Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation: THE HARRIS FAMILY FOUNDATION

A Employer identification number: 84-1426110

Number and street (or P O box number if mail is not delivered to street address): 6075 Spring Canyon Road

Room/suite:

B Telephone number (see instructions): 801.479.9363

City or town, state or province, country, and ZIP or foreign postal code: Ogden, UT 84403

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 1,012,970

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	50,000			
	2	Check ▶ ☐ if the foundation is not required to attach Sch. B				
	3	Interest on savings and temporary cash investments	35,655	35,655		
	4	Dividends and interest from securities				
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	3,563			
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)				
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	90,243	35,655	35,655	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)				
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23				
	25	Contributions, gifts, grants paid	29,611			29,611
	26	**Total expenses and disbursements.** Add lines 24 and 25	29,611			
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	60,632			
	b	**Net investment income** (if negative, enter -0-)		35,655		
	c	**Adjusted net income** (if negative, enter -0-)			35,655	

Part II	**Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing	57,788	164,364	164,364
2	Savings and temporary cash investments			
3	Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U.S. and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	510,204	442,241	509,700
c	Investments—corporate bonds (attach schedule)	289,526	304,464	338,906
11	Investments—land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	857,518	911,469	1,012,970
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg., and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	857,518	911,469	
31	**Total liabilities and net assets/fund balances** (see instructions)	857,518	911,469	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	857,518
2	Enter amount from Part I, line 27a	2	60,630
3	Other increases not included in line 2 (itemize) ▶	3	94,822
4	Add lines 1, 2, and 3	4	
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,012,970

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F.M.V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	29,611	1,012,970	0.0292319
2011	43,075	862,528	0.049940
2010	5,165	746,143	0.0069222
2009	30,640	743,101	0.04123262
2008	25,570	619,094	0.04130229

2	**Total** of line 1, column (d)	2	0.1686290
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.0337258
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	71,006
5	Multiply line 4 by line 3	5	2,395
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	35,655
7	Add lines 5 and 6	7	38,050
8	Enter qualifying distributions from Part XII, line 4	8	29,611

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	713	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3	Add lines 1 and 2	3		
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	713	
6	Credits/Payments:			
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a			
b	Exempt foreign organizations—tax withheld at source — 6b			
c	Tax paid with application for extension of time to file (Form 8868) — 6c			
d	Backup withholding erroneously withheld — 6d			
7	Total credits and payments. Add lines 6a through 6d	7		
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	713	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10		
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ — **Refunded** ▶	11		

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		✓
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		✓
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		✓
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ______ **(2)** On foundation managers. ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		✓
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		✓
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		✓
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		✓
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✓	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	✓	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	✓	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		✓
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10	✓	

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓	

Website address ▶

14 The books are in care of ▶ R. Robert Harris Telephone no. ▶ 801.479.9363

Located at ▶ 6075 Spring Canyon Road, Ogden, UT ZIP+4 ▶ 84403

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		✓
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☐ No			
	If "Yes," list the years ▶ 20___ , 20___ , 20___ , 20___				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20___ , 20___ , 20___ , 20___				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☐ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

				Yes	No
5a	During the year did the foundation pay or incur any amount to:				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☐ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		✓
	If "Yes" to 6b, file Form 8870.				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
R. Robert Harris 6075 Spring Canyon Road, Ogden, UT 84403	President-1	0	0	0
Marcia P. Harris 6075 Spring Canyon Road, Ogden, UT 84403	Secretary-1	0	0	0
Carl Wolfram 4069 Beuss, Ogden, UT 84403	Director-0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	70,717
b	Average of monthly cash balances	1b	1,370
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	72,087
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	72,087
4	Cash deemed held for charitable activities. Enter 1 ½ % of line 3 (for greater amount, see instructions)	4	1,081
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	71,006
6	**Minimum investment return.** Enter 5% of line 5	6	3550

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	3550
2a	Tax on investment income for 2013 from Part VI, line 5	2a	713		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	3,550
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	3,550
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	3,550

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	0
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	29,611
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	29,611

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7				3,550
2	Undistributed income, if any, as of the end of 2013:				
a	Enter amount for 2012 only				
b	Total for prior years: 20___,20___,20___				
3	Excess distributions carryover, if any, to 2013:				
a	From 2008 . . . 0				
b	From 2009 . . . 1,372				
c	From 2010 . . . 5,438				
d	From 2011 . . . 9,630				
e	From 2012 . . . 0				
f	**Total** of lines 3a through e	16,440			
4	Qualifying distributions for 2013 from Part XII, line 4: ▶ $				
a	Applied to 2012, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see instructions)				
c	Treated as distributions out of corpus (Election required—see instructions)				
d	Applied to 2013 distributable amount				
e	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b	Prior years' undistributed income. Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable amount—see instructions				
e	Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions				
f	Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9	**Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a				
10	Analysis of line 9:				
a	Excess from 2009 . . . 1372				
b	Excess from 2010 . . . 5438				
c	Excess from 2011 . . . 9630				
d	Excess from 2012 . . . 374				
e	Excess from 2013 . . . 0				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

R, Robert Harris

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHMENT	None	501(c)(3	Charity	29,611
Total . . . . ▶ 3a				
b Approved for future payment				
Total . . . . ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income (See instructions.)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)					

13 **Total.** Add line 12, columns (b), (d), and (e) 13

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		✓
	(2) Other assets	1a(2)		✓
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		✓
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		✓
	(3) Rental of facilities, equipment, or other assets	1b(3)		✓
	(4) Reimbursement arrangements	1b(4)		✓
	(5) Loans or loan guarantees	1b(5)		✓
	(6) Performance of services or membership or fundraising solicitations	1b(6)		✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		✓

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

R. Robert Harris | 1-14-14 | President

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	Firm's name ▶			Firm's EIN ▶	
	Firm's address ▶			Phone no.	

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization	Employer identification number
THE HARRIS FAMILY FOUNDATION	84-1426110

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	R. Robert Harris 6075 Spring Canyon Road, Ogden, UT 84403	$ 50,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization	Employer identification number

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization	Employer identification number

Part III *Exclusively* **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e)** and the following line entry.
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

Harris Family Foundation
Gifts to Charities--2013

	A	B	C	D
1	**Charity**	**Address**	**Date**	
2				
3				
4	Ballet West	50 W. 200 S., Salt Lake City, UT	4/6/13	250
5	Ballet West	50 W. 200 S., Salt Lake City, UT	5/29/13	500
6	Consumer Reports Foundation	Washington, D.C.	9/1/13	50
7	Friends of the Library	26th and Jefferson, Ogden UT 84401	5/14/13	25
8	Friends of the Library	26th and Jefferson, Ogden UT 84401	5/29/13	10,000
9	Haddonfield Alumni Foundation	401 King's Highway, Haddonfield, NJ	12/6/13	50
10	KUED-TV	101 S. Wasatch Drive, Salt Lake City, UT 84112	11/11/13	500
11	KUER	P.O. Box 27283, Salt Lake City, UT	12/10/13	50
12	National MS Society	2040 Linglestown, PA	12/28/13	50
13	Ogden Nature Center	966 West 12th Street, Ogden, UT	3/6/13	50
14	Ogden Nature Center	966 West 12th Street, Ogden, UT	5/14/13	25
15	Ogden Nature Center	966 West 12th Street, Ogden, UT	7/15/13	250
16	Ogden Rotary Foundation	2580 Jefferson Ave., Ogden, UT 84401	5/21/13	450
17	Ogden School Foundation	1950 Monroe, Ogden, UT	10/16/13	140
18	Ogden Symphony Ballet Assoc.	2580 Jefferson Ave., Ogden, UT 84401	3/28/13	500
19	Ogden Symphony Ballet-Sponsor	2580 Jefferson Ave., Ogden, UT 84401	1/3/13	10,000
20	PEO Scholarships	Ogden, UT	6/2/13	40
21	Rising Star Outreach	483 E. 100 S., Provo, UT	10/6/13	500
22	Rotary--School Dictionaries	2580 Jefferson Ave., Ogden, UT 84401	9/26/13	500
23	Rotary--School Dictionaries India	2580 Jefferson Ave., Ogden, UT 84401	9/20/13	2,000

Harris Family Foundation
Gifts to Charities--2013

	A	B	C	D
24	Union Train Foundation	25th and Wall, Ogden, Utah	7/20/13	100
25	Weber Pathways	P.O. Box 972, Ogden, UT	5/15/13	381
26	Weber Pathways	P.O. Box 972, Ogden, UT	8/15/13	200
27	Weber Pathways	P.O. Box 972, Ogden, UT	8/23/13	100
28	Weber Pathways	P.O. Box 972, Ogden, UT	8/23/13	100
29	Weber Pathways	P.O. Box 972, Ogden, UT	10/16/13	250
30	Weber School Foundation	5320 S. Adams, Ogden, UT	11/16/13	200
31	Weber State University-Marguerite	University Circle, Ogden, UT	11/21/13	100
32	Wikimedia Foundation	P.O. Box 98201, Washington D.C.	12/6/13	50
33	YCC Senior Life Care	966 West 12th St., Ogden, UT	7/10/13	2,000
34	YWCA	Salt Lake City, UT	8/20/13	200
35				
36				
37		**Total**		**29,611**
38				
39	All Recipients are §501(c)(3) charities.			

UBS

UBS Financial Services Inc
20 Pacifica
Suite 1500
Irvine CA 92618-7469

APP1000297219 1213 X1 XV 0

Business Services Account

December 2013

00007506 03 MB 0 652 03 TR 00046 B100A012 100000 edg
THE HARRIS FAMILY FOUNDATION
6075 SPRING CANYON ROAD
OGDEN UT 84403-5479

Account name: THE HARRIS FAMILY FOUNDATION
Account number: XV 50654 CL

Your Financial Advisor:
RACH,CURTIS L
Phone 949-453-5100/800-842-6579

Questions about your statement?
Call your Financial Advisor or the ResourceLine at 800-762-1000, account 515050654

Visit our website.
www ubs com/financialservices

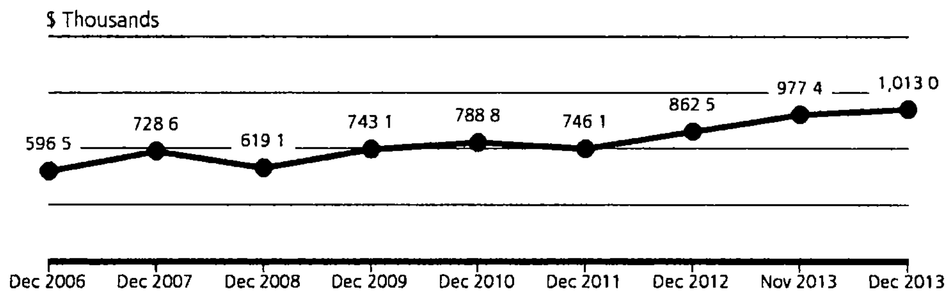
Items for your attention

- If you use UBS Online Services, consider changing your User Name and Password regularly to protect your personal data. Not enrolled? Go to ubs com/onlineservices

Value of your account

	on November 29 ($)	on December 31 ($)
Your assets	977,382 07	1,012,969 80
Your liabilities	0 00	0 00
Value of your account	**$977,382.07**	**$1,012,969.80**

Tracking the value of your account



Starting in December 2006, your account values shown in this graph include accrued interest, pending return of principal, and other assets that are not held by UBS

Sources of your account growth during 2013

Value of your account at year end 2012	$862,527 80
Net deposits and withdrawals	$13,572 79
Your investment return	
Dividend and interest income	$35,655 48
Change in market value	$101,213 73
Value of your account on Dec 31, 2013	**$1,012,969 80**

Your Financial Advisor
RACH,CURTIS L
949-453-5100/800-842-6579

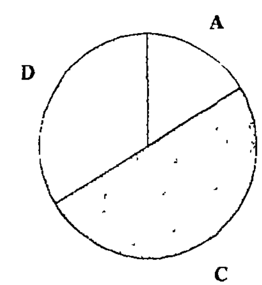
Your account balance sheet

Summary of your assets

		Value on December 31 ($)	Percentage of your account
A	Cash and money balances	164,363 78	16 23%
B	Cash alternatives	0 00	0 00%
C	Equities	509,700 39	50 31%
D	Fixed income	338,905 63	33 46%
E	Non-traditional	0 00	0 00%
F	Commodities	0 00	0 00%
G	Other	0 00	0 00%
Total assets		**$1,012,969.80**	**100.00%**

Value of your account **$1,012,969.80**

Your current asset allocation



- *Cash and money balances* may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances See the *Important information about your statement* on the last two pages of this statement for details about those balances

Eye on the markets

Index	Percentage change December 2013	Year to date
S&P 500	2 53%	32 39%
Russell 3000	2 64%	33 55%
MSCI - Europe, Australia & Far East	1 51%	23 29%
Barclays Capital U S Aggregate Bond Index	-0 57%	-2 02%

Interest rates on December 31, 2013

3-month Treasury bills 0 07%

One-month LIBOR 0 17%

Change in the value of your account

	December 2013 ($)	Year to date ($)
Opening account value	**$977,382.07**	**$862,527.80**
Deposits, including investments transferred in	25,000 00	50,083 54
Withdrawals and fees, including investments transferred out	-400 00	-36,510 75
Dividend and interest income	16,488 88	35,655 48
Change in market value	-5,501 15	101,213 73
Closing account value	**$1,012,969.80**	**$1,012,969.80**

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes The client monthly statement is not intended to be used and cannot be relied upon for tax purposes Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income Please consult your individual tax preparer

	December 2013 ($)	Year to date ($)
Taxable dividends	16,169 72	25,806 93
Taxable interest	185 76	2,235 73
Miscellaneous	133 40	7,072 80
Total current year	**$16,488.88**	**$35,115.46**
Prior year adjustment	0 00	540 02
Total dividend & interest	**$16,488.88**	**$35,655.48**
Return of capital/principal	784 24	1,200 54

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified

	Realized gains and losses		Unrealized
	December 2013 ($)	Year to date ($)	gains and losses ($)
Short term	0 00	0 00	-2,717 23
Long term	3,562 50	3,562 50	104,217 90
Total	**$3,562.50**	**$3,562.50**	**$101,500.67**

Cash activity summary

See *Account activity this month* for details Balances in your Sweep Options are included in the opening and closing balances value FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program SIPC protection applies to money market sweep fund holdings but not bank deposits See *Important information about your statement* on the last two pages of this document for details

	December 2013 ($)	Year to date ($)
Opening balances	**$135,611.91**	**$57,787.73**
Additions		
Deposits and other funds credited	25,000 00	50,083 54
Dividend and interest income	16,488 88	35,655 48
Proceeds from investment transactions	29,346 74	204,763 04
Total additions	**$70,835.62**	**$290,502.06**
Subtractions		
Checks and bill payments	-400 00	-34,510 75
Other funds debited	0 00	-2,000 00
Funds withdrawn for investments bought	-41,683 75	-147,415 26
Total subtractions	**-$42,083.75**	**-$183,926.01**
Net cash flow	**$28,751.87**	**$106,576.05**
Closing balances	**$164,363.78**	**$164,363.78**

Account name: THE HARRIS FAMILY FOUNDATION
Account number: XV 50654 CL

Your Financial Advisor.
RACH,CURTIS L
949-453-5100/800-842-6579

UBS Bank USA Business Account APY

Interest period Nov 7 - Dec 5

Opening UBS Bank USA Business balance Nov 7	$32,479 62
Closing UBS Bank USA Business balance Dec 5	$87,227 50
Number of days in interest period	29
Average daily balance	$58,655 82
Interest earned	$1 41
Annual percentage yield earned	0 03%

Your investment objectives:

You have identified the following investment objectives for this account If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document

Your return objective.
Capital appreciation
Your risk profile:
Primary - Moderate
Investment eligibility consideration - Aggressive

Your account instructions

- Your consent is required to "Household" statements
- Your account cost basis default closing method is FIFO, First In, First Out

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances

UBS Bank USA deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC Money market sweep balances are protected by SIPC but are not insured by the FDIC See the *Important information about your statement* at the end of this document for details about those balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period	Cap amount ($)
Cash	0 00	28,562 50					
RMA MONEY MKT PORTFOLIO	48,385 82	22,986 67	1 00	0 01%	Nov 22 to Dec 23	32	
UBS BANK USA BUS ACCT	87,226 09	112,814 61					250,000 00
Total	**$135,611.91**	**$164,363.78**					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
BANK OF AMER CORP Symbol BAC Exchange. NYSE EAI $152 Current yield 0 26%	Sep 30, 11	3,796 000	11 855	45,003 98	15 570	59,103 72	14,099 74	LT

Your assets › **Equities** (continued)

Structured products

There may be little or no secondary market for structured products Prices are estimated values obtained from third parties or issuers and do not reflect adjustments taken by such third parties or issuers for financial reporting purposes arising from changes in the market value of such transactions The value at which you would be able to purchase, sell, enter into, assign or terminate any instrument will be impacted by other factors, such as hedging and transaction costs, credit considerations, bid-ask spreads and market liquidity

Holding	Trade date	Quantity	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
UBS AG TRIGGER PAOS GNW 12/12/2014 Exchange. OTC	Dec 5, 13	2,500 000	10 000	25,000 00	9 870	24,675 00	-325 00	ST
DEUTSCHE BANK AG TRIGGER PAOS JPM 01/16/2015 Exchange OTC	Jul 12, 13	2,500 000	10 000	25,000 00	10 045	25,112 50	112 50	ST
BARCLAYS BANK PLC TRIGGER PAOS HIG 11/30/2018 Exchange OTC	Nov 26, 13	2,000 000	10 000	20,000 00	9 920	19,840 00	-160 00	ST
Total				**$70,000.00**		**$69,627.50**	**-$372.50**	

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
PRINCIPAL REAL ESTATE INCOME FUND Symbol PGZ Trade date Jun 25, 13	1,000 000	20 000	20,000 00	20,000 00	17 050	17,050 00	-2,950 00	-2,950 00	ST
EAI. $1,620 Current yield 9 50%									

Account name: THE HARRIS FAMILY FOUNDATION
Account number: XV 50654 CL

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Your assets › **Equities** (continued)

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

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Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ALLIANCE/BERNSTEIN									
GLOBAL VALUE FUND									
CLASS C									
Symbol ABCGX									
Trade date Aug 28, 07	321 903	15 503	4,990 54	4,990 54	10 660	3,431 49	-1,559 05		LT
Total reinvested	285 810	11 508		3,289 38	10 660	3,046 73	-242 65		
Security total	607 713	13 625	4,990 54	8,279 92		6,478 22	-1,801 70	1,487 68	
COLUMBIA MID CAP VALUE									
FUND C									
Symbol. CMUCX									
Trade date Aug 28, 07	1,750 700	14 283	25,005 25	25,005 25	17 340	30,357 14	5,351 89		LT
Total reinvested	269 514	15 853		4,272 84	17 340	4,673 37	400 53		
Security total	2,020 214	14 493	25,005 25	29,278 09		35,030 51	5,752 42	10,025 26	
COLUMBIA MARSICO 21ST									
CENTURY FUND CLASS C									
Symbol NMYCX									
Trade date Aug 28, 07	2,866 756	14 211	40,740 88	40,740 88	17 240	49,422 86	8,681 98		LT
Total reinvested	69 581	16 000		1,113 30	17 240	1,199 58	86 28		
Security total	2,936 337	14 254	40,740 88	41,854 18		50,622 44	8,768 26	9,881 56	
FIDELITY ADVISOR									
LEVERAGED COMPANY STOCK									
FUND CLASS C									
Symbol FLSCX									
Trade date Aug 28, 07	1,419 000	35 223	49,982 43	49,982 43	50 190	71,219 61	21,237 18		LT

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Your assets › Equities › Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Total reinvested	55 687	32 781		1,825 49	50 190	2,794 93	969 44		
Security total	1,474 687	35 131	49,982 43	51,807 92		74,014 54	22,206 62	24,032 11	
OPPENHEIMER GLOBAL									
OPPORTUNITIES FUND CLASS									
C									
Symbol OGICX									
Trade date Aug 28, 07	1,392 370	35 913	50,005 25	50,005 25	37 720	52,520 19	2,514 94		LT
Total reinvested	616 908	19 900		12,276 88	37 720	23,269 77	10,992 89		
EAI. $253 Current yield 0 33%									
Security total	2,009 278	30 997	50,005 25	62,282 13		75,789 96	13,507 83	25,784 71	
TOUCHSTONE MID CAP									
GROWTH FUND CLASS C									
Symbol TOECX									
Trade date Aug 28, 07	2,326 664	21 492	50,005 25	50,005 25	19 830	46,137 74	-3,867 51		LT
Total reinvested	1,354 617	17 785		24,092 34	19 830	26,862 05	2,769 71		
Security total	3,681 281	20 128	50,005 25	74,097 59		72,999 80	-1,097 80	22,994 54	
Total			**$220,729.60**	**$267,599.83**		**$314,935 47**	**$47,335.63**	**$94,205.87**	

Total estimated annual income. $253

Unit investment trusts

When cost basis is available, we may have adjusted it for all or part of principal payments made by the trust to you

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
UNTS AAM DIV RULER COV									
CALL PRTFO SER 2012-3Q									
12-3Q CASH 01/22/14									
Trade date Jul 31, 12	1,989 000	9 672	19,239 28	19,239 28	10 880	21,640 32	2,401 04	2,401 04	LT
EAI $366 Current yield 1 69%									
UNTS FT DIV STRENGTH									
PRTFO SER 15									
15 REIN 07/28/14									

continued next page

Your assets › Equities › Unit investment trusts (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
Trade date Aug 6, 12	1,997 000	9 721	19,413 23	19,413 23	12 910	25,781 27	6,368 04		LT
Total reinvested	121 000	11 445		1,384 87	12 910	1,562 11	177 24		
EAI $617 Current yield 2 26%									
Security total	2,118 000	9 820	19,413 23	20,798 10		27,343 38	6,545 28	7,930 15	
Total			**$38,652.51**	**$40,037.38**		**$48,983.70**	**$8,946 32**	**$10,331.19**	

Total estimated annual income. $983

Fixed income

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
LOOMIS SAYLES									
STRATEGIC INCOME FUND									
CLASS C									
Symbol NECZX									
Trade date Aug 28, 07	3,011 261	14 781	44,511 63	44,511 63	16 470	49,595 46	5,083 83		LT
Total reinvested	1,257 402	14 094		17,722 92	16 470	20,709 41	2,986 49		
EAI $2,382 Current yield 3 39%									
Security total	4,268 663	14 579	44,511 63	62,234 55		70,304 87	8,070 32	25,793 24	
LORD ABBETT BOND									
DEBENTURE FUND CL C									
Symbol BDLAX									
Trade date Feb 4, 09	12,376 238	6 060	75,005 25	75,005 25	8 170	101,113 86	26,108 61		LT
Total reinvested	4,178 288	7 649		31,961 36	8 170	34,136 61	2,175 25		
EAI $6,390 Current yield 4 72%									

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Your assets › Fixed income › Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Security total	16,554 526	6 461	75,005 25	106,966 61		135,250 47	28,283 86	60,245 22	
Total			**$119,516.88**	**$169,201.16**		**$205,555.34**	**$36,354.18**	**$86,038.46**	

Total estimated annual income. $8,772

Structured products

There may be little or no secondary market for structured products Prices are estimated values obtained from third parties or issuers and do not reflect adjustments taken by such third parties or issuers for financial reporting purposes arising from changes in the market value of such transactions The value at which you would be able to purchase, sell, enter into, assign or terminate any instrument will be impacted by other factors, such as hedging and transaction costs, credit considerations, bid-ask spreads and market liquidity

Holding	Trade date	Quantity	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
JP MORGAN CHASE BANK NA								
MLCD EFFICIENTE 5								
1/31/2018								
CUSIP 48123Y4N3								
Original cost basis $100,000 00	Jan 26, 12	100,000 000	102 260	102,260 51	102 260	102,260 00	-0 51	LT

Unit investment trusts

When cost basis is available, we may have adjusted it for all or part of principal payments made by the trust to you

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
UNTS FT SR LOAN LTD									
DURATION PLUS C/E 59									
59 REIN 10/23/14									
Trade date Jul 12, 12	3,001 000	9 995	29,997 40	29,997 40	9 390	28,179 39	-1,818 01		LT
Total reinvested	310 000	9 693		3,005 08	9 390	2,910 90	-94 18		
EAI $2,089 Current yield 6 72%									
Security total	3,311 000	9 968	29,997 40	33,002 48		31,090 29	-1,912 19	1,092 89	

Your assets (continued)

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**164,363.78**	**16.23%**	**164,363.78**		
Equities	Common stock	59,103 72		45,003 98	152 00	14,099 74
	Structured products	69,627 50		70,000 00		-372 50
	Closed end funds & Exchange traded products	17,050 00		20,000 00	1,620 00	-2,950 00
	Mutual funds	314,935 47		267,599 83	253 00	47,335 63
	Unit investment trusts	48,983 70		40,037 38	983 00	8,946 32
	Total equities	**509,700.39**	**50.31%**	**442,641 19**	**3,008.00**	**67,059.19**
Fixed income	Mutual funds	205,555 34		169,201 16	8,772 00	36,354 18
	Structured products	102,260 00		102,260 51		-0 51
	Unit investment trusts	31,090 29		33,002 48	2,089 00	-1,912 19
	Total fixed income	**338,905.63**	**33.46%**	**304,464.15**	**10,861.00**	**34,441 48**
Total		**$1,012,969.80**	**100.00%**	**$911,469.12**	**$13,869.00**	**$101,500.67**

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value ($)	Cash amount ($)	Cash and money balance ($)
Nov 29		**Cash and money balance**					**$135,611.91**
Dec 2	Dividend	LORD ABBETT BOND DEBENTURE FUND CL C AS OF 11/29/13				486 90	
Dec 2	Reinvestment	LORD ABBETT BOND DEBENTURE FUND CL C DIVIDEND REINVESTED AT 8 31 NAV ON 11/29/13 AS OF 11/29/13		58 592		-486 90	135,611 91
Dec 3	Bsa Check	WEBER STATE UNIVERSITY CHECK PAID 000308				-100 00	135,511 91
Dec 5	Fee Charge	ANNUAL FEE CHARGE				-150 00	
Dec 5	Bsa Check	WEBER SCHOOL FDN CHECK PAID 000307				-200 00	135,161 91
Dec 6	Interest	UBS BANK USA BUSINESS ACCOUNT AS OF 12/05/13				1 41	135,163 32
Dec 9	Reversal	ANNUAL FEE CHARGE CANCEL AS OF 12/05/13				150 00	135,313 32

continued next page

Account name. THE HARRIS FAMILY FOUNDATION
Account number: XV 50654 CL

Your Financial Advisor
RACH,CURTIS L
949-453-5100/800-842-6579

Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value ($)	Cash amount ($)	Cash and money balance ($)
Dec 10	St Cap Gain	COLUMBIA MID CAP VALUE FUND C SHORT TERM CAPITAL GAIN AS OF 12/09/13				428 05	
Dec 10	Lt Cap Gain	COLUMBIA MID CAP VALUE FUND C LONG TERM CAPITAL GAIN AS OF 12/09/13				3,011 64	
Dec 10	Reinvestment	COLUMBIA MID CAP VALUE FUND C ST CAP GAINS REINVESTED AT 16 76 NAV ON 12/09/13 AS OF 12/09/13		25 540		-428 05	
Dec 10	Reinvestment	COLUMBIA MID CAP VALUE FUND C LT CAP GAINS REINVESTED AT 16 76 NAV ON 12/09/13 AS OF 12/09/13		179 692		-3,011 64	
Dec 10	Bought	UBS AG TRIGGER PAOS GNW 12/12/2014 SOLICITED		2,500 000	10 00	-25,000 00	110,313 32
Dec 12	St Cap Gain	RMA MONEY MKT PORTFOLIO AS OF 12/11/13				46	
Dec 12	Bsa Check	KUER CHECK PAID 000311				-50 00	110,263 78
Dec 13	Reinvestment	TOUCHSTONE MID CAP GROWTH FUND CLASS C ST CAP GAINS REINVESTED AT 19 00 NAV ON 12/12/13		0 335		-6 36	
Dec 13	Reinvestment	TOUCHSTONE MID CAP GROWTH FUND CLASS C LT CAP GAINS REINVESTED AT 19 00 NAV ON 12/12/13		0 441		-8 38	
Dec 13	St Cap Gain	TOUCHSTONE MID CAP GROWTH FUND CLASS C SHORT TERM CAPITAL GAIN				1,678 36	
Dec 13	Lt Cap Gain	TOUCHSTONE MID CAP GROWTH FUND CLASS C LONG TERM CAPITAL GAIN				7,171 38	
Dec 13	Reinvestment	TOUCHSTONE MID CAP GROWTH FUND CLASS C ST CAP GAINS REINVESTED AT 19 00 NAV ON 12/12/13		88 000		-1,672 00	
Dec 13	Reinvestment	TOUCHSTONE MID CAP GROWTH FUND CLASS C LT CAP GAINS REINVESTED AT 19 00 NAV ON 12/12/13		377 000		-7,163 00	110,263 78
Dec 16	Bsa Check	WIKIMEDIA FDN CHECK PAID 000310				-50 00	110,213 78
Dec 17	St Cap Gain	LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C SHORT TERM CAPITAL GAIN				7 20	
Dec 17	Lt Cap Gain	LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C LONG TERM CAPITAL GAIN				177 09	
Dec 17	Dividend	LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C				338 92	
Dec 17	Reinvestment	LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C LT CAP GAINS REINVESTED AT 16 28 NAV ON 12/16/13		10 878		-177 09	
Dec 17	Reinvestment	LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C DIVIDEND REINVESTED AT 16 28 NAV ON 12/16/13		20 818		-338 92	

continued next page

Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value ($)	Cash amount ($)	Cash and money balance ($)
Dec 17	Reinvestment	LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C ST CAP GAINS REINVESTED AT 16 28 NAV ON 12/16/13		0 442		-7 20	110,213 78
Dec 19	St Cap Gain	LORD ABBETT BOND DEBENTURE FUND CL C SHORT TERM CAPITAL GAIN AS OF 12/18/13				519 88	
Dec 19	Lt Cap Gain	LORD ABBETT BOND DEBENTURE FUND CL C LONG TERM CAPITAL GAIN AS OF 12/18/13				1,990 16	
Dec 19	Reinvestment	LORD ABBETT BOND DEBENTURE FUND CL C ST CAP GAINS REINVESTED AT 8 14 NAV ON 12/18/13 AS OF 12/18/13		63 867		-519 88	
Dec 19	Reinvestment	LORD ABBETT BOND DEBENTURE FUND CL C LT CAP GAINS REINVESTED AT 8 14 NAV ON 12/18/13 AS OF 12/18/13		244 491		-1,990 16	110,213 78
Dec 24	Dividend	RMA MONEY MKT PORTFOLIO AS OF 12/23/13				39	110,214 17
Dec 26	Dividend	UNTS AAM DIV RULER COV CALL PRTFO SER 2012-3Q 12-3Q CASH 01/22/14 PAID ON 1989 AS OF 12/25/13				91 49	
Dec 26	Return Of Principal	UNTS AAM DIV RULER COV CALL PRTFO SER 2012-3Q 12-3Q CASH 01/22/14 PAID ON 1989 AS OF 12/25/13				176 42	
Dec 26	Dividend	UNTS FT DIV STRENGTH PRTFO SER 15 15 REIN 07/28/14 AS OF 12/25/13				55 58	
Dec 26	Return Of Principal	UNTS FT DIV STRENGTH PRTFO SER 15 15 REIN 07/28/14 AS OF 12/25/13				607 82	
Dec 26	Reinvestment	UNTS FT DIV STRENGTH PRTFO SER 15 15 REIN 07/28/14 AT 12 666300 REINVEST PRICE REINVEST CIL ON 12/26/13 EXECUTION CAPACITY AGENT AS OF 12/25/13		1 000		-12 67	
Dec 26	Reinvestment	UNTS FT DIV STRENGTH PRTFO SER 15 15 REIN 07/28/14 AT 12 666300 REINVEST PRICE REINVEST DIV ON 12/26/13 EXECUTION CAPACITY AGENT AS OF 12/25/13		4 000		-50 67	
Dec 26	Reinvestment	UNTS FT DIV STRENGTH PRTFO SER 15 15 REIN 07/28/14 AT 12 666300 REINVEST PRICE REINVEST ROP ON 12/26/13 EXECUTION CAPACITY AGENT AS OF 12/25/13		47 000		-595 32	
Dec 26	Dividend	PRINCIPAL REAL ESTATE INCOME FUND PAID ON 1000				135 00	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value ($)	Cash amount ($)	Cash and money balance ($)
Dec 26	Dividend	ALLIANCE/BERNSTEIN GLOBAL VALUE FUND CLASS C				39 26	
Dec 26	Interest	UNTS FT SR LOAN LTD DURATION PLUS C/E 59 59 REIN 10/23/14 AS OF 12/25/13				184 35	
Dec 26	Reinvestment	UNTS FT SR LOAN LTD DURATION PLUS C/E 59 59 REIN 10/23/14 AT 9 276300 REINVEST PRICE REINVEST BND ON 12/26/13 EXECUTION CAPACITY AGENT AS OF 12/25/13		19 000		-176 25	
Dec 26	Reinvestment	ALLIANCE/BERNSTEIN GLOBAL VALUE FUND CLASS C DIVIDEND REINVESTED AT 10 43 NAV ON 12/20/13		3 764		-39 26	110,629 92
Dec 27	Transfer	JOURNAL FROM XV 27630 R ROBERT HARRIS & MARCIA P				25,000 00	
Dec 27	Dividend	BANK OF AMER CORP PAID ON 3796				37 96	135,667 88
Dec 30	Miscellaneous Income	BARCLAYS BANK PLC TRIGGER PAOS HIG 11/30/2018				133 40	135,801 28
Dec 31	Call Redemption	ROYAL BANK OF CANADA ROS SPX 12/31/2013		-2,500 000		28,562 50	164,363 78
Dec 31		**Closing cash and money balance**					**$164,363.78**
		Proceeds from investment transactions					**$29,346 74**
		Funds used for investment transactions					**-$41,683.75**

Money balance activities

Date	Activity	Description	Amount ($)
Nov 29	**Balance forward**		**$48,385.82**
Dec 4	Sold	RMA MONEY MKT PORTFOLIO AS OF 12/03/13	-100 00
Dec 6	Sold	RMA MONEY MKT PORTFOLIO AS OF 12/05/13	-200 00
Dec 6	Sold	RMA MONEY MKT PORTFOLIO	-150 00
Dec 10	Sold	RMA MONEY MKT PORTFOLIO	-24,850 00
Dec 12	Bought	RMA MONEY MKT PORTFOLIO AS OF 12/11/13	0 46
Dec 13	Sold	RMA MONEY MKT PORTFOLIO AS OF 12/12/13	-50 00
Dec 17	Sold	RMA MONEY MKT PORTFOLIO AS OF 12/16/13	-50 00
Dec 24	Bought	RMA MONEY MKT PORTFOLIO AS OF 12/23/13	0 39
Dec 31	**Closing RMA Money Mkt. Portfolio**		**$22,986.67**
Nov 29	**Balance forward**		**$87,226.09**
Dec 6	Deposit	UBS BANK USA BUSINESS ACCOUNT AS OF 12/05/13	1 41
Dec 27	Deposit	UBS BANK USA BUSINESS ACCOUNT	415 75

continued next page

Account activity this month (continued)

	Date	Activity	Description	Amount ($)
Money balance activities (continued)	Dec 30	Deposit	UBS BANK USA BUSINESS ACCOUNT	25,037 96
	Dec 31	Deposit	UBS BANK USA BUSINESS ACCOUNT	133 40
	Dec 31	**Closing UBS Bank USA Business Account**		**$112,814.61**

The UBS Bank USA Business Account is your primary sweep option

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order See *Important information about your statement* at the end of this document for more information We may not adjust gains and losses for all capital changes We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ROYAL BANK OF CANADA ROS SPX 12/31/2013	FIFO	2,500 000	Nov 27, 12	Dec 31, 13	28,562 50	25,000 00			3,562 50

Summary of approved payees and recurring payments

Based on your instructions, the following payees and recurring payments are considered "approved payees" As a result, (1) you may authorize additional transfers to these payees verbally and (2) you are no longer receiving individual confirmations for payments to these payees

The payees and recurring payments listed below do not include Bill Payments, Electronic Funds Transfers and certain other transfers between accounts at UBS If you have additional payees and/or recurring instructions on file, you will receive separate confirmations from your Financial Advisor

To update or cancel any of these instructions, please contact your Financial Advisor

Payee description	Amount ($)	Disbursement method	Type/Event	Frequency	Start date	End date	Last used date
Rotary Club of Calcutta STANDARD CHARTERED BANK	varied	Federal Funds	Payee on file	Upon request	n/a	n/a	Sep 20, 13

UBS

UBS Financial Services Inc
20 Pacifica
Suite 1500
Irvine CA 92618-7469

APP1000297235 1213 X1 XV 0

2013 Year End Summary

Account name: THE HARRIS FAMILY FOUNDATION
Account number: XV 50654 CL

Your Financial Advisor.
RACH,CURTIS L
Phone. 949-453-5100/800-842-6579

THE HARRIS FAMILY FOUNDATION
6075 SPRING CANYON ROAD
OGDEN UT 84403-5479

Summary of banking activity

	Year to date ($)
Checks	-34,510 75

Summary of gains and losses

	Amount ($)
Short term	0 00
Long term	3,562 50
Total	**$3,562.50**

Checks

Check number	Date cleared	Description	Amount ($)
000275	Jan 22, 13	HADDONFIELD ALUMNI SOC	100 00
000276	Jan 3, 13	SWARTHMORE COLLEGE	1,000 00
000278	Jan 4, 13	CREIGHTON LAW SCHOOL	1,000 00
000284	Jan 7, 13	OSBA	10,000 00
000285	Mar 11, 13	OGDEN NATURE CTR	50 00
000286	Apr 1, 13	OSBA	500 00
000287	Apr 11, 13	BALLET WEST	250 00
000288	May 16, 13	OGDEN NATURE CTR	25 00
000289	May 22, 13	FRIENDS OF THE LIBRARY	25 00
000290	May 22, 13	WEBER PATHWAYS	380 75
000291	May 23, 13	OGDEN ROTARY FOUNDATION	450 00
000292	Jun 5, 13	FRIENDS OF THE LIBRARY	15,000 00
000293	Jun 3, 13	BALLET WEST	500 00
000294	Jun 4, 13	PEO SCHOLARSHIP	40 00
000295	Jul 19, 13	YCC	2,000 00
000296	Jul 18, 13	OGDEN NATURE CTR	250 00

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Checks (continued)

Check number	Date cleared	Description	Amount ($)
000297	Aug 1, 13	FRANCES HAWK	100 00
000298	Aug 26, 13	WEBER PATHWAYS	200 00
000299	Aug 30, 13	YWCA	200 00
000300	Sep 3, 13	WEBER PATHWAYS	100 00
000301	Sep 24, 13	CONSUMER REPORTS FDN	50 00
000302	Oct 22, 13	OGDEN ROTARY FOUNDATION	500 00
000303	Oct 17, 13	RISING STAR OUTREACH	500 00
000304	Nov 22, 13	WEBER PATHWAYS	250 00
000305	Oct 25, 13	OGDEN SCHOOL FDN	140 00
000306	Nov 25, 13	KUED 7	500 00
000307	Dec 5, 13	WEBER SCHOOL FDN	200 00
000308	Dec 3, 13	WEBER STATE UNIVERSITY	100 00
000310	Dec 16, 13	WIKIMEDIA FDN	50 00
000311	Dec 12, 13	KUER	50 00
Total			**$34,510.75**
Total Checks			**$34,510.75**

Realized gains and losses

Estimated 2013 gains and losses for transactions with trade dates through 12/31/13 have been incorporated into this statement Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order

See Important information about your statement on the last page of this statement for more information We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
JP MORGAN CHASE TRIGGER PAOS PCAR 04/25/2013	FIFO	2,500 000	Apr 20, 12	Jan 24, 13	25,000 00	25,000 00			
ROYAL BANK OF CANADA AIRBAG AYON APC 11/18/2013 9 14%	FIFO	30 000	Nov 09, 12	Feb 15, 13	30,000 00	30,000 00			
ROYAL BANK OF CANADA AIRBAG AYON IP 11/18/2013 8 48%	FIFO	30 000	Nov 09, 12	Feb 15, 13	30,000 00	30,000 00			

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
UBS AG TRIGGER PAOS OXY 05/08/2014	FIFO	3,000 000	May 01, 13	Aug 08, 13	30,000 00	30,000 00			
Total					**$115,000.00**	**$115,000.00**			
Net short-term capital gains and losses									**$0.00**

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
JP MORGAN CHASE TRIGGER PAOS OXY 04/25/2013	FIFO	3,000 000	Apr 20, 12	Apr 25, 13	30,000 00	30,000 00			
ROYAL BANK OF CANADA AIRBAG AYON FCX 11/18/2013 9 40%	FIFO	30 000	Nov 09, 12	Nov 18, 13	30,000 00	30,000 00			
ROYAL BANK OF CANADA ROS SPX 12/31/2013	FIFO	2,500 000	Nov 27, 12	Dec 31, 13	28,562 50	25,000 00			3,562 50
Total					**$88,562.50**	**$85,000 00**			**$3,562 50**
Net long-term capital gains or losses									**$3,562 50**
Net capital gains/losses:									**$3,562.50**