH					
	400.000	09/30/13	10/21/13	\$25,202.86	\$24,800.00	\$0.00	\$402.86	\$0.00	
RETAILMENOT INC SERS 1 COM STK									
		CUSIP: 76132B106		Symbol (Box 1d): SALE					
	200.000	07/18/13	10/09/13	\$8,481.77	\$4,200.00	\$0.00	\$2,281.77	\$0.00	
	500.000	12/12/13	12/24/13	\$14,412.81	\$13,000.00	\$0.00	\$1,412.81	\$0.00	
Security Subtotal	700.000			\$20,894.58	\$17,200.00	\$0.00	\$3,694.58	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 87-6217358
Account Number 632 022039 355
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ROADRUNNER TRNSN SVCS HLDG INC	CUSIP: 76973Q105	Symbol (Box 1d): RRTS							
	900.000	08/13/13	10/04/13	\$25,159.33	\$24,300.00	\$0.00	\$859.33	\$0.00	
SAFE BULKERS INC	CUSIP: Y7388L103	Symbol (Box 1d): SB							
	3,500.000	11/13/13	12/05/13	\$27,484.26	\$26,005.00	\$0.00	\$1,479.26	\$0.00	
SAGENT PHARMACEUTICALS INC	CUSIP: 766692103	Symbol (Box 1d): SGNT							
	500.000	09/10/13	11/05/13	\$11,422.85	\$10,625.00	\$0.00	\$797.85	\$0.00	
SANDRIDGE ENERGY INC	CUSIP: 80007P307	Symbol (Box 1d): SD							
	2,650.000	07/08/13	07/18/13	\$13,920.19	\$12,720.00	\$0.00	\$1,200.19	\$0.00	
	2,800.000	07/08/13	07/18/13	\$14,708.12	\$13,440.00	\$0.00	\$1,268.12	\$0.00	
Security Subtotal	5,450.000			\$28,628.31	\$26,160.00	\$0.00	\$2,468.31	\$0.00	
SEADRILL PARTNERS LLC	CUSIP: Y7545W109	Symbol (Box 1d): SCLP							
	1,050.000	12/04/13	12/05/13	\$32,386.56	\$30,975.00	\$0.00	\$1,411.56	\$0.00	
SELECT INCOME REIT COM	CUSIP: 81618T100	Symbol (Box 1d): SIR							
	1,250.000	08/27/13	08/02/13	\$31,284.45	\$35,312.50	\$0.00	(\$4,028.05)	\$0.00	
SENIOR HSG PPTY TR SBI	CUSIP: 81721M109	Symbol (Box 1d): SNH							
	950.000	01/23/13	02/19/13	\$23,491.47	\$22,610.00	\$0.00	\$881.47	\$0.00	
SENSATA TECHNOLOGIES	CUSIP: N7902X106	Symbol (Box 1d): ST							
	1,200.000	02/13/13	05/03/13	\$41,391.34	\$40,140.00	\$0.00	\$1,251.34	\$0.00	
	250.000	02/13/13	05/03/13	\$8,623.20	\$8,362.50	\$0.00	\$260.70	\$0.00	
	1,000.000	05/22/13	08/01/13	\$37,637.23	\$36,100.00	\$0.00	\$1,537.23	\$0.00	
Security Subtotal	2,450.000			\$97,992.27	\$94,602.50	\$0.00	\$3,389.77	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorNew York, NY 10004
Identification Number 26-4310632THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910Taxpayer ID Number 87-6217358
Account Number 632 022039 355

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
SHIP FINANCE INTL LTD	CUSIP: G81075106	Symbol (Box 1d): SFL							
	2,500,000	06/18/13	06/24/13	\$36,730.35	\$41,125.00	\$0.00	(\$4,394.65)	\$0.00	
SHUTTERSTOCK INC COM	CUSIP: 825690100	Symbol (Box 1d): SSTK							
	300,000	09/19/13	09/23/13	\$20,659.73	\$18,000.00	\$0.00	\$2,659.73	\$0.00	
SOLAR CAPITAL LTD	CUSIP: 83413U100	Symbol (Box 1d): SLRC							
	1,500,000	01/08/13	02/12/13	\$37,416.65	\$36,600.00	\$0.00	\$816.65	\$0.00	
SOLAR SENIOR CAPITAL LTD	CUSIP: 83416M105	Symbol (Box 1d): SUNS							
	1,000,000	01/15/13	03/01/13	\$18,690.57	\$18,812.88	\$0.00	(\$122.31)	\$0.00	
	1,000,000	01/15/13	03/01/13	\$18,690.58	\$18,812.88	\$0.00	(\$122.30)	\$0.00	
Security Subtotal	2,000,000			\$37,381.18	\$37,625.76	\$0.00	(\$244.61)	\$0.00	
SPANSON INC COM CL A NEW	CUSIP: 84649R200	Symbol (Box 1d): CODE							
	2,000,000	05/17/13	05/22/13	\$26,715.72	\$25,800.00	\$0.00	\$915.72	\$0.00	
	1,700,000	09/12/13	10/04/13	\$18,977.14	\$17,850.00	\$0.00	\$1,127.14	\$0.00	
Security Subtotal	3,700,000			\$45,692.86	\$43,650.00	\$0.00	\$2,042.86	\$0.00	
SPROTT PHYSICAL GOLD TRUST	CUSIP: 85207H104	Symbol (Box 1d): PHYS							
	1,650,000	09/07/12	02/20/13	\$21,625.18	\$24,486.00	\$0.00	(\$2,860.82)	\$0.00	
SPROTT PLATINUM/PALLADIUM ETF	CUSIP: 85207Q104	Symbol (Box 1d): SPPP							
	2,500,000	12/18/12	03/01/13	\$23,773.21	\$25,000.00	\$0.00	(\$1,226.79)	\$0.00	
SS&C TECHNOLOGIES HLDGS INC	CUSIP: 78467J100	Symbol (Box 1d): SSNC							
	650,000	05/08/13	07/08/13	\$21,728.54	\$20,930.00	\$0.00	\$798.54	\$0.00	
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Morgan Stanley

Corporate Tax Statement Tax Year 2013

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number 26-4310632

Taxpayer ID Number 87-6217358
Account Number 632 022039 355

Customer Service: 866-324-6088

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
STAR BULK CARRIERS CORP NEW	CUSIP: Y8162K121	Symbol (Box 1d): SBLK							
	150 000	10/02/13	10/08/13	\$1,174.62	\$1,320.00	\$0.00	(\$145.38)	\$0.00	
	2,850,000	10/02/13	10/09/13	\$20,751.67	\$23,320.00	\$0.00	(\$2,568.33)	\$0.00	
Security Subtotal	2,800,000			\$21,926.29	\$24,640.00	\$0.00	(\$2,713.71)	\$0.00	
STARWOOD PROPERTY TRUST INC	CUSIP: 85571B105	Symbol (Box 1d): STWD							
	1,300 000	10/03/12	01/08/13	\$30,806.68	\$29,897.27	\$0.00	\$909.41	\$0.00	
	400 000	09/10/13	10/24/13	\$9,960.84	\$9,718.16	\$0.00	\$242.48	\$0.00	
	1,500,000	08/10/13	10/24/13	\$37,352.41	\$38,443.10	\$0.00	\$909.31	\$0.00	
Security Subtotal	3,200,000			\$78,119.73	\$78,058.63	\$0.00	\$2,061.20	\$0.00	
STRATASYS LTD SHS	CUSIP: M85548101	Symbol (Box 1d): SSYS							
	150 000	09/13/13	09/23/13	\$14,641.05	\$13,950.00	\$0.00	\$691.05	\$0.00	
	25,000	09/13/13	09/23/13	\$2,440.18	\$2,325.00	\$0.00	\$115.18	\$0.00	
Security Subtotal	175,000			\$17,081.23	\$16,275.00	\$0.00	\$806.23	\$0.00	
SUNSTONE HOTEL INVESTORS, INC	CUSIP: 867892101	Symbol (Box 1d): SHO							
	4,000 000	01/29/13	03/27/13	\$48,273.70	\$47,200.00	\$0.00	\$1,073.70	\$0.00	
T-MOBILE US INC COM	CUSIP: 872590104	Symbol (Box 1d): TMUS							
	1,500 000	11/14/13	11/21/13	\$39,212.16	\$37,500.00	\$0.00	\$1,712.16	\$0.00	
TAMINCO CORPORATION	CUSIP: 87508U106	Symbol (Box 1d): TAM							
	400 000	04/18/13	05/17/13	\$7,291.28	\$6,000.00	\$0.00	\$1,291.28	\$0.00	
	50,000	04/18/13	05/17/13	\$911.41	\$750.00	\$0.00	\$161.41	\$0.00	
Security Subtotal	450,000			\$8,202.69	\$6,750.00	\$0.00	\$1,452.69	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number 26-4310632

Taxpayer ID Number 87-6217358
Account Number 632 022039 355

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities

(Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
TEAM HEALTH HLDGS	CUSIP: 87817A107	Symbol (Box 1d): TMH							
	800 000	02/12/13	03/12/13	\$27,703.45	\$26,720.00	\$0.00	\$983.45	\$0.00	
THERMO FISHER SCIENTIFIC INC	CUSIP: 883556102	Symbol (Box 1d): TMO							
	500 000	06/07/13	07/11/13	\$43,850.58	\$42,750.00	\$0.00	\$1,100.58	\$0.00	
THIRD PT REINS LTD COM	CUSIP: G9827U100	Symbol (Box 1d): TPRE							
	350 000	08/15/13	09/23/13	\$4,726.42	\$4,375.00	\$0.00	\$351.42	\$0.00	
	400 000	08/15/13	09/23/13	\$5,401.63	\$5,000.00	\$0.00	\$401.63	\$0.00	
	750 000			\$10,128.05	\$9,375.00	\$0.00	\$753.05	\$0.00	
Security Subtotal									
TOWER INTL INC	CUSIP: 891828109	Symbol (Box 1d): TOWR							
	2,000 000	10/31/13	11/15/13	\$43,613.43	\$41,000.00	\$0.00	\$2,613.43	\$0.00	
TRW AUTOMOTIVE HOLDING CORP	CUSIP: 87264S106	Symbol (Box 1d): TRW							
	800 000	02/21/13	04/04/13	\$42,498.72	\$47,400.00	\$0.00	(\$4,901.28)	\$0.00	
	400 000	08/06/13	10/17/13	\$30,236.50	\$28,580.00	\$0.00	\$1,656.50	\$0.00	
Security Subtotal									
	1,200 000			\$72,735.22	\$75,980.00	\$0.00	(\$3,244.78)	\$0.00	
TWITTER INC	CUSIP: 90184L102	Symbol (Box 1d): TWTR							
	600 000	11/07/13	11/11/13	\$23,355.31	\$15,600.00	\$0.00	\$7,755.31	\$0.00	
UCP INC CL A	CUSIP: 90265Y106	Symbol (Box 1d): UCP							
	900 000	07/18/13	09/07/13	\$11,998.78	\$13,500.00	\$0.00	(\$1,501.22)	\$0.00	
US SILICA HLDGS INC	CUSIP: 90346E103	Symbol (Box 1d): SLCA							
	1,000 000	03/13/13	03/21/13	\$23,643.97	\$22,000.00	\$0.00	\$1,643.97	\$0.00	
	1,400 000	06/05/13	06/07/13	\$29,466.31	\$27,440.00	\$0.00	\$2,026.31	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor

New York, NY 10004
Identification Number 26-4310632

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Taxpayer ID Number 87-6217358
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Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
US SILICA HLDGS INC	1,500,000	11/08/13	11/11/13	\$49,983.17	\$47,250.00	\$0.00	\$2,733.17	\$0.00	
	1,000,000	12/08/13	12/17/13	\$31,369.25	\$35,000.00	\$0.00	(\$3,630.75)	\$0.00	
	250,000	12/08/13	12/17/13	\$7,842.31	\$8,750.00	\$0.00	(\$907.69)	\$0.00	
Security Subtotal	5,150,000			\$142,305.01	\$140,440.00	\$0.00	\$1,865.01	\$0.00	
VANTIV INC CL A		CUSIP: 92210H105	Symbol (Box 1d): VNTV						
	825,000	05/08/13	05/17/13	\$21,032.73	\$19,593.75	\$0.00	\$1,438.98	\$0.00	
	900,000	11/05/13	11/19/13	\$28,456.40	\$24,210.00	\$0.00	\$2,246.40	\$0.00	
Security Subtotal	1,725,000			\$49,489.13	\$43,803.75	\$0.00	\$3,685.38	\$0.00	
VEEVA SYS INC CL A		CUSIP: 922475108	Symbol (Box 1d): VEEV						
	150,000	10/15/13	10/29/13	\$5,871.74	\$3,000.00	\$0.00	\$2,871.74	\$0.00	
VERACYTE INC COM		CUSIP: 92337F107	Symbol (Box 1d): VCYT						
	200,000	10/29/13	11/06/13	\$2,283.07	\$2,800.00	\$0.00	(\$516.93)	\$0.00	
	100,000	10/29/13	11/06/13	\$1,131.53	\$1,300.00	\$0.00	(\$168.47)	\$0.00	
Security Subtotal	300,000			\$3,414.60	\$3,900.00	\$0.00	(\$485.40)	\$0.00	
WEST CORPORATION		CUSIP: 952355204	Symbol (Box 1d): WSTC						
	825,000	03/22/13	05/03/13	\$17,722.02	\$16,500.00	\$0.00	\$1,222.02	\$0.00	
WESTPORT INNOVATIONS INC NEW		CUSIP: 960908309	Symbol (Box 1d): WPRT						
	1,500,000	09/26/13	11/05/13	\$33,524.07	\$38,085.00	\$0.00	(\$4,560.93)	\$0.00	
WEYERHAEUSER CO		CUSIP: 962166104	Symbol (Box 1d): WY						
	1,500,000	06/19/13	07/08/13	\$42,412.20	\$41,625.00	\$0.00	\$787.20	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorNew York, NY 10004
Identification Number: 26-4310632THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910Taxpayer ID Number 87-6217358
Account Number 632 022039 355

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0716

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE DATE OF SALE (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
WEYERHAEUSER CO 6 375 CV PRF A	CUSIP: 992168872	Symbol (Box 1d): WY A							
	800.000	06/19/13	07/11/13	\$41,401.27	\$40,000.00	\$0.00	\$1,401.27	\$0.00	
WHITEHORSE FINANCE INC	CUSIP: 99524V106	Symbol (Box 1d): WHF							
	2,050.000	12/05/12	03/15/13	\$31,514.91	\$30,750.00	\$0.00	\$764.91	\$0.00	
XPO LOGISTICS INC NEW	CUSIP: 983793100	Symbol (Box 1d): XPO							
	875.000	08/09/13	10/08/13	\$17,796.79	\$19,906.25	\$0.00	(\$2,109.46)	\$0.00	
YANDEX N V A	CUSIP: N97284108	Symbol (Box 1d): YNDX							
	1,700.000	03/12/13	03/15/13	\$39,722.95	\$38,675.00	\$0.00	\$1,047.95	\$0.00	
YUME INC COM	CUSIP: 988728104	Symbol (Box 1d): YUME							
	600.000	08/07/13	09/04/13	\$4,745.15	\$5,400.00	\$0.00	(\$654.85)	\$0.00	
ZAIS FINANCIAL CORP	CUSIP: 98886K108	Symbol (Box 1d): ZFC							
	1,200.000	02/08/13	05/29/13	\$22,586.52	\$25,500.00	\$0.00	(\$2,903.48)	\$0.00	
ZILLOW INC	CUSIP: 98954A107	Symbol (Box 1d): Z							
	300.000	08/20/13	09/09/13	\$28,398.24	\$24,600.00	\$0.00	\$3,798.24	\$0.00	
ZOETIS INC CLASS-A	CUSIP: 98978V103	Symbol (Box 1d): ZTS							
	600.000	01/31/13	02/04/13	\$18,699.89	\$15,600.00	\$0.00	\$3,099.89	\$0.00	
	2,575.000	01/31/13	02/04/13	\$80,253.68	\$68,950.00	\$0.00	\$11,303.68	\$0.00	
Security Subtotal	3,176.000			\$98,953.67	\$82,550.00	\$0.00	\$16,403.67	\$0.00	

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor

New York, NY 10004
Identification Number 26-4310632

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Taxpayer ID Number 87-6217358
Account Number 632 022039 355

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
58 COM INC CL A									
		CUSIP: 31680Q104 Symbol (Box 1d): WUBA							
	250.000	10/31/13	11/22/13	\$8,371.73	\$4,250.00	\$0.00	\$4,121.73	\$0.00	
	200.000	10/31/13	11/22/13	\$6,697.39	\$3,400.00	\$0.00	\$3,297.39	\$0.00	
	450.000			\$15,069.12	\$7,650.00	\$0.00	\$7,419.12	\$0.00	
Security Subtotal				\$6,497,176.33	\$6,391,006.18	\$0.00	\$106,169.15	\$0.00	
Total Short Term Covered Securities									

Morgan Stanley

Corporate Tax Statement Tax Year 2013

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Account Number 632 022039 355
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THE GREENBAUM FOUNDATION
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RANCHO SANTA FE CA 92067-4910

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ACCESS MIDSTREAM PARTNERS LP	1,000,000	03/27/13	04/24/13	\$41,835.65	\$39,860.00	\$0.00	\$1,775.65	\$0.00	
AMERIGAS PARTNERS COMMON UNITS	1,000,000	07/09/13	08/09/13	\$41,766.17	\$47,600.00	\$0.00	(\$5,833.83)	\$0.00	
APOLLO GBL MGMT LLC CL-A SHS	1,500,000	05/10/13	05/17/13	\$40,820.58	\$37,500.00	\$0.00	\$3,120.58	\$0.00	
ATLAS PIPELINE PTNRS LP	1,025,000	12/04/12	01/02/13	\$32,391.87	\$31,775.00	\$0.00	\$616.87	\$0.00	
	1,000,000	04/18/13	04/23/13	\$35,265.80	\$34,000.00	\$0.00	\$1,265.80	\$0.00	
Security Subtotal	2,025,000			\$87,957.67	\$85,775.00	\$0.00	\$1,882.67	\$0.00	
ATLAS RESOURCE PARTNERS LP	1,850,000	11/21/12	03/01/13	\$42,358.04	\$42,568.50	\$0.00	(\$210.46)	\$0.00	
	1,850,000	06/10/13	06/14/13	\$41,078.44	\$40,237.50	\$0.00	\$840.94	\$0.00	
Security Subtotal	3,700,000			\$83,436.48	\$82,806.00	\$0.00	\$630.48	\$0.00	
BOARDWALK PIPELINE PTNRS LP	1,000,000	05/30/13	07/11/13	\$31,868.84	\$30,120.00	\$0.00	\$1,548.84	\$0.00	
BREITBURN ENERGY PTNRS LP	1,625,000	02/07/13	03/18/13	\$32,125.05	\$32,272.50	\$0.00	(\$147.45)	\$0.00	
	1,200,000	11/13/13	12/05/13	\$22,515.92	\$21,884.00	\$0.00	\$631.92	\$0.00	
Security Subtotal	2,825,000			\$54,640.97	\$54,136.50	\$0.00	\$504.47	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 87-6217358
Account Number 632 022039 355
Customer Service: 866-324-6088

THE GREENBAUM FOUNDATION
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RANCHO SANTA FE CA 92067-4910

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
BUCKEYE PARTNERS LP									
		CUSIP: 118230101	Symbol (Box 1d): BPL						
	1,000,000	01/24/13	02/12/13	\$53,585.69	\$52,540.00	\$0.00	\$1,025.69	\$0.00	\$0.00
	100,000	10/11/13	10/15/13	\$6,625.70	\$6,261.00	\$0.00	\$364.70	\$0.00	\$0.00
	500,000	10/11/13	10/15/13	\$33,128.52	\$31,305.00	\$0.00	\$1,823.52	\$0.00	\$0.00
Security Subtotal	1,600,000			\$93,343.91	\$90,106.00	\$0.00	\$3,237.91	\$0.00	\$0.00
CRESTWOOD MIDSTREAM LP									
		CUSIP: 226372100	Symbol (Box 1d):						
	1,300,000	03/19/13	05/14/13	\$32,311.91	\$31,070.00	\$0.00	\$1,241.91	\$0.00	\$0.00
CRESTWOOD MIDSTREAM PARTNERS									
		CUSIP: 226378107	Symbol (Box 1d): CMLP						
	1,500,000	09/10/13	12/04/13	\$33,135.16	\$33,750.00	\$0.00	(\$614.84)	\$0.00	\$0.00
	1,500,000	10/18/13	12/24/13	\$36,208.01	\$32,250.00	\$0.00	\$3,958.01	\$0.00	\$0.00
Security Subtotal	3,000,000			\$69,343.17	\$66,000.00	\$0.00	\$3,343.17	\$0.00	\$0.00
CROSSTEX ENERGY LP									
		CUSIP: 22765U102	Symbol (Box 1d): XTEX						
	2,000,000	01/09/13	01/22/13	\$32,189.73	\$30,300.00	\$0.00	\$1,889.73	\$0.00	\$0.00
	2,000,000	06/11/13	07/08/13	\$41,503.67	\$40,680.00	\$0.00	\$823.67	\$0.00	\$0.00
Security Subtotal	4,000,000			\$73,693.40	\$70,980.00	\$0.00	\$2,713.40	\$0.00	\$0.00
CVR PARTNERS LP									
		CUSIP: 126633106	Symbol (Box 1d): UAN						
	1,750,000	05/22/13	06/19/13	\$39,428.94	\$44,012.50	\$0.00	(\$4,583.56)	\$0.00	\$0.00
CVR REFINING LP									
		CUSIP: 12663P107	Symbol (Box 1d): CVRR						
	600,000	01/17/13	01/29/13	\$16,463.66	\$15,000.00	\$0.00	\$1,463.66	\$0.00	\$0.00
	1,475,000	05/15/13	06/24/13	\$40,637.06	\$45,358.25	\$0.00	(\$4,721.19)	\$0.00	\$0.00
Security Subtotal	2,075,000			\$57,100.72	\$60,358.25	\$0.00	(\$3,257.53)	\$0.00	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 87-6217358
Account Number 632 022039 355
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 6)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
DCP MIDSTREAM PARTNERS LP UNIT		CUSIP: 23311P100	Symbol (Box 1d): DPM						
	1,000,000	02/28/13	03/04/13	\$41,723.05	\$40,630.00	\$0.00	\$1,093.05	\$0.00	
	500,000	08/08/13	12/12/13	\$22,428.15	\$25,020.00	\$0.00	(\$2,591.85)	\$0.00	
Security Subtotal	1,500,000			\$64,151.20	\$65,650.00	\$0.00	(\$1,498.80)	\$0.00	
EMERGE ENERGY SERVICES LP		CUSIP: 29102H108	Symbol (Box 1d): EMES						
	1,425,000	05/08/13	05/22/13	\$25,066.12	\$24,225.00	\$0.00	\$841.12	\$0.00	
ENTERPRISE PROD PARTNRS L P		CUSIP: 283782107	Symbol (Box 1d): EPD						
	800,000	02/05/13	02/12/13	\$44,732.99	\$43,648.00	\$0.00	\$1,084.99	\$0.00	
HOLLY ENERGY PARTNERS L P		CUSIP: 435763107	Symbol (Box 1d): HEP						
	600,000	03/19/13	05/07/13	\$21,544.51	\$24,480.00	\$0.00	(\$2,935.49)	\$0.00	
ICAHN ENTERPRISES LP DEP UNIT		CUSIP: 451100101	Symbol (Box 1d): IEP						
	525,000	08/12/13	06/24/13	\$35,556.04	\$39,658.50	\$0.00	(\$4,102.46)	\$0.00	
	200,000	12/10/13	12/11/13	\$23,427.51	\$27,800.00	\$0.00	(\$4,372.49)	\$0.00	
Security Subtotal	725,000			\$58,983.55	\$67,458.50	\$0.00	(\$8,474.95)	\$0.00	
KINDER MORGAN ENERGY PTRS LP		CUSIP: 494550106	Symbol (Box 1d): KMP						
	600,000	02/21/13	03/25/13	\$53,040.66	\$51,810.00	\$0.00	\$1,230.66	\$0.00	
KKR & CO LP		CUSIP: 48248M102	Symbol (Box 1d): KKR						
	575,000	11/08/12	01/02/13	\$8,924.60	\$8,481.25	\$0.00	\$443.35	\$0.00	
	1,425,000	11/06/12	01/02/13	\$22,117.47	\$21,018.75	\$0.00	\$1,098.72	\$0.00	
Security Subtotal	2,000,000			\$31,042.07	\$29,500.00	\$0.00	\$1,542.07	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorNew York, NY 10004
Identification NumberTHE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

26-4310632

Taxpayer ID Number 87-6217358
Account Number 632 022039 355

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1546-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
LEGACY RESERVES LP UTS REP LP	950,000	11/08/12	01/28/13	\$24,226.41	\$23,560.00	\$0.00	\$666.41	\$0.00	
MEMORIAL PROD N PTNRS		CUSIP: 586048100	Symbol (Box 1d): MEMP						
	1,200,000	12/07/12	01/02/13	\$21,369.97	\$20,400.00	\$0.00	\$969.97	\$0.00	
	300,000	12/07/12	01/02/13	\$5,347.49	\$5,100.00	\$0.00	\$247.49	\$0.00	
Security Subtotal	1,500,000			\$26,737.46	\$25,500.00	\$0.00	\$1,237.46	\$0.00	
MIDCOAST ENERGY PARTNERS, L P		CUSIP: 59584N103	Symbol (Box 1d): MEP						
	900,000	11/08/13	12/24/13	\$17,071.03	\$16,200.00	\$0.00	\$871.03	\$0.00	
NORTHERN TIER ENERGY LP		CUSIP: 665826103	Symbol (Box 1d): NTI						
	225,000	01/18/13	02/05/13	\$5,848.86	\$5,503.50	\$0.00	\$345.36	\$0.00	
	775,000	01/18/13	02/05/13	\$20,146.06	\$18,956.50	\$0.00	\$1,189.56	\$0.00	
	1,075,000	05/01/13	06/28/13	\$25,280.75	\$28,251.00	\$0.00	(\$2,970.25)	\$0.00	
	1,000,000	08/14/13	09/06/13	\$20,254.64	\$22,850.00	\$0.00	(\$2,595.36)	\$0.00	
Security Subtotal	3,075,000			\$71,830.31	\$76,661.00	\$0.00	(\$4,830.69)	\$0.00	
OAKTREE CAPITAL GP LLC UT-A		CUSIP: 674001201	Symbol (Box 1d): OAK						
	800,000	05/22/13	09/24/13	\$33,021.44	\$32,100.00	\$0.00	\$921.44	\$0.00	
OCI PARTNERS LP COM		CUSIP: 67091N108	Symbol (Box 1d): OCIP						
	750,000	10/03/13	10/28/13	\$16,240.05	\$13,500.00	\$0.00	\$2,740.05	\$0.00	
ONEOK PARTNERS LP		CUSIP: 68268N103	Symbol (Box 1d): OKS						
	550,000	08/07/13	09/10/13	\$28,592.17	\$27,285.50	\$0.00	\$1,306.67	\$0.00	

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Corporate Tax Statement
Tax Year 2013THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorNew York, NY 10004
Identification Number 26-4310632Taxpayer ID Number 87-6217358
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities * (Consider Box 8a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
PHILLIPS 66 PARTNERS LP		CUSIP: 718549207	Symbol (Box 1d): PSXP						
	250.000	07/23/13	12/17/13	\$8,199.16	\$5,750.00	\$0.00	\$2,449.16	\$0.00	
	100.000	07/23/13	12/17/13	\$3,279.66	\$2,300.00	\$0.00	\$979.66	\$0.00	
	25.000	07/23/13	12/17/13	\$819.92	\$575.00	\$0.00	\$244.92	\$0.00	
Security Subtotal	375.000			\$12,298.74	\$8,625.00	\$0.00	\$3,673.74	\$0.00	
QEP MIDSTREAM PARTNERS LP COM		CUSIP: 74735R115	Symbol (Box 1d): QEPM						
	400.000	08/08/13	11/25/13	\$9,211.00	\$8,400.00	\$0.00	\$811.00	\$0.00	
OR ENERGY LP		CUSIP: 74734R108	Symbol (Box 1d): QRE						
	775.000	12/07/12	01/17/13	\$13,642.08	\$12,586.00	\$0.00	\$1,056.08	\$0.00	
ROSE ROCK MIDSTREAM LP		CUSIP: 777149105	Symbol (Box 1d): RRMS						
	350.000	08/13/13	12/12/13	\$12,602.27	\$11,704.00	\$0.00	\$898.27	\$0.00	
SANDRIDGE MISS TRUST II		CUSIP: 80007V106	Symbol (Box 1d): SDR						
	3,500.000	09/10/13	10/04/13	\$47,828.51	\$45,325.00	\$0.00	\$2,503.51	\$0.00	
SANDRIDGE PERMIAN TRUST		CUSIP: 80007A102	Symbol (Box 1d): PER						
	3,500.000	09/10/13	10/04/13	\$51,136.85	\$49,350.00	\$0.00	\$1,786.85	\$0.00	
SUBN PROPANE PTNRS LP		CUSIP: 884482104	Symbol (Box 1d): SPH						
	425.000	05/14/13	06/24/13	\$18,312.49	\$20,468.00	\$0.00	(\$2,155.51)	\$0.00	
SUMMIT MIDSTREAM PARTNERS LP		CUSIP: 866142102	Symbol (Box 1d): SMLP						
	900.000	09/28/12	01/29/13	\$19,444.45	\$18,000.00	\$0.00	\$1,444.45	\$0.00	

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Morgan Stanley

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
SUNCOKE ENERGY PARTNERS LP	CUSIP: 86722Y101	Symbol (Box 1d): SXCP							
	1,000,000	01/18/13	02/12/13	\$20,307.04	\$19,000.00	\$0.00	\$1,307.04	\$0.00	
TALLGRASS ENERGY PTNRS LP	CUSIP: 874897105	Symbol (Box 1d): TEP							
	1,275,000	05/14/13	05/14/13	\$28,267.80	\$27,412.50	\$0.00	\$855.30	\$0.00	
TESORO LOGISTICS LP	CUSIP: 88160T107	Symbol (Box 1d): TLLP							
	1,000,000	01/08/13	01/22/13	\$42,688.53	\$41,700.00	\$0.00	\$988.53	\$0.00	
THE CARLYLE GROUP L.P.	CUSIP: 14308L102	Symbol (Box 1d): CG							
	1,550,000	06/08/13	06/24/13	\$37,313.31	\$41,850.00	\$0.00	(\$4,536.69)	\$0.00	
VANGUARD NATURAL RESOURCES LLC	CUSIP: 92205F106	Symbol (Box 1d): VNR							
	1,000,000	01/31/13	03/19/13	\$28,116.96	\$27,850.00	\$0.00	\$266.96	\$0.00	
	1,350,000	05/30/13	07/03/13	\$34,052.18	\$38,272.50	\$0.00	(\$4,220.32)	\$0.00	
Security Subtotal	2,350,000			\$62,188.14	\$66,122.50	\$0.00	(\$3,934.36)	\$0.00	
WESTERN GAS PARTNERS LP	CUSIP: 958254104	Symbol (Box 1d): WES							
	525,000	05/14/13	06/27/13	\$33,562.81	\$32,119.50	\$0.00	\$1,443.31	\$0.00	
WILLIAMS PARTNERS LTD	CUSIP: 96950F104	Symbol (Box 1d): WPZ							
	800,000	03/05/13	03/27/13	\$40,455.00	\$38,312.00	\$0.00	\$2,143.00	\$0.00	
Total Short Term Noncovered Securities				\$1,691,641.40	\$1,692,754.75	\$0.00	\$8,886.65	\$0.00	
Total Short Term Covered and Noncovered Securities				\$8,188,816.73	\$8,073,760.93	\$0.00	\$115,055.80	\$0.00	

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number 26-4310632

Taxpayer ID Number 87-6217358
Account Number 632 022039 355

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
BANK OF AMERICA 8000 *53SP12	125.000 000	01/28/08	09/10/13	\$138,763.19	\$132,927.58	\$0.00	\$3,835.61	\$0.00	
MWD TRACERS 5220 13JL25	30.000 000	04/12/07	01/25/13	\$30,000.00	\$28,935.00	\$0.00	\$1,065.00	\$0.00	PP
	40.000 000	04/12/07	02/25/13	\$40,000.00	\$38,580.00	\$0.00	\$1,420.00	\$0.00	PP
	20.000 000	04/12/07	05/25/13	\$20,000.00	\$19,280.00	\$0.00	\$710.00	\$0.00	PP
	250.000 000	04/12/07	07/25/13	\$10,000.00	\$9,645.00	\$0.00	\$355.00	\$0.00	
Security Subtotal	340.000 000			\$100,000.00	\$96,450.00	\$0.00	\$3,550.00	\$0.00	
VERAMARK AGL79	3.831 000	07/18/99	07/22/13	\$4,284.58	\$32,591.86	\$0.00	(\$28,307.28)	\$0.00	
VERAMARK TECHNOLOGIES INC	2.631 000	01/11/99	02/12/13	\$1,522.79	\$19,326.80	\$0.00	(\$17,804.01)	\$0.00	
	2.369 000	07/15/99	02/12/13	\$1,371.14	\$20,375.77	\$0.00	(\$19,004.63)	\$0.00	

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

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1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 87-6217358
Account Number 632 022039 355
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THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

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OMB NO. 1545-0715

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
VERAMARK TECHNOLOGIES INC	2,631.000	07/15/99	03/19/13	\$1,771.93	\$22,629.23	\$0.00	(\$20,857.30)	\$0.00	
	1,369.000	07/19/99	03/19/13	\$922.00	\$12,288.14	\$0.00	(\$11,366.14)	\$0.00	
Security Subtotal	9,000.000			\$6,697.88	\$74,919.84	\$0.00	(\$68,032.08)	\$0.00	
Total Long Term Noncovered Securities				\$246,635.63	\$336,689.38	\$0.00	(\$89,953.76)	\$0.00	
Total Short and Long Term, Covered and Noncovered Securities				\$8,435,452.36	\$9,410,350.31	\$0.00	\$25,102.05	\$0.00	
Form 1099-B Total Reportable Amounts									
				+ SALES COSTS					
Total Sales Price (Box 2a)				\$8,435,452.36					
Total Cost or Other Basis (Box 3)					\$6,391,006.18				
Total Wash Sale Loss Disallowed (Box 5)						\$0.00			
Total Fed Tax Withheld (Box 4)								\$0.00	

* Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS

PP This represents a return of your investment. Please compare your cost basis for this principal payment with the amount of the payment. You may have a capital loss if your cost basis exceeds the principal received or ordinary income if your cost basis is less than the principal payment. Principal payments are reported on Form 8949, Sales and Other Dispositions of Capital Assets, of the tax return. Please consult your tax advisor.

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorNew York, NY 10004
Identification Number

26-4310632

THE GREENBAUM FOUNDATION
P O BOX 9910

RANCHO SANTA FE CA 92067-4910

Taxpayer ID Number

87-6217358

Account Number

632 026607 355

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1g)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 6)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ALLERGAN INC	110 000	09/26/12	03/01/13	\$11,915.28	\$10,104.59	\$0.00	\$1,810.69	\$0.00	
BLACKROCK INC	50 000	04/02/12	03/01/13	\$11,769.23	\$10,317.02	\$0.00	\$1,452.21	\$0.00	
COVIDIEN PLC	570 000	07/03/13	10/29/13	\$36,791.21	\$32,715.38	\$0.00	\$4,075.83	\$0.00	
	400 000	07/03/13	10/29/13	\$25,818.38	\$22,958.16	\$0.00	\$2,860.22	\$0.00	
	970 000			\$62,609.59	\$55,673.54	\$0.00	\$6,936.05	\$0.00	
DUKE ENERGY CORP NEW	170 000	01/31/13	03/01/13	\$11,650.22	\$11,679.85	\$0.00	(\$29.63)	\$0.00	
GENERAL ELECTRIC CO	100 000	07/12/12	05/02/13	\$2,225.25	\$1,942.62	\$0.00	\$282.63	\$0.00	
KINDER MORGAN INCORP	270 000	08/31/12	03/01/13	\$10,044.31	\$9,647.72	\$0.00	\$396.59	\$0.00	
MARSH & MCLENNAN COS INC	300 000	08/27/12	03/01/13	\$11,085.74	\$10,277.31	\$0.00	\$808.43	\$0.00	
MC DONALDS CORP	230 000	09/03/13	10/29/13	\$22,087.05	\$21,716.60	\$0.00	\$370.45	\$0.00	
MCKESSON CORP	140 000	05/22/13	10/29/13	\$21,770.67	\$16,521.40	\$0.00	\$5,249.27	\$0.00	

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Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004Identification Number
26-4310632THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910Taxpayer ID Number
87-6217358
Account Number
632 026807 355

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
MICROSOFT CORP	CUSIP: 594918104	Symbol (Box 1d): MSFT							
	320 000	05/01/12	03/01/13	\$8,903.35	\$10,308.35	\$0.00	(\$1,405.00)	\$0.00	
OCCIDENTAL PETROLEUM CORP DE	CUSIP: 674589105	Symbol (Box 1d): OXY							
	120 000	07/12/12	03/28/13	\$9,287.43	\$10,020.84	\$0.00	(\$723.41)	\$0.00	
PROCTER & GAMBLE	CUSIP: 742718108	Symbol (Box 1d): PG							
	50 000	03/27/13	10/29/13	\$4,121.85	\$3,838.50	\$0.00	\$283.35	\$0.00	
	100 000	09/11/13	10/29/13	\$8,243.71	\$7,756.61	\$0.00	\$487.10	\$0.00	
	60 000	10/18/13	10/29/13	\$4,946.22	\$4,758.00	\$0.00	\$188.22	\$0.00	
Security Subtotal	210 000			\$17,311.78	\$16,353.11	\$0.00	\$958.67	\$0.00	
THERMO FISHER SCIENTIFIC INC	CUSIP: 883556102	Symbol (Box 1d): TMO							
	190 000	03/14/12	03/01/13	\$14,102.34	\$10,955.08	\$0.00	\$3,147.26	\$0.00	
WAL MART STORES INC	CUSIP: 931142103	Symbol (Box 1d): WMT							
	80 000	02/01/13	08/03/13	\$5,812.43	\$5,631.20	\$0.00	\$181.23	\$0.00	
WELLS FARGO & CO NEW	CUSIP: 949746101	Symbol (Box 1d): WFC							
	390 000	10/17/12	03/01/13	\$13,748.59	\$13,486.79	\$0.00	\$261.80	\$0.00	
YUM BRANDS INC	CUSIP: 986498101	Symbol (Box 1d): YUM							
	1,960 000	12/20/12	10/29/13	\$131,300.08	\$129,593.83	\$0.00	\$1,706.25	\$0.00	
	1,970 000	12/20/12	10/29/13	\$131,989.99	\$130,255.02	\$0.00	\$1,734.97	\$0.00	
Security Subtotal	3,930 000			\$263,270.06	\$259,848.85	\$0.00	\$3,421.21	\$0.00	
Total Short Term Covered Securities				\$497,603.32	\$474,484.87	\$0.00	\$23,118.45	\$0.00	

Corporate Tax Statement Tax Year 2013

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number 26-4310632

Taxpayer ID Number 87-6217358
Account Number 632 026607 355

Customer Service: 866-324-6088

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box 8 checked.)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CUSIP: 78463V107 Symbol (Box 1d):									
SPDR GOLD TR GOLD SHS	0.000		01/07/13	\$128.68	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		02/21/13	\$132.97	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		03/12/13	\$123.39	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		04/09/13	\$126.36	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		05/10/13	\$89.17	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		06/17/13	\$77.98	\$0.00	\$0.00	\$0.00	\$0.00	CT
Security Subtotal	0.000			\$678.56	\$0.00	\$0.00	\$0.00	\$0.00	
Total Short Term Noncovered Securities				\$678.56	\$0.00	\$0.00	\$0.00	\$0.00	
Total Short Term Covered and Noncovered Securities				\$498,281.87	\$474,484.87	\$0.00	\$23,118.45	\$0.00	

Morgan Stanley

Corporate Tax Statement Tax Year 2013

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 87-6217358
Account Number 632 026607 355
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ACCENTURE PLC IRELAND CL A									
		CUSIP: G1151C101		Symbol (Box 1d): ACN					
	170 000	05/10/11	03/01/13	\$12,631.32	\$9,574.54	\$0.00	\$3,056.78	\$0.00	
	3,450 000	05/10/11	06/13/13	\$276,458.87	\$194,306.76	\$0.00	\$82,152.11	\$0.00	
	200,000	01/10/12	06/13/13	\$16,026.60	\$10,615.00	\$0.00	\$5,411.60	\$0.00	
Security Subtotal	3,820,000			\$305,116.79	\$214,496.30	\$0.00	\$90,620.49	\$0.00	
ALLERGAN INC									
		CUSIP: 018490102		Symbol (Box 1d): AGN					
	2,390 000	09/26/12	10/29/13	\$221,279.79	\$219,545.16	\$0.00	\$1,734.63	\$0.00	
AMERICAN TOWER REIT COM									
		CUSIP: 03027X100		Symbol (Box 1d): AMT					
	110 000	01/23/12	03/01/13	\$8,549.73	\$6,802.13	\$0.00	\$1,747.60	\$0.00	
BAXTER INTL INC									
		CUSIP: 071813109		Symbol (Box 1d): BAX					
	2,450 000	02/09/11	10/29/13	\$163,033.64	\$122,150.88	\$0.00	\$40,882.76	\$0.00	
	455 000	02/09/11	10/29/13	\$30,277.68	\$22,674.64	\$0.00	\$7,603.04	\$0.00	
	155 000	02/09/11	10/29/13	\$10,314.37	\$7,724.33	\$0.00	\$2,590.04	\$0.00	
	100,000	02/09/11	10/29/13	\$6,654.43	\$4,985.75	\$0.00	\$1,668.68	\$0.00	
Security Subtotal	3,160,000			\$210,280.12	\$157,535.60	\$0.00	\$52,744.52	\$0.00	
BLACKROCK INC									
		CUSIP: 09247X101		Symbol (Box 1d): BLK					
	140 000	04/02/12	11/13/13	\$41,358.85	\$28,887.64	\$0.00	\$12,471.21	\$0.00	
BRISTOL MYERS SQUIBB CO									
		CUSIP: 110122108		Symbol (Box 1d): BMY					
	290 000	08/30/11	03/01/13	\$10,776.82	\$8,475.57	\$0.00	\$2,301.25	\$0.00	
	6,390 000	08/30/11	04/17/13	\$260,614.14	\$186,754.78	\$0.00	\$73,859.36	\$0.00	
	6,470,000	08/24/11	10/29/13	\$344,651.55	\$186,127.66	\$0.00	\$158,523.89	\$0.00	
Security Subtotal	13,150,000			\$616,042.51	\$391,358.01	\$0.00	\$224,684.50	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 87-6217358
Account Number 632 026607 355
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 8b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 6)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CENTURYLINK INC									
		CUSIP: 156700106		Symbol (Box 1d): CTL					
	250,000	09/22/11	03/01/13	\$8,755.70	\$8,271.30	\$0.00	\$484.40	\$0.00	
	5,520,000	09/22/11	07/30/13	\$197,264.80	\$182,630.30	\$0.00	\$14,634.50	\$0.00	
Security Subtotal	5,770,000			\$206,020.50	\$190,901.60	\$0.00	\$15,118.90	\$0.00	
COLGATE PALMOLIVE CO									
		CUSIP: 194162103		Symbol (Box 1d): CL					
	100,000	11/22/11	03/01/13	\$11,386.24	\$8,842.18	\$0.00	\$2,544.06	\$0.00	
COVIDIEN PLC									
		CUSIP: G2554F113		Symbol (Box 1d): COV					
	1,800,000	02/09/11	10/29/13	\$116,182.76	\$81,587.99	\$0.00	\$34,594.77	\$0.00	
	600,000	02/09/11	10/29/13	\$38,727.59	\$27,196.00	\$0.00	\$11,531.59	\$0.00	
	500,000	09/27/11	10/29/13	\$32,272.99	\$21,147.90	\$0.00	\$11,125.09	\$0.00	
	410,000	10/26/11	10/29/13	\$26,463.85	\$16,722.01	\$0.00	\$9,741.84	\$0.00	
	730,000	12/01/11	10/29/13	\$47,118.56	\$31,001.78	\$0.00	\$16,116.78	\$0.00	
	250,000	01/10/12	10/29/13	\$16,136.49	\$10,518.94	\$0.00	\$5,617.55	\$0.00	
	140,000	01/19/12	10/29/13	\$9,036.44	\$6,093.75	\$0.00	\$2,942.69	\$0.00	
	160,000	04/20/12	10/29/13	\$10,327.36	\$7,945.46	\$0.00	\$2,381.90	\$0.00	
Security Subtotal	4,590,000			\$296,266.04	\$202,213.83	\$0.00	\$94,052.21	\$0.00	
GENERAL ELECTRIC CO									
		CUSIP: 369604103		Symbol (Box 1d): GE					
	1,000,000	01/10/12	05/02/13	\$22,252.50	\$18,855.50	\$0.00	\$3,397.00	\$0.00	
	500,000	02/22/12	05/02/13	\$11,128.25	\$9,677.80	\$0.00	\$1,448.45	\$0.00	
Security Subtotal	1,500,000			\$33,378.75	\$28,533.30	\$0.00	\$5,045.45	\$0.00	
ISHARES MSCI EAFE VAL ETF									
		CUSIP: 464288877		Symbol (Box 1d): EFV					
	50,000	03/26/12	11/13/13	\$2,785.78	\$2,381.50	\$0.00	\$404.28	\$0.00	
								CONTINUED ON NEXT PAGE	

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

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P O BOX 9910
RANCHO SANTA FE CA 92067-4910

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0716

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.) (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
MC DONALDS CORP									
	80 000	01/03/11	03/01/13	\$7,655.02	\$6,072.00	\$0.00	\$1,583.02	\$0.00	
	920 000	01/03/11	10/29/13	\$88,348.18	\$69,828.00	\$0.00	\$18,520.18	\$0.00	
	400 000	12/01/11	10/29/13	\$38,412.25	\$38,290.48	\$0.00	\$121.77	\$0.00	
	500 000	08/01/12	10/29/13	\$48,015.31	\$44,863.45	\$0.00	\$3,151.86	\$0.00	
Security Subtotal	1,900,000			\$192,430.76	\$159,053.93	\$0.00	\$23,376.83	\$0.00	
MCKESSON CORP									
	250 000	09/27/11	10/29/13	\$38,876.20	\$19,004.20	\$0.00	\$19,872.00	\$0.00	
	140 000	03/26/12	10/29/13	\$21,770.67	\$12,243.87	\$0.00	\$9,526.80	\$0.00	
	230 000	08/31/12	10/29/13	\$35,768.10	\$19,953.28	\$0.00	\$15,814.82	\$0.00	
	230 000	10/03/12	10/29/13	\$35,768.10	\$20,104.30	\$0.00	\$15,663.80	\$0.00	
Security Subtotal	850,000			\$132,179.07	\$71,305.65	\$0.00	\$60,873.42	\$0.00	
PNC FINL SVCS GP									
	110 000	01/23/12	03/01/13	\$6,857.49	\$6,581.82	\$0.00	\$275.67	\$0.00	
PROCTER & GAMBLE									
	500 000	10/26/11	10/29/13	\$41,218.53	\$32,123.20	\$0.00	\$9,095.33	\$0.00	
	390 000	11/11/11	10/29/13	\$32,150.46	\$24,931.22	\$0.00	\$7,219.24	\$0.00	
	70 000	01/19/12	10/29/13	\$5,770.59	\$4,620.70	\$0.00	\$1,149.89	\$0.00	
	150 000	02/22/12	10/29/13	\$12,365.56	\$9,684.96	\$0.00	\$2,680.60	\$0.00	
	130 000	03/26/12	10/29/13	\$10,716.82	\$8,757.61	\$0.00	\$1,959.21	\$0.00	
	90 000	04/20/12	10/29/13	\$7,419.34	\$6,072.29	\$0.00	\$1,347.05	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 87-6217358
Account Number 632 026607 355
Customer Service: 866-324-6088

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1a)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1c)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
PROCTER & GAMBLE	300 000	08/31/12	10/29/13	\$24,731.12	\$20,086.28	\$0.00	\$4,634.84	\$0.00	
	80,000	10/18/12	10/29/13	\$8,594.87	\$5,542.40	\$0.00	\$1,052.57	\$0.00	
Security Subtotal	1,710,000			\$140,887.39	\$111,828.66	\$0.00	\$29,138.73	\$0.00	
QUALCOMM INC		CUSIP: 747525103	Symbol (Box 1d): QCOM						
	170 000	08/02/11	03/01/13	\$11,249.25	\$9,832.80	\$0.00	\$1,416.45	\$0.00	
THERMO FISHER SCIENTIFIC INC		CUSIP: 883556102	Symbol (Box 1d): TMO						
	210 000	03/14/12	11/13/13	\$20,412.61	\$12,108.24	\$0.00	\$8,304.37	\$0.00	
WAL MART STORES INC		CUSIP: 931142103	Symbol (Box 1d): WMT						
	320 000	09/27/11	09/03/13	\$23,249.71	\$16,645.06	\$0.00	\$6,604.65	\$0.00	
	300 000	10/26/11	09/03/13	\$21,796.60	\$17,113.74	\$0.00	\$4,682.86	\$0.00	
	270 000	11/11/11	09/03/13	\$19,616.94	\$15,934.32	\$0.00	\$3,682.62	\$0.00	
	50 000	01/19/12	09/03/13	\$3,632.77	\$3,023.50	\$0.00	\$609.27	\$0.00	
	200 000	02/22/12	09/03/13	\$14,531.07	\$11,755.28	\$0.00	\$2,775.79	\$0.00	
	160 000	03/28/12	09/03/13	\$11,824.85	\$9,777.58	\$0.00	\$1,847.27	\$0.00	
	100,000	04/20/12	09/03/13	\$7,265.53	\$6,214.62	\$0.00	\$1,050.91	\$0.00	
Security Subtotal	1,400,000			\$101,717.47	\$80,484.10	\$0.00	\$21,233.37	\$0.00	
WISDOMTREE TRUST INDIA		CUSIP: 97717W422	Symbol (Box 1d): EPI						
	1,100 000	01/10/12	03/07/13	\$20,759.61	\$18,819.02	\$0.00	\$1,940.59	\$0.00	
YUM BRANDS INC		CUSIP: 988498101	Symbol (Box 1d): YUM						
	470 000	10/26/11	10/29/13	\$31,485.22	\$24,708.11	\$0.00	\$6,777.11	\$0.00	
	120 000	11/11/11	10/29/13	\$8,038.78	\$6,666.74	\$0.00	\$1,372.04	\$0.00	
	80 000	03/26/12	10/29/13	\$5,359.19	\$5,698.00	\$0.00	(\$338.81)	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2013

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number 26-4310632

Taxpayer ID Number 87-6217358
Account Number 632 026607 355

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8849 Part II with box D checked) (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 6)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
YUM BRANDS INC	90,000	04/20/12	10/29/13	\$6,029.08	\$6,637.50	\$0.00	(\$608.42)	\$0.00	
	60,000	06/12/12	10/29/13	\$4,019.39	\$3,833.40	\$0.00	\$185.99	\$0.00	
	20,000	10/03/12	10/29/13	\$1,339.80	\$1,337.60	\$0.00	\$2.20	\$0.00	
Security Subtotal	840,000			\$55,271.45	\$48,877.35	\$0.00	\$7,394.11	\$0.00	
CUSIP: 88578Y101 Symbol (Box 1d): MMM									
3M COMPANY	140,000	10/26/11	10/29/13	\$17,516.45	\$10,852.94	\$0.00	\$6,663.51	\$0.00	
	460,000	12/01/11	10/29/13	\$57,554.06	\$37,081.70	\$0.00	\$20,472.36	\$0.00	
	230,000	01/10/12	10/29/13	\$28,777.03	\$19,428.74	\$0.00	\$9,348.29	\$0.00	
	40,000	01/19/12	10/29/13	\$5,004.70	\$3,441.20	\$0.00	\$1,563.50	\$0.00	
	80,000	02/22/12	10/29/13	\$10,009.40	\$7,041.60	\$0.00	\$2,967.80	\$0.00	
	40,000	03/26/12	10/29/13	\$5,004.70	\$3,546.40	\$0.00	\$1,458.30	\$0.00	
	80,000	04/20/12	10/29/13	\$10,009.40	\$6,992.00	\$0.00	\$3,017.40	\$0.00	
	60,000	06/12/12	10/29/13	\$7,507.05	\$5,165.40	\$0.00	\$2,341.65	\$0.00	
	60,000	10/18/12	10/29/13	\$7,507.05	\$5,683.80	\$0.00	\$1,823.25	\$0.00	
Security Subtotal	1,180,000			\$148,889.84	\$98,033.78	\$0.00	\$49,856.06	\$0.00	
Total Long Term Covered Securities				\$2,774,200.05	\$2,059,202.80	\$0.00	\$714,997.25	\$0.00	

Morgan Stanley

Corporate Tax Statement Tax Year 2013

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 87-6217358
Account Number 632 026607 355

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
AMERICAN ELECTRIC POWER CO	5,730,000	09/23/09	01/31/13	\$259,238.30	\$181,185.98	\$0.00	\$78,072.32	\$0.00	
APPLE INC									
	45,000	05/28/09	03/01/13	\$19,541.71	\$6,081.87	\$0.00	\$13,459.84	\$0.00	
	185,000	05/28/09	11/13/13	\$98,108.52	\$25,003.25	\$0.00	\$73,105.27	\$0.00	
Security Subtotal	230,000			\$116,650.23	\$31,085.12	\$0.00	\$85,565.11	\$0.00	
AT&T INC									
	500,000	07/26/07	03/01/13	\$17,945.59	\$20,470.70	\$0.00	(\$2,525.11)	\$0.00	
BAXTER INTL INC									
	240,000	09/20/10	03/01/13	\$16,382.05	\$10,889.66	\$0.00	\$5,492.39	\$0.00	
	1,140,000	08/20/10	10/29/13	\$75,860.55	\$51,725.90	\$0.00	\$24,134.65	\$0.00	
	1,500,000	10/29/10	10/29/13	\$99,816.51	\$78,047.15	\$0.00	\$21,769.36	\$0.00	
Security Subtotal	2,880,000			\$192,059.11	\$138,662.71	\$0.00	\$53,396.40	\$0.00	
BLACKROCK REAL ASSET EQUITY									
	890,000	03/03/09	03/01/13	\$9,062.22	\$4,328.13	\$0.00	\$4,733.09	\$0.00	
	210,000	03/03/09	08/07/13	\$1,836.33	\$928.44	\$0.00	\$907.89	\$0.00	
	2,000,000	03/03/09	08/07/13	\$17,488.90	\$8,764.95	\$0.00	\$8,723.95	\$0.00	
	2,000,000	03/03/09	08/07/13	\$17,488.90	\$8,772.35	\$0.00	\$8,716.55	\$0.00	
	2,000,000	03/03/09	08/07/13	\$17,488.90	\$8,815.35	\$0.00	\$8,673.55	\$0.00	
	2,000,000	03/03/09	08/07/13	\$17,488.90	\$8,815.35	\$0.00	\$8,673.55	\$0.00	
	2,500,000	03/03/09	08/07/13	\$21,861.12	\$11,019.95	\$0.00	\$10,841.17	\$0.00	
	4,000,000	03/03/09	08/07/13	\$34,977.79	\$17,597.08	\$0.00	\$17,380.71	\$0.00	
	4,615,000	03/03/09	08/07/13	\$40,355.61	\$20,045.58	\$0.00	\$20,310.03	\$0.00	
Security Subtotal	20,215,000			\$178,048.67	\$88,088.18	\$0.00	\$90,960.49	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 87-6217358
Account Number 632 026607 355
Customer Service: 866-324-6088

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CUSIP: G2564F113 Symbol (Box 1d): COV									
COVIDIEN PLC	290.000	08/19/09	03/01/13	\$18,457.09	\$11,525.41	\$0.00	\$6,931.68	\$0.00	
	85.000	08/19/09	10/29/13	\$5,488.41	\$3,082.82	\$0.00	\$2,405.59	\$0.00	
	270.000	08/19/09	10/29/13	\$17,427.41	\$9,792.49	\$0.00	\$7,634.92	\$0.00	
	390.000	08/19/09	10/29/13	\$25,172.93	\$14,144.71	\$0.00	\$11,028.22	\$0.00	
	470.000	08/19/09	10/29/13	\$30,336.61	\$17,046.19	\$0.00	\$13,290.42	\$0.00	
	1,000.000	08/19/09	10/29/13	\$64,545.98	\$36,268.48	\$0.00	\$28,277.50	\$0.00	
	4,390.000	08/19/09	10/29/13	\$283,366.84	\$159,218.64	\$0.00	\$124,138.20	\$0.00	
	300.000	05/18/10	10/29/13	\$19,363.79	\$12,274.89	\$0.00	\$7,088.90	\$0.00	
Security Subtotal	7,195.000			\$464,147.06	\$283,353.73	\$0.00	\$200,793.33	\$0.00	
CUSIP: 30231G102 Symbol (Box 1d): XOM									
EXXON MOBIL CORP	180.000	06/12/03	03/01/13	\$16,049.09	\$6,863.40	\$0.00	\$9,185.69	\$0.00	
CUSIP: 369604103 Symbol (Box 1d): GE									
GENERAL ELECTRIC CO	280.000	06/15/05	03/01/13	\$6,490.65	\$10,147.20	\$0.00	(\$3,656.55)	\$0.00	
	220.000	08/15/05	03/01/13	\$5,099.79	\$7,513.00	\$0.00	(\$2,413.21)	\$0.00	
	1,050.000	08/15/05	05/02/13	\$23,365.13	\$35,857.50	\$0.00	(\$12,492.37)	\$0.00	
	400.000	08/15/05	05/02/13	\$8,901.00	\$13,704.00	\$0.00	(\$4,803.00)	\$0.00	
	2,400.000	02/08/06	05/02/13	\$53,406.00	\$77,928.00	\$0.00	(\$24,522.00)	\$0.00	
	800.000	09/19/06	05/02/13	\$17,802.00	\$27,760.00	\$0.00	(\$9,958.00)	\$0.00	
	1,100.000	03/19/08	05/02/13	\$24,477.75	\$40,137.24	\$0.00	(\$15,659.49)	\$0.00	
	3,250.000	05/18/10	05/02/13	\$72,320.63	\$55,905.53	\$0.00	\$16,415.10	\$0.00	
Security Subtotal	9,500.000			\$211,862.95	\$268,952.47	\$0.00	(\$67,089.52)	\$0.00	

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Corporate Tax Statement Tax Year 2013

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number 26-4310632

Taxpayer ID Number 87-6217358
Account Number 632 026607 355

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.) (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CUSIP: 438516106 Symbol (Box 1d): HON									
HONEYWELL INTERNATIONAL INC	260 000	08/23/10	03/01/13	\$18,164.91	\$11,354.46	\$0.00	\$6,810.45	\$0.00	
	2,500,000	08/23/10	09/03/13	\$201,560.49	\$108,177.50	\$0.00	\$92,382.99	\$0.00	
Security Subtotal	2,760,000			\$219,725.40	\$120,531.96	\$0.00	\$99,193.44	\$0.00	
CUSIP: 459200101 Symbol (Box 1d): IBM									
INTL BUSINESS MACHINES CORP	60 000	03/31/09	03/01/13	\$12,102.32	\$5,873.78	\$0.00	\$6,228.54	\$0.00	
	670 000	03/31/09	10/21/13	\$118,672.71	\$65,590.52	\$0.00	\$51,082.19	\$0.00	
	495 000	08/27/09	10/21/13	\$86,198.49	\$58,612.04	\$0.00	\$27,586.45	\$0.00	
	200,000	05/18/10	10/21/13	\$34,827.67	\$26,115.90	\$0.00	\$8,711.77	\$0.00	
Security Subtotal	1,425,000			\$249,801.19	\$156,392.24	\$0.00	\$93,408.95	\$0.00	
CUSIP: 464287465 Symbol (Box 1d): EFA									
ISHARES MSCI EAFE FUND	300,000	03/22/05	03/01/13	\$17,398.32	\$16,289.66	\$0.00	\$1,098.66	\$0.00	
CUSIP: 464288877 Symbol (Box 1d): EFV									
ISHARES MSCI EAFE VAL ETF	345 000	03/03/09	11/13/13	\$19,221.89	\$9,948.90	\$0.00	\$9,272.99	\$0.00	
	700 000	03/03/09	11/13/13	\$39,000.94	\$20,174.35	\$0.00	\$18,826.59	\$0.00	
	1,435,000	03/03/09	11/13/13	\$79,951.93	\$41,357.42	\$0.00	\$38,594.51	\$0.00	
	1,900,000	04/16/09	11/13/13	\$105,859.70	\$71,309.47	\$0.00	\$34,550.23	\$0.00	
	550,000	04/16/09	11/13/13	\$30,643.60	\$20,642.22	\$0.00	\$10,001.38	\$0.00	
Security Subtotal	4,930,000			\$274,878.06	\$163,432.36	\$0.00	\$111,445.70	\$0.00	
CUSIP: 464287234 Symbol (Box 1d): EEM									
ISHARES MSCI EMERGING MKTS FD	300,000	04/15/09	03/01/13	\$12,949.06	\$8,329.20	\$0.00	\$4,619.86	\$0.00	
	10,000	08/27/09	03/01/13	\$431.64	\$359.38	\$0.00	\$72.26	\$0.00	
Security Subtotal	310,000			\$13,380.70	\$8,688.58	\$0.00	\$4,692.12	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

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Account Number 632 026607 355

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1546-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities ^a (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ISHARES MSCI PAC EX-JPN IDX	CUSIP: 464286665	Symbol (Box 1d): EPP							
	390,000	04/19/05	03/01/13	\$18,354.25	\$11,573.80	\$0.00	\$7,780.35	\$0.00	
ISHARES MSCI SINGAPORE IND FD	CUSIP: 464286873	Symbol (Box 1d): EWS							
	560,000	03/03/09	03/01/13	\$7,655.02	\$3,085.32	\$0.00	\$4,569.70	\$0.00	
ISHARES NASDAQ BIOTECH ETF	CUSIP: 464287556	Symbol (Box 1d): IBB							
	90,000	09/14/10	03/01/13	\$13,343.10	\$7,655.71	\$0.00	\$5,687.39	\$0.00	
	1,930,000	09/14/10	10/29/13	\$408,005.32	\$184,172.36	\$0.00	\$223,832.96	\$0.00	
	350,000	09/14/10	10/29/13	\$73,980.60	\$29,772.19	\$0.00	\$44,208.41	\$0.00	
	200,000	09/14/10	10/29/13	\$42,280.34	\$17,012.68	\$0.00	\$25,267.66	\$0.00	
Security Subtotal	2,670,000			\$537,619.36	\$219,612.94	\$0.00	\$318,006.42	\$0.00	
ISHARES S&P MID CAP 400 GROWTH	CUSIP: 464287606	Symbol (Box 1d): IJK							
	440,000	03/05/04	03/01/13	\$53,621.81	\$27,876.20	\$0.00	\$25,745.61	\$0.00	
ISHARES S&P MID CAP 400 VALUE	CUSIP: 464287705	Symbol (Box 1d): IJJ							
	520,000	03/05/04	03/01/13	\$49,586.48	\$30,802.20	\$0.00	\$18,784.28	\$0.00	
ISHARES S&P SMALL-CAP 600 V ETF	CUSIP: 464287879	Symbol (Box 1d): IJS							
	480,000	04/19/05	03/01/13	\$41,474.53	\$27,520.80	\$0.00	\$13,953.73	\$0.00	
	530,000	07/26/04	11/13/13	\$56,158.72	\$27,130.70	\$0.00	\$29,028.02	\$0.00	
Security Subtotal	1,010,000			\$97,633.25	\$54,651.50	\$0.00	\$42,981.75	\$0.00	
ISHARES SMALL CAP 600 G ETF	CUSIP: 464287887	Symbol (Box 1d): IJT							
	470,000	07/26/04	03/01/13	\$42,349.90	\$20,898.55	\$0.00	\$21,451.35	\$0.00	
	80,000	04/19/05	03/01/13	\$7,208.49	\$4,082.00	\$0.00	\$3,126.49	\$0.00	
	1,060,000	07/26/04	11/13/13	\$120,263.81	\$47,132.90	\$0.00	\$73,130.91	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Identification Number 26-4310632

Taxpayer ID Number 87-6217358
Account Number 632 026607 355

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0716

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1d)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT (Box 4)	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ISHARES SMALL CAP 600 ETF	1,410.000	04/19/05	11/13/13	\$159,973.55	\$71,945.25	\$0.00	\$88,028.30	\$0.00	
Security Subtotal	40.000	04/19/05	11/13/13	\$4,538.28	\$2,041.00	\$0.00	\$2,497.28	\$0.00	
JPMORGAN CHASE & CO	3,060.000			\$324,334.01	\$146,099.70	\$0.00	\$188,234.31	\$0.00	
		CUSIP: 46625H100	Symbol (Box 1d): JPM						
	270.000	08/13/03	03/01/13	\$13,219.87	\$9,069.30	\$0.00	\$4,150.57	\$0.00	
MALLINCKRODT PUBLIC LTD CO									
		CUSIP: G5785G107	Symbol (Box 1d): MNK						
	0.750	08/19/09	09/28/13	\$31.55	\$20.85	\$0.00	\$10.70	\$0.00	
	548.000	08/19/09	07/03/13	\$23,185.80	\$15,231.40	\$0.00	\$7,954.40	\$0.00	
	225.000	02/08/11	07/03/13	\$9,519.72	\$7,815.67	\$0.00	\$1,704.05	\$0.00	
Security Subtotal	773.750			\$32,737.07	\$23,067.92	\$0.00	\$9,669.15	\$0.00	
MCKESSON CORP									
		CUSIP: 58155Q103	Symbol (Box 1d): MCK						
	190.000	08/12/08	10/29/13	\$29,545.91	\$10,274.48	\$0.00	\$19,271.43	\$0.00	
	550.000	08/12/09	10/29/13	\$85,527.64	\$29,741.91	\$0.00	\$55,785.73	\$0.00	
	1,960.000	08/12/09	10/29/13	\$304,789.40	\$105,989.35	\$0.00	\$198,800.05	\$0.00	
Security Subtotal	2,700.000			\$419,862.95	\$146,005.74	\$0.00	\$273,857.21	\$0.00	
OCCIDENTAL PETROLEUM CORP DE									
		CUSIP: 674599105	Symbol (Box 1d): OXY						
	110.000	02/11/10	03/01/13	\$9,071.85	\$8,663.71	\$0.00	\$408.14	\$0.00	
	2,270.000	02/11/10	03/26/13	\$175,876.34	\$178,787.47	\$0.00	(\$2,911.13)	\$0.00	
Security Subtotal	2,380.000			\$184,948.19	\$187,451.18	\$0.00	(\$2,502.99)	\$0.00	
ORACLE CORP									
		CUSIP: 68389X105	Symbol (Box 1d): ORCL						
	580.000	08/12/08	03/01/13	\$20,380.84	\$13,488.43	\$0.00	\$6,892.51	\$0.00	
	850.000	08/12/08	11/13/13	\$29,554.07	\$19,446.90	\$0.00	\$10,107.17	\$0.00	
Security Subtotal	1,440.000			\$49,934.91	\$32,935.33	\$0.00	\$16,999.58	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

New York, NY 10004
Identification Number
26-4310632

Taxpayer ID Number
87-6217358
Account Number
632 026607 355

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 8a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
PROCTER & GAMBLE									
		CUSIP: 742718106		Symbol (Box 1d): PG					
	190,000	06/30/09	10/29/13	\$15,663.04	\$10,793.90	\$0.00	\$4,869.14	\$0.00	
	320,000	07/24/09	10/29/13	\$26,379.86	\$17,733.60	\$0.00	\$8,646.26	\$0.00	
	400,000	05/18/10	10/29/13	\$32,874.83	\$25,350.52	\$0.00	\$7,524.31	\$0.00	
Security Subtotal	910,000			\$74,917.73	\$53,878.02	\$0.00	\$21,039.71	\$0.00	
SCHLUMBERGER LTD									
		CUSIP: 806857108		Symbol (Box 1d): SLB					
	140,000	01/05/10	03/01/13	\$10,817.07	\$9,442.45	\$0.00	\$1,374.62	\$0.00	
SPDR GOLD TR GOLD SHS									
		CUSIP: 79463V107		Symbol (Box 1d): GLD					
	110,000	01/28/09	03/01/13	\$16,771.76	\$9,796.49	\$0.00	\$6,975.27	\$0.00	
	740,000	01/28/09	04/16/13	\$99,662.07	\$65,903.66	\$0.00	\$33,758.41	\$0.00	
	1,000,000	01/28/09	06/25/13	\$123,789.64	\$89,059.00	\$0.00	\$34,730.64	\$0.00	
	210,000	01/28/09	06/25/13	\$25,995.83	\$18,702.39	\$0.00	\$7,293.44	\$0.00	
	190,000	01/28/09	06/25/13	\$23,520.03	\$16,921.21	\$0.00	\$6,598.82	\$0.00	
	200,000	09/09/09	06/25/13	\$24,757.93	\$19,603.72	\$0.00	\$5,154.21	\$0.00	
Security Subtotal	2,440,000			\$314,497.28	\$219,986.47	\$0.00	\$94,510.79	\$0.00	
UNITED TECHNOLOGIES CORP									
		CUSIP: 913017109		Symbol (Box 1d): UTX					
	180,000	03/24/08	03/01/13	\$16,266.84	\$10,751.08	\$0.00	\$5,515.56	\$0.00	
VISA INC CL A									
		CUSIP: 92826C839		Symbol (Box 1d): V					
	90,000	04/16/10	03/01/13	\$14,168.38	\$8,402.17	\$0.00	\$5,766.21	\$0.00	
	225,000	04/16/10	11/13/13	\$44,506.72	\$21,005.42	\$0.00	\$23,501.30	\$0.00	
	125,000	04/16/10	11/13/13	\$24,725.95	\$11,669.68	\$0.00	\$13,056.27	\$0.00	

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

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1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 87-6217358
Account Number 632 026607 355
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.) (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
VISA INC CL A	50.000	04/16/10	11/13/13	\$9,880.38	\$4,687.87	\$0.00	\$6,222.51	\$0.00	
	30.000	04/16/10	11/13/13	\$5,834.23	\$2,800.72	\$0.00	\$3,133.51	\$0.00	
Security Subtotal	80.000			\$99,225.66	\$48,648.86	\$0.00	\$50,678.80	\$0.00	
CUSIP: 931142103 Symbol (Box 1d): VMT									
WAL MART STORES INC	180.000	03/11/08	03/01/13	\$11,482.16	\$7,988.99	\$0.00	\$3,493.17	\$0.00	
	3,470.000	03/11/08	08/03/13	\$252,114.01	\$173,261.26	\$0.00	\$78,862.75	\$0.00	
	280.000	03/11/08	08/03/13	\$21,070.05	\$14,480.05	\$0.00	\$6,590.00	\$0.00	
	280.000	03/11/08	08/03/13	\$20,343.49	\$13,980.74	\$0.00	\$6,362.75	\$0.00	
	820.000	07/24/08	08/03/13	\$59,577.37	\$40,105.13	\$0.00	\$19,472.24	\$0.00	
Security Subtotal	5,020.000			\$384,587.08	\$249,816.17	\$0.00	\$114,770.91	\$0.00	
CUSIP: 254687106 Symbol (Box 1d): DIS									
WALT DISNEY CO HLDG CO	280.000	10/08/09	03/01/13	\$16,028.98	\$8,356.64	\$0.00	\$7,672.34	\$0.00	
	1,500.000	10/08/09	11/13/13	\$102,018.17	\$43,224.00	\$0.00	\$58,794.17	\$0.00	
Security Subtotal	1,780.000			\$118,047.15	\$51,580.64	\$0.00	\$66,466.51	\$0.00	
CUSIP: 97717W422 Symbol (Box 1d): EPI									
WISDOMTREE TRUST INDIA	520.000	08/04/09	03/01/13	\$9,454.00	\$10,180.18	\$0.00	(\$706.18)	\$0.00	
	10,180.000	08/04/09	03/07/13	\$192,120.80	\$188,904.99	\$0.00	(\$3,784.18)	\$0.00	
Security Subtotal	10,700.000			\$201,674.80	\$209,085.16	\$0.00	(\$7,490.38)	\$0.00	
CUSIP: 889498101 Symbol (Box 1d): YUM									
YUM BRANDS INC	280.000	08/05/10	03/01/13	\$18,316.23	\$11,858.39	\$0.00	\$6,457.84	\$0.00	
	180.000	08/05/10	10/29/13	\$12,058.17	\$7,823.25	\$0.00	\$4,434.92	\$0.00	
	340.000	08/05/10	10/29/13	\$22,776.54	\$14,398.48	\$0.00	\$8,377.06	\$0.00	
	480.000	08/05/10	10/29/13	\$32,155.12	\$20,328.68	\$0.00	\$11,826.44	\$0.00	
	480.000	08/05/10	10/29/13	\$32,155.12	\$20,328.67	\$0.00	\$11,826.45	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor

New York, NY 10004
Identification Number 26-4310632

THE GREENBAUM FOUNDATION
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0716**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 8a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 6)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
YUM BRANDS INC	1,200,000	08/05/10	10/29/13	\$80,387.80	\$50,821.67	\$0.00	\$29,566.13	\$0.00	
	4,020,000	08/05/10	10/29/13	\$288,289.13	\$170,252.63	\$0.00	\$88,036.50	\$0.00	
Security Subtotal	5,220,000			\$368,676.93	\$221,074.30	\$0.00	\$147,602.63	\$0.00	
CUSIP: 88579Y101 Symbol (Box 1d): MMM									
3M COMPANY	30,000	03/18/09	03/01/13	\$3,107.23	\$1,448.96	\$0.00	\$1,658.27	\$0.00	
	130,000	03/18/09	03/01/13	\$13,484.65	\$6,278.81	\$0.00	\$7,205.84	\$0.00	
	30,000	03/18/09	10/29/13	\$3,753.53	\$1,448.95	\$0.00	\$2,304.58	\$0.00	
	285,000	03/18/09	10/29/13	\$35,658.49	\$13,765.07	\$0.00	\$21,893.42	\$0.00	
	345,000	03/18/09	10/29/13	\$43,165.55	\$18,662.98	\$0.00	\$24,502.57	\$0.00	
	480,000	03/18/09	10/29/13	\$60,056.41	\$23,180.83	\$0.00	\$36,875.58	\$0.00	

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0716

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities [#] (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
3M COMPANY	1,200,000	03/18/09	10/29/13	\$150,141.03	\$57,958.21	\$0.00	\$92,182.82	\$0.00	
	3,250,000	03/23/09	10/29/13	\$408,631.95	\$158,754.85	\$0.00	\$249,877.30	\$0.00	
Security Subtotal	5,750,000			\$718,978.84	\$277,498.46	\$0.00	\$439,480.38	\$0.00	
Total Long Term Noncovered Securities				\$6,413,672.28	\$3,782,405.40	\$0.00	\$2,631,266.88	\$0.00	
Total Long Term Covered and Noncovered Securities				\$9,187,872.33	\$6,841,608.00	\$0.00	\$3,346,264.33	\$0.00	
Total Short and Long Term, Covered and Noncovered Securities				\$9,686,154.20	\$6,316,092.87	\$0.00	\$3,369,382.78	\$0.00	
Form 1099-B Total Reportable Amounts					+ SALES COSTS				
Total Sales Price (Box 2a)				\$9,686,154.20					
Total Cost or Other Basis (Box 3)					\$2,533,687.47				
Total Wash Sale Loss Disallowed (Box 5)						\$0.00			
Total Fed Tax Withheld (Box 4)								\$0.00	

[#] Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS

CT The proceeds amount is the sales price of the bullion sold by the Trust to pay investment expenses. Proceeds from the sale of bullion are reported on Form 1099-B

1099-DIV DIVIDENDS & DISTRIBUTIONS (continued)

Total Ordinary Dividends (continued)

DESCRIPTION	CUSIP	PAY DATE	ORDINARY DIVIDENDS	QUALIFIED DIVIDENDS	FEDERAL INCOME TAX WITHHELD	FOREIGN TAX PAID	COUNTRY	NOTES
3M COMPANY	88579Y101	08/12/13	\$2,244.73	\$2,244.73	\$0.00	\$0.00		
3M COMPANY	88579Y101	09/12/13	\$2,244.73	\$2,244.73	\$0.00	\$0.00		
Total Ordinary Dividends			\$364,382.26					
Total Qualified Dividends				\$324,463.36				
Total Foreign Tax Paid						\$2,371.77		

Capital Gain Distributions

DESCRIPTION	CUSIP	PAY DATE	CAPITAL GAIN DISTRIBUTIONS	UNRECAPTURED 1250 GAIN	FEDERAL INCOME TAX WITHHELD	COLLECTIBLE 28% GAIN
WISDOMTREE TRUST JAPN HEDGE EQ	97717W851	12/31/13	\$2,639.10	\$0.00	\$0.00	\$0.00
Total Capital Gain Distributions			\$2,639.10			
Total Unrecaptured 1250 Gain				\$0.00		
Total Collectible 28% Gain						\$0.00

Non-Dividend Distributions

DESCRIPTION	CUSIP	PAY DATE	AMOUNT	FEDERAL INCOME TAX WITHHELD
BLACKROCK REAL ASSET EQUITY	09254B109	03/28/13	\$4,280.49	\$0.00
BLACKROCK REAL ASSET EQUITY	09254B109	06/28/13	\$4,280.49	\$0.00
Total Non-Dividend Distributions			\$8,560.98	
Total Federal Income Tax Withheld				\$0.00

OS The fund company has determined that this dividend payment which you received in 2014 is reportable as taxable income for 2013