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Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

2013

For calendar year 2013, or tax year beginning

, 2013, and ending

JAMES ARTHUR RAE CHARITABLE TRUST  
14602 N. TATUM BLVD.  
PHOENIX, AZ 85032

A Employer identification number  
86-0937247

B Telephone number (see the instructions)  
(480) 425-1111

G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity  
☐ Final return ☐ Amended return  
☐ Address change ☐ Name change

H Check type of organization. ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year  
(from Part II, column (c), line 16)  
▶ \$ 8,550,285.

J Accounting method: ☐ Cash. ☒ Accrual  
☐ Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis.)

C If exemption application is pending, check here ☐  
D 1 Foreign organizations, check here ☐  
2 Foreign organizations meeting the 85% test, check here and attach computation ☐  
E If private foundation status was terminated under section 507(b)(1)(A), check here ☐  
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received (att sch)

2 Ck ☒ if the foundn is not req to att Sch B

3 Interest on savings and temporary cash investments

21,233.

49,513.

4 Dividends and interest from securities

97,959.

77,276.

5a Gross rents

284,625.

284,625.

b Net rental income or (loss) 193,756.

6a Net gain/(loss) from sale of assets not on line 10

315,196.

b Gross sales price for all assets on line 6a 2,286,812.

7 Capital gain net income (from Part IV, line 2)

332,414.

8 Net short-term capital gain

23,336.

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit/(loss) (att sch)

11 Other income (attach schedule)

SEE STATEMENT 1

24,939.

12 Total. Add lines 1 through 11

743,952.

743,828.

23,336.

13 Compensation of officers, directors, trustees, etc.

55,039.

27,520.

27,519.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach sch)

c Other prof fees (attach sch)

SEE ST 2

7,600.

3,800.

3,800.

17 Interest

18 Taxes (attach schedule) (see instrs) SEE STM 3

13,271.

2,336.

19 Depreciation (attach sch) and depletion SEE STMT 4

37,200.

37,200.

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

SEE STATEMENT 5

94,732.

88,159.

24 Total operating and administrative expenses. Add lines 13 through 23

207,842.

159,015.

31,319.

25 Contributions, gifts, grants paid PART XV

285,635.

255,297.

26 Total expenses and disbursements. Add lines 24 and 25

493,477.

159,015.

0.

286,616.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

250,475.

b Net investment income (if negative, enter -0-)

584,813.

c Adjusted net income (if negative, enter -0-)

23,336.

REVENUE

ADMINISTRATIVE AND OPERATING EXPENSES

93

**Part I Balance Sheets**

Attached schedules and amounts in the description column should be for end of year amounts only (See instructions)

|                                                                                                                |                                                                                                                           | Beginning of year                                                                                                                      | End of year    |                       |
|----------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------|----------------|-----------------------|
|                                                                                                                |                                                                                                                           | (a) Book Value                                                                                                                         | (b) Book Value | (c) Fair Market Value |
| <b>ASSETS</b>                                                                                                  | 1 Cash — non-interest-bearing                                                                                             |                                                                                                                                        |                |                       |
|                                                                                                                | 2 Savings and temporary cash investments                                                                                  | 391,897.                                                                                                                               | 310,959.       | 310,959.              |
|                                                                                                                | 3 Accounts receivable                                                                                                     |                                                                                                                                        |                |                       |
|                                                                                                                | Less: allowance for doubtful accounts                                                                                     |                                                                                                                                        |                |                       |
|                                                                                                                | 4 Pledges receivable                                                                                                      |                                                                                                                                        |                |                       |
|                                                                                                                | Less: allowance for doubtful accounts                                                                                     |                                                                                                                                        |                |                       |
|                                                                                                                | 5 Grants receivable                                                                                                       |                                                                                                                                        |                |                       |
|                                                                                                                | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) |                                                                                                                                        |                |                       |
|                                                                                                                | 7 Other notes and loans receivable (attach sch.)                                                                          | 306,200.                                                                                                                               |                |                       |
|                                                                                                                | Less: allowance for doubtful accounts                                                                                     |                                                                                                                                        |                |                       |
|                                                                                                                | 8 Inventories for sale or use                                                                                             | 306,200.                                                                                                                               | 306,200.       | 306,200.              |
|                                                                                                                | 9 Prepaid expenses and deferred charges                                                                                   | 1,796.                                                                                                                                 | 1,934.         | 1,934.                |
|                                                                                                                | 10a Investments — U.S. and state government obligations (attach schedule)                                                 |                                                                                                                                        |                |                       |
|                                                                                                                | b Investments — corporate stock (attach schedule) STATEMENT 6                                                             | 3,887,494.                                                                                                                             | 4,620,036.     | 4,620,036.            |
|                                                                                                                | c Investments — corporate bonds (attach schedule)                                                                         |                                                                                                                                        |                |                       |
|                                                                                                                | 11 Investments — land, buildings, and equipment, basis                                                                    |                                                                                                                                        |                |                       |
| Less: accumulated depreciation (attach schedule)                                                               |                                                                                                                           |                                                                                                                                        |                |                       |
| 12 Investments — mortgage loans                                                                                |                                                                                                                           |                                                                                                                                        |                |                       |
| 13 Investments — other (attach schedule) STATEMENT 7                                                           | 795,370.                                                                                                                  | 733,299.                                                                                                                               | 733,299.       |                       |
| 14 Land, buildings, and equipment, basis                                                                       | 1,797,664.                                                                                                                |                                                                                                                                        |                |                       |
| Less: accumulated depreciation (attach schedule) SEE STMT 8                                                    | 523,629.                                                                                                                  |                                                                                                                                        |                |                       |
| 15 Other assets (describe SEE STATEMENT 9)                                                                     | 190,731.                                                                                                                  | 177,857.                                                                                                                               | 177,857.       |                       |
| 16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)               | 6,884,723.                                                                                                                | 7,424,320.                                                                                                                             | 8,550,285.     |                       |
| <b>LIABILITIES</b>                                                                                             | 17 Accounts payable and accrued expenses                                                                                  | 32,397.                                                                                                                                | 31,435.        |                       |
|                                                                                                                | 18 Grants payable                                                                                                         | 255,297.                                                                                                                               | 285,635.       |                       |
|                                                                                                                | 19 Deferred revenue                                                                                                       |                                                                                                                                        |                |                       |
|                                                                                                                | 20 Loans from officers, directors, trustees, & other disqualified persons                                                 |                                                                                                                                        |                |                       |
|                                                                                                                | 21 Mortgages and other notes payable (attach schedule)                                                                    |                                                                                                                                        |                |                       |
|                                                                                                                | 22 Other liabilities (describe )                                                                                          |                                                                                                                                        |                |                       |
|                                                                                                                | 23 Total liabilities (add lines 17 through 22)                                                                            | 287,694.                                                                                                                               | 317,070.       |                       |
|                                                                                                                | <b>NET ASSETS OR FUND BALANCES</b>                                                                                        | Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input checked="" type="checkbox"/> |                |                       |
| 24 Unrestricted                                                                                                |                                                                                                                           |                                                                                                                                        |                |                       |
| 25 Temporarily restricted                                                                                      |                                                                                                                           | 6,597,029.                                                                                                                             | 7,107,250.     |                       |
| 26 Permanently restricted                                                                                      |                                                                                                                           |                                                                                                                                        |                |                       |
| Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/> |                                                                                                                           |                                                                                                                                        |                |                       |
| 27 Capital stock, trust principal, or current funds                                                            |                                                                                                                           |                                                                                                                                        |                |                       |
| 28 Paid-in or capital surplus, or land, building, and equipment fund                                           |                                                                                                                           |                                                                                                                                        |                |                       |
| 29 Retained earnings, accumulated income, endowment, or other funds                                            |                                                                                                                           |                                                                                                                                        |                |                       |
| 30 Total net assets or fund balances (see instructions)                                                        |                                                                                                                           | 6,597,029.                                                                                                                             | 7,107,250.     |                       |
| 31 Total liabilities and net assets/fund balances (see instructions)                                           |                                                                                                                           | 6,884,723.                                                                                                                             | 7,424,320.     |                       |

**Part II Analysis of Changes in Net Assets or Fund Balances**

|                                                                                                                                                              |   |            |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 6,597,029. |
| 2 Enter amount from Part I, line 27a                                                                                                                         | 2 | 250,475.   |
| 3 Other increases not included in line 2 (itemize) SEE STATEMENT 10                                                                                          | 3 | 295,164.   |
| 4 Add lines 1, 2, and 3                                                                                                                                      | 4 | 7,142,668. |
| 5 Decreases not included in line 2 (itemize) SEE STATEMENT 11                                                                                                | 5 | 35,418.    |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30                                                      | 6 | 7,107,250. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company) |  | (b) How acquired<br>P — Purchase<br>D — Donation | (c) Date acquired<br>(month, day, year) | (d) Date sold<br>(month, day, year) |
|------------------------------------------------------------------------------------------------------------------------------------------|--|--------------------------------------------------|-----------------------------------------|-------------------------------------|
| 1 a SEE STATEMENT 12                                                                                                                     |  |                                                  |                                         |                                     |
| b                                                                                                                                        |  |                                                  |                                         |                                     |
| c                                                                                                                                        |  |                                                  |                                         |                                     |
| d                                                                                                                                        |  |                                                  |                                         |                                     |
| e                                                                                                                                        |  |                                                  |                                         |                                     |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a                     |                                            |                                                 |                                              |
| b                     |                                            |                                                 |                                              |
| c                     |                                            |                                                 |                                              |
| d                     |                                            |                                                 |                                              |
| e                     |                                            |                                                 |                                              |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |                                                     | (l) Gains (Column (h)<br>gain minus column (k), but not less<br>than -0-) or Losses (from column (h)) |
|---------------------------------------------------------------------------------------------|--------------------------------------|-----------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| (i) Fair Market Value<br>as of 12/31/69                                                     | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of column (i)<br>over column (j), if any |                                                                                                       |
| a                                                                                           |                                      |                                                     |                                                                                                       |
| b                                                                                           |                                      |                                                     |                                                                                                       |
| c                                                                                           |                                      |                                                     |                                                                                                       |
| d                                                                                           |                                      |                                                     |                                                                                                       |
| e                                                                                           |                                      |                                                     |                                                                                                       |

|                                                                                                                                                                                                                                               |   |          |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|----------|
| 2 Capital gain net income or (net capital loss). <span style="float: right;">[ If gain, also enter in Part I, line 7<br/>If (loss), enter -0- in Part I, line 7 ]</span>                                                                      | 2 | 332,414. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br><br>If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0-<br>in Part I, line 8 <span style="float: right;">[ ]</span> | 3 | 23,336.  |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

| (a)<br>Base period years<br>Calendar year (or tax year<br>beginning in) | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of<br>noncharitable-use assets | (d)<br>Distribution ratio<br>(column (b) divided by column (c)) |
|-------------------------------------------------------------------------|------------------------------------------|-------------------------------------------------|-----------------------------------------------------------------|
| 2012                                                                    | 311,213.                                 | 7,708,509.                                      | 0.040373                                                        |
| 2011                                                                    | 288,394.                                 | 7,980,373.                                      | 0.036138                                                        |
| 2010                                                                    | 273,522.                                 | 7,618,696.                                      | 0.035901                                                        |
| 2009                                                                    | 383,694.                                 | 7,189,389.                                      | 0.053369                                                        |
| 2008                                                                    | 451,844.                                 | 7,261,516.                                      | 0.062224                                                        |

|                                                                                                                                                                                |   |            |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 2 Total of line 1, column (d)                                                                                                                                                  | 2 | 0.228005   |
| 3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.045601   |
| 4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5                                                                                                 | 4 | 8,043,096. |
| 5 Multiply line 4 by line 3                                                                                                                                                    | 5 | 366,773.   |
| 6 Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                   | 6 | 5,848.     |
| 7 Add lines 5 and 6                                                                                                                                                            | 7 | 372,621.   |
| 8 Enter qualifying distributions from Part XII, line 4                                                                                                                         | 8 | 286,616.   |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)**

|                                                                                                                                                                                                                                     |     |         |         |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------|---------|
| 1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1.<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary — see instrs) |     |         |         |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here. <input type="checkbox"/> and enter 1% of Part I, line 27b                                                                                  |     | 1       | 11,696. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b).                                                                                                        |     |         |         |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                         |     | 2       | 0.      |
| 3 Add lines 1 and 2                                                                                                                                                                                                                 |     | 3       | 11,696. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                       |     | 4       | 0.      |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                           |     | 5       | 11,696. |
| 6 Credits/Payments.                                                                                                                                                                                                                 |     |         |         |
| a 2013 estimated tax pmts and 2012 overpayment credited to 2013                                                                                                                                                                     | 6 a | 9,986.  |         |
| b Exempt foreign organizations — tax withheld at source                                                                                                                                                                             | 6 b |         |         |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                               | 6 c | 7,500.  |         |
| d Backup withholding erroneously withheld                                                                                                                                                                                           | 6 d |         |         |
| 7 Total credits and payments. Add lines 6a through 6d                                                                                                                                                                               | 7   | 17,486. |         |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached                                                                                                                 | 8   |         |         |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                     | 9   | 0.      |         |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                        | 10  | 5,790.  |         |
| 11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> 5,790. Refunded <input type="checkbox"/>                                                                                              | 11  | 0.      |         |

**Part VIIA Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                                     | Yes | No  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|-----|
| 1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                            |     | X   |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?<br><i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i> |     | X   |
| c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                              |     | X   |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year.<br>(1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.                                                                                                                              |     |     |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.                                                                                                                                                                               |     |     |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br><i>If 'Yes,' attach a detailed description of the activities.</i>                                                                                                                                                                              |     | X   |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>                                                                                                                 |     | X   |
| 4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                                     |     | X   |
| b If 'Yes,' has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                                  |     | N/A |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br><i>If 'Yes,' attach the statement required by General Instruction T.</i>                                                                                                                                                                        |     | X   |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?                | X   |     |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>                                                                                                                                                                                                        | X   |     |
| 8 a Enter the states to which the foundation reports or with which it is registered (see instructions)<br>AZ                                                                                                                                                                                                                                        |     |     |
| b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>                                                                                                                                | X   |     |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>                                                                                       |     | X   |
| 10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>                                                                                                                                                                                                        |     | X   |

BAA

Form 990-PF (2013)

**Part VIIA Statements Regarding Activities (continued)**

|                                                                                                                                               |                                                                                                                                                                                           |            |           |            |
|-----------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|-----------|------------|
| 11                                                                                                                                            | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)   | 11         |           | X          |
| 12                                                                                                                                            | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)  | 12         |           | X          |
| 13                                                                                                                                            | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?                                                                       | 13         | X         |            |
| Website address <u>N/A</u>                                                                                                                    |                                                                                                                                                                                           |            |           |            |
| 14                                                                                                                                            | The books are in care of <u>THE TRUST</u> Telephone no. <u>(480) 425-1111</u>                                                                                                             |            |           |            |
| Located at <u>14602 N. TATUM BLVD. PHOENIX AZ</u> ZIP + 4 <u>85032</u>                                                                        |                                                                                                                                                                                           |            |           |            |
| 15                                                                                                                                            | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year       | <u>N/A</u> | <u>15</u> | <u>N/A</u> |
| 16                                                                                                                                            | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16         | Yes       | No         |
| See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country <u>N/A</u> |                                                                                                                                                                                           |            |           | X          |

**Part VIIA Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Yes                                     | No                                     |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------|
| 1 a During the year did the foundation (either directly or indirectly)                                                                                                                                                                                                                                                                                                                                                                                                                            |                                         |                                        |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                            | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                        | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                              | <input checked="" type="checkbox"/> Yes | <input type="checkbox"/> No            |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?                                                                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |
| (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |
| b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?                                                                                                                                                                                                                                                                            |                                         |                                        |
| Organizations relying on a current notice regarding disaster assistance check here <u>N/A</u>                                                                                                                                                                                                                                                                                                                                                                                                     |                                         |                                        |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?                                                                                                                                                                                                                                                                                                         |                                         |                                        |
| 1 b                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                         | X                                      |
| 1 c                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                         | X                                      |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).                                                                                                                                                                                                                                                                                                                  |                                         |                                        |
| a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?                                                                                                                                                                                                                                                                                                                                               | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |
| If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>                                                                                                                                                                                                                                                                                                                                                                                                                            |                                         |                                        |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)                                                                                                                                                                                       |                                         |                                        |
| 2 b                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | N/A                                     |                                        |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.                                                                                                                                                                                                                                                                                                                                                                                |                                         |                                        |
| <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                         |                                        |
| 3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |
| b If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) |                                         |                                        |
| 3 b                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | N/A                                     |                                        |
| 4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                               |                                         | X                                      |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?                                                                                                                                                                                                                                                               |                                         |                                        |
| 4 b                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                         | X                                      |

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If 'Yes' to 6b, file Form 8870.

6b X

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

| (a) Name and address                                              | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|-------------------------------------------------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| MARYLAND, LLC<br>14602 N. TATUM BLVD.<br>PHOENIX, AZ 85032        | TRUSTEE<br>40.00                                          | 54,789.                                   | 0.                                                                    | 0.                                    |
| JOEL KRAMER<br>3101 N. CENTRAL AVE., STE 300<br>PHOENIX, AZ 85012 | TRUSTEE<br>0                                              | 250.                                      | 0.                                                                    | 0.                                    |
|                                                                   |                                                           |                                           |                                                                       |                                       |
|                                                                   |                                                           |                                           |                                                                       |                                       |
|                                                                   |                                                           |                                           |                                                                       |                                       |

**2** Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000

0



**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|          |                                                                                                             |            |            |
|----------|-------------------------------------------------------------------------------------------------------------|------------|------------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes. |            |            |
| <b>a</b> | Average monthly fair market value of securities                                                             | <b>1 a</b> | 4,357,087. |
| <b>b</b> | Average of monthly cash balances                                                                            | <b>1 b</b> | 189,203.   |
| <b>c</b> | Fair market value of all other assets (see instructions)                                                    | <b>1 c</b> | 3,619,290. |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c)                                                                       | <b>1 d</b> | 8,165,580. |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | <b>1 e</b> | 0.         |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets                                                        | <b>2</b>   | 0.         |
| <b>3</b> | Subtract line 2 from line 1d                                                                                | <b>3</b>   | 8,165,580. |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)   | <b>4</b>   | 122,484.   |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 | <b>5</b>   | 8,043,096. |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5                                                        | <b>6</b>   | 402,155.   |

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|            |                                                                                                           |            |          |
|------------|-----------------------------------------------------------------------------------------------------------|------------|----------|
| <b>1</b>   | Minimum investment return from Part X, line 6                                                             | <b>1</b>   | 402,155. |
| <b>2 a</b> | Tax on investment income for 2013 from Part VI, line 5                                                    | <b>2 a</b> | 11,696.  |
| <b>b</b>   | Income tax for 2013. (This does not include the tax from Part VI.)                                        | <b>2 b</b> |          |
| <b>c</b>   | Add lines 2a and 2b                                                                                       | <b>2 c</b> | 11,696.  |
| <b>3</b>   | Distributable amount before adjustments. Subtract line 2c from line 1                                     | <b>3</b>   | 390,459. |
| <b>4</b>   | Recoveries of amounts treated as qualifying distributions                                                 | <b>4</b>   |          |
| <b>5</b>   | Add lines 3 and 4                                                                                         | <b>5</b>   | 390,459. |
| <b>6</b>   | Deduction from distributable amount (see instructions)                                                    | <b>6</b>   |          |
| <b>7</b>   | <b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | <b>7</b>   | 390,459. |

**Part XII** Qualifying Distributions (see instructions)

|          |                                                                                                                                                      |            |          |
|----------|------------------------------------------------------------------------------------------------------------------------------------------------------|------------|----------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.                                                           |            |          |
| <b>a</b> | Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26                                                                        | <b>1 a</b> | 286,616. |
| <b>b</b> | Program-related investments — total from Part IX-B                                                                                                   | <b>1 b</b> |          |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                                            | <b>2</b>   |          |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the:                                                                                 |            |          |
| <b>a</b> | Suitability test (prior IRS approval required)                                                                                                       | <b>3 a</b> |          |
| <b>b</b> | Cash distribution test (attach the required schedule)                                                                                                | <b>3 b</b> |          |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                                    | <b>4</b>   | 286,616. |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | <b>5</b>   |          |
| <b>6</b> | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4                                                                                | <b>6</b>   | 286,616. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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**Part XIII** Undistributed Income (see instructions)

|                                                                                                                                                                                   | (a)<br>Corpus | (b)<br>Years prior to 2012 | (c)<br>2012 | (d)<br>2013 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2013 from Part XI, line 7                                                                                                                       |               |                            |             | 390,459.    |
| <b>2</b> Undistributed income, if any, as of the end of 2013.                                                                                                                     |               |                            |             |             |
| <b>a</b> Enter amount for 2012 only                                                                                                                                               |               |                            | 0.          |             |
| <b>b</b> Total for prior years 20____, 20____, 20____                                                                                                                             |               | 0.                         |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2013.                                                                                                                         |               |                            |             |             |
| <b>a</b> From 2008                                                                                                                                                                |               |                            |             |             |
| <b>b</b> From 2009                                                                                                                                                                | 13,578.       |                            |             |             |
| <b>c</b> From 2010                                                                                                                                                                |               |                            |             |             |
| <b>d</b> From 2011                                                                                                                                                                |               |                            |             |             |
| <b>e</b> From 2012                                                                                                                                                                |               |                            |             |             |
| <b>f</b> Total of lines 3a through e                                                                                                                                              | 13,578.       |                            |             |             |
| <b>4</b> Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 286,616.                                                                                                    |               |                            |             |             |
| <b>a</b> Applied to 2012, but not more than line 2a                                                                                                                               |               |                            | 0.          |             |
| <b>b</b> Applied to undistributed income of prior years (Election required — see instructions)                                                                                    |               | 0.                         |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required — see instructions)                                                                                            | 0.            |                            |             |             |
| <b>d</b> Applied to 2013 distributable amount                                                                                                                                     |               |                            |             | 286,616.    |
| <b>e</b> Remaining amount distributed out of corpus                                                                                                                               | 0.            |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2013. (If an amount appears in column (d), the same amount must be shown in column (a).)                                       | 13,578.       |                            |             | 13,578.     |
| <b>6</b> Enter the net total of each column as indicated below:                                                                                                                   |               |                            |             |             |
| <b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5                                                                                                                        | 0.            |                            |             |             |
| <b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b                                                                                                         |               | 0.                         |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| <b>d</b> Subtract line 6c from line 6b. Taxable amount — see instructions                                                                                                         |               | 0.                         |             |             |
| <b>e</b> Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount — see instructions                                                                          |               |                            | 0.          |             |
| <b>f</b> Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014                                                              |               |                            |             | 90,265.     |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)                                  | 0.            |                            |             |             |
| <b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)                                                                              | 0.            |                            |             |             |
| <b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a                                                                                              | 0.            |                            |             |             |
| <b>10</b> Analysis of line 9.                                                                                                                                                     |               |                            |             |             |
| <b>a</b> Excess from 2009                                                                                                                                                         |               |                            |             |             |
| <b>b</b> Excess from 2010                                                                                                                                                         |               |                            |             |             |
| <b>c</b> Excess from 2011                                                                                                                                                         |               |                            |             |             |
| <b>d</b> Excess from 2012                                                                                                                                                         |               |                            |             |             |
| <b>e</b> Excess from 2013                                                                                                                                                         |               |                            |             |             |

N/A

- |                |                                                                                                                                                            |
|----------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Part XV</b> | <b>Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)</b> |
|----------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|

NONE

- NONE

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed.

- b** The form in which applications should be submitted and information and materials they should include.

- c Any submission deadlines.

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business)                                                                                                                                                                  | If recipient is an individual,<br>show any relationship to any<br>foundation manager or<br>substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution            | Amount                         |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|------------------------------------------------|--------------------------------|
| <i>a Paid during the year</i>                                                                                                                                                                                     |                                                                                                                    |                                      |                                                |                                |
| <b>Total</b>                                                                                                                                                                                                      |                                                                                                                    |                                      | <b>3 a</b>                                     |                                |
| <i>b Approved for future payment</i><br>ARIZONA BAPTIST CHILDREN'S<br>SERVICES<br>1779 N. ALVERNON WAY<br>TUCSON, AZ 85712<br><br>INTEREST MINISTRIES<br>2060 STONINGTON AVENUE #101<br>HOFFMAN ESTATES, IL 60169 | NONE<br><br><br><br>NONE                                                                                           | EXEMPT<br><br><br><br>EXEMPT         | PROGRAM SUPPORT<br><br><br><br>PROGRAM SUPPORT | 5,000.<br><br><br><br>280,635. |
| <b>Total</b>                                                                                                                                                                                                      |                                                                                                                    |                                      | <b>3 b</b>                                     | 285,635.                       |





## JAMES ARTHUR RAE CHARITABLE TRUST

86-0937247

**STATEMENT 1**  
**FORM 990-PF, PART I, LINE 11**  
**OTHER INCOME**

|                          | (A)<br>REVENUE<br>PER BOOKS | (B) NET<br>INVESTMENT<br>INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|--------------------------|-----------------------------|---------------------------------|-------------------------------|
| ANTELOPE 120, LLC        | \$ 27,390.                  |                                 |                               |
| BJM POOL VII INVESTMENTS | -2,451.                     |                                 |                               |
| <b>TOTAL</b>             | <b>\$ 24,939.</b>           | <b>\$ 0.</b>                    | <b>\$ 0.</b>                  |

**STATEMENT 2**  
**FORM 990-PF, PART I, LINE 16C**  
**OTHER PROFESSIONAL FEES**

|                   | (A)<br>EXPENSES<br>PER BOOKS | (B) NET<br>INVESTMENT<br>INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|-------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| PROFESSIONAL FEES | \$ 7,600.                    | \$ 3,800.                       |                               | \$ 3,800.                     |
| <b>TOTAL</b>      | <b>\$ 7,600.</b>             | <b>\$ 3,800.</b>                | <b>\$ 0.</b>                  | <b>\$ 3,800.</b>              |

**STATEMENT 3**  
**FORM 990-PF, PART I, LINE 18**  
**TAXES**

|                    | (A)<br>EXPENSES<br>PER BOOKS | (B) NET<br>INVESTMENT<br>INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|--------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| EXCISE TAXES       | \$ 12,100.                   |                                 |                               |                               |
| FOREIGN TAXES PAID | 1,171.                       | \$ 2,336.                       |                               |                               |
| <b>TOTAL</b>       | <b>\$ 13,271.</b>            | <b>\$ 2,336.</b>                | <b>\$ 0.</b>                  | <b>\$ 0.</b>                  |

**STATEMENT 4**  
**FORM 990-PF, PART I, LINE 19**  
**ALLOCATED DEPRECIATION**

| DATE<br>ACQUIRED      | COST<br>BASIS | PRIOR YR<br>DEPR | METHOD | RATE | LIFE | CURRENT<br>YR DEPR | NET INVEST<br>INCOME | ADJUSTED<br>NET INCOME |
|-----------------------|---------------|------------------|--------|------|------|--------------------|----------------------|------------------------|
| 1117 E. CAMELBACK     |               |                  |        |      |      |                    |                      |                        |
| 10/16/00              | 1,064,996     | 329,699          | S/L    |      | 40   | 26,632             | 26,632               | 0                      |
| 5416 W. INDIAN SCHOOL |               |                  |        |      |      |                    |                      |                        |
| 12/31/97              | 397,500       | 152,890          | S/L    |      | 39   | 10,192             | 10,192               | 0                      |
| HVAC SYSTEM           |               |                  |        |      |      |                    |                      |                        |
| 6/01/03               | 16,550        | 2,122            | S/L    |      | 39   | 208                | 208                  | 0                      |
| TI PROJECT            |               |                  |        |      |      |                    |                      |                        |
| 12/01/03              | 80,330        | 1,718            | S/L    |      | 39   | 168                | 168                  | 0                      |

## JAMES ARTHUR RAE CHARITABLE TRUST

86-0937247

**STATEMENT 5**  
**FORM 990-PF, PART I, LINE 23**  
**OTHER EXPENSES**

|                                  | (A)<br>EXPENSES<br>PER BOOKS | (B) NET<br>INVESTMENT<br>INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|----------------------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| INVESTMENT EXPENSES              |                              | \$ -6,573.                      |                               |                               |
| INVESTMENT FEES - MORGAN STANLEY | \$ 26,970.                   | 26,970.                         |                               |                               |
| INVESTMENT FEES - NORTHERN       | 14,093.                      | 14,093.                         |                               |                               |
| RENTAL EXPENSES                  | 53,669.                      | 53,669.                         |                               |                               |
| <b>TOTAL</b>                     | <b>\$ 94,732.</b>            | <b>\$ 88,159.</b>               | <b>\$ 0.</b>                  | <b>\$ 0.</b>                  |

**STATEMENT 6**  
**FORM 990-PF, PART II, LINE 10B**  
**INVESTMENTS - CORPORATE STOCKS**

| CORPORATE STOCKS                      | VALUATION<br>METHOD | BOOK<br>VALUE     | FAIR MARKET<br>VALUE |
|---------------------------------------|---------------------|-------------------|----------------------|
| MORGAN STANLEY - SEE ATTACHED         | MKT VAL             | \$ 2,710,368.     | \$ 2,710,368.        |
| NORTHERN TRUST COMPANY - SEE ATTACHED | MKT VAL             | 1,909,668.        | 1,909,668.           |
| <b>TOTAL</b>                          | <b>\$</b>           | <b>4,620,036.</b> | <b>\$ 4,620,036.</b> |

**STATEMENT 7**  
**FORM 990-PF, PART II, LINE 13**  
**INVESTMENTS - OTHER**

| OTHER INVESTMENTS             | VALUATION<br>METHOD | BOOK<br>VALUE   | FAIR MARKET<br>VALUE |
|-------------------------------|---------------------|-----------------|----------------------|
| ARTWORK                       | MKT VAL             | \$ 6,800.       | \$ 6,800.            |
| GRANITE DELLS INVESTMENT      | MKT VAL             | 0.              | 0.                   |
| MP122009 LLC - EIN 86-0533683 | MKT VAL             | 15,682.         | 15,682.              |
| MP062011 LLC - EIN 20-1193718 | MKT VAL             | 18,453.         | 18,453.              |
| BJM POOL VII INVESTMENTS      | MKT VAL             | 262,515.        | 262,515.             |
| ANTELOPE 120, LLC             | MKT VAL             | 426,554.        | 426,554.             |
| IMH SECURED LOAN FUND         | MKT VAL             | 3,295.          | 3,295.               |
| <b>TOTAL</b>                  | <b>\$</b>           | <b>733,299.</b> | <b>\$ 733,299.</b>   |

**STATEMENT 8**  
**FORM 990-PF, PART II, LINE 14**  
**LAND, BUILDINGS, AND EQUIPMENT**

| CATEGORY     | BASIS                | ACCUM.<br>DEPREC.  | BOOK<br>VALUE        | FAIR MARKET<br>VALUE |
|--------------|----------------------|--------------------|----------------------|----------------------|
| BUILDINGS    | \$ 1,462,496.        | \$ 519,413.        | \$ 943,083.          | \$ 2,000,000.        |
| IMPROVEMENTS | 96,880.              | 4,216.             | 92,664.              | 0.                   |
| LAND         | 238,288.             |                    | 238,288.             | 400,000.             |
| <b>TOTAL</b> | <b>\$ 1,797,664.</b> | <b>\$ 523,629.</b> | <b>\$ 1,274,035.</b> | <b>\$ 2,400,000.</b> |

## JAMES ARTHUR RAE CHARITABLE TRUST

86-0937247

**STATEMENT 9**  
**FORM 990-PF, PART II, LINE 15**  
**OTHER ASSETS**

|                            | BOOK VALUE         | FAIR MARKET<br>VALUE |
|----------------------------|--------------------|----------------------|
| DEFERRED LEASE COMMISSIONS | \$ 177,091.        | \$ 177,091.          |
| INTEREST RECEIVABLE        | 766.               | 766.                 |
| <b>TOTAL</b>               | <b>\$ 177,857.</b> | <b>\$ 177,857.</b>   |

**STATEMENT 10**  
**FORM 990-PF, PART III, LINE 3**  
**OTHER INCREASES**

|                                               |                    |
|-----------------------------------------------|--------------------|
| NET UNREALIZED GAINS OR LOSSES ON INVESTMENTS | <b>\$ 295,164.</b> |
| <b>TOTAL</b>                                  | <b>\$ 295,164.</b> |

**STATEMENT 11**  
**FORM 990-PF, PART III, LINE 5**  
**OTHER DECREASES**

|                 |                   |
|-----------------|-------------------|
| IMPAIRMENT LOSS | <b>\$ 35,418.</b> |
| <b>TOTAL</b>    | <b>\$ 35,418.</b> |

**STATEMENT 12**  
**FORM 990-PF, PART IV, LINE 1**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| ITEM | (A) DESCRIPTION                        | (B) HOW<br>ACQUIRED | (C) DATE<br>ACQUIRED | (D) DATE<br>SOLD |
|------|----------------------------------------|---------------------|----------------------|------------------|
| 1    | SHORT TERM MORGAN STANLEY              | PURCHASED           | VARIOUS              | 12/31/2013       |
| 2    | SHORT TERM MORGAN STANLEY - NONCOVERED | PURCHASED           | VARIOUS              | 12/31/2013       |
| 3    | LONG TERM MORGAN STANLEY               | PURCHASED           | VARIOUS              | 12/31/2013       |
| 4    | LONG TERM MORGAN STANLEY - NONCOVERED  | PURCHASED           | VARIOUS              | 12/31/2013       |
| 5    | SHORT TERM NORTHERN TRUST              | PURCHASED           | VARIOUS              | 12/31/2013       |
| 6    | LONG TERM NORTHERN TRUST               | PURCHASED           | VARIOUS              | 12/31/2013       |
| 7    | CAPITAL GAIN DIVIDENDS                 |                     |                      |                  |

| ITEM | (E)<br>GROSS<br>SALES | (F)<br>DEPREC.<br>ALLOWED | (G)<br>COST<br>BASIS | (H)<br>GAIN<br>(LOSS) | (I)<br>FMV<br>12/31/69 | (J)<br>ADJ. BAS.<br>12/31/69 | (K)<br>EXCESS<br>(I) - (J) | (L)<br>GAIN<br>(LOSS) |
|------|-----------------------|---------------------------|----------------------|-----------------------|------------------------|------------------------------|----------------------------|-----------------------|
| 1    | 413,255.              |                           | 403,933.             | 9,322.                |                        |                              |                            | \$ 9,322.             |
| 2    | 11,095.               |                           | 11,014.              | 81.                   |                        |                              |                            | 81.                   |
| 3    | 341,949.              |                           | 295,986.             | 45,963.               |                        |                              |                            | 45,963.               |
| 4    | 162,816.              |                           | 118,385.             | 44,431.               |                        |                              |                            | 44,431.               |
| 5    | 584,170.              |                           | 570,237.             | 13,933.               |                        |                              |                            | 13,933.               |
| 6    | 755,928.              |                           | 554,843.             | 201,085.              |                        |                              |                            | 201,085.              |
| 7    |                       |                           |                      |                       |                        |                              |                            | 17,599.               |
|      |                       |                           |                      |                       |                        |                              | <b>TOTAL</b>               | <b>\$ 332,414.</b>    |

**Application for Extension of Time To File an  
Exempt Organization Return**► **File a separate application for each return.**► **Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868).**

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box. ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

**Enter filer's identifying number, see instructions**

|                                                               |                                                                                                                 |                                         |
|---------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|-----------------------------------------|
| <b>Type or print</b>                                          | Name of exempt organization or other filer, see instructions                                                    | Employer identification number (EIN) or |
|                                                               | JAMES ARTHUR RAE CHARITABLE TRUST                                                                               | 86-0937247                              |
| File by the due date for filing your return. See instructions | Number, street, and room or suite number. If a P.O. box, see instructions                                       | Social security number (SSN)            |
|                                                               | 14602 N. TATUM BLVD.<br>City, town or post office, state, and ZIP code. For a foreign address, see instructions |                                         |
|                                                               | PHOENIX, AZ 85032                                                                                               |                                         |

Enter the Return code for the return that this application is for (file a separate application for each return)

**04**

| Application Is For                          | Return Code | Application Is For                | Return Code |
|---------------------------------------------|-------------|-----------------------------------|-------------|
| Form 990 or Form 990-EZ                     | 01          | Form 990-T (corporation)          | 07          |
| Form 990-BL                                 | 02          | Form 1041-A                       | 08          |
| Form 4720 (individual)                      | 03          | Form 4720 (other than individual) | 09          |
| Form 990-PF                                 | 04          | Form 5227                         | 10          |
| Form 990-T (section 401(a) or 408(a) trust) | 05          | Form 6069                         | 11          |
| Form 990-T (trust other than above)         | 06          | Form 8870                         | 12          |

- The books are in the care of ► THE TRUST

Telephone No. ► (480) 425-1111 Fax No. ► (480) 425-1131

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 20 14, to file the exempt organization return for the organization named above.  
The extension is for the organization's return for.

► ☒ calendar year 20 13 or► ☐ tax year beginning \_\_\_\_\_, 20 \_\_\_\_\_, and ending \_\_\_\_\_, 20 \_\_\_\_\_.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason. ☐ Initial return ☐ Final return  
☐ Change in accounting period

|                                                                                                                                                                                       |        |         |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------|---------|
| 3 a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.                                 | 3 a \$ | 17,486. |
| b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit | 3 b \$ | 9,986.  |
| c <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions       | 3 c \$ | 7,500.  |

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Enter filer's identifying number, see instructions

|                                                                                                    |                                                                                         |                                         |
|----------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|-----------------------------------------|
| <b>Type or print</b><br><br>File by the extended due date for filing your return. See instructions | Name of exempt organization or other filer, see instructions                            | Employer identification number (EIN) or |
|                                                                                                    | JAMES ARTHUR RAE CHARITABLE TRUST                                                       | 86-0937247                              |
|                                                                                                    | Number, street, and room or suite number. If a P.O. box, see instructions               | Social security number (SSN)            |
|                                                                                                    | 14602 N. TATUM BLVD.                                                                    |                                         |
|                                                                                                    | City, town or post office, state, and ZIP code. For a foreign address, see instructions |                                         |
|                                                                                                    | PHOENIX, AZ 85032                                                                       |                                         |

Enter the Return code for the return that this application is for (file a separate application for each return)

04

| Application Is For                          | Return Code | Application Is For                | Return Code |
|---------------------------------------------|-------------|-----------------------------------|-------------|
| Form 990 or Form 990-EZ                     | 01          |                                   |             |
| Form 990-BL                                 | 02          | Form 1041-A                       | 08          |
| Form 4720 (individual)                      | 03          | Form 4720 (other than individual) | 09          |
| Form 990-PF                                 | 04          | Form 5227                         | 10          |
| Form 990-T (section 401(a) or 408(a) trust) | 05          | Form 6069                         | 11          |
| Form 990-T (trust other than above)         | 06          | Form 8870                         | 12          |

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in care of THE TRUST  
Telephone No. (480) 425-1111 Fax No. (480) 425-1131
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- I request an additional 3-month extension of time until 11/15, 20 14.
- For calendar year 2013, or other tax year beginning \_\_\_\_\_, 20 \_\_\_\_\_, and ending \_\_\_\_\_, 20 \_\_\_\_\_.
- If the tax year entered in line 5 is for less than 12 months, check reason. ☐ Initial return ☐ Final return  
☐ Change in accounting period
- State in detail why you need the extension TAXPAYER RESPECTFULLY REQUESTS ADDITIONAL TIME TO GATHER INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE TAX RETURN.

|                                                                                                                                                                                                                                            |           |    |         |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|----|---------|
| <b>8a</b> If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.                                                                                | <b>8a</b> | \$ | 11,696. |
| <b>b</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868 | <b>8b</b> | \$ | 17,486. |
| <b>c Balance due.</b> Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions                                                             | <b>8c</b> | \$ | 0.      |

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ☐

Title ☐

Date ☐

BAA

FIFZ0502L 12/31/13

Form 8868 (Rev 1-2014)

# 2013 Tax Information Statement

Account Number: 23-28349  
 Recipient's Tax ID Number: XX-XXX7247

Recipient's Name and Address:

JAMES A RAE CHAR TR MARYLAND LLC

ATTN: DAN SHUFELT

7521 E. FIRST STREET

SCOTTSDALE, AZ 85251

☐ Corrected

☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY  
 P.O. BOX 803878  
 CHICAGO, IL 60680

Page 7 of 31

Ref: SOD

## 2013 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

| Quantity Sold           | Description                                           | Date of Sale or Exchange | Date of Acquisition | Stock or Other Symbol | Cost or Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-------------------------|-------------------------------------------------------|--------------------------|---------------------|-----------------------|---------------|---------------------------|------------------|-----------------------------|--------------------|
| <b>Short Term Sales</b> |                                                       |                          |                     |                       |               |                           |                  |                             |                    |
| 025816109               | AMERICAN EXPRESS CO                                   | 02/01/2013               | 06/15/2012          | AXP                   | 2,776.00      | 0.00                      | 216.93           | 0.00                        | 0.00               |
| 50.0000                 |                                                       |                          |                     |                       |               |                           |                  |                             |                    |
| 25746U109               | DOMINION RES INC V/A NEW                              | 02/01/2013               | 06/25/2012          | D                     | 9,067.29      | 0.00                      | 127.80           | 0.00                        | 0.00               |
| 170.0000                |                                                       |                          |                     |                       |               |                           |                  |                             |                    |
| 828806109               | SIMON PTY GROUP INC NEW                               | 02/01/2013               | 06/25/2012          | SPG                   | 1,493.00      | 0.00                      | 124.96           | 0.00                        | 0.00               |
| 10.0000                 |                                                       |                          |                     |                       |               |                           |                  |                             |                    |
| 375558103               | GILEAD SCIENCES INC                                   | 02/15/2013               | 03/27/2012          | GILD                  | 1,889.60      | 0.00                      | 1,442.32         | 0.00                        | 0.00               |
| 80.0000                 |                                                       |                          |                     |                       |               |                           |                  |                             |                    |
| 665162442               | MFB NORTHERN FUNDS MULTI MANAGER HIGH YIELD           | 02/15/2013               | 12/19/2012          | NMHYX                 | 247.85        | 0.00                      | 3.91             | 0.00                        | 0.00               |
| 22.9500                 |                                                       |                          |                     |                       |               |                           |                  |                             |                    |
| 00287Y109               | ABBVIE INC COM USD0 01                                | 04/19/2013               | 02/27/2013          | ABBV                  | 2,571.80      | 0.00                      | 403.13           | 0.00                        | 0.00               |
| 70.0000                 |                                                       |                          |                     |                       |               |                           |                  |                             |                    |
| 78463X863               | MFC SPDR INDEX SHS FDS DOW JONES INTL REAL ESTATE ETF | 04/19/2013               | 09/21/2012          | RWX                   | 7,864.00      | 0.00                      | 1,017.79         | 0.00                        | 0.00               |
| 200.0000                |                                                       |                          |                     |                       |               |                           |                  |                             |                    |
| 740189105               | PRECISION CASTPARTS CORP                              | 04/25/2013               | 07/27/2012          | PCP                   | 8,420.00      | 0.00                      | 1,947.64         | 0.00                        | 0.00               |
| 60.0000                 |                                                       |                          |                     |                       |               |                           |                  |                             |                    |
| 33939L308               | MFC FLEXSHARES TR MORNINGSTAR EMERG MKTSFACT          | 07/24/2013               | Various             |                       | 41,138.00     | 0.00                      | -1,698.68        | 0.00                        | 0.00               |
| 800.0000                |                                                       |                          |                     |                       |               |                           |                  |                             |                    |

This is important tax information and is being furnished to you.

# 2013 Tax Information Statement

Page 8 of 31  
 Recipient's Name and Address:  
 JAMES A RAE CHAR TR MARYLAND LLC  
 ATTN: DAN SHUFELT  
 7521 E. FIRST STREET  
 SCOTTSDALE, AZ 85251

Account Number: 23-28349  
 Recipient's Tax ID Number: XX-XXX7247

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY  
 P.O. BOX 803878  
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

| Cusip     | Description                                                                | Date of Sale or Exchange | Quantity Sold | Stocks or Other Symbols | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|----------------------------------------------------------------------------|--------------------------|---------------|-------------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 291011104 | EMERSON ELECTRIC CO                                                        | 08/28/2013               | 20.0000       | 1,211.77                | 1,162.20            | 0.00                      | 49.57            | 0.00                        | 0.00               |
| 717081103 | PFIZER INC COM                                                             | 08/28/2013               | 150.0000      | 4,187.92                | 4,109.25            | 0.00                      | 78.67            | 0.00                        | 0.00               |
| 33939L308 | MFC FLEXSHARES TR MORNINGSTAR EMERG MKTSFACT                               | 10/22/2013               | 400.0000      | 21,639.62               | 20,344.00           | 0.00                      | 1,295.62         | 0.00                        | 0.00               |
| 717081103 | PFIZER INC COM                                                             | 10/22/2013               | 250.0000      | 7,657.36                | 6,415.00            | 0.00                      | 1,242.36         | 0.00                        | 0.00               |
| 291011104 | EMERSON ELECTRIC CO                                                        | 11/08/2013               | 60.0000       | 3,958.73                | 3,486.60            | 0.00                      | 472.13           | 0.00                        | 0.00               |
| 315310679 | MFO FIDELITY ADVISOR INTL SMALL CAP CL                                     | 11/08/2013               | 2204.0500     | 57,109.42               | 55,000.00           | 0.00                      | 2,109.42         | 0.00                        | 0.00               |
| 33939L803 | MFC FLEXSHARES TR MORNINGSTAR DEVELOPED MARKETS EX US FACTOR TILT INDEX FD | 11/08/2013               | 1000.0000     | 60,971.94               | 54,244.00           | 0.00                      | 6,727.94         | 0.00                        | 0.00               |
| 641224811 | MFO NEUBERGER BERMAN EQUITY FDS INTL INSTITUTIONAL FD                      | 11/08/2013               | 6503.9300     | 71,608.30               | 70,000.00           | 0.00                      | 1,608.30         | 0.00                        | 0.00               |
| 665162509 | MFB NORTHERN FDS INTL GROWTH EQUITY FD FUND                                | 11/08/2013               | 8928.5700     | 87,946.42               | 90,000.00           | 0.00                      | -2,053.58        | 0.00                        | 0.00               |

# 2013 Tax Information Statement

Page 9 of 31  
 Recipient's Name and Address:  
 JAMES A RAE CHAR TR MARYLAND LLC  
 ATTN: DAN SHUFELT  
 7521 E. FIRST STREET  
 SCOTTSDALE, AZ 85251

Account Number: 23-28349  
 Recipient's Tax ID Number: XX-XXX7247  
☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY  
 P.O. BOX 803878  
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

| Cusip                  | Description                                                      | Date of Sale or Exchange | Date of Acquisition | Stock or Other Symbol | Cost or Basis | Wash-Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|------------------------|------------------------------------------------------------------|--------------------------|---------------------|-----------------------|---------------|---------------------------|------------------|-----------------------------|--------------------|
| 790849103              | ST JUDE MEDICAL INC                                              |                          |                     | STJ                   |               |                           |                  |                             |                    |
| 110.0000               | 11/08/2013 10/22/2013                                            |                          |                     | 6,196.19              | 6,195.20      | 0.00                      | 0.99             | 0.00                        | 0.00               |
| 233203421              | MFO DFA INVT DIMENSIONS GROUP INC EMERGING MKTS CORE EQUITY PORT |                          |                     | DFCEX                 |               |                           |                  |                             |                    |
| 2953.7200              | 11/13/2013 Various                                               |                          |                     | 56,681.94             | 57,000.00     | 0.00                      | -318.06          | 0.00                        | 0.00               |
| 683974505              | MFO OPPENHEIMER DEVELOPING MKTS FDS CLY                          |                          |                     | ODVYX                 |               |                           |                  |                             |                    |
| 3265.2300              | 11/13/2013 Various                                               |                          |                     | 119,083.19            | 120,000.00    | 0.00                      | -916.81          | 0.00                        | 0.00               |
| 494386204              | KIMBERLY-CLARK DE MEXICO SAB D C.V ORD PARTN CTF COM A           |                          |                     | KCDMY                 |               |                           |                  |                             |                    |
| 148.0000               | 12/20/2013 11/19/2013                                            |                          |                     | 2,072.73              | 2,190.40      | 0.00                      | -117.67          | 0.00                        | 0.00               |
| 98313R106              | ADR WYNN MACAU LTD ADR COM STK                                   |                          |                     | WYNNY                 |               |                           |                  |                             |                    |
| 49.0000                | 12/20/2013 11/19/2013                                            |                          |                     | 2,160.63              | 1,883.07      | 0.00                      | 277.56           | 0.00                        | 0.00               |
| 171778202              | ADR CIELO S A SPONSORED ADR                                      |                          |                     | CIOXY                 |               |                           |                  |                             |                    |
| 55.0000                | 12/27/2013 11/19/2013                                            |                          |                     | 1,530.07              | 1,639.72      | 0.00                      | -109.65          | 0.00                        | 0.00               |
| Total Short Term Sales |                                                                  |                          |                     | 584,169.58            | 570,236.98    | 0.00                      | 13,932.59        | 0.00                        | 0.00               |
|                        |                                                                  |                          |                     |                       |               |                           |                  |                             |                    |
| Long Term Sales        |                                                                  |                          |                     |                       |               |                           |                  |                             |                    |
| 037411105              | APACHE CORP                                                      |                          |                     | APA                   |               |                           |                  |                             |                    |
| 130.0000               | 02/01/2013 10/01/2003                                            |                          |                     | 11,057.55             | 4,523.56      | 0.00                      | 6,533.99         | 0.00                        | 0.00               |
| 459200101              | INTL BUSINESS MACHINES CORP                                      |                          |                     | IBM                   |               |                           |                  |                             |                    |
| 30.0000                | 02/01/2013 11/05/2008                                            |                          |                     | 6,151.36              | 2,785.50      | 0.00                      | 3,365.86         | 0.00                        | 0.00               |

# 2013 Tax Information Statement

Page 10 of 31  
 Recipient's Name and Address:  
 JAMES A RAE CHAR TR MARYLAND LLC  
 ATTN: DAN SHUFELT  
 7521 E. FIRST STREET  
 SCOTTSDALE, AZ 85251

Account Number: 23-28349  
 Recipient's Tax ID Number: XX-XXX7247

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY  
 P.O. BOX 803878  
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

| Quantity Sold | Cusip | Description                                                  | Date of Sale or Exchange | Acquisition Date | Stock or Other Symbol | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|---------------|-------|--------------------------------------------------------------|--------------------------|------------------|-----------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 68389X105     |       | ORACLE CORPORATION                                           |                          |                  |                       |                     |                           |                  |                             |                    |
| 100.0000      |       | 02/01/2013                                                   | 12/04/2003               |                  | 3,615.91              | 1,304.00            | 0.00                      | 2,311.91         | 0.00                        | 0.00               |
| 665162442     |       | MFB NORTHERN FUNDS MULTI MANAGER HIGH YIELD OPPORTUNITY FUND |                          |                  | NMHYX                 |                     |                           |                  |                             |                    |
| 107.8800      |       | 02/15/2013                                                   | 01/05/2012               |                  | 1,183.44              | 1,080.96            | 0.00                      | 102.48           | 0.00                        | 0.00               |
| 665162442     |       | MFB NORTHERN FUNDS MULTI MANAGER HIGH YIELD OPPORTUNITY FUND |                          |                  | NMHYX                 |                     |                           |                  |                             |                    |
| 2702.1700     |       | 02/15/2013                                                   | Various                  |                  | 29,642.72             | 26,862.03           | 0.00                      | 2,780.69         | 0.00                        | 0.00               |
| 87612E106     |       | TARGET CORP                                                  |                          |                  | TGT                   |                     |                           |                  |                             |                    |
| 220.0000      |       | 02/15/2013                                                   | 02/10/2012               |                  | 13,672.69             | 11,488.40           | 0.00                      | 2,184.29         | 0.00                        | 0.00               |
| 17275R102     |       | CISCO SYS INC                                                |                          |                  | CSCO                  |                     |                           |                  |                             |                    |
| 200.0000      |       | 02/27/2013                                                   | 04/30/1997               |                  | 4,135.90              | 1,169.44            | 0.00                      | 2,966.46         | 0.00                        | 0.00               |
| 459200101     |       | INTL BUSINESS MACHINES CORP                                  |                          |                  | IBM                   |                     |                           |                  |                             |                    |
| 20.0000       |       | 02/27/2013                                                   | 11/05/2008               |                  | 3,971.31              | 1,857.00            | 0.00                      | 2,114.31         | 0.00                        | 0.00               |
| 665162533     |       | MFB NORTHERN FUNDS BD INDEX FD                               |                          |                  | NOBOX                 |                     |                           |                  |                             |                    |
| 2757.3500     |       | 02/27/2013                                                   | Various                  |                  | 30,000.00             | 30,055.81           | 0.00                      | -55.81           | 0.00                        | 0.00               |
| 931142103     |       | WAL MART STORES INC                                          |                          |                  | WMT                   |                     |                           |                  |                             |                    |
| 130.0000      |       | 02/27/2013                                                   | 08/02/2005               |                  | 9,220.69              | 6,461.00            | 0.00                      | 2,759.69         | 0.00                        | 0.00               |
| 00287Y109     |       | ABBVIE INC COM USD0 01                                       |                          |                  | ABBV                  |                     |                           |                  |                             |                    |
| 120.0000      |       | 04/19/2013                                                   | 11/29/2011               |                  | 5,099.88              | 3,336.25            | 0.00                      | 1,763.63         | 0.00                        | 0.00               |

This is important tax information and is being furnished to you.

# 2013 Tax Information Statement

Page 11 of 31  
 Recipient's Name and Address:  
 JAMES A RAE CHAR TR MARYLAND LLC  
 ATTN: DAN SHUFELT  
 7521 E. FIRST STREET  
 SCOTTSDALE, AZ 85251

Account Number: 23-28349  
 Recipient's Tax ID Number: XX-XXX7247

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY  
 P.O. BOX 803878  
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

| Cusip     | Description                     | Date of Sale or Exchange | Date of Acquisition | Stock or Other Symbol | Stocks, Bonds, etc. | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|---------------------------------|--------------------------|---------------------|-----------------------|---------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 037411105 | APACHE CORP                     | 04/19/2013               | 10/01/2003          | APA                   | 1,422.98            | 695.93              | 0.00                      | 727.03           | 0.00                        | 0.00               |
| 20.0000   |                                 |                          |                     |                       |                     |                     |                           |                  |                             |                    |
| 354613101 | FRANKLIN RESOURCES INC          | 04/19/2013               | 02/10/2012          | BEN                   | 2,910.13            | 2,318.36            | 0.00                      | 591.77           | 0.00                        | 0.00               |
| 20.0000   |                                 |                          |                     |                       |                     |                     |                           |                  |                             |                    |
| 46625H100 | J P MORGAN CHASE & CO           | 04/19/2013               | 02/10/2012          | JPM                   | 2,354.94            | 1,874.00            | 0.00                      | 480.94           | 0.00                        | 0.00               |
| 50.0000   |                                 |                          |                     |                       |                     |                     |                           |                  |                             |                    |
| 478160104 | JOHNSON & JOHNSON               | 04/19/2013               | 11/29/2011          | JNJ                   | 3,341.52            | 2,514.80            | 0.00                      | 826.72           | 0.00                        | 0.00               |
| 40.0000   |                                 |                          |                     |                       |                     |                     |                           |                  |                             |                    |
| 580135101 | MCDONALDS CORP                  | 04/19/2013               | 03/27/2012          | MCD                   | 2,022.55            | 1,954.80            | 0.00                      | 67.75            | 0.00                        | 0.00               |
| 20.0000   |                                 |                          |                     |                       |                     |                     |                           |                  |                             |                    |
| 654106103 | NIKE INC CLASS B                | 04/19/2013               | 01/07/2003          | NKE                   | 2,418.34            | 459.40              | 0.00                      | 1,958.94         | 0.00                        | 0.00               |
| 40.0000   |                                 |                          |                     |                       |                     |                     |                           |                  |                             |                    |
| 665130100 | MFB NORTHN MID CAP INDEX FD FD  | 04/19/2013               | Various             | NOMIX                 | 15,000.01           | 12,127.59           | 0.00                      | 2,872.42         | 0.00                        | 0.00               |
| 1030.9300 |                                 |                          |                     |                       |                     |                     |                           |                  |                             |                    |
| 742718109 | PROCTER & GAMBLE CO             | 04/19/2013               | 12/17/2001          | PG                    | 3,216.72            | 1,603.35            | 0.00                      | 1,613.37         | 0.00                        | 0.00               |
| 40.0000   |                                 |                          |                     |                       |                     |                     |                           |                  |                             |                    |
| 030420103 | AMERICAN WTR WKS CO INC NEW COM | 04/25/2013               | 03/27/2012          | AWK                   | 1,657.56            | 1,358.40            | 0.00                      | 299.16           | 0.00                        | 0.00               |
| 40.0000   |                                 |                          |                     |                       |                     |                     |                           |                  |                             |                    |

This is important tax information and is being furnished to you.



# 2013 Tax Information Statement

Page 12 of 31  
 Recipient's Name and Address: Ref: SOD  
 JAMES A RAE CHAR TR MARYLAND LLC  
 ATTN: DAN SHUFELT  
 7521 E. FIRST STREET  
 SCOTTSDALE, AZ 85251

Account Number: 23-28349  
 Recipient's Tax ID Number: XX-XXX7247

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY  
 P.O. BOX 803878  
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

| Cusip     | Description                           | Date of Sale or Exchange | Date of Acquisition | Stock or Other Symbol | Stocks, Bonds, etc. | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|---------------------------------------|--------------------------|---------------------|-----------------------|---------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 025816109 | AMERICAN EXPRESS CO                   | 08/28/2013               | 06/15/2012          | AXP                   | 2,150.06            | 1,665.60            | 0.00                      | 484.46           | 0.00                        | 0.00               |
| 235851102 | DANAHER CORP                          | 08/28/2013               | 10/01/2003          | DHR                   | 2,610.35            | 747.20              | 0.00                      | 1,863.15         | 0.00                        | 0.00               |
| 254687106 | WALT DISNEY CO                        | 08/28/2013               | 06/25/2012          | DIS                   | 1,814.06            | 1,400.10            | 0.00                      | 413.96           | 0.00                        | 0.00               |
| 437076102 | HOME DEPOT INC                        | 08/28/2013               | 06/25/2012          | HD                    | 2,963.55            | 2,055.60            | 0.00                      | 907.95           | 0.00                        | 0.00               |
| 458140100 | INTEL CORP COM                        | 08/28/2013               | 10/16/1995          | INTC                  | 2,216.96            | 790.62              | 0.00                      | 1,426.34         | 0.00                        | 0.00               |
| 464287234 | ISHARES MSCI EMERGING MKTS INDEX FUND | 08/28/2013               | Various             | EEM                   | 20,492.64           | 21,519.49           | 0.00                      | -1,026.85        | 0.00                        | 0.00               |
| 550.0000  | ISHARES MSCI EMERGING MKTS INDEX FUND | 08/28/2013               | 02/24/2006          | EEM                   | 14,903.74           | 13,148.00           | 0.00                      | 1,755.74         | 0.00                        | 0.00               |
| 46625H100 | J P MORGAN CHASE & CO                 | 08/28/2013               | 02/10/2012          | JPM                   | 2,513.46            | 1,874.00            | 0.00                      | 639.46           | 0.00                        | 0.00               |
| 665162723 | MFB NORTHN FDS SMALL CAP INDEX FD     | 08/28/2013               | 02/24/2006          | NSIDX                 | 20,000.00           | 20,626.68           | 0.00                      | -626.68          | 0.00                        | 0.00               |
| 1790.5100 |                                       |                          |                     |                       |                     |                     |                           |                  |                             |                    |

This is important tax information and is being furnished to you.

# 2013 Tax Information Statement

Page 13 of 31  
 Recipient's Name and Address:  
 JAMES A RAE CHAR TR MARYLAND LLC  
 ATTN: DAN SHUFELT  
 7521 E. FIRST STREET  
 SCOTTSDALE, AZ 85251

Account Number: 23-28349  
 Recipient's Tax ID Number: XX-XXX7247

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY  
 P.O. BOX 803878  
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

| Cusip     | Description                 | Date of Sale or Exchange | Date of Acquisition | Stock or Other Symbol | Stocks, Bonds, etc. | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|-----------------------------|--------------------------|---------------------|-----------------------|---------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 742718109 | PROCTER & GAMBLE CO         | 08/28/2013               | 12/17/2001          | PG                    | 1,554.37            | 801.67              | 0.00                      | 752.70           | 0.00                        | 0.00               |
| 20.0000   |                             |                          |                     |                       |                     |                     |                           |                  |                             |                    |
| 747525103 | QUALCOMM INC                | 08/28/2013               | 02/10/2012          | QCOM                  | 1,847.76            | 1,847.40            | 0.00                      | 137.36           | 0.00                        | 0.00               |
| 30.0000   |                             |                          |                     |                       |                     |                     |                           |                  |                             |                    |
| 855244109 | STARBUCKS CORP              | 08/28/2013               | 04/18/2001          | SBUX                  | 5,607.10            | 832.80              | 0.00                      | 4,774.30         | 0.00                        | 0.00               |
| 80.0000   |                             |                          |                     |                       |                     |                     |                           |                  |                             |                    |
| 913017109 | UNITED TECHNOLOGIES CORP    | 08/28/2013               | 12/04/2001          | UTX                   | 2,994.85            | 901.80              | 0.00                      | 2,093.05         | 0.00                        | 0.00               |
| 30.0000   |                             |                          |                     |                       |                     |                     |                           |                  |                             |                    |
| 949746101 | WELLS FARGO & CO NEW        | 08/28/2013               | 04/18/2001          | WFC                   | 4,104.93            | 2,368.00            | 0.00                      | 1,736.93         | 0.00                        | 0.00               |
| 100.0000  |                             |                          |                     |                       |                     |                     |                           |                  |                             |                    |
| 17275R102 | CISCO SYS INC               | 10/22/2013               | 04/30/1997          | CSCO                  | 3,392.94            | 877.08              | 0.00                      | 2,515.86         | 0.00                        | 0.00               |
| 150.0000  |                             |                          |                     |                       |                     |                     |                           |                  |                             |                    |
| 22160K105 | COSTCO WHSL CORP NEW        | 10/22/2013               | 06/25/2012          | COST                  | 12,978.67           | 10,002.19           | 0.00                      | 2,976.48         | 0.00                        | 0.00               |
| 110.0000  |                             |                          |                     |                       |                     |                     |                           |                  |                             |                    |
| 263534109 | E I DU PONT DE NEMOURS & CO | 10/22/2013               | 07/27/2012          | DD                    | 9,044.84            | 7,411.50            | 0.00                      | 1,633.34         | 0.00                        | 0.00               |
| 150.0000  |                             |                          |                     |                       |                     |                     |                           |                  |                             |                    |
| 30231G102 | EXXON MOBIL CORP COM        | 10/22/2013               | 02/09/1996          | XOM                   | 13,192.27           | 3,255.31            | 0.00                      | 9,936.96         | 0.00                        | 0.00               |
| 150.0000  |                             |                          |                     |                       |                     |                     |                           |                  |                             |                    |

This is important tax information and is being furnished to you.



# 2013 Tax Information Statement

Account Number: 23-28349  
 Recipient's Tax ID Number: XX-XXX7247  
 Recipient's Name and Address:  
 JAMES A RAE CHAR TR MARYLAND LLC  
 ATTN: DAN SHUFELT  
 7521 E. FIRST STREET  
 SCOTTSDALE, AZ 85251

Page 14 of 31

Ref: SOD

THE NORTHERN TRUST COMPANY  
 P.O. BOX 803878  
 CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

| Cusip     | Description                           | Date of Sale or Exchange | Acquisition | Stocks or Other Symbol | Stocks or Bonds | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|---------------------------------------|--------------------------|-------------|------------------------|-----------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 369604103 | GENERAL ELEC CO COM                   | 10/22/2013               | 03/31/2011  | GE                     | 5,197.90        | 4,009.00            | 0.00                      | 1,188.90         | 0.00                        | 0.00               |
| 200.0000  |                                       |                          |             |                        |                 |                     |                           |                  |                             |                    |
| 375558103 | GILEAD SCIENCES INC                   | 10/22/2013               | 03/27/2012  | GILD                   | 2,044.46        | 708.60              | 0.00                      | 1,335.86         | 0.00                        | 0.00               |
| 30.0000   |                                       |                          |             |                        |                 |                     |                           |                  |                             |                    |
| 437076102 | HOME DEPOT INC                        | 10/22/2013               | 06/25/2012  | HD                     | 11,980.59       | 8,222.40            | 0.00                      | 3,758.19         | 0.00                        | 0.00               |
| 160.0000  |                                       |                          |             |                        |                 |                     |                           |                  |                             |                    |
| 464287234 | ISHARES MSCI EMERGING MKTS INDEX FUND | 10/22/2013               | Various     | EEM                    | 87,558.47       | 44,789.00           | 0.00                      | 42,769.47        | 0.00                        | 0.00               |
| 2000.0000 |                                       |                          |             |                        |                 |                     |                           |                  |                             |                    |
| 464287465 | MFC ISHARES TR MSCI EAFE INDEX FD     | 10/22/2013               | Various     | EFA                    | 147,112.97      | 106,622.67          | 0.00                      | 40,490.30        | 0.00                        | 0.00               |
| 2200.0000 |                                       |                          |             |                        |                 |                     |                           |                  |                             |                    |
| 594918104 | MICROSOFT CORP COM                    | 10/22/2013               | Various     | MSFT                   | 12,106.28       | 9,335.50            | 0.00                      | 2,770.78         | 0.00                        | 0.00               |
| 350.0000  |                                       |                          |             |                        |                 |                     |                           |                  |                             |                    |
| 637071101 | NATIONAL-OILWELL INC                  | 10/22/2013               | 03/27/2012  | NOV                    | 3,258.34        | 3,132.36            | 0.00                      | 125.98           | 0.00                        | 0.00               |
| 40.0000   |                                       |                          |             |                        |                 |                     |                           |                  |                             |                    |
| 665130100 | MFB NORTHN MID CAP INDEX FD FD        | 10/22/2013               | 02/24/2006  | NOMIX                  | 15,000.00       | 10,407.13           | 0.00                      | 4,592.87         | 0.00                        | 0.00               |
| 884.9600  |                                       |                          |             |                        |                 |                     |                           |                  |                             |                    |
| 665162723 | MFB NORTHN FDS SMALL CAP INDEX FD     | 10/22/2013               | 02/24/2006  | NSIDX                  | 20,000.00       | 18,762.17           | 0.00                      | 1,237.83         | 0.00                        | 0.00               |
| 1628.6800 |                                       |                          |             |                        |                 |                     |                           |                  |                             |                    |

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# 2013 Tax Information Statement

Page 15 of 31  
 Recipient's Name and Address:  
 JAMES A RAE CHAR TR MARYLAND LLC  
 ATTN: DAN SHUFELT  
 7521 E. FIRST STREET  
 SCOTTSDALE, AZ 85251

Account Number: 23-28349  
 Recipient's Tax ID Number: XX-XXX7247

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY  
 P.O. BOX 803878  
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

| Cusp      | Description                                                       | Date of Sale or Exchange | Stocks     | Bonds, etc. | Stock or Other Symbol | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------|-------------------------------------------------------------------|--------------------------|------------|-------------|-----------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 747525103 | QUALCOMM INC                                                      | 10/22/2013               | 02/10/2012 |             | QCOM                  | 1,847.40            | 0.00                      | 221.66           | 0.00                        | 0.00               |
| 30.0000   |                                                                   |                          |            |             |                       | 2,069.06            |                           |                  |                             |                    |
| 828806109 | SIMON PTY GROUP INC NEW                                           | 10/22/2013               | 06/25/2012 |             | SPG                   | 10,451.00           | 0.00                      | 736.90           | 0.00                        | 0.00               |
| 70.0000   |                                                                   |                          |            |             |                       | 11,187.90           |                           |                  |                             |                    |
| 902973304 | US BANCORP DEL NEW                                                | 10/22/2013               | 12/04/2003 |             | USB                   | 9,676.81            | 0.00                      | 3,661.94         | 0.00                        | 0.00               |
| 350.0000  |                                                                   |                          |            |             |                       | 13,338.75           |                           |                  |                             |                    |
| 913017109 | UNITED TECHNOLOGIES CORP                                          | 10/22/2013               | 12/04/2001 |             | UTX                   | 901.80              | 0.00                      | 2,279.34         | 0.00                        | 0.00               |
| 30.0000   |                                                                   |                          |            |             |                       | 3,181.14            |                           |                  |                             |                    |
| 097023105 | BOEING CO COM                                                     | 11/08/2013               | 08/02/2005 |             | BA                    | 1,985.10            | 0.00                      | 1,952.33         | 0.00                        | 0.00               |
| 30.0000   |                                                                   |                          |            |             |                       | 3,937.43            |                           |                  |                             |                    |
| 126650100 | CVS CORP                                                          | 11/08/2013               | 06/25/2012 |             | CVS                   | 1,797.60            | 0.00                      | 745.95           | 0.00                        | 0.00               |
| 40.0000   |                                                                   |                          |            |             |                       | 2,543.55            |                           |                  |                             |                    |
| 30231G102 | EXXON MOBIL CORP COM                                              | 11/08/2013               | 02/09/1996 |             | XOM                   | 1,519.15            | 0.00                      | 4,901.14         | 0.00                        | 0.00               |
| 70.0000   |                                                                   |                          |            |             |                       | 6,420.29            |                           |                  |                             |                    |
| 33939L407 | MFC FLEXSHARES TR MORNINGSTAR GLOBAL<br>UPSTREAM NAT RES INDEX FD | 11/08/2013               | 09/21/2012 |             |                       | 25,605.79           | 0.00                      | 1,645.21         | 0.00                        | 0.00               |
| 700.0000  |                                                                   |                          |            |             |                       | 23,960.58           |                           |                  |                             |                    |
| 459200101 | INTL BUSINESS MACHINES CORP                                       | 11/08/2013               | 11/05/2008 |             | IBM                   | 3,249.75            | 0.00                      | 2,993.09         | 0.00                        | 0.00               |
| 35.0000   |                                                                   |                          |            |             |                       | 6,242.84            |                           |                  |                             |                    |

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# 2013 Tax Information Statement

Page 16 of 31  
 Recipient's Name and Address:  
 JAMES A RAE CHAR TR MARYLAND LLC  
 ATTN: DAN SHUFELT  
 7521 E. FIRST STREET  
 SCOTTSDALE, AZ 85251

Account Number: 23-28349  
 Recipient's Tax ID Number: XX-XXX7247

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY  
 P.O. BOX 803878  
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

| Quantity Sold         | Description                                           | Date of Sale or Exchange | Date of Acquisition | Stock or Other Symbol | Stocks, Bonds, etc. | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
|-----------------------|-------------------------------------------------------|--------------------------|---------------------|-----------------------|---------------------|---------------------|---------------------------|------------------|-----------------------------|--------------------|
| 48625H100             | J P MORGAN CHASE & CO                                 |                          |                     | JPM                   |                     |                     |                           |                  |                             |                    |
| 80.0000               | 11/08/2013 02/10/2012                                 |                          |                     | 4,143.12              | 2,998.40            | 0.00                | 0.00                      | 1,144.72         | 0.00                        | 0.00               |
| 665130100             | MFB NORTN MID CAP INDEX FD FD                         |                          |                     | NOMIX                 |                     |                     |                           |                  |                             |                    |
| 1487.2100             | 11/08/2013 02/24/2006                                 |                          |                     | 25,000.00             | 17,489.58           | 0.00                | 0.00                      | 7,510.42         | 0.00                        | 0.00               |
| 747525103             | QUALCOMM INC                                          |                          |                     | QCOM                  |                     |                     |                           |                  |                             |                    |
| 70.0000               | 11/08/2013 02/10/2012                                 |                          |                     | 4,717.22              | 4,310.60            | 0.00                | 0.00                      | 406.62           | 0.00                        | 0.00               |
| 78463X863             | MFC SPDR INDEX SHS FDS DOW JONES INTL REAL ESTATE ETF |                          |                     | RWX                   |                     |                     |                           |                  |                             |                    |
| 200.0000              | 11/08/2013 07/27/2012                                 |                          |                     | 8,443.85              | 7,606.06            | 0.00                | 0.00                      | 837.79           | 0.00                        | 0.00               |
| 855244109             | STARBUCKS CORP                                        |                          |                     | SBUX                  |                     |                     |                           |                  |                             |                    |
| 50.0000               | 11/08/2013 04/18/2001                                 |                          |                     | 3,973.93              | 520.50              | 0.00                | 0.00                      | 3,453.43         | 0.00                        | 0.00               |
| 92343V104             | VERIZON COMMUNICATIONS                                |                          |                     | VZ                    |                     |                     |                           |                  |                             |                    |
| 160.0000              | 11/08/2013 03/27/2012                                 |                          |                     | 8,003.06              | 6,238.13            | 0.00                | 0.00                      | 1,764.93         | 0.00                        | 0.00               |
| 949746101             | WELLS FARGO & CO NEW                                  |                          |                     | WFC                   |                     |                     |                           |                  |                             |                    |
| 100.0000              | 11/08/2013 04/18/2001                                 |                          |                     | 4,170.92              | 2,368.00            | 0.00                | 0.00                      | 1,802.92         | 0.00                        | 0.00               |
| 665162723             | MFB NORTN FDS SMALL CAP INDEX FD                      |                          |                     | NSIDX                 |                     |                     |                           |                  |                             |                    |
| 1795.9200             | 11/13/2013 Various                                    |                          |                     | 22,000.00             | 20,098.35           | 0.00                | 0.00                      | 1,901.65         | 0.00                        | 0.00               |
| 78464A607             | SPDR DOW JONES REIT ETF                               |                          |                     | RWR                   |                     |                     |                           |                  |                             |                    |
| 300.0000              | 11/13/2013 07/27/2012                                 |                          |                     | 21,719.62             | 22,234.86           | 0.00                | 0.00                      | -515.24          | 0.00                        | 0.00               |
| Total Long Term Sales |                                                       |                          |                     | 755,928.00            | 554,843.33          | 0.00                | 0.00                      | 201,084.67       | 0.00                        | 0.00               |

This is important tax information and is being furnished to you.

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TST UAD 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD.  
PHOENIX AZ 85032-4816

Identification Number: 26-4310632

Taxpayer ID Number: 86-0937247  
Account Number: 003 113436 035

**Customer Service: 866-324-6088**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Short Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box A checked.)

| DESCRIPTION (Box 8)                   | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SYMBOL (Box 1d): | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|---------------------------------------|----------------------|------------------------------|-----------------------------|------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| <b>ABBOTT LABORATORIES</b>            |                      |                              |                             |                  |                         |                                            |                                         |                       |                                           |
|                                       |                      | CUSIP: 002824100             |                             | Symbol (Box 1d): | ABT                     |                                            |                                         |                       |                                           |
|                                       | 56,000               | 03/28/13                     | 09/25/13                    |                  | \$1,885.09              | \$1,975.41                                 | \$0.00                                  | (\$90.32)             | \$0.00                                    |
|                                       | 15,000               | 04/02/13                     | 09/25/13                    |                  | \$504.79                | \$540.26                                   | \$0.00                                  | (\$35.47)             | \$0.00                                    |
|                                       | 3,000                | 04/02/13                     | 09/25/13                    |                  | \$100.99                | \$108.05                                   | \$0.00                                  | (\$7.06)              | \$0.00                                    |
|                                       | 2,000                | 04/02/13                     | 09/27/13                    |                  | \$66.49                 | \$72.03                                    | \$0.00                                  | (\$5.54)              | \$0.00                                    |
|                                       | 28,000               | 04/17/13                     | 09/27/13                    |                  | \$930.87                | \$1,038.06                                 | \$0.00                                  | (\$107.19)            | \$0.00                                    |
|                                       | 8,000                | 06/20/13                     | 09/27/13                    |                  | \$265.96                | \$285.39                                   | \$0.00                                  | (\$19.43)             | \$0.00                                    |
| <b>Security Subtotal</b>              | <b>112,000</b>       |                              |                             |                  | <b>\$3,754.19</b>       | <b>\$4,019.20</b>                          | <b>\$0.00</b>                           | <b>(\$265.01)</b>     | <b>\$0.00</b>                             |
| <b>ALEXION PHARM INC</b>              |                      |                              |                             |                  |                         |                                            |                                         |                       |                                           |
|                                       |                      | CUSIP: 015351109             |                             | Symbol (Box 1d): | ALXN                    |                                            |                                         |                       |                                           |
|                                       | 7,000                | 04/03/13                     | 07/12/13                    |                  | \$796.90                | \$693.54                                   | \$0.00                                  | \$103.36              | \$0.00                                    |
|                                       | 5,000                | 04/03/13                     | 08/12/13                    |                  | \$545.40                | \$495.38                                   | \$0.00                                  | \$50.02               | \$0.00                                    |
| <b>Security Subtotal</b>              | <b>12,000</b>        |                              |                             |                  | <b>\$1,342.30</b>       | <b>\$1,188.92</b>                          | <b>\$0.00</b>                           | <b>\$153.38</b>       | <b>\$0.00</b>                             |
| <b>ALLERGAN INC</b>                   |                      |                              |                             |                  |                         |                                            |                                         |                       |                                           |
|                                       |                      | CUSIP: 018490102             |                             | Symbol (Box 1d): | AGN                     |                                            |                                         |                       |                                           |
|                                       | 3,000                | 11/02/12                     | 03/08/13                    |                  | \$328.18                | \$277.20                                   | \$0.00                                  | \$50.98               | \$0.00                                    |
|                                       | 9,000                | 11/02/12                     | 05/21/13                    |                  | \$883.64                | \$631.59                                   | \$0.00                                  | \$252.05              | \$0.00                                    |
|                                       | 7,000                | 11/02/12                     | 05/22/13                    |                  | \$688.47                | \$646.79                                   | \$0.00                                  | \$41.68               | \$0.00                                    |
|                                       | 2,000                | 11/06/12                     | 05/23/13                    |                  | \$194.81                | \$183.15                                   | \$0.00                                  | \$11.66               | \$0.00                                    |
|                                       | 11,000               | 11/09/12                     | 05/23/13                    |                  | \$1,071.47              | \$995.92                                   | \$0.00                                  | \$75.55               | \$0.00                                    |
| <b>Security Subtotal</b>              | <b>32,000</b>        |                              |                             |                  | <b>\$3,166.57</b>       | <b>\$2,934.65</b>                          | <b>\$0.00</b>                           | <b>\$231.92</b>       | <b>\$0.00</b>                             |
| <b>ALLIED WORLD ASSUR CO HLDG LTD</b> |                      |                              |                             |                  |                         |                                            |                                         |                       |                                           |
|                                       |                      | CUSIP: H01531104             |                             | Symbol (Box 1d): | AWH                     |                                            |                                         |                       |                                           |
|                                       | 3,000                | 03/08/13                     | 05/02/13                    |                  | \$266.62                | \$266.76                                   | \$0.00                                  | (\$0.14)              | \$0.00                                    |

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# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: 86-0937247  
Account Number: 003 113436 035  
**Customer Service: 866-324-6088**

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TST UAD 8-20-1965 AS AMENDED  
14602 N TATUM BLVD.  
PHOENIX AZ 85032-4816

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Short Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)  
(Continued)

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|------------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|------------------------------------|-----------------------|-------------------------------------------|
| AMER INTL GP INC NEW         |                      | CUSIP: 026874784             | Symbol (Box 1d): AIG        |                         |                                            |                                    |                       |                                           |
|                              | 2,000                | 02/04/13                     | 03/08/13                    | \$78.45                 | \$76.96                                    | \$0.00                             | \$1.49                | \$0.00                                    |
|                              | 12,000               | 02/04/13                     | 05/09/13                    | \$529.18                | \$461.73                                   | \$0.00                             | \$67.45               | \$0.00                                    |
|                              | 22,000               | 02/04/13                     | 10/29/13                    | \$1,144.24              | \$846.51                                   | \$0.00                             | \$297.73              | \$0.00                                    |
| Security Subtotal            | 36,000               |                              |                             | \$1,751.87              | \$1,385.20                                 | \$0.00                             | \$366.67              | \$0.00                                    |
| AMGEN INC                    |                      | CUSIP: 031162100             | Symbol (Box 1d): AMGN       |                         |                                            |                                    |                       |                                           |
|                              | 2,000                | 01/29/13                     | 05/09/13                    | \$209.25                | \$172.28                                   | \$0.00                             | \$36.97               | \$0.00                                    |
| ANHEUSER BUSCH INBEV SA SPON |                      | CUSIP: 03524A108             | Symbol (Box 1d): BUD        |                         |                                            |                                    |                       |                                           |
|                              | 3,000                | 05/04/12                     | 03/08/13                    | \$288.95                | \$221.55                                   | \$0.00                             | \$67.40               | \$0.00                                    |
| APPLIED MATERIALS INC        |                      | CUSIP: 038222105             | Symbol (Box 1d): AMAT       |                         |                                            |                                    |                       |                                           |
|                              | 28,000               | 03/08/13                     | 04/11/13                    | \$386.20                | \$380.88                                   | \$0.00                             | \$5.32                | \$0.00                                    |
| ATHENAHEALTH INC COM         |                      | CUSIP: 04685W103             | Symbol (Box 1d): ATHN       |                         |                                            |                                    |                       |                                           |
|                              | 2,000                | 07/18/13                     | 11/26/13                    | \$255.14                | \$229.79                                   | \$0.00                             | \$25.35               | \$0.00                                    |
|                              | 7,000                | 07/18/13                     | 11/27/13                    | \$897.18                | \$804.28                                   | \$0.00                             | \$92.90               | \$0.00                                    |
|                              | 4,000                | 07/19/13                     | 11/27/13                    | \$512.67                | \$441.79                                   | \$0.00                             | \$70.88               | \$0.00                                    |
| Security Subtotal            | 13,000               |                              |                             | \$1,664.99              | \$1,475.86                                 | \$0.00                             | \$189.13              | \$0.00                                    |
| BAIDU INC ADS                |                      | CUSIP: 056752108             | Symbol (Box 1d): BIDU       |                         |                                            |                                    |                       |                                           |
|                              | 8,000                | 01/11/13                     | 04/16/13                    | \$720.50                | \$902.76                                   | \$0.00                             | (\$182.26)            | \$0.00                                    |
|                              | 8,000                | 01/11/13                     | 04/26/13                    | \$677.70                | \$902.76                                   | \$0.00                             | (\$225.06)            | \$0.00                                    |
|                              | 11,000               | 01/11/13                     | 04/26/13                    | \$931.84                | \$1,241.16                                 | \$0.00                             | (\$309.32)            | \$0.00                                    |
|                              | 8,000                | 02/04/13                     | 04/26/13                    | \$677.71                | \$857.60                                   | \$0.00                             | (\$179.89)            | \$0.00                                    |
| Security Subtotal            | 35,000               |                              |                             | \$3,007.75              | \$3,904.28                                 | \$0.00                             | (\$896.53)            | \$0.00                                    |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

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8th Floor  
New York, NY 10004  
Identification Number 26-4310632  
Taxpayer ID Number. 86-0937247  
Account Number: 003 113436 035  
**Customer Service: 866-324-6088**

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PHOENIX AZ 85032-4816

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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|----------------------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| CUSIP: 05946K101 Symbol (Box 1d): BBVA |                      |                              |                             |                         |                                            |                                         |                       |                                           |
| BANCO BILBAO VIZ ARG SA ADS            | 18,000               | 02/22/13                     | 03/08/13                    | \$179.34                | \$179.40                                   | \$0.00                                  | (\$0.06)              | \$0.00                                    |
|                                        | 27,000               | 02/22/13                     | 05/09/13                    | \$267.02                | \$269.10                                   | \$0.00                                  | (\$2.08)              | \$0.00                                    |
| <b>Security Subtotal</b>               | <b>45,000</b>        |                              |                             | <b>\$446.36</b>         | <b>\$448.50</b>                            | <b>\$0.00</b>                           | <b>(\$2.14)</b>       | <b>\$0.00</b>                             |
| CUSIP: 060505104 Symbol (Box 1d): BAC  |                      |                              |                             |                         |                                            |                                         |                       |                                           |
| BANK OF AMERICA CORP                   | 39,000               | 02/16/12                     | 02/04/13                    | \$451.70                | \$314.96                                   | \$0.00                                  | \$136.74              | \$0.00                                    |
|                                        | 32,000               | 08/24/12                     | 02/04/13                    | \$370.63                | \$259.20                                   | \$0.00                                  | \$111.43              | \$0.00                                    |
| <b>Security Subtotal</b>               | <b>71,000</b>        |                              |                             | <b>\$822.33</b>         | <b>\$574.16</b>                            | <b>\$0.00</b>                           | <b>\$248.17</b>       | <b>\$0.00</b>                             |
| CUSIP: 086516101 Symbol (Box 1d): BBY  |                      |                              |                             |                         |                                            |                                         |                       |                                           |
| BEST BUY CO                            | 6,000                | 04/05/13                     | 04/10/13                    | \$148.30                | \$149.90                                   | \$1.60                                  | (\$1.60)              | \$0.00                                    |
|                                        | 18,000               | 08/24/12                     | 05/09/13                    | \$461.50                | \$322.20                                   | \$0.00                                  | \$139.30              | \$0.00                                    |
|                                        | 2,000                | 04/05/13                     | 08/20/13                    | \$67.36                 | \$49.97                                    | \$0.00                                  | \$17.39               | \$0.00                                    |
|                                        | 4,000                | 04/05/13                     | 10/17/13                    | \$170.20                | \$99.94                                    | \$0.00                                  | \$70.26               | \$0.00                                    |
|                                        | 6,000                | 04/05/13                     | 10/17/13                    | \$255.30                | \$151.50                                   | \$0.00                                  | \$103.80              | \$0.00                                    |
|                                        | 16,000               | 04/05/13                     | 10/17/13                    | \$680.78                | \$399.74                                   | \$0.00                                  | \$281.04              | \$0.00                                    |
|                                        | 16,000               | 04/05/13                     | 10/25/13                    | \$684.21                | \$399.74                                   | \$0.00                                  | \$284.47              | \$0.00                                    |
|                                        | 13,000               | 04/08/13                     | 10/25/13                    | \$555.92                | \$335.10                                   | \$0.00                                  | \$220.82              | \$0.00                                    |
|                                        | 37,000               | 04/08/13                     | 11/19/13                    | \$1,468.62              | \$953.75                                   | \$0.00                                  | \$514.87              | \$0.00                                    |
| <b>Security Subtotal</b>               | <b>118,000</b>       |                              |                             | <b>\$4,492.19</b>       | <b>\$2,861.84</b>                          | <b>\$1.60</b>                           | <b>\$1,630.35</b>     | <b>\$0.00</b>                             |
| CUSIP: 09247X101 Symbol (Box 1d): BLK  |                      |                              |                             |                         |                                            |                                         |                       |                                           |
| BLACKROCK INC                          | 5,000                | 05/23/12                     | 02/28/13                    | \$1,204.26              | \$812.99                                   | \$0.00                                  | \$391.27              | \$0.00                                    |
|                                        | 1,000                | 05/23/12                     | 05/09/13                    | \$275.51                | \$162.60                                   | \$0.00                                  | \$112.91              | \$0.00                                    |
| <b>Security Subtotal</b>               | <b>6,000</b>         |                              |                             | <b>\$1,479.77</b>       | <b>\$975.59</b>                            | <b>\$0.00</b>                           | <b>\$504.18</b>       | <b>\$0.00</b>                             |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TST UAD 8-20-1965 AS AMENDED  
14602 N TATUM BLVD.  
PHOENIX AZ 85032-4816

Identification Number: 26-4310632

Taxpayer ID Number: 86-0937247

Account Number: 003 113436 035

Customer Service: 866-324-6088

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.) (Continued)

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|-----------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|------------------------------------|-----------------------|-------------------------------------------|
| CABOT OIL & GAS CORP A      |                      | CUSIP: 127097103             | Symbol (Box 1d): COG        |                         |                                            |                                    |                       |                                           |
|                             | 3 000                | 06/27/12                     | 03/08/13                    | \$192.44                | \$121.63                                   | \$0.00                             | \$70.81               | \$0.00                                    |
| CACI INTERNATIONAL INC CL A |                      | CUSIP: 127190304             | Symbol (Box 1d): CACI       |                         |                                            |                                    |                       |                                           |
|                             | 3 000                | 08/24/12                     | 05/31/13                    | \$193.20                | \$156.03                                   | \$0.00                             | \$37.17               | \$0.00                                    |
|                             | 11 000               | 03/08/13                     | 05/31/13                    | \$708.39                | \$587.73                                   | \$0.00                             | \$120.66              | \$0.00                                    |
| Security Subtotal           | 14 000               |                              |                             | \$901.59                | \$743.76                                   | \$0.00                             | \$157.83              | \$0.00                                    |
| CITIGROUP INC NEW           |                      | CUSIP: 172967424             | Symbol (Box 1d): C          |                         |                                            |                                    |                       |                                           |
|                             | 40 000               | 03/11/13                     | 04/03/13                    | \$1,696.05              | \$1,908.00                                 | \$0.00                             | (\$211.95)            | \$0.00                                    |
|                             | 16 000               | 03/15/13                     | 04/03/13                    | \$678.42                | \$757.04                                   | \$0.00                             | (\$78.62)             | \$0.00                                    |
| Security Subtotal           | 56 000               |                              |                             | \$2,374.47              | \$2,665.04                                 | \$0.00                             | (\$290.57)            | \$0.00                                    |
| COACH INC                   |                      | CUSIP: 189754104             | Symbol (Box 1d): COH        |                         |                                            |                                    |                       |                                           |
|                             | 17 000               | 01/17/12                     | 01/03/13                    | \$943.47                | \$1,062.27                                 | \$0.00                             | (\$118.80)            | \$0.00                                    |
|                             | 11 000               | 01/17/12                     | 01/10/13                    | \$631.39                | \$687.35                                   | \$0.00                             | (\$55.96)             | \$0.00                                    |
|                             | 5 000                | 03/13/12                     | 01/10/13                    | \$287.00                | \$391.66                                   | \$0.00                             | (\$104.66)            | \$0.00                                    |
|                             | 5 000                | 03/13/12                     | 01/23/13                    | \$259.93                | \$391.66                                   | \$0.00                             | (\$131.73)            | \$0.00                                    |
|                             | 11 000               | 04/24/12                     | 01/23/13                    | \$571.85                | \$796.46                                   | \$0.00                             | (\$224.61)            | \$0.00                                    |
|                             | 16 000               | 08/28/12                     | 01/23/13                    | \$831.78                | \$907.31                                   | \$0.00                             | (\$75.53)             | \$0.00                                    |
| Security Subtotal           | 65 000               |                              |                             | \$3,525.42              | \$4,236.71                                 | \$0.00                             | (\$711.29)            | \$0.00                                    |
| COMERICA INC                |                      | CUSIP: 200340107             | Symbol (Box 1d): CMA        |                         |                                            |                                    |                       |                                           |
|                             | 5 000                | 03/08/13                     | 05/08/13                    | \$187.22                | \$178.15                                   | \$0.00                             | \$9.07                | \$0.00                                    |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

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Identification Number: 26-4310632

MARYLAND LLC, TTEE OF THE  
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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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|-------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| COVIDIEN PLC NEW        |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                         |                      | CUSIP: G2554F113             |                             | Symbol (Box 1d): COV    |                                            |                                         |                       |                                           |
|                         | 18 000               | 05/11/12                     | 03/22/13                    | \$1,198.76              | \$999.16                                   | \$0.00                                  | \$199.60              | \$0.00                                    |
|                         | 2 000                | 05/15/12                     | 03/22/13                    | \$133.20                | \$109.12                                   | \$0.00                                  | \$24.08               | \$0.00                                    |
|                         | 13 000               | 05/15/12                     | 04/26/13                    | \$806.16                | \$709.26                                   | \$0.00                                  | \$96.90               | \$0.00                                    |
|                         | 11 000               | 05/16/12                     | 04/26/13                    | \$679.38                | \$599.29                                   | \$0.00                                  | \$80.09               | \$0.00                                    |
|                         | 7 000                | 05/16/12                     | 04/26/13                    | \$434.08                | \$381.37                                   | \$0.00                                  | \$52.71               | \$0.00                                    |
|                         | 7 000                | 05/30/12                     | 04/26/13                    | \$432.34                | \$365.61                                   | \$0.00                                  | \$66.73               | \$0.00                                    |
|                         | 8 000                | 05/30/12                     | 04/29/13                    | \$504.57                | \$417.83                                   | \$0.00                                  | \$86.74               | \$0.00                                    |
|                         | 15 000               | 07/26/12                     | 04/29/13                    | \$946.08                | \$802.45                                   | \$0.00                                  | \$143.63              | \$0.00                                    |
|                         | 1 000                | 03/08/13                     | 04/29/13                    | \$63.07                 | \$65.21                                    | \$0.00                                  | (\$2.14)              | \$0.00                                    |
| Security Subtotal       | 82 000               |                              |                             | \$5,197.64              | \$4,449.30                                 | \$0.00                                  | \$748.34              | \$0.00                                    |
| DARDEN RESTAURANTS      |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                         | 4 000                | CUSIP: 237194105             |                             | Symbol (Box 1d): DRI    |                                            |                                         |                       |                                           |
|                         |                      | 03/08/13                     | 10/10/13                    | \$196.00                | \$190.76                                   | \$0.00                                  | \$5.24                | \$0.00                                    |
| DELTA AIR LINES INC NEW |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                         | 1 000                | CUSIP: 247361702             |                             | Symbol (Box 1d): DAL    |                                            |                                         |                       |                                           |
|                         |                      | 01/16/13                     | 03/08/13                    | \$16.09                 | \$13.81                                    | \$0.00                                  | \$2.28                | \$0.00                                    |
|                         | 52 000               | 01/16/13                     | 08/13/13                    | \$1,009.60              | \$718.24                                   | \$0.00                                  | \$291.36              | \$0.00                                    |
|                         | 34 000               | 01/16/13                     | 10/23/13                    | \$871.32                | \$469.62                                   | \$0.00                                  | \$401.70              | \$0.00                                    |
|                         | 6 000                | 01/23/13                     | 10/23/13                    | \$153.76                | \$83.16                                    | \$0.00                                  | \$70.60               | \$0.00                                    |
| Security Subtotal       | 93 000               |                              |                             | \$2,050.77              | \$1,284.83                                 | \$0.00                                  | \$765.94              | \$0.00                                    |
| DOLLAR GEN CORP NEW COM |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                         | 1 000                | CUSIP: 256677105             |                             | Symbol (Box 1d): DG     |                                            |                                         |                       |                                           |
|                         |                      | 06/04/12                     | 03/08/13                    | \$47.80                 | \$48.05                                    | \$0.00                                  | (\$0.25)              | \$0.00                                    |

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Short Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )  
(Continued)

| DESCRIPTION (Box 8)                | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SYMBOL (Box 1d)       | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|------------------------------------|----------------------|------------------------------|-----------------------------|-----------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| <b>EMC CORP MASS</b>               |                      |                              |                             |                       |                         |                                            |                                         |                       |                                           |
|                                    |                      | CUSIP: 268648102             |                             | Symbol (Box 1d): EMC  |                         |                                            |                                         |                       |                                           |
|                                    | 7 000                | 01/29/13                     | 04/10/13                    |                       | \$162.97                | \$163.45                                   | \$0.00                                  | (\$0.48)              | \$0.00                                    |
|                                    | 1 000                | 03/04/13                     | 04/10/13                    |                       | \$23.28                 | \$23.23                                    | \$0.00                                  | \$0.05                | \$0.00                                    |
| <b>Security Subtotal</b>           | <b>8 000</b>         |                              |                             |                       | <b>\$186.25</b>         | <b>\$186.68</b>                            | <b>\$0.00</b>                           | <b>(\$0.43)</b>       | <b>\$0.00</b>                             |
| <b>ENERGEN CORP</b>                |                      |                              |                             |                       |                         |                                            |                                         |                       |                                           |
|                                    |                      | CUSIP: 29265N108             |                             | Symbol (Box 1d): EGN  |                         |                                            |                                         |                       |                                           |
|                                    | 4 000                | 03/08/13                     | 07/25/13                    |                       | \$225.69                | \$185.48                                   | \$0.00                                  | \$40.21               | \$0.00                                    |
| <b>EOG RESOURCES INC</b>           |                      |                              |                             |                       |                         |                                            |                                         |                       |                                           |
|                                    |                      | CUSIP: 26875P101             |                             | Symbol (Box 1d): EOG  |                         |                                            |                                         |                       |                                           |
|                                    | 4 000                | 10/08/13                     | 12/02/13                    |                       | \$660.82                | \$687.34                                   | \$0.00                                  | (\$26.52)             | \$0.00                                    |
|                                    | 11 000               | 10/08/13                     | 12/10/13                    |                       | \$1,759.43              | \$1,890.20                                 | \$0.00                                  | (\$130.77)            | \$0.00                                    |
|                                    | 5 000                | 10/09/13                     | 12/10/13                    |                       | \$799.74                | \$851.99                                   | \$0.00                                  | (\$52.25)             | \$0.00                                    |
| <b>Security Subtotal</b>           | <b>20 000</b>        |                              |                             |                       | <b>\$3,219.99</b>       | <b>\$3,429.53</b>                          | <b>\$0.00</b>                           | <b>(\$209.54)</b>     | <b>\$0.00</b>                             |
| <b>EQUINIX INC NEW</b>             |                      |                              |                             |                       |                         |                                            |                                         |                       |                                           |
|                                    |                      | CUSIP: 29444U502             |                             | Symbol (Box 1d): EQIX |                         |                                            |                                         |                       |                                           |
|                                    | 3 000                | 04/10/13                     | 08/02/13                    |                       | \$531.38                | \$648.77                                   | \$0.00                                  | (\$117.39)            | \$0.00                                    |
|                                    | 2 000                | 04/10/13                     | 08/15/13                    |                       | \$334.91                | \$432.52                                   | \$0.00                                  | (\$97.61)             | \$0.00                                    |
|                                    | 4 000                | 04/11/13                     | 08/15/13                    |                       | \$669.82                | \$877.63                                   | \$0.00                                  | (\$207.81)            | \$0.00                                    |
|                                    | 5 000                | 04/24/13                     | 08/15/13                    |                       | \$837.28                | \$1,086.45                                 | \$0.00                                  | (\$249.17)            | \$0.00                                    |
|                                    | 3 000                | 07/02/13                     | 08/15/13                    |                       | \$502.37                | \$551.40                                   | \$0.00                                  | (\$49.03)             | \$0.00                                    |
| <b>Security Subtotal</b>           | <b>17 000</b>        |                              |                             |                       | <b>\$2,875.76</b>       | <b>\$3,596.77</b>                          | <b>\$0.00</b>                           | <b>(\$721.01)</b>     | <b>\$0.00</b>                             |
| <b>EXPRESS SCRIPTS HLDG CO COM</b> |                      |                              |                             |                       |                         |                                            |                                         |                       |                                           |
|                                    |                      | CUSIP: 30219G108             |                             | Symbol (Box 1d): ESRX |                         |                                            |                                         |                       |                                           |
|                                    | 4 000                | 08/24/12                     | 08/09/13                    |                       | \$262.05                | \$245.24                                   | \$0.00                                  | \$16.81               | \$0.00                                    |
|                                    | 2 000                | 03/08/13                     | 08/09/13                    |                       | \$131.02                | \$117.84                                   | \$0.00                                  | \$13.18               | \$0.00                                    |
| <b>Security Subtotal</b>           | <b>6 000</b>         |                              |                             |                       | <b>\$393.07</b>         | <b>\$363.08</b>                            | <b>\$0.00</b>                           | <b>\$29.99</b>        | <b>\$0.00</b>                             |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TST UAD 8-20-1965 AS AMENDED  
14602 N TATUM BLVD.  
PHOENIX AZ 85032-4816

Taxpayer ID Number: 86-0937247  
Account Number: 003 113436 035

Customer Service: 866-324-6088

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked ) (Continued)

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|---------------------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| CUSIP: 30303M102 Symbol (Box 1d): FB  |                      |                              |                             |                         |                                            |                                         |                       |                                           |
| FACEBOOK INC CL-A                     | 13.000               | 10/25/12                     | 03/08/13                    | \$368.02                | \$295.84                                   | \$0.00                                  | \$72.18               | \$0.00                                    |
|                                       | 13.000               | 10/25/12                     | 05/09/13                    | \$354.11                | \$295.84                                   | \$0.00                                  | \$58.27               | \$0.00                                    |
|                                       | 32.000               | 10/25/12                     | 05/15/13                    | \$847.81                | \$728.22                                   | \$0.00                                  | \$119.59              | \$0.00                                    |
|                                       | 22.000               | 10/25/12                     | 05/20/13                    | \$566.57                | \$500.65                                   | \$0.00                                  | \$65.92               | \$0.00                                    |
|                                       | 8.000                | 11/15/12                     | 05/22/13                    | \$200.85                | \$175.96                                   | \$0.00                                  | \$24.89               | \$0.00                                    |
|                                       | 40.000               | 11/15/12                     | 05/22/13                    | \$1,004.24              | \$880.00                                   | \$0.00                                  | \$124.24              | \$0.00                                    |
|                                       | 27.000               | 11/15/12                     | 05/24/13                    | \$661.34                | \$593.87                                   | \$0.00                                  | \$67.47               | \$0.00                                    |
|                                       | 29.000               | 11/15/12                     | 05/24/13                    | \$699.66                | \$637.86                                   | \$0.00                                  | \$61.80               | \$0.00                                    |
|                                       | 16.000               | 11/15/12                     | 05/28/13                    | \$388.97                | \$351.92                                   | \$0.00                                  | \$37.05               | \$0.00                                    |
|                                       | 34.000               | 12/17/12                     | 05/28/13                    | \$826.57                | \$905.38                                   | \$0.00                                  | (\$78.81)             | \$0.00                                    |
|                                       | 30.000               | 07/25/13                     | 11/04/13                    | \$1,462.48              | \$999.82                                   | \$0.00                                  | \$462.66              | \$0.00                                    |
|                                       | 20.000               | 07/25/13                     | 12/23/13                    | \$1,147.11              | \$666.54                                   | \$0.00                                  | \$480.57              | \$0.00                                    |
| Security Subtotal                     | 284.000              |                              |                             | \$8,527.73              | \$7,031.90                                 | \$0.00                                  | \$1,495.83            | \$0.00                                    |
| CUSIP: 307000109 Symbol (Box 1d): FDO |                      |                              |                             |                         |                                            |                                         |                       |                                           |
| FAMILY DOLLAR STORES                  | 15.000               | 03/08/13                     | 12/12/13                    | \$962.41                | \$878.40                                   | \$0.00                                  | \$84.01               | \$0.00                                    |
| CUSIP: 31428X106 Symbol (Box 1d): FDX |                      |                              |                             |                         |                                            |                                         |                       |                                           |
| FEDEX CORP                            | 14.000               | 02/05/13                     | 05/28/13                    | \$1,359.95              | \$1,488.69                                 | \$0.00                                  | (\$108.74)            | \$0.00                                    |
|                                       | 3.000                | 02/06/13                     | 05/28/13                    | \$291.42                | \$317.19                                   | \$0.00                                  | (\$25.77)             | \$0.00                                    |
|                                       | 4.000                | 02/06/13                     | 05/30/13                    | \$386.54                | \$422.93                                   | \$0.00                                  | (\$36.39)             | \$0.00                                    |
|                                       | 3.000                | 02/07/13                     | 05/30/13                    | \$289.90                | \$319.18                                   | \$0.00                                  | (\$29.28)             | \$0.00                                    |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

**1099 Consolidated Tax Statement  
Tax Year 2013      Copy B For Recipient**

**Morgan Stanley Smith Barney Holdings LLC**  
**1 New York Plaza**  
**8th Floor**

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TST UAD 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD  
PHOENIX AZ 85032-4816

Identification Number: 26-4310632

Taxpayer ID Number: 86-0937247

Account Number: 003 113436 035

**Customer Service: 866-324-6088**

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OMB NO. 1545-0715  
1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Short Term - Covered Securities**  
(Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)  
(Continued)

| DESCRIPTION (Box 8)     | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|-------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| FEDEX CORP              | 5 000                | 02/07/13                     | 05/31/13                    | \$487.25                | \$531.96                                   | \$0.00                                  | (\$44.71)             | \$0.00                                    |
|                         | 9 000                | 03/05/13                     | 05/31/13                    | \$877.06                | \$977.39                                   | \$0.00                                  | (\$100.33)            | \$0.00                                    |
| Security Subtotal       | 38 000               |                              |                             | \$3,692.12              | \$4,037.34                                 | \$0.00                                  | (\$345.22)            | \$0.00                                    |
| FIRST HORIZON NATL CORP |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                         |                      | CUSIP: 320517105             |                             | Symbol (Box 1d): FHN    |                                            |                                         |                       |                                           |
|                         | 24 000               | 03/08/13                     | 12/04/13                    | \$265.19                | \$271.92                                   | \$0.00                                  | (\$6.73)              | \$0.00                                    |
|                         | 20 000               | 05/31/13                     | 12/04/13                    | \$221.00                | \$233.40                                   | \$0.00                                  | (\$12.40)             | \$0.00                                    |
| Security Subtotal       | 44 000               |                              |                             | \$486.19                | \$505.32                                   | \$0.00                                  | (\$19.13)             | \$0.00                                    |
| FMC TECHNOLOGIES INC    |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                         |                      | CUSIP: 30249U101             |                             | Symbol (Box 1d): FTL    |                                            |                                         |                       |                                           |
|                         | 20 000               | 01/23/13                     | 10/31/13                    | \$1,020.03              | \$930.00                                   | \$0.00                                  | \$90.03               | \$0.00                                    |
| FOSSIL INC              |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                         |                      | CUSIP: 349882100             |                             | Symbol (Box 1d): FOSL   |                                            |                                         |                       |                                           |
|                         | 3 000                | 02/16/12                     | 02/14/13                    | \$310.50                | \$301.35                                   | \$0.00                                  | \$9.15                | \$0.00                                    |
|                         | 2 000                | 02/16/12                     | 02/14/13                    | \$206.99                | \$235.32                                   | \$0.00                                  | (\$28.33)             | \$0.00                                    |
|                         | 2 000                | 02/16/12                     | 02/14/13                    | \$206.99                | \$235.32                                   | \$0.00                                  | (\$28.33)             | \$0.00                                    |
|                         | 2 000                | 02/16/12                     | 02/14/13                    | \$207.00                | \$235.32                                   | \$28.32                                 | (\$28.32)             | \$0.00                                    |
|                         | 1 000                | 02/16/12                     | 02/14/13                    | \$103.50                | \$100.45                                   | \$0.00                                  | \$3.05                | \$0.00                                    |
|                         | 2 000                | 02/17/12                     | 02/14/13                    | \$206.99                | \$236.46                                   | \$0.00                                  | (\$29.47)             | \$0.00                                    |
|                         | 4 000                | 02/17/12                     | 02/14/13                    | \$413.99                | \$472.92                                   | \$0.00                                  | (\$58.93)             | \$0.00                                    |
|                         | 3 000                | 05/29/12                     | 02/14/13                    | \$310.49                | \$215.80                                   | \$0.00                                  | \$94.69               | \$0.00                                    |
| Security Subtotal       | 19 000               |                              |                             | \$1,966.45              | \$2,032.94                                 | \$28.32                                 | (\$66.49)             | \$0.00                                    |

**CONTINUED ON NEXT PAGE**

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004

MARYLAND LLC, TTEE OF THE  
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TST UAD 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD.  
PHOENIX AZ 85032-4816

Identification Number. 26-4310632

Taxpayer ID Number: 86-0937247  
Account Number. 003 113436 035

**Customer Service: 866-324-6088**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Short Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)  
(Continued)

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|-------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|---------------------------------------------|
| F5 NETWORKS INC         |                      |                              |                             |                         |                                            |                                         |                       |                                             |
|                         |                      | CUSIP: 315616102             |                             | Symbol (Box 1d): FFIV   |                                            |                                         |                       |                                             |
|                         | 22 000               | 07/25/13                     | 11/04/13                    | \$1,753.55              | \$1,977.47                                 | \$0.00                                  | (\$223.92)            | \$0.00                                      |
|                         | 8 000                | 07/31/13                     | 11/04/13                    | \$637.65                | \$704.16                                   | \$0.00                                  | (\$66.51)             | \$0.00                                      |
|                         | 13 000               | 08/15/13                     | 11/04/13                    | \$1,036.19              | \$1,163.34                                 | \$0.00                                  | (\$127.15)            | \$0.00                                      |
| Security Subtotal       | 43 000               |                              |                             | \$3,427.39              | \$3,844.97                                 | \$0.00                                  | (\$417.58)            | \$0.00                                      |
| GENERAL ELECTRIC CO     |                      |                              |                             |                         |                                            |                                         |                       |                                             |
|                         |                      | CUSIP: 369604103             |                             | Symbol (Box 1d): GE     |                                            |                                         |                       |                                             |
|                         | 1 000                | 08/24/12                     | 07/26/13                    | \$24.53                 | \$20.59                                    | \$0.00                                  | \$3.94                | \$0.00                                      |
| GILEAD SCIENCE          |                      |                              |                             |                         |                                            |                                         |                       |                                             |
|                         |                      | CUSIP: 375558103             |                             | Symbol (Box 1d): GILD   |                                            |                                         |                       |                                             |
|                         | 24 000               | 10/02/12                     | 05/17/13                    | \$1,332.69              | \$827.89                                   | \$0.00                                  | \$504.80              | \$0.00                                      |
| GLAXOSMITHKLINE PLC ADS |                      |                              |                             |                         |                                            |                                         |                       |                                             |
|                         |                      | CUSIP: 37733W105             |                             | Symbol (Box 1d): GSK    |                                            |                                         |                       |                                             |
|                         | 3 000                | 12/10/12                     | 03/08/13                    | \$133.65                | \$132.00                                   | \$0.00                                  | \$1.65                | \$0.00                                      |
|                         | 23 000               | 12/10/12                     | 06/04/13                    | \$1,185.79              | \$1,012.03                                 | \$0.00                                  | \$173.76              | \$0.00                                      |
|                         | 5 000                | 12/11/12                     | 06/04/13                    | \$257.78                | \$221.61                                   | \$0.00                                  | \$36.17               | \$0.00                                      |
|                         | 11 000               | 12/11/12                     | 06/05/13                    | \$562.92                | \$487.54                                   | \$0.00                                  | \$75.38               | \$0.00                                      |
|                         | 14 000               | 12/12/12                     | 06/05/13                    | \$716.45                | \$622.56                                   | \$0.00                                  | \$93.89               | \$0.00                                      |
|                         | 2 000                | 12/12/12                     | 06/06/13                    | \$101.46                | \$88.94                                    | \$0.00                                  | \$12.52               | \$0.00                                      |
|                         | 26 000               | 12/14/12                     | 06/06/13                    | \$1,318.93              | \$1,148.61                                 | \$0.00                                  | \$170.32              | \$0.00                                      |
| Security Subtotal       | 84 000               |                              |                             | \$4,276.98              | \$3,713.29                                 | \$0.00                                  | \$563.69              | \$0.00                                      |
| HALLIBURTON CO          |                      |                              |                             |                         |                                            |                                         |                       |                                             |
|                         |                      | CUSIP: 406216101             |                             | Symbol (Box 1d): HAL    |                                            |                                         |                       |                                             |
|                         | 2 000                | 02/14/13                     | 03/08/13                    | \$83.55                 | \$87.17                                    | \$3.62                                  | (\$3.62)              | \$0.00                                      |
|                         | 15 000               | 02/14/13                     | 03/14/13                    | \$627.13                | \$653.80                                   | \$26.67                                 | (\$26.67)             | \$0.00                                      |
|                         | 15 000               | 02/14/13                     | 03/14/13                    | \$627.14                | \$653.81                                   | \$0.00                                  | (\$26.67)             | \$0.00                                      |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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# Morgan Stanley

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New York, NY 10004

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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|---------------------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| HALLIBURTON CO                        | 11.000               | 02/14/13                     | 03/14/13                    | \$459.89                | \$479.45                                   | \$19.56                                 | (\$19.56)             | \$0.00                                    |
|                                       | 2.000                | 02/14/13                     | 03/19/13                    | \$78.20                 | \$87.17                                    | \$0.00                                  | (\$8.97)              | \$0.00                                    |
|                                       | 2.000                | 02/14/13                     | 03/19/13                    | \$78.20                 | \$89.32                                    | \$0.00                                  | (\$11.12)             | \$0.00                                    |
|                                       | 11.000               | 02/14/13                     | 03/19/13                    | \$430.09                | \$488.54                                   | \$0.00                                  | (\$58.45)             | \$0.00                                    |
|                                       | 15.000               | 02/14/13                     | 03/19/13                    | \$586.50                | \$669.38                                   | \$0.00                                  | (\$82.88)             | \$0.00                                    |
| <b>Security Subtotal</b>              | <b>73.000</b>        |                              |                             | <b>\$2,970.70</b>       | <b>\$3,208.64</b>                          | <b>\$49.85</b>                          | <b>(\$237.94)</b>     | <b>\$0.00</b>                             |
| CUSIP: 416515104 Symbol (Box 1d): HIG |                      |                              |                             |                         |                                            |                                         |                       |                                           |
| HARTFORD FIN SERS GRP INC             | 250.000              | 09/04/12                     | 03/08/13                    | \$6,129.86              | \$4,446.05                                 | \$0.00                                  | \$1,683.81            | \$0.00                                    |
|                                       | 11.000               | 09/04/12                     | 03/08/13                    | \$269.71                | \$195.63                                   | \$0.00                                  | \$74.08               | \$0.00                                    |
|                                       | 9.000                | 09/04/12                     | 05/09/13                    | \$264.95                | \$160.06                                   | \$0.00                                  | \$104.89              | \$0.00                                    |
| <b>Security Subtotal</b>              | <b>270.000</b>       |                              |                             | <b>\$6,664.52</b>       | <b>\$4,801.74</b>                          | <b>\$0.00</b>                           | <b>\$1,862.78</b>     | <b>\$0.00</b>                             |
| CUSIP: 40412C101 Symbol (Box 1d): HCA |                      |                              |                             |                         |                                            |                                         |                       |                                           |
| HCA HOLDINGS INC                      | 4.000                | 11/07/12                     | 03/08/13                    | \$148.39                | \$133.10                                   | \$0.00                                  | \$15.29               | \$0.00                                    |
|                                       | 28.000               | 11/07/12                     | 03/15/13                    | \$1,069.18              | \$931.72                                   | \$0.00                                  | \$137.46              | \$0.00                                    |
|                                       | 22.000               | 11/07/12                     | 03/27/13                    | \$888.12                | \$732.07                                   | \$0.00                                  | \$156.05              | \$0.00                                    |
|                                       | 2.000                | 11/09/12                     | 03/27/13                    | \$80.74                 | \$63.79                                    | \$0.00                                  | \$16.95               | \$0.00                                    |
|                                       | 13.000               | 11/09/12                     | 04/03/13                    | \$494.05                | \$414.64                                   | \$0.00                                  | \$79.41               | \$0.00                                    |
|                                       | 8.000                | 11/09/12                     | 04/05/13                    | \$298.87                | \$255.17                                   | \$0.00                                  | \$43.70               | \$0.00                                    |
|                                       | 45.000               | 12/21/12                     | 04/05/13                    | \$1,681.14              | \$1,395.68                                 | \$0.00                                  | \$285.46              | \$0.00                                    |
| <b>Security Subtotal</b>              | <b>122.000</b>       |                              |                             | <b>\$4,660.49</b>       | <b>\$3,926.17</b>                          | <b>\$0.00</b>                           | <b>\$734.32</b>       | <b>\$0.00</b>                             |
| CUSIP: 42217K106 Symbol (Box 1d): HCN |                      |                              |                             |                         |                                            |                                         |                       |                                           |
| HEALTH CARE REIT INC                  | 5.000                | 03/08/13                     | 06/12/13                    | \$327.14                | \$323.53                                   | \$0.00                                  | \$3.61                | \$0.00                                    |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TRUST UAD 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD.  
PHOENIX AZ 85032-4816

Identification Number: 26-4310632

Taxpayer ID Number: 86-0937247

Account Number: 003 113436 035

**Customer Service: 866-324-6088**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Short Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )  
(Continued)

| DESCRIPTION (Box 8)      | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b)           | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|--------------------------|----------------------|----------------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| HOME DEPOT INC           |                      |                                        |                             |                         |                                            |                                         |                       |                                           |
|                          |                      | CUSIP: 437076102 Symbol (Box 1d): HD   |                             |                         |                                            |                                         |                       |                                           |
|                          | 5,000                | 10/08/12                               | 05/09/13                    | \$379.29                | \$310.37                                   | \$0.00                                  | \$68.92               | \$0.00                                    |
|                          | 13,000               | 10/08/12                               | 08/15/13                    | \$981.42                | \$806.95                                   | \$0.00                                  | \$174.47              | \$0.00                                    |
|                          | 11,000               | 10/10/12                               | 08/15/13                    | \$830.43                | \$665.94                                   | \$0.00                                  | \$164.49              | \$0.00                                    |
|                          | 1,000                | 11/06/12                               | 08/15/13                    | \$75.49                 | \$62.72                                    | \$0.00                                  | \$12.77               | \$0.00                                    |
|                          | 2,000                | 11/06/12                               | 09/03/13                    | \$149.20                | \$125.45                                   | \$0.00                                  | \$23.75               | \$0.00                                    |
|                          | 8,000                | 11/08/12                               | 09/03/13                    | \$596.81                | \$489.95                                   | \$0.00                                  | \$106.86              | \$0.00                                    |
|                          | 7,000                | 11/08/12                               | 09/04/13                    | \$519.55                | \$428.71                                   | \$0.00                                  | \$90.84               | \$0.00                                    |
|                          | 9,000                | 11/12/12                               | 09/05/13                    | \$667.99                | \$550.73                                   | \$0.00                                  | \$117.26              | \$0.00                                    |
|                          | 2,000                | 11/12/12                               | 09/05/13                    | \$147.07                | \$122.39                                   | \$0.00                                  | \$24.68               | \$0.00                                    |
|                          | 7,000                | 11/13/12                               | 09/05/13                    | \$514.75                | \$446.44                                   | \$0.00                                  | \$68.31               | \$0.00                                    |
|                          | 16,000               | 11/27/12                               | 09/05/13                    | \$1,176.57              | \$1,028.64                                 | \$0.00                                  | \$147.93              | \$0.00                                    |
|                          | 1,000                | 03/08/13                               | 09/05/13                    | \$73.53                 | \$70.79                                    | \$0.00                                  | \$2.74                | \$0.00                                    |
| <b>Security Subtotal</b> | <b>82,000</b>        |                                        |                             | <b>\$6,112.10</b>       | <b>\$5,109.08</b>                          | <b>\$0.00</b>                           | <b>\$1,003.02</b>     | <b>\$0.00</b>                             |
| HORMEL FOODS CORPORATION |                      |                                        |                             |                         |                                            |                                         |                       |                                           |
|                          |                      | CUSIP: 440452100 Symbol (Box 1d): HRL  |                             |                         |                                            |                                         |                       |                                           |
|                          | 2,000                | 08/24/12                               | 03/14/13                    | \$77.86                 | \$57.66                                    | \$0.00                                  | \$20.20               | \$0.00                                    |
|                          | 9,000                | 03/08/13                               | 03/14/13                    | \$350.35                | \$346.59                                   | \$0.00                                  | \$3.76                | \$0.00                                    |
| <b>Security Subtotal</b> | <b>11,000</b>        |                                        |                             | <b>\$428.21</b>         | <b>\$404.25</b>                            | <b>\$0.00</b>                           | <b>\$23.96</b>        | <b>\$0.00</b>                             |
| INTEL CORP               |                      |                                        |                             |                         |                                            |                                         |                       |                                           |
|                          |                      | CUSIP: 458140100 Symbol (Box 1d): INTC |                             |                         |                                            |                                         |                       |                                           |
|                          | 13,000               | 05/31/12                               | 03/08/13                    | \$285.60                | \$335.45                                   | \$0.00                                  | (\$49.85)             | \$0.00                                    |
|                          | 12,000               | 05/31/12                               | 05/09/13                    | \$292.19                | \$309.65                                   | \$0.00                                  | (\$17.46)             | \$0.00                                    |
| <b>Security Subtotal</b> | <b>25,000</b>        |                                        |                             | <b>\$577.79</b>         | <b>\$645.10</b>                            | <b>\$0.00</b>                           | <b>(\$67.31)</b>      | <b>\$0.00</b>                             |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TST UAD 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD.  
PHOENIX AZ 85032-4816

Identification Number: 26-4310632

Taxpayer ID Number: 86-0937247

Account Number: 003 113436 035

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked ) (Continued)

| DESCRIPTION (Box 8)                                               | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|-------------------------------------------------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| INTL BUSINESS MACHINES CORP CUSIP: 459200101 Symbol (Box 1d): IBM |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                                                                   | 3 000                | 05/16/12                     | 04/19/13                    | \$576 99                | \$600 00                                   | \$0 00                                  | (\$23 01)             | \$0 00                                    |
|                                                                   | 5 000                | 05/16/12                     | 04/19/13                    | \$961 64                | \$1,000 00                                 | \$38 36                                 | (\$38 36)             | \$0 00                                    |
|                                                                   | 1 000                | 05/17/12                     | 04/19/13                    | \$192 33                | \$199 18                                   | \$0 00                                  | (\$6 85)              | \$0 00                                    |
|                                                                   | 4 000                | 05/17/12                     | 04/19/13                    | \$766 45                | \$796 72                                   | \$0 00                                  | (\$30 27)             | \$0 00                                    |
|                                                                   | 3 000                | 05/17/12                     | 04/19/13                    | \$574 84                | \$594 57                                   | \$0 00                                  | (\$19 73)             | \$0 00                                    |
|                                                                   | 3 000                | 05/18/12                     | 04/19/13                    | \$574 83                | \$590 28                                   | \$0 00                                  | (\$15 45)             | \$0 00                                    |
|                                                                   | 6 000                | 05/18/12                     | 04/22/13                    | \$1,127 01              | \$1,180 56                                 | \$0 00                                  | (\$53 55)             | \$0 00                                    |
|                                                                   | 1 000                | 05/22/12                     | 04/22/13                    | \$187 84                | \$197 73                                   | \$0 00                                  | (\$9 89)              | \$0 00                                    |
|                                                                   | 2 000                | 06/25/12                     | 04/22/13                    | \$375 67                | \$385 59                                   | \$0 00                                  | (\$9 92)              | \$0 00                                    |
|                                                                   | 3 000                | 06/25/12                     | 05/09/13                    | \$610 12                | \$578 38                                   | \$0 00                                  | \$31 74               | \$0 00                                    |
|                                                                   | 2 000                | 06/25/12                     | 06/13/13                    | \$402 70                | \$385 59                                   | \$0 00                                  | \$17 11               | \$0 00                                    |
|                                                                   | 1 000                | 07/19/12                     | 06/13/13                    | \$201 35                | \$195 26                                   | \$0 00                                  | \$6 09                | \$0 00                                    |
|                                                                   | 4 000                | 07/19/12                     | 06/20/13                    | \$792 04                | \$781 03                                   | \$0 00                                  | \$11 01               | \$0 00                                    |
|                                                                   | 1 000                | 07/19/12                     | 07/10/13                    | \$192 59                | \$195 26                                   | \$0 00                                  | (\$2 67)              | \$0 00                                    |
|                                                                   | 3 000                | 07/23/12                     | 07/10/13                    | \$577 76                | \$571 82                                   | \$0 00                                  | \$5 94                | \$0 00                                    |
|                                                                   | 2 000                | 07/23/12                     | 07/11/13                    | \$385 83                | \$381 22                                   | \$0 00                                  | \$4 61                | \$0 00                                    |
|                                                                   | 3 000                | 07/24/12                     | 07/11/13                    | \$578 74                | \$569 66                                   | \$0 00                                  | \$9 08                | \$0 00                                    |
|                                                                   | 2 000                | 09/07/12                     | 07/19/13                    | \$388 49                | \$398 00                                   | \$0 00                                  | (\$9 51)              | \$0 00                                    |
|                                                                   | 4 000                | 09/07/12                     | 07/22/13                    | \$780 16                | \$796 00                                   | \$0 00                                  | (\$15 84)             | \$0 00                                    |
| Security Subtotal                                                 | 53 000               |                              |                             | \$10,247.38             | \$10,396.85                                | \$38.36                                 | (\$149.47)            | \$0.00                                    |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TRST UAD 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD.  
PHOENIX AZ 85032-4816

New York, NY 10004  
Identification Number: 26-4310632

Taxpayer ID Number: 86-0937247  
Account Number: 003 113436 035

**Customer Service: 866-324-6088**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Short Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)  
(Continued)

| DESCRIPTION (Box 8)          | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|------------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|------------------------------------|-----------------------|-------------------------------------------|
| INTUITIVE SURGICAL INC       |                      | CUSIP: 46120E602             | Symbol (Box 1d): ISRG       |                         |                                            |                                    |                       |                                           |
|                              | 2.000                | 10/17/12                     | 07/31/13                    | \$775.68                | \$1,076.85                                 | \$0.00                             | (\$301.17)            | \$0.00                                    |
|                              | 1.000                | 06/06/13                     | 08/01/13                    | \$390.10                | \$504.55                                   | \$0.00                             | (\$114.45)            | \$0.00                                    |
| <b>Security Subtotal</b>     | <b>3.000</b>         |                              |                             | <b>\$1,165.78</b>       | <b>\$1,581.40</b>                          | <b>\$0.00</b>                      | <b>(\$415.62)</b>     | <b>\$0.00</b>                             |
| ISHARES BARCLAYS SHORT TREAS |                      | CUSIP: 464288679             | Symbol (Box 1d): SHV        |                         |                                            |                                    |                       |                                           |
|                              | 624.000              | 08/24/12                     | 03/08/13                    | \$68,769.49             | \$68,777.22                                | \$0.00                             | (\$7.73)              | \$0.00                                    |
| ISHARES BARCLAYS TIPS BD FD  |                      | CUSIP: 464287176             | Symbol (Box 1d): TIP        |                         |                                            |                                    |                       |                                           |
|                              | 173.000              | 08/24/12                     | 03/08/13                    | \$20,775.10             | \$20,860.34                                | \$0.00                             | (\$85.24)             | \$0.00                                    |
| JABIL CIRCUIT INC            |                      | CUSIP: 466313103             | Symbol (Box 1d): JBL        |                         |                                            |                                    |                       |                                           |
|                              | 85.000               | 02/12/13                     | 10/30/13                    | \$1,807.75              | \$1,663.83                                 | \$0.00                             | \$143.92              | \$0.00                                    |
|                              | 18.000               | 03/08/13                     | 10/30/13                    | \$382.82                | \$336.42                                   | \$0.00                             | \$46.40               | \$0.00                                    |
| <b>Security Subtotal</b>     | <b>103.000</b>       |                              |                             | <b>\$2,190.57</b>       | <b>\$2,000.25</b>                          | <b>\$0.00</b>                      | <b>\$190.32</b>       | <b>\$0.00</b>                             |
| JARDEN CORP                  |                      | CUSIP: 471109108             | Symbol (Box 1d): JAH        |                         |                                            |                                    |                       |                                           |
|                              | 0.500                | 10/12/12                     | 03/18/13                    | \$21.91                 | \$18.04                                    | \$0.00                             | \$3.87                | \$0.00                                    |
|                              | 6.000                | 10/12/12                     | 05/09/13                    | \$284.39                | \$216.48                                   | \$0.00                             | \$67.91               | \$0.00                                    |
| <b>Security Subtotal</b>     | <b>6.500</b>         |                              |                             | <b>\$306.30</b>         | <b>\$234.52</b>                            | <b>\$0.00</b>                      | <b>\$71.78</b>        | <b>\$0.00</b>                             |
| KENNAMETAL INC               |                      | CUSIP: 489170100             | Symbol (Box 1d): KMT        |                         |                                            |                                    |                       |                                           |
|                              | 4.000                | 03/08/13                     | 04/11/13                    | \$153.48                | \$163.68                                   | \$0.00                             | (\$10.20)             | \$0.00                                    |
| KLA TENCOR CORP              |                      | CUSIP: 482480100             | Symbol (Box 1d): KLAC       |                         |                                            |                                    |                       |                                           |
|                              | 4.000                | 04/11/13                     | 05/09/13                    | \$216.91                | \$215.64                                   | \$0.00                             | \$1.27                | \$0.00                                    |

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**Tax Year 2013 Copy B For Recipient**

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 (Continued)

| DESCRIPTION (Box 8)                            | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|------------------------------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|------------------------------------|-----------------------|-------------------------------------------|
| <b>CUSIP: 526057104 Symbol (Box 1d): LEN</b>   |                      |                              |                             |                         |                                            |                                    |                       |                                           |
| LENNAR CORPORATION                             | 31.000               | 10/08/12                     | 06/18/13                    | \$1,198.26              | \$1,170.19                                 | \$0.00                             | \$28.07               | \$0.00                                    |
|                                                | 7.000                | 10/19/12                     | 06/18/13                    | \$270.57                | \$271.04                                   | \$0.00                             | (\$0.47)              | \$0.00                                    |
|                                                | 9.000                | 10/19/12                     | 06/21/13                    | \$303.79                | \$348.47                                   | \$0.00                             | (\$44.68)             | \$0.00                                    |
|                                                | 5.000                | 11/06/12                     | 06/21/13                    | \$168.77                | \$194.53                                   | \$0.00                             | (\$25.76)             | \$0.00                                    |
|                                                | 3.000                | 11/09/12                     | 06/21/13                    | \$101.27                | \$115.45                                   | \$0.00                             | (\$14.18)             | \$0.00                                    |
|                                                | 21.000               | 11/09/12                     | 06/25/13                    | \$757.66                | \$808.12                                   | \$0.00                             | (\$50.46)             | \$0.00                                    |
| <b>Security Subtotal</b>                       | <b>76.000</b>        |                              |                             | <b>\$2,800.32</b>       | <b>\$2,907.80</b>                          | <b>\$0.00</b>                      | <b>(\$107.48)</b>     | <b>\$0.00</b>                             |
| <b>CUSIP: 501897102 Symbol (Box 1d): LFUGY</b> |                      |                              |                             |                         |                                            |                                    |                       |                                           |
| LI & FUNG LTD UNSPON ADR                       | 2,142.000            | 02/16/12                     | 02/14/13                    | \$5,519.16              | \$9,872.69                                 | \$0.00                             | (\$4,353.53)          | \$0.00                                    |
|                                                | 227.000              | 02/17/12                     | 02/14/13                    | \$584.90                | \$1,037.39                                 | \$0.00                             | (\$452.49)            | \$0.00                                    |
| <b>Security Subtotal</b>                       | <b>2,369.000</b>     |                              |                             | <b>\$6,104.06</b>       | <b>\$10,910.08</b>                         | <b>\$0.00</b>                      | <b>(\$4,806.02)</b>   | <b>\$0.00</b>                             |
| <b>CUSIP: 533900106 Symbol (Box 1d): LECO</b>  |                      |                              |                             |                         |                                            |                                    |                       |                                           |
| LINCOLN ELEC HLDGS INC                         | 7.000                | 05/07/12                     | 01/25/13                    | \$373.36                | \$341.83                                   | \$0.00                             | \$31.53               | \$0.00                                    |
|                                                | 10.000               | 05/07/12                     | 04/02/13                    | \$527.63                | \$488.34                                   | \$0.00                             | \$39.29               | \$0.00                                    |
|                                                | 23.000               | 05/07/12                     | 04/02/13                    | \$1,213.54              | \$1,123.17                                 | \$0.00                             | \$90.37               | \$0.00                                    |
|                                                | 1.000                | 08/24/12                     | 04/02/13                    | \$52.76                 | \$42.67                                    | \$0.00                             | \$10.09               | \$0.00                                    |
|                                                | 3.000                | 08/24/12                     | 04/02/13                    | \$158.29                | \$128.01                                   | \$0.00                             | \$30.28               | \$0.00                                    |
|                                                | 6.000                | 03/08/13                     | 04/02/13                    | \$316.57                | \$339.30                                   | \$0.00                             | (\$22.73)             | \$0.00                                    |
| <b>Security Subtotal</b>                       | <b>50.000</b>        |                              |                             | <b>\$2,642.15</b>       | <b>\$2,463.32</b>                          | <b>\$0.00</b>                      | <b>\$178.83</b>       | <b>\$0.00</b>                             |

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## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TRUST UAD 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD.  
PHOENIX AZ 85032-4816

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: 86-0937247  
Account Number: 003 113436 035  
**Customer Service: 866-324-6088**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Short Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)  
(Continued)

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|-----------------------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| CUSIP: 54338V101 Symbol (Box 1d): LZAGY |                      |                              |                             |                         |                                            |                                         |                       |                                           |
| LONZA GROUP AG ZUERICH ADR              | 93 000               | 02/11/13                     | 10/02/13                    | \$754.09                | \$590.96                                   | \$0.00                                  | \$163.13              | \$0.00                                    |
|                                         | 71 000               | 02/11/13                     | 10/03/13                    | \$572.44                | \$451.16                                   | \$0.00                                  | \$121.28              | \$0.00                                    |
|                                         | 14 000               | 03/08/13                     | 10/03/13                    | \$112.88                | \$88.76                                    | \$0.00                                  | \$24.12               | \$0.00                                    |
| <b>Security Subtotal</b>                | <b>178 000</b>       |                              |                             | <b>\$1,439.41</b>       | <b>\$1,130.88</b>                          | <b>\$0.00</b>                           | <b>\$308.53</b>       | <b>\$0.00</b>                             |
| CUSIP: 550021109 Symbol (Box 1d): LULU  |                      |                              |                             |                         |                                            |                                         |                       |                                           |
| LULULEMON ATHLETICA INC                 | 25 000               | 04/23/13                     | 11/15/13                    | \$1,660.69              | \$1,892.86                                 | \$0.00                                  | (\$232.17)            | \$0.00                                    |
|                                         | 11 000               | 06/12/13                     | 11/15/13                    | \$730.70                | \$708.51                                   | \$0.00                                  | \$22.19               | \$0.00                                    |
| <b>Security Subtotal</b>                | <b>36 000</b>        |                              |                             | <b>\$2,391.39</b>       | <b>\$2,601.37</b>                          | <b>\$0.00</b>                           | <b>(\$209.98)</b>     | <b>\$0.00</b>                             |
| CUSIP: G5876H105 Symbol (Box 1d): MRVL  |                      |                              |                             |                         |                                            |                                         |                       |                                           |
| MARVELL TECH GROUP LTD                  | 1 000                | 08/24/12                     | 04/11/13                    | \$10.30                 | \$10.42                                    | \$0.00                                  | (\$0.12)              | \$0.00                                    |
|                                         | 38 000               | 01/30/13                     | 04/11/13                    | \$391.39                | \$354.02                                   | \$0.00                                  | \$37.37               | \$0.00                                    |
|                                         | 14 000               | 03/08/13                     | 04/11/13                    | \$144.20                | \$145.60                                   | \$0.00                                  | (\$1.40)              | \$0.00                                    |
| <b>Security Subtotal</b>                | <b>53 000</b>        |                              |                             | <b>\$545.89</b>         | <b>\$510.04</b>                            | <b>\$0.00</b>                           | <b>\$35.85</b>        | <b>\$0.00</b>                             |
| CUSIP: 580645109 Symbol (Box 1d): MHFI  |                      |                              |                             |                         |                                            |                                         |                       |                                           |
| MC GRAW HILL COS INC                    | 12 000               | 12/07/12                     | 02/05/13                    | \$564.12                | \$671.93                                   | \$0.00                                  | (\$107.81)            | \$0.00                                    |
|                                         | 13 000               | 12/10/12                     | 02/05/13                    | \$611.12                | \$733.70                                   | \$0.00                                  | (\$122.58)            | \$0.00                                    |
|                                         | 20 000               | 12/10/12                     | 02/06/13                    | \$891.32                | \$1,128.78                                 | \$0.00                                  | (\$237.46)            | \$0.00                                    |
|                                         | 21 000               | 12/17/12                     | 02/06/13                    | \$935.89                | \$1,142.91                                 | \$0.00                                  | (\$207.02)            | \$0.00                                    |
| <b>Security Subtotal</b>                | <b>66 000</b>        |                              |                             | <b>\$3,002.45</b>       | <b>\$3,677.32</b>                          | <b>\$0.00</b>                           | <b>(\$674.87)</b>     | <b>\$0.00</b>                             |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TRUST UAD 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD.  
PHOENIX AZ 85032-4816

New York, NY 10004  
Identification Number: 26-4310632

Taxpayer ID Number: 86-0937247  
Account Number: 003 113436 035

**Customer Service: 866-324-6088**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Short Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )  
(Continued)

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|----------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|------------------------------------|-----------------------|-------------------------------------------|
| METHANEX CORPORATION       |                      |                              |                             |                         |                                            |                                    |                       |                                           |
|                            |                      | CUSIP: 59151K108             |                             | Symbol (Box 1d): MEOH   |                                            |                                    |                       |                                           |
|                            | 45,000               | 05/14/12                     | 04/19/13                    | \$1,694.56              | \$1,388.97                                 | \$0.00                             | \$305.59              | \$0.00                                    |
|                            | 11,000               | 03/08/13                     | 04/19/13                    | \$414.22                | \$424.38                                   | \$0.00                             | (\$10.16)             | \$0.00                                    |
| <b>Security Subtotal</b>   | <b>56,000</b>        |                              |                             | <b>\$2,108.78</b>       | <b>\$1,813.35</b>                          | <b>\$0.00</b>                      | <b>\$295.43</b>       | <b>\$0.00</b>                             |
| MICHAEL KORS HOLDINGS LTD  |                      |                              |                             |                         |                                            |                                    |                       |                                           |
|                            |                      | CUSIP: G60754101             |                             | Symbol (Box 1d): KORS   |                                            |                                    |                       |                                           |
|                            | 18,000               | 03/23/12                     | 01/11/13                    | \$947.69                | \$848.01                                   | \$0.00                             | \$99.68               | \$0.00                                    |
|                            | 2,000                | 03/23/12                     | 02/05/13                    | \$108.87                | \$94.22                                    | \$0.00                             | \$14.65               | \$0.00                                    |
|                            | 8,000                | 06/12/12                     | 02/05/13                    | \$426.43                | \$322.00                                   | \$0.00                             | \$104.43              | \$0.00                                    |
|                            | 12,000               | 06/12/12                     | 02/05/13                    | \$653.21                | \$482.99                                   | \$0.00                             | \$170.22              | \$0.00                                    |
|                            | 13,000               | 06/26/12                     | 02/05/13                    | \$692.94                | \$562.29                                   | \$0.00                             | \$130.65              | \$0.00                                    |
|                            | 1,000                | 08/24/12                     | 02/05/13                    | \$53.30                 | \$51.68                                    | \$0.00                             | \$1.62                | \$0.00                                    |
|                            | 10,000               | 08/30/13                     | 10/29/13                    | \$773.63                | \$737.99                                   | \$0.00                             | \$35.64               | \$0.00                                    |
|                            | 6,000                | 09/05/13                     | 10/29/13                    | \$464.17                | \$455.74                                   | \$0.00                             | \$8.43                | \$0.00                                    |
|                            | 10,000               | 09/05/13                     | 10/30/13                    | \$782.53                | \$759.57                                   | \$0.00                             | \$22.96               | \$0.00                                    |
|                            | 6,000                | 09/17/13                     | 10/30/13                    | \$469.52                | \$449.26                                   | \$0.00                             | \$20.26               | \$0.00                                    |
|                            | 1,000                | 09/17/13                     | 10/31/13                    | \$76.90                 | \$74.88                                    | \$0.00                             | \$2.02                | \$0.00                                    |
|                            | 10,000               | 09/17/13                     | 10/31/13                    | \$768.97                | \$748.77                                   | \$0.00                             | \$20.20               | \$0.00                                    |
| <b>Security Subtotal</b>   | <b>97,000</b>        |                              |                             | <b>\$6,218.16</b>       | <b>\$5,587.40</b>                          | <b>\$0.00</b>                      | <b>\$630.76</b>       | <b>\$0.00</b>                             |
| MORGAN STANLEY             |                      |                              |                             |                         |                                            |                                    |                       |                                           |
|                            |                      | CUSIP: 617446448             |                             | Symbol (Box 1d): MS     |                                            |                                    |                       |                                           |
|                            | 30,000               | 05/30/13                     | 12/16/13                    | \$927.68                | \$771.30                                   | \$0.00                             | \$156.38              | \$0.00                                    |
| NATIONAL OILWELL VARCO INC |                      |                              |                             |                         |                                            |                                    |                       |                                           |
|                            |                      | CUSIP: 637071101             |                             | Symbol (Box 1d): NOV    |                                            |                                    |                       |                                           |
|                            | 4,000                | 05/11/12                     | 03/08/13                    | \$272.31                | \$274.63                                   | \$0.00                             | (\$2.32)              | \$0.00                                    |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

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1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: 86-0937247  
Account Number: 003 113436 035  
**Customer Service: 866-324-6088**

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TST UAD 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD  
PHOENIX AZ 85032-4816

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Short Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked )  
(Continued)

| DESCRIPTION (Box 8)         | QUANTITY<br>ACQUIRED<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|-----------------------------|----------------------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|------------------------------------|-----------------------|-------------------------------------------|
| NETAPP INC COM              | 10,000                           | 03/08/13                     | 10/28/13                    | \$396.47                | \$345.00                                   | \$0.00                             | \$51.47               | \$0.00                                    |
| NETGEAR INC                 | 21,000                           | 03/08/13                     | 04/12/13                    | \$672.79                | \$732.48                                   | \$0.00                             | (\$59.69)             | \$0.00                                    |
| NEWS CORP LTD CL A DEL      | 4,000                            | 01/30/13                     | 03/08/13                    | \$120.06                | \$111.99                                   | \$0.00                             | \$8.07                | \$0.00                                    |
|                             | 10,000                           | 01/30/13                     | 05/09/13                    | \$333.69                | \$279.98                                   | \$0.00                             | \$53.71               | \$0.00                                    |
| Security Subtotal           | 14,000                           |                              |                             | \$453.75                | \$391.97                                   | \$0.00                             | \$61.78               | \$0.00                                    |
| NOKIAN TYRES OYJ UNSPON ADR | 6,000                            | 03/12/12                     | 03/08/13                    | \$137.69                | \$139.22                                   | \$0.00                             | (\$1.53)              | \$0.00                                    |
| NORTHEAST UTILITIES         | 5,000                            | 03/08/13                     | 10/03/13                    | \$204.39                | \$212.40                                   | \$0.00                             | (\$8.01)              | \$0.00                                    |
| NUCOR CORPORATION           | 6,000                            | 09/18/12                     | 05/09/13                    | \$273.11                | \$238.06                                   | \$0.00                             | \$35.05               | \$0.00                                    |
| NXP SEMICONDUCTORS NV       | 10,000                           | 01/11/13                     | 05/09/13                    | \$292.89                | \$286.34                                   | \$0.00                             | \$6.55                | \$0.00                                    |
|                             | 22,000                           | 01/11/13                     | 07/25/13                    | \$731.65                | \$629.95                                   | \$0.00                             | \$101.70              | \$0.00                                    |
|                             | 9,000                            | 01/11/13                     | 10/28/13                    | \$367.75                | \$257.71                                   | \$0.00                             | \$110.04              | \$0.00                                    |
|                             | 24,000                           | 01/17/13                     | 10/28/13                    | \$980.66                | \$719.98                                   | \$0.00                             | \$260.68              | \$0.00                                    |
|                             | 4,000                            | 01/28/13                     | 10/28/13                    | \$163.44                | \$121.80                                   | \$0.00                             | \$41.64               | \$0.00                                    |
| Security Subtotal           | 69,000                           |                              |                             | \$2,536.39              | \$2,015.78                                 | \$0.00                             | \$520.61              | \$0.00                                    |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 45 of 116

**1099 Consolidated Tax Statement**  
**Tax Year 2013 Copy B For Recipient**

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New York, NY 10004  
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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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|------------------------------|----------------------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| OCEANEERING INTL INC         | CUSIP: 675232102                 | Symbol (Box 1d): OII         |                             |                         |                                            |                                         |                       |                                           |
|                              | 5 000                            | 08/24/12                     | 05/09/13                    | \$369.89                | \$275.35                                   | \$0.00                                  | \$94.54               | \$0.00                                    |
| OIL STATES INTL INC          | CUSIP: 678026105                 | Symbol (Box 1d): OIS         |                             |                         |                                            |                                         |                       |                                           |
|                              | 2 000                            | 03/08/13                     | 04/18/13                    | \$144.65                | \$155.24                                   | \$0.00                                  | (\$10.59)             | \$0.00                                    |
|                              | 1 000                            | 03/08/13                     | 10/02/13                    | \$106.17                | \$77.62                                    | \$0.00                                  | \$28.55               | \$0.00                                    |
|                              | 7 000                            | 03/08/13                     | 12/04/13                    | \$718.28                | \$543.34                                   | \$0.00                                  | \$174.94              | \$0.00                                    |
|                              | 3 000                            | 03/08/13                     | 12/04/13                    | \$307.83                | \$232.86                                   | \$0.00                                  | \$74.97               | \$0.00                                    |
| <b>Security Subtotal</b>     | <b>13 000</b>                    |                              |                             | <b>\$1,276.93</b>       | <b>\$1,009.06</b>                          | <b>\$0.00</b>                           | <b>\$267.87</b>       | <b>\$0.00</b>                             |
| ON SEMICONDUCTOR CORP        | CUSIP: 682189105                 | Symbol (Box 1d): ONNN        |                             |                         |                                            |                                         |                       |                                           |
|                              | 28 000                           | 03/08/13                     | 10/28/13                    | \$194.05                | \$228.47                                   | \$0.00                                  | (\$34.42)             | \$0.00                                    |
| ORACLE CORP                  | CUSIP: 68369X105                 | Symbol (Box 1d): ORCL        |                             |                         |                                            |                                         |                       |                                           |
|                              | 15 000                           | 03/14/13                     | 06/21/13                    | \$456.59                | \$544.17                                   | \$0.00                                  | (\$87.58)             | \$0.00                                    |
| PETROLEO BRAS SA ADS         | CUSIP: 71654V408                 | Symbol (Box 1d): PBR         |                             |                         |                                            |                                         |                       |                                           |
|                              | 15 000                           | 10/04/12                     | 05/09/13                    | \$287.84                | \$342.81                                   | \$0.00                                  | (\$54.97)             | \$0.00                                    |
| PFIZER INC                   | CUSIP: 717081103                 | Symbol (Box 1d): PFE         |                             |                         |                                            |                                         |                       |                                           |
|                              | 1 000                            | 08/24/12                     | 03/20/13                    | \$28.25                 | \$23.72                                    | \$0.00                                  | \$4.53                | \$0.00                                    |
| PIONEER NATURAL RESOURCES CO | CUSIP: 723787107                 | Symbol (Box 1d): PXD         |                             |                         |                                            |                                         |                       |                                           |
|                              | 9 000                            | 05/07/13                     | 11/08/13                    | \$1,706.92              | \$1,239.40                                 | \$0.00                                  | \$467.52              | \$0.00                                    |
|                              | 4 000                            | 05/09/13                     | 11/08/13                    | \$758.63                | \$553.74                                   | \$0.00                                  | \$204.89              | \$0.00                                    |

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# Morgan Stanley

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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|-------------------------------|----------------------|----------------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| PIONEER NATURAL RESOURCES     | 1 000                | 07/09/13                               | 11/08/13                    | \$189.66                | \$154.17                                   | \$0.00                                  | \$35.49               | \$0.00                                    |
| Security Subtotal             | 11,000               | 07/09/13                               | 11/08/13                    | \$2,067.25              | \$1,695.85                                 | \$0.00                                  | \$371.40              | \$0.00                                    |
| POTASH CP OF SASKATCHEWAN INC | 25,000               |                                        |                             | \$4,722.46              | \$3,643.16                                 | \$0.00                                  | \$1,079.30            | \$0.00                                    |
|                               |                      | CUSIP: 73755L107 Symbol (Box 1d): POT  |                             |                         |                                            |                                         |                       |                                           |
|                               | 1 000                | 09/26/12                               | 03/08/13                    | \$39.88                 | \$43.17                                    | \$0.00                                  | (\$3.29)              | \$0.00                                    |
|                               | 7 000                | 09/26/12                               | 05/09/13                    | \$306.10                | \$302.19                                   | \$0.00                                  | \$3.91                | \$0.00                                    |
|                               | 92 000               | 09/26/12                               | 09/20/13                    | \$2,962.90              | \$3,971.70                                 | \$0.00                                  | (\$1,008.80)          | \$0.00                                    |
|                               | 36,000               | 12/13/12                               | 09/20/13                    | \$1,159.39              | \$1,475.87                                 | \$0.00                                  | (\$316.48)            | \$0.00                                    |
| Security Subtotal             | 136,000              |                                        |                             | \$4,468.27              | \$5,792.93                                 | \$0.00                                  | (\$1,324.66)          | \$0.00                                    |
| PUBLIC SERVICE ENTERPRISE GP  |                      | CUSIP: 744573106 Symbol (Box 1d): PEG  |                             |                         |                                            |                                         |                       |                                           |
|                               | 4 000                | 03/28/12                               | 03/08/13                    | \$130.53                | \$120.32                                   | \$0.00                                  | \$10.21               | \$0.00                                    |
| QIAGEN NV ORD                 |                      | CUSIP: N72482107 Symbol (Box 1d): QGEN |                             |                         |                                            |                                         |                       |                                           |
|                               | 184 000              | 02/16/12                               | 01/10/13                    | \$3,490.43              | \$2,867.97                                 | \$0.00                                  | \$622.46              | \$0.00                                    |
|                               | 20,000               | 02/17/12                               | 01/10/13                    | \$379.40                | \$312.18                                   | \$0.00                                  | \$67.22               | \$0.00                                    |
| Security Subtotal             | 204,000              |                                        |                             | \$3,869.83              | \$3,180.15                                 | \$0.00                                  | \$689.68              | \$0.00                                    |
| RANGE RESOURCES CORP          |                      | CUSIP: 75281A109 Symbol (Box 1d): RRC  |                             |                         |                                            |                                         |                       |                                           |
|                               | 7 000                | 05/09/12                               | 01/03/13                    | \$441.08                | \$471.37                                   | \$0.00                                  | (\$30.29)             | \$0.00                                    |
|                               | 7 000                | 05/09/12                               | 01/11/13                    | \$445.59                | \$471.37                                   | \$25.78                                 | (\$25.78)             | \$0.00                                    |
|                               | 10 000               | 05/23/12                               | 01/11/13                    | \$636.56                | \$621.99                                   | \$0.00                                  | \$14.57               | \$0.00                                    |
|                               | 1 000                | 05/23/12                               | 03/08/13                    | \$78.66                 | \$62.20                                    | \$0.00                                  | \$16.46               | \$0.00                                    |
|                               | 1 000                | 05/23/12                               | 04/17/13                    | \$72.01                 | \$62.20                                    | \$0.00                                  | \$9.81                | \$0.00                                    |
|                               | 4 000                | 06/04/12                               | 04/17/13                    | \$287.73                | \$212.93                                   | \$0.00                                  | \$74.80               | \$0.00                                    |
|                               | 9 000                | 06/04/12                               | 04/17/13                    | \$648.08                | \$479.08                                   | \$0.00                                  | \$169.00              | \$0.00                                    |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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**1099 Consolidated Tax Statement  
Tax Year 2013      Copy B For Recipient**

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TRUST DATED 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD.  
PHOENIX AZ 85032-4816

86-0937247  
003 113436 035

**Customer Service: 866-324-6088**

**OMB NO. 1545-0715**

## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Short Term - Covered Securities**  
(Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )  
(Continued)

| DESCRIPTION (Box 8)  | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|----------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| RANGE RESOURCES CORP | 6 000                | 06/25/12                     | 04/17/13                    | \$431.59                | \$347.39                                   | \$0.00                                  | \$84.20               | \$0.00                                    |
|                      | 6 000                | 06/27/12                     | 04/17/13                    | \$431.59                | \$377.27                                   | \$0.00                                  | \$54.32               | \$0.00                                    |
|                      | 7 000                | 06/04/12                     | 04/29/13                    | \$517.60                | \$525.71                                   | \$0.00                                  | (\$8.11)              | \$0.00                                    |
|                      | 7 000                | 06/28/12                     | 04/29/13                    | \$517.60                | \$441.28                                   | \$0.00                                  | \$76.32               | \$0.00                                    |
|                      | 13 000               | 02/06/13                     | 04/29/13                    | \$961.26                | \$928.43                                   | \$0.00                                  | \$32.83               | \$0.00                                    |
| Security Subtotal    | 78 000               |                              |                             | \$5,469.35              | \$5,001.22                                 | \$25.78                                 | \$468.13              | \$0.00                                    |
| SANOFI ADR           |                      | CUSIP: 80105N105             | Symbol (Box 1d): SNY        |                         |                                            |                                         |                       |                                           |
|                      | 28 000               | 04/15/13                     | 08/22/13                    | \$1,408.27              | \$1,505.82                                 | \$0.00                                  | (\$97.55)             | \$0.00                                    |
|                      | 8 000                | 04/15/13                     | 08/28/13                    | \$392.22                | \$430.23                                   | \$0.00                                  | (\$38.01)             | \$0.00                                    |
|                      | 30 000               | 04/16/13                     | 08/28/13                    | \$1,470.82              | \$1,631.41                                 | \$0.00                                  | (\$160.59)            | \$0.00                                    |
|                      | 4 000                | 04/16/13                     | 08/29/13                    | \$196.70                | \$217.52                                   | \$0.00                                  | (\$20.82)             | \$0.00                                    |
|                      | 4 000                | 05/28/13                     | 08/29/13                    | \$196.70                | \$223.61                                   | \$0.00                                  | (\$26.91)             | \$0.00                                    |
|                      | 1 000                | 06/06/13                     | 08/29/13                    | \$49.18                 | \$53.18                                    | \$0.00                                  | (\$4.00)              | \$0.00                                    |
|                      | 4 000                | 06/06/13                     | 08/30/13                    | \$193.22                | \$212.71                                   | \$0.00                                  | (\$19.49)             | \$0.00                                    |
|                      | 7 000                | 06/06/13                     | 09/03/13                    | \$329.35                | \$372.24                                   | \$0.00                                  | (\$42.89)             | \$0.00                                    |
|                      | 18 000               | 06/06/13                     | 09/03/13                    | \$846.89                | \$947.94                                   | \$0.00                                  | (\$101.05)            | \$0.00                                    |
| Security Subtotal    | 104 000              |                              |                             | \$5,083.35              | \$5,594.66                                 | \$0.00                                  | (\$511.31)            | \$0.00                                    |
| SCHLUMBERGER LTD     |                      | CUSIP: 806857108             | Symbol (Box 1d): SLB        |                         |                                            |                                         |                       |                                           |
|                      | 24 000               | 02/16/12                     | 02/05/13                    | \$1,914.56              | \$1,872.43                                 | \$0.00                                  | \$42.13               | \$0.00                                    |
|                      | 2 000                | 02/16/12                     | 02/15/13                    | \$160.71                | \$156.04                                   | \$0.00                                  | \$4.67                | \$0.00                                    |
|                      | 16 000               | 02/17/12                     | 02/15/13                    | \$1,285.64              | \$1,246.72                                 | \$0.00                                  | \$38.92               | \$0.00                                    |
|                      | 4 000                | 05/10/12                     | 02/15/13                    | \$321.41                | \$282.75                                   | \$0.00                                  | \$38.66               | \$0.00                                    |

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**1099 Consolidated Tax Statement  
Tax Year 2013    Copy B For Recipient**

8th Floor  
New York, NY 10004  
Identification Number

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TST UAD 8-20-1965 AS AMENDED  
14602 N TATUM BLVD.  
PHOENIX AZ 85032-4816

**Identification Number:** 26-4310632

**Taxpayer ID Number:** 86-0937247  
**Account Number:** 003 113436 035

**Customer Service: 866-324-6088**

OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Short Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part 1 with box A checked )  
(Continued)

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**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

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# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TST UAD 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD.  
PHOENIX AZ 85032-4816

Identification Number: 26-4310632

Taxpayer ID Number: 86-0937247

Account Number: 003 113436 035

Customer Service: 866-324-6088

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Short Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )  
(Continued)

| DESCRIPTION (Box 8)         | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|-----------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| TERADATA CORP               |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                             |                      | CUSIP: 88076W103             | Symbol (Box 1d): TDC        |                         |                                            |                                         |                       |                                           |
|                             | 4 000                | 05/03/12                     | 04/04/13                    | \$203.68                | \$312.78                                   | \$0.00                                  | (\$109.10)            | \$0.00                                    |
|                             | 1 000                | 05/03/12                     | 04/04/13                    | \$50.92                 | \$78.19                                    | \$0.00                                  | (\$27.27)             | \$0.00                                    |
|                             | 6 000                | 06/04/12                     | 04/04/13                    | \$305.51                | \$388.41                                   | \$0.00                                  | (\$82.90)             | \$0.00                                    |
|                             | 1 000                | 11/29/12                     | 04/04/13                    | \$50.92                 | \$59.50                                    | \$0.00                                  | (\$8.58)              | \$0.00                                    |
|                             | 18,000               | 11/29/12                     | 09/12/13                    | \$1,074.62              | \$1,071.00                                 | \$0.00                                  | \$3.62                | \$0.00                                    |
|                             | 4,000                | 11/29/12                     | 09/12/13                    | \$238.80                | \$238.00                                   | \$0.00                                  | \$0.80                | \$0.00                                    |
| Security Subtotal           | 34,000               |                              |                             | \$1,924.45              | \$2,147.88                                 | \$0.00                                  | (\$223.43)            | \$0.00                                    |
| TIMKEN CO                   |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                             |                      | CUSIP: 887389104             | Symbol (Box 1d): TKR        |                         |                                            |                                         |                       |                                           |
|                             | 12,000               | 07/26/12                     | 07/25/13                    | \$675.49                | \$415.55                                   | \$0.00                                  | \$259.94              | \$0.00                                    |
|                             | 20,000               | 09/25/12                     | 09/16/13                    | \$1,253.02              | \$747.83                                   | \$0.00                                  | \$505.19              | \$0.00                                    |
|                             | 5,000                | 03/08/13                     | 09/16/13                    | \$313.25                | \$284.25                                   | \$0.00                                  | \$29.00               | \$0.00                                    |
| Security Subtotal           | 37,000               |                              |                             | \$2,241.76              | \$1,447.63                                 | \$0.00                                  | \$794.13              | \$0.00                                    |
| TRAVELERS COMPANIES INC COM |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                             |                      | CUSIP: 89417E109             | Symbol (Box 1d): TRV        |                         |                                            |                                         |                       |                                           |
|                             | 3 000                | 03/23/12                     | 02/04/13                    | \$235.36                | \$174.39                                   | \$0.00                                  | \$60.97               | \$0.00                                    |
| TYSON FOODS INC CL A        |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                             |                      | CUSIP: 902494103             | Symbol (Box 1d): TSN        |                         |                                            |                                         |                       |                                           |
|                             | 76 000               | 01/23/13                     | 08/27/13                    | \$2,214.81              | \$1,692.44                                 | \$0.00                                  | \$522.37              | \$0.00                                    |
|                             | 15,000               | 03/08/13                     | 08/27/13                    | \$437.13                | \$358.95                                   | \$0.00                                  | \$78.18               | \$0.00                                    |
| Security Subtotal           | 91,000               |                              |                             | \$2,651.94              | \$2,051.39                                 | \$0.00                                  | \$600.55              | \$0.00                                    |
| VALERO ENERGY CP DELA NEW   |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                             |                      | CUSIP: 91913Y100             | Symbol (Box 1d): VLO        |                         |                                            |                                         |                       |                                           |
|                             | 25,000               | 04/10/13                     | 06/17/13                    | \$948.75                | \$980.36                                   | \$0.00                                  | (\$31.61)             | \$0.00                                    |
|                             | 3,000                | 04/10/13                     | 06/19/13                    | \$115.01                | \$117.64                                   | \$0.00                                  | (\$2.63)              | \$0.00                                    |
|                             | 16 000               | 04/11/13                     | 06/19/13                    | \$613.40                | \$606.02                                   | \$0.00                                  | \$7.38                | \$0.00                                    |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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**1099 Consolidated Tax Statement**  
**Tax Year 2013 Copy B For Recipient**

Morgan Stanley Smith Barney Holdings LLC  
 1 New York Plaza  
 8th Floor

MARYLAND LLC, TTEE OF THE  
 JAMES ARTHUR RAE CHARITABLE  
 TRST UAD 8-20-1965 AS AMENDED  
 14602 N TATUM BLVD.  
 PHOENIX AZ 85032-4816

New York, NY 10004  
 Identification Number: 26-4310632

Taxpayer ID Number 86-0937247  
 Account Number: 003 113436 035

**Customer Service: 866-324-6088**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Short Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked )  
 (Continued)

| DESCRIPTION (Box 8)       | QUANTITY<br>ACQUIRED<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|---------------------------|----------------------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|------------------------------------|-----------------------|-------------------------------------------|
| VALERO ENERGY CP DELA NEW | 3,000                            | 04/11/13                     | 06/21/13                    | \$104.75                | \$113.63                                   | \$0.00                             | (\$8.88)              | \$0.00                                    |
|                           | 16,000                           | 04/24/13                     | 06/21/13                    | \$558.65                | \$618.54                                   | \$0.00                             | (\$59.89)             | \$0.00                                    |
|                           | 12,000                           | 04/30/13                     | 06/21/13                    | \$418.99                | \$455.58                                   | \$0.00                             | (\$36.59)             | \$0.00                                    |
|                           | 20,000                           | 04/30/13                     | 06/25/13                    | \$693.23                | \$759.30                                   | \$0.00                             | (\$66.07)             | \$0.00                                    |
| <b>Security Subtotal</b>  | <b>95,000</b>                    |                              |                             | <b>\$3,452.78</b>       | <b>\$3,651.07</b>                          | <b>\$0.00</b>                      | <b>(\$198.29)</b>     | <b>\$0.00</b>                             |
| VALMONT INDUSTRIES        |                                  | CUSIP: 920253101             | Symbol (Box 1d): VMI        |                         |                                            |                                    |                       |                                           |
|                           | 13,000                           | 04/19/13                     | 07/19/13                    | \$1,918.97              | \$1,936.68                                 | \$0.00                             | (\$17.71)             | \$0.00                                    |
| VANGUARD SHORT TERM BND   |                                  | CUSIP: 921937827             | Symbol (Box 1d): BSV        |                         |                                            |                                    |                       |                                           |
|                           | 5,000                            | 03/08/13                     | 05/09/13                    | \$404.94                | \$404.95                                   | \$0.00                             | (\$0.01)              | \$0.00                                    |
| VMWARE INC CLASS A        |                                  | CUSIP: 928563402             | Symbol (Box 1d): VMW        |                         |                                            |                                    |                       |                                           |
|                           | 5,000                            | 11/06/12                     | 01/29/13                    | \$389.89                | \$460.59                                   | \$0.00                             | (\$70.70)             | \$0.00                                    |
|                           | 15,000                           | 11/06/12                     | 01/30/13                    | \$1,166.04              | \$1,381.76                                 | \$0.00                             | (\$215.72)            | \$0.00                                    |
|                           | 4,000                            | 11/07/12                     | 01/30/13                    | \$310.95                | \$361.53                                   | \$0.00                             | (\$50.58)             | \$0.00                                    |
|                           | 16,000                           | 11/07/12                     | 01/31/13                    | \$1,230.86              | \$1,446.12                                 | \$0.00                             | (\$215.26)            | \$0.00                                    |
| <b>Security Subtotal</b>  | <b>40,000</b>                    |                              |                             | <b>\$3,097.74</b>       | <b>\$3,650.00</b>                          | <b>\$0.00</b>                      | <b>(\$552.26)</b>     | <b>\$0.00</b>                             |
| WALGREEN CO               |                                  | CUSIP: 931422109             | Symbol (Box 1d): WAG        |                         |                                            |                                    |                       |                                           |
|                           | 24,000                           | 05/21/13                     | 07/01/13                    | \$1,065.59              | \$1,202.40                                 | \$0.00                             | (\$136.81)            | \$0.00                                    |
|                           | 11,000                           | 05/22/13                     | 07/01/13                    | \$488.40                | \$561.44                                   | \$0.00                             | (\$73.04)             | \$0.00                                    |
|                           | 3,000                            | 05/22/13                     | 07/05/13                    | \$131.36                | \$153.12                                   | \$0.00                             | (\$21.76)             | \$0.00                                    |
|                           | 15,000                           | 05/23/13                     | 07/05/13                    | \$656.79                | \$756.24                                   | \$0.00                             | (\$99.45)             | \$0.00                                    |
|                           | 7,000                            | 05/24/13                     | 07/05/13                    | \$306.50                | \$356.07                                   | \$0.00                             | (\$49.57)             | \$0.00                                    |
|                           | 17,000                           | 05/24/13                     | 07/09/13                    | \$770.95                | \$864.75                                   | \$0.00                             | (\$93.80)             | \$0.00                                    |
| <b>Security Subtotal</b>  | <b>77,000</b>                    |                              |                             | <b>\$3,419.59</b>       | <b>\$3,894.02</b>                          | <b>\$0.00</b>                      | <b>(\$474.43)</b>     | <b>\$0.00</b>                             |

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**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

**1099 Consolidated Tax Statement**  
**Tax Year 2013 Copy B For Recipient**

Morgan Stanley Smith Barney Holdings LLC  
 1 New York Plaza  
 8th Floor  
 New York, NY 10004

MARYLAND LLC, TTEE OF THE  
 JAMES ARTHUR RAE CHARITABLE  
 TST UAD 8-20-1965 AS AMENDED  
 14602 N. TATUM BLVD.  
 PHOENIX AZ 85032-4816

Identification Number: 26-4310632

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**Customer Service: 866-324-6088**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Short Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked )  
 (Continued)

| DESCRIPTION (Box 8)      | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|--------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| WATERS CORP              | 2,000                | 03/08/13                     | 05/02/13                    | \$185.78                | \$189.36                                   | \$0.00                                  | (\$3.58)              | \$0.00                                    |
| WELLS FARGO & CO NEW     | 6,000                | 12/17/12                     | 03/08/13                    | \$218.57                | \$205.02                                   | \$0.00                                  | \$13.55               | \$0.00                                    |
|                          | 48,000               | 12/17/12                     | 07/25/13                    | \$2,087.35              | \$1,640.17                                 | \$0.00                                  | \$447.18              | \$0.00                                    |
|                          | 32,000               | 12/19/12                     | 07/25/13                    | \$1,391.57              | \$1,118.00                                 | \$0.00                                  | \$273.57              | \$0.00                                    |
|                          | 20,000               | 12/20/12                     | 07/25/13                    | \$869.73                | \$699.19                                   | \$0.00                                  | \$170.54              | \$0.00                                    |
| <b>Security Subtotal</b> | <b>106,000</b>       |                              |                             | <b>\$4,567.22</b>       | <b>\$3,662.38</b>                          | <b>\$0.00</b>                           | <b>\$904.84</b>       | <b>\$0.00</b>                             |
| WHOLE FOODS MARKETS INC  | 15,000               | 04/23/13                     | 07/19/13                    | \$836.52                | \$663.74                                   | \$0.00                                  | \$172.78              | \$0.00                                    |
|                          | 13,000               | 04/23/13                     | 07/23/13                    | \$719.58                | \$575.24                                   | \$0.00                                  | \$144.34              | \$0.00                                    |
|                          | 14,000               | 04/23/13                     | 07/31/13                    | \$773.59                | \$619.49                                   | \$0.00                                  | \$154.10              | \$0.00                                    |
|                          | 22,000               | 09/06/13                     | 12/30/13                    | \$1,265.52              | \$1,202.99                                 | \$0.00                                  | \$62.53               | \$0.00                                    |
| <b>Security Subtotal</b> | <b>64,000</b>        |                              |                             | <b>\$3,595.21</b>       | <b>\$3,061.46</b>                          | <b>\$0.00</b>                           | <b>\$533.75</b>       | <b>\$0.00</b>                             |
| WILLIAMS CO INC          | 20,000               | 12/13/12                     | 02/14/13                    | \$711.61                | \$629.16                                   | \$0.00                                  | \$82.45               | \$0.00                                    |
|                          | 20,000               | 12/13/12                     | 02/15/13                    | \$700.98                | \$629.16                                   | \$0.00                                  | \$71.82               | \$0.00                                    |
|                          | 55,000               | 12/13/12                     | 03/01/13                    | \$1,857.62              | \$1,730.18                                 | \$0.00                                  | \$127.44              | \$0.00                                    |
|                          | 21,000               | 12/14/12                     | 03/01/13                    | \$709.27                | \$661.50                                   | \$0.00                                  | \$47.77               | \$0.00                                    |
| <b>Security Subtotal</b> | <b>116,000</b>       |                              |                             | <b>\$3,979.48</b>       | <b>\$3,650.00</b>                          | <b>\$0.00</b>                           | <b>\$329.48</b>       | <b>\$0.00</b>                             |

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## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TST UAD 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD.  
PHOENIX AZ 85032-4816

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: 86-0937247  
Account Number: 003 113436 035  
**Customer Service: 866-324-6088**

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Short Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked )  
(Continued)

| DESCRIPTION (Box 8)                 | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|-------------------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| WMS IND INC                         |                      | CUSIP: 929297109             | Symbol (Box 1d): WMS        |                         |                                            |                                         |                       |                                           |
|                                     | 3 000                | 08/24/12                     | 06/28/13                    | \$76.43                 | \$48.99                                    | \$0.00                                  | \$27.44               | \$0.00                                    |
|                                     | 9 000                | 03/08/13                     | 06/28/13                    | \$229.30                | \$226.62                                   | \$0.00                                  | \$2.68                | \$0.00                                    |
| Security Subtotal                   | 12 000               |                              |                             | \$305.73                | \$275.61                                   | \$0.00                                  | \$30.12               | \$0.00                                    |
| WOODWARD INC COM                    |                      | CUSIP: 980745103             | Symbol (Box 1d): WWD        |                         |                                            |                                         |                       |                                           |
|                                     | 25 000               | 01/25/13                     | 09/16/13                    | \$1,011.31              | \$987.07                                   | \$0.00                                  | \$24.24               | \$0.00                                    |
| WYNN RESORTS LTD                    |                      | CUSIP: 983134107             | Symbol (Box 1d): WYNN       |                         |                                            |                                         |                       |                                           |
|                                     | 4 000                | 08/14/13                     | 12/16/13                    | \$730.06                | \$560.88                                   | \$0.00                                  | \$169.18              | \$0.00                                    |
| XINYI GLASS HLDGS LTD UNSPON        |                      | CUSIP: 98418R100             | Symbol (Box 1d): XYIGY      |                         |                                            |                                         |                       |                                           |
|                                     | 3 000                | 07/12/12                     | 03/08/13                    | \$44.54                 | \$33.35                                    | \$0.00                                  | \$11.19               | \$0.00                                    |
| YUM BRANDS INC                      |                      | CUSIP: 988498101             | Symbol (Box 1d): YUM        |                         |                                            |                                         |                       |                                           |
|                                     | 9 000                | 02/05/13                     | 08/30/13                    | \$628.65                | \$555.26                                   | \$0.00                                  | \$73.39               | \$0.00                                    |
|                                     | 17 000               | 02/05/13                     | 09/03/13                    | \$1,188.09              | \$1,048.82                                 | \$0.00                                  | \$139.27              | \$0.00                                    |
|                                     | 3 000                | 02/05/13                     | 09/04/13                    | \$207.66                | \$185.09                                   | \$0.00                                  | \$22.57               | \$0.00                                    |
|                                     | 8 000                | 04/30/13                     | 09/04/13                    | \$553.75                | \$543.89                                   | \$0.00                                  | \$9.86                | \$0.00                                    |
| Security Subtotal                   | 37 000               |                              |                             | \$2,578.15              | \$2,333.06                                 | \$0.00                                  | \$245.09              | \$0.00                                    |
| Total Short Term Covered Securities |                      |                              |                             | \$413,254.58            | \$404,076.74                               | \$143.91                                | \$9,177.84            | \$0.00                                    |

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TST UAD 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD.  
PHOENIX AZ 85032-4816

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: 86-0937247  
Account Number: 003 113436 035  
**Customer Service: 866-324-6088**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS** **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Short Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)

| DESCRIPTION (Box 8)            | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|--------------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| <b>CST BRANDS INC COM</b>      |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                                |                      | CUSIP: 12646R105             |                             | Symbol (Box 1d): CST    |                                            |                                         |                       |                                           |
|                                | 0.555                | 04/10/13                     | 05/01/13                    | \$16.90                 | \$17.05                                    | \$0.00                                  | (\$0.15)              | \$0.00                                    |
|                                | 3.000                | 04/10/13                     | 05/15/13                    | \$97.82                 | \$98.73                                    | \$0.00                                  | (\$0.91)              | \$0.00                                    |
|                                | 2.000                | 04/11/13                     | 05/15/13                    | \$65.22                 | \$64.71                                    | \$0.00                                  | \$0.51                | \$0.00                                    |
|                                | 2.000                | 04/24/13                     | 05/15/13                    | \$65.22                 | \$55.62                                    | \$0.00                                  | \$9.60                | \$0.00                                    |
|                                | 3.000                | 04/30/13                     | 05/15/13                    | \$97.82                 | \$92.19                                    | \$0.00                                  | \$5.63                | \$0.00                                    |
| <b>Security Subtotal</b>       | <b>10.555</b>        |                              |                             | <b>\$342.98</b>         | <b>\$328.30</b>                            | <b>\$0.00</b>                           | <b>\$14.68</b>        | <b>\$0.00</b>                             |
| <b>NEWS CORPORATION CL A</b>   |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                                |                      | CUSIP: 65249B109             |                             | Symbol (Box 1d): NWSA   |                                            |                                         |                       |                                           |
|                                | 0.500                | 01/30/13                     | 06/28/13                    | \$7.84                  | \$6.22                                     | \$0.00                                  | \$1.62                | \$0.00                                    |
|                                | 14.000               | 01/30/13                     | 07/08/13                    | \$220.07                | \$170.10                                   | \$0.00                                  | \$49.97               | \$0.00                                    |
|                                | 6.000                | 02/04/13                     | 07/08/13                    | \$94.32                 | \$80.08                                    | \$0.00                                  | \$14.24               | \$0.00                                    |
|                                | 10.000               | 02/27/13                     | 07/08/13                    | \$157.20                | \$131.90                                   | \$0.00                                  | \$25.30               | \$0.00                                    |
|                                | 5.000                | 04/15/13                     | 07/08/13                    | \$78.60                 | \$70.64                                    | \$0.00                                  | \$7.96                | \$0.00                                    |
|                                | 5.000                | 06/11/13                     | 07/08/13                    | \$78.59                 | \$79.74                                    | \$0.00                                  | (\$1.15)              | \$0.00                                    |
| <b>Security Subtotal</b>       | <b>40.500</b>        |                              |                             | <b>\$636.62</b>         | <b>\$538.68</b>                            | <b>\$0.00</b>                           | <b>\$97.94</b>        | <b>\$0.00</b>                             |
| <b>US TSY NOTE 2250 14MY31</b> |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                                |                      | CUSIP: 912828KV1             |                             | Symbol (Box 1d):        |                                            |                                         |                       |                                           |
|                                | 4,000.000            | 05/16/13                     | 09/05/13                    | \$4,062.01              | \$4,087.67                                 | \$0.00                                  | (\$25.66)             | \$0.00                                    |

CONTINUED ON NEXT PAGE

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TST UAD 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD.  
PHOENIX AZ 85032-4816

Taxpayer ID Number: 86-0937247  
Account Number: 003 113436 035

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part I with box B checked )  
(Continued)

| DESCRIPTION (Box 8)                                | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b)      | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|----------------------------------------------------|----------------------|-----------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| US TSY NOTE 3125 13SP30                            |                      |                                   |                             |                         |                                            |                                         |                       |                                           |
|                                                    |                      | CUSIP: 912828JM3 Symbol (Box 1d): |                             |                         |                                            |                                         |                       |                                           |
|                                                    | 3,000.000            | 07/17/12                          | 05/31/13                    | \$3,029.87              | \$3,028.48                                 | \$0.00                                  | \$1.39                | \$0.00                                    |
|                                                    | 1,000.000            | 07/17/12                          | 06/25/13                    | \$1,007.93              | \$1,007.66                                 | \$0.00                                  | \$0.27                | \$0.00                                    |
|                                                    | 2,000.000            | 05/16/13                          | 06/25/13                    | \$2,015.85              | \$2,022.74                                 | \$0.00                                  | (\$6.89)              | \$0.00                                    |
| Security Subtotal                                  | 6,000.000            |                                   |                             | \$6,053.65              | \$6,058.88                                 | \$0.00                                  | (\$5.23)              | \$0.00                                    |
| Total Short Term Noncovered Securities             |                      |                                   |                             | \$11,095.26             | \$11,013.53                                | \$0.00                                  | \$81.73               | \$0.00                                    |
| Total Short Term Covered and Noncovered Securities |                      |                                   |                             | \$424,349.84            | \$415,090.27                               | \$143.91                                | \$9,259.57            | \$0.00                                    |

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number 26-4310632  
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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

| DESCRIPTION (Box 8)            | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|--------------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| AIA GROUP LTD SPON ADR         | 23,000               | 02/16/12                     | 03/08/13                    | \$399.50                | \$318.55                                   | \$0.00                                  | \$80.95               | \$0.00                                    |
| AIR LIQUIDE ADR                |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                                | 15,000               | 02/16/12                     | 03/08/13                    | \$371.24                | \$355.50                                   | \$0.00                                  | \$15.74               | \$0.00                                    |
|                                | 16,000               | 02/16/12                     | 05/09/13                    | \$404.79                | \$379.20                                   | \$0.00                                  | \$25.59               | \$0.00                                    |
|                                | 50,000               | 02/16/12                     | 07/25/13                    | \$1,295.70              | \$1,185.00                                 | \$0.00                                  | \$110.70              | \$0.00                                    |
| <b>Security Subtotal</b>       | <b>81,000</b>        |                              |                             | <b>\$2,071.73</b>       | <b>\$1,919.70</b>                          | <b>\$0.00</b>                           | <b>\$152.03</b>       | <b>\$0.00</b>                             |
| ALLIANZ SE ADS                 |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                                | 32,000               | 02/16/12                     | 05/09/13                    | \$488.30                | \$374.40                                   | \$0.00                                  | \$113.90              | \$0.00                                    |
| ALLIED WORLD ASSUR CO HLDG LTD |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                                | 7,000                | 01/14/11                     | 05/02/13                    | \$622.11                | \$426.16                                   | \$0.00                                  | \$195.95              | \$0.00                                    |
| AMERICA MOVIL SA DE CV ADR L   |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                                | 1,000                | 04/15/11                     | 03/18/13                    | \$18.50                 | \$57.90                                    | \$0.00                                  | (\$39.40)             | \$0.00                                    |
|                                | 17,000               | 05/10/11                     | 03/18/13                    | \$314.54                | \$895.38                                   | \$0.00                                  | (\$580.84)            | \$0.00                                    |
|                                | 22,000               | 05/17/11                     | 03/18/13                    | \$407.05                | \$1,088.73                                 | \$0.00                                  | (\$681.68)            | \$0.00                                    |
|                                | 16,000               | 05/24/11                     | 03/18/13                    | \$296.04                | \$831.00                                   | \$0.00                                  | (\$534.96)            | \$0.00                                    |
|                                | 5,000                | 01/10/12                     | 03/18/13                    | \$92.51                 | \$113.78                                   | \$0.00                                  | (\$21.27)             | \$0.00                                    |
|                                | 36,000               | 01/10/12                     | 03/18/13                    | \$666.09                | \$819.18                                   | \$0.00                                  | (\$153.09)            | \$0.00                                    |
| <b>Security Subtotal</b>       | <b>97,000</b>        |                              |                             | <b>\$1,794.73</b>       | <b>\$3,805.97</b>                          | <b>\$0.00</b>                           | <b>(\$2,011.24)</b>   | <b>\$0.00</b>                             |
| ANHEUSER BUSCH INBEV SA SPON   |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                                | 3,000                | 05/04/12                     | 05/09/13                    | \$288.20                | \$221.55                                   | \$0.00                                  | \$66.65               | \$0.00                                    |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 57 of 116

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004

MARYLAND LLC, TTEE OF THE  
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14602 N TATUM BLVD  
PHOENIX AZ 85032-4816

Identification Number: 26-4310632

Taxpayer ID Number: 86-0937247  
Account Number: 003 113436 035

**Customer Service: 866-324-6088**

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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(Continued)

| DESCRIPTION (Box 8)        | QUANTITY<br>ACQUIRED<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|----------------------------|----------------------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| APPLE INC                  | 2.000                            | 01/14/11                     | 12/26/13                    | \$1,131.98              | \$689.81                                   | \$0.00                                  | \$442.17              | \$0.00                                    |
| APPLIED MATERIALS INC      | 98.000                           | 01/19/12                     | 04/11/13                    | \$1,351.69              | \$1,210.43                                 | \$0.00                                  | \$141.26              | \$0.00                                    |
|                            | 16.000                           | 03/12/12                     | 04/11/13                    | \$220.68                | \$197.76                                   | \$0.00                                  | \$22.92               | \$0.00                                    |
| Security Subtotal          | 114.000                          |                              |                             | \$1,572.37              | \$1,408.19                                 | \$0.00                                  | \$164.18              | \$0.00                                    |
| ARM HOLDINGS PLC ADS       | 40.000                           | 02/16/12                     | 02/21/13                    | \$1,673.50              | \$1,119.10                                 | \$0.00                                  | \$554.40              | \$0.00                                    |
|                            | 12.000                           | 02/16/12                     | 05/09/13                    | \$595.06                | \$335.73                                   | \$0.00                                  | \$259.33              | \$0.00                                    |
|                            | 99.000                           | 02/16/12                     | 06/19/13                    | \$3,702.24              | \$2,769.76                                 | \$0.00                                  | \$932.48              | \$0.00                                    |
| Security Subtotal          | 151.000                          |                              |                             | \$5,970.80              | \$4,224.59                                 | \$0.00                                  | \$1,746.21            | \$0.00                                    |
| ATLAS COPCO AS A ADR A NEW | 10.000                           | 02/16/12                     | 03/08/13                    | \$294.49                | \$254.80                                   | \$0.00                                  | \$39.69               | \$0.00                                    |
|                            | 15.000                           | 02/16/12                     | 05/09/13                    | \$394.79                | \$382.20                                   | \$0.00                                  | \$12.59               | \$0.00                                    |
| Security Subtotal          | 25.000                           |                              |                             | \$689.28                | \$637.00                                   | \$0.00                                  | \$52.28               | \$0.00                                    |
| BANK OF AMERICA CORP       | 52.000                           | 01/14/11                     | 02/04/13                    | \$602.27                | \$776.88                                   | \$0.00                                  | (\$174.61)            | \$0.00                                    |
|                            | 11.000                           | 02/11/11                     | 02/04/13                    | \$127.40                | \$160.49                                   | \$0.00                                  | (\$33.09)             | \$0.00                                    |
|                            | 7.000                            | 04/15/11                     | 02/04/13                    | \$81.07                 | \$92.81                                    | \$0.00                                  | (\$11.74)             | \$0.00                                    |
|                            | 46.000                           | 08/31/11                     | 02/04/13                    | \$532.77                | \$378.12                                   | \$0.00                                  | \$154.65              | \$0.00                                    |
|                            | 70.000                           | 12/01/11                     | 02/04/13                    | \$810.74                | \$376.60                                   | \$0.00                                  | \$434.14              | \$0.00                                    |
| Security Subtotal          | 186.000                          |                              |                             | \$2,154.25              | \$1,784.90                                 | \$0.00                                  | \$369.35              | \$0.00                                    |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

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Identification Number: 26-4310632

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)  
(Continued)

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|------------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|------------------------------------|-----------------------|-------------------------------------------|
| <b>BEST BUY CO</b>           |                      |                              |                             |                         |                                            |                                    |                       |                                           |
|                              |                      | CUSIP: 086516101             |                             | Symbol (Box 1d): BBY    |                                            |                                    |                       |                                           |
|                              | 12,000               | 01/14/11                     | 04/10/13                    | \$296.60                | \$427.92                                   | \$131.32                           | (\$131.32)            | \$0.00                                    |
|                              | 19,000               | 04/01/11                     | 04/10/13                    | \$469.62                | \$545.83                                   | \$76.21                            | (\$76.21)             | \$0.00                                    |
|                              | 2,000                | 10/07/11                     | 04/10/13                    | \$49.43                 | \$50.31                                    | \$0.00                             | (\$0.88)              | \$0.00                                    |
|                              | 12,000               | 02/17/12                     | 04/10/13                    | \$296.60                | \$309.00                                   | \$12.40                            | (\$12.40)             | \$0.00                                    |
|                              | 3,000                | 02/17/12                     | 04/10/13                    | \$74.15                 | \$77.25                                    | \$3.10                             | (\$3.10)              | \$0.00                                    |
|                              | 12,000               | 01/14/11                     | 08/20/13                    | \$404.12                | \$427.16                                   | \$0.00                             | (\$23.04)             | \$0.00                                    |
|                              | 19,000               | 04/01/11                     | 08/20/13                    | \$639.86                | \$544.63                                   | \$0.00                             | \$95.23               | \$0.00                                    |
|                              | 3,000                | 02/17/12                     | 08/20/13                    | \$101.03                | \$77.06                                    | \$0.00                             | \$23.97               | \$0.00                                    |
|                              | 12,000               | 02/17/12                     | 10/17/13                    | \$510.59                | \$312.21                                   | \$0.00                             | \$198.38              | \$0.00                                    |
| <b>Security Subtotal</b>     | <b>94,000</b>        |                              |                             | <b>\$2,842.00</b>       | <b>\$2,771.37</b>                          | <b>\$223.03</b>                    | <b>\$70.63</b>        | <b>\$0.00</b>                             |
| <b>BIOGEN IDEC INC</b>       |                      |                              |                             |                         |                                            |                                    |                       |                                           |
|                              |                      | CUSIP: 09062X103             |                             | Symbol (Box 1d): BIIB   |                                            |                                    |                       |                                           |
|                              | 1,000                | 10/06/11                     | 03/08/13                    | \$172.08                | \$99.39                                    | \$0.00                             | \$72.69               | \$0.00                                    |
|                              | 3,000                | 10/06/11                     | 04/24/13                    | \$619.82                | \$298.17                                   | \$0.00                             | \$321.65              | \$0.00                                    |
|                              | 5,000                | 10/06/11                     | 05/02/13                    | \$1,087.46              | \$496.96                                   | \$0.00                             | \$590.50              | \$0.00                                    |
|                              | 1,000                | 10/06/11                     | 05/09/13                    | \$208.94                | \$99.39                                    | \$0.00                             | \$109.55              | \$0.00                                    |
|                              | 5,000                | 10/06/11                     | 05/10/13                    | \$1,057.19              | \$496.96                                   | \$0.00                             | \$560.23              | \$0.00                                    |
|                              | 1,000                | 10/06/11                     | 07/30/13                    | \$216.15                | \$99.39                                    | \$0.00                             | \$116.76              | \$0.00                                    |
|                              | 2,000                | 01/11/12                     | 07/30/13                    | \$432.29                | \$231.99                                   | \$0.00                             | \$200.30              | \$0.00                                    |
| <b>Security Subtotal</b>     | <b>18,000</b>        |                              |                             | <b>\$3,793.93</b>       | <b>\$1,822.25</b>                          | <b>\$0.00</b>                      | <b>\$1,971.68</b>     | <b>\$0.00</b>                             |
| <b>BOSTON PROPERTIES INC</b> |                      |                              |                             |                         |                                            |                                    |                       |                                           |
|                              |                      | CUSIP: 101121101             |                             | Symbol (Box 1d): BXP    |                                            |                                    |                       |                                           |
|                              | 4,000                | 01/14/11                     | 02/14/13                    | \$427.17                | \$352.80                                   | \$0.00                             | \$74.37               | \$0.00                                    |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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**1099 Consolidated Tax Statement**  
**Tax Year 2013 Copy B For Recipient**

Morgan Stanley Smith Barney Holdings LLC  
 1 New York Plaza  
 8th Floor  
 New York, NY 10004

Identification Number: 26-4310632

MARYLAND LLC, TTEE OF THE  
 JAMES ARTHUR RAE CHARITABLE  
 TST UAD 8-20-1965 AS AMENDED  
 14602 N. TATUM BLVD.  
 PHOENIX AZ 85032-4816

Taxpayer ID Number: 86-0937247  
 Account Number: 003 113436 035

**Customer Service: 866-324-6088**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)  
 (Continued)

| DESCRIPTION (Box 8)         | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|-----------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| BUCKLE INC(THE)             |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                             | 5 000                | 08/31/11                     | 05/09/13                    | \$257.50                | \$205.76                                   | \$0.00                                  | \$51.74               | \$0.00                                    |
|                             |                      | CUSIP: 118440106             | Symbol (Box 1d): BKE        |                         |                                            |                                         |                       |                                           |
| CABOT OIL & GAS CORP A      |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                             | 42 000               | 06/27/12                     | 09/26/13                    | \$1,554.57              | \$851.41                                   | \$0.00                                  | \$703.16              | \$0.00                                    |
|                             | 13 000               | 06/28/12                     | 09/26/13                    | \$481.18                | \$265.25                                   | \$0.00                                  | \$215.93              | \$0.00                                    |
|                             | 63 000               | 06/28/12                     | 09/26/13                    | \$2,304.52              | \$1,285.43                                 | \$0.00                                  | \$1,019.09            | \$0.00                                    |
|                             | 2 000                | 08/24/12                     | 09/26/13                    | \$73.16                 | \$40.66                                    | \$0.00                                  | \$32.50               | \$0.00                                    |
|                             | 120 000              |                              |                             | \$4,413.43              | \$2,442.75                                 | \$0.00                                  | \$1,970.68            | \$0.00                                    |
| Security Subtotal           |                      |                              |                             |                         |                                            |                                         |                       |                                           |
| CACI INTERNATIONAL INC CL A |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                             | 5 000                | 02/09/12                     | 05/09/13                    | \$304.24                | \$297.07                                   | \$0.00                                  | \$7.17                | \$0.00                                    |
|                             | 8 000                | 02/09/12                     | 05/31/13                    | \$515.20                | \$475.31                                   | \$0.00                                  | \$39.89               | \$0.00                                    |
|                             | 15 000               | 02/16/12                     | 05/31/13                    | \$966.00                | \$890.85                                   | \$0.00                                  | \$75.15               | \$0.00                                    |
|                             | 3 000                | 02/17/12                     | 05/31/13                    | \$193.20                | \$177.75                                   | \$0.00                                  | \$15.45               | \$0.00                                    |
|                             | 31 000               |                              |                             | \$1,978.64              | \$1,840.98                                 | \$0.00                                  | \$137.66              | \$0.00                                    |
| Security Subtotal           |                      |                              |                             |                         |                                            |                                         |                       |                                           |
| CANADIAN NATL RAILWAY CO    |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                             | 3 000                | 02/16/12                     | 05/09/13                    | \$303.71                | \$230.95                                   | \$0.00                                  | \$72.76               | \$0.00                                    |
|                             |                      | CUSIP: 136375102             | Symbol (Box 1d): CNI        |                         |                                            |                                         |                       |                                           |
| CELGENE CORP                |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                             | 1 000                | 09/21/11                     | 03/08/13                    | \$110.72                | \$65.00                                    | \$0.00                                  | \$45.72               | \$0.00                                    |
|                             | 2 000                | 09/21/11                     | 04/24/13                    | \$234.43                | \$130.00                                   | \$0.00                                  | \$104.43              | \$0.00                                    |
|                             | 2 000                | 09/21/11                     | 05/09/13                    | \$245.81                | \$130.00                                   | \$0.00                                  | \$115.81              | \$0.00                                    |
|                             | 8 000                | 09/21/11                     | 08/13/13                    | \$1,102.04              | \$520.00                                   | \$0.00                                  | \$582.04              | \$0.00                                    |
|                             | 13 000               |                              |                             | \$1,693.00              | \$845.00                                   | \$0.00                                  | \$848.00              | \$0.00                                    |
| Security Subtotal           |                      |                              |                             |                         |                                            |                                         |                       |                                           |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: 86-0937247  
Account Number: 003 113436 035  
**Customer Service: 866-324-6088**

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TST UAD 8-20-1965 AS AMENDED  
14602 N TATUM BLVD.  
PHOENIX AZ 85032-4816

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked )  
(Continued)

| DESCRIPTION (Box 8)      | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|--------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|------------------------------------|-----------------------|-------------------------------------------|
| CENTURYLINK INC          | CUSIP: 156700106     | Symbol (Box 1d): CTL         |                             |                         |                                            |                                    |                       |                                           |
|                          | 20,000               | 01/20/12                     | 05/09/13                    | \$745.98                | \$759.68                                   | \$0.00                             | (\$13.70)             | \$0.00                                    |
| CISCO SYS INC            | CUSIP: 17275R102     | Symbol (Box 1d): CSCQ        |                             |                         |                                            |                                    |                       |                                           |
|                          | 35,000               | 01/07/11                     | 01/29/13                    | \$730.44                | \$855.66                                   | \$0.00                             | (\$125.22)            | \$0.00                                    |
|                          | 4,000                | 01/07/11                     | 03/08/13                    | \$87.35                 | \$97.79                                    | \$0.00                             | (\$10.44)             | \$0.00                                    |
|                          | 16,000               | 01/07/11                     | 05/09/13                    | \$334.07                | \$391.16                                   | \$0.00                             | (\$57.09)             | \$0.00                                    |
|                          | 33,000               | 01/07/11                     | 08/14/13                    | \$857.65                | \$806.76                                   | \$0.00                             | \$50.89               | \$0.00                                    |
|                          | 12,000               | 02/09/11                     | 08/14/13                    | \$311.87                | \$263.74                                   | \$0.00                             | \$48.13               | \$0.00                                    |
| <b>Security Subtotal</b> | <b>100,000</b>       |                              |                             | <b>\$2,321.38</b>       | <b>\$2,415.11</b>                          | <b>\$0.00</b>                      | <b>(\$93.73)</b>      | <b>\$0.00</b>                             |
| COCHLEAR LTD UNSPON ADR  | CUSIP: 191459205     | Symbol (Box 1d): CHEOY       |                             |                         |                                            |                                    |                       |                                           |
|                          | 4,000                | 02/16/12                     | 03/08/13                    | \$144.19                | \$131.04                                   | \$0.00                             | \$13.15               | \$0.00                                    |
|                          | 11,000               | 02/16/12                     | 05/09/13                    | \$382.13                | \$360.36                                   | \$0.00                             | \$21.77               | \$0.00                                    |
| <b>Security Subtotal</b> | <b>15,000</b>        |                              |                             | <b>\$526.32</b>         | <b>\$491.40</b>                            | <b>\$0.00</b>                      | <b>\$34.92</b>        | <b>\$0.00</b>                             |
| COMERICA INC             | CUSIP: 200340107     | Symbol (Box 1d): CMA         |                             |                         |                                            |                                    |                       |                                           |
|                          | 5,000                | 01/14/11                     | 01/15/13                    | \$157.34                | \$210.60                                   | \$0.00                             | (\$53.26)             | \$0.00                                    |
|                          | 3,000                | 01/14/11                     | 05/08/13                    | \$112.32                | \$126.36                                   | \$0.00                             | (\$14.04)             | \$0.00                                    |
|                          | 3,000                | 04/15/11                     | 05/08/13                    | \$112.32                | \$114.08                                   | \$0.00                             | (\$1.76)              | \$0.00                                    |
|                          | 16,000               | 08/12/11                     | 05/08/13                    | \$599.06                | \$396.32                                   | \$0.00                             | \$202.74              | \$0.00                                    |
| <b>Security Subtotal</b> | <b>27,000</b>        |                              |                             | <b>\$981.04</b>         | <b>\$847.36</b>                            | <b>\$0.00</b>                      | <b>\$133.68</b>       | <b>\$0.00</b>                             |
| CSL LTD UNSPON ADR       | CUSIP: 12637N105     | Symbol (Box 1d): CMXHY       |                             |                         |                                            |                                    |                       |                                           |
|                          | 7,000                | 02/16/12                     | 03/08/13                    | \$213.21                | \$114.52                                   | \$0.00                             | \$98.69               | \$0.00                                    |
|                          | 17,000               | 02/16/12                     | 05/09/13                    | \$517.97                | \$278.12                                   | \$0.00                             | \$239.85              | \$0.00                                    |
| <b>Security Subtotal</b> | <b>24,000</b>        |                              |                             | <b>\$731.18</b>         | <b>\$392.64</b>                            | <b>\$0.00</b>                      | <b>\$338.54</b>       | <b>\$0.00</b>                             |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TST UAD 8-20-1965 AS AMENDED  
14602 N TATUM BLVD.  
PHOENIX AZ 85032-4816

Identification Number: 26-4310632

Taxpayer ID Number 86-0937247  
Account Number: 003 113436 035

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked ) (Continued)

| DESCRIPTION (Box 8)       | QUANTITY<br>ACQUIRED<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SYMBOL (Box 1d)  | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|---------------------------|----------------------------------|------------------------------|-----------------------------|------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| DARDEN RESTAURANTS        |                                  |                              |                             | CUSIP: 237194105 | Symbol (Box 1d): DRI    |                                            |                                         |                       |                                           |
|                           | 15,000                           | 01/14/11                     | 10/10/13                    |                  | \$735.01                | \$691.91                                   | \$0.00                                  | \$43.10               | \$0.00                                    |
|                           | 1,000                            | 08/24/12                     | 10/10/13                    |                  | \$49.00                 | \$51.49                                    | \$0.00                                  | (\$2.49)              | \$0.00                                    |
| Security Subtotal         | 16,000                           |                              |                             |                  | \$784.01                | \$743.40                                   | \$0.00                                  | \$40.61               | \$0.00                                    |
| DASSAULT SYSTEMS SA ADS   |                                  |                              |                             | CUSIP: 237545108 | Symbol (Box 1d): DASTY  |                                            |                                         |                       |                                           |
|                           | 3,000                            | 02/16/12                     | 03/08/13                    |                  | \$346.34                | \$246.57                                   | \$0.00                                  | \$99.77               | \$0.00                                    |
|                           | 5,000                            | 02/16/12                     | 05/09/13                    |                  | \$597.48                | \$410.95                                   | \$0.00                                  | \$186.53              | \$0.00                                    |
| Security Subtotal         | 8,000                            |                              |                             |                  | \$943.82                | \$657.52                                   | \$0.00                                  | \$286.30              | \$0.00                                    |
| DBS GROUP HOLDINGS LTD SP |                                  |                              |                             | CUSIP: 23304Y100 | Symbol (Box 1d): DBSDY  |                                            |                                         |                       |                                           |
|                           | 3,000                            | 02/16/12                     | 03/08/13                    |                  | \$149.81                | \$131.22                                   | \$0.00                                  | \$18.59               | \$0.00                                    |
|                           | 5,000                            | 02/16/12                     | 05/09/13                    |                  | \$286.59                | \$218.70                                   | \$0.00                                  | \$67.89               | \$0.00                                    |
| Security Subtotal         | 8,000                            |                              |                             |                  | \$436.40                | \$349.92                                   | \$0.00                                  | \$86.48               | \$0.00                                    |
| DOVER CORP                |                                  |                              |                             | CUSIP: 260003108 | Symbol (Box 1d): DOV    |                                            |                                         |                       |                                           |
|                           | 4,000                            | 11/22/11                     | 03/08/13                    |                  | \$294.51                | \$207.10                                   | \$0.00                                  | \$87.41               | \$0.00                                    |
|                           | 19,000                           | 11/22/11                     | 04/03/13                    |                  | \$1,348.27              | \$983.72                                   | \$0.00                                  | \$364.55              | \$0.00                                    |
|                           | 4,000                            | 11/22/11                     | 05/09/13                    |                  | \$293.07                | \$207.10                                   | \$0.00                                  | \$85.97               | \$0.00                                    |
|                           | 6,000                            | 11/22/11                     | 08/14/13                    |                  | \$529.95                | \$310.65                                   | \$0.00                                  | \$219.30              | \$0.00                                    |
| Security Subtotal         | 33,000                           |                              |                             |                  | \$2,465.80              | \$1,708.57                                 | \$0.00                                  | \$757.23              | \$0.00                                    |
| EBAY INC                  |                                  |                              |                             | CUSIP: 278642103 | Symbol (Box 1d): EBAY   |                                            |                                         |                       |                                           |
|                           | 6,000                            | 04/19/12                     | 05/09/13                    |                  | \$330.59                | \$246.00                                   | \$0.00                                  | \$84.59               | \$0.00                                    |
|                           | 22,000                           | 04/19/12                     | 12/26/13                    |                  | \$1,187.81              | \$902.00                                   | \$0.00                                  | \$285.81              | \$0.00                                    |
| Security Subtotal         | 28,000                           |                              |                             |                  | \$1,518.40              | \$1,148.00                                 | \$0.00                                  | \$370.40              | \$0.00                                    |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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**Tax Year 2013 Copy B For Recipient**

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 1 New York Plaza  
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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Long Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)  
 (Continued)

| DESCRIPTION (Box 8)      | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|--------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| ECOLAB INC               | CUSIP: 278865100     | Symbol (Box 1d): ECL         |                             |                         |                                            |                                         |                       |                                           |
|                          | 2.000                | 01/14/11                     | 06/04/13                    | \$168.79                | \$97.12                                    | \$0.00                                  | \$71.67               | \$0.00                                    |
| EDWARD LIFESCIENCES CORP | CUSIP: 28176E108     | Symbol (Box 1d): EW          |                             |                         |                                            |                                         |                       |                                           |
|                          | 4.000                | 06/06/11                     | 01/29/13                    | \$362.19                | \$343.92                                   | \$0.00                                  | \$18.27               | \$0.00                                    |
|                          | 1.000                | 06/06/11                     | 01/30/13                    | \$90.45                 | \$85.98                                    | \$0.00                                  | \$4.47                | \$0.00                                    |
|                          | 11.000               | 08/15/11                     | 01/30/13                    | \$994.99                | \$759.00                                   | \$0.00                                  | \$235.99              | \$0.00                                    |
|                          | 1.000                | 08/16/11                     | 01/30/13                    | \$90.46                 | \$68.32                                    | \$0.00                                  | \$22.14               | \$0.00                                    |
|                          | 5.000                | 08/16/11                     | 02/06/13                    | \$423.46                | \$341.60                                   | \$0.00                                  | \$81.86               | \$0.00                                    |
|                          | 16.000               | 09/29/11                     | 02/06/13                    | \$1,355.08              | \$1,119.53                                 | \$0.00                                  | \$235.55              | \$0.00                                    |
| <b>Security Subtotal</b> | <b>38.000</b>        |                              |                             | <b>\$3,316.63</b>       | <b>\$2,718.35</b>                          | <b>\$0.00</b>                           | <b>\$598.28</b>       | <b>\$0.00</b>                             |
| EMC CORP MASS            | CUSIP: 268648102     | Symbol (Box 1d): EMC         |                             |                         |                                            |                                         |                       |                                           |
|                          | 5.000                | 01/14/11                     | 04/10/13                    | \$116.40                | \$121.65                                   | \$5.25                                  | (\$5.25)              | \$0.00                                    |
|                          | 26.000               | 01/14/11                     | 04/10/13                    | \$605.31                | \$632.60                                   | \$27.29                                 | (\$27.29)             | \$0.00                                    |
|                          | 13.000               | 04/15/11                     | 04/10/13                    | \$302.66                | \$347.75                                   | \$45.09                                 | (\$45.09)             | \$0.00                                    |
|                          | 21.000               | 12/22/11                     | 04/10/13                    | \$488.90                | \$456.94                                   | \$0.00                                  | \$31.96               | \$0.00                                    |
| <b>Security Subtotal</b> | <b>65.000</b>        |                              |                             | <b>\$1,513.27</b>       | <b>\$1,558.94</b>                          | <b>\$77.63</b>                          | <b>(\$45.67)</b>      | <b>\$0.00</b>                             |
| ENERGEN CORP             | CUSIP: 29265N108     | Symbol (Box 1d): EGN         |                             |                         |                                            |                                         |                       |                                           |
|                          | 17.000               | 07/21/11                     | 07/25/13                    | \$959.20                | \$1,053.26                                 | \$0.00                                  | (\$94.06)             | \$0.00                                    |
|                          | 2.000                | 10/07/11                     | 07/25/13                    | \$112.85                | \$86.56                                    | \$0.00                                  | \$26.29               | \$0.00                                    |
|                          | 1.000                | 10/07/11                     | 07/25/13                    | \$56.42                 | \$44.34                                    | \$0.00                                  | \$12.08               | \$0.00                                    |
|                          | 2.000                | 10/07/11                     | 07/25/13                    | \$112.85                | \$88.67                                    | \$0.00                                  | \$24.18               | \$0.00                                    |
| <b>Security Subtotal</b> | <b>22.000</b>        |                              |                             | <b>\$1,241.32</b>       | <b>\$1,272.83</b>                          | <b>\$0.00</b>                           | <b>(\$31.51)</b>      | <b>\$0.00</b>                             |

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**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004

Identification Number: 26-4310632

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TST UAD 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD.  
PHOENIX AZ 85032-4816

Taxpayer ID Number: 86-0937247  
Account Number: 003 113436 035

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 8b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked ) (Continued)

| DESCRIPTION (Box 8)         | QUANTITY ACQUIRED (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|-----------------------------|----------------------------|------------------------|-----------------------|----------------------|--------------------------------------|-----------------------------------|--------------------|-------------------------------------|
| ENTERGY CORP NEW            | 4 000                      | 01/07/11               | 03/08/13              | \$252.87             | \$288.84                             | \$0.00                            | (\$35.97)          | \$0.00                              |
| EXPRESS SCRIPTS HLDG CO COM | 6 000                      | 01/14/11               | 03/06/13              | \$348.65             | \$346.20                             | \$0.00                            | \$2.45             | \$0.00                              |
|                             | 5 000                      | 01/14/11               | 06/05/13              | \$308.72             | \$288.50                             | \$0.00                            | \$20.22            | \$0.00                              |
|                             | 6 000                      | 04/15/11               | 06/05/13              | \$370.47             | \$329.54                             | \$0.00                            | \$40.93            | \$0.00                              |
|                             | 9 000                      | 11/10/11               | 06/05/13              | \$555.70             | \$418.50                             | \$0.00                            | \$137.20           | \$0.00                              |
|                             | 21 000                     | 11/10/11               | 08/08/13              | \$1,391.98           | \$976.50                             | \$0.00                            | \$415.48           | \$0.00                              |
|                             | 4 000                      | 05/11/12               | 08/08/13              | \$285.14             | \$224.91                             | \$0.00                            | \$40.23            | \$0.00                              |
|                             | 5 000                      | 05/11/12               | 08/09/13              | \$327.57             | \$281.13                             | \$0.00                            | \$46.44            | \$0.00                              |
|                             | 22 000                     | 05/17/12               | 08/09/13              | \$1,441.29           | \$1,153.64                           | \$0.00                            | \$287.65           | \$0.00                              |
|                             | 8 000                      | 06/22/12               | 08/09/13              | \$524.11             | \$423.82                             | \$0.00                            | \$100.29           | \$0.00                              |
| Security Subtotal           | 86.000                     |                        |                       | \$5,533.63           | \$4,442.74                           | \$0.00                            | \$1,090.89         | \$0.00                              |
| EXXON MOBIL CORP            | 4 000                      | 04/01/11               | 05/09/13              | \$364.23             | \$337.60                             | \$0.00                            | \$26.63            | \$0.00                              |
| FAMILY DOLLAR STORES        | 26.000                     | 10/03/12               | 12/12/13              | \$1,668.19           | \$1,781.66                           | \$0.00                            | (\$113.47)         | \$0.00                              |
| FIFTH 3RD BANCORP OHIO      | 22.000                     | 04/21/11               | 05/09/13              | \$387.00             | \$287.34                             | \$0.00                            | \$99.66            | \$0.00                              |
|                             | 61.000                     | 04/21/11               | 06/03/13              | \$1,090.80           | \$796.72                             | \$0.00                            | \$294.08           | \$0.00                              |
|                             | 4.000                      | 06/21/11               | 06/03/13              | \$71.53              | \$50.56                              | \$0.00                            | \$20.97            | \$0.00                              |
| Security Subtotal           | 87.000                     |                        |                       | \$1,549.33           | \$1,134.62                           | \$0.00                            | \$414.71           | \$0.00                              |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TST UAD 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD.  
PHOENIX AZ 85032-4816

Morgan Stanley Smith Barney Holdings LLC  
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8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: 86-0937247  
Account Number: 003 113436 035  
**Customer Service: 866-324-6088**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked )  
(Continued)

| DESCRIPTION (Box 8)     | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|-------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|------------------------------------|-----------------------|-------------------------------------------|
| FIRST HORIZON NATL CORP |                      |                              |                             |                         |                                            |                                    |                       |                                           |
|                         |                      | CUSIP: 320517105             |                             | Symbol (Box 1d): FHN    |                                            |                                    |                       |                                           |
|                         | 85,000               | 08/29/12                     | 09/20/13                    | \$960.79                | \$760.59                                   | \$0.00                             | \$200.20              | \$0.00                                    |
|                         | 41,000               | 08/29/12                     | 12/04/13                    | \$453.04                | \$366.87                                   | \$0.00                             | \$86.17               | \$0.00                                    |
| Security Subtotal       | 126,000              |                              |                             | \$1,413.83              | \$1,127.46                                 | \$0.00                             | \$286.37              | \$0.00                                    |
| FLUOR CORP NEW          |                      |                              |                             |                         |                                            |                                    |                       |                                           |
|                         |                      | CUSIP: 343412102             |                             | Symbol (Box 1d): FLR    |                                            |                                    |                       |                                           |
|                         | 8,000                | 01/14/11                     | 04/15/13                    | \$446.00                | \$562.93                                   | \$0.00                             | (\$116.93)            | \$0.00                                    |
|                         | 7,000                | 04/15/11                     | 04/15/13                    | \$390.24                | \$470.98                                   | \$0.00                             | (\$80.74)             | \$0.00                                    |
| Security Subtotal       | 15,000               |                              |                             | \$836.24                | \$1,033.91                                 | \$0.00                             | (\$197.67)            | \$0.00                                    |
| FMC TECHNOLOGIES INC    |                      |                              |                             |                         |                                            |                                    |                       |                                           |
|                         |                      | CUSIP: 30249U101             |                             | Symbol (Box 1d): FTI    |                                            |                                    |                       |                                           |
|                         | 6,000                | 01/14/11                     | 10/28/13                    | \$311.50                | \$262.69                                   | \$0.00                             | \$48.81               | \$0.00                                    |
|                         | 8,000                | 04/15/11                     | 10/28/13                    | \$415.33                | \$365.69                                   | \$0.00                             | \$49.64               | \$0.00                                    |
|                         | 4,000                | 04/15/11                     | 10/31/13                    | \$204.01                | \$182.84                                   | \$0.00                             | \$21.17               | \$0.00                                    |
|                         | 27,000               | 05/04/11                     | 10/31/13                    | \$1,377.04              | \$1,189.75                                 | \$0.00                             | \$187.29              | \$0.00                                    |
| Security Subtotal       | 45,000               |                              |                             | \$2,307.88              | \$2,000.97                                 | \$0.00                             | \$306.91              | \$0.00                                    |
| FOSSIL GROUP INC COM    |                      |                              |                             |                         |                                            |                                    |                       |                                           |
|                         |                      | CUSIP: 34988V106             |                             | Symbol (Box 1d): FOSL   |                                            |                                    |                       |                                           |
|                         | 5,000                | 02/16/12                     | 10/15/13                    | \$591.51                | \$502.24                                   | \$0.00                             | \$89.27               | \$0.00                                    |
|                         | 5,000                | 02/16/12                     | 10/15/13                    | \$591.51                | \$502.24                                   | \$0.00                             | \$89.27               | \$0.00                                    |
|                         | 2,000                | 03/09/12                     | 10/15/13                    | \$236.61                | \$236.82                                   | \$0.00                             | (\$0.21)              | \$0.00                                    |
|                         | 2,000                | 05/29/12                     | 10/15/13                    | \$236.61                | \$143.86                                   | \$0.00                             | \$92.75               | \$0.00                                    |
| Security Subtotal       | 14,000               |                              |                             | \$1,656.24              | \$1,385.16                                 | \$0.00                             | \$271.08              | \$0.00                                    |
| FOSSIL INC              |                      |                              |                             |                         |                                            |                                    |                       |                                           |
|                         |                      | CUSIP: 349882100             |                             | Symbol (Box 1d): FOSL   |                                            |                                    |                       |                                           |
|                         | 1,000                | 02/03/12                     | 02/07/13                    | \$103.77                | \$100.55                                   | \$0.00                             | \$3.22                | \$0.00                                    |
|                         | 2,000                | 02/03/12                     | 02/07/13                    | \$207.54                | \$201.10                                   | \$0.00                             | \$6.44                | \$0.00                                    |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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**1099 Consolidated Tax Statement**  
**Tax Year 2013 Copy B For Recipient**

Morgan Stanley Smith Barney Holdings LLC  
 1 New York Plaza  
 8th Floor

MARYLAND LLC, TTEE OF THE  
 JAMES ARTHUR RAE CHARITABLE  
 TRUST UAD 8-20-1965 AS AMENDED  
 14602 N. TATUM BLVD.  
 PHOENIX AZ 85032-4816

New York, NY 10004  
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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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|------------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| FOSSIL INC                   | 3 000                | 02/03/12                     | 02/07/13                    | \$311.31                | \$301.64                                   | \$0.00                                  | \$9.67                | \$0.00                                    |
|                              | 3 000                | 02/03/12                     | 02/07/13                    | \$311.31                | \$301.64                                   | \$0.00                                  | \$9.67                | \$0.00                                    |
|                              | 2 000                | 02/03/12                     | 02/07/13                    | \$207.54                | \$201.09                                   | \$0.00                                  | \$6.45                | \$0.00                                    |
| <b>Security Subtotal</b>     | <b>11 000</b>        |                              |                             | <b>\$1,141.47</b>       | <b>\$1,106.02</b>                          | <b>\$0.00</b>                           | <b>\$35.45</b>        | <b>\$0.00</b>                             |
| FRANKLIN RESOURCES INC       |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                              | 2 000                | 01/14/11                     | 07/17/13                    | \$292.30                | \$237.38                                   | \$0.00                                  | \$54.92               | \$0.00                                    |
|                              | 6 000                | 04/15/11                     | 07/25/13                    | \$869.45                | \$735.43                                   | \$0.00                                  | \$134.02              | \$0.00                                    |
|                              | 3 000                | 09/13/11                     | 07/25/13                    | \$434.72                | \$337.50                                   | \$0.00                                  | \$97.22               | \$0.00                                    |
| <b>Security Subtotal</b>     | <b>11 000</b>        |                              |                             | <b>\$1,596.47</b>       | <b>\$1,310.31</b>                          | <b>\$0.00</b>                           | <b>\$286.16</b>       | <b>\$0.00</b>                             |
| FREEPORT MCMORAN CP&GLD      |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                              | 10 000               | 06/06/11                     | 05/09/13                    | \$326.99                | \$498.78                                   | \$171.79                                | (\$171.79)            | \$0.00                                    |
|                              | 25 000               | 06/06/11                     | 08/14/13                    | \$788.79                | \$1,246.94                                 | \$458.15                                | (\$458.15)            | \$0.00                                    |
|                              | 5 000                | 06/09/11                     | 08/14/13                    | \$157.76                | \$248.57                                   | \$90.81                                 | (\$90.81)             | \$0.00                                    |
|                              | 11 000               | 06/09/11                     | 10/07/13                    | \$370.64                | \$546.85                                   | \$0.00                                  | (\$176.21)            | \$0.00                                    |
|                              | 26 000               | 06/10/11                     | 10/07/13                    | \$876.07                | \$1,279.01                                 | \$0.00                                  | (\$402.94)            | \$0.00                                    |
| <b>Security Subtotal</b>     | <b>77 000</b>        |                              |                             | <b>\$2,520.25</b>       | <b>\$3,820.15</b>                          | <b>\$720.75</b>                         | <b>(\$1,299.90)</b>   | <b>\$0.00</b>                             |
| FRESENIUS MEDICAL CARE AG&CO |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                              | 12 000               | 02/16/12                     | 03/08/13                    | \$397.75                | \$434.87                                   | \$0.00                                  | (\$37.12)             | \$0.00                                    |
|                              | 10 000               | 02/16/12                     | 05/09/13                    | \$353.49                | \$362.39                                   | \$8.90                                  | (\$8.90)              | \$0.00                                    |
|                              | 43 000               | 02/16/12                     | 10/09/13                    | \$1,367.31              | \$1,558.28                                 | \$0.00                                  | (\$190.97)            | \$0.00                                    |
|                              | 39 000               | 02/16/12                     | 10/10/13                    | \$1,233.71              | \$1,413.32                                 | \$0.00                                  | (\$179.61)            | \$0.00                                    |
| <b>Security Subtotal</b>     | <b>104 000</b>       |                              |                             | <b>\$3,352.25</b>       | <b>\$3,768.86</b>                          | <b>\$8.90</b>                           | <b>(\$416.60)</b>     | <b>\$0.00</b>                             |

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**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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|--------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| GENERAL ELECTRIC CO      |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                          |                      | CUSIP: 369604103             | Symbol (Box 1d): GE         |                         |                                            |                                         |                       |                                           |
|                          | 32.000               | 01/14/11                     | 06/26/13                    | \$746.62                | \$599.04                                   | \$0.00                                  | \$147.58              | \$0.00                                    |
|                          | 2.000                | 01/14/11                     | 07/26/13                    | \$49.08                 | \$37.44                                    | \$0.00                                  | \$11.64               | \$0.00                                    |
|                          | 8.000                | 04/15/11                     | 07/26/13                    | \$196.32                | \$160.56                                   | \$0.00                                  | \$35.76               | \$0.00                                    |
|                          | 49.000               | 09/28/11                     | 07/26/13                    | \$1,202.44              | \$778.52                                   | \$0.00                                  | \$423.92              | \$0.00                                    |
|                          | 25.000               | 02/01/12                     | 07/26/13                    | \$613.49                | \$474.45                                   | \$0.00                                  | \$139.04              | \$0.00                                    |
|                          | 21.000               | 02/16/12                     | 07/26/13                    | \$515.33                | \$398.95                                   | \$0.00                                  | \$116.38              | \$0.00                                    |
|                          | 44.000               | 02/17/12                     | 07/26/13                    | \$1,079.74              | \$846.12                                   | \$0.00                                  | \$233.62              | \$0.00                                    |
|                          | 20.000               | 02/28/12                     | 07/26/13                    | \$490.79                | \$383.16                                   | \$0.00                                  | \$107.63              | \$0.00                                    |
| <b>Security Subtotal</b> | <b>201.000</b>       |                              |                             | <b>\$4,893.81</b>       | <b>\$3,678.24</b>                          | <b>\$0.00</b>                           | <b>\$1,215.57</b>     | <b>\$0.00</b>                             |
| GILDAN ACTIVEWEAR INC    |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                          |                      | CUSIP: 375916103             | Symbol (Box 1d): GIL        |                         |                                            |                                         |                       |                                           |
|                          | 7.000                | 01/14/11                     | 10/22/13                    | \$335.45                | \$197.82                                   | \$0.00                                  | \$137.63              | \$0.00                                    |
| GOLDMAN SACHS GRP INC    |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                          |                      | CUSIP: 38141G104             | Symbol (Box 1d): GS         |                         |                                            |                                         |                       |                                           |
|                          | 8.000                | 01/07/11                     | 02/04/13                    | \$1,185.22              | \$1,485.75                                 | \$0.00                                  | (\$300.53)            | \$0.00                                    |
|                          | 4.000                | 01/14/11                     | 02/04/13                    | \$592.61                | \$693.85                                   | \$0.00                                  | (\$101.24)            | \$0.00                                    |
|                          | 1.000                | 01/07/11                     | 05/09/13                    | \$148.86                | \$185.72                                   | \$0.00                                  | (\$36.86)             | \$0.00                                    |
|                          | 1.000                | 02/17/12                     | 05/09/13                    | \$148.85                | \$115.71                                   | \$0.00                                  | \$33.14               | \$0.00                                    |
|                          | 5.000                | 01/07/11                     | 06/03/13                    | \$801.59                | \$928.48                                   | \$0.00                                  | (\$126.89)            | \$0.00                                    |
|                          | 4.000                | 02/17/12                     | 06/03/13                    | \$641.28                | \$462.84                                   | \$0.00                                  | \$178.44              | \$0.00                                    |
| <b>Security Subtotal</b> | <b>23.000</b>        |                              |                             | <b>\$3,518.41</b>       | <b>\$3,872.35</b>                          | <b>\$0.00</b>                           | <b>(\$353.94)</b>     | <b>\$0.00</b>                             |
| HAIN CELESTIAL GROUP INC |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                          |                      | CUSIP: 405217100             | Symbol (Box 1d): HAIN       |                         |                                            |                                         |                       |                                           |
|                          | 4.000                | 02/07/12                     | 05/09/13                    | \$249.47                | \$166.02                                   | \$0.00                                  | \$83.45               | \$0.00                                    |

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**Customer Service: 866-324-6088**

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TST UAD 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD.  
PHOENIX AZ 85032-4816

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked )  
(Continued)

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|--------------------------------|----------------------------------|------------------------------|-----------------------------|-----------------------|-------------------------|--------------------------------------------|------------------------------------|-----------------------|-------------------------------------------|
| HARMAN INTL INDS NEW           | CUSIP: 413086109                 |                              |                             | Symbol (Box 1d): HAR  |                         |                                            |                                    |                       |                                           |
|                                | 4 000                            | 05/18/11                     | 08/27/13                    | \$261.81              | \$193.99                | \$0.00                                     | \$0.00                             | \$67.82               | \$0.00                                    |
|                                | 10 000                           | 08/11/11                     | 08/27/13                    | \$654.51              | \$317.88                | \$0.00                                     | \$0.00                             | \$336.63              | \$0.00                                    |
| Security Subtotal              | 14 000                           |                              |                             | \$916.32              | \$511.87                | \$0.00                                     | \$0.00                             | \$404.45              | \$0.00                                    |
| HEALTH CARE REIT INC           | CUSIP: 42217K106                 |                              |                             | Symbol (Box 1d): HCN  |                         |                                            |                                    |                       |                                           |
|                                | 5 000                            | 01/14/11                     | 06/12/13                    | \$327.14              | \$222.94                | \$0.00                                     | \$0.00                             | \$104.20              | \$0.00                                    |
|                                | 3 000                            | 04/15/11                     | 06/12/13                    | \$196.29              | \$149.48                | \$0.00                                     | \$0.00                             | \$46.81               | \$0.00                                    |
| Security Subtotal              | 8 000                            |                              |                             | \$523.43              | \$372.42                | \$0.00                                     | \$0.00                             | \$151.01              | \$0.00                                    |
| HEXCEL CORP NEW                | CUSIP: 428291108                 |                              |                             | Symbol (Box 1d): HXL  |                         |                                            |                                    |                       |                                           |
|                                | 12 000                           | 09/29/11                     | 05/09/13                    | \$388.79              | \$260.81                | \$0.00                                     | \$0.00                             | \$127.98              | \$0.00                                    |
| HMS HOLDINGS CORP              | CUSIP: 40425J101                 |                              |                             | Symbol (Box 1d): HMSY |                         |                                            |                                    |                       |                                           |
|                                | 1 000                            | 11/02/11                     | 01/22/13                    | \$28.31               | \$24.29                 | \$0.00                                     | \$0.00                             | \$4.02                | \$0.00                                    |
| HORMEL FOODS CORPORATION       | CUSIP: 440452100                 |                              |                             | Symbol (Box 1d): HRL  |                         |                                            |                                    |                       |                                           |
|                                | 25 000                           | 05/19/11                     | 03/12/13                    | \$960.56              | \$757.04                | \$0.00                                     | \$0.00                             | \$203.52              | \$0.00                                    |
|                                | 27 000                           | 05/19/11                     | 03/14/13                    | \$1,051.05            | \$817.61                | \$0.00                                     | \$0.00                             | \$233.44              | \$0.00                                    |
| Security Subtotal              | 52 000                           |                              |                             | \$2,011.61            | \$1,574.65              | \$0.00                                     | \$0.00                             | \$436.96              | \$0.00                                    |
| HSBC HOLDINGS PLC SPON ADR NEW | CUSIP: 404280406                 |                              |                             | Symbol (Box 1d): HSBC |                         |                                            |                                    |                       |                                           |
|                                | 2 000                            | 02/16/12                     | 03/08/13                    | \$110.22              | \$90.90                 | \$0.00                                     | \$0.00                             | \$19.32               | \$0.00                                    |
|                                | 60 000                           | 02/16/12                     | 06/06/13                    | \$3,256.71            | \$2,726.86              | \$0.00                                     | \$0.00                             | \$529.85              | \$0.00                                    |
|                                | 8 000                            | 02/17/12                     | 06/06/13                    | \$434.23              | \$366.48                | \$0.00                                     | \$0.00                             | \$67.75               | \$0.00                                    |
| Security Subtotal              | 70 000                           |                              |                             | \$3,801.16            | \$3,184.24              | \$0.00                                     | \$0.00                             | \$616.92              | \$0.00                                    |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TRUST DATED 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD.  
PHOENIX AZ 85032-4816

Identification Number: 26-4310632

Taxpayer ID Number: 86-0937247

Account Number: 003 113436 035

**Customer Service: 866-324-6088**

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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|-----------------------------|----------------------------------|--------------------------------|-----------------------------|-------------------------|--------------------------------------------|------------------------------------|-----------------------|-------------------------------------------|
| HUNTINGTON BANCSHARES       | CUSIP: 446150104                 | Symbol (Box 1d): HBAN          |                             |                         |                                            |                                    |                       |                                           |
|                             | 47,000                           | 01/14/11                       | 07/09/13                    | \$395.26                | \$336.83                                   | \$0.00                             | \$58.43               | \$0.00                                    |
| ICICI BANK LTD              | CUSIP: 45104G104                 | Symbol (Box 1d): IBN           |                             |                         |                                            |                                    |                       |                                           |
|                             | 6,000                            | 02/03/12                       | 03/08/13                    | \$268.29                | \$231.66                                   | \$0.00                             | \$36.63               | \$0.00                                    |
|                             | 5,000                            | 02/03/12                       | 05/09/13                    | \$236.04                | \$193.05                                   | \$0.00                             | \$42.99               | \$0.00                                    |
| <b>Security Subtotal</b>    | <b>11,000</b>                    |                                |                             | <b>\$504.33</b>         | <b>\$424.71</b>                            | <b>\$0.00</b>                      | <b>\$79.62</b>        | <b>\$0.00</b>                             |
| IMPERIAL OIL LTD COM NEW    | CUSIP: 453038408                 | Symbol (Box 1d): IMO           |                             |                         |                                            |                                    |                       |                                           |
|                             | 7,000                            | 02/16/12                       | 03/08/13                    | \$296.82                | \$336.69                                   | \$0.00                             | (\$39.87)             | \$0.00                                    |
| INTL BUSINESS MACHINES CORP | CUSIP: 459200101                 | Symbol (Box 1d): IBM           |                             |                         |                                            |                                    |                       |                                           |
|                             | 1,000                            | 05/30/12                       | 07/11/13                    | \$192.91                | \$203.61                                   | \$0.00                             | (\$10.70)             | \$0.00                                    |
|                             | 5,000                            | 05/16/12                       | 07/22/13                    | \$975.21                | \$1,101.05                                 | \$0.00                             | (\$125.84)            | \$0.00                                    |
| <b>Security Subtotal</b>    | <b>6,000</b>                     |                                |                             | <b>\$1,168.12</b>       | <b>\$1,304.66</b>                          | <b>\$0.00</b>                      | <b>(\$136.54)</b>     | <b>\$0.00</b>                             |
| INTUIT INC                  | CUSIP: 461202103                 | Symbol (Box 1d): INTU          |                             |                         |                                            |                                    |                       |                                           |
|                             | 3,000                            | 01/14/11                       | 02/08/13                    | \$181.29                | \$140.02                                   | \$0.00                             | \$41.27               | \$0.00                                    |
|                             | 5,000                            | 01/28/11                       | 02/08/13                    | \$302.16                | \$233.03                                   | \$0.00                             | \$69.13               | \$0.00                                    |
|                             | 3,000                            | 04/15/11                       | 02/08/13                    | \$181.29                | \$162.32                                   | \$0.00                             | \$18.97               | \$0.00                                    |
|                             | 17,000                           | 08/24/11                       | 02/08/13                    | \$1,027.34              | \$776.88                                   | \$0.00                             | \$250.46              | \$0.00                                    |
| <b>Security Subtotal</b>    | <b>28,000</b>                    |                                |                             | <b>\$1,692.08</b>       | <b>\$1,312.25</b>                          | <b>\$0.00</b>                      | <b>\$379.83</b>       | <b>\$0.00</b>                             |
| INTUITIVE SURGICAL INC      | CUSIP: 46120E602                 | Symbol (Box 1d): ISRG          |                             |                         |                                            |                                    |                       |                                           |
|                             | 1,000                            | 01/18/11                       | 03/15/13                    | \$467.87                | \$293.93                                   | \$0.00                             | \$173.94              | \$0.00                                    |
|                             | 1,000                            | 01/18/11                       | 07/25/13                    | \$374.22                | \$293.93                                   | \$0.00                             | \$80.29               | \$0.00                                    |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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**1099 Consolidated Tax Statement  
Tax Year 2013      Copy B For Recipient**

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TRUST DATED 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD.  
PHOENIX AZ 85032-4816

New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number. 86-0937247  
Account Number: 003 113436 035

**Customer Service: 866-324-6088**

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

(Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

**CONTINUED ON NEXT PAGE**

Page 70 of 116

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TST UAD 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD.  
PHOENIX AZ 85032-4816

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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|-----------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| KENNAMETAL INC              |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                             |                      | CUSIP: 489170100             | Symbol (Box 1d): KMT        |                         |                                            |                                         |                       |                                           |
|                             | 36.000               | 04/14/11                     | 04/11/13                    | \$1,381.28              | \$1,411.73                                 | \$0.00                                  | (\$30.45)             | \$0.00                                    |
|                             | 1.000                | 04/15/11                     | 04/11/13                    | \$38.37                 | \$38.19                                    | \$0.00                                  | \$0.18                | \$0.00                                    |
| Security Subtotal           | 37.000               |                              |                             | \$1,419.65              | \$1,449.92                                 | \$0.00                                  | (\$30.27)             | \$0.00                                    |
| KEYCORP NEW                 |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                             |                      | CUSIP: 493267108             | Symbol (Box 1d): KEY        |                         |                                            |                                         |                       |                                           |
|                             | 59.000               | 03/16/12                     | 07/09/13                    | \$705.03                | \$501.19                                   | \$0.00                                  | \$203.84              | \$0.00                                    |
| L OREAL CO ADR              |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                             |                      | CUSIP: 502117203             | Symbol (Box 1d): LRLCY      |                         |                                            |                                         |                       |                                           |
|                             | 1.000                | 02/16/12                     | 03/08/13                    | \$30.93                 | \$22.53                                    | \$0.00                                  | \$8.40                | \$0.00                                    |
|                             | 6.000                | 02/16/12                     | 05/09/13                    | \$208.49                | \$135.17                                   | \$0.00                                  | \$73.32               | \$0.00                                    |
| Security Subtotal           | 7.000                |                              |                             | \$239.42                | \$157.70                                   | \$0.00                                  | \$81.72               | \$0.00                                    |
| LAS VEGAS SANDS CORPORATION |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                             |                      | CUSIP: 517834107             | Symbol (Box 1d): LVS        |                         |                                            |                                         |                       |                                           |
|                             | 9.000                | 08/09/11                     | 01/11/13                    | \$469.79                | \$353.51                                   | \$0.00                                  | \$116.28              | \$0.00                                    |
|                             | 3.000                | 08/09/11                     | 03/08/13                    | \$156.15                | \$117.84                                   | \$0.00                                  | \$38.31               | \$0.00                                    |
|                             | 5.000                | 08/09/11                     | 06/06/13                    | \$283.69                | \$195.57                                   | \$0.00                                  | \$88.12               | \$0.00                                    |
|                             | 10.000               | 08/17/11                     | 06/06/13                    | \$567.39                | \$441.07                                   | \$0.00                                  | \$126.32              | \$0.00                                    |
|                             | 13.000               | 08/17/11                     | 06/07/13                    | \$742.66                | \$573.38                                   | \$0.00                                  | \$169.28              | \$0.00                                    |
|                             | 7.000                | 08/17/11                     | 06/10/13                    | \$402.43                | \$308.75                                   | \$0.00                                  | \$93.68               | \$0.00                                    |
|                             | 14.000               | 09/28/11                     | 06/10/13                    | \$804.86                | \$619.06                                   | \$0.00                                  | \$185.80              | \$0.00                                    |
|                             | 1.000                | 04/12/11                     | 06/14/13                    | \$56.38                 | \$45.35                                    | \$0.00                                  | \$11.03               | \$0.00                                    |
|                             | 16.000               | 09/28/11                     | 06/14/13                    | \$902.19                | \$707.49                                   | \$0.00                                  | \$194.70              | \$0.00                                    |
|                             | 4.000                | 11/02/11                     | 06/14/13                    | \$225.55                | \$192.49                                   | \$0.00                                  | \$33.06               | \$0.00                                    |
| Security Subtotal           | 82.000               |                              |                             | \$4,611.09              | \$3,554.51                                 | \$0.00                                  | \$1,056.58            | \$0.00                                    |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

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MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TRST UAD 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD  
PHOENIX AZ 85032-4816

Morgan Stanley Smith Barney Holdings LLC  
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8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: 86-0937247  
Account Number: 003 113436 035  
**Customer Service: 866-324-6088**

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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|--------------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|------------------------------------|-----------------------|-------------------------------------------|
| LEGG MASON WA EMERG MKT DEBT I | 5,885.445            | CUSIP: 52469F481             | Symbol (Box 1d): SEMDX      |                         |                                            |                                    |                       |                                           |
|                                |                      | 02/16/12                     | 03/08/13                    | \$34,253.29             | \$32,546.51                                | \$0.00                             | \$1,706.78            | \$0.00                                    |
| LINCOLN ELEC HLDGS INC         |                      | CUSIP: 533900106             | Symbol (Box 1d): LECO       |                         |                                            |                                    |                       |                                           |
|                                | 6,000                | 01/14/11                     | 01/25/13                    | \$320.01                | \$206.79                                   | \$0.00                             | \$113.22              | \$0.00                                    |
|                                | 4,000                | 01/14/11                     | 01/25/13                    | \$213.34                | \$137.86                                   | \$0.00                             | \$75.48               | \$0.00                                    |
| <b>Security Subtotal</b>       | <b>10,000</b>        |                              |                             | <b>\$533.35</b>         | <b>\$344.65</b>                            | <b>\$0.00</b>                      | <b>\$188.70</b>       | <b>\$0.00</b>                             |
| LONZA GROUP AG ZUERICH ADR     |                      | CUSIP: 54338V101             | Symbol (Box 1d): LZAGY      |                         |                                            |                                    |                       |                                           |
|                                | 54,000               | 02/16/12                     | 05/09/13                    | \$402.29                | \$292.80                                   | \$0.00                             | \$109.49              | \$0.00                                    |
|                                | 265,000              | 02/16/12                     | 09/17/13                    | \$2,146.33              | \$1,436.88                                 | \$0.00                             | \$709.45              | \$0.00                                    |
|                                | 125,000              | 02/16/12                     | 09/18/13                    | \$1,004.29              | \$677.78                                   | \$0.00                             | \$326.51              | \$0.00                                    |
|                                | 69,000               | 02/16/12                     | 10/02/13                    | \$559.49                | \$374.13                                   | \$0.00                             | \$185.36              | \$0.00                                    |
|                                | 68,000               | 02/17/12                     | 10/02/13                    | \$551.38                | \$374.68                                   | \$0.00                             | \$176.70              | \$0.00                                    |
| <b>Security Subtotal</b>       | <b>581,000</b>       |                              |                             | <b>\$4,663.78</b>       | <b>\$3,156.27</b>                          | <b>\$0.00</b>                      | <b>\$1,507.51</b>     | <b>\$0.00</b>                             |
| MARATHON PETROLEUM CORP        |                      | CUSIP: 56585A102             | Symbol (Box 1d): MPC        |                         |                                            |                                    |                       |                                           |
|                                | 0,916                | 01/14/11                     | 03/04/13                    | \$77.75                 | \$31.60                                    | \$0.00                             | \$46.15               | \$0.00                                    |
|                                | 10,006               | 01/14/11                     | 10/07/13                    | \$636.92                | \$345.18                                   | \$0.00                             | \$291.74              | \$0.00                                    |
|                                | 0,992                | 04/15/11                     | 10/07/13                    | \$63.14                 | \$40.89                                    | \$0.00                             | \$22.25               | \$0.00                                    |
|                                | 32,000               | 08/31/11                     | 10/07/13                    | \$2,036.93              | \$1,191.87                                 | \$0.00                             | \$845.06              | \$0.00                                    |
|                                | 10,000               | 02/17/12                     | 10/07/13                    | \$636.54                | \$435.20                                   | \$0.00                             | \$201.34              | \$0.00                                    |
|                                | 49,002               | 05/11/12                     | 10/07/13                    | \$3,119.18              | \$1,839.10                                 | \$0.00                             | \$1,280.08            | \$0.00                                    |
| <b>Security Subtotal</b>       | <b>102,916</b>       |                              |                             | <b>\$6,570.46</b>       | <b>\$3,883.84</b>                          | <b>\$0.00</b>                      | <b>\$2,686.62</b>     | <b>\$0.00</b>                             |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

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|--------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|------------------------------------|-----------------------|-------------------------------------------|
| MARVELL TECH GROUP LTD   |                      | CUSIP: G5876H105             | Symbol (Box 1d): MRVL       |                         |                                            |                                    |                       |                                           |
|                          | 11.000               | 07/05/11                     | 04/11/13                    | \$113.30                | \$166.77                                   | \$0.00                             | (\$53.47)             | \$0.00                                    |
|                          | 2.000                | 07/05/11                     | 04/11/13                    | \$20.60                 | \$30.32                                    | \$0.00                             | (\$9.72)              | \$0.00                                    |
|                          | 50.000               | 02/17/12                     | 04/11/13                    | \$514.99                | \$819.00                                   | \$0.00                             | (\$304.01)            | \$0.00                                    |
| <b>Security Subtotal</b> | <b>63.000</b>        |                              |                             | <b>\$648.89</b>         | <b>\$1,016.09</b>                          | <b>\$0.00</b>                      | <b>(\$367.20)</b>     | <b>\$0.00</b>                             |
| MCKESSON CORP            |                      | CUSIP: 58155Q103             | Symbol (Box 1d): MCK        |                         |                                            |                                    |                       |                                           |
|                          | 6.000                | 01/14/11                     | 12/03/13                    | \$981.91                | \$445.53                                   | \$0.00                             | \$536.38              | \$0.00                                    |
| MICROSOFT CORP           |                      | CUSIP: 594918104             | Symbol (Box 1d): MSFT       |                         |                                            |                                    |                       |                                           |
|                          | 11.000               | 01/14/11                     | 03/08/13                    | \$310.91                | \$309.32                                   | \$0.00                             | \$1.59                | \$0.00                                    |
|                          | 9.000                | 01/14/11                     | 05/09/13                    | \$294.65                | \$253.08                                   | \$0.00                             | \$41.57               | \$0.00                                    |
|                          | 32.000               | 06/20/11                     | 08/14/13                    | \$1,022.38              | \$786.24                                   | \$0.00                             | \$236.14              | \$0.00                                    |
|                          | 3.000                | 07/05/11                     | 08/14/13                    | \$95.85                 | \$78.15                                    | \$0.00                             | \$17.70               | \$0.00                                    |
| <b>Security Subtotal</b> | <b>55.000</b>        |                              |                             | <b>\$1,723.79</b>       | <b>\$1,426.79</b>                          | <b>\$0.00</b>                      | <b>\$297.00</b>       | <b>\$0.00</b>                             |
| MONSANTO CO/NEW          |                      | CUSIP: 61166W101             | Symbol (Box 1d): MON        |                         |                                            |                                    |                       |                                           |
|                          | 2.000                | 07/14/11                     | 03/08/13                    | \$205.85                | \$149.91                                   | \$0.00                             | \$55.94               | \$0.00                                    |
|                          | 5.000                | 07/14/11                     | 05/09/13                    | \$537.68                | \$374.77                                   | \$0.00                             | \$162.91              | \$0.00                                    |
| <b>Security Subtotal</b> | <b>7.000</b>         |                              |                             | <b>\$743.53</b>         | <b>\$524.68</b>                            | <b>\$0.00</b>                      | <b>\$218.85</b>       | <b>\$0.00</b>                             |
| MTN GRP LTD SPONS ADR    |                      | CUSIP: 62474M108             | Symbol (Box 1d): MTNOY      |                         |                                            |                                    |                       |                                           |
|                          | 4.000                | 01/14/11                     | 03/08/13                    | \$77.07                 | \$76.55                                    | \$0.00                             | \$0.52                | \$0.00                                    |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor

New York, NY 10004  
Identification Number: 26-4310632

Taxpayer ID Number: 86-0937247  
Account Number: 003 113436 035

**Customer Service: 866-324-6088**

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TRUST DATED 8-20-1965 AS AMENDED  
14602 N TATUM BLVD.  
PHOENIX AZ 85032-4816

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked )  
(Continued)

| DESCRIPTION (Box 8)         | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|-----------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| MURPHY OIL CORP             | CUSIP: 626717102     | Symbol (Box 1d): MUR         |                             |                         |                                            |                                         |                       |                                           |
|                             | 3 000                | 02/14/11                     | 03/08/13                    | \$185 00                | \$210 00                                   | \$0 00                                  | (\$25 00)             | \$0 00                                    |
|                             | 10 000               | 02/14/11                     | 05/09/13                    | \$640 18                | \$699 99                                   | \$0 00                                  | (\$59 81)             | \$0 00                                    |
| Security Subtotal           | 13 000               |                              |                             | \$825 18                | \$909 99                                   | \$0 00                                  | (\$84 81)             | \$0 00                                    |
| NESTLE SPON ADR REP REG SHR | CUSIP: 641069406     | Symbol (Box 1d): NSRGY       |                             |                         |                                            |                                         |                       |                                           |
|                             | 5 000                | 02/16/12                     | 03/08/13                    | \$352 59                | \$303 50                                   | \$0 00                                  | \$49 09               | \$0 00                                    |
|                             | 8 000                | 02/16/12                     | 05/09/13                    | \$563 26                | \$485 60                                   | \$0 00                                  | \$77 66               | \$0 00                                    |
| Security Subtotal           | 13 000               |                              |                             | \$915 85                | \$789 10                                   | \$0 00                                  | \$126 75              | \$0 00                                    |
| NETAPP INC COM              | CUSIP: 64110D104     | Symbol (Box 1d): NTAP        |                             |                         |                                            |                                         |                       |                                           |
|                             | 7 000                | 01/22/11                     | 10/28/13                    | \$277 54                | \$420 88                                   | \$0 00                                  | (\$143 34)            | \$0 00                                    |
|                             | 14 000               | 04/07/11                     | 10/28/13                    | \$555 08                | \$687 65                                   | \$0 00                                  | (\$132 57)            | \$0 00                                    |
|                             | 9 000                | 04/08/11                     | 10/28/13                    | \$356 83                | \$444 62                                   | \$0 00                                  | (\$87 79)             | \$0 00                                    |
|                             | 7 000                | 04/23/11                     | 10/28/13                    | \$277 54                | \$345 81                                   | \$0 00                                  | (\$68 27)             | \$0 00                                    |
|                             | 8 000                | 11/25/11                     | 10/28/13                    | \$317 19                | \$294 23                                   | \$0 00                                  | \$22 96               | \$0 00                                    |
|                             | 1 000                | 08/24/12                     | 10/28/13                    | \$39 65                 | \$33 72                                    | \$0 00                                  | \$5 93                | \$0 00                                    |
| Security Subtotal           | 46 000               |                              |                             | \$1 823 83              | \$2 226 91                                 | \$0 00                                  | (\$403 08)            | \$0 00                                    |
| NETGEAR INC                 | CUSIP: 64111Q104     | Symbol (Box 1d): NTGR        |                             |                         |                                            |                                         |                       |                                           |
|                             | 33 000               | 02/03/12                     | 04/12/13                    | \$1 057 25              | \$1 411 06                                 | \$0 00                                  | (\$353 81)            | \$0 00                                    |
|                             | 9 000                | 02/16/12                     | 04/12/13                    | \$288 34                | \$348 66                                   | \$0 00                                  | (\$60 32)             | \$0 00                                    |
|                             | 6 000                | 02/17/12                     | 04/12/13                    | \$192 23                | \$239 94                                   | \$0 00                                  | (\$47 71)             | \$0 00                                    |
| Security Subtotal           | 48 000               |                              |                             | \$1 537 82              | \$1 999 66                                 | \$0 00                                  | (\$461 84)            | \$0 00                                    |
| NOKIAN TYRES OYJ UNSPON ADR | CUSIP: 65528V107     | Symbol (Box 1d): NKRKY       |                             |                         |                                            |                                         |                       |                                           |
|                             | 11 000               | 03/12/12                     | 05/09/13                    | \$238 14                | \$255 23                                   | \$0 00                                  | (\$17 09)             | \$0 00                                    |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

**1099 Consolidated Tax Statement**  
**Tax Year 2013 Copy B For Recipient**

MARYLAND LLC, TTEE OF THE  
 JAMES ARTHUR RAE CHARITABLE  
 TST UAD 8-20-1965 AS AMENDED  
 14602 N TATUM BLVD.  
 PHOENIX AZ 85032-4816

Morgan Stanley Smith Barney Holdings LLC  
 1 New York Plaza  
 8th Floor  
 New York, NY 10004

Identification Number: 26-4310632

Taxpayer ID Number: 86-0937247  
 Account Number 003 113436 035

**Customer Service: 866-324-6088**

**OMB NO. 1545-0715**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Long Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)  
 (Continued)

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|------------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| <b>NORTHEAST UTILITIES</b>   |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                              | CUSIP: 664397106     | Symbol (Box 1d): NU          |                             |                         |                                            |                                         |                       |                                           |
|                              | 29.000               | 12/19/11                     | 10/03/13                    | \$1,185.44              | \$1,003.49                                 | \$0.00                                  | \$181.95              | \$0.00                                    |
| <b>OIL STATES INTL INC</b>   |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                              | CUSIP: 678026105     | Symbol (Box 1d): OIS         |                             |                         |                                            |                                         |                       |                                           |
|                              | 5.000                | 01/14/11                     | 04/18/13                    | \$361.62                | \$324.55                                   | \$0.00                                  | \$37.07               | \$0.00                                    |
|                              | 2.000                | 01/14/11                     | 04/18/13                    | \$144.65                | \$129.82                                   | \$0.00                                  | \$14.83               | \$0.00                                    |
|                              | 8.000                | 02/29/12                     | 04/18/13                    | \$578.59                | \$658.03                                   | \$0.00                                  | (\$79.44)             | \$0.00                                    |
|                              | 3.000                | 02/29/12                     | 05/03/13                    | \$280.56                | \$246.76                                   | \$0.00                                  | \$33.80               | \$0.00                                    |
|                              | 5.000                | 02/29/12                     | 05/03/13                    | \$467.61                | \$411.27                                   | \$0.00                                  | \$56.34               | \$0.00                                    |
|                              | 5.000                | 02/29/12                     | 10/02/13                    | \$530.83                | \$411.27                                   | \$0.00                                  | \$119.56              | \$0.00                                    |
| <b>Security Subtotal</b>     | <b>28.000</b>        |                              |                             | <b>\$2,363.86</b>       | <b>\$2,181.70</b>                          | <b>\$0.00</b>                           | <b>\$182.16</b>       | <b>\$0.00</b>                             |
| <b>ON SEMICONDUCTOR CORP</b> |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                              | CUSIP: 682189105     | Symbol (Box 1d): ONNN        |                             |                         |                                            |                                         |                       |                                           |
|                              | 149.000              | 11/01/11                     | 10/28/13                    | \$1,032.64              | \$1,071.12                                 | \$0.00                                  | (\$38.48)             | \$0.00                                    |
|                              | 19.000               | 11/02/11                     | 10/28/13                    | \$131.68                | \$134.33                                   | \$0.00                                  | (\$2.65)              | \$0.00                                    |
|                              | 30.000               | 06/04/12                     | 10/28/13                    | \$207.91                | \$192.30                                   | \$0.00                                  | \$15.61               | \$0.00                                    |
|                              | 12.000               | 08/24/12                     | 10/28/13                    | \$83.17                 | \$76.48                                    | \$0.00                                  | \$6.69                | \$0.00                                    |
| <b>Security Subtotal</b>     | <b>210.000</b>       |                              |                             | <b>\$1,455.40</b>       | <b>\$1,474.23</b>                          | <b>\$0.00</b>                           | <b>(\$18.83)</b>      | <b>\$0.00</b>                             |
| <b>ORACLE CORP</b>           |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                              | CUSIP: 68389X105     | Symbol (Box 1d): ORCL        |                             |                         |                                            |                                         |                       |                                           |
|                              | 10.000               | 01/14/11                     | 03/08/13                    | \$359.70                | \$311.17                                   | \$0.00                                  | \$48.53               | \$0.00                                    |
|                              | 31.000               | 01/14/11                     | 03/22/13                    | \$987.63                | \$964.63                                   | \$0.00                                  | \$23.01               | \$0.00                                    |
|                              | 5.000                | 02/11/11                     | 03/22/13                    | \$159.30                | \$166.24                                   | \$6.94                                  | (\$6.94)              | \$0.00                                    |
|                              | 30.000               | 04/15/11                     | 03/22/13                    | \$955.78                | \$1,017.75                                 | \$61.97                                 | (\$61.97)             | \$0.00                                    |
|                              | 24.000               | 08/11/11                     | 03/22/13                    | \$764.62                | \$652.16                                   | \$0.00                                  | \$112.46              | \$0.00                                    |
|                              | 14.000               | 12/21/11                     | 03/22/13                    | \$446.03                | \$351.77                                   | \$0.00                                  | \$94.26               | \$0.00                                    |

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**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

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# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TST UAD 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD.  
PHOENIX AZ 85032-4816

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: 86-0937247  
Account Number: 003 113436 035  
**Customer Service: 866-324-6088**

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked )  
(Continued)

| DESCRIPTION (Box 8)      | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b)           | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|--------------------------|----------------------|----------------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| ORACLE CORP              | 5,000                | 02/11/11                               | 06/21/13                    | \$152.20                | \$188.33                                   | \$0.00                                  | (\$36.13)             | \$0.00                                    |
|                          | 3,000                | 04/15/11                               | 06/21/13                    | \$91.32                 | \$115.03                                   | \$0.00                                  | (\$23.71)             | \$0.00                                    |
|                          | 57,000               | 12/21/11                               | 06/21/13                    | \$1,735.05              | \$1,432.21                                 | \$0.00                                  | \$302.84              | \$0.00                                    |
|                          | 4,000                | 12/21/11                               | 06/21/13                    | \$121.75                | \$100.51                                   | \$0.00                                  | \$21.24               | \$0.00                                    |
|                          | 10,000               | 03/21/12                               | 06/21/13                    | \$304.39                | \$297.68                                   | \$0.00                                  | \$6.71                | \$0.00                                    |
| <b>Security Subtotal</b> | <b>193,000</b>       |                                        |                             | <b>\$6,077.77</b>       | <b>\$5,597.48</b>                          | <b>\$68.91</b>                          | <b>\$480.30</b>       | <b>\$0.00</b>                             |
| PFIZER INC               |                      |                                        |                             |                         |                                            |                                         |                       |                                           |
|                          |                      | CUSIP: 717081103 Symbol (Box 1d): PFE  |                             |                         |                                            |                                         |                       |                                           |
|                          | 51,000               | 01/14/11                               | 03/20/13                    | \$1,441.29              | \$932.27                                   | \$0.00                                  | \$509.02              | \$0.00                                    |
|                          | 55,000               | 02/17/12                               | 03/20/13                    | \$1,554.33              | \$1,166.43                                 | \$0.00                                  | \$387.90              | \$0.00                                    |
| <b>Security Subtotal</b> | <b>106,000</b>       |                                        |                             | <b>\$2,995.62</b>       | <b>\$2,098.70</b>                          | <b>\$0.00</b>                           | <b>\$896.92</b>       | <b>\$0.00</b>                             |
| PRECISION CASTPARTS CORP |                      |                                        |                             |                         |                                            |                                         |                       |                                           |
|                          |                      | CUSIP: 740189105 Symbol (Box 1d): PCP  |                             |                         |                                            |                                         |                       |                                           |
|                          | 4,000                | 01/31/12                               | 04/16/13                    | \$748.46                | \$653.29                                   | \$0.00                                  | \$95.17               | \$0.00                                    |
|                          | 1,000                | 01/31/12                               | 05/09/13                    | \$207.03                | \$163.32                                   | \$0.00                                  | \$43.71               | \$0.00                                    |
| <b>Security Subtotal</b> | <b>5,000</b>         |                                        |                             | <b>\$955.49</b>         | <b>\$816.61</b>                            | <b>\$0.00</b>                           | <b>\$138.88</b>       | <b>\$0.00</b>                             |
| PRICELINE.COM INC NEW    |                      |                                        |                             |                         |                                            |                                         |                       |                                           |
|                          |                      | CUSIP: 741503403 Symbol (Box 1d): PCLN |                             |                         |                                            |                                         |                       |                                           |
|                          | 1,000                | 01/14/11                               | 05/09/13                    | \$739.10                | \$435.69                                   | \$0.00                                  | \$303.41              | \$0.00                                    |
| QUALCOMM INC             |                      |                                        |                             |                         |                                            |                                         |                       |                                           |
|                          |                      | CUSIP: 747525103 Symbol (Box 1d): QCOM |                             |                         |                                            |                                         |                       |                                           |
|                          | 6,000                | 01/14/11                               | 09/13/13                    | \$411.80                | \$310.19                                   | \$0.00                                  | \$101.61              | \$0.00                                    |
|                          | 10,000               | 01/14/11                               | 09/27/13                    | \$675.55                | \$516.99                                   | \$0.00                                  | \$158.56              | \$0.00                                    |
|                          | 6,000                | 01/14/11                               | 10/15/13                    | \$410.14                | \$310.19                                   | \$0.00                                  | \$99.95               | \$0.00                                    |
|                          | 6,000                | 05/30/12                               | 10/15/13                    | \$410.13                | \$345.75                                   | \$0.00                                  | \$64.38               | \$0.00                                    |
| <b>Security Subtotal</b> | <b>28,000</b>        |                                        |                             | <b>\$1,907.62</b>       | <b>\$1,483.12</b>                          | <b>\$0.00</b>                           | <b>\$424.50</b>       | <b>\$0.00</b>                             |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

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|----------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| QUESTAR CORP               | CUSIP: 748356102     | Symbol (Box 1d): STR         |                             |                         |                                            |                                         |                       |                                           |
|                            | 41,000               | 04/27/11                     | 05/24/13                    | \$1,002.56              | \$708.77                                   | \$0.00                                  | \$293.79              | \$0.00                                    |
| RALPH LAUREN CORP CL A     | CUSIP: 751212101     | Symbol (Box 1d): RL          |                             |                         |                                            |                                         |                       |                                           |
|                            | 3,000                | 08/10/11                     | 03/08/13                    | \$529.73                | \$385.19                                   | \$0.00                                  | \$144.54              | \$0.00                                    |
|                            | 1,000                | 08/10/11                     | 05/09/13                    | \$184.22                | \$128.40                                   | \$0.00                                  | \$55.82               | \$0.00                                    |
|                            | 3,000                | 08/10/11                     | 05/21/13                    | \$564.03                | \$385.19                                   | \$0.00                                  | \$178.84              | \$0.00                                    |
|                            | 1,000                | 09/15/11                     | 05/21/13                    | \$188.01                | \$146.09                                   | \$0.00                                  | \$41.92               | \$0.00                                    |
|                            | 6,000                | 09/15/11                     | 10/17/13                    | \$966.27                | \$876.51                                   | \$0.00                                  | \$89.76               | \$0.00                                    |
| <b>Security Subtotal</b>   | <b>14,000</b>        |                              |                             | <b>\$2,432.26</b>       | <b>\$1,921.38</b>                          | <b>\$0.00</b>                           | <b>\$510.88</b>       | <b>\$0.00</b>                             |
| REGIONS FINANCIAL CORP NEW | CUSIP: 7591EP100     | Symbol (Box 1d): RF          |                             |                         |                                            |                                         |                       |                                           |
|                            | 74,000               | 03/16/12                     | 07/09/13                    | \$750.34                | \$480.26                                   | \$0.00                                  | \$270.08              | \$0.00                                    |
| ROCHE HOLDINGS ADR         | CUSIP: 771195104     | Symbol (Box 1d): RHBY        |                             |                         |                                            |                                         |                       |                                           |
|                            | 5,000                | 02/16/12                     | 03/08/13                    | \$280.39                | \$220.70                                   | \$0.00                                  | \$59.69               | \$0.00                                    |
|                            | 4,000                | 02/16/12                     | 05/09/13                    | \$252.35                | \$176.56                                   | \$0.00                                  | \$75.79               | \$0.00                                    |
| <b>Security Subtotal</b>   | <b>9,000</b>         |                              |                             | <b>\$532.74</b>         | <b>\$397.26</b>                            | <b>\$0.00</b>                           | <b>\$135.48</b>       | <b>\$0.00</b>                             |
| SALESFORCE.COM,INC         | CUSIP: 79466L302     | Symbol (Box 1d): CRM         |                             |                         |                                            |                                         |                       |                                           |
|                            | 2,000                | 01/14/11                     | 03/14/13                    | \$358.43                | \$286.83                                   | \$0.00                                  | \$71.60               | \$0.00                                    |
|                            | 4,000                | 01/14/11                     | 05/09/13                    | \$173.35                | \$143.42                                   | \$0.00                                  | \$29.93               | \$0.00                                    |
|                            | 8,000                | 01/28/11                     | 05/09/13                    | \$346.71                | \$265.21                                   | \$0.00                                  | \$81.50               | \$0.00                                    |
|                            | 16,000               | 01/28/11                     | 11/21/13                    | \$864.95                | \$530.41                                   | \$0.00                                  | \$334.54              | \$0.00                                    |
|                            | 12,000               | 03/30/11                     | 11/21/13                    | \$648.72                | \$393.99                                   | \$0.00                                  | \$254.73              | \$0.00                                    |
| <b>Security Subtotal</b>   | <b>42,000</b>        |                              |                             | <b>\$2,392.16</b>       | <b>\$1,619.86</b>                          | <b>\$0.00</b>                           | <b>\$772.30</b>       | <b>\$0.00</b>                             |

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**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TRUST UAD 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD.  
PHOENIX AZ 85032-4816

Taxpayer ID Number: 86-0937247  
Account Number: 003 113436 035

**Customer Service: 866-324-6088**

OMB NO. 1545-0715

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)  
(Continued)

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|----------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|------------------------------------|-----------------------|-------------------------------------------|
| SASOL LTD SPON ADR         | 1 000                | 02/16/12                     | 03/08/13                    | \$44 08                 | \$52.09                                    | \$0 00                             | (\$8 01)              | \$0 00                                    |
| SBA COMMUNICATIONS CORP    |                      | CUSIP: 78388J106             | Symbol (Box 1d): SBAC       |                         |                                            |                                    |                       |                                           |
|                            | 18 000               | 06/25/12                     | 11/25/13                    | \$1,532 28              | \$993 61                                   | \$0 00                             | \$538 67              | \$0 00                                    |
| SCHNEIDER ELEC SA UNSP ADR |                      | CUSIP: 80687P106             | Symbol (Box 1d): SBGSY      |                         |                                            |                                    |                       |                                           |
|                            | 9 000                | 02/16/12                     | 03/08/13                    | \$140 84                | \$111 06                                   | \$0 00                             | \$29 78               | \$0 00                                    |
|                            | 19 000               | 02/16/12                     | 05/09/13                    | \$289 36                | \$234 46                                   | \$0 00                             | \$54 90               | \$0 00                                    |
| <b>Security Subtotal</b>   | <b>28 000</b>        |                              |                             | <b>\$430 20</b>         | <b>\$345 52</b>                            | <b>\$0 00</b>                      | <b>\$84 68</b>        | <b>\$0 00</b>                             |
| SIRIUS XM RADIO INC COM    |                      | CUSIP: 82967N108             | Symbol (Box 1d):            |                         |                                            |                                    |                       |                                           |
|                            | 200 000              | 10/19/12                     | 10/25/13                    | \$741 00                | \$584 84                                   | \$0 00                             | \$156 16              | \$0 00                                    |
|                            | 294 000              | 10/19/12                     | 10/28/13                    | \$1,093 66              | \$859 72                                   | \$0 00                             | \$233 94              | \$0 00                                    |
|                            | 101 000              | 10/23/12                     | 10/28/13                    | \$375 71                | \$285 21                                   | \$0 00                             | \$90 50               | \$0 00                                    |
|                            | 259 000              | 10/23/12                     | 10/30/13                    | \$963 05                | \$731 37                                   | \$0 00                             | \$231 68              | \$0 00                                    |
|                            | 35 000               | 10/24/12                     | 10/30/13                    | \$130 14                | \$100 59                                   | \$0 00                             | \$29 55               | \$0 00                                    |
|                            | 240 000              | 10/26/12                     | 10/30/13                    | \$892 40                | \$673 00                                   | \$0 00                             | \$219 40              | \$0 00                                    |
| <b>Security Subtotal</b>   | <b>1,129 000</b>     |                              |                             | <b>\$4,195 96</b>       | <b>\$3,234 73</b>                          | <b>\$0 00</b>                      | <b>\$961 23</b>       | <b>\$0 00</b>                             |
| SKYWORKS SOLUTIONS INC     |                      | CUSIP: 83088M102             | Symbol (Box 1d): SWKS       |                         |                                            |                                    |                       |                                           |
|                            | 5 000                | 10/07/11                     | 05/09/13                    | \$116 20                | \$97 30                                    | \$0 00                             | \$18 90               | \$0 00                                    |
|                            | 2 000                | 10/07/11                     | 05/09/13                    | \$46 48                 | \$38 92                                    | \$0 00                             | \$7 56                | \$0 00                                    |
|                            | 2 000                | 10/07/11                     | 05/09/13                    | \$46 48                 | \$38 92                                    | \$0 00                             | \$7 56                | \$0 00                                    |
|                            | 1 000                | 10/07/11                     | 05/09/13                    | \$23 23                 | \$19 23                                    | \$0 00                             | \$4 00                | \$0 00                                    |
|                            | 6 000                | 10/07/11                     | 05/09/13                    | \$139 49                | \$116 75                                   | \$0 00                             | \$22 74               | \$0 00                                    |
| <b>Security Subtotal</b>   | <b>16 000</b>        |                              |                             | <b>\$371 88</b>         | <b>\$311 12</b>                            | <b>\$0 00</b>                      | <b>\$60 76</b>        | <b>\$0 00</b>                             |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

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8th Floor  
New York, NY 10004  
Identification Number. 26-4310632  
Taxpayer ID Number 86-0937247  
Account Number 003 113436 035  
**Customer Service: 866-324-6088**

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TST UAD 8-20-1965 AS AMENDED  
14602 N TATUM BLVD  
PHOENIX AZ 85032-4816

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)  
(Continued)

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|-----------------------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| CUSIP: 83569C102 Symbol (Box 1d): SONVY |                      |                              |                             |                         |                                            |                                         |                       |                                           |
| SONOVA HLDG AG UNSP ADR                 | 11 000               | 02/16/12                     | 03/08/13                    | \$262.06                | \$248.16                                   | \$0.00                                  | \$13.90               | \$0.00                                    |
|                                         | 85,000               | 02/16/12                     | 05/13/13                    | \$1,853.79              | \$1,917.60                                 | \$0.00                                  | (\$63.81)             | \$0.00                                    |
| <b>Security Subtotal</b>                | <b>96,000</b>        |                              |                             | <b>\$2,115.85</b>       | <b>\$2,165.76</b>                          | <b>\$0.00</b>                           | <b>(\$49.91)</b>      | <b>\$0.00</b>                             |
| CUSIP: 855030102 Symbol (Box 1d): SPLS  |                      |                              |                             |                         |                                            |                                         |                       |                                           |
| STAPLES INC                             | 13 000               | 11/22/11                     | 03/08/13                    | \$168.13                | \$182.51                                   | \$0.00                                  | (\$14.38)             | \$0.00                                    |
|                                         | 145,000              | 11/22/11                     | 07/18/13                    | \$2,395.67              | \$2,035.74                                 | \$0.00                                  | \$359.93              | \$0.00                                    |
| <b>Security Subtotal</b>                | <b>158,000</b>       |                              |                             | <b>\$2,563.80</b>       | <b>\$2,218.25</b>                          | <b>\$0.00</b>                           | <b>\$345.55</b>       | <b>\$0.00</b>                             |
| CUSIP: 855244109 Symbol (Box 1d): SBUX  |                      |                              |                             |                         |                                            |                                         |                       |                                           |
| STARBUCKS CORP WASHINGTON               | 10 000               | 06/23/11                     | 01/24/13                    | \$544.57                | \$372.02                                   | \$0.00                                  | \$172.55              | \$0.00                                    |
|                                         | 4 000                | 06/23/11                     | 03/08/13                    | \$234.67                | \$148.81                                   | \$0.00                                  | \$85.86               | \$0.00                                    |
|                                         | 3 000                | 06/23/11                     | 05/09/13                    | \$187.02                | \$111.60                                   | \$0.00                                  | \$75.42               | \$0.00                                    |
|                                         | 4 000                | 07/15/11                     | 05/09/13                    | \$249.35                | \$157.84                                   | \$0.00                                  | \$91.51               | \$0.00                                    |
|                                         | 12,000               | 07/15/11                     | 05/21/13                    | \$770.80                | \$473.52                                   | \$0.00                                  | \$297.28              | \$0.00                                    |
| <b>Security Subtotal</b>                | <b>33,000</b>        |                              |                             | <b>\$1,986.41</b>       | <b>\$1,263.79</b>                          | <b>\$0.00</b>                           | <b>\$722.62</b>       | <b>\$0.00</b>                             |
| CUSIP: 870123106 Symbol (Box 1d): SWGAY |                      |                              |                             |                         |                                            |                                         |                       |                                           |
| SWATCH GROUP AG ADR THE UNSPON          | 14,000               | 02/16/12                     | 03/08/13                    | \$396.53                | \$315.98                                   | \$0.00                                  | \$80.55               | \$0.00                                    |
|                                         | 67,000               | 02/16/12                     | 03/11/13                    | \$1,896.56              | \$1,512.19                                 | \$0.00                                  | \$384.37              | \$0.00                                    |
| <b>Security Subtotal</b>                | <b>81,000</b>        |                              |                             | <b>\$2,293.09</b>       | <b>\$1,828.17</b>                          | <b>\$0.00</b>                           | <b>\$464.92</b>       | <b>\$0.00</b>                             |
| CUSIP: 871829107 Symbol (Box 1d): SYV   |                      |                              |                             |                         |                                            |                                         |                       |                                           |
| SYSO CORP                               | 30,000               | 05/04/11                     | 04/15/13                    | \$1,034.66              | \$873.29                                   | \$0.00                                  | \$161.37              | \$0.00                                    |
|                                         | 58,000               | 05/04/11                     | 07/18/13                    | \$2,064.96              | \$1,688.36                                 | \$0.00                                  | \$376.60              | \$0.00                                    |
|                                         | 43,000               | 05/04/11                     | 07/26/13                    | \$1,487.42              | \$1,251.72                                 | \$0.00                                  | \$235.70              | \$0.00                                    |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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# Morgan Stanley

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1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632

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JAMES ARTHUR RAE CHARITABLE  
TRUST DATED 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD.  
PHOENIX AZ 85032-4816

Taxpayer ID Number: 86-0937247  
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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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|-------------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| SYSCO CORP                    | 20.000               | 02/17/12                     | 07/26/13                    | \$691.83                | \$590.20                                   | \$0.00                                  | \$101.63              | \$0.00                                    |
|                               | 21.000               | 06/21/12                     | 07/26/13                    | \$726.42                | \$614.21                                   | \$0.00                                  | \$112.21              | \$0.00                                    |
| Security Subtotal             | 172.000              |                              |                             | \$6,005.29              | \$5,017.78                                 | \$0.00                                  | \$987.51              | \$0.00                                    |
| T ROWE PRICE GROUP INC        |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                               | 1.000                | 10/07/11                     | 03/13/13                    | \$75.04                 | \$49.27                                    | \$0.00                                  | \$25.77               | \$0.00                                    |
|                               | 5.000                | 10/07/11                     | 03/13/13                    | \$375.22                | \$253.76                                   | \$0.00                                  | \$121.46              | \$0.00                                    |
| Security Subtotal             | 6.000                |                              |                             | \$450.26                | \$303.03                                   | \$0.00                                  | \$147.23              | \$0.00                                    |
| TAIWAN SMCNDCR MFG CO LTD ADR |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                               | 5.000                | 01/14/11                     | 05/09/13                    | \$101.20                | \$66.95                                    | \$0.00                                  | \$34.25               | \$0.00                                    |
|                               | 17.000               | 04/15/11                     | 05/09/13                    | \$344.09                | \$208.42                                   | \$0.00                                  | \$135.67              | \$0.00                                    |
| Security Subtotal             | 22.000               |                              |                             | \$445.29                | \$275.37                                   | \$0.00                                  | \$169.92              | \$0.00                                    |
| TERADATA CORP                 |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                               | 13.000               | 05/09/11                     | 04/04/13                    | \$661.96                | \$695.32                                   | \$0.00                                  | (\$33.36)             | \$0.00                                    |
|                               | 10.000               | 05/09/11                     | 04/04/13                    | \$509.19                | \$534.86                                   | \$25.67                                 | (\$25.67)             | \$0.00                                    |
|                               | 3.000                | 05/09/11                     | 04/04/13                    | \$152.75                | \$182.17                                   | \$0.00                                  | (\$29.42)             | \$0.00                                    |
|                               | 11.000               | 05/09/11                     | 04/04/13                    | \$560.12                | \$588.35                                   | \$0.00                                  | (\$28.23)             | \$0.00                                    |
|                               | 3.000                | 05/09/11                     | 04/04/13                    | \$152.75                | \$160.46                                   | \$7.71                                  | (\$7.71)              | \$0.00                                    |
|                               | 2.000                | 05/09/11                     | 04/04/13                    | \$101.84                | \$106.97                                   | \$0.00                                  | (\$5.13)              | \$0.00                                    |
|                               | 1.000                | 05/09/11                     | 04/04/13                    | \$50.92                 | \$53.49                                    | \$0.00                                  | (\$2.57)              | \$0.00                                    |
|                               | 1.000                | 05/09/11                     | 04/04/13                    | \$50.92                 | \$53.49                                    | \$0.00                                  | (\$2.57)              | \$0.00                                    |
|                               | 1.000                | 02/28/12                     | 04/04/13                    | \$50.92                 | \$65.67                                    | \$0.00                                  | (\$14.75)             | \$0.00                                    |
|                               | 10.000               | 05/09/11                     | 09/12/13                    | \$597.00                | \$607.20                                   | \$0.00                                  | (\$10.20)             | \$0.00                                    |
|                               | 6.000                | 06/04/12                     | 09/12/13                    | \$358.21                | \$388.41                                   | \$0.00                                  | (\$30.20)             | \$0.00                                    |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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|-----------------------------|----------------------------------|------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| TERADATA CORP               | 7.000                            | 06/04/12         | 09/12/13                    | \$417.91                | \$453.15                                   | \$0.00                                  | (\$35.24)             | \$0.00                                    |
|                             | 3.000                            | 07/09/12         | 09/12/13                    | \$179.10                | \$196.42                                   | \$0.00                                  | (\$17.32)             | \$0.00                                    |
| <b>Security Subtotal</b>    | <b>71.000</b>                    |                  |                             | <b>\$3,843.59</b>       | <b>\$4,085.96</b>                          | <b>\$33.38</b>                          | <b>(\$242.37)</b>     | <b>\$0.00</b>                             |
| TESCO PLC SPONSORED ADR     |                                  |                  |                             |                         |                                            |                                         |                       |                                           |
|                             |                                  |                  |                             |                         |                                            |                                         |                       |                                           |
|                             | 9.000                            | 02/16/12         | 03/08/13                    | \$153.11                | \$138.06                                   | \$0.00                                  | \$15.05               | \$0.00                                    |
|                             | 19.000                           | 02/16/12         | 05/09/13                    | \$333.06                | \$291.46                                   | \$0.00                                  | \$41.60               | \$0.00                                    |
| <b>Security Subtotal</b>    | <b>28.000</b>                    |                  |                             | <b>\$486.17</b>         | <b>\$429.52</b>                            | <b>\$0.00</b>                           | <b>\$56.65</b>        | <b>\$0.00</b>                             |
| THORNBURG INTL VALUE I      |                                  |                  |                             |                         |                                            |                                         |                       |                                           |
|                             |                                  |                  |                             |                         |                                            |                                         |                       |                                           |
|                             | 21.435                           | 02/16/12         | 03/08/13                    | \$623.76                | \$582.60                                   | \$0.00                                  | \$41.16               | \$0.00                                    |
|                             | 118.032                          | 02/16/12         | 05/09/13                    | \$3,553.94              | \$3,208.11                                 | \$0.00                                  | \$345.83              | \$0.00                                    |
| <b>Security Subtotal</b>    | <b>139.467</b>                   |                  |                             | <b>\$4,177.70</b>       | <b>\$3,790.71</b>                          | <b>\$0.00</b>                           | <b>\$386.99</b>       | <b>\$0.00</b>                             |
| TIMKEN CO                   |                                  |                  |                             |                         |                                            |                                         |                       |                                           |
|                             |                                  |                  |                             |                         |                                            |                                         |                       |                                           |
|                             | 10.000                           | 07/26/12         | 09/16/13                    | \$626.51                | \$346.29                                   | \$0.00                                  | \$280.22              | \$0.00                                    |
| TRAVELERS COMPANIES INC COM |                                  |                  |                             |                         |                                            |                                         |                       |                                           |
|                             |                                  |                  |                             |                         |                                            |                                         |                       |                                           |
|                             | 19.000                           | 03/23/12         | 04/23/13                    | \$1,857.47              | \$1,104.47                                 | \$0.00                                  | \$553.00              | \$0.00                                    |
|                             | 20.000                           | 03/23/12         | 09/12/13                    | \$1,666.63              | \$1,162.60                                 | \$0.00                                  | \$504.03              | \$0.00                                    |
| <b>Security Subtotal</b>    | <b>39.000</b>                    |                  |                             | <b>\$3,524.10</b>       | <b>\$2,267.07</b>                          | <b>\$0.00</b>                           | <b>\$1,057.03</b>     | <b>\$0.00</b>                             |
| TRINITY IND DELAWARE        |                                  |                  |                             |                         |                                            |                                         |                       |                                           |
|                             |                                  |                  |                             |                         |                                            |                                         |                       |                                           |
|                             | 20.000                           | 10/25/12         | 12/31/13                    | \$1,098.57              | \$615.75                                   | \$0.00                                  | \$482.82              | \$0.00                                    |
| TURKIYE GARANTI BANKASI A S |                                  |                  |                             |                         |                                            |                                         |                       |                                           |
|                             |                                  |                  |                             |                         |                                            |                                         |                       |                                           |
|                             | 84.000                           | 01/04/11         | 03/08/13                    | \$439.56                | \$446.04                                   | \$0.00                                  | (\$6.48)              | \$0.00                                    |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

**1099 Consolidated Tax Statement**  
**Tax Year 2013 Copy B For Recipient**

Morgan Stanley Smith Barney Holdings LLC  
 1 New York Plaza  
 8th Floor  
 New York, NY 10004

MARYLAND LLC, TTEE OF THE  
 JAMES ARTHUR RAE CHARITABLE  
 TST UAD 8-20-1965 AS AMENDED  
 14602 N TATUM BLVD.  
 PHOENIX AZ 85032-4816

Identification Number: 26-4310632

Taxpayer ID Number: 86-0937247

Account Number: 003 113436 035

**Customer Service: 866-324-6088**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

| DESCRIPTION (Box 8)                     | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|-----------------------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| CUSIP: 90384S303 Symbol (Box 1d): ULTA  |                      |                              |                             |                         |                                            |                                         |                       |                                           |
| ULTA SALON COS & FRAGR INC              | 3 000                | 01/14/11                     | 03/08/13                    | \$269.50                | \$111.11                                   | \$0.00                                  | \$158.39              | \$0.00                                    |
|                                         | 10 000               | 01/14/11                     | 12/06/13                    | \$931.90                | \$370.36                                   | \$0.00                                  | \$561.54              | \$0.00                                    |
|                                         | 1 000                | 01/14/11                     | 12/06/13                    | \$93.19                 | \$37.04                                    | \$0.00                                  | \$56.15               | \$0.00                                    |
|                                         | 1 000                | 02/28/12                     | 12/09/13                    | \$90.82                 | \$82.83                                    | \$0.00                                  | \$7.99                | \$0.00                                    |
|                                         | 4 000                | 02/28/12                     | 12/09/13                    | \$363.29                | \$331.33                                   | \$0.00                                  | \$31.96               | \$0.00                                    |
|                                         | 2 000                | 04/10/12                     | 12/09/13                    | \$181.64                | \$186.69                                   | \$0.00                                  | (\$5.05)              | \$0.00                                    |
|                                         | 1 000                | 04/10/12                     | 12/09/13                    | \$90.82                 | \$93.35                                    | \$0.00                                  | (\$2.53)              | \$0.00                                    |
| <b>Security Subtotal</b>                | <b>22 000</b>        |                              |                             | <b>\$2,021.16</b>       | <b>\$1,212.71</b>                          | <b>\$0.00</b>                           | <b>\$808.45</b>       | <b>\$0.00</b>                             |
| CUSIP: 90460M204 Symbol (Box 1d): UNICY |                      |                              |                             |                         |                                            |                                         |                       |                                           |
| UNICHARM CORP UNSPON ADR                | 15 000               | 02/16/12                     | 03/08/13                    | \$172.49                | \$158.43                                   | \$0.00                                  | \$14.06               | \$0.00                                    |
|                                         | 26 000               | 02/16/12                     | 05/09/13                    | \$326.29                | \$274.61                                   | \$0.00                                  | \$51.68               | \$0.00                                    |
| <b>Security Subtotal</b>                | <b>41 000</b>        |                              |                             | <b>\$498.78</b>         | <b>\$433.04</b>                            | <b>\$0.00</b>                           | <b>\$65.74</b>        | <b>\$0.00</b>                             |
| CUSIP: 91324P102 Symbol (Box 1d): UNH   |                      |                              |                             |                         |                                            |                                         |                       |                                           |
| UNITEDHEALTH GP INC                     | 3 000                | 04/29/11                     | 03/08/13                    | \$161.81                | \$147.61                                   | \$0.00                                  | \$14.20               | \$0.00                                    |
|                                         | 6 000                | 04/29/11                     | 05/09/13                    | \$371.27                | \$295.22                                   | \$0.00                                  | \$76.05               | \$0.00                                    |
|                                         | 4 000                | 04/29/11                     | 05/09/13                    | \$247.51                | \$196.81                                   | \$0.00                                  | \$50.70               | \$0.00                                    |
|                                         | 5 000                | 04/29/11                     | 07/11/13                    | \$341.53                | \$246.01                                   | \$0.00                                  | \$95.52               | \$0.00                                    |
|                                         | 6 000                | 05/02/11                     | 07/11/13                    | \$409.83                | \$299.33                                   | \$0.00                                  | \$110.50              | \$0.00                                    |
|                                         | 2 000                | 05/02/11                     | 07/11/13                    | \$136.61                | \$99.78                                    | \$0.00                                  | \$36.83               | \$0.00                                    |
|                                         | 7 000                | 05/02/11                     | 07/15/13                    | \$474.22                | \$349.21                                   | \$0.00                                  | \$125.01              | \$0.00                                    |
|                                         | 1 000                | 05/06/11                     | 07/15/13                    | \$67.74                 | \$50.03                                    | \$0.00                                  | \$17.71               | \$0.00                                    |
|                                         | 10 000               | 05/06/11                     | 07/15/13                    | \$677.46                | \$500.32                                   | \$0.00                                  | \$177.14              | \$0.00                                    |
|                                         | 1 000                | 05/06/11                     | 07/18/13                    | \$70.08                 | \$50.03                                    | \$0.00                                  | \$20.05               | \$0.00                                    |

CONTINUED ON NEXT PAGE

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TST UAD 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD.  
PHOENIX AZ 85032-4816

Identification Number 26-4310632

Taxpayer ID Number: 86-0937247  
Account Number: 003 113436 035

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked ) (Continued)

| DESCRIPTION (Box 8)         | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|-----------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| UNITEDHEALTH GP INC         | 13.000               | 05/06/11                     | 07/18/13                    | \$911.09                | \$650.42                                   | \$0.00                                  | \$260.67              | \$0.00                                    |
|                             | 4.000                | 05/17/11                     | 07/18/13                    | \$280.34                | \$202.73                                   | \$0.00                                  | \$77.61               | \$0.00                                    |
|                             | 2.000                | 05/17/11                     | 07/24/13                    | \$144.94                | \$101.36                                   | \$0.00                                  | \$43.58               | \$0.00                                    |
|                             | 8.000                | 05/17/11                     | 07/24/13                    | \$579.75                | \$405.45                                   | \$0.00                                  | \$174.30              | \$0.00                                    |
|                             | 1.000                | 05/17/11                     | 10/07/13                    | \$72.43                 | \$50.68                                    | \$0.00                                  | \$21.75               | \$0.00                                    |
|                             | 4.000                | 06/02/11                     | 10/07/13                    | \$289.74                | \$196.43                                   | \$0.00                                  | \$93.31               | \$0.00                                    |
|                             | 4.000                | 06/02/11                     | 10/07/13                    | \$289.74                | \$196.43                                   | \$0.00                                  | \$93.31               | \$0.00                                    |
|                             | 3.000                | 06/02/11                     | 10/07/13                    | \$217.30                | \$147.33                                   | \$0.00                                  | \$69.97               | \$0.00                                    |
|                             | 12.000               | 06/02/11                     | 10/08/13                    | \$863.53                | \$589.30                                   | \$0.00                                  | \$274.23              | \$0.00                                    |
|                             | 7.000                | 06/02/11                     | 10/08/13                    | \$503.73                | \$343.76                                   | \$0.00                                  | \$159.97              | \$0.00                                    |
|                             | 5.000                | 06/02/11                     | 10/08/13                    | \$359.81                | \$245.54                                   | \$0.00                                  | \$114.27              | \$0.00                                    |
|                             | 5.000                | 06/02/11                     | 10/09/13                    | \$357.34                | \$245.54                                   | \$0.00                                  | \$111.80              | \$0.00                                    |
|                             | 1.000                | 07/27/11                     | 10/09/13                    | \$71.47                 | \$50.49                                    | \$0.00                                  | \$20.98               | \$0.00                                    |
|                             | 6.000                | 07/27/11                     | 10/09/13                    | \$428.80                | \$302.94                                   | \$0.00                                  | \$125.86              | \$0.00                                    |
| Security Subtotal           | 120.000              |                              |                             | \$8,328.07              | \$5,962.75                                 | \$0.00                                  | \$2,365.32            | \$0.00                                    |
| VALERO ENERGY CP DELA NEW   |                      | CUSIP: 91913Y100             | Symbol (Box 1d): VLO        |                         |                                            |                                         |                       |                                           |
|                             | 16.000               | 01/14/11                     | 01/15/13                    | \$570.57                | \$394.68                                   | \$0.00                                  | \$175.89              | \$0.00                                    |
| VANGUARD MID CAP VALUE ETF  |                      | CUSIP: 922908512             | Symbol (Box 1d): VOE        |                         |                                            |                                         |                       |                                           |
|                             | 21.000               | 02/16/12                     | 05/09/13                    | \$1,464.08              | \$1,192.88                                 | \$0.00                                  | \$271.20              | \$0.00                                    |
| VANGUARD MIDCAP GROWTH ETF  |                      | CUSIP: 922908538             | Symbol (Box 1d): VOT        |                         |                                            |                                         |                       |                                           |
|                             | 13.000               | 02/16/12                     | 05/09/13                    | \$1,032.95              | \$882.57                                   | \$0.00                                  | \$150.38              | \$0.00                                    |
| VIRTUS INSIGHT EMERG MKTS I |                      | CUSIP: 92828T889             | Symbol (Box 1d): HIEMX      |                         |                                            |                                         |                       |                                           |
|                             | 850.000              | 02/16/12                     | 05/09/13                    | \$9,290.50              | \$8,160.00                                 | \$0.00                                  | \$1,130.50            | \$0.00                                    |

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Page 83 of 116

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TRUST UAD 8-20-1965 AS AMENDED  
14602 N TATUM BLVD.  
PHOENIX AZ 85032-4816

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: 86-0937247  
Account Number: 003 113436 035  
**Customer Service: 866-324-6088**

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked )  
(Continued)

| DESCRIPTION (Box 8)                 | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|-------------------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| <b>VISA INC CL A</b>                |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                                     |                      | CUSIP: 92826C839             |                             | Symbol (Box 1d): V      |                                            |                                         |                       |                                           |
|                                     | 5.000                | 01/07/11                     | 01/11/13                    | \$802.01                | \$364.73                                   | \$0.00                                  | \$437.28              | \$0.00                                    |
|                                     | 1.000                | 01/07/11                     | 03/08/13                    | \$161.03                | \$72.95                                    | \$0.00                                  | \$88.08               | \$0.00                                    |
|                                     | 3.000                | 01/07/11                     | 03/12/13                    | \$478.95                | \$218.84                                   | \$0.00                                  | \$260.11              | \$0.00                                    |
|                                     | 5.000                | 01/07/11                     | 05/09/13                    | \$1,070.07              | \$437.68                                   | \$0.00                                  | \$632.39              | \$0.00                                    |
| Security Subtotal                   | 15.000               |                              |                             | \$2,512.06              | \$1,094.20                                 | \$0.00                                  | \$1,417.86            | \$0.00                                    |
| <b>W W GRAINGER INC</b>             |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                                     |                      | CUSIP: 384802104             |                             | Symbol (Box 1d): GWV    |                                            |                                         |                       |                                           |
|                                     | 1.000                | 10/17/12                     | 11/26/13                    | \$257.76                | \$210.00                                   | \$0.00                                  | \$47.76               | \$0.00                                    |
|                                     | 1.000                | 10/17/12                     | 12/12/13                    | \$252.08                | \$210.00                                   | \$0.00                                  | \$42.08               | \$0.00                                    |
|                                     | 2.000                | 10/17/12                     | 12/12/13                    | \$504.15                | \$420.00                                   | \$0.00                                  | \$84.15               | \$0.00                                    |
|                                     | 4.000                | 10/17/12                     | 12/12/13                    | \$1,008.31              | \$840.00                                   | \$0.00                                  | \$168.31              | \$0.00                                    |
|                                     | 2.000                | 11/12/12                     | 12/12/13                    | \$504.15                | \$388.00                                   | \$0.00                                  | \$116.15              | \$0.00                                    |
| Security Subtotal                   | 10.000               |                              |                             | \$2,526.45              | \$2,088.00                                 | \$0.00                                  | \$438.45              | \$0.00                                    |
| <b>WATERS CORP</b>                  |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                                     |                      | CUSIP: 941848103             |                             | Symbol (Box 1d): WAT    |                                            |                                         |                       |                                           |
|                                     | 3.000                | 03/19/12                     | 05/02/13                    | \$278.67                | \$277.50                                   | \$0.00                                  | \$1.17                | \$0.00                                    |
|                                     | 5.000                | 03/19/12                     | 05/02/13                    | \$464.45                | \$462.51                                   | \$0.00                                  | \$1.94                | \$0.00                                    |
| Security Subtotal                   | 8.000                |                              |                             | \$743.12                | \$740.01                                   | \$0.00                                  | \$3.11                | \$0.00                                    |
| <b>WESTERN ASSET CORE PLUS BD I</b> |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                                     |                      | CUSIP: 957663503             |                             | Symbol (Box 1d): WACPX  |                                            |                                         |                       |                                           |
|                                     | 46.082               | 02/16/12                     | 05/09/13                    | \$541.00                | \$518.42                                   | \$0.00                                  | \$22.58               | \$0.00                                    |
| <b>WHITING PETROLEUM CORP</b>       |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                                     |                      | CUSIP: 966387102             |                             | Symbol (Box 1d): WLL    |                                            |                                         |                       |                                           |
|                                     | 12.000               | 06/30/11                     | 11/27/13                    | \$719.03                | \$678.65                                   | \$0.00                                  | \$40.38               | \$0.00                                    |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked )  
(Continued)

| DESCRIPTION (Box 8)                                                  | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|----------------------------------------------------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| WMS IND INC CUSIP: 929297109 Symbol (Box 1d): WMS                    |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                                                                      | 8.000                | 01/14/11                     | 06/28/13                    | \$203.82                | \$377.04                                   | \$0.00                                  | (\$173.22)            | \$0.00                                    |
|                                                                      | 3.000                | 04/15/11                     | 06/28/13                    | \$76.43                 | \$93.99                                    | \$0.00                                  | (\$17.56)             | \$0.00                                    |
|                                                                      | 52.000               | 09/23/11                     | 06/28/13                    | \$1,324.83              | \$945.61                                   | \$0.00                                  | \$379.22              | \$0.00                                    |
| Security Subtotal                                                    | 63.000               |                              |                             | \$1,605.08              | \$1,416.64                                 | \$0.00                                  | \$188.44              | \$0.00                                    |
| WPP PLC SPON NEW ADR CUSIP: 92937A102 Symbol (Box 1d): WPPGY         |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                                                                      | 3.000                | 02/16/12                     | 03/08/13                    | \$243.71                | \$193.11                                   | \$0.00                                  | \$50.60               | \$0.00                                    |
| XINYI GLASS HLDGS LTD UNSPON CUSIP: 98418R100 Symbol (Box 1d): XYIGY |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                                                                      | 97.000               | 07/12/12                     | 09/24/13                    | \$1,746.23              | \$1,078.22                                 | \$0.00                                  | \$668.01              | \$0.00                                    |
| YUM BRANDS INC CUSIP: 988498101 Symbol (Box 1d): YUM                 |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                                                                      | 7.000                | 01/14/11                     | 04/23/13                    | \$444.83                | \$337.03                                   | \$0.00                                  | \$107.80              | \$0.00                                    |
|                                                                      | 2.000                | 01/14/11                     | 08/30/13                    | \$139.70                | \$96.30                                    | \$0.00                                  | \$43.40               | \$0.00                                    |
| Security Subtotal                                                    | 9.000                |                              |                             | \$584.53                | \$433.33                                   | \$0.00                                  | \$151.20              | \$0.00                                    |
| Total Long Term Covered Securities                                   |                      |                              |                             | \$341,949.28            | \$297,118.91                               | \$1,132.60                              | \$44,830.38           | \$0.00                                    |

# Morgan Stanley

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS** **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

| DESCRIPTION (Box 8)            | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a)            | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|--------------------------------|----------------------|------------------------------|-----------------------------|------------------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| ALLIED WORLD ASSUR CO HLDG LTD | 11.000               | CUSIP: H01531104             | 05/02/13                    | Symbol (Box 1d): AWH<br>\$977.61   | \$487.78                                   | \$0.00                                  | \$489.83              | \$0.00                                    |
| ALLSTATE CORP                  |                      | CUSIP: 020002101             | 05/09/13                    | Symbol (Box 1d): ALL<br>\$49.43    | \$29.49                                    | \$0.00                                  | \$19.94               | \$0.00                                    |
|                                | 1.000                | 06/04/10                     | 05/09/13                    | \$247.16                           | \$143.66                                   | \$0.00                                  | \$103.50              | \$0.00                                    |
|                                | 5.000                | 07/15/10                     | 05/09/13                    | \$296.59                           | \$173.15                                   | \$0.00                                  | \$123.44              | \$0.00                                    |
| Security Subtotal              | 6.000                |                              |                             |                                    |                                            |                                         |                       |                                           |
| AMER CENT INTL BOND INV        |                      | CUSIP: 025082108             | 05/09/13                    | Symbol (Box 1d): BEGBX<br>\$404.66 | \$414.79                                   | \$0.00                                  | (\$10.13)             | \$0.00                                    |
|                                | 28.966               | 10/07/11                     | 05/09/13                    |                                    |                                            |                                         |                       |                                           |
| AMERICA MOVIL SA DE CV ADR L   |                      | CUSIP: 02364W105             | 03/08/13                    | Symbol (Box 1d): AMX<br>\$208.84   | NOT ON FILE                                |                                         |                       | \$0.00                                    |
|                                | 10.000               | 03/18/13                     | 03/18/13                    | \$684.59                           | NOT ON FILE                                |                                         |                       | \$0.00                                    |
|                                | 37.000               | 11/30/09                     | 03/18/13                    | \$74.01                            | \$192.75                                   | \$0.00                                  | (\$118.74)            | \$0.00                                    |
|                                | 4.000                | 01/28/10                     | 03/18/13                    | \$444.06                           | \$1,056.72                                 | \$0.00                                  | (\$612.66)            | \$0.00                                    |
|                                | 24.000               | 06/04/10                     | 03/18/13                    | \$222.03                           | \$570.49                                   | \$0.00                                  | (\$348.46)            | \$0.00                                    |
|                                | 12.000               | 06/21/10                     | 03/18/13                    | \$74.01                            | \$202.92                                   | \$0.00                                  | (\$128.91)            | \$0.00                                    |
|                                | 4.000                | 10/05/10                     | 03/18/13                    | \$203.53                           | \$604.89                                   | \$0.00                                  | (\$401.36)            | \$0.00                                    |
|                                | 11.000               | 10/12/10                     | 03/18/13                    | \$610.58                           | \$1,823.54                                 | \$0.00                                  | (\$1,212.96)          | \$0.00                                    |
| Security Subtotal              | 135.000              |                              |                             | \$2,521.65                         | \$4,451.31                                 | \$0.00                                  | (\$2,823.09)          | \$0.00                                    |
| AMERICAN ELECTRIC POWER CO     |                      | CUSIP: 025537101             | 03/08/13                    | Symbol (Box 1d): AEP<br>\$472.73   | \$318.61                                   | \$0.00                                  | \$154.12              | \$0.00                                    |
|                                | 10.000               | 05/27/10                     | 03/08/13                    |                                    |                                            |                                         |                       |                                           |
| AMERICAN TOWER REIT COM        |                      | CUSIP: 03027X100             | 01/03/13                    | Symbol (Box 1d): AMT<br>\$923.97   | \$550.75                                   | \$0.00                                  | \$373.22              | \$0.00                                    |
|                                | 12.000               | 08/12/10                     | 11/25/13                    | \$384.13                           | \$229.48                                   | \$0.00                                  | \$154.65              | \$0.00                                    |
| Security Subtotal              | 17.000               |                              |                             | \$1,308.10                         | \$780.23                                   | \$0.00                                  | \$527.87              | \$0.00                                    |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

**1099 Consolidated Tax Statement**  
**Tax Year 2013 Copy B For Recipient**

Morgan Stanley Smith Barney Holdings LLC  
 1 New York Plaza  
 8th Floor

New York, NY 10004  
 Identification Number 26-4310632

MARYLAND LLC, TTEE OF THE  
 JAMES ARTHUR RAE CHARITABLE  
 TST UAD 8-20-1965 AS AMENDED  
 14602 N. TATUM BLVD.  
 PHOENIX AZ 85032-4816

Taxpayer ID Number 86-0937247  
 Account Number: 003 113436 035

**Customer Service: 866-324-6088**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
 (Continued)

| DESCRIPTION (Box 8)                    | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|----------------------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| APPLE INC                              |                      |                              |                             |                         |                                            |                                         |                       |                                           |
| CUSIP: 037833100 Symbol (Box 1d): AAPL |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                                        | 2 000                | 06/04/10                     | 02/19/13                    | \$909.09                | \$515.18                                   | \$0.00                                  | \$393.91              | \$0.00                                    |
|                                        | 1 000                | 06/04/10                     | 02/20/13                    | \$449.56                | \$257.59                                   | \$0.00                                  | \$191.97              | \$0.00                                    |
|                                        | 1 000                | 07/23/10                     | 02/20/13                    | \$449.55                | \$258.45                                   | \$0.00                                  | \$191.10              | \$0.00                                    |
|                                        | 1 000                | 07/23/10                     | 03/08/13                    | \$431.67                | \$258.45                                   | \$0.00                                  | \$173.22              | \$0.00                                    |
|                                        | 4 000                | 07/23/10                     | 07/09/13                    | \$1,671.96              | \$1,033.79                                 | \$0.00                                  | \$638.17              | \$0.00                                    |
|                                        | 2 000                | 07/23/10                     | 09/16/13                    | \$911.56                | \$516.90                                   | \$0.00                                  | \$394.66              | \$0.00                                    |
|                                        | 3 000                | 07/23/10                     | 09/18/13                    | \$1,392.25              | \$775.34                                   | \$0.00                                  | \$616.91              | \$0.00                                    |
|                                        | 1 000                | 08/10/10                     | 10/15/13                    | \$498.85                | \$258.39                                   | \$0.00                                  | \$240.46              | \$0.00                                    |
|                                        | 3 000                | 08/10/10                     | 11/22/13                    | \$1,565.08              | \$775.16                                   | \$0.00                                  | \$789.92              | \$0.00                                    |
| <b>Security Subtotal</b>               | <b>18 000</b>        |                              |                             | <b>\$8,279.57</b>       | <b>\$4,649.25</b>                          | <b>\$0.00</b>                           | <b>\$3,630.32</b>     | <b>\$0.00</b>                             |
| ARCHER DANIELS MIDLAND                 |                      |                              |                             |                         |                                            |                                         |                       |                                           |
| CUSIP: 039483102 Symbol (Box 1d): ADM  |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                                        | 2 000                | 06/30/10                     | 03/08/13                    | \$65.43                 | \$51.87                                    | \$0.00                                  | \$13.56               | \$0.00                                    |
|                                        | 13 000               | 06/30/10                     | 05/09/13                    | \$441.08                | \$337.17                                   | \$0.00                                  | \$103.91              | \$0.00                                    |
|                                        | 51 000               | 06/30/10                     | 08/14/13                    | \$1,971.40              | \$1,322.73                                 | \$0.00                                  | \$648.67              | \$0.00                                    |
| <b>Security Subtotal</b>               | <b>66 000</b>        |                              |                             | <b>\$2,477.91</b>       | <b>\$1,711.77</b>                          | <b>\$0.00</b>                           | <b>\$766.14</b>       | <b>\$0.00</b>                             |
| BANK OF AMERICA CORP                   |                      |                              |                             |                         |                                            |                                         |                       |                                           |
| CUSIP: 060505104 Symbol (Box 1d): BAC  |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                                        | 172 000              | 11/30/09                     | 02/04/13                    | \$1,992.11              | \$2,698.04                                 | \$0.00                                  | (\$705.93)            | \$0.00                                    |
|                                        | 77 000               | 01/06/10                     | 02/04/13                    | \$891.82                | \$1,260.65                                 | \$0.00                                  | (\$368.83)            | \$0.00                                    |
|                                        | 52 000               | 06/04/10                     | 02/04/13                    | \$602.27                | \$810.16                                   | \$0.00                                  | (\$207.89)            | \$0.00                                    |
|                                        | 56 000               | 07/15/10                     | 02/04/13                    | \$648.59                | \$856.24                                   | \$0.00                                  | (\$207.65)            | \$0.00                                    |
|                                        | 17 000               | 11/19/10                     | 02/04/13                    | \$196.89                | \$196.86                                   | \$0.00                                  | \$0.03                | \$0.00                                    |
| <b>Security Subtotal</b>               | <b>374 000</b>       |                              |                             | <b>\$4,331.68</b>       | <b>\$5,821.95</b>                          | <b>\$0.00</b>                           | <b>(\$1,490.27)</b>   | <b>\$0.00</b>                             |

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**1099 Consolidated Tax Statement**  
**Tax Year 2013 Copy B For Recipient**

Morgan Stanley Smith Barney Holdings LLC  
 1 New York Plaza  
 8th Floor

New York, NY 10004  
 Identification Number: 26-4310632

Taxpayer ID Number: 86-0937247  
 Account Number: 003 113436 035

**Customer Service: 866-324-6088**

MARYLAND LLC, TTEE OF THE  
 JAMES ARTHUR RAE CHARITABLE  
 TRUST UAD 8-20-1965 AS AMENDED  
 14602 N. TATUM BLVD.  
 PHOENIX AZ 85032-4816

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities** \* (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
 (Continued)

| DESCRIPTION (Box 8)          | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|------------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| <b>BEST BUY CO</b>           |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                              | CUSIP: 086516101     | Symbol (Box 1d): BBY         |                             |                         |                                            |                                         |                       |                                           |
|                              | 9 000                | 11/30/09                     | 03/08/13                    | \$174.34                | \$384.55                                   | \$0.00                                  | (\$210.21)            | \$0.00                                    |
|                              | 20 000               | 11/30/09                     | 04/10/13                    | \$494.34                | \$854.56                                   | \$0.00                                  | (\$360.22)            | \$0.00                                    |
|                              | 12 000               | 11/30/09                     | 04/10/13                    | \$296.60                | \$512.74                                   | \$0.00                                  | (\$216.14)            | \$0.00                                    |
|                              | 34 000               | 12/17/09                     | 04/10/13                    | \$840.38                | \$1,400.73                                 | \$0.00                                  | (\$560.35)            | \$0.00                                    |
|                              | 15 000               | 06/04/10                     | 04/10/13                    | \$370.75                | \$582.44                                   | \$0.00                                  | (\$211.69)            | \$0.00                                    |
|                              | 14 000               | 11/12/10                     | 04/10/13                    | \$346.04                | \$615.94                                   | \$0.00                                  | (\$269.90)            | \$0.00                                    |
|                              | 3 000                | 11/12/10                     | 04/10/13                    | \$74.15                 | \$131.99                                   | \$0.00                                  | (\$57.84)             | \$0.00                                    |
| <b>Security Subtotal</b>     | <b>107 000</b>       |                              |                             | <b>\$2,596.60</b>       | <b>\$4,482.95</b>                          | <b>\$0.00</b>                           | <b>(\$1,886.35)</b>   | <b>\$0.00</b>                             |
| <b>BG GROUP PLC</b>          |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                              | CUSIP: 055434203     | Symbol (Box 1d): BRGY        |                             |                         |                                            |                                         |                       |                                           |
|                              | 15 000               | 08/27/10                     | 03/08/13                    | \$261.90                | \$249.32                                   | \$0.00                                  | \$12.58               | \$0.00                                    |
|                              | 1 000                | 11/19/10                     | 03/08/13                    | \$17.46                 | \$19.61                                    | \$0.00                                  | (\$2.15)              | \$0.00                                    |
| <b>Security Subtotal</b>     | <b>16 000</b>        |                              |                             | <b>\$279.36</b>         | <b>\$268.93</b>                            | <b>\$0.00</b>                           | <b>\$10.43</b>        | <b>\$0.00</b>                             |
| <b>BORG WARNER INC</b>       |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                              | CUSIP: 099724106     | Symbol (Box 1d): BWA         |                             |                         |                                            |                                         |                       |                                           |
|                              | 2 000                | 08/11/10                     | 03/08/13                    | \$157.21                | \$90.00                                    | \$0.00                                  | \$67.21               | \$0.00                                    |
|                              | 2 000                | 08/11/10                     | 05/09/13                    | \$164.20                | \$90.00                                    | \$0.00                                  | \$74.20               | \$0.00                                    |
|                              | 2 000                | 11/19/10                     | 05/09/13                    | \$164.19                | \$118.50                                   | \$0.00                                  | \$45.69               | \$0.00                                    |
| <b>Security Subtotal</b>     | <b>6 000</b>         |                              |                             | <b>\$485.60</b>         | <b>\$298.50</b>                            | <b>\$0.00</b>                           | <b>\$187.10</b>       | <b>\$0.00</b>                             |
| <b>BOSTON PROPERTIES INC</b> |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                              | CUSIP: 101121101     | Symbol (Box 1d): BXP         |                             |                         |                                            |                                         |                       |                                           |
|                              | 12 000               | 04/13/10                     | 02/14/13                    | \$1,281.52              | \$930.00                                   | \$0.00                                  | \$351.52              | \$0.00                                    |
| <b>CAMBIAR SMALL CAP INV</b> |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                              | CUSIP: 0075W0817     | Symbol (Box 1d): CAMSX       |                             |                         |                                            |                                         |                       |                                           |
|                              | 70 019               | 08/21/09                     | 05/09/13                    | \$1,441.69              | \$822.72                                   | \$0.00                                  | \$618.97              | \$0.00                                    |

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Morgan Stanley Smith Barney Holdings LLC  
 1 New York Plaza  
 8th Floor

New York, NY 10004  
 Identification Number: 26-4310632

MARYLAND LLC, TTEE OF THE  
 JAMES ARTHUR RAE CHARITABLE  
 TST UAD 8-20-1965 AS AMENDED  
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 PHOENIX AZ 85032-4816

Taxpayer ID Number: 86-0937247  
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**Customer Service: 866-324-6088**

**OMB NO. 1545-0715**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Long Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
 (Continued)

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|----------------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| <b>CERNER CORP</b>               |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                                  |                      | CUSIP: 156782104             | Symbol (Box 1d): CERN       |                         |                                            |                                         |                       |                                           |
|                                  | 1 000                | 08/11/10                     | 03/08/13                    | \$91.80                 | \$38.40                                    | \$0.00                                  | \$53.40               | \$0.00                                    |
|                                  | 10 000               | 08/11/10                     | 03/13/13                    | \$928.05                | \$384.04                                   | \$0.00                                  | \$544.01              | \$0.00                                    |
|                                  | 1 000                | 11/19/10                     | 03/13/13                    | \$92.80                 | \$43.46                                    | \$0.00                                  | \$49.34               | \$0.00                                    |
|                                  | 3 000                | 11/19/10                     | 05/09/13                    | \$282.05                | \$130.37                                   | \$0.00                                  | \$151.68              | \$0.00                                    |
|                                  | 5 000                | 11/19/10                     | 05/10/13                    | \$470.02                | \$217.28                                   | \$0.00                                  | \$252.74              | \$0.00                                    |
| <b>Security Subtotal</b>         | <b>20 000</b>        |                              |                             | <b>\$1,864.72</b>       | <b>\$813.55</b>                            | <b>\$0.00</b>                           | <b>\$1,051.17</b>     | <b>\$0.00</b>                             |
| <b>CHEVRON CORP</b>              |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                                  |                      | CUSIP: 166764100             | Symbol (Box 1d): CVX        |                         |                                            |                                         |                       |                                           |
|                                  | 4 000                | 11/30/09                     | 03/08/13                    | \$473.26                | \$311.27                                   | \$0.00                                  | \$161.99              | \$0.00                                    |
|                                  | 3 000                | 11/30/09                     | 05/09/13                    | \$370.97                | \$233.45                                   | \$0.00                                  | \$137.52              | \$0.00                                    |
| <b>Security Subtotal</b>         | <b>7 000</b>         |                              |                             | <b>\$844.23</b>         | <b>\$544.72</b>                            | <b>\$0.00</b>                           | <b>\$299.51</b>       | <b>\$0.00</b>                             |
| <b>COMERICA INC</b>              |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                                  |                      | CUSIP: 200340107             | Symbol (Box 1d): CMA        |                         |                                            |                                         |                       |                                           |
|                                  | 15 000               | 11/30/09                     | 01/15/13                    | \$472.04                | \$421.80                                   | \$0.00                                  | \$50.24               | \$0.00                                    |
|                                  | 2 000                | 11/19/10                     | 01/15/13                    | \$62.94                 | \$74.94                                    | \$0.00                                  | (\$12.00)             | \$0.00                                    |
| <b>Security Subtotal</b>         | <b>17 000</b>        |                              |                             | <b>\$534.98</b>         | <b>\$496.74</b>                            | <b>\$0.00</b>                           | <b>\$38.24</b>        | <b>\$0.00</b>                             |
| <b>CONOCOPHILLIPS</b>            |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                                  |                      | CUSIP: 20825C104             | Symbol (Box 1d): COP        |                         |                                            |                                         |                       |                                           |
|                                  | 6 000                | 11/30/09                     | 03/08/13                    | \$347.81                | \$238.53                                   | \$0.00                                  | \$109.28              | \$0.00                                    |
|                                  | 6 000                | 11/30/09                     | 05/09/13                    | \$375.53                | \$238.53                                   | \$0.00                                  | \$137.00              | \$0.00                                    |
| <b>Security Subtotal</b>         | <b>12 000</b>        |                              |                             | <b>\$723.34</b>         | <b>\$477.06</b>                            | <b>\$0.00</b>                           | <b>\$246.28</b>       | <b>\$0.00</b>                             |
| <b>COSTCO WHOLESALE CORP NEW</b> |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                                  |                      | CUSIP: 22160K105             | Symbol (Box 1d): COST       |                         |                                            |                                         |                       |                                           |
|                                  | 2 000                | 11/05/09                     | 05/09/13                    | \$218.69                | \$118.00                                   | \$0.00                                  | \$100.69              | \$0.00                                    |

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# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TST UAD 8-20-1965 AS AMENDED  
14602 N TATUM BLVD.  
PHOENIX AZ 85032-4816

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked ) (Continued)

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|---------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| CVS CAREMARK CORP   |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                     |                      | CUSIP: 126650100             | Symbol (Box 1d): CVS        |                         |                                            |                                         |                       |                                           |
|                     | 7 000                | 10/01/09                     | 01/22/13                    | \$364 39                | \$250 72                                   | \$0 00                                  | \$113 67              | \$0 00                                    |
|                     | 4 000                | 10/12/09                     | 01/22/13                    | \$208 23                | \$145 44                                   | \$0 00                                  | \$62 79               | \$0 00                                    |
|                     | 10 000               | 10/12/09                     | 01/24/13                    | \$523 71                | \$363 59                                   | \$0 00                                  | \$160 12              | \$0 00                                    |
|                     | 4 000                | 10/12/09                     | 03/08/13                    | \$209 19                | \$145 44                                   | \$0 00                                  | \$63 75               | \$0 00                                    |
|                     | 19 000               | 10/12/09                     | 04/15/13                    | \$1,089.77              | \$690 83                                   | \$0 00                                  | \$398 94              | \$0 00                                    |
|                     | 1 000                | 10/12/09                     | 04/15/13                    | \$57 35                 | \$36 36                                    | \$0 00                                  | \$20 99               | \$0 00                                    |
|                     | 7 000                | 06/30/10                     | 04/15/13                    | \$401 50                | \$207 08                                   | \$0 00                                  | \$194 42              | \$0 00                                    |
|                     | 5 000                | 06/30/10                     | 05/09/13                    | \$286 69                | \$147 92                                   | \$0 00                                  | \$138 77              | \$0 00                                    |
|                     | 1 000                | 06/30/10                     | 05/09/13                    | \$57 34                 | \$29 58                                    | \$0 00                                  | \$27 76               | \$0 00                                    |
|                     | 11 000               | 06/30/10                     | 09/19/13                    | \$659 77                | \$325 42                                   | \$0 00                                  | \$334 35              | \$0 00                                    |
|                     | 5 000                | 06/30/10                     | 09/19/13                    | \$299.90                | \$147 92                                   | \$0 00                                  | \$151 98              | \$0 00                                    |
| Security Subtotal   | 74 000               |                              |                             | \$4,157.84              | \$2,480.30                                 | \$0 00                                  | \$1,667.54            | \$0 00                                    |
| DANAHER CORPORATION |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                     |                      | CUSIP: 235851102             | Symbol (Box 1d): DHR        |                         |                                            |                                         |                       |                                           |
|                     | 6 000                | 11/14/08                     | 03/08/13                    | \$371 21                | \$163 56                                   | \$0 00                                  | \$207 65              | \$0 00                                    |
|                     | 2 000                | 11/14/08                     | 03/08/13                    | \$123 74                | \$54 52                                    | \$0 00                                  | \$69 22               | \$0 00                                    |
|                     | 1 000                | 11/14/08                     | 03/08/13                    | \$61 87                 | \$27 26                                    | \$0 00                                  | \$34 61               | \$0 00                                    |
|                     | 5 000                | 06/10/09                     | 03/08/13                    | \$309 33                | \$156 70                                   | \$0 00                                  | \$152 63              | \$0 00                                    |
|                     | 16 000               | 06/10/09                     | 05/09/13                    | \$986 05                | \$501 44                                   | \$0 00                                  | \$484 61              | \$0 00                                    |
|                     | 6 000                | 06/10/09                     | 07/25/13                    | \$403 46                | \$188 04                                   | \$0 00                                  | \$215 42              | \$0 00                                    |
|                     | 2 000                | 06/10/09                     | 07/25/13                    | \$134 49                | \$62 68                                    | \$0 00                                  | \$71 81               | \$0 00                                    |
|                     | 11 000               | 06/10/09                     | 07/25/13                    | \$739 68                | \$344 74                                   | \$0 00                                  | \$394 94              | \$0 00                                    |
|                     | 1 000                | 11/19/09                     | 07/25/13                    | \$67.24                 | \$35.67                                    | \$0 00                                  | \$31.57               | \$0 00                                    |
| Security Subtotal   | 50 000               |                              |                             | \$3,197.07              | \$1,534.61                                 | \$0 00                                  | \$1,662.46            | \$0 00                                    |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number 86-0937247  
Account Number: 003 113436 035  
**Customer Service: 866-324-6088**

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TST UAD 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD.  
PHOENIX AZ 85032-4816

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked )  
(Continued)

| DESCRIPTION (Box 8) | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|---------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| DARDEN RESTAURANTS  | CUSIP: 237194105     | Symbol (Box 1d): DRI         |                             |                         |                                            |                                         |                       |                                           |
|                     | 5 000                | 07/23/10                     | 10/10/13                    | \$245.00                | \$212.29                                   | \$0.00                                  | \$32.71               | \$0.00                                    |
| ECOLAB INC          | CUSIP: 278865100     | Symbol (Box 1d): ECL         |                             |                         |                                            |                                         |                       |                                           |
|                     | 16.000               | 11/30/09                     | 02/04/13                    | \$1,172.06              | \$714.81                                   | \$0.00                                  | \$457.25              | \$0.00                                    |
|                     | 5 000                | 06/04/10                     | 05/02/13                    | \$420.60                | \$233.20                                   | \$0.00                                  | \$187.40              | \$0.00                                    |
|                     | 2.000                | 07/23/10                     | 05/02/13                    | \$168.24                | \$98.13                                    | \$0.00                                  | \$70.11               | \$0.00                                    |
|                     | 3 000                | 07/23/10                     | 05/09/13                    | \$260.69                | \$147.20                                   | \$0.00                                  | \$113.49              | \$0.00                                    |
|                     | 7 000                | 07/23/10                     | 05/17/13                    | \$615.82                | \$343.46                                   | \$0.00                                  | \$272.36              | \$0.00                                    |
|                     | 2 000                | 07/23/10                     | 05/17/13                    | \$175.95                | \$98.13                                    | \$0.00                                  | \$77.82               | \$0.00                                    |
|                     | 5.000                | 07/23/10                     | 06/04/13                    | \$421.99                | \$245.32                                   | \$0.00                                  | \$176.67              | \$0.00                                    |
| Security Subtotal   | 40.000               |                              |                             | \$3,235.35              | \$1,880.25                                 | \$0.00                                  | \$1,355.10            | \$0.00                                    |
| ELI LILLY & CO      | CUSIP: 532457108     | Symbol (Box 1d): LLY         |                             |                         |                                            |                                         |                       |                                           |
|                     | 4 000                | 11/30/09                     | 03/08/13                    | \$220.57                | \$146.98                                   | \$0.00                                  | \$73.59               | \$0.00                                    |
|                     | 18.000               | 11/30/09                     | 04/15/13                    | \$1,033.00              | \$661.43                                   | \$0.00                                  | \$371.57              | \$0.00                                    |
|                     | 6.000                | 11/30/09                     | 05/09/13                    | \$327.53                | \$220.48                                   | \$0.00                                  | \$107.05              | \$0.00                                    |
| Security Subtotal   | 28.000               |                              |                             | \$1,581.10              | \$1,028.89                                 | \$0.00                                  | \$552.21              | \$0.00                                    |
| EMC CORP MASS       | CUSIP: 268648102     | Symbol (Box 1d): EMC         |                             |                         |                                            |                                         |                       |                                           |
|                     | 1 000                | 10/14/10                     | 03/08/13                    | \$24.01                 | \$21.14                                    | \$0.00                                  | \$2.87                | \$0.00                                    |
|                     | 15 000               | 10/14/10                     | 04/04/13                    | \$351.29                | \$317.04                                   | \$0.00                                  | \$34.25               | \$0.00                                    |
|                     | 6 000                | 10/14/10                     | 04/09/13                    | \$137.83                | \$126.81                                   | \$0.00                                  | \$11.02               | \$0.00                                    |
|                     | 5 000                | 10/14/10                     | 04/09/13                    | \$114.85                | \$105.68                                   | \$0.00                                  | \$9.17                | \$0.00                                    |
|                     | 24 000               | 10/14/10                     | 04/09/13                    | \$551.30                | \$507.26                                   | \$0.00                                  | \$44.04               | \$0.00                                    |
|                     | 11.000               | 10/14/10                     | 04/10/13                    | \$256.09                | \$232.49                                   | \$0.00                                  | \$23.60               | \$0.00                                    |
|                     | 4 000                | 10/14/10                     | 04/10/13                    | \$93.12                 | \$84.54                                    | \$0.00                                  | \$8.58                | \$0.00                                    |

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## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: 86-0937247  
Account Number: 003 113436 035  
**Customer Service: 866-324-6088**

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TST UAD 8-20-1965 AS AMENDED  
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PHOENIX AZ 85032-4816

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities** \* (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
(Continued)

| DESCRIPTION (Box 8)         | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b)            | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|-----------------------------|----------------------|-----------------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| EMC CORP MASS               | 1.000                | 10/14/10                                | 04/10/13                    | \$23.28                 | \$21.14                                    | \$0.00                                  | \$2.14                | \$0.00                                    |
|                             | 1.000                | 10/19/10                                | 04/10/13                    | \$23.29                 | \$20.92                                    | \$0.00                                  | \$2.37                | \$0.00                                    |
| <b>Security Subtotal</b>    | <b>68.000</b>        |                                         |                             | <b>\$1,575.06</b>       | <b>\$1,437.02</b>                          | <b>\$0.00</b>                           | <b>\$138.04</b>       | <b>\$0.00</b>                             |
| ESTEE LAUDER CO INC CL A    |                      | CUSIP: 518439104 Symbol (Box 1d): EL    |                             |                         |                                            |                                         |                       |                                           |
|                             | 5.000                | 05/14/10                                | 03/08/13                    | \$328.73                | \$153.18                                   | \$0.00                                  | \$175.55              | \$0.00                                    |
| EXPRESS SCRIPTS HLDG CO COM |                      | CUSIP: 30219G108 Symbol (Box 1d): ESRX  |                             |                         |                                            |                                         |                       |                                           |
|                             | 12.000               | 08/06/10                                | 03/06/13                    | \$697.29                | \$542.92                                   | \$0.00                                  | \$154.37              | \$0.00                                    |
| FANUC CORPORATION UNSP ADR  |                      | CUSIP: 307305102 Symbol (Box 1d): FANUY |                             |                         |                                            |                                         |                       |                                           |
|                             | 8.000                | 09/22/10                                | 03/08/13                    | \$200.79                | \$165.41                                   | \$0.00                                  | \$35.38               | \$0.00                                    |
|                             | 10.000               | 09/22/10                                | 05/09/13                    | \$269.19                | \$206.77                                   | \$0.00                                  | \$62.42               | \$0.00                                    |
| <b>Security Subtotal</b>    | <b>18.000</b>        |                                         |                             | <b>\$469.98</b>         | <b>\$372.18</b>                            | <b>\$0.00</b>                           | <b>\$97.80</b>        | <b>\$0.00</b>                             |
| FILMC 4500 13JL15           |                      | CUSIP: 3134A4TZ7 Symbol (Box 1d):       |                             |                         |                                            |                                         |                       |                                           |
|                             | 3,000.000            | 10/19/11                                | 07/15/13                    | \$3,000.00              | \$3,000.00                                 | \$0.00                                  | \$0.00                | \$0.00                                    |
|                             | 1,000.000            | 10/19/11                                | 07/15/13                    | \$1,000.00              | \$1,000.00                                 | \$0.00                                  | \$0.00                | \$0.00                                    |
| <b>Security Subtotal</b>    | <b>4,000.000</b>     |                                         |                             | <b>\$4,000.00</b>       | <b>\$4,000.00</b>                          | <b>\$0.00</b>                           | <b>\$0.00</b>         | <b>\$0.00</b>                             |
| FLUOR CORP NEW              |                      | CUSIP: 343412102 Symbol (Box 1d): FLR   |                             |                         |                                            |                                         |                       |                                           |
|                             | 6.000                | 04/12/10                                | 04/15/13                    | \$334.50                | \$306.21                                   | \$0.00                                  | \$28.29               | \$0.00                                    |
|                             | 11.000               | 06/04/10                                | 04/15/13                    | \$613.25                | \$495.45                                   | \$0.00                                  | \$117.80              | \$0.00                                    |
|                             | 13.000               | 07/23/10                                | 04/15/13                    | \$724.75                | \$598.06                                   | \$0.00                                  | \$126.69              | \$0.00                                    |
|                             | 4.000                | 08/04/10                                | 04/15/13                    | \$223.00                | \$194.67                                   | \$0.00                                  | \$28.33               | \$0.00                                    |
|                             | 31.000               | 08/18/10                                | 04/15/13                    | \$1,728.25              | \$1,490.96                                 | \$0.00                                  | \$237.29              | \$0.00                                    |
| <b>Security Subtotal</b>    | <b>65.000</b>        |                                         |                             | <b>\$3,623.75</b>       | <b>\$3,085.35</b>                          | <b>\$0.00</b>                           | <b>\$538.40</b>       | <b>\$0.00</b>                             |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

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Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632

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JAMES ARTHUR RAE CHARITABLE  
TST UAD 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD.  
PHOENIX AZ 85032-4816

Taxpayer ID Number: 86-0937247  
Account Number: 003 113436 035

**Customer Service: 866-324-6088**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Long Term - Noncovered Securities #** (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked )  
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|----------------------------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|------------------------------------|-----------------------|-------------------------------------------|
| <b>CUSIP: 30249U101 Symbol (Box 1d): FTI</b> |                      |                              |                             |                         |                                            |                                    |                       |                                           |
| FMC TECHNOLOGIES INC                         | 2,000                | 08/24/10                     | 03/08/13                    | \$102.50                | \$62.00                                    | \$0.00                             | \$40.50               | \$0.00                                    |
|                                              | 2,000                | 08/24/10                     | 10/28/13                    | \$103.83                | \$62.00                                    | \$0.00                             | \$41.83               | \$0.00                                    |
|                                              | 2,000                | 11/02/10                     | 10/28/13                    | \$103.83                | \$73.50                                    | \$0.00                             | \$30.33               | \$0.00                                    |
|                                              | 12,000               | 11/03/10                     | 10/28/13                    | \$623.00                | \$441.00                                   | \$0.00                             | \$182.00              | \$0.00                                    |
| <b>Security Subtotal</b>                     | <b>18,000</b>        |                              |                             | <b>\$933.16</b>         | <b>\$638.50</b>                            | <b>\$0.00</b>                      | <b>\$294.66</b>       | <b>\$0.00</b>                             |
| <b>CUSIP: 354613101 Symbol (Box 1d): BEN</b> |                      |                              |                             |                         |                                            |                                    |                       |                                           |
| FRANKLIN RESOURCES INC                       | 1,000                | 05/21/10                     | 03/08/13                    | \$145.97                | \$101.51                                   | \$0.00                             | \$44.46               | \$0.00                                    |
|                                              | 2,000                | 05/21/10                     | 05/09/13                    | \$319.29                | \$203.01                                   | \$0.00                             | \$116.28              | \$0.00                                    |
|                                              | 1,000                | 05/21/10                     | 05/23/13                    | \$161.44                | \$101.51                                   | \$0.00                             | \$59.93               | \$0.00                                    |
|                                              | 3,000                | 06/04/10                     | 05/23/13                    | \$484.33                | \$282.18                                   | \$0.00                             | \$202.15              | \$0.00                                    |
|                                              | 1,000                | 06/09/10                     | 05/23/13                    | \$161.45                | \$90.26                                    | \$0.00                             | \$71.19               | \$0.00                                    |
|                                              | 3,000                | 06/09/10                     | 06/12/13                    | \$430.75                | \$270.78                                   | \$0.00                             | \$159.97              | \$0.00                                    |
|                                              | 7,000                | 06/09/10                     | 07/08/13                    | \$956.43                | \$631.82                                   | \$0.00                             | \$324.61              | \$0.00                                    |
|                                              | 3,000                | 07/23/10                     | 07/08/13                    | \$409.90                | \$285.00                                   | \$0.00                             | \$124.90              | \$0.00                                    |
|                                              | 4,000                | 07/23/10                     | 07/10/13                    | \$549.51                | \$380.01                                   | \$0.00                             | \$169.50              | \$0.00                                    |
|                                              | 1,000                | 10/29/10                     | 07/10/13                    | \$137.38                | \$114.52                                   | \$0.00                             | \$22.86               | \$0.00                                    |
|                                              | 6,000                | 10/29/10                     | 07/11/13                    | \$839.43                | \$687.15                                   | \$0.00                             | \$152.28              | \$0.00                                    |
|                                              | 2,000                | 10/29/10                     | 07/17/13                    | \$292.30                | \$229.05                                   | \$0.00                             | \$63.25               | \$0.00                                    |
|                                              | 1,000                | 11/19/10                     | 07/17/13                    | \$146.15                | \$116.28                                   | \$0.00                             | \$29.87               | \$0.00                                    |
| <b>Security Subtotal</b>                     | <b>35,000</b>        |                              |                             | <b>\$5,034.33</b>       | <b>\$3,493.08</b>                          | <b>\$0.00</b>                      | <b>\$1,541.25</b>     | <b>\$0.00</b>                             |
| <b>CUSIP: 369604103 Symbol (Box 1d): GE</b>  |                      |                              |                             |                         |                                            |                                    |                       |                                           |
| GENERAL ELECTRIC CO                          | 8,000                | 04/30/09                     | 03/08/13                    | \$190.95                | \$101.66                                   | \$0.00                             | \$89.29               | \$0.00                                    |
|                                              | 18,000               | 04/30/09                     | 05/09/13                    | \$410.57                | \$228.74                                   | \$0.00                             | \$181.83              | \$0.00                                    |

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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|--------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| GENERAL ELECTRIC CO      | 24.000               | 04/30/09                     | 06/26/13                    | \$559.96                | \$304.99                                   | \$0.00                                  | \$254.97              | \$0.00                                    |
|                          | 49.000               | 04/15/10                     | 06/26/13                    | \$1,143.25              | \$953.53                                   | \$0.00                                  | \$189.72              | \$0.00                                    |
|                          | 35.000               | 06/04/10                     | 06/26/13                    | \$816.61                | \$548.06                                   | \$0.00                                  | \$268.55              | \$0.00                                    |
|                          | 2.000                | 06/04/10                     | 06/26/13                    | \$46.66                 | \$31.32                                    | \$0.00                                  | \$15.34               | \$0.00                                    |
|                          | 8.000                | 11/19/10                     | 06/26/13                    | \$186.65                | \$128.08                                   | \$0.00                                  | \$58.57               | \$0.00                                    |
| <b>Security Subtotal</b> | <b>144.000</b>       |                              |                             | <b>\$3,354.65</b>       | <b>\$2,296.38</b>                          | <b>\$0.00</b>                           | <b>\$1,058.27</b>     | <b>\$0.00</b>                             |
| GENL DYNAMICS CORP       |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                          | 2.000                | 09/04/09                     | 03/08/13                    | \$136.93                | \$120.74                                   | \$0.00                                  | \$16.19               | \$0.00                                    |
|                          | 4.000                | 09/04/09                     | 05/09/13                    | \$301.11                | \$241.47                                   | \$0.00                                  | \$59.64               | \$0.00                                    |
|                          | 2.000                | 11/30/09                     | 05/09/13                    | \$150.55                | \$131.67                                   | \$0.00                                  | \$18.88               | \$0.00                                    |
|                          | 14.000               | 11/30/09                     | 07/09/13                    | \$1,124.32              | \$921.68                                   | \$0.00                                  | \$202.64              | \$0.00                                    |
| <b>Security Subtotal</b> | <b>22.000</b>        |                              |                             | <b>\$1,712.91</b>       | <b>\$1,415.56</b>                          | <b>\$0.00</b>                           | <b>\$297.35</b>       | <b>\$0.00</b>                             |
| GILDAN ACTIVEWEAR INC    |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                          | 7.000                | 10/22/10                     | 10/22/13                    | \$335.44                | \$184.80                                   | \$0.00                                  | \$150.64              | \$0.00                                    |
|                          | 4.000                | 11/19/10                     | 10/22/13                    | \$191.68                | \$111.10                                   | \$0.00                                  | \$80.58               | \$0.00                                    |
| <b>Security Subtotal</b> | <b>11.000</b>        |                              |                             | <b>\$527.12</b>         | <b>\$295.90</b>                            | <b>\$0.00</b>                           | <b>\$231.22</b>       | <b>\$0.00</b>                             |
| GOOGLE INC-CL A          |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                          | 1.000                | 10/12/10                     | 05/09/13                    | \$875.87                | \$543.85                                   | \$0.00                                  | \$332.02              | \$0.00                                    |
| HEALTH CARE REIT INC     |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                          | 11.000               | 11/30/09                     | 06/12/13                    | \$719.71                | \$435.44                                   | \$0.00                                  | \$284.27              | \$0.00                                    |
|                          | 1.000                | 11/19/10                     | 06/12/13                    | \$65.43                 | \$42.50                                    | \$0.00                                  | \$22.93               | \$0.00                                    |
| <b>Security Subtotal</b> | <b>12.000</b>        |                              |                             | <b>\$785.14</b>         | <b>\$477.94</b>                            | <b>\$0.00</b>                           | <b>\$307.20</b>       | <b>\$0.00</b>                             |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TST UAD 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD.  
PHOENIX AZ 85032-4816

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: 86-0937247  
Account Number: 003 113436 035  
**Customer Service: 866-324-6088**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities #** (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
(Continued)

| DESCRIPTION (Box 8)        | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SYMBOL (Box 1d)       | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|----------------------------|----------------------|------------------------------|-----------------------------|-----------------------|-------------------------|--------------------------------------------|------------------------------------|-----------------------|-------------------------------------------|
| HMS HOLDINGS CORP          |                      | CUSIP: 40425J101             |                             | Symbol (Box 1d): HMSY |                         |                                            |                                    |                       |                                           |
|                            | 11 000               | 07/08/10                     | 01/22/13                    | \$311.49              | \$206.94                | \$0.00                                     | \$0.00                             | \$104.55              | \$0.00                                    |
|                            | 30 000               | 07/23/10                     | 01/22/13                    | \$849.52              | \$561.84                | \$0.00                                     | \$0.00                             | \$287.68              | \$0.00                                    |
|                            | 9 000                | 11/19/10                     | 01/22/13                    | \$254.86              | \$185.28                | \$0.00                                     | \$0.00                             | \$69.58               | \$0.00                                    |
| <b>Security Subtotal</b>   | <b>50 000</b>        |                              |                             | <b>\$1,415.87</b>     | <b>\$954.06</b>         | <b>\$0.00</b>                              | <b>\$0.00</b>                      | <b>\$461.81</b>       | <b>\$0.00</b>                             |
| HUNTINGTON BANCSHARES      |                      | CUSIP: 446150104             |                             | Symbol (Box 1d): HBAN |                         |                                            |                                    |                       |                                           |
|                            | 54 000               | 01/28/10                     | 07/09/13                    | \$454.13              | \$268.49                | \$0.00                                     | \$0.00                             | \$185.64              | \$0.00                                    |
| INTUIT INC                 |                      | CUSIP: 461202103             |                             | Symbol (Box 1d): INTU |                         |                                            |                                    |                       |                                           |
|                            | 12 000               | 08/27/10                     | 01/24/13                    | \$765.49              | \$513.00                | \$0.00                                     | \$0.00                             | \$252.49              | \$0.00                                    |
|                            | 5 000                | 11/19/10                     | 01/24/13                    | \$318.96              | \$227.24                | \$0.00                                     | \$0.00                             | \$91.72               | \$0.00                                    |
|                            | 11 000               | 11/19/10                     | 01/31/13                    | \$687.58              | \$499.93                | \$0.00                                     | \$0.00                             | \$187.65              | \$0.00                                    |
|                            | 11 000               | 11/19/10                     | 02/04/13                    | \$683.72              | \$499.93                | \$0.00                                     | \$0.00                             | \$183.79              | \$0.00                                    |
|                            | 3 000                | 11/19/10                     | 02/08/13                    | \$181.29              | \$136.34                | \$0.00                                     | \$0.00                             | \$44.95               | \$0.00                                    |
| <b>Security Subtotal</b>   | <b>42 000</b>        |                              |                             | <b>\$2,637.04</b>     | <b>\$1,876.44</b>       | <b>\$0.00</b>                              | <b>\$0.00</b>                      | <b>\$760.60</b>       | <b>\$0.00</b>                             |
| ITAU UNIBANCO MULTIPLE ADR |                      | CUSIP: 465562106             |                             | Symbol (Box 1d): ITUB |                         |                                            |                                    |                       |                                           |
|                            | 0 000                | 06/14/11                     | 05/24/13                    | \$7.34                | \$0.00                  | \$0.00                                     | \$0.00                             | \$7.34                | \$0.00                                    |
| JOHNSON & JOHNSON          |                      | CUSIP: 478160104             |                             | Symbol (Box 1d): JNJ  |                         |                                            |                                    |                       |                                           |
|                            | 5 000                | 11/30/09                     | 03/08/13                    | \$389.14              | \$313.54                | \$0.00                                     | \$0.00                             | \$75.60               | \$0.00                                    |
|                            | 3 000                | 11/30/09                     | 05/09/13                    | \$255.65              | \$188.12                | \$0.00                                     | \$0.00                             | \$67.53               | \$0.00                                    |
| <b>Security Subtotal</b>   | <b>8 000</b>         |                              |                             | <b>\$644.79</b>       | <b>\$501.66</b>         | <b>\$0.00</b>                              | <b>\$0.00</b>                      | <b>\$143.13</b>       | <b>\$0.00</b>                             |
| JPMORGAN CHASE & CO        |                      | CUSIP: 46625H100             |                             | Symbol (Box 1d): JPM  |                         |                                            |                                    |                       |                                           |
|                            | 7 000                | 06/04/10                     | 03/08/13                    | \$353.68              | \$268.58                | \$0.00                                     | \$0.00                             | \$85.10               | \$0.00                                    |
|                            | 14 000               | 06/04/10                     | 05/09/13                    | \$688.08              | \$537.17                | \$0.00                                     | \$0.00                             | \$150.91              | \$0.00                                    |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

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Identification Number: 26-4310632  
Taxpayer ID Number: 86-0937247  
Account Number: 003 113436 035

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**Customer Service: 866-324-6088**

**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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|--------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| JPMORGAN CHASE & CO      | 32.000               | 06/04/10                     | 06/03/13                    | \$1,704.00              | \$1,227.82                                 | \$0.00                                  | \$476.18              | \$0.00                                    |
|                          | 1.000                | 06/04/10                     | 09/25/13                    | \$51.02                 | \$38.37                                    | \$0.00                                  | \$12.65               | \$0.00                                    |
|                          | 19.000               | 06/09/10                     | 09/25/13                    | \$969.31                | \$716.33                                   | \$0.00                                  | \$252.98              | \$0.00                                    |
|                          | 29.000               | 07/15/10                     | 09/25/13                    | \$1,479.46              | \$1,160.58                                 | \$0.00                                  | \$318.88              | \$0.00                                    |
|                          | 2.000                | 07/23/10                     | 10/02/13                    | \$103.92                | \$79.04                                    | \$0.00                                  | \$24.88               | \$0.00                                    |
|                          | 3.000                | 11/19/10                     | 10/02/13                    | \$155.88                | \$118.02                                   | \$0.00                                  | \$37.86               | \$0.00                                    |
| <b>Security Subtotal</b> | <b>107.000</b>       |                              |                             | <b>\$5,505.35</b>       | <b>\$4,145.91</b>                          | <b>\$0.00</b>                           | <b>\$1,359.44</b>     | <b>\$0.00</b>                             |
| KIMBERLY CLARK CORP      |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                          | 4.000                | 11/30/09                     | 03/08/13                    | \$375.67                | \$263.63                                   | \$0.00                                  | \$112.04              | \$0.00                                    |
|                          | 13.000               | 11/30/09                     | 04/15/13                    | \$1,321.13              | \$856.79                                   | \$0.00                                  | \$464.34              | \$0.00                                    |
| <b>Security Subtotal</b> | <b>17.000</b>        |                              |                             | <b>\$1,696.80</b>       | <b>\$1,120.42</b>                          | <b>\$0.00</b>                           | <b>\$576.38</b>       | <b>\$0.00</b>                             |
| KOHL'S CORPORATION WISC  |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                          | 1.000                | 10/06/10                     | 03/08/13                    | \$46.79                 | \$52.79                                    | \$0.00                                  | (\$6.00)              | \$0.00                                    |
|                          | 2.000                | 10/06/10                     | 05/09/13                    | \$96.74                 | \$105.58                                   | \$0.00                                  | (\$8.84)              | \$0.00                                    |
|                          | 4.000                | 11/12/10                     | 05/09/13                    | \$193.47                | \$205.18                                   | \$0.00                                  | (\$11.71)             | \$0.00                                    |
| <b>Security Subtotal</b> | <b>7.000</b>         |                              |                             | <b>\$337.00</b>         | <b>\$363.55</b>                            | <b>\$0.00</b>                           | <b>(\$26.55)</b>      | <b>\$0.00</b>                             |
| LINCOLN ELEC HLDGS INC   |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                          | 4.000                | 08/21/09                     | 01/25/13                    | \$213.34                | \$88.25                                    | \$0.00                                  | \$125.09              | \$0.00                                    |
|                          | 2.000                | 08/21/09                     | 01/25/13                    | \$106.67                | \$44.13                                    | \$0.00                                  | \$62.54               | \$0.00                                    |
|                          | 4.000                | 08/21/09                     | 01/25/13                    | \$213.34                | \$88.25                                    | \$0.00                                  | \$125.09              | \$0.00                                    |
|                          | 4.000                | 08/10/10                     | 01/25/13                    | \$213.34                | \$110.55                                   | \$0.00                                  | \$102.79              | \$0.00                                    |
|                          | 2.000                | 08/10/10                     | 01/25/13                    | \$106.67                | \$55.27                                    | \$0.00                                  | \$51.40               | \$0.00                                    |
|                          | 2.000                | 11/19/10                     | 01/25/13                    | \$106.67                | \$60.78                                    | \$0.00                                  | \$45.89               | \$0.00                                    |
| <b>Security Subtotal</b> | <b>18.000</b>        |                              |                             | <b>\$960.03</b>         | <b>\$447.23</b>                            | <b>\$0.00</b>                           | <b>\$512.80</b>       | <b>\$0.00</b>                             |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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**1099 Consolidated Tax Statement**  
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 1 New York Plaza  
 8th Floor  
 New York, NY 10004  
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|--------------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|------------------------------------|-----------------------|-------------------------------------------|
| <b>MARATHON OIL CO</b>         |                      |                              |                             |                         |                                            |                                    |                       |                                           |
|                                |                      | CUSIP: 565849106             |                             | Symbol (Box 1d): MRO    |                                            |                                    |                       |                                           |
|                                | 14 000               | 06/10/09                     | 03/08/13                    | \$468.84                | \$270.30                                   | \$0.00                             | \$198.54              | \$0.00                                    |
|                                | 5 000                | 06/10/09                     | 05/09/13                    | \$174.14                | \$96.54                                    | \$0.00                             | \$77.60               | \$0.00                                    |
| <b>Security Subtotal</b>       | <b>19 000</b>        |                              |                             | <b>\$642.98</b>         | <b>\$366.84</b>                            | <b>\$0.00</b>                      | <b>\$276.14</b>       | <b>\$0.00</b>                             |
| <b>MARATHON PETROLEUM CORP</b> |                      |                              |                             |                         |                                            |                                    |                       |                                           |
|                                |                      | CUSIP: 56585A102             |                             | Symbol (Box 1d): MPC    |                                            |                                    |                       |                                           |
|                                | 20 248               | 06/10/09                     | 02/01/13                    | \$1,524.71              | \$528.89                                   | \$0.00                             | \$995.82              | \$0.00                                    |
|                                | 3 752                | 03/18/10                     | 02/01/13                    | \$282.53                | \$97.05                                    | \$0.00                             | \$185.48              | \$0.00                                    |
|                                | 4 687                | 03/18/10                     | 03/04/13                    | \$397.83                | \$121.24                                   | \$0.00                             | \$276.59              | \$0.00                                    |
|                                | 10 922               | 06/04/10                     | 03/04/13                    | \$927.06                | \$272.73                                   | \$0.00                             | \$654.33              | \$0.00                                    |
|                                | 3 475                | 11/19/10                     | 03/04/13                    | \$294.96                | \$95.58                                    | \$0.00                             | \$199.38              | \$0.00                                    |
| <b>Security Subtotal</b>       | <b>43 084</b>        |                              |                             | <b>\$3,427.09</b>       | <b>\$1,115.49</b>                          | <b>\$0.00</b>                      | <b>\$2,311.60</b>     | <b>\$0.00</b>                             |
| <b>MCKESSON CORP</b>           |                      |                              |                             |                         |                                            |                                    |                       |                                           |
|                                |                      | CUSIP: 58155Q103             |                             | Symbol (Box 1d): MCK    |                                            |                                    |                       |                                           |
|                                | 3 000                | 11/30/09                     | 03/08/13                    | \$327.11                | \$186.10                                   | \$0.00                             | \$141.01              | \$0.00                                    |
|                                | 3 000                | 11/30/09                     | 05/09/13                    | \$346.73                | \$186.10                                   | \$0.00                             | \$160.63              | \$0.00                                    |
|                                | 10 000               | 11/30/09                     | 07/01/13                    | \$1,163.85              | \$620.34                                   | \$0.00                             | \$543.51              | \$0.00                                    |
|                                | 13 000               | 11/30/09                     | 10/24/13                    | \$1,953.02              | \$806.44                                   | \$0.00                             | \$1,146.58            | \$0.00                                    |
|                                | 4 000                | 06/04/10                     | 10/24/13                    | \$600.93                | \$277.63                                   | \$0.00                             | \$323.30              | \$0.00                                    |
|                                | 6 000                | 06/04/10                     | 11/20/13                    | \$952.96                | \$416.45                                   | \$0.00                             | \$536.51              | \$0.00                                    |
|                                | 1 000                | 11/19/10                     | 11/20/13                    | \$158.83                | \$65.73                                    | \$0.00                             | \$93.10               | \$0.00                                    |
|                                | 2 000                | 11/22/10                     | 11/20/13                    | \$317.65                | \$130.10                                   | \$0.00                             | \$187.55              | \$0.00                                    |
|                                | 1 000                | 11/22/10                     | 12/03/13                    | \$163.65                | \$50.05                                    | \$0.00                             | \$98.60               | \$0.00                                    |
| <b>Security Subtotal</b>       | <b>43 000</b>        |                              |                             | <b>\$5,984.73</b>       | <b>\$2,753.94</b>                          | <b>\$0.00</b>                      | <b>\$3,230.79</b>     | <b>\$0.00</b>                             |

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|--------------------------|----------------------------------|------------------------------|-----------------------------|-----------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| MERCK & CO INC NEW COM   |                                  |                              |                             | Symbol (Box 1d): MRK  |                         |                                            |                                         |                       |                                           |
|                          | 2,000                            | 06/30/10                     | 03/08/13                    | \$86.79               |                         | \$70.54                                    | \$0.00                                  | \$16.25               | \$0.00                                    |
|                          | 10,000                           | 06/30/10                     | 05/09/13                    | \$455.08              |                         | \$352.68                                   | \$0.00                                  | \$102.40              | \$0.00                                    |
| <b>Security Subtotal</b> | <b>12,000</b>                    |                              |                             | <b>\$541.87</b>       |                         | <b>\$423.22</b>                            | <b>\$0.00</b>                           | <b>\$118.65</b>       | <b>\$0.00</b>                             |
| METLIFE INCORPORATED     |                                  |                              |                             | Symbol (Box 1d): MET  |                         |                                            |                                         |                       |                                           |
|                          | 10,000                           | 06/10/09                     | 05/09/13                    | \$405.59              |                         | \$322.55                                   | \$0.00                                  | \$83.04               | \$0.00                                    |
|                          | 38,000                           | 06/10/09                     | 10/29/13                    | \$1,865.17            |                         | \$1,225.71                                 | \$0.00                                  | \$639.46              | \$0.00                                    |
| <b>Security Subtotal</b> | <b>48,000</b>                    |                              |                             | <b>\$2,270.76</b>     |                         | <b>\$1,548.26</b>                          | <b>\$0.00</b>                           | <b>\$722.50</b>       | <b>\$0.00</b>                             |
| MURPHY USA INC COM       |                                  |                              |                             | Symbol (Box 1d): MUSA |                         |                                            |                                         |                       |                                           |
|                          | 6,000                            | 02/14/11                     | 09/05/13                    | \$228.59              |                         | \$233.35                                   | \$0.00                                  | (\$4.76)              | \$0.00                                    |
|                          | 9,000                            | 03/08/11                     | 09/05/13                    | \$342.89              |                         | \$402.02                                   | \$0.00                                  | (\$59.13)             | \$0.00                                    |
|                          | 4,000                            | 04/01/11                     | 09/05/13                    | \$152.40              |                         | \$144.87                                   | \$0.00                                  | \$7.53                | \$0.00                                    |
|                          | 3,000                            | 08/31/11                     | 09/05/13                    | \$114.30              |                         | \$83.12                                    | \$0.00                                  | \$31.18               | \$0.00                                    |
|                          | 3,000                            | 02/17/12                     | 09/05/13                    | \$114.30              |                         | \$95.72                                    | \$0.00                                  | \$18.58               | \$0.00                                    |
|                          | 10,000                           | 06/26/12                     | 09/05/13                    | \$380.99              |                         | \$238.06                                   | \$0.00                                  | \$142.93              | \$0.00                                    |
| <b>Security Subtotal</b> | <b>35,000</b>                    |                              |                             | <b>\$1,333.47</b>     |                         | <b>\$1,197.14</b>                          | <b>\$0.00</b>                           | <b>\$136.33</b>       | <b>\$0.00</b>                             |
| OIL STATES INTL INC      |                                  |                              |                             | Symbol (Box 1d): OIS  |                         |                                            |                                         |                       |                                           |
|                          | 5,000                            | 07/08/10                     | 04/18/13                    | \$361.62              |                         | \$205.29                                   | \$0.00                                  | \$156.33              | \$0.00                                    |
|                          | 5,000                            | 07/08/10                     | 04/18/13                    | \$361.62              |                         | \$205.28                                   | \$0.00                                  | \$156.34              | \$0.00                                    |
|                          | 2,000                            | 07/08/10                     | 04/18/13                    | \$144.65              |                         | \$82.11                                    | \$0.00                                  | \$62.54               | \$0.00                                    |
| <b>Security Subtotal</b> | <b>12,000</b>                    |                              |                             | <b>\$867.89</b>       |                         | <b>\$492.68</b>                            | <b>\$0.00</b>                           | <b>\$375.21</b>       | <b>\$0.00</b>                             |

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| DESCRIPTION (Box 8)       | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|---------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| PANERA BREAD COMPANY CL A |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                           | 6,000                | 01/28/10                     | 04/04/13                    | \$1,044.02              | \$433.09                                   | \$0.00                                  | \$610.93              | \$0.00                                    |
|                           | 6,000                | 07/23/10                     | 04/04/13                    | \$1,044.02              | \$453.23                                   | \$0.00                                  | \$590.79              | \$0.00                                    |
| <b>Security Subtotal</b>  | <b>12,000</b>        |                              |                             | <b>\$2,088.04</b>       | <b>\$886.32</b>                            | <b>\$0.00</b>                           | <b>\$1,201.72</b>     | <b>\$0.00</b>                             |
| PFIZER INC                |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                           | 35,000               | 11/30/09                     | 02/12/13                    | \$949.20                | \$639.05                                   | \$0.00                                  | \$310.15              | \$0.00                                    |
|                           | 1,000                | 11/30/09                     | 03/08/13                    | \$28.31                 | \$18.26                                    | \$0.00                                  | \$10.05               | \$0.00                                    |
|                           | 27,000               | 11/30/09                     | 03/20/13                    | \$763.04                | \$492.98                                   | \$0.00                                  | \$270.06              | \$0.00                                    |
|                           | 45,000               | 06/04/10                     | 03/20/13                    | \$1,271.73              | \$672.75                                   | \$0.00                                  | \$598.98              | \$0.00                                    |
|                           | 61,000               | 06/30/10                     | 03/20/13                    | \$1,723.89              | \$874.68                                   | \$0.00                                  | \$849.21              | \$0.00                                    |
|                           | 9,000                | 11/19/10                     | 03/20/13                    | \$254.35                | \$150.75                                   | \$0.00                                  | \$103.60              | \$0.00                                    |
| <b>Security Subtotal</b>  | <b>178,000</b>       |                              |                             | <b>\$4,990.52</b>       | <b>\$2,848.47</b>                          | <b>\$0.00</b>                           | <b>\$2,142.05</b>     | <b>\$0.00</b>                             |
| QUALCOMM INC              |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                           | 7,000                | 08/10/10                     | 01/25/13                    | \$446.71                | \$277.13                                   | \$0.00                                  | \$169.58              | \$0.00                                    |
|                           | 19,000               | 08/11/10                     | 01/25/13                    | \$1,212.50              | \$737.77                                   | \$0.00                                  | \$474.73              | \$0.00                                    |
|                           | 6,000                | 08/12/10                     | 01/25/13                    | \$382.89                | \$230.99                                   | \$0.00                                  | \$151.90              | \$0.00                                    |
|                           | 4,000                | 08/12/10                     | 03/06/13                    | \$266.68                | \$153.99                                   | \$0.00                                  | \$112.69              | \$0.00                                    |
|                           | 14,000               | 08/12/10                     | 03/06/13                    | \$933.39                | \$638.97                                   | \$0.00                                  | \$394.42              | \$0.00                                    |
|                           | 4,000                | 08/13/10                     | 03/06/13                    | \$266.69                | \$152.00                                   | \$0.00                                  | \$114.69              | \$0.00                                    |
|                           | 2,000                | 08/13/10                     | 03/08/13                    | \$134.65                | \$76.00                                    | \$0.00                                  | \$58.65               | \$0.00                                    |
|                           | 8,000                | 08/13/10                     | 07/09/13                    | \$477.75                | \$304.00                                   | \$0.00                                  | \$173.75              | \$0.00                                    |
|                           | 19,000               | 08/17/10                     | 07/09/13                    | \$1,134.67              | \$751.05                                   | \$0.00                                  | \$383.62              | \$0.00                                    |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

**1099 Consolidated Tax Statement  
Tax Year 2013    Copy B For Recipient**

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TRUST DATED 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD.  
PHOENIX AZ 85032-4816

New York, NY 10004  
Identification Number: 26-4310632

**Taxpayer ID Number.** 86-0937247  
**Account Number:** 003 113436 035

**Customer Service: 866-324-6088**

OMB NO. 1545-0715

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Long Term - Noncovered Securities #** (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
(Continued)

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|---------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| QUALCOMM INC        | 16.000               | 10/21/10                     | 07/09/13                    | \$955.51                | \$695.20                                   | \$0.00                                  | \$260.31              | \$0.00                                    |
|                     | 12.000               | 10/21/10                     | 09/13/13                    | \$823.60                | \$521.40                                   | \$0.00                                  | \$302.20              | \$0.00                                    |
| Security Subtotal   | 111.000              |                              |                             | \$7,035.04              | \$4,438.50                                 | \$0.00                                  | \$2,596.54            | \$0.00                                    |
| ROSS STORES INC     |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                     | 2.000                | 06/10/10                     | 05/09/13                    | \$131.73                | \$57.03                                    | \$0.00                                  | \$74.70               | \$0.00                                    |
|                     | 1.000                | 06/10/10                     | 05/09/13                    | \$65.85                 | \$28.52                                    | \$0.00                                  | \$37.33               | \$0.00                                    |
|                     | 2.000                | 06/10/10                     | 05/09/13                    | \$131.70                | \$57.03                                    | \$0.00                                  | \$74.67               | \$0.00                                    |
|                     | 2.000                | 06/10/10                     | 05/09/13                    | \$131.70                | \$57.03                                    | \$0.00                                  | \$74.67               | \$0.00                                    |
|                     | 5.000                | 07/23/10                     | 05/09/13                    | \$329.23                | \$138.00                                   | \$0.00                                  | \$191.23              | \$0.00                                    |
| Security Subtotal   | 12.000               |                              |                             | \$790.21                | \$337.61                                   | \$0.00                                  | \$452.60              | \$0.00                                    |
| SALESFORCE COM,INC  |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                     | 1.000                | 11/24/10                     | 03/08/13                    | \$185.05                | \$143.47                                   | \$0.00                                  | \$41.58               | \$0.00                                    |
|                     | 3.000                | 11/29/10                     | 03/08/13                    | \$555.14                | \$428.17                                   | \$0.00                                  | \$126.97              | \$0.00                                    |
|                     | 3.000                | 12/03/10                     | 03/14/13                    | \$537.64                | \$431.16                                   | \$0.00                                  | \$106.48              | \$0.00                                    |
| Security Subtotal   | 7.000                |                              |                             | \$1,277.83              | \$1,002.80                                 | \$0.00                                  | \$275.03              | \$0.00                                    |
| SAP AG              |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                     | 7.000                | 803054204                    | Symbol (Box 1d): SAP        | \$581.06                | \$300.59                                   | \$0.00                                  | \$280.47              | \$0.00                                    |
|                     | 6.000                | 06/04/10                     | 05/09/13                    | \$491.62                | \$257.65                                   | \$0.00                                  | \$233.97              | \$0.00                                    |
| Security Subtotal   | 13.000               |                              |                             | \$1,072.68              | \$558.24                                   | \$0.00                                  | \$514.44              | \$0.00                                    |
| ST JUDE MEDICAL INC |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                     | 8.000                | 06/10/09                     | 05/09/13                    | \$344.23                | \$305.27                                   | \$0.00                                  | \$38.96               | \$0.00                                    |
|                     | 4.000                | 06/10/09                     | 05/09/13                    | \$172.23                | \$152.63                                   | \$0.00                                  | \$19.60               | \$0.00                                    |
|                     | 1.000                | 06/10/09                     | 09/16/13                    | \$53.29                 | \$38.16                                    | \$0.00                                  | \$15.13               | \$0.00                                    |
|                     | 2.000                | 06/10/09                     | 09/16/13                    | \$106.57                | \$76.32                                    | \$0.00                                  | \$30.25               | \$0.00                                    |

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**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

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# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TRUST UAD 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD.  
PHOENIX AZ 85032-4816

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: 86-0937247  
Account Number: 003 113436 035  
**Customer Service: 866-324-6088**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
(Continued)

| DESCRIPTION (Box 8)      | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|--------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| ST JUDE MEDICAL INC      | 4 000                | 06/10/09                     | 09/16/13                    | \$213.15                | \$152.63                                   | \$0.00                                  | \$60.52               | \$0.00                                    |
|                          | 11 000               | 06/10/09                     | 09/16/13                    | \$586.17                | \$419.74                                   | \$0.00                                  | \$166.43              | \$0.00                                    |
|                          | 4 000                | 10/06/09                     | 09/16/13                    | \$213.15                | \$135.98                                   | \$0.00                                  | \$77.17               | \$0.00                                    |
|                          | 20 000               | 10/06/09                     | 09/16/13                    | \$1,065.77              | \$679.93                                   | \$0.00                                  | \$385.84              | \$0.00                                    |
|                          | 10 000               | 10/06/09                     | 10/07/13                    | \$563.25                | \$339.96                                   | \$0.00                                  | \$223.29              | \$0.00                                    |
|                          | 8 000                | 10/16/09                     | 10/07/13                    | \$450.60                | \$269.80                                   | \$0.00                                  | \$180.80              | \$0.00                                    |
|                          | 6 000                | 10/16/09                     | 10/07/13                    | \$337.95                | \$202.35                                   | \$0.00                                  | \$135.60              | \$0.00                                    |
|                          | 1 000                | 10/16/09                     | 10/07/13                    | \$56.33                 | \$33.73                                    | \$0.00                                  | \$22.60               | \$0.00                                    |
|                          | 1 000                | 10/16/09                     | 10/07/13                    | \$56.33                 | \$33.73                                    | \$0.00                                  | \$22.60               | \$0.00                                    |
|                          | 2 000                | 01/04/10                     | 10/07/13                    | \$112.65                | \$74.10                                    | \$0.00                                  | \$38.55               | \$0.00                                    |
| <b>Security Subtotal</b> | <b>82,000</b>        |                              |                             | <b>\$4,331.67</b>       | <b>\$2,914.33</b>                          | <b>\$0.00</b>                           | <b>\$1,417.34</b>     | <b>\$0.00</b>                             |
| STATE STREET CORP        |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                          | 9 000                | 05/27/09                     | 01/18/13                    | \$481.02                | \$390.62                                   | \$0.00                                  | \$90.40               | \$0.00                                    |
|                          | 5 000                | 06/10/09                     | 01/18/13                    | \$267.23                | \$232.00                                   | \$0.00                                  | \$35.23               | \$0.00                                    |
|                          | 1 000                | 06/10/09                     | 03/08/13                    | \$59.18                 | \$46.40                                    | \$0.00                                  | \$12.78               | \$0.00                                    |
|                          | 9 000                | 06/10/09                     | 05/09/13                    | \$541.33                | \$417.60                                   | \$0.00                                  | \$123.73              | \$0.00                                    |
|                          | 20 000               | 06/10/09                     | 06/03/13                    | \$1,308.80              | \$928.00                                   | \$0.00                                  | \$380.80              | \$0.00                                    |
| <b>Security Subtotal</b> | <b>44,000</b>        |                              |                             | <b>\$2,657.56</b>       | <b>\$2,014.62</b>                          | <b>\$0.00</b>                           | <b>\$642.94</b>       | <b>\$0.00</b>                             |
| T ROWE PRICE GROUP INC   |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                          | 6 000                | 06/10/09                     | 03/13/13                    | \$450.26                | \$260.34                                   | \$0.00                                  | \$189.92              | \$0.00                                    |
|                          | 11 000               | 08/21/09                     | 03/13/13                    | \$825.48                | \$511.88                                   | \$0.00                                  | \$313.60              | \$0.00                                    |
|                          | 7 000                | 07/23/10                     | 03/13/13                    | \$525.31                | \$335.13                                   | \$0.00                                  | \$190.18              | \$0.00                                    |
| <b>Security Subtotal</b> | <b>24,000</b>        |                              |                             | <b>\$1,801.05</b>       | <b>\$1,107.35</b>                          | <b>\$0.00</b>                           | <b>\$693.70</b>       | <b>\$0.00</b>                             |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TST UAD 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD.  
PHOENIX AZ 85032-4816

Taxpayer ID Number: 86-0937247  
Account Number: 003 113436 035

Customer Service: 866-324-6088

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### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked )  
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|--------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|------------------------------------|-----------------------|-------------------------------------------|
| TORCHMARK CORP           | 12.000               | 01/14/10                     | 07/12/13                    | \$820.81                | \$370.57                                   | \$0.00                             | \$450.24              | \$0.00                                    |
| UGI CORPORATION NEW COM  |                      | CUSIP: 902681105             | Symbol (Box 1d): UGI        |                         |                                            |                                    |                       |                                           |
|                          | 26.000               | 02/18/10                     | 05/24/13                    | \$1,028.03              | \$659.66                                   | \$0.00                             | \$368.37              | \$0.00                                    |
|                          | 1.000                | 05/05/10                     | 05/24/13                    | \$39.54                 | \$27.00                                    | \$0.00                             | \$12.54               | \$0.00                                    |
| Security Subtotal        | 27.000               |                              |                             | \$1,067.57              | \$686.66                                   | \$0.00                             | \$380.91              | \$0.00                                    |
| UNILEVER PLC (NEW) ADS   |                      | CUSIP: 904767704             | Symbol (Box 1d): UL         |                         |                                            |                                    |                       |                                           |
|                          | 5.000                | 06/04/10                     | 03/08/13                    | \$204.59                | \$136.50                                   | \$0.00                             | \$68.09               | \$0.00                                    |
| UNION PACIFIC CORP       |                      | CUSIP: 907818108             | Symbol (Box 1d): UNP        |                         |                                            |                                    |                       |                                           |
|                          | 4.000                | 11/30/09                     | 05/09/13                    | \$616.06                | \$252.91                                   | \$0.00                             | \$363.15              | \$0.00                                    |
|                          | 3.000                | 11/30/09                     | 05/09/13                    | \$462.04                | \$189.68                                   | \$0.00                             | \$272.36              | \$0.00                                    |
| Security Subtotal        | 7.000                |                              |                             | \$1,078.10              | \$442.59                                   | \$0.00                             | \$635.51              | \$0.00                                    |
| UNITED TECHNOLOGIES CORP |                      | CUSIP: 913017109             | Symbol (Box 1d): UTX        |                         |                                            |                                    |                       |                                           |
|                          | 2.000                | 02/05/10                     | 05/09/13                    | \$189.79                | \$131.56                                   | \$0.00                             | \$58.23               | \$0.00                                    |
|                          | 14.000               | 02/05/10                     | 10/18/13                    | \$1,506.39              | \$920.89                                   | \$0.00                             | \$585.50              | \$0.00                                    |
|                          | 8.000                | 02/05/10                     | 12/16/13                    | \$872.02                | \$526.23                                   | \$0.00                             | \$345.79              | \$0.00                                    |
| Security Subtotal        | 24.000               |                              |                             | \$2,568.20              | \$1,578.68                                 | \$0.00                             | \$989.52              | \$0.00                                    |
| US TSY NOTE 1375 13MY15  |                      | CUSIP: 912828NC0             | Symbol (Box 1d):            |                         |                                            |                                    |                       |                                           |
|                          | 5,000.000            | 08/09/11                     | 05/13/13                    | \$5,000.00              | \$5,000.00                                 | \$0.00                             | \$0.00                | \$0.00                                    |
| US TSY NOTE 2250 14MY31  |                      | CUSIP: 912828KV1             | Symbol (Box 1d):            |                         |                                            |                                    |                       |                                           |
|                          | 2,000.000            | 10/19/11                     | 06/25/13                    | \$2,038.12              | \$2,035.01                                 | \$0.00                             | \$3.11                | \$0.00                                    |
|                          | 2,000.000            | 10/19/11                     | 09/05/13                    | \$2,031.01              | \$2,027.60                                 | \$0.00                             | \$3.41                | \$0.00                                    |
| Security Subtotal        | 4,000.000            |                              |                             | \$4,069.13              | \$4,062.61                                 | \$0.00                             | \$6.52                | \$0.00                                    |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

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1 New York Plaza  
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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
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|--------------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|------------------------------------|-----------------------|-------------------------------------------|
| V F CORPORATION                |                      |                              |                             |                         |                                            |                                    |                       |                                           |
|                                | 1 000                | 11/30/09                     | 05/02/13                    | \$174.99                | \$72.13                                    | \$0.00                             | \$102.86              | \$0.00                                    |
|                                | 3 000                | 11/30/09                     | 05/02/13                    | \$524.98                | \$216.38                                   | \$0.00                             | \$308.60              | \$0.00                                    |
|                                | 3 000                | 10/28/10                     | 05/02/13                    | \$524.98                | \$251.36                                   | \$0.00                             | \$273.62              | \$0.00                                    |
|                                | 3 000                | 10/28/10                     | 05/02/13                    | \$524.98                | \$251.37                                   | \$0.00                             | \$273.61              | \$0.00                                    |
|                                | 3 000                | 10/28/10                     | 05/02/13                    | \$524.98                | \$251.37                                   | \$0.00                             | \$273.61              | \$0.00                                    |
|                                | 2 000                | 10/28/10                     | 05/09/13                    | \$360.05                | \$167.58                                   | \$0.00                             | \$192.47              | \$0.00                                    |
|                                | 6 000                | 10/28/10                     | 12/03/13                    | \$1,407.03              | \$502.73                                   | \$0.00                             | \$904.30              | \$0.00                                    |
|                                | 2 000                | 11/12/10                     | 12/03/13                    | \$469.01                | \$159.77                                   | \$0.00                             | \$309.24              | \$0.00                                    |
| <b>Security Subtotal</b>       | <b>23,000</b>        |                              |                             | <b>\$4,511.00</b>       | <b>\$1,872.69</b>                          | <b>\$0.00</b>                      | <b>\$2,638.31</b>     | <b>\$0.00</b>                             |
| VALERO ENERGY CP DELA NEW      |                      |                              |                             |                         |                                            |                                    |                       |                                           |
|                                | 38 000               | 08/21/09                     | 01/15/13                    | \$1,355.09              | \$704.14                                   | \$0.00                             | \$650.95              | \$0.00                                    |
| VANGUARD FTSE EMERGING MARKETS |                      |                              |                             |                         |                                            |                                    |                       |                                           |
|                                | 17 000               | 11/30/09                     | 05/09/13                    | \$754.95                | \$680.99                                   | \$0.00                             | \$73.96               | \$0.00                                    |
| VANGUARD GROWTH ETF            |                      |                              |                             |                         |                                            |                                    |                       |                                           |
|                                | 67 000               | 03/12/08                     | 05/09/13                    | \$5,414.33              | \$3,897.13                                 | \$0.00                             | \$1,517.20            | \$0.00                                    |
| VANGUARD SM CAP VALUE ETF      |                      |                              |                             |                         |                                            |                                    |                       |                                           |
|                                | 12 000               | 11/30/09                     | 05/09/13                    | \$1,009.17              | \$616.61                                   | \$0.00                             | \$392.56              | \$0.00                                    |
| VANGUARD VALUE ETF INDEX       |                      |                              |                             |                         |                                            |                                    |                       |                                           |
|                                | 57 000               | 03/12/08                     | 03/08/13                    | \$3,696.42              | \$3,452.85                                 | \$0.00                             | \$243.57              | \$0.00                                    |
|                                | 72 000               | 03/12/08                     | 05/09/13                    | \$4,895.89              | \$4,361.49                                 | \$0.00                             | \$534.40              | \$0.00                                    |
| <b>Security Subtotal</b>       | <b>129,000</b>       |                              |                             | <b>\$8,592.31</b>       | <b>\$7,814.34</b>                          | <b>\$0.00</b>                      | <b>\$777.97</b>       | <b>\$0.00</b>                             |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TST UAD 8-20-1965 AS AMENDED  
14602 N. TATUM BLVD.  
PHOENIX AZ 85032-4816

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: 86-0937247  
Account Number: 003 113436 035  
**Customer Service: 866-324-6088**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
(Continued)

| DESCRIPTION (Box 8) | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|---------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| W W GRAINGER INC    |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                     | 1 000                | 10/20/09                     | 05/09/13                    | \$252 40                | \$95 68                                    | \$0 00                                  | \$156 72              | \$0 00                                    |
|                     | 4 000                | 10/20/09                     | 11/20/13                    | \$1,025.10              | \$382 72                                   | \$0 00                                  | \$642 38              | \$0 00                                    |
|                     | 1 000                | 10/20/09                     | 11/20/13                    | \$256 27                | \$95 68                                    | \$0 00                                  | \$160 59              | \$0 00                                    |
|                     | 1 000                | 10/20/09                     | 11/25/13                    | \$256 41                | \$95 68                                    | \$0 00                                  | \$160 73              | \$0 00                                    |
|                     | 2 000                | 07/23/10                     | 11/26/13                    | \$515.53                | \$217.25                                   | \$0 00                                  | \$298.28              | \$0 00                                    |
| Security Subtotal   | 9 000                |                              |                             | \$2,305.71              | \$887.01                                   | \$0 00                                  | \$1,418.70            | \$0 00                                    |
| WMS IND INC         |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                     | 2 000                | 11/19/10                     | 06/28/13                    | \$50 96                 | \$87 50                                    | \$0 00                                  | (\$36 54)             | \$0 00                                    |
| YUM BRANDS INC      |                      |                              |                             |                         |                                            |                                         |                       |                                           |
|                     | 6 000                | 06/04/10                     | 04/03/13                    | \$404 06                | \$249 18                                   | \$0 00                                  | \$154 88              | \$0 00                                    |
|                     | 5 000                | 07/23/10                     | 04/03/13                    | \$336 71                | \$206 05                                   | \$0 00                                  | \$130 66              | \$0 00                                    |

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# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2013 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004

MARYLAND LLC, TTEE OF THE  
JAMES ARTHUR RAE CHARITABLE  
TRST UAD 8-20-1965 AS AMENDED  
14602 N TATUM BLVD.  
PHOENIX AZ 85032-4816

Identification Number: 26-4310632

Taxpayer ID Number: 86-0937247

Account Number: 003 113436 035

**Customer Service: 866-324-6088**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)  
(Continued)

| DESCRIPTION (Box 8)                                                 | QUANTITY<br>(Box 1e) | DATE<br>ACQUIRED<br>(Box 1b) | DATE OF<br>SALE<br>(Box 1a) | SALES PRICE<br>(Box 2a) | ADJUSTED COST<br>OR OTHER BASIS<br>(Box 3) | WASH SALE LOSS<br>DISALLOWED<br>(Box 5) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|---------------------------------------------------------------------|----------------------|------------------------------|-----------------------------|-------------------------|--------------------------------------------|-----------------------------------------|-----------------------|-------------------------------------------|
| YUM BRANDS INC                                                      | 11.000               | 07/23/10                     | 04/23/13                    | \$699.03                | \$453.30                                   | \$0.00                                  | \$245.73              | \$0.00                                    |
|                                                                     | 2.000                | 11/19/10                     | 04/23/13                    | \$127.10                | \$101.36                                   | \$0.00                                  | \$25.74               | \$0.00                                    |
| <b>Security Subtotal</b>                                            | <b>24.000</b>        |                              |                             | <b>\$1,566.90</b>       | <b>\$1,009.89</b>                          | <b>\$0.00</b>                           | <b>\$557.01</b>       | <b>\$0.00</b>                             |
| <b>Total Long Term Noncovered Securities</b>                        |                      |                              |                             | <b>\$162,816.14</b>     | <b>\$117,491.69</b>                        | <b>\$0.00</b>                           | <b>\$44,431.02</b>    | <b>\$0.00</b>                             |
| <b>Total Long Term Covered and Noncovered Securities</b>            |                      |                              |                             | <b>\$504,765.42</b>     | <b>\$414,610.60</b>                        | <b>\$1,132.60</b>                       | <b>\$89,261.40</b>    | <b>\$0.00</b>                             |
| <b>Total Short and Long Term, Covered and Noncovered Securities</b> |                      |                              |                             | <b>\$929,115.26</b>     | <b>\$829,700.87</b>                        | <b>\$1,276.51</b>                       | <b>\$98,520.97</b>    | <b>\$0.00</b>                             |
| <b>Form 1099-B Total Reportable Amounts</b>                         |                      |                              |                             |                         |                                            |                                         |                       |                                           |
| <b>Total Sales Price (Box 2a)</b>                                   |                      |                              |                             | <b>\$929,115.26</b>     |                                            |                                         |                       |                                           |
| <b>Total Cost or Other Basis (Box 3)</b>                            |                      |                              |                             |                         | <b>\$701,195.65</b>                        |                                         |                       |                                           |
| <b>Total Wash Sale Loss Disallowed (Box 5)</b>                      |                      |                              |                             |                         |                                            | <b>\$1,276.51</b>                       |                       |                                           |
| <b>Total Fed Tax Withheld (Box 4)</b>                               |                      |                              |                             |                         |                                            |                                         |                       | <b>\$0.00</b>                             |

# Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.