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Form 990-PF

## Return of Private Foundation

2013

- Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.
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Open to Public Inspection

Department of the Treasury

Internal Revenue Service

For calendar year 2013, or tax year beginning 01-01-2013, and ending 12-31-2013

## Name of foundation

The Deborah B Green Foundation

## A Employer identification number

87-0716141

## Number and street (or P O box number if mail is not delivered to street address)

PO Box 4014

## Room/suite

## City or town, state or province, country, and ZIP or foreign postal code

Monterey, CA 93942

## B Telephone number (see instructions)

(831) 484-9935

## G Check all that apply

- Initial return
- Initial return of a former public charity
- Final return
- Amended return
- Address change
- Name change

C If exemption application is pending, check here D 1. Foreign organizations, check here 

## 2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here H Check type of organization  Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundationI Fair market value of all assets at end of year (from Part II, col. (c), line 16) **\$ 2,081,702** J Accounting method  Cash  Accrual  
 Other (specify) \_\_\_\_\_

(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc , received (attach schedule)				
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities. . . . .	93,109	93,109		
5a	Gross rents . . . . .				
b	Net rental income or (loss) _____				
6a	Net gain or (loss) from sale of assets not on line 10	54,546			
b	Gross sales price for all assets on line 6a 868,971				
7	Capital gain net income (from Part IV, line 2) . . .		54,546		
8	Net short-term capital gain . . . . .				
9	Income modifications . . . . .				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold . . . . .				
c	Gross profit or (loss) (attach schedule) . . . . .				
11	Other income (attach schedule) . . . . .				
12	<b>Total.</b> Add lines 1 through 11 . . . . .	147,655	147,655		
13	Compensation of officers, directors, trustees, etc				
14	Other employee salaries and wages . . . . .				
15	Pension plans, employee benefits . . . . .				
16a	Legal fees (attach schedule) . . . . .				
b	Accounting fees (attach schedule) . . . . .	2,150			2,150
c	Other professional fees (attach schedule) . . . . .	11,934	11,934		
17	Interest . . . . .				
18	Taxes (attach schedule) (see instructions)	3,324	607		2,717
19	Depreciation (attach schedule) and depletion . . .				
20	Occupancy . . . . .				
21	Travel, conferences, and meetings . . . . .				
22	Printing and publications . . . . .				
23	Other expenses (attach schedule) . . . . .				
24	<b>Total operating and administrative expenses.</b>				
	Add lines 13 through 23 . . . . .	17,408	12,541		4,867
25	Contributions, gifts, grants paid. . . . .	507,500			507,500
26	<b>Total expenses and disbursements.</b> Add lines 24 and 25	524,908	12,541		512,367
27	Subtract line 26 from line 12				
a	<b>Excess of revenue over expenses and disbursements</b>	-377,253			
b	<b>Net investment income</b> (if negative, enter -0-) . . .		135,114		
c	<b>Adjusted net income</b> (if negative, enter -0-) . . .				

	Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing . . . . .				
	2 Savings and temporary cash investments . . . . .		799,064	27,655	27,655
	3 Accounts receivable ►				
	Less allowance for doubtful accounts ►				
	4 Pledges receivable ►				
	Less allowance for doubtful accounts ►				
	5 Grants receivable . . . . .				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .				
	7 Other notes and loans receivable (attach schedule) ►				
	Less allowance for doubtful accounts ►				
	8 Inventories for sale or use . . . . .				
	9 Prepaid expenses and deferred charges . . . . .				
	10a Investments—U S and state government obligations (attach schedule)		299,570	299,453	312,788
	b Investments—corporate stock (attach schedule) . . . . .		898,359	1,097,869	1,240,477
	c Investments—corporate bonds (attach schedule) . . . . .		298,617	493,380	500,782
	11 Investments—land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
	12 Investments—mortgage loans . . . . .				
	13 Investments—other (attach schedule) . . . . .				
	14 Land, buildings, and equipment basis ►				
	Less accumulated depreciation (attach schedule) ►				
	15 Other assets (describe ►) . . . . .				
	16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)		2,295,610	1,918,357	2,081,702
Liabilities	17 Accounts payable and accrued expenses . . . . .				
	18 Grants payable . . . . .				
	19 Deferred revenue . . . . .				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable (attach schedule) . . . . .				
	22 Other liabilities (describe ►) . . . . .				
	23 Total liabilities (add lines 17 through 22) . . . . .			0	
Net Assets or Fund Balances	24 Foundations that follow SFAS 117, check here ► <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	25 Unrestricted . . . . .				
	26 Temporarily restricted . . . . .				
	27 Permanently restricted . . . . .				
	28 Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/>				
	and complete lines 27 through 31.				
	29 Capital stock, trust principal, or current funds . . . . .				
	30 Paid-in or capital surplus, or land, bldg , and equipment fund				
	31 Retained earnings, accumulated income, endowment, or other funds		2,295,610	1,918,357	
	30 Total net assets or fund balances (see page 17 of the instructions) . . . . .				
	31 Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .		2,295,610	1,918,357	
			2,295,610	1,918,357	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	2,295,610
2	Enter amount from Part I, line 27a . . . . .	2	-377,253
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3 . . . . .	4	1,918,357
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,918,357

**Part IV Capital Gains and Losses for Tax on Investment Income**

<b>(a)</b> List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
<b>1 a</b> See attached Schedule		P	2013-01-31	2013-12-31
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>(e)</b> Gross sales price		<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 868,971			814,425	54,546
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				<b>(i)</b> Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
<b>(i)</b> F M V as of 12/31/69		<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col (i) over col (j), if any	
<b>a</b>				54,546
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2</b> Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		<b>2</b> 54,546
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		<b>3</b> 54,546

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

<b>(a)</b> Base period years Calendar year (or tax year beginning in)	<b>(b)</b> Adjusted qualifying distributions	<b>(c)</b> Net value of noncharitable-use assets	<b>(d)</b> Distribution ratio (col (b) divided by col (c))
2012	6,135	2,285,508	0 00268
2011	719,760	2,613,572	0 27539
2010	300,470	2,869,778	0 10470
2009	1,035,322	3,373,587	0 30689
2008	1,103,009	4,810,045	0 22931
<b>2</b> Total of line 1, column (d).			<b>2</b> 0 91898
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0 18380
<b>4</b> Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.			<b>4</b> 2,344,049
<b>5</b> Multiply line 4 by line 3.			<b>5</b> 430,829
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b).			<b>6</b> 1,351
<b>7</b> Add lines 5 and 6.			<b>7</b> 432,180
<b>8</b> Enter qualifying distributions from Part XII, line 4.			<b>8</b> 512,367

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
	Date of ruling or determination letter _____ <b>(attach copy of letter if necessary—see instructions)</b>	1	1,351
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . . .	2	
3	Add lines 1 and 2. . . . .	3	1,351
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . . .	4	
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0- . . . . .	5	1,351
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	2,500
b	Exempt foreign organizations—tax withheld at source . . . . .	6b	
c	Tax paid with application for extension of time to file (Form 8868) . . . . .	6c	
d	Backup withholding erroneously withheld . . . . .	6d	
7	Total credits and payments Add lines 6a through 6d. . . . .	7	2,500
8	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached . . . . .	8	5
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	10	1,144
11	Enter the amount of line 10 to be <b>Credited to 2014 estimated tax</b> . . . . .	11	1,144 Refunded

**Part VII-A Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<input type="checkbox"/> Yes	<input type="checkbox"/> No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .	<input type="checkbox"/> Yes	<input type="checkbox"/> No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		
1c	Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<input type="checkbox"/> Yes	<input type="checkbox"/> No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year <b>(1) On the foundation</b> <input type="checkbox"/> \$ _____ <b>(2) On foundation managers</b> <input type="checkbox"/> \$ _____		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities.</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<input type="checkbox"/> Yes	<input type="checkbox"/> No
b	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<input type="checkbox"/> Yes	<input type="checkbox"/> No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T.</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<input type="checkbox"/> Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	<input type="checkbox"/> Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CA		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	<input type="checkbox"/> Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). . . . .	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

Website address ► N/A

14 The books are in care of ► Eddie Niuya Telephone no ► (415) 983-0500  
 Located at ► 300 Montgomery 1050 San Francisco CA ZIP +4 ► 94104

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . . . ►  15 and enter the amount of tax-exempt interest received or accrued during the year . . . . . ►

16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .  Yes  No  
 See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ►

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>1a</b>	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	Organizations relying on a current notice regarding disaster assistance check here . . . . . ►		
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
<b>a</b>	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	If "Yes," list the years ► 20____, 20____, 20____, 20____		
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions) . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b>	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

**Part VII-B** **Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

<b>5a</b>	During the year did the foundation pay or incur any amount to		
	<b>(1)</b> Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	<b>(2)</b> Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	<b>(3)</b> Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	<b>(4)</b> Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	<b>(5)</b> Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? . . . . .		
	Organizations relying on a current notice regarding disaster assistance check here. . . . .	<input type="checkbox"/>	
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>		
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .		
	<i>If "Yes" to 6b, file Form 8870.</i>		
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b>	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .		

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1. List all officers, directors, trustees, foundation managers and their compensation (see instructions)**

2. List all officers, directors, trustees, fund-raising managers and their compensation (See instructions.)				
<b>(a) Name and address</b>	<b>(b) Title, and average hours per week devoted to position</b>	<b>(c) Compensation (If not paid, enter -0-)</b>	<b>(d) Contributions to employee benefit plans and deferred compensation</b>	<b>(e) Expense account, other allowances</b>
Deborah Green Seymour PO Box 4014 Monterey, CA 93942	President 1 00	0		
John Jeffrey Green PO Box 4014 Monterey, CA 93942	Secretary 1 00	0		
Judith Green Kelly PO Box 4014 Monterey, CA 93942	Director 1 00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

## **Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 _____	
2 _____	

All other program-related investments See page 24 of the instructions

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	2,344,049
b	Average of monthly cash balances. . . . .	1b	35,696
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	<b>Total</b> (add lines 1a, b, and c). . . . .	1d	2,379,745
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	0
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	
3	Subtract line 2 from line 1d. . . . .	3	2,379,745
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	35,696
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,344,049
6	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	6	117,202

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	117,202
2a	Tax on investment income for 2013 from Part VI, line 5. . . . .	2a	1,351
b	Income tax for 2013 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	1,351
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	115,851
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	115,851
6	Deduction from distributable amount (see instructions). . . . .	6	
7	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	115,851

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	512,367
b	Program-related investments—total from Part IX-B. . . . .	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the	3a	
a	Suitability test (prior IRS approval required). . . . .	3b	
b	Cash distribution test (attach the required schedule). . . . .	4	512,367
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	5	
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	6	1,351
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .		511,016

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
<b>1</b> Distributable amount for 2013 from Part XI, line 7				115,851
<b>2</b> Undistributed income, if any, as of the end of 2013				
<b>a</b> Enter amount for 2012 only. . . . .				
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2013				
<b>a</b> From 2008. . . . .	759,524			
<b>b</b> From 2009. . . . .	869,307			
<b>c</b> From 2010. . . . .	158,581			
<b>d</b> From 2011. . . . .	590,427			
<b>e</b> From 2012. . . . .				
<b>f Total of lines 3a through e. . . . .</b>	2,377,839			
<b>4</b> Qualifying distributions for 2013 from Part XII, line 4 ► \$ _____ 512,367				
<b>a</b> Applied to 2012, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2013 distributable amount. . . . .				115,851
<b>e</b> Remaining amount distributed out of corpus	396,516			
<b>5</b> Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6</b> Enter the net total of each column as indicated below:	2,774,355			
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5				
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . . . .	759,524			
<b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .	2,014,831			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2009. . . . .	869,307			
<b>b</b> Excess from 2010. . . . .	158,581			
<b>c</b> Excess from 2011. . . . .	590,427			
<b>d</b> Excess from 2012. . . . .				
<b>e</b> Excess from 2013. . . . .	396,516			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.			
b	Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)			
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.			
b	85% of line 2a.			
c	Qualifying distributions from Part XII, line 4 for each year listed.			
d	Amounts included in line 2c not used directly for active conduct of exempt activities.			
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c.			
3	Complete 3a, b, or c for the alternative test relied upon			
a	"Assets" alternative test—enter			
(1)	Value of all assets.			
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i).			
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.			
c	"Support" alternative test—enter			
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).			
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III).			
(3)	Largest amount of support from an exempt organization			
(4)	Gross investment income			

**Part XV Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
The SPCA for Monterey County 1002 Highway 68 Salinas, CA 93908	None	509(a)	To further organization's mission	1,500
Pasadena Conservatory of Music 100 North Hill Avenue Pasadena, CA 91106	None	509(a)	To further music education	500,000
The Thacher School 5025 Thacher Road Ojai, CA 93023	None	509(a)	To further the educational mission of the school	6,000
<b>Total . . . . .</b>			► 3a	507,500
<b>b Approved for future payment</b>				
<b>Total . . . . .</b>			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . . .					
3 Interest on savings and temporary cash investments . . . . .					
4 Dividends and interest from securities. . . . .			14	93,109	
5 Net rental income or (loss) from real estate					
a Debt-financed property. . . . .					
b Not debt-financed property. . . . .					
6 Net rental income or (loss) from personal property . . . . .					
7 Other investment income. . . . .					
8 Gain or (loss) from sales of assets other than inventory . . . . .			18	54,546	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. . . . .					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e). . . . .				147,655	
13 Total Add line 12, columns (b), (d), and (e). . . . .					147,655

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**



**Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).**

Deborah Green Seymour

John Jeffrey Green

Judith Green Kelly

## TY 2013 Accounting Fees Schedule

**Name:** The Deborah B Green Foundation

**EIN:** 87-0716141

**Software ID:** 13000170

**Software Version:** 2013v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Accounting Fees	2,150	0	0	2,150

## TY 2013 Other Professional Fees Schedule

**Name:** The Deborah B Green Foundation**EIN:** 87-0716141**Software ID:** 13000170**Software Version:** 2013v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Management Fees	11,934	11,934	0	0

## TY 2013 Taxes Schedule

**Name:** The Deborah B Green Foundation**EIN:** 87-0716141**Software ID:** 13000170**Software Version:** 2013v3.1

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Attorney General	50			50
Federal taxes	2,657			2,657
Foreign Tax	607	607		
State taxes	10			10

# Morgan Stanley



**1099 Consolidated Tax Statement  
Tax Year 2013 Copy B For Recipient**

THE DEBORAH B GREEN FOUNDATION  
P O BOX 4014  
MONTEREY CA 93942-4014

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York NY 10004  
Identification Number. 26 4310632  
Taxpayer ID Number 87-0716141  
Account Number 244 026978 090

**Customer Service: 866-324-6088**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS**

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Short Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 6949 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
H J HEINZ CO		CUSIP: 423074103	Symbol (Box 1d):					
	500 000	03/15/12	02/14/13	\$36,209.18	\$26,438.50	\$0.00	\$9,770.68	\$0.00
WELLS FARGO 5.85-Q FIXD-TO-FLT		CUSIP 949746556	Symbol (Box 1d): WFC Q					
	1 000 000	08/18/13	10/24/13	\$24,564.77	\$23,830.00	\$0.00	\$684.77	\$0.00
<b>Total Short Term Covered Securities</b>				<b>\$60,773.95</b>	<b>\$50,318.50</b>	<b>\$0.00</b>	<b>\$10,455.45</b>	<b>\$0.00</b>

# Morgan Stanley

**1099 Consolidated Tax Statement  
Tax Year 2013 Copy B For Recipient**



THE DEBORAH B GREEN FOUNDATION  
P.O. BOX 4014  
MONTEREY CA 93942-4014

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS**

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Long Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
AMERICAN ELECTRIC POWER CO		CUSIP: 025537101	Symbol (Box 1d): AEP					
	500 000	02/11/11	04/23/13	\$25,270.93	\$17,797.55	\$0.00	\$7,473.38	\$0.00
APPLIED MATERIALS INC		CUSIP: 038222105	Symbol (Box 1d): AMAT					
	3 000 000	04/13/12	05/01/13	\$43,739.32	\$35,820.00	\$0.00	\$7,919.32	\$0.00
BANK OF NEW YORK MELLON CORP		CUSIP: 064058100	Symbol (Box 1d): BK					
	500 000	02/11/11	03/26/13	\$14,170.93	\$16,048.50	\$0.00	(\$1,877.57)	\$0.00
	200 000	06/15/11	03/26/13	\$5,668.37	\$5,229.12	\$0.00	\$439.25	\$0.00
	300 000	12/13/11	03/26/13	\$8,502.56	\$5,752.92	\$0.00	\$2,749.64	\$0.00
Security Subtotal	1,000,000			\$28,341.86	\$27,030.54	\$0.00	\$1,311.32	\$0.00
CHEVRON CORP		CUSIP: 166764100	Symbol (Box 1d): CVX					
	200 000	06/15/11	10/24/13	\$24,135.60	\$19,613.12	\$0.00	\$4,522.48	\$0.00
	200 000	11/22/11	12/02/13	\$24,533.57	\$19,329.24	\$0.00	\$5,204.33	\$0.00
Security Subtotal	400,000			\$48,669.17	\$38,942.36	\$0.00	\$9,726.81	\$0.00
CONOCOPHILLIPS		CUSIP: 20825C104	Symbol (Box 1d): COP					
	500 000	04/10/12	10/10/13	\$35,343.14	\$28,476.66	\$0.00	\$6,866.48	\$0.00
EXELON CORP		CUSIP: 30161N101	Symbol (Box 1d): EXC					
	500 000	02/25/11	04/23/13	\$18,381.38	\$20,665.00	\$0.00	(\$2,283.62)	\$0.00
	200 000	02/25/11	10/18/13	\$5,759.30	\$8,266.00	\$0.00	(\$2,506.70)	\$0.00
	300 000	10/25/11	10/18/13	\$8,638.95	\$12,751.86	\$0.00	(\$4,112.91)	\$0.00
	500 000	05/21/12	10/18/13	\$14,398.24	\$18,648.70	\$0.00	(\$1,250.46)	\$0.00
Security Subtotal	1,500,000			\$47,177.87	\$60,331.56	\$0.00	(\$13,153.69)	\$0.00

CONTINUED ON NEXT PAGE

**1099 Consolidated Tax Statement  
Tax Year 2013 Copy B For Recipient**

THE DEBORAH B GREEN FOUNDATION  
P.O. BOX 4014  
MONTEREY CA 93942-4014

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: 87-0716141  
Account Number: 244 026978 090

**Customer Service: 866-324-6088**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Long Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked )  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
H J HEINZ CO		CUSIP: 423074103	Symbol (Box 1d):					
	400 000	11/29/11	01/23/13	\$24,101.86	\$20,542.24	\$0.00	\$3,559.62	\$0.00
MICROSOFT CORP		CUSIP: 594918104	Symbol (Box 1d): MSFT					
	200 000	06/08/11	08/23/13	\$6,902.86	\$4,789.12	\$0.00	\$2,113.74	\$0.00
	300,000	11/22/11	08/23/13	\$10,354.28	\$7,445.40	\$0.00	\$2,908.88	\$0.00
	200 000	11/22/11	10/24/13	\$6,748.86	\$4,963.60	\$0.00	\$1,785.26	\$0.00
	300 000	07/25/12	10/24/13	\$10,123.29	\$8,704.86	\$0.00	\$1,418.43	\$0.00
Security Subtotal	1,000,000			\$34,129.29	\$25,902.98	\$0.00	\$8,226.31	\$0.00
PEARSON PLC SP ADR		CUSIP: 705015105	Symbol (Box 1d): PSO					
	1,000,000	02/11/11	10/24/13	\$21,953.01	\$17,206.00	\$0.00	\$4,747.01	\$0.00
<b>Total Long Term Covered Securities</b>				<b>\$308,726.45</b>	<b>\$272,049.89</b>	<b>\$0.00</b>	<b>\$36,676.56</b>	<b>\$0.00</b>
<b>Total Short and Long Term, Covered and Noncovered Securities</b>				<b>\$369,500.40</b>	<b>\$322,368.39</b>	<b>\$0.00</b>	<b>\$47,132.01</b>	<b>\$0.00</b>
<b>Form 1099-B Total Reportable Amounts</b>								
<b>Total Sales Price (Box 2a)</b>				<b>\$369,500.40</b>				
<b>Total Cost or Other Basis (Box 3)</b>					<b>\$322,368.39</b>			
<b>Total Wash Sale Loss Disallowed (Box 5)</b>						<b>\$0.00</b>		
<b>Total Fed Tax Withheld (Box 4)</b>							<b>\$0.00</b>	

# Morgan Stanley

## Corporate Tax Statement Tax Year 2013



THE DEBORAH B GREEN FOUNDATION  
P.O. BOX 4014  
MONTEREY CA 93942-4014

Morgan Stanley Smith Barney Holdings LLC

1 New York Plaza

8th Floor

New York, NY 10004

Identification Number: 26-4310632

Taxpayer ID Number: 87-0716141

Account Number: 244 026978 090

**Customer Service: 866-324-6088**

**This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.**

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Short Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked )

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
H J HEINZ CO		CUSIP: 423074103	Symbol (Box 1d):					
	500.000	03/15/12	02/14/13	\$36,209.18	\$26,438.50	\$0.00	\$9,770.68	\$0.00
WELLS FARGO 5.85-Q FIXD-TO-FLT		CUSIP: 949746556	Symbol (Box 1d): WFC.Q					
	1,000.000	08/19/13	10/24/13	\$24,564.77	\$23,880.00	\$0.00	\$684.77	\$0.00
<b>Total Short Term Covered Securities</b>				<b>\$60,773.95</b>	<b>\$50,318.50</b>	<b>\$0.00</b>	<b>\$10,455.45</b>	<b>\$0.00</b>

## Morgan Stanley

Corporate Tax Statement  
Tax Year 2013THE DEBORAH B GREEN FOUNDATION  
P.O. BOX 4014  
MONTEREY CA 93942-4014Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632Taxpayer ID Number: 87-0716141  
Account Number: 244 026978 090

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

## Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
AMERICAN ELECTRIC POWER CO		CUSIP: 025537101	Symbol (Box 1d): AEP					
	500.000	02/11/11	04/23/13	\$25,270.93	\$17,797.55	\$0.00	\$7,473.38	\$0.00
APPLIED MATERIALS INC		CUSIP: 038222105	Symbol (Box 1d): AMAT					
	3,000.000	04/13/12	05/01/13	\$43,739.32	\$35,820.00	\$0.00	\$7,919.32	\$0.00
BANK OF NEW YORK MELLON CORP		CUSIP: 064058100	Symbol (Box 1d): BK					
	500.000	02/11/11	03/26/13	\$14,170.93	\$16,048.50	\$0.00	(\$1,877.57)	\$0.00
	200.000	06/15/11	03/26/13	\$5,868.37	\$5,229.12	\$0.00	\$439.25	\$0.00
	300.000	12/13/11	03/26/13	\$8,502.56	\$5,752.92	\$0.00	\$2,749.64	\$0.00
Security Subtotal	1,000.000			\$28,341.86	\$27,030.54	\$0.00	\$1,311.32	\$0.00
CHEVRON CORP		CUSIP: 166764100	Symbol (Box 1d): CVX					
	200.000	06/15/11	10/24/13	\$24,135.60	\$19,613.12	\$0.00	\$4,522.48	\$0.00
	200.000	11/22/11	12/02/13	\$24,533.57	\$19,329.24	\$0.00	\$5,204.33	\$0.00
Security Subtotal	400.000			\$48,669.17	\$38,942.36	\$0.00	\$9,726.81	\$0.00
CONOCOPHILLIPS		CUSIP: 20825C104	Symbol (Box 1d): COP					
	500.000	04/10/12	10/10/13	\$35,343.14	\$28,476.66	\$0.00	\$6,866.48	\$0.00
EXELON CORP		CUSIP: 30161N101	Symbol (Box 1d): EXC					
	500.000	02/25/11	04/23/13	\$18,381.38	\$20,665.00	\$0.00	(\$2,283.62)	\$0.00
	200.000	02/25/11	10/18/13	\$5,759.30	\$8,266.00	\$0.00	(\$2,506.70)	\$0.00
	300.000	10/25/11	10/18/13	\$8,638.95	\$12,751.86	\$0.00	(\$4,112.91)	\$0.00
	500.000	05/21/12	10/18/13	\$14,398.24	\$18,648.70	\$0.00	(\$4,250.46)	\$0.00
Security Subtotal	1,500.000			\$47,177.87	\$60,331.56	\$0.00	(\$13,153.69)	\$0.00

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# Morgan Stanley

## Corporate Tax Statement Tax Year 2013

THE DEBORAH B GREEN FOUNDATION  
P.O. BOX 4014  
MONTEREY CA 93942-4014

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632

Taxpayer ID Number: 87-0716141  
Account Number: 244 026978 090

Customer Service: 866-324-6088

**This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.**

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Long Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
H J HEINZ CO		CUSIP: 423074103	Symbol (Box 1d):					
	400.000	11/29/11	01/23/13	\$24,101.86	\$20,542.24	\$0.00	\$3,559.62	\$0.00
MICROSOFT CORP		CUSIP: 594918104	Symbol (Box 1d): MSFT					
	200.000	06/08/11	08/23/13	\$6,902.86	\$4,789.12	\$0.00	\$2,113.74	\$0.00
	300.000	11/22/11	08/23/13	\$10,354.28	\$7,445.40	\$0.00	\$2,908.88	\$0.00
	200.000	11/22/11	10/24/13	\$6,748.86	\$4,963.60	\$0.00	\$1,785.26	\$0.00
	300.000	07/25/12	10/24/13	\$10,123.29	\$8,704.86	\$0.00	\$1,418.43	\$0.00
Security Subtotal	1,000.000			\$34,129.29	\$25,902.98	\$0.00	\$8,226.31	\$0.00
PEARSON PLC SP ADR		CUSIP: 705015105	Symbol (Box 1d): PSO					
	1,000.000	02/11/11	10/24/13	\$21,953.01	\$17,206.00	\$0.00	\$4,747.01	\$0.00
<b>Total Long Term Covered Securities</b>				<b>\$308,726.45</b>	<b>\$272,049.89</b>	<b>\$0.00</b>	<b>\$36,676.56</b>	<b>\$0.00</b>

## Morgan Stanley

Corporate Tax Statement  
Tax Year 2013THE DEBORAH B GREEN FOUNDATION  
P O. BOX 4014  
MONTEREY CA 93942-4014Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632Taxpayer ID Number: 87-0716141  
Account Number: 244 026978 080

Customer Service: 866-324-6088

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities <sup>a</sup> (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked )

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
AT&T INC		CUSIP: 00206R102	Symbol (Box 1d): T					
	400.000	04/10/08	10/24/13	\$13,867.79	\$15,656.39	\$0.00	(\$1,788.60)	\$0.00
	100.000	06/09/08	10/24/13	\$3,466.95	\$3,918.80	\$0.00	(\$451.85)	\$0.00
Security Subtotal	500.000			\$17,334.74	\$19,575.19	\$0.00	(\$2,240.45)	\$0.00
BANK OF AMERICA 4875 13JA15		CUSIP: 060505AX2	Symbol (Box 1d):					
	50,000.000	05/17/11	01/15/13	\$50,000.00	\$52,710.00	\$0.00	(\$2,710.00)	\$0.00
COLUMBUS BAB BE 5000 *21JN01		CUSIP: 1994912Q3	Symbol (Box 1d):					
	50,000.000	12/21/10	07/01/13	\$50,000.00	\$50,000.00	\$0.00	\$0.00	\$0.00
CONOCOPHILLIPS		CUSIP: 20825C104	Symbol (Box 1d): COP					
	200.000	04/10/08	10/10/13	\$14,137.25	\$12,295.12	\$0.00	\$1,842.13	\$0.00
	200.000	04/25/08	10/10/13	\$14,137.25	\$13,040.63	\$0.00	\$1,096.62	\$0.00
	100.000	06/09/08	10/10/13	\$7,068.63	\$7,484.65	\$0.00	(\$416.02)	\$0.00
Security Subtotal	500.000			\$35,343.13	\$32,820.40	\$0.00	\$2,522.73	\$0.00
GENERAL ELECTRIC CO		CUSIP: 369604103	Symbol (Box 1d): GE					
	400.000	04/08/08	10/23/13	\$10,273.14	\$15,005.11	\$0.00	(\$4,731.97)	\$0.00
	600.000	04/11/08	10/23/13	\$15,409.71	\$19,932.97	\$0.00	(\$4,523.26)	\$0.00
	500.000	05/09/08	10/23/13	\$12,841.42	\$16,474.42	\$0.00	(\$3,633.00)	\$0.00
Security Subtotal	1,500.000			\$38,524.27	\$51,412.50	\$0.00	(\$12,888.23)	\$0.00
GENUINE PARTS CO		CUSIP: 372460105	Symbol (Box 1d): GPC					
	200.000	04/21/08	02/07/13	\$13,864.14	\$8,449.22	\$0.00	\$5,414.92	\$0.00
	300.000	06/09/08	10/24/13	\$23,656.80	\$13,032.40	\$0.00	\$10,624.40	\$0.00
Security Subtotal	500.000			\$37,520.94	\$21,481.62	\$0.00	\$16,039.32	\$0.00

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# Morgan Stanley

## Corporate Tax Statement Tax Year 2013

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Long Term - Noncovered Securities #** (Consider Box 8a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked )  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
INTEL CORP		CUSIP: 458140100	Symbol (Box 1d): INTC					
	700.000	04/08/08	10/24/13	\$16,655.65	\$15,181.98	\$0.00	\$1,473.67	\$0.00
	300.000	06/09/08	10/24/13	\$7,138.13	\$7,002.86	\$0.00	\$135.27	\$0.00
Security Subtotal	1,000.000			\$23,793.78	\$22,184.84	\$0.00	\$1,608.94	\$0.00
JPMC (WAMU NV) CD 4500 13JA23		CUSIP: 939379W90	Symbol (Box 1d):					
	100,000.000	04/10/08	01/23/13	\$100,000.00	\$99,989.55	\$0.00	\$10.45	\$0.00
PROCTER & GAMBLE		CUSIP: 742718109	Symbol (Box 1d): PG					
	300.000	04/10/08	02/07/13	\$22,791.23	\$21,443.85	\$0.00	\$1,347.38	\$0.00
	200.000	04/17/08	10/24/13	\$16,108.33	\$13,757.49	\$0.00	\$2,350.84	\$0.00
	100.000	05/13/08	10/24/13	\$8,054.17	\$6,681.54	\$0.00	\$1,372.63	\$0.00
Security Subtotal	600.000			\$46,953.73	\$41,882.88	\$0.00	\$6,070.85	\$0.00

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# Morgan Stanley

## Corporate Tax Statement Tax Year 2013



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Customer Service: 866-324-6088

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
SALLIE MAE BK CD 3350 13MY28		CUSIP: 795450LA1	Symbol (Box 1d):					
	100,000.000	05/22/09	05/28/13	\$100,000.00	\$100,000.00	\$0.00	\$0.00	\$0.00
<b>Total Long Term Noncovered Securities</b>				<b>\$499,470.59</b>	<b>\$492,056.98</b>	<b>\$0.00</b>	<b>\$7,413.61</b>	<b>\$0.00</b>
<b>Total Long Term Covered and Noncovered Securities</b>				<b>\$808,197.04</b>	<b>\$764,106.87</b>	<b>\$0.00</b>	<b>\$44,090.17</b>	<b>\$0.00</b>
<b>Total Short and Long Term, Covered and Noncovered Securities</b>				<b>\$868,970.99</b>	<b>\$814,425.37</b>	<b>\$0.00</b>	<b>\$54,545.62</b>	<b>\$0.00</b>

### Form 1099-B Total Reportable Amounts

<b>Total Sales Price (Box 2a)</b>	<b>\$868,970.99</b>
<b>Total Cost or Other Basis (Box 3)</b>	<b>\$322,368.39</b>
<b>Total Wash Sale Loss Disallowed (Box 5)</b>	<b>\$0.00</b>
<b>Total Fed Tax Withheld (Box 4)</b>	<b>\$0.00</b>