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Department of the Treasury
Internal Revenue ServiceReturn of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

For calendar year 2013, or tax year beginning , 2013, and ending

Name of foundation Morgan Family Foundation		A Employer identification number 84-1364137
Number and street (or P.O. box number if mail is not delivered to street address) P.O. Box 1121		B Telephone number (see the instructions) (303) 335-7669
City or town, state or province, country, and ZIP or foreign postal code Conifer CO 80433		C If exemption application is pending, check here. ☐
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial Return of a former public charity ☐ Amended return ☐ Name change		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization. ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 1,305,068.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)		

Part II Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (att sch)		24,350.			
2 ☐ If the foundn is not req to att Sch B					
3 Interest on savings and temporary cash investments		27.	27.		
4 Dividends and interest from securities		15,232.	15,232.		
5a Gross rents					
b Net rental income or (loss)					
6a Net gain/(loss) from sale of assets not on line 10		98,073.			
b Gross sales price for all assets on line 6a		2,345,612.			
7 Capital gain net income (from Part IV, line 2)			98,073.		
8 Net short-term capital gain				49,469.	
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule)					
See Line 11 Stmt		863.	863.		
12 Total. Add lines 1 through 11.		138,545.	114,195.	49,469.	
13 Compensation of officers, directors, trustees, etc					
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees (attach schedule). L-16a Stmt.		9,661.	9,661.		
b Accounting fees (attach sch). L-16b Stmt.		1,323.	1,323.		
c Other prof fees (attach sch)					
17 Interest					
18 Taxes (attach schedule)(see Instrs) See Line 18 Stmt		2,158.	2,158.		
19 Depreciation (attach sch) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule)					
See Line 23 Stmt		17,730.	16,030.		1,700.
24 Total operating and administrative expenses. Add lines 13 through 23		30,872.	29,172.		1,700.
25 Contributions, gifts, grants paid		123,400.			123,400.
26 Total expenses and disbursements. Add lines 24 and 25		154,272.	29,172.		125,100.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-15,727.			
b Net investment income (if negative, enter -0-).			85,023.		
c Adjusted net income (if negative, enter -0-).				49,469.	

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REVENUE

ADMINISTRATIVE AND EXPENSES

Part II**Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	18,507.	16,998.	16,998.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U S and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule) L-10b. Stmt	442,738.	383,347.	516,673.
	c Investments — corporate bonds (attach schedule)			
	LIABILITIES	11 Investments — land, buildings, and equipment: basis		
Less: accumulated depreciation (attach schedule)				
12 Investments — mortgage loans				
13 Investments — other (attach schedule) L-13. Stmt		565,318.	600,491.	630,748.
14 Land, buildings, and equipment: basis				
Less: accumulated depreciation (attach schedule)				
15 Other assets (describe L-15. Stmt)		194,552.	204,552.	140,649.
16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I).		1,221,115.	1,205,388.	1,305,068.
17 Accounts payable and accrued expenses				
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees, & other disqualified persons				
21 Mortgages and other notes payable (attach schedule)				
22 Other liabilities (describe)				
23 Total liabilities (add lines 17 through 22)				
FUND ASSETS	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ☒ X			
	24 Unrestricted	1,221,115.	1,205,388.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☐			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	1,221,115.	1,205,388.	
	31 Total liabilities and net assets/fund balances (see instructions)	1,221,115.	1,205,388.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,221,115.
2 Enter amount from Part I, line 27a	2	-15,727.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	1,205,388.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	1,205,388.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a Statement 1 — Net Short Term	P	01/01/13	12/31/13
b Statement 1 — Net Long Term	P	12/31/12	12/31/13
c Statement 1 — Other	P	03/26/13	06/04/13
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,143,892.		2,093,196.	50,696.
b 188,894.		140,290.	48,604.
c 12,826.		14,053.	-1,227.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(j) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			50,696.
b			48,604.
c			-1,227.
d			
e			

2 Capital gain net income or (net capital loss)	— [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	98,073.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).			
If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	— []	3	49,469.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	101,977.	1,219,687.	0.083609
2011	81,145.	1,272,628.	0.063762
2010	74,856.	1,254,221.	0.059683
2009	60,648.	1,207,446.	0.050228
2008	66,334.	1,208,737.	0.054879

2 Total of line 1, column (d)	2	0.312161
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.062432
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	1,267,778.
5 Multiply line 4 by line 3	5	79,150.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	850.
7 Add lines 5 and 6.	7	80,000.
8 Enter qualifying distributions from Part XII, line 4	8	125,100.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary — see instrs)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1 850.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2 0.
3 Add lines 1 and 2		3 850.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4 0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5 850.
6 Credits/Payments:		
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a	
b Exempt foreign organizations — tax withheld at source	6 b	
c Tax paid with application for extension of time to file (Form 8868)	6 c	0.
d Backup withholding erroneously withheld	6 d	
7 Total credits and payments. Add lines 6a through 6d	7	0.
8 Enter any penalty for underpayment of estimated tax Check here ☒ if Form 2220 is attached	8	23.
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	873.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0.
11 Enter the amount of line 10 to be Credited to 2014 estimated tax	11	
Refunded		

Part VII Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation (2) On foundation managers		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If 'Yes,' attach a detailed description of the activities		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If 'Yes,' attach the statement required by General Instruction T.		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:		
• By language in the governing instrument, or		
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV.	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions)		
CO - Colorado		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

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Part VIIA Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes', attach statement (see instructions).	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address N/A				
14	The books are in care of ▶ <u>Rodney Morgan</u> Telephone no. ▶ <u>(303) 335-7669</u>			
	Located at ▶ <u>PO Box 1121</u> <u>Conifer</u> <u>CO</u> ZIP + 4 ▶ <u>80433</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here			☐
	and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country ▶				

Part VIIB Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

		Yes	No
1 a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes	☒ No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No
(6)	Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes	☒ No
b	If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b	
	Organizations relying on a current notice regarding disaster assistance check here		☐
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes	☒ No
	If 'Yes,' list the years ▶ 20 __ , 20 __ , 20 __ , 20 __ .		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)	2 b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20 __ , 20 __ , 20 __ , 20 __ .		
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No
b	If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3 b	
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b	X

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Part VII Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5 a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here ☐c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If 'Yes' to 6b, file Form 8870.

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ Nob If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Mickey M Morgan PO Box 1211 Conifer CO 80433	Director 1.00	0.	0.	0.
Lynn Warner PO Box 1211 Conifer CO 80433	Director 1.00	0.	0.	0.
Pamela Cartwright PO Box 1211 Conifer CO 80433	Director 1.00	0.	0.	0.
See Information about Officers, Directors, Trustees, Etc.		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000 ☐ None

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes	
a	Average monthly fair market value of securities	1 a 1,087,931.
b	Average of monthly cash balances	1 b 57,813.
c	Fair market value of all other assets (see instructions)	1 c 141,340.
d	Total (add lines 1a, b, and c)	1 d 1,287,084.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e
2	Acquisition indebtedness applicable to line 1 assets	2
3	Subtract line 2 from line 1d	3 1,287,084.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4 19,306.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5 1,267,778.
6	Minimum investment return. Enter 5% of line 5	6 63,389.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1 63,389.
2 a	Tax on investment income for 2013 from Part VI, line 5	2 a 850.
b	Income tax for 2013 (This does not include the tax from Part VI.)	2 b
c	Add lines 2a and 2b	2 c 850.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3 62,539.
4	Recoveries of amounts treated as qualifying distributions	4
5	Add lines 3 and 4	5 62,539.
6	Deduction from distributable amount (see instructions)	6
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7 62,539.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a 125,100.
b	Program-related investments — total from Part IX-B.	1 b
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2
3	Amounts set aside for specific charitable projects that satisfy the	
a	Suitability test (prior IRS approval required)	3 a
b	Cash distribution test (attach the required schedule)	3 b
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4 125,100.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5 850.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6 124,250.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				62,539.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years 20 __, 20 __, 20 __				
3 Excess distributions carryover, if any, to 2013				
a From 2008	0.			
b From 2009	0.			
c From 2010	0.			
d From 2011	0.			
e From 2012	26,347.			
f Total of lines 3a through e	26,347.			
4 Qualifying distributions for 2013 from Part XII, line 4: \$ 125,100.				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2013 distributable amount				62,539.
e Remaining amount distributed out of corpus	62,561.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	88,908.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	88,908.			
10 Analysis of line 9				
a Excess from 2009	0.			
b Excess from 2010	0.			
c Excess from 2011	0.			
d Excess from 2012	26,347.			
e Excess from 2013	62,561.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or

☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon.				
a 'Assets' alternative test — enter.				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c 'Support' alternative test — enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed

The Organization

PO Box 1121

Conifer

CO 80433

(303) 335-7669

- b** The form in which applications should be submitted and information and materials they should include.

Statement 2

- c** Any submission deadlines:

Statement 3

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Statement 3

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Starlight Children's Foundation 2049 Century Park East Suite 4320 Los Angeles CA 90067		Public Charity	Support charity	5,000.
Joy School One Chelsea Blvd Houston TX 77006		Public Charity	Support charity	20,000.
Houston Baptist University 7502 Fondren Rd Houston TX 77074		Public Charity	Scholarship	7,000.
Friends of Honduran Children PO Box 853 Peterborough K9J7A2		Public Charity	Support charity	18,000.
Goodwill Industries 1460 Garden of the Gods Road Colorado Springs CO 80907		Public charity	Support charity	17,000.
Sunnyside Christian Church 2025 N Murray Blvd Colorado Springs CO 80915		Public Charity	Ministry support	5,000.
Ute Pass Elementary School 9230 Chipita Park Rd Chipita Park CO 80809		Public Charity	Support charity	1,500.
Global Leadership Adventure 10509 San Diego Mission Rd Suite A-1 San Diego CA 92108		Public Charity	Scholarship	1,000.
Pennies for Nicoll Foundation PO Box 60843 Colorado Springs CO 80960		Public Charity	Support charity	5,000.
See Line 3a statement				43,900.
Total			3 a	123,400.
b Approved for future payment				
Total			3 b	

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
(1)	Cash	1 a (1)	X
(2)	Other assets	1 a (2)	X
b	Other transactions:		
(1)	Sales of assets to a noncharitable exempt organization	1 b (1)	X
(2)	Purchases of assets from a noncharitable exempt organization	1 b (2)	X
(3)	Rental of facilities, equipment, or other assets	1 b (3)	X
(4)	Reimbursement arrangements	1 b (4)	X
(5)	Loans or loan guarantees	1 b (5)	X
(6)	Performance of services or membership or fundraising solicitations	1 b (6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c	X

[illegible]

(a) Name of organization	(b) Type of organization	(c) Description of relationship

BAA Form 990-PF (2013)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF

▶ Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

Morgan Family Foundation

Employer identification number

84-1364137

Organization type (check one)**Filers of:**

Form 990 or 990-EZ

Section:☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)**Special Rules**☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc. purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc. purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc. contributions of \$5,000 or more during the year ▶ \$**Caution:** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).**BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.**

Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

Employer identification number

Morgan Family Foundation

84-1364137

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Rodney Morgan P.O. Box 1121 Conifer CO 80433	\$ 17,660	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Form 990-PF
Part I, Line 6a

Net Gain or Loss From Sale of Assets

2013

Name <u>Morgan Family Foundation</u>	Employer Identification Number <u>84-1364137</u>
---	---

Asset Information:

Description of Property: <u>Merrill Lynch Securities - Statement 1</u>	
Date Acquired . . . <u>various</u>	How Acquired: . . . <u>Purchased</u>
Date Sold . . . <u>various</u>	Name of Buyer: . . . _____
Sales Price: . . . <u>2,345,612.</u>	Cost or other basis (do not reduce by depreciation) . . . <u>2,247,539.</u>
Sales Expense: . . . _____	Valuation Method: . . . _____
Total Gain (Loss): . . . <u>98,073.</u>	Accumulation Depreciation: . . . _____
Description of Property: _____	
Date Acquired . . . _____	How Acquired: . . . _____
Date Sold . . . _____	Name of Buyer: . . . _____
Sales Price: . . . _____	Cost or other basis (do not reduce by depreciation) . . . _____
Sales Expense . . . _____	Valuation Method: . . . _____
Total Gain (Loss): . . . _____	Accumulation Depreciation: . . . _____
Description of Property: _____	
Date Acquired: . . . _____	How Acquired: . . . _____
Date Sold: . . . _____	Name of Buyer: . . . _____
Sales Price . . . _____	Cost or other basis (do not reduce by depreciation) . . . _____
Sales Expense . . . _____	Valuation Method: . . . _____
Total Gain (Loss): . . . _____	Accumulation Depreciation: . . . _____
Description of Property: _____	
Date Acquired: . . . _____	How Acquired: . . . _____
Date Sold: . . . _____	Name of Buyer: . . . _____
Sales Price: . . . _____	Cost or other basis (do not reduce by depreciation) . . . _____
Sales Expense . . . _____	Valuation Method: . . . _____
Total Gain (Loss): . . . _____	Accumulation Depreciation: . . . _____
Description of Property: _____	
Date Acquired: . . . _____	How Acquired: . . . _____
Date Sold . . . _____	Name of Buyer: . . . _____
Sales Price . . . _____	Cost or other basis (do not reduce by depreciation) . . . _____
Sales Expense . . . _____	Valuation Method: . . . _____
Total Gain (Loss) . . . _____	Accumulation Depreciation: . . . _____
Description of Property: _____	
Date Acquired . . . _____	How Acquired: . . . _____
Date Sold: . . . _____	Name of Buyer: . . . _____
Sales Price . . . _____	Cost or other basis (do not reduce by depreciation) . . . _____
Sales Expense . . . _____	Valuation Method: . . . _____
Total Gain (Loss): . . . _____	Accumulation Depreciation: . . . _____
Description of Property: _____	
Date Acquired: . . . _____	How Acquired: . . . _____
Date Sold . . . _____	Name of Buyer: . . . _____
Sales Price: . . . _____	Cost or other basis (do not reduce by depreciation) . . . _____
Sales Expense . . . _____	Valuation Method: . . . _____
Total Gain (Loss) . . . _____	Accumulation Depreciation: . . . _____
Description of Property: _____	
Date Acquired: . . . _____	How Acquired: . . . _____
Date Sold: . . . _____	Name of Buyer: . . . _____
Sales Price: . . . _____	Cost or other basis (do not reduce by depreciation) . . . _____
Sales Expense: . . . _____	Valuation Method: . . . _____
Total Gain (Loss): . . . _____	Accumulation Depreciation: . . . _____

Form 990-PF, Page 1, Part I, Line 11

Line 11 Stmt

Other income:	Rev/Exp Book	Net Inv Inc	Adj Net Inc
Partnership income	432.	432.	
Capital gain distribution	431.	431.	
Total	863.	863.	

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
Foreign tax paid	159.	159.		
Federal taxes	1,999.	1,999.		
Total	2,158.	2,158.		

Form 990-PF, Page 1, Part I, Line 23

Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
Investment fees	16,998.	15,298.		1,700.
Miscellaneous	732.	732.		
Total	17,730.	16,030.		1,700.

Form 990-PF, Page 6, Part VIII, Line 1

Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person.. ☒ Business . ☐ Rodney Morgan PO Box 1211 Conifer CO 80433	Director 1.00	0.	0.	0.
Person.. ☒ Business . ☐ Richard B Morgan PO Box 1211 Conifer CO 80433	Director 1.00	0.	0.	0.

Total

0. 0. 0.

Form 990-PF, Page 11, Part XV, line 3a

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
Co-Partners for Christ 367 W Cougar Lane China Springs TX 76633		Public Charity	Support charity	Person or Business ☐ ☒ 1,200.
Landon Collard 4604 Gorsham Drive Waco TX 76708	No relationship	Individual	Ministry support	Person or Business ☒ ☐ 5,200.
Cornelio M Castelo PO Box 670 Nogales AZ 85628	No relationship	Individual	Ministry support	Person or Business ☐ ☒ 15,000.
Laury Fund 81 South River Rd Kingstown, St Vincent	No relationship	Family fund	Housing assistance	Person or Business ☐ ☒ 5,000.
Mountain Area Land Trust PO Box 4063 Evergreen CO 80437		Public Charity	Land conservation	Person or Business ☐ ☒ 5,000.
Don Stevens, Round Top Church 440 E Mill St Round Top TX 78954		Public Charity	Ministry support	Person or Business ☐ ☒ 12,500.

Total

43,900.

Form 990-PF, Page 1, Part I

Line 16a - Legal Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Holland & Hart	Legal	9,661.	9,661.		

Total

9,661.9,661.

Form 990-PF, Page 1, Part I

Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Scripps, Taylor & Assoc	Tax prep	1,323.	1,323.		

Form 990-PF, Page 1, Part I

Continued

Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Total		<u>1,323.</u>	<u>1,323.</u>		

Form 990-PF, Page 2, Part II, Line 10b

L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year	
	Book Value	Fair Market Value
Merrill Lynch 032 - Corporate Stock	383,347.	516,673.
Total	<u>383,347.</u>	<u>516,673.</u>

Form 990-PF, Page 2, Part II, Line 13

L-13 Stmt

Line 13 - Investments - Other:	End of Year	
	Book Value	Fair Market Value
Merrill Lynch 032 - Mutual Funds	600,491.	630,748.
Total	<u>600,491.</u>	<u>630,748.</u>

Form 990-PF, Page 2, Part II, Line 15

Other Assets Stmt

Line 15 - Other Assets:	Beginning Year Book Value	End of Year	
		Book Value	Fair Market Value
Life Insurance Policy	194,552.	204,552.	140,649.
Total	<u>194,552.</u>	<u>204,552.</u>	<u>140,649.</u>

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
ALEXION PHARMS INC								
		CUSIP Number	015351109					
4.0000	Sale	11/27/12	02/19/13	337.99	374.16	0.00	(36.17)	
1.0000	Sale	12/31/12	02/19/13	84.49	92.89	0.00	(8.40)	
2.0000	Sale	01/31/13	02/19/13	169.00	188.68	0.00	(19.68)	
9.0000	Sale	02/08/13	02/19/13	760.51	841.77	0.00	(81.26)	
	Security Subtotal			1,351.99	1,497.50	0.00	(145.51)	
AFLAC INC								
		CUSIP Number	001055102					
265.0000	COM Sale	12/06/12	01/31/13	14,004.19	14,068.16	0.00	(63.97)	
27.0000	Sale	12/19/12	01/31/13	1,426.84	1,470.42	0.00	(43.58)	
6.0000	Sale	12/26/12	01/31/13	317.07	323.76	0.00	(6.69)	
4.0000	Sale	12/31/12	01/31/13	211.38	210.00	0.00	1.38	
9.0000	Sale	01/08/13	01/31/13	475.63	465.39	0.00	10.24	
	Security Subtotal			16,435.11	16,537.73	0.00	(102.62)	
AMERISOURCEBERGEN CORP								
		CUSIP Number	03073E105					
10.0000	Sale	02/25/13	05/24/13	545.38	470.63	0.00	74.75	
293.0000	Sale	02/25/13	06/04/13	15,593.28	13,789.40	0.00	1,803.88	
	Security Subtotal			16,138.66	14,260.03	0.00	1,878.63	

1099-B **2013 ANNUAL STATEMENT SUMMARY**
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5, Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
AETNA INC. NEW								
59,000	Sale	09/06/13	00817Y108	3,777.26	3,859.74	0.00	(82.48)	
134,000	Sale	09/10/13	09/30/13	8,578.88	8,666.79	0.00	(87.91)	
	Security Subtotal			12,356.14	12,526.53	0.00	(170.39)	
ASBURY AUTOMOTIVE GROUP								
250,000	Sale	01/31/13	043436104	8,090.92	8,802.73	0.00	(711.81)	
184,000	Sale	02/05/13	02/25/13	5,954.92	6,811.15	0.00	(856.23)	
27,000	Sale	02/08/13	02/25/13	873.82	988.38	0.00	(114.56)	
152,000	Sale	09/30/13	10/09/13	7,624.22	8,098.00	0.00	(473.78)	
	Security Subtotal			22,543.88	24,700.26	0.00	(2,156.38)	
AMERICAN TOWER REIT INC (HLDG CO) SHS								
185,000	Sale	12/06/12	03027X100	14,049.08	13,873.00	0.00	176.08	
21,000	Sale	12/19/12	01/31/13	1,586.18	1,605.03	0.00	(18.85)	
2,000	Sale	12/26/12	01/31/13	151.07	152.97	0.00	(1.90)	
2,000	Sale	01/08/13	01/31/13	151.07	153.88	0.00	(2.81)	
	Security Subtotal			15,937.40	15,784.88	0.00	152.52	
ACTIVISION BLIZZARD INC								
231,000	Sale	09/06/13	00507V109	3,853.26	3,900.32	0.00	(47.06)	
501,000	Sale	09/10/13	09/30/13	8,357.09	8,537.44	0.00	(180.35)	
3,000	Sale	09/16/13	09/30/13	50.05	51.75	0.00	(1.70)	
	Security Subtotal			12,260.40	12,489.51	0.00	(229.11)	
AMGEN INC COM PV \$0.0001								
26,000	Sale	06/06/12	031162100	2,227.37	1,786.44	0.00	440.93	
37,000	Sale	06/06/12	05/21/13	3,822.24	2,542.25	0.00	1,279.99	
7,000	Sale	06/06/12	05/24/13	740.62	480.97	0.00	259.65	
4,000	Sale	02/08/13	10/08/13	431.51	344.68	0.00	86.83	
	Security Subtotal			7,221.74	5,154.34	0.00	2,067.40	
BLACKROCK INC								
13,000	Sale	11/16/12	09247X101	3,095.23	2,431.24	0.00	663.99	
4,000	Sale	11/16/12	06/21/13	982.90	748.07	0.00	234.83	
4,000	Sale	11/27/12	06/21/13	982.90	774.35	0.00	208.55	
5,000	Sale	11/27/12	08/09/13	1,409.76	967.94	0.00	441.82	
	Security Subtotal			6,470.79	4,921.60	0.00	1,549.19	

2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
BOEING COMPANY								
10.0000	Sale	CUSIP Number 06/07/13	09/30/13	1,173.55	1,008.57	0.00	164.98	
COMCAST CORP NEW CL A								
30.0000	Sale	CUSIP Number 08/14/12	02/05/13	1,168.47	1,041.13	0.00	127.34	
46.0000	Sale	08/14/12	05/21/13	1,915.03	1,596.40	0.00	318.63	
5.0000	Sale	08/14/12	05/24/13	209.61	173.52	0.00	36.09	
22.0000	Sale	08/14/12	08/09/13	991.35	763.49	0.00	227.86	
Security Subtotal				4,284.46	3,574.54	0.00	709.92	
COVANCE INC								
7.0000	Sale	CUSIP Number 06/04/13	222816100 09/30/13	603.15	514.71	0.00	88.44	
63.0000	Sale	06/04/13	11/08/13	5,422.37	4,632.40	0.00	789.97	
33.0000	Sale	06/07/13	11/08/13	2,840.29	2,451.20	0.00	389.09	
35.0000	Sale	06/07/13	11/08/13	3,013.18	2,599.75	0.00	413.43	
33.0000	Sale	06/17/13	11/08/13	2,840.99	2,578.90	0.00	262.09	
5.0000	Sale	07/05/13	11/08/13	430.45	391.04	0.00	39.41	
18.0000	Sale	07/15/13	11/08/13	1,549.55	1,427.16	0.00	122.49	
Security Subtotal				16,700.08	14,595.16	0.00	2,104.92	
CANADIAN PACIFIC RAILWAY LTD								
76.0000	Sale	CUSIP Number 03/26/13	136451100 04/05/13	8,797.37	9,639.70	0.00	(842.33)	
CVS CAREMARK CORP								
112.0000	Sale	CUSIP Number 04/16/13	126650100 06/21/13	6,479.46	6,377.62	0.00	101.84	
1.0000	Sale	05/03/13	06/21/13	57.85	58.95	0.00	(1.10)	
51.0000	Sale	05/15/13	06/21/13	2,950.47	3,087.21	0.00	(136.74)	
31.0000	Sale	06/07/13	06/21/13	1,793.42	1,809.01	0.00	(15.59)	
24.0000	Sale	06/04/13	06/21/13	1,388.46	1,388.64	0.00	(0.18)	
20.0000	Sale	06/17/13	06/21/13	1,157.06	1,186.22	0.00	(29.16)	
Security Subtotal				13,826.72	13,907.65	0.00	(80.93)	
CARTER HOLDINGS INC								
37.0000	Sale	CUSIP Number 11/16/12	146229109 02/05/13	2,225.87	1,900.50	0.00	325.37	
28.0000	Sale	11/16/12	03/26/13	1,598.82	1,438.21	0.00	160.61	
209.0000	Sale	11/27/12	03/26/13	11,934.09	11,029.98	0.00	904.11	
35.0000	Sale	12/06/12	03/26/13	1,998.53	1,798.65	0.00	199.88	

2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CARTER HOLDINGS INC								
5,000	Sale	02/08/13	03/26/13	285.50	305.75	0.00	(20.25)	
1,000	Sale	02/25/13	03/26/13	57.11	58.99	0.00	(1.88)	
	Security Subtotal			18,099.92	16,532.08	0.00	1,567.84	
CELGENE CORP COM								
38,000	Sale	12/06/12	02/05/13	3,778.43	3,026.40	0.00	752.03	
33,000	Sale	12/06/12	06/21/13	3,747.21	2,628.19	0.00	1,119.02	
22,000	Sale	12/06/12	08/09/13	3,157.44	1,752.13	0.00	1,405.31	
11,000	Sale	12/06/12	09/30/13	1,702.31	876.06	0.00	826.25	
	Security Subtotal			12,385.39	8,282.78	0.00	4,102.61	
CBOE HOLDINGS INC COM								
8,000	Sale	04/11/13	08/09/13	388.26	298.06	0.00	90.20	
143,000	Sale	04/11/13	09/30/13	6,471.61	5,327.84	0.00	1,143.77	
112,000	Sale	04/16/13	09/30/13	5,068.67	4,120.31	0.00	948.36	
46,000	Sale	05/15/13	09/30/13	2,081.77	1,847.86	0.00	233.91	
6,000	Sale	06/04/13	09/30/13	271.53	241.58	0.00	29.95	
19,000	Sale	06/07/13	09/30/13	859.87	772.66	0.00	87.21	
8,000	Sale	06/17/13	09/30/13	362.06	344.80	0.00	17.26	
	Security Subtotal			15,503.77	12,953.11	0.00	2,550.66	
CORESITE REALTY CORP								
180,000	Sale	04/16/13	05/29/13	5,761.46	6,364.35	0.00	(602.89)	
1,000	Sale	05/03/13	05/29/13	32.00	36.32	0.00	(4.32)	
85,000	Sale	05/15/13	05/29/13	2,720.70	3,164.91	0.00	(444.21)	
	Security Subtotal			8,514.16	9,565.58	0.00	(1,051.42)	
CIGNA CORP								
32,000	Sale	11/16/12	02/05/13	1,929.88	1,604.54	0.00	325.34	
34,000	Sale	11/16/12	06/21/13	2,391.48	1,704.83	0.00	686.65	
23,000	Sale	11/27/12	06/21/13	1,617.77	1,203.92	0.00	413.85	
13,000	Sale	11/27/12	08/09/13	1,026.84	680.48	0.00	346.36	
2,000	Sale	11/27/12	10/09/13	154.49	104.69	0.00	49.80	
	Security Subtotal			7,120.46	5,298.46	0.00	1,822.00	

1099-B **2013 ANNUAL STATEMENT SUMMARY**
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
DRIL QUIP								
		CUSIP Number	262037104					
70,000	Sale	09/30/13	12/03/13	7,559.36	7,979.66	0.00	(420.30)	
32,000	Sale	10/11/13	12/03/13	3,455.71	3,756.27	0.00	(300.56)	
26,000	Sale	10/17/13	12/03/13	2,807.76	3,066.50	0.00	(258.74)	
3,000	Sale	10/21/13	12/03/13	323.97	357.04	0.00	(33.07)	
7,000	Sale	10/25/13	12/03/13	755.94	806.87	0.00	(50.93)	
2,000	Sale	11/15/13	12/03/13	215.99	230.52	0.00	(14.53)	
	Security Subtotal			15,118.73	16,196.86	0.00	(1,078.13)	
DOMINOS PIZZA INC								
		CUSIP Number	25754A201					
87,000	Sale	06/04/13	06/24/13	4,901.39	5,213.79	0.00	(312.40)	
85,000	Sale	06/07/13	06/24/13	4,788.72	5,090.63	0.00	(301.91)	
48,000	Sale	06/17/13	06/24/13	2,704.23	2,869.42	0.00	(165.19)	
	Security Subtotal			12,394.34	13,173.84	0.00	(779.50)	
DISCOVER FINL SVCS								
		CUSIP Number	254709108					
9,000	Sale	07/13/12	01/31/13	339.07	313.47	0.00	25.60	
350,000	Sale	07/20/12	01/31/13	13,186.13	12,263.55	0.00	922.58	
76,000	Sale	07/26/12	01/31/13	2,863.27	2,657.29	0.00	205.98	
9,000	Sale	07/31/12	01/31/13	339.08	323.71	0.00	15.37	
	Security Subtotal			16,727.55	15,558.02	0.00	1,169.53	
D R HORTON INC								
		CUSIP Number	23331A109					
642,000	Sale	02/25/13	04/05/13	14,324.95	14,251.05	0.00	73.90	
EASTMAN CHEMICAL CO COM								
		CUSIP Number	277432100					
18,000	Sale	12/06/12	02/05/13	1,313.43	1,085.10	0.00	228.33	
213,000	Sale	12/06/12	03/26/13	14,700.11	12,840.41	0.00	1,859.70	
12,000	Sale	12/19/12	03/26/13	828.18	793.51	0.00	34.67	
6,000	Sale	02/08/13	03/26/13	414.09	436.50	0.00	(22.41)	
	Security Subtotal			17,255.81	15,155.52	0.00	2,100.29	
EBAY INC								
		CUSIP Number	278642103					
28,000	Sale	12/06/12	02/05/13	1,585.88	1,468.02	0.00	117.86	
239,000	Sale	12/06/12	02/25/13	13,128.33	12,530.58	0.00	597.75	
40,000	Sale	12/19/12	02/25/13	2,197.21	2,057.47	0.00	139.74	
7,000	Sale	12/26/12	02/25/13	384.51	354.55	0.00	29.96	

1099-B **2013 ANNUAL STATEMENT SUMMARY**
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
EBAY INC								
	COM	CUSIP Number	278642103					
3 0000	Sale	12/31/12	02/25/13	164.79	150.51	0.00	14.28	
6 0000	Sale	02/08/13	02/25/13	329.59	342.00	0.00	(12.41)	
	Security Subtotal			17,790.31	16,903.13	0.00	887.18	
EOG RESOURCES INC								
	COM	CUSIP Number	26875PT01					
47 0000	Sale	09/30/13	11/15/13	7,992.40	7,948.58	0.00	43.82	
19 0000	Sale	10/11/13	11/15/13	3,230.97	3,437.23	0.00	(206.26)	
18 0000	Sale	10/17/13	11/15/13	3,060.92	3,251.42	0.00	(190.50)	
1 0000	Sale	10/21/13	11/15/13	170.05	184.31	0.00	(14.26)	
2 0000	Sale	10/25/13	11/15/13	340.11	365.06	0.00	(24.95)	
	Security Subtotal			14,794.45	15,186.60	0.00	(392.15)	
FRANKLIN RES INC								
	COM	CUSIP Number	354613T01					
42 0000	Sale	04/16/13	06/04/13	6,488.09	6,379.49	0.00	108.60	
18 0000	Sale	05/15/13	06/04/13	2,780.62	2,998.61	0.00	(217.99)	
	Security Subtotal			9,268.71	9,378.10	0.00	(109.39)	
ISHARES MSCI SWITZERLAND CAPPED INDEX FUND								
	COM	CUSIP Number	464286749					
37 0000	Sale	12/06/12	02/05/13	1,068.17	974.32	0.00	93.85	
68 0000	Sale	12/06/12	05/21/13	2,082.92	1,790.65	0.00	292.27	
422 0000	Sale	12/06/12	06/21/13	12,164.90	11,112.57	0.00	1,052.33	
60 0000	Sale	12/19/12	06/21/13	1,729.61	1,623.59	0.00	106.02	
14 0000	Sale	12/26/12	06/21/13	403.57	375.97	0.00	27.60	
2 0000	Sale	12/31/12	06/21/13	57.65	53.23	0.00	4.42	
23 0000	Sale	02/08/13	06/21/13	663.03	656.88	0.00	6.15	
	Security Subtotal			18,169.85	16,587.21	0.00	1,582.64	
ISHARES MSCI MEXICO CAPPED INVESTABLE MARKET INDEX FUND								
	COM	CUSIP Number	464286822					
11 0000	Sale	12/06/12	02/05/13	818.93	750.50	0.00	68.43	
192 0000	Sale	12/06/12	02/25/13	13,738.01	13,099.66	0.00	638.35	
21 0000	Sale	12/19/12	02/25/13	1,502.60	1,489.06	0.00	13.54	
7 0000	Sale	12/26/12	02/25/13	500.86	486.43	0.00	14.43	
9 0000	Sale	02/08/13	02/25/13	643.98	660.15	0.00	(16.17)	
	Security Subtotal			17,204.38	16,485.80	0.00	718.58	

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ISHARES RUSSELL MIDCAP VALUE INDEX FUND								
		CUSIP Number	464287473					
260.0000	Sale	02/25/13	06/21/13	14,739.24	14,289.11	0.00	450.13	
5.0000	Sale	03/26/13	06/21/13	283.45	283.14	0.00	0.31	
1.0000	Sale	04/11/13	06/21/13	56.69	57.74	0.00	(1.05)	
3.0000	Sale	04/16/13	06/21/13	170.07	168.96	0.00	1.11	
	Security Subtotal			15,249.45	14,798.95	0.00	450.50	
ISHARES 1-3 YEAR TREASURY BOND ETF								
		CUSIP Number	464287457					
106.0000	Sale	05/15/13	09/23/13	8,943.06	8,952.47	0.00	(9.41)	
82.0000	Sale	06/04/13	09/23/13	6,918.22	6,919.76	0.00	(1.54)	
142.0000	Sale	06/07/13	09/23/13	11,980.33	11,981.95	0.00	(1.62)	
83.0000	Sale	06/17/13	09/23/13	7,002.59	7,007.42	0.00	(4.83)	
21.0000	Sale	06/27/13	09/23/13	1,771.74	1,770.21	0.00	1.53	
74.0000	Sale	07/05/13	09/23/13	6,243.27	6,231.30	0.00	11.97	
90.0000	Sale	07/15/13	09/23/13	7,593.18	7,587.73	0.00	5.45	
15.0000	Sale	08/06/13	09/23/13	1,265.53	1,265.85	0.00	(0.32)	
	Security Subtotal			51,717.92	51,716.69	0.00	1.23	
ISHARES TRUST SHS ISHARE EMERGING MARKET LOCAL CURRENCY BOND FUND								
		CUSIP Number	464286517					
209.0000	Sale	02/25/13	03/26/13	11,115.71	11,262.98	0.00	(147.27)	
ISHARES CORE TOTAL U.S. BOND MARKET ETF								
		CUSIP Number	464287226					
3.0000	Sale	01/17/13	02/25/13	331.11	332.69	1.58 (W)	0.00	
73.0000	Sale	01/17/13	05/15/13	8,040.26	8,095.41	0.00	(55.15)	
3.0000	Sale	01/17/13	05/15/13	330.42	332.59	0.00 (Y)	(2.17)	
59.0000	Sale	01/31/13	05/15/13	6,498.30	6,509.82	0.00	(11.52)	
53.0000	Sale	02/05/13	05/15/13	5,837.45	5,832.65	0.00	4.80	
8.0000	Sale	02/08/13	05/15/13	881.12	880.72	0.00	0.40	
19.0000	Sale	03/26/13	05/15/13	2,092.68	2,099.26	0.00	(6.58)	
4.0000	Sale	04/11/13	05/15/13	440.56	443.56	0.00	(3.00)	
2.0000	Sale	04/16/13	05/15/13	220.29	222.44	0.00	(2.15)	
	Security Subtotal			24,672.19	24,749.14	1.58	(75.37)	

2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ISHARES BARCLAYS TIPS BO PROTECTED SECS FD								
		CUSIP Number	464287176					
70.0000	Sale	01/17/13	05/15/13	8,333.37	8,481.73	0.00	(148.36)	
49.0000	Sale	02/05/13	05/15/13	5,833.37	5,904.50	0.00	(71.13)	
7.0000	Sale	02/08/13	05/15/13	833.33	844.17	0.00	(10.84)	
56.0000	Sale	01/31/13	05/15/13	6,666.70	6,757.51	0.00	(90.81)	
15.0000	Sale	03/26/13	05/15/13	1,785.73	1,812.79	0.00	(27.06)	
3.0000	Sale	04/11/13	05/15/13	357.15	364.44	0.00	(7.29)	
1.0000	Sale	04/16/13	05/15/13	119.05	121.90	0.00	(2.85)	
	Security Subtotal			23,928.70	24,287.04	0.00	(358.34)	
ISHARES MORNINGSTAR LARGE-CAP ETF								
		CUSIP Number	464287127					
69.0000	Sale	04/16/13	09/30/13	6,850.14	6,414.76	0.00	435.38	
31.0000	Sale	05/15/13	09/30/13	3,077.60	3,068.96	0.00	8.64	
13.0000	Sale	06/04/13	09/30/13	1,290.60	1,272.96	0.00	17.64	
18.0000	Sale	06/07/13	09/30/13	1,786.99	1,757.34	0.00	29.65	
13.0000	Sale	06/17/13	09/30/13	1,290.61	1,278.11	0.00	12.50	
12.0000	Sale	07/15/13	09/30/13	1,191.34	1,204.08	0.00	(12.74)	
1.0000	Sale	08/06/13	09/30/13	99.28	100.91	0.00	(1.63)	
	Security Subtotal			15,566.56	15,097.12	0.00	469.44	
ISHARES MORNINGSTAR MID-CAP VALUE ETF								
		CUSIP Number	464288406					
38.0000	Sale	09/06/13	09/30/13	3,963.59	3,900.00	0.00	63.59	
81.0000	Sale	09/10/13	09/30/13	8,448.71	8,468.74	0.00	(20.03)	
	Security Subtotal			12,412.30	12,368.74	0.00	43.56	
VANGUARD REIT ETF								
		CUSIP Number	922908553					
33.0000	Sale	03/26/13	05/29/13	2,377.17	2,314.95	0.00	62.22	
4.0000	Sale	04/11/13	05/29/13	288.14	295.76	0.00	(7.62)	
3.0000	Sale	04/16/13	05/29/13	216.11	220.67	0.00	(4.56)	
161.0000	Sale	02/25/13	05/29/13	11,597.67	11,129.71	0.00	467.96	
	Security Subtotal			14,479.09	13,961.09	0.00	518.00	

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
POWERSHARES TR DYNAMIC LRG CAP VAL PORT								
		CUSIP Number	73935X708					
20,000	Sale	11/01/12	02/05/13	466.99	436.80	0.00	30.19	
21,000	Sale	11/16/12	02/05/13	490.34	434.35	0.00	55.99	
105,000	Sale	11/16/12	05/24/13	2,724.73	2,171.76	0.00	552.97	
9,000	Sale	11/16/12	08/09/13	240.16	186.15	0.00	54.01	
	Security Subtotal			3,922.22	3,229.06	0.00	693.16	
GUGGENHEIM S&P 500 PURE GROWTH ETF								
		CUSIP Number	78355W403					
126,000	Sale	09/30/13	10/09/13	7,766.80	8,002.26	0.00	(235.46)	
VANGUARD DIVIDEND APPRECIATION ETF								
		CUSIP Number	921908844					
13,000	Sale	11/16/12	02/05/13	826.13	745.66	0.00	80.47	
31,000	Sale	11/16/12	05/24/13	2,130.09	1,778.10	0.00	351.99	
2,000	Sale	11/16/12	08/09/13	140.26	114.72	0.00	25.54	
1,000	Sale	11/16/12	09/30/13	69.54	57.36	0.00	12.18	
	Security Subtotal			3,166.02	2,695.84	0.00	470.18	
WISDOM TREE DIV EX FINANCIALS FUND								
		CUSIP Number	97717W406					
61,000	Sale	06/27/13	08/16/13	3,885.51	3,814.33	0.00	71.18	
112,000	Sale	07/05/13	08/16/13	7,134.06	6,971.85	0.00	162.21	
61,000	Sale	07/15/13	08/16/13	3,885.52	3,958.20	0.00	(72.68)	
7,000	Sale	08/06/13	08/16/13	445.88	455.87	0.00	(9.99)	
	Security Subtotal			15,350.97	15,200.25	0.00	150.72	
ISHARES BARCLAYS 1-3 YEA CREDIT								
		CUSIP Number	464288646					
4,000	Sale	01/08/13	02/05/13	421.95	422.47	0.52 (W)	0.00	
47,000	Sale	01/08/13	02/25/13	4,966.85	4,964.04	0.00	2.81	
236,000	Sale	01/08/13	08/05/13	24,795.72	24,925.84	0.00	(130.12)	
4,000	Sale	01/08/13	08/05/13	420.26	423.12	0.00 (V)	(2.86)	
27,000	Sale	01/17/13	08/05/13	2,836.79	2,852.58	0.00	(15.79)	
9,000	Sale	01/29/13	08/05/13	945.60	950.64	0.00	(5.04)	
1,000	Sale	01/31/13	08/05/13	105.06	105.64	0.00	(0.58)	
9,000	Sale	02/08/13	08/05/13	945.60	949.73	0.00	(4.13)	
28,000	Sale	03/26/13	08/05/13	2,941.86	2,953.39	0.00	(11.53)	
7,000	Sale	04/11/13	08/05/13	735.46	737.91	0.00	(2.45)	

2013 ANNUAL STATEMENT SUMMARY 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ISHARES BARCLAYS 1-3 YEA CREDIT								
		CUSIP Number	464288646					
4 0000	Sale	04/16/13	08/05/13	420.26	422.00	0.00	(1.74)	
7 0000	Sale	05/15/13	08/05/13	735.46	738.68	0.00	(3.22)	
25 0000	Sale	06/17/13	08/05/13	2,626.67	2,634.50	0.00	(7.83)	
9 0000	Sale	06/27/13	08/05/13	945.60	944.49	0.00	1.11	
48 0000	Sale	07/05/13	08/05/13	5,043.21	5,017.68	0.00	25.53	
67 0000	Sale	07/15/13	08/05/13	7,039.49	7,029.27	0.00	10.22	
Security Subtotal				55,925.84	56,071.98	0.52	(145.62)	
ISHARES BARCLAYS INTERME								
		CUSIP Number	464288638					
34 0000	Sale	04/26/12	01/08/13	3,774.97	3,712.34	0.00	62.63	
32 0000	Sale	05/21/12	01/08/13	3,552.91	3,475.11	0.00	77.80	
115 0000	Sale	05/24/12	01/08/13	12,768.30	12,492.45	0.00	275.85	
53 0000	Sale	06/06/12	01/08/13	5,884.52	5,755.70	0.00	128.82	
89 0000	Sale	06/07/12	01/08/13	9,881.56	9,665.29	0.00	216.27	
12 0000	Sale	06/11/12	01/08/13	1,332.34	1,303.08	0.00	29.26	
29 0000	Sale	06/18/12	01/08/13	3,219.84	3,155.45	0.00	64.39	
17 0000	Sale	07/03/12	01/08/13	1,887.50	1,855.50	0.00	32.00	
1 0000	Sale	08/14/12	01/08/13	111.03	110.22	0.00	0.81	
Security Subtotal				42,412.97	41,525.14	0.00	887.83	
ISHARES BARCLAYS CREDIT BOND FUND								
		CUSIP Number	464288620					
21 0000	Sale	12/31/12	01/29/13	2,365.52	2,383.40	17.88 (W)	0.00	
8 0000	Sale	12/31/12	02/05/13	895.50	907.96	12.46 (W)	0.00	
3 0000	Sale	12/31/12	02/08/13	335.90	340.48	4.58 (W)	0.00	
65 0000	Sale	12/31/12	02/08/13	7,277.79	7,377.18	0.00	(99.39)	
46 0000	Sale	12/31/12	02/25/13	5,167.52	5,220.77	0.00	(53.25)	
81 0000	Sale	12/31/12	03/12/13	9,058.63	9,193.09	0.00	(134.46)	
21 0000	Sale	12/31/12	03/12/13	2,348.53	2,401.27	0.00 (Y)	(52.74)	
8 0000	Sale	12/31/12	03/12/13	894.68	920.42	0.00 (Y)	(25.74)	
3 0000	Sale	12/31/12	03/12/13	335.50	345.00	0.00 (Y)	(9.50)	
79 0000	Sale	01/08/13	03/12/13	8,834.99	8,966.11	0.00	(131.12)	
Security Subtotal				37,514.56	38,055.68	34.92	(506.20)	

2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
S&P US PFD STK INDEX FD								
ISHARES								
322 0000	Sale	02/08/13	05/30/13	13,066.69	12,898.74	0.00	167.95	
30 0000	Sale	03/26/13	05/30/13	1,217.40	1,214.34	0.00	3.06	
8 0000	Sale	04/11/13	05/30/13	324.63	322.92	0.00	1.71	
4 0000	Sale	04/16/13	05/30/13	162.32	161.66	0.00	0.66	
6 0000	Sale	05/15/13	05/30/13	243.49	244.84	0.00	(1.35)	
Security Subtotal				15,014.53	14,842.50	0.00	172.03	
VANGUARD INTERMEDIATE TERM BOND ETF								
471 0000	Sale	11/06/12	01/08/13	41,430.74	42,441.49	0.00	(1,010.75)	
2 0000	Sale	12/19/12	01/08/13	175.92	179.59	0.00	(3.67)	
4 0000	Sale	12/26/12	01/08/13	351.87	352.76	0.00	(0.89)	
Security Subtotal				41,958.53	42,973.84	0.00	(1,015.31)	
VANGUARD SHORT TERM BOND								
5 0000	Sale	11/16/12	01/17/13	405.01	406.90	1.89 (W)	0.00	
4 0000	Sale	11/16/12	01/17/13	324.01	325.52	1.51 (W)	0.00	
12 0000	Sale	11/16/12	01/17/13	972.03	976.56	4.53 (W)	0.00	
20 0000	Sale	11/16/12	01/17/13	1,620.06	1,627.60	0.00	(7.54)	
48 0000	Sale	11/16/12	01/29/13	3,882.63	3,906.23	0.00	(23.60)	
14 0000	Sale	11/16/12	02/05/13	1,132.29	1,139.32	0.00	(7.03)	
37 0000	Sale	11/16/12	02/25/13	2,995.23	3,011.05	15.82 (W)	0.00	
25 0000	Sale	11/16/12	02/25/13	2,023.81	2,034.50	0.00	(10.69)	
3 0000	Sale	11/16/12	09/30/13	241.09	244.14	3.05 (W)	0.00	
3 0000	Sale	11/16/12	09/30/13	241.09	244.14	3.05 (W)	0.00	
37 0000	Sale	11/16/12	09/30/13	2,973.39	3,011.06	0.00	(37.67)	
8 0000	Sale	11/16/12	10/09/13	642.26	651.04	0.00	(8.78)	
14 0000	Sale	06/27/13	12/24/13	1,119.00	1,120.93	0.00	(1.93)	
66 0000	Sale	07/05/13	12/24/13	5,275.30	5,266.40	0.00	8.90	
88 0000	Sale	07/15/13	12/24/13	7,033.73	7,056.20	0.00	(22.47)	
15 0000	Sale	08/06/13	12/24/13	1,198.93	1,203.83	0.00	(4.90)	
7 0000	Sale	11/15/13	12/24/13	559.50	563.76	0.00	(4.26)	
9 0000	Sale	04/11/13	12/24/13	719.35	728.79	0.00	(9.44)	
4 0000	Sale	04/16/13	12/24/13	319.71	324.14	0.00	(4.43)	
39 0000	Sale	06/17/13	12/24/13	3,117.22	3,143.68	0.00	(26.46)	
6 0000	Sale	12/09/13	12/24/13	479.58	482.38	0.00	(2.80)	
Security Subtotal				37,275.22	37,468.17	29.85	(163.10)	

2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
FIRST TR FINANCIALS								
ALPHADEX FUND								
		CUSIP Number	33734X135					
537 0000	Sale	03/26/13	08/16/13	10,541.82	9,671.37	0.00	870.45	
112 0000	Sale	04/11/13	08/16/13	2,198.66	2,045.11	0.00	153.55	
88 0000	Sale	04/16/13	08/16/13	1,727.52	1,569.04	0.00	158.48	
32 0000	Sale	05/15/13	08/16/13	628.19	613.62	0.00	14.57	
16 0000	Sale	06/17/13	08/16/13	314.10	301.96	0.00	12.14	
21 0000	Sale	07/15/13	08/16/13	412.26	411.59	0.00	0.67	
Security Subtotal				15,822.55	14,612.69	0.00	1,209.86	
SPDR S P DIVID ETF								
		CUSIP Number	78464A763					
7 0000	Sale	11/01/12	02/05/13	435.74	410.41	0.00	25.33	
8 0000	Sale	11/16/12	02/05/13	497.99	449.04	0.00	48.95	
37 0000	Sale	11/16/12	05/24/13	2,554.07	2,076.80	0.00	477.27	
1 0000	Sale	11/16/12	08/09/13	70.43	56.13	0.00	14.30	
Security Subtotal				3,558.23	2,992.38	0.00	565.85	
SPDR S P RETAIL								
		CUSIP Number	78464A714					
50 0000	Sale	06/27/13	08/16/13	4,001.10	3,829.28	0.00	171.82	
88 0000	Sale	07/05/13	08/16/13	7,041.94	6,862.73	0.00	179.21	
48 0000	Sale	07/15/13	08/16/13	3,841.06	3,885.13	0.00	(44.07)	
4 0000	Sale	08/06/13	08/16/13	320.09	327.61	0.00	(7.52)	
Security Subtotal				15,204.19	14,904.75	0.00	299.44	
SPDR BARCLAYS TIPS ETF								
		CUSIP Number	78464A656					
187 0000	Sale	02/25/13	05/15/13	11,094.19	11,231.12	0.00	(136.93)	
39 0000	Sale	03/26/13	05/15/13	2,313.77	2,349.52	0.00	(35.75)	
8 0000	Sale	04/11/13	05/15/13	474.62	485.04	0.00	(10.42)	
4 0000	Sale	04/16/13	05/15/13	237.31	243.50	0.00	(6.19)	
Security Subtotal				14,119.89	14,309.18	0.00	(189.29)	
SPDR S P WORLD EX US								
		CUSIP Number	78463X889					
3 0000	Sale	12/26/12	02/05/13	78.17	75.87	0.00	2.30	
532 0000	Sale	12/26/12	03/26/13	13,900.84	13,454.87	0.00	445.97	
62 0000	Sale	12/31/12	03/26/13	1,620.02	1,563.01	0.00	57.01	
30 0000	Sale	01/08/13	03/26/13	783.88	760.50	0.00	23.38	
4 0000	Sale	01/31/13	03/26/13	104.52	104.83	0.00	(0.31)	
20 0000	Sale	02/08/13	03/26/13	522.60	520.25	0.00	2.35	
Security Subtotal				17,070.03	16,479.33	0.00	530.70	

1099-B **2013 ANNUAL STATEMENT SUMMARY** **2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS**

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SPDR DJ WILSHIRE INTL								
		CUSIP Number	78463X863					
232.0000	Sale	01/29/13	05/29/13	9,843.98	9,586.01	0.00	257.97	
42.0000	Sale	01/31/13	05/29/13	1,782.10	1,746.71	0.00	35.39	
34.0000	Sale	02/05/13	05/29/13	1,442.65	1,406.24	0.00	36.41	
9.0000	Sale	02/08/13	05/29/13	381.88	373.23	0.00	8.65	
20.0000	Sale	03/26/13	05/29/13	848.62	856.60	0.00	(7.98)	
3.0000	Sale	05/15/13	05/29/13	127.30	135.17	0.00	(7.87)	
	Security Subtotal			14,426.53	14,103.96	0.00	322.57	
ISHARES JP MORGAN EM BON								
		CUSIP Number	464288281					
108.0000	Sale	02/08/13	02/25/13	12,813.04	12,921.90	0.00	(108.86)	
ISHARES MSCI THAILAND CAPPED INVESTABLE MARKET INDEX FUND								
		CUSIP Number	464286624					
16.0000	Sale	12/06/12	02/05/13	1,425.89	1,252.97	0.00	172.92	
161.0000	Sale	12/06/12	03/26/13	14,641.94	12,608.01	0.00	2,033.93	
19.0000	Sale	12/19/12	03/26/13	1,727.93	1,538.92	0.00	189.01	
4.0000	Sale	12/26/12	03/26/13	363.77	323.76	0.00	40.01	
7.0000	Sale	02/08/13	03/26/13	636.62	616.28	0.00	20.34	
	Security Subtotal			18,796.15	16,339.94	0.00	2,456.21	
SPDR BARCLAYS INTERM TERM CORPORATE BOND ETF								
		CUSIP Number	78464A375					
91.0000	Sale	03/08/12	01/17/13	3,148.62	3,093.97	0.00	54.65	
114.0000	Sale	03/08/12	01/29/13	3,945.66	3,875.96	0.00	69.70	
30.0000	Sale	03/08/12	02/05/13	1,034.68	1,019.99	0.00	14.69	
90.0000	Sale	03/08/12	02/08/13	3,107.70	3,059.97	0.00	47.73	
29.0000	Sale	03/08/12	02/25/13	1,003.55	985.99	0.00	17.56	
3.0000	Sale	07/31/12	06/11/13	101.78	103.72	0.00	(1.94)	
4.0000	Sale	08/14/12	06/11/13	135.71	137.50	0.00	(1.79)	
1.0000	Sale	08/28/12	06/11/13	33.92	34.57	0.00	(0.65)	
6.0000	Sale	12/19/12	06/11/13	203.57	208.80	0.00	(5.23)	
5.0000	Sale	01/08/13	06/11/13	169.64	173.98	0.00	(4.34)	
87.0000	Sale	03/26/13	06/11/13	2,951.91	3,013.70	0.00	(61.79)	
20.0000	Sale	04/11/13	06/11/13	678.59	695.16	0.00	(16.57)	
9.0000	Sale	04/16/13	06/11/13	305.38	313.72	0.00	(8.34)	
	Security Subtotal			16,820.71	16,717.03	0.00	103.68	

2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SPDR BARCLAYS CONVERTIBLE SECURITIES ETF								
		CUSIP Number	78464A359					
230.0000	Sale	01/29/13	06/06/13	9,834.99	9,567.61	0.00	267.38	
42.0000	Sale	01/31/13	06/06/13	1,795.95	1,745.12	0.00	50.83	
10.0000	Sale	02/08/13	06/06/13	427.61	417.06	0.00	10.55	
33.0000	Sale	02/05/13	06/06/13	1,411.11	1,376.10	0.00	35.01	
25.0000	Sale	03/26/13	06/06/13	1,069.02	1,053.59	0.00	15.43	
6.0000	Sale	04/11/13	06/06/13	256.57	255.00	0.00	1.57	
4.0000	Sale	04/16/13	06/06/13	171.05	168.69	0.00	2.36	
	Security Subtotal			14,966.30	14,583.17	0.00	383.13	
PIMCO 1-3 YR US TREASURY INDEX EXCHANGE-TRADED FUND								
		CUSIP Number	72201R106					
6.0000	Sale	05/15/13	09/30/13	305.35	305.40	0.05 (W)	0.00	
61.0000	Sale	05/15/13	09/30/13	3,104.38	3,104.90	0.00	(0.52)	
13.0000	Sale	05/15/13	10/09/13	661.07	661.70	0.00	(0.63)	
166.0000	Sale	05/15/13	10/25/13	8,449.25	8,449.40	0.00	(0.15)	
113.0000	Sale	06/04/13	10/25/13	5,751.59	5,745.81	0.00 (Y)	5.78	
6.0000	Sale	06/05/13	10/25/13	305.39	305.61	0.00	(0.22)	
209.0000	Sale	06/07/13	10/25/13	10,637.91	10,629.74	0.00	8.17	
184.0000	Sale	06/17/13	10/25/13	9,365.44	9,361.22	0.00	4.22	
15.0000	Sale	06/27/13	10/25/13	763.48	761.95	0.00	1.53	
91.0000	Sale	07/05/13	10/25/13	4,631.82	4,618.25	0.00	13.57	
136.0000	Sale	07/15/13	10/25/13	6,922.29	6,910.86	0.00	11.43	
24.0000	Sale	08/06/13	10/25/13	1,221.59	1,220.57	0.00	1.02	
	Security Subtotal			52,119.56	52,075.41	0.05	44.20	
GAP INC DELAWARE								
		CUSIP Number	364760108					
219.0000	Sale	06/17/13	09/03/13	8,936.79	9,205.58	0.00	(268.79)	
24.0000	Sale	06/27/13	09/03/13	979.37	1,007.94	0.00	(28.57)	
55.0000	Sale	07/05/13	09/03/13	2,244.40	2,378.78	0.00	(134.38)	
46.0000	Sale	07/15/13	09/03/13	1,877.15	2,055.14	0.00	(177.99)	
1.0000	Sale	08/06/13	09/03/13	40.81	45.35	0.00	(4.54)	
	Security Subtotal			14,078.52	14,692.79	0.00	(614.27)	
GOLDMAN SACHS GROUP INC								
		CUSIP Number	38141G104					
92.0000	Sale	02/25/13	03/26/13	13,410.94	14,105.07	0.00	(694.13)	

1099-B **2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS** **2013 ANNUAL STATEMENT SUIMIVIAKY**

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
GENERAL MILLS								
114 0000	Sale	04/11/13	370334104	5,475.52	5,611.08	0.00	(135.56)	
82 0000	Sale	04/16/13	05/29/13	3,938.54	4,070.13	0.00	(131.59)	
40 0000	Sale	05/15/13	05/29/13	1,921.24	2,034.32	0.00	(113.08)	
	Security Subtotal			11,335.30	11,715.53	0.00	(380.23)	
GOOGLE INC CL A								
4 0000	Sale	06/04/13	38259P508	3,592.81	3,463.40	0.00	129.41	
7 0000	Sale	06/07/13	08/06/13	6,287.42	6,082.12	0.00	205.30	
3 0000	Sale	06/17/13	08/06/13	2,694.61	2,660.52	0.00	34.09	
1 0000	Sale	07/05/13	08/06/13	898.20	892.56	0.00	5.64	
2 0000	Sale	07/15/13	08/06/13	1,796.41	1,835.07	0.00	(38.66)	
	Security Subtotal			15,269.45	14,933.67	0.00	335.78	
GRAND CANYON EDUCATN INC								
262 0000	Sale	11/15/13	38526M106	11,646.53	12,231.39	0.00	(584.86)	
54 0000	Sale	12/09/13	12/24/13	2,400.44	2,392.37	0.00	8.07	
	Security Subtotal			14,046.97	14,623.76	0.00	(576.79)	
GILEAD SCIENCES INC COM								
62 0000	Sale	05/21/12	375558103	2,512.06	1,573.14	0.00	938.92	
162 0000	Sale	05/24/12	02/05/13	6,563.77	4,067.82	0.00	2,495.95	
54 0000	Sale	05/24/12	05/24/13	3,005.25	1,355.94	0.00	1,649.31	
68 0000	Sale	06/06/12	05/24/13	3,784.39	1,654.89	0.00	2,129.50	
	Security Subtotal			15,865.47	8,651.79	0.00	7,213.68	
HOME DEPOT INC								
19 0000	Sale	08/28/12	437076102	1,265.97	1,076.51	0.00	189.46	
42 0000	Sale	08/28/12	02/05/13	3,089.58	2,379.67	0.00	709.91	
8 0000	Sale	08/28/12	08/09/13	635.23	453.27	0.00	181.96	
2 0000	Sale	09/10/13	09/30/13	151.19	148.57	0.00	2.62	
6 0000	Sale	02/08/13	09/30/13	453.55	400.38	0.00	53.17	
	Security Subtotal			5,595.52	4,458.40	0.00	1,137.12	
ISHARES S&P MIDCAP 400/ BARRA VALUE INDEX FUND								
97 0000	Sale	03/26/13	464287705	9,489.46	9,678.51	0.00	(189.05)	
20 0000	Sale	04/11/13	04/16/13	1,956.60	2,018.66	0.00	(62.06)	
	Security Subtotal			11,446.06	11,697.17	0.00	(251.11)	

1099-B **2013 ANNUAL STATEMENT SUMMARY**
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
PIMCO ENHANCED SHORT MATURITY EXCHANGE-TRADED FUND								
		CUSIP Number	72201R833					
2.0000	Sale	05/15/13	09/30/13	202.84	203.22	0.38 (W)	0.00	
3.0000	Sale	05/15/13	09/30/13	304.26	304.82	0.56 (W)	0.00	
1.0000	Sale	05/15/13	09/30/13	101.42	101.61	0.19 (W)	0.00	
27.0000	Sale	05/15/13	09/30/13	2,738.31	2,743.41	0.00	(5.10)	
7.0000	Sale	05/15/13	10/09/13	709.59	711.26	0.00	(1.67)	
83.0000	Sale	05/15/13	12/24/13	8,407.75	8,433.46	0.00	(25.71)	
70.0000	Sale	06/04/13	12/24/13	7,090.87	7,104.99	0.00	(14.12)	
3.0000	Sale	06/05/13	12/24/13	303.89	304.94	0.00 (V)	(1.05)	
97.0000	Sale	06/07/13	12/24/13	9,825.93	9,845.51	0.00	(19.58)	
1.0000	Sale	06/09/13	12/24/13	101.29	101.71	0.00 (V)	(0.42)	
2.0000	Sale	06/13/13	12/24/13	202.59	203.42	0.00 (V)	(0.83)	
89.0000	Sale	06/17/13	12/24/13	9,015.55	9,036.17	0.00	(20.62)	
7.0000	Sale	06/27/13	12/24/13	709.08	709.47	0.00	(0.39)	
44.0000	Sale	07/05/13	12/24/13	4,457.13	4,452.80	0.00	4.33	
68.0000	Sale	07/15/13	12/24/13	6,888.29	6,892.98	0.00	(4.69)	
12.0000	Sale	08/06/13	12/24/13	1,215.58	1,215.91	0.00	(0.33)	
6.0000	Sale	11/15/13	12/24/13	607.79	609.12	0.00	(1.33)	
4.0000	Sale	12/09/13	12/24/13	405.20	406.00	0.00	(0.80)	
Security Subtotal				53,287.36	53,380.80	1.13	(92.31)	
VANGUARD SHORT-TERM CORPORATE BOND								
		CUSIP Number	92206C409					
42.0000	Sale	03/08/12	01/17/13	3,373.78	3,330.65	0.00	43.13	
49.0000	Sale	03/08/12	01/29/13	3,935.10	3,885.76	0.00	49.34	
13.0000	Sale	03/08/12	02/05/13	1,041.80	1,030.91	0.00	10.89	
63.0000	Sale	03/08/12	02/25/13	5,063.55	4,995.97	0.00	67.58	
Security Subtotal				13,414.23	13,243.29	0.00	170.94	
VANGUARD INTERMEDIATE-TERM CORPORATE BOND								
		CUSIP Number	92206C870					
34.0000	Sale	12/19/12	01/17/13	2,978.96	2,997.77	18.81 (W)	0.00	
6.0000	Sale	12/19/12	01/29/13	523.01	529.02	6.01 (W)	0.00	
11.0000	Sale	12/19/12	01/29/13	958.85	969.86	11.01 (W)	0.00	
25.0000	Sale	12/19/12	01/29/13	2,179.20	2,204.24	0.00	(25.04)	
12.0000	Sale	12/19/12	02/05/13	1,040.90	1,058.04	0.00	(17.14)	
36.0000	Sale	12/19/12	02/08/13	3,128.94	3,174.10	0.00	(45.16)	
12.0000	Sale	12/19/12	02/25/13	1,045.18	1,058.04	12.86 (W)	0.00	
11.0000	Sale	12/19/12	05/29/13	951.73	977.86	0.00 (V)	(26.13)	

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
VANGUARD INTERMEDIATE-TERM CORPORATE BOND								
		CUSIP Number	92206C870					
42,000	Sale	12/26/12	05/29/13	3,633.88	3,686.76	0.00	(52.88)	
12,000	Sale	01/17/13	05/29/13	1,038.25	1,062.03	0.00	(23.78)	
22,000	Sale	03/26/13	05/29/13	1,903.46	1,923.49	0.00	(20.03)	(Y)
8,000	Sale	04/11/13	05/29/13	692.17	703.24	0.00	(11.07)	
3,000	Sale	04/16/13	05/29/13	259.57	264.78	0.00	(5.21)	
253,000	Sale	12/19/12	05/29/13	21,889.77	22,306.90	0.00	(417.13)	
34,000	Sale	12/19/12	05/29/13	2,941.70	3,003.33	0.00	(61.63)	(Y)
6,000	Sale	12/19/12	05/29/13	519.12	534.49	0.00	(15.37)	(Y)
				45,684.69	46,453.95	48.69	(720.57)	
Security Subtotal								
VANGUARD SHORT-TERM GOV BD								
		CUSIP Number	92206C102					
334,000	Sale	03/12/13	09/30/13	20,333.56	20,330.91	0.00	2.65	
48,000	Sale	03/26/13	09/30/13	2,922.18	2,922.54	0.00	(0.36)	
11,000	Sale	04/11/13	09/30/13	669.66	669.93	0.00	(0.27)	
6,000	Sale	04/16/13	09/30/13	365.27	365.45	0.00	(0.18)	
10,000	Sale	05/15/13	09/30/13	608.79	608.80	0.00	(0.01)	
28,000	Sale	06/04/13	09/30/13	1,704.61	1,703.76	0.00	0.85	
96,000	Sale	06/07/13	09/30/13	5,844.38	5,842.56	0.00	1.82	
69,000	Sale	06/17/13	09/30/13	4,200.65	4,202.01	0.00	(1.36)	
21,000	Sale	06/27/13	09/30/13	1,278.45	1,276.54	0.00	1.91	
88,000	Sale	07/05/13	09/30/13	5,357.35	5,344.18	0.00	13.17	
118,000	Sale	07/15/13	09/30/13	7,183.73	7,176.26	0.00	7.47	
21,000	Sale	08/06/13	09/30/13	1,278.47	1,278.18	0.00	0.29	
				51,747.10	51,721.12	0.00	25.98	
Security Subtotal								
SPDR BARCLAYS SHORT TERM CORPORATE BOND ETF								
		CUSIP Number	78464A474					
2,000	Sale	09/27/12	01/17/13	61.53	61.63	0.10	0.00	(W)
4,000	Sale	09/27/12	01/17/13	123.05	123.25	0.20	0.00	(W)
32,000	Sale	09/27/12	01/17/13	984.42	986.02	1.60	0.00	(W)
72,000	Sale	09/27/12	01/17/13	2,214.93	2,218.55	0.00	(3.62)	
128,000	Sale	09/27/12	01/17/13	3,937.20	3,944.09	0.00	(6.89)	
37,000	Sale	09/27/12	02/05/13	1,138.09	1,140.09	0.00	(2.00)	
99,000	Sale	09/27/12	02/05/13	3,050.45	3,050.51	0.06	0.00	(W)
65,000	Sale	09/27/12	02/25/13	2,002.82	2,002.86	0.00	(0.04)	
671,000	Sale	09/27/12	02/25/13	20,518.82	20,675.68	0.00	(156.86)	
2,000	Sale	09/27/12	09/06/13	61.15	61.60	0.00	(0.45)	(Y)
4,000	Sale	09/27/12	09/06/13	122.31	123.30	0.00	(0.99)	(Y)

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SPDR BARCLAYS SHORT TERM CORPORATE BOND ETF								
		CUSIP Number	78464A474					
32.0000	Sale	10/19/12	09/06/13	978.54	986.24	0.00 (V)	(7.70)	
99.0000	Sale	10/26/12	09/06/13	3,027.36	3,045.94	0.00 (V)	(18.58)	
270.0000	Sale	11/06/12	09/06/13	8,256.46	8,329.38	0.00	(72.92)	
24.0000	Sale	04/11/13	09/06/13	733.90	738.05	0.00	(4.15)	
13.0000	Sale	04/16/13	09/06/13	397.53	400.40	0.00	(2.87)	
50.0000	Sale	06/07/13	09/06/13	1,528.97	1,537.03	0.00	(8.06)	
70.0000	Sale	06/17/13	09/06/13	2,140.56	2,150.77	0.00	(10.21)	
29.0000	Sale	06/27/13	09/06/13	886.80	888.79	0.00	(1.99)	
158.0000	Sale	07/05/13	09/06/13	4,831.57	4,826.90	0.00	4.67	
228.0000	Sale	07/15/13	09/06/13	6,972.14	6,985.10	0.00	(12.96)	
39.0000	Sale	08/06/13	09/06/13	1,192.61	1,196.44	0.00	(3.83)	
	Security Subtotal			65,161.21	65,472.62	1.96	(309.45)	
SPDR DOW JONES INDUST AV ETF TRUST								
		CUSIP Number	78467X109					
25.0000	Sale	06/27/13	08/16/13	3,771.23	3,746.55	0.00	24.68	
46.0000	Sale	07/05/13	08/16/13	6,939.06	6,892.79	0.00	46.27	
27.0000	Sale	07/15/13	08/16/13	4,072.94	4,170.88	0.00	(97.94)	
3.0000	Sale	08/06/13	08/16/13	452.55	464.99	0.00	(12.44)	
	Security Subtotal			15,235.78	15,275.21	0.00	(39.43)	
ALPS ALERIAN MLP ETF								
		CUSIP Number	00162Q866					
47.0000	Sale	05/15/13	06/06/13	806.04	837.46	0.00	(31.42)	
542.0000	Sale	03/26/13	06/06/13	9,295.14	9,401.49	0.00	(106.35)	
112.0000	Sale	04/11/13	06/06/13	1,920.76	1,948.02	0.00	(27.26)	
85.0000	Sale	04/16/13	06/06/13	1,457.73	1,472.68	0.00	(14.95)	
	Security Subtotal			13,479.67	13,659.65	0.00	(179.98)	
POWERSHARES EXCHANGE TRADED FD TR II SENIOR LN PORT NYSE ARCA								
		CUSIP Number	73936Q769					
19.0000	Sale	01/08/13	02/25/13	474.99	477.39	0.00	(2.40)	
56.0000	Sale	01/08/13	02/25/13	1,399.97	1,407.03	7.06 (W)	0.00	
75.0000	Sale	01/08/13	02/25/13	1,874.96	1,884.40	9.44 (W)	0.00	
110.0000	Sale	01/08/13	02/25/13	2,749.94	2,763.79	13.85 (W)	0.00	
118.0000	Sale	01/08/13	02/25/13	2,949.93	2,964.80	14.87 (W)	0.00	
1.0000	Sale	01/08/13	09/30/13	24.69	25.13	0.44 (W)	0.00	
2.0000	Sale	01/08/13	09/30/13	49.38	50.26	0.88 (W)	0.00	

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1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
POWERSHARES EXCHANGE TRADED FUND II SENIOR LN PORT NYSE ARCA								
		CUSIP Number	73936Q769					
	8,0000 Sale	01/08/13	09/30/13	197.52	200.95	3.43 (W)	0.00	
	14,0000 Sale	01/08/13	09/30/13	345.67	351.60	5.93 (W)	0.00	
	96,0000 Sale	01/08/13	09/30/13	2,370.30	2,410.69	0.00	(40.39)	
	31,0000 Sale	01/08/13	10/09/13	766.10	778.48	0.00	(12.38)	
	Security Subtotal			13,203.45	13,314.52	55.90	(55.17)	
ISHARES FLOATING RATE NOTE FUND								
		CUSIP Number	46429B655					
	48,0000 Sale	12/26/12	01/17/13	2,428.49	2,428.11	0.00	0.38	
	78,0000 Sale	12/26/12	01/29/13	3,947.64	3,945.68	0.00	1.96	
	22,0000 Sale	12/26/12	02/05/13	1,112.96	1,112.89	0.00	0.07	
	99,0000 Sale	12/26/12	02/25/13	5,012.44	5,007.98	0.00	4.46	
	68,0000 Sale	12/26/12	09/30/13	3,447.00	3,439.83	0.00	7.17	
	14,0000 Sale	12/26/12	10/09/13	709.28	708.20	0.00	1.08	
	Security Subtotal			16,657.81	16,642.69	0.00	15.12	
PIMCO 0-5 YEAR HY CORP BOND INDEX EXCHANGE-TRADED FUND								
		CUSIP Number	72201R783					
	91,0000 Sale	01/29/13	06/24/13	9,182.77	9,532.96	0.00	(350.19)	
	17,0000 Sale	01/31/13	06/24/13	1,715.46	1,767.27	0.00	(51.81)	
	14,0000 Sale	02/05/13	06/24/13	1,412.73	1,457.12	0.00	(44.39)	
	4,0000 Sale	02/08/13	06/24/13	403.63	416.04	0.00	(12.41)	
	10,0000 Sale	03/26/13	06/24/13	1,009.09	1,048.77	0.00	(39.68)	
	2,0000 Sale	04/11/13	06/24/13	201.81	210.36	0.00	(8.55)	
	1,0000 Sale	04/16/13	06/24/13	100.90	105.20	0.00	(4.30)	
	70,0000 Sale	05/15/13	06/24/13	7,063.68	7,423.36	0.00	(359.68)	
	26,0000 Sale	06/04/13	06/24/13	2,623.65	2,708.40	0.00	(84.75)	
	69,0000 Sale	06/07/13	06/24/13	6,962.78	7,156.88	0.00	(194.10)	
	74,0000 Sale	06/17/13	06/24/13	7,467.33	7,683.53	0.00	(216.20)	
	Security Subtotal			38,143.83	39,509.89	0.00	(1,366.06)	
SPDR BARCLAYS INVESTMENT GRADE FLOATING RATE ETF								
		CUSIP Number	78468R200					
	504,0000 Sale	08/05/13	12/10/13	15,452.37	15,508.08	55.71 (W)	0.00	
	5,0000 Sale	08/06/13	12/10/13	153.29	153.80	0.51 (W)	0.00	
	61,0000 Sale	09/06/13	12/10/13	1,870.22	1,878.72	8.50 (W)	0.00	

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SPDR BARCLAYS INVESTMENT GRADE FLOATING RATE ETF								
		CUSIP Number	78468R200					
179 0000	Sale	09/10/13	12/10/13	5,488.05	5,514.92	26.87 (W)	0.00	
17 0000	Sale	09/23/13	12/10/13	521.21	524.18	2.97 (W)	0.00	
7 0000	Sale	10/17/13	12/10/13	214.61	214.41	0.00	0.20	
9 0000	Sale	10/21/13	12/10/13	275.94	275.85	0.00	0.09	
3 0000	Sale	10/25/13	12/10/13	91.97	91.95	0.00	0.02	
3 0000	Sale	10/29/13	12/10/13	91.98	91.98	0.00	0.00	
11 0000	Sale	11/15/13	12/10/13	337.26	336.93	0.00	0.33	
6 0000	Sale	12/09/13	12/10/13	183.97	183.95	0.00	0.02	
Security Subtotal				24,680.87	24,774.77	94.56	0.66	
PIMCO TOTAL RETURN ETF								
		CUSIP Number	72201R775					
32 0000	Sale	09/27/12	01/17/13	3,499.41	3,488.63	0.00	10.78	
35 0000	Sale	09/27/12	01/29/13	3,818.06	3,815.69	0.00	2.37	
10 0000	Sale	09/27/12	02/05/13	1,090.88	1,090.20	0.00	0.68	
69 0000	Sale	09/27/12	02/08/13	7,531.88	7,522.37	0.00	9.51	
48 0000	Sale	09/27/12	02/25/13	5,253.48	5,232.95	0.00	20.53	
119 0000	Sale	09/27/12	05/29/13	12,898.90	12,973.35	0.00	(74.45)	
76 0000	Sale	11/06/12	05/29/13	8,237.95	8,312.61	0.00	(74.66)	
1 0000	Sale	12/19/12	05/29/13	108.39	108.77	0.00	(0.38)	
1 0000	Sale	01/08/13	05/29/13	108.39	108.97	0.00	(0.58)	
19 0000	Sale	03/26/13	05/29/13	2,059.50	2,086.18	0.00	(26.68)	
4 0000	Sale	04/11/13	05/29/13	433.58	441.16	0.00	(7.58)	
2 0000	Sale	04/16/13	05/29/13	216.79	221.02	0.00	(4.23)	
Security Subtotal				45,257.21	45,401.90	0.00	(144.69)	
SPDR BARCLAYS ST HIGH YIELD BOND ETF								
		CUSIP Number	78468R408					
364 0000	Sale	02/25/13	06/24/13	10,705.25	11,214.84	0.00	(509.59)	
56 0000	Sale	03/12/13	06/24/13	1,646.96	1,724.24	0.00	(77.28)	
41 0000	Sale	03/26/13	06/24/13	1,205.81	1,265.59	0.00	(59.78)	
10 0000	Sale	04/11/13	06/24/13	294.10	308.90	0.00	(14.80)	
5 0000	Sale	04/16/13	06/24/13	147.05	154.55	0.00	(7.50)	
125 0000	Sale	05/15/13	06/24/13	3,676.25	3,881.24	0.00	(204.99)	
126 0000	Sale	06/04/13	06/24/13	3,705.66	3,860.63	0.00	(154.97)	
189 0000	Sale	06/07/13	06/24/13	5,558.50	5,767.17	0.00	(208.67)	
228 0000	Sale	06/17/13	06/24/13	6,705.50	6,953.96	0.00	(248.46)	
Security Subtotal				33,645.08	35,131.12	0.00	(1,486.04)	

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SPDR BLACKSTONE GSO SENIOR LOAN ETF								
3.0000	Sale	08/05/13	10/09/13	149.38	150.03	0.65 (W)	0.00	
JPMORGAN CHASE & CO								
150.0000	Sale	06/07/13	06/21/13	7,791.30	8,016.86	0.00	(225.56)	
78.0000	Sale	06/17/13	06/21/13	4,051.49	4,223.58	0.00	(172.09)	
	Security Subtotal			11,842.79	12,240.44	0.00	(397.65)	
LIONS GATE ENTMT CRP NEW								
13.0000	Sale	07/05/13	09/30/13	458.13	401.30	0.00	56.83	
301.0000	Sale	07/05/13	10/09/13	10,284.05	9,291.57	0.00	992.48	
155.0000	Sale	07/15/13	10/09/13	5,295.78	5,104.04	0.00	191.74	
2.0000	Sale	08/06/13	10/09/13	68.34	67.51	0.00	0.83	
	Security Subtotal			16,106.30	14,864.42	0.00	1,241.88	
LENNOX INTL INC								
27.0000	Sale	12/06/12	02/05/13	1,571.63	1,379.70	0.00	191.93	
38.0000	Sale	12/06/12	05/24/13	2,425.96	1,941.80	0.00	484.16	
20.0000	Sale	12/06/12	08/09/13	1,401.76	1,022.00	0.00	379.76	
14.0000	Sale	12/06/12	09/30/13	1,048.79	715.40	0.00	333.39	
	Security Subtotal			6,448.14	5,058.90	0.00	1,389.24	
LEAR CORP SHS								
102.0000	Sale	04/11/13	04/16/13	5,377.88	5,586.93	0.00	(209.05)	
LINCOLN NTL CORP IND NPV								
227.0000	Sale	08/06/13	09/30/13	9,511.38	9,860.09	0.00	(348.71)	
24.0000	Sale	09/06/13	09/30/13	1,005.61	1,048.48	0.00	(42.87)	
66.0000	Sale	09/10/13	09/30/13	2,765.43	2,957.94	0.00	(192.51)	
	Security Subtotal			13,282.42	13,866.51	0.00	(584.09)	
MYRIAD GENETICS INC								
165.0000	Sale	06/04/13	06/17/13	4,448.60	5,282.48	0.00	(833.88)	
164.0000	Sale	06/07/13	06/17/13	4,421.65	5,272.78	0.00	(851.13)	
	Security Subtotal			8,870.25	10,555.26	0.00	(1,685.01)	

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
MAXIMUS INC								
121 0000	Sale	03/26/13	577933104	9,270.78	9,728.55	0.00	(457.77)	
26 0000	Sale	04/11/13	04/16/13	1,992.07	2,086.47	0.00	(94.40)	
	Security Subtotal			11,262.85	11,815.02	0.00	(552.17)	
MOTOROLA SOLUTIONS INC								
152 0000	Sale	03/26/13	620076307	9,454.04	9,653.31	0.00	(199.27)	
MARATHON PETROLEUM CORP								
117 0000	Sale	01/31/13	56585A102	8,277.38	8,663.62	0.00	(386.24)	
92 0000	Sale	02/05/13	06/24/13	6,508.71	7,047.20	0.00	(538.49)	
	Security Subtotal			14,786.09	15,710.82	0.00	(924.73)	
MAGNA INTL INC CL A VTG								
48 0000	Sale	09/06/13	559222401	3,796.08	3,879.27	0.00	(83.19)	
105 0000	Sale	09/10/13	12/24/13	8,303.92	8,543.38	0.00	(239.46)	
11 0000	Sale	09/30/13	12/24/13	869.93	914.98	0.00	(45.05)	
3 0000	Sale	10/11/13	12/24/13	237.25	255.15	0.00	(17.90)	
15 0000	Sale	10/17/13	12/24/13	1,186.28	1,276.67	0.00	(90.39)	
2 0000	Sale	10/21/13	12/24/13	158.17	170.93	0.00	(12.76)	
3 0000	Sale	10/25/13	12/24/13	237.25	254.43	0.00	(17.18)	
1 0000	Sale	10/29/13	12/24/13	79.08	84.29	0.00	(5.21)	
3 0000	Sale	11/15/13	12/24/13	237.26	255.44	0.00	(18.18)	
6 0000	Sale	12/09/13	12/24/13	474.53	485.82	0.00	(11.29)	
	Security Subtotal			15,579.75	16,120.36	0.00	(540.61)	
MYLAN INC								
218 0000	Sale	10/11/13	628530107	8,281.76	8,771.36	0.00	(489.60)	
160 0000	Sale	10/17/13	10/25/13	6,078.36	6,348.32	0.00	(269.96)	
19 0000	Sale	10/21/13	10/25/13	721.81	732.26	0.00	(10.45)	
	Security Subtotal			15,081.93	15,851.94	0.00	(770.01)	
NEWELL RUBBERMAID INC								
65 0000	Sale	11/16/12	651229106	1,558.02	1,345.74	0.00	212.28	
96 0000	Sale	11/16/12	02/05/13	2,492.63	1,987.56	0.00	505.07	
10 0000	Sale	11/27/12	06/21/13	259.65	213.72	0.00	45.93	
507 0000	Sale	11/27/12	06/21/13	13,491.33	10,835.71	0.00	2,655.62	
58 0000	Sale	12/06/12	08/09/13	1,543.39	1,251.97	0.00	291.42	
12 0000	Sale	12/19/12	08/09/13	319.32	260.56	0.00	58.76	

2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
NEWELL RUBBERMAID INC								
9.0000	Sale	02/08/13	651229106	239.49	217.79	0.00	21.70	
9.0000	Sale	02/25/13	08/09/13	239.50	211.28	0.00	28.22	
	Security Subtotal			20,143.33	16,324.33	0.00	3,819.00	
NEWS CORP CL A								
209.0000	Sale	04/16/13	65248E104	6,472.82	6,507.24	0.00	(34.42)	
1.0000	Sale	05/03/13	06/06/13	30.97	32.34	0.00	(1.37)	
92.0000	Sale	05/15/13	06/06/13	2,849.28	3,068.10	0.00	(218.82)	
39.0000	Sale	06/04/13	06/06/13	1,207.86	1,273.19	0.00	(65.33)	
	Security Subtotal			10,560.93	10,880.87	0.00	(319.94)	
NOVO NORDISK A S ADR								
13.0000	Sale	12/06/12	670100205	2,497.76	2,089.21	0.00	408.55	
73.0000	Sale	12/06/12	02/05/13	11,932.65	11,731.70	0.00	200.95	
11.0000	Sale	12/19/12	03/26/13	1,798.07	1,784.37	0.00	13.70	
2.0000	Sale	12/26/12	03/26/13	326.92	324.33	0.00	2.59	
1.0000	Sale	02/08/13	03/26/13	163.46	191.88	0.00	(28.42)	
5.0000	Sale	02/25/13	03/26/13	817.32	876.00	0.00	(58.68)	
	Security Subtotal			17,536.18	16,997.49	0.00	538.69	
OCWEN FINL CORP COM NEW								
190.0000	Sale	08/14/12	675746309	7,700.53	4,606.72	0.00	3,093.81	
59.0000	Sale	08/14/12	02/05/13	2,412.34	1,430.51	0.00	981.83	
61.0000	Sale	08/14/12	06/21/13	3,179.25	1,479.00	0.00	1,700.25	
2.0000	Sale	02/08/13	11/08/13	99.89	82.66	0.00	17.23	
25.0000	Sale	02/25/13	11/08/13	1,248.71	933.02	0.00	315.69	
	Security Subtotal			14,640.72	8,531.91	0.00	6,108.81	
ORACLE CORP \$0.01 DEL								
9.0000	Sale	12/26/12	68389X105	321.29	304.30	0.00	16.99	
390.0000	Sale	12/26/12	02/05/13	13,695.67	13,186.33	0.00	509.34	
51.0000	Sale	12/31/12	02/25/13	1,790.97	1,688.10	0.00	102.87	
17.0000	Sale	01/08/13	02/25/13	596.99	579.87	0.00	17.12	
1.0000	Sale	01/31/13	02/25/13	35.11	35.37	0.00	(0.26)	
23.0000	Sale	02/08/13	02/25/13	807.71	802.65	0.00	5.06	
	Security Subtotal			17,247.74	16,596.62	0.00	651.12	

1099-B **2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS** **2013 ANNUAL STATEMENT SUMMARY**

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
PAPA JOHNS INTL INC								
58.0000	Sale	06/27/13	08/06/13	3,832.57	3,811.40	0.00	21.17	
43.0000	Sale	07/05/13	08/06/13	2,841.39	2,904.79	0.00	(63.40)	
59.0000	Sale	07/05/13	08/06/13	3,898.66	4,006.23	0.00	(107.57)	
58.0000	Sale	07/15/13	08/06/13	3,832.59	4,030.88	0.00	(198.29)	
	Security Subtotal			14,405.21	14,753.30	0.00	(348.09)	
PORTFOLIO RECOVERY ASSOC INC								
69.0000	Sale	09/06/13	10/25/13	4,207.73	3,910.83	0.00	296.90	
148.0000	Sale	09/10/13	10/25/13	9,025.28	8,685.91	0.00	339.37	
14.0000	Sale	09/30/13	10/25/13	853.74	824.22	0.00	29.52	
6.0000	Sale	10/11/13	10/25/13	365.89	358.32	0.00	7.57	
20.0000	Sale	10/17/13	10/25/13	1,219.64	1,212.80	0.00	6.84	
	Security Subtotal			15,672.28	14,992.08	0.00	680.20	
PACKAGING CORP AMERICA								
30.0000	Sale	02/25/13	05/24/13	1,479.63	1,263.73	0.00	215.90	
26.0000	Sale	02/25/13	08/09/13	1,428.13	1,095.23	0.00	332.90	
15.0000	Sale	02/25/13	09/30/13	860.61	631.86	0.00	228.75	
	Security Subtotal			3,768.37	2,990.82	0.00	777.55	
ROCK TENN CO CL A								
4.0000	Sale	06/04/13	08/09/13	469.46	395.45	0.00	74.01	
48.0000	Sale	06/04/13	10/01/13	4,959.61	4,745.46	0.00	214.15	
50.0000	Sale	06/07/13	10/01/13	5,166.26	5,019.80	0.00	146.46	
23.0000	Sale	06/17/13	10/01/13	2,376.48	2,454.91	0.00	(78.43)	
4.0000	Sale	07/05/13	10/01/13	413.30	422.23	0.00	(8.93)	
14.0000	Sale	07/15/13	10/01/13	1,446.57	1,490.20	0.00	(43.63)	
	Security Subtotal			14,831.68	14,528.05	0.00	303.63	
RESMED INC								
11.0000	Sale	11/01/12	02/05/13	488.39	449.38	0.00	39.01	
8.0000	Sale	11/16/12	02/05/13	355.20	314.12	0.00	41.08	
74.0000	Sale	11/16/12	02/25/13	3,199.50	2,905.66	0.00	293.84	
262.0000	Sale	11/27/12	02/25/13	11,327.96	10,837.26	0.00	490.70	
31.0000	Sale	12/06/12	02/25/13	1,340.33	1,275.90	0.00	64.43	
2.0000	Sale	12/26/12	02/25/13	86.47	82.68	0.00	3.79	

2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SECTOR SPDR CONSMRMS STPL								
20,000	Sale	12/19/12	81369Y308	744.78	718.28	0.00	26.50	
61,000	Sale	12/19/12	02/05/13	2,543.90	2,190.75	0.00	353.15	
284,000	Sale	12/19/12	05/24/13	11,305.84	10,199.54	0.00	1,106.30	
79,000	Sale	12/26/12	10/08/13	3,144.93	2,763.89	0.00	381.04	
13,000	Sale	12/31/12	10/08/13	517.52	448.11	0.00	69.41	
2,000	Sale	01/08/13	10/08/13	79.61	70.87	0.00	8.74	
9,000	Sale	02/08/13	10/08/13	358.30	338.40	0.00	19.90	
	Security Subtotal			18,694.88	16,729.84	0.00	1,965.04	
CONSUMER DISCRETIONARY SPDR								
25,000	Sale	05/21/12	81369Y407	1,257.71	1,062.81	0.00	194.90	
7,000	Sale	05/24/12	02/05/13	352.17	302.61	0.00	49.56	
52,000	Sale	05/24/12	05/24/13	2,953.65	2,247.96	0.00	705.69	
6,000	Sale	02/08/13	10/09/13	352.50	303.96	0.00	48.54	
	Security Subtotal			4,916.03	3,917.34	0.00	998.69	
SIRONA DENTAL SYSTEMS INC								
201,000	Sale	02/25/13	82966C103	14,170.80	14,344.28	0.00	(173.48)	
7,000	Sale	03/26/13	05/15/13	493.51	502.77	0.00	(9.26)	
1,000	Sale	04/16/13	05/15/13	70.51	71.91	0.00	(1.40)	
	Security Subtotal			14,734.82	14,918.96	0.00	(184.14)	
SERVICE CORP INTL								
192,000	Sale	01/31/13	817565104	3,400.97	2,876.99	0.00	523.98	
44,000	Sale	01/31/13	05/24/13	766.91	659.31	0.00	107.60	
34,000	Sale	01/31/13	06/21/13	645.44	509.47	0.00	135.97	
300,000	Sale	01/31/13	08/09/13	5,281.61	4,495.28	0.00	786.33	
503,000	Sale	02/05/13	11/08/13	8,855.51	7,529.41	0.00	1,326.10	
47,000	Sale	02/08/13	11/08/13	827.45	708.33	0.00	119.12	
13,000	Sale	10/25/13	11/08/13	228.87	241.30	0.00	(12.43)	
11,000	Sale	10/29/13	11/08/13	193.67	200.20	0.00	(6.53)	
	Security Subtotal			20,200.43	17,220.29	0.00	2,980.14	
STAND MOTOR PROD								
12,000	Sale	06/04/13	853666105	412.65	413.95	1.30 (W)	0.00	
140,000	Sale	06/04/13	08/06/13	4,814.26	4,829.41	0.00	(15.15)	
15,000	Sale	07/15/13	08/06/13	515.82	562.23	0.00	(46.41)	

1099-B **2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS** **2013 ANNUAL STATEMENT SUMMARY**

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5, Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
STAND MOTOR PROD								
146,000	Sale	06/07/13	853666105	5,020.59	5,083.36	0.00	(62.77)	
83,000	Sale	06/17/13	08/06/13	2,854.17	2,875.42	0.00	(21.25)	
9,000	Sale	07/05/13	08/06/13	309.49	318.83	0.00	(9.34)	
12,000	Sale	06/04/13	08/07/13	390.25	451.09	0.00 (Y)	(60.84)	
Security Subtotal				14,317.23	14,534.29	1.30	(215.76)	
STARBUCKS CORP								
135,000	Sale	08/06/13	855244109	10,592.11	9,886.83	0.00	705.28	
15,000	Sale	12/24/13	12/24/13	1,176.90	1,080.08	0.00	96.82	
42,000	Sale	09/10/13	12/24/13	3,295.32	3,105.07	0.00	190.25	
8,000	Sale	10/17/13	12/24/13	627.68	629.65	0.00	(1.97)	
1,000	Sale	10/21/13	12/24/13	78.46	79.29	0.00	(0.83)	
2,000	Sale	12/09/13	12/24/13	156.93	160.25	0.00	(3.32)	
Security Subtotal				15,927.40	14,941.17	0.00	986.23	
TJX COS INC NEW								
23,000	Sale	03/08/12	872540109	1,050.62	859.00	0.00	191.62	
7,000	Sale	11/27/12	08/09/13	366.79	303.70	0.00	63.09	
2,000	Sale	12/06/12	08/09/13	104.79	86.62	0.00	18.17	
3,000	Sale	12/19/12	08/09/13	157.20	130.40	0.00	26.80	
7,000	Sale	12/26/12	08/09/13	366.80	294.26	0.00	72.54	
1,000	Sale	12/31/12	08/09/13	52.40	41.80	0.00	10.60	
9,000	Sale	02/08/13	08/09/13	471.60	412.38	0.00	59.22	
Security Subtotal				2,570.20	2,128.16	0.00	442.04	
TAL INTL GROUP INC								
3,000	Sale	03/26/13	874083108	127.47	135.72	0.00	(8.25)	
4,000	Sale	04/11/13	04/16/13	169.96	177.97	0.00	(8.01)	
334,000	Sale	02/25/13	04/16/13	14,191.58	14,110.67	0.00	80.91	
Security Subtotal				14,489.01	14,424.36	0.00	64.65	
TD AMERITRADE HLDG CORP								
308,000	Sale	09/30/13	87236Y108	7,879.98	8,097.44	0.00	(217.46)	
TIME WARNER INC SHS								
93,000	Sale	04/11/13	887317303	5,438.40	5,546.52	0.00	(108.12)	
69,000	Sale	04/16/13	06/04/13	4,034.95	4,104.17	0.00	(69.22)	
34,000	Sale	05/15/13	06/04/13	1,988.24	2,080.32	0.00	(92.08)	
128,000	Sale	10/11/13	12/03/13	8,383.71	8,745.69	0.00	(361.98)	

2013 ANNUAL STATEMENT SUMMARY 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
TIME WARNER INC SHS								
94,000	Sale	10/17/13	887317303	6,156.79	6,377.75	0.00	(220.96)	
5,000	Sale	10/21/13	12/03/13	327.48	345.21	0.00	(17.73)	
1,000	Sale	10/29/13	12/03/13	65.49	70.13	0.00	(4.64)	
8,000	Sale	11/15/13	12/03/13	524.00	544.08	0.00	(20.08)	
Security Subtotal				26,919.06	27,813.87	0.00	(894.81)	
TIME WARNER CABLE INC SHS								
4,000	Sale	11/01/12	02/08/13	350.58	398.06	0.00	(47.48)	
35,000	Sale	11/16/12	02/08/13	3,067.59	3,172.94	0.00	(105.35)	
115,000	Sale	11/27/12	02/08/13	10,079.22	10,766.86	0.00	(687.64)	
14,000	Sale	12/06/12	02/08/13	1,227.03	1,320.49	0.00	(93.46)	
1,000	Sale	12/19/12	02/08/13	87.64	95.98	0.00	(8.34)	
5,000	Sale	01/31/13	02/08/13	438.23	456.13	0.00	(17.90)	
10,000	Sale	02/05/13	02/08/13	876.47	884.80	0.00	(8.33)	
Security Subtotal				16,126.76	17,095.26	0.00	(968.50)	
THERMO FISHER SCIENTIFIC INC								
22,000	Sale	12/26/12	02/05/13	1,618.06	1,408.63	0.00	209.43	
39,000	Sale	12/26/12	06/21/13	3,218.31	2,497.12	0.00	721.19	
11,000	Sale	12/26/12	08/09/13	1,013.08	704.31	0.00	308.77	
2,000	Sale	12/26/12	09/30/13	184.71	128.06	0.00	56.65	
Security Subtotal				6,034.16	4,738.12	0.00	1,296.04	
TOYOTA MOTOR CORP ADR								
31,000	Sale	06/27/13	892331307	3,968.86	3,773.94	0.00	194.92	
55,000	Sale	07/05/13	08/09/13	7,041.53	6,860.11	0.00	181.42	
31,000	Sale	07/15/13	08/09/13	3,968.87	4,018.08	0.00	(49.21)	
4,000	Sale	08/06/13	08/09/13	512.12	519.76	0.00	(7.64)	
Security Subtotal				15,491.38	15,171.89	0.00	319.49	
UNION PACIFIC CORP								
32,000	Sale	06/04/13	907818108	4,888.80	5,032.90	0.00	(144.10)	
33,000	Sale	06/07/13	06/21/13	5,041.58	5,164.47	0.00	(122.89)	
19,000	Sale	06/17/13	06/21/13	2,902.74	2,970.25	0.00	(67.51)	
Security Subtotal				12,833.12	13,167.62	0.00	(334.50)	

2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
UNIVERSAL HEALTH SVCS B								
153 0000	Sale	03/26/13	04/16/13	9,126.33	9,690.24	0.00	(563.91)	
36 0000	Sale	04/11/13	04/16/13	2,147.38	2,224.44	0.00	(77.06)	
	Security Subtotal			11,273.71	11,914.68	0.00	(640.97)	
VISA INC CL A SHRS								
16 0000	Sale	07/20/12	02/05/13	2,537.38	1,983.49	0.00	553.89	
20 0000	Sale	07/20/12	06/21/13	3,604.88	2,479.36	0.00	1,125.52	
2 0000	Sale	08/28/12	08/09/13	361.59	255.43	0.00	106.16	
1 0000	Sale	08/14/12	08/09/13	180.79	129.53	0.00	51.26	
2 0000	Sale	02/08/13	08/09/13	361.59	316.52	0.00	45.07	
	Security Subtotal			7,046.23	5,164.33	0.00	1,881.90	
WUXI PHARMATECH CAYMAN I								
36 0000	Sale	12/06/12	02/05/13	588.59	554.53	0.00	34.06	
275 0000	Sale	12/06/12	06/21/13	5,546.38	4,236.02	0.00	1,310.36	
36 0000	Sale	12/06/12	08/09/13	806.64	554.53	0.00	252.11	
144 0000	Sale	12/06/12	09/30/13	3,915.59	2,218.13	0.00	1,697.46	
17 0000	Sale	12/06/12	10/09/13	475.76	261.86	0.00	213.90	
	Security Subtotal			11,332.96	7,825.07	0.00	3,507.89	
XILINX INC								
185 0000	Sale	10/11/13	09/30/13	8,290.00	8,792.31	0.00	(502.31)	
161 0000	Sale	10/18/13	10/25/13	7,214.54	7,298.50	0.00	(83.96)	
2 0000	Sale	10/21/13	10/25/13	89.63	91.44	0.00	(1.81)	
	Security Subtotal			15,594.17	16,182.25	0.00	(588.08)	
YAHOO INC								
218 0000	Sale	12/26/12	06/21/13	5,512.81	4,274.02	0.00	1,238.79	
25 0000	Sale	12/26/12	08/09/13	684.78	490.14	0.00	194.64	
108 0000	Sale	12/26/12	09/30/13	3,614.87	2,117.40	0.00	1,497.47	
	Security Subtotal			9,812.46	6,881.56	0.00	2,930.90	
WELLPOINT INC								
95 0000	Sale	09/30/13	10/25/13	7,921.50	7,987.60	0.00	(66.10)	
40 0000	Sale	10/11/13	10/25/13	3,335.37	3,557.90	0.00	(222.53)	
38 0000	Sale	10/17/13	10/25/13	3,168.60	3,357.59	0.00	(188.99)	
6 0000	Sale	10/21/13	10/25/13	500.31	518.43	0.00	(18.12)	
	Security Subtotal			14,925.78	15,421.52	0.00	(495.74)	

1099-B **2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS** **2013 ANNUAL STATEMENT SUMMARY**

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
Covered Short Term Capital Gains and Losses Subtotal								
				2,143,892.28	2,093,467.29	271.11	50,696.10	
NET SHORT TERM CAPITAL GAINS AND LOSSES								
				2,143,892.28	2,093,467.29	271.11	50,696.10	
LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
ALEXION PHARMS INC								
	2 0000 Sale	01/06/11	02/19/13	168.99	82.92	0.00	86.07	
	46 0000 Sale	02/11/11	02/19/13	3,886.92	2,044.93	0.00	1,841.99	
	8.0000 Sale	07/05/11	02/19/13	675.98	381.59	0.00	294.39	
	Security Subtotal			4,731.89	2,509.44	0.00	2,222.45	
AMGEN INC COM PV \$0 0001								
	4.0000 Sale	06/06/12	08/09/13	441.60	274.83	0.00	166.77	
	3 0000 Sale	06/06/12	09/30/13	338.92	206.13	0.00	132.79	
	1 0000 Sale	06/07/12	09/30/13	112.98	70.81	0.00	42.17	
	96.0000 Sale	06/07/12	10/08/13	10,356.10	6,797.29	0.00	3,558.81	
	15.0000 Sale	06/11/12	10/08/13	1,618.14	1,029.99	0.00	588.15	
	22 0000 Sale	06/18/12	10/08/13	2,373.27	1,580.57	0.00	792.70	
	6 0000 Sale	07/03/12	10/08/13	647.26	448.20	0.00	199.06	
	Security Subtotal			15,888.27	10,407.82	0.00	5,480.45	
BIOGEN IDEC INC								
	8 0000 Sale	04/13/11	09/062X103	1,252.37	628.21	0.00	624.16	
	36 0000 Sale	04/13/11	05/24/13	8,439.68	2,826.92	0.00	5,612.76	
	4.0000 Sale	04/13/11	09/30/13	970.43	314.10	0.00	656.33	
	Security Subtotal			10,662.48	3,769.23	0.00	6,893.25	
COMCAST CORP NEW CL A								
	3 0000 Sale	08/14/12	20030N101	135.30	104.11	0.00	31.19	
	3.0000 Sale	08/14/12	10/09/13	134.36	104.11	0.00	30.25	
	Security Subtotal			269.66	208.22	0.00	61.44	

2013 ANNUAL STATEMENT SUMMARY 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
VANGUARD SHORT TERM BOND								
301 0000	Sale	11/16/12	12/24/13	24,058.51	24,495.34	0.00	(436.83)	
5 0000	Sale	11/16/12	12/24/13	399.64	407.77	0.00	(8.13)	
4 0000	Sale	11/16/12	12/24/13	319.71	325.46	0.00	(5.75)	
3 0000	Sale	12/07/12	12/24/13	239.78	244.37	0.00	(4.59)	
12 0000	Sale	12/08/12	12/24/13	959.14	975.45	0.00	(16.31)	
37 0000	Sale	12/15/12	12/24/13	2,957.36	3,011.19	0.00	(53.83)	
3 0000	Sale	12/15/12	12/24/13	239.78	244.70	0.00	(4.92)	
Security Subtotal				29,173.92	29,704.28	0.00	(530.36)	
SPDR BARCLAYS INTERM TERM CORPORATE BOND ETF								
		CUSIP Number	78464A375					
812 0000	Sale	03/08/12	06/11/13	27,550.76	27,607.69	0.00	(56.93)	
8 0000	Sale	03/21/12	06/11/13	271.43	269.98	0.00	1.45	
32 0000	Sale	04/26/12	06/11/13	1,085.74	1,089.26	0.00	(3.52)	
Security Subtotal				28,907.93	28,966.93	0.00	(59.00)	
GILEAD SCIENCES INC COM								
		CUSIP Number	375558103					
15 0000	Sale	06/06/12	08/09/13	897.38	365.05	0.00	532.33	
20 0000	Sale	06/06/12	09/30/13	1,266.00	486.73	0.00	779.27	
Security Subtotal				2,163.38	851.78	0.00	1,311.60	
HOME DEPOT INC								
		CUSIP Number	437076102					
151 0000	Sale	08/28/12	09/30/13	11,414.37	8,555.46	0.00	2,858.91	
34 0000	Sale	09/14/12	09/30/13	2,570.12	2,017.46	0.00	552.66	
11 0000	Sale	09/27/12	09/30/13	831.51	653.26	0.00	178.25	
Security Subtotal				14,816.00	11,226.18	0.00	3,589.82	
VANGUARD SHORT-TERM CORPORATE BOND								
		CUSIP Number	92206C409					
43 0000	Sale	03/08/12	09/30/13	3,423.31	3,409.95	0.00	13.36	
8 0000	Sale	03/08/12	10/09/13	636.25	634.41	0.00	1.84	
Security Subtotal				4,059.56	4,044.36	0.00	15.20	
OCWEN FINL CORP COM NEW								
		CUSIP Number	675746309					
26 0000	Sale	08/14/12	09/30/13	1,445.36	630.39	0.00	814.97	
73 0000	Sale	08/14/12	11/08/13	3,646.21	1,769.95	0.00	1,876.26	
186 0000	Sale	08/28/12	11/08/13	9,290.35	4,649.63	0.00	4,640.72	
Security Subtotal				14,381.92	7,049.97	0.00	7,331.95	

1099-B **2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS** **2013 ANNUAL STATEMENT SUMMARY**

1e Quantity	Transaction Description	1b, Date of Acquisition	1a, Date of Sale or Exchange	2a Amount	3, Cost Basis	5, Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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CONSUMER DISCRETIONARY **CUSIP Number 81369Y407**

SPDR	11 0000 Sale	05/24/12	08/09/13	663.99	475.53	0.00	188.46	
	4.0000 Sale	05/24/12	09/30/13	242.29	172.92	0.00	69.37	
	52.0000 Sale	05/24/12	10/09/13	3,054.95	2,247.96	0.00	806.99	
	62.0000 Sale	06/06/12	10/09/13	3,642.44	2,642.90	0.00	999.54	
	90.0000 Sale	06/07/12	10/09/13	5,287.42	3,901.46	0.00	1,385.96	
	12.0000 Sale	06/11/12	10/09/13	704.99	522.54	0.00	182.45	
	27.0000 Sale	06/18/12	10/09/13	1,586.22	1,173.15	0.00	413.07	
	14.0000 Sale	07/03/12	10/09/13	822.49	614.11	0.00	208.38	
	2 0000 Sale	07/26/12	10/09/13	117.50	86.29	0.00	31.21	
Security Subtotal				16,122.29	11,836.86	0.00	4,285.43	

TJX COS INC NEW **CUSIP Number 872540109**

	50.0000 Sale	03/08/12	05/24/13	2,542.10	1,867.38	0.00	674.72	
	4 0000 Sale	03/08/12	06/21/13	196.73	149.39	0.00	47.34	
	285.0000 Sale	03/08/12	08/09/13	14,933.79	10,644.07	0.00	4,289.72	
Security Subtotal				17,672.62	12,660.84	0.00	5,011.78	

VISA INC CL A SHRS **CUSIP Number 92826C839**

	58.0000 Sale	07/20/12	08/09/13	10,485.92	7,190.16	0.00	3,295.76	
	21.0000 Sale	07/26/12	08/09/13	3,796.63	2,653.60	0.00	1,143.03	
	2.0000 Sale	07/31/12	08/09/13	361.58	258.80	0.00	102.78	
Security Subtotal				14,644.13	10,102.56	0.00	4,541.57	

Covered Long Term Capital Gains and Losses Subtotal

173,494.05 133,338.47 0.00 40,155.58

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

ALEXION PHARMS INC **CUSIP Number 015351109**

	2 0000 Sale	09/01/10	02/05/13	191.53	58.31	0.00	133.22	
	58.0000 Sale	09/01/10	02/19/13	4,900.89	1,690.86	0.00	3,210.03	
	50.0000 Sale	09/02/10	02/19/13	4,224.91	1,449.90	0.00	2,775.01	
Security Subtotal				9,317.33	3,199.07	0.00	6,118.26	

2013 ANNUAL STATEMENT SUMMARY 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
POWERSHARES ETF TR								
DYNAMIC PHARM PORTFOL								
		CUSIP Number	73935X799					
44 0000	Sale	10/13/11	02/05/13	1,647.76	1,136.22	0.00	511.54	
76 0000	Sale	10/13/11	05/24/13	3,284.70	1,962.57	0.00	1,322.13	
3 0000	Sale	10/25/11	05/24/13	129.66	78.49	0.00	51.17	
1 0000	Sale	10/25/11	06/21/13	41.90	26.16	0.00	15.74	
21 0000	Sale	10/25/11	08/09/13	978.34	549.40	0.00	428.94	
Security Subtotal				6,082.36	3,752.84	0.00	2,329.52	

Noncovered Long Term Capital Gains and Losses Subtotal

8,447.78

NET LONG TERM CAPITAL GAINS AND LOSSES

48,603.36

OTHER TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

ELLINGTON FINANCIAL LLC								
		CUSIP Number	288522303					
391 0000	Sale	03/26/13	06/04/13	8,939.24	9,704.50	0.00	N/C	
79 0000	Sale	04/11/13	06/04/13	1,806.13	2,031.28	0.00	N/C	
55 0000	Sale	04/16/13	06/04/13	1,257.44	1,406.23	0.00	N/C	
36 0000	Sale	05/15/13	06/04/13	823.06	910.69	0.00	N/C	
Security Subtotal				12,825.87	14,052.70	0.00	1,226.83	

Other Transactions Subtotal

0.00

TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES
 TOTAL REPORTED SALES PROCEEDS

2,345,611.89
 2,345,611.89

Morgan Family Foundation
EIN: 84-1364137
Form 990-PF Statement 2
Page 1 of 1

MORGAN FAMILY FOUNDATION
APPLICATION FOR SCHOLARSHIP GRANT

Grant # _____

Name of Applicant _____ Date of Birth _____

Address _____

1. How did you hear about this grant? _____
2. Have you accepted or been granted any other awards? _____ Describe _____
3. Applicant's Education

Schools Attended	Dates Attended	Completed/ Incomplete	Degree?
_____	_____	_____	_____

4. Applicant's Work Experience

Job Held	Employer	Dates of Employment	Hours Per Week	Wage/Salary
_____	_____	_____	_____	_____

5. Is applicant: a) _____ Head of household; # in household _____
b) _____ A dependent in a household; # in household _____
c) _____ Single, no dependents

6. Are the family members employed? _____ If so, list employers: _____

7. If unemployed, explain: _____

8. Income of entire household: _____ \$0- to \$10,000 _____ \$10,000 to \$20,000
_____ \$20,000 to \$30,000 _____ \$30,000 to \$40,000 _____ Other - \$ _____
(Attach copies of most recent income tax return)

9. Income of applicant alone: _____ \$0- to \$10,000 _____ \$10,000 to \$20,000
_____ \$20,000 to \$30,000 _____ \$30,000 to \$40,000 _____ Other - \$ _____
(Attach copies of most recent income tax return)

10. Is there a major illness or tragedy in the family? _____ Description: _____

11. Source and amount of any income or gift or support not included above (alimony, gifts, child support, etc.) _____

12. Please list any extra ordinary expenses for the household (medical, education, relocation, etc.) _____

13. Describe why you would like a scholarship grant and how it will help you in the future.

14. What are the financial and intangible benefits of a grant/scholarship? _____

15. What is the probability of employment in field of endeavor within six months of completion of training? _____

16. What is estimated beginning compensation? _____

17. What is the likelihood of advancement? _____

18. Would you be available, if chosen as a finalist, for a personal interview with the selection committee or a representative of the selection committee? _____ Yes _____ No

Use other side to more fully explain any details that will be useful in analyzing your case.

I declare that the above facts are true and accurate to the best of my knowledge.

Applicant _____ Date _____

Applicant's parents or guardians, if applicable _____ Date _____

MORGAN FAMILY FOUNDATION

GUIDELINES FOR SCHOLARSHIP AWARDS

The Morgan Family Foundation has been established to provide educational grants/scholarships to needy individuals. Scholarships will be awarded at the discretion of the scholarship committee. All awards are based upon application information, academic record and evaluation of need. Awards will be mailed directly to the educational institution of choice upon proof of enrollment from registrar's office. Funds will be disbursed to the school as a one time gift on behalf of the student.

ELIGIBILITY CRITERIA

1. The applicant must be a resident of the State of Colorado or Sonora, Mexico.
2. The applicant must truly have a desire to improve self and/or his or her economic situation through education. Eligibility is based on merit and educational and financial need with no restrictions as to age, race, sex, religious order, or desired school.
3. The applicant must submit:
 - a. Application form
 - b. Certified high school transcript
 - c. ACT or SAT report form directly from testing service
 - d. Letter of recommendation from community leader not related to the applicant
 - e. An essay outlining the applicant's background (include a discussion of experience and individuals who have had a significant impact on the applicant), educational and career interests, and any other information he/she wishes to share.
4. This application form and the essay must be typewritten. Spelling, punctuation, grammar and neatness will be evaluated.