Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**
▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation
SOLID ROCK FOUNDATION
C/O JAMES DAGGS & ASSOCIATES PC

A Employer identification number
84-1395797

Number and street (or P O box number if mail is not delivered to street address) 715 WEST MAIN ROOM/SUITE 101 | Room/suite

B Telephone number (see instructions)
(970) 925-4290

City or town, state or province, country, and ZIP or foreign postal code
ASPEN, CO 81611

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)*▶$ 809,482

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) ______
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	17,273	17,273		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	90,559			
	b	Gross sales price for all assets on line 6a 300,812				
	7	Capital gain net income (from Part IV, line 2)		90,559		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	107,832	107,832		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	2,725	2,725		
	c	Other professional fees (attach schedule)	5,840	5,840		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	194	194		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	150			150
	24	**Total operating and administrative expenses.** Add lines 13 through 23	8,909	8,759		150
	25	Contributions, gifts, grants paid	40,000			40,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	48,909	8,759		40,150
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	58,923			
	b	**Net investment income** (if negative, enter -0-)		99,073		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets				
1	Cash—non-interest-bearing			
2	Savings and temporary cash investments	28,080	2,052	2,052
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	765,955	807,430	807,430
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	794,035	809,482	809,482
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
27	Capital stock, trust principal, or current funds	794,035	809,482	
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see page 17 of the instructions)	794,035	809,482	
31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	794,035	809,482	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	794,035
2	Enter amount from Part I, line 27a	2	58,923
3	Other increases not included in line 2 (itemize) ▶	3	115,106
4	Add lines 1, 2, and 3	4	968,064
5	Decreases not included in line 2 (itemize) ▶	5	158,582
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	809,482

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	ST PUBLICLY TRADED SECURITIES	P		
b	LT PUBLICLY TRADED SECURITIES	P		
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	6,026		6,184	-158
b	281,437		204,069	77,368
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				-158
b				77,368
c				
d				
e				

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	90,559
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	35,755	772,575	0 046280
2011	42,921	781,786	0 054901
2010	22,967	768,035	0 029904
2009	37,708	705,548	0 053445
2008	55,586	785,166	0 070795

2	**Total** of line 1, column (d).	2	0 255325
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 051065
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	797,444
5	Multiply line 4 by line 3.	5	40,721
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	991
7	Add lines 5 and 6.	7	41,712
8	Enter qualifying distributions from Part XII, line 4.	8	40,150

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ____________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	1,981
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	1,981
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	1,981
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached	8	14
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	1,995
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ____________ **(2)** On foundation managers ▶ $ ____________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ____________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶CO			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶JAMES DAGGS & ASSOC Telephone no ▶(970) 925-4290

Located at ▶715 WEST MAIN SUITE 101 ASPEN CO ZIP+4 ▶81611

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ **Yes** ☑ **No**			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ **Yes** ☑ **No**			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ **Yes** ☑ **No**			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ **Yes** ☑ **No**			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ **Yes** ☑ **No**			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ **Yes** ☑ **No**			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ **Yes** ☑ **No**			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ **Yes** ☑ **No**			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ **Yes** ☑ **No**			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ **Yes** ☑ **No**			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ **Yes** ☑ **No**			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ **Yes** ☐ **No**			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ **Yes** ☑ **No**			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		**No**
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ **Yes** ☑ **No**			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
ROSAMOND L STANTON 304 S YELLOWSTONE LIVINGSTON, MT 59047	PRESIDENT 2 00	0	0	0
STORRS M BISHOP IV 211 S YELLOWSTONE LIVINGSTON, MT 59047	FIRST V P 0 50	0	0	0
THOMAS S BASKETT 425 NORTH B STREET LIVINGSTON, MT 59047	SECOND V P 0 50	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	793,730
b	Average of monthly cash balances	1b	15,858
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	809,588
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	809,588
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	12,144
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	797,444
6	**Minimum investment return.** Enter 5% of line 5	6	39,872

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	39,872
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1,981		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,981
3	Distributable amount before adjustments Subtract line 2c from line 1			3	37,891
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	37,891
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	37,891

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	40,150
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	40,150
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	40,150

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				37,891
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			35,737	
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f **Total** of lines 3a through e.				
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 40,150				
a Applied to 2012, but not more than line 2a			35,737	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				4,413
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				33,478
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .				
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ROSAMOND L STANTON
304 S YELLOWSTONE
LIVINGSTON, MT 59047
(406) 222-2439

b The form in which applications should be submitted and information and materials they should include

WRITTEN REQUEST REQUIRED - NO SPECIAL FORMAT

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ 3a				40,000
b *Approved for future payment*				
Total . . . . ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	17,273	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			14	90,559	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				107,832	

13 Total. Add line 12, columns (b), (d), and (e). **13** 107,832

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2014-04-16	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
JAMES K DAGGS		2014-04-25		P00924527

Firm's name ▶ JAMES DAGGS & ASSOCIATES PC

Firm's EIN ▶

Firm's address ▶ 715 W MAIN ST STE 101 ASPEN, CO 816111659

Phone no (970) 925-4290

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BOZEMAN SYMPHONY 1822 W LINCOLN ST STE 3 BOZEMAN,MT 59715	NONE	501(C)3	SHARED CONCERT SPONSORSHIP	2,500
PARK HIGH SCHOOL 102 VIEW VISTA DR LIVINGSTON,MT 59047	NONE	GOV'T	PROGRAM SUPPORT	200
WESTERN SUSTAINABILITY EXCHANGE PO BOX 1448 LIVINGSTON,MT 59047	NONE	501 C(3)	GRANT TO BUY NEW VAN	3,000
COUNTERPOINT INC 116 E LEWIS ST LIVINGSTON,MT 59047	NONE	501(C)3	GENERAL FUND	5,000
LIVINGSTON YOUTH SOCCER ASS'N PO BOX 556 LIVINGSTON,MT 59047	NONE	501 C(3)	GENERAL FUND	5,000
LANDON'S LOOKOUT 611 N 9TH ST LIVINGSTON,MT 59047	NONE	501(C)3	ONE MONTH'S SPONSORSHIP GRANT	1,800
GLOBAL MIDWIFE EDUC FNDT PO BOX 805 LIVINGSTON,MT 59047	NONE	501(C)3	GENERAL FUND	2,000
LIVINGSTON EDUCATION FNDT PO BOX 14 LIVINGSTON,MT 59047	NONE	501(C)3	GENERAL FUND	1,500
LIVINGSTON ROTARY CLUB - FNDT FUND PO BOX 66 LIVINGSTON,MT 59047	NONE	501 C(3)	WATER SPRAY PARK PROJECT	2,500
TRINITY CHURCH JEFFERS P O BOX 336 ENNIS,MT 597290336	NONE	501 C(3)	CAPITAL CAMPAIGN GRANT	5,000
COUNTERPOINT INC 116 E LEWIS ST LIVINGSTON,MT 59047	NONE	501 C(3)	GENERAL FUND	1,000
CHEYENNE CHILDREN SVCS PO BOX 723 LAME DEER,MT 59043	NONE	501(C)3	GENERAL FUND	500
WARRIORS & QUIET WATERS FNDT PO BOX 1165 BOZEMAN,MT 597711165	NONE	501 C(3)	GENERAL FUND	1,000
PAYDEN MEMORIAL FNDT PO BOX 166 LIVINGSTON,MT 59047	NONE	501(C)3	GENERAL FUND	1,000
LIVINGSTON CENTER FOR ARTS & CULTUR 119 S MAIN ST LIVINGSTON,MT 59047	NONE	501 C(3)	"LOOSE HORSES" FILM GRANT	1,500
Total . . . . ▶ **3a**				40,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BIG BROTHERS BIG SISTERS 105 S 2ND STREET LIVINGSTON, MT 59047	NONE	501 C(3)	GENERAL FUND	1,500
PARK COUNTY COMMUNITY FND PO BOX 2199 LIVINGSTON, MT 59047	NONE	501(C)3	GENERAL FUND	1,000
LOAVES & FISHES OF LIVINGSTON 301 S MAIN ST LIVINGSTON, MT 59047	NONE	501(C)3	GENERAL FUND	1,500
LIVINGSTON FOOD PANTRY 112 N M ST LIVINGSTON, MT 59047	NONE	501(C)3	GENERAL FUND	1,500
PARK HIGH SCHOOL 102 VIEW VISTA DR LIVINGSTON, MT 59047	NONE	GOV'T	CONSTRUCTION OF CLIMBING WALL	500
KIDS 4 PEACE 125 CLAIREMONT AVE STE150 DECATUR, GA 30030	NONE	501(C)3	PROGRAM SUPPORT	100
LIVINGSTON YOUTH SOCCER ASS'N PO BOX 556 LIVINGSTON, MT 59047	NONE	501 C(3)	GENERAL FUND	200
LIVINGSTON FOOD PANTRY 112 N M ST LIVINGSTON, MT 59047	NONE	501(C)3	GENERAL FUND	100
LIVINGSTON ROTARY CLUB - FNDT FUND PO BOX 66 LIVINGSTON, MT 59047	NONE	501 C(3)	WATER SPRAY PROJECT	100
Total . . . . ▶ **3a**				40,000

TY 2013 Accounting Fees Schedule

Name: SOLID ROCK FOUNDATION
C/O JAMES DAGGS & ASSOCIATES PC

EIN: 84-1395797

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION	2,725	2,725		

TY 2013 Compensation Explanation

Name: SOLID ROCK FOUNDATION
C/O JAMES DAGGS & ASSOCIATES PC

EIN: 84-1395797

Person Name	Explanation
ROSAMOND L STANTON	
STORRS M BISHOP IV	
THOMAS S BASKETT	

TY 2013 Investments Corporate Stock Schedule

Name: SOLID ROCK FOUNDATION
C/O JAMES DAGGS & ASSOCIATES PC

EIN: 84-1395797

Name of Stock	End of Year Book Value	End of Year Fair Market Value
UBS ACCOUNT KK-12048 TR	807,430	807,430

TY 2013 Other Decreases Schedule

Name: SOLID ROCK FOUNDATION
C/O JAMES DAGGS & ASSOCIATES PC

EIN: 84-1395797

Description	Amount
2012 FEDERAL EXCISE TAX	633
UNDERPAYMENT PENALTY	7
ADJUSTMENT TO REVERSE PRIOR YEAR DEFERRED GAIN	157,942

TY 2013 Other Expenses Schedule

Name: SOLID ROCK FOUNDATION
C/O JAMES DAGGS & ASSOCIATES PC

EIN: 84-1395797

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
UBS ANNUAL FEE	150			150

TY 2013 Other Increases Schedule

Name: SOLID ROCK FOUNDATION
C/O JAMES DAGGS & ASSOCIATES PC

EIN: 84-1395797

Description	Amount
ADJUST. TO MATCH ENDING BALANCE OF UBS STATEMENT	506
CURRENT YEAR DEFERRED UNREALIZED GAIN	114,600

TY 2013 Other Professional Fees Schedule

Name: SOLID ROCK FOUNDATION
C/O JAMES DAGGS & ASSOCIATES PC

EIN: 84-1395797

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	5,840	5,840		

TY 2013 Taxes Schedule

Name: SOLID ROCK FOUNDATION
C/O JAMES DAGGS & ASSOCIATES PC

EIN: 84-1395797

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES ON DIVIDENDS	194	194		

UBS Financial Services Inc.
1000 Harbor Boulevard
Weehawken, NJ 07086-6790
Federal ID 13-2638166

Your Financial Advisor:
DEAN/FALLIS
505 988-7291/800-634-2386

Payer:
THE SOLID ROCK FOUNDATION
304 S YELLOWSTONE
LIVINGSTON MT 59047-3421

2013 Consolidated Form 1099

Copy B, For Recipient
Account Number. KK 12048 TR
Tax Identification Number: 84-1395797

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715)

Consistent with IRS guidance transactions are grouped into sections based upon whether the security is covered or noncovered and whether the term is short or long. Transactions for which the term cannot be determined are grouped in a separate section. As a result the substitute Form 1099 B could have up to five separate sections, each with a heading identifying which securities are included and how to report the transactions on Form 8949. When available basis information will be displayed in sections where basis is not required to be reported to the IRS. A security is a covered security" and subject to basis reporting requirements if it is acquired on or after its corresponding effective date. For stock in a corporation the effective date is January 1 2011. For stock in a mutual fund the effective date is January 1 2012. UBS is not required to report basis to the IRS for any securities acquired before 2011. IRS regulations now require that brokers report short sales for the year in which the short sale is closed. The possible application of the "Constructive Sale" provisions (Sec 1259) for short against-the box transactions is not required and thus not reflected here. Clients may wish to discuss the potential application of this provision with their tax advisors.

The cost basis of the oldest security lot (first-in first out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. 2013 Gain/Loss for transactions with trade dates through 12/31/13 has been incorporated into this statement. The net gain or loss for each transaction is calculated based on the sales amount less cost basis with any wash sale loss disallowed. Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that may result in or negate wash sales. Gain or loss is not calculated on the sale or redemption of certain Structured Products and certain grantor trusts with collectible or foreign currency as it may be ordinary and not capital gain or loss. These gains or losses will not be included on the gain or loss subtotal and total amounts. Where applicable symbol (2) will be printed to the right of the gain or loss subtotal and total amounts. Net gain or loss is not reported to the IRS.

In Box 2a gross proceeds are reported less commissions and option premiums. Sale amounts with "±" symbol indicate where an option premium adjustment has been made. If Box 2b is checked a loss based on the amount reported in Box 2a is not allowed.

Beginning in 2012, basis for both covered and noncovered securities have been adjusted for all capital changes. Prior to 2012, basis may not have been adjusted for noncovered tax lots. The Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion. Cost basis for coupon tax exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099 OID is not adjusted for market discount amortized acquisition premium, or bond premium. Therefore the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount reported to the IRS because the reporting requirements are different.

Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products.

Type of Gain/Loss: Short Term (Box 1c)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked

In this sub-section Date acquired Cost or Other Basis and Wash Sale Loss disallowed are reported to the IRS (Box 6b checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) PACE GOVERNMENT SECURITIES FIXED INCOME INVESTMENTS CLASS P					*CUSIP* 69373W772	*Symbol (Box 1d)* PCGTX				
Sell	255 4140		05/20/13	06/24/13	3,185 73	3,315 27	19 00			(110 54)
Sell	105 9710		05/20/13	10/24/13	1,352 19	1,375 51	8 60			(14 72)
Sell	117 6280		05/20/13	12/09/13	1,488 00	1,526 81	5 95			(32 86)
Sub Total	**479 0130**				**6,025 92**	**6,217 59**	**33.55**			**(158.12)**
Short-term total Box A, Part I	**479.0130**				**$6,025.92**	**$6,217.59**	**$33.55**			**$(158.12)**

continued next page

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Type of Gain/Loss: Long Term (Box 1c)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS, Report on Form 8949, Part II, with **Box E** checked

In this sub section Date acquired Cost or Other Basis and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) PACE ALTERNATIVE STRATEGIES INVESTMENTS CLASS P					*CUSIP* 69373W202	*Symbol (Box 1d)* PASPX				
Sell	719 2500		10/27/08	05/20/13	7,552 13	5,869 08				1,683 05
Sell	164 9570		10/27/08	06/24/13	1,651 22	1,346 05				305 17
Sell	113 2080		10/27/08	12/09/13	1,200 00	923 77				276 23
Sub Total	**997.4150**				**10,403.35**	**8,138 90**				**2,264.45**
Description (Box 8) PACE GLOBAL REAL ESTATE SECURITIES INVESTMENTS CLASS P					*CUSIP* 69373W806	*Symbol (Box 1d)* PREQX				
Sell	218 6380		10/27/08	05/20/13	1,572 01	907 35				664 66
Sell	1,049 0480		10/30/08	05/20/13	7,542 65	4,920 04				2,622 61
Sell	14 6340		10/30/08	12/09/13	96 00	68 63				27 37
Sub Total	**1,282.3200**				**9,210.66**	**5,896.02**				**3,314.64**
Description (Box 8) PACE HIGH YIELD INVESTMENTS CLASS P					*CUSIP* 69373W830	*Symbol (Box 1d)* PHYPX				
Sell	5,531 4800		10/30/08	05/20/13	59,961 25	40,948 43				19,012 82
Sell	84 4880		11/21/08	05/20/13	915 85	568 85				347 00
Sell	231 3750		12/23/08	05/20/13	2,508 10	1,578 62				929 48
Sell	80 2920		01/22/09	05/20/13	870 37	593 59				276 78
Sell	76 6040		02/19/09	05/20/13	830 38	562 48				267 90
Sell	97 3540		03/24/09	05/20/13	1,055 32	694 42				360 90
Sell	92 2120		04/23/09	05/20/13	999 58	690 00				309 58
Sell	86 8700		05/21/09	05/20/13	941 67	700 41				241 26
Sell	54 8290		06/23/09	05/20/13	594 35	461 26				133 09

continued next page

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS, Report on Form 8949, Part II, with **Box E** checked (continued)

In this sub-section Date acquired Cost or Other Basis and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) PACE HIGH YIELD INVESTMENTS CLASS P					*CUSIP* 69373W830	*Symbol (Box 1d)* PHYPX				
Sell	36 2550		07/23/09	05/20/13	393 00	315 17				77 83
Sell	17 2170		07/23/09	06/24/13	175 61	149 61				26 00
Sell	37 3930		08/20/09	06/24/13	381 41	335 77				45 64
Sell	37 8790		08/20/09	12/09/13	400 00	340 05				59 95
Sub Total	**6,464.2480**				**70,026.89**	**47,938 66**				**22,088.23**
Description (Box 8) PACE INTERMEDIATE FIXED INCOME INVESTMENTS CLASS P					*CUSIP* 69373W350	*Symbol (Box 1d)* PCIFX				
Sell	1,453 8870		10/27/08	05/20/13	18,057 28	15,643 82				2,413 46
Sell	5,495 9370		10/30/08	05/20/13	68,259 53	59,081 32				9,178 21
Sell	241 6880		10/30/08	06/24/13	2,931 68	2,598 15				333 53
Sell	90 1220		10/30/08	12/09/13	1,104 00	968 81				135 19
Sub Total	**7,281.6340**				**90,352 49**	**78,292.10**				**12,060.39**
Description (Box 8) PACE INTERNATIONAL EMERGING MARKETS EQUITY INVESTMENTS CLASS P					*CUSIP* 69373W293	*Symbol (Box 1d)* PCEMX				
Sell	**29.7770**		10/27/08	12/09/13	**388.00**	**254 59**				**133.41**
Description (Box 8) PACE INTERNATIONAL EQUITY INVESTMENTS CLASS P					*CUSIP* 69373W244	*Symbol (Box 1d)* PCIEX				
Sell	**64.7960**		10/27/08	12/09/13	**970.00**	**589 65**				**380.35**
Description (Box 8) PACE INTERNATIONAL FIXED INCOME INVESTMENT CLASS P					*CUSIP* 69373W624	*Symbol (Box 1d)* PCGLX				
Sell	1,063 9760		10/27/08	05/20/13	11,512 22	10,628 69				883 53
Sell	1,591 6070		10/30/08	05/20/13	17,221 19	16,281 51				939 68

continued next page

UBS Financial Services Inc.
1000 Harbor Boulevard
Weehawken, NJ 07086-6790
Federal ID 13 2638166

Your Financial Advisor:
DEAN/FALLIS
505-988-7291/800-634-2386

Payer.
THE SOLID ROCK FOUNDATION
304 S YELLOWSTONE
LIVINGSTON MT 59047-3421

2013 Consolidated Form 1099

Copy B, For Recipient
Account Number· KK 12048 TR
Tax Identification Number: 84-1395797

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS, Report on Form 8949, Part II, with **Box E** checked (continued)

In this sub-section Date acquired Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) PACE INTERNATIONAL FIXED INCOME INVESTMENT CLASS P					*CUSIP* 69373W624	*Symbol (Box 1d)* PCGLX				
Sell	109 9180		10/30/08	06/24/13	1,147 54	1,119 09				28 45
Sell	37 8790		10/30/08	12/09/13	400 00	384 71				15 29
Sub Total	**2,803.3800**				**30,280.95**	**28,414.00**				**1,866 95**
Description (Box 8) PACE LARGE CO GROWTH EQUITY INVESTMENTS CLASS P					*CUSIP* 69373W442	*Symbol (Box 1d)* PCLCX				
Sell	1,289 2780		10/27/08	05/20/13	30,555 89	14,001 56				16,554 33
Sell	23 6950		10/27/08	12/09/13	631 00	257 33				373 67
Sub Total	**1,312.9730**				**31,186.89**	**14,258.89**				**16,928.00**
Description (Box 8) PACE LARGE CO VALUE EQUITY INVESTMENTS CLASS P					*CUSIP* 69373W491	*Symbol (Box 1d)* PCLVX				
Sell	1,498 7240		10/27/08	05/20/13	32,762 11	16,530 93				16,231 18
Sell	1 5130		10/30/08	05/20/13	33 07	18 85				14 22
Sell	56 7100		10/30/08	06/24/13	1,182 98	706 61				476 37
Sell	26 0850		10/30/08	12/09/13	631 00	325 02				305 98
Sub Total	**1,583.0320**				**34,609.16**	**17,581.41**				**17,027.75**
Description (Box 8) PACE SMALL / MEDIUM CO GROWTH EQUITY INVESTMENTS CLASS P					*CUSIP* 69373W632	*Symbol (Box 1d)* PCSGX				
Sell	64 9780		10/27/08	06/24/13	1,316 45	531 52				784 93
Sell	9 0010		10/27/08	12/09/13	218 00	73 63				144 37
Sub Total	**73 9790**				**1,534.45**	**605 15**				**929 30**

continued next page

Proceeds from Broker Transactions Details Reported on Form 1099-B (OMB No. 1545-0715) (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS, Report on Form 8949, Part II, with **Box E** checked (continued)

In this sub section Date acquired, Cost or Other Basis and Wash Sale Loss disallowed are not reported to the IRS (Box 6a checked)

Activity type	Quantity / face value (Box 1e)	Original cost basis ($)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) ($)	Cost or other basis (Box 3) ($)	Wash sale loss disallowed (Box 5) ($)	Federal income tax withheld (Box 4) ($)	Loss not allowed (Box 2b)	Gain/Loss ($)
Description (Box 8) PACE SMALL / MEDIUM CO VALUE EQUITY INVESTMENTS CLASS P					*CUSIP* 69373W681	*Symbol (Box 1d)* PCSVX				
Sell	**10.9290**		10/27/08	12/09/13	**267.00**	**102.51**				**164.49**
Description (Box 8) PACE STRATEGIC FIXED INCOME INVESTMENTS CLASS P					*CUSIP* 69373W756	*Symbol (Box 1d)* PCSIX				
Sell	**156.6360**		10/30/08	12/09/13	**2,207 00**	**1,997.11**				**209 89**
Long-term total Box E, Part II	**22,061 1190**				**$281,436.84**	**$204,068.99**				**$77,367.85**
Total					**$287,462.76**					

Ad Name: 10010567A
Customer: Daggs & Associates
Your account number: 1006853

PROOF OF PUBLICATION

THE ASPEN TIMES

STATE OF COLORADO,
COUNTY OF PITKIN

I, Jim Morgan, do solemnly swear that I am General Manager of the ***ASPEN TIMES WEEKLY,*** that the same weekly newspaper printed, in whole or in part and published in the County of Pitkin, State of Colorado, and has a general circulation therein, that said newspaper has been published continuously and uninterruptedly in said County of Pitkin for a period of more than fifty two consecutive weeks next prior to the first publication of the annexed legal notice or advertisement

The Aspen Times is an accepted legal advertising medium, only for jurisdictions operating under Colorado's Home Rule provision

That the annexed legal notice or advertisement was published in the regular and entire issue of every number of said daily newspaper for the period of **1** consecutive insertions, and that the first publication of said notice was in the issue of said newspaper dated **3/13/2014** and that the last publication of said notice was in the issue of said newspaper dated **3/13/2014.**

In witness whereof, I have here unto set my hand this **03/24/2014.**

Jim Morgan, General Manager

Subscribed and sworn to before me, a notary public in and for the County of Garfield, State of Colorado this **03/24/2014.**

Pamela J Schultz

Pamela J Schultz, Notary Public
My Commission expires November 1, 2015

NOTARY PUBLIC
PAMELA J SCHULTZ
STATE OF COLORADO
My Commission Expires 11/01/2015

PUBLIC NOTICE

"Pursuant to Section 6104(D) of the Internal Revenue Code notice is hereby given that the annual report for the taxable year ended December 31 2013, of The Solid Rock Foundation a private foundation, is available at the Foundation's principal office for inspection during business hours, 9 00 a m to 4 00 p m Monday through Friday for any citizen who requests it within 180 days after the date of this publication The Foundation's principal office is located at 715 West Main Suite 101 Aspen Colorado, 81611 Telephone 970-925-4290

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