Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation
THE JAMIE PARSLEY FAMILY
FOUNDATION, INC.

Number and street (or P O box number if mail is not delivered to street address) Room/suite
7012 BREAKWATER PLACE

City or town, state or province, country, and ZIP or foreign postal code
PROSPECT, KY 40059-9683

A Employer identification number 84-1664591

B Telephone number 502.228.5325

C If exemption application is pending, check here ▶☐

D 1. Foreign organizations, check here ▶☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 2,268,188.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

		Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)	**(a)** Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received	550.		N/A	
	2	Check ▶ ☒ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	65,243.	65,243.		STATEMENT 1
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	73,945.			
	b	Gross sales price for all assets on line 6a 808,918.				
	7	Capital gain net income (from Part IV, line 2)		73,945.		
	8	Net short-term capital gain				
	9	Income modifications			1,718.	
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income				
	12	**Total.** Add lines 1 through 11	139,738.	139,188.	1,718.	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0.	0.		0.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees				
	b	Accounting fees STMT 2	4,900.	0.		4,900.
	c	Other professional fees STMT 3	9,212.	9,212.		0.
	17	Interest				
	18	Taxes STMT 4	1,433.	0.		0.
	19	Depreciation and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses STMT 5	438.	0.		438.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	15,983.	9,212.		5,338.
	25	Contributions, gifts, grants paid	118,800.			118,800.
	26	**Total expenses and disbursements.** Add lines 24 and 25	134,783.	9,212.		124,138.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	4,955.			
	b	**Net investment income** (if negative, enter -0-)		129,976.		
	c	**Adjusted net income** (if negative, enter -0-)			1,718.	

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Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	2,154.	7,351.	7,351.
	2	Savings and temporary cash investments	82,333.	22,135.	22,135.
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock STMT 7	378,659.	467,147.	658,836.
	c	Investments - corporate bonds STMT 8	259,330.	251,035.	245,137.
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 9	1,165,180.	1,150,258.	1,334,729.
	14	Land, buildings, and equipment: basis ▶ Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,887,656.	1,897,926.	2,268,188.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	1,887,656.	1,897,926.	
	30	**Total net assets or fund balances**	1,887,656.	1,897,926.	
	31	**Total liabilities and net assets/fund balances**	1,887,656.	1,897,926.	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,887,656.
2	Enter amount from Part I, line 27a	2	4,955.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 6	3	5,315.
4	Add lines 1, 2, and 3	4	1,897,926.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,897,926.

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FIFTH THIRD INVESTMENTS SEE ATTACHED	P		
b	FIFTH THIRD INVESTMENTS SEE ATTACHED	P		
c	MERRILL LYNCH SEE ATTACHED	P		
d	MERRILL LYNCH SEE ATTACHED	P		
e	CAPITAL GAINS DIVIDENDS			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	33,191.		29,096.	4,095.
b	418,134.		384,697.	33,437.
c	2,947.		2,733.	214.
d	352,378.		318,447.	33,931.
e	2,268.			2,268.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				4,095.
b				33,437.
c				214.
d				33,931.
e				2,268.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 73,945.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	144,041.	2,028,668.	.071003
2011	99,226.	2,012,745.	.049299
2010	174,217.	3,848,270.	.045272
2009	194,422.	3,511,443.	.055368
2008	230,841.	4,095,158.	.056369

2	**Total** of line 1, column (d)	2	.277311
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.055462
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	2,155,876.
5	Multiply line 4 by line 3	5	119,569.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,300.
7	Add lines 5 and 6	7	120,869.
8	Enter qualifying distributions from Part XII, line 4	8	124,138.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ **(attach copy of letter if necessary-see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	1,300.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3	Add lines 1 and 2			3	1,300.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	1,300.
6	Credits/Payments:				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,120.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	1,120.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	180.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶		**Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ KY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses STMT 10	10	X	

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ MR. ED PARSLEY Telephone no. ▶502.228.5325

Located at ▶7012 BREAKWATER PLACE, PROSPECT, KY ZIP+4 ▶40059

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______ , ______ , ______ , ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______ , ______ , ______ , ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969; (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A 5b

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 6b X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ARCHIE E. PARSLEY, JR 7012 BREAKWATER PLACE PROSPECT, KY 40059	PRESIDENT 2.00	0.	0.	0.
MARY K. PARSLEY 7012 BREAKWATER PLACE PROSPECT, KY 40059	SECRETARY 2.00	0.	0.	0.
KAREN MICHALAK PARSLEY 7012 BREAKWATER PLACE PROSPECT, KY 40059	DIRECTOR 2.00	0.	0.	0.
BRIAN EDWARD PARSLEY 7012 BREAKWATER PLACE PROSPECT, KY 40059	DIRECTOR 2.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	2,155,883.
b	Average of monthly cash balances		1b	32,824.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	2,188,707.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	2,188,707.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	32,831.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	2,155,876.
6	**Minimum investment return** Enter 5% of line 5		6	107,794.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	107,794.
2a	Tax on investment income for 2013 from Part VI, line 5	2a 1,300.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	1,300.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	106,494.
4	Recoveries of amounts treated as qualifying distributions		4	1,718.
5	Add lines 3 and 4		5	108,212.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	108,212.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	124,138.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	124,138.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,300.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	122,838.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					108,212.
2 Undistributed income, if any, as of the end of 2013 a Enter amount for 2012 only				53,999.	
b Total for prior years: _____, _____, _____			0.		
3 Excess distributions carryover, if any, to 2013:					
a From 2008					
b From 2009					
c From 2010					
d From 2011					
e From 2012					
f **Total** of lines 3a through e		0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 124,138. a Applied to 2012, but not more than line 2a				53,999.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2013 distributable amount					70,139.
e Remaining amount distributed out of corpus		0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))		0.			0.
6 **Enter the net total of each column as indicated below:** a Corpus Add lines 3f, 4c, and 4e Subtract line 5		0.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.				0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014					38,073.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)		0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7		0.			
9 **Excess distributions carryover to 2014** Subtract lines 7 and 8 from line 6a		0.			
10 Analysis of line 9:					
a Excess from 2009					
b Excess from 2010					
c Excess from 2011					
d Excess from 2012					
e Excess from 2013					

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	**(e) Total**
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

SEE STATEMENT 11

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 12

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
BOY & GIRLS CLUB OF KENTUCKIANA P.O. BOX 4989 LOUISVILLE, KY 40204	NONE	EXEMPT	PROGRAM GRANT	3,000.
CABBAGE PATCH SETTLEMENT HOUSE INC. 1413 SOUTH SIXTH STREET LOUISVILLE, KY 40208	NONE	EXEMPT	EDUCATIONAL OPPORTUNITIES PROGRAM GRANT	5,000.
CAMPBELLSVILLE UNIVERSITY 1 UNIVERSITY DRIVE CAMPBELLSVILLE, KY 42718	NONE	UNIVERSITY	SCHOLARSHIPS	2,500.
COLUMBIA UNIVERSITY 116TH ST & BROADWAY NEW YORK, NY 10027	NONE	UNIVERSITY	SCHOLARSHIPS	5,000.
DEPAUW UNIVERSITY 313 S LOCUST ST GREENCASTLE , IN 46135	NONE	UNIVERSITY	SCHOLARSHIPS	5,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	118,800.
b Approved for future payment NONE				
Total			▶ 3b	0.

Form **990-PF** (2013)

323611
10-10-13

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	65,243.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	73,945.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		139,188.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 139,188.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

▶ Archie E. Parsley | 5-9-14 | ▶ PRESIDENT

Signature of officer or trustee | Date | Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
CHRISTINE N KOENIG	Christine N Koenig	5.9.14		P01022180

Firm's name ▶ DEMING MALONE LIVESAY & OSTROFF PSC

Firm's EIN ▶ 61-1064249

Firm's address ▶ 9300 SHELBYVILLE RD STE 1100
LOUISVILLE, KY 40222-5187

Phone no. (502)426-9660

Form **990-PF** (2013)

THE JAMIE PARSLEY FAMILY FOUNDATION, INC. 84-1664591

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GREEN HILL THERAPY, INC 1410 LONG RUN ROAD LOUISVILLE, KY 40245	NONE	EXEMPT	PROGRAM GRANT	2,500.
HANOVER COLLEGE 359 E LAGRANGE ROAD HANOVER, IN 47243	NONE	COLLEGE	SCHOLARSHIPS	5,000.
HARVARD UNIVERSITY MASSACHUSETTS HALL CAMBRIDGE, MA 02138	NONE	UNIVERSITY	SCHOLARSHIPS	5,000.
HOSPARUS 3532 EPHRAIM MCDOWELL DRIVE LOUISVILLE, KY 40205	NONE	EXEMPT	GRANT-YOUTH GRIEF COUNSELING	3,000.
ILLINOIS WESLEYAN UNIVERSITY 1312 PARK ST. BLOOMINGTON, IL 61701	NONE	UNIVERSITY	SCHOLARSHIPS	5,000.
JEFFERSON COMMUNITY & TECHNICAL COLLEGE 200 W BROADWAY LOUISVILLE, KY 40202	NONE	COLLEGE	SCHOLARSHIPS	5,000.
LINCOLN HERITAGE COUNCIL BOY SCOUTS OF AMERICA 12001 SYCAMORE STATION PLACE LOUISVILLE, KY 40299	NONE	EXEMPT	GRANT-SCOUTING UNLIMITED & ADV. CAMP PROGRAM	2,500.
LOUISVILLE METRO POLICE FOUNDATION 982 EASTERN PARKWAY LOUISVILLE, KY 40217	NONE	EXEMPT	GRANT-PORTABLE BREATHALYZER KITS	3,000.
OUR LADY OF PEACE 2020 NEWBURG ROAD LOUISVILLE, KY 40205	NONE	EXEMPT	GRANT-IN SUPPORT OF RACHEL'S CHALLENGE PROGRAMS	5,800.
RHODES COLLEGE 2000 N. PARKWAY MEMPHIS, TN 38112	NONE	COLLEGE	SCHOLARSHIPS	5,000.
Total from continuation sheets				98,300.

323631
05-01-13

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ST JOSEPH CHILDREN'S HOME 2823 FRANKFORT AVE LOUISVILLE, KY 40206	NONE	EXEMPT	COMPUTERS FOR CHILD DEVELOPMENT CENTER	3,500.
UNIVERSITY OF DENVER 2199 S UNIVERSITY BLVD DENVER, CO 80208	NONE	UNIVERSITY	SCHOLARSHIPS	5,000.
UNIVERSITY OF KENTUCKY MAIN BUILDING LEXINGTON, KY 40506	NONE	UNIVERSITY	SCHOLARSHIPS	5,000.
UNIVERSITY OF LOUISVILLE 2323 SOUTH BROOK STREET LOUISVILLE, KY 40292	NONE	UNIVERSITY	SCHOLARSHIPS	10,000.
UNIVERSITY OF MISSISSIPPI UNIVERSITY AVENUE UNIVERSITY, MS 38677	NONE	UNIVERSITY	SCHOLARSHIPS	5,000.
UNIVERSITY OF NOTRE DAME UNIVERSITY OF NOTRE DAME NOTRE DAME, IN 46556	NONE	UNIVERSITY	SCHOLARSHIPS	5,000.
UNIVERSITY OF VERMONT 85 SOUTH PROSPECT STREET BURLINGON, VT 05405	NONE	UNIVERSITY	SCHOLARSHIPS	5,000.
YMCA SAFE PLACE SERVICES 555 SOUTH 2ND STREET LOUISVILLE, KY 40202	NONE	EXEMPT	GRANT-Y-NOW CHILDREN OF PRISONERS MONITORING PROGRAM	3,000.
WASHINGTON UNIVERSITY IN SAINT LOUIS 1 BROOKINGS DR. ST. LOUIS, MO 63130	NONE	UNIVERSITY	SCHOLARSHIPS	5,000.
WESTERN KENTUCKY UNIVERSITY 1906 COLLEGE HEIGHTS BLVD BOWLING GREEN, KY 42101	NONE	UNIVERSITY	SCHOLARSHIPS	10,000.
Total from continuation sheets				

323631
05-01-13

THE JAMIE PARSLEY FAMILY FOUNDATION, INC. 84-1664591

Attachment to Form 990-PF
Substantial Disposition of Assets

Expenditure Responsibility Statement
for the year 2013

The Jamie Parsley Family Foundation, Inc. provides the following information:

(I) Transferee	The Cory Foundation PO Box 99006 Louisville, KY 40269-0006
(II) Amount of Transfer:	February 2011 $1,954,360 FMV/ $1,870,442 historical cost (endowment)
(III) Purpose of Transfer:	Establish an endowment for The Cory Foundation, a private foundation that supports educational programs providing educational and athletic opportunities to non-profit organizations through grants and academic scholarships to students who meet specific criteria of the foundation; and to support operations of the foundation.
(IV) Report:	The Cory Foundation submitted a report on the 2011 endowment grant The endowment report reflected that the grant was properly added to the foundation's endowment
(V) Diversions:	To the knowledge of the transferor, no funds have been diverted to any activity other than the activities for which the grant was originally made
(VII) Verification:	The transferor has no reason to doubt the accuracy or reliability of the report from the transferee; therefore, no independent verification of the report was made.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
INVESTMENT INCOME	67,511.	2,268.	65,243.	65,243.	
TO PART I, LINE 4	67,511.	2,268.	65,243.	65,243.	

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION FEE	4,900.	0.		4,900.
TO FORM 990-PF, PG 1, LN 16B	4,900.	0.		4,900.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEE	9,212.	9,212.		0.
TO FORM 990-PF, PG 1, LN 16C	9,212.	9,212.		0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
2012 FEDERAL PAYMENT	313.	0.		0.
2013 ESTIMATED TAXES	1,120.	0.		0.
TO FORM 990-PF, PG 1, LN 18	1,433.	0.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK FEE	50.	0.		50.
POSTAGE	88.	0.		88.
WEBSITE EXPENSE	300.	0.		300.
TO FORM 990-PF, PG 1, LN 23	438.	0.		438.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 6

DESCRIPTION	AMOUNT
REPAYMENT OF PRIOR YEAR CONTRIBUTIONS	1,718.
BASIS ADJUSTMENT FOR CONTRIBUTED SECURITIES	3,597.
TOTAL TO FORM 990-PF, PART III, LINE 3	5,315.

FORM 990-PF CORPORATE STOCK STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
FIFTH THIRD BANK - SEE ATTACHED	467,147.	658,836.
TOTAL TO FORM 990-PF, PART II, LINE 10B	467,147.	658,836.

FORM 990-PF CORPORATE BONDS STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
FIFTH THIRD BANK - SEE ATTACHED	251,035.	245,137.
TOTAL TO FORM 990-PF, PART II, LINE 10C	251,035.	245,137.

FORM 990-PF OTHER INVESTMENTS STATEMENT 9

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
FIFTH THIRD BANK - ETFS AND MUTUAL FUNDS - SEE ATTACHED	COST	158,278.	167,071.
MERRILL LYNCH - MUTUAL FUNDS - SEE ATTACHED	COST	991,980.	1,167,658.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,150,258.	1,334,729.

FORM 990-PF LIST OF SUBSTANTIAL CONTRIBUTORS PART VII-A, LINE 10 STATEMENT 10

NAME OF CONTRIBUTOR	ADDRESS
ARCHIE E. PARSLEY, JR	7012 BREAKWATER PLACE PROSCPECT, KY 40059
MARY K. PARSLEY	7012 BREAKWATER PLACE PROSCPECT, KY 40059

FORM 990-PF PART XV - LINE 1A LIST OF FOUNDATION MANAGERS STATEMENT 11

NAME OF MANAGER

ARCHIE E. PARSLEY, JR
MARY K. PARSLEY

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 12
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

ARCHIE E. PARSLEY
C/O THE JAMIE PARSLEY FAMILY FOUNDATION 7012 BREAKWATER PLC
PROSPECT, KY 40059

TELEPHONE NUMBER

502.228.5325

FORM AND CONTENT OF APPLICATIONS

AN APPLICATION IS REQUIRED WITH SUPPORTING DOCUMENTATION AND MUST BE RECEIVED BY SPECIFIED DEADLINES. APPLICATION WAS ATTACHED TO 2011 RETURN.

ANY SUBMISSION DEADLINES

APPLICATIONS MUST BE RECEIVED BY FEBRUARY 1 FOR THE APRIL 1 AWARD AND AUGUST 1 FOR THE OCTOBER AWARD.

RESTRICTIONS AND LIMITATIONS ON AWARDS

GRANTS WILL BE AWARDED TO NONPROFIT ORGANIZATIONS WITH 501(C)(3) STATUS FOR SPECIFIC PROGRAMS WITH A CLEAR EDUCATIONAL COMPONENT. SCHOLARSHIPS ARE AWARDED FOR STUDENTS WHO ATTEND ACCREDITED UNDER-GRADUATE INSTITUTES OR ACADEMIC OR TRAINING CAMPS IN A NON-TRADITIONAL SPORT AND HAVE A MINIMUM 2.75 GPA.

[redacted]

EMA Fiscal Statement

Merrill Lynch
Wealth Management
Bank of America Corporation

FISCAL YEAR ACTIVITY

Date	Transaction	Quantity	Description	Price	Debit	Credit
			Electronic Transfers			
06/06/13	Wire Transfer		WIRE TRF OUTP43157013217		12,000 00	
07/22/13	Wire Transfer		WIRE TRF OUTP43203010149		40,000.00	
08/05/13	Wire Transfer		WIRE TRF OUTP43217006962		5,000 00	
12/26/13	Wire Transfer		WIRE TRF OUTP43360003609		8,500.00	
			Net Total		**65,500.00**	
			Other Activity			
01/15/13	Journal Entry		QRLY FEE $1,159.62		1,159.62	
04/09/13	Journal Entry		QRLY FEE $1,217.36		1,217.36	
07/09/13	Journal Entry		QRLY FEE $1,258.86		227.00	
07/18/13	Journal Entry		QRLY FEE $1,258.86		1,031.86	
10/08/13	Journal Entry		QRLY FEE $1,245.25		1,245.25	
			Net Total		**4,881.09**	

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
261.0000	LG MSN INV CNS FNL SVC I	04/22/09	03/28/13	3,961.98	2,235.13	1,726.85 LT
0 3550	L MASON INVESTMENT CNSL	04/22/09	03/28/13	5.38	3.04	2 34 LT
0.5200	LG MSN INV CNS FNL SVC I	12/13/12	03/28/13	7.89	4.69	3.20 ST
209.0000	AM CENT SM CAP VAL INVSTR	12/11/07	03/28/13	1,975.05	1,598.85	376.20 LT
54 0000	AM CENT SM CAP VAL INVSTR	12/28/07	03/28/13	510.30	410.40	99 90 LT
43.0000	AM CENT SM CAP VAL INVSTR	06/17/08	03/28/13	406.34	316.48	89.86 LT
45.0000	AM CENT SM CAP VAL INVSTR	09/16/08	03/28/13	425.25	326.70	98.55 LT
13.0000	AM CENT SM CAP VAL INVSTR	12/09/08	03/28/13	122.85	65 52	57.33 LT
14.0000	AM CENT SM CAP VAL INVSTR	12/09/08	03/28/13	132.29	70.56	61.73 LT
78.0000	AM CENT SM CAP VAL INVSTR	12/29/08	03/28/13	737.10	424.38	312 72 LT
94.0000	AM CENT SM CAP VAL INVSTR	06/16/09	03/28/13	888.30	532.98	355 32 LT
11.0000	AM CENT SM CAP VAL INVSTR	09/15/09	03/28/13	103 95	76.01	27.94 LT
1.0000	AM CENT SM CAP VAL INVSTR	09/16/09	03/28/13	9.44	7.06	2.38 LT

[redacted]

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
90.0000	LG MSN INV CNS FNL SVC I	10/19/09	07/19/13	1,523.70	939.60	584.10 LT
1.0000	LG MSN INV CNS FNL SVC I	10/20/09	07/19/13	16.93	10.32	6 61 LT
26.0000	LG MSN INV CNS FNL SVC I	12/29/09	07/19/13	440.18	269.88	170.30 LT
1.0000	LG MSN INV CNS FNL SVC I	12/30/09	07/19/13	16.93	10.37	6 56 LT
36.0000	LG MSN INV CNS FNL SVC I	10/12/10	07/19/13	609.48	385.20	224.28 LT
1.0000	LG MSN INV CNS FNL SVC I	10/12/10	07/19/13	16.93	10.07	6.86 LT
185.0000	LG MSN INV CNS FNL SVC I	10/12/10	07/19/13	3,132.06	1,979.50	1,152.56 LT
0 3540	L MASON INVESTMENT CNSL	10/12/10	07/19/13	5.99	3.78	2.21 LT
33 0000	MFS VALUE FD CL I	02/01/12	07/17/13	1,014.75	779.46	235.29 LT
0.6010	MFS VALUE FD CL I	02/01/12	07/17/13	18.48	14.19	4.29 LT
530 0000	MFS VALUE FD CL I	02/01/12	07/19/13	16,472.40	12,518.60	3,953.80 LT
0.2200	MFS VALUE FD CL I	02/01/12	07/19/13	6.84	5.19	1.65 LT
0.0910	MFS VALUE FD CL I	06/26/13	07/17/13	2.79	2.67	0.12 ST
1,529 0000	MAINSTAY LG CP GRTH CL I	12/29/10	07/19/13	14,357.31	11,115.83	3,241.48 LT
0.4970	MAINSTAY LARGE CAP	12/29/10	07/19/13	4.66	3.61	1.05 LT
0 0610	MAINSTAY LG CP GRTH CL I	12/28/12	07/19/13	0.57	0.48	0.09 ST
144.0000	CULLEN HIGH DIV EQTY I	02/01/12	07/19/13	2,292.48	1,890.72	401.76 LT
0.1260	CULLEN HIGH DIV EQTY I	06/28/13	07/19/13	2.01	1.95	0.06 ST
69.0000	BARON SMALL CAP FUND INS	11/04/10	08/02/13	2,236.98	1,529.04	707.94 LT
0.6070	BARON SMALL CAP FUND INS	11/04/10	08/02/13	19.68	13.45	6.23 LT
1.0000	BARON SMALL CAP FUND INS	11/30/11	08/02/13	32.42	22.48	9.94 LT
24.0000	BARON SMALL CAP FUND INS	11/30/11	08/02/13	778.08	533.76	244.32 LT
1 0000	BARON SMALL CAP FUND INS	12/19/11	08/02/13	32.42	22.18	10.24 LT
59.0000	BARON SMALL CAP FUND INS	12/19/11	08/02/13	1,912.78	1,308.62	604.16 LT
1.0000	AM CENT SM CAP VAL INVSTR	10/12/10	09/30/13	10.29	9.23	1.06 LT
242.0000	AM CENT SM CAP VAL INVSTR	11/04/10	09/30/13	2,492.60	2,040.06	452.54 LT
26.0000	AM CENT SM CAP VAL INVSTR	12/28/10	09/30/13	267.80	235.30	32.50 LT
1.0000	AM CENT SM CAP VAL INVSTR	06/14/11	09/30/13	10.30	10.03	0.27 LT
1.0000	AM CENT SM CAP VAL INVSTR	06/22/11	09/30/13	10.30	8.92	1.38 LT
33.0000	AM CENT SM CAP VAL INVSTR	06/22/11	09/30/13	339.91	296.01	43.90 LT
0.4850	AM CENT SM CAP VAL INVSTR	09/10/13	09/30/13	5.00	4.92	0.08 ST
0.3240	BARON SMALL CAP FUND INS	11/04/10	09/30/13	10.75	7.18	3.57 LT
25.0000	BARON SMALL CAP FUND INS	12/19/11	09/30/13	830.00	554.50	275.50 LT
12.0000	BARON SMALL CAP FUND INS	02/01/12	09/30/13	398.40	297.36	101 04 LT
0.2680	BARON SMALL CAP FD CL	02/01/12	09/30/13	8.90	3.94	4.96 LT
0.7520	DELAWARE EM MKT INST	03/28/13	09/30/13	11.93	11.07	0 86 ST
177 0000	DELAWARE EM MKT INST	03/28/13	09/30/13	2,807.22	2.605.44	201.78 ST
762 0000	MAINSTAY LG CP GRTH CL I	12/29/10	09/30/13	7,498.08	5,539.74	1,958 34 LT
0.2650	MAINSTAY I ARGE CAP	12/29/10	09/30/13	2.61	N/A	2.61 LT
0.5030	MAINSTAY LG CP GRTH CL I	12/29/10	09/30/13	4.95	3.65	1.30 LT

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REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
112.0000	PIMCO TOTAL RETURN FUND	11/30/10	11/20/13	1,218.56	1,286.88	(68.32) LT
1.0000	PIMCO TOTAL RETURN FUND	11/30/10	11/20/13	10.88	12.10	(1.22) LT
19.0000	PIMCO TOTAL RETURN FUND	11/30/10	11/20/13	206.72	225.90	(19.18) LT
249.0000	PIMCO TOTAL RETURN FUND	12/08/10	11/20/13	2,709 13	2,689.20	19.93 LT
0.2850	PIMCO TOTAL RETURN FUND	10/31/13	11/20/13	3.10	3.09	0 01 ST
4,781.0000	PIMCO REAL RETURN FUND CXL	02/01/12	11/20/13	53,547.20	57,706.67	4,159.47 LT
1.0000	PIMCO REAL RETURN FUND	02/01/12	11/20/13	11.20	12.07	N/C
7.0000	PIMCO REAL RETURN FUND	02/01/12	11/20/13	78.40	84.49	N/C
29.0000	PIMCO REAL RETURN FUND	02/01/12	11/20/13	324.80	350.03	N/C
4,744.0000	PIMCO REAL RETURN FUND	02/01/12	11/20/13	53,132.80	57,260.08	(4,127.28) LT
380.0000	PIMCO TOTAL RETURN FUND CXL	11/04/10	11/20/13	4,134.39	4,472 60	338.21 LT
1.0000	PIMCO TOTAL RETURN FUND	11/04/10	11/20/13	10.88	11.77	N/C
10.0000	PIMCO TOTAL RETURN FUND	11/04/10	11/20/13	108.80	117.70	N/C
29.0000	PIMCO TOTAL RETURN FUND	11/04/10	11/20/13	315.52	341.33	N/C
1.0000	PIMCO TOTAL RETURN FUND	11/04/10	11/20/13	10.88	11.77	N/C
5.0000	PIMCO TOTAL RETURN FUND	11/04/10	11/20/13	54.40	58.85	N/C
334.0000	PIMCO TOTAL RETURN FUND	11/04/10	11/20/13	3,633.91	3,931.18	(297.27) LT

ACCOUNT ACTIVITY (continued)

Date	Description	Cost Basis	Income Cash	Principal Cash
Cash Equivalents Sales				
01/31/13	FIFTH THIRD BANKSAFE TRUST MONTHLY MONEY MARKET WITHDRAWAL	$(49,170 00)		$49,170 00
01/31/13	FIFTH THIRD BANKSAFE TRUST MONTHLY MONEY MARKET WITHDRAWAL	$(861 00)	$861.00	
	Total Cash Equivalents Sales	***$(50,031.00)***	***$861.00***	***$49,170.00***
Corporate Actions				
01/28/13	GILEAD SCIENCES INC COMMON RECEIVED 01/28/13 83 SHARES AS 100.00% STOCK SPLIT			
	Total Corporate Actions			
Adjustments				
01/16/13	VANGUARD REIT ETF ADJUSTMENT OF TAX COST FOR RETURN OF CAPITAL	$(346.73)		
01/16/13	VANGUARD REIT ETF ADJUSTMENT OF TAX COST FOR RETURN OF CAPITAL	$(162 56)		
01/16/13	VANGUARD REIT ETF ADJUSTMENT OF TAX COST FOR RETURN OF CAPITAL	$(90.38)		
	Total Adjustments	***$(599.67)***		

GAIN / (LOSS) ON SALE OF SECURITIES

Date	Description	Quantity	Sale Price	Proceeds	Cost Basis	Realized Gain/(Loss) Short-Term	Realized Gain/(Loss) Long-Term
01/16/13	ROYCE FD PREMIER INVT	902.9650	$19.79	$17,869.68	$15,112 54		$2,757.14
	Net Gain/(Loss) on Securities Sold			**$17,869.68**	**$15,112.54**	**$0.00**	**$2,757.14**

GAIN / (LOSS) ON SALE OF SECURITIES

Date	Description	Quantity	Sale Price	Proceeds	Cost Basis	Realized Gain/(Loss) Short-Term	Realized Gain/(Loss) Long-Term
02/26/13	PIMCO LOW DURATION FUND	1,367.0000	$10.50	$14,353.50	$14,271.48		$82.02
02/27/13	ASG MANAGED FUTURES STRAG FD	1,965 5500	$9 09	$17,866.85	$20,208.58	$(208 63)	$(2,133 10)
02/28/13	ISHARES BARCLAYS TIPS BOND FUND	125 0000	$120.70	$15,082.16	$13,421 05		$1,661.11
02/28/13	JPM ALERIAN MLP INDEX ETN	752 0000	$43 30	$32,530.79	$28,341.52	$4,189.27	
02/28/13	SPDR GOLD TRUST	61 0000	$153.66	$9,370.61	$8,309 19		$1,061 42
02/28/13	VANGUARD REIT ETF	293 0000	$68 96	$20,193.11	$16,571 37		$3,621 74
	Net Gain/(Loss) on Securities Sold			**$109,397.02**	**$101,123.19**	**$3,980.64**	**$4,293.19**

*** * * * End of statement for Investment Account 14-14-000-6928428 * * * ***

GAIN / (LOSS) ON SALE OF SECURITIES

Date	Description	Quantity	Sale Price	Proceeds	Cost Basis	Realized Gain/(Loss) Short-Term	Realized Gain/(Loss) Long-Term
04/10/13	MCKESSON CORPORATION	75 0000	$106.87	$8,011.91	$6,075.20	$89.16	$1,847.55
04/10/13	MICROSOFT CORP	100.0000	$28.45	$2,840.94	$2,631.95		$208.99
04/17/13	AQR FDS RISK PARITY FD CL I	682.0000	$11.94	$8,143.08	$7,085.78		$1,057.30
04/17/13	PIMCO ALL ASSET ALL AUTH FUND	1,578.0000	$11.01	$17,373.78	$17,847 18		$(473.40)
04/17/13	PIMCO LOW DURATION FUND	971.0000	$10.52	$10,214.92	$10,171.34		$43.58
04/19/13	ISHARES BARCLAYS TIPS BOND FUND	63 0000	$121 87	$7,675.11	$6,578.11		$1,097.00
	Net Gain/(Loss) on Securities Sold			**$54,259.74**	**$50,389.56**	**$89.16**	**$3,781.02**

*** * * * End of statement for Investment Account 14-14-000-6928428 * * * ***

ACCOUNT ACTIVITY (continued)

Date	Description	Cost Basis	Income Cash	Principal Cash
Security Sales & Maturities				**(continued)**
	B.RILEY & CO., LLC 05/02 SALE 101 SHS @ 68 0216 MISC .15 COMM 4.04			
	Total Security Sales & Maturities	*$(13,871.46)*		*$16,925.17*
Cash Equivalents Purchases				
05/31/13	FIFTH THIRD BANKSAFE TRUST MONTHLY MONEY MARKET DEPOSIT	$1,015.00	$(1,015.00)	
	Total Cash Equivalents Purchases	*$1,015.00*	*$(1,015.00)*	
Cash Equivalents Sales				
05/31/13	FIFTH THIRD BANKSAFE TRUST MONTHLY MONEY MARKET WITHDRAWAL	$(3,533.00)		$3,533.00
	Total Cash Equivalents Sales	*$(3,533.00)*		*$3,533.00*

GAIN / (LOSS) ON SALE OF SECURITIES

			Sale			Realized Gain/(Loss)	
Date	Description	Quantity	Price	Proceeds	Cost Basis	Short-Term	Long-Term
05/07/13	CATERPILLAR INC	48.0000	$84.27	$4,042.88	$4,107 78		$(64.90)
05/07/13	GOLDMAN SACHS GROUP	42.0000	$143.29	$6,016.30	$6,128.69		$(112.39)
05/07/13	YUMI BRANDS INC	101.0000	$68.02	$6,865.99	$3,634.99		$3,231.00
	Net Gain/(Loss) on Securities Sold			**$16,925.17**	**$13,871.46**	**$0.00**	**$3,053.71**

*** * * * End of statement for Investment Account 14-14-000-6928428 * * * ***

ACCOUNT ACTIVITY (continued)

Date	Description	Cost Basis	Income Cash	Principal Cash
Security Purchases (continued)				
	05/16/08 5 650 05/16/18 SOUTHWEST SECURITIES, INC 06/10 PURC 25,000 PAR @ 117 7049			
	Total Security Purchases	***$85,287.98***		***$(85,287.98)***
Security Sales & Maturities				
06/11/13	PIMCO TOTAL RETURN FUND MATRIX CLEARING CORP REDEEMED ON 06/10/13 @ 10 97 8,384 529 UNITS	$(87,750.59)		$91,978.28
06/11/13	PIMCO LOW DURATION FUND MATRIX CLEARING CORP REDEEMED ON 06/10/13 @ 10 35 379 UNITS	$(3,979 50)		$3,922 65
	Total Security Sales & Maturities	***$(91,730.09)***		***$95,900.93***
Cash Equivalents Purchases				
06/28/13	FIFTH THIRD BANKSAFE TRUST MONTHLY MONEY MARKET DEPOSIT	$1,976.00	$(1,976.00)	
	Total Cash Equivalents Purchases	***$1,976.00***	***$(1,976.00)***	
Cash Equivalents Sales				
06/28/13	FIFTH THIRD BANKSAFE TRUST MONTHLY MONEY MARKET WITHDRAWAL	$(1,387 00)		$1,387 00
	Total Cash Equivalents Sales	***$(1,387.00)***		***$1,387.00***

GAIN / (LOSS) ON SALE OF SECURITIES

						Realized Gain/(Loss)	
Date	Description	Quantity	Sale Price	Proceeds	Cost Basis	Short-Term	Long-Term
06/11/13	PIMCO TOTAL RETURN FUND	8,384 5290	$10 97	$91,978 28	$87,750 59		$4,227 69
06/11/13	PIMCO LOW DURATION FUND	379 0000	$10.35	$3,922 65	$3,979.50		$(56 85)
	Net Gain/(Loss) on Securities Sold			**$95,900.93**	**$91,730.09**	**$0.00**	**$4,170.84**

ACCOUNT ACTIVITY (continued)

Date	Description	Cost Basis	Income Cash	Principal Cash
Cash Equivalents Sales				
07/31/13	FIFTH THIRD BANKSAFE TRUST MONTHLY MONEY MARKET WITHDRAWAL	$(6,350 00)	$6,350.00	
	Total Cash Equivalents Sales	*$(6,350.00)*	*$6,350.00*	

GAIN / (LOSS) ON SALE OF SECURITIES

Date	Description	Quantity	Sale Price	Proceeds	Cost Basis	Realized Gain/(Loss) Short-Term	Realized Gain/(Loss) Long-Term
07/11/13	AQR FDS RISK PARITY FD CL I	1,337 1870	$11.01	$14,722 43	$14,314.70		$407 73
07/11/13	OPPENHEIMER INTL BD FD CL Y	893.0000	$6.06	$5,411.58	$5,661.62		$(250 04)
07/15/13	ISHARES BARCLAYS TIPS BOND FUND	87.0000	$110 96	$9,650 00	$8,105.65		$1,544.35
07/26/13	ACCENTURE PLC CLASS A COMMON	8 0000	$74.52	$595 82	$416 08		$179 74
07/26/13	AT&T INC	33.0000	$35.74	$1,178 07	$916 08		$261 99
07/26/13	AMERICAN EXPRESS CO	15 0000	$74.62	$1,118 68	$861 70		$256 98
07/26/13	APPLE INC	3 0000	$422.68	$1,267 89	$951.24		$316 65
07/26/13	AUTOMATIC DATA PROCESSING INC	10 0000	$72 80	$727 58	$510 00		$217 58
07/26/13	BERKSHIRE HATHAWAY INC DEL	10 0000	$118.68	$1,186 37	$830.80		$355 57
07/26/13	CHEVRON CORPORATION	11 0000	$127.05	$1,397 08	$619 41		$777 67
07/26/13	CHUBB CORP	16.0000	$87.92	$1,406.05	$657 41		$748 64
07/26/13	CITIGROUP INC	22.0000	$52.98	$1,164 65	$1,108.80		$55 85
07/26/13	COCA COLA CO	23.0000	$40.98	$941 60	$667.23		$274 37
07/26/13	DEERE & CO	11.0000	$84 53	$929 37	$868 01		$61 36
07/26/13	DU PONT E I DE NEMOURS & CO	14.0000	$58.47	$818 00	$672 28		$145 72
07/26/13	EXXON MOBIL CORP	14 0000	$95 30	$1,333 61	$1,207 64		$125.97
07/26/13	GENERAL ELECTRIC CO	56.0000	$24 71	$1,381 49	$908 26		$473.23
07/26/13	GILEAD SCIENCES INC COMMON	18.0000	$59 39	$1,068 28	$373 14		$695.14
07/26/13	HOME DEPOT INC	16.0000	$80 12	$1,281 25	$384 04		$897 21
07/26/13	HONEYWELL INTL INC	12 0000	$83.29	$998 98	$452.04		$546 94
07/26/13	INTEL CORP	45 0000	$22 67	$1,018.33	$1,218 96		$(200 63)
07/26/13	INTERNATIONAL BUSINESS MACHS	6 0000	$194 77	$1,168 35	$696 18		$472 17
07/26/13	JP MORGAN CHASE & CO	28 0000	$56.58	$1,583 09	$1,119.18		$463 91
07/26/13	JOHNSON & JOHNSON	16 0000	$92 59	$1,480 77	$1,058 40		$422 37
07/26/13	MCDONALDS CORP	10 0000	$97 28	$972 38	$322.90		$649 48
07/26/13	MICROSOFT CORP	21 0000	$31 80	$666.94	$547.47		$119 47
07/26/13	MYLAN INC	32 0000	$32.31	$1,032 62	$644 13		$388 49

GAIN / (LOSS) ON SALE OF SECURITIES (continued)

Date	Description	Quantity	Sale Price	Proceeds	Cost Basis	Realized Gain/(Loss) Short-Term	Realized Gain/(Loss) Long-Term
07/26/13	NEXTERA ENERGY INC	7 0000	$85.26	$596.52	$316.89		$279 63
07/26/13	ORACLE CORPORATION	14 0000	$32 01	$447.57	$464.78	$(17 21)	
07/26/13	PEPSICO INC COMMON	14.0000	$86.20	$1,206.21	$764 54		$441 67
07/26/13	PFIZER INC	45.0000	$29 39	$1,320.72	$923.78		$396.94
07/26/13	PHILLIPS 66 COM	22.0000	$59 38	$1,305 45	$303 57		$1,001 88
07/26/13	PRAXAIR INC	4.0000	$117 24	$468 79	$345 21		$123 58
07/26/13	PROCTER & GAMBLE CO	17 0000	$81.15	$1,378.84	$900 00		$478.84
07/26/13	SCHLUMBERGER LTD	11.0000	$83.58	$918.92	$826.76		$92 16
07/26/13	STARBUCKS CORP	20.0000	$68 55	$1,370.17	$729.19		$640 98
07/26/13	TJX COS INC NEW	7.0000	$52 05	$364.06	$321 76	$42 30	
07/26/13	UNITED TECHNOLOGIES CORP	8.0000	$104.65	$836.86	$394.96		$441 90
07/26/13	WALGREEN CO	18.0000	$51.12	$919 42	$624.32		$295.10
	Net Gain/(Loss) on Securities Sold			**$67,634.79**	**$53,009.11**	**$25.09**	**$14,600.59**

*** * * * End of statement for Investment Account 14-14-000-6928428 * * * ***

ACCOUNT ACTIVITY (continued)

Date	Description	Cost Basis	Income Cash	Principal Cash
Cash Equivalents Purchases				
08/30/13	FIFTH THIRD BANKSAFE TRUST MONTHLY MONEY MARKET DEPOSIT	$662 00	$(662.00)	
08/30/13	FIFTH THIRD BANKSAFE TRUST MONTHLY MONEY MARKET DEPOSIT	$3,215 00		$(3,215.00)
	Total Cash Equivalents Purchases	*$3,877.00*	*$(662.00)*	*$(3,215.00)*

GAIN / (LOSS) ON SALE OF SECURITIES

			Sale			Realized Gain/(Loss)	
Date	Description	Quantity	Price	Proceeds	Cost Basis	Short-Term	Long-Term
08/01/13	PIMCO ALL ASSET ALL AUTH FUND	2,881.5050	$10 28	$29,621.87	$32,183 94		$(2,562 07)
08/01/13	PIMCO LOW DURATION FUND	3,652 1540	$10.28	$37,544.14	$38,161 53		$(617.39)
08/07/13	GILEAD SCIENCES INC COMMON	45.0000	$61.54	$2,767.39	$932 85		$1,834 54
08/22/13	COCA COLA CO	35 0000	$38 78	$1,355 72	$1,015 35		$340 37
08/29/13	INTERNATIONAL BUSINESS MACHS	25.0000	$185.96	$4,648 02	$2,900 75		$1,747 27
	Net Gain/(Loss) on Securities Sold			**$75,937.14**	**$75,194.42**	**$0.00**	**$742.72**

*** * * * End of statement for Investment Account 14-14-000-6928428 * * * ***

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EMA Fiscal Statement

Merrill Lynch
Wealth Management
Bank of America Corporation

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/13	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
	Cash and Money Funds						
	CASH		0.96	0.96			
3,620	ML BANK DEPOSIT PROGRAM	07/19/13	3,620 00	3,620.00			1.09
	Total Cash and Money Funds		**3,620.96**	**3,620.96**			**1.09**

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/13	Unrealized Gain or (Loss)	Est Annual Income
	Mutual Funds/Closed End Funds/UIT					
3,238	L MASON INVESTMENT CNSL FINANCIAL SERVICES FD I	10/12/10	36,259.69	60,939.16	24,679.47	233.00
	.3580 Fractional Share	12/12/13	6 46	6.74	0.28	1.00
3,775	AMERICAN CENTURY SMALL CAP VAL FD INVESTOR CL	06/22/11	31,880.82	36.730 75	4,849.93	340.00
	.0340 Fractional Share	12/23/13	0.33	0.33		
1,727	BARON SMALL CAP FD CL INST	02/01/12	43,466.04	60,824.94	17,358.90	
	.8740 Fractional Share	11/27/13	22 31	30.78	8.47	
3,559	DELAWARE EMERGING MARKETS FUND INSTL CL	03/28/13	53,530 49	57,904.93	4,374 44	548 00
	.4710 Fractional Share	12/23/13	7.53	7.66	0.13	1.00
4,992	MFS VALUE FD CL I	02/01/12	122,272 46	166,533.12	44,260 66	2,775.00
	.6380 Fractional Share	12/10/13	20 63	21.28	0.65	1.00

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CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/13	Unrealized Gain or (Loss)	Est Annual Income
	.4310 Fractional Share	12/12/13	26 43	27.08	0.65	1.00
	Total Mutual Funds/Closed End Funds/UIT		**991,980.41**	**1,167,658.26**	**175,677.85**	**22,078.00**

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PORTFOLIO POSITIONS

Quantity	Symbol	Description	Current Price	Market Value	% of Acct	Cost Basis	Unrealized Gain/(Loss)	Est. Annual Income	Est. Yield
Cash & Equivalents									
1.1900		CASH	$1.000	$1 19	0 0%	$1.19			
12,131 0000		FIFTH THIRD BANKSAFE TRUST (INCOME INVESTMENT) CUSIP - 316775907	$1.000	$12,131 00	1 1%	$12,131.00		$0.36	
6,382.0000		FIFTH THIRD BANKSAFE TRUST CUSIP - 316775907	$1 000	$6,382 00	0 6%	$6,382 00		$0.19	
		Cash & Equivalents - Total		*$18,514.19*	*1.7%*	*$18,514.19*		*$0.55*	
Fixed Income									
25,000.0000		BHP BILLITON FIN 03/25/09 6.500 04/01/14 CUSIP - 055451AH1	$119 889	$29,972 25	2.8%	$30,939 00	$(966.75)	$1,625.00	5.4%
2,931.0000	EIBLX	EATON VANCE MUT FDS TR FLTG RATE FD CL I CUSIP - 277911491	$9 190	$26,935.89	2.5%	$26,893.04	$42 85	$1,052.23	3 9%
25,000 0000		EBAY INC 07/24/12 1 350 07/15/17 CUSIP - 278642AG8	$99.430	$24,857 50	2.3%	$24,922 75	$(65.25)	$337.50	1 4%
6,042.0000	OIBYX	OPPENHEIMER INTL BD FD CL Y CUSIP - 68380T509	$6.080	$36,735.36	3.4%	$39,510 42	$(2,775 06)	$1,492.37	4 1%
25,000 0000		PHILIP MORRIS INTL INC 05/16/08 5.650 05/16/18 CUSIP - 718172AA7	$114 852	$28,713.00	2.6%	$29,426 23	$(713.23)	$1,412.50	4 9%
6,024 0000	PRHYX	T ROWE HIGH YIELD FD-INV CUSIP - 741481105	$7 150	$43,071 60	4.0%	$41,386 67	$1,684 93	$2,632 49	6 1%
3,413.0000	TGEIX	TCW FDS INC EMERGING MKTS INCOME FD CL I CUSIP - 87234N765	$8 400	$28,669 20	2.6%	$31,842 38	$(3,173.18)	$1,672.37	5.8%

PORTFOLIO POSITIONS (continued)

Quantity	Symbol	Description	Current Price	Market Value	% of Acct	Cost Basis	Unrealized Gain/(Loss)	Est. Annual Income	Est. Yield
Fixed Income								(continued)	
25,000 0000		WELLS FARGO & CO MTN 12/12/11 2 625 12/15/16 CUSIP - 94974BEZ9	$104 728	$26,182 00	2 4%	$26,114.78	$67 22	$656 25	2 5%
		Fixed Income - Total		*$245,136.80*	*22.5%*	*$251,035.27*	*$(5,898.47)*	*$10,880.71*	*4.4%*
Equities									
66 0000	ACN	ACCENTURE PLC CLASS A COMMON COMPANY BASED IN IRELAND CUSIP - G1151C101	$82.220	$5,426.52	0 5%	$3,432.66	$1,993.86	$122 76	2 3%
268.0000	T	AT&T INC CUSIP - 00206R102	$35 160	$9,422 88	0 9%	$8,226.36	$1,196.52	$493 12	5.2%
120.0000	AXP	AMERICAN EXPRESS CO CUSIP - 025816109	$90 730	$10,887.60	1 0%	$6,996 63	$3,890.97	$110 40	1.0%
20 0000	AAPL	APPLE INC CUSIP - 037833100	$561 020	$11,220 40	1 0%	$7,347 36	$3,873 04	$244.00	2.2%
102.0000	ADP	AUTOMATIC DATA PROCESSING INC CUSIP - 053015103	$80.799	$8,241.50	0 8%	$6,300.57	$1,940.93	$195 84	2.4%
80 0000	BRK B	BERKSHIRE HATHAWAY INC DEL CL B NEW CUSIP - 084670702	$118.560	$9,484 80	0 9%	$7,586 23	$1,898.57		
85.0000	CVX	CHEVRON CORPORATION CUSIP - 166764100	$124.910	$10,617.35	1 0%	$4,973.35	$5,644 00	$340.00	3.2%
129 0000	CB	CHUBB CORP COM CUSIP - 171232101	$96 630	$12,465.27	1 1%	$6,579 56	$5,885.71	$227 04	1 8%
208.0000	C	CITIGROUP INC CUSIP - 172967424	$52 110	$10,838 88	1 0%	$8,886 03	$1,952 85	$8 32	0 1%
150 0000	KO	COCA COLA CO CUSIP - 191216100	$41 310	$6,196 50	0 6%	$4,640 63	$1,555.87	$168 00	2 7%

PORTFOLIO POSITIONS (continued)

Quantity	Symbol	Description	Current Price	Market Value	% of Acct	Cost Basis	Unrealized Gain/(Loss)	Est. Annual Income	Est. Yield
Equities.								(continued)	
86 0000	DE	DEERE & CO CUSIP - 244199105	$91.330	$7,854 38	0.7%	$6,930.58	$923.80	$175 44	2.2%
111.0000	DD	DU PONT E I DE NEMOURS & CO CUSIP - 263534109	$64 970	$7,211 67	0.7%	$5,344 73	$1,866 94	$199 80	2 8%
1,997 0000	AEPFX	EUROPACIFIC GROWTH FD CL F-2 CUSIP - 29875E100	$48.980	$97,813.06	9 0%	$78,265.93	$19,547 13	$1,146.28	1 2%
111 0000	XOM	EXXON MOBIL CORP CUSIP - 30231G102	$101 200	$11,233 20	1 0%	$8,854 86	$2,378 34	$279 72	2.5%
444 0000	GE	GENERAL ELECTRIC CO CUSIP - 369604103	$28.030	$12,445.32	1.1%	$7,201.24	$5,244 08	$390 72	3.1%
103.0000	GILD	GILEAD SCIENCES INC COMMON CUSIP - 375558103	$75.100	$7,735 30	0.7%	$2,140 80	$5,594.50		
5 0000	GOOG	GOOGLE INC-CL A CUSIP - 38259P508	$1,120.710	$5,603 55	0.5%	$4,579.50	$1,024 05		
127 0000	HD	HOME DEPOT INC CUSIP - 437076102	$82.340	$10,457.18	1.0%	$4,034.86	$6,422.32	$198.12	1.9%
98 0000	HON	HONEYWELL INTL INC CUSIP - 438516106	$91.370	$8,954 26	0.8%	$4,796 61	$4,157 65	$176.40	2 0%
360 0000	INTC	INTEL CORP CUSIP - 458140100	$25 955	$9,343 80	0 9%	$8,687 82	$655 98	$324 00	3 5%
19.0000	IBM	INTERNATIONAL BUSINESS MACHS CUSIP - 459200101	$187.570	$3,563.83	0.3%	$2,204.57	$1,359 26	$72 20	2.0%
222.0000	JPM	JP MORGAN CHASE & CO CUSIP - 46625H100	$58 480	$12,982 56	1.2%	$5,999.33	$6,983 23	$337 44	2 6%
131 0000	JNJ	JOHNSON & JOHNSON CUSIP - 478160104	$91.590	$11,998.29	1 1%	$9,531 17	$2,467.12	$345 84	2 9%
76 0000	MCD	MCDONALDS CORP CUSIP - 580135101	$97.030	$7,374 28	0 7%	$5,198 82	$2,175 46	$246.24	3.3%

PORTFOLIO POSITIONS (continued)

Quantity	Symbol	Description	Current Price	Market Value	% of Acct	Cost Basis	Unrealized Gain/(Loss)	Est. Annual Income	Est. Yield
Equities								(continued)	
55 0000	MDT	MEDTRONIC INC CUSIP - 585055106	$57 390	$3,156.45	0 3%	$3,019.70	$136 75	$61 60	2 0%
164.0000	MSFT	MICROSOFT CORP CUSIP - 594918104	$37.410	$6,135.24	0.6%	$4,333.56	$1,801.68	$183.68	3.0%
253.0000	MYL	MYLAN INC. CUSIP - 628530107	$43 400	$10,980 20	1 0%	$5,372.62	$5,607 58		
56.0000	NEE	NEXTERA ENERGY INC CUSIP - 65339F101	$85.620	$4,794.72	0.4%	$2,535 12	$2,259.60	$147 84	3 1%
226 0000	ORCL	ORACLE CORPORATION CUSIP - 68389X105	$38.260	$8,646.76	0 8%	$7,393 01	$1,253 75	$108.48	1.3%
2,784 6770	ODVYX	OPPENHEIMER DVLPING MKTS-Y CUSIP - 683974505	$37 560	$104,592 47	9 6%	$87,684 28	$16,908.19	$453 90	0 4%
111 0000	PEP	PEPSICO INC COMMON CUSIP - 713448108	$82 940	$9,206.34	0.8%	$7,307 96	$1,898.38	$251 97	2.7%
357.0000	PFE	PFIZER INC CUSIP - 717081103	$30 630	$10,934 91	1 0%	$8,678 37	$2,256 54	$371.28	3.4%
178.0000	PSX	PHILLIPS 66 COM CUSIP - 718546104	$77.130	$13,729 14	1.3%	$2,558.59	$11,170.55	$277.68	2.0%
34 0000	PX	PRAXAIR INC CUSIP - 74005P104	$130 030	$4,421 02	0 4%	$2,974 66	$1,446.36	$81.60	1 8%
140 0000	PG	PROCTER & GAMBLE CO CUSIP - 742718109	$81.410	$11,397 40	1 0%	$8,306 25	$3,091.15	$336 84	3.0%
89 0000	SLB	SCHLUMBERGER LTD CUSIP - 806857108	$90.110	$8,019.79	0.7%	$6,689 23	$1,330.56	$111 25	1 4%
156 0000	SBUX	STARBUCKS CORP COM CUSIP - 855244109	$78 390	$12,228.84	1.1%	$6,534 90	$5,693 94	$162 24	1.3%
58 0000	TJX	TJX COS INC NEW	$63.730	$3,696 34	0 3%	$2,667.96	$1,028.38	$33.64	0 9%

PORTFOLIO POSITIONS (continued)

Quantity	Symbol	Description	Current Price	Market Value	% of Acct	Cost Basis	Unrealized Gain/(Loss)	Est. Annual Income	Est. Yield
Equities								(continued)	
		COM CUSIP - 872540109							
5,748 0000	TSFYX	TOUCHSTONE SMALL CAP CORE FUND CLASS Y CUSIP - 89155H249	$21 130	$121,455 24	11 1%	$81,836 08	$39,619 16	$2,080 78	1.7%
67.0000	UTX	UNITED TECHNOLOGIES CORP CUSIP - 913017109	$113 800	$7,624.60	0.7%	$5,048.63	$2,575.97	$158 12	2.1%
147.0000	WAG	WALGREEN CO CUSIP - 931422109	$57.440	$8,443 68	0 8%	$5,465 96	$2,977.72	$185.22	2.2%
		Equities - Total		*$658,835.52*	*60.5%*	*$467,147.08*	*$191,688.44*	*$10,807.80*	*1.6%*
Alternative Strategies									
6,809.0000	ASFIX	ABSOLUTE STRATEGIES FUND-I CUSIP - 34984T600	$10.970	$74,694 73	6 9%	$76,075 33	$(1,380.60)	$20 43	
861 0000	MLPI	UBS E-TRACS ALERIAN MLP INFRASTR ETN ETF CUSIP - 902641646	$39 430	$33,949 23	3 1%	$31,227 18	$2,722 05	$1,562 72	4 6%
		Alternative Strategies - Total		*$108,643.96*	*10.0%*	*$107,302.51*	*$1,341.45*	*$1,583.15*	*1.5%*
Real Estate Investments									
905 0000	VNQ	VANGUARD REIT ETF CUSIP - 922908553	$64.560	$58,426 80	5 4%	$50,975.68	$7,451 12	$2,525.86	4.3%
		Real Estate Investments - Total		*$58,426.80*	*5.4%*	*$50,975.68*	*$7,451.12*	*$2,525.86*	*4.3%*
Total Portfolio Positions				$1,089,557.27	100.0%	$894,974.73	$194,582.54	$25,798.07	2.4%