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Return of Private Foundation

OMB No 1545-0052

2013

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation
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 Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation C.W. AND DEE MCMULLEN COMMUNITY FOUNDATION		A Employer identification number 85-0472979
Number and street (or P.O. box number if mail is not delivered to street address) PO BOX 981	Room/suite	B Telephone number (575) 461-1623
City or town, state or province, country, and ZIP or foreign postal code TUCUMCARI, NM 88401		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 1,191,618. (Part I, column (d) must be on cash basis)	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		1.	1.		STATEMENT 1
4 Dividends and interest from securities		42,509.	42,509.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		62,480.			
b Gross sales price for all assets on line 6a		584,054.			
7 Capital gain net income (from Part IV, line 2)			62,480.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income		1,230.	1,230.		STATEMENT 3
12 Total. Add lines 1 through 11		106,220.	106,220.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 4		3,352.	3,352.		0.
c Other professional fees STMT 5		6,911.	6,911.		0.
17 Interest					
18 Taxes STMT 6		267.	267.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 7		5,520.	5,520.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		16,050.	16,050.		0.
25 Contributions, gifts, grants paid		67,604.			67,604.
26 Total expenses and disbursements. Add lines 24 and 25		83,654.	16,050.		67,604.
27 Subtract line 26 from line 12.					
a Excess of revenue over expenses and disbursements		22,566.			
b Net investment income (if negative, enter -0-)			90,170.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small>		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing		<1.>	<1.>
	2 Savings and temporary cash investments	59,113.	116,122.	116,122.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 9	633,401.	692,648.	780,694.
	c Investments - corporate bonds STMT 10	377,927.	284,620.	294,623.
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶ <u>ACCRUED INTEREST</u>)		0.	0.	180.
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		1,070,441.	1,093,389.	1,191,618.
17 Accounts payable and accrued expenses				
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable				
22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	1,070,441.	1,093,389.	
30 Total net assets or fund balances	1,070,441.	1,093,389.		
31 Total liabilities and net assets/fund balances	1,070,441.	1,093,389.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1,070,441.
2 Enter amount from Part I, line 27a	22,566.
3 Other increases not included in line 2 (itemize) ▶ <u>PRINCIPAL ADJUSTMENTS</u>	1,356.
4 Add lines 1, 2, and 3	1,094,363.
5 Decreases not included in line 2 (itemize) ▶ <u>SEE STATEMENT 8</u>	974.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	1,093,389.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENTS				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 584,054.		521,574.	62,480.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			62,480.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	62,480.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	27,814.	1,114,084.	.024966
2011	37,849.	1,122,854.	.033708
2010	22,700.	1,164,553.	.019492
2009	38,265.	1,016,711.	.037636
2008	38,653.	1,002,739.	.038547

2 Total of line 1, column (d)	2	.154349
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.030870
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	1,170,955.
5 Multiply line 4 by line 3	5	36,147.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	902.
7 Add lines 5 and 6	7	37,049.
8 Enter qualifying distributions from Part XII, line 4	8	67,604.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	902.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	902.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	902.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	800.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	800.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	102.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NM		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>NONE</u>	13	X	
14	The books are in care of ► <u>C.J. WIEGEL</u> Telephone no. ► <u>(575) 461-1623</u> Located at ► <u>214 SOUTH SECOND, TUCUMCARI, NM</u> ZIP+4 ► <u>88401</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► <u>15</u> N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,095,973.
b	Average of monthly cash balances	1b	92,814.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,188,787.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,188,787.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	17,832.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,170,955.
6	Minimum investment return. Enter 5% of line 5	6	58,548.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	58,548.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	902.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	902.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	57,646.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	57,646.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	57,646.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	67,604.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	67,604.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	902.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	66,702.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				57,646.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			13,630.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 67,604.				
a Applied to 2012, but not more than line 2a			13,630.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				53,974.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				3,672.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

C. J. WIEGEL, 575-461-1623
PO BOX 981, TUCUMCARI, NM 88401

b The form in which applications should be submitted and information and materials they should include:

NAME OF REQUESTING ORGANIZATION AND PURPOSE AND AMOUNT OF GRANT REQUEST

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

AWARDS ARE LIMITED TO QUAY COUNTY, NEW MEXICO AND RESTRICTED TO GOVERNMENTS OR QUALIFYING NON-PROFITS WITH IRS DETERMINATION LETTERS

**C.W. AND DEE MCMULLEN COMMUNITY
FOUNDATION**

Form 990-PF (2013)

85-0472979 Page 11

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution * *	Amount
Name and address (home or business)				
a Paid during the year				
MESALANDS COMMUNITY COLLEGE 911 S. 10TH TUCUMCARI, NM 88401		GOVERNMENTAL ENTITY	HOSTING MESALANDS RODEO	20,000.
TUCUMCARI MAIN STREET 207 SOUTH SECOND TUCUMCARI, NM 88401		CHARITABLE ORGANIZATION	REVITALIZE HISTORIC DOWNTOWN OF THE CITY OF TUCUMCARI	5,000.
TUCUMCARI MUNICIPAL SCHOOLS 1100 SOUTH 7TH TUCUMCARI, NM 88401		NON PROFIT ENTITY	ASSISTANCE TO PROVIDE EDUCATIONAL PROGRAMS AND ACTIVITIES	20,604.
VILLAGE OF SAN JON 410 EAST ELM STREET SAN JON, NM 88434		GOVERNMENTAL ENTITY	ASSISTANCE TO THE TOWN OF SAN JON	5,000.
ST. VICENT DE PAUL 118 WEST MAIN STREET TUCUMCARI, NM 88401		CHARITABLE ORGANIZATION	ASSISTING THOSE IN NEED WITH FOOD, UTILITIES, MEDS AND OTHER IMMEDIATE NEEDS.	10,000.
Total	SEE CONTINUATION SHEET(S) ▶ 3a			67,604.
b Approved for future payment				
NONE				
Total	▶ 3b			0

323811
10-10-13

* * SEE PURPOSE OF GRANT CONTINUATIONS

Form 990-PF (2013)

Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions to verify calculations.)

323621
10-10-13

Form **990-PF** (2013)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | |
|--|--------------|------------|-----------|
| <p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> | | Yes | No |
| | 1a(1) | | X |
| | 1a(2) | | X |
| | 1b(1) | | X |
| | 1b(2) | | X |
| | 1b(3) | | X |
| | 1b(4) | | X |
| | 1b(5) | | X |
| | 1b(6) | | X |
| | 1c | | X |
| | | | |
| | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b If "Yes," complete the following schedule.**

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

► CHAIRMAN

Title

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

R. KELLY MCFARLAND,
CPA

Preparer's signature

Robert F. Farnham

Date

04/30/14

Check ☐ self-employed

PTIN

P00514380

Firm's name ► R. KELLY MCFARLAND, CPA, PC

Firm's EIN ▶ 85-0377595

Firm's address ► P O BOX 1044
TUCUMCARI, NM 88401

Phone no (575) 461-1195

Form **990-PF** (2013)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a POWERSHRES DB COMMODITY		P		
b POWERSHRES DB COMMODITY		P		
c BLACKROCK ENERGY AND RESOURCES		P	10/10/12	04/30/13
d BLACKROCK ENERGY AND RESOURCES		P	04/09/13	04/30/13
e BLACKROCK ENERGY AND RESOURCES		P	01/15/13	04/30/13
f BLACKROCK ENERGY AND RESOURCES		P	07/11/12	04/30/13
g BANK AMER CORP		P	12/10/08	06/07/13
h BLACKROCK ENERGY AND RESOURCES		P	04/11/11	04/30/13
i BLACKROCK ENERGY AND RESOURCES		P	07/13/11	04/30/13
j BLACKROCK ENERGY AND RESOURCES		P	01/11/11	04/30/13
k BLACKROCK ENERGY AND RESOURCES		P	01/13/10	04/30/13
l BLACKROCK ENERGY AND RESOURCES		P	04/12/12	04/30/13
m BLACKROCK ENERGY AND RESOURCES		P	04/13/10	04/30/13
n BLACKROCK ENERGY AND RESOURCES		P	01/12/12	04/30/13
o BLACKROCK ENERGY AND RESOURCES		P	04/09/13	04/30/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2.			2.
b 31.			31.
c 236.		239.	<3.>
d 237.		240.	<3.>
e 251.		242.	9.
f 257.		234.	23.
g 27,914.		24,109.	3,805.
h 377.		473.	<96.>
i 414.		479.	<65.>
j 410.		466.	<56.>
k 412.		436.	<24.>
l 490.		506.	<16.>
m 435.		443.	<8.>
n 672.		674.	<2.>
o 7.		7.	0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			2.
b			31.
c			<3.>
d			<3.>
e			9.
f			23.
g			3,805.
h			<96.>
i			<65.>
j			<56.>
k			<24.>
l			<16.>
m			<8.>
n			<2.>
o			0.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BLACKROCK ENERGY AND RESOURCES	P	10/11/10	04/30/13
b	BLACKROCK ENERGY AND RESOURCES	P	10/12/09	04/30/13
c	BLACKROCK ENERGY AND RESOURCES	P	07/13/10	04/30/13
d	BLACKROCK ENERGY AND RESOURCES	P	10/12/11	04/30/13
e	BLACKROCK ENERGY AND RESOURCES	P	07/13/09	04/30/13
f	BLACKROCK ENERGY AND RESOURCES	P	01/15/09	04/30/13
g	BLACKROCK ENERGY AND RESOURCES	P	04/16/09	04/30/13
h	BLACKROCK ENERGY AND RESOURCES	P	12/10/08	04/30/13
i	COHEN & STEERS GLOBAL INCOME	P	12/12/08	06/07/13
j	HOME DEPOT INC	P	04/08/08	12/16/13
k	HRPT PROPERTIES TRUST	P	02/11/10	02/05/13
l	KAYNE ANDERSON ENERGY	P	06/18/07	04/30/13
m	KAYNE ANDERSON ENERGY	P	06/18/07	06/07/13
n	KAYNE ANDERSON ENERGY	P	12/10/08	06/07/13
o	KAYNE ANDERSON ENERGY	P	11/29/11	04/30/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	463.	458.	5.
b	486.	428.	58.
c	518.	449.	69.
d	559.	484.	75.
e	538.	400.	138.
f	603.	398.	205.
g	660.	407.	253.
h	7,535.	4,274.	3,261.
i	10,223.	4,462.	5,761.
j	25,000.	24,682.	318.
k	20,000.	19,356.	644.
l	13,856.	11,521.	2,335.
m	792.	683.	109.
n	13,354.	3,605.	9,749.
o	25,723.	21,390.	4,333.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			5.
b			58.
c			69.
d			75.
e			138.
f			205.
g			253.
h			3,261.
i			5,761.
j			318.
k			644.
l			2,335.
m			109.
n			9,749.
o			4,333.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ISHARES IBOXX	P	02/11/10	02/05/13
b	ISHARES IBOXX	P	07/18/13	09/27/13
c	ISHARES IBOXX	P	06/11/13	09/27/13
d	ISHARES IBOXX	P	01/02/13	06/11/13
e	ISHARES IBOXX	P	01/02/13	08/23/13
f	ISHARES IBOXX	P	04/16/13	08/23/13
g	ISHARES MSCI	P	06/11/12	04/16/13
h	ISHARES MSCI	P	10/18/12	03/11/13
i	ISHARES S & P	P	07/31/12	04/16/13
j	ISHARES S & P	P	10/18/12	07/18/13
k	ISHARES S & P	P	07/31/12	07/18/13
l	ISHARES S & P	P	06/11/13	08/23/13
m	ISHARES S & P	P	01/02/13	08/23/13
n	ISHARES S & P	P	10/18/12	08/23/13
o	ISHARES S & P	P	06/11/13	08/23/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 11,955.		10,465.	1,490.
b 3,851.		3,943.	<92.>
c 5,593.		5,624.	<31.>
d 1,274.		1,334.	<60.>
e 5,484.		5,942.	<458.>
f 672.		728.	<56.>
g 776.		630.	146.
h 13,178.		12,439.	739.
i 2,547.		2,304.	243.
j 5,690.		5,050.	640.
k 7,208.		6,079.	1,129.
l 745.		742.	3.
m 2,012.		1,779.	233.
n 7,972.		7,204.	768.
o 548.		543.	5.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,490.
b			<92.>
c			<31.>
d			<60.>
e			<458.>
f			<56.>
g			146.
h			739.
i			243.
j			640.
k			1,129.
l			3.
m			233.
n			768.
o			5.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ISHARES S & P	P	03/11/13	08/23/13
b	ISHARES U S PREFERRED STOCK	P	10/18/12	01/02/13
c	ISHARES U S PREFERRED STOCK	P	10/18/12	06/11/13
d	ISHARES U S PREFERRED STOCK	P	10/18/12	09/27/13
e	ISHARES U S PREFERRED STOCK	P	04/16/13	09/27/13
f	ISHARES 10 TO 20 YR TREASURY	P	07/31/12	02/14/13
g	ISHARES 10 TO 20 YR TREASURY	P	01/02/13	02/14/13
h	ISHARES 7 TO 10 YEAR TREASURY	P	04/17/12	01/02/13
i	ISHARES 7 TO 10 YEAR TREASURY	P	02/14/13	09/27/13
j	ISHARES 7 TO 10 YEAR TREASURY	P	05/14/13	09/27/13
k	POWERSHRES DB COMMODITY	P	06/11/12	03/11/13
l	SPDR GOLD TR	P	07/31/12	04/16/13
m	SPDR GOLD TR	P	01/02/13	04/16/13
n	VANGUARD FTSE EMERGING MARKETS	P	12/03/12	05/14/13
o	VANGUARD FTSE EMERGING MARKETS	P	12/03/12	06/11/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	13,219.	12,444.	775.
b	556.	563.	<7.>
c	1,418.	1,447.	<29.>
d	5,576.	5,907.	<331.>
e	569.	606.	<37.>
f	3,815.	4,040.	<225.>
g	3,288.	3,355.	<67.>
h	643.	630.	13.
i	3,775.	3,918.	<143.>
j	1,224.	1,286.	<62.>
k	7,532.	6,928.	604.
l	8,183.	9,581.	<1,398.>
m	537.	654.	<117.>
n	8,164.	7,807.	357.
o	4,194.	4,431.	<237.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			775.
b			<7.>
c			<29.>
d			<331.>
e			<37.>
f			<225.>
g			<67.>
h			13.
i			<143.>
j			<62.>
k			604.
l			<1,398.>
m			<117.>
n			357.
o			<237.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	VANGUARD FTSE EMERGING MARKETS	P	03/11/13	06/11/13
b	VANGUARD FTSE EMERGING MARKETS	P	03/11/13	11/04/13
c	WISDOMTREE JAPAN HEDGED EQUITY	P	05/14/13	09/27/13
d	WISDOMTREE JAPAN HEDGED EQUITY	P	01/02/13	06/11/13
e	WISDOMTREE JAPAN HEDGED EQUITY	P	01/02/13	07/18/13
f	WISDOMTREE JAPAN HEDGED EQUITY	P	04/16/13	07/18/13
g	ISHARES CREDIT BOND ETF	P	12/09/10	02/14/13
h	ISHARES INTERMEDIATE CREDIT	P	12/17/10	01/02/13
i	ISHARES INTERMEDIATE CREDIT	P	11/14/11	01/02/13
j	ISHARES INTERMEDIATE CREDIT	P	11/09/10	01/02/13
k	ISHARES MBS ETF	P	12/17/10	01/02/13
l	ISHARES MBS ETF	P	05/12/11	01/02/13
m	ISHARES MBS ETF	P	11/14/11	09/27/13
n	ISHARES MBS ETF	P	05/12/11	09/27/13
o	ISHARES S & P	P	02/11/11	04/16/13

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,238.		1,370.	<132.>
b	6,637.		6,984.	<347.>
c	5,880.		6,215.	<335.>
d	3,379.		3,750.	<371.>
e	3,175.		3,536.	<361.>
f	673.		748.	<75.>
g	3,135.		2,918.	217.
h	222.		210.	12.
i	2,665.		2,579.	86.
j	5,441.		5,307.	134.
k	1,296.		1,260.	36.
l	7,237.		7,129.	108.
m	6,342.		6,458.	<116.>
n	1,586.		1,596.	<10.>
o	1,546.		1,331.	215.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<132.>
b			<347.>
c			<335.>
d			<371.>
e			<361.>
f			<75.>
g			217.
h			12.
i			86.
j			134.
k			36.
l			108.
m			<116.>
n			<10.>
o			215.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ISHARES S & P	P	06/21/11	08/23/13
b	ISHARES S & P	P	02/11/11	08/23/13
c	ISHARES 7 TO 10 YEAR TREASURY	P	07/31/12	09/27/13
d	ISHARES 7 TO 10 YEAR TREASURY	P	04/17/12	09/27/13
e	SPDR S & P ETF	P	11/09/10	03/11/13
f	SPDR S & P ETF	P	11/09/10	04/16/13
g	SPDR S & P ETF	P	12/17/10	09/27/13
h	SPDR S & P ETF	P	11/09/10	09/27/13
i	SPDR S & P ETF	P	04/17/12	09/27/13
j	SPDR S & P ETF	P	06/21/11	09/27/13
k	SPDR S & P ETF	P	08/09/11	09/27/13
l	AIR PRODUCTS & CHEMICALS	P	05/20/13	10/01/13
m	CAPITAL ONE FINANCIAL CORP	P	02/01/13	10/04/13
n	CST BRANDS INC	P	11/12/12	05/01/13
o	CST BRANDS INC	P	11/12/12	05/08/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,615.		3,625.	990.
b 8,917.		7,226.	1,691.
c 7,243.		7,759.	<516.>
d 1,020.		1,050.	<30.>
e 1,090.		855.	235.
f 3,285.		2,564.	721.
g 1,350.		995.	355.
h 2,362.		1,709.	653.
i 5,568.		4,574.	994.
j 4,556.		3,492.	1,064.
k 12,487.		8,468.	4,019.
l 4,955.		4,334.	621.
m 704.		566.	138.
n 10.		7.	3.
o 183.		129.	54.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			990.
b			1,691.
c			<516.>
d			<30.>
e			235.
f			721.
g			355.
h			653.
i			994.
j			1,064.
k			4,019.
l			621.
m			138.
n			3.
o			54.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a CST BRANDS INC	P	11/01/12	05/08/13
b DARDEN RESTAURANTS INC	P	01/30/13	10/15/13
c DEVON ENERGY CORP	P	05/02/13	07/25/13
d EATON CORPORATION	P	11/30/12	03/06/13
e FORD MOTOR CO	P	11/01/12	01/13/13
f FORD MOTOR CO	P	11/01/12	07/10/13
g LIFE TECHNOLOGIES CORP	P	10/24/12	01/18/13
h LIFE TECHNOLOGIES CORP	P	10/24/12	02/22/13
i MICROSOFT CORP	P	11/05/12	04/11/13
j MICROSOFT CORP	P	11/05/12	04/22/13
k MICROSOFT CORP	P	11/05/12	05/02/13
l MICROSOFT CORP	P	11/12/12	05/02/13
m MICROSOFT CORP	P	01/22/13	05/02/13
n MOSAIC COMPANY	P	10/08/12	07/30/13
o OCCIDENTAL PETE CORP	P	08/15/12	05/03/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 189.		131.	58.
b 5,468.		4,992.	476.
c 1,243.		1,232.	11.
d 1,379.		1,142.	237.
e 1,326.		1,049.	277.
f 1,751.		1,160.	591.
g 730.		573.	157.
h 3,714.		3,057.	657.
i 2,015.		2,057.	<42.>
j 556.		529.	27.
k 1,110.		999.	111.
l 2,025.		1,755.	270.
m 2,156.		1,793.	363.
n 511.		657.	<146.>
o 540.		536.	4.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			58.
b			476.
c			11.
d			237.
e			277.
f			591.
g			157.
h			657.
i			<42.>
j			27.
k			111.
l			270.
m			363.
n			<146.>
o			4.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	OCCIDENTAL PETE CORP	P	06/24/13	12/12/13
b	PARTNERRE LTD	P	07/31/12	04/12/13
c	PROTOR & GAMBLE CO	P	06/19/12	01/30/13
d	PRUDENTIAL FINANCIAL INC	P	02/07/13	10/02/13
e	REGIONS FINANCIAL CORP	P	11/01/12	07/05/13
f	SUPERIOR ENERGY SERVICES INC	P	02/28/12	02/22/13
g	SYMANTEC CORPORATION	P	01/27/12	01/25/13
h	SYMANTEC CORPORATION	P	04/24/12	03/13/13
i	US AIRWAYS GROUP INC	P	06/24/13	07/02/13
j	VALERO ENERGY CORP NEW	P	11/01/12	01/03/13
k	VALERO ENERGY CORP NEW	P	11/01/12	02/06/13
l	VALERO ENERGY CORP NEW	P	11/01/12	10/23/13
m	VODAFONE GROUP PLC	P	06/24/13	10/11/13
n	VODAFONE GROUP PLC	P	12/20/12	10/11/13
o	ABBVIE INC	P	07/01/09	01/03/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,471.		2,380.	91.
b 553.		435.	118.
c 1,427.		1,184.	243.
d 2,173.		1,574.	599.
e 1,062.		696.	366.
f 675.		778.	<103.>
g 528.		408.	120.
h 755.		498.	257.
i 3,307.		3,172.	135.
j 1,544.		1,274.	270.
k 1,047.		666.	381.
l 979.		638.	341.
m 773.		599.	174.
n 703.		509.	194.
o 274.		194.	80.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			91.
b			118.
c			243.
d			599.
e			366.
f			<103.>
g			120.
h			257.
i			135.
j			270.
k			381.
l			341.
m			174.
n			194.
o			80.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ABBVIE INC	P	04/21/10	01/03/13
b	ABBVIE INC	P	02/02/11	01/03/13
c	CVS CAREMARK CORP	P	11/05/09	12/13/13
d	DEVON ENERGY CORP	P	04/26/12	07/23/13
e	DEVON ENERGY CORP	P	04/26/12	07/25/13
f	DEVON ENERGY CORP	P	05/08/12	07/25/13
g	DEVON ENERGY CORP	P	06/19/12	07/25/13
h	DOW CHEMICARL CO	P	04/03/12	07/24/13
i	DOW CHEMICAL CO	P	04/04/12	09/16/13
j	DOW CHEMICAL CO	P	04/03/12	09/16/13
k	EATON CORPORATION	P	11/30/12	05/02/13
l	EATON CORPORATION	P	11/30/12	05/02/13
m	EATON CORPORATION	P	11/30/12	05/02/13
n	EATON CORPORATION	P	11/30/12	05/02/13
o	FLEXTRONICS INTERNATIONAL	P	06/25/07	05/13/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 549.		432.	117.
b 960.		666.	294.
c 676.		292.	384.
d 804.		961.	<157.>
e 2,203.		2,676.	<473.>
f 1,695.		1,937.	<242.>
g 339.		347.	<8.>
h 2,315.		2,348.	<33.>
i 318.		268.	50.
j 557.		483.	74.
k 597.		516.	81.
l 717.		620.	97.
m 776.		671.	105.
n 2,747.		2,375.	372.
o 535.		825.	<290.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			117.
b			294.
c			384.
d			<157.>
e			<473.>
f			<242.>
g			<8.>
h			<33.>
i			50.
j			74.
k			81.
l			97.
m			105.
n			372.
o			<290.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a FLEXTRONICS INTERNATIONAL		P	08/16/07	07/26/13
b FLEXTRONICS INTERNATIONAL		P	06/25/07	07/26/13
c FLEXTRONICS INTERNATIONAL		P	08/15/07	07/26/13
d FLEXTRONICS INTERNATIONAL		P	03/11/08	07/26/13
e FLEXTRONICS INTERNATIONAL		P	08/07/07	07/26/13
f FLEXTRONICS INTERNATIONAL		P	04/15/08	07/26/13
g FLEXTRONICS INTERNATIONAL		P	05/16/08	11/25/13
h FLEXTRONICS INTERNATIONAL		P	04/15/08	11/25/13
i FLEXTRONICS INTERNATIONAL		P	04/22/08	11/25/13
j FLEXTRONICS INTERNATIONAL		P	11/02/09	11/25/13
k FLEXTRONICS INTERNATIONAL		P	10/28/08	11/25/13
l FLEXTRONICS INTERNATIONAL		P	07/19/12	11/25/13
m FLEXTRONICS INTERNATIONAL		P	10/08/08	11/25/13
n FLEXTRONICS INTERNATIONAL		P	10/24/08	11/25/13
o FLEXTRONICS INTERNATIONAL		P	07/08/10	11/25/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 169.		219.	<50.>
b 143.		187.	<44.>
c 127.		166.	<39.>
d 194.		221.	<27.>
e 59.		78.	<19.>
f 110.		121.	<11.>
g 601.		845.	<244.>
h 120.		149.	<29.>
i 60.		77.	<17.>
j 338.		295.	43.
k 98.		51.	47.
l 466.		391.	75.
m 293.		196.	97.
n 263.		131.	132.
o 601.		468.	133.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<50.>
b			<44.>
c			<39.>
d			<27.>
e			<19.>
f			<11.>
g			<244.>
h			<29.>
i			<17.>
j			43.
k			47.
l			75.
m			97.
n			132.
o			133.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FLEXTRONICS INTERNATIONAL	P	10/27/08	11/25/13
b	FLEXTRONICS INTERNATIONAL	P	02/05/10	11/25/13
c	GOOGLE INC	P	11/01/12	11/04/13
d	GOOGLE INC	P	11/01/12	12/23/13
e	HALLIBURTON COMPANY	P	11/09/10	02/06/13
f	HALLIBURTON COMPANY	P	11/09/10	09/06/13
g	HONEYWELL INTL INC	P	10/20/09	01/25/13
h	HONEYWELL INTL INC	P	08/17/09	01/25/13
i	HOSPIRA INC	P	05/25/10	02/14/13
j	HOSPIRA INC	P	08/24/10	02/14/13
k	HOSPIRA INC	P	05/13/09	02/14/13
l	HOSPIRA INC	P	10/31/11	02/14/13
m	KBR INC	P	10/30/09	01/22/13
n	KBR INC	P	05/15/09	01/22/13
o	METLIFE INC	P	08/25/10	03/14/13

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	360.		183.	177.
b	1,727.		1,521.	206.
c	1,024.		686.	338.
d	3,338.		2,059.	1,279.
e	2,446.		2,022.	424.
f	597.		404.	193.
g	408.		229.	179.
h	1,157.		594.	563.
i	482.		793.	<311.>
j	241.		408.	<167.>
k	693.		771.	<78.>
l	1,628.		1,703.	<75.>
m	244.		166.	78.
n	549.		308.	241.
o	1,488.		1,334.	154.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			177.
b			206.
c			338.
d			1,279.
e			424.
f			193.
g			179.
h			563.
i			<311.>
j			<167.>
k			<78.>
l			<75.>
m			78.
n			241.
o			154.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MOSAIC COMPANY	P	06/30/11	07/30/13
b	MOSAIC COMPANY	P	06/27/11	07/30/13
c	MOSAIC COMPANY	P	06/21/11	07/30/13
d	MOSAIC COMPANY	P	02/08/12	07/30/13
e	NORFOLK SOUTHERN CORP	P	05/07/09	03/28/13
f	OCCIDENTAL PETE CORP	P	08/15/12	12/06/13
g	OCCIDENTAL PETE CORP	P	08/15/12	12/12/13
h	OCCIDENTAL PETE CORP	P	10/01/12	12/12/13
i	ON SEMICONDUCTOR CORP	P	05/13/10	11/11/13
j	ON SEMICONDUCTOR CORP	P	08/23/11	11/11/13
k	ON SEMICONDUCTOR CORP	P	09/12/12	11/11/13
l	ON SEMICONDUCTOR CORP	P	05/18/12	11/11/13
m	PARTNERRE LTD	P	01/25/12	04/12/13
n	PRUDENTIAL FINANCIAL INC	P	11/09/11	05/03/13
o	PRUDENTIAL FINANCIAL INC	P	11/09/11	07/05/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,193.		1,853.	<660.>
b 1,193.		1,789.	<596.>
c 810.		1,219.	<409.>
d 597.		787.	<190.>
e 1,077.		517.	560.
f 1,947.		1,876.	71.
g 1,190.		1,161.	29.
h 2,105.		1,990.	115.
i 2,232.		2,503.	<271.>
j 569.		567.	2.
k 391.		368.	23.
l 711.		682.	29.
m 3,961.		2,744.	1,217.
n 655.		518.	137.
o 302.		207.	95.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<660.>
b			<596.>
c			<409.>
d			<190.>
e			560.
f			71.
g			29.
h			115.
i			<271.>
j			2.
k			23.
l			29.
m			1,217.
n			137.
o			95.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PRUDENTIAL FINANCIAL INC		P	06/21/12	07/05/13
b PRUDENTIAL FINANCIAL INC		P	06/21/12	10/02/13
c ROCKWELL AUTOMATION INC		P	10/24/12	11/04/13
d ROYAL DUTCH SHELL PLC		P	05/16/11	01/30/13
e ROYAL DUTCH SHELL PLC		P	05/16/11	06/11/13
f ROYAL DUTCH SHELL PLC		P	05/24/11	06/11/13
g SYMANTEC CORPORATION		P	01/27/12	03/13/13
h TARGET CORP		P	12/04/09	04/11/13
i TARGET CORP		P	10/07/10	04/11/13
j UNION PACIFIC CORP		P	10/23/09	05/02/13
k VALERO ENERGY CORP NEW		P	11/01/12	12/06/13
l VODAFONE GROUP PLC		P	01/13/11	05/02/13
m VODAFONE GROUP PLC		P	01/07/11	05/02/13
n VODAFONE GROUP PLC		P	01/13/11	09/04/13
o VODAFONE GROUP PLC		P	09/12/12	10/11/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 302.		190.	112.
b 3,415.		2,092.	1,323.
c 5,737.		3,579.	2,158.
d 363.		348.	15.
e 2,707.		2,853.	<146.>
f 1,849.		1,920.	<71.>
g 1,778.		1,241.	537.
h 208.		138.	70.
i 1,177.		918.	259.
j 4,419.		1,725.	2,694.
k 1,112.		638.	474.
l 180.		165.	15.
m 1,143.		1,042.	101.
n 774.		659.	115.
o 773.		618.	155.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			112.
b			1,323.
c			2,158.
d			15.
e			<146.>
f			<71.>
g			537.
h			70.
i			259.
j			2,694.
k			474.
l			15.
m			101.
n			115.
o			155.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a VODAFONE GROUP PLC		P	01/13/11	10/11/13
b VODAFONE GROUP PLC		P	04/01/11	10/11/13
c ISHARES MBS ETF		P	11/09/10	01/02/13
d ANNALY CAPITAL MANAGEMENT		P	12/21/12	12/19/13
e ANNALY CAPITAL MANAGEMENT		P	03/12/13	12/19/13
f ANNALY CAPITAL MANAGEMENT		P	06/28/13	12/19/13
g BANK OF NOVA SCOTIA		P	03/12/13	12/19/13
h BRISTOL MYERS SQUIBB CO		P	12/21/12	12/19/13
i CENTURYLINK INC		P	12/21/12	12/19/13
j CENTURYLINK INC		P	03/12/13	12/19/13
k FIRSTENERGY CORP		P	12/21/12	12/19/13
l FIRSTENERGY CORP		P	03/12/13	12/19/13
m FIRSTENERGY CORP		P	06/28/13	12/19/13
n HEALTH CARE REIT INC		P	12/21/12	03/12/13
o HEALTH CARE REIT INC		P	12/21/12	06/28/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,335.		1,043.	292.
b 2,389.		1,966.	423.
c 5,293.		5,379.	<86.>
d 832.		1,236.	<404.>
e 149.		231.	<82.>
f 1,149.		1,467.	<318.>
g 721.		710.	11.
h 770.		552.	218.
i 275.		354.	<79.>
j 1,316.		1,497.	<181.>
k 914.		1,204.	<290.>
l 441.		577.	<136.>
m 756.		901.	<145.>
n 130.		121.	9.
o 67.		61.	6.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			292.
b			423.
c			<86.>
d			<404.>
e			<82.>
f			<318.>
g			11.
h			218.
i			<79.>
j			<181.>
k			<290.>
l			<136.>
m			<145.>
n			9.
o			6.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a LOCKHEED MARTIN CORP		P	12/21/12	06/28/13
b LOCKHEED MARTIN CORP		P	12/21/12	12/19/13
c MICROCHIP TECHNOLOGY INC		P	05/30/12	03/12/13
d ROYAL DUTCH SHELL PLC		P	05/30/12	03/21/13
e ROYAL DUTCH SHELL PLC		P	03/12/13	03/21/13
f ALPS ETF TR ALERIAN MLP		P	12/28/11	06/28/13
g ALTRIA GROUP INC		P	12/28/11	12/19/13
h ANNALY CAPITAL MANAGEMENT		P	12/28/11	12/19/13
i ANNALY CAPITAL MANAGEMENT		P	01/26/12	12/19/13
j ANNALY CAPITAL MANAGEMENT		P	05/30/12	12/19/13
k BANK OF MONTREAL		P	12/28/11	12/19/13
l BRISTOL MYERS SQUIBB CO		P	12/28/11	03/12/13
m BRISTOL MYERS SQUIBB CO		P	12/28/11	06/28/13
n BRISTOL MYERS SQUIBB CO		P	01/26/12	06/28/13
o BRISTOL MYERS SQUIBB CO		P	05/30/12	06/28/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,291.		1,116.	175.
b 2,427.		1,581.	846.
c 331.		276.	55.
d 1,072.		1,023.	49.
e 871.		882.	<11.>
f 554.		479.	75.
g 380.		299.	81.
h 2,703.		4,422.	<1,719.>
i 1,277.		2,185.	<908.>
j 89.		151.	<62.>
k 718.		596.	122.
l 606.		563.	43.
m 5,027.		3,906.	1,121.
n 3,533.		2,550.	983.
o 91.		67.	24.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			175.
b			846.
c			55.
d			49.
e			<11.>
f			75.
g			81.
h			<1,719.>
i			<908.>
j			<62.>
k			122.
l			43.
m			1,121.
n			983.
o			24.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CENTURYLINK INC	P	12/28/11	06/28/13
b	CENTURYLINK INC	P	12/28/11	12/19/13
c	CENTURYLINK INC	P	01/26/12	12/19/13
d	DOMINION RESOURCES INC	P	12/28/11	12/19/13
e	ELI LILLY & CO	P	12/28/11	03/12/13
f	FIRSTENERGY CORP	P	12/28/11	12/19/13
g	FIRSTENERGY CORP	P	01/26/12	12/19/13
h	H J HEINZ CO	P	12/28/11	03/12/13
i	H J HEINZ CO	P	01/26/12	03/12/13
j	LORILLARD INC	P	12/28/11	06/28/13
k	LORILLARD INC	P	12/28/11	12/19/13
l	MERCK & CO INC	P	12/28/11	06/28/13
m	MICROCHIP TECHNOLOGY INC	P	05/30/12	12/19/13
n	NATIONAL RETAIL PROPERTIES, INC.	P	12/30/11	03/12/13
o	ROYAL DUTCH SHELL PLC	P	12/28/11	03/21/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 36.		37.	<1.>
b 3,519.		4,233.	<714.>
c 1,805.		2,212.	<407.>
d 898.		744.	154.
e 331.		248.	83.
f 2,772.		3,941.	<1,169.>
g 1,859.		2,509.	<650.>
h 5,582.		4,172.	1,410.
i 3,335.		2,421.	914.
j 874.		758.	116.
k 944.		720.	224.
l 519.		414.	105.
m 811.		582.	229.
n 384.		293.	91.
o 3,618.		4,063.	<445.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<1.>
b			<714.>
c			<407.>
d			154.
e			83.
f			<1,169.>
g			<650.>
h			1,410.
i			914.
j			116.
k			224.
l			105.
m			229.
n			91.
o			<445.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ROYAL DUTCH SHELL PLC	P	01/26/12	03/21/13
b				
c				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,144.		2,373.	<229.>
b			
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<229.>
b			
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	62,480.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

**C.W. AND DEE MCMULLEN COMMUNITY
FOUNDATION**

85-0472979

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
EASTERN NEW MEXICO BLUEGRASS ASSOCIATION 6572 QR AR TUCUMCARI, NM 88401		NON PROFIT ENTITY	NONPROFIT ORGANIZATION PROMOTION OF BLUEGRASS, OLD TIME COUNTRY, SPANISH HERITAGE AND GOSPEL	2,000.
EASTERN NEW MEXICO ARTSPACE 201 SOUTH SECOND TUCUMCARI, NM 88401		NON PROFIT ENTITY	HELPING ARTISTS LEARN ABOUT MARKETING AND BASIC SMALL BUSINESS SKILLS, PROVIDING A PLCE TO NETWORK AND	5,000.
Total from continuation sheets				7,000.

Part XV Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - EASTERN NEW MEXICO BLUEGRASS ASSOCIATION

NONPROFIT ORGANIZATION PROMOTION OF BLUEGRASS, OLD TIME COUNTRY,

SPANISH HERITAGE AND GOSPEL MUSIC

NAME OF RECIPIENT - EASTERN NEW MEXICO ARTSPACE

HELPING ARTISTS LEARN ABOUT MARKETING AND BASIC SMALL BUSINESS SKILLS,

PROVIDING A PLCE TO NETWORK AND SELL THEIR ART.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
POWERSHARES DB COMMODITY INDEX	1.	1.	
TOTAL TO PART I, LINE 3	1.	1.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
RBC CAPITAL MARKETS, LLC 311-77985	25,292.	0.	25,292.	25,292.	
RBC CAPITAL MARKETS, LLC 311-77991	3,906.	0.	3,906.	3,906.	
RBC CAPITAL MARKETS, LLC 311-77994	9,086.	0.	9,086.	9,086.	
RBC CAPITAL MARKETS, LLC 311-77996	4,225.	0.	4,225.	4,225.	
TO PART I, LINE 4	42,509.	0.	42,509.	42,509.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NET PASSTHROUGH INCOME LRR ENERGY LP	1,226.	1,226.	
NET PASSTHROUGH INCOME POWERSHARES DB COMMODITY INDEX	4.	4.	
TOTAL TO FORM 990-PF, PART I, LINE 11	1,230.	1,230.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
LEGAL AND ACCOUNTING	3,352.	3,352.			0.
TO FORM 990-PF, PG 1, LN 16B	3,352.	3,352.			0.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
CONSULTING FEE	6,911.	6,911.			0.
TO FORM 990-PF, PG 1, LN 16C	6,911.	6,911.			0.

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES	257.	257.			0.
CORPORATE COMMISSION	10.	10.			0.
TO FORM 990-PF, PG 1, LN 18	267.	267.			0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
CONTRACT LABOR	4,470.	4,470.			0.
INSURANCE	1,029.	1,029.			0.
INVESTMENT EXPENSES	21.	21.			0.
TO FORM 990-PF, PG 1, LN 23	5,520.	5,520.			0.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	8
DESCRIPTION		AMOUNT	
EXCISE TAX ROUNDING		970. 4.	
TOTAL TO FORM 990-PF, PART III, LINE 5		974.	

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
CORPORATE STOCK RBC 985 SEE ATTACHED DETAIL	225,149.	228,371.	
CORPORATE STOCK RBC 994 SEE ATTACHED DETAIL	161,409.	183,888.	
CORPORATE STOCK RBC 991 SEE ATTACHED DETAIL	185,948.	233,864.	
CORPORATE STOCK RBC 996 SEE ATTACHED DETAIL	120,142.	134,571.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	692,648.	780,694.	

FORM 990-PF	CORPORATE BONDS	STATEMENT	10
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
FIXED INCOME RBC 985 SEE ATTACHED DETAIL	204,190.	215,157.	
FIXED INCOME RBC 996 SEE ATTACHED DETAIL	80,430.	79,466.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	284,620.	294,623.	

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 11
TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
C.J. WIEGEL PO BOX 1304 TUCUMCARI, NM 88401	TRUSTEE 0.00	0.	0.	0.
GRACE PHILLIPS 2121 S. NINTH STREET TUCUMCARI, NM 88401	TRUSTEE 0.00	0.	0.	0.
PAUL QUINTANA PO BOX 648 TUCUMCARI, NM 88401	TRUSTEE 0.00	0.	0.	0.
DONALD WELLBORN PO BOX 985 TUCUMCARI, NM 88401	TRUSTEE 0.00	0.	0.	0.
PHILLIP H. BIDEGAIN 5859 QUAY ROAD BK TUCUMCARI, NM 88401	TRUSTEE 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

ASSET DETAIL

The Estimated Annualized Income ("EAI") for certain securities could include a return of principal or capital gains, in which case EAI depicted on this account statement would be overstated. EAI is only an estimate of income generated by the investment and the actual income may be higher or lower. In the event the investment matures, is sold or called, the full EAI may not be realized.

* The Unrealized Gain/Loss may not reflect your investments' total return. Specifically, the net cost may include dividend and capital gains distributions which have been reinvested. Additionally, the information that appears in these columns may be based on information provided by you or at your direction. RBC has not verified such data. Please see "About Your Statement" on page 2 for further information.

Your Financial Advisor has elected to display Asset Detail with the following options: asset purchases (tax lots) consolidated

CASH AND MONEY MARKET

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	CURRENT MARKET VALUE	PREVIOUS STATEMENT MARKET VALUE	YTD INCOME
PRIME MONEY MARKET FUND RBC RESERVE CLASS	TRMXX	101,776.760	\$1.000	\$101,776.76	\$74,934.04	\$6.89

TOTAL CASH AND MONEY MARKET

\$101,776.76

\$6.89

US EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
KAYNE ANDERSON ENERGY TOTAL RETURN FUND	KYE	518.554	\$27.350	\$14,182.44	\$10,623.85	\$3,558.59	\$995.62
					Purchase	\$218.33	\$547.47
					Reinvest	\$10,405.52	\$3,011.12
KAYNE ANDERSON MLP INVT CO	KYN	664.461	\$39.850	\$26,478.77	\$13,559.56	\$12,919.21	\$1,621.29
					Purchase	\$7,110.52	\$8,829.48
					Reinvest	\$6,449.04	\$4,089.73
LRR ENERGY LP COM	LRE	1,000.000	\$17.030	\$17,030.00	\$18,712.39	-\$1,682.39	\$1,950.00
OPPENHEIMER STEELPATH MLP SELECT 40 FD CL C	MLPEX	4,406.401	\$11.990	\$52,832.75	\$51,521.18	\$1,311.57	\$3,110.92
					Purchase	\$50,000.00	\$1,283.14
					Reinvest	\$1,521.18	\$28.41

TOTAL US EQUITIES

\$110,523.96

\$7,677.83

INTERNATIONAL EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
COHEN & STEERS GLOBAL INCOME BUILDER INC	INB	1,053.161	\$11.340	\$11,942.85	\$8,505.19	\$3,437.66	\$1,179.54
					Purchase	\$1,295.31	\$1,766.49
					Reinvest	\$7,209.88	\$1,671.16

TOTAL INTERNATIONAL EQUITIES

\$11,942.85

\$1,179.54



RBC Wealth Management

A division of RBC Capital Markets, LLC, Member NYSE/FINRA/SIPC

INVESTMENT ACCESS
ACCOUNT STATEMENT

DECEMBER 31, 2013 - DECEMBER 31, 2013

Account number:
311-77985
Page 5 of 12

TAXABLE FIXED INCOME

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
BANK OF AMERICA CORPORATION DEP SH REPSTG 1/1000TH 6.6250% SER I PFD	BACPRI	1,500,000	\$25.070	\$37,605.00	\$28,931.87	\$8,673.13	\$2,484.00
ISHARES J P MORGAN USD EMERGING MARKETS BOND ETF	EMB	100,000	\$108.160	\$10,816.00	\$9,847.45	\$968.55	\$514.20
EATON VANCE LIMITED DURATION INCOME FUND	EWV	2,493,000	\$15.300	\$38,142.90	\$45,493.27 Purchase \$30,913.19 Reinvest	-\$7,350.37 -\$6,264.89 -\$1,085.48	\$3,041.46
LORD ABBETT INVT TR FLTG RATE FD CL A	LFRA	4,213,575	\$9.500	\$40,028.96	\$40,206.54 Purchase \$40,000.00 Reinvest	-\$177.58 -\$180.41 \$2.84	\$1,879.25
LOOMIS SAYLES STRTEGC INC FD CL C	NECZX	703,212	\$16.470	\$11,581.90	\$10,629.98 Purchase \$10,000.00 Reinvest	\$951.92 \$928.99 \$22.92	\$392.39
REALTY INCOME CORP 6.75% PFD CL E CUM REDEEMABLE	OPRE	1,000,000	\$24.500	\$24,500.00	\$21,643.59	\$2,856.41	\$1,688.00
HSBC BK USA N A MC LEAN VA C/D FDIC 12YR STEP UP CD 2.75%-8/15/3.75%-8/19/5%-8/23 ORIGINAL ISSUE DISCOUNT PAR CALL 08/04/2011 NEXT STEP 08/04/2015 3.750% MOODY N/A S&P N/A	40431GVQ9 CPN 2.750% DUE 08/04/2023 DTD. 08/04/2011 BOOK ENTRY ONLY	25,000,000	\$102.671	\$25,667.75 \$107.36	\$25,000.00	\$667.75	\$687.50
GENWORTH FINANCIAL INC NT MOODY BAA3 S&P BBB-	37247DAB2 CPN 6.500% DUE 06/15/2034 DTD 06/15/2004 BOOK ENTRY ONLY	25,000,000	\$107.256	\$26,814.00 \$72.22	\$22,437.50	\$4,376.50	\$1,625.00
TOTAL TAXABLE FIXED INCOME ESTIMATED ACCRUED BOND INTEREST		60,009,787		\$215,156.51 \$179.58	\$204,190.20	\$10,966.31	\$12,311.80

MIXED ASSETS

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
TRANSAMERICA FDS TACTICAL INCOME CL C	IGTCX	5,350,348	\$10.190	\$54,520.05	\$53,780.10 Purchase \$50,000.00 Reinvest	\$739.95 \$696.51 \$43.43	\$1,813.77
TOTAL MIXED ASSETS				\$54,520.05	\$53,780.10	\$739.95	\$1,813.77

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CW&DEE MCMULLEN COMMUNITY FDNT
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OTHER ASSETS

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
AMERICAN CAPITAL AGENCY CORP COMMON STOCK	AGNC	500.000	\$19.290	\$9,645.00	\$14,771.40	-\$5,126.40	\$1,300.00
ANNALY CAPITAL MANAGEMENT INC	NLY	1,500.000	\$9.970	\$14,955.00	\$24,312.97	-\$9,357.97	\$1,800.00
HEALTH CARE REIT INC	HCN	500.000	\$53.570	\$26,785.00	\$29,361.69	-\$2,576.69	\$1,530.00
TOTAL OTHER ASSETS				\$51,385.00	\$68,446.06	-\$17,061.06	\$4,630.00

ASSET DETAIL

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Your Financial Advisor has elected to display Asset Detail with the following options: asset purchases (tax lots) consolidated

CASH AND MONEY MARKET

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	CURRENT MARKET VALUE	PREVIOUS STATEMENT MARKET VALUE	YTD INCOME
PRIME MONEY MARKET FUND	TRMXX	7,343.340	\$1.000	\$7,343.34	\$6,494.38	\$1.02
RBC RESERVE CLASS						
TOTAL CASH AND MONEY MARKET				\$7,343.34		\$1.02

US EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
ABBOTT LABORATORIES	ABT	232.000	\$38.330	\$8,892.56	\$7,206.07	\$1,686.49	\$204.16
ACTIVISION BLIZZARD INC	ATVI	324.000	\$17.830	\$5,776.92	\$5,565.02	\$211.90	\$61.56
AETNA INC NEW	AET	82.000	\$68.590	\$5,624.38	\$3,219.95	\$2,404.43	\$73.80
ANADARKO PETROLEUM CORP	APC	97.000	\$79.320	\$7,694.04	\$8,562.85	-\$868.81	\$69.84
BAXTER INTERNATIONAL INC	BAX	78.000	\$69.550	\$5,424.90	\$4,503.93	\$920.97	\$152.88
B&T CORP	BBT	170.000	\$37.320	\$6,344.40	\$5,922.61	\$421.79	\$156.40
CAPITAL ONE FINANCIAL CORP	COF	78.000	\$76.610	\$5,975.58	\$4,411.68	\$1,563.90	\$93.60
COMCAST CORP	CMCSA	128.000	\$51.965	\$6,651.52	\$5,504.00	\$1,147.52	\$99.84
CL A							
CONAGRA FOODS INC	CAG	182.000	\$33.700	\$6,133.40	\$5,526.74	\$606.66	\$182.00
CVS CAREMARK CORPORATION	CVS	85.000	\$71.570	\$6,083.45	\$3,249.56	\$2,833.89	\$93.50
DOW CHEMICAL CO.	DOW	142.000	\$44.400	\$6,304.80	\$4,523.88	\$1,780.92	\$181.76
EMC CORP-MASS	EMC	332.000	\$25.150	\$8,349.80	\$8,285.92	\$63.88	\$132.80
EXPRESS SCRIPTS HOLDING COMPANY	ESRX	87.000	\$70.240	\$6,110.88	\$5,137.30	\$973.58	
FORD MOTOR CO	F	518.000	\$15.430	\$7,992.74	\$6,389.90	\$1,602.84	\$207.20
PAR \$0.01							
GOOGLE INC	GOOG	5.000	\$1,120.710	\$5,603.55	\$3,559.87	\$2,043.68	
CL A							
HALLIBURTON COMPANY	HAL	112.000	\$50.750	\$5,684.00	\$3,598.29	\$2,085.71	\$67.20
HILTON WORLDWIDE HOLDINGS INC	HLT	267.000	\$22.250	\$5,940.75	\$5,819.76	\$120.99	



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RBC Wealth Management



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US EQUITIES (continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
HONEYWELL INTL INC	HON	72.000	\$91.370	\$6,578.64	\$2,902.17	\$3,676.47	\$129.60
KBR INC	KBR	159.000	\$31.890	\$5,070.51	\$3,949.68	\$1,120.83	\$50.88
MERCK & CO INC	MRK	178.000	\$50.050	\$8,908.90	\$8,377.81	\$531.09	\$313.28
METLIFE INC	MET	128.000	\$53.920	\$6,901.76	\$4,316.93	\$2,584.83	\$140.80
NATIONAL-OILWELL VARCO INC	NOV	73.000	\$79.530	\$5,805.69	\$4,938.28	\$867.41	\$75.92
NORFOLK SOUTHERN CORP	NSC	67.000	\$92.830	\$6,219.61	\$3,834.23	\$2,385.38	\$139.36
PROCTER & GAMBLE CO	PG	67.000	\$81.410	\$5,454.47	\$4,098.89	\$1,355.58	\$161.20
REGIONS FINANCIAL CORP	RF	530.000	\$9.890	\$5,241.70	\$3,645.84	\$1,595.86	\$63.60
STANLEY BLACK & DECKER INC	SWK	72.000	\$80.690	\$5,809.68	\$5,255.87	\$553.81	\$144.00
SUPERIOR ENERGY SERVICES INC	SPN	214.000	\$26.610	\$5,694.54	\$5,582.03	\$112.51	\$68.48
SYMANTEC CORPORATION	SYM	364.000	\$23.580	\$8,583.12	\$7,048.23	\$1,534.89	\$218.40
TARGET CORP	TGT	122.000	\$63.270	\$7,718.94	\$6,979.97	\$738.97	\$209.84
VALERO ENERGY CORP NEW	VLO	175.000	\$50.400	\$8,820.00	\$5,897.31	\$2,922.69	\$157.50
VANTIV INC CL A	VNTV	208.000	\$32.610	\$6,782.88	\$5,827.56	\$955.32	
TOTAL US EQUITIES				\$204,178.11	\$163,642.13	\$40,535.98	\$3,649.40

INTERNATIONAL EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
ACE LIMITED	ACE	61.000	\$103.530	\$6,315.33	\$3,480.80	\$2,834.53	\$153.72
AMDOCS LIMITED	DOX	153.000	\$41.240	\$6,309.72	\$5,616.63	\$693.09	\$79.56
CARNIVAL CORP COMMON PAIRED STOCK	CCL	166.000	\$40.170	\$6,668.22	\$5,489.29	\$1,178.93	\$166.00
NOVARTIS AG AMERICAN DEPOSITARY SHARES	NVS	78.000	\$80.380	\$6,269.64	\$5,318.04	\$951.60	\$160.45
WILLIS GROUP HOLDINGS PLC	WSH	92.000	\$44.810	\$4,122.52	\$2,400.86	\$1,721.66	\$103.04
TOTAL INTERNATIONAL EQUITIES				\$29,685.43	\$22,305.62	\$7,379.81	\$662.77

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CASH AND MONEY MARKET

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	CURRENT MARKET VALUE	PREVIOUS STATEMENT MARKET VALUE	YTD INCOME
PRIME MONEY MARKET FUND	TRMXX	3,456.230	\$1.000	\$3,456.23	\$6,996.91	\$0.53
RBC RESERVE CLASS						
TOTAL CASH AND MONEY MARKET				\$3,456.23		\$0.53

US EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
ALPS ETF TR ALERIAN MLP	AMLP	1,915.000	\$17.790	\$34,067.85	\$30,062.40	\$4,005.45	\$2,045.22
ALTRIA GROUP INC	MO	214.000	\$38.390	\$8,215.46	\$6,318.98	\$1,896.48	\$410.88
AMERICAN ELECTRIC POWER CO INC	AEP	176.000	\$46.740	\$8,226.24	\$8,154.45	\$71.79	\$352.00
CHEVRON CORPORATION	CVX	65.000	\$124.910	\$8,119.15	\$7,856.73	\$262.42	\$260.00
DARDEN RESTAURANTS INC	DRI	157.000	\$54.370	\$8,536.09	\$7,957.58	\$578.51	\$345.40
DIAMOND OFFSHORE DRILLING INC	DO	146.000	\$56.920	\$8,310.32	\$8,487.08	-\$176.76	\$73.00
DOMINION RESOURCES INC VA NEW	D	126.000	\$64.690	\$8,150.94	\$6,577.46	\$1,573.48	\$283.50
ELI LILLY & CO	LLY	160.000	\$51.000	\$8,160.00	\$6,673.26	\$1,486.74	\$313.60
LOCKHEED MARTIN CORP	LMT	57.000	\$148.660	\$8,473.62	\$5,281.44	\$3,192.18	\$303.24
LORILLARD INC	LO	164.000	\$50.680	\$8,311.52	\$6,273.81	\$2,037.71	\$360.80
MERCK & CO INC	MRK	168.000	\$50.050	\$8,408.40	\$6,424.93	\$1,983.47	\$295.68
MICROCHIP TECHNOLOGY INC	MCHP	192.000	\$44.750	\$8,592.00	\$5,880.11	\$2,711.89	\$272.26
TOTAL US EQUITIES				\$125,571.59	\$105,948.23	\$19,623.36	\$5,315.58

INTERNATIONAL EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
BANK OF MONTREAL	BMO	126.000	\$66.660	\$8,399.16	\$7,075.31	\$1,323.85	\$360.11
BANK OF NOVA SCOTIA	BNS	136.000	\$62.550	\$8,506.80	\$7,957.41	\$549.39	\$316.74
BCE INC NEW	BCE	198.000	\$43.290	\$8,571.42	\$8,061.45	\$509.97	\$442.93



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INTERNATIONAL EQUITIES (continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
HSBC HOLDINGS PLC SPONSORED ADR	HSBC	151.000	\$55.130	\$8,324.63	\$8,085.90	\$238.73	\$362.40
ROYAL DUTCH SHELL PLC SPONSORED ADR REPSTG B SHS	RDSB	114.000	\$75.110	\$8,562.54	\$8,274.31	\$288.23	\$410.40
TOTAL INTERNATIONAL EQUITIES				\$42,364.55	\$39,454.38	\$2,910.17	\$1,892.58

OTHER ASSETS

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
HEALTH CARE REIT INC	HCN	150.000	\$53.570	\$8,035.50	\$8,842.08	-\$806.58	\$459.00
NATIONAL RETAIL PROPERTIES INC	NNN	261.000	\$30.330	\$7,916.13	\$7,164.01	\$752.12	\$422.82
TOTAL OTHER ASSETS				\$15,951.63	\$16,006.09	-\$54.46	\$881.82

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CASH AND MONEY MARKET

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	CURRENT MARKET VALUE	PREVIOUS STATEMENT MARKET VALUE	YTD INCOME
CASH				\$620.38		
PRIME MONEY MARKET FUND RBC RESERVE CLASS	TRMXX	5,160.170	\$1.000	\$5,160.17	\$4,960.28	\$0.52
TOTAL CASH AND MONEY MARKET				\$5,780.55		\$0.52

US EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ANNUALIZED INCOME	ESTIMATED ANNUALIZED INCOME
ISHARES S&P 500 GROWTH ETF	IVW	143.000	\$98.750	\$14,121.25	\$12,817.09	\$1,304.16	\$204.35	\$204.35
SPDR S&P 500 ETF TRUST	SPY	249.000	\$184.690	\$45,987.81	\$40,119.02	\$5,868.79	\$834.40	\$834.40
STANDARD & POORS MIDCAP 400 SPDR S&P MIDCAP 400 ETF	MDY	113.000	\$244.200	\$27,594.60	\$25,181.57	\$2,413.03	\$294.37	\$294.37
TOTAL US EQUITIES				\$87,703.66	\$78,117.68	\$9,585.98	\$1,333.12	\$1,333.12

INTERNATIONAL EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ANNUALIZED INCOME	ESTIMATED ANNUALIZED INCOME
ISHARES MSCI EAFE ETF	EFA	500.000	\$67.095	\$33,547.50	\$28,822.19	\$4,725.31	\$851.50	\$851.50
WISDOMTREE JAPAN HEDGED EQUITY FUND	DXJ	262.000	\$50.840	\$13,320.08	\$13,202.17	\$117.91	\$161.92	\$161.92
TOTAL INTERNATIONAL EQUITIES				\$46,867.58	\$42,024.36	\$4,843.22	\$1,013.42	\$1,013.42

TAXABLE FIXED INCOME

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCURED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ANNUALIZED INCOME	ESTIMATED ANNUALIZED INCOME
ISHARES CREDIT BOND ETF	CFT	125.000	\$106.900	\$13,362.50	\$13,670.53	-\$308.03	\$471.63	\$471.63
ISHARES 1-3 YEAR CREDIT BOND ETF	CSJ	108.000	\$105.460	\$11,389.68	\$11,357.69	\$31.99	\$132.95	\$132.95



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TAXABLE FIXED INCOME (continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
PIMCO 0-5 YEAR HIGH YIELD CORPORATE BOND INDEX EXCHANGE TRADED FUND	HYS	124.000	\$106.360	\$13,188.64	\$13,028.92	\$159.72	\$573.50
ISHARES MBS ETF	MBB	202.000	\$104.570	\$21,123.14	\$21,724.10	-\$600.96	\$268.46
POWERSHARES GLOBAL EXCHANGE TRADED FD TR EMERGING MARKETS SOVEREIGN DEBT PORTFOLIO	PCY	238.000	\$27.000	\$6,426.00	\$6,516.44	-\$90.44	\$301.55
ISHARES 1 TO 3 YEAR TREASURY BOND ETF	SHY	127.000	\$84.380	\$10,716.26	\$10,725.15	-\$8.89	\$28.07
ISHARES 20 PLUS YEAR TREASURY BOND ETF	TLT	32.000	\$101.860	\$3,259.52	\$3,407.36	-\$147.84	\$106.11
TOTAL TAXABLE FIXED INCOME		956.000		\$79,465.74	\$80,430.19	-\$964.45	\$1,882.27