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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

2013

Department of the Treasury
Internal Revenue Service

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation SMITH-PETTIT FOUNDATION		A Employer identification number 87-0641442
Number and street (or P O box number if mail is not delivered to street address) 514 WEST 400 NORTH	Room/suite	B Telephone number 415-773-2804
City or town, state or province, country, and ZIP or foreign postal code SALT LAKE CITY, UT 84116		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☐ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☒ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 14,955,987. (Part I, column (d) must be on cash basis)	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		1,245,492.			
2 Check ☐ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		5,209.	5,209.	5,209.	STATEMENT 1
4 Dividends and interest from securities		294,251.	294,251.	294,251.	STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		663,919.			
b Gross sales price for all assets on line 6a		4,765,035.			
7 Capital gain net income (from Part IV, line 2)			663,919.		
8 Net short-term capital gain				57,611.	
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		2,208,871.	963,379.	357,071.	
13 Compensation of officers, directors, trustees, etc.		95,000.	4,750.	4,750.	90,250.
14 Other employee salaries and wages					
15 Pension plans, employee benefits		30,498.	1,525.	1,525.	28,973.
16a Legal fees					
b Accounting fees STMT 3		15,837.	7,919.	7,919.	7,918.
c Other professional fees STMT 4		206,228.	177,024.	177,024.	29,204.
17 Interest					
18 Taxes STMT 5		22,893.	9,905.	9,905.	12,988.
19 Depreciation and depletion		7,211.	0.	7,211.	
20 Occupancy					
21 Travel, conferences, and meetings		1,375.	0.	0.	1,375.
22 Printing and publications		18,178.	0.	0.	18,178.
23 Other expenses STMT 6		518,067.	1,610.	1,610.	21,055.
24 Total operating and administrative expenses. Add lines 13 through 23		915,287.	202,733.	209,944.	209,941.
25 Contributions, gifts, grants paid		13,840.			13,840.
26 Total expenses and disbursements. Add lines 24 and 25		929,127.	202,733.	209,944.	223,781.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		1,279,744.			
b Net investment income (if negative, enter -0-)			760,646.		
c Adjusted net income (if negative, enter -0-)				147,127.	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year			End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	248,526.	899,229.	899,229.			
	2 Savings and temporary cash investments	2,100,469.	2,034,640.	2,034,640.			
	3 Accounts receivable ▶ 313.						
	Less: allowance for doubtful accounts ▶	3,671.	313.	313.			
	4 Pledges receivable ▶						
	Less: allowance for doubtful accounts ▶						
	5 Grants receivable						
	6 Receivables due from officers, directors, trustees, and other disqualified persons						
	7 Other notes and loans receivable ▶						
	Less: allowance for doubtful accounts ▶						
	8 Inventories for sale or use	22,586.	22,586.	22,586.			
	9 Prepaid expenses and deferred charges						
	10a Investments - U.S. and state government obligations						
	b Investments - corporate stock STMT 9	4,993,037.	4,735,207.	6,097,139.			
	c Investments - corporate bonds STMT 10	2,566,129.	3,190,204.	3,298,534.			
Liabilities	11 Investments - land, buildings, and equipment basis ▶						
	Less accumulated depreciation ▶						
	12 Investments - mortgage loans						
	13 Investments - other STMT 11	1,751,479.	2,071,586.	2,035,450.			
	14 Land, buildings, and equipment basis ▶ 327,415.						
	Less accumulated depreciation STMT 12 ▶ 124,872.	208,233.	202,543.	202,543.			
	15 Other assets (describe ▶ STATEMENT 13)	365,553.	365,553.	365,553.			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	12,259,683.	13,521,861.	14,955,987.			
	17 Accounts payable and accrued expenses		9.				
	18 Grants payable						
Net Assets or Fund Balances	19 Deferred revenue						
	20 Loans from officers, directors, trustees, and other disqualified persons						
	21 Mortgages and other notes payable						
	22 Other liabilities (describe ▶ STATEMENT 14)	0.	1,187.				
	23 Total liabilities (add lines 17 through 22)	0.	1,196.				
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☒						
	24 Unrestricted	12,259,683.	13,520,665.				
	25 Temporarily restricted						
	26 Permanently restricted						
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☐						
	27 Capital stock, trust principal, or current funds						
	28 Paid-in or capital surplus, or land, bldg., and equipment fund						
	29 Retained earnings, accumulated income, endowment, or other funds						
Net Assets or Fund Balances	30 Total net assets or fund balances	12,259,683.	13,520,665.				
	31 Total liabilities and net assets/fund balances	12,259,683.	13,521,861.				

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	12,259,683.
2 Enter amount from Part I, line 27a	2	1,279,744.
3 Other increases not included in line 2 (itemize) ▶ RETURN OF CAPITAL	3	4,524.
4 Add lines 1, 2, and 3	4	13,543,951.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 8	5	23,286.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	13,520,665.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENTS				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 4,765,035.	19,464.	4,120,580.	663,919.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			663,919.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	663,919.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	57,611.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	768,568.	11,211,312.	.068553
2011	595,274.	11,043,576.	.053902
2010	538,391.	10,072,431.	.053452
2009	537,025.	9,306,144.	.057707
2008	486,544.	10,489,743.	.046383

2 Total of line 1, column (d)	2	.279997
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.055999
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	11,775,342.
5 Multiply line 4 by line 3	5	659,407.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	7,606.
7 Add lines 5 and 6	7	667,013.
8 Enter qualifying distributions from Part XII, line 4	8	719,183.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VII Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

- 1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.
Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)
- b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b
- c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).
- 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)
- 3 Add lines 1 and 2
- 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)
- 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments:

- a 2013 estimated tax payments and 2012 overpayment credited to 2013
- b Exempt foreign organizations - tax withheld at source
- c Tax paid with application for extension of time to file (Form 8868)
- d Backup withholding erroneously withheld

6a	2,375.
6b	
6c	
6d	

7 Total credits and payments. Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed **SEE STATEMENT 15**

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be: Credited to 2014 estimated tax

Refunded

1	7,606.
2	0.
3	7,606.
4	0.
5	7,606.
6a	2,375.
6b	
6c	
6d	
7	2,375.
8	
9	5,231.
10	
11	

Part VIII Statements Regarding Activities

- 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?
- b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.
- c Did the foundation file Form 1120-POL for this year?
- d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
(1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.
- e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.
- 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?
If "Yes," attach a detailed description of the activities.
- 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes
- 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?
- b If "Yes," has it filed a tax return on Form 990-T for this year?
- 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?
If "Yes," attach the statement required by General Instruction T
- 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?
- 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8a		
8b	X	
9	X	
10		X

N/A

8a Enter the states to which the foundation reports or with which it is registered (see instructions)

UT

- b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation
- 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV
- 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	X	
14	The books are in care of SMITH PETTIT FOUNDATION Located at 514 WEST 400 NORTH, SALT LAKE CITY, UT Telephone no. 801 521 3553 ZIP+4 84116			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☒ Yes ☐ No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)		X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		X

Part VII Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 18		95,000.	30,498.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services.

0

Part IX-A**Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 AMOUNTS PAID TO CONTRACTORS FOR MORMON STUDIES RESEARCH AND PROGRESS-WRITING; 1 - 5 YEAR PROJECTS TO BE PUBLISHED UPON COMPLETION.	29,204.
2	
3	
4	

Part IX-B**Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1	
2	
All other program-related investments. See instructions.	
3 SEE FOOTNOTE RE: SIGNATURE BOOKS PUBLISHING, LLC	495,402.
	495,402.
Total. Add lines 1 through 3	

Form 990-PF (2013)

**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	9,374,978.
b Average of monthly cash balances	1b	2,491,214.
c Fair market value of all other assets	1c	88,470.
d Total (add lines 1a, b, and c)	1d	11,954,662.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	11,954,662.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	179,320.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	11,775,342.
6 Minimum investment return. Enter 5% of line 5	6	588,767.

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	
2a Tax on investment income for 2013 from Part VI, line 5	2a	
b Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	

**Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	223,781.
b Program-related investments - total from Part IX-B	1b	495,402.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	719,183.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	7,606.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	711,577.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

N/A

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only				
b Total for prior years:				
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e				
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.				
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part VII Private Operating Foundations (see instructions and Part VII-A, question 9)

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

05/05/00

- b Check box to indicate whether the foundation is a private operating foundation described in section

☒ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

- b 85% of line 2a

- c Qualifying distributions from Part XII, line 4 for each year listed

- d Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

- 3 Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter:

- (1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

- b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization

- (4) Gross investment income

Tax year	Prior 3 years			(e) Total
(a) 2013	(b) 2012	(c) 2011	(d) 2010	
147,127.	97,531.	126,259.	218,045.	588,962.
125,058.	82,901.	107,320.	185,338.	500,618.
719,183.	769,609.	599,519.	543,130.	2,631,441.
0.	0.	0.	0.	0.
719,183.	769,609.	599,519.	543,130.	2,631,441.
				0.
				0.
392,511.	373,711.	368,119.	335,748.	1,470,089.
				0.
				0.
				0.
				0.

Part VIII Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

GEORGE D. SMITH, JR.

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

GEORGE D. SMITH, JR.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

- b The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
MORMON HISTORY ASSOCIATION 23-7408475	NONE	PUBLIC FOUNDATION	UNRESTRICTED	3,700
DEPARTMENT OF HISTORY, COLLEGE OF HUMANITIES 87-6000525	NONE	PUBLIC FOUNDATION	UNRESTRICTED	1,000.
ASSOCIATION FOR MORMON LETTERS 24-2762231	NONE	PUBLIC FOUNDATION	UNRESTRICTED	600.
SUNSTONE EDUCATIONAL FOUNDATION 87-0683974	NONE	PUBLIC FOUNDATION	UNRESTRICTED	3,000.
UTAH STATE HISTORICAL SOCIETY 87-6000545	NONE	PUBLIC FOUNDATION	UNRESTRICTED	1,000.
Total			SEE CONTINUATION SHEET(S)	13,840.
b Approved for future payment				
NONE				
Total				0.



Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		Related or exempt function income
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	5,209.		
4 Dividends and interest from securities			14	294,251.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	663,919.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		963,379.		0.
13 Total. Add line 12, columns (b), (d), and (e)						
(See worksheet in line 13 instructions to verify calculations.)						



Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

PART XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | <p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> | <table border="1"> <tr> <th></th> <th>Yes</th> </tr> <tr> <td>1a(1)</td> <td></td> </tr> <tr> <td>1a(2)</td> <td></td> </tr> <tr> <td>1b(1)</td> <td></td> </tr> <tr> <td>1b(2)</td> <td></td> </tr> <tr> <td>1b(3)</td> <td></td> </tr> <tr> <td>1b(4)</td> <td></td> </tr> <tr> <td>1b(5)</td> <td></td> </tr> <tr> <td>1b(6)</td> <td></td> </tr> <tr> <td>1c</td> <td></td> </tr> </table> | | Yes | 1a(1) | | 1a(2) | | 1b(1) | | 1b(2) | | 1b(3) | | 1b(4) | | 1b(5) | | 1b(6) | | 1c | |
|--|--|--|-----|-------|--|-------|--|-------|--|-------|--|-------|--|-------|--|-------|--|-------|--|----|--|
| | Yes | | | | | | | | | | | | | | | | | | | | |
| 1a(1) | | | | | | | | | | | | | | | | | | | | | |
| 1a(2) | | | | | | | | | | | | | | | | | | | | | |
| 1b(1) | | | | | | | | | | | | | | | | | | | | | |
| 1b(2) | | | | | | | | | | | | | | | | | | | | | |
| 1b(3) | | | | | | | | | | | | | | | | | | | | | |
| 1b(4) | | | | | | | | | | | | | | | | | | | | | |
| 1b(5) | | | | | | | | | | | | | | | | | | | | | |
| 1b(6) | | | | | | | | | | | | | | | | | | | | | |
| 1c | | | | | | | | | | | | | | | | | | | | | |

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

8/26/2017
Date

VICE PRESIDENT
Title

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print type preparer's name

H. KIMBERLY ZYDEL

Preparer's signature _____

Date _____

Check ☒ self-employed

PTIN

Firm's name ► DZH PHILLIPS LLP

Firm's EIN ▶ 26-4677183

Firm's address ► 135 MAIN STREET, 9TH FLOOR
SAN FRANCISCO, CA 94105-1815

Phone no. (415) 781-2500

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE STATEMENT A	P		
b	SEE STATEMENT A	P		
c	SEE STATEMENT B	P		
d	SEE STATEMENT B	P		
e	SEE STATEMENT C	P		
f	SEE STATEMENT C	P		
g	SEE STATEMENT D	P		
h	SEE STATEMENT D	P		
i	SEE STATEMENT E	P		
j	SEE STATEMENT E	P		
k	SEE STATEMENT F	P		
l	SEE STATEMENT F	P		
m	SEE STATEMENT G	P		
n	SEE STATEMENT H	P		
o	SEE STATEMENT I	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	22,881.	29,288.	<6,407.>
b	150,263.	142,589.	7,674.
c	21,866.	15,983.	5,883.
d	47,587.	28,504.	19,083.
e	48,268.	44,520.	3,748.
f	989,523.	709,395.	280,128.
g	77,987.	64,310.	13,677.
h	128,829.	108,934.	19,895.
i	14,414.	10,893.	3,521.
j	28,593.	22,942.	5,651.
k	349,763.	329,748.	20,015.
l	970,533.	728,743.	241,790.
m	48,314.	43,510.	4,804.
n	546,173.	525,844.	22,122.
o	25,303.	30,301.	<4,998.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			** <6,407.>
b			** 7,674.
c			** 5,883.
d			** 19,083.
e			** 3,748.
f			** 280,128.
g			** 13,677.
h			** 19,895.
i			** 3,521.
j			** 5,651.
k			** 20,015.
l			** 241,790.
m			** 4,804.
n			** 22,122.
o			** <4,998.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE STATEMENT J	P		
b	SEE STATEMENT J	P		
c	CAPITAL GAINS DIVIDENDS			
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	594,481.	3,513.	602,748.	<4,754.>
b	699,729.	14,158.	682,328.	31,559.
c	528.			528.
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			** <4,754.>
b			31,559.
c			528.
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	663,919.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	57,611.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UTAH HUMANITIES COUNCIL 87-0307076	NONE	PUBLIC FOUNDATION	UNRESTRICTED	1,000.
JOHN WHITMER HISTORICAL ASSOCIATION 42-1149665	NONE	PUBLIC FOUNDATION	UNRESTRICTED	1,000.
TANNER CENTER, UNIVERSITY OF UTAH 87-6000525	NONE	PUBLIC FOUNDATION	UNRESTRICTED	2,500.
WESTEN HISTORY ASSOCIATION	NONE	PUBLIC FOUNDATION	UNRESTRICTED	40.
Total from continuation sheets				4,540.

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

SMITH-PETTIT FOUNDATION

Employer identification number

87-0641442

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)() (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☐ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☒ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

Employer identification number

SMITH-PETTIT FOUNDATION**87-0641442****Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	GEORGE D. SMITH 3701 SACRAMENTO STREET, #255 SAN FRANCISCO, CA 94118	\$ 1,218,854.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
2	GEORGE D. SMITH 3701 SACRAMENTO STREET, #255 SAN FRANCISCO, CA 94118	\$ 21,638.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

SMITH-PETTIT FOUNDATION

87-0641442



Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Barclays Wealth and Investment Management
REALIZED GAINS AND LOSSES
Account 834-60430-1-4-196
SMITH-PETTIT FOUNDATION HARDING LOEVNER MGMT LP
From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
07-28-2010	01-07-2013	249	***Qiagen Nv Eur 0 01 (Nasdaq Listed)	4,564 17		4,631 84		67 67
06-23-2011	01-07-2013	249	***Qiagen Nv Eur 0 01 (Nasdaq Listed)	4 726 02		4 631 84		-94 18
08-17-2011	01-07-2013	246	***Qiagen Nv Eur 0 01 (Nasdaq Listed)	3 758 88		4 576 04		817 16
07-28-2010	02-04-2013	63	Schlumberger Ltd	3,776 22		5 007 59		1,231 37
07-28-2010	02-08-2013	1,327	***Li & Fung Ltd Un-sponsored ADR	6,236 90		3,412 97		-2,823 93
06-23-2011	02-08-2013	1 325	***Li & Fung Ltd Un-sponsored ADR	5 498 75		3,407 82		-2,090 93
08-17-2011	02-08-2013	1,307	***Li & Fung Ltd Un-sponsored ADR	4,639 85		3 361 53		-1,278 32
09-01-2011	02-08-2013	3,542	***Li & Fung Ltd Un-sponsored ADR	13,537 17		9 109 82		-4,427 35
02-08-2012	02-08-2013	1 109	***Li & Fung Ltd Un-sponsored ADR	5,085 54		2,852 28	-2,233 26	
07-28-2010	02-14-2013	46	Schlumberger Ltd	2,757 24		3,676 86		919 62
06-23-2011	02-14-2013	30	Schlumberger Ltd	2,460 90		2,397 95		-62 95
07-28-2010	02-21-2013	69	***Arm Holdings Plc Sponsored ADR	1,082 61		2,894 98		1,812 37
10-15-2010	02-21-2013	37	***Arm Holdings Plc Sponsored ADR	695 58		1,552 38		856 80
09-21-2010	03-08-2013	277	***Swatch Group Ag (The) Un-sponsored ADR	5,073 34		7,847 46		2 774 12
06-23-2011	03-08-2013	6	***Swatch Group Ag (The) Un-sponsored ADR	142 56		169 98		27 42
07-28-2010	03-15-2013	276	***America Movil S A B De C V Sponsored Ad	7 065 60		5,187 39		-1 878 21
06-23-2011	03-15-2013	276	***America Movil S A B De C V Sponsored Ad	6,989 70		5,187 38		-1 802 32
08-17-2011	03-15-2013	268	***America Movil S A B De C V Sponsored Ad	6,410 56		5 037 03		-1,373 53
03-25-2011	05-08-2013	122	***Sonova Holding Ag Un-sponsored ADR	2,408 60		2,629 65		221 05
03-28-2011	05-08-2013	60	***Sonova Holding Ag Un-sponsored ADR	1,202 24		1,293 27		91 03
06-23-2011	05-08-2013	136	***Sonova Holding Ag Un-sponsored ADR	2 570 40		2,931 41		361 01
07-28-2010	06-05-2013	82	***Hsbc Holdings Plc Sponsored ADR	4,241 04		4 523 74		282 70
06-23-2011	06-05-2013	82	***Hsbc Holdings Plc Sponsored ADR	3,941 74		4,523 73		581 99
08-17-2011	06-05-2013	81	***Hsbc Holdings Plc Sponsored ADR	3,620 70		4,468 57		847 87
07-28-2010	06-14-2013	1	***Itau Unibanco Banco Holding S A Sponsor	16 31		11 34		-4 97
10-15-2010	06-18-2013	85	***Arm Holdings Plc Sponsored ADR	1,597 95		3 367 90		1 769 95
06-23-2011	06-18-2013	361	***Arm Holdings Plc Sponsored ADR	10,007 94		14,303 65		4 295 71
07-28-2010	07-24-2013	264	***Air Liquide-ADR	5,436 00		6,847 35		1,411 35
08-06-2010	09-12-2013	359	***Lonza Group Ag Zuerich Un-sponsored ADR	3,073 40		2,877 33		-196 07

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Barclays Wealth and Investment Management
REALIZED GAINS AND LOSSES

Account 834-60430-1-4-196

SMITH-PETTIT FOUNDATION HARDING LOEVNER MGMT LP

From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
08-06-2010	09-13-2013	12	***Lonza Group Ag Zurich Un-sponsored ADR	102.73		95.74		-6.99
06-23-2011	09-13-2013	380	***Lonza Group Ag Zurich Un-sponsored ADR	2,899.40		3,031.70		132.30
08-12-2011	09-13-2013	672	***Lonza Group Ag Zurich Un-sponsored ADR	4,394.28		5,361.32		967.04
08-17-2011	09-13-2013	185	***Lonza Group Ag Zurich Un-sponsored ADR	1,198.80		1,475.96		277.16
09-25-2012	09-19-2013	361	***Potash Corp Of Saskatchewan Inc Canada	15,745.74		11,536.31	-4,209.43	
12-12-2012	09-19-2013	119	***Potash Corp Of Saskatchewan Inc Canada	4,885.02		3,802.83	-1,082.19	
06-27-2012	09-23-2013	350	***Xinyi Glass Holdings Ltd Un-sponsored ADR	3,722.74		6,320.51		2,597.77
08-17-2011	09-27-2013	546	***Lonza Group Ag Zurich Un-sponsored ADR	3,538.08		4,461.07		922.99
02-08-2013	09-27-2013	574	***Lonza Group Ag Zurich Un-sponsored ADR	3,571.72		4,689.84	1,118.12	
07-28-2010	10-07-2013	194	***I resenius Medical Care Ag & Co Kgaa Spo	5,430.06		6,260.13		830.07
05-20-2011	10-07-2013	66	***I resenius Medical Care Ag & Co Kgaa Spo	2,370.22		2,129.74		-240.48
06-23-2011	10-07-2013	39	***I resenius Medical Care Ag & Co Kgaa Spo	1,399.93		1,258.48		-141.45
TOTAL GAINS							1,118.12	24,096.52
TOTAL LOSSES							-7,524.88	-16,421.57
TOTAL REALIZED GAIN/LOSS				171,876.62	0.00	173,144.71	-6,406.76	7,674.85
NO CAPITAL GAINS DISTRIBUTIONS								

31.73	1.44	71.2
22.881	26	
150.263	45	
171.876	62	
29.288	02	
142.588	60	
142.588	60	
150.263	45	
7.674	85	

5.085	54	
15.745	74	
4.885	02	
3.571	72	
29.288	02	
29.288	02	
2.852	28	
11.536	31	
1.802	83	
4.689	84	
22.881	26	
29.288	02	
22.881	26	
16.406	76	

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Barclays Wealth and Investment Management
REALIZED GAINS AND LOSSES
Account 834-60439-1-5-196
SMITH-PETTIT FOUNDATION RIVERBRIDGE PARTNERS LLC
From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
05-09-2012	01-04-2013	120	Luminex Corp Del	2,769.30		2,084.46	-684.84	
07-28-2010	01-04-2013	49	Forrester Research Inc	1,630.23		1,318.08		-312.15
07-28-2010	01-07-2013	11	Forrester Research Inc	365.97		293.23		-72.74
05-03-2012	01-08-2013	90	3-D Systems Corp-Del	2,632.74		5,438.00	2,805.26	
12-03-2012	02-15-2013	25	**Stratasy Ltd	1,825.25		1,720.17	-105.08	
07-28-2010	02-27-2013	5	Abaxis Inc	93.01		212.54		119.53
01-18-2013	02-27-2013	5	Advisory Board Co	259.15		253.19	-5.96	
07-28-2010	02-27-2013	5	Beacon Roofing Supply Inc	87.00		182.35		95.35
07-28-2010	02-27-2013	10	Bio Reference Laboratories Inc	212.30		259.12		46.82
07-28-2010	02-27-2013	5	Cepheid	81.73		181.26		99.53
07-28-2010	02-27-2013	5	Cheesecake Factory Inc	120.45		174.58		54.13
07-28-2010	02-27-2013	5	Chemd Corporation	273.16		386.56		113.40
07-28-2010	02-27-2013	5	Concur Technologies Inc	233.80		349.14		115.34
11-15-2010	02-27-2013	10	Echo Global Logistics Inc	105.56		184.10		78.54
05-11-2011	02-27-2013	5	Financial Engines Inc	138.39		163.55		25.16
09-23-2011	02-27-2013	5	Fresh Market Inc	183.60		235.72		52.12
07-28-2010	02-27-2013	5	Grand Canyon Education Inc	117.65		121.27		3.62
07-28-2010	02-27-2013	5	Ipc The Hospitalist Co Inc	128.20		212.57		84.37
07-28-2010	02-27-2013	15	Innervorkings Inc	102.82		222.41		119.59
07-28-2010	02-27-2013	10	Lkq Corporation	104.23		227.17		122.94
07-28-2010	02-27-2013	5	National Instruments Corp	109.67		151.37		41.70
07-28-2010	02-27-2013	5	Neogen Corp	146.68		232.65		85.97
06-30-2011	02-27-2013	5	Pegasystems Inc	234.77		138.30		-96.47
07-28-2010	02-27-2013	10	***Ritchie Bros Auctioneers Inc	188.60		224.20		35.60
07-28-2010	02-27-2013	5	Rollins Inc	74.22		123.50		49.08
07-28-2010	02-27-2013	10	Semtech Corp	178.80		308.22		129.42
03-26-2012	04-09-2013	149	Maxwell Technologies Inc	2,727.17		769.33		-1,957.84
03-27-2012	04-09-2013	56	Maxwell Technologies Inc	1,038.95		289.14		-749.81
03-05-2013	04-09-2013	45	Maxwell Technologies Inc	405.45		232.35	-173.10	
07-28-2010	04-09-2013	42	Constant Contact Inc	941.64		531.90		-409.74
07-28-2010	04-10-2013	48	Constant Contact Inc	1,076.16		605.90		-470.26
07-28-2010	04-30-2013	135	Lkq Corporation	1,407.13		3,165.24		1,758.11
07-28-2010	06-14-2013	56	Faro Technologies Inc	1,190.00		1,966.21		776.21
07-28-2010	06-17-2013	34	Faro Technologies Inc	722.50		1,170.85		448.35
03-05-2013	06-17-2013	20	Faro Technologies Inc	866.40		688.73	-177.67	
07-28-2010	07-12-2013	25	Ultimate Software Group Inc	867.21		3,248.66		2,381.45
07-28-2010	07-29-2013	270	Lkq Corporation	2,814.25		7,042.68		4,228.42
07-28-2010	07-29-2013	80	Rollins Inc	1,187.51		2,048.20		860.69
07-28-2010	08-20-2013	20	Ultimate Software Group Inc	693.77		2,820.29		2,126.52
10-22-2012	11-07-2013	97	Greenway Med Technolog Contra Cusip	1,757.03		1,973.95		216.92
10-23-2012	11-07-2013	88	Greenway Med Technolog Contra Cusip	1,591.23		1,790.80		199.57
03-05-2013	11-07-2013	45	Greenway Med Technolog Contra Cusip	725.40		915.75	190.35	
07-10-2013	11-07-2013	185	Greenway Med Technolog Contra Cusip	1,974.21		3,764.75	1,790.54	
07-28-2010	11-08-2013	15	Costar Group Inc	672.75		2,600.79		1,928.04
07-28-2010	11-25-2013	115	Lkq Corporation	1,198.66		3,763.66		2,565.00
03-05-2013	11-25-2013	135	Lkq Corporation	2,767.43		4,418.20	1,650.77	
07-28-2010	12-19-2013	50	Concur Technologies Inc	2,338.00		4,701.05		2,363.05
08-30-2011	12-19-2013	34	Concur Technologies Inc	1,369.50		3,196.71		1,827.21

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Barclays Wealth and Investment Management
REALIZED GAINS AND LOSSES
Account 834-60439-1-5-196
SMITH-PETTIT FOUNDATION RIVERBRIDGE PARTNERS LLC
From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
03-05-2013	12-19-2013	25	Concur Technologies Inc	1,757.25		2,350.52	593.27	
TOTAL GAINS							7,030.19	23,151.75
TOTAL LOSSES							-1,146.65	-4,069.01
TOTAL REALIZED GAIN/LOSS							5,883.54	19,082.74
NO CAPITAL GAINS DISTRIBUTIONS								

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Barclays Wealth and Investment Management
REALIZED GAINS AND LOSSES
Account 834-60445-1-7-196
SMITH-PETTIT FOUNDATION FIDUCIARY MANAGEMENT
From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
07-28-2010	02-08-2013	25	***Covidien Plc	971.23		1,580.97		609.74
02-15-2011	02-08-2013	40	***Ingersoll Rand Plc	1,863.12		2,125.15		262.03
06-06-2011	02-08-2013	45	***Willis Group Holdings Plc	1,888.28		1,633.03		-255.25
07-28-2010	02-08-2013	60	***Te Connectivity Ltd	1,617.26		2,432.34		815.08
07-28-2010	02-08-2013	125	American Express Company	5,610.00		7,709.83		2,099.83
07-28-2010	02-08-2013	30	Amerisourcebergen Corp	869.40		1,403.96		534.56
07-28-2010	02-08-2013	70	Automatic Data Processing Inc	2,964.57		4,261.50		1,296.93
07-28-2010	02-08-2013	135	Bank New York Mellon Corp	3,441.47		3,759.66		318.19
07-28-2010	02-08-2013	75	Cintas Corp	1,984.50		3,218.17		1,233.67
05-03-2011	02-08-2013	115	Comerica Inc	4,352.80		4,058.25		-294.55
08-20-2010	02-08-2013	65	Devon Energy Corporation New	4,029.81		3,922.02		-107.79
05-31-2012	02-08-2013	50	Expeditors International Of Washington Inc	1,901.56		2,162.70	261.14	
08-04-2011	02-08-2013	30	***Glaxosmithkline Plc Sponsored ADR(Frm G	1,279.98		1,365.86		85.88
07-28-2010	02-08-2013	20	Kimberly Clark Corp	1,292.93		1,825.35		532.42
02-07-2011	02-08-2013	35	Microsoft Corp	988.75		964.22		-24.53
07-28-2010	02-08-2013	30	***Nestle Sa-Sponsored ADR Repstg Regd Ord	1,491.60		2,094.85		603.25
08-02-2011	02-08-2013	65	Omnicom Group Inc	2,970.59		3,572.96		602.37
09-30-2011	02-08-2013	10	Schlumberger Ltd	599.45		789.28		189.83
07-28-2010	02-08-2013	40	Sysco Corp	1,240.89		1,261.17		20.28
07-28-2010	02-08-2013	25	3m Company	2,174.25		2,567.94		393.69
07-28-2010	02-08-2013	45	Time Warner Inc	1,422.90		2,371.44		948.54
07-28-2010	02-08-2013	20	Wal-Mart Stores Inc	1,026.83		1,426.76		399.93
07-28-2010	02-27-2013	95	***Accenture Plc Ireland Shs Cl A	3,792.40		7,070.69		3,278.29
07-28-2010	02-27-2013	65	***Covidien Plc	2,525.20		4,115.05		1,589.85
02-15-2011	02-27-2013	60	***Ingersoll Rand Plc	2,794.68		3,143.33		348.65
06-06-2011	02-27-2013	50	***Willis Group Holdings Plc	2,098.09		1,876.95		-221.14
07-28-2010	02-27-2013	90	***Te Connectivity Ltd	2,425.89		3,609.81		1,183.92
07-28-2010	02-27-2013	30	American Express Company	1,346.40		1,875.85		529.45
07-28-2010	02-27-2013	115	Amerisourcebergen Corp	3,332.70		5,418.67		2,085.97
07-28-2010	02-27-2013	75	Automatic Data Processing Inc	3,176.33		4,628.89		1,452.56
07-28-2010	02-27-2013	170	Bank New York Mellon Corp	4,333.70		4,657.89		324.19
07-28-2010	02-27-2013	70	Berkshire Hathaway Inc Del Cl B	5,484.24		7,082.44		1,598.20
07-28-2010	02-27-2013	55	Cintas Corp	1,455.30		2,414.44		959.14
05-03-2011	02-27-2013	110	Comerica Inc	4,163.55		3,724.51		-439.04
02-07-2013	02-27-2013	310	***Danone Sponsored ADR	4,238.19		4,305.80	67.61	
08-20-2010	02-27-2013	25	Devon Energy Corporation New	1,549.93		1,353.96		-195.97
08-04-2011	02-27-2013	60	***Glaxosmithkline Plc Sponsored ADR(Frm G	2,559.95		2,658.54		98.59
12-08-2011	02-27-2013	115	Illinois Tool Works Inc	5,345.37		7,078.09		1,732.72
07-28-2010	02-27-2013	70	Kimberly Clark Corp	4,525.26		6,672.95		2,147.69
02-07-2011	02-27-2013	135	Microsoft Corp	3,813.75		3,774.51		-39.24
07-28-2010	02-27-2013	60	***Nestle Sa-Sponsored ADR Repstg Regd Ord	2,983.20		4,159.70		1,176.50
08-02-2011	02-27-2013	115	Omnicom Group Inc	5,255.66		6,607.75		1,352.09
10-12-2012	02-27-2013	85	Paccar Inc	3,415.56		4,043.35	627.79	

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Barclays Wealth and Investment Management
REALIZED GAINS AND LOSSES
Account 834-60445-1-7-196
SMITH-PETTIT FOUNDATION FIDUCIARY MANAGEMENT
From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
09-30-2011	02-27-2013	60	Schlumberger Ltd	3,596.72		4,677.49		1,080.77
07-28-2010	02-27-2013	230	Sysco Corp	7,135.13		7,394.34		259.21
07-28-2010	02-27-2013	55	3m Company	4,783.35		5,699.52		916.17
07-28-2010	02-27-2013	45	Time Warner Inc	1,422.90		2,399.79		976.89
07-28-2010	02-27-2013	100	Wal-Mart Stores Inc	5,134.15		7,178.83		2,044.68
07-28-2010	03-07-2013	310	Kimberly Clark Corp	20,040.45		29,181.04		9,140.59
07-28-2010	03-19-2013	315	Amerisourcebergen Corp	9,128.70		15,776.06		6,647.96
07-28-2010	03-28-2013	1,015	Sysco Corp	31,487.63		35,650.74		4,163.11
07-28-2010	04-08-2013	495	Monsanto Co	28,690.20		51,997.84		23,307.64
07-28-2010	04-23-2013	110	***Accenture Plc Ireland Shs CIA	4,391.20		8,391.80		4,000.60
07-28-2010	04-23-2013	120	***Covidien Plc	4,661.91		8,005.12		3,343.21
02-15-2011	04-23-2013	115	***Ingersoll Rand Plc	5,356.46		6,150.14		793.68
06-06-2011	04-23-2013	100	***Willis Group Holdings Plc	4,196.17		3,903.92		-292.25
07-28-2010	04-23-2013	265	***Ic Connectivity Ltd	7,142.90		10,679.47		3,536.57
07-28-2010	04-23-2013	60	American Express Company	2,692.80		4,043.90		1,351.10
07-28-2010	04-23-2013	110	Amerisourcebergen Corp	3,187.80		6,229.33		3,041.53
07-28-2010	04-23-2013	85	Automatic Data Processing Inc	3,599.84		5,626.87		2,027.03
07-28-2010	04-23-2013	465	Bank New York Mellon Corp	11,853.95		12,810.83		956.88
07-28-2010	04-23-2013	130	Berkshire Hathaway Inc Del CIB	10,185.02		13,768.06		3,583.04
07-28-2010	04-23-2013	110	Cintas Corp	2,910.60		4,943.53		2,032.93
05-03-2011	04-23-2013	175	Comerica Inc	6,623.83		6,153.00		-470.83
02-07-2013	04-23-2013	430	***Danone Sponsored Adr	5,878.78		6,669.15	790.37	
08-20-2010	04-23-2013	215	Dexon Energy Corporation New	13,329.39		11,308.74		-2,020.65
05-31-2012	04-23-2013	220	Expeditors International Of Washington Inc	8,366.86		7,829.80	-537.06	
08-04-2011	04-23-2013	175	***Glaxosmithkline Plc Sponsored Adr(Fm G	7,466.53		8,959.79		1,493.26
02-07-2011	04-23-2013	235	Microsoft Corp	6,638.75		7,230.97		592.22
07-28-2010	04-23-2013	85	***Nestle Sa-Sponsored Adr Repstg Regd Ord	4,226.20		5,992.36		1,766.16
08-02-2011	04-23-2013	145	Omnicom Group Inc	6,626.71		8,570.75		1,944.04
10-12-2012	04-23-2013	170	Paccar Inc	6,831.13		8,299.21	1,468.08	
07-28-2010	04-23-2013	145	Sysco Corp	4,498.23		5,056.15		557.92
07-28-2010	04-23-2013	130	Time Warner Inc	4,110.60		7,850.72		3,740.12
07-28-2010	04-23-2013	110	Wal-Mart Stores Inc	5,647.57		8,663.49		3,015.92
07-28-2010	05-01-2013	600	Kimberly Clark Corp	38,787.96		62,088.86		23,300.90
07-28-2010	05-08-2013	715	Bank New York Mellon Corp	18,227.04		20,209.44		1,982.40
07-28-2010	05-31-2013	220	***Ic Connectivity Ltd	5,929.95		9,919.49		3,989.54
07-28-2010	05-31-2013	548	Automatic Data Processing Inc	23,208.38		38,181.45		14,973.07
07-28-2010	06-03-2013	16	Automatic Data Processing Inc	677.62		1,111.98		434.36
02-15-2011	06-04-2013	553	***Ingersoll Rand Plc	25,757.60		31,793.95		6,036.35
07-28-2010	06-04-2013	361	Automatic Data Processing Inc	15,288.73		25,086.04		9,797.31
02-15-2011	06-10-2013	107	***Ingersoll Rand Plc	4,983.84		6,207.50		1,223.66
06-24-2011	06-10-2013	165	***Ingersoll Rand Plc	7,152.41		9,572.32		2,419.91
07-25-2011	06-10-2013	260	***Ingersoll Rand Plc	10,398.22		15,083.66		4,685.44
07-28-2010	07-08-2013	135	***Mallinckrodt Plc	3,668.15		5,856.03		2,187.88
08-17-2012	07-08-2013	19	***Mallinckrodt Plc	762.59		824.18	61.59	

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Barclays Wealth and Investment Management
REALIZED GAINS AND LOSSES
Account 834-60445-1-7-196
SMITH-PETTIT FOUNDATION FIDUCIARY MANAGEMENT
From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Gain Or Loss		
						Proceeds	Short Term	Long Term
07-28-2010	07-18-2013	125	Berkshire Hathaway Inc Del CIB	9,793.29		14,769.33		4,976.04
08-02-2011	07-29-2013	735	Omnicom Group Inc	33,590.55		48,443.40		14,852.85
08-23-2011	07-29-2013	475	Omnicom Group Inc	18,037.79		31,306.96		13,269.17
02-14-2013	07-29-2013	185	Omnicom Group Inc	10,600.86		12,193.24	1,592.38	
08-04-2011	08-05-2013	445	***Glaxosmithkline Plc Sponsored ADR(Firm G	18,986.31		23,235.25		4,248.94
08-17-2012	08-05-2013	0	***Mallinckrodt Plc	15.05		9.01	-6.04	
07-28-2010	08-14-2013	340	Time Warner Inc	10,750.80		21,217.68		10,466.88
07-28-2010	08-26-2013	350	3m Company	30,439.50		40,253.39		9,813.89
07-28-2010	10-17-2013	5	***Accenture Plc Ireland Shs CIA	199.60		363.34		163.74
07-28-2010	10-17-2013	15	***Covidien Plc	531.79		955.48		423.69
06-06-2011	10-17-2013	55	***Willis Group Holdings Plc	2,307.89		2,477.95		170.06
07-28-2010	10-17-2013	25	***Tc Connectivity Ltd	673.86		1,308.51		634.65
07-28-2010	10-17-2013	55	American Express Company	2,468.40		4,410.92		1,942.52
07-28-2010	10-17-2013	60	Amerisourcebergen Corp	1,738.80		3,884.33		2,145.53
07-28-2010	10-17-2013	60	Cintas Corp	1,587.60		3,164.82		1,577.22
05-03-2011	10-17-2013	85	Comerica Inc	3,217.29		3,532.61		315.32
08-20-2010	10-17-2013	65	Devon Energy Corporation New	4,029.81		4,079.97		50.16
08-04-2011	10-17-2013	20	***Glaxosmithkline Plc Sponsored ADR(Firm G	853.32		1,020.18		166.86
12-08-2011	10-17-2013	15	Illinois Tool Works Inc	697.22		1,148.63		451.41
05-02-2013	10-17-2013	60	***Potash Corp Of Saskatchewan Inc Canada	2,509.33		1,931.36	-577.97	
09-30-2011	10-17-2013	40	Schlumberger Ltd	2,397.82		3,645.13		1,247.31
07-28-2010	10-17-2013	45	Sysco Corp	1,396.00		1,444.02		48.02
07-28-2010	10-17-2013	10	3m Company	869.70		1,227.37		357.67
07-28-2010	10-17-2013	10	Time Warner Inc	316.20		678.38		362.18
07-28-2010	10-17-2013	50	Wal-Mart Stores Inc	2,567.08		3,786.93		1,219.85
07-28-2010	11-04-2013	630	***Tc Connectivity Ltd	16,981.23		32,609.60		15,628.37
07-28-2010	12-10-2013	1,125	Sysco Corp	34,900.09		41,357.03		6,456.94
04-12-2011	12-10-2013	640	Sysco Corp	18,175.37		23,527.55		5,352.18
TOTAL GAINS							4,868.95	284,489.50
TOTAL LOSSES							-1,121.08	-4,361.23
				753,915.06	0.00	1,037,791.20	3,747.87	280,128.27
TOTAL REALIZED GAIN/LOSS		283,876.14						
NO CAPITAL GAINS DISTRIBUTIONS								

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Barclays Wealth and Investment Management
REALIZED GAINS AND LOSSES
Account 834-61141-1-2-196
SMITH-PETTIT FOUNDATION
From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
07-30-2010	01-04-2013	164	***Allianz Se Sponsored Adr Repstg 1/10 Sh	1 915 52		2 307 42		391 90
04-19-2012	01-04-2013	176	***Banco Santander S A Sponsored Adr	1,078 78		1,447 55	368 77	
08-17-2012	01-04-2013	96	***Canon Inc Adr Repstg 5 Shs	3,344 84		3,768 78	423 94	
06-14-2012	01-04-2013	197	***Itau Unibanco Banco Holding S A Sponsor	2 807 38		3,406 17	598 79	
07-30-2012	01-04-2013	664	***Mitsubishi Ufj Financial Group Inc Ads	3,285 92		3,655 27	369 35	
04-19-2012	01-04-2013	998	***Nomura Holdings Inc Sponsored Adr	4,287 53		5,946 71	1,659 18	
04-19-2012	01-04-2013	90	***Societe Generale Sponsored Adr	396 00		684 88	288 88	
04-19-2012	01-04-2013	515	***Sumitomo Mitsui Finl Group Inc Sponsore	3 400 05		3 809 46	409 41	
04-19-2012	01-04-2013	68	***Fata Motors Ltd Sponsored Adr	2 065 72		1,944 25	-121 47	
04-19-2012	01-07-2013	174	***Bayer Aktiengesellschaft Adr	12,007 74		16 304 05	4,296 31	
07-25-2012	01-07-2013	7	***Bayer Aktiengesellschaft Adr	487 48		655 91	168 43	
11-07-2012	01-07-2013	12	***Bayer Aktiengesellschaft Adr	1,016 88		1 124 42	107 54	
01-08-2013	01-31-2013	474	Cic Media Inc	3,858 80		4 973 77	1 114 97	
04-19-2012	02-14-2013	1	***Banco Santander S A Sponsored Adr	4 64		2 78	-1 86	
04-19-2012	03-19-2013	175	***Abb Ltd Sponsored Adr	3,528 36		3,951 12	422 76	
07-30-2012	03-19-2013	246	***Mitsubishi Ufj Financial Group Inc Ads	1,217 37		1,468 50	251 13	
06-23-2011	03-19-2013	128	***Sk Telecom Co Ltd Sponsored Adr	2,247 68		2,352 90		105 22
07-30-2010	03-19-2013	17	***Siemens Ag American Depository Shares	1,662 09		1 854 31		192 22
06-23-2011	03-19-2013	63	***Vodafone Group Plc Sponsored Adr No Par	1,657 15		1 783 16		126 01
04-19-2012	03-19-2013	79	***Bae Systems Plc Sponsored Adr	1,495 47		1 889 10	393 63	
01-08-2013	03-19-2013	41	Cic Media Inc	333 78		476 82	143 04	
04-19-2012	03-19-2013	176	***Sumitomo Mitsui Finl Group Inc Sponsore	1,161 96		1 464 32	302 36	
04-19-2012	03-20-2013	16	***Bae Systems Plc Sponsored Adr	302 88		382 07	79 19	
01-08-2013	03-20-2013	199	Cic Media Inc	1,620 04		2 316 77	696 73	
04-19-2012	03-20-2013	8	***Sumitomo Mitsui Finl Group Inc Sponsore	52 82		67 03	14 21	
04-19-2012	03-20-2013	110	***Sumitomo Mitsui Finl Group Inc Sponsore	726 22		921 72	195 50	
06-23-2011	03-26-2013	210	***Barrick Gold Corp	9,102 79		6,033 27		-3 069 52
08-17-2011	03-26-2013	143	***Barrick Gold Corp	7,217 21		4 108 37		-3,108 84
12-15-2011	03-26-2013	42	***Barrick Gold Corp	1,877 74		1,206 65		-671 09
07-25-2012	03-26-2013	8	***Barrick Gold Corp	273 91		229 84	-44 07	
12-12-2012	03-26-2013	131	***Barrick Gold Corp	4,620 90		3,763 61	-857 29	

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Barclays Wealth and Investment Management
REALIZED GAINS AND LOSSES
Account 834-61141-1-2-196
SMITH-PETTIT FOUNDATION
From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
04-19-2012	05-13-2013	1,098	***Nomura Holdings Inc Sponsored Adr	4,717.14		10,213.20		5,496.06
06-23-2011	05-20-2013	14	***Gazprom O A O Sponsored Adr	193.90		112.73		-81.17
08-11-2011	05-20-2013	409	***Gazprom O A O Sponsored Adr	4,403.05		3,293.32		-1,109.73
08-17-2011	05-20-2013	320	***Gazprom O A O Sponsored Adr	3,872.00		2,576.68		-1,295.32
09-30-2011	05-20-2013	587	***Gazprom O A O Sponsored Adr	5,687.97		4,726.60		-961.37
05-23-2012	05-20-2013	469	***Gazprom O A O Sponsored Adr	4,060.72		3,776.45	-284.27	
04-19-2012	06-06-2013	1	***Credit Suisse Group Sponsored Adr	23.74		26.92		3.18
04-19-2012	06-06-2013	0	***Banco Santander S A Sponsored Adr	2.22		5.79		3.57
06-14-2012	06-14-2013	0	***Itau Unibanco Banco Holding S A Sponsor	3.89		4.25	0.36	
05-30-2012	07-02-2013	824	***Foster Wheeler Ag Us Listed	15,250.43		17,549.10		2,298.67
07-25-2012	07-02-2013	16	***Foster Wheeler Ag Us Listed	266.73		340.76	74.03	
04-19-2012	08-14-2013	0	***Banco Santander S A Sponsored Adr	1.92		0.69		-1.23
06-23-2011	08-15-2013	84	***Ubs Ag	1,461.60		1,713.25		251.65
04-19-2012	08-15-2013	103	***Bae Systems Plc Sponsored Adr	1,949.79		2,844.52		894.73
04-19-2012	08-15-2013	53	***Credit Suisse Group Sponsored Adr	1,357.61		1,622.20		264.59
04-19-2012	08-15-2013	64	***Etalabissements Delhaize Freres Et Cie L	3,073.31		4,326.93		1,253.62
04-17-2013	08-15-2013	36	***Infosys Limited Spons Adr Repstg 1 Ord	1,519.46		1,759.70	240.24	
04-19-2012	08-15-2013	711	***Ing Groep Nv-Sponsored Adr	5,043.70		8,229.25		3,185.55
04-19-2012	08-15-2013	384	***Societe Generale Sponsored Adr	1,689.60		3,571.94		1,882.34
04-19-2012	10-29-2013	220	***Banco Santander S A Sponsored Adr	1,211.27		1,958.07		746.80
04-19-2012	11-13-2013	1	***Banco Santander S A Sponsored Adr	3.89		2.38		-1.51
06-23-2011	11-20-2013	263	***Vodafone Group Plc Sponsored Adr No Par	6,917.96		9,546.70		2,628.74
08-17-2011	11-20-2013	248	***Vodafone Group Plc Sponsored Adr No Par	6,882.00		9,002.21		2,120.21
07-25-2012	11-20-2013	48	***Vodafone Group Plc Sponsored Adr No Par	1,330.08		1,742.36		412.28
12-12-2012	11-20-2013	53	***Vodafone Group Plc Sponsored Adr No Par	1,380.12		1,923.86	543.74	
01-04-2013	11-20-2013	77	***Vodafone Group Plc Sponsored Adr No Par	1,985.75		2,795.05	809.30	
07-30-2010	12-20-2013	13	***Allianz Sc Sponsored Adr Repstg 1/10 Sh	151.84		228.38		76.54

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Barclays Wealth and Investment Management
REALIZED GAINS AND LOSSES
Account 834-61141-1-2-196
SMITH-PETTIT FOUNDATION
From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
06-23-2011	12-20-2013	160	***Allianz Se Sponsored Adr Rcpstg 1/10 Sh	2,132.80		2,810.79		677.99
02-08-2011	12-20-2013	27	***Astrazeneca Plc Sponsored Adr	1,306.76		1,578.32		271.56
06-23-2011	12-20-2013	13	***Astrazeneca Plc Sponsored Adr	633.49		759.93		126.44
04-19-2012	12-20-2013	375	***Banco Bilbao Vizcaya Argentaria S A Spo	2,445.01		4,472.95		2,027.94
01-08-2013	12-20-2013	211	Cic Media Inc	1,717.73		2,732.50	1,014.77	
06-23-2011	12-20-2013	215	***Sk Telecom Co Ltd Sponsored Adr	3,775.40		5,353.29		1,577.89
07-30-2010	12-20-2013	21	***Siemens Ag American Depositary Shares	2,053.17		2,817.70		764.53
04-19-2012	12-20-2013	32	***Smith & Nephew P L C Sponsored Adr	1,565.03		2,246.32		681.29
04-19-2012	12-20-2013	259	***Societe Generale Sponsored Adr	1,139.60		2,880.29		1,740.69
04-19-2012	12-20-2013	98	***Tata Motors Ltd Sponsored Adr	2,977.07		2,969.77		-7.30
TOTAL GAINS							14,986.57	30,202.22
TOTAL LOSSES							-1,308.96	-10,307.08
TOTAL REALIZED GAIN/LOSS							13,677.61	19,895.14
NO CAPITAL GAINS DISTRIBUTIONS								

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Barclays Wealth and Investment Management
REALIZED GAINS AND LOSSES
Account 834-95450-1-5-196
SMITH-PETTIT FOUNDATION EARNEST
From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
08-17-2011	01-04-2013	130	Jefferies Group Inc New	2,148 90		2,438 60		289 70
06-18-2012	01-04-2013	114	Jefferies Group Inc New	1,485 86		2 138 46	652 60	
08-17-2011	01-16-2013	102	Aar Corp	2,442 90		1 948 85		-494 05
08-17-2011	07-01-2013	72	Lufkin Industries Inc	5,099 04		6 372 00		1 272 96
01-25-2013	07-01-2013	60	Lufkin Industries Inc	3,482 43		5,310 00	1,827 57	
03-06-2013	07-01-2013	24	Lufkin Industries Inc	1,616 16		2 124 00	507 84	
04-19-2013	07-01-2013	18	Lufkin Industries Inc	1,588 50		1 593 00	4 50	
08-17-2011	07-31-2013	80	Amedisys Inc	1,356 70		999 03		-357 67
06-15-2012	07-31-2013	46	Amedisys Inc	549 42		574 44		25 02
03-06-2013	07-31-2013	30	Amedisys Inc	344 40		374 63	30 23	
08-17-2011	08-08-2013	0	Cantel Medical Corporation	4 80		13 55		8 75
08-17-2011	09-26-2013	64	Oncok Inc	2,204 80		3 423 34		1 218 54
08-17-2011	10-04-2013	28	Oncok Inc	964 60		1,508 48		543 88
08-17-2011	10-08-2013	100	***Helen Of Troy Ltd-Bermuda	2,971 00		4,374 92		1,403 92
01-13-2012	10-08-2013	40	***Helen Of Troy Ltd-Bermuda	1,259 89		1 749 97		490 08
03-05-2013	10-08-2013	4	***Helen Of Troy Ltd-Bermuda	144 20		175 00	30 80	
08-17-2011	10-14-2013	84	Harsco Corp	1,884 96		2,218 56		333 60
10-12-2011	10-14-2013	20	Harsco Corp	450 20		528 23		78 03
06-15-2012	10-14-2013	36	Harsco Corp	709 31		950 82		241 51
03-05-2013	10-14-2013	18	Harsco Corp	429 66		475 41	45 75	
03-06-2013	10-14-2013	24	Harsco Corp	576 72		633 87	57 15	
08-17-2011	11-19-2013	8	***Core Laboratories Ny	895 44		1,492 03		596 59
03-05-2013	11-20-2013	14	***Helen Of Troy Ltd-Bermuda	504 70		654 68	149 98	
03-06-2013	11-20-2013	20	***Helen Of Troy Ltd-Bermuda	720 00		935 26	215 26	
TOTAL GAINS							3 521 68	6,502 58
TOTAL LOSSES							0 00	-851 72
				33,834.59	0.00	43,007.13	3,521.68	5,650.86
TOTAL REALIZED GAIN/LOSS		9,172 54						
NO CAPITAL GAINS DISTRIBUTIONS								

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Barclays Wealth and Investment Management
REALIZED GAINS AND LOSSES
Account 834-95451-1-4-196
SMITH-PETTIT FOUNDATION
From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
08-18-2011	01-10-2013	16	Laboratory Corp Amer Hldgs	1,279.36		1,396.01		116.65
08-18-2011	01-11-2013	19	Laboratory Corp Amer Hldgs	1,519.24		1,640.69		121.45
08-18-2011	01-25-2013	25	Deere & Co	1,750.00		2,341.18		591.18
08-18-2011	01-25-2013	22	Laboratory Corp Amer Hldgs	1,759.12		1,945.40		186.28
08-18-2011	01-28-2013	12	Deere & Co	840.00		1,121.77		281.77
08-18-2011	01-30-2013	30	Deere & Co	2,100.00		2,853.68		753.68
08-18-2011	01-31-2013	14	Deere & Co	980.00		1,318.83		338.83
08-18-2011	02-01-2013	14	Deere & Co	980.00		1,329.97		349.97
08-18-2011	02-07-2013	22	Deere & Co	1,540.00		2,019.47		479.47
08-18-2011	02-08-2013	7	Deere & Co	490.00		648.69		158.69
08-18-2011	02-08-2013	2	Deere & Co	140.00		185.34		45.34
08-18-2011	02-12-2013	17	Deere & Co	1,190.00		1,586.79		396.79
11-08-2011	02-12-2013	4	Deere & Co	302.26		373.36		71.10
04-11-2012	02-12-2013	7	Deere & Co	544.78		653.39	108.61	
04-11-2012	02-13-2013	14	Deere & Co	1,089.55		1,278.37	188.82	
04-11-2012	02-14-2013	34	Deere & Co	2,646.06		3,094.64	448.58	
04-11-2012	02-15-2013	29	Deere & Co	2,256.93		2,616.22	359.29	
08-18-2011	02-28-2013	416	***Ingersoll Rand Plc	11,648.00		21,976.78		10,328.78
02-07-2013	02-28-2013	38	***Check Point Software Technologies Ltd	1,932.75		2,009.40	76.65	
02-08-2013	02-28-2013	38	***Check Point Software Technologies Ltd	1,956.91		2,009.40	52.49	
02-12-2013	02-28-2013	40	***Check Point Software Technologies Ltd	2,061.65		2,115.16	53.51	
02-13-2013	02-28-2013	48	***Check Point Software Technologies Ltd	2,466.75		2,538.18	71.43	
02-14-2013	02-28-2013	52	***Check Point Software Technologies Ltd	2,660.31		2,749.70	89.39	
02-15-2013	02-28-2013	39	***Check Point Software Technologies Ltd	2,009.62		2,062.28	52.66	
08-18-2011	02-28-2013	447	Abbott Laboratories	10,426.50		15,179.78		4,753.28
02-01-2012	02-28-2013	86	Abbott Laboratories	2,241.17		2,920.49		679.32
01-25-2013	02-28-2013	57	Abbott Laboratories	1,870.64		1,935.68	65.04	
08-18-2011	02-28-2013	447	Abbvie Inc	11,306.64		16,561.21		5,254.57
02-01-2012	02-28-2013	86	Abbvie Inc	2,430.35		3,186.27		755.92
08-18-2011	02-28-2013	562	Acta Inc New	20,397.60		26,660.68		6,263.08
08-28-2012	02-28-2013	65	Agilent Technologies Inc	2,414.65		2,712.39	297.74	
08-29-2012	02-28-2013	49	Agilent Technologies Inc	1,821.90		2,044.72	222.82	
08-30-2012	02-28-2013	33	Agilent Technologies Inc	1,222.21		1,377.06	154.85	
09-04-2012	02-28-2013	100	Agilent Technologies Inc	3,702.04		4,172.91	470.87	
09-05-2012	02-28-2013	82	Agilent Technologies Inc	3,012.97		3,421.78	408.81	
09-14-2012	02-28-2013	24	Agilent Technologies Inc	953.48		1,001.50	48.02	
09-17-2012	02-28-2013	30	Agilent Technologies Inc	1,189.58		1,251.87	62.29	
09-18-2012	02-28-2013	27	Agilent Technologies Inc	1,069.68		1,126.68	57.00	
09-19-2012	02-28-2013	31	Agilent Technologies Inc	1,231.84		1,293.60	61.76	
09-20-2012	02-28-2013	39	Agilent Technologies Inc	1,534.90		1,627.43	92.53	
09-24-2012	02-28-2013	67	Agilent Technologies Inc	2,597.24		2,795.85	198.61	
09-25-2012	02-28-2013	47	Agilent Technologies Inc	1,816.56		1,961.27	144.71	
09-26-2012	02-28-2013	23	Agilent Technologies Inc	877.51		959.77	82.26	
09-27-2012	02-28-2013	24	Agilent Technologies Inc	924.76		1,001.50	76.74	
08-18-2011	02-28-2013	219	American Express Company	9,603.15		13,665.46		4,062.31
02-16-2012	02-28-2013	185	American Express Company	9,782.02		11,543.88		1,761.86
01-25-2013	02-28-2013	176	American International Group Inc	6,421.10		6,721.28	300.18	

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Barclays Wealth and Investment Management
REALIZED GAINS AND LOSSES
Account 834-95451-1-4-196
SMITH-PETTIT FOUNDATION
From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
02-22-2013	02-28-2013	72	American International Group Inc	2,749 71		2,749 62	-0 09	
08-18-2011	02-28-2013	238	Ameriprise Finl Inc	9,789 11		16,379 08		6,589 97
08-18-2011	02-28-2013	53	Apple Inc	19,382 10		23,554 40		4,172 30
10-05-2012	02-28-2013	25	Apple Inc	16,436 67		11,110 57	-5,326 10	
11-09-2012	02-28-2013	5	Apple Inc	2,739 88		2,222 11	-517 77	
08-18-2011	02-28-2013	908	Applied Materials Inc	10,033 40		12,539 25		2,505 85
12-17-2012	02-28-2013	78	Applied Materials Inc	863 94		1,077 16	213 22	
12-18-2012	02-28-2013	117	Applied Materials Inc	1,309 91		1,615 74	305 83	
12-21-2012	02-28-2013	263	Applied Materials Inc	3,002 12		3,631 96	629 84	
12-11-2012	02-28-2013	151	Blackrock Inc	30,034 03		36,327 66	6,293 63	
08-18-2011	02-28-2013	403	Boeing Co	23,618 99		31,127 02		7,508 03
08-18-2011	02-28-2013	267	Cit Group Inc Com	8,535 99		11,197 42		2,661 43
03-14-2012	02-28-2013	110	Cit Group Inc Com	4,657 41		4,613 17	-44 24	
10-23-2012	02-28-2013	63	Cit Group Inc Com	2,324 85		2,642 09	317 24	
10-24-2012	02-28-2013	60	Cit Group Inc Com	2,248 03		2,516 28	268 25	
08-03-2012	02-28-2013	12	Cme Group Inc	611 44		717 60	106 16	
08-06-2012	02-28-2013	35	Cme Group Inc	1,816 33		2,092 99	276 66	
08-07-2012	02-28-2013	23	Cme Group Inc	1,219 40		1,375 39	155 99	
08-09-2012	02-28-2013	79	Cme Group Inc	4,222 59		4,724 17	501 58	
08-10-2012	02-28-2013	11	Cme Group Inc	587 68		657 80	70 12	
08-13-2012	02-28-2013	22	Cme Group Inc	1,174 36		1,315 59	141 23	
08-14-2012	02-28-2013	23	Cme Group Inc	1,214 64		1,375 39	160 75	
08-15-2012	02-28-2013	25	Cme Group Inc	1,318 28		1,494 99	176 71	
12-17-2012	02-28-2013	30	Cme Group Inc	1,542 76		1,793 99	251 23	
12-18-2012	02-28-2013	96	Cme Group Inc	5,011 78		5,740 75	728 97	
01-10-2013	02-28-2013	86	Cvs Caremark Corporation	4,400 02		4,416 87	16 85	
01-11-2013	02-28-2013	100	Cvs Caremark Corporation	5,124 03		5,135 89	11 86	
01-14-2013	02-28-2013	24	Cvs Caremark Corporation	1,238 75		1,232 61	-6 14	
01-16-2013	02-28-2013	11	Cvs Caremark Corporation	569 25		564 95	-4 30	
01-18-2013	02-28-2013	25	Cvs Caremark Corporation	1,300 00		1,283 97	-16 03	
01-25-2013	02-28-2013	65	Cvs Caremark Corporation	3,369 38		3,338 33	-31 05	
02-07-2013	02-28-2013	72	Cvs Caremark Corporation	3,677 91		3,697 84	19 93	
02-12-2013	02-28-2013	64	Cvs Caremark Corporation	3,277 90		3,286 97	9 07	
08-18-2011	02-28-2013	346	Cameron International Corporation	15,967 90		22,167 78		6,199 88
04-12-2012	02-28-2013	110	Capital One Financial Corp	5,994 98		5,626 38	-368 60	
05-15-2012	02-28-2013	61	Capital One Financial Corp	3,133 99		3,120 08	-13 91	
06-07-2012	02-28-2013	52	Capital One Financial Corp	2,691 22		2,659 74	-31 48	
10-15-2012	02-28-2013	20	Capital One Financial Corp	1,148 99		1,022 98	-126 01	
10-25-2012	02-28-2013	18	Capital One Financial Corp	1,080 00		920 68	-159 32	
10-26-2012	02-28-2013	22	Capital One Financial Corp	1,316 59		1,125 27	-191 32	
01-18-2013	02-28-2013	54	Capital One Financial Corp	3,069 37		2,762 04	-307 33	
08-18-2011	02-28-2013	460	Centurylink Inc	15,741 20		16,040 01		298 81
11-08-2011	02-28-2013	136	Centurylink Inc	5,106 66		4,742 26		-364 40
02-14-2013	02-28-2013	183	Centurylink Inc	5,944 75		6,381 13	436 38	
05-16-2012	02-28-2013	29	Cognizant Technology Solutions Corp-Cl A	1,759 79		2,237 19	477 40	
05-17-2012	02-28-2013	38	Cognizant Technology Solutions Corp-Cl A	2,281 94		2,931 48	649 54	
05-18-2012	02-28-2013	47	Cognizant Technology Solutions Corp-Cl A	2,812 62		3,625 78	813 16	
05-21-2012	02-28-2013	76	Cognizant Technology Solutions Corp-Cl A	4,638 67		5,862 97	1,224 30	

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Barclays Wealth and Investment Management
REALIZED GAINS AND LOSSES
Account 834-95451-1-4-196
SMITH-PETTIT FOUNDATION
From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
12-18-2012	02-28-2013	61	Cognizant Technology Solutions Corp-Cl A	4,518.34		4,705.81	187.47	
08-18-2011	02-28-2013	142	Colgate Palmolive Company	11,959.24		16,311.86		4,352.62
08-18-2011	02-28-2013	675	Comcast Corporation New Spl Class A	13,635.17		25,912.66		12,277.49
08-18-2011	02-28-2013	510	Danaher Corp	21,052.80		31,552.99		10,500.19
08-18-2011	02-28-2013	267	Davita Healthcare Partners Inc	18,875.64		32,030.90		13,155.26
08-18-2011	02-28-2013	221	Devon Energy Corporation New	14,293.28		11,995.61		-2,297.67
03-14-2012	02-28-2013	120	Devon Energy Corporation New	8,721.32		6,513.45	-2,207.87	
08-18-2011	02-28-2013	336	E I Du Pont De Nemours & Co	14,874.72		16,158.05		1,283.33
03-14-2012	02-28-2013	14	E I Du Pont De Nemours & Co	740.46		673.25	-67.21	
12-17-2012	02-28-2013	32	E I Du Pont De Nemours & Co	1,422.94		1,538.86	115.92	
12-18-2012	02-28-2013	31	E I Du Pont De Nemours & Co	1,391.08		1,490.78	99.70	
08-03-2012	02-28-2013	55	Ecolab Inc	3,538.52		4,251.96	713.44	
08-06-2012	02-28-2013	10	Ecolab Inc	646.17		773.08	126.91	
08-09-2012	02-28-2013	11	Ecolab Inc	708.30		850.39	142.09	
08-18-2011	02-28-2013	685	Electronic Arts Inc	12,967.05		12,083.12		-883.93
08-18-2011	02-28-2013	354	Exxon Mobil Corp	25,070.28		31,714.15		6,643.87
12-21-2012	02-28-2013	39	Exxon Mobil Corp	3,402.03		3,493.93	91.90	
08-18-2011	02-28-2013	564	Fidelity National Information Services Inc	15,105.32		21,346.92		6,241.60
08-18-2011	02-28-2013	202	Genuine Parts Co	9,956.58		14,380.05		4,423.47
08-18-2011	02-28-2013	868	Intl Game Technology	12,611.03		13,870.82		1,259.79
02-20-2013	02-28-2013	61	Intl Game Technology	994.35		974.79	-19.56	
02-21-2013	02-28-2013	74	Intl Game Technology	1,188.51		1,182.54	-5.97	
08-18-2011	02-28-2013	414	International Paper Co	10,043.64		18,343.92		8,300.28
08-18-2011	02-28-2013	323	Kohls Corp	14,596.37		14,857.13		260.76
05-10-2012	02-28-2013	42	Kohls Corp	2,066.47		1,931.89	-134.58	
05-18-2012	02-28-2013	121	Kohls Corp	5,734.19		5,565.67	-168.52	
08-18-2011	02-28-2013	138	Laboratory Corp Amer Hldgs	11,034.48		12,270.68		1,236.20
08-13-2012	02-28-2013	8	Laboratory Corp Amer Hldgs	707.52		711.34	3.82	
12-23-2011	02-28-2013	70	Marsh & McLennan Companies Inc	2,217.27		2,601.14		383.87
12-27-2011	02-28-2013	106	Marsh & McLennan Companies Inc	3,378.48		3,938.87		560.39
12-29-2011	02-28-2013	84	Marsh & McLennan Companies Inc	2,680.77		3,121.37		440.60
01-04-2012	02-28-2013	94	Marsh & McLennan Companies Inc	2,972.02		3,492.96		520.94
03-14-2012	02-28-2013	116	Marsh & McLennan Companies Inc	3,805.91		4,310.46	504.55	
12-17-2012	02-28-2013	20	Marsh & McLennan Companies Inc	690.17		743.18	53.01	
12-18-2012	02-28-2013	45	Marsh & McLennan Companies Inc	1,555.06		1,672.16	117.10	
01-25-2013	02-28-2013	109	Marsh & McLennan Companies Inc	3,820.39		4,050.36	229.97	

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REALIZED GAINS AND LOSSES

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SMITH-PETTIT FOUNDATION
From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
08-08-2012	02-28-2013	70	McDonalds Corp	6,086.78		6,707.95	621.17	
08-13-2012	02-28-2013	69	McDonalds Corp	6,066.56		6,612.12	545.56	
12-17-2012	02-28-2013	30	McDonalds Corp	2,690.61		2,874.83	184.22	
12-18-2012	02-28-2013	34	McDonalds Corp	3,078.64		3,258.15	179.51	
12-21-2012	02-28-2013	40	McDonalds Corp	3,576.74		3,833.11	256.37	
08-18-2011	02-28-2013	1,463	Microsoft Corp	36,370.18		40,831.41		4,461.23
08-18-2011	02-28-2013	233	National-Oilwell Varco Inc	14,855.25		15,939.19		1,083.94
05-18-2012	02-28-2013	120	National-Oilwell Varco Inc	7,755.75		8,209.03	453.28	
08-18-2011	02-28-2013	289	Northeast Utilities	9,294.09		12,015.47		2,721.38
11-08-2011	02-28-2013	49	Northeast Utilities	1,667.30		2,031.70		364.40
01-20-2012	02-28-2013	49	Northeast Utilities	1,639.04		2,031.70		392.66
01-24-2012	02-28-2013	150	Northeast Utilities	5,084.62		6,259.82		1,175.20
08-18-2011	02-28-2013	191	Occidental Pete Corp	15,706.84		15,745.69		38.85
06-27-2012	02-28-2013	96	Occidental Pete Corp	7,729.69		7,914.06	184.37	
08-18-2011	02-28-2013	922	Oracle Corporation	23,114.54		31,734.52		8,619.98
04-12-2012	02-28-2013	92	Pepsico Inc	6,019.39		6,978.04	958.65	
04-13-2012	02-28-2013	48	Pepsico Inc	3,129.79		3,640.72	510.93	
04-17-2012	02-28-2013	25	Pepsico Inc	1,658.41		1,896.21	237.80	
04-18-2012	02-28-2013	20	Pepsico Inc	1,323.30		1,516.96	193.66	
07-27-2012	02-28-2013	10	Pepsico Inc	724.00		758.48	34.48	
07-30-2012	02-28-2013	24	Pepsico Inc	1,739.66		1,820.36	80.70	
07-31-2012	02-28-2013	12	Pepsico Inc	872.23		910.18	37.95	
08-01-2012	02-28-2013	18	Pepsico Inc	1,306.50		1,365.27	58.77	
08-03-2012	02-28-2013	76	Pepsico Inc	5,534.37		5,764.47	230.10	
08-18-2011	02-28-2013	268	Praxair Inc	24,957.21		30,409.09		5,451.88
08-18-2011	02-28-2013	257	Rockwell Collins Inc	11,523.88		15,456.21		3,932.33
08-06-2012	02-28-2013	8	Smucker J M Company	612.87		765.66	152.79	
08-07-2012	02-28-2013	8	Smucker J M Company	612.41		765.66	153.25	
08-09-2012	02-28-2013	8	Smucker J M Company	613.20		765.66	152.46	
08-10-2012	02-28-2013	8	Smucker J M Company	613.45		765.66	152.21	
08-14-2012	02-28-2013	7	Smucker J M Company	545.78		669.96	124.18	
08-15-2012	02-28-2013	7	Smucker J M Company	551.00		669.95	118.95	
08-16-2012	02-28-2013	8	Smucker J M Company	631.20		765.66	134.46	
08-17-2012	02-28-2013	13	Smucker J M Company	1,083.52		1,244.20	160.68	
08-22-2012	02-28-2013	6	Smucker J M Company	503.64		574.25	70.61	
08-23-2012	02-28-2013	7	Smucker J M Company	587.76		669.96	82.20	
08-27-2012	02-28-2013	6	Smucker J M Company	511.63		574.25	62.62	
09-24-2012	02-28-2013	7	Smucker J M Company	612.92		669.95	57.03	
09-25-2012	02-28-2013	8	Smucker J M Company	694.44		765.66	71.22	
09-26-2012	02-28-2013	6	Smucker J M Company	517.35		574.25	56.90	
09-28-2012	02-28-2013	9	Smucker J M Company	774.60		861.37	86.77	
10-01-2012	02-28-2013	5	Smucker J M Company	435.20		478.54	43.34	
10-03-2012	02-28-2013	7	Smucker J M Company	610.48		669.96	59.48	
10-08-2012	02-28-2013	18	Smucker J M Company	1,540.92		1,722.74	181.82	
08-18-2011	02-28-2013	1,133	Staples Inc	15,295.50		14,943.93		-351.57
08-18-2011	02-28-2013	802	Td Ameritrade Hldg Corp	11,524.74		15,237.94		3,713.20
08-18-2011	02-28-2013	566	Tpx Companies Inc New	14,939.57		25,627.90		10,688.33
08-18-2011	02-28-2013	782	Texas Instruments Incorporated	20,027.02		27,017.49		6,990.47
08-18-2011	02-28-2013	272	Thermo Fisher Scientific Inc	13,844.80		20,168.34		6,323.54
08-18-2011	02-28-2013	413	Time Warner Inc	11,877.88		22,078.57		10,200.69
08-01-2012	02-28-2013	109	Time Warner Inc	4,332.35		5,827.03	1,494.68	
08-18-2011	02-28-2013	182	The Travelers Companies Inc	9,059.89		14,705.33		5,645.44
03-13-2012	02-28-2013	72	United Technologies Corp	6,172.59		6,545.37	372.78	
03-14-2012	02-28-2013	70	United Technologies Corp	6,044.90		6,363.56	318.66	

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Barclays Wealth and Investment Management
REALIZED GAINS AND LOSSES
Account 834-95451-1-4-196
SMITH-PETTIT FOUNDATION
From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
08-18-2011	02-28-2013	438	Unitedhealth Group Inc	19,197.54		23,524.45		4,326.91
08-18-2011	02-28-2013	352	Ventas Inc	16,612.95		25,019.59		8,406.64
08-18-2011	02-28-2013	189	Visa Inc Cl A Common Stock	15,274.54		30,086.23		14,811.69
08-18-2011	02-28-2013	617	Wisconsin Energy Corp	18,670.42		25,481.52		6,811.10
TOTAL GAINS							29,762.05	245,687.13
TOTAL LOSSES							-9,747.40	-3,897.57
TOTAL REALIZED GAIN/LOSS				1,058,491.37	0.00	1,320,295.58	20,014.65	241,789.56
NO CAPITAL GAINS DISTRIBUTIONS				261,804.21				

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Barclays Wealth and Investment Management
REALIZED GAINS AND LOSSES
Account 834-61969-1-1-196
SMITH-PETTIT FOUNDATION ADVENT CAPITAL MAN
From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
03-15-2013	03-15-2013	2.000	Alliant Techsystems Inc Conv Sr Sub Nt 3 000% Due 08-15-24	2,158 00	0 00	2,153 01	-4 99	
03-15-2013	03-19-2013	6 000	Medtronic Inc Conv 1 625% Due 04-15-13	6,006 00	-0 77	6 003 60	-1 63	
03-15-2013	03-20-2013	14,000	United Sts Stl Corp New Sr Nt Conv 4% 4 000% Due 05-15-15	14,602 00	-3 73	14 737 80	139 53	
03-14-2013	03-26-2013	1,000	Annaly Cap Mgmt Inc Conv 5 000% Due 05-15-15	1,031 00	-0 45	1,036 25	5 70	
03-14-2013	03-26-2013	3,000	Lifepoint Hospitals Inc Cvt Notes 3 500% Due 05-15-14	3,219 00	-6 25	3 269 70	56 95	
03-14-2013	03-28-2013	9,000	Boston Pptys Ltd Partnership Sr Nt Exchangeable Conv 3 750% Due 05-15-36	9 522 00	-0 57	9 258 75	-262 68	
03-15-2013	04-01-2013	2,000	Priceline com Inc SR NT Conv 1 000% Due 03-15-18	2,210 00	-2 01	2 214 93	6 94	
03-08-2013	04-01-2013	2,000	Radian Group Inc Sr Nt Conv 3 000% Due 11-15-17	2,284 88	-3 99	2 425 27	144 38	
03-08-2013	04-04-2013	3,000	Radian Group Inc Sr Nt Conv 3 000% Due 11-15-17	3,427 32	-6 74	3 510 59	90 01	
03-14-2013	04-09-2013	2,000	Endo Pharmaceuticals Hldgs Inc Sr Sub Nt Conv 1 750% Due 04-15-15	2,402 50	-14 75	2,570 00	182 25	
03-15-2013	04-15-2013	2,000	Priceline com Inc SR NT Conv 1 000% Due 03-15-18	2,210 00	-3 66	2,284 89	78 55	
03-18-2013	04-15-2013	2,000	Priceline com Inc SR NT Conv 1 000% Due 03-15-18	2,195 00	-3 06	2,284 90	92 96	
03-14-2013	04-18-2013	3,000	Endo Pharmaceuticals Hldgs Inc Sr Sub Nt Conv 1 750% Due 04-15-15	3,603 75	-29 75	3 981 00	407 00	
03-15-2013	04-18-2013	5 000	Intel Corp Jr Sub Deb Conv 2 950% Due 12-15-35	5,237 50	-0 71	5,381 67	144 88	
03-06-2013	04-22-2013	4 000	Hologic Inc 2 0% Cvt Senior Notes 0 000% Due 12-15-37	4,015 00	-0 08	4,020 00	5 08	
03-14-2013	04-24-2013	6,000	Caci Intl Inc Sr Sub Nt Conv 2 125% Due 05-01-14	6,636 00	-65 36	6,753 72	183 08	
03-06-2013	04-24-2013	4,000	Hologic Inc 2 0% Cvt Senior Notes 0 000% Due 12-15-37	4,015 00	-0 08	4,022 00	7 08	
03-13-2013	04-25-2013	2,000	Alpha Nat Res Inc Sr Nt Conv 2 375% Due 04-15-15	1,895 00	5 63	1,877 50	-23 13	
03-14-2013	04-25-2013	6 000	Electronic Arts Inc Sr Nt Conv 0 750% Due 07-15-16	5,916 00	2 84	5,827 50	-91 34	
04-22-2013	04-25-2013	5 000	Microsoft Corp Sr Nt Conv 144a 0 000% Due 06-15-13	5,032 50	-1 81	5,137 50	106 81	

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Barclays Wealth and Investment Management
REALIZED GAINS AND LOSSES
Account 834-61969-1-1-196
SMITH-PETTIT FOUNDATION ADVENT CAPITAL MAN
From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
03-15-2013	04-26-2013	3,000	Theravance Inc Conv 3 000% Due 01-15-15	3 585 00	-38 83	4,014 95	468 78	
04-22-2013	04-26-2013	2,000	Theravance Inc Conv 3 000% Due 01-15-15	2,638 62	-4 51	2,676 63	42 52	
03-15-2013	04-30-2013	3 000	Illumina Inc Sr Nt Conv 0 250% Due 03-15-16	2 907 00	3 83	3,120 00	209 17	
03-14-2013	05-02-2013	2 000	Netapp Inc Sr Nt Conv 1 750% Due 06-01-13	2 199 00	-125 55	2,135 00	61 55	
04-22-2013	05-06-2013	2,000	Microsoft Corp Sr Nt Conv 144a 0 000% Due 06-15-13	2 013 00	-3 38	2,080 00	70 38	
04-03-2013	05-07-2013	2,000	Radian Group Inc Sr Nt Conv 3 000% Due 11-15-17	2,304 75	-6 18	2,777 05	478 48	
03-13-2013	05-08-2013	11,000	Alpha Nat Res Inc Sr Nt Conv 2 375% Due 04-15-15	10,422 50	40 37	10,780 00	317 13	
03-14-2013	05-08-2013	9,000	Massey Energy Co Alpha Natural Resources Inc Sr Nt Conv 3 250% Due 08-01-15	8,572 50	25 45	8,910 00	312 05	
04-23-2013	05-09-2013	1	Chesapeake Energy Corp 5 75% Cumulative No	995 00		1 037 50	42 50	
03-14-2013	05-09-2013	3,000	Micron Technology Inc Sr A Cv Nt 1 5%31 1 500% Due 08-01-31	3,408 75	-3 19	3 693 75	288 19	
03-15-2013	05-14-2013	2,000	Molina Healthcare Inc Sr Nt Conv 3 750% Due 10-01-14	2,447 00	-50 67	2,624 58	228 25	
04-03-2013	05-14-2013	1 000	Radian Group Inc Sr Nt Conv 3 000% Due 11-15-17	1,152 37	-3 73	1 432 62	283 97	
04-19-2013	05-14-2013	2,000	Radian Group Inc Sr Nt Conv 3 000% Due 11-15-17	2,414 08	-6 39	2 865 23	457 54	
03-14-2013	05-14-2013	2,000	Salix Pharmaceuticals Ltd Conv Nts 1 500% Due 03-15-19	2,095 00	-2 59	2,340 00	247 59	
03-15-2013	05-20-2013	4,000	Group 1 Automotive Inc Sr Sub Nt Conv 2 250% Due 06-15-36	4,760 00	-5 07	4,841 88	86 95	
03-15-2013	05-20-2013	3,000	Illumina Inc Sr Nt Conv 0 250% Due 03-15-16	2 907 00	5 50	3 277 50	365 00	
03-14-2013	05-20-2013	5 000	Lam Research Corp Sr Nt C's 18 1 250% Due 05-15-18	5,390 00	-13 93	5,850 00	473 93	
03-13-2013	05-20-2013	4 000	Mgm Resorts International Sr Nt Conv 4 250% Due 04-15-15	4,300 00	-26 58	4,602 50	329 08	
04-22-2013	05-20-2013	1,000	Microsoft Corp Sr Nt Conv 144a 0 000% Due 06-15-13	1,006 50	-3 38	1,069 70	66 58	
04-24-2013	05-20-2013	2,000	Microsoft Corp Sr Nt Conv 144a 0 000% Due 06-15-13	2,018 00	-9 02	2,139 40	130 42	
04-24-2013	05-20-2013	2,000	Microsoft Corp Sr Nt Conv 144a 0 000% Due 06-15-13	2,020 00	-10 02	2,139 40	129 42	

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REALIZED GAINS AND LOSSES
Account 834-61969-1-1-196
SMITH-PETTIT FOUNDATION ADVENT CAPITAL MAN
From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
04-24-2013	05-21-2013	1.000	Microsoft Corp Sr Nt Conv 144a 0 000% Due 06-15-13	1,010 00	-5 20	1,070 00	65 20	
04-25-2013	05-21-2013	3.000	Microsoft Corp Sr Nt Conv 144a 0 000% Due 06-15-13	3,060 00	-30 74	3,210 00	180 74	
04-25-2013	05-21-2013	1.000	Microsoft Corp Sr Nt Conv 144a 0 000% Due 06-15-13	1,023 75	-12 18	1,070 00	58 43	
04-25-2013	05-21-2013	4.000	Microsoft Corp Sr Nt Conv 144a 0 000% Due 06-15-13	4,095 00	-48 71	4,294 91	248 62	
05-07-2013	05-21-2013	5.000	Microsoft Corp Sr Nt Conv 144a 0 000% Due 06-15-13	5,154 50	-56 00	5,368 63	270 13	
03-15-2013	05-28-2013	4.000	Intel Corp Jr Sub Deb Conv 2 950% Due 12-15-35	4,190 00	-1 24	4,482 50	293 74	
03-15-2013	05-28-2013	3.000	Intel Corp Jr Sub Deb Conv 2 950% Due 12-15-35	3,142 50	-0 93	3,360 00	218 43	
03-20-2013	05-28-2013	2.000	Intel Corp Jr Sub Deb Conv 2 950% Due 12-15-35	2,100 00	-0 61	2,240 00	140 61	
03-28-2013	05-29-2013	5.000	Boston Pplys Ltd Partnership 144a Exchangeable Sr Nt 3 625% Due 02-15-14	5,187 50	-35 95	5,335 00	183 45	
03-14-2013	05-30-2013	3.000	Micron Technology Inc Sr A Cv Nt 1 5%31 1 500% Due 08-01-31	3,408 75	-4 39	3,918 75	514 39	
03-14-2013	05-31-2013	3	Bank Of America 7 25% Non Cumulative Perp C	3,624 00		3,625 43	1 43	
03-14-2013	06-03-2013	2	Bank Of America 7 25% Non Cumulative Perp C	2,416 00		2,352 80	-63 20	
03-15-2013	06-04-2013	5.000	Illumina Inc Sr Nt Conv 0 250% Due 03-15-16	4,845 00	11 25	5,337 50	481 25	
03-15-2013	06-04-2013	3.000	SI Green Oper Partnership I P Sr Nt Exch 144a 3 000% Due 10-15-17	3,549 00	-27 07	3,577 50	55 57	
03-14-2013	06-10-2013	4	Bank Of America 7 25% Non Cumulative Perp C	4,832 00		4,639 91	-192 09	
03-14-2013	06-11-2013	3.000	Salix Pharmaceuticals Ltd Conv Nts 1 500% Due 03-15-19	3,142 50	-5 67	3,593 95	457 12	
03-14-2013	06-12-2013	4	Bank Of America 7 25% Non Cumulative Perp C	4,832 00		4,556 09	-275 91	
05-06-2013	06-14-2013	3	Chesapeake Energy Corporation Pfd Conv 5 7	3,150 00		3,165 00	15 00	
05-20-2013	06-17-2013	9.000	General Cable Corp Del New Sr Nt Conv 0 875% Due 11-15-13	9,000 00	0 00	9,000 00	0 00	
03-14-2013	06-18-2013	2.000	Hornbeck Offshore Svcs Inc New 1 375% Due 11-15-26	2,144 00	-2 62	2,341 28	199 90	
03-14-2013	06-18-2013	1.000	Micron Technology Inc Sr A Cv Nt 1 5%31 1 500% Due 08-01-31	1,136 25	-1 83	1,437 52	303 10	

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Account 834-61969-1-1-196
SMITH-PETTIT FOUNDATION ADVENT CAPITAL MAN
From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
04-15-2013	06-18-2013	2.000	Micron Technology Inc Sr A Cv Ntl 5%31 1 500% Due 08-01-31	2,303.75	-2.75	2,875.04	574.04	
04-24-2013	06-18-2013	3.000	Micron Technology Inc Sr A Cv Ntl 5%31 1 500% Due 08-01-31	3,379.41	-2.92	4,312.57	936.08	
03-14-2013	06-19-2013	3	Bank Of America 7.25% Non Cumulative Perp C	3,624.00		3,377.48	-246.52	
05-20-2013	06-25-2013	1.000	General Cable Corp Del New Sr Ntl Conv 0 875% Due 11-15-13	1,000.00	0.00	998.00	-2.00	
05-21-2013	06-25-2013	5.000	General Cable Corp Del New Sr Ntl Conv 0 875% Due 11-15-13	5,012.50	-2.46	4,990.00	-20.04	
05-23-2013	06-25-2013	2.000	General Cable Corp Del New Sr Ntl Conv 0 875% Due 11-15-13	2,005.00	-0.94	1,996.00	-8.06	
06-03-2013	06-26-2013	5.000	Massey Energy Co Alpha Natural Resources Inc Sr Ntl Conv 3 250% Due 08-01-15	4,959.38	1.14	4,500.00	-460.52	
06-18-2013	06-26-2013	3.000	Massey Energy Co Alpha Natural Resources Inc Sr Ntl Conv 3 250% Due 08-01-15	2,827.50	1.67	2,700.00	-129.17	
03-15-2013	06-28-2013	2.000	Illumina Inc Sr Ntl Conv 0 250% Due 03-15-16	1,938.00	5.84	2,170.38	226.54	
06-24-2013	07-01-2013	2	Bank Of America 7.25% Non Cumulative Perp C	2,190.00		2,239.96	49.96	
06-06-2013	07-09-2013	2.000	Priceline com Inc SR Ntl Conv 1 000% Due 03-15-18	2,280.60	-5.54	2,438.53	163.47	
06-13-2013	07-09-2013	4.000	Take Two Interactive Software Inc Ntl 144a 1 750% Due 12-01-16	4,466.94	-9.83	4,464.15	7.05	
03-15-2013	07-12-2013	2.000	Broadsoft Inc Conv 1 500% Due 07-01-18	2,062.00	-3.73	2,091.72	33.45	
03-15-2013	07-12-2013	2.000	Illumina Inc Sr Ntl Conv 0 250% Due 03-15-16	1,938.00	6.62	2,185.32	240.70	
03-20-2013	07-12-2013	1.000	Illumina Inc Sr Ntl Conv 0 250% Due 03-15-16	967.50	3.33	1,092.66	121.83	
03-21-2013	07-12-2013	1.000	Illumina Inc Sr Ntl Conv 0 250% Due 03-15-16	963.75	3.68	1,092.66	125.23	
04-03-2013	07-15-2013	4.000	Ciena Corp Sr Ntl 4%20 4 000% Due 12-15-20	4,690.00	-23.79	5,469.65	803.44	
06-13-2013	07-18-2013	1.000	Take Two Interactive Software Inc Ntl 144a 1 750% Due 12-01-16	1,116.73	-3.31	1,142.27	28.84	
06-13-2013	07-18-2013	3.000	Take Two Interactive Software Inc Ntl 144a 1 750% Due 12-01-16	3,340.83	-9.65	3,426.82	95.63	
03-14-2013	07-22-2013	4.000	Electronic Arts Inc Sr Ntl Conv 0 750% Due 07-15-16	3,944.00	5.87	4,250.00	300.13	

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Barclays Wealth and Investment Management
REALIZED GAINS AND LOSSES
Account 834-61969-1-1-196
SMITH-PETTIT FOUNDATION ADVENT CAPITAL MAN
From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
03-15-2013	07-22-2013	4,000	SI Green Oper Partnership L P Sr Nt Exch 144a 3 000% Due 10-15-17	4,732.00	-57.45	5,018.02	343.47	
03-15-2013	07-23-2013	2,000	Group 1 Automotive Inc Sr Sub Nt Conv 2 250% Due 06-15-36	2,380.00	-4.99	2,466.49	91.48	
04-30-2013	07-23-2013	1,000	Group 1 Automotive Inc Sr Sub Nt Conv 2,250% Due 06-15-36	1,172.40	-1.46	1,233.24	62.30	
05-01-2013	07-23-2013	1,000	Group 1 Automotive Inc Sr Sub Nt Conv 2 250% Due 06-15-36	1,162.22	-1.35	1,233.25	72.38	
03-13-2013	07-23-2013	5,000	Mgm Resorts International Sr Nt Conv 4 250% Due 04-15-15	5,375.00	-64.52	5,813.87	503.39	
06-13-2013	07-24-2013	3,000	Take Two Interactive Software Inc Nt 144a 1 750% Due 12-01-16	3,340.83	-11.30	3,440.63	111.09	
06-24-2013	07-24-2013	2,000	Take Two Interactive Software Inc Nt 144a 1 750% Due 12-01-16	2,172.50	-4.17	2,293.76	125.43	
06-06-2013	07-25-2013	3,000	Priceline com Inc SR Nt Conv 1 000% Due 03-15-18	3,420.89	-12.34	3,682.50	273.94	
03-14-2013	07-30-2013	4,000	Salix Pharmaceuticals Ltd Conv Nts 1 500% Due 03-15-19	4,190.00	-11.73	5,196.92	1,018.65	
03-14-2013	07-30-2013	5,000	Starwood Ppty Tr Inc Sr Nt Conv 4 550% Due 03-01-18	5,425.00	-30.39	5,293.26	-101.35	
03-15-2013	07-31-2013	8,000	Nuvasive Inc Conv 2 750% Due 07-01-17	7,720.00	22.92	7,803.43	60.51	
03-14-2013	08-01-2013	3,000	Hornbeck Offshore Svcs Inc New 1 375% Due 11-15-26	3,216.00	-5.73	3,624.60	414.33	
06-18-2013	08-05-2013	5,000	Micron Technology Inc Conv Nt 1 875% Due 06-01-27	6,362.50	-12.89	6,548.35	198.74	
05-20-2013	08-07-2013	4,000	Alpha Nat Res Inc Sr Nt Conv 2 375% Due 04-15-15	3,945.00	6.09	3,630.00	-321.09	
05-20-2013	08-08-2013	5,000	Alpha Nat Res Inc Sr Nt Conv 2 375% Due 04-15-15	4,931.25	7.71	4,543.75	-395.21	
06-06-2013	08-08-2013	1,000	Priceline com Inc SR Nt Conv 1 000% Due 03-15-18	1,140.30	-5.28	1,234.99	99.98	
06-07-2013	08-08-2013	4,000	Priceline com Inc SR Nt Conv 1 000% Due 03-15-18	4,610.09	-22.75	4,939.96	352.62	
03-15-2013	08-12-2013	4,000	Dendreon Corp Conv Sr Nts 2 875% Due 01-15-16	3,260.00	94.20	2,630.00	-724.20	
07-25-2013	08-29-2013	3,000	General Cable Corp Del New Sr Nt Conv 0 875% Due 11-15-13	3,001.50	-0.46	2,997.00	-4.04	

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Barclays Wealth and Investment Management
REALIZED GAINS AND LOSSES
Account 834-61969-1-1-196
SMITH-PETTIT FOUNDATION ADVENT CAPITAL MAN
From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
03-14-2013	09-03-2013	3.000	Electronic Arts Inc Sr Nt Conv 0.750% Due 07-15-16	2,958.00	5.86	3,373.22	409.36	
03-15-2013	09-03-2013	4.000	Navistar Intl Corp New Sr Sub Nt Conv 3.000% Due 10-15-14	4,120.00	-35.43	4,018.56	-66.01	
06-28-2013	09-03-2013	2.000	Starwood Property Trust Conv Notes 4.000% Due 01-15-19	2,010.00	-0.30	2,071.76	62.06	
07-30-2013	09-04-2013	3.000	Micron Technology Inc Sr Nt Conv 1.875% Due 06-01-14	3,277.50	-33.71	3,476.82	233.03	
03-14-2013	09-05-2013	3.000	On Semiconductor Corp Sr Conv 2.625% Due 12-15-26	3,123.00	-3.67	3,013.13	-106.20	
07-30-2013	09-09-2013	2.000	Micron Technology Inc Sr Nt Conv 1.875% Due 06-01-14	2,185.00	-25.58	2,372.84	213.42	
08-15-2013	09-09-2013	4.000	Micron Technology Inc Sr Nt Conv 1.875% Due 06-01-14	4,570.00	-51.85	4,745.67	227.52	
08-29-2013	09-09-2013	4.000	Micron Technology Inc Sr Nt Conv 1.875% Due 06-01-14	4,498.77	-20.88	4,745.67	267.78	
03-15-2013	09-11-2013	3.000	Level 3 Communications Inc Sr Nt Conv 7.000% Due 03-15-15	3,534.00	-133.38	3,625.92	225.30	
05-21-2013	09-19-2013	5.000	Alpha Nat Res Inc Sr Nt Conv 2.375% Due 04-15-15	4,943.75	9.58	4,675.00	-278.33	
06-28-2013	09-19-2013	1.000	Alpha Nat Res Inc Sr Nt Conv 2.375% Due 04-15-15	920.00	9.57	935.00	5.43	
06-25-2013	09-24-2013	4.000	Take Two Interactive Software Inc Conv Sr Note 1.000% Due 07-01-18	3,816.03	8.72	4,322.64	497.89	
07-09-2013	09-25-2013	1.000	Kia Sr Nt 3.000% Due 12-15-15	1,086.97	-7.68	1,151.06	71.76	
03-13-2013	09-25-2013	2.000	Mgm Resorts International Sr Nt Conv 4.250% Due 04-15-15	2,150.00	-38.34	2,525.00	413.34	
03-19-2013	09-25-2013	2.000	Mgm Resorts International Sr Nt Conv 4.250% Due 04-15-15	2,160.00	-40.04	2,525.00	405.04	
06-25-2013	09-25-2013	2.000	Take Two Interactive Software Inc Conv Sr Note 1.000% Due 07-01-18	1,908.02	4.41	2,186.02	273.60	
09-11-2013	09-25-2013	2.000	Take Two Interactive Software Inc Conv Sr Note 1.000% Due 07-01-18	2,109.83	-0.88	2,186.03	77.08	
07-25-2013	10-08-2013	6.000	General Cable Corp Del New Sr Nt Conv 0.875% Due 11-15-13	6,003.00	-1.99	5,994.00	-7.01	
08-07-2013	10-08-2013	3.000	General Cable Corp Del New Sr Nt Conv 0.875% Due 11-15-13	3,004.50	-2.79	2,997.00	-4.71	

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Barclays Wealth and Investment Management
REALIZED GAINS AND LOSSES
Account 834-61969-1-1-196
SMITH-PETTIT FOUNDATION ADVENT CAPITAL MAN
From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
08-12-2013	10-08-2013	5,000	General Cable Corp Del New Sr Nt Conv 0 875% Due 11-15-13	5 010 00	-6 00	4,995 00	-9 00	
03-14-2013	10-11-2013	6,000	Hornbeck Offshore Svcs Inc New 1 375% Due 11-15-26	6,432 00	-17 29	7 105 70	690 99	
03-15-2013	10-15-2013	5	Interpublic Group Cos Inc Perp Pfd Conv Sc	6 118 75		6 583 06	464 31	
03-14-2013	10-18-2013	4,000	Hornbeck Offshore Svcs Inc New 1 375% Due 11-15-26	4 288 00	-11 91	4,787 75	511 66	
06-24-2013	10-18-2013	4,000	Hornbeck Offshore Svcs Inc New 1 375% Due 11-15-26	4,471 42	-10 84	4,787 75	327 17	
03-13-2013	10-24-2013	6 000	Clearwire Communications Llc / Clearwire Fin Inc Nt Exchangeable 144a 8 250% Due 12-01-40	6,592 50	-4 17	6 795 00	206 67	
03-21-2013	10-30-2013	1,000	Level 3 Communications Inc Sr Nt Conv 7 000% Due 03-15-15	1 168 75	-52 47	1,273 92	157 64	
06-06-2013	10-30-2013	2,000	Level 3 Communications Inc Sr Nt Conv 7 000% Due 03-15-15	2 345 49	-79 30	2,547 83	281 64	
08-01-2013	10-30-2013	1,000	Level 3 Communications Inc Sr Nt Conv 7 000% Due 03-15-15	1,188 71	-29 57	1 273 92	114 78	
08-01-2013	11-01-2013	4,000	Level 3 Communications Inc Sr Nt Conv 7 000% Due 03-15-15	4 754 85	-120 90	5,220 28	586 33	
03-15-2013	11-07-2013	5,000	Auxilium Pharmaceuticals Inc Conv 1 500% Due 07-15-18	5,105 00	-12 45	5,246 33	153 78	
05-31-2013	11-08-2013	1,000	Omnicare Inc Sr Deb Conv 3 250% Due 12-15-35	1,036 25	-0 50	1,065 00	29 25	
05-31-2013	11-08-2013	2,000	Omnicare Inc Sr Deb Conv 3.250% Due 12-15-35	2,077 50	-1 07	2,130 00	53 57	
03-14-2013	11-11-2013	3,000	Hologic Inc Sr Nt Conv 2 000% Due 03-01-42	3,142 50	-2 51	3 142 35	2 36	
03-14-2013	11-26-2013	5,000	Newmont Mng Corp Conv 1 625% Due 07-15-17	5,944 03	-160 17	5,249 42	-534 44	
03-14-2013	12-05-2013	3	Lucent Technologies Cap Tr I Conv Tr Pfd S	2,767 50		3,009 95	242 45	
03-14-2013	12-05-2013	2	Lucent Technologies Cap Tr I Conv Tr Pfd S	1,824 00		2,006 63	182 63	
03-14-2013	12-05-2013	10	Lucent Technologies Cap Tr I Conv Tr Pfd S	9,120 00		10,019 82	899 82	
08-28-2013	12-05-2013	1	Lucent Technologies Cap Tr I Conv Tr Pfd S	981 25		1,001 98	20 73	
03-15-2013	12-06-2013	2,000	Auxilium Pharmaceuticals Inc Conv 1 500% Due 07-15-18	2,042 00	-5 59	2,253 14	216 73	

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Barclays Wealth and Investment Management
REALIZED GAINS AND LOSSES
Account 834-61969-1-1-196
SMITH-PETTIT FOUNDATION ADVENT CAPITAL MAN
From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
05-29-2013	12-10-2013	12,000	***Goldcorp Inc New Sr Nt Conv 2 000% Due 08-01-14	12,262.50	-119.27	12,044.40	-98.83	
08-07-2013	12-10-2013	3,000	***Goldcorp Inc New Sr Nt Conv 2 000% Due 08-01-14	3,028.50	-9.84	3,011.10	-7.51	
11-05-2013	12-13-2013	2,000	Alpha Nat Res Inc Sr Nt Conv 2 375% Due 04-15-15	1,927.50	5.07	1,985.00	52.43	
12-06-2013	12-13-2013	2,000	Alpha Nat Res Inc Sr Nt Conv 2 375% Due 04-15-15	1,942.50	0.79	1,985.00	41.71	
11-06-2013	12-13-2013	3,000	Massey Energy Co Alpha Natural Resources Inc Sr Nt Conv 3 250% Due 08-01-15	2,917.50	4.63	2,985.00	62.87	
12-06-2013	12-13-2013	2,000	Massey Energy Co Alpha Natural Resources Inc Sr Nt Conv 3 250% Due 08-01-15	1,965.00	0.39	1,990.00	24.61	
10-11-2013	12-16-2013	4,000	Ttm Technologies Inc Sr Nt Conv 3 250% Due 05-15-15	4,102.50	-11.51	4,120.00	29.01	
03-14-2013	12-17-2013	4,000	Annaly Cap Mgmt Inc Conv 5 000% Due 05-15-15	4,124.00	-42.46	4,045.00	-36.54	
TOTAL GAINS							26,598.25	0.00
TOTAL LOSSES							-4,475.74	0.00
TOTAL REALIZED GAIN/LOSS							22,122.51	0.00
NO CAPITAL GAINS DISTRIBUTIONS								

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Barclays Wealth and Investment Management
REALIZED GAINS AND LOSSES
Account 834-61968-1-2-196
SMITH-PETTIT FOUNDATION FEDERATED
From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
03-11-2013	04-19-2013	103	***GlaxoSmithKline Plc Sponsored ADR(Frm G	4,588.56		5,176.34	587.78	
03-11-2013	05-08-2013	190	Eli Lilly & Co	10,484.20		10,386.14	-98.06	
03-11-2013	06-05-2013	226	Bristol Myers Squibb Co	8,511.16		10,421.86	1,910.70	
03-11-2013	06-05-2013	362	Windstream Hldgs Inc Com	3,138.54		2,865.94	-272.60	
03-11-2013	07-08-2013	150	Bristol Myers Squibb Co	5,649.00		6,627.22	978.22	
03-11-2013	09-13-2013	36	***National Grid Plc New Sponsored ADR	1,979.77		2,113.64	133.87	
03-11-2013	09-17-2013	62	***Bce Inc New	2,835.88		2,616.80	-219.08	
03-11-2013	12-04-2013	72	***Astrazeneca Plc Sponsored ADR	3,276.00		4,073.37	797.37	
03-11-2013	12-04-2013	110	***Vodafone Group Plc Sponsored ADR No Par	3,047.00		4,032.26	985.26	
TOTAL GAINS							5,393.21	0.00
TOTAL LOSSES							-589.74	0.00
							4,803.47	0.00
TOTAL REALIZED GAIN/LOSS				43,510.10	0.00	48,313.57	4,803.47	0.00
NO CAPITAL GAINS DISTRIBUTIONS								

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Barclays Wealth and Investment Management
REALIZED GAINS AND LOSSES
Account 834-61970-1-8-196
SMITH-PETTIT FOUNDATION UNIPLAN REAL EST ADV
From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
03-06-2013	07-22-2013	10	Cbl & Associates Pptys Inc Dep Shs Rep 1/1	257.50		250.79	-6.71	
03-06-2013	07-23-2013	10	Cbl & Associates Pptys Inc Dep Shs Rep 1/1	257.50		250.99	-6.51	
03-06-2013	08-06-2013	50	American Tower Corporation Reit	3,913.00		3,520.54	-392.46	
03-06-2013	08-06-2013	60	American Tower Corporation Reit	4,695.60		4,227.94	-467.66	
03-06-2013	08-15-2013	30	American Campus Communities Inc	1,380.89		1,064.21	-316.68	
03-06-2013	08-15-2013	30	American Campus Communities Inc	1,380.89		1,064.87	-316.02	
03-06-2013	08-15-2013	30	American Campus Communities Inc	1,380.89		1,066.20	-314.69	
03-06-2013	08-15-2013	30	American Campus Communities Inc	1,380.89		1,069.56	-311.33	
03-06-2013	08-15-2013	60	American Campus Communities Inc	2,761.79		2,136.94	-624.85	
03-06-2013	11-13-2013	40	Rayonier Inc Reit	2,291.60		1,776.66	-514.94	
03-06-2013	11-13-2013	10	Simon Property Group Inc	1,623.70		1,489.37	-134.33	
03-06-2013	11-14-2013	40	Rayonier Inc Reit	2,291.60		1,725.41	-566.19	
03-06-2013	11-14-2013	20	Simon Property Group Inc	3,247.40		3,042.52	-204.88	
03-06-2013	11-15-2013	20	Rayonier Inc Reit	1,145.80		874.29	-271.51	
03-06-2013	11-15-2013	40	Rayonier Inc Reit	2,291.60		1,743.02	-548.58	
TOTAL GAINS							0.00	0.00
TOTAL LOSSES							-4,997.35	0.00
TOTAL REALIZED GAIN/LOSS							-4,997.35	0.00
NO CAPITAL GAINS DISTRIBUTIONS								

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REALIZED GAINS AND LOSSES
Account 834-61142-1-1-196
SMITH-PETTIT FOUNDATION DOLAN MCENIRY CM
From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
08-17-2012	01-15-2013	234.55	Fedl Home Loan Mtg Corp#d99351 3 500% Due 08-01-32	247.38	0.00	234.55	-12.83	
08-09-2012	01-15-2013	587.93	Fedl Home Loan Mtg Corp#c91467 3 500% Due 07-01-32	626.33	0.00	587.93	-38.40	
08-02-2012	01-25-2013	1,005.64	Fnma Gtd Pass Thru Pool#ma0776 4 500% Due 06-01-31	1,099.60	0.00	1,005.64	-93.96	
10-12-2012	01-25-2013	4,774.25	Washington Mutual Mortgage Securities Comitgpc/series 2003-S6 I-A-Fixed 4 500% Due 07-25-18	4,827.73	0.00	4,774.25	-53.48	
08-17-2012	02-15-2013	236.54	Fedl Home Loan Mtg Corp#d99351 3 500% Due 08-01-32	249.48	0.00	236.54	-12.94	
08-09-2012	02-15-2013	1,225.65	Fedl Home Loan Mtg Corp#c91467 3 500% Due 07-01-32	1,305.70	0.00	1,225.65	-80.05	
08-02-2012	02-25-2013	1,370.76	Fnma Gtd Pass Thru Pool#ma0776 4 500% Due 06-01-31	1,498.84	0.00	1,370.76	-128.08	
10-12-2012	02-25-2013	1,273.55	Washington Mutual Mortgage Securities Comitgpc/series 2003-S6 I-A-Fixed 4 500% Due 07-25-18	1,287.82	0.00	1,273.55	-14.27	
10-18-2012	02-26-2013	30,000	Bmc Software Inc 7.250% Due 06-01-18	35,951.70	-347.65	35,532.30	-71.75	
08-17-2010	02-27-2013	50,000	Lexmark Intl Inc Sr Seed Nt 5.900% Due 06-01-14	53,050.00	-1,985.46	50,562.50		-502.04
03-01-2011	03-12-2013	10,000	American Tower Corp Sr Nt 4 500% Due 01-15-18	9,898.70	26.65	10,967.50		1,042.15
08-17-2012	03-15-2013	234.81	Fedl Home Loan Mtg Corp#d99351 3 500% Due 08-01-32	247.65	0.00	234.81	-12.84	
08-09-2012	03-15-2013	684.40	Fedl Home Loan Mtg Corp#c91467 3 500% Due 07-01-32	729.10	0.00	684.40	-44.70	
08-02-2012	03-25-2013	1,186.74	Fnma Gtd Pass Thru Pool#ma0776 4 500% Due 06-01-31	1,297.63	0.00	1,186.74	-110.89	
10-12-2012	03-25-2013	1,333.61	Washington Mutual Mortgage Securities Comitgpc/series 2003-S6 I-A-Fixed 4 500% Due 07-25-18	1,348.55	0.00	1,333.61	-14.94	
08-17-2012	04-15-2013	241.25	Fedl Home Loan Mtg Corp#d99351 3 500% Due 08-01-32	254.44	0.00	241.25	-13.19	
08-09-2012	04-15-2013	941.56	Fedl Home Loan Mtg Corp#c91467 3 500% Due 07-01-32	1,003.06	0.00	941.56	-61.50	

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Barclays Wealth and Investment Management
REALIZED GAINS AND LOSSES
Account 834-61142-1-1-196
SMITH-PETTIT FOUNDATION DOLAN MCENIRY CM
From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
08-02-2012	04-25-2013	1,216.49	Enma Gld Pass Thru Pool#ma0776 4 500% Due 06-01-31	1,330.16	0.00	1,216.49	-113.67	
10-12-2012	04-25-2013	1,231.74	Washington Mutual Mortgage Securities Comtgp/cseries 2003-S6 I-A-Fixed 4 500% Due 07-25-18	1,245.54	0.00	1,231.74	-13.80	
04-30-2012	05-01-2013	10,000	Best Buy Inc Sr Unsecured 5 500% Due 03-15-21	9,375.00	54.29	10,100.00		670.71
07-28-2010	05-13-2013	50,000	Masco Corp 6 125% Due 10-03-16	50,625.00	-254.68	56,031.25		5,660.93
02-17-2012	05-13-2013	5,000	Masco Corp 6 125% Due 10-03-16	5,256.25	-62.87	5,603.13		409.77
05-02-2012	05-13-2013	20,000	Masco Corp 6 125% Due 10-03-16	21,400.00	-302.45	22,412.50		1,314.96
08-17-2012	05-15-2013	2,394.77	Fedl Home Loan Mtg Corp#d99351 3 500% Due 08-01-32	2,525.73	0.00	2,394.77	-130.96	
08-09-2012	05-15-2013	685.73	Fedl Home Loan Mtg Corp#c91467 3 500% Due 07-01-32	730.52	0.00	685.73	-44.79	
08-02-2012	05-28-2013	1,320.88	Enma Gld Pass Thru Pool#ma0776 4 500% Due 06-01-31	1,444.30	0.00	1,320.88	-123.42	
10-12-2012	05-28-2013	1,316.84	Washington Mutual Mortgage Securities Comtgp/cseries 2003-S6 I-A-Fixed 4 500% Due 07-25-18	1,357.65	-26.00	1,316.84	-14.75	
07-25-2012	06-06-2013	65,000	***Tyco Electronics Group S A Sr Nt 6 550% Due 10-01-17	78,771.88	-2,190.15	76,713.00	131.27	
08-16-2010	06-07-2013	40,000	Brinker International Inc 5 750% Due 06-01-14	42,376.00	-1,725.05	42,117.20		1,466.25
03-28-2011	06-07-2013	5,000	Brinker International Inc 5 750% Due 06-01-14	5,276.25	-187.19	5,264.65		175.59
06-20-2012	06-07-2013	15,000	Brinker International Inc 5 750% Due 06-01-14	16,068.75	-523.93	15,793.95	249.13	
12-09-2010	06-14-2013	20,000	***Seagate Technology Hdd Hlds Sr Nt 6 800% Due 10-01-16	20,100.00	-38.59	23,250.00		3,188.59
12-15-2010	06-14-2013	30,000	***Seagate Technology Hdd Hlds Sr Nt 6 800% Due 10-01-16	30,150.00	-57.68	34,875.00		4,782.68
05-17-2012	06-14-2013	10,000	***Seagate Technology Hdd Hlds Sr Nt 6 800% Due 10-01-16	11,137.50	-261.98	11,625.00		749.48
08-17-2012	06-17-2013	229.96	Fedl Home Loan Mtg Corp#d99351 3 500% Due 08-01-32	242.54	0.00	229.96	-12.58	
08-09-2012	06-17-2013	707.50	Fedl Home Loan Mtg Corp#c91467 3 500% Due 07-01-32	753.71	0.00	707.50	-46.21	

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REALIZED GAINS AND LOSSES
Account 834-61142-1-1-196
SMITH-PETTIT FOUNDATION DOLAN MCENIRY CM
From 01-01-13 Through 12-31-13

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
08-09-2012	06-18-2013	73,141.71	1-edl Home Loan Mtg Corp#c91467 3 500% Due 07-01-32	77,918.79	0.00	76,295.95	-1,622.84	
08-02-2012	06-25-2013	1,287.95	Gamma Gld Pass Thru Pool#ma0776 4 500% Due 06-01-31	1,408.29	0.00	1,287.95	-120.34	
10-12-2012	06-25-2013	5,192.59	Washington Mutual Mortgage Securities Comtgnpc/series 2003-S6 I-A-Fixed 4 500% Due 07-25-18	5,353.53	-102.77	5,192.59	-58.17	
08-17-2012	06-25-2013	72,251.38	1-edl Home Loan Mtg Corp#d99351 3 500% Due 08-01-32	76,202.63	0.00	73,222.27	-2,980.36	
08-03-2010	07-15-2013	15,000	Interpublic Group Cos Inc Sr Nt 10 000% Due 07-15-17	17,587.50	-952.57	15,750.00		-884.93
08-10-2010	07-15-2013	20,000	Interpublic Group Cos Inc Sr Nt 10 000% Due 07-15-17	23,400.00	-1,246.08	21,000.00		-1,153.92
08-11-2010	07-15-2013	10,000	Interpublic Group Cos Inc Sr Nt 10 000% Due 07-15-17	11,650.00	-603.32	10,500.00		-546.68
05-03-2012	07-15-2013	25,000	Interpublic Group Cos Inc Sr Nt 10 000% Due 07-15-17	28,593.75	-723.35	26,250.00		-1,620.39
07-30-2012	07-15-2013	5,000	Interpublic Group Cos Inc Sr Nt 10 000% Due 07-15-17	5,650.00	-109.25	5,250.00	-290.74	
08-02-2012	07-25-2013	1,270.68	Gamma Gld Pass Thru Pool#ma0776 4 500% Due 06-01-31	1,389.41	0.00	1,270.68	-118.73	
10-12-2012	07-25-2013	5,510.83	Washington Mutual Mortgage Securities Comtgnpc/series 2003-S6 I-A-Fixed 4 500% Due 07-25-18	5,681.63	-109.07	5,510.83	-61.73	
03-11-2011	08-15-2013	20,000	Constellation Brands Inc Sr Nt 7 25%17 7 250% Due 05-15-17	21,700.00	-600.52	22,875.00		1,775.52
03-15-2011	08-15-2013	5,000	Constellation Brands Inc Sr Nt 7 25%17 7 250% Due 05-15-17	5,406.25	-142.93	5,718.75		455.43
03-15-2011	08-16-2013	10,000	Constellation Brands Inc Sr Nt 7 25%17 7 250% Due 05-15-17	10,812.50	-286.20	11,437.50		911.20
03-15-2011	08-19-2013	5,000	Constellation Brands Inc Sr Nt 7 25%17 7 250% Due 05-15-17	5,406.25	-143.62	5,712.50		449.87
06-16-2011	08-19-2013	10,000	Constellation Brands Inc Sr Nt 7 25%17 7 250% Due 05-15-17	10,950.00	-315.64	11,425.00		790.64

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
05-14-2012	08-19-2013	20.000	Constellation Brands Inc Sr Nt 7 25%17	22,800 00	-654 92	22,850 00		704 92
08-17-2010	08-21-2013	20 000	7 250% Due 05-15-17 Limited Brands Inc Notes	20,770 00	-296 07	22,425 00		1 951 07
08-02-2012	08-26-2013	1 055 94	6 900% Due 07-15-17 Fnma Gtd Pass Thru	1 154 60	0 00	1 055 94		-98 66
10-12-2012	08-26-2013	1,139 38	Pool#ma0776 4 500% Due 06-01-31 Washington Mutual	1,174 69	-22 55	1,139 38	-12 76	
08-05-2010	08-26-2013	50,000	Mortgage Securities Comtgpc/series 2003-S6 I-A-Fixed					
			4 500% Due 07-25-18 R R Donnelley & Sons Sr	51,671 00	-720 01	52 470 59		1,519 60
04-30-2012	08-26-2013	25,000	Unsecured Contra Cusip 6 125% Due 01-15-17 R R Donnelley & Sons Sr	23,937 50	263 34	26 235 29		2 034 45
05-08-2012	08-26-2013	10 000	Unsecured Contra Cusip 6 125% Due 01-15-17 R R Donnelley & Sons Sr	9,575 00	104 07	10 494 12		815 05
01-02-1950	09-06-2013	5,000	Unsecured Contra Cusip 6 125% Due 01-15-17 R R Donnelley & Sons Sr	0 00	0 00	5,512 50		5,512 50
02-27-2013	09-10-2013	35,000	Unsecured 6 125% Due 01-15-17 Bmc Software Inc Sr	35,310 80	-15 49	35 525 00	229 69	
11-13-2012	09-10-2013	50,000	Unsecured Contra Cusip 4 250% Due 02-15-22 Bmc Software Inc Sr	49 616 00	25 32	50,750 00	1 108 68	
08-02-2012	09-25-2013	891 10	4 500% Due 12-01-22 Fnma Gtd Pass Thru	974 36	0 00	891 10		-83 26
10-12-2012	09-25-2013	1,194 78	Pool#ma0776 4 500% Due 06-01-31 Washington Mutual	1,231 81	-23 65	1,194 78	-13 38	
08-02-2012	10-10-2013	36,332 34	Mortgage Securities Comtgpc/series 2003-S6 I-A-Fixed					
			4 500% Due 07-25-18 Fnma Gtd Pass Thru	39,727 14	0 00	38,921 02		-806 12
08-02-2012	10-25-2013	755 76	Pool#ma0776 4 500% Due 06-01-31 Fnma Gtd Pass Thru	826 38	0 00	755 76		-70 62
10-12-2012	10-25-2013	5,912 43	Pool#ma0776 4 500% Due 06-01-31 Washington Mutual	6,095 68	-117 02	5,912 43		-66 23
08-09-2013	11-14-2013	180 000	Mortgage Securities Comtgpc/series 2003-S6 I-A-Fixed					
			4 500% Due 07-25-18 United States Treasury Bill	179,978 39	21 61	180,000 00	0 00	
			Re-Issue 10/17/2013 0 000% Due 11-14-13					

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Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
03-01-2012	11-14-2013	5,000	Hertz Corp Sr Nt 6.75%19 6.750% Due 04-15-19	5,275.00	-56.05	5,362.50		143.55
03-12-2012	11-14-2013	5,000	Hertz Corp Sr Nt 6.75%19 6.750% Due 04-15-19	5,225.00	-45.03	5,362.50		182.53
03-16-2012	11-14-2013	10,000	Hertz Corp Sr Nt 6.75%19 6.750% Due 04-15-19	10,425.00	-84.51	10,725.00		384.54
10-12-2012	11-25-2013	1,126.15	Washington Mutual Mortgage Securities Comtgcpc/series 2003-S6 I-A-Fixed 4.500% Due 07-25-18	1,161.05	-22.29	1,126.15		-12.61
05-15-2012	11-27-2013	4,000	Altria Group Inc Contra Cusip 9.700% Due 11-10-18	5,531.76	-335.14	5,366.96		170.34
06-27-2012	11-27-2013	15,000	Altria Group Inc Contra Cusip 9.700% Due 11-10-18	20,767.65	-1,190.16	20,126.10		548.61
07-17-2013	11-27-2013	4,000	Altria Group Inc Contra Cusip 9.700% Due 11-10-18	5,386.48	-88.84	5,366.96	69.32	
10-12-2012	12-17-2013	53,947.23	Washington Mutual Mortgage Securities Comtgcpc/series 2003-S6 I-A-Fixed 4.500% Due 07-25-18	55,619.29	-1,067.76	54,216.97		-334.56
10-12-2012	12-26-2013	6,441.36	Washington Mutual Mortgage Securities Comtgcpc/series 2003-S6 I-A-Fixed 4.500% Due 07-25-18	6,641.01	-127.49	6,441.36		-72.15
TOTAL GAINS							1,788.09	37,810.94
TOTAL LOSSES							-6,543.02	-6,252.19
TOTAL REALIZED GAIN/LOSS							-4,754.93	31,558.75
NO CAPITAL GAINS DISTRIBUTIONS								

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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
JPMORGAN CHASE BANK - MONEY MARKET	5,209.	5,209.	5,209.
TOTAL TO PART I, LINE 3	5,209.	5,209.	5,209.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BARCLAYS CAPITAL, INC.	135,000.	528.	134,472.	134,472.	134,472.
BARCLAYS CAPITAL, INC.	159,779.	0.	159,779.	159,779.	159,779.
TO PART I, LINE 4	294,779.	528.	294,251.	294,251.	294,251.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PROFESSIONAL FEES	15,837.	7,919.	7,919.	7,918.
TO FORM 990-PF, PG 1, LN 16B	15,837.	7,919.	7,919.	7,918.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONTRACT: RESEARCH & WRITING	29,204.	0.	0.	29,204.
INVESTMENT ADVISORY AND MANAGEMENT	177,024.	177,024.	177,024.	0.
TO FORM 990-PF, PG 1, LN 16C	206,228.	177,024.	177,024.	29,204.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL	7,267.	363.	363.	6,904.
PROPERTY	6,084.	0.	0.	6,084.
FOREIGN TAXES W/H ON DIVIDENDS	9,542.	9,542.	9,542.	0.
TO FORM 990-PF, PG 1, LN 18	22,893.	9,905.	9,905.	12,988.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FROM K1: SIGNATURE BOOKS				
PUBLISHING LLC	495,402.	0.	0.	0.
INSURANCE	2,240.	0.	0.	2,240.
PROPERTY MANAGEMENT	2,571.	0.	0.	2,571.
SUPPLIES	3,763.	0.	0.	3,763.
TELEPHONE	2,619.	0.	0.	2,619.
UTILITIES	5,381.	0.	0.	5,381.
COPY EXPENSE	747.	0.	0.	747.
FEES	1,610.	1,610.	1,610.	0.
MAINTENANCE EXPENSE	626.	0.	0.	626.
DUES & SUBSCRIPITONS	591.	0.	0.	591.
OTHER EXPENSE	2,457.	0.	0.	2,457.
EQUIPMENT RENTAL	60.	0.	0.	60.
TO FORM 990-PF, PG 1, LN 23	518,067.	1,610.	1,610.	21,055.

FOOTNOTES

STATEMENT

7

PROGRAM RELATED INVESTMENT: SIGNATURE BOOKS PUBLISHING LLC

DURING 2000 THE SMITH PETTIT FOUNDATION WAS THE CHARITABLE RECIPIENT OF A 67% OWNERSHIP INTEREST IN SIGNATURE BOOKS PUBLISHING LLC, A PUBLISHING COMPANY LOCATED IN SALT LAKE CITY, UT. THIS COMPANY IS PRIMARILY IN THE BUSINESS OF PUBLISHING SCHOLARLY AND EDUCATIONAL WORKS RELATED TO MORMON STUDIES AND MORMON CULTURE.

EXEMPT PURPOSE OF SMITH PETTIT FOUNDATION

THE FOUNDATION IS DEVOTED TO SCHOLARSHIP AND EDUCATION CONCERNING MORMON STUDIES. IN MOST CASES, THE RELATED PUBLISHING ACTIVITIES OF THE FOUNDATION WILL BE UNDERTAKEN BY SIGNATURE BOOKS PUBLISHING LLC.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	8
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DESCRIPTION	AMOUNT
AMORTIZATION/ACCRETION ON BONDS	19,625.
FUND BALANCE ADJUSTMENT	3,661.
TOTAL TO FORM 990-PF, PART III, LINE 5	23,286.

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
EQUITIES	4,735,207.	6,097,139.
TOTAL TO FORM 990-PF, PART II, LINE 10B	4,735,207.	6,097,139.

FORM 990-PF	CORPORATE BONDS	STATEMENT	10
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE & INT'L BONDS	3,190,204.	3,298,534.
TOTAL TO FORM 990-PF, PART II, LINE 10C	3,190,204.	3,298,534.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	11
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
67% INTEREST IN SIGNATURE BOOKS PUBLISHING, LLC (SEE FOOTNOTE)	FMV	1,321,586.	1,321,586.
LIMITED PARTNERSHIPS	FMV	750,000.	713,864.
TOTAL TO FORM 990-PF, PART II, LINE 13		2,071,586.	2,035,450.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 12

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
LAPTOP COMPUTER	1,815.	1,815.	0.
OFFICE EQUIPMENT	2,159.	2,159.	0.
FURNITURE & FIXTURE	1,520.	836.	684.
TOTAL TO FM 990-PF, PART II, LN 14	5,494.	4,810.	684.

FORM 990-PF OTHER ASSETS STATEMENT 13

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ORGANIZATION COSTS	38,836.	38,836.	38,836.
LESS ACCUMULATED AMORTIZATION	<38,836.>	<38,836.>	<38,836.>
PAINTINGS	15,153.	15,153.	15,153.
DEPOSITS	400.	400.	400.
MINNESOTA LIFE INSURANCE	350,000.	350,000.	350,000.
TO FORM 990-PF, PART II, LINE 15	365,553.	365,553.	365,553.

FORM 990-PF OTHER LIABILITIES STATEMENT 14

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
STATE PAYROLL TAXES PAYABLE	0.	1,187.
TOTAL TO FORM 990-PF, PART II, LINE 22	0.	1,187.

FORM 990-PF INTEREST AND PENALTIES STATEMENT 15

TAX DUE FROM FORM 990-PF, PART VI	5,231.
LATE PAYMENT INTEREST	46.
LATE PAYMENT PENALTY	105.
TOTAL AMOUNT DUE	5,382.

FORM 990-PF	LATE PAYMENT PENALTY	STATEMENT 16
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DESCRIPTION	DATE	AMOUNT	BALANCE	MONTHS	PENALTY
TAX DUE	05/15/14	5,231.	5,231.	4	105.
DATE FILED	08/30/14		5,231.		
TOTAL LATE PAYMENT PENALTY					105.

FORM 990-PF	LATE PAYMENT INTEREST	STATEMENT 17
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DESCRIPTION	DATE	AMOUNT	BALANCE	RATE	DAYS	INTEREST
TAX DUE	05/15/14	5,231.	5,231.	.0300	107	46.
DATE FILED	08/30/14		5,277.			
TOTAL LATE PAYMENT INTEREST						46.

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT 18
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
GEORGE D. SMITH, JR. 3701 SACRAMENTO ST. #255 SAN FRANCISCO, CA 94118	PRESIDENT & TREASURER 2.00	0.	0.	0.
GARY J. BERGERA 530 W 400 N SALT LAKE CITY, UT 84116	VICE PRES & SECRETARY 40.00	95,000.	30,498.	0.
DAVID P. WRIGHT 24 STONEGATE ROAD CHELMSFORD, MA 01824	DIRECTOR 2.00	0.	0.	0.
RONALD L. PRIDDIS 530 W 400 N SALT LAKE CITY, UT 84116	DIRECTOR 2.00	0.	0.	0.
CAMILLA SMITH 3701 SACRAMENTO ST. #255 SAN FRANCISCO, CA 94118	DIRECTOR 2.00	0.	0.	0.

990-066

990-066

328111
05-01-13

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

Form **4562**Department of the Treasury
Internal Revenue Service (99)
Name(s) shown on return**Depreciation and Amortization 990-PF**
(Including Information on Listed Property)

▶ See separate instructions.

▶ Attach to your tax return.

OMB No 1545-0172

2013Attachment
Sequence No 179**SMITH-PETTIT FOUNDATION****FORM 990-PF PAGE 1****87-0641442****Part I Election To Expense Certain Property Under Section 179** Note: If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	1	500,000.
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation	3	2,000,000.
4	Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year. Subtract line 4 from line 1. If zero or less, enter -0-. If married filing separately, see instructions	5	
6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property. Enter the amount from line 29	7	
8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction. Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2012 Form 4562	10	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5	11	
12	Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2014. Add lines 9 and 10, less line 12	13	

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year	14	760.
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)**Section A**

17	MACRS deductions for assets placed in service in tax years beginning before 2013	17	6,375.
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here		

Section B - Assets Placed in Service During 2013 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only - see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property		760.	5 YRS.	HY	SL	76.
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs.		S/L	
h Residential rental property	/		27.5 yrs.	MM	S/L	
	/		27.5 yrs.	MM	S/L	
i Nonresidential real property	/		39 yrs.	MM	S/L	
	/			MM	S/L	

Section C - Assets Placed in Service During 2013 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs.		S/L	
c 40-year	/		40 yrs.	MM	S/L	

Part IV Summary (See instructions.)

21	Listed property. Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations - see instr.	22	7,211.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

Part V**Listed Property** (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)**Note:** For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete only 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.**Section A - Depreciation and Other Information** (Caution: See the instructions for limits for passenger automobiles.)**24a** Do you have evidence to support the business/investment use claimed? ☐ Yes ☐ No **24b** If "Yes," is the evidence written? ☐ Yes ☐ No

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation deduction	(i) Elected section 179 cost
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25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use**25****26** Property used more than 50% in a qualified business use:

		%						
		%						
		%						

27 Property used 50% or less in a qualified business use:

		%			S/L -			
		%			S/L -			
		%			S/L -			

28 Add amounts in column (h), lines 25 through 27. Enter here and on line 21, page 1**28****29** Add amounts in column (i), line 26. Enter here and on line 7, page 1**29****Section B - Information on Use of Vehicles**

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person. If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles.

	(a) Vehicle	(b) Vehicle	(c) Vehicle	(d) Vehicle	(e) Vehicle	(f) Vehicle
30 Total business/investment miles driven during the year (do not include commuting miles)						
31 Total commuting miles driven during the year						
32 Total other personal (noncommuting) miles driven						
33 Total miles driven during the year. Add lines 30 through 32						
34 Was the vehicle available for personal use during off-duty hours?	Yes	No	Yes	No	Yes	No
35 Was the vehicle used primarily by a more than 5% owner or related person?						
36 Is another vehicle available for personal use?						

Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who are not more than 5% owners or related persons.

37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?	Yes	No
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use?		

Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles.**Part VI Amortization**

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
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42 Amortization of costs that begins during your 2013 tax year:

43 Amortization of costs that began before your 2013 tax year**43****44** Total. Add amounts in column (f). See the instructions for where to report**44**

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. SMITH-PETTIT FOUNDATION	Employer identification number (EIN) or 87-0641442
	Number, street, and room or suite no. If a P.O. box, see instructions. 514 WEST 400 NORTH	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. SALT LAKE CITY, UT 84116	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

SMITH PETTIT FOUNDATION

- The books are in the care of **514 WEST 400 NORTH - SALT LAKE CITY, UT 84116**
Telephone No. **801 521 3553** Fax No.

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2014**.

5 For calendar year **2013**, or other tax year beginning , and ending .

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

7 State in detail why you need the extension

ADDITIONAL TIME IS REQUIRED TO GATHER INFORMATION NECESSARY FOR AN ACCURATE AND COMPLETE RETURN.

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	0.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature

Title **ENROLLED AGENT**

Date