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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation ZICARELLI FOUNDATION			A Employer identification number 86-0569257	
Number and street (or P O box number if mail is not delivered to street address) 4555 E MAYO BLVD, #3426		Room/suite	B Telephone number (see instructions) (888) 730-4933	
City or town Phoenix	State AZ	ZIP code 85050		
Foreign country name		Foreign province/state/county	C If exemption application is pending, check here ☐	
Foreign postal code				
G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change			D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 4,558,923		J Accounting method: ☐ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)		
			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	113,108	106,843		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-49,605			
	b Gross sales price for all assets on line 6a 1,173,039				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	63,503	106,843	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	1,500			1,500
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	9,664			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	19,288	15,749		3,539
	24 Total operating and administrative expenses. Add lines 13 through 23	30,452	16,948	0	5,039
	25 Contributions, gifts, grants paid	242,000			242,000
26 Total expenses and disbursements. Add lines 24 and 25	272,452	16,948	0	247,039	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-208,949				
b Net investment income (if negative, enter -0-)		89,895			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2013)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash—non-interest-bearing		229,738	36,630	36,630
	2	Savings and temporary cash investments		166,526	66,648	66,648
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule)				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U S and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule)		861,197	1,503,380	4,013,214
	c	Investments—corporate bonds (attach schedule)		580,145	435,767	442,431
	11	Investments—land, buildings, and equipment: basis				
	Less: accumulated depreciation (attach schedule)					
12	Investments—mortgage loans					
13	Investments—other (attach schedule)					
14	Land, buildings, and equipment: basis		608,530			
	Less: accumulated depreciation (attach schedule)					
15	Other assets (describe)					
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)		2,446,136	2,042,425	4,558,923	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe)				
	23	Total liabilities (add lines 17 through 22)		0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ☐					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒					
	27	Capital stock, trust principal, or current funds		2,446,136	2,042,425	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see instructions)		2,446,136	2,042,425	
31	Total liabilities and net assets/fund balances (see instructions)		2,446,136	2,042,425		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,446,136
2	Enter amount from Part I, line 27a	2	-208,949
3	Other increases not included in line 2 (itemize) See Attached Statement	3	46,741
4	Add lines 1, 2, and 3	4	2,283,928
5	Decreases not included in line 2 (itemize) See Attached Statement	5	241,503
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,042,425

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Capital Gains Distributions				
b SEE ATTACHMENT				
c SEE ATTACHMENT				
d SEE ATTACHMENT				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a			0	
b 3,827		3,962	-131	
c 1,135,217		1,129,301	5,916	
d 28,017		89,385	-61,368	
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a			0	
b			-131	
c			5,916	
d			-61,368	
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	-49,605
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }			3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	243,994	3,971,201	0.061441
2011	255,502	3,917,509	0.065221
2010	221,888	3,216,387	0.068987
2009	257,804	2,635,506	0.097820
2008	222,174	3,334,495	0.066629
2 Total of line 1, column (d)			2 0.360098
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.072020
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 4,318,256
5 Multiply line 4 by line 3			5 311,001
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 899
7 Add lines 5 and 6			7 311,900
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 247,039

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			0
3	Add lines 1 and 2			1,798
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			1,798
6	Credits/Payments:			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	6,893	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d			6,893
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			0
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			5,095
11	Enter the amount of line 10 to be Credited to 2014 estimated tax Refunded		1,798	3,297

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ <u>AZ</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► Wells Fargo Bank N.A. Trust Tax Dept Telephone no ► (888) 730-4933 Located at ► PO Box 53456 MAC S4101-22G Phoenix AZ ZIP+4 ► 85072-3456			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☒ Yes ☐ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to:
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d)
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870.
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b

N/A

6b

X

7b

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attached Statement	00	0		
	00	0		
	00	0		
	00	0		
	00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
.....		
.....		
.....		
.....		
.....		

Total number of others receiving over \$50,000 for professional services

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 NONE	
.....	
2	
.....	
3	
.....	
4	
.....	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1 NONE	
.....	
2	
.....	
All other program-related investments See instructions	
3 NONE	
.....	

Total. Add lines 1 through 3



0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	4,148,015
b	Average of monthly cash balances	1b	236,001
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	4,384,016
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	4,384,016
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	65,760
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,318,256
6	Minimum investment return. Enter 5% of line 5	6	215,913

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	215,913
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1,798
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	1,798
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	214,115
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	214,115
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	214,115

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	247,039
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	247,039
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	247,039

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				214,115
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013:				
a From 2008	57,530			
b From 2009	129,173			
c From 2010	65,191			
d From 2011	64,743			
e From 2012	48,578			
f Total of lines 3a through e	365,215			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 247,039				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2013 distributable amount				214,115
e Remaining amount distributed out of corpus	32,924			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	398,139			
b Prior years' undistributed income. Subtract line 4b from line 2b			0	
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	57,530			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	340,609			
10 Analysis of line 9.				
a Excess from 2009	129,173			
b Excess from 2010	65,191			
c Excess from 2011	64,743			
d Excess from 2012	48,578			
e Excess from 2013	32,924			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶
- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(i)(3) or ☐ 4942(i)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a					0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter:					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

- b** The form in which applications should be submitted and information and materials they should include

- c Any submission deadlines**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Attached Statement				
Total			▶ 3a	242,000
b <i>Approved for future payment</i> NONE				
Total			▶ 3b	0

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

BENNINGTON COLLEGE

Street

OFFICE OF EXTERNAL RELATIONS, ONE COLLEGE DRIVE

City

BENNINGTON

State

VT

Zip Code

05201

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

2,500

Name

BERKLEE COLLEGE OF MUSIC

Street

MS-1140-1A, 1140 BOYLSTON STREET

City

BOSTON

State

MA

Zip Code

02215

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

2,500

Name

CARLETON COLLEGE

Street

ONE NORTH COLLEGE STREET

City

NORTHFIELD

State

MN

Zip Code

55057

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

2,000

Name

COURAGE CENTER

Street

3915 GOLDEN VALLEY ROAD

City

GOLDEN VALLEY

State

MN

Zip Code

55422

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

20,000

Name

EIGHT, ARIZONA PBS

Street

555 NORTH CENTRAL AVENUE, SUITE 500

City

PHOENIX

State

AZ

Zip Code

850040

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

10,000

Name

GATEWAY SCHOOL

Street

126 EUCALYPTUS AVENUE

City

SANTA CRUZ

State

CA

Zip Code

95060

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

5,000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

HARVESTWORKS

Street

596 BROADWAY, SUITE 602

City

NEW YORK

State

NY

Zip Code

10012

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

2,500

Name

HEARD MUSEUM

Street

2301 NORTH CENTRAL AVENUE

City

PHOENIX

State

AZ

Zip Code

85004

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

2,000

Name

HERBERGER COLLEGE OF FINE ARTS

Street

PO BOX 872102

City

TEMPE

State

AZ

Zip Code

85287-2102

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

2,000

Name

HOSPICE OF THE VALLEY

Street

1510 E FLOWER STREET

City

PHOENIX

State

AZ

Zip Code

85014-5656

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

2,000

Name

JUNIOR ACHIEVEMENT

Street

636 W SOUTHERN AVE

City

TEMPE

State

AZ

Zip Code

85282-8508

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

10,000

Name

MAHOOSUC ARTS COUNCIL

Street

PO BOX 534

City

BETHEL

State

ME

Zip Code

04217

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

5,000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

MAYO FOUNDATION

Street

5777 E MAYO BLVD

City

PHOENIX

State

AZ

Zip Code

85054

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

100,000

Name

MINNESOTA ZOO FOUNDATION

Street

13000 ZOO BLVD

City

APPLE VALLEY

State

MN

Zip Code

55124

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

15,000

Name

MOUNT WASHINGTON OBSERVATORY

Street

PO BOX 23101

City

NORTH CONWAY

State

NH

Zip Code

03860

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

2,500

Name

THE NATURE CONSERVANCY

Street

7600 N 15TH STREET, SUITE 100

City

PHOENIX

State

AZ

Zip Code

85020

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

1,000

Name

NORTHWESTERN UNIVERSITY

Street

1201 DAVIS STREET

City

EVANSTON

State

IL

Zip Code

60208

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

5,000

Name

NUEESTROS PEQUENOS HERMANOS INT'L

Street

PO BOX 9902

City

MCLEAN

State

VA

Zip Code

22012

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

12,000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

PHOENIX ART MUSEUM

Street

1625 N CENTRAL AVENUE

City

PHOENIX

State

AZ

Zip Code

85004-9977

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

2,500

Name

PHOENIX SYMPHONY

Street

PO BOX 53554

City

PHOENIX

State

AZ

Zip Code

85072-9820

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

15,000

Name

ROSIE'S HOUSE

Street

PO BOX 13446

City

PHOENIX

State

AZ

Zip Code

85002

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

2,500

Name

SMITHSONIAN CONTRIBUTION RECEIPT CTR

Street

PO BOX 9016

City

PITTSFIELD

State

MA

Zip Code

01202-9951

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

1,000

Name

ST. OLAF COLLEGE

Street

1520 ST. OLAF AVENUE

City

NORTHFIELD

State

MN

Zip Code

55057

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

15,000

Name

SUNSHINE ACRES

Street

3405 N HIGLEY RD

City

MESA

State

AZ

Zip Code

85215

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

5,000



Account Statement For:
ZICARELLI FOUNDATION, AGENCY

Period Covered December 1, 2013 - December 31, 2013

ASSET DETAIL

ASSET DESCRIPTION

Cash & Equivalents

CASH

PRINCIPAL

Total Cash

MONEY MARKET

BLACKROCK INSTITUTIONAL FUNDS TRUST
FOR FEDERAL SECURITIES T-FUND #60

Asset held in Invested Income Portfolio

BLACKROCK INSTITUTIONAL FUNDS TRUST
FOR FEDERAL SECURITIES T-FUND #60

Total Money Market

Total Cash & Equivalents

ASSET DESCRIPTION	QUANTITY	PRICE	MARKET VALUE AS OF 12/31/13	COST BASIS	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	CURRENT YIELD
CASH							
PRINCIPAL			\$63.18	\$63.18	\$0.00		
Total Cash			\$63.18		\$0.00		
MONEY MARKET							
BLACKROCK INSTITUTIONAL FUNDS TRUST FOR FEDERAL SECURITIES T-FUND #60	4,958,950		\$4,958.95	\$4,958.95	\$0.00	\$0.50	0.01%
<i>Asset held in Invested Income Portfolio</i>							
BLACKROCK INSTITUTIONAL FUNDS TRUST FOR FEDERAL SECURITIES T-FUND #60	61,626,000		61,626.00	61,626.00	0.00	6.16	0.01
Total Money Market			\$66,584.95	\$66,584.95	\$0.00	\$6.66	0.01%
Total Cash & Equivalents			\$66,648.13	\$66,648.13	\$0.00	\$6.66	0.01%

ASSET DESCRIPTION

Fixed Income

MUNICIPAL BONDS

MERCED CALIF UN HIGH SCH DIST
QUALIFIED SCH CONSTR BD-TAXABL
DTD 03/03/11 5.529 08/01/2018

CUSIP: 587635DV2

MOODY'S RATING: N/R

STANDARD & POOR'S RATING: A+

Total Municipal Bonds

ASSET DESCRIPTION	PAR VALUE	PRICE	MARKET VALUE AS OF 12/31/13	COST BASIS	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	YIELD TO MATURITY
MUNICIPAL BONDS							
MERCED CALIF UN HIGH SCH DIST QUALIFIED SCH CONSTR BD-TAXABL DTD 03/03/11 5.529 08/01/2018	50,000,000	\$105.364	\$52,682.00	\$49,697.50	\$2,984.50	\$2,764.50	4.23%
CUSIP: 587635DV2							
MOODY'S RATING: N/R							
STANDARD & POOR'S RATING: A+							
Total Municipal Bonds			\$52,682.00	\$49,697.50	\$2,984.50	\$2,764.50	



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Account Statement For:
ZICARELLI FOUNDATION, AGENCY

Period Covered: December 1, 2013 - December 31, 2013

**WELLS
FARGO**

ASSET DETAIL *(continued)*

ASSET DESCRIPTION

Fixed Income

DOMESTIC MUTUAL FUNDS

EATON VANCE FLOATING RATE FUND-CLASS I #924

SYMBOL: EIBLX CUSIP: 277911491

WELLS FARGO ADVANTAGE SHORT - TERM MUNICIPAL BOND FUND CLASS INS #3161

SYMBOL: WSBIX CUSIP: 949921654

Total Domestic Mutual Funds

INTERNATIONAL MUTUAL FUNDS

DREYFUS INTERNATIONAL BOND FUND CLASS I #6094

SYMBOL: DIBRX CUSIP: 261980668

FIDELITY ADVISORS EMERGING MARKETS INCOME FUND CLASS I

SYMBOL: FMKIX CUSIP: 315920702

TEMPLETON GLOBAL BOND FUND - ADVISOR CLASS #616

SYMBOL: TGBAX CUSIP: 880208400

Total International Mutual Funds

OTHER

ASGI AGILITY INCOME FUND

Total Other

Total Fixed Income

ASSET DESCRIPTION	QUANTITY	PRICE	MARKET VALUE AS OF 12/31/13	COST BASIS	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	CURRENT YIELD
EATON VANCE FLOATING RATE FUND-CLASS I #924	9,928.664	\$9.190	\$91,244.42	\$90,075.89	\$1,168.53	\$3,564.39	3.91%
SYMBOL: EIBLX CUSIP: 277911491							
WELLS FARGO ADVANTAGE SHORT - TERM MUNICIPAL BOND FUND CLASS INS #3161	10,094.376	9.960	100,539.98	100,540.83	- 0.85	1,261.80	1.25
SYMBOL: WSBIX CUSIP: 949921654							
Total Domestic Mutual Funds			\$191,784.40	\$190,616.72	\$1,167.68	\$4,826.19	2.52%
INTERNATIONAL MUTUAL FUNDS							
DREYFUS INTERNATIONAL BOND FUND CLASS I #6094	1,437.984	\$16.380	\$23,554.18	\$24,339.40	- \$785.22	\$458.72	1.95%
SYMBOL: DIBRX CUSIP: 261980668							
FIDELITY ADVISORS EMERGING MARKETS INCOME FUND CLASS I	4,944.782	13.350	66,012.84	67,732.97	- 1,720.13	3,050.93	4.62
SYMBOL: FMKIX CUSIP: 315920702							
TEMPLETON GLOBAL BOND FUND - ADVISOR CLASS #616	3,014.898	13.090	39,465.01	38,379.94	1,085.07	1,546.64	3.92
SYMBOL: TGBAX CUSIP: 880208400							
Total International Mutual Funds			\$129,032.03	\$130,452.31	- \$1,420.28	\$5,056.29	3.92%
OTHER							
ASGI AGILITY INCOME FUND	64.278	\$1,072.421	\$68,932.65	\$65,000.00	\$3,932.65	\$2,790.83	4.05%
Total Other			\$68,932.65	\$65,000.00	\$3,932.65	\$2,790.83	4.05%
Total Fixed Income			\$442,431.08	\$435,766.53	\$6,664.55	\$15,437.81	



Account Statement For:
ZICARELLI FOUNDATION, AGENCY

Period Covered: December 1, 2013 - December 31, 2013

ASSET DETAIL (continued)

ASSET DESCRIPTION	QUANTITY	PRICE	MARKET VALUE AS OF 12/31/13	COST BASIS	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	CURRENT YIELD
Equities							
CONSUMER DISCRETIONARY							
TARGET CORP SYMBOL: TGT CUSIP: 87612E106	180,000	\$63.270	\$11,388.60	\$10,886.39	\$502.21	\$309.60	2.72%
TJX COS INC NEW SYMBOL: TJX CUSIP: 872540109	160,000	63.730	10,196.80	7,084.64	3,112.16	92.80	0.91
WALT DISNEY CO SYMBOL: DIS CUSIP: 254687106	150,000	76.400	11,460.00	7,355.49	4,104.51	129.00	1.13
Total Consumer Discretionary			\$33,045.40	\$25,326.52	\$7,718.88	\$531.40	1.61%
CONSUMER STAPLES							
CVS/CAREMARK CORPORATION SYMBOL: CVS CUSIP: 126650100	150,000	\$71.570	\$10,735.50	\$6,984.00	\$3,751.50	\$165.00	1.54%
Total Consumer Staples			\$10,735.50	\$6,984.00	\$3,751.50	\$165.00	1.54%
ENERGY							
APACHE CORP SYMBOL: APA CUSIP: 037411105	85,000	\$85.940	\$7,304.90	\$7,453.28	- \$148.38	\$68.00	0.93%
CHEVRON CORP SYMBOL: CVX CUSIP: 166764100	200,000	124.910	24,982.00	6,646.34	18,335.66	800.00	3.20
NATIONAL OILWELL VARCO INC COM SYMBOL: NOV CUSIP: 637071101	110,000	79.530	8,748.30	8,019.33	728.97	114.40	1.31
Total Energy			\$41,035.20	\$22,118.95	\$18,916.25	\$982.40	2.39%



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Account Statement For:
ZICARELLI FOUNDATION, AGENCY

Period Covered December 1, 2013 - December 31, 2013

**WELLS
FARGO**

ASSET DETAIL *(continued)*

ASSET DESCRIPTION

Equities *(continued)*

FINANCIALS

AFLAC INC
SYMBOL: AFL CUSIP: 001055102
BERKSHIRE HATHAWAY INC
SYMBOL: BRK.B CUSIP: 084670702
CAPITAL ONE FINANCIAL CORP
SYMBOL: COF CUSIP: 14040H105
CME GROUP INCE
SYMBOL: CME CUSIP: 12572Q105
GOLDMAN SACHS GROUP INC
SYMBOL: GS CUSIP: 38141G104
JPMORGAN CHASE & CO
SYMBOL: JPM CUSIP: 46625H100
US BANCORP DEL NEW
SYMBOL: USB CUSIP: 902973304

Total Financials

HEALTH CARE

GILEAD SCIENCES INC
SYMBOL: GILD CUSIP: 375558103
MCKESSON CORP
SYMBOL: MCK CUSIP: 58155Q103
THERMO FISHER SCIENTIFIC INC
SYMBOL: TMO CUSIP: 883556102
UNITEDHEALTH GROUP INC
SYMBOL: UNH CUSIP: 91324P102

Total Health Care

QUANTITY	PRICE	MARKET VALUE AS OF 12/31/13	COST BASIS	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	CURRENT YIELD
150,000	\$66.800	\$10,020.00	\$7,462.09	\$2,557.91	\$222.00	2.22%
100,000	118.560	11,856.00	7,929.00	3,927.00	0.00	0.00
200,000	76.610	15,322.00	8,457.88	6,864.12	240.00	1.57
125,000	78.460	9,807.50	6,925.25	2,882.25	225.00	2.29
70,000	177.260	12,408.20	7,311.50	5,096.70	154.00	1.24
185,000	58.480	10,818.80	8,288.65	2,530.15	281.20	2.60
225,000	40.400	9,090.00	7,309.84	1,780.16	207.00	2.28
		\$79,322.50	\$53,684.21	\$25,638.29	\$1,329.20	1.68%
400,000	\$75.100	\$30,040.00	\$8,324.00	\$21,716.00	\$0.00	0.00%
80,000	161.400	12,912.00	7,508.37	5,403.63	76.80	0.59
100,000	111.350	11,135.00	5,989.72	5,145.28	60.00	0.54
190,000	75.300	14,307.00	6,526.50	7,780.50	212.80	1.49
		\$68,394.00	\$28,348.59	\$40,045.41	\$349.60	0.51%

**WELLS
FARGO**

ASSET DETAIL *(continued)*

ASSET DESCRIPTION

Equities *(continued)*

INDUSTRIALS

BOEING CO
SYMBOL: BA CUSIP: 097023105
UNION PACIFIC CORP
SYMBOL: UNP CUSIP: 907818108
UNITED PARCEL SERVICE-CL B
SYMBOL: UPS CUSIP: 911312106
UNITED TECHNOLOGIES CORP
SYMBOL: UTX CUSIP: 913017109

3M CO
COM
SYMBOL: MMM CUSIP: 88579Y101

Total Industrials

INFORMATION TECHNOLOGY

APPLE INC
SYMBOL: AAPL CUSIP: 037833100
CISCO SYSTEMS INC
SYMBOL: CSCO CUSIP: 17275R102
EMC CORP MASS
SYMBOL: EMC CUSIP: 268648102

GOOGLE INC
CL A
SYMBOL: GOOG CUSIP: 38259P508
INTERNATIONAL BUSINESS MACHS CORP
COM

SYMBOL: IBM CUSIP: 459200101
MICROSOFT CORP
SYMBOL: MSFT CUSIP: 594918104
ORACLE CORPORATION
SYMBOL: ORCL CUSIP: 68389X105

Total Information Technology

QUANTITY	PRICE	MARKET VALUE AS OF 12/31/13	COST BASIS	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	CURRENT YIELD
100,000	\$136.490	\$13,649.00	\$7,382.25	\$6,266.75	\$292.00	2.14%
50,000	168.000	10,080.00	7,254.00	2,826.00	189.60	1.88
100,000	105.080	10,508.00	7,598.52	2,909.48	248.00	2.36
300,000	113.800	34,140.00	10,209.45	23,930.55	708.00	2.07
80,000	140.250	11,220.00	7,026.63	4,193.37	273.60	2.44
		\$79,597.00	\$39,470.85	\$40,126.15	\$1,711.20	2.15%
20,000	\$561.020	\$11,220.40	\$4,810.40	\$6,410.00	\$244.00	2.17%
545,000	22.430	12,224.35	9,924.92	2,299.43	370.60	3.03
275,000	25.150	6,916.25	7,590.00	- 673.75	110.00	1.59
10,000	1,120.710	11,207.10	6,743.00	4,464.10	0.00	0.00
50,000	187.570	9,378.50	10,206.99	- 828.49	190.00	2.03
300,000	37.410	11,223.00	7,214.39	4,008.61	336.00	2.99
300,000	38.260	11,478.00	8,379.00	3,099.00	144.00	1.25
		\$73,647.60	\$54,868.70	\$18,778.90	\$1,394.60	1.89%
						12 of 52



ASSET DETAIL *(continued)***ASSET DESCRIPTION**

ASSET DESCRIPTION	QUANTITY	PRICE	MARKET VALUE AS OF 12/31/13	COST BASIS	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	CURRENT YIELD
Equities <i>(continued)</i>							
MATERIALS							
CELANESE CORP SYMBOL: CE CUSIP: 150870103	180.000	\$55.310	\$9,955.80	\$7,352.43	\$2,603.37	\$129.60	1.30%
Total Materials			\$9,955.80	\$7,352.43	\$2,603.37	\$129.60	1.30%
INTERNATIONAL EQUITIES							
ANHEUSER-BUSCH INBEV SPN CUSIP: 03524A108	125.000	\$106.460	\$13,307.50	\$7,529.98	\$5,777.52	\$313.00	2.35%
BHP BILLITON LIMITED - ADR SPONSORED ADR CUSIP: 088606108	100.000	68.200	6,820.00	6,964.30	- 144.30	232.00	3.40
NESTLE S.A. REGISTERED SHARES - ADR SPONSORED ADR CUSIP: 641069406	75.000	73.590	5,519.25	4,771.80	747.45	136.20	2.47
SCHLUMBERGER LTD CUSIP: 806857108	100.000	90.110	9,011.00	7,403.00	1,608.00	125.00	1.39
TOTAL S.A. - ADR SPONSORED ADR CUSIP: 89151E109	160.000	61.270	9,803.20	7,050.14	2,753.06	420.16	4.29
VODAFONE GROUP PLC NEW CUSIP: 92857W209	250.000	39.310	9,827.50	7,073.60	2,753.90	397.50	4.04
Total International Equities			\$54,288.45	\$40,792.82	\$13,495.63	\$1,623.86	2.99%
DOMESTIC MUTUAL FUNDS							
ISHARES CORE S&P MID-CAP ETF SYMBOL: IJH CUSIP: 464287507	1,600.000	\$133.810	\$214,096.00	\$119,524.03	\$94,571.97	\$2,764.80	1.29%
ISHARES CORE S&P SMALL-CAP ETF SYMBOL: IJR CUSIP: 464287804	1,300.000	109.130	141,869.00	73,072.35	68,796.65	1,420.90	1.00
ISHARES RUSSELL 1000 GROWTH ETF SYMBOL: IWF CUSIP: 464287614	130.000	85.950	11,173.50	8,912.93	2,260.57	144.04	1.29
Total Domestic Mutual Funds			\$367,138.50	\$201,509.31	\$165,629.19	\$4,329.74	1.18%



Account Statement For:
ZICARELLI FOUNDATION, AGENCY

Period Covered: December 1, 2013 - December 31, 2013

ASSET DETAIL (continued)

ASSET DESCRIPTION

Equities (continued)

INTERNATIONAL MUTUAL FUNDS

ASSET DESCRIPTION	QUANTITY	PRICE	MARKET VALUE AS OF 12/31/13	COST BASIS	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	CURRENT YIELD
ISHARES MSCI EAFE ETF SYMBOL: EFA CUSIP: 464287465	1,200,000	\$67.095	\$80,514.00	\$49,537.50	\$30,976.50	\$2,043.60	2.54%
OPPENHEIMER DEVELOPING MKT-Y #788 SYMBOL: ODVYX CUSIP: 683974505	3,220,519	37.560	120,962.69	112,093.63	8,869.06	524.94	0.43
TEMPLETON FRONTIER MARKETS FUND CLASS AD #674 SYMBOL: FFRZX CUSIP: 88019R641	2,894,168	18.280	52,905.39	43,006.95	9,898.44	1,047.69	1.98
VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND ETF SYMBOL: VXUS CUSIP: 921909768	3,323,000	52.380	174,058.74	151,586.83	22,471.91	4,692.08	2.70
Total International Mutual Funds			\$428,440.82	\$356,224.91	\$72,215.91	\$8,308.31	1.94%
OTHER							
CALL WRITE BOEING COMPANY EXP 1/18/2014 STRIKE 140.00	- 100,000	\$0.900	- \$90.00	- \$83.02	- \$6.98	\$0.00	0.00%
CALL WRITE CAPITAL ONE FINANCIAL EXP 1/18/2014 STRIKE 77.50	- 200,000	1.230	- 246.00	- 122.33	- 123.67	0.00	0.00
CALL WRITE CELEANESE CORPORATION EXP 1/18/2014 STRIKE 57.50	- 100,000	0.300	- 30.00	- 44.16	14.16	0.00	0.00
CALL WRITE CVS CAREMARK CORP EXP 1/18/2014 STRIKE 72.50	- 100,000	0.510	- 51.00	- 39.16	- 11.84	0.00	0.00
CALL WRITE ISHARES MSCI EAFE INDX EXP 1/18/2014 STRIKE 66.00	- 300,000	1.600	- 480.00	- 87.50	- 392.50	0.00	0.00
CALL WRITE ISHARES MSCI EAFE INDX EXP 2/22/2014 STRIKE 68.00	- 600,000	0.820	- 492.00	- 242.40	- 249.60	0.00	0.00
CALL WRITE ISHARES RUSL 1000 GRW EXP 1/18/2014 STRIKE 85.00	- 100,000	1.350	- 135.00	- 44.17	- 90.83	0.00	0.00
CALL WRITE ISHARES S&P MIDCAP 400 EXP 1/18/2014 STRIKE 133.00	- 400,000	2.100	- 840.00	- 297.09	- 542.91	0.00	0.00
CALL WRITE ISHARES S&P MIDCAP 400 EXP 1/18/2014 STRIKE 134.00	- 400,000	1.500	- 600.00	- 267.09	- 332.91	0.00	0.00





Account Statement For:
ZICARELLI FOUNDATION, AGENCY

Period Covered December 1, 2013 - December 31, 2013

ASSET DETAIL *(continued)*

ASSET DESCRIPTION

Equities *(continued)*

OTHER *(continued)*

ASSET DESCRIPTION	QUANTITY	PRICE	MARKET VALUE AS OF 12/31/13	COST BASIS	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	CURRENT YIELD
CALL WRITE ISHARES S&P 500 600 EXP 1/18/2014 STRIKE 109.00	- 300,000	1.650	- 495.00	- 177.49	- 317.51	0.00	0.00
CALL WRITE NATIONAL OILWELL VARCO EXP 1/18/2014 STRIKE 80.00	- 100,000	1.000	- 100.00	- 83.16	- 16.84	0.00	0.00
CALL WRITE SCHLUMBERGER LTD EXP 1/18/2014 STRIKE 90.00	- 100,000	1.810	- 181.00	- 80.16	- 100.84	0.00	0.00
CALL WRITE TARGET CORP EXP 1/18/2014 STRIKE 65.00	- 100,000	0.370	- 37.00	- 35.17	- 1.83	0.00	0.00
CALL WRITE TJX COMPANIES INC EXP 2/22/2014 STRIKE 65.00	- 100,000	1.150	- 115.00	- 99.27	- 15.73	0.00	0.00
CALL WRITE UNITEDHEALTH GROUP INC EXP 1/18/2014 STRIKE 75.00	- 100,000	1.770	- 177.00	- 64.16	- 112.84	0.00	0.00
CALL WRITE VANGUARD REIT ETF EXP 1/18/2014 STRIKE 66.00	- 400,000	0.150	- 60.00	- 136.66	76.66	0.00	0.00
Total Other			- \$4,129.00	- \$1,902.99	- \$2,226.01	\$0.00	0.00%
Total Equities			\$1,241,471.77	\$834,778.30	\$406,693.47	\$20,854.91	1.68%



Account Statement For:
ZICARELLI FOUNDATION, AGENCY

Period Covered: December 1, 2013 - December 31, 2013

ASSET DETAIL (continued)

ASSET DESCRIPTION

Complementary Strategies

OTHER

AQR MANAGED FUTURES STRATEGY FUND
CLASS I #15213

SYMBOL: AQMIX CUSIP: 00203H859

ARBITRAGE FUND CLASS I #1000

SYMBOL: ARBNX CUSIP: 03875R205

ASG GLOBAL ALTERNATIVES FUND CLASS Y
#1993

SYMBOL: GAFYX CUSIP: 63872T885

DRIEHAUS ACTIVE INCOME FUND

SYMBOL: LCMAX CUSIP: 262028855

ROBECO BOSTON PARTNERS LONG/SHORT
RESEARCH FUND CLASS INS

SYMBOL: BPIRX CUSIP: 74925K581

Total Other

Total Complementary Strategies

	QUANTITY	PRICE	MARKET VALUE AS OF 12/31/13	COST BASIS	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	CURRENT YIELD
	11,862,927	\$10.590	\$125,628.40	\$121,260.94	\$4,367.46	\$0.00	0.00%
	3,603,943	12.860	46,346.71	47,515.62	-1,168.91	0.00	0.00
	6,523,839	11.430	74,567.48	74,187.07	380.41	0.01	0.00
	4,229,723	10.770	45,554.12	45,426.86	127.26	913.62	2.01
	3,585,245	14.430	51,735.09	48,196.46	3,538.63	0.00	0.00
			\$343,831.80	\$336,586.95	\$7,244.85	\$913.63	0.27%
			\$343,831.80	\$336,586.95	\$7,244.85	\$913.63	0.27%



004204



Account Statement For:
ZICARELLI FOUNDATION, AGENCY

Period Covered: December 1, 2013 - December 31, 2013

ASSET DETAIL (continued)

ASSET DESCRIPTION

Real Assets

REAL ASSET FUNDS

PIMCO COMMODITY REAL RETURN
STRATEGY FUND-CLASS I #45

SYMBOL: PCRIX CUSIP: 722005667

SPDR DJ WILSHIRE INTERNATIONAL REAL
ESTATE ETF

SYMBOL: RWX CUSIP: 78463X863

VANGUARD REIT VIPER

SYMBOL: VNQ CUSIP: 922908553

Total Real Asset Funds

Total Real Assets

	QUANTITY	PRICE	MARKET VALUE AS OF 12/31/13	COST BASIS	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	CURRENT YIELD
	16,501.394	\$5.490	\$90,592.65	\$93,668.35	-\$3,075.70	\$1,368.30	1.51%
	2,195.000	41.200	90,434.00	83,445.27	6,988.73	4,102.46	4.54
	1,400.000	64.560	90,384.00	81,428.46	8,955.54	3,907.40	4.32
			\$271,410.65	\$258,542.08	\$12,868.57	\$9,378.16	3.46%
			\$271,410.65	\$258,542.08	\$12,868.57	\$9,378.16	3.46%

ACCOUNT VALUE AS OF 12/31/13	COST BASIS	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME
\$2,365,793.43	\$1,932,321.99	\$433,471.44	\$46,591.17

TOTAL ASSETS

ZICARELLI FOUNDATION INC

DECEMBER 1 - DECEMBER 31, 2013

Portfolio detail

Cash and Sweep Balances

Sweep Balances - You have the right, in the course of normal business operations, to withdraw balances in the Bank Deposit Sweep or redeem shares of the money market mutual fund used in the sweep, subject to any open commitments in any of your accounts and have the proceeds returned to your accounts or remitted to you. Note, however, that as required by federal banking regulations, the banks in the Bank Deposit Sweep reserve the right to require seven days prior notice before permitting a transfer out of the Bank Deposit Sweep. In addition, the money market mutual funds in the sweep reserve the right to require one or more day's prior notice before permitting withdrawals.

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

DESCRIPTION	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
BANK DEPOSIT SWEEP	0.01	0.09	0.00
Interest Period: 12/01/13 - 12/31/13			
Total Cash and Sweep Balances		\$0.09	\$0.00

* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

Stocks, options & ETFs

Stocks and ETFs

DESCRIPTION	QUANTITY	CURRENT PRICE	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME	ANNUAL YIELD (%)
WELLS FARGO COMPANY WFC	47,500	45.4000	2,156,500.00	57,000.00	2.64
Total Stocks and ETFs			\$2,156,500.00	\$57,000.00	2.64
Total Stocks, options & ETFs			\$2,156,500.00	\$57,000.00	2.64

Activity detail

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/01				BEGINNING BALANCE			78,015.79
12/02	Cash	DIVIDEND		WELLS FARGO COMPANY 120113 47,500		14,250.00	92,265.79
				AS OF 12/01/13			



Transaction history

Date	Check Number	Description	Deposits/ Credits	Withdrawals/ Debits	Ending daily balance
12/23	1828	Check		10,000 00	242,509 43
12/24	1840	Check		5,000 00	
12/24	1827	Check		2,000 00	235,509 43
12/26	1830	Check		100,000 00	
12/26	1821	Check		20,000 00	115,509 43
12/27	1839	Check		15,000 00	
12/27	1835	Check		12,000 00	
12/27	1837	Check		2,500 00	
12/27	1832	Check		2,500 00	
12/27	1825	Check		2,000 00	
12/27	1833	Check		1,000 00	80,509 43
12/30	1818	Check		2,500 00	
12/30	1820	Check		2,000 00	76,009 43
12/31		Online Transfer to Zicarelli J Ref #Ibe5Hbpg62 MMC Ableton Expense Reimbursement		6,879 34	
12/31	1831	Check		15,000 00	
12/31	1822	Check		10,000 00	
12/31	1834	Check		5,000 00	
12/31	1824	Check		2,500 00	36,630 09

The Ending Daily Balance does not reflect any pending withdrawals or holds on deposited funds that may have been outstanding on your account when your transactions posted. If you had insufficient available funds when a transaction posted, fees may have been assessed.

^A **Converted check:** Check converted to an electronic format by your payee or designated representative. Checks converted to electronic format cannot be returned, copied or imaged.

Monthly service fee summary

For a complete list of fees and detailed account information, please see the Wells Fargo Fee and Information Schedule and Account Agreement applicable to your account or talk to a banker. Go to wellsfargo.com/feefaq to find answers to common questions about the monthly service fee on your account.

Fee period 12/19/2013 - 01/21/2014

Standard monthly service fee \$7 00

You paid \$0 00

The bank has waived the fee for this fee period

[illegible]

2013 ENHANCED SUMMARY

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As of Date: 2/07/14

Your Financial Advisor :

CRAIG WALL
8601 N SCOTTSDALE RD STE 150
SCOTTSDALE, AZ 85253
(480) 348-5053

ZICARELLI FOUNDATION INC
4555 E MAYO BLVD UNIT 3426
PHOENIX AZ 85050-6992

Details of the Year-End Account Summary

This information is provided for courtesy purposes only. Each individual taxpayer should consult with a Tax Advisor as to any additional reporting requirements or adjustments. THIS INFORMATION IS NOT VERIFIED OR GUARANTEED BY FIRST CLEARING, LLC TO BE ACCURATE FOR EACH TAXPAYER'S UNIQUE REPORTING REQUIREMENTS. THEREFORE, YOU SHOULD NEVER ASSUME THIS STATEMENT IS ACCURATE IN LIEU OF COMPLETING YOUR TAX RETURN AND CONSULTING WITH YOUR TAX ADVISOR.

Option activity is not reportable on the Form 1099-B, but this information is being provided for clients that may need to include this information on their tax return

Option Activity Gain/Loss Detail for Year SHORT TERM OPTIONS

Description	Quantity Sold	Date of Acquisition	Date of Sale or Exchange	Sales Price	Cost or Other Basis	Gain or Loss Amount
C WFC 011814 40	-99 00000	09/16/13	09/17/13	11,705 45	37,337 92	-25,632 47
	-96 00000	09/16/13	09/17/13	11,345 75	36,206 46	-24,860 71
	-42 00000	09/16/13	09/17/13	4,965 95	15,840 34	-10,874 39
TOTAL SHORT TERM OPTIONS				\$28,017.15	\$89,384.72	-\$61,367.57

Wells Fargo
Statement of Capital Gains and Losses From Sales
(WASH SALES)
(Does not include capital gain distributions from mutual
funds or CTF's - See Tax Transaction Detail)
ZICARELLI FOUNDATION, AGENCY

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Trust Year Ending 12/31/2013

Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
Loss Disallowed									
261980668	DREYFUS INTERNATL BOND FD CL I 6094								
Sold		07/10/13	244 648	4,000 00					
Acq		03/20/13	234 046	3,826 66	3,962 40	3,962 40	-135 74	-135 74	S
								3 81	
			Total for 7/10/2013		3,962 40	3,962 40	-135 74	-135 74	
								3 81	
			Total for Account: 18060700		3,962 40	3,962 40	-135 74	-135 74	
								3 81	
Total Proceeds (Wash Sales Only):					Fed	State	Loss Disallowed		
			3,826 66	S/T Gain/Loss	-135 74	-135 74		3 81	
				L/T Gain/Loss	0 00	0 00		0 00	

Wells Fargo
Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual
funds or CTF's - See Tax Transaction Detail)
ZICARELLI FOUNDATION, AGENCY
Trust Year Ending 12/31/2013

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Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
02Q994580	CALL WRITE BA @ 77 50	2/16/13							
Sold	02/05/13	100	70 16						
Acq	01/17/13	100	70 16	17 76	17 76	52 40	52 40	S	
Total for 2/5/2013				17 76	17 76	52 40	52 40		
02Q9949C7	CALL WRITE BA @ 87 50	4/20/13							
Sold	04/22/13	100	59 17						
Acq	03/25/13	100	59 17	25 83	25 83	33 34	33 34	S	
Total for 4/22/2013				25 83	25 83	33 34	33 34		
03875R205	ARBITRAGE FUND CLASS I								
Sold	08/21/13	1950 078	25,000 00						
Acq	02/15/12	1950 078	25,000 00	25,760 53	25,760 53	-760 53	-760 53	L	
Total for 8/21/2013				25,760 53	25,760 53	-760 53	-760 53		
03E99V4F6	CALL WRITE NOV @ 72 50	9/21/13							
Sold	08/29/13	100	85 38						
Acq	07/31/13	100	85 38	295 84	295 84	-210 46	-210 46	S	
Total for 8/29/2013				295 84	295 84	-210 46	-210 46		
03E99V4T6	CALL WRITE NOV @ 82 50	10/19/13							
Sold	09/30/13	100	59 16						
Acq	09/16/13	100	59 16	28 84	28 84	30 32	30 32	S	
Total for 9/30/2013				28 84	28 84	30 32	30 32		
04315J860	ARTIO GLOBAL HIGH INCOME-I 1525								
Sold	07/10/13	2274 243	22,605 98						
Acq	09/29/11	11 693	116 23	108 46	108 46	7 77	7 77	L	
Acq	10/28/11	10 809	107 44	105 24	105 24	2 20	2 20	L	
Acq	11/29/11	13.657	135 75	127 37	127 37	8 38	8 38	L	
Acq	12/28/11	52 217	519 04	483 83	483 83	35 21	35 21	L	
Acq	01/30/12	11 268	112 00	107 34	107 34	4 66	4 66	L	
Acq	02/28/12	12 628	125 52	122 19	122 19	3 33	3 33	L	
Acq	03/29/12	12 296	122 22	118 85	118 85	3 37	3 37	L	
Acq	04/27/12	14 12	140 35	136 06	136 06	4 29	4 29	L	
Acq	05/30/12	15 429	153 36	145 28	145 28	8 08	8 08	L	
Acq	06/28/12	13 019	129 41	123 36	123 36	6 05	6 05	L	
Acq	07/30/12	14 252	141 66	136 76	136 76	4 90	4 90	S	
Acq	08/30/12	14 434	143 47	139 80	139 80	3 67	3 67	S	
Acq	10/31/12	13 966	138 82	137 23	137 23	1 59	1 59	S	
Acq	11/29/12	16 05	159 54	157 54	157 54	2 00	2 00	S	
Acq	12/27/12	13 962	138 78	138 58	138 58	0 20	0 20	S	
Acq	01/30/13	13 044	129 66	131 48	131 48	-1 82	-1 82	S	
Acq	02/27/13	14 519	144 32	145 48	145 48	-1 16	-1 16	S	
Acq	03/27/13	13 401	133 21	135 35	135 35	-2 14	-2 14	S	
Acq	04/29/13	13 569	134 88	138 40	138 40	-3 52	-3 52	S	

Wells Fargo
Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual
funds or CTF's - See Tax Transaction Detail)
ZICARELLI FOUNDATION, AGENCY
Trust Year Ending 12/31/2013

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Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
04315J860	ARTIO GLOBAL HIGH INCOME-I 1525								
Sold		07/10/13	2274 243	22,605 98					
Acq		05/30/13	15 192	151 01	154 96 ☐	154 96	-3 95	-3 95	S
Acq		06/27/13	13 26	131 80	131 14 ☐	131 14	0 66	0 66	S
Acq		08/30/11	13 151	130 72	126 98 ☐	126 98	3 74	3 74	L
Acq		07/28/11	10 185	101 24	105 26 ☐	105 26	-4 02	-4 02	L
Acq		06/29/11	11 111	110 44	114 17 ☐	114 17	-3 73	-3 73	L
Acq		05/27/11	11 522	114 53	121 05 ☐	121 05	-6 52	-6 52	L
Acq		04/28/11	1893 939	18,825 76	19,973 03 ☐	19,973 03	-1,147 27	-1,147 27	L
Acq		09/27/12	11 55	114 81	113 03 ☐	113 03	1 78	1 78	S
Total for 7/10/2013					23,678 22	23,678 22	-1,072 24	-1,072 24	
04V992386	CALL WRITE MSFT @ 28 00	1/19/13							
Sold		01/15/13	100	35 36					
Acq		12/19/12	100	35 36	3 83 ☐	3 83	31 53	31 53	S
Total for 1/15/2013					3 83	3 83	31 53	31 53	
07D994591	CALL WRITE AFL @ 52 50	5/18/13							
Sold		05/02/13	100	62 16					
Acq		03/21/13	100	62 16	243 14 ☐	243 14	-180 98	-180 98	S
Total for 5/2/2013					243 14	243 14	-180 98	-180 98	
07D9947G3	CALL WRITE AFL @ 57 50	7/20/13							
Sold		07/17/13	100	65 17					
Acq		05/28/13	100	65 17	165 84 ☐	165 84	-100 67	-100 67	S
Total for 7/17/2013					165 84	165 84	-100 67	-100 67	
07E995696	CALL WRITE EFA @ 61 00	6/22/13							
Sold		05/09/13	500	260 96					
Acq		04/02/13	500	260 96	1,344 19 ☐	1,344 19	-1,083 23	-1,083 23	S
Total for 5/9/2013					1,344 19	1,344 19	-1,083 23	-1,083 23	
07E995712	CALL WRITE EFA @ 63 00	6/22/13							
Sold		06/04/13	200	74 80					
Acq		05/28/13	200	74 80	25 67 ☐	25 67	49 13	49 13	S
Total for 6/4/2013					25 67	25 67	49 13	49 13	
07E995720	CALL WRITE EFA @ 64 00	6/22/13							
Sold		05/28/13	200	122 33					
Acq		05/16/13	200	122 33	39 67 ☐	39 67	82 66	82 66	S
Total for 5/28/2013					39 67	39 67	82 66	82 66	
08E995512	CALL WRITE UTX @ 95 00	5/18/13							
Sold		04/29/13	200	210 33					
Acq		03/28/13	200	210 33	37 67 ☐	37 67	172 66	172 66	S
Total for 4/29/2013					37 67	37 67	172 66	172 66	

Wells Fargo
Statement of Capital Gains and Losses From Sales
(Does not include capital gain distributions from mutual
funds or CTF's - See Tax Transaction Detail)
ZICARELLI FOUNDATION, AGENCY
Trust Year Ending 12/31/2013

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Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
08L9950S0	CALL WRITE UPS @ 90 00	7/20/13							
Sold	06/07/13	100	76 16						
Acq	05/07/13	100	76 16	26 79	26 79	49 37	49 37	S	
Total for 6/7/2013				26 79	26 79	49 37	49 37		
11Q9959T8	CALL WRITE EFA @ 65 00	11/16/13							
Sold	10/24/13	500	266 58						
Acq	10/09/13	500	266 58	794 18	794 18	-527 60	-527 60	S	
Total for 10/24/2013				794 18	794 18	-527 60	-527 60		
11Q9959U5	CALL WRITE EFA @ 66 00	11/16/13							
Sold	10/08/13	300	220 00						
Acq	09/19/13	300	220 00	111 76	111 76	108 24	108 24	S	
Total for 10/8/2013				111 76	111 76	108 24	108 24		
11Q9959V3	CALL WRITE EFA @ 67 00	11/16/13							
Sold	11/01/13	400	185 03						
Acq	10/24/13	400	185 03	99 33	99 33	85 70	85 70	S	
Total for 11/1/2013				99 33	99 33	85 70	85 70		
13C99V616	CALL WRITE CVX @ 110 00	1/19/13							
Sold	01/15/13	100	105 37						
Acq	12/28/12	100	105 37	226 09	226 09	-120 72	-120 72	S	
Total for 1/15/2013				226 09	226 09	-120 72	-120 72		
14X99Q8F9	CALL WRITE COF @ 60 00	2/16/13							
Sold	01/30/13	200	66 65						
Acq	01/22/13	200	66 65	21 66	21 66	44 99	44 99	S	
Total for 1/30/2013				21 66	21 66	44 99	44 99		
14X99Q8H5	CALL WRITE COF @ 65 00	2/16/13							
Sold	01/22/13	100	104 16						
Acq	01/08/13	100	104 16	4 07	4 07	100 09	100 09	S	
Total for 1/22/2013				4 07	4 07	100 09	100 09		
14X99Q8U6	CALL WRITE COF @ 70 00	9/21/13							
Sold	08/22/13	200	150 32						
Acq	08/16/13	200	150 32	65 71	65 71	84 61	84 61	S	
Total for 8/22/2013				65 71	65 71	84 61	84 61		
14X99Q9L5	CALL WRITE COF @ 62 50	7/20/13							
Sold	07/09/13	200	206 96						
Acq	05/09/13	200	206 96	791 67	791 67	-584 71	-584 71	S	
Total for 7/9/2013				791 67	791 67	-584 71	-584 71		
157842105	CHAMBERS STREET PROPERTIES								
Sold	07/08/13	0 0869	77						
Acq	09/28/09	0 0869	0 77	74	74	0 03	0 03	L	
Total for 7/8/2013				0 74	0 74	0 03	0 03		

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Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
157842105	CHAMBERS STREET PROPERTIES								
Sold		08/14/13	301	2,419 52					
Acq		01/27/10	301	2,419 52	2,524 51 ☐	2,549 25	-104 99	-129 73	L
Total for 8/14/2013					2,524 51	2,549 25	-104 99	-129 73	
157842105	CHAMBERS STREET PROPERTIES								
Sold		08/15/13	851	6,819 88					
Acq		01/27/10	851	6,819 88	7,137 39 ☐	7,207 34	-317 51	-387 46	L
Total for 8/15/2013					7,137 39	7,207 34	-317 51	-387 46	
157842105	CHAMBERS STREET PROPERTIES								
Sold		08/16/13	794	6,149 03					
Acq		01/27/10	794	6,149 03	6,659 32 ☐	6,724 59	-510 29	-575 56	L
Total for 8/16/2013					6,659 32	6,724 59	-510 29	-575 56	
157842105	CHAMBERS STREET PROPERTIES								
Sold		08/19/13	636	4,826 40					
Acq		01/27/10	227 913	1,729 56	1,911 53 ☐	1,930 26	-181 97	-200 70	L
Acq		09/28/09	408 087	3,096 84	3,422 65 ☐	3,456 19	-325 81	-359 35	L
Total for 8/19/2013					5,334 18	5,386 45	-507 78	-560 05	
157842105	CHAMBERS STREET PROPERTIES								
Sold		09/03/13	604	4,441 68					
Acq		09/28/09	604	4,441 68	5,065 78 ☐	5,115 43	-624 10	-673 75	L
Total for 9/3/2013					5,065 78	5,115 43	-624 10	-673 75	
157842105	CHAMBERS STREET PROPERTIES								
Sold		09/04/13	1996	15,181 52					
Acq		09/28/09	1996	15,181 52	16,740 57 ☐	16,904 64	-1,559 05	-1,723 12	L
Total for 9/4/2013					16,740 57	16,904 64	-1,559 05	-1,723 12	
157842105	CHAMBERS STREET PROPERTIES								
Sold		10/18/13	2572	23,164 31					
Acq		09/28/09	2572	23,164 31	21,395 34 ☐	21,782 94	1,768 97	1,381 37	L
Total for 10/18/2013					21,395 34	21,782 94	1,768 97	1,381 37	
157842105	CHAMBERS STREET PROPERTIES								
Sold		11/06/13	2572	23,774 39					
Acq		09/28/09	2572	23,774 39	21,395 33 ☐	21,782 93	2,379 06	1,991 46	L
Total for 11/6/2013					21,395 33	21,782 93	2,379 06	1,991 46	
15V994529	CALL WRITE INTC @ 22 00	4/20/13							
Sold		04/22/13	400	88 14					
Acq		02/21/13	400	88 14	126 74 ☐	126 74	-38 60	-38 60	S
Total for 4/22/2013					126 74	126 74	-38 60	-38 60	
19F9970L5	CALL WRITE TGT @ 72 50	7/20/13							
Sold		07/01/13	100	59 25					
Acq		05/28/13	100	59 25	13 79 ☐	13 79	45 46	45 46	S
Total for 7/1/2013					13 79	13 79	45 46	45 46	

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1KZ9958G5	CALL WRITE VNQ @ 67 00	2/16/13							
Sold	01/25/13	300	118 10						
Acq	12/28/12	300	118 10	497 38	497 38	-379 28	-379 28	S	
Total for 1/25/2013				497 38	497 38	-379 28	-379 28		
1KZ9958Z3	CALL WRITE VNQ @ 67 00	9/21/13							
Sold	09/04/13	200	98 32						
Acq	08/23/13	200	98 32	41 67	41 67	56 65	56 65	S	
Total for 9/4/2013				41 67	41 67	56 65	56 65		
1KZ9959D1	CALL WRITE VNQ @ 71 00	9/21/13							
Sold	08/16/13	200	108 32						
Acq	08/06/13	200	108 32	31 68	31 68	76 64	76 64	S	
Total for 8/16/2013				31 68	31 68	76 64	76 64		
1KZ9959E9	CALL WRITE VNQ @ 72 00	9/21/13							
Sold	08/14/13	200	118 32						
Acq	08/01/13	200	118 32	41 68	41 68	76 64	76 64	S	
Total for 8/14/2013				41 68	41 68	76 64	76 64		
1KZ9959F6	CALL WRITE VNQ @ 73 00	9/21/13							
Sold	08/06/13	300	192 67						
Acq	07/30/13	300	192 67	77 51	77 51	115 16	115 16	S	
Total for 8/6/2013				77 51	77 51	115 16	115 16		
1KZ9959G4	CALL WRITE VNQ @ 74 00	9/21/13							
Sold	08/01/13	100	79 16						
Acq	07/12/13	100	79 16	30 84	30 84	48 32	48 32	S	
Total for 8/1/2013				30 84	30 84	48 32	48 32		
1KZ9959W9	CALL WRITE VNQ @ 67 00	10/19/13							
Sold	09/20/13	200	98 33						
Acq	09/04/13	200	98 33	531 67	531 67	-433 34	-433 34	S	
Total for 9/20/2013				531 67	531 67	-433 34	-433 34		
1KZ9959X7	CALL WRITE VNQ @ 68 00	10/19/13							
Sold	09/06/13	100	74 69						
Acq	08/27/13	100	74 69	35 84	35 84	38 85	38 85	S	
Total for 9/6/2013				35 84	35 84	38 85	38 85		
1KZ9959Y5	CALL WRITE VNQ @ 69 00	10/19/13							
Sold	10/04/13	200	68 33						
Acq	10/02/13	200	68 33	31 67	31 67	36 66	36 66	S	
Total for 10/4/2013				31 67	31 67	36 66	36 66		
1KZ995AJ6	CALL WRITE VNQ @ 69 00	11/16/13							
Sold	10/28/13	300	192 74						
Acq	09/25/13	300	192 74	632 51	632 51	-439 77	-439 77	S	
Total for 10/28/2013				632 51	632 51	-439 77	-439 77		

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1KZ995AM9	CALL WRITE VNQ @ 72 00	11/16/13							
Sold		11/01/13	300	87 49					
Acq		10/28/13	300	87 49	32 51 ☐	32 51	54 98	54 98	S
Total for 11/1/2013					32 51	32 51	54 98	54 98	
1KZ995BU0	CALL WRITE VNQ @ 68 00	1/18/14							
Sold		12/13/13	300	126 99					
Acq		11/25/13	300	126 99	47 51 ☐	47 51	79 48	79 48	S
Total for 12/13/2013					47 51	47 51	79 48	79 48	
1NV996467	CALL WRITE CVS @ 70 00	1/18/14							
Sold		12/20/13	100	52 72					
Acq		12/02/13	100	52 72	132 74 ☐	132 74	-80 02	-80 02	S
Total for 12/20/2013					132 74	132 74	-80 02	-80 02	
1NV996905	CALL WRITE CVS @ 62 50	8/17/13							
Sold		08/06/13	100	74 91					
Acq		07/15/13	100	74 91	87 83 ☐	87 83	-12 92	-12 92	S
Total for 8/6/2013					87 83	87 83	-12 92	-12 92	
1UK994AK0	CALL WRITE NOV @ 82 50	11/16/13							
Sold		10/21/13	100	105 27					
Acq		09/30/13	100	105 27	190 73 ☐	190 73	-85 46	-85 46	S
Total for 10/21/2013					190 73	190 73	-85 46	-85 46	
1VF994710	CALL WRITE GILD @ 77 50	1/19/13							
Sold		01/22/13	200	178 18					
Acq		12/17/12	200	178 18	117 61 ☐	117 61	60 57	60 57	S
Total for 1/22/2013					117 61	117 61	60 57	60 57	
1X19954O1	CALL WRITE IJR @ 85 00	3/16/13							
Sold		03/14/13	400	256 65					
Acq		02/01/13	400	256 65	683 35 ☐	683 35	-426 70	-426 70	S
Total for 3/14/2013					683 35	683 35	-426 70	-426 70	
1X19954P8	CALL WRITE IJR @ 86 00	3/16/13							
Sold		03/15/13	400	200 66					
Acq		02/13/13	400	200 66	507 90 ☐	507 90	-307 24	-307 24	S
Total for 3/15/2013					507 90	507 90	-307 24	-307 24	
1X19957K6	CALL WRITE IJR @ 107 00	12/21/13							
Sold		12/12/13	400	316 65					
Acq		11/15/13	400	316 65	116 62 ☐	116 62	200 03	200 03	S
Total for 12/12/2013					116 62	116 62	200 03	200 03	
1YS9953C7	CALL WRITE DIS @ 65 00	7/20/13							
Sold		07/15/13	100	39 16					
Acq		07/08/13	100	39 16	180 84 ☐	180 84	-141 68	-141 68	S
Total for 7/15/2013					180 84	180 84	-141 68	-141 68	

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1ZF9965G5	CALL WRITE VNQ @ 71 00	5/18/13							
	Sold	04/10/13	400	176 66					
	Acq	03/21/13	400	176 66	947 22	947 22	-770 56	-770 56	S
	Total for 4/10/2013				947 22	947 22	-770 56	-770 56	
1ZF9965J9	CALL WRITE VNQ @ 74 00	5/18/13							
	Sold	05/02/13	300	105 53					
	Acq	04/10/13	300	105 53	422 50	422 50	-316 97	-316 97	S
	Total for 5/2/2013				422 50	422 50	-316 97	-316 97	
1ZF9965U4	CALL WRITE VNQ @ 67 00	12/21/13							
	Sold	12/13/13	500	120 81					
	Acq	12/06/13	500	120 81	54 19	54 19	66 62	66 62	S
	Total for 12/13/2013				54 19	54 19	66 62	66 62	
1ZF9965V2	CALL WRITE VNQ @ 68 00	12/21/13							
	Sold	12/02/13	100	69 16					
	Acq	11/12/13	100	69 16	25 84	25 84	43 32	43 32	S
	Total for 12/2/2013				25 84	25 84	43 32	43 32	
1ZF9965W0	CALL WRITE VNQ @ 69 00	12/21/13							
	Sold	11/25/13	600	229 97					
	Acq	11/13/13	600	229 97	95 02	95 02	134 95	134 95	S
	Total for 11/25/2013				95 02	95 02	134 95	134 95	
1ZF9965X8	CALL WRITE VNQ @ 70 00	12/21/13							
	Sold	10/28/13	300	207 49					
	Acq	10/08/13	300	207 49	617 51	617 51	-410 02	-410 02	S
	Total for 10/28/2013				617 51	617 51	-410 02	-410 02	
1ZF9965Z3	CALL WRITE VNQ @ 72 00	12/21/13							
	Sold	11/12/13	300	87 76					
	Acq	11/01/13	300	87 76	32 51	32 51	55 25	55 25	S
	Total for 11/12/2013				32 51	32 51	55 25	55 25	
1ZF996617	CALL WRITE VNQ @ 70 00	3/16/13							
	Sold	03/15/13	300	117 49					
	Acq	01/31/13	300	117 49	122 53	122 53	-5 04	-5 04	S
	Total for 3/15/2013				122 53	122 53	-5 04	-5 04	
1ZF9966A7	CALL WRITE VNQ @ 73 00	12/21/13							
	Sold	11/06/13	300	177 49					
	Acq	10/28/13	300	177 49	62 51	62 51	114 98	114 98	S
	Total for 11/6/2013				62 51	62 51	114 98	114 98	
22544R305	CREDIT SUISSE COMM RT ST-CO 2156								
	Sold	07/31/13	8793 97	63,668 34					
	Acq	03/20/13	8793 97	63,668 34	70,000 00	70,000 00	-6,331 66	-6,331 66	S
	Total for 7/31/2013				70,000 00	70,000 00	-6,331 66	-6,331 66	

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22H9914S1	CALL WRITE JPM @ 50 00	5/18/13							
Sold		05/06/13	100	55 17					
Acq		03/28/13	100	55 17	6 83 ☐	6 83	48 34	48 34	S
Total for 5/6/2013					6 83	6 83	48 34	48 34	
22J993901	CALL WRITE NOV @ 85 00	1/18/14							
Sold		12/11/13	100	104 16					
Acq		12/10/13	100	104 16	43 83 ☐	43 83	60 33	60 33	S
Total for 12/11/2013					43 83	43 83	60 33	60 33	
261980668	DREYFUS INTERNATL BOND FD CL I 6094								
Sold		07/10/13	244 648	4,000 00					
Acq		05/01/13	10 602	173 34	181 93 ☐	181 93	-8 59	-8 59	S
Total for 7/10/2013					181 93	181 93	-8 59	-8 59	
26X993963	CALL WRITE VWO @ 46 00	3/16/13							
Sold		02/22/13	200	58 32					
Acq		01/31/13	200	58 32	11 67 ☐	11 67	46 65	46 65	S
Total for 2/22/2013					11 67	11 67	46 65	46 65	
26X993963	CALL WRITE VWO @ 46 00	3/16/13							
Sold		02/22/13	1100	320 78					
Acq		01/31/13	1100	320 78	64 21 ☐	64 21	256 57	256 57	S
Total for 2/22/2013					64 21	64 21	256 57	256 57	
277923728	EATON VANCE GLOBAL MACRO - I								
Sold		08/21/13	12188 129	116,152 87					
Acq		05/31/11	43 626	415 76	437 92 ☐	444 87	-22 16	-29 11	L
Acq		06/30/11	43 465	414 22	433 69 ☐	440 61	-19 47	-26 39	L
Acq		07/29/11	45 061	429 43	449 61 ☐	456 80	-20 18	-27 37	L
Acq		08/31/11	45 438	433 02	451 12 ☐	458 35	-18 10	-25 33	L
Acq		09/30/11	45 309	431 79	437 61 ☐	444 81	-5 82	-13 02	L
Acq		10/31/11	46 448	442 65	453 71 ☐	461 11	-11 06	-18 46	L
Acq		01/08/11	43 66	416 08	437 82 ☐	444 78	-21 74	-28 70	L
Acq		04/29/11	18 907	180 18	190 37 ☐	193 37	-10 19	-13 19	L
Acq		04/28/11	8415 527	80,199 97	84,643 68 ☐	85,984 25	-4,443 71	-5,784 28	L
Acq		03/31/11	19 588	186 67	196 04 ☐	199 16	-9 37	-12 49	L
Acq		02/28/11	19 694	187 68	197 68 ☐	200 82	-10 00	-13 14	L
Acq		01/31/11	21 713	206 92	217 96 ☐	221 42	-11 04	-14 50	L
Acq		12/22/10	2618 486	24,954 17	26,415 39 ☐	26,832 50	-1,461 22	-1,878 33	L
Acq		01/31/12	39 934	380 57	392 58 ☐	398 94	-12 01	-18 37	L
Acq		02/29/12	37 402	356 44	368 42 ☐	374 39	-11 98	-17 95	L
Acq		03/30/12	40 306	384 12	395 03 ☐	401 45	-10 91	-17 33	L
Acq		04/30/12	39 205	373 62	383 45 ☐	389 70	-9 83	-16 08	L
Acq		05/31/12	41 434	394 87	397 38 ☐	403 98	-2 51	-9 11	L
Acq		06/29/12	40 082	381 98	386 01 ☐	392 40	-4 03	-10 42	L
Acq		07/31/12	41 456	395 08	400 08 ☐	406 68	-5 00	-11 60	L
Acq		08/31/12	41 445	394 97	401 63 ☐	408 23	-6 66	-13 26	S

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277923728	EATON VANCE GLOBAL MACRO - I								
Sold		08/21/13	12188 129	116,152 87					
Acq		09/28/12	39 776	379 07	389 85 ☐	396 17	-10 78	-17 10	S
Acq		10/31/12	41 532	395 80	404 12 ☐	410 75	-8 32	-14 95	S
Acq		11/26/10	40 792	388 75	409 89 ☐	416 38	-21 14	-27 63	L
Acq		01/09/11	36 316	346 09	366 38 ☐	372 15	-20 29	-26 06	L
Acq		12/27/10	4 587	43 71	46 31 ☐	47 05	-2 60	-3 34	L
Acq		01/31/13	39 598	377 37	387 87 ☐	392 42	-10 50	-15 05	S
Acq		02/28/13	35 997	343 05	351 50 ☐	355 65	-8 45	-12 60	S
Acq		03/27/13	40 036	381 54	392 80 ☐	395 56	-11 26	-14 02	S
Acq		04/29/13	38 95	371 19	380 96 ☐	383 66	-9 77	-12 47	S
Acq		05/30/13	40 588	386 80	396 32 ☐	398 17	-9 52	-11 37	S
Acq		06/27/13	40 061	381 78	385 25 ☐	386 19	-3 47	-4 41	S
Acq		07/30/13	41 71	397 50	400 83 ☐	400 83	-3 33	-3 33	S
Total for 8/21/2013					122,399 26	124,313 60	-6,246 39	-8,160 73	
27N995C89	CALL WRITE UPS @ 87 50	8/17/13							
Sold		08/14/13	100	79 16					
Acq		07/17/13	100	79 16	32 84 ☐	32 84	46 32	46 32	S
Total for 8/14/2013					32 84	32 84	46 32	46 32	
27N995CA4	CALL WRITE UPS @ 92 50	8/17/13							
Sold		07/15/13	100	112 16					
Acq		07/10/13	100	112 16	15 84 ☐	15 84	96 32	96 32	S
Total for 7/15/2013					15 84	15 84	96 32	96 32	
2HT9948C0	CALL WRITE AFL @ 50 00	3/16/13							
Sold		03/06/13	100	48 36					
Acq		02/14/13	100	48 36	139 83 ☐	139 83	-91 47	-91 47	S
Total for 3/6/2013					139 83	139 83	-91 47	-91 47	
2HT9948D8	CALL WRITE AFL @ 52 50	3/16/13							
Sold		02/14/13	100	57 58					
Acq		02/07/13	100	57 58	11 82 ☐	11 82	45 76	45 76	S
Total for 2/14/2013					11 82	11 82	45 76	45 76	
2HT994962	CALL WRITE AFL @ 55 00	2/16/13							
Sold		02/07/13	100	41 16					
Acq		01/10/13	100	41 16	4 83 ☐	4 83	36 33	36 33	S
Total for 2/7/2013					4 83	4 83	36 33	36 33	
2HT994A52	CALL WRITE AFL @ 52 50	4/20/13							
Sold		03/20/13	100	74 75					
Acq		03/06/13	100	74 75	19 83 ☐	19 83	54 92	54 92	S
Total for 3/20/2013					19 83	19 83	54 92	54 92	

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2HT994C27	CALL WRITE AFL @ 62 50	10/19/13							
	Sold	09/09/13	100	63 16					
	Acq	08/27/13	100	63 16	29 24	29 24	33 92	33 92	S
	Total for 9/9/2013				29 24	29 24	33 92	33 92	
2HT994C27	CALL WRITE AFL @ 62 50	10/19/13							
	Sold	10/15/13	100	47 16					
	Acq	09/11/13	100	47 16	222 83	222 83	-175 67	-175 67	S
	Total for 10/15/2013				222 83	222 83	-175 67	-175 67	
2HT994DM2	CALL WRITE AFL @ 67 50	12/21/13							
	Sold	12/11/13	100	51 16					
	Acq	10/31/13	100	51 16	21 83	21 83	29 33	29 33	S
	Total for 12/11/2013				21 83	21 83	29 33	29 33	
2MY993702	CALL WRITE EMC @ 27 00	1/19/13							
	Sold	01/04/13	100	27 36					
	Acq	12/19/12	100	27 36	3 83	3 83	23 53	23 53	S
	Total for 1/4/2013				3 83	3 83	23 53	23 53	
2NS9970T2	CALL WRITE IJH @ 103 00	1/19/13							
	Sold	01/10/13	900	534 30					
	Acq	12/28/12	900	534 30	2,338 54	2,338 54	-1,804 24	-1,804 24	S
	Total for 1/10/2013				2,338 54	2,338 54	-1,804 24	-1,804 24	
2NS9972K9	CALL WRITE IJH @ 124 00	9/21/13							
	Sold	09/03/13	400	296 64					
	Acq	08/19/13	400	296 64	123 36	123 36	173 28	173 28	S
	Total for 9/3/2013				123 36	123 36	173 28	173 28	
2NS9972N3	CALL WRITE IJH @ 127 00	9/21/13							
	Sold	08/19/13	500	497 12					
	Acq	07/29/13	500	497 12	154 20	154 20	342 92	342 92	S
	Total for 8/19/2013				154 20	154 20	342 92	342 92	
2NS9972Y9	CALL WRITE IJH @ 129 00	11/16/13							
	Sold	11/15/13	400	356 65					
	Acq	10/18/13	400	356 65	703 21	703 21	-346 56	-346 56	S
	Total for 11/15/2013				703 21	703 21	-346 56	-346 56	
2NS9973J1	CALL WRITE IJH @ 123 00	10/19/13							
	Sold	10/18/13	400	436 64					
	Acq	09/03/13	400	436 64	1,483 34	1,483 34	-1,046 70	-1,046 70	S
	Total for 10/18/2013				1,483 34	1,483 34	-1,046 70	-1,046 70	
2TU991952	CALL WRITE SLB @ 72 50	1/19/13							
	Sold	01/18/13	100	88 36					
	Acq	12/17/12	100	88 36	146 83	146 83	-58 47	-58 47	S
	Total for 1/18/2013				146 83	146 83	-58 47	-58 47	

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2WS9948E4	CALL WRITE EFA @ 67 00	12/21/13							
Sold	12/04/13	500	187 89						
Acq	11/13/13	500	187 89	79 17	79 17	108 72	108 72	S	
Total for 12/4/2013				79 17	79 17	108 72	108 72		
2WS9948F1	CALL WRITE EFA @ 68 00	12/21/13							
Sold	11/12/13	400	192 67						
Acq	10/21/13	400	192 67	87 33	87 33	105 34	105 34	S	
Total for 11/12/2013				87 33	87 33	105 34	105 34		
2WS994B42	CALL WRITE EFA @ 67 00	1/18/14							
Sold	12/12/13	500	185 82						
Acq	12/04/13	500	185 82	97 03	97 03	88 79	88 79	S	
Total for 12/12/2013				97 03	97 03	88 79	88 79		
2WS994EV9	CALL WRITE EFA @ 60 00	4/20/13							
Sold	04/02/13	600	218 48						
Acq	03/27/13	600	218 48	95 02	95 02	123 46	123 46	S	
Total for 4/2/2013				95 02	95 02	123 46	123 46		
2WS994EW7	CALL WRITE EFA @ 61 00	4/20/13							
Sold	03/26/13	300	104 94						
Acq	02/20/13	300	104 94	38 51	38 51	66 43	66 43	S	
Total for 3/26/2013				38 51	38 51	66 43	66 43		
2WS994KR1	CALL WRITE EFA @ 61 00	7/20/13							
Sold	06/26/13	700	323 14						
Acq	06/13/13	700	323 14	40 86	40 86	282 28	282 28	S	
Total for 6/26/2013				40 86	40 86	282 28	282 28		
2WS994KT7	CALL WRITE EFA @ 63 00	7/20/13							
Sold	06/06/13	600	355 03						
Acq	05/24/13	600	355 03	89 50	89 50	265 53	265 53	S	
Total for 6/6/2013				89 50	89 50	265 53	265 53		
2WS994KV2	CALL WRITE EFA @ 65 00	7/20/13							
Sold	05/24/13	600	301 50						
Acq	05/09/13	600	301 50	120 81	120 81	180 69	180 69	S	
Total for 5/24/2013				120 81	120 81	180 69	180 69		
2WS994RB9	CALL WRITE EFA @ 65 00	10/25/13							
Sold	10/21/13	400	116 66						
Acq	10/08/13	400	116 66	463 33	463 33	-346 67	-346 67	S	
Total for 10/21/2013				463 33	463 33	-346 67	-346 67		
2YK9902H5	CALL WRITE BA @ 77 50	3/16/13							
Sold	03/08/13	100	75 23						
Acq	02/05/13	100	75 23	395 83	395 83	-320 60	-320 60	S	
Total for 3/8/2013				395 83	395 83	-320 60	-320 60		

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2YK9906P3	CALL WRITE BA @ 120 00	11/16/13							
	Sold	10/21/13	100	166 26					
	Acq	10/10/13	100	166 26	440 84	440 84	-274 58	-274 58	S
	Total for 10/21/2013				440 84	440 84	-274 58	-274 58	
2YK9909K1	CALL WRITE BA @ 105 00	6/22/13							
	Sold	06/06/13	100	88 75					
	Acq	05/29/13	100	88 75	31 83	31 83	56 92	56 92	S
	Total for 6/6/2013				31 83	31 83	56 92	56 92	
2YK9909P0	CALL WRITE BA @ 97 50	6/22/13							
	Sold	05/29/13	100	111 17					
	Acq	05/07/13	100	111 17	490 83	490 83	-379 66	-379 66	S
	Total for 5/29/2013				490 83	490 83	-379 66	-379 66	
2YK990B10	CALL WRITE BA @ 105 00	7/20/13							
	Sold	07/19/13	100	95 16					
	Acq	06/06/13	100	95 16	257 50	257 50	-162 34	-162 34	S
	Total for 7/19/2013				257 50	257 50	-162 34	-162 34	
2YK990CD3	CALL WRITE BA @ 110 00	9/21/13							
	Sold	08/22/13	100	149 65					
	Acq	07/31/13	100	149 65	52 84	52 84	96 81	96 81	S
	Total for 8/22/2013				52 84	52 84	96 81	96 81	
2YK990HJ5	CALL WRITE BA @ 110 00	10/19/13							
	Sold	09/17/13	100	129 16					
	Acq	08/22/13	100	129 16	670 84	670 84	-541 68	-541 68	S
	Total for 9/17/2013				670 84	670 84	-541 68	-541 68	
2YK990HQ9	CALL WRITE BA @ 120 00	10/19/13							
	Sold	10/10/13	100	96 27					
	Acq	09/17/13	100	96 27	30 84	30 84	65 43	65 43	S
	Total for 10/10/2013				30 84	30 84	65 43	65 43	
2YK990W41	CALL WRITE BA @ 139 00	11/22/13							
	Sold	11/19/13	100	46 16					
	Acq	11/18/13	100	46 16	250 84	250 84	-204 68	-204 68	S
	Total for 11/19/2013				250 84	250 84	-204 68	-204 68	
2YK990ZZ9	CALL WRITE BA @ 139 00	1/03/14							
	Sold	12/31/13	100	89 16					
	Acq	12/06/13	100	89 16	19 84	19 84	69 32	69 32	S
	Total for 12/31/2013				19 84	19 84	69 32	69 32	
2YR99V249	CALL WRITE EFA @ 57 00	1/19/13							
	Sold	01/18/13	500	211 83					
	Acq	12/17/12	500	211 83	654 19	654 19	-442 36	-442 36	S
	Total for 1/18/2013				654 19	654 19	-442 36	-442 36	

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2YX9951F5	CALL WRITE TJX @ 47 50	5/18/13							
Sold		04/12/13	100	34 17					
Acq		03/21/13	100	34 17	180 83 ☐	180 83	-146 66	-146 66	S
Total for 4/12/2013					180 83	180 83	-146 66	-146 66	
2YX9951G3	CALL WRITE TJX @ 50 00	5/18/13							
Sold		04/23/13	100	54 16					
Acq		04/12/13	100	54 16	11 93 ☐	11 93	42 23	42 23	S
Total for 4/23/2013					11 93	11 93	42 23	42 23	
2YX9951K4	CALL WRITE TJX @ 57 50	10/19/13							
Sold		10/09/13	100	44 16					
Acq		09/27/13	100	44 16	10 70 ☐	10 70	33 46	33 46	S
Total for 10/9/2013					10 70	10 70	33 46	33 46	
2YX9951U2	CALL WRITE TJX @ 50 00	6/22/13							
Sold		05/09/13	100	39 16					
Acq		04/23/13	100	39 16	155 83 ☐	155 83	-116 67	-116 67	S
Total for 5/9/2013					155 83	155 83	-116 67	-116 67	
2YX9953M8	CALL WRITE TJX @ 52 50	7/20/13							
Sold		07/22/13	100	64 17					
Acq		05/09/13	100	64 17	5 84 ☐	5 84	58 33	58 33	S
Total for 7/22/2013					5 84	5 84	58 33	58 33	
2ZH991136	CALL WRITE BHP @ 70 00	1/18/14							
Sold		12/13/13	100	71 16					
Acq		12/10/13	100	71 16	23 35 ☐	23 35	47 81	47 81	S
Total for 12/13/2013					23 35	23 35	47 81	47 81	
2ZH991144	CALL WRITE BHP @ 75 00	1/18/14							
Sold		11/27/13	100	74 60					
Acq		11/13/13	100	74 60	27 84 ☐	27 84	46 76	46 76	S
Total for 11/27/2013					27 84	27 84	46 76	46 76	
32M9950D2	CALL WRITE COF @ 55 00	4/20/13							
Sold		04/22/13	200	208 32					
Acq		02/21/13	200	208 32	261 67 ☐	261 67	-53 35	-53 35	S
Total for 4/22/2013					261 67	261 67	-53 35	-53 35	
32M9952X6	CALL WRITE COF @ 57 50	5/18/13							
Sold		05/09/13	200	144 35					
Acq		04/22/13	200	144 35	417 97 ☐	417 97	-273 62	-273 62	S
Total for 5/9/2013					417 97	417 97	-273 62	-273 62	
32M9956K0	CALL WRITE COF @ 67 50	7/20/13							
Sold		07/16/13	100	66 02					
Acq		07/09/13	100	66 02	110 84 ☐	110 84	-44 82	-44 82	S
Total for 7/16/2013					110 84	110 84	-44 82	-44 82	

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32M9958A0	CALL WRITE COF @ 72 50	9/21/13							
	Sold	08/16/13	100	78 19					
	Acq	07/24/13	100	78 19	30 84	30 84	47 35	47 35	S
	Total for 8/16/2013				30 84	30 84	47 35	47 35	
32M9958C6	CALL WRITE COF @ 72 50	12/21/13							
	Sold	11/20/13	100	52 61					
	Acq	11/01/13	100	52 61	28 83	28 83	23 78	23 78	S
	Total for 11/20/2013				28 83	28 83	23 78	23 78	
32M9958F9	CALL WRITE COF @ 72 50	1/18/14							
	Sold	12/23/13	200	190 77					
	Acq	11/20/13	200	190 77	581 66	581 66	-390 89	-390 89	S
	Total for 12/23/2013				581 66	581 66	-390 89	-390 89	
32M995CD9	CALL WRITE COF @ 70 00	10/19/13							
	Sold	10/17/13	200	188 32					
	Acq	08/22/13	200	188 32	621 66	621 66	-433 34	-433 34	S
	Total for 10/17/2013				621 66	621 66	-433 34	-433 34	
33N994950	CALL WRITE COF @ 57 50	3/16/13							
	Sold	02/21/13	200	164 41					
	Acq	01/30/13	200	164 41	23 67	23 67	140 74	140 74	S
	Total for 2/21/2013				23 67	23 67	140 74	140 74	
33Z9934M7	CALL WRITE BHP @ 65 00	8/17/13							
	Sold	08/08/13	100	85 16					
	Acq	07/17/13	100	85 16	42 84	42 84	42 32	42 32	S
	Total for 8/8/2013				42 84	42 84	42 32	42 32	
33Z9935B0	CALL WRITE BHP @ 60 00	8/17/13							
	Sold	07/17/13	100	99 16					
	Acq	07/05/13	100	99 16	320 84	320 84	-221 68	-221 68	S
	Total for 7/17/2013				320 84	320 84	-221 68	-221 68	
33Z9938G6	CALL WRITE BHP @ 80 00	3/16/13							
	Sold	02/22/13	100	87 16					
	Acq	01/25/13	100	87 16	13 83	13 83	73 33	73 33	S
	Total for 2/22/2013				13 83	13 83	73 33	73 33	
33Z9938L5	CALL WRITE BHP @ 72 50	4/20/13							
	Sold	03/28/13	100	72 56					
	Acq	03/20/13	100	72 56	34 81	34 81	37 75	37 75	S
	Total for 3/28/2013				34 81	34 81	37 75	37 75	
33Z9938M3	CALL WRITE BHP @ 75 00	4/20/13							
	Sold	03/20/13	100	96 17					
	Acq	03/14/13	100	96 17	33 83	33 83	62 34	62 34	S
	Total for 3/20/2013				33 83	33 83	62 34	62 34	

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33Z993AA6	CALL WRITE BHP @ 65 00	9/21/13							
Sold	08/13/13	100	120 16						
Acq	08/08/13	100	120 16	380 84	380 84	-260 68	-260 68	S	
Total for 8/13/2013				380 84	380 84	-260 68	-260 68		
33Z993AJ7	CALL WRITE BHP @ 67 50	10/19/13							
Sold	10/18/13	100	76 16						
Acq	08/27/13	100	76 16	155 08	155 08	-78 92	-78 92	S	
Total for 10/18/2013				155 08	155 08	-78 92	-78 92		
33Z993BW7	CALL WRITE BHP @ 75 00	12/21/13							
Sold	11/13/13	100	95 16						
Acq	10/24/13	100	95 16	40 84	40 84	54 32	54 32	S	
Total for 11/13/2013				40 84	40 84	54 32	54 32		
34J992BR6	CALL WRITE UNH @ 55 00	4/20/13							
Sold	04/01/13	100	92 17						
Acq	02/27/13	100	92 17	255 83	255 83	-163 66	-163 66	S	
Total for 4/1/2013				255 83	255 83	-163 66	-163 66		
35S9919I8	CALL WRITE CME @ 75 00	9/21/13							
Sold	08/29/13	100	89 16						
Acq	08/05/13	100	89 16	44 25	44 25	44 91	44 91	S	
Total for 8/29/2013				44 25	44 25	44 91	44 91		
35S9919V9	CALL WRITE CME @ 75 00	10/19/13							
Sold	10/10/13	100	89 16						
Acq	08/29/13	100	89 16	35 64	35 64	53 52	53 52	S	
Total for 10/10/2013				35 64	35 64	53 52	53 52		
35S991A96	CALL WRITE CME @ 77 50	11/16/13							
Sold	11/05/13	100	69 16						
Acq	10/10/13	100	69 16	20 61	20 61	48 55	48 55	S	
Total for 11/5/2013				20 61	20 61	48 55	48 55		
35X9947L1	CALL WRITE INTC @ 22 00	3/16/13							
Sold	02/21/13	300	63 49						
Acq	01/25/13	300	63 49	17 50	17 50	45 99	45 99	S	
Total for 2/21/2013				17 50	17 50	45 99	45 99		
367829884	GATEWAY FUND - Y 1986								
Sold	08/15/13	2712 158	76,374 37						
Acq	04/28/11	576 359	16,230 27	15,544 40	15,544 40	685 87	685 87	L	
Acq	06/02/10	397 903	11,204 95	9,868 00	9,868 00	1,336 95	1,336 95	L	
Acq	01/14/10	550 584	15,504 45	14,028 89	14,028 89	1,475 56	1,475 56	L	
Acq	06/24/10	5 303	149 33	130 02	130 02	19 31	19 31	L	
Acq	01/14/10	1177 394	33,155 42	30,000 00	30,000 00	3,155 42	3,155 42	L	
Acq	03/25/10	4 615	129 96	117 50	117 50	12 46	12 46	L	
Total for 8/15/2013				69,688 81	69,688 81	6,685 56	6,685 56		

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36P996147	CALL WRITE ORCL @ 36 00	3/16/13							
Sold		03/04/13	200	106 32					
Acq		01/15/13	200	106 32	17 67 ☐	17 67	88 65	88 65	S
Total for 3/4/2013					17 67	17 67	88 65	88 65	
37J994790	CALL WRITE DIS @ 57 50	4/20/13							
Sold		04/10/13	100	40 71					
Acq		04/02/13	100	40 71	170 83 ☐	170 83	-130 12	-130 12	S
Total for 4/10/2013					170 83	170 83	-130 12	-130 12	
37J9949U1	CALL WRITE DIS @ 70 00	6/22/13							
Sold		05/22/13	100	58 17					
Acq		05/13/13	100	58 17	20 90 ☐	20 90	37 27	37 27	S
Total for 5/22/2013					20 90	20 90	37 27	37 27	
38143UCL3	MLN GOLDMAN SACHS GROUP INC 5/07/13								
Sold		05/07/13	200000	193,924 79					
Acq		04/30/08	150000	145,443 59	150,000 00 ☐	150,000 00	-4,556 41	-4,556 41	L
Acq		04/30/08	50000	48,481 20	50,000 00 ☐	50,000 00	-1,518 80	-1,518 80	L
Total for 5/7/2013					200,000 00	200,000 00	-6,075 21	-6,075 21	
3HH996415	CALL WRITE MSFT @ 28 00	2/16/13							
Sold		02/19/13	100	22 16					
Acq		01/15/13	100	22 16	1 83 ☐	1 83	20 33	20 33	S
Total for 2/19/2013					1 83	1 83	20 33	20 33	
3HH996647	CALL WRITE MSFT @ 36 00	7/20/13							
Sold		06/24/13	100	62 17					
Acq		05/21/13	100	62 17	12 83 ☐	12 83	49 34	49 34	S
Total for 6/24/2013					12 83	12 83	49 34	49 34	
3HH996A42	CALL WRITE MSFT @ 34 00	6/22/13							
Sold		05/21/13	100	25 56					
Acq		04/29/13	100	25 56	125 10 ☐	125 10	-99 54	-99 54	S
Total for 5/21/2013					125 10	125 10	-99 54	-99 54	
3HH996G46	CALL WRITE MSFT @ 34 00	11/16/13							
Sold		10/21/13	200	98 33					
Acq		09/26/13	200	98 33	289 66 ☐	289 66	-191 33	-191 33	S
Total for 10/21/2013					289 66	289 66	-191 33	-191 33	
3NB9963I2	CALL WRITE VXUS @ 53 00	1/18/14							
Sold		12/16/13	900	307 48					
Acq		11/20/13	900	307 48	97 51 ☐	97 51	209 97	209 97	S
Total for 12/16/2013					97 51	97 51	209 97	209 97	
3QW990650	CALL WRITE EMC @ 25 00	1/18/14							
Sold		12/04/13	100	31 17					
Acq		11/05/13	100	31 17	15 84 ☐	15 84	15 33	15 33	S
Total for 12/4/2013					15 84	15 84	15 33	15 33	

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3QW990650	CALL WRITE EMC @ 25 00	1/18/14							
	Sold	12/10/13	100	31 16					
	Acq	11/05/13	100	31 16	15 84 ☐	15 84	15 32	15 32	S
Total for 12/10/2013					15 84	15 84	15 32	15 32	
3T8994612	CALL WRITE VWO @ 44 00	1/19/13							
	Sold	01/18/13	900	354 32					
	Acq	12/06/12	900	354 32	1,132 53 ☐	1,132 53	-778 21	-778 21	S
Total for 1/18/2013					1,132 53	1,132 53	-778 21	-778 21	
3WF996059	CALL WRITE MSFT @ 29 00	4/20/13							
	Sold	04/11/13	100	26 05					
	Acq	03/11/13	100	26 05	142 83 ☐	142 83	-116 78	-116 78	S
Total for 4/11/2013					142 83	142 83	-116 78	-116 78	
3WF996E88	CALL WRITE MSFT @ 37 00	12/21/13							
	Sold	11/07/13	300	117 49					
	Acq	10/31/13	300	117 49	383 20 ☐	383 20	-265 71	-265 71	S
Total for 11/7/2013					383 20	383 20	-265 71	-265 71	
3WF996E96	CALL WRITE MSFT @ 38 00	12/21/13							
	Sold	12/17/13	300	153 49					
	Acq	11/14/13	300	153 49	62 50 ☐	62 50	90 99	90 99	S
Total for 12/17/2013					62 50	62 50	90 99	90 99	
3WF996EA3	CALL WRITE MSFT @ 39 00	12/21/13							
	Sold	11/14/13	300	132 49					
	Acq	11/07/13	300	132 49	87 53 ☐	87 53	44 96	44 96	S
Total for 11/14/2013					87 53	87 53	44 96	44 96	
3WW9944E2	CALL WRITE USB @ 39 00	1/18/14							
	Sold	12/10/13	100	28 16					
	Acq	11/13/13	100	28 16	118 83 ☐	118 83	-90 67	-90 67	S
Total for 12/10/2013					118 83	118 83	-90 67	-90 67	
3WW994592	CALL WRITE USB @ 34 00	3/16/13							
	Sold	03/06/13	100	26 16					
	Acq	01/29/13	100	26 16	75 83 ☐	75 83	-49 67	-49 67	S
Total for 3/6/2013					75 83	75 83	-49 67	-49 67	
3XS99N668	CALL WRITE UTX @ 85 00	1/19/13							
	Sold	01/17/13	100	87 37					
	Acq	12/20/12	100	87 37	99 28 ☐	99 28	-11 91	-11 91	S
Total for 1/17/2013					99 28	99 28	-11 91	-11 91	
3YC9941X1	CALL WRITE JPM @ 50 00	4/20/13							
	Sold	03/28/13	100	52 69					
	Acq	03/22/13	100	52 69	23 81 ☐	23 81	28 88	28 88	S
Total for 3/28/2013					23 81	23 81	28 88	28 88	

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3YC9947G2	CALL WRITE JPM @ 57 50	7/20/13							
Sold	06/26/13	100	69 40						
Acq	05/23/13	100	69 40	10 84	10 84	58 56	58 56	S	
Total for 6/26/2013				10 84	10 84	58 56	58 56		
3YC994E90	CALL WRITE JPM @ 55 00	8/17/13							
Sold	07/12/13	100	55 16						
Acq	06/26/13	100	55 16	154 12	154 12	-98 96	-98 96	S	
Total for 7/12/2013				154 12	154 12	-98 96	-98 96		
3YC994VM2	CALL WRITE JPM @ 54 00	11/29/13							
Sold	11/08/13	100	37 16						
Acq	11/01/13	100	37 16	20 84	20 84	16 32	16 32	S	
Total for 11/8/2013				20 84	20 84	16 32	16 32		
3ZP9947P5	CALL WRITE BRK B @ 120 00	12/21/13							
Sold	11/06/13	100	111 16						
Acq	10/31/13	100	111 16	40 84	40 84	70 32	70 32	S	
Total for 11/6/2013				40 84	40 84	70 32	70 32		
3ZQ9953Z3	CALL WRITE EFA @ 59 00	2/16/13							
Sold	02/20/13	300	69 49						
Acq	01/24/13	300	69 49	00	00	69 49	69 49	S *	
Total for 2/20/2013				0 00	0 00	69 49	69 49		
3ZQ9959C8	CALL WRITE EFA @ 61 00	5/18/13							
Sold	05/16/13	200	112 33						
Acq	03/21/13	200	112 33	399 67	399 67	-287 34	-287 34	S	
Total for 5/16/2013				399 67	399 67	-287 34	-287 34		
3ZQ995E65	CALL WRITE EFA @ 59 00	8/17/13							
Sold	07/19/13	100	55 65						
Acq	06/26/13	100	55 65	198 83	198 83	-143 18	-143 18	S	
Total for 7/19/2013				198 83	198 83	-143 18	-143 18		
3ZQ995E65	CALL WRITE EFA @ 59 00	8/17/13							
Sold	08/15/13	200	111 29						
Acq	06/26/13	200	111 29	611 67	611 67	-500 38	-500 38	S	
Total for 8/15/2013				611 67	611 67	-500 38	-500 38		
3ZQ995E65	CALL WRITE EFA @ 59 00	8/17/13							
Sold	08/16/13	200	111 29						
Acq	06/26/13	200	111 29	431 67	431 67	-320 38	-320 38	S	
Total for 8/16/2013				431 67	431 67	-320 38	-320 38		
3ZQ995E99	CALL WRITE EFA @ 62 00	8/17/13							
Sold	06/26/13	100	62 16						
Acq	06/04/13	100	62 16	12 01	12 01	50 15	50 15	S	
Total for 6/26/2013				12 01	12 01	50 15	50 15		

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3ZQ995JR4	CALL WRITE EFA @ 62 00	10/19/13							
Sold	09/20/13	400	256 74						
Acq	08/29/13	400	256 74	1,303 33	1,303 33	-1,046 59	-1,046 59	S	
Total for 9/20/2013				1,303 33	1,303 33	-1,046 59	-1,046 59		
3ZQ995JS2	CALL WRITE EFA @ 63 00	10/19/13							
Sold	08/29/13	300	241 08						
Acq	08/16/13	300	241 08	109 17	109 17	131 91	131 91	S	
Total for 8/29/2013				109 17	109 17	131 91	131 91		
3ZQ995JT0	CALL WRITE EFA @ 64 00	10/19/13							
Sold	08/22/13	200	122 82						
Acq	08/15/13	200	122 82	57 67	57 67	65 15	65 15	S	
Total for 8/22/2013				57 67	57 67	65 15	65 15		
3ZQ995JU7	CALL WRITE EFA @ 65 00	10/19/13							
Sold	10/09/13	500	170 82						
Acq	10/03/13	500	170 82	74 18	74 18	96 64	96 64	S	
Total for 10/9/2013				74 18	74 18	96 64	96 64		
3ZQ995JV5	CALL WRITE EFA @ 66 00	10/19/13							
Sold	10/03/13	400	128 67						
Acq	09/23/13	400	128 67	55 14	55 14	73 53	73 53	S	
Total for 10/3/2013				55 14	55 14	73 53	73 53		
3ZQ995OU1	CALL WRITE EFA @ 67 00	11/29/13							
Sold	11/08/13	500	225 82						
Acq	11/01/13	500	225 82	104 18	104 18	121 64	121 64	S	
Total for 11/8/2013				104 18	104 18	121 64	121 64		
3ZQ995PY2	CALL WRITE EFA @ 66 00	12/06/13							
Sold	12/05/13	200	68 35						
Acq	11/14/13	200	68 35	9 66	9 66	58 69	58 69	S	
Total for 12/5/2013				9 66	9 66	58 69	58 69		
3ZQ995PY2	CALL WRITE EFA @ 66 00	12/06/13							
Sold	12/10/13	300	102 53						
Acq	11/14/13	300	102 53	00	00	102 53	102 53	S *	
Total for 12/10/2013				0 00	0 00	102 53	102 53		
3ZQ995PZ9	CALL WRITE EFA @ 67.00	12/06/13							
Sold	11/14/13	500	140 82						
Acq	11/08/13	500	140 82	79 18	79 18	61 64	61 64	S	
Total for 11/14/2013				79 18	79 18	61 64	61 64		
43P995301	CALL WRITE SLB @ 77 50	3/16/13							
Sold	01/25/13	100	89 09						
Acq	01/18/13	100	89 09	239 83	239 83	-150 74	-150 74	S	
Total for 1/25/2013				239 83	239 83	-150 74	-150 74		

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43P995319	CALL WRITE SLB @ 80 00	3/16/13							
Sold	03/05/13	100	118 69						
Acq	01/25/13	100	118 69	23 83	23 83	94 86	94 86	S	
Total for 3/5/2013				23 83	23 83	94 86	94 86		
43P995442	CALL WRITE SLB @ 80 00	4/20/13							
Sold	03/20/13	100	112 16						
Acq	03/12/13	100	112 16	25 83	25 83	86 33	86 33	S	
Total for 3/20/2013				25 83	25 83	86 33	86 33		
43P995566	CALL WRITE SLB @ 77 50	5/18/13							
Sold	04/23/13	100	120 27						
Acq	03/21/13	100	120 27	10 84	10 84	109 43	109 43	S	
Total for 4/23/2013				10 84	10 84	109 43	109 43		
44N993777	CALL WRITE INTC @ 21 00	1/19/13							
Sold	01/16/13	400	107 01						
Acq	12/03/12	400	107 01	444 02	444 02	-337 01	-337 01	S	
Total for 1/16/2013				444 02	444 02	-337 01	-337 01		
44T995115	CALL WRITE CSCO @ 25 00	7/20/13							
Sold	07/17/13	500	175 81						
Acq	05/17/13	500	175 81	429 19	429 19	-253 38	-253 38	S	
Total for 7/17/2013				429 19	429 19	-253 38	-253 38		
44T995982	CALL WRITE CSCO @ 21 00	2/16/13							
Sold	02/13/13	100	38 38						
Acq	12/11/12	100	38 38	52 83	52 83	-14 45	-14 45	S	
Total for 2/13/2013				52 83	52 83	-14 45	-14 45		
44T9959A7	CALL WRITE CSCO @ 25 00	10/19/13							
Sold	09/25/13	500	120 80						
Acq	08/28/13	500	120 80	54 18	54 18	66 62	66 62	S	
Total for 9/25/2013				54 18	54 18	66 62	66 62		
44Z99T942	CALL WRITE BHP @ 80 00	1/19/13							
Sold	01/23/13	100	69 43						
Acq	12/18/12	100	69 43	00	00	69 43	69 43	S *	
Total for 1/23/2013				0 00	0 00	69 43	69 43		
458140100	INTEL CORP								
Sold	06/17/13	225	5,610 68						
Acq	08/12/02	100	2,493 64	1,737 90	1,737 90	755 74	755 74	L	
Acq	01/14/10	25	623 41	529 00	529 00	94 41	94 41	L	
Acq	01/14/10	10	249 36	211 60	211 60	37 76	37 76	L	
Acq	08/12/02	25	623 41	434 48	434 48	188 93	188 93	L	
Acq	08/12/02	65	1,620 86	1,129 64	1,129 64	491 22	491 22	L	
Total for 6/17/2013				4,042 62	4,042 62	1,568 06	1,568 06		

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458140100	INTEL CORP								
Sold		06/19/13	200	5,095 93					
Acq		08/12/02	75	1,910 97	1,303 42 ☐	1,303 42	607 55	607 55	L
Acq		08/12/02	25	636 99	434 47 ☐	434 47	202 52	202 52	L
Acq		08/12/02	100	2,547 97	1,737 90 ☐	1,737 90	810 07	810 07	L
Total for 6/19/2013					3,475 79	3,475 79	1,620 14	1,620 14	
464287465	ISHARES MSCI EAFE								
Sold		12/09/13	1000	65,253 86					
Acq		11/24/08	200	13,050 77	8,263 86 ☐	8,263 86	4,786 91	4,786 91	L
Acq		11/24/08	795	51,876 82	32,727 84 ☐	32,727 84	19,148 98	19,148 98	L
Acq		11/24/08	5	326 27	205 84 ☐	205 84	120 43	120 43	L
Total for 12/9/2013					41,197 54	41,197 54	24,056 32	24,056 32	
464287507	ISHARES TR S & P MIDCAP 400 ID FD								
Sold		12/09/13	380	49,824 77					
Acq		07/10/13	180	23,601 21	21,548 07 ☐	21,548 07	2,053 14	2,053 14	S
Acq		01/14/10	200	26,223 56	14,957 64 ☐	14,957 64	11,265 92	11,265 92	L
Total for 12/9/2013					36,505 71	36,505 71	13,319 06	13,319 06	
464287804	ISHARES TR SMALLCAP 600 INDEX FD								
Sold		12/09/13	300	32,006 44					
Acq		01/14/10	100	10,668 81	5,620 95 ☐	5,620 95	5,047 86	5,047 86	L
Acq		01/14/10	165	17,603 54	9,274 57 ☐	9,274 57	8,328 97	8,328 97	L
Acq		01/14/10	35	3,734 08	1,967 33 ☐	1,967 33	1,766 75	1,766 75	L
Total for 12/9/2013					16,862 85	16,862 85	15,143 59	15,143 59	
47N9962Q6	CALL WRITE CSCO @ 25 00	11/16/13							
Sold		10/07/13	500	215 81					
Acq		09/25/13	500	215 81	110 07 ☐	110 07	105 74	105 74	S
Total for 10/7/2013					110 07	110 07	105 74	105 74	
48X9903X0	CALL WRITE CVX @ 120 00	4/20/13							
Sold		04/08/13	100	91 16					
Acq		02/21/13	100	91 16	38 83 ☐	38 83	52 33	52 33	S
Total for 4/8/2013					38 83	38 83	52 33	52 33	
48X9906R0	CALL WRITE CVX @ 125 00	7/20/13							
Sold		07/05/13	100	83 16					
Acq		06/07/13	100	83 16	11 83 ☐	11 83	71 33	71 33	S
Total for 7/5/2013					11 83	11 83	71 33	71 33	
48X9906R0	CALL WRITE CVX @ 125 00	7/20/13							
Sold		07/22/13	100	83 16					
Acq		06/07/13	100	83 16	135 84 ☐	135 84	-52 68	-52 68	S
Total for 7/22/2013					135 84	135 84	-52 68	-52 68	

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48X9907Y4	CALL WRITE CVX @ 130 00	7/20/13							
Sold	06/07/13	100	84 50						
Acq	06/03/13	100	84 50	21 83	21 83	62 67	62 67	S	
Total for 6/7/2013				21 83	21 83	62 67	62 67		
48X990E26	CALL WRITE CVX @ 126 00	9/06/13							
Sold	08/13/13	100	47 16						
Acq	08/09/13	100	47 16	20 83	20 83	26 33	26 33	S	
Total for 8/13/2013				20 83	20 83	26 33	26 33		
49W99W186	CALL WRITE UPS @ 77 50	1/19/13							
Sold	01/17/13	100	62 36						
Acq	12/20/12	100	62 36	217 83	217 83	-155 47	-155 47	S	
Total for 1/17/2013				217 83	217 83	-155 47	-155 47		
4EF99W6Y2	CALL WRITE UTX @ 92 50	3/16/13							
Sold	03/05/13	100	59 30						
Acq	02/19/13	100	59 30	15 83	15 83	43 47	43 47	S	
Total for 3/5/2013				15 83	15 83	43 47	43 47		
4EF99W7T2	CALL WRITE UTX @ 97 50	5/18/13							
Sold	04/24/13	100	115 17						
Acq	04/11/13	100	115 17	10 83	10 83	104 34	104 34	S	
Total for 4/24/2013				10 83	10 83	104 34	104 34		
4V399T3S0	CALL WRITE GILD @ 55 00	8/17/13							
Sold	07/18/13	400	360 64						
Acq	06/28/13	400	360 64	1,481 75	1,481 75	-1,121 11	-1,121 11	S	
Total for 7/18/2013				1,481 75	1,481 75	-1,121 11	-1,121 11		
4V399TLH4	CALL WRITE GILD @ 82 50	1/18/14							
Sold	12/12/13	200	158 47						
Acq	12/02/13	200	158 47	64 99	64 99	93 48	93 48	S	
Total for 12/12/2013				64 99	64 99	93 48	93 48		
4VZ995456	CALL WRITE UTX @ 87 50	2/16/13							
Sold	01/25/13	100	60 19						
Acq	01/17/13	100	60 19	190 07	190 07	-129 88	-129 88	S	
Total for 1/25/2013				190 07	190 07	-129 88	-129 88		
4WR997HI7	CALL WRITE VOD @ 31 00	9/21/13							
Sold	09/10/13	100	39 16						
Acq	07/31/13	100	39 16	200 84	200 84	-161 68	-161 68	S	
Total for 9/10/2013				200 84	200 84	-161 68	-161 68		
4X3995390	CALL WRITE BA @ 90 00	5/18/13							
Sold	05/07/13	100	110 40						
Acq	04/22/13	100	110 40	450 83	450 83	-340 43	-340 43	S	
Total for 5/7/2013				450 83	450 83	-340 43	-340 43		

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4XL9968M4	CALL WRITE IWF @ 78 00	9/21/13							
Sold	08/28/13	100	64 17						
Acq	07/31/13	100	64 17	15 83	15 83	48 34	48 34	S	
Total for 8/28/2013				15 83	15 83	48 34	48 34		
51855Q655	LAUDUS MONDRIAN INTL FI-INST 2940								
Sold	03/20/13	2598 591	28,376 61						
Acq	06/29/12	16 833	183 82	196 44	196 44	-12 62	-12 62	S	
Acq	06/30/11	10 391	113 47	127 70	127 70	-14 23	-14 23	L	
Acq	12/06/12	27 89	304 56	328 54	328 54	-23 98	-23 98	S	
Acq	09/28/12	2 688	29 35	32 61	32 61	-3 26	-3 26	S	
Acq	09/30/11	15 955	174 23	194 33	194 33	-20 10	-20 10	L	
Acq	12/15/11	87 791	958 68	1,010 48	1,010 48	-51 80	-51 80	L	
Acq	04/28/11	2437 043	26,612 51	30,000 00	30,000 00	-3,387 49	-3,387 49	L	
Total for 3/20/2013				31,890 10	31,890 10	-3,513 49	-3,513 49		
51L9959H5	CALL WRITE SLB @ 75 00	8/17/13							
Sold	07/22/13	100	123 16						
Acq	06/28/13	100	123 16	840 84	840 84	-717 68	-717 68	S	
Total for 7/22/2013				840 84	840 84	-717 68	-717 68		
51L995BF6	CALL WRITE SLB @ 90 00	10/19/13							
Sold	10/17/13	100	113 61						
Acq	09/17/13	100	113 61	214 70	214 70	-101 09	-101 09	S	
Total for 10/17/2013				214 70	214 70	-101 09	-101 09		
51L995DP2	CALL WRITE SLB @ 97 50	1/18/14							
Sold	11/27/13	100	109 16						
Acq	11/13/13	100	109 16	43 83	43 83	65 33	65 33	S	
Total for 11/27/2013				43 83	43 83	65 33	65 33		
51L995ID4	CALL WRITE SLB @ 92 50	12/21/13							
Sold	12/04/13	100	50 16						
Acq	11/27/13	100	50 16	26 83	26 83	23 33	23 33	S	
Total for 12/4/2013				26 83	26 83	23 33	23 33		
51L995IF9	CALL WRITE SLB @ 97 50	12/21/13							
Sold	11/13/13	100	94 16						
Acq	11/05/13	100	94 16	45 83	45 83	48 33	48 33	S	
Total for 11/13/2013				45 83	45 83	48 33	48 33		
52B99U823	CALL WRITE AFL @ 55 00	1/19/13							
Sold	01/10/13	100	36 37						
Acq	12/31/12	100	36 37	6 82	6 82	29 55	29 55	S	
Total for 1/10/2013				6 82	6 82	29 55	29 55		

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54F995762	CALL WRITE JPM @ 49 00	6/22/13							
	Sold	05/16/13	100	67 18					
	Acq	05/06/13	100	67 18	260 79 ☐	260 79	-193 61	-193 61	S
	Total for 5/16/2013				260 79	260 79	-193 61	-193 61	
59L993348	CALL WRITE JPM @ 46 00	1/19/13							
	Sold	01/22/13	100	44 36					
	Acq	12/24/12	100	44 36	46 75 ☐	46 75	-2 39	-2 39	S
	Total for 1/22/2013				46 75	46 75	-2 39	-2 39	
5HG99U9S7	CALL WRITE UNH @ 77 50	11/16/13							
	Sold	10/18/13	100	52 16					
	Acq	09/27/13	100	52 16	12 84 ☐	12 84	39 32	39 32	S
	Total for 10/18/2013				12 84	12 84	39 32	39 32	
5HG99UFE1	CALL WRITE UNH @ 70 00	11/22/13							
	Sold	11/20/13	100	62 68					
	Acq	10/25/13	100	62 68	173 17 ☐	173 17	-110 49	-110 49	S
	Total for 11/20/2013				173 17	173 17	-110 49	-110 49	
5HG99UFH4	CALL WRITE UNH @ 71 50	11/22/13							
	Sold	10/25/13	100	55 16					
	Acq	10/23/13	100	55 16	33 84 ☐	33 84	21 32	21 32	S
	Total for 10/25/2013				33 84	33 84	21 32	21 32	
5HG99UJB3	CALL WRITE UNH @ 73 50	12/06/13							
	Sold	12/02/13	100	44 22					
	Acq	11/20/13	100	44 22	160 83 ☐	160 83	-116 61	-116 61	S
	Total for 12/2/2013				160 83	160 83	-116 61	-116 61	
5HG99ULM6	CALL WRITE UNH @ 76 00	12/13/13							
	Sold	12/04/13	100	51 16					
	Acq	12/02/13	100	51 16	12 84 ☐	12 84	38 32	38 32	S
	Total for 12/4/2013				12 84	12 84	38 32	38 32	
5HL996343	CALL WRITE MSFT @ 35 00	7/20/13							
	Sold	07/16/13	300	87 49					
	Acq	06/26/13	300	87 49	360 17 ☐	360 17	-272 68	-272 68	S
	Total for 7/16/2013				360 17	360 17	-272 68	-272 68	
5HR99W878	CALL WRITE COF @ 60 00	1/19/13							
	Sold	01/08/13	100	77 36					
	Acq	12/27/12	100	77 36	325 83 ☐	325 83	-248 47	-248 47	S
	Total for 1/8/2013				325 83	325 83	-248 47	-248 47	
5PQ99P6I4	CALL WRITE EMC @ 25 00	3/16/13							
	Sold	02/25/13	100	33 16					
	Acq	01/30/13	100	33 16	6 84 ☐	6 84	26 32	26 32	S
	Total for 2/25/2013				6 84	6 84	26 32	26 32	

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Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
5WN9948P1	CALL WRITE UTX @ 100 00	8/17/13							
	Sold	07/22/13	300	209 48					
	Acq	07/08/13	300	209 48	932 50 ☐	932 50	-723 02	-723 02	S
	Total for 7/22/2013				932 50	932 50	-723 02	-723 02	
5WN994A52	CALL WRITE UTX @ 95 00	7/20/13							
	Sold	07/08/13	300	136 74					
	Acq	06/26/13	300	136 74	605 50 ☐	605 50	-468 76	-468 76	S
	Total for 7/8/2013				605 50	605 50	-468 76	-468 76	
5WN994A60	CALL WRITE UTX @ 97 50	7/20/13							
	Sold	06/26/13	300	285 01					
	Acq	06/05/13	300	285 01	38 64 ☐	38 64	246 37	246 37	S
	Total for 6/26/2013				38 64	38 64	246 37	246 37	
5WN994A78	CALL WRITE UTX @ 100 00	7/20/13							
	Sold	06/05/13	300	369 83					
	Acq	05/21/13	300	369 83	125 50 ☐	125 50	244 33	244 33	S
	Total for 6/5/2013				125 50	125 50	244 33	244 33	
5WN994E66	CALL WRITE UTX @ 110 00	9/21/13							
	Sold	08/16/13	100	68 17					
	Acq	08/09/13	100	68 17	23 83 ☐	23 83	44 34	44 34	S
	Total for 8/16/2013				23 83	23 83	44 34	44 34	
5WN994IH8	CALL WRITE UTX @ 105 00	9/13/13							
	Sold	08/28/13	300	202 09					
	Acq	08/21/13	300	202 09	77 51 ☐	77 51	124 58	124 58	S
	Total for 8/28/2013				77 51	77 51	124 58	124 58	
5WN994IW5	CALL WRITE UTX @ 105 00	10/19/13							
	Sold	09/16/13	300	351 48					
	Acq	08/28/13	300	351 48	1,247 50 ☐	1,247 50	-896 02	-896 02	S
	Total for 9/16/2013				1,247 50	1,247 50	-896 02	-896 02	
5WN994IX3	CALL WRITE UTX @ 110 00	10/19/13							
	Sold	10/03/13	300	390 49					
	Acq	09/16/13	300	390 49	107 50 ☐	107 50	282 99	282 99	S
	Total for 10/3/2013				107 50	107 50	282 99	282 99	
5WN994RWE	CALL WRITE UTX @ 109 00	11/22/13							
	Sold	11/14/13	100	75 17					
	Acq	10/24/13	100	75 17	23 83 ☐	23 83	51 34	51 34	S
	Total for 11/14/2013				23 83	23 83	51 34	51 34	
5WN994UP6	CALL WRITE UTX @ 110 00	12/06/13							
	Sold	11/21/13	100	31 17					
	Acq	11/14/13	100	31 17	88 86 ☐	88 86	-57 69	-57 69	S
	Total for 11/21/2013				88 86	88 86	-57 69	-57 69	

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5WN994X99	CALL WRITE UTX @ 114 00	1/03/14							
Sold	12/13/13	100	42 17						
Acq	12/10/13	100	42 17	13 78	13 78	28 39	28 39	S	
Total for 12/13/2013				13 78	13 78	28 39	28 39		
66987V109	NOVARTIS AG - ADR								
Sold	01/30/13	130	8,848 50						
Acq	06/15/12	130	8,848 50	6,988 02	6,988 02	1,860 48	1,860 48	S	
Total for 1/30/2013				6,988 02	6,988 02	1,860 48	1,860 48		
68607VKE4	OREGON ST DEPT ADMIN 4 600% 4/01/18								
Sold	10/01/13	50000	50,000 00						
Acq	02/16/11	50000	50,000 00	50,425 50	50,425 50	-425 50	-425 50	L	
Total for 10/1/2013				50,425 50	50,425 50	-425 50	-425 50		
6NK9941N5	CALL WRITE UPS @ 87 50	7/20/13							
Sold	07/10/13	100	67 16						
Acq	06/07/13	100	67 16	325 84	325 84	-258 68	-258 68	S	
Total for 7/10/2013				325 84	325 84	-258 68	-258 68		
6NK9943B9	CALL WRITE UPS @ 90 00	10/19/13							
Sold	10/18/13	100	81 16						
Acq	08/14/13	100	81 16	199 52	199 52	-118 36	-118 36	S	
Total for 10/18/2013				199 52	199 52	-118 36	-118 36		
6NK9945Q4	CALL WRITE UPS @ 85 00	5/18/13							
Sold	05/07/13	100	66 17						
Acq	04/16/13	100	66 17	215 83	215 83	-149 66	-149 66	S	
Total for 5/7/2013				215 83	215 83	-149 66	-149 66		
6NK9945R2	CALL WRITE UPS @ 87 50	5/18/13							
Sold	04/16/13	100	59 17						
Acq	04/02/13	100	59 17	22 94	22 94	36 23	36 23	S	
Total for 4/16/2013				22 94	22 94	36 23	36 23		
6NM994574	CALL WRITE JPM @ 50 00	3/16/13							
Sold	03/15/13	100	65 17						
Acq	02/13/13	100	65 17	76 83	76 83	-11 66	-11 66	S	
Total for 3/15/2013				76 83	76 83	-11 66	-11 66		
6NS996268	CALL WRITE CVX @ 125 00	6/22/13							
Sold	05/29/13	100	102 33						
Acq	05/13/13	100	102 33	325 83	325 83	-223 50	-223 50	S	
Total for 5/29/2013				325 83	325 83	-223 50	-223 50		
6NS996276	CALL WRITE CVX @ 130 00	6/22/13							
Sold	06/03/13	100	61 02						
Acq	05/29/13	100	61 02	25 83	25 83	35 19	35 19	S	
Total for 6/3/2013				25 83	25 83	35 19	35 19		

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6NS9966W7	CALL WRITE CVX @ 115 00	2/16/13							
	Sold	01/30/13	100	83 16					
	Acq	01/16/13	100	83 16	265 50	265 50	-182 34	-182 34	S
	Total for 1/30/2013				265 50	265 50	-182 34	-182 34	
6NS9968E5	CALL WRITE CVX @ 120 00	5/18/13							
	Sold	05/13/13	100	114 17					
	Acq	04/08/13	100	114 17	305 84	305 84	-191 67	-191 67	S
	Total for 5/13/2013				305 84	305 84	-191 67	-191 67	
6NS996GY2	CALL WRITE CVX @ 122 00	9/27/13							
	Sold	09/20/13	100	83 57					
	Acq	08/26/13	100	83 57	395 83	395 83	-312 26	-312 26	S
	Total for 9/20/2013				395 83	395 83	-312 26	-312 26	
6PZ9954A6	CALL WRITE RWX @ 42 00	10/19/13							
	Sold	10/17/13	100	24 16					
	Acq	09/16/13	100	24 16	100 84	100 84	-76 68	-76 68	S
	Total for 10/17/2013				100 84	100 84	-76 68	-76 68	
6QE9981C0	CALL WRITE VNQ @ 69 00	7/20/13							
	Sold	07/12/13	200	88 32					
	Acq	06/26/13	200	88 32	521 62	521 62	-433 30	-433 30	S
	Total for 7/12/2013				521 62	521 62	-433 30	-433 30	
6QE9981F3	CALL WRITE VNQ @ 72 00	7/20/13							
	Sold	06/21/13	100	49 33					
	Acq	06/18/13	100	49 33	10 84	10 84	38 49	38 49	S
	Total for 6/21/2013				10 84	10 84	38 49	38 49	
6QE9981H9	CALL WRITE VNQ @ 74 00	7/20/13							
	Sold	06/10/13	200	118 32					
	Acq	05/31/13	200	118 32	41 68	41 68	76 64	76 64	S
	Total for 6/10/2013				41 68	41 68	76 64	76 64	
6QE9982S4	CALL WRITE VNQ @ 73 00	8/17/13							
	Sold	07/30/13	100	59 16					
	Acq	07/12/13	100	59 16	25 84	25 84	33 32	33 32	S
	Total for 7/30/2013				25 84	25 84	33 32	33 32	
6QH993674	CALL WRITE TGT @ 65 00	4/20/13							
	Sold	03/15/13	100	64 16					
	Acq	02/14/13	100	64 16	284 79	284 79	-220 63	-220 63	S
	Total for 3/15/2013				284 79	284 79	-220 63	-220 63	
6QH9937W8	CALL WRITE TGT @ 72 50	6/22/13							
	Sold	05/22/13	100	83 18					
	Acq	05/06/13	100	83 18	122 62	122 62	-39 44	-39 44	S
	Total for 5/22/2013				122 62	122 62	-39 44	-39 44	

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6QH993A12	CALL WRITE TGT @ 72 50	8/17/13							
Sold	08/09/13	100	45 16						
Acq	07/01/13	100	45 16	19 83	19 83	25 33	25 33	S	
Total for 8/9/2013				19 83	19 83	25 33	25 33		
6SR996896	CALL WRITE UNH @ 70 00	8/17/13							
Sold	07/30/13	100	89 16						
Acq	07/10/13	100	89 16	315 84	315 84	-226 68	-226 68	S	
Total for 7/30/2013				315 84	315 84	-226 68	-226 68		
6SR9969A2	CALL WRITE UNH @ 77 50	1/18/14							
Sold	12/13/13	100	64 65						
Acq	12/04/13	100	64 65	26 84	26 84	37 81	37 81	S	
Total for 12/13/2013				26 84	26 84	37 81	37 81		
6SR9969X2	CALL WRITE UNH @ 75 00	10/19/13							
Sold	09/23/13	100	107 16						
Acq	08/29/13	100	107 16	46 37	46 37	60 79	60 79	S	
Total for 9/23/2013				46 37	46 37	60 79	60 79		
6UQ9948L0	CALL WRITE VWO @ 43 00	4/20/13							
Sold	03/22/13	500	170 81						
Acq	03/20/13	500	170 81	204 19	204 19	-33 38	-33 38	S	
Total for 3/22/2013				204 19	204 19	-33 38	-33 38		
6UQ9948M8	CALL WRITE VWO @ 44 00	4/20/13							
Sold	03/20/13	100	29 17						
Acq	03/18/13	100	29 17	15 83	15 83	13 34	13 34	S	
Total for 3/20/2013				15 83	15 83	13 34	13 34		
6UQ9948N6	CALL WRITE VWO @ 45 00	4/20/13							
Sold	03/14/13	500	145 80						
Acq	02/25/13	500	145 80	79 19	79 19	66 61	66 61	S	
Total for 3/14/2013				79 19	79 19	66 61	66 61		
6UQ9948O4	CALL WRITE VWO @ 46 00	4/20/13							
Sold	03/08/13	100	39 17						
Acq	02/07/13	100	39 17	15 83	15 83	23 34	23 34	S	
Total for 3/8/2013				15 83	15 83	23 34	23 34		
6UX99R8M9	CALL WRITE MSFT @ 29 00	5/18/13							
Sold	04/11/13	200	56 32						
Acq	03/13/13	200	56 32	313 67	313 67	-257 35	-257 35	S	
Total for 4/11/2013				313 67	313 67	-257 35	-257 35		
6UX99R8N7	CALL WRITE MSFT @ 30 00	5/18/13							
Sold	04/24/13	300	84 50						
Acq	04/16/13	300	84 50	320 50	320 50	-236 00	-236 00	S	
Total for 4/24/2013				320 50	320 50	-236 00	-236 00		

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Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
6UX99RA46	CALL WRITE MSFT @ 33 00	10/19/13							
	Sold	10/16/13	100	42 16					
	Acq	09/06/13	100	42 16	189 83 ☐	189 83	-147 67	-147 67	S
Total for 10/16/2013					189 83	189 83	-147 67	-147 67	
6UX99RCC6	CALL WRITE MSFT @ 33 00	9/21/13							
	Sold	08/08/13	100	43 16					
	Acq	07/25/13	100	43 16	19 27 ☐	19 27	23 89	23 89	S
Total for 8/8/2013					19 27	19 27	23 89	23 89	
6UX99RCD4	CALL WRITE MSFT @ 34 00	9/21/13							
	Sold	09/04/13	300	86 85					
	Acq	08/21/13	300	86 85	32 50 ☐	32 50	54 35	54 35	S
Total for 9/4/2013					32 50	32 50	54 35	54 35	
6VH996411	CALL WRITE ORCL @ 35 00	6/22/13							
	Sold	06/20/13	300	138 66					
	Acq	05/13/13	300	138 66	167 51 ☐	167 51	-28 85	-28 85	S
Total for 6/20/2013					167 51	167 51	-28 85	-28 85	
6WJ9960R4	CALL WRITE TMO @ 70 00	2/16/13							
	Sold	01/29/13	100	69 15					
	Acq	01/11/13	100	69 15	216 34 ☐	216 34	-147 19	-147 19	S
Total for 1/29/2013					216 34	216 34	-147 19	-147 19	
6WJ996276	CALL WRITE TMO @ 75 00	3/16/13							
	Sold	03/06/13	100	49 16					
	Acq	01/29/13	100	49 16	195 84 ☐	195 84	-146 68	-146 68	S
Total for 3/6/2013					195 84	195 84	-146 68	-146 68	
6WJ9962H4	CALL WRITE TMO @ 80 00	5/18/13							
	Sold	05/13/13	100	149 16					
	Acq	03/25/13	100	149 16	440 83 ☐	440 83	-291 67	-291 67	S
Total for 5/13/2013					440 83	440 83	-291 67	-291 67	
6WJ9963A8	CALL WRITE TMO @ 105 00	12/21/13							
	Sold	12/20/13	100	54 16					
	Acq	12/09/13	100	54 16	320 79 ☐	320 79	-266 63	-266 63	S
Total for 12/20/2013					320 79	320 79	-266 63	-266 63	
6WJ9963N0	CALL WRITE TMO @ 95 00	10/19/13							
	Sold	10/03/13	100	89 16					
	Acq	08/21/13	100	89 16	30 84 ☐	30 84	58 32	58 32	S
Total for 10/3/2013					30 84	30 84	58 32	58 32	
6WJ9964J8	CALL WRITE TMO @ 90 00	7/20/13							
	Sold	06/26/13	100	69 16					
	Acq	06/19/13	100	69 16	19 23 ☐	19 23	49 93	49 93	S
Total for 6/26/2013					19 23	19 23	49 93	49 93	

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6WJ9964X7	CALL WRITE TMO @ 90 00	8/17/13							
Sold	07/23/13	100	70 03						
Acq	06/26/13	100	70 03	240 84	240 84	-170 81	-170 81	S	
Total for 7/23/2013				240 84	240 84	-170 81	-170 81		
6WW992759	CALL WRITE COF @ 62 50	1/19/13							
Sold	01/22/13	100	64 16						
Acq	01/03/13	100	64 16	1 84	1 84	62 32	62 32	S	
Total for 1/22/2013				1 84	1 84	62 32	62 32		
6YD9944S5	CALL WRITE CME @ 62 50	5/18/13							
Sold	05/13/13	100	74 17						
Acq	04/04/13	100	74 17	28 87	28 87	45 30	45 30	S	
Total for 5/13/2013				28 87	28 87	45 30	45 30		
6YD9944T3	CALL WRITE CME @ 65 00	5/18/13							
Sold	04/04/13	100	63 60						
Acq	03/25/13	100	63 60	30 84	30 84	32 76	32 76	S	
Total for 4/4/2013				30 84	30 84	32 76	32 76		
6YD9947A1	CALL WRITE CME @ 80 00	7/20/13							
Sold	07/15/13	100	54 16						
Acq	06/20/13	100	54 16	20 84	20 84	33 32	33 32	S	
Total for 7/15/2013				20 84	20 84	33 32	33 32		
6YD9947C7	CALL WRITE CME @ 82 50	12/21/13							
Sold	11/22/13	100	74 16						
Acq	11/13/13	100	74 16	245 83	245 83	-171 67	-171 67	S	
Total for 11/22/2013				245 83	245 83	-171 67	-171 67		
6YD9947S2	CALL WRITE CME @ 80 00	8/17/13							
Sold	07/23/13	100	119 66						
Acq	07/15/13	100	119 66	30 84	30 84	88 82	88 82	S	
Total for 7/23/2013				30 84	30 84	88 82	88 82		
6Z69943P7	CALL WRITE ORCL @ 36 00	4/20/13							
Sold	03/22/13	100	60 65						
Acq	02/26/13	100	60 65	4 84	4 84	55 81	55 81	S	
Total for 3/22/2013				4 84	4 84	55 81	55 81		
6Z69944D3	CALL WRITE ORCL @ 34 00	9/21/13							
Sold	08/26/13	300	153 49						
Acq	07/31/13	300	153 49	71 52	71 52	81 97	81 97	S	
Total for 8/26/2013				71 52	71 52	81 97	81 97		
722005667	PIMCO COMMODITY REAL RET STRAT-I45								
Sold	03/20/13	10725 741	71,004 41						
Acq	06/17/10	216 659	1,434 28	1,628 86	1,628 86	-194 58	-194 58	L	
Acq	09/16/10	431 989	2,859 77	3,438 02	3,438 02	-578 25	-578 25	L	
Acq	06/02/10	706 826	4,679 19	5,222 00	5,222 00	-542 81	-542 81	L	

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722005667	PIMCO COMMODITY REAL RET STRAT-I45								
Sold		03/20/13	10725 741	71,004 41					
Acq		01/14/10	2373 389	15,711 84	19,957 95 ☐	19,957 95	-4,246 11	-4,246 11	L
Acq		03/18/10	175 658	1,162 86	1,392 71 ☐	1,392 71	-229 85	-229 85	L
Acq		01/27/10	5051 447	33,440 58	40,000 00 ☐	40,000 00	-6,559 42	-6,559 42	L
Acq		06/02/10	1769 773	11,715 90	13,075 00 ☐	13,075 00	-1,359 10	-1,359 10	L
Total for 3/20/2013					84,714 54	84,714 54	-13,710 13	-13,710 13	
72A99V5L1	CALL WRITE CVS @ 60 00	8/17/13							
Sold		07/15/13	100	59 16					
Acq		06/26/13	100	59 16	200 84 ☐	200 84	-141 68	-141 68	S
Total for 7/15/2013					200 84	200 84	-141 68	-141 68	
72A99V6L0	CALL WRITE CVS @ 60 00	9/21/13							
Sold		09/18/13	100	41 16					
Acq		08/26/13	100	41 16	102 83 ☐	102 83	-61 67	-61 67	S
Total for 9/18/2013					102 83	102 83	-61 67	-61 67	
72A99V7F2	CALL WRITE CVS @ 62 50	10/19/13							
Sold		09/23/13	100	47 16					
Acq		09/18/13	100	47 16	12 84 ☐	12 84	34 32	34 32	S
Total for 9/23/2013					12 84	12 84	34 32	34 32	
72A99V8J3	CALL WRITE CVS @ 65 00	12/21/13							
Sold		12/02/13	100	82 16					
Acq		11/12/13	100	82 16	265 84 ☐	265 84	-183 68	-183 68	S
Total for 12/2/2013					265 84	265 84	-183 68	-183 68	
72D9908F6	CALL WRITE DIS @ 52 50	2/16/13							
Sold		01/24/13	100	53 36					
Acq		12/31/12	100	53 36	180 84 ☐	180 84	-127 48	-127 48	S
Total for 1/24/2013					180 84	180 84	-127 48	-127 48	
72D9908T6	CALL WRITE DIS @ 70 00	7/20/13							
Sold		06/04/13	100	53 17					
Acq		05/22/13	100	53 17	20 84 ☐	20 84	32 33	32 33	S
Total for 6/4/2013					20 84	20 84	32 33	32 33	
72D9908Y5	CALL WRITE DIS @ 55 00	3/16/13							
Sold		03/06/13	100	90 16					
Acq		01/24/13	100	90 16	161 21 ☐	161 21	-71 05	-71 05	S
Total for 3/6/2013					161 21	161 21	-71 05	-71 05	
72D990AJ5	CALL WRITE DIS @ 65 00	10/19/13							
Sold		10/17/13	100	69 16					
Acq		08/26/13	100	69 16	182 83 ☐	182 83	-113 67	-113 67	S
Total for 10/17/2013					182 83	182 83	-113 67	-113 67	

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Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
72D990D52	CALL WRITE DIS @ 62 50	6/22/13							
Sold		04/30/13	100	68 34					
Acq		04/10/13	100	68 34	224 81	224 81	-156 47	-156 47	S
Total for 4/30/2013					224 81	224 81	-156 47	-156 47	
72D990E00	CALL WRITE DIS @ 65 00	6/22/13							
Sold		05/13/13	100	106 51					
Acq		04/30/13	100	106 51	290 83	290 83	-184 32	-184 32	S
Total for 5/13/2013					290 83	290 83	-184 32	-184 32	
72D990EP7	CALL WRITE DIS @ 67 50	7/20/13							
Sold		07/03/13	100	47 16					
Acq		06/04/13	100	47 16	9 98	9 98	37 18	37 18	S
Total for 7/3/2013					9 98	9 98	37 18	37 18	
72D990FI2	CALL WRITE DIS @ 70 00	8/17/13							
Sold		07/22/13	100	64 16					
Acq		07/15/13	100	64 16	22 02	22 02	42 14	42 14	S
Total for 7/22/2013					22 02	22 02	42 14	42 14	
73V9931D0	CALL WRITE GILD @ 41 25 2/16/13								
Sold		02/19/13	400	130 32					
Acq		01/22/13	400	130 32	101 50	101 50	28 82	28 82	S
Total for 2/19/2013					101 50	101 50	28 82	28 82	
73V993EK0	CALL WRITE GILD @ 60 00	8/17/13							
Sold		07/26/13	200	192 32					
Acq		07/18/13	200	192 32	539 42	539 42	-347 10	-347 10	S
Total for 7/26/2013					539 42	539 42	-347 10	-347 10	
73V993GR3	CALL WRITE GILD @ 65 00	8/17/13							
Sold		08/02/13	100	59 41					
Acq		07/30/13	100	59 41	25 61	25 61	33 80	33 80	S
Total for 8/2/2013					25 61	25 61	33 80	33 80	
73V993LF3	CALL WRITE GILD @ 55 00	7/20/13							
Sold		06/28/13	200	174 32					
Acq		06/14/13	200	174 32	47 68	47 68	126 64	126 64	S
Total for 6/28/2013					47 68	47 68	126 64	126 64	
73V993R26	CALL WRITE GILD @ 65 00	9/21/13							
Sold		08/13/13	200	222 84					
Acq		08/02/13	200	222 84	97 29	97 29	125 55	125 55	S
Total for 8/13/2013					97 29	97 29	125 55	125 55	
73V993SI0	CALL WRITE GILD @ 62 50	9/21/13							
Sold		08/16/13	100	94 16					
Acq		08/13/13	100	94 16	44 84	44 84	49 32	49 32	S
Total for 8/16/2013					44 84	44 84	49 32	49 32	

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73V993SI0	CALL WRITE GILD @ 62 50	9/21/13							
Sold	09/20/13	200	168 32						
Acq	08/13/13	200	168 32	323 29	323 29	-154 97	-154 97	S	
Total for 9/20/2013				323 29	323 29	-154 97	-154 97		
73V993U06	CALL WRITE GILD @ 65 00	10/19/13							
Sold	10/09/13	100	47 16						
Acq	09/26/13	100	47 16	13 09	13 09	34 07	34 07	S	
Total for 10/9/2013				13 09	13 09	34 07	34 07		
73V993UY2	CALL WRITE GILD @ 67 50	10/19/13							
Sold	09/26/13	100	50 16						
Acq	09/20/13	100	50 16	16 84	16 84	33 32	33 32	S	
Total for 9/26/2013				16 84	16 84	33 32	33 32		
73V993YH5	CALL WRITE GILD @ 72 50	12/21/13							
Sold	11/25/13	100	82 27						
Acq	11/08/13	100	82 27	376 05	376 05	-293 78	-293 78	S	
Total for 11/25/2013				376 05	376 05	-293 78	-293 78		
77S9959H3	CALL WRITE EMC @ 26 00	2/16/13							
Sold	01/30/13	100	30 75						
Acq	01/04/13	100	30 75	5 84	5 84	24 91	24 91	S	
Total for 1/30/2013				5 84	5 84	24 91	24 91		
77S995FB9	CALL WRITE EMC @ 25 00	8/17/13							
Sold	07/23/13	100	40 16						
Acq	07/02/13	100	40 16	83 84	83 84	-43 68	-43 68	S	
Total for 7/23/2013				83 84	83 84	-43 68	-43 68		
77S995J33	CALL WRITE EMC @ 27 00	11/16/13							
Sold	10/16/13	200	58 33						
Acq	10/08/13	200	58 33	29 67	29 67	28 66	28 66	S	
Total for 10/16/2013				29 67	29 67	28 66	28 66		
78A994363	CALL WRITE UTX @ 90 00	2/16/13							
Sold	02/19/13	100	45 20						
Acq	01/25/13	100	45 20	64 79	64 79	-19 59	-19 59	S	
Total for 2/19/2013				64 79	64 79	-19 59	-19 59		
78A9946A1	CALL WRITE UTX @ 92 50	4/20/13							
Sold	04/11/13	100	82 17						
Acq	03/05/13	100	82 17	330 83	330 83	-248 66	-248 66	S	
Total for 4/11/2013				330 83	330 83	-248 66	-248 66		
78A9946B9	CALL WRITE UTX @ 95 00	4/20/13							
Sold	03/28/13	200	162 33						
Acq	03/12/13	200	162 33	71 67	71 67	90 66	90 66	S	
Total for 3/28/2013				71 67	71 67	90 66	90 66		

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78A9948R2	CALL WRITE UTX @ 110 00	11/16/13							
	Sold	10/24/13	100	89 17					
	Acq	10/08/13	100	89 17	42 83 ☐	42 83	46 34	46 34	S
Total for 10/24/2013					42 83	42 83	46 34	46 34	
78A994BA5	CALL WRITE UTX @ 95 00	6/22/13							
	Sold	05/21/13	300	249 49					
	Acq	04/29/13	300	249 49	962 50 ☐	962 50	-713 01	-713 01	S
Total for 5/21/2013					962 50	962 50	-713 01	-713 01	
79L99VB94	CALL WRITE USB @ 37 00	11/16/13							
	Sold	11/13/13	100	39 16					
	Acq	10/10/13	100	39 16	71 83 ☐	71 83	-32 67	-32 67	S
Total for 11/13/2013					71 83	71 83	-32 67	-32 67	
79W9929J7	CALL WRITE BUD @ 92 50	1/19/13							
	Sold	01/07/13	100	68 49					
	Acq	12/05/12	100	68 49	14 29 ☐	14 29	54 20	54 20	S
Total for 1/7/2013					14 29	14 29	54 20	54 20	
79W9929L2	CALL WRITE BUD @ 97 50	6/22/13							
	Sold	06/03/13	100	59 17					
	Acq	05/31/13	100	59 17	30 84 ☐	30 84	28 33	28 33	S
Total for 6/3/2013					30 84	30 84	28 33	28 33	
79W9929P3	CALL WRITE BUD @ 90 00	2/16/13							
	Sold	01/29/13	100	99 77					
	Acq	01/07/13	100	99 77	290 83 ☐	290 83	-191 06	-191 06	S
Total for 1/29/2013					290 83	290 83	-191 06	-191 06	
79W9929R9	CALL WRITE BUD @ 95 00	2/16/13							
	Sold	02/05/13	100	49 16					
	Acq	01/29/13	100	49 16	10 83 ☐	10 83	38 33	38 33	S
Total for 2/5/2013					10 83	10 83	38 33	38 33	
79W9929Y4	CALL WRITE BUD @ 92 50	9/21/13							
	Sold	09/09/13	100	147 84					
	Acq	07/24/13	100	147 84	395 40 ☐	395 40	-247 56	-247 56	S
Total for 9/9/2013					395 40	395 40	-247 56	-247 56	
7TQ995409	CALL WRITE NOV @ 82 50	1/18/14							
	Sold	12/19/13	100	80 16					
	Acq	12/11/13	100	80 16	44 03 ☐	44 03	36 13	36 13	S
Total for 12/19/2013					44 03	44 03	36 13	36 13	
7UM9945W2	CALL WRITE ORCL @ 33 00	5/18/13							
	Sold	05/13/13	300	108 50					
	Acq	03/26/13	300	108 50	317 50 ☐	317 50	-209 00	-209 00	S
Total for 5/13/2013					317 50	317 50	-209 00	-209 00	

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7UM9945X0	CALL WRITE ORCL @ 34 00	5/18/13							
	Sold	03/26/13	100	56 65					
	Acq	03/25/13	100	56 65	19 83	19 83	36 82	36 82	S
	Total for 3/26/2013				19 83	19 83	36 82	36 82	
7UM994BB1	CALL WRITE ORCL @ 36 00	12/21/13							
	Sold	12/13/13	300	138 73					
	Acq	11/19/13	300	138 73	44 51	44 51	94 22	94 22	S
	Total for 12/13/2013				44 51	44 51	94 22	94 22	
7UM994FD3	CALL WRITE ORCL @ 31 00	8/17/13							
	Sold	07/31/13	300	157 52					
	Acq	06/26/13	300	157 52	512 51	512 51	-354 99	-354 99	S
	Total for 7/31/2013				512 51	512 51	-354 99	-354 99	
7UM994JK3	CALL WRITE ORCL @ 34 00	10/19/13							
	Sold	09/18/13	300	120 48					
	Acq	08/26/13	300	120 48	182 50	182 50	-62 02	-62 02	S
	Total for 9/18/2013				182 50	182 50	-62 02	-62 02	
7UM994NT9	CALL WRITE ORCL @ 34 00	11/16/13							
	Sold	10/24/13	100	27 16					
	Acq	10/10/13	100	27 16	9 83	9 83	17 33	17 33	S
	Total for 10/24/2013				9 83	9 83	17 33	17 33	
7UM994NU6	CALL WRITE ORCL @ 35 00	11/16/13							
	Sold	10/09/13	200	76 33					
	Acq	09/26/13	200	76 33	34 97	34 97	41 36	41 36	S
	Total for 10/9/2013				34 97	34 97	41 36	41 36	
7UM994SR8	CALL WRITE ORCL @ 34 00	11/22/13							
	Sold	11/19/13	300	71 72					
	Acq	10/25/13	300	71 72	302 51	302 51	-230 79	-230 79	S
	Total for 11/19/2013				302 51	302 51	-230 79	-230 79	
7UR996312	CALL WRITE INTC @ 23 00	2/16/13							
	Sold	01/23/13	400	80 65					
	Acq	01/16/13	400	80 65	15 34	15 34	65 31	65 31	S
	Total for 1/23/2013				15 34	15 34	65 31	65 31	
7UR996478	CALL WRITE INTC @ 26 00	7/20/13							
	Sold	06/18/13	200	70 32					
	Acq	06/14/13	200	70 32	99 67	99 67	-29 35	-29 35	S
	Total for 6/18/2013				99 67	99 67	-29 35	-29 35	
7VE9959H5	CALL WRITE JPM @ 52 50	4/20/13							
	Sold	03/22/13	100	40 17					
	Acq	03/15/13	100	40 17	11 83	11 83	28 34	28 34	S
	Total for 3/22/2013				11 83	11 83	28 34	28 34	

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7VE9959L6	CALL WRITE JPM @ 52 50	6/22/13							
	Sold	05/23/13	100	61 18					
	Acq	05/16/13	100	61 18	273 76 ☐	273 76	-212 58	-212 58	S
	Total for 5/23/2013				273 76	273 76	-212 58	-212 58	
7VE9959N2	CALL WRITE JPM @ 52 50	9/21/13							
	Sold	09/23/13	100	36 16					
	Acq	09/03/13	100	36 16	67 06 ☐	67 06	-30 90	-30 90	S
	Total for 9/23/2013				67 06	67 06	-30 90	-30 90	
7VE9959O0	CALL WRITE JPM @ 57 50	9/21/13							
	Sold	08/12/13	100	81 46					
	Acq	07/18/13	100	81 46	33 83 ☐	33 83	47 63	47 63	S
	Total for 8/12/2013				33 83	33 83	47 63	47 63	
7WZ994678	CALL WRITE CVX @ 120 00	3/16/13							
	Sold	02/21/13	100	69 57					
	Acq	01/30/13	100	69 57	27 01 ☐	27 01	42 56	42 56	S
	Total for 2/21/2013				27 01	27 01	42 56	42 56	
7ZD994FR1	CALL WRITE SLB @ 75 00	6/22/13							
	Sold	05/09/13	100	82 16					
	Acq	04/24/13	100	82 16	350 83 ☐	350 83	-268 67	-268 67	S
	Total for 5/9/2013				350 83	350 83	-268 67	-268 67	
7ZD994FS9	CALL WRITE SLB @ 77 50	6/22/13							
	Sold	06/04/13	100	61 16					
	Acq	05/24/13	100	61 16	29 84 ☐	29 84	31 32	31 32	S
	Total for 6/4/2013				29 84	29 84	31 32	31 32	
7ZD994FT7	CALL WRITE SLB @ 80 00	6/22/13							
	Sold	05/24/13	100	99 43					
	Acq	05/09/13	100	99 43	21 83 ☐	21 83	77 60	77 60	S
	Total for 5/24/2013				21 83	21 83	77 60	77 60	
7ZD994HC2	CALL WRITE SLB @ 77 50	7/20/13							
	Sold	06/28/13	100	87 16					
	Acq	06/04/13	100	87 16	16 84 ☐	16 84	70 32	70 32	S
	Total for 6/28/2013				16 84	16 84	70 32	70 32	
7ZD994LC7	CALL WRITE SLB @ 85 00	9/21/13							
	Sold	09/09/13	100	50 16					
	Acq	08/21/13	100	50 16	190 83 ☐	190 83	-140 67	-140 67	S
	Total for 9/9/2013				190 83	190 83	-140 67	-140 67	
7ZD994LI4	CALL WRITE SLB @ 92 50	1/18/14							
	Sold	12/19/13	100	94 16					
	Acq	12/04/13	100	94 16	43 83 ☐	43 83	50 33	50 33	S
	Total for 12/19/2013				43 83	43 83	50 33	50 33	

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85N9936V8	CALL WRITE CVS @ 52 50	4/20/13							
	Sold	03/18/13	100	46 63					
	Acq	02/27/13	100	46 63	144 71	144 71	-98 08	-98 08	S
	Total for 3/18/2013				144 71	144 71	-98 08	-98 08	
85N9937L9	CALL WRITE CVS @ 65 00	11/16/13							
	Sold	11/12/13	100	25 23					
	Acq	10/25/13	100	25 23	13 46	13 46	11 77	11 77	S
	Total for 11/12/2013				13 46	13 46	11 77	11 77	
88019R641	TEMPLETON FRONTIER MARKET-AD 674								
	Sold	12/09/13	276 091	5,000 00					
	Acq	12/10/12	44 499	805 88	694 18	694 18	111 70	111 70	S
	Acq	08/31/12	231 592	4,194 12	3,422 93	3,422 93	771 19	771 19	L
	Total for 12/9/2013				4,117 11	4,117 11	882 89	882 89	
88T995369	CALL WRITE INTC @ 25 00	7/20/13							
	Sold	06/05/13	400	172 66					
	Acq	05/02/13	400	172 66	571 34	571 34	-398 68	-398 68	S
	Total for 6/5/2013				571 34	571 34	-398 68	-398 68	
89X995958	CALL WRITE JPM @ 48 00	2/16/13							
	Sold	02/13/13	100	39 16					
	Acq	01/23/13	100	39 16	128 66	128 66	-89 50	-89 50	S
	Total for 2/13/2013				128 66	128 66	-89 50	-89 50	
8QH99P913	CALL WRITE BA @ 77 50	1/19/13							
	Sold	01/17/13	100	122 98					
	Acq	10/17/12	100	122 98	5 35	5 35	117 63	117 63	S
	Total for 1/17/2013				5 35	5 35	117 63	117 63	
8VY995263	CALL WRITE BHP @ 70 00	5/18/13							
	Sold	05/16/13	100	76 17					
	Acq	04/04/13	100	76 17	9 79	9 79	66 38	66 38	S
	Total for 5/16/2013				9 79	9 79	66 38	66 38	
8VY995271	CALL WRITE BHP @ 72 50	5/18/13							
	Sold	04/04/13	100	78 70					
	Acq	03/28/13	100	78 70	35 83	35 83	42 87	42 87	S
	Total for 4/4/2013				35 83	35 83	42 87	42 87	
8VY9953H8	CALL WRITE BHP @ 70 00	6/22/13							
	Sold	06/05/13	100	99 17					
	Acq	05/16/13	100	99 17	35 83	35 83	63 34	63 34	S
	Total for 6/5/2013				35 83	35 83	63 34	63 34	
8VY9953N5	CALL WRITE BHP @ 62 50	7/20/13							
	Sold	07/05/13	100	69 16					
	Acq	06/21/13	100	69 16	12 84	12 84	56 32	56 32	S
	Total for 7/5/2013				12 84	12 84	56 32	56 32	

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8VY9953P0	CALL WRITE BHP @ 67 50	7/20/13							
Sold	06/21/13	100	56 15						
Acq	06/18/13	100	56 15	15 84	15 84	40 31	40 31	S	
Total for 6/21/2013					15 84	15 84	40 31	40 31	
8VY9953Q8	CALL WRITE BHP @ 70 00	7/20/13							
Sold	06/13/13	100	96 17						
Acq	06/05/13	100	96 17	27 84	27 84	68 33	68 33	S	
Total for 6/13/2013					27 84	27 84	68 33	68 33	
8XD9958L3	CALL WRITE EMC @ 26 00	7/20/13							
Sold	06/25/13	200	47 33						
Acq	06/19/13	200	47 33	13 49	13 49	33 84	33 84	S	
Total for 6/25/2013					13 49	13 49	33 84	33 84	
8XD995FB7	CALL WRITE EMC @ 27 00	9/21/13							
Sold	09/24/13	100	24 16						
Acq	08/19/13	100	24 16	00	00	24 16	24 16	S *	
Total for 9/24/2013					0 00	0 00	24 16	24 16	
8XD995FF8	CALL WRITE EMC @ 28 00	9/21/13							
Sold	08/19/13	100	21 16						
Acq	07/30/13	100	21 16	9 84	9 84	11 32	11 32	S	
Total for 8/19/2013					9 84	9 84	11 32	11 32	
8YF993974	CALL WRITE BRK B @ 120 00	1/18/14							
Sold	12/17/13	100	87 85						
Acq	11/20/13	100	87 85	34 48	34 48	53 37	53 37	S	
Total for 12/17/2013					34 48	34 48	53 37	53 37	
8YF993AQ0	CALL WRITE BRK B @ 115 00	10/19/13							
Sold	10/09/13	100	124 16						
Acq	09/09/13	100	124 16	40 84	40 84	83 32	83 32	S	
Total for 10/9/2013					40 84	40 84	83 32	83 32	
8ZC9951O0	CALL WRITE IJH @ 111 00	3/16/13							
Sold	03/14/13	400	436 64						
Acq	02/01/13	400	436 64	1,083 34	1,083 34	-646 70	-646 70	S	
Total for 3/14/2013					1,083 34	1,083 34	-646 70	-646 70	
8ZC9951P7	CALL WRITE IJH @ 112 00	3/16/13							
Sold	03/15/13	500	400 95						
Acq	02/04/13	500	400 95	1,104 19	1,104 19	-703 24	-703 24	S	
Total for 3/15/2013					1,104 19	1,104 19	-703 24	-703 24	
8ZC9952A9	CALL WRITE IJH @ 114 00	5/18/13							
Sold	05/09/13	500	495 80						
Acq	04/08/13	500	495 80	2,204 19	2,204 19	-1,708 39	-1,708 39	S	
Total for 5/9/2013					2,204 19	2,204 19	-1,708 39	-1,708 39	

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8ZC9952S0	CALL WRITE IJH @ 115 00	4/20/13							
Sold	04/08/13	400		336 65					
Acq	03/14/13	400		336 65	95 34 ☐	95 34	241 31	241 31	S
Total for 4/8/2013					95 34	95 34	241 31	241 31	
8ZC9954W9	CALL WRITE IJH @ 133 00	12/21/13							
Sold	12/05/13	400		356 65					
Acq	10/28/13	400		356 65	123 34 ☐	123 34	233 31	233 31	S
Total for 12/5/2013					123 34	123 34	233 31	233 31	
8ZC995862	CALL WRITE IJH @ 107 00	2/16/13							
Sold	02/01/13	400		372 56					
Acq	01/10/13	400		372 56	1,043 35 ☐	1,043 35	-670 79	-670 79	S
Total for 2/1/2013					1,043 35	1,043 35	-670 79	-670 79	
8ZC995862	CALL WRITE IJH @ 107 00	2/16/13							
Sold	02/04/13	500		465 69					
Acq	01/10/13	500		465 69	1,708 89 ☐	1,708 89	-1,243 20	-1,243 20	S
Total for 2/4/2013					1,708 89	1,708 89	-1,243 20	-1,243 20	
8ZC9958U9	CALL WRITE IJH @ 121 00	6/22/13							
Sold	06/13/13	500		403 12					
Acq	05/09/13	500		403 12	54 20 ☐	54 20	348 92	348 92	S
Total for 6/13/2013					54 20	54 20	348 92	348 92	
8ZC9959G9	CALL WRITE IJH @ 124 00	8/17/13							
Sold	08/19/13	500		470 79					
Acq	07/17/13	500		470 79	29 20 ☐	29 20	441 59	441 59	S
Total for 8/19/2013					29 20	29 20	441 59	441 59	
8ZC9959R5	CALL WRITE IJH @ 120 00	7/20/13							
Sold	07/17/13	500		420 79					
Acq	06/17/13	500		420 79	1,118 39 ☐	1,118 39	-697 60	-697 60	S
Total for 7/17/2013					1,118 39	1,118 39	-697 60	-697 60	
8ZC995A69	CALL WRITE IJH @ 125 00	11/16/13							
Sold	10/28/13	500		595 80					
Acq	09/06/13	500		595 80	2,254 19 ☐	2,254 19	-1,658 39	-1,658 39	S
Total for 10/28/2013					2,254 19	2,254 19	-1,658 39	-1,658 39	
8ZL995078	CALL WRITE UNH @ 57 50	3/16/13							
Sold	02/26/13	100		75 16					
Acq	01/29/13	100		75 16	9 60 ☐	9 60	65 56	65 56	S
Total for 2/26/2013					9 60	9 60	65 56	65 56	
8ZL9952Z4	CALL WRITE UNH @ 60 00	5/18/13							
Sold	04/04/13	100		54 16					
Acq	04/02/13	100		54 16	305 83 ☐	305 83	-251 67	-251 67	S
Total for 4/4/2013					305 83	305 83	-251 67	-251 67	

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Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
8ZL995BW1	CALL WRITE UNH @ 65 00	7/20/13							
Sold		07/10/13	100	88 07					
Acq		05/28/13	100	88 07	330 84	330 84	-242 77	-242 77	S
Total for 7/10/2013					330 84	330 84	-242 77	-242 77	
900297664	TURNER SPECTRUM FUND-INSTL 3311								
Sold		08/15/13	6506 107	72,998 52					
Acq		06/21/12	6506 107	72,998 52	70,981 63	70,981 63	2,016 89	2,016 89	L
Total for 8/15/2013					70,981 63	70,981 63	2,016 89	2,016 89	
922042858	VANGUARD EMERGING MARKETS ETF								
Sold		03/21/13	2100	89,019 32					
Acq		06/02/10	25	1,059 75	948 75	948 75	111 00	111 00	L
Acq		06/02/10	400	16,956 06	15,180 00	15,180 00	1,776 06	1,776 06	L
Acq		06/02/10	75	3,179 26	2,846 25	2,846 25	333 01	333 01	L
Acq		06/02/10	200	8,478 03	7,590 00	7,590 00	888 03	888 03	L
Acq		06/02/10	125	5,298 77	4,743 75	4,743 75	555 02	555 02	L
Acq		08/04/10	125	5,298 77	5,353 43	5,353 43	-54 66	-54 66	L
Acq		06/02/10	25	1,059 75	948 75	948 75	111 00	111 00	L
Acq		06/02/10	75	3,179 26	2,846 25	2,846 25	333 01	333 01	L
Acq		06/02/10	300	12,717 05	11,385 00	11,385 00	1,332 05	1,332 05	L
Acq		06/02/10	525	22,254 83	19,923 75	19,923 75	2,331 08	2,331 08	L
Acq		06/02/10	25	1,059 75	948 75	948 75	111 00	111 00	L
Acq		08/04/10	100	4,239 02	4,282 74	4,282 74	-43 72	-43 72	L
Acq		08/04/10	100	4,239 02	4,282 74	4,282 74	-43 72	-43 72	L
Total for 3/21/2013					81,280 16	81,280 16	7,739 16	7,739 16	
922042858	VANGUARD EMERGING MARKETS ETF								
Sold		03/25/13	500	21,094 62					
Acq		06/02/10	500	21,094 62	18,975 00	18,975 00	2,119 62	2,119 62	L
Total for 3/25/2013					18,975 00	18,975 00	2,119 62	2,119 62	
92J9902C1	CALL WRITE TGT @ 67 50	10/19/13							
Sold		08/30/13	100	69 16					
Acq		08/23/13	100	69 16	29 29	29 29	39 87	39 87	S
Total for 8/30/2013					29 29	29 29	39 87	39 87	
92J990AL2	CALL WRITE TGT @ 72 50	9/21/13							
Sold		08/20/13	100	85 16					
Acq		08/09/13	100	85 16	29 50	29 50	55 66	55 66	S
Total for 8/20/2013					29 50	29 50	55 66	55 66	
92J990H92	CALL WRITE TGT @ 66 00	10/25/13							
Sold		10/08/13	100	41 16					
Acq		09/25/13	100	41 16	17 84	17 84	23 32	23 32	S
Total for 10/8/2013					17 84	17 84	23 32	23 32	

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Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
92J990HQ4	CALL WRITE TGT @ 65 00	11/16/13							
	Sold	11/14/13	100	66 16					
	Acq	10/08/13	100	66 16	184 84 ☐	184 84	-118 68	-118 68	S
Total for 11/14/2013					184 84	184 84	-118 68	-118 68	
92J990N87	CALL WRITE TGT @ 68 50	11/22/13							
	Sold	11/22/13	100	31 16					
	Acq	11/18/13	100	31 16	2 84 ☐	2 84	28 32	28 32	S
Total for 11/22/2013					2 84	2 84	28 32	28 32	
92J990TL2	CALL WRITE TGT @ 65 00	1/03/14							
	Sold	12/16/13	100	41 16					
	Acq	12/09/13	100	41 16	15 84 ☐	15 84	25 32	25 32	S
Total for 12/16/2013					15 84	15 84	25 32	25 32	
92W9953O8	CALL WRITE JPM @ 55 00	10/19/13							
	Sold	08/30/13	100	60 16					
	Acq	08/21/13	100	60 16	30 47 ☐	30 47	29 69	29 69	S
Total for 8/30/2013					30 47	30 47	29 69	29 69	
92W9959C8	CALL WRITE JPM @ 54 50	11/22/13							
	Sold	11/01/13	100	57 16					
	Acq	10/24/13	100	57 16	20 84 ☐	20 84	36 32	36 32	S
Total for 11/1/2013					20 84	20 84	36 32	36 32	
92W9959E4	CALL WRITE JPM @ 55 50	11/22/13							
	Sold	10/24/13	100	64 16					
	Acq	10/21/13	100	64 16	32 83 ☐	32 83	31 33	31 33	S
Total for 10/24/2013					32 83	32 83	31 33	31 33	
93V996067	CALL WRITE IJR @ 83 00	2/16/13							
	Sold	02/13/13	400	256 66					
	Acq	01/07/13	400	256 66	643 34 ☐	643 34	-386 68	-386 68	S
Total for 2/13/2013					643 34	643 34	-386 68	-386 68	
93V9960P5	CALL WRITE IJR @ 88 00	6/22/13							
	Sold	05/13/13	500	495 44					
	Acq	04/25/13	500	495 44	1,687 05 ☐	1,687 05	-1,191 61	-1,191 61	S
Total for 5/13/2013					1,687 05	1,687 05	-1,191 61	-1,191 61	
93V9960T7	CALL WRITE IJR @ 92 00	6/22/13							
	Sold	06/25/13	500	477 85					
	Acq	05/13/13	500	477 85	00 ☐	00	477 85	477 85	S *
Total for 6/25/2013					0 00	0 00	477 85	477 85	
93V9960W0	CALL WRITE IJR @ 93 00	8/17/13							
	Sold	07/10/13	400	296 64					
	Acq	06/26/13	400	296 64	1,243 35 ☐	1,243 35	-946 71	-946 71	S
Total for 7/10/2013					1,243 35	1,243 35	-946 71	-946 71	

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Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
93V9961Z2	CALL WRITE IJR @ 97 00	8/17/13							
Sold	08/20/13	400	277 13						
Acq	07/10/13	400	277 13	00 ☐	00	277 13	277 13	S *	
Total for 8/20/2013				0 00	0 00	277 13	277 13		
93V996281	CALL WRITE IJR @ 89 00	5/18/13							
Sold	04/25/13	500	425 80						
Acq	03/15/13	500	425 80	104 20 ☐	104 20	321 60	321 60	S	
Total for 4/25/2013				104 20	104 20	321 60	321 60		
93V996554	CALL WRITE IJR @ 97 00	9/21/13							
Sold	09/20/13	400	296 64						
Acq	08/20/13	400	296 64	1,103 34 ☐	1,103 34	-806 70	-806 70	S	
Total for 9/20/2013				1,103 34	1,103 34	-806 70	-806 70		
93V9965L9	CALL WRITE IJR @ 103 00	11/16/13							
Sold	11/15/13	400	376 65						
Acq	09/25/13	400	376 65	643 34 ☐	643 34	-266 69	-266 69	S	
Total for 11/15/2013				643 34	643 34	-266 69	-266 69		
97U9953A9	CALL WRITE USB @ 37 00	10/19/13							
Sold	10/10/13	100	40 35						
Acq	09/03/13	100	40 35	13 83 ☐	13 83	26 52	26 52	S	
Total for 10/10/2013				13 83	13 83	26 52	26 52		
98V992891	CALL WRITE JPM @ 55 00	1/18/14							
Sold	11/18/13	100	50 34						
Acq	11/08/13	100	50 34	167 83 ☐	167 83	-117 49	-117 49	S	
Total for 11/18/2013				167 83	167 83	-117 49	-117 49		
9GR99V2A7	CALL WRITE TJX @ 55 00	10/19/13							
Sold	09/27/13	100	69 16						
Acq	09/16/13	100	69 16	185 84 ☐	185 84	-116 68	-116 68	S	
Total for 9/27/2013				185 84	185 84	-116 68	-116 68		
9GR99V2Z2	CALL WRITE TJX @ 65 00	12/21/13							
Sold	12/10/13	100	49 16						
Acq	12/03/13	100	49 16	15 84 ☐	15 84	33 32	33 32	S	
Total for 12/10/2013				15 84	15 84	33 32	33 32		
9MR9952F0	CALL WRITE BUD @ 97 50	4/20/13							
Sold	04/03/13	100	145 93						
Acq	03/01/13	100	145 93	420 83 ☐	420 83	-274 90	-274 90	S	
Total for 4/3/2013				420 83	420 83	-274 90	-274 90		
9MR995559	CALL WRITE BUD @ 92 50	3/16/13							
Sold	03/01/13	100	114 17						
Acq	02/05/13	100	114 17	270 83 ☐	270 83	-156 66	-156 66	S	
Total for 3/1/2013				270 83	270 83	-156 66	-156 66		

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Type	Description	Date	Shares	Price	Fed Cost	State Cost	Fed Gain	State Gain	(Type)
9MR995610	CALL WRITE BUD @ 95 00	7/20/13							
	Sold	07/11/13	100	89 16					
	Acq	06/06/13	100	89 16	25 83 ☐	25 83	63 33	63 33	S
	Total for 7/11/2013				25 83	25 83	63 33	63 33	
9MR9957A6	CALL WRITE BUD @ 95 00	8/17/13							
	Sold	07/24/13	100	119 28					
	Acq	07/11/13	100	119 28	32 82 ☐	32 82	86 46	86 46	S
	Total for 7/24/2013				32 82	32 82	86 46	86 46	
9MR995807	CALL WRITE BUD @ 95 00	6/22/13							
	Sold	06/06/13	100	69 17					
	Acq	06/03/13	100	69 17	30 83 ☐	30 83	38 34	38 34	S
	Total for 6/6/2013				30 83	30 83	38 34	38 34	
9MR995906	CALL WRITE BUD @ 100 00	6/22/13							
	Sold	05/30/13	100	139 16					
	Acq	04/30/13	100	139 16	30 84 ☐	30 84	108 32	108 32	S
	Total for 5/30/2013				30 84	30 84	108 32	108 32	
9NE993549	CALL WRITE EFA @ 60 00	3/16/13							
	Sold	02/22/13	500	273 00					
	Acq	01/18/13	500	273 00	49 19 ☐	49 19	223 81	223 81	S
	Total for 2/22/2013				49 19	49 19	223 81	223 81	
9S9991210	CALL WRITE CSCO @ 22 00	5/18/13							
	Sold	05/17/13	500	125 81					
	Acq	03/26/13	500	125 81	1,004 19 ☐	1,004 19	-878 38	-878 38	S
	Total for 5/17/2013				1,004 19	1,004 19	-878 38	-878 38	
9S99912J8	CALL WRITE CSCO @ 23 00	5/18/13							
	Sold	03/22/13	500	115 80					
	Acq	03/21/13	500	115 80	69 19 ☐	69 19	46 61	46 61	S
	Total for 3/22/2013				69 19	69 19	46 61	46 61	
9S99916M7	CALL WRITE CSCO @ 27 00	9/21/13							
	Sold	08/13/13	400	208 65					
	Acq	07/17/13	400	208 65	327 35 ☐	327 35	-118 70	-118 70	S
	Total for 8/13/2013				327 35	327 35	-118 70	-118 70	
9SA9950C4	CALL WRITE CE @ 52 50	6/22/13							
	Sold	06/04/13	100	71 23					
	Acq	04/24/13	100	71 23	27 04 ☐	27 04	44 19	44 19	S
	Total for 6/4/2013				27 04	27 04	44 19	44 19	
9SA9952S7	CALL WRITE CE @ 45 00	5/18/13							
	Sold	04/23/13	100	59 17					
	Acq	04/04/13	100	59 17	390 84 ☐	390 84	-331 67	-331 67	S
	Total for 4/23/2013				390 84	390 84	-331 67	-331 67	

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9SA9954E6	CALL WRITE CE @ 57 50	12/21/13							
Sold	12/13/13		100	69 16					
Acq	11/22/13		100	69 16	25 41 ☐	25 41	43 75	43 75	S
Total for 12/13/2013					25 41	25 41	43 75	43 75	
9SA9954F3	CALL WRITE CE @ 60 00	12/21/13							
Sold	11/20/13		100	69 16					
Acq	10/30/13		100	69 16	30 83 ☐	30 83	38 33	38 33	S
Total for 11/20/2013					30 83	30 83	38 33	38 33	
9SA9954O4	CALL WRITE CE @ 52 50	7/20/13							
Sold	06/26/13		100	59 25					
Acq	06/04/13		100	59 25	10 43 ☐	10 43	48 82	48 82	S
Total for 6/26/2013					10 43	10 43	48 82	48 82	
9SA9954X4	CALL WRITE CE @ 50 00	8/17/13							
Sold	07/18/13		100	59 16					
Acq	07/02/13		100	59 16	80 83 ☐	80 83	-21 67	-21 67	S
Total for 7/18/2013					80 83	80 83	-21 67	-21 67	
9SA9954X4	CALL WRITE CE @ 50 00	8/17/13							
Sold	08/20/13		100	49 16					
Acq	07/02/13		100	49 16	00 ☐	00	49 16	49 16	S *
Total for 8/20/2013					0 00	0 00	49 16	49 16	
9SA9955M7	CALL WRITE CE @ 52 50	10/19/13							
Sold	10/17/13		100	84 16					
Acq	08/21/13		100	84 16	210 83 ☐	210 83	-126 67	-126 67	S
Total for 10/17/2013					210 83	210 83	-126 67	-126 67	
9W8995061	CALL WRITE EFA @ 62 00	9/21/13							
Sold	08/28/13		300	259 90					
Acq	07/19/13		300	259 90	101 51 ☐	101 51	158 39	158 39	S
Total for 8/28/2013					101 51	101 51	158 39	158 39	
9W8995061	CALL WRITE EFA @ 62 00	9/21/13							
Sold	09/17/13		200	45 73					
Acq	07/19/13		200	45 73	311 66 ☐	311 66	-265 93	-265 93	S
Total for 9/17/2013					311 66	311 66	-265 93	-265 93	
9W8995061	CALL WRITE EFA @ 62 00	9/21/13							
Sold	09/19/13		300	68 59					
Acq	07/19/13		300	68 59	452 50 ☐	452 50	-383 91	-383 91	S
Total for 9/19/2013					452 50	452 50	-383 91	-383 91	

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9WV99QAX9	CALL WRITE INTC @ 23 00	6/22/13							
Sold		05/02/13	400	140 66					
Acq		04/22/13	400	140 66	478 14	478 14	-337 48	-337 48	S
Total for 5/2/2013					478 14	478 14	-337 48	-337 48	
Total for Account: 18060700					1,129,301 37	1,132,416 86	5,915 55	2,800 06	
Total Proceeds:						Fed	State		
1,135,216 92					S/T Gain/Loss	-35,911 46	-35,947 96		
					L/T Gain/Loss	41,827 02	38,748 03		

CAUTION: One or more sales in this account may not have Tax Cost. The Gain/Loss from these sales are included in the totals above.

Part I, Line 16b (990-PF) - Accounting Fees

		1,500	0	0	1,500
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREPARATION FEES	1,500			1,500

Part I, Line 18 (990-PF) - Taxes

		9,664	1,199	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAX WITHHELD	1,199	1,199		
2	PY EXCISE TAX DUE	1,572			
3	ESTIMATED EXCISE PAYMENTS	6,893			

Part I, Line 23 (990-PF) - Other Expenses

		19,288	15,749	0	3,539
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	ADR FEES	16	16		
2	OPTIONS STRATEGIES FEE	5,145	5,145		
3	AZ CORP COMMISSION FEE	10			10
4	WELLS FARGO FEES	14,117	10,588		3,529

Part II, Line 10b (990-PF) - Investments - Corporate Stock

Description		Num Shares/ Face Value	Book Value Beg of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year
1	SEE ATTACHMENT			1,503,380	0	4,013,214

Part II, Line 10c (990-PF) - Investments - Corporate Bonds

		580,145		435,767		0		442,431	
Description		Interest Rate	Maturity Date	Book Value Beg of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year		
1	SEE ATTACHMENT				435,767		442,431		

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	COST BASIS ADJUSTMENT	1	1,530
2	MUTUAL FUND & CTF INCOME ADDITIONS	2	3,274
3	CORRECTION OF PY COST BASIS	3	22,632
4	FEDERAL EXCISE TAX REFUND	4	1,305
5	CHECKS WRITTEN IN 2013 POSTED IN 2014	5	18,000
6	Total	6	46,741

Line 5 - Decreases not included in Part III, Line 2

1	MUTUAL FUND & CTF INCOME SUBTRACTION	1	3,924
2	CHECKS WRITTEN IN 2012 POSTED IN 2013	2	237,000
3	PY RETURN OF CAPITAL ADJUSTMENT	3	579
4	Total	4	241,503

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Amount	5,978																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																													
Short Term CG Distributions		0																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																														

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	MARY L ZICARELLI		4555 E MAYO 3426 BLVD	PHOENIX	AZ	85050		TRUSTEE	1 00	0		
2	JOHN ZICARELLI		11601 E CHARTER	SCOTTSDALE	AZ	85259		TREASURER	1 00			
3	THOMAS ZICARELLI		PO BOX 2	BETHEL	ME	04217		DIRECTOR	1 00			
4	DAVID ZICARELLI		433 MEDER STREET	SANTA CRUZ	CA	95060		DIRECTOR	1 00			
5	JAMES ZICARELLI		940 SMITHTOWN	EXCELSIOR	MN	55331		DIRECTOR	1 00			

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date	Amount
1 Credit from prior year return		1 0
2 First quarter estimated tax payment	5/15/2013	2 2,993
3 Second quarter estimated tax payment	6/17/2013	3 1,300
4 Third quarter estimated tax payment	9/13/2013	4 1,300
5 Fourth quarter estimated tax payment	12/16/2013	5 1,300
6 Other payments		6
7 Total		7 6,893