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Return of Private Foundation

OMB No 1545-0052

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation WILLIAM AND MARY ROSS FOUNDATION		A Employer identification number 86-0891827
Number and street (or P O box number if mail is not delivered to street address) 4729 E. SUNRISE DR., PMB #501	Room/suite	B Telephone number (520) 529-4850
City or town, state or province, country, and ZIP or foreign postal code TUCSON, AZ 85718-4535		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 17,236,111. (Part I, column (d) must be on cash basis.)	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		453.	453.		STATEMENT 1
4 Dividends and interest from securities		295,101.	295,101.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		477,593.			
b Gross sales price for all assets on line 6a 41,598,603.					
7 Capital gain net income (from Part IV, line 2)			477,593.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		7,187.	7,187.		STATEMENT 3
12 Total. Add lines 1 through 11		780,334.	780,334.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 4		3,800.	1,900.		1,900.
c Other professional fees STMT 5		254,477.	254,477.		0.
17 Interest					
18 Taxes STMT 6		16,869.	16,869.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 7		24,638.	23,777.		861.
24 Total operating and administrative expenses. Add lines 13 through 23		299,784.	297,023.		2,761.
25 Contributions, gifts, grants paid		805,500.			805,500.
26 Total expenses and disbursements. Add lines 24 and 25		1,105,284.	297,023.		808,261.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-324,950.			
b Net investment income (if negative, enter -0-)			483,311.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing					
	2 Savings and temporary cash investments			1,795,405.	4,301,762.	4,301,762.
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock	STMT 8		14,048,258.	11,228,058.	12,926,307.
	c Investments - corporate bonds					
	11 Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation ▶					
	12 Investments - mortgage loans					
	13 Investments - other					
	14 Land, buildings, and equipment: basis ▶ Less accumulated depreciation ▶					
15 Other assets (describe ▶)	STATEMENT 9)		17,042.	8,042.	8,042.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)			15,860,705.	15,537,862.	17,236,111.	
Liabilities	17 Accounts payable and accrued expenses					
	18 Grants payable					
	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶)					
23 Total liabilities (add lines 17 through 22)			0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.					
	27 Capital stock, trust principal, or current funds			0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds			15,860,705.	15,537,862.	
30 Total net assets or fund balances			15,860,705.	15,537,862.		
31 Total liabilities and net assets/fund balances			15,860,705.	15,537,862.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	15,860,705.
2 Enter amount from Part I, line 27a	2	-324,950.
3 Other increases not included in line 2 (itemize) ▶ RETURN OF CAPITAL PAYMENTS	3	2,107.
4 Add lines 1, 2, and 3	4	15,537,862.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	15,537,862.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES			P		
b CAPITAL GAINS DIVIDENDS					
c					
d					
e					

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 41,591,473.		41,121,010.	470,463.
b 7,130.			7,130.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			470,463.
b			7,130.
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	477,593.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):		3	N/A

If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	987,110.	16,029,944.	.061579
2011	702,244.	17,496,033.	.040137
2010	754,988.	16,348,715.	.046180
2009	216,532.	14,316,858.	.015124
2008	781,288.	14,902,810.	.052426

2 Total of line 1, column (d)	2	.215446
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.043089
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	16,369,596.
5 Multiply line 4 by line 3	5	705,350.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	4,833.
7 Add lines 5 and 6	7	710,183.
8 Enter qualifying distributions from Part XII, line 4	8	808,261.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1 4,833.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2 0.
3 Add lines 1 and 2		3 4,833.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4 0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5 4,833.
6 Credits/Payments:		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a 25,089.	
b Exempt foreign organizations - tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7 25,089.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10 20,256.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input checked="" type="checkbox"/> 20,256. Refunded <input type="checkbox"/>	11 0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> AZ		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► **NONE**

14 The books are in care of ► **JANE GARIGAN** Telephone no. ► **(520) 529-4850**
 Located at ► **4729 E. SUNRISE DR., PMB #501, TUCSON, AZ** ZIP+4 ► **85718**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year
 ► 15 **N/A**

16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?
 See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►

16		Yes	No
			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section

509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JANE GARIGAN 4729 E. SUNRISE DRIVE PMB 501 TUCSON, AZ 85718	PRESIDENT/VP	SECRETARY	TREASURER	
	0.50	0.	0.	0.
TIM GARIGAN 4729 E. SUNRISE DRIVE PMB 501 TUCSON, AZ 85718	VICE PRESIDENT	TREASURER	THRU 11/18/13	
	0.50	0.	0.	0.
ROBERT STRUSE 4729 E. SUNRISE DRIVE PMB 501 TUCSON, AZ 85718	SECRETARY	THRU 6/24/13		
	0.50	0.	0.	0.
DAN CAVETT 4729 E. SUNRISE DRIVE PMB 501 TUCSON, AZ 85718	SECRETARY	6/24/13 TO 11/18/13		
	0.50	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	13,159,027.
b	Average of monthly cash balances	1b	3,459,852.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	16,618,879.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	16,618,879.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	249,283.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	16,369,596.
6	Minimum investment return. Enter 5% of line 5	6	818,480.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	818,480.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	4,833.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	4,833.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	813,647.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	813,647.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	813,647.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	808,261.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	808,261.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	4,833.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	803,428.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				813,647.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			306,051.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 808,261.				
a Applied to 2012, but not more than line 2a			306,051.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				502,210.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				311,437.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

3 Subtract line 2d from line 2c. Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AMERICAN HEART ASSOCIATION 5325 E. PIMA STREET TUCSON, AZ 85712	UNRELATED	PUBLIC	PROGRAM SUPPORT	15,000.
ANGELMAN SYNDROME FOUNDATION 4255 WESTBROOK DRIVE, SUITE 219 AURORA, IL 60504	UNRELATED	PUBLIC	PROGRAM SUPPORT	50,000.
ARIZONA SONORA DESERT MUSEUM 2021 N. KINNEY ROAD TUCSON, AZ 85743	UNRELATED	PUBLIC	PROGRAM SUPPORT	17,500.
BOYS & GIRLS CLUB 1085 LAGUNA CANYON ROAD LAGUNA BEACH, CA 92651	UNRELATED	PUBLIC	PROGRAM SUPPORT	25,000.
CASAS ADOBES ROTARY FOUNDATION PO BOX 37225 TUCSON, AZ 85740	UNRELATED	PUBLIC	PROGRAM SUPPORT	2,500.
Total			SEE CONTINUATION SHEET(S) ▶ 3a	805,500.
b Approved for future payment				
NONE				
Total			▶ 3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	453.		
4 Dividends and interest from securities			14	295,101.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income			18	7,187.		
8 Gain or (loss) from sales of assets other than inventory			18	477,593.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		780,334.		0.
13 Total. Add line 12, columns (b), (d), and (e)					13	780,334.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE CENTURIONS 120 N. TUCSON BLVD. TUCSON, AZ 85716	UNRELATED	PUBLIC	PROGRAM SUPPORT	5,000.
COMMUNITY FOOD BANK FOR SOUTHERN ARIZONA 3003 S. COUNTRY CLUB ROAD, SUITE 121 TUCSON, AZ 85713	UNRELATED	PUBLIC	PROGRAM SUPPORT	25,000.
COMMUNITY FOUNDATION OF SOUTHERN ARIZONA 2250 E. BROADWAY BLVD. TUCSON, AZ 85709	UNRELATED	PUBLIC	PROGRAM SUPPORT	50,000.
DONOR NETWORK OF AZ 4747 N. 7TH STREET, SUITE 150 PHOENIX, AZ 85014	UNRELATED	PUBLIC	PROGRAM SUPPORT	25,000.
EMERGE! CENTER AGAINST DOMESTIC ABUSE 2545 E. ADAMS STREET TUCSON, AZ 85716	UNRELATED	PUBLIC	PROGRAM SUPPORT	50,000.
GAITWAY 7447 E. 22ND STREET TUCSON, AZ 85710	UNRELATED	PUBLIC	PROGRAM SUPPORT	10,000.
HUMANE SOCIETY OF SOUTHERN ARIZONA 3450 N. KELVIN BLVD. TUCSON, AZ 85716	UNRELATED	PUBLIC	PROGRAM SUPPORT	10,000.
INVISIBLE THEATRE 1400 N. 1ST AVENUE TUCSON, AZ 85719	UNRELATED	PUBLIC	PROGRAM SUPPORT	20,000.
PATRONATO SAN XAVIER 1950 W. SAN XAVIER ROAD TUCSON, AZ 85746	UNRELATED	PUBLIC	PROGRAM SUPPORT	100,000.
PIMA COMMUNITY COLLEGE 7600 N. SHANNON ROAD TUCSON, AZ 85709	UNRELATED	PUBLIC	PROGRAM SUPPORT	25,000.
Total from continuation sheets				695,500.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
QUILT FOR A CAUSE 5083 N. PINNACLE COVE DRIVE TUCSON, AZ 85749	UNRELATED	PUBLIC	PROGRAM SUPPORT	25,000.
SALPOINTE CATHOLIC HIGH SCHOOL 1545 E. COPPER STREET TUCSON, AZ 85749	UNRELATED	PUBLIC	PROGRAM SUPPORT	100,000.
SALVATION ARMY - TUCSON 1001 N. RICHEY BLVD TUCSON, AZ 85716	UNRELATED	PUBLIC	PROGRAM SUPPORT	5,000.
ST. LUKE'S HOME 615 E. ADAMS STREET TUCSON, AZ 85705	UNRELATED	PUBLIC	PROGRAM SUPPORT	25,000.
TOHONO CHUL PARK 7366 N. PASEO DEL NORTE TUCSON, AZ 85704	UNRELATED	PUBLIC	PROGRAM SUPPORT	30,000.
TU NIDITO 3922 N. MOUNTAIN AVENUE TUCSON, AZ 85719	UNRELATED	PUBLIC	PROGRAM SUPPORT	10,000.
TUCSON CHILDREN'S MUSEUM 200 S. 6TH AVENUE TUCSON, AZ 85701	UNRELATED	PUBLIC	PROGRAM SUPPORT	25,000.
TUCSON JEWISH COMMUNITY CENTER 3800 E. RIVER ROAD TUCSON, AZ 85718	UNRELATED	PUBLIC	PROGRAM SUPPORT	50,000.
TUCSON WILDLIFE CENTER PO BOX 18320 TUCSON, AZ 85731	UNRELATED	PUBLIC	PROGRAM SUPPORT	50,000.
UNIVERSITY OF ARIZONA FOUNDATION 1111 N. CHERRY, PO BOX 20109 TUCSON, AZ 85721	UNRELATED	PUBLIC	PROGRAM SUPPORT	54,500.
Total from continuation sheets				

86-0891827

3 Grants and Contributions Paid During the Year (Continuation)

Total from continuation sheets

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
LINN ENERGY LLC	70.	70.	
WELLS FARGO	383.	383.	
TOTAL TO PART I, LINE 3	453.	453.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
WELLS FARGO	302,231.	7,130.	295,101.	295,101.	
TO PART I, LINE 4	302,231.	7,130.	295,101.	295,101.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
LINN ENERGY LLC	7,187.	7,187.	
TOTAL TO FORM 990-PF, PART I, LINE 11	7,187.	7,187.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	3,800.	1,900.		1,900.
TO FORM 990-PF, PG 1, LN 16B	3,800.	1,900.		1,900.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	254,477.	254,477.		0.
TO FORM 990-PF, PG 1, LN 16C	254,477.	254,477.		0.

FORM 990-PF	TAXES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	16,869.	16,869.		0.
TO FORM 990-PF, PG 1, LN 18	16,869.	16,869.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
POST OFFICE BOX	526.	0.		526.
INVESTMENT EXPENSES FROM				
LINN ENERGY LLC	22,589.	22,589.		0.
CORPORATE FEES	160.	0.		160.
INVESTMENT SUBSCRIPTIONS	1,188.	1,188.		0.
PRINTING	120.	0.		120.
MISCELLANEOUS	55.	0.		55.
TO FORM 990-PF, PG 1, LN 23	24,638.	23,777.		861.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
WELLS FARGO 4408 EQUITIES - SEE ATTACHED	5,531,138.	6,905,467.
WELLS FARGO 0657 EQUITIES - SEE ATTACHED	5,696,920.	6,020,840.
TOTAL TO FORM 990-PF, PART II, LINE 10B	11,228,058.	12,926,307.

FORM 990-PF	OTHER ASSETS	STATEMENT	9
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DIVIDENDS IN TRANSIT	17,042.	8,042.	8,042.
TO FORM 990-PF, PART II, LINE 15	17,042.	8,042.	8,042.

**WILLIAM AND MARY ROSS
FOUNDATION, INC.**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER: 1158-4408

Stocks, options & ETFs

Stocks and ETFs

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
ALLEGION PLC									
ALLE									
Acquired 10/13/08 L nc		269.26221	13.14	3,538.35		11,898.70	8,360.35		
			13.96	3,761.42					
Acquired 02/27/09 L nc		716.47742	8.02	5,747.44		31,661.13	25,913.69		
			8.85	6,341.00					



WILLIAM AND MARY ROSS
FOUNDATION, INC.

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER: 1158-4408

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 09/20/13 S		276.26037	40.39	11,160.24		12,207.95	1,047.71		
Total	0.76	1,262		\$20,446.03 \$21,262.66	44.1900	\$55,767.78	\$35,321.75	N/A	N/A
AXA-ADR									
AXAHY									
Acquired 02/27/09 L nc		948	9.30	8,819.62		26,439.72	17,620.10		
Acquired 03/18/11 L		1,300	19.82	25,766.00		36,257.00	10,491.00		
Acquired 05/15/12 L		1,400	11.77	16,478.00		39,046.00	22,568.00		
Total	1.38	3,648		\$51,063.62	27.8900	\$101,742.72	\$50,679.10	\$2,794.36	2.75
BASF SE SPONSORED ADR									
BASFY									
Acquired 08/15/08 L nc		155	58.30	9,036.50		16,707.45	7,670.95		
Acquired 10/13/08 L nc		650	39.30	25,545.00		70,063.50	44,518.50		
Acquired 02/27/09 L nc		650	28.00	18,200.00		70,063.50	51,863.50		
Total	2.13	1,455		\$52,781.50	107.7900	\$156,834.45	\$104,052.95	\$3,588.03	2.29
BHP BILLITON LTD									
SPON ADR									
BHP									
Acquired 12/03/07 L nc		100	74.80	7,480.63		6,820.00	-660.63		
Acquired 12/04/07 L nc		1,000	73.89	73,899.00		68,200.00	-5,699.00		
Acquired 08/15/08 L nc		800	64.93	51,947.94		54,560.00	2,612.06		
Acquired 10/13/08 L nc		1,550	43.99	68,184.59		105,710.00	37,525.41		
Acquired 02/27/09 L nc		450	37.16	16,723.08		30,690.00	13,966.92		
Acquired 03/18/11 L		500	88.86	44,432.41		34,100.00	-10,332.41		
Acquired 04/15/13 S		478	65.60	31,358.11		32,599.60	1,241.49		
Acquired 07/29/13 S		433	64.04	27,731.01		29,530.60	1,799.59		
Total	4.92	5,311		\$321,756.77	68.2000	\$362,210.20	\$40,453.43	\$12,321.52	3.40
BRITISH AMERN TOB PLC									
SPON ADR									
BTI									
Acquired 08/15/08 L nc		204	69.37	14,151.97		21,913.68	7,761.71		
Acquired 10/13/08 L nc		245	56.38	13,813.10		26,317.90	12,504.80		
Acquired 02/27/09 L nc		225	51.23	11,528.44		24,169.50	12,641.06		
Acquired 03/18/11 L		1,100	76.43	84,082.88		118,162.00	34,079.12		
Total	2.59	1,774		\$123,576.39	107.4200	\$190,563.08	\$66,986.69	\$7,674.32	4.03

WILLIAM AND MARY ROSS
FOUNDATION, INC.

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER: 1158-4408

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
BROOKFIELD ASSET MANGMNT									
CLASS A									
BAM									
Acquired 10/13/08 L nc		496	20.83	10,335.70		19,259.68	8,923.98		
Acquired 02/27/09 L nc		780	13.77	10,747.85		30,287.40	19,539.55		
Acquired 03/18/11 L		500	31.41	15,708.30		19,415.00	3,706.70		
Total	0.94	1,776		\$36,791.85	38.8300	\$68,962.08	\$32,170.23	\$1,420.80	2.06
CANADIAN NATL RY CO									
CNI									
Acquired 12/03/07 L nc		330	24.05	7,938.13		18,816.60	10,878.47		
Acquired 12/04/07 L nc		50	23.56	1,178.25		2,851.00	1,672.75		
Acquired 08/15/08 L nc		390	25.68	10,018.13		22,237.80	12,219.67		
Acquired 10/13/08 L nc		360	21.57	7,765.38		20,527.20	12,761.82		
Acquired 02/27/09 L nc		1,100	16.23	17,858.50		62,722.00	44,863.50		
Acquired 12/14/10 L nc		600	33.46	20,080.98		34,212.00	14,131.02		
Acquired 03/18/11 L		1,600	36.60	58,562.80		91,232.00	32,669.20		
Acquired 12/04/12 L		280	44.94	12,583.59		15,965.60	3,382.01		
Total	3.65	4,710		\$135,985.76	57.0200	\$268,564.20	\$132,578.44	\$1,867.51	0.70
CANADIAN NATURAL RESOURCES LTD									
CNQ									
Acquired 12/03/07 L nc		1,400	65.03	45,526.39		47,376.00	1,849.61		
Acquired 08/15/08 L nc		60	75.49	2,264.85		2,030.40	-234.45		
Acquired 10/13/08 L nc		940	48.85	22,962.19		31,809.60	8,847.41		
Acquired 02/27/09 L nc		1,000	33.12	16,561.70		33,840.00	17,278.30		
Acquired 03/18/11 L		3,600	48.83	175,803.95		121,824.00	-53,979.95		
Acquired 09/29/11 L		245	30.14	7,385.37		8,290.80	905.43		
Acquired 04/15/13 S		704	29.50	20,772.88		23,823.36	3,050.48		
Total	3.66	7,949		\$291,277.33	33.8400	\$268,994.16	-\$22,283.17	\$5,863.18	2.18
CANADIAN PACIFIC RAILWAY LTD									
CP									
Acquired 12/15/10 L nc		275	64.86	17,837.65		41,613.00	23,775.35		
Acquired 03/18/11 L		1,565	64.40	100,790.12		236,815.80	136,025.68		
Acquired 04/15/13 S		45	120.69	5,431.05		6,809.40	1,378.35		
Acquired 10/30/13 S		389	144.96	56,390.57		58,863.48	2,472.91		
Total	4.68	2,274		\$180,449.39	151.3200	\$344,101.68	\$163,652.29	\$2,935.27	0.85

WILLIAM AND MARY ROSS
FOUNDATION, INC.DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER: 1158-4408Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
CORE LABORATORIES INC									
CLB									
Acquired 12/03/07 L nc		9	59.17	532.57		1,718.55	1,185.98		
Acquired 08/15/08 L nc		120	59.90	7,188.60		22,914.00	15,725.40		
Acquired 10/13/08 L nc		320	39.82	12,743.71		61,104.00	48,360.29		
Acquired 02/27/09 L nc		60	38.12	2,287.50		11,457.00	9,169.50		
Total	1.32	509		\$22,752.38	190.9500	\$97,193.55	\$74,441.17	\$651.52	0.67
DIAGEO PLC									
SPONSORED ORD NEW									
DEO									
Acquired 02/27/09 L nc		251	46.78	11,743.93		33,237.42	21,493.49		
Acquired 03/18/11 L		1,350	74.11	100,056.87		178,767.00	78,710.13		
Total	2.88	1,601		\$111,800.80	132.4200	\$212,004.42	\$100,203.62	\$4,799.79	2.26
EATON CORP PLC									
ETN									
Acquired 12/03/12 L		2,132	51.90	110,662.73		162,287.84	51,625.11		
Acquired 12/20/12 L		655	54.44	35,663.51		49,858.60	14,195.09		
Acquired 09/20/13 S		717	70.91	50,846.06		54,578.04	3,731.98		
Total	3.63	3,504		\$197,172.30	76.1200	\$266,724.48	\$69,552.18	\$5,886.72	2.21
ENSCO PLC									
CLASS A									
ESV									
Acquired 10/31/13 S		1,010	57.94	58,524.96		57,751.80	-773.16		
Acquired 11/01/13 S		2,137	57.42	122,707.82		122,193.66	-514.16		
Total	2.45	3,147		\$181,232.78	57.1800	\$179,945.46	-\$1,287.32	\$7,080.75	3.93
ENSIGN ENERGY SERVICE INC									
ESVIF									
Acquired 12/03/07 L nc		229	14.59	3,342.04		3,609.04	267.00		
Acquired 12/04/07 L nc		25	14.03	350.76		394.00	43.24		
Acquired 10/14/08 L nc		450	13.30	5,985.72		7,092.00	1,106.28		
Acquired 02/27/09 L nc		775	8.13	6,306.30		12,214.00	5,907.70		
Acquired 03/18/11 L		500	16.92	8,462.65		7,880.00	-582.65		
Total	0.42	1,979		\$24,447.47	15.7600	\$31,189.04	\$6,741.57	\$889.75	2.85

**WILLIAM AND MARY ROSS
FOUNDATION, INC.**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER: 1158-4408

**Stocks, options & ETFs
Stocks and ETFs continued**

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
FINNING INTL INC									
FINGF									
Acquired 12/23/10 L nc		395	27.29	10,779.70		10,093.39	-686.31		
Acquired 03/18/11 L		190	27.67	5,257.30		4,855.05	-402.25		
Total	0.20	585		\$16,037.00	25.5529	\$14,948.44	-\$1,088.56	\$329.00	2.20
INGERSOLL-RAND PLC									
SHARES									
IR									
Acquired 10/13/08 L nc		808	17.03	13,767.99		49,772.80	36,004.81		
			18.11	14,635.72					
Acquired 02/27/09 L nc		2,150	10.40	22,363.94		132,440.00	110,076.06		
			11.47	24,672.88					
Acquired 09/20/13 S		829	52.38	43,424.55		51,066.40	7,641.85		
Total	3.17	3,787		\$79,556.48 \$82,733.15	61.6000	\$233,279.20	\$153,722.72	\$3,181.08	1.36
MANULIFE FINL CORP									
MFC									
Acquired 05/22/08 L nc		835	38.85	32,444.94		16,474.55	-15,970.39		
Acquired 02/27/09 L nc		1,350	10.37	14,010.61		26,635.50	12,624.89		
Acquired 03/18/11 L		2,500	17.00	42,511.25		49,325.00	6,813.75		
Total	1.26	4,685		\$88,966.80	19.7300	\$92,435.05	\$3,468.25	\$2,246.45	2.43
NABORS INDUSTRIES LTD									
NBR									
Acquired 10/13/08 L nc		2,812	15.92	44,794.30		47,775.88	2,981.58		
Acquired 02/27/09 L nc		5,740	10.06	57,786.88		97,522.60	39,735.72		
Acquired 09/27/11 L		730	14.58	10,643.40		12,402.70	1,759.30		
Acquired 04/15/13 S		2,262	15.40	34,857.31		38,431.38	3,574.07		
Total	2.67	11,544		\$148,081.89	16.9900	\$196,132.56	\$48,050.67	\$1,847.04	0.94
NESTLE S A REG ADR									
NSRGY									
Acquired 02/27/09 L nc		203	32.86	6,670.58		14,938.77	8,268.19		
Acquired 02/02/10 L nc		1,250	48.37	60,466.13		91,987.50	31,521.37		
Acquired 03/18/11 L		2,250	55.15	124,087.50		165,577.50	41,490.00		
Total	3.70	3,703		\$191,224.21	73.5900	\$272,503.77	\$81,279.56	\$6,724.64	2.47



WILLIAM AND MARY ROSS
FOUNDATION, INC.

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER: 1158-4408

Stocks, options & ETFs
Stocks and ETFs continued

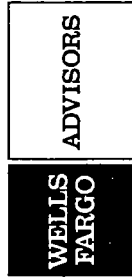
DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
NOBLE CORP PLC									
SHS USD									
NE		292	52.60	15,359.60		10,941.24	-4,418.36		
Acquired 12/03/07 L nc		1,650	53.20	87,795.51		61,825.50	-25,970.01		
Acquired 12/04/07 L nc		1,160	49.50	57,421.38		43,465.20	-13,956.18		
Acquired 08/15/08 L nc		3,515	30.58	107,491.34		131,707.05	24,215.71		
Acquired 10/13/08 L nc		775	25.51	19,774.00		29,039.25	9,265.25		
Acquired 02/27/09 L nc		1,900	43.72	83,082.63		71,193.00	-11,889.63		
Acquired 03/18/11 L									
Total	4.73	9,292		\$370,924.46	37.4700	\$348,171.24	-\$22,753.22	\$9,292.00	2.67
NOVARTIS AG									
SPON ADR									
NVS		160	36.56	5,850.40		12,860.80	7,010.40		
Acquired 02/27/09 L nc		1,600	53.80	86,090.56		128,608.00	42,517.44		
Acquired 03/18/11 L									
Total	1.92	1,760		\$91,940.96	80.3800	\$141,468.80	\$49,527.84	\$3,620.32	2.56
PARTNERRE LTD									
PRE		98	53.81	5,273.49		10,332.14	5,058.65		
Acquired 10/13/08 L nc		620	74.20	46,008.09		65,366.60	19,358.51		
Acquired 03/18/11 L									
Total	1.03	718		\$51,281.58	105.4300	\$75,698.74	\$24,417.16	\$1,838.08	2.43
POTASH CORP OF									
SASKATCHEWAN INC									
POT		1,463	41.53	60,762.92		48,220.48	-12,542.44		
Acquired 12/03/07 L nc		675	35.12	23,710.50		22,248.00	-1,462.50		
Acquired 10/13/08 L nc		2,865	23.96	68,664.31		94,430.40	25,766.09		
Acquired 12/29/08 L nc		960	28.58	27,441.09		31,641.60	4,200.51		
Acquired 02/27/09 L nc		1,700	55.48	94,331.07		56,032.00	-38,299.07		
Acquired 03/18/11 L									
Total	3.43	7,663		\$274,909.89	32.9600	\$252,572.48	-\$22,337.41	\$10,728.20	4.25
RIO TINTO PLC									
SPONSORED ADR									
RIO		200	451.33	22,566.65		11,286.00	-11,280.65		
Acquired 12/03/07 L nc		100	527.08	13,177.25		5,643.00	-7,534.25		
Acquired 05/22/08 L nc		760	345.59	65,662.10		42,886.80	-22,775.30		
Acquired 08/15/08 L nc		1,840	201.72	92,793.99		103,831.20	11,037.21		
Acquired 10/13/08 L nc		2,800	104.14	72,902.06		158,004.00	85,101.94		
Acquired 02/27/09 L nc									

WILLIAM AND MARY ROSS
FOUNDATION, INC.

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER: 1158-4408

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 03/18/11 L		300	65.50	19,652.39		16,929.00	-2,723.39		
Acquired 04/15/13 S		1,169	45.38	53,052.96		65,966.67	12,913.71		
Acquired 07/30/13 S		295	45.16	13,323.23		16,646.85	3,323.62		
Total	5.73	7,464		\$353,130.63	56.4300	\$421,193.52	\$68,062.89	\$13,151.56	3.12
SCHLUMBERGER LTD									
SLB									
Acquired 12/03/07 L nc		614	92.84	57,006.58		55,327.54	-1,679.04		
Acquired 05/22/08 L nc		55	102.53	5,639.15		4,956.05	-683.10		
Acquired 08/15/08 L nc		310	91.07	28,233.13		27,934.10	-299.03		
Acquired 10/13/08 L nc		720	64.34	46,327.59		64,879.20	18,551.61		
Acquired 02/27/09 L nc		1,965	38.89	76,420.41		177,066.15	100,645.74		
Acquired 03/18/11 L		800	86.02	68,820.77		72,088.00	3,267.23		
Total	5.47	4,464		\$282,447.63	90.1100	\$402,251.04	\$119,803.41	\$5,580.00	1.39
SUNCOR ENERGY INC NEW									
SU									
Acquired 12/03/07 L nc		2,300	47.73	109,780.15		80,615.00	-29,165.15		
Acquired 08/15/08 L nc		385	50.75	19,541.21		13,494.25	-6,046.96		
Acquired 10/13/08 L nc		3,475	26.43	91,847.65		121,798.75	29,951.10		
Acquired 02/27/09 L nc		1,740	21.18	36,864.86		60,987.00	24,122.14		
Acquired 03/18/11 L		2,000	44.23	88,473.00		70,100.00	-18,373.00		
Acquired 04/15/13 S		2,062	27.29	56,292.40		72,273.10	15,980.70		
Total	5.70	11,962		\$402,799.27	35.0500	\$419,268.10	\$16,468.83	\$8,823.17	2.10
TALISMAN ENERGY INC									
TLM									
Acquired 08/15/08 L nc		440	16.57	7,295.20		5,126.00	-2,169.20		
Acquired 10/13/08 L nc		1,660	10.14	16,839.83		19,339.00	2,499.17		
Acquired 03/18/11 L		100	23.95	2,395.54		1,165.00	-1,230.54		
Acquired 05/15/12 L		3,500	9.88	34,604.50		40,775.00	6,170.50		
Acquired 04/15/13 S		67	11.28	756.15		780.55	24.40		
Total	0.91	5,767		\$61,891.22	11.6500	\$67,185.55	\$5,294.33	\$1,557.09	2.32
TECK RESOURCES LTD									
CLASS B									
TCK									
Acquired 12/14/12 L		2,140	35.85	76,736.55		55,661.40	-21,075.15		
Acquired 04/15/13 S		471	25.31	11,924.07		12,250.71	326.64		
Total	0.92	2,611		\$88,660.62	26.0100	\$67,912.11	-\$20,748.51	\$2,166.60	3.19

WILLIAM AND MARY ROSS
FOUNDATION, INC.DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER: 1158-4408Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
TENARIS S A									
SPONSORED ADR									
TS									
Acquired 10/13/08 L nc		2,348	28.83	67,712.67		102,584.12	34,871.45		
Acquired 02/27/09 L nc		2,745	17.81	48,904.92		119,929.05	71,024.13		
Acquired 03/18/11 L		1,900	45.34	86,164.81		83,011.00	-3,153.81		
Acquired 09/25/13 S		141	48.77	6,876.71		6,160.29	-716.42		
Acquired 09/26/13 S		158	48.29	7,630.61		6,903.02	-727.59		
Total	4.33	7,292		\$217,289.72	43.6900	\$318,587.48	\$101,297.76	\$6,271.12	1.97
UBS AG-REG									
UBS									
Acquired 08/15/08 L nc		1,558	19.80	30,850.60		29,991.50	-859.10		
			20.06	31,268.72					
Acquired 10/13/08 L nc		495	16.50	8,169.16		9,528.75	1,359.59		
			16.77	8,301.99					
Acquired 02/27/09 L nc		3,175	9.05	28,760.17		61,118.75	32,358.58		
			9.32	29,612.27					
Acquired 03/18/11 L		2,900	17.87	51,849.97		55,825.00	3,975.03		
			18.14	52,628.33					
Total	2.13	8,128		\$119,629.90	19.2500	\$156,464.00	\$36,834.10	\$1,371.19	0.88
UNILEVER N V ADR									
UN									
Acquired 02/27/09 L nc		872	19.30	16,835.96		35,080.56	18,244.60		
Acquired 03/18/11 L		3,045	30.13	91,759.55		122,500.35	30,740.80		
Total	2.14	3,917		\$108,595.51	40.2300	\$157,580.91	\$48,985.40	\$4,645.56	2.95
VALE S A ADR									
VALE									
Acquired 12/03/07 L nc		1,300	34.53	44,899.66		19,825.00	-25,074.66		
Acquired 12/04/07 L nc		1,450	34.25	49,673.67		22,112.50	-27,561.17		
Acquired 08/15/08 L nc		2,070	25.14	52,058.22		31,567.50	-20,490.72		
Acquired 10/13/08 L nc		4,480	14.97	67,107.63		68,320.00	1,212.37		
Acquired 02/27/09 L nc		100	12.95	1,295.50		1,525.00	229.50		
Acquired 03/18/11 L		2,600	32.29	83,974.02		39,650.00	-44,324.02		
Acquired 04/15/13 S		3,530	16.76	59,162.80		53,832.50	-5,330.30		
Total	3.22	15,530		\$358,171.50	15.2500	\$236,832.50	-\$121,339.00	\$2,034.43	0.86

**WILLIAM AND MARY ROSS
FOUNDATION, INC.**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER: 1158-4408

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
WEATHERFORD									
INTERNATIONAL LTD REG									
WFT									
Acquired 12/03/07 L nc		2,400	31.55	75,731.28		37,176.00	-38,555.28		
Acquired 12/04/07 L nc		2,400	32.07	76,987.44		37,176.00	-39,811.44		
Acquired 08/15/08 L nc		200	36.66	7,332.00		3,098.00	-4,234.00		
Acquired 10/13/08 L nc		7,600	16.46	125,123.82		117,724.00	-7,399.82		
Acquired 02/27/09 L nc		4,400	10.97	48,304.52		68,156.00	19,851.48		
Acquired 03/18/11 L		7,000	20.66	144,678.80		108,430.00	-36,248.80		
Acquired 04/15/13 S		2,707	12.17	32,949.46		41,931.43	8,981.97		
Total	5.62	26,707		\$511,107.32	15.4900	\$413,691.43	-\$97,415.89	N/A	N/A
YARA INTERNATIONAL ASA									
YARIY									
Acquired 12/03/07 L nc		41	37.65	1,543.65		1,760.13	216.48		
Acquired 10/13/08 L nc		150	28.00	4,200.00		6,439.50	2,239.50		
Acquired 02/27/09 L nc		100	21.64	2,164.00		4,293.00	2,129.00		
Total	0.17	291		\$7,907.65	42.9300	\$12,492.63	\$4,584.98	\$538.64	4.31
Total Stocks and ETFs	93.89			\$5,576,088.61		\$6,905,466.85	\$1,329,378.24	\$143,719.69	2.08
Total Stocks, options & ETFs	93.89			\$5,582,263.32		\$6,905,466.85	\$1,329,378.24	\$143,719.69	2.08

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

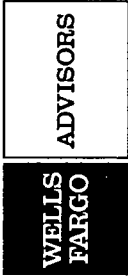
WILLIAM AND MARY ROSS
FOUNDATION, INC.

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER: 6682-0657

Stocks, options & ETFs

Stocks and ETFs

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
FIRST TR ASIA ET PACIFIC EX-JAPAN ALPHADEX FUND FPA Acquired 09/30/13 S	2.45	5,341	27.82	148,639.50	28.2490	150,877.90	2,238.40	3,546.42	2.35
FIRST TR CANADA ET ALPHADEX FUND FCAN Acquired 08/09/13 S	2.46	4,177	35.69	149,077.13	36.1999	151,206.98	2,129.85	1,979.89	1.30



**WILLIAM AND MARY ROSS
FOUNDATION, INC.**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER: 6682-0657

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
FIRST TR EMERGING ET MARKETS ALPHADEX FUND									
FEM									
Acquired 08/09/13 S		4,877	24.14	117,768.81		121,095.91	3,327.10		
Acquired 09/30/13 S		13,429	24.28	326,148.78		333,442.07	7,293.29		
Total	7.38	18,306		\$443,917.59	24.8300	\$454,537.98	\$10,620.39	\$11,898.90	2.62
FIRST TR EUROPE ET ALPHADEX FUND									
FEP									
Acquired 08/09/13 S		15,865	30.19	478,964.35		530,525.60	51,561.25		
Acquired 09/16/13 S		406	31.00	12,586.00		13,576.64	990.64		
Acquired 09/23/13 S		248	30.96	7,678.08		8,293.12	615.04		
Acquired 10/28/13 S		565	32.27	18,232.55		18,893.60	661.05		
Total	9.28	17,084		\$517,460.98	33.4400	\$571,288.96	\$53,827.98	\$8,832.42	1.55
FIRST TR JAPAN ET ALPHADEX FUND									
FJP									
Acquired 08/09/13 S	3.10	4,089	44.05	180,120.45	46.6600	190,792.74	10,672.29	1,328.92	0.69
FIRST TRST HLTH CAREETF ALPHADEX FUND									
FXH									
Acquired 08/09/13 S		3,467	42.58	147,656.40		166,658.69	19,002.29		
Acquired 08/26/13 S		621	42.25	26,242.09		29,851.47	3,609.38		
Acquired 09/16/13 S		626	43.84	27,449.29		30,091.82	2,642.53		
Acquired 10/14/13 S		989	43.29	42,814.40		47,541.23	4,726.83		
Total	4.45	5,703		\$244,162.18	48.0700	\$274,143.21	\$29,981.03	\$31.36	0.01
FIRST TRUST ET FINANCIAL ALPHADEX FUND									
FXO									
Acquired 08/09/13 S		7,126	19.95	142,163.70		154,919.24	12,755.54		
Acquired 08/26/13 S		1,494	19.72	29,462.28		32,479.56	3,017.28		
Acquired 09/16/13 S		1,619	19.89	32,212.76		35,197.06	2,984.30		
Acquired 10/14/13 S		2,069	20.03	41,457.79		44,980.06	3,522.27		
Total	4.34	12,308		\$245,296.53	21.7400	\$267,575.92	\$22,279.39	\$3,237.00	1.21

**WILLIAM AND MARY ROSS
FOUNDATION, INC.**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER: 6682-0657

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
FIRST TRUST ET									
INDUSTRIALS/PRODUCER									
DURABLES ALPHADEX™ FUND									
FXR									
Acquired 08/09/13 S		6,036	24.45	147,639.95		171,362.04	23,722.09		
Acquired 08/26/13 S		999	24.48	24,459.52		28,361.61	3,902.09		
Acquired 09/16/13 S		1,088	25.06	27,272.13		30,888.32	3,616.19		
Acquired 10/14/13 S		1,637	25.30	41,427.89		46,474.43	5,046.54		
Total	4.50	9,760		\$240,799.49	28.3900	\$277,086.40	\$36,286.91	\$1,141.92	0.41
FIRST TRUST CONSUMERETF									
DISCRETIONARY ALPHADEX									
FUND									
FXD									
Acquired 08/09/13 S		4,860	29.01	141,028.44		156,735.00	15,706.56		
Acquired 08/26/13 S		1,002	28.69	28,750.89		32,314.50	3,563.61		
Acquired 09/16/13 S		919	29.69	27,289.98		29,637.75	2,347.77		
Acquired 10/14/13 S		1,556	29.46	45,852.83		50,181.00	4,328.17		
Total	4.37	8,337		\$242,922.14	32.2500	\$268,868.25	\$25,946.11	\$942.08	0.35
FIRST TRUST CONSUMER ET									
STAPLES ALPHADEX FUND									
FXG									
Acquired 10/28/13 S	4.23	7,310	35.08	256,465.50	35.6600	260,674.60	4,209.10	4,203.25	1.61
FIRST TRUST ENERGY ET									
ALPHADEX FUND									
FXN									
Acquired 08/09/13 S		6,185	22.66	140,190.44		156,233.10	16,042.66		
Acquired 08/26/13 S		1,435	22.64	32,496.01		36,248.10	3,752.09		
Acquired 09/16/13 S		1,186	23.01	27,291.64		29,958.36	2,666.72		
Acquired 10/14/13 S		1,625	23.68	38,480.65		41,047.50	2,566.85		
Total	4.28	10,431		\$238,458.74	25.2600	\$263,487.06	\$25,028.32	\$2,148.78	0.82
FIRST TRUST MATERIAL ET									
ALPHADEX FUND									
FXZ									
Acquired 08/09/13 S		5,147	28.30	145,695.60		166,838.41	21,142.81		
Acquired 08/26/13 S		857	28.64	24,552.19		27,779.41	3,227.22		
Acquired 09/16/13 S		859	29.49	25,338.44		27,844.24	2,505.80		



WILLIAM AND MARY ROSS
FOUNDATION, INC.

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER: 6682-0657

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 10/14/13 S		1,505	29.36	44,191.77		48,784.14	4,592.37		
Total	4.40	8,368		\$239,778.00	32.4147	\$271,246.20	\$31,468.20	\$2,435.08	0.90
FIRST TRUST TECHNOLOGY ET ALPHADEX FUND									
FXL									
Acquired 08/09/13 S		5,690	26.42	150,386.12		169,846.50	19,460.38		
Acquired 08/26/13 S		1,022	26.34	26,922.04		30,506.70	3,584.66		
Acquired 09/16/13 S		955	27.12	25,905.62		28,506.75	2,601.13		
Acquired 10/14/13 S		1,557	27.38	42,637.67		46,476.45	3,838.78		
Total	4.47	9,224		\$245,851.45	29.8500	\$275,336.40	\$29,484.95	\$893.80	0.32
ISHARES ET FLOATING RATE BOND FLOT									
Acquired 12/30/13 S	5.51	6,695	50.70	339,476.91	50.7200	339,570.40	93.49	1,606.80	0.47
ISHARES CORE S&P 500 ET S&P 500 INDEX FD IVV									
Acquired 08/09/13 S		3,438	170.32	585,592.13		638,264.70	52,672.57		
Acquired 09/23/13 S		43	171.72	7,384.34		7,982.95	598.61		
Total	10.49	3,481		\$592,976.47	185.6500	\$646,247.65	\$53,271.18	\$11,643.94	1.80
PIMCO ETF TRUST ET ENHANCED SHORT MATURITY STRATEGY FUND MINT									
Acquired 12/02/13 S	11.02	6,698	101.53	680,080.09	101.3200	678,641.36	-1,438.73	4,956.52	0.73
PIMCO INVESTMENT ET GRADE CORPORATE BOND INDEX FUND CORP									
Acquired 10/28/13 S		3,251	104.13	338,546.46		321,849.00	-16,697.46		
Acquired 12/30/13 S		168	99.33	16,687.44		16,632.00	-55.44		
Total	5.50	3,419		\$355,233.90	99.0000	\$338,481.00	-\$16,752.90	\$12,257.11	3.62

**WILLIAM AND MARY ROSS
FOUNDATION, INC.**

DECEMBER 1 - DECEMBER 31, 2013
ACCOUNT NUMBER: 6682-0657

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
PIMCO 0-5 YEAR HIGH ET YIELD CORPORATE BOND FUND HYS	5.53	3,204	104.93	336,202.45	106.3600	340,777.44	4,574.99	14,818.50	4.34
Acquired 09/30/13 S									
Total Stocks and ETFs	97.76			\$5,696,919.50		\$6,020,840.45	\$323,920.95	\$87,902.69	1.46
Total Stocks, options & ETFs	97.76			\$5,696,919.50		\$6,020,840.45	\$323,920.95	\$87,902.69	1.46