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Form **990-PF** | Department of the Treasury Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052 **2013** Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation	A Employer identification number
ALBERTA B. FARRINGTON FOUNDATION	86-0717723
Number and street (or P O box number if mail is not delivered to street address) 5825 E. PINNACLE VISTA DR. — Room/suite	B Telephone number (480) 948-1692
City or town, state or province, country, and ZIP or foreign postal code SCOTTSDALE, AZ 85266	C If exemption application is pending, check here ▶ [ ]

G Check all that apply [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1. Foreign organizations, check here ▶ [ ]

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 11,629,888.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc, received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	184.	184.		STATEMENT 1
	4 Dividends and interest from securities	287,802.	287,802.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-147,882.			
	b Gross sales price for all assets on line 6a 8,756,735.				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	-756.	-756.		STATEMENT 3
	12 Total. Add lines 1 through 11	139,348.	287,230.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	61,900.	20,632.		41,268.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits	28,613.	9,538.		19,075.
	16a Legal fees				
	b Accounting fees STMT 4	9,800.	3,266.		6,534.
	c Other professional fees STMT 5	102,674.	102,674.		0.
	17 Interest				
	18 Taxes STMT 6	6,112.	2,037.		4,075.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	138.	0.		138.
	22 Printing and publications				
	23 Other expenses STMT 7	12,042.	10,777.		1,265.
	24 Total operating and administrative expenses. Add lines 13 through 23	221,279.	148,924.		72,355.
	25 Contributions, gifts, grants paid	505,558.			505,558.
	26 Total expenses and disbursements. Add lines 24 and 25	726,837.	148,924.		577,913.
	27 Subtract line 26 from line 12.				
	a Excess of revenue over expenses and disbursements	-587,489.			
	b Net investment income (if negative, enter -0-)		138,306.		
	c Adjusted net income (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ 251,965.			
	Less allowance for doubtful accounts ▶ 0.	393,027.	251,965.	251,965.
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock STMT 9	11,613,283.	11,377,923.	11,377,923.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item 1)	12,006,310.	11,629,888.	11,629,888.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ [ ] and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	12,006,310.	11,629,888.	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 Total net assets or fund balances	12,006,310.	11,629,888.	
	31 Total liabilities and net assets/fund balances	12,006,310.	11,629,888.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	12,006,310.
2	Enter amount from Part I, line 27a	2	-587,489.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8	3	211,067.
4	Add lines 1, 2, and 3	4	11,629,888.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	11,629,888.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	8,756,735.		8,904,617.	-147,882.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				-147,882.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-147,882.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	575,489.	11,955,806.	.048135
2011	692,644.	12,619,237.	.054888
2010	676,849.	12,757,584.	.053055
2009	799,625.	13,123,732.	.060930
2008	858,265.	15,957,000.	.053786

2 Total of line 1, column (d)	2	.270794
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.054159
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	11,571,157.
5 Multiply line 4 by line 3	5	626,682.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,383.
7 Add lines 5 and 6	7	628,065.
8 Enter qualifying distributions from Part XII, line 4	8	577,913.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1				
Date of ruling or determination letter ________ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	2,766.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0.
3 Add lines 1 and 2			3	2,766.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	2,766.
6 Credits/Payments				
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	6,283.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments Add lines 6a through 6d			7	6,283.
8 Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	3,517.
11 Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶		3,517. Refunded ▶	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*	1b		X
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ AZ			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ GERI J CAVANAGH Telephone no ▶ (480)948-1692

Located at ▶ 5825 E PINNACLE VISTA DR., SCOTTSDALE, AZ ZIP+4 ▶ 85266

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______, ______, ______, ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969, (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MICHAEL CAVANAGH 8103 DEL PICO SCOTTSDALE, AZ 85257	SECRETARY/TREASURER 10.00	500.	0.	0.
GERI J CAVANAGH 5825 E PINNACLE VISTA DR SCOTTSDALE, AZ 85266	PRESIDENT 20.00	60,400.	28,613.	0.
JAMIE HUFFORD 1851 GREENTREE TEMPE, AZ 85284	DIRECTOR 2.00	500.	0.	0.
HARRY CAVANAGH JR 6317 4TH DRIVE PHOENIX, AZ 85013	DIRECTOR 2.00	500.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	11,747,368.
b	Average of monthly cash balances		1b	
c	Fair market value of all other assets		1c	
d	Total (add lines 1a, b, and c)		1d	11,747,368.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	11,747,368.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	176,211.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	11,571,157.
6	**Minimum investment return.** Enter 5% of line 5		6	578,558.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	578,558.
2a	Tax on investment income for 2013 from Part VI, line 5	2a 2,766.		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	2,766.
3	Distributable amount before adjustments Subtract line 2c from line 1		3	575,792.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	575,792.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	575,792.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	577,913.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	577,913.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	577,913.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Form **990-PF** (2013)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				575,792.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			499,966.	
b Total for prior years ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 577,913.				
a Applied to 2012, but not more than line 2a			499,966.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				77,947.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				497,845.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED	NONE	N/A	ALL OF THE CONTRIBUTIONS ARE TO PUBLIC CHARITIES AND ARE GENERALLY NOT RESTRICTED AS TO USE.	505,558.
Total			▶ 3a	505,558.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

Form **990-PF** (2013)

323611
10-10-13

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	184.	
4 Dividends and interest from securities			14	287,802.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-147,882.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a ORDINARY INCOME FROM K-1			14	-756.	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		139,348.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 139,348.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Geo. B. Cavanagh 11/19/14 President

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	JAMES A. WRAITH, CPA	JAMES A. WRAITH,	11/13/14		P00165440
	Firm's name ▶ MANSPERGER PATTERSON & MCMULLIN, PLC			Firm's EIN ▶ 86-0751928	
	Firm's address ▶ 1222 E. BASELINE ROAD, SUITE 200 TEMPE, AZ 85283			Phone no (480) 831-9500	

ALBERTA B. FARRINGTON FOUNDATION

EIN: 86-0717723

2013 CHARITABLE CONTRIBUTIONS

1/27/2013	Career Concepts for Youth	-25,000 00
1/27/2013	American Heart Association	-10,000 00
1/27/2013	Junior Golf Association of AZ	-50,000 00
1/27/2013	Banner Health Foundation	-55,000 00
1/27/2013	Seton Catholic Preparatory High School	-25,000.00
1/27/2013	Hunkapi Horse Therapy	-30,000 00
1/27/2013	Dominican Sisters Of Mary	-2,500 00
1/27/2013	Catholic Community Foundation	-8,000 00
4/15/2013	Franciscan School Of Theology	-2,500 00
4/30/2013	American Cancer Society	-10,000 00
5/13/2013	Diocese Of Phoenix CDA	-12,500 00
8/15/2013	LPGA Foundation	-5,000 00
8/15/2013	Arizona State University	-2,658 00
8/15/2013	Ville De Marie Academy	-40,000 00
9/17/2013	Parelli Education Institute	-5,000 00
9/23/2013	McKenna Youth Foundation	-1,000 00
9/27/2013	Arizona State University	-1,000 00
10/25/2013	Susan B Komen Foundation	-2,500 00
11/1/2013	St Joseph's Catholic Church	-5,000 00
11/4/2013	Scottsdale Police Officers	-400 00
12/10/2013	Arizona Science Center	-5,000 00
12/10/2013	Arizona Silverbelle	-20,000 00
12/10/2013	Assn of Small Foundations	-2,500 00
12/10/2013	Banner Health Foundation	-25,000 00
12/10/2013	Career Concepts for Youth	-12,500 00
12/10/2013	Crossroads, Inc	-5,000 00
12/10/2013	Little Sisters of the Poor	-5,000 00
12/10/2013	Scottsdale Healthcare	-5,000 00
12/10/2013	St Joseph's Catholic Church	-10,000 00
12/10/2013	Sisters Of St. Benedict	-5,000 00
12/10/2013	St Mary of the Angels	-20,000 00
12/10/2013	St Mary's Food Bank	-25,000 00
12/10/2013	Society of St Vincent de Paul	-25,000 00
12/10/2013	Ville De Marie Academy	-20,000 00
12/10/2013	Junior Golf University	-5,000.00
12/10/2013	Arizona Women's Golf Association	-2,500 00
12/10/2013	Sun Angel Foundation	-10,000 00
12/10/2013	Our Lady Of Solitude Monastery	-5,000 00
12/10/2013	Cystic Fibrosis Foundation	-5,000 00
	Charitable Contributions	-505,558.00

Contribution Address List for A.B. Farrington 2013

4/8/2014

Arizona Scence Center	600 E. Washington St. Phoenix, AZ 85004	
American Cancer Society	4550 E. Bell Road #126 Phoenix, AZ 85032	
American Heart Association	2929 S. 48th Street Tempe, AZ 85282	
Arizona Silverbelle	4252 E. Winnetka Dr. Phoenix, AZ 85044	
Arizona State University	P.O. Box 2260 Tempe, AZ 85280	
Arizona Women's Golf Association	141 E. Palm Lane Phoenix, AZ 85004	Suite 210
Assn of Small Foundations	P.O. Box 247 Winooski, VT 05404	
Banner Health Foundation	P.O. Box 1897 Phoenix, AZ 850011897	

Contribution Address List for A.B. Farrington 2013 4/7/2014

Career Concepts for Youth	P.O. Box 32864 Phoenix, AZ 85064
Catholic Community Foundation	400 E. Monroe Street Phoenix, AZ 85004
Catholic Diocese of Phoenix	400 E. Monroe St.
Crossroads, Inc.	1845 East Ocotillo Road Phoenix, AZ 85016
Cystic Fibrosis Foundation	5055 E. Washington St. Suite 130 Phoenix, AZ 85034
Dominican Sisters of Mary	4597 Warren Road Ann Arbor, MI 48105 lab
Franciscan School of Theology	Euclid Burbank, CA
Hunkapi Horse Therapy	10401 E. McDowell Mt. Ranch #2382 Scottsdale, AZ 85255

Contribution Address List for A.B. Farrington 2013 4/7/2014

Junior Golf Association of Arizona	10888 N. 19th Avenue Phoenix, AZ 850294905
Junior Golf University	420 E. Forest Hills Drive Phoenix, AZ 85022
Little Sisters of the Poor	1900 Mark Avenue Gallup, NM 87301
LPGA Foundation, Inc.	3784 N. 162nd Lane Goodyear, AZ 85338
McKenna Youth Foundation	1971 W. Maplewood Street Chandler, AZ 85248
Our Lady of Solitude Monastery	P.O. Box 639 Tonopah, AZ 85354
Parelli Education Institute	P.O. Box 1673 Durango, CO 81302
Scottsdale Healthcare Foundation	10001 North 92nd Street Suite 121 Scottsdale, AZ 85258
Scottsdale Police Department	7229 E. 1st Ave Scottsdale, AZ 85251

Contribution Address List for A.B. Farrington 2013 | 4/8/2014

Seton Catholic Preparatory High School	1150 N. Dobson Road Chandler, AZ 852244004
Sisters of St. Benedict	8502 West Pinchot Avenue Phoenix, AZ 85037
Society of St. Vincent De Paul	P.O. Box 13600 Phoenix, AZ 85002
St. Joseph's Catholic Church	11001 N. 40th Street Phoenix, AZ 85028
St. Mary of the Angels	P.O. Box 819 Pinetop, AZ 85935
St. Mary's Food Bank	2841 North 31st Avenue Phoenix, AZ 85009
Sun Angel Foundation	P.O. Box 872205 Tempe, AZ 852872205
Susan B. Komen Foundation	5005 LBJ Freeway Suite 250 Dallas, TX 75244
Ville De Marie Academy	7940 E. Roosevelt Street Scottsdale, AZ 85257

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	ABBEY CAPITAL	P	VARIOUS	12/31/13
b	ABBEY CAPITAL	P	VARIOUS	12/31/13
c	SEE ATTACHED	P	VARIOUS	12/31/13
d	SEE ATTACHED	P	VARIOUS	12/31/13
e	SEE ATTACHED	P	VARIOUS	12/31/13
f	SEE ATTACHED	P	VARIOUS	12/31/13
g	UBS CAPITAL GAINS ADJUSTMENT	P	VARIOUS	12/31/13
h	UBS CAPITAL GAINS ADJUSTMENT	P	VARIOUS	12/31/13
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			3,763.	-3,763.
b	10,989.			10,989.
c	1,774,580.		1,800,395.	-25,815.
d	712,776.		696,284.	16,492.
e	3,050,394.		3,096,190.	-45,796.
f	3,191,948.		3,307,985.	-116,037.
g	11,857.			11,857.
h	4,191.			4,191.
i				
j				
k				
l				
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a				-3,763.
b				10,989.
c				-25,815.
d				16,492.
e				-45,796.
f				-116,037.
g				11,857.
h				4,191.
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-147,882.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	N/A

323591
05-01-13

UBS

UBS Financial Services Inc.
4800 North Scottsdale Road
Suite 4500
Scottsdale AZ 85251

APZ3000901911 1213 GA 1

2013 Year End Summary

Duplicate statement for the account of:
THE ALBERTA B FARRINGTON
FOUNDATION
LAP MODEL
5825 E. PINNACLE VISTA DRIVE
SCOTTSDALE AZ 85266-8745
Account number. GA 21555 Z1

00019478 02 AT 0.381 02 TR 00101 B301B023 000000 edg
JIM WRAITH
MANSPERGER PATTERSON AND
MC MULLIN PLC
1222 E BASELINE RD SUITE 200
TEMPE AZ 85283-1434

Summary of gains and losses

	Amount ($)
Short term	-25,815 21
Long term	16,491.88
Total	**$-9,323.33**

Realized gains and losses

Estimated 2013 gains and losses for transactions with trade dates through 12/31/13 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order.

See Important information about your statement on the last page of this statement for more information. We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ALTEGRIS MACRO STRATEGY FUND CL A	FIFO	5,589.370	Aug 07, 12	Jul 30, 13	47,006 60	54,049 20		-7,042.60	
	FIFO	2,885.767	Aug 07, 12	Aug 05, 13	24,096 15	27,905 37		-3,809.22	
	FIFO	21,489.332	Dec 28, 12	Aug 05, 13	179,435.92	192,114.63		-12,678 71	
	FIFO	2,112.912	May 31, 13	Aug 05, 13	17,642 82	18,086.53		-443.71	
ARBITRAGE FUND NO LOAD CLASS	FIFO	9,091.845	Aug 07, 12	Aug 05, 13	114,648.17	117,012.04		-2,363.87	
	FIFO	110.470	Dec 18, 12	Aug 05, 13	1,393.03	1,376 46			16.57
	FIFO	301.502	Dec 18, 12	Aug 05, 13	3,801 94	3,756.72			45.22
	FIFO	6,486.811	Dec 28, 12	Aug 05, 13	81,798.69	80,955.40			843.29
	FIFO	417.783	May 31, 13	Aug 05, 13	5,268.24	5,222.29			45 95
CBRE CLARION LONG/SHORT FD INV	FIFO	146 031	Aug 07, 12	Aug 05, 13	1,476 37	1,455.93			20 44
	FIFO	39 770	Dec 13, 12	Aug 05, 13	402.08	392.93			9.15
	FIFO	7,981.840	Dec 28, 12	Aug 05, 13	80,696.40	79,898.22			798.18
DWS DIVERSIFIED MARKET NEUTRAL FUND CLASS A	FIFO	75.171	Aug 07, 12	Aug 05, 13	725.40	701.35			24.05
	FIFO	19,648.764	Dec 28, 12	Aug 05, 13	189,610 57	185,484.33			4,126.24
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	FIFO	13.392	Aug 15, 12	Aug 05, 13	173.96	176.77		-2.81	
	FIFO	10.617	Sep 17, 12	Aug 05, 13	137.92	141.95		-4.03	
	FIFO	10 586	Oct 15, 12	Aug 05, 13	137 51	142 38		-4.87	
	FIFO	10 633	Nov 15, 12	Aug 05, 13	138 12	142 80		-4 68	
	FIFO	68.695	Dec 17, 12	Aug 05, 13	892.35	910.21		-17.86	
	FIFO	0 460	Dec 17, 12	Aug 05, 13	5.98	6 09		-0.11	
	FIFO	45.914	Dec 17, 12	Aug 05, 13	596.42	608.36		-11.94	

continued next page

Account name: THE ALBERTA B FARRINGTON
Account number: GA 21555 Z1

Your Financial Advisor:
ZILVETI WEALTH MANAGEMENT GROU
480-443-5400/800-447-1259

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	16,417.466	Dec 28, 12	Aug 05, 13	213,262.88	218,844.82		-5,581.94	
	FIFO	59.772	Jan 15, 13	Aug 05, 13	776.44	804.53		-28 09	
	FIFO	59 861	Feb 15, 13	Aug 05, 13	777.60	806.93		-29.33	
	FIFO	59.993	Mar 15, 13	Aug 05, 13	779.31	809.31		-30.00	
	FIFO	59.772	Apr 15, 13	Aug 05, 13	776 43	811.70		-35 27	
	FIFO	59.467	May 15, 13	Aug 05, 13	772 48	814 10		-41.62	
	FIFO	48.003	May 31, 13	Aug 05, 13	623.56	639.40		-15.84	
	FIFO	62.569	Jun 17, 13	Aug 05, 13	812.77	818.40		-5.63	
	FIFO	20.619	Jul 15, 13	Aug 05, 13	267 84	268 87		-1.03	
IVY HIGH INCOME FUND CLASS A	FIFO	98.619	Aug 27, 12	Aug 05, 13	852.07	831.36			20.71
	FIFO	101.112	Sep 27, 12	Aug 05, 13	873.60	861.47			12 13
	FIFO	119 995	Oct 26, 12	Aug 05, 13	1,036 76	1,024.76			12.00
	FIFO	115.385	Nov 27, 12	Aug 05, 13	996 93	985.39			11.54
	FIFO	160.164	Dec 13, 12	Aug 05, 13	1,383.81	1,367.80			16.01
	FIFO	48.286	Dec 13, 12	Aug 05, 13	417.20	412.36			4.84
	FIFO	11,188.145	Dec 28, 12	Aug 05, 13	96,665 57	95,434.88			1,230.69
	FIFO	116.004	Dec 31, 12	Aug 05, 13	1,002.27	990 67			11.60
	FIFO	146.296	Jan 25, 13	Aug 05, 13	1,264.00	1,268.39		-4.39	
	FIFO	173.134	Feb 27, 13	Aug 05, 13	1,495.88	1,497.61		-1.73	
	FIFO	147.830	Mar 27, 13	Aug 05, 13	1,277.25	1,289.08		-11.83	
	FIFO	184.973	Apr 26, 13	Aug 05, 13	1,598.17	1,622.21		-24.04	
	FIFO	158.077	May 24, 13	Aug 05, 13	1,365.78	1,389.50		-23.72	
	FIFO	176.345	Jun 27, 13	Aug 05, 13	1,523.62	1,504 22			19.40
	FIFO	178.466	Jul 26, 13	Aug 05, 13	1,541.95	1,543 73		-1.78	
NEUBERGER BERMAN LONG SHORT FUND CLASS A	FIFO	20,563 917	Jul 30, 13	Aug 05, 13	248,412.12	247,178.28			1,233 84

continued next page

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
PRUDENTIAL JENNISON MARKET NEUTRAL FUND CLASS A	FIFO	491.716	Aug 07, 12	Jul 30, 13	4,607.38	4,533.62			73.76
	FIFO	19,419 027	Dec 28, 12	Jul 30, 13	181,956.29	183,704.00		-1,747.71	
	FIFO	1,141.851	May 31, 13	Jul 30, 13	10,699.14	10,619.21			79.93
RIVERPARK LONG/SHORT OPPORTUNITY FUND CLASS RETAIL	FIFO	25,119.745	Jul 30, 13	Aug 05, 13	246,675 90	247,178.29		-502.39	
Total					**$1,774,579.64**	**$1,800,394.85**		**-$34,470.75**	**$8,655.54**
Net short-term capital gains and losses								**-$25,815.21**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ARBITRAGE FUND NO LOAD CLASS	FIFO	2,242.821	Jan 09, 12	Jul 30, 13	28,259.55	28,842 68		-583.13	
	FIFO	3,226.277	Jan 09, 12	Aug 05, 13	40,683.35	41,489.92		-806.57	
BLACKROCK GLOBAL LONG/SHORT CREDIT FUND A	FIFO	2,328.094	Mar 29, 12	Jul 30, 13	24,701.08	23,769.84			931.24
	FIFO	6.830	Mar 29, 12	Aug 05, 13	72.53	69 74			2.79
CBRE CLARION LONG/SHORT FD INV	FIFO	238.897	Mar 29, 12	May 31, 13	2,463.03	2,424.80			38.23
	FIFO	2,307.838	Mar 29, 12	Jul 30, 13	23,586.10	23,424.56			161.54
	FIFO	16,018.101	Mar 29, 12	Aug 05, 13	161,943.00	162,583.73		-640.73	
DWS DIVERSIFIED MARKET NEUTRAL FUND CLASS A	FIFO	307.317	Mar 29, 12	May 31, 13	2,993.27	2,928.73			64.54
	FIFO	2,295.196	Mar 29, 12	Jul 30, 13	22,010.93	21,873.22			137.71
	FIFO	6,050.652	Mar 29, 12	Aug 05, 13	58,388.79	57,662.71			726.08
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	FIFO	450 251	Dec 21, 10	Jul 30, 13	5,839 76	6,060.38		-220.62	
	FIFO	29.920	Jan 20, 11	Jul 30, 13	388.06	405.12		-17.06	
	FIFO	30.030	Feb 17, 11	Jul 30, 13	389.49	406.61		-17.12	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	30.456	Mar 17, 11	Jul 30, 13	395.01	408.11		-13.10	
	FIFO	29.091	Apr 19, 11	Jul 30, 13	377.31	404.07		-26.76	
	FIFO	29.407	May 16, 11	Jul 30, 13	381.41	405.52		-24.11	
	FIFO	29.428	Jun 15, 11	Jul 30, 13	381.68	406.99		-25.31	
	FIFO	339 333	Jul 12, 11	Jul 30, 13	4,401.15	4,703 15		-302.00	
	FIFO	30.386	Jul 15, 11	Jul 30, 13	394.11	421.76		-27.65	
	FIFO	756.444	Jul 19, 11	Jul 30, 13	9,811.08	10,522 14		-711.06	
	FIFO	33.583	Aug 15, 11	Jul 30, 13	435 57	461 09		-25 52	
	FIFO	34.345	Sep 15, 11	Jul 30, 13	445 45	457 13		-11 68	
	FIFO	1,325.851	Oct 13, 11	Jul 30, 13	17,196.29	17,236 06		-39.77	
	FIFO	40.458	Oct 19, 11	Jul 30, 13	524.74	525.14		-0.40	
	FIFO	20.714	Nov 17, 11	Jul 30, 13	268.66	266.17			2 49
	FIFO	107 406	Dec 19, 11	Jul 30, 13	1,393 06	1,321 09			71 97
	FIFO	34 324	Dec 19, 11	Jul 30, 13	445 18	422 19			22.99
	FIFO	63 259	Jan 09, 12	Jul 30, 13	820.47	788 84			31 63
	FIFO	21.951	Jan 17, 12	Jul 30, 13	284 70	277.46			7 24
	FIFO	21 167	Feb 15, 12	Jul 30, 13	274.54	274 54	-4.02		
	FIFO	21.167	Mar 15, 12	Jul 30, 13	274.53	274 53	-5.09		
	FIFO	13 820	Apr 16, 12	Jul 30, 13	179.25	179.25			
	FIFO	14.247	May 15, 12	Jul 30, 13	184 78	179.94			4.84
	FIFO	14.372	Jun 15, 12	Jul 30, 13	186.41	180.66			5.75
	FIFO	6.728	Jul 16, 12	Jul 30, 13	87.26	86.86			0.40
	FIFO	7.322	Jul 16, 12	Aug 05, 13	95 11	94.53			0.58
	FIFO	21 167	Jul 15, 13	Aug 05, 13	274 96	280 04	4 02	-5.08	
	FIFO	21.167	Jul 15, 13	Aug 05, 13	274.96	281.11	5 09	-6.15	
IVY HIGH INCOME FUND CLASS A	FIFO	1,031.331	Dec 21, 10	May 31, 13	9,013 83	8,529.11			484.72
	FIFO	187.910	Dec 21, 10	Jul 30, 13	1,623.54	1,554 01			69.53
	FIFO	8,652.715	Dec 21, 10	Aug 05, 13	74,759.46	71,557.96			3,201.50

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	20.169	Dec 31, 10	Aug 05, 13	174.26	167.40			6.86
	FIFO	73.334	Jan 27, 11	Aug 05, 13	633.60	617.47			16.13
	FIFO	96 587	Feb 25, 11	Aug 05, 13	834.52	819 06			15 46
	FIFO	92.460	Mar 25, 11	Aug 05, 13	798 85	782 21			16 64
	FIFO	127.667	Apr 27, 11	Aug 05, 13	1,103.04	1,083.89			19 15
	FIFO	105 666	May 27, 11	Aug 05, 13	912.96	896 05			16.91
	FIFO	95.552	Jun 27, 11	Aug 05, 13	825 57	796 90			28.67
	FIFO	600 428	Jul 12, 11	Aug 05, 13	5,187 70	5,037 59			150.11
	FIFO	1,405.855	Jul 19, 11	Aug 05, 13	12,146.58	11,809.18			337.40
	FIFO	99.633	Jul 27, 11	Aug 05, 13	860.83	839.91			20 92
	FIFO	224.412	Aug 16, 11	Aug 05, 13	1,938.92	1,815.49			123.43
	FIFO	127.972	Aug 26, 11	Aug 05, 13	1,105.68	1,022.50			83 18
	FIFO	128 011	Sep 27, 11	Aug 05, 13	1,106.01	1,016.41			89.60
	FIFO	2,052.159	Oct 13, 11	Aug 05, 13	17,730 66	16,109.45			1,621.21
	FIFO	126.117	Oct 27, 11	Aug 05, 13	1,089.65	1,025.33			64 32
	FIFO	138.454	Nov 25, 11	Aug 05, 13	1,196.24	1,106.25			89.99
	FIFO	282.515	Dec 08, 11	Aug 05, 13	2,440.93	2,243 17			197.76
	FIFO	149 399	Dec 08, 11	Aug 05, 13	1,290.81	1,186.23			104 58
	FIFO	157.286	Dec 30, 11	Aug 05, 13	1,358.95	1,253.57			105.38
	FIFO	101.881	Jan 27, 12	Aug 05, 13	880.25	830 33			49.92
	FIFO	102.368	Feb 27, 12	Aug 05, 13	884 46	846 58			37 88
	FIFO	102.111	Mar 27, 12	Aug 05, 13	882.24	848.54			33.70
	FIFO	118.222	Apr 27, 12	Aug 05, 13	1,021.44	987.15			34 29
	FIFO	98.342	May 25, 12	Aug 05, 13	849.67	811.32			38.35
	FIFO	106.636	Jun 27, 12	Aug 05, 13	921 34	884 01			37.33
	FIFO	112.963	Jul 27, 12	Aug 05, 13	976.00	945.50			30.50
MAINSTAY MARKETFIELD FUND CLASS I	FIFO	1,002.137	Jan 09, 12	May 31, 13	17,046.35	14,160.20			2,886 15
	FIFO	1,805.841	Jan 09, 12	Jul 30, 13	31,548.05	25,516.53			6,031.52
	FIFO	1,654 132	Jan 09, 12	Aug 05, 13	29,360.84	23,372.88			5,987 96

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
PRUDENTIAL JENNISON MARKET NEUTRAL FUND CLASS A	FIFO	4,456.473	Oct 27, 10	Jul 30, 13	41,757 15	44,029 95		-2,272 80	
	FIFO	755 295	Dec 21, 10	Jul 30, 13	7,077 12	7,484.97		-407.85	
	FIFO	525.587	Apr 12, 11	Jul 30, 13	4,924 75	5,071.91		-147.16	
	FIFO	293 555	Jul 12, 11	Jul 30, 13	2,750.61	2,900.32		-149.71	
	FIFO	744 618	Jul 19, 11	Jul 30, 13	6,977.07	7,446.18		-469 11	
	FIFO	1,179.439	Oct 13, 11	Jul 30, 13	11,051.34	11,688 24		-636.90	
	FIFO	181 580	Oct 25, 11	Jul 30, 13	1,701.41	1,795.83		-94.42	
	FIFO	269.636	Jan 09, 12	Jul 30, 13	2,526 48	2,593.90		-67 42	
Total					**$712,775.77**	**$696,283.89**		**-$7,749.19**	**$24,241.07**
Net long-term capital gains or losses									**$16,491.88**
Net capital gains/losses:								**-$9,323.33**	

UBS

UBS Financial Services Inc.
4800 North Scottsdale Road
Suite 4500
Scottsdale AZ 85251

CPZ3001779617 1213 GA 1

2013 Year End Summary

Duplicate statement for the account of:
THE ALBERTA B FARRINGTON
FOUNDATION / ZWMG MODEL
5825 E PINNACLE VISTA DR
SCOTTSDALE AZ 85266-8745
Account number: GA 21554 Z1

JIM WRAITH
MANSPERGER PATTERSON AND
MC MULLIN PLC
1222 E BASELINE RD SUITE 200
TEMPE AZ 85283-1434

Summary of gains and losses

	Amount ($)
Short term	-45,796.48
Long term	-116,037.15
Total	**$-161,833.63**

Realized gains and losses

Estimated 2013 gains and losses for transactions with trade dates through 12/31/13 have been incorporated into this statement Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order

See *Important information about your statement* on the last page of this statement for more information We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BLACKROCK EMERGING MARKETS LONG/SHORT EQUITY FUND A	FIFO	1,091.584	Oct 09, 12	Jan 28, 13	11,592.62	11,516.21			76.41
	FIFO	33,039.221	Oct 09, 12	Jun 21, 13	347,903.00	348,563.79		-660 79	
	FIFO	3.605	Oct 09, 12	Jun 27, 13	38.11	38.03			0.08
	FIFO	29,441.466	Oct 09, 12	Aug 01, 13	315,318 10	310,607.47			4,710.63
	FIFO	193.775	Dec 26, 12	Aug 01, 13	2,075.33	2,061 77			13.56
	FIFO	316.202	Dec 28, 12	Aug 01, 13	3,386 53	3,370 71			15 82
	FIFO	625.675	Mar 07, 13	Aug 01, 13	6,700 97	6,588.36			112.61
	FIFO	305.754	Apr 03, 13	Aug 01, 13	3,274.63	3,204 30			70.33
BLACKROCK GLOBAL LONG/SHORT CREDIT FUND A	FIFO	652.213	Dec 28, 12	Jan 28, 13	6,939 55	6,874.32			65.23
	FIFO	27 245	Dec 28, 12	Mar 07, 13	289.61	287.17			2.44
	FIFO	1 426	Dec 28, 12	Apr 03, 13	15 13	15.03			0.10
	FIFO	532 526	Dec 28, 12	Jun 21, 13	5,634 12	5,612.82			21.30
	FIFO	8 994	Dec 28, 12	Jun 27, 13	95.07	94 80			0 27
BROOKFIELD GLOBAL LISTED INFRASTRUCTURE FUND CLASS A	FIFO	504.535	Aug 01, 13	Dec 09, 13	6,791.04	6,584.18			206.86
DELAWARE DIVERSIFIED INCOME FUND CLASS A	FIFO	471.953	Feb 23, 12	Jan 28, 13	4,393.88	4,365.56			28.32
	FIFO	85.844	Jun 22, 12	Jun 21, 13	762.29	797.49		-35 20	
	FIFO	83.292	Jul 20, 12	Jun 21, 13	739 63	789.61		-49 98	
	FIFO	86.771	Aug 22, 12	Jun 21, 13	770 53	814.78		-44 25	
	FIFO	82.915	Sep 21, 12	Jun 21, 13	736 29	782 72		-46 43	
	FIFO	109.388	Oct 09, 12	Jun 21, 13	971 36	1,032 62		-61 26	

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	81.572	Oct 22, 12	Jun 21, 13	724.36	770.86		-46.50	
	FIFO	82.208	Nov 21, 12	Jun 21, 13	730.01	773.58		-43.57	
	FIFO	77.306	Dec 21, 12	Jun 21, 13	686.47	722.04		-35.57	
	FIFO	153.663	Dec 21, 12	Jun 21, 13	1,364 53	1,435.21		-70.68	
	FIFO	107.274	Dec 21, 12	Jun 21, 13	952.60	1,001.94		-49.34	
	FIFO	76.065	Jan 22, 13	Jun 21, 13	675.45	711.21		-35.76	
	FIFO	77.893	Feb 22, 13	Jun 21, 13	691.69	722.85		-31.16	
	FIFO	77.225	Mar 22, 13	Jun 21, 13	685.76	716.65		-30 89	
	FIFO	79.987	Apr 22, 13	Jun 21, 13	710.28	748.68		-38.40	
	FIFO	80.147	May 22, 13	Jun 21, 13	711.71	744.57		-32.86	
DOUBLE LINE TOTAL RETURN FUND N	Adjustment	108.774	Jun 21, 13	Jun 27, 13	1,203.04	1,203.04	-3.26		
	FIFO	1,269.069	Jun 21, 13	Dec 09, 13	13,794.78	14,020.89	-53.09	-226.11	
DWS RREEF GLOBAL INFRASTRUCTURE FD CL A	FIFO	505.386	Aug 01, 13	Dec 09, 13	6,762.06	6,539.69			222.37
EATON VANCE DIVERSIFIED CURRENCY INCOME FUND CLASS A	FIFO	840.601	Dec 28, 12	Jan 28, 13	9,423.14	9,397.92			25.22
	FIFO	85.760	Dec 28, 12	Mar 07, 13	958 80	958.80			
	FIFO	181.051	Dec 28, 12	Apr 03, 13	2,018.72	2,018.72	-5.43		
	FIFO	14,635.137	Dec 28, 12	Jun 21, 13	155,571.51	163,487.11	-133.72	-7,915.60	
	FIFO	111.370	Dec 28, 12	Jun 27, 13	1,180.52	1,245.12		-64.60	
	FIFO	22,360.662	Dec 28, 12	Aug 01, 13	237,246.63	249,992.20		-12,745.57	
	FIFO	134.051	Jan 31, 13	Aug 01, 13	1,422.28	1,506.73		-84.45	
	FIFO	132.523	Feb 28, 13	Aug 01, 13	1,406.07	1,478.96		-72.89	
	FIFO	147.056	Mar 27, 13	Aug 01, 13	1,560.26	1,645.55	4.41	-85.29	
	FIFO	33.995	Apr 29, 13	Aug 01, 13	360.69	379.72	1.02	-19.03	
	FIFO	108.140	Apr 29, 13	Aug 01, 13	1,147.37	1,204.68		-57.31	
	FIFO	150.859	May 30, 13	Aug 01, 13	1,600.61	1,728.84	82.97	-128.23	
	FIFO	92 277	Jun 27, 13	Aug 01, 13	979 06	1,028.89	50.75	-49.83	

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	95.298	Jul 30, 13	Aug 01, 13	1,011.11	1,012.06		-0 95	
EATON VANCE GLOBAL MACRO ABSOLUTE RETURN FUND A	FIFO	79 669	Aug 31, 12	Aug 01, 13	767.21	785 54		-18.33	
	FIFO	76.461	Sep 28, 12	Aug 01, 13	736.32	762.32		-26 00	
	FIFO	378.325	Oct 09, 12	Aug 01, 13	3,643.27	3,779 47		-136.20	
	FIFO	80.458	Oct 31, 12	Aug 01, 13	774.81	796.53		-21.72	
	FIFO	79.489	Nov 30, 12	Aug 01, 13	765 48	778.20		-12 72	
	FIFO	81.216	Dec 31, 12	Aug 01, 13	782 11	799 17		-17 06	
	FIFO	80.305	Jan 31, 13	Aug 01, 13	773.33	796 63		-23 30	
	FIFO	70.721	Feb 28, 13	Aug 01, 13	681.05	699.43		-18.38	
	FIFO	51 539	Mar 27, 13	Aug 01, 13	496 32	509.72		-13.40	
	FIFO	50 021	Apr 29, 13	Aug 01, 13	481.70	493 21		-11 51	
	FIFO	52 089	May 30, 13	Aug 01, 13	501 62	511 51		-9.89	
	FIFO	42 325	Jun 21, 13	Aug 01, 13	407.59	410.98		-3 39	
	FIFO	51 550	Jun 27, 13	Aug 01, 13	496 42	497 46		-1.04	
	FIFO	53 578	Jul 30, 13	Aug 01, 13	515.96	515.42			0 54
FPA CRESCENT FUND	Adjustment	186 719	Jun 21, 13	Jun 27, 13	5,703.62	5,729.84	-37.91	-26 22	
	FIFO	852.757	Jun 21, 13	Dec 09, 13	28,652 63	26,341.66			2,310 97
HARTFORD WORLD BOND FUND A	Adjustment	8,709.264	Apr 03, 13	Jun 21, 13	92,056.92	93,523 14	-14.36	-1,466 22	
	FIFO	1,511.074	Apr 03, 13	Dec 09, 13	16,047.61	16,221.25	-7.68	-173 64	
ISHARES TR CORE TOTAL US BOND MKT ETF	FIFO	38 000	Feb 23, 12	Jan 28, 13	4,192.07	4,195 58		-3 51	
	FIFO	167.000	Oct 09, 12	Apr 03, 13	18,471.26	18,698.99		-227.73	
	FIFO	2.000	Mar 07, 13	Apr 03, 13	221.21	220 33			0 88
JHANCOCK2 GLOBAL ABSOLUTE RETURN STRATEGIES FUND CLASS A	FIFO	4,388 440	Dec 28, 12	Jan 28, 13	47,219 61	46,868.54			351 07
	FIFO	1,790 666	Dec 28, 12	Mar 07, 13	19,500.35	19,124 31			376 04
	FIFO	837.597	Dec 28, 12	Apr 03, 13	9,154.94	8,945.54			209 40
	FIFO	51,805.600	Dec 28, 12	Aug 01, 13	565,717.15	553,283 81			12,433 34

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	3,153.271	Dec 28, 12	Dec 09, 13	34,969.77	33,676.93			1,292.84
LORD ABBETT SHORT DURATION INCOME FUND CLASS A	FIFO	3,030.059	Aug 01, 13	Dec 09, 13	13,847.37	13,817.07			30.30
OPPENHEIMER GOBAL VALUE FUND A	FIFO	166.450	Aug 01, 13	Dec 09, 13	7,137.38	6,536.49			600.89
PERMANENT PORTFOLIO FUND INC	FIFO	290.896	Dec 05, 12	Aug 01, 13	13,547.03	14,070.64		-523.61	
	FIFO	387.862	Dec 05, 12	Aug 01, 13	18,062.73	18,760.87		-698.14	
	FIFO	304.514	Mar 07, 13	Aug 01, 13	14,181.22	14,832.86		-651.64	
	FIFO	38.359	Apr 03, 13	Aug 01, 13	1,786.38	1,847.35		-60.97	
	FIFO	58.888	Jun 27, 13	Aug 01, 13	2,742.41	2,635.81			106.60
PIMCO TOTAL RETURN ETF	FIFO	36.000	Sep 12, 12	Jan 28, 13	3,921.39	3,872.88			48.51
	FIFO	11.000	Sep 12, 12	Mar 07, 13	1,202.49	1,183.38			19.11
	FIFO	14.000	Sep 12, 12	Apr 03, 13	1,539.13	1,506.12			33.01
	FIFO	16.000	Sep 12, 12	Jun 27, 13	1,689.87	1,689.87	-31.41		
	FIFO	2,244.000	Sep 12, 12	Aug 01, 13	235,254.96	241,409.43		-6,154.47	
	FIFO	27.000	Dec 28, 12	Aug 01, 13	2,830.61	2,946.62		-116.01	
	FIFO	16.000	Jun 21, 13	Aug 01, 13	1,677.40	1,718.57	31.41	-41.17	
	FIFO	33.000	Jun 21, 13	Aug 01, 13	3,459.63	3,479.78		-20.15	
PRUDENTIAL GLOBAL TOTAL RETURN FUND INC A	Adjustment	12,047.280	Apr 03, 13	Jun 21, 13	80,355.36	85,075.99	-339 23	-4,720.63	
	FIFO	36,203.765	Apr 03, 13	Aug 01, 13	242,203.19	256,684.69		-14,481.50	
	FIFO	114.560	Apr 30, 13	Aug 01, 13	766.40	830.56		-64.16	
	FIFO	179.921	May 31, 13	Aug 01, 13	1,203.68	1,327.82	75.57	-124.14	
	FIFO	466.207	Jun 27, 13	Aug 01, 13	3,118.92	3,300.74	195:80	-181.82	
	FIFO	161.558	Jun 28, 13	Aug 01, 13	1,080.82	1,142.22	67.86	-61.40	
	FIFO	138.418	Jul 31, 13	Aug 01, 13	926.02	934.32		-8.30	
PRUDENTIAL TOTAL RETURN BOND FUND CLASS A	FIFO	307.022	Feb 23, 12	Jan 28, 13	4,491.73	4,378.13			113.60
	FIFO	87.707	Apr 30, 12	Apr 03, 13	1,285.78	1,254.21			31.57

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	70.416	May 31, 12	Apr 03, 13	1,032 30	1,005 54			26 76
	FIFO	56.716	Jun 29, 12	Apr 03, 13	831.46	813.87			17.59
	FIFO	50.630	Jul 31, 12	Apr 03, 13	742.23	738.19			4.04
	FIFO	56.528	Aug 31, 12	Apr 03, 13	828 70	827.00			1 70
	FIFO	44.774	Sep 28, 12	Apr 03, 13	656.39	658.63		-2.24	
	FIFO	50 516	Oct 31, 12	Apr 03, 13	740.56	746.63		-6.07	
	FIFO	52 924	Nov 30, 12	Apr 03, 13	775.87	782.75		-6 88	
	FIFO	114.016	Dec 21, 12	Apr 03, 13	1,671.47	1,672.61		-1.14	
	FIFO	44 927	Dec 21, 12	Apr 03, 13	658 63	659.08		-0.45	
	FIFO	46.522	Dec 31, 12	Apr 03, 13	682.02	682.94		-0.92	
	FIFO	46.315	Jan 31, 13	Apr 03, 13	678 97	677.13			1 84
	FIFO	44.429	Feb 28, 13	Apr 03, 13	651.33	650 88			0 45
	FIFO	48.802	Mar 28, 13	Apr 03, 13	715.44	713.49			1 95
R S GLOBAL NATURAL RESOURCES FUND CLASS A	FIFO	2,246.377	Mar 07, 13	Jun 21, 13	80,487 69	85,609.41		-5,121.72	
	FIFO	10.631	Apr 03, 13	Jun 21, 13	380.91	395 15		-14.24	
SPDR GOLD TRUST	FIFO	157 000	Dec 28, 12	Jun 27, 13	18,408 02	25,171 70		-6,763.68	
	FIFO	179.000	Mar 07, 13	Jun 27, 13	20,987 49	27,314 79		-6,327.30	
	FIFO	47.000	Apr 03, 13	Jun 27, 13	5,510.68	7,075 69		-1,565.01	
TCW EMERGING MARKETS INCOME FUND CLASS N	FIFO	22 384	Jun 21, 13	Jun 27, 13	248.01	246.22			1.79
	FIFO	14,913.761	Jun 21, 13	Aug 01, 13	163,603 96	164,051.38		-447.42	
	FIFO	74.165	Jun 28, 13	Aug 01, 13	813.59	820.26		-6.67	
	FIFO	75.145	Jul 31, 13	Aug 01, 13	824 34	824.34			
WELLS FARGO ADVANTAGE ABSOLUTE RETURN FUND CL A	FIFO	5,010 644	Mar 29, 12	Jan 28, 13	52,661 87	50,156.54			2,505.33
	FIFO	1,847.741	Mar 29, 12	Mar 07, 13	19,752.35	18,495.89			1,256.46
Total					**$3,050,393.81**	**$3,096,190.29**		**-$73,144.61**	**$27,348.13**
Net short-term capital gains and losses								**-$45,796.48**	

continued next page

Realized gains and losses (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BLACKROCK GLOBAL LONG/SHORT CREDIT FUND A	FIFO	2,147.254	Mar 29, 12	Dec 09, 13	23,082.98	21,923.46			1,159.52
DELAWARE DIVERSIFIED INCOME FUND CLASS A	FIFO	16.732	Feb 23, 12	Mar 07, 13	155.27	154.78			0.49
	FIFO	122.190	Feb 23, 12	Apr 03, 13	1,137.59	1,130 25			7.34
	FIFO	25,455.203	Feb 23, 12	Jun 21, 13	226,042.20	235,460.63		-9,418.43	
	FIFO	122.297	Mar 22, 12	Jun 21, 13	1,086.00	1,126.35	4.89	-40.35	
	FIFO	149.187	Apr 20, 12	Jun 21, 13	1,324.78	1,387.44	5.97	-62.66	
	FIFO	128.612	May 22, 12	Jun 21, 13	1,142.08	1,187.09		-45.01	
EATON VANCE GLOBAL MACRO ABSOLUTE RETURN FUND A	FIFO	798.970	Aug 05, 11	Jan 28, 13	7,925.78	8,077.58		-151.80	
	FIFO	5,299.806	Aug 05, 11	Mar 07, 13	52,415.08	53,581.04		-1,165.96	
	FIFO	448.078	Aug 31, 11	Mar 07, 13	4,431.50	4,534.55		-103.05	
	FIFO	461.487	Sep 30, 11	Mar 07, 13	4,564.10	4,545.65			18.45
	FIFO	2,561.389	Oct 13, 11	Mar 07, 13	25,332.14	25,280.91			51.23
	FIFO	42.477	Oct 13, 11	Apr 03, 13	419.67	419.25			0.42
	FIFO	17.476	Oct 13, 11	Jun 27, 13	168.64	172.49		-3.85	
	FIFO	12,109.486	Oct 13, 11	Aug 01, 13	116,614.35	119,520.62		-2,906 27	
	FIFO	627.986	Oct 25, 11	Aug 01, 13	6,047.50	6,242.18		-194.68	
	FIFO	491.856	Oct 31, 11	Aug 01, 13	4,736.58	4,898.89		-162.31	
	FIFO	507.641	Nov 30, 11	Aug 01, 13	4,888.58	5,025.65		-137.07	
	FIFO	529.874	Dec 30, 11	Aug 01, 13	5,102 69	5,208.66		-105.97	
	FIFO	514.159	Jan 31, 12	Aug 01, 13	4,951.35	5,136.45		-185.10	
	FIFO	466.379	Feb 29, 12	Aug 01, 13	4,491.23	4,673.12		-181.89	
	FIFO	138.019	Mar 30, 12	Aug 01, 13	1,329.12	1,376.05		-46.93	
	FIFO	132.916	Apr 30, 12	Aug 01, 13	1,279.98	1,322.51		-42.53	
	FIFO	105.198	May 31, 12	Aug 01, 13	1,013.06	1,025.68		-12.62	
	FIFO	77.107	Jun 29, 12	Aug 01, 13	742 54	755.65		-13.11	
	FIFO	79.738	Jul 31, 12	Aug 01, 13	767.88	783.03		-15.15	

continued next page

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
INVESCO BALANCED-RISK ALLOCATION FUND A	FIFO	3,177.608	Oct 25, 11	Jan 28, 13	40,006 08	37,813 53			2,192 55
	FIFO	207 546	Oct 25, 11	Mar 07, 13	2,621.30	2,469.80			151 50
	FIFO	325.712	Oct 25, 11	Apr 03, 13	4,110.49	3,875.97			234 52
	FIFO	15,671 750	Oct 25, 11	Aug 01, 13	195,426.72	186,493.83			8,932 89
	FIFO	2,375 339	Oct 25, 11	Dec 09, 13	29,976.78	28,266.53			1,710 25
ISHARES TR CORE TOTAL US BOND MKT ETF	FIFO	3,638.000	Feb 23, 12	Apr 03, 13	402,385 79	401,671 58			714 21
MAINSTAY MARKETFIELD FUND CLASS I	FIFO	225 050	Jan 09, 12	Dec 09, 13	4,064 40	3,179.96			884.44
	FIFO	928 509	Aug 07, 12	Dec 09, 13	16,768.87	14,131 91			2,636.96
PERMANENT PORTFOLIO FUND INC	FIFO	527 334	Aug 05, 11	Jan 28, 13	26,066.14	25,512 42			553 72
	FIFO	8,213 064	Aug 05, 11	Jun 21, 13	372,380 31	397,348 04		-24,967.73	
	FIFO	848.492	Aug 05, 11	Aug 01, 13	39,514 27	41,050.04		-1,535.77	
	FIFO	5,479.166	Oct 13, 11	Aug 01, 13	255,164.76	257,246.86		-2,082 10	
	FIFO	366 942	Dec 07, 11	Aug 01, 13	17,088 49	17,462 76		-374.27	
	FIFO	513 719	Dec 07, 11	Aug 01, 13	23,923 90	24,447 89		-523.99	
	FIFO	16.373	Jan 03, 12	Aug 01, 13	762.49	766 11		-3.62	
	FIFO	398.253	Mar 29, 12	Aug 01, 13	18,546.64	19,323.24		-776.60	
	FIFO	418 446	May 08, 12	Aug 01, 13	19,487.03	19,913 83		-426 80	
PRUDENTIAL TOTAL RETURN BOND FUND CLASS A	FIFO	83.879	Feb 23, 12	Mar 07, 13	1,224 63	1,196.12			28 51
	FIFO	16,330.790	Feb 23, 12	Apr 03, 13	239,409 38	232,877.06			6,532 32
	FIFO	3 080	Feb 29, 12	Apr 03, 13	45 16	44.26	0.15		0 90
	FIFO	96.324	Mar 30, 12	Apr 03, 13	1,412.11	1,371 66	4.82		40 45
SPDR GOLD TRUST	Adjustment	56.000	Aug 05, 11	Jan 28, 13	8,964 85	9,024 82		-59.97	
	FIFO	1,261.000	Aug 05, 11	Jun 21, 13	157,458 33	203,219 61		-45,761.28	
	FIFO	1,411.000	Aug 05, 11	Jun 27, 13	165,437 67	227,393 23		-61,955 56	
	FIFO	369 000	Oct 13, 11	Jun 27, 13	43,264 71	59,371.56		-16,106 85	
	FIFO	131.000	Jan 03, 12	Jun 27, 13	15,359 56	20,346 50		-4,986.94	

continued next page

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	222.000	Mar 29, 12	Jun 27, 13	26,029 17	35,681.73		-9,652.56	
	FIFO	119.000	May 08, 12	Jun 27, 13	13,952.57	18,449.60		-4,497.03	
WELLS FARGO ADVANTAGE ABSOLUTE RETURN FUND CL A	FIFO	1,506.774	Mar 29, 12	Jun 21, 13	15,986.87	15,082.81			904.06
	FIFO	46,451.142	Mar 29, 12	Aug 01, 13	508,175.49	464,975.93			43,199.56
	FIFO	2,300.310	Mar 29, 12	Dec 09, 13	25,740.47	23,026.10			2,714 37
Total					**$3,191,948.10**	**$3,307,985.25**		**-$188,705.81**	**$72,668.66**
Net long-term capital gains or losses								**-$116,037.15**	
Net capital gains/losses:								**-$161,833.63**	

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST INCOME	184.	184.	
TOTAL TO PART I, LINE 3	184.	184.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS	287,802.	0.	287,802.	287,802.	
TO PART I, LINE 4	287,802.	0.	287,802.	287,802.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ORDINARY INCOME FROM K-1	-756.	-756.	
TOTAL TO FORM 990-PF, PART I, LINE 11	-756.	-756.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	9,800.	3,266.		6,534.
TO FORM 990-PF, PG 1, LN 16B	9,800.	3,266.		6,534.

FORM 990-PF — OTHER PROFESSIONAL FEES — STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MANAGEMENT FEES	102,674.	102,674.		0.
TO FORM 990-PF, PG 1, LN 16C	102,674.	102,674.		0.

FORM 990-PF — TAXES — STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAXES	6,112.	2,037.		4,075.
TO FORM 990-PF, PG 1, LN 18	6,112.	2,037.		4,075.

FORM 990-PF — OTHER EXPENSES — STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE EXPENSES	1,008.	336.		672.
EXPENSES FROM K-1	10,146.	10,146.		0.
DUES	725.	241.		484.
OTHER COSTS	163.	54.		109.
TO FORM 990-PF, PG 1, LN 23	12,042.	10,777.		1,265.

FORM 990-PF — OTHER INCREASES IN NET ASSETS OR FUND BALANCES — STATEMENT 8

DESCRIPTION	AMOUNT
INCREASE IN UNREALIZED APPRECIATION	211,067.
TOTAL TO FORM 990-PF, PART III, LINE 3	211,067.

FORM 990-PF CORPORATE STOCK STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INVESTMENTS	11,377,923.	11,377,923.
TOTAL TO FORM 990-PF, PART II, LINE 10B	11,377,923.	11,377,923.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions. ALBERTA B. FARRINGTON FOUNDATION	Employer identification number (EIN) or 86-0717723
	Number, street, and room or suite no If a P O. box, see instructions. 5825 E. PINNACLE VISTA DR.	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions SCOTTSDALE, AZ 85266	

Enter the Return code for the return that this application is for (file a separate application for each return) .. 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990 EZ	01		
Form 990-BL	02	Form 1041 A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ GERI J CAVANAGH 5825 E PINNACLE VISTA DR. - SCOTTSDALE, AZ 85266

Telephone No ▶ (480)948-1692 Fax No. ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________. If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3 month extension of time until NOVEMBER 15, 2014

5 For calendar year 2013 , or other tax year beginning ________, and ending ________

6 If the tax year entered in line 5 is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
THE TAXPAYER REQUESTS ADDITIONAL TIME TO GATHER THE INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN.

8a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a	$ 2,224.
b	If this application is for Forms 990 PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	$ 6,283.
c	**Balance due.** Subtract line 8b from line 8a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	8c	$ 0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Title ▶ Taxpayer Authorized Rep Date ▶ 8/12/14

323842
12-31-13

16420807 757451 FARRF 2013.04010 ALBERTA B. FARRINGTON FOUND FARRF__1

Form **8868**
(Rev. January 2014)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.
▶ Information about Form 8868 and its instructions is at www.irs.gov/form8868

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization or other filer, see instructions.	Enter filer's identifying number
	ALBERTA B. FARRINGTON FOUNDATION	Employer identification number (EIN) or 86-0717723
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions. 5825 E. PINNACLE VISTA DR.	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. SCOTTSDALE, AZ 85266	

Enter the Return code for the return that this application is for (file a separate application for each return) [0][4]

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ GERI J CAVANAGH 5825 E PINNACLE VISTA DR. - SCOTTSDALE, AZ 85266

Telephone No. ▶ (480)948-1692 Fax No. ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______. If this is for the whole group, check this box ▶ [ ]. If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2014, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
▶ [X] calendar year 2013 or
▶ [ ] tax year beginning ______, and ending ______.

2 If the tax year entered in line 1 is for less than 12 months, check reason: [ ] Initial return [ ] Final return
[ ] Change in accounting period

3a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	$ 2,224.
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	$ 6,283.
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	$ 0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.