Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation: ERION FOUNDATION

A Employer identification number: 84-1358074

Number and street (or P O box number if mail is not delivered to street address): PO BOX 732

Room/suite:

B Telephone number (see instructions): (970) 669-9525

City or town, state or province, country, and ZIP or foreign postal code: LOVELAND, CO 80539

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 10,710,840.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	850.			
	2	Check ▶ ☐ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	46.	46.		
	4	Dividends and interest from securities	174,426.	173,706.	720.	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	727,892.			
	b	Gross sales price for all assets on line 6a 6,679,443.				
	7	Capital gain net income (from Part IV, line 2)		727,892.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule) ATCH 1	59,394.	5,345.		
	12	**Total.** Add lines 1 through 11	962,608.	906,989.	720.	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	27,050.	12,400.		6,110.
	c	Other professional fees (attach schedule) *	46,243.	46,243.		
	17	Interest ATCH 3	12,585.	1,404.		11,180.
	18	Taxes (attach schedule) (see instructions) ATCH 4	58,135.	1,228.		
	19	Depreciation (attach schedule) and depletion	14,782.			
	20	Occupancy	34,915.	3,913.		22,394.
	21	Travel, conferences, and meetings	2,941.			1,470.
	22	Printing and publications				
	23	Other expenses (attach schedule) ATCH 5	13,808.			6,904.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	210,459.	65,188.		48,058.
	25	Contributions, gifts, grants paid	299,910.			299,910.
	26	**Total expenses and disbursements** Add lines 24 and 25	510,369.	65,188.		347,968.
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	452,239.			
	b	**Net investment income** (if negative, enter -0-)		841,801.		
	c	**Adjusted net income** (if negative, enter -0-)			720.	

RECEIVED
559
NOV 24 2014
OGDEN, UT
IRS-OSC

SCANNED NOV 28 2014

314

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets 1	Cash - non-interest-bearing	5,791.	32,351.	32,351.
2	Savings and temporary cash investments	67,142.	2,212,983.	2,154,126.
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10 a	Investments - U S and state government obligations (attach schedule) **	169,336.		
b	Investments - corporate stock (attach schedule) ATCH 7	4,750,486.	4,638,576.	5,654,950.
c	Investments - corporate bonds (attach schedule) ATCH 8	1,882,849.	537,073.	537,504.
11	Investments - land, buildings, and equipment basis ▶ 160,496.			
	Less accumulated depreciation (attach schedule) ▶ 7,992.	106,763.	152,504.	152,504.
12	Investments - mortgage loans			
13	Investments - other (attach schedule) ATCH 9	2,232,022.	2,149,689.	1,539,376.
14	Land, buildings, and equipment basis ▶ 10,949.			
	Less accumulated depreciation (attach schedule) ▶ 5,484.	2,416.	5,465.	5,465.
15	Other assets (describe ▶ ATCH 10)	632,195.	634,564.	634,564.
16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	9,849,000.	10,363,205.	10,710,840.
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue		6,900.	ATCH 11
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)	678,230.	678,230.	ATCH 12
22	Other liabilities (describe ▶ ATCH 13)	1,000.	8,708.	
23	**Total liabilities** (add lines 17 through 22)	679,230.	693,838.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, ▶ ☒ check here and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	9,169,770.	9,669,367.	
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	9,169,770.	9,669,367.	
31	**Total liabilities and net assets/fund balances** (see instructions)	9,849,000.	10,363,205.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,169,770.
2	Enter amount from Part I, line 27a	2	452,239.
3	Other increases not included in line 2 (itemize) ▶ ATCH 14	3	47,358.
4	Add lines 1, 2, and 3	4	9,669,367.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	9,669,367.

**ATCH 6

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } **2** 727,892.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . **3** 0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	1,214,156.	9,192,278.	0.132084
2011	389,752.	9,230,467.	0.042225
2010	502,556.	9,328,409.	0.053874
2009	444,756.	8,638,100.	0.051488
2008	481,007.	9,813,811.	0.049013

2	**Total** of line 1, column (d)	2	0.328684
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.065737
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	9,591,501.
5	Multiply line 4 by line 3	5	630,517.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	8,418.
7	Add lines 5 and 6	7	638,935.
8	Enter qualifying distributions from Part XII, line 4	8	363,552.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ______________ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	16,836.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	16,836.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	16,836.
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a: 11,300.		
b	Exempt foreign organizations - tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 20,000.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	31,300.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	14,464.
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ 14,464. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ________ (2) On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CO,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.ERIONFOUNDATION.ORG

14 The books are in care of ▶ THE ORGANIZATION Telephone no ▶ 970-669-9525

Located at ▶ P.O. BOX 732 LOVELAND, CO ZIP+4 ▶ 80539

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ▶			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

				Yes	No
5a	During the year did the foundation pay or incur any amount to:				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☒ Yes ☐ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? *If "Yes," attach the statement required by Regulations section 53 4945-5(d)*	☒ Yes ☐ No			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870.*		**6b**		X
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**		X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 15		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 BUILDING LEASE TO ART WORKS IN LOVELAND, A 501(C)(3) TAX EXEMPT ORGANIZATION, FOR THE PURPOSES OF FULFILLING THIER CHARITABLE PURPOSE.	15,584.
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	15,584.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	8,214,524.
b	Average of monthly cash balances	1b	248,023.
c	Fair market value of all other assets (see instructions)	1c	1,350,679.
d	**Total** (add lines 1a, b, and c)	1d	9,813,226.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	75,662.
3	Subtract line 2 from line 1d	3	9,737,564.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	146,063.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	9,591,501.
6	**Minimum investment return.** Enter 5% of line 5	6	479,575.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	479,575.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	16,836.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	16,836.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	462,739.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	462,739.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	462,739.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	347,968.
b	Program-related investments - total from Part IX-B	1b	15,584.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	363,552.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	363,552.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				462,739.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only				
b Total for prior years 20 11 ,20 10 ,20 09				
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012 345,554.				
f Total of lines 3a through e	345,554.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 363,552.				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				363,552.
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	99,187.			99,187.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	246,367.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	246,367.			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012 246,367.				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

ATCH 16

b The form in which applications should be submitted and information and materials they should include:

ATCH 17

c Any submission deadlines:

ATCH 18

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATCH 19				
Total . . . . . ▶ **3a**				299,910.
b *Approved for future payment*				
Total . . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	46.	
4 Dividends and interest from securities			14	174,426.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	727,892.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b ATCH 20		-16,606.		69,100.	6,900.
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		-16,606.		971,464.	6,900.

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 961,758.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 11 13 14 | Title PRESIDENT

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
RITA F WORSTER CPA	Rita F Worster	11/12/14		P00290681

Firm's name ▶ BKD, LLP — Firm's EIN ▶ 44-0160260

Firm's address ▶ 111. S TEJON ST STE 800, COLORADO SPRINGS, CO 80903 — Phone no 719-471-4290

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					78,760.	
		FIRST WESTERN - SEE ATTACHMENT					VAR	VAR
41,943.		34,828.					7,115.	
		FIRST WESTERN - SEE ATTACHMENT					VAR	VAR
433,737.		290,462.					143,275.	
		BNY SHORT TERM - SEE ATTACHED					VAR	VAR
2,362,458.		2,325,857.					36,601.	
		BNY LONG TERM - SEE ATTACHED					VAR	VAR
3,841,305.		3,379,164.					462,141.	
TOTAL GAIN(LOSS)							727,892.	

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
GAIN (LOSS) FROM PARTNERSHIPS	52,494.	5,345.
RENT RECEIPTS FROM PROGRAM RELATED INVESTMENT BUILDING	6,900.	
TOTALS	59,394.	5,345.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INVESTMENT FEES FWTB	18,901.	18,901.
INVESTMENT FEES BNY	27,342.	27,342.
TOTALS	46,243.	46,243.

FORM 990PF, PART I - INTEREST EXPENSE

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
LINE OF CREDIT		12,585.	1,404.	11,180.
	TOTALS	12,585.	1,404.	11,180.

FORM 990PF, PART I - TAXES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES		1,228.	1,228.
FEDERAL TAXES		56,907.	
	TOTALS	58,135.	1,228.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
OFFICE EXP	4,800.	2,400.
COMPUTER/IT	396.	198.
DUES/FEES/MEMBERSHIP	1,427.	713.
INSURANCE	2,950.	1,475.
MARKETING	700.	350.
MOVING EXPENSE	1,182.	591.
POSTAGE	123.	61.
SUPPLIES	1,749.	875.
TELEPHONE	481.	241.
TOTALS	13,808.	6,904.

ERION FOUNDATION 84-1358074

FORM 990 PF, PART II - U.S. AND STATE OBLIGATIONS ATTACHMENT 6

Line 10a - U.S. and State Government Obligations

Description	Beginning Book Value	Ending Book Value	Ending FMV
BNY Mellon S-T US Govt Securities Fund	169,336.00	-	-

Line 10b - Corporate Stock	Beginning Book Value	Ending Book Value	Ending FMV
Apple Inc.	24,164	9,265	24,124
Exxon Mobile Corp	20,785	28,196	38,456
Google Inc.	-	26,356	32,501
Johnson & Johnson	15,763	19,217	25,645
Pepsico Inc	22,043	10,910	17,003
Pfizer Inc	30,727	13,393	22,666
Sanofi-Aventis ADR	22,786	-	-
Unilever PLC	19,057	-	-
Wells Fargo & Company	23,713	15,534	19,976
BNY Mellon Income Stock Fund	757,210	100,207	159,447
BNY Mellon Focused Equity Opportunities Fun	-	372,240	420,710
Dreyfus U.S. Equity Fund	-	386,907	464,749
U.S, Large Cap	695,775	818,416	931,809
BNY Mellon Mid Cap Multi-Strategy fund	246,199	350,417	480,033
BNY Mellon Small Cap	195,349	-	-
Dreyfus Small Cap	-	250,354	291,768
BNY Mellon International Equity	79,240	78,234	88,710
BNY Mellon International Fund	76,114	70,472	97,284
Dreyfus/Newton International Equity	35,192	33,380	45,178
Strategic International Stock Fund	67,758	66,005	85,173
BNY Mellon Emerging Markets Fund	572,205	-	-
Equity REITS	-	20,938	19,530
Dodge & Cox Income Fund #147	322,403	332,174	324,706
Pimco Total Return Fund Inst #35	332,433	342,531	316,184
Abbott Laboratories Com	18,853	20,282	28,058
American Tower Corporation	18,128	15,058	28,017
Anheuser Busch Inbev Sponsored ADR	21,885	18,321	27,999
Baxter International Inc Com	22,220	20,370	25,941
Blackrock Inc Com	23,480	18,372	30,381
Celgene Corp Com	19,658	21,332	42,411

FORM 990 PF, PART II - CORPORATE STOCK

ATTACHMENT 7

Line 10b - Corporate Stock	Beginning Book Value	Ending Book Value	Ending FMV
Chevron Corporation	16,586	22,546	33,226
Cisco Sys Inc Com	18,990	32,041	39,409
Danaher Corp Com	17,134	14,355	28,873
Ecolab Inc Com	16,415	11,368	27,214
Gilead Sciences Inc Com	21,635	7,698	31,091
Honeywell International Inc Com	16,157	16,218	32,162
International Business Machs Corp	17,259	-	-
Lauder Estee Cos Inc	12,069	11,539	27,793
McDonalds Corp Com	9,585	25,001	25,713
Microsoft Corp Com	21,278	29,071	36,662
Nike Inc.	14,333	12,298	28,232
Oracle Corp	31,701	31,410	47,748
Pepsico Inc	16,810	16,354	27,038
Qualcomm Inc	17,180	15,479	28,586
Schlumberger Ltd	20,557	20,323	29,015
Schwab Charles Corp	23,190	21,062	31,616
State Street Corp	21,887	27,334	39,631
Stryker Corp	16,197	24,778	38,547
Texas Instruments Inc.	17,383	14,752	28,278
Thermo fisher Corp	15,937	9,526	31,178
United Parcel Services	23,387	21,077	29,738
Wal-Mart Stores Inc	18,863	29,563	39,188
Williams COS Inc	12,678	8,269	27,269
Common Stock	110,813	32,922	82,760
DFA Large Cap International Portfolio	-	75,466	79,117
DFA Small Cap Portfolio	-	113,847	120,392
Heartland Value Plus Fund	85,719	-	-
Oppenheimer Developing Markets Fund	46,203	121,368	128,885
PIMCO Commodity Real Return Strategy Fund	73,171	74,794	52,240
Thornburg International Value Fund	334,232	339,239	394,890
Totals	4,750,486	4,638,577	5,654,950

Line 10c - Corporate Bonds

	Beginning Book Value	Ending Book Value	Ending FMV
BNY Bond Funds	1,379,098	317,653	316,637
Dreyfus Bond Funds	450,885	166,001	167,461
TCW Emerging Markets Income fund-I	-	554	-
Ishares Iboxx High Yield Corp Bond Fund	52,866	52,866	53,406
Totals	1,882,849	537,073	537,504

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
INVESTMENT IN PARTNERSHIP MCWHINNEY CCOB LAND INVTMT LLC 26-1616556	1,654,193.	1,479,160.	750,000.
INVESTMENT IN PARTNERSHIP CCOB INVESTMENTS, LLC EIN 46-3062780		113,867.	113,867.
INVESTMENT IN CORPORATION NP DEVELOPMENT, INC. EIN 46-3755354		4,807.	4,807.
ISHARES COMMODITY INDEX 51-6573369	208,924.	80,843.	116,415.
AETOS CAPITAL	300,000.	300,000.	367,275.
HIGHBRIDGE DYNAMIC	68,905.		
ADVANTAGE GLOBAL ALPHA FUND		84,888.	92,404.
ASG MANAGED FUTURES FUND		86,124.	94,608.
TOTALS	2,232,022.	2,149,689.	1,539,376.

ATTACHMENT 10

FORM 990PF, PART II - OTHER ASSETS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
PROGRAM RELATED INVESTMENT	641,895.	657,479.	657,479.
ACCUMULATED DEPRECIATION	-9,700.	-22,915.	-22,915.
TOTALS	632,195.	634,564.	634,564.

ATTACHMENT 11

FORM 990PF, PART II - DEFERRED REVENUE

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE
DEFERRED RENTAL INCOME		6,900.
TOTALS		6,900.

ATTACHMENT 12

FORM 990PF, PART II - MORTGAGES AND OTHER NOTES PAYABLE

LENDER: BNY MELLON
ORIGINAL AMOUNT: 2,000,000.
INTEREST RATE: 2.000000
DATE OF NOTE: 09/22/2011
REPAYMENT TERMS: MONTHLY INTEREST PAYMENTS
SECURITY PROVIDED: SECURITIES & FINANCIAL ASSETS
PURPOSE OF LOAN: LINE OF CREDIT

BEGINNING BALANCE DUE	678,230.
ENDING BALANCE DUE	678,230.
TOTAL BEGINNING MORTGAGES AND OTHER NOTES PAYABLE	678,230.
TOTAL ENDING MORTGAGES AND OTHER NOTES PAYABLE	678,230.

ATTACHMENT 13

FORM 990PF, PART II - OTHER LIABILITIES

DESCRIPTION		BEGINNING BOOK VALUE	ENDING BOOK VALUE
PROPERTY TAXES PAYABLE			7,708.
DEPOSIT		1,000.	1,000.
	TOTALS	1,000.	8,708.

ATTACHMENT 14

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
INCOME FROM INVESTMENT NOT ON BOOKS	44,749.
EXPENSE ON BOOKS, NOT ON RETURN	2,609.
TOTAL	47,358.

ERION FOUNDATION 84-1358074

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 15

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
DOUGLAS J. ERION P.O. BOX 239 LOVELAND, CO 80239	PRESIDENT 1.00	0	0	0
JAN PIERCE ATNIP P.O. BOX 239 LOVELAND, CO 80239	BOARD MEMBER 1.00	0	0	0
ELI SCOTT P.O. BOX 239 LOVELAND, CO 80239	TREASURER 1.00	0	0	0
ROGER E. CLARK P.O. BOX 239 LOVELAND, CO 80239	VICE PRESIDENT 1.00	0	0	0
JUSTIN ERION P.O. BOX 239 LOVELAND, CO 80239	BOARD MEMBER 1.00	0	0	0
TRAVIS ERION P.O. BOX 239 LOVELAND, CO 80239	BOARD MEMBER 1.00	0	0	0

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 15 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
SUMMER ERION SCOTT P.O. BOX 239 LOVELAND, CO 80239	ADMINISTRATOR 1.00	0	0	0
CHRISTINE ERION KLEIN P.O. BOX 239 LOVELAND, CO 80239	BOARD MEMBER 1.00	0	0	0
	GRAND TOTALS	0	0	0

ATTACHMENT 16

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

KRISTIN HARMON
PO BOX 732
LOVELAND, CO 80539

990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

EXEMPT TAX DETERMINATION LETTER REQUIRED, RECIPIENT MUST BE A 501 (C) (3) ORGANIZATION. STATE OR MUNICIPALITY PREFERENCE GIVEN TO NEEDS OF LOVELAND, LARIMER COUNTY OR COLORADO.

990PF, PART XV - SUBMISSION DEADLINES

APPLICATIONS RECEIVED DURING CALENDER QUARTER WILL BE CONSIDERED DURING FIRST MONTH OF FOLLOWING QUARTER.

Recipient Name & Address	Relationship to Substantial Contributor & Foundation Status of Recipient	Purpose of Grant of Contribution	Amount
4-H Leader's Association P O Box 994 Port Washington, WI	PC	Funds to match volunteer hours contributed by foundation family members	101.67
ACACIA Educational Support 13939 North Cedarburg Road Mequon, WI 53097	PC	Funds to match volunteer hours contributed by foundation family members	145.24
Alliance Bible Church Children's Ministries 13939 North Cedarburg Road Mequon, WI 53097	PC	Funds to match volunteer hours contributed by foundation family members	893.25
Alternatives to Violence 313 East 4th Street Loveland, CO 80537	PC	Renovations to New Safehouse	12,500.00
Art Works in Loveland P O. Box 239 Loveland, CO 80539	PC	Major Projects	57,000 00
Care-A-Van/Saint 333 West Drake Road, #42 Fort Collins CO 80526	PC	Provide transportation to disabled	2,000.00
ColoradoYouth Outdoors Charitable Trust 209 East 4th Street Loveland, CO 80537	PC	Education Building Project	25,000 00
Community Kitchen P O Box 3033 Loveland, CO 80539	PC	Operating funds to feed homeless	6,000 00
Community Kitchen P O. Box 3033 Loveland, CO 80539	PC	Cravin Cajun Boil	3,500 00
Disabled Resource Services 424 Pine Street #101 Fort Collins CO 80524	PC	Provide local individuals with independent living services	1,000 00
Door County Land Trust P O Box 65 Sturgeon, WI 54235	PC	Funds to match volunteer hours contributed by foundation family members	188 82
Fort Collins Symphony 214 South College Ave Fort Collins, CO 80524	PC	Culture and Community	500 00

Recipient Name & Address	Relationship to Substantial Contributor & Foundation Status of Recipient	Purpose of Grant of Contribution	Amount
Habitat for Humanity of Pinellas County 3355 --49th Street North Clearwater, FL 33762	PC	Funds to match volunteer hours contributed by foundation family members	726.22
Habitat for Humanity - Wisconsin 2233 North 30th Street Milwaukee, WI 83208	PC	Funds to match volunteer hours contributed by foundation family members	1,292 67
Hearts and Horses 163 North County Road 29 Loveland, CO 80537	PC	Affective Needs Program	2,160 00
		Renovate Indoor Arena and Grounds	12,500 00
Hospice of Larimer County 305 Carpenter Road Fort Collins, CO 80525	PC	Provide local individuals with grief counseling, therapies, programs and support	5,000 00
House of Neighborly Service 565 North Cleveland Loveland, CO 80537	PC	Assist local individuals to become self-sustaining through emergency services	24,000 00
		Funds to match volunteer hours contributed by foundation family members	1,721 13
		Major Project	50,000 00
Loveland Opera Theatre P O Box 7293 Loveland, CO 80537	PC	Donation towards production	1,000.00
Mary Blair Elementary School 860 East 29th Street Loveland, CO 80538	PC	Funds to match volunteer hours contributed by foundation family members	435 73
Meals on Wheels 437 North Garfield Loveland, CO 80537	PC	Feed homebound	6,000.00
Metropolitan Ministries 2202 North Florida Avenue Tampa, FL 33602	PC	Funds to match volunteer hours contributed by foundation family members	3,246.19
Parkinson Center-Regional 945 North 12th Street #4602 Mirwaukee, WI 53233	PC	Funds to match volunteer hours contributed by foundation family members	87 15
Philomatheon Club P.O Box 484 Loveland, CO 80539	PC	Scholarships for Vocational/Technical Training	1,000.00

Recipient Name & Address	Relationship to Substantial Contributor & Foundation Status of Recipient	Purpose of Grant of Contribution	Amount
Project Self-Sufficiency 375 West 37th Street #1 50 Loveland, CO 80538	PC	Education Health and Welfare	1,500 00 1,500 00
Ridges Sanctuary P O Box 152 Baileys Harbor, WI 54202	PC	Funds to match volunteer hours contributed by foundation family members	1,161 95
Thompson School District 800 South Taft Loveland, CO 80537	PC	Education - Lisa Program Leader in Me Program 3-D Printers	25,000.00 12,500.00 10,000.00
Thompson Vafley Preschool 803 East 16th Street Loveland, CO 80538	PC	Education and Safety Improvements	3,250.00
Women's Resource Center 424 Pine Street, Ste 201 Fort Collins, CO 80527	PC	Outreach, Education and Patient Assistance	2,000.00
The Warehouse Business Accelerator 1215 S Grant Avenue Loveland, CO 80537	PC	Help and enhance the community and provide opportunity for education	25,000.00
		Total Grants	299,910 02

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 20

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
GAIN (LOSS) FROM PARTNERSHIP	531390	-16,606.	18	69,100.	
RENTAL INCOME					6,900.
TOTALS		-16,606.		69,100.	6,900.

SCHEDULE D (Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
▶ Information about Schedule D and its separate instructions is at *www.irs.gov/form1041*.

OMB No 1545-0092

2013

Name of estate or trust	Employer identification number
ERION FOUNDATION	84-1358074

Note: *Form 5227 filers need to complete only Parts I and II.*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars.	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
1b Totals for all transactions reported on Form(s) 8949 with **Box A** checked	2,404,401.	2,360,685.		43,716.
2 Totals for all transactions reported on Form(s) 8949 with **Box B** checked				
3 Totals for all transactions reported on Form(s) 8949 with **Box C** checked				

4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	4	
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	5	
6 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet	6	( )
7 **Net short-term capital gain or (loss).** Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back ▶	7	43,716.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars.	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with **Box D** checked	4,275,042.	3,669,626.		605,416.
9 Totals for all transactions reported on Form(s) 8949 with **Box E** checked				
10 Totals for all transactions reported on Form(s) 8949 with **Box F** checked				

11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	11	
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	12	
13 Capital gain distributions	13	78,760.
14 Gain from Form 4797, Part I	14	
15 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet	15	( )
16 **Net long-term capital gain or (loss).** Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back ▶	16	684,176.

Part III	Summary of Parts I and II *Caution: Read the instructions before completing this part.*		(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
17	**Net short-term gain or (loss)**	17			43,716.
18	**Net long-term gain or (loss):**				
a	Total for year	18a			684,176.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	18b			
c	28% rate gain	18c			
19	**Total net gain or (loss).** Combine lines 17 and 18a ▶	19			727,892.

Note: *If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 18a and 19, column (2), are net gains, go to Part V, and* **do not** *complete Part IV If line 19, column (3), is a net loss, complete Part IV and the* **Capital Loss Carryover Worksheet,** *as necessary*

Part IV Capital Loss Limitation

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 19, column (3) **or** b $3,000 . . . 20 ( )

Note: *If the loss on line 19, column (3), is more than $3,000,* **or** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* **Capital Loss Carryover Worksheet** *in the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

Caution: *Skip this part and complete the* **Schedule D Tax Worksheet** *in the instructions if:*

- *Either line 18b, col. (2) or line 18c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col (2) or line 18c, col. (2) is more than zero

21	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			21			
22	Enter the **smaller** of line 18a or 19 in column (2) but not less than zero	22					
23	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	23					
24	Add lines 22 and 23	24					
25	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶	25					
26	Subtract line 25 from line 24. If zero or less, enter -0-			26			
27	Subtract line 26 from line 21. If zero or less, enter -0-			27			
28	Enter the **smaller** of the amount on line 21 or $2,450			28			
29	Enter the **smaller** of the amount on line 27 or line 28			29			
30	Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% ▶					30	
31	Enter the smaller of line 21 or line 26			31			
32	Subtract line 30 from line 26			32			
33	Enter the **smaller** of line 21 or $11,950			33			
34	Add lines 27 and 30			34			
35	Subtract line 34 from line 33. If zero or less, enter -0-			35			
36	Enter the **smaller** of line 32 or line 35			36			
37	Multiply line 36 by 15% ▶					37	
38	Enter the amount from line 31			38			
39	Add lines 30 and 36			39			
40	Subtract line 39 from line 38. If zero or less, enter -0-			40			
41	Multiply line 40 by 20% ▶					41	
42	Figure the tax on the amount on line 27. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			42			
43	Add lines 37, 41, and 42			43			
44	Figure the tax on the amount on line 21 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			44			
45	**Tax on all taxable income.** Enter the **smaller** of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶					45	

Form **8949** Department of the Treasury Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No 12A

Name(s) shown on return	Social security number or taxpayer identification number
ERION FOUNDATION	84-1358074

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

[X] (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

[ ] (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

[ ] (C) Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
FIRST WESTERN - SEE ATTACHMENT	VAR	VAR	41,943.	34,828.			7,115.
BNY SHORT TERM - SEE ATTACHED	VAR	VAR	2,362,458.	2,325,857.			36,601.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶			2,404,401.	2360685.			43,716.

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)	Social security number or taxpayer identification number
·ERION FOUNDATION	84-1358074

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part II **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

[X] **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

[ ] **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

[ ] **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
FIRST WESTERN - SEE ATTACHMENT	VAR	VAR	433,737.	290,462.			143,275.
BNY LONG TERM - SEE ATTACHED	VAR	VAR	3,841,305.	3,379,164.			462,141.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶			4,275,042.	3669626.			605,416.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

First Western Trust Bank
REALIZED GAINS AND LOSSES
Erion Foundation EIN 84-1358074
From 01-01-2013 Through 12-31-2013

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain or Loss Short Term	Gain or Loss Long Term
Supervised Assets							
2/19/2009	1/3/2013	70	ABBVIE INC COM	1,986.66	2,410.74		424.08
4/13/2009	1/3/2013	335	ABBVIE INC COM	7,821 38	11,537 11		3,715.73
3/13/2012	2/15/2013	2	AUTOMATIC DATA PROCESSING INC COM	109.83	122.33	12.50	
11/19/2010	2/15/2013	2283.951	HEARTLAND VALUE PLUS FUND IS #120	62,785 82	73,748.78		10,962.96
5/24/2012	2/15/2013	300	ISHARES CORE S&P MID-CAP ETF	27,876.96	33,389 25	5,512.29	
7/21/2009	2/15/2013	8	TEXAS INSTRUMENTS INC COM	181 44	267.83		86.39
10/28/2008	2/15/2013	66	THERMO FISHER CORP COM	2,261 31	4,903.03		2,641.72
12/31/2008	2/15/2013	1	THERMO FISHER CORP COM	34.02	74.29		40.27
6/3/2011	2/15/2013	18	ACCENTURE PLC CLASS A ORDINARY SHARES	1,007 92	1,331.61		323.69
2/19/2009	2/15/2013	20	ABBOTT LABORATORIES COM	523 43	699.38		175.95
2/19/2009	2/15/2013	30	ABBOTT LABORATORIES COM	785.15	1,049.08		263.93
2/17/2010	2/15/2013	54	AMERICAN TOWER CORPORATION	2,367 90	4,054.23		1,686 33
4/27/2012	2/15/2013	32	ANHEUSER BUSCH INBEV SPONSORED ADR	2,327.07	2,971.13	644 06	
2/17/2010	2/15/2013	32	BAXTER INTERNATIONAL INC COM	1,850 33	2,177.23		326 90
2/17/2010	2/15/2013	17	BLACKROCK INC COM	3,618.28	4,128 87		510.59
2/17/2010	2/15/2013	31	CELGENE CORP COM	1,835 59	3,056.84		1,221.25
2/17/2010	2/15/2013	1	CHEVRON CORPORATION	72 79	114.74		41.95
2/17/2010	2/15/2013	33	DANAHER CORP COM	1,239.25	2,033.08		793 83
2/17/2010	2/15/2013	19	ECOLAB INC COM	805 79	1,427.82		622 03
2/17/2010	2/15/2013	113	GILEAD SCIENCES INC COM	2,761 35	4,684.39		1,923.04
3/8/2010	2/15/2013	92	GILEAD SCIENCES INC COM	2,160.16	3,813 84		1,653 68
2/17/2010	2/15/2013	33	HONEYWELL INTERNATIONAL INC COM	1,293.93	2,310.61		1,016 68
2/17/2010	2/15/2013	8	INTERNATIONAL BUSINESS MACHS CORP COM	1,011 52	1,604 68		593.16
4/8/2010	2/15/2013	8	MONSANTO CO NEW COM	550 10	825.58		275.48
2/17/2010	2/15/2013	51	ORACLE CORP COM	1,231.14	1,772.21		541.07
2/17/2010	2/15/2013	31	QUALCOMM INC COM	1,226.11	2,021.46		795.35
9/17/2009	2/15/2013	24	SCHLUMBERGER LTD COM	1,472.70	1,918.04		445.34

First Western Trust Bank
REALIZED GAINS AND LOSSES
Erion Foundation EIN 84-1358074
From 01-01-2013 Through 12-31-2013

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain or Loss Short Term	Gain or Loss Long Term
2/17/2010	2/15/2013	56	STATE STREET CORP COM	2,513.84	3,202.57		688.73
2/22/2011	2/15/2013	7	UNITED PARCEL SERVICES CL B	521 68	583.51		61.83
1/9/2012	2/15/2013	6	VISA INC COM CL A	599 30	946.66		347 36
12/31/2008	2/15/2013	238	WILLIAMS COS INC COM	2,783.47	8,335 42		5,551.95
2/17/2010	4/12/2013	113	GILEAD SCIENCES INC COM	2,761.35	5,830.78		3,069.43
2/17/2010	4/12/2013	92	GILEAD SCIENCES INC COM	2,248 18	4,747 19		2,499.01
3/8/2010	4/12/2013	48	GILEAD SCIENCES INC COM	1,127.04	2,476 79		1,349.75
4/16/2010	4/12/2013	8	GILEAD SCIENCES INC COM	182 79	412 80		230 01
2/17/2010	6/18/2013	100	KOHLS CORP COM	5,129 04	5,224 93		95.89
2/17/2010	8/5/2013	107	CELGENE CORP COM	6,335 76	15,683 63		9,347.87
2/17/2010	10/2/2013	16	AMERICAN TOWER CORPORATION	701.60	1,181 58		479 98
4/27/2012	10/2/2013	17	ANHEUSER BUSCH INBEV SPONSORED ADR	1,236.26	1,664 10		427 84
3/13/2012	10/2/2013	29	AUTOMATIC DATA PROCESSING INC COM	1,592.53	2,083 90		491 37
2/17/2010	10/2/2013	7	BLACKROCK INC COM	1,489.88	1,889.76		399.88
2/17/2010	10/2/2013	19	CELGENE CORP COM	1,125.04	2,951.02		1,825.98
3/8/2010	10/2/2013	10	CELGENE CORP COM	615.70	1,553 17		937.47
2/17/2010	10/2/2013	6	CHEVRON CORPORATION	436.75	724.66		287.91
11/18/2008	10/2/2013	54	CISCO SYS INC COM	855 20	1,253.86		398.66
2/17/2010	10/2/2013	41	DANAHER CORP COM	1,539.68	2,822 39		1,282 71
2/17/2010	10/2/2013	100	ECOLAB INC COM	4,241 00	9,911.82		5,670.82
4/16/2010	10/2/2013	118	GILEAD SCIENCES INC COM	2,696.10	7,340.65		4,644.55
11/19/2010	10/2/2013	594.407	HEARTLAND VALUE PLUS FUND IS #120	16,340 25	21,315.44		4,975.19
12/29/2010	10/2/2013	16.399	HEARTLAND VALUE PLUS FUND IS #120	496 39	588.07		91.68
12/31/2010	10/2/2013	14 47	HEARTLAND VALUE PLUS FUND IS #120	431 20	518 89		87 69
12/29/2011	10/2/2013	31.852	HEARTLAND VALUE PLUS FUND IS #120	889.62	1,142.21		252 59
12/29/2011	10/2/2013	35 772	HEARTLAND VALUE PLUS FUND IS #120	999.11	1,282.78		283.67
12/27/2012	10/2/2013	45 935	HEARTLAND VALUE PLUS FUND IS #120	1,346.82	1,647.23	300.41	
12/27/2012	10/2/2013	61 689	HEARTLAND VALUE PLUS FUND IS #120	1,808 73	2,212 17	403.44	
12/27/2012	10/2/2013	21.183	HEARTLAND VALUE PLUS FUND IS #120	621 09	759.63	138.54	

First Western Trust Bank
REALIZED GAINS AND LOSSES
Erion Foundation EIN 84-1358074
From 01-01-2013 Through 12-31-2013

Open Date	Close Date	Quantity	Security	Cost Basis	Proceeds	Gain or Loss Short Term	Gain or Loss Long Term
2/17/2010	10/2/2013	50	HONEYWELL INTERNATIONAL INC COM	1,960.50	4,122.92		2,162.42
9/6/2011	10/2/2013	11	INTUIT COM	520 23	728.95		208.72
5/24/2012	10/2/2013	245	ISHARES CORE S&P MID-CAP ETF	22,766 18	30,698 96		7,932.78
5/24/2012	10/2/2013	1020	ISHARES RUSSELL 2000 ETF	77,438.00	109,449.80		32,011.80
2/17/2010	10/2/2013	12	KOHLS CORP COM	615.48	628 06		12.58
2/17/2010	10/2/2013	18	LAUDER ESTEE COS INC CL A	530 56	1,261.05		730 49
4/8/2010	10/2/2013	14	MONSANTO CO NEW COM	962.68	1,450 37		487.69
2/17/2010	10/2/2013	63	NIKE INC CL B	2,035.53	4,534.03		2,498.50
4/14/2009	10/2/2013	9	PEPSICO INC COM	456.18	713.77		257.59
11/2/2012	10/2/2013	11	PETSMART INC COM	737 99	841 37	103 38	
2/17/2010	10/2/2013	12	QUALCOMM INC COM	474 62	809.26		334.64
1/10/2011	10/2/2013	38	ROPER INDS INC NEW COM	2,853 84	5,002 61		2,148.77
9/17/2009	10/2/2013	19	SCHLUMBERGER LTD COM	1,165.89	1,704 08		538.19
4/16/2010	10/2/2013	112	SCHWAB CHARLES CORP NEW COM	2,127.62	2,371.56		243.94
2/17/2010	10/2/2013	54	STATE STREET CORP COM	2,424.06	3,541 79		1,117.73
1/15/2010	10/2/2013	12	STRYKER CORP COM	481.35	810 34		328 99
7/21/2009	10/2/2013	108	TEXAS INSTRUMENTS INC COM	2,449.39	4,335.04		1,885.65
12/31/2008	10/2/2013	121	THERMO FISHER CORP COM	4,116 46	11,141.48		7,025.02
2/22/2011	10/2/2013	24	UNITED PARCEL SERVICES CL B	1,788.60	2,181.80		393.20
1/9/2012	10/2/2013	29	VISA INC COM CL A	2,896 61	5,549.34		2,652.73
12/31/2008	10/2/2013	139	WILLIAMS COS INC COM	1,625.64	5,067.85		3,442 21
TOTAL GAINS						7,114.62	143,274.84
TOTAL LOSSES						-	-
				325,290.76	475,680 22	7,114 62	143,274 84

TOTAL REALIZED GAIN/LOSS $ 150,389 46

Income for 2013

This section reports your proceeds from sales during the year. We are not required nor do we report your net gains and losses to the Internal Revenue Service. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital. A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which we do not have cost basis information, it is either shown separately in the section entitled Cost Not Available or it may appear with a zero tax cost. Please review these carefully before preparing your tax return.

Additionally, to provide you with complete information for your tax returns, we have shown IRC Section 988 translation gains and losses separately within the sales sections. Please note these gains or losses are not entitled to capital gains treatment. Rather, under IRC Section 988, they are either ordinary income or loss.

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
RALCORP HLDGS INC NEW	RAH	751028101	30	03/27/12	01/29/13	$2,700 00	$2,205 05	$494.95
RALCORP HLDGS INC NEW	RAH	751028101	20	03/28/12	01/29/13	$1,800.00	$1,471.98	$328.02
RALCORP HLDGS INC NEW	RAH	751028101	10	04/02/12	01/29/13	$900.00	$738.03	$161.97
RALCORP HLDGS INC NEW	RAH	751028101	10	03/30/12	01/29/13	$900 00	$739.80	$160.20
RALCORP HLDGS INC NEW	RAH	751028101	20	03/29/12	01/29/13	$1,800 00	$1,472.27	$327.73
SANOFI-SYNTHELABO	SNY	80105N105	72	07/25/12	02/26/13	$3,334 82	$2,707.82	$627.00
SANOFI-SYNTHELABO	SNY	80105N105	8	07/20/12	02/26/13	$370 54	$304.83	$65.71
SANOFI-SYNTHELABO	SNY	80105N105	181	07/25/12	02/27/13	$8,392 75	$6,807.16	$1,585.59
SANOFI-SYNTHELABO	SNY	80105N105	112	07/23/12	02/27/13	$5,193.30	$4,188.76	$1,004.54
SANOFI-SYNTHELABO	SNY	80105N105	17	07/25/12	02/27/13	$788.27	$637.60	$150 67
SKYWORKS SOLUTIONS INC	SWKS	83088M102	180	12/05/12	03/15/13	$3,911.22	$4,214.90	$-303 68
SKYWORKS SOLUTIONS INC	SWKS	83088M102	170	12/05/12	03/18/13	$3,667.40	$3,980.74	$-313.34
BNY MELLON SMALL CAP STK FD CL	MPSSX	05569M806	2266.78	08/30/12	03/20/13	$30,442.90	$26,000.00	$4,442.90
BNY MELLON SMALL CAP STK FD CL	MPSSX	05569M806	35.61	12/05/12	03/20/13	$478.24	$409.16	$69.08

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
BNY MELLON SMALL CAP STK FD CL	MPSSX	05569M806	13.89	12/31/12	03/20/13	$186.52	$165.54	$20.98
DREYFUS PRE STRAT INTER ST-R	DISRX	86271F768	91.14	12/31/12	03/20/13	$1,371.59	$1,321 47	$50.12
BNY MELLON INTL EQUITY INCOME	MLIMX	05569M384	78 8	08/30/12	03/20/13	$1,109 50	$1,006 26	$103.24
BNY MELLON INTL FD CL M SHSS	MPITX	05569M871	57.36	12/18/12	03/20/13	$609 76	$573 05	$36.71
BNY MELLON CORP BOND-M	BYMMX	05569M368	67.76	08/31/12	03/20/13	$881.57	$874.80	$6.77
BNY MELLON CORP BOND-M	BYMMX	05569M368	69.52	09/28/12	03/20/13	$904.48	$905.18	$-0 70
BNY MELLON CORP BOND-M	BYMMX	05569M368	69 22	10/31/12	03/20/13	$900.49	$907 41	$-6.92
BNY MELLON CORP BOND-M	BYMMX	05569M368	69.38	11/30/12	03/20/13	$902 69	$909.62	$-6.93
BNY MELLON CORP BOND-M	BYMMX	05569M368	188.28	12/17/12	03/20/13	$2,449 56	$2,442.03	$7.53
BNY MELLON CORP BOND-M	BYMMX	05569M368	66.5	01/31/13	03/20/13	$865 15	$860.50	$4.65
BNY MELLON CORP BOND-M	BYMMX	05569M368	61.88	02/28/13	03/20/13	$804.99	$805.00	$-0.01
BNY MELLON CORP BOND-M	BYMMX	05569M368	4488.55	05/09/12	03/20/13	$58,396.08	$56,510.88	$1,885.20
DREYFUS INFLATION ADJUSTED SEC	DIASX	261967830	36.72	08/31/12	03/20/13	$503 06	$527.67	$-24 61
DREYFUS INFLATION ADJUSTED SEC	DIASX	261967830	22.92	09/28/12	03/20/13	$313 96	$330.69	$-16.73
DREYFUS INFLATION ADJUSTED SEC	DIASX	261967830	102 4	10/31/12	03/20/13	$1,402.89	$1,481.74	$-78.85
DREYFUS INFLATION ADJUSTED SEC	DIASX	261967830	104.84	11/30/12	03/20/13	$1,436.31	$1,518.09	$-81.78
DREYFUS INFLATION ADJUSTED SEC	DIASX	261967830	903.27	12/20/12	03/20/13	$12,374 84	$12,519.36	$-144.52
DREYFUS INFLATION ADJUSTED SEC	DIASX	261967830	30 44	12/31/12	03/20/13	$417.06	$421.32	$-4.26
DREYFUS INFLATION ADJUSTED SEC	DIASX	261967830	11.54	01/31/13	03/20/13	$158.14	$158.49	$-0.35
DREYFUS INFLATION ADJUSTED SEC	DIASX	261967830	6.4	02/28/13	03/20/13	$87 64	$87.89	$-0.25
BNY MELLON INCOME STK FD CL M	MPISX	05569M301	400.73	03/30/12	03/20/13	$3,201.86	$2,825.17	$376.69
BNY MELLON INCOME STK FD CL M	MPISX	05569M301	33.7	04/30/12	03/20/13	$269.26	$236.23	$33.03
BNY MELLON INCOME STK FD CL M	MPISX	05569M301	523 91	05/31/12	03/20/13	$4,186.02	$3,426 35	$759.67
BNY MELLON INCOME STK FD CL M	MPISX	05569M301	610 82	06/29/12	03/20/13	$4,880.46	$4,153.58	$726.88
BNY MELLON INCOME STK FD CL M	MPISX	05569M301	69 25	07/31/12	03/20/13	$553.32	$477.14	$76.18
BNY MELLON INCOME STK FD CL M	MPISX	05569M301	7163.32	08/30/12	03/20/13	$57,234.96	$50,000.00	$7,234.96
BNY MELLON INCOME STK FD CL M	MPISX	05569M301	361.99	08/31/12	03/20/13	$2,892.33	$2,530.34	$361.99
BNY MELLON INCOME STK FD CL M	MPISX	05569M301	582.34	09/28/12	03/20/13	$4,652.88	$4,187.01	$465 87

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
BNY MELLON INCOME STK FD CL M	MPISX	05569M301	35.6	10/31/12	03/20/13	$284.47	$254.92	$29.55
BNY MELLON INCOME STK FD CL M	MPISX	05569M301	674.77	11/30/12	03/20/13	$5,391.44	$4,844.88	$546.56.
BNY MELLON INCOME STK FD CL M	MPISX	05569M301	973.68	12/12/12	03/20/13	$7,779.71	$7,049.45	$730.26
BNY MELLON INCOME STK FD CL M	MPISX	05569M301	117.71	01/31/13	03/20/13	$940.50	$904.01	$36.49
BNY MELLON INCOME STK FD CL M	MPISX	05569M301	285 76	02/28/13	03/20/13	$2,283 20	$2,197.47	$85.73
BNY MELLON SMALL CAP STK FD CL	MPSSX	05569M806	233.13	05/04/12	03/20/13	$3,130.92	$2,660.00	$470.92
BNY MELLON SMALL CAP STK FD CL	MPSSX	05569M806	20.21	05/11/12	03/20/13	$271 38	$227.73	$43 65
VISA INC	V	92826C839	19	03/28/13	04/15/13	$3,083.67	$3,221.18	$-137 51
LYONDELLBASELL INDU-CL A-W/I	LYB	N53745100	40	04/27/12	04/15/13	$2,236.03	$1,841.38	$394.65
AMERICAN TOWER CORP-CL A	AMT	03027X100	10	07/09/12	04/15/13	$800.30	$713.93	$86.37
WAL MART STORES INC	WMT	931142103	80	04/15/13	04/15/13	$6,288.03	$6,310.03	$-22.00
TYCO INTERNATIONAL LTD	TYC	H89128104	60	12/26/12	04/15/13	$1,882.89	$1,744.75	$138.14
TYCO INTERNATIONAL LTD	TYC	H89128104	20	12/27/12	04/15/13	$627.63	$577.61	$50 02
AMERICAN INTERNATIONAL GROUP	AIG	026874784	80	09/12/12	04/15/13	$3,108 90	$2,704.11	$404.79
DISNEY (WALT) COMPANY HOLDING	DIS	254687106	100	06/08/12	04/15/13	$5,954 07	$4,592.94	$1,361.13
PHILIP MORRIS INTERNATIONAL	PM	718172109	100	02/25/13	04/15/13	$9,462.00	$9,291.00	$171.00
ELI LILLY & CO COM	LLY	532457108	100	01/31/13	04/15/13	$5,692.08	$5,403.05	$289 03
JOHNSON & JOHNSON COM	JNJ	478160104	90	03/15/13	04/15/13	$7,405 23	$7,113.26	$291.97
MOODYS CORP	MCO	615369105	90	12/05/12	04/15/13	$4,837 58	$4,453.05	$384.53
EMC CORP(MASS) USD 0.01	EMC	268648102	100	01/30/13	04/15/13	$2,288 16	$2,476 97	$-188.81
CISCO SYS INC	CSCO	17275R102	220	01/17/13	04/15/13	$4,642.35	$4,624.91	$17.44
CBRE GROUP INC	CBG	12504L109	60	12/10/12	04/15/13	$1,459 89	$1,153 54	$306 35
CBRE GROUP INC	CBG	12504L109	49	12/11/12	04/15/13	$1,192.24	$963 27	$228.97
CBRE GROUP INC	CBG	12504L109	31	12/07/12	04/15/13	$754.28	$589.07	$165 21
JUNIPER NETWORKS INC	JNPR	48203R104	90	02/01/13	04/15/13	$1,634.55	$2,014.34	$-379.79
AT&T INC	T	00206R102	130	03/05/13	04/15/13	$4,968.37	$4,770 30	$198 07
BEST BUY INC COM	BBY	086516101	110	03/18/13	04/15/13	$2,520.27	$2,436.50	$83.77
PFIZER INC COM	PFE	717081103	380	07/18/12	04/15/13	$11,632.34	$8,996.80	$2,635.54

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
LYONDELLBASELL INDU-CL A-W/I	LYB	N53745100	60	04/27/12	04/15/13	$3,346 33	$2,762.08		$584 25
DTE ENERGY CO	DTE	233331107	20	04/15/13	04/15/13	$1,414 81	$1,412.46		$2.35
AMPHENOL CORP NEW	APH	032095101	20	03/06/13	04/15/13	$1,437.61	$1,455.59		$-17.98
TERADATA CORP DEL	TDC	88076W103	40	01/31/13	04/15/13	$2,170.83	$2,675.89		$-505.06
FLUOR CORP NEW	FLR	343412102	40	10/16/12	04/15/13	$2,204 83	$2,309 33		$-104.50
COGNIZANT TECHNOLOGY SOLUTIO	CTSH	192446102	30	01/17/13	04/15/13	$2,213.42	$2,356.99		$-143.57
COGNIZANT TECHNOLOGY SOLUTIO	CTSH	192446102	20	01/18/13	04/15/13	$1,475.61	$1,586.07		$-110.46
FACEBOOK INC	FB	30303M102	50	01/31/13	04/15/13	$1,323.58	$1,568 34		$-244.76
ADT CORP/THE	ADT	00101J106	50	12/27/12	04/15/13	$2,213.06	$2,286.51	*	$-73.45
SANOFI-SYNTHELABO	SNY	80105N105	40	07/24/12	04/15/13	$2,147.24	$1,479 92		$667.32
BEAM INC	BEAM	073730103	15	12/11/12	04/15/13	$914.56	$928.29		$-13.73
BEAM INC	BEAM	073730103	40	02/22/13	04/15/13	$2,438.83	$2,471.54		$-32 71
BEAM INC	BEAM	073730103	15	02/25/13	04/15/13	$914.56	$922 48		$-7.92
EATON CORP PLC	ETN	G29183103	80	11/30/12	04/15/13	$4,603 27	$4,125.10	*	$478.17
TEXAS INSTRUMENTS INC	TXN	882508104	60	03/05/13	04/15/13	$2,123.48	$2,097.04		$26.44
NIKE INC CL B	NKE	654106103	60	02/25/13	04/15/13	$3,600.05	$3,279.93		$320.12
INFORMATICA CORP	INFA	45666Q102	60	01/31/13	04/15/13	$1,954.29	$2,213.51		$-259.22
CAPITAL ONE FINL CORP COM	COF	14040H105	40	05/03/12	04/15/13	$2,137.64	$2,238.38		$-100.74
CONAGRA FOODS INC	CAG	205887102	50	12/06/12	04/15/13	$1,749.57	$1,483.34		$266.23
SCHLUMBERGER LIMITED COM	SLB	806857108	50	02/14/13	04/15/13	$3,597.03	$4,084.02		$-486.99
SANOFI-SYNTHELABO	SNY	80105N105	10	07/23/12	04/15/13	$536.81	$374.00		$162.81
CBRE GROUP INC	CBG	12504L109	10	12/05/12	04/17/13	$236.59	$187.22		$49.37
CBRE GROUP INC	CBG	12504L109	28	12/07/12	04/17/13	$662 45	$532 07		$130.38
CBRE GROUP INC	CBG	12504L109	12	12/07/12	04/17/13	$283 91	$225.48		$58.43
CBRE GROUP INC	CBG	12504L109	60	12/06/12	04/17/13	$1,419.53	$1,125.91		$293.62
EATON CORP PLC	ETN	G29183103	46	11/30/12	04/18/13	$2,562.72	$2,371.93	*	$190.79
CBRE GROUP INC	CBG	12504L109	40	12/05/12	04/18/13	$942.02	$748.89		$193.13
CBRE GROUP INC	CBG	12504L109	20	12/05/12	04/19/13	$470 27	$374.44		$95.83

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
EATON CORP PLC	ETN	G29183103	64	11/30/12	04/19/13	$3,595.72	$3,300.07	*	$295.65
ADT CORP/THE	ADT	00101J106	20	12/26/12	07/18/13	$855.23	$896.98	*	$-41.75
ADT CORP/THE	ADT	00101J106	40	12/27/12	07/18/13	$1,710 46	$1,824.20	*	$-113.74
BEAM INC	BEAM	073730103	20	12/05/12	07/18/13	$1,308.62	$1,145.37		$163.25
BEAM INC	BEAM	073730103	39	12/06/12	07/18/13	$2,551.81	$2,287.16		$264 65
BEAM INC	BEAM	073730103	34	12/06/12	07/18/13	$2,224.65	$1,997 64		$227.01
BEAM INC	BEAM	073730103	5	12/06/12	07/18/13	$327.15	$289.66		$37.49
BEAM INC	BEAM	073730103	30	12/07/12	07/18/13	$1,962.93	$1,782.47		$180.46
BEAM INC	BEAM	073730103	19	12/07/12	07/18/13	$1,243.19	$1,129.86		$113.33
BEAM INC	BEAM	073730103	7	12/10/12	07/18/13	$458 02	$416.70		$41.32
BEAM INC	BEAM	073730103	31	12/10/12	07/18/13	$2,028.36	$1,853.34		$175 02
BEAM INC	BEAM	073730103	45	02/25/13	07/18/13	$2,944.39	$2,767 45		$176.94
HCA HOLDINGS INC	HCA	40412C101	110	04/29/13	07/18/13	$4,267.07	$4,472 38		$-205.31
HCA HOLDINGS INC	HCA	40412C101	9	05/01/13	07/18/13	$349.12	$357.02		$-7.90
HCA HOLDINGS INC	HCA	40412C101	41	05/02/13	07/18/13	$1,590.45	$1,658.63		$-68.18
NIKE INC CL B	NKE	654106103	10	02/22/13	07/18/13	$633.66	$544 09		$89.57
NIKE INC CL B	NKE	654106103	110	02/25/13	07/18/13	$6,970.25	$6,013.21		$957.04
NIKE INC CL B	NKE	654106103	40	02/26/13	07/18/13	$2,534.64	$2,172.63		$362.01
WAL MART STORES INC	WMT	931142103	10	04/15/13	07/18/13	$771 61	$788.75		$-17.14
WAL MART STORES INC	WMT	931142103	50	02/27/13	07/18/13	$3,858.04	$3,570.53		$287.51
BEST BUY INC COM	BBY	086516101	106	03/18/13	07/18/13	$3,017.99	$2,347.90		$670 09
BEST BUY INC COM	BBY	086516101	244	03/19/13	07/18/13	$6,947.07	$5,387.28		$1,559.79
CHUBB CORPORATION COM	CB	171232101	6	04/18/13	07/18/13	$531.90	$521.26		$10.64
CHUBB CORPORATION COM	CB	171232101	10	04/18/13	07/18/13	$886 51	$869 94		$16 57
CHUBB CORPORATION COM	CB	171232101	24	04/19/13	07/18/13	$2,127.61	$2,107.24		$20.37
DTE ENERGY CO	DTE	233331107	50	04/16/13	07/18/13	$3,501.04	$3,551 60		$-50.56
DTE ENERGY CO	DTE	233331107	20	04/17/13	07/18/13	$1,400 42	$1,431.59		$-31.17
DTE ENERGY CO	DTE	233331107	20	04/18/13	07/18/13	$1,400.42	$1,440.13		$-39 71

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
DTE ENERGY CO	DTE	233331107	20	04/15/13	07/18/13	$1,400.42	$1,412.46		$-12.04
INFORMATICA CORP	INFA	45666Q102	20	01/31/13	07/18/13	$780.83	$737.84		$42.99
TYCO INTERNATIONAL LTD	TYC	H89128104	60	12/27/12	07/18/13	$2,109.69	$1,732.83		$376.86
TEXAS INSTRUMENTS INC	TXN	882508104	200	03/05/13	07/18/13	$7,576.29	$6,990.12		$586 17
MOODYS CORP	MCO	615369105	12	12/04/12	07/18/13	$738.97	$588.86		$150.11
MOODYS CORP	MCO	615369105	18	12/05/12	07/18/13	$1,108.46	$890.61		$217.85
CONAGRA FOODS INC	CAG	205887102	180	11/27/12	07/18/13	$6,658.46	$5,336.23		$1,322 23
CONAGRA FOODS INC	CAG	205887102	40	12/06/12	07/18/13	$1,479.66	$1,186.68		$292 98
CVS CORP	CVS	126650100	10	04/19/13	07/18/13	$607.71	$576.40		$31.31
CVS CORP	CVS	126650100	10	04/17/13	07/18/13	$607 71	$571 74		$35.97
AMPHENOL CORP NEW	APH	032095101	7	03/05/13	07/18/13	$536.49	$507.29		$29.20
AMPHENOL CORP NEW	APH	032095101	14	03/06/13	07/18/13	$1,072.97	$1,018.91		$54.06
AMPHENOL CORP NEW	APH	032095101	49	03/07/13	07/18/13	$3,755.40	$3,555.71		$199 69
EXPRESS SCRIPTS HLDG CO	ESRX	30219G108	70	04/29/13	07/18/13	$4,669.76	$4,099.40		$570.36
EXPRESS SCRIPTS HLDG CO	ESRX	30219G108	15	05/01/13	07/18/13	$1,000.66	$894.43		$106.23
EXPRESS SCRIPTS HLDG CO	ESRX	30219G108	85	05/02/13	07/18/13	$5,670.43	$5,144 53		$525.90
FACEBOOK INC	FB	30303M102	200	01/31/13	07/18/13	$5,268.32	$6,273.36		$-1,005.04
EATON CORP PLC	ETN	G29183103	129.7	11/30/12	07/18/13	$8,708.04	$6,664.56	*	$2,043.48
EATON CORP PLC	ETN	G29183103	3.3	11/30/12	07/18/13	$221.70	$169.67	*	$52.03
PEPSICO INC	PEP	713448108	11	04/19/13	07/18/13	$940 07	$909.03		$31.04
PEPSICO INC	PEP	713448108	39	04/22/13	07/18/13	$3,332.96	$3,234.24		$98.72
PEPSICO INC	PEP	713448108	80	04/23/13	07/18/13	$6,836.84	$6,714.30		$122.54
PFIZER INC COM	PFE	717081103	100	07/18/12	07/18/13	$2,862.16	$2,367.58		$494.58
SCHLUMBERGER LIMITED COM	SLB	806857108	120	02/14/13	07/18/13	$9,337.29	$9,801.65		$-464.36
SCHLUMBERGER LIMITED COM	SLB	806857108	50	02/15/13	07/18/13	$3,890.54	$4,008.52		$-117.98
SANOFI-SYNTHELABO	SNY	80105N105	113	07/24/12	07/18/13	$5,922.47	$4,180.77		$1,741.70
SANOFI-SYNTHELABO	SNY	80105N105	57	07/24/12	07/18/13	$2,987.44	$2,104.66		$882.78
VISA INC	V	92826C839	56	03/27/13	07/18/13	$10,648 89	$9,443 96		$1,204.93

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
VISA INC	V	92826C839	6	03/28/13	07/18/13	$1,140.95	$1,017.22	$123.73
PHILIP MORRIS INTERNATIONAL	PM	718172109	60	02/25/13	07/18/13	$5,287.86	$5,574.60	$-286 74
PHILIP MORRIS INTERNATIONAL	PM	718172109	30	02/26/13	07/18/13	$2,643.93	$2,750.89	$-106 96
AMERICAN INTERNATIONAL GROUP	AIG	026874784	270	09/12/12	07/18/13	$12,682.25	$9,126.35	$3,555.90
ELI LILLY & CO COM	LLY	532457108	140	12/21/12	07/18/13	$7,068.77	$6,941.09	$127.68
JOHNSON & JOHNSON COM	JNJ	478160104	40	03/15/13	07/18/13	$3,633.62	$3,161.45	$472.17
JOHNSON & JOHNSON COM	JNJ	478160104	30	03/18/13	07/18/13	$2,725.22	$2,367.81	$357 41
JUNIPER NETWORKS INC	JNPR	48203R104	270	01/31/13	07/18/13	$5,627.27	$6,042.28	$-415.01
JUNIPER NETWORKS INC	JNPR	48203R104	10	02/01/13	07/18/13	$208.42	$223.81	$-15.39
EMC CORP(MASS) USD 0.01	EMC	268648102	90	01/30/13	07/18/13	$2,290.65	$2,229.27	$61.38
CISCO SYS INC	CSCO	17275R102	500	01/17/13	07/18/13	$12,975.83	$10,511.15	$2,464 68
CISCO SYS INC	CSCO	17275R102	160	01/30/13	07/18/13	$4,152.26	$3,288.79	$863.47
COGNIZANT TECHNOLOGY SOLUTIO	CTSH	192446102	20	01/17/13	07/18/13	$1,449.61	$1,571 32	$-121.71
BNY MELLON EMERGING MKTS FD C	MEMKX	05569M855	4754.64	08/30/12	08/02/13	$43,885.28	$43,600 00	$285.28
BNY MELLON EMERGING MKTS FD C	MEMKX	05569M855	603.46	12/19/12	08/02/13	$5,569.96	$6,107 05	$-537.09
HIGHBRIDGE DYNAMIC COMM STRA	HDCSX	48121A670	1058.12	03/20/13	08/09/13	$12,633.95	$14,570.31	$-1,936.36
HIGHBRIDGE DYNAMIC COMM STRA	HDCSX	48121A670	1363.93	09/14/12	08/09/13	$16,285.35	$23,105.00	$-6,819 65
EDWARDS LIFESCIENCES CORP	EW	28176E108	30	07/18/13	08/27/13	$2,152.01	$2,025.69	$126.32
DTE ENERGY CO	DTE	233331107	30	04/15/13	08/27/13	$2,014.48	$2,118.68	$-104.20
CISCO SYS INC	CSCO	17275R102	70	01/30/13	08/27/13	$1,650.89	$1,438.84	$212 05
CF INDS HLDGS INC	CF	125269100	43	07/18/13	08/27/13	$8,396.05	$7,905.03	$491.02
CAMERON INTL CORP	CAM	13342B105	30	07/18/13	08/27/13	$1,684.73	$1,924 44	$-239.71
FLUOR CORP NEW	FLR	343412102	26	10/16/12	08/27/13	$1,700.70	$1,501.07	$199.63
FLUOR CORP NEW	FLR	343412102	44	10/15/12	08/27/13	$2,878 10	$2,503.98	$374.12
FIRST SOLAR INC	FSLR	336433107	40	07/18/13	08/27/13	$1,490.07	$1,977.52	$-487.45
INGREDION INC	INGR	457187102	30	07/18/13	08/27/13	$1,850.75	$1,896 24	$-45.49
MASTERCARD INC	MA	57636Q104	2	07/18/13	08/27/13	$1,216.86	$1,191 69	$25.17
METTLER-TOLEDO INTL INC	MTD	592688105	5	07/18/13	08/27/13	$1,127.64	$1,067.64	$60.00

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
RELIANCE STL & ALUM CO	RS	759509102	30	07/18/13	08/27/13	$2,056 93	$2,081 04	$-24.11
PRICE T ROWE GROUP INC	TROW	74144T108	10	07/18/13	08/27/13	$707 34	$787.18	$-79.84
EXXON MOBIL CORP	XOM	30231G102	20	07/18/13	08/27/13	$1,741.46	$1,883.16	$-141.70
BNY MELLON US CORE EQ 130/30FD	MUCMX	05569M582	26536 83	03/20/13	08/29/13	$367,800 52	$348,694.00	$19,106.52
WYNN RESORTS LTD	WYNN	983134107	6	07/18/13	09/27/13	$946 63	$796.55	$150.08
MYLAN LABS INC	MYL	628530107	10	07/18/13	09/27/13	$384.53	$322.48	$62.05
EMERSON ELECTRIC COMPANY	EMR	291011104	130	07/18/13	09/27/13	$8,444.10	$7,453.72	$990.38
AMEREN CORP	AEE	023608102	120	07/18/13	09/27/13	$4,203.12	$4,337.76	$-134.64
RESMED INC	RMD	761152107	50	07/18/13	09/27/13	$2,623 05	$2,264.21	$358 84
MONDELEZ INTERNATIONAL INC	MDLZ	609207105	60	07/18/13	09/27/13	$1,912.75	$1,835.27	$77.48
VERISIGN INC	VRSN	92343E102	130	07/18/13	09/27/13	$6,656.65	$5,942.52	$714.13
TENET HEALTHCARE CORP	THC	88033G407	60	07/18/13	09/27/13	$2,417.61	$2,652 47	$-234.86
TESORO PETROLEUM CORPORATIOI	TSO	881609101	50	07/18/13	09/27/13	$2,243 95	$2,690.90	$-446.95
ROCKWELL INTL CORP NEW	ROK	773903109	2	07/18/13	10/14/13	$216 17	$184.90	$31.27
ADVANTAGE GLOBAL ALPHA-R	AVGRX	007565302	28 81	03/20/13	10/14/13	$407.02	$387.14	$19 88
J P MORGAN CHASE & CO	JPM	46625H100	30	10/14/13	10/18/13	$1,616.90	$1,559 94	$56 96
MICROSOFT CORP COM	MSFT	594918104	80	07/18/13	10/18/13	$2,773 56	$2,853.43	$-79.87
FLUOR CORP NEW	FLR	343412102	10	10/14/13	10/18/13	$768.81	$723.18	$45.63
PINNACLE WEST CAP CORP COM	PNW	723484101	10	10/14/13	10/18/13	$570.67	$558.58	$12.09
VERISIGN INC	VRSN	92343E102	40	07/18/13	10/18/13	$2,102.46	$1,828.47	$273.99
COCA-COLA ENTERPRISES INC	CCE	19122T109	20	10/14/13	10/18/13	$836.44	$813.56	$22.88
COCA-COLA ENTERPRISES INC	CCE	19122T109	120	07/18/13	10/18/13	$5,018.64	$4,478.46	$540.18
A O SMITH CORP COM	AOS	831865209	50	07/18/13	10/18/13	$2,318.05	$1,956 90	$361.15
WYNN RESORTS LTD	WYNN	983134107	6	10/14/13	10/18/13	$1,025 50	$995.51	$29.99
APPLE COMPUTER INC COM	AAPL	037833100	2	10/14/13	10/18/13	$1,017 04	$988 00	$29.04
PINNACLE WEST CAP CORP COM	PNW	723484101	130	07/18/13	10/18/13	$7,418 77	$7,716.53	$-297.76
DREYFUS INFLATION ADJUSTED SEC	DIASX	261967830	26.2	07/31/13	11/06/13	$333.49	$335.32	$-1.83
DREYFUS INFLATION ADJUSTED SEC	DIASX	261967830	10 63	06/28/13	11/06/13	$135 33	$135.55	$-0.22

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
DREYFUS INFLATION ADJUSTED SEC	DIASX	261967830	21.93	05/31/13	11/06/13	$279.12	$290.08	$-10.96
DREYFUS INFLATION ADJUSTED SEC	DIASX	261967830	15.01	04/30/13	11/06/13	$191.13	$207.80	$-16.67
DREYFUS INFLATION ADJUSTED SEC	DIASX	261967830	30.43	08/30/13	11/06/13	$387.37	$383.42	$3.95
DREYFUS INFLATION ADJUSTED SEC	DIASX	261967830	18.48	10/31/13	11/06/13	$235.28	$236.76	$-1.48
DREYFUS INFLATION ADJUSTED SEC	DIASX	261967830	1162 73	10/14/13	11/06/13	$14,801.58	$14,801.58	$0.00
DREYFUS INFLATION ADJUSTED SEC	DIASX	261967830	10 85	09/30/13	11/06/13	$138.11	$138 43	$-0 32
DREYFUS INFLATION ADJUSTED SEC	DIASX	261967830	9.26	03/28/13	11/06/13	$117.91	$127.35	$-9.44
SUNTRUST BANKS INC	STI	867914103	250	07/18/13	11/19/13	$8,949.06	$8,455.38	$493.68
SUNTRUST BANKS INC	STI	867914103	20	10/14/13	11/19/13	$715.93	$664 76	$51.17
DILLARD'S INC CL A COM	DDS	254067101	90	07/18/13	11/19/13	$8,116.08	$7,446 41	$669.67
DILLARD'S INC CL A COM	DDS	254067101	10	10/14/13	11/19/13	$901 79	$764 68	$137 11
VALERO ENERGY CORP NEW	VLO	91913Y100	240	07/18/13	11/19/13	$10,321 53	$8,505.10	$1,816.43
L S I LOGIC CORP	LSI	502161102	110	10/14/13	11/19/13	$884 56	$843.44	$41.12
TENET HEALTHCARE CORP	THC	88033G407	50	07/18/13	11/19/13	$2,062.24	$2,210.40	$-148.16
PINNACLE WEST CAP CORP COM	PNW	723484101	10	10/14/13	11/19/13	$546.13	$558.58	$-12.45
MYLAN LABS INC	MYL	628530107	60	07/18/13	11/19/13	$2,519 86	$1,934.87	$584.99
KIMBERLY-CLARK CORP COM	KMB	494368103	20	07/18/13	11/19/13	$2,153.85	$1,995.76	$158.09
GENERAL ELECTRIC CO	GE	369604103	80	10/14/13	11/19/13	$2,174.64	$1,937.44	$237.20
VALERO ENERGY CORP NEW	VLO	91913Y100	20	10/14/13	11/19/13	$860.13	$720 56	$139.57
AMERISOURCEBERGEN CORP	ABC	03073E105	10	10/14/13	11/19/13	$690.52	$625.08	$65.44
AMERISOURCEBERGEN CORP	ABC	03073E105	30	08/27/13	11/19/13	$2,071 56	$1,701 10	$370.46
COCA-COLA ENTERPRISES INC	CCE	19122T109	90	07/18/13	11/19/13	$3,742 09	$3,358.85	$383.24
WAL MART STORES INC	WMT	931142103	50	02/27/13	12/12/13	$3,931.57	$3,570.52	$361.05
WAL MART STORES INC	WMT	931142103	20	10/14/13	12/12/13	$1,572.63	$1,486.16	$86.47
UGI CORP NEW COM	UGI	902681105	140	07/18/13	12/12/13	$5,558.38	$5,793.34	$-234.96
SEAGATE TECHNOLOGY	STX	G7945M107	140	07/18/13	12/12/13	$6,933.23	$6,571.30	$361 93
TYCO INTERNATIONAL LTD	TYC	H89128104	100	10/18/13	12/12/13	$3,777.86	$3,590.01	$187.85
GENERAL ELECTRIC CO	GE	369604103	20	10/14/13	12/12/13	$530.87	$484.36	$46 51

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
MASTERCARD INC	MA	57636Q104	2	10/14/13	12/12/13	$1,571.05	$1,358.88	$212.17
WYNN RESORTS LTD	WYNN	983134107	54	07/18/13	12/12/13	$9,730 40	$7,168.92	$2,561.48
VIRTUS EMERGING MKTS OPPOR-I	HIEMX	92828T889	6315.79	08/05/13	12/12/13	$60,000 00	$62,400.00	$-2,400.00
GENERAL ELECTRIC CO	GE	369604103	170	07/18/13	12/12/13	$4,512 40	$4,020.15	$492.25
MASTERCARD INC	MA	57636Q104	5	07/18/13	12/12/13	$3,927 62	$2,979.23	$948.39
DFA EMERG MKTS CORE EQUITY	DFCEX	233203421	100	10/14/13	12/20/13	$1,911.00	$2,000.00	$-89.00
DREYFUS SELECT MGRS S/C GROW	DSGIX	86271F578	100	12/04/13	12/20/13	$2,582.00	$2,533.01	$48.99
DREYFUS SELECT MANAGERS S/C V	DMVIX	86271F677	100	03/20/13	12/20/13	$2,667.00	$2,178.00	$489.00
DREYFUS GROWTH & VALUE FDS IN	DSCVX	26200C403	100	12/11/13	12/20/13	$3,518.00	$3,360.00	$158.00
BNY MELLON MID CAP STK FD CL M	MPMCX	05569M509	200	12/16/13	12/20/13	$2,854.00	$2,800.00	$54.00
BNY MELLON FOCUSED EQUITY OPF	MFOMX	05569M475	400	12/11/13	12/20/13	$6,400 00	$6,260.00	$140.00
DREYFUS U S EQUITY FUND-I	DPUIX	86271F727	300	04/18/13	12/20/13	$5,928.00	$4,971.00	$957.00
BNY MELLON INCOME STK FD CL M	MPISX	05569M301	9 34	10/31/13	12/20/13	$84 17	$84.45	$-0.28
BNY MELLON INCOME STK FD CL M	MPISX	05569M301	40 37	11/29/13	12/20/13	$363.72	$371.79	$-8.07
VIRTUS EMERGING MKTS OPPOR-I	HIEMX	92828T889	400	08/05/13	12/20/13	$3,760 00	$3,952.00	$-192.00
BNY MELLON NTL INTER MUN BD FD	MPNIX	05569M764	100	11/06/13	12/20/13	$1,335 00	$1,343.00	$-8.00
BNY MELLON INTERMEDIATE BOND I	MPIBX	05569M814	54 22	04/30/13	12/20/13	$687.50	$716.23	$-28.73
DREYFUS EMG MKT DEBT LOC C-I	DDBIX	261980494	100	03/20/13	12/20/13	$1,395.00	$1,526.00	$-131.00
BNY MELLON CORP BOND-M	BYMMX	05569M368	24.51	10/31/13	12/20/13	$308 39	$311 08	$-2.69
BNY MELLON CORP BOND-M	BYMMX	05569M368	59.2	05/31/13	12/20/13	$744 75	$763.10	$-18.35
BNY MELLON INCOME STK FD CL M	MPISX	05569M301	150 29	12/09/13	12/20/13	$1,354.11	$1,343.59	$10.52
BNY MELLON CORP BOND-M	BYMMX	05569M368	56.55	03/28/13	12/20/13	$711.34	$735.65	$-24.31
ADVANTAGE GLOBAL ALPHA-R	AVGRX	007565302	100	03/20/13	12/20/13	$1,444 00	$1,344 00	$100.00
ASG MANAGED FUTURES STRAT-Y	ASFYX	63872T729	52.97	03/20/13	12/20/13	$527 59	$494.75	$32.84
ASG MANAGED FUTURES STRAT-Y	ASFYX	63872T729	47.03	10/14/13	12/20/13	$468.41	$452.89	$15.52
BNY MELLON CORP BOND-M	BYMMX	05569M368	59.74	04/30/13	12/20/13	$751.53	$784.98	$-33.45
DREYFUS EMG MKT DEBT LOC C-I	DDBIX	261980494	388.61	10/14/13	12/31/13	$5,390.01	$5,553.23	$-163.22
VIRTUS EMERGING MKTS OPPOR-I	HIEMX	92828T889	2738.06	08/05/13	12/31/13	$26,148.44	$27,052 00	$-903.56

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
VIRTUS EMERGING MKTS OPPOR-I	HIEMX	92828T889	43891.5	08/05/13	12/31/13	$419,163.80	$433,648.00		$-14,484.20
VIRTUS EMERGING MKTS OPPOR-I	HIEMX	92828T889	400 25	12/20/13	12/31/13	$3,822.41	$3,762 37		$60 04
DFA EMERG MKTS CORE EQUITY	DFCEX	233203421	3405	10/14/13	12/31/13	$66,261.30	$68,100.00		$-1,838.70
INTERNATIONAL BUSINESS MACHINE	IBM	459200101	105	07/18/13	12/31/13	$19,650.42	$20,884.28		$-1,233.86
INTERNATIONAL BUSINESS MACHINE	IBM	459200101	12	10/14/13	12/31/13	$2,245.76	$2,225.86		$19.90
TCW EMERGING MARKETS INCOME	TGEIX	87234N765	9313.12	03/20/13	12/31/13	$78,230.19	$86,066.28	*	$-7,836.09
TCW EMERGING MARKETS INCOME	TGEIX	87234N765	1266 42	10/14/13	12/31/13	$10,637.92	$10,680.73	*	$-42.81
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	11.36	01/31/13	12/31/13	$136.37	$137.85		$-1.48
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	11 37	02/28/13	12/31/13	$136 49	$137.97		$-1.48
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	5 52	03/28/13	12/31/13	$66 24	$66.90		$-0.66
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	7.72	04/30/13	12/31/13	$92.73	$93 73		$-1.00
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	6.65	05/31/13	12/31/13	$79.90	$80.43		$-0.53
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	6.68	06/28/13	12/31/13	$80 18	$80.51		$-0.33
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	6.13	07/31/13	12/31/13	$73 57	$73.88		$-0 31
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	5 59	08/30/13	12/31/13	$67.11	$67.22		$-0.11
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	6.14	09/30/13	12/31/13	$73.77	$74 01		$-0.24
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	199.5	10/14/13	12/31/13	$2,396 04	$2,404 02		$-7 98
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	6 32	10/31/13	12/31/13	$75 95	$76 27		$-0.32
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	6.33	11/29/13	12/31/13	$76 02	$76.34		$-0.32
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	6.93	12/16/13	12/31/13	$83.22	$83.35		$-0.13
BNY MELLON NTL INTER MUN BD FD	MPNIX	05569M764	12286 14	11/06/13	12/31/13	$163,897.07	$165,002.82		$-1,105.75
BNY MELLON NTL INTER MUN BD FD	MPNIX	05569M764	22	11/29/13	12/31/13	$293.49	$294 81		$-1.32
BNY MELLON INTERMEDIATE BOND I	MPIBX	05569M814	28 66	01/31/13	12/31/13	$363.11	$376.29		$-13.18
BNY MELLON INTERMEDIATE BOND I	MPIBX	05569M814	26	02/28/13	12/31/13	$329 39	$342.65		$-13.26
BNY MELLON INTERMEDIATE BOND I	MPIBX	05569M814	7492 24	03/20/13	12/31/13	$94,926 62	$98,672.73		$-3,746.11
BNY MELLON INTERMEDIATE BOND I	MPIBX	05569M814	46.83	03/28/13	12/31/13	$593.32	$616.27		$-22.95
BNY MELLON INTERMEDIATE BOND I	MPIBX	05569M814	47 56	05/31/13	12/31/13	$602.62	$618.80		$-16.18
BNY MELLON INTERMEDIATE BOND I	MPIBX	05569M814	40.72	06/28/13	12/31/13	$515.91	$520.79		$-4.88

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
BNY MELLON INTERMEDIATE BOND I	MPIBX	05569M814	46.54	07/31/13	12/31/13	$589 65	$596.16	$-6.51
BNY MELLON INTERMEDIATE BOND I	MPIBX	05569M814	44.96	08/30/13	12/31/13	$569.69	$572.39	$-2.70
BNY MELLON INTERMEDIATE BOND I	MPIBX	05569M814	40.9	09/30/13	12/31/13	$518.25	$523 57	$-5.32
BNY MELLON INTERMEDIATE BOND I	MPIBX	05569M814	1120.78	10/14/13	12/31/13	$14,200 28	$14,345.98	$-145.70
BNY MELLON INTERMEDIATE BOND I	MPIBX	05569M814	42.64	10/31/13	12/31/13	$540.29	$547 96	$-7.67
BNY MELLON INTERMEDIATE BOND I	MPIBX	05569M814	42.78	11/29/13	12/31/13	$542.01	$548.86	$-6 85
BNY MELLON INTERMEDIATE BOND I	MPIBX	05569M814	223.42	12/16/13	12/31/13	$2,830.69	$2,835 16	$-4.47
BNY MELLON BOND FD CL M	MPBFX	05569M830	3954.06	10/14/13	12/31/13	$50,295.67	$51,323.73	$-1,028.06
DREYFUS EMG MKT DEBT LOC C-I	DDBIX	261980494	3679.62	03/20/13	12/31/13	$51,036.31	$56,151.00	$-5,114.69
Total short term gain/loss from sales:						$2,362,458.30	$2,325,856.89	$36,601.41

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
INTERNATIONAL BUSINESS MACHINE	IBM	459200101	69	02/19/10	01/17/13	$13,361.68	$8,785.77	$4,575.91
INTERNATIONAL BUSINESS MACHINE	IBM	459200101	6	03/12/10	01/17/13	$1,161.89	$766.92	$394.97
INTERNATIONAL BUSINESS MACHINE	IBM	459200101	5	06/29/10	01/17/13	$968.24	$625.45	$342.79
ALLIANCE DATA SYS CORP	ADS	018581108	10	03/12/10	01/17/13	$1,560 50	$623 00	$937.50
INTERNATIONAL BUSINESS MACHINE	IBM	459200101	4	09/02/10	01/17/13	$774.59	$500.44	$274.15
ALLIANCE DATA SYS CORP	ADS	018581108	20	03/12/10	01/18/13	$3,107.54	$1,245.99	$1,861.55
VMWARE INC	VMW	928563402	4	10/18/11	01/30/13	$311.36	$386.43	$-75.07
VMWARE INC	VMW	928563402	40	10/11/11	01/30/13	$3,113.58	$3,609 50	$-495.92
VMWARE INC	VMW	928563402	31	09/09/11	01/30/13	$2,413.03	$2,714.53	$-301.50
VMWARE INC	VMW	928563402	49	09/09/11	01/30/13	$3,814.14	$4,306.49	$-492.35
FORD MOTOR CO DEL	F	345370860	4	01/06/11	01/31/13	$51.20	$72.91	$-21.71
FORD MOTOR CO DEL	F	345370860	80	12/14/10	01/31/13	$1,023.93	$1,658.03	$-634.10
APPLE COMPUTER INC COM	AAPL	037833100	17	03/12/10	01/31/13	$7,755 36	$3,857.13	$3,898.23

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
FORD MOTOR CO DEL	F	345370860	312	11/22/10	01/31/13	$3,993.32	$5,144.79	$-1,151.47
FORD MOTOR CO DEL	F	345370860	96	01/06/11	01/31/13	$1,228 72	$1,742.04	$-513.32
FORD MOTOR CO DEL	F	345370860	82	11/22/10	01/31/13	$1,049 53	$1,349.42	$-299.89
FORD MOTOR CO DEL	F	345370860	186	11/22/10	01/31/13	$2,380.64	$3,056 02	$-675.38
FORD MOTOR CO DEL	F	345370860	50	11/22/10	01/31/13	$639 96	$818.63	$-178.67
FORD MOTOR CO DEL	F	345370860	60	11/22/10	01/31/13	$767.95	$992.50	$-224.55
NATIONAL-OILWELL INC	NOV	637071101	10	12/09/11	02/14/13	$704 80	$725.62	$-20.82
NATIONAL-OILWELL INC	NOV	637071101	70	12/08/11	02/14/13	$4,933 61	$4,980.67	$-47.06
APACHE CORPORATION COM	APA	037411105	43	03/12/10	02/14/13	$3,464 40	$4,585.51	$-1,121 11
APACHE CORPORATION COM	APA	037411105	10	06/29/10	02/14/13	$805 67	$845 00	$-39 33
APACHE CORPORATION COM	APA	037411105	34	05/06/09	02/14/13	$2,739.29	$2,759.10	$-19.81
APACHE CORPORATION COM	APA	037411105	86	05/06/09	02/15/13	$6,654.17	$6,978.90	$-324.73
PVH CORP	PVH	693656100	1	11/02/11	02/22/13	$118.57	$75.57	$43.00
PVH CORP	PVH	693656100	29	11/02/11	02/22/13	$3,438.59	$2,174.01	$1,264 58
PVH CORP	PVH	693656100	11	11/03/11	02/22/13	$1,304.29	$814.78	$489.51
PVH CORP	PVH	693656100	24	11/03/11	02/22/13	$2,845.73	$1,768.31	$1,077.42
AUTOLIV INC COM	ALV	052800109	10	08/26/11	02/22/13	$662.40	$687.38	$-24.98
AUTOLIV INC COM	ALV	052800109	6	01/23/12	02/22/13	$397.44	$383.48	$13.96
CARNIVAL CORP	CCL	143658300	190	07/01/10	02/25/13	$6,599.67	$5,933.65	$666.02
UNILEVER PLC AMER SHS ADR NEW	UL	904767704	49	05/16/11	02/25/13	$1,940.89	$1,573.97	$366.92
AUTOLIV INC COM	ALV	052800109	6	01/24/12	02/25/13	$391.85	$379.66	$12 19
AUTOLIV INC COM	ALV	052800109	8	01/24/12	02/25/13	$522 47	$508.29	$14.18
AUTOLIV INC COM	ALV	052800109	15	01/23/12	02/25/13	$979.63	$958.70	$20.93
CARNIVAL CORP	CCL	143658300	10	08/04/11	02/25/13	$347 35	$311.58	$35.77
UNILEVER PLC AMER SHS ADR NEW	UL	904767704	60	03/12/10	02/26/13	$2,347 72	$1,790.39	$557 33
UNILEVER PLC AMER SHS ADR NEW	UL	904767704	51	05/16/11	02/26/13	$1,995.56	$1,638.21	$357.35
AUTOLIV INC COM	ALV	052800109	29	02/19/10	02/26/13	$1,863.92	$1,276 58	$587.34
AUTOLIV INC COM	ALV	052800109	8	01/24/12	02/26/13	$514.19	$500 77	$13.42

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
AUTOLIV INC COM	ALV	052800109	1	01/24/12	02/26/13	$64.27	$63 28	$0.99
AUTOLIV INC COM	ALV	052800109	6	01/20/12	02/26/13	$385 64	$375.74	$9.90
UNILEVER PLC AMER SHS ADR NEW	UL	904767704	130	02/19/10	02/26/13	$5,086.72	$3,877 90	$1,208.82
AUTOLIV INC COM	ALV	052800109	71	02/19/10	02/27/13	$4,590.54	$3,125.42	$1,465 12
APPLE COMPUTER INC COM	AAPL	037833100	29	03/12/10	03/05/13	$12,437 05	$6,579.81	$5,857.24
ALLIANCE DATA SYS CORP	ADS	018581108	6	03/12/10	03/05/13	$951.94	$373.80	$578.14
APPLE COMPUTER INC COM	AAPL	037833100	4	02/19/10	03/05/13	$1,715.46	$807.48	$907.98
ALLSCRIPTS HEALTHCARE SOLU US	MDRX	01988P108	41	08/17/10	03/05/13	$513.08	$708.47	$-195.39
ALLIANCE DATA SYS CORP	ADS	018581108	1	09/02/10	03/05/13	$158.66	$60.19	$98.47
ALLIANCE DATA SYS CORP	ADS	018581108	9	09/02/10	03/06/13	$1,432.86	$541 71	$891.15
ALLIANCE DATA SYS CORP	ADS	018581108	7	02/19/10	03/06/13	$1,114.45	$396.90	$717.55
ALLSCRIPTS HEALTHCARE SOLU US	MDRX	01988P108	69	08/17/10	03/06/13	$864 68	$1,192.29	$-327.61
ALLSCRIPTS HEALTHCARE SOLU US	MDRX	01988P108	14	08/10/10	03/06/13	$175.44	$238 88	$-63.44
ALLSCRIPTS HEALTHCARE SOLU US	MDRX	01988P108	83	08/10/10	03/07/13	$1,026.13	$1,416.22	$-390.09
ALLSCRIPTS HEALTHCARE SOLU US	MDRX	01988P108	13	08/10/10	03/08/13	$162.52	$221.63	$-59.11
ALLSCRIPTS HEALTHCARE SOLU US	MDRX	01988P108	31	08/12/10	03/08/13	$387.55	$525.96	$-138.41
ALLSCRIPTS HEALTHCARE SOLU US	MDRX	01988P108	8	08/10/10	03/08/13	$100.01	$136.50	$-36.49
ALLSCRIPTS HEALTHCARE SOLU US	MDRX	01988P108	57	08/11/10	03/08/13	$712.60	$959 49	$-246.89
ALLSCRIPTS HEALTHCARE SOLU US	MDRX	01988P108	32	08/11/10	03/11/13	$402.28	$538.66	$-136.38
ALLSCRIPTS HEALTHCARE SOLU US	MDRX	01988P108	3	08/11/10	03/11/13	$37.71	$50.39	$-12.68
ALLSCRIPTS HEALTHCARE SOLU US	MDRX	01988P108	19	08/11/10	03/12/13	$238.59	$319.12	$-80.53
QUALCOMM INC	QCOM	747525103	10	03/12/10	03/15/13	$651.34	$389.10	$262.24
QUALCOMM INC	QCOM	747525103	30	08/11/10	03/15/13	$1,954 03	$1,176.93	$777.10
QUALCOMM INC	QCOM	747525103	50	03/03/10	03/15/13	$3,256.72	$1,948.62	$1,308.10
CONOCOPHILLIPS	COP	20825C104	97	02/19/10	03/18/13	$5,756.07	$3,581 87	$2,174.20
CONOCOPHILLIPS	COP	20825C104	73	02/19/10	03/19/13	$4,307.50	$2,695.63	$1,611.87
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	238.8	04/26/11	03/20/13	$2,899 07	$2,932.50	$-33 43
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	6.5	08/31/11	03/20/13	$78.93	$79 98	$-1 05

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	791.19	03/06/10	03/20/13	$9,605.06	$9,779.12	$-174.06
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	10 25	02/28/11	03/20/13	$124.41	$125.85	$-1.44
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	40 42	01/31/11	03/20/13	$490.67	$497.14	$-6.47
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	49.88	12/16/10	03/20/13	$605.58	$613.06	$-7.48
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	5948 43	04/15/10	03/20/13	$72,213 88	$73,463.04	$-1,249.16
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	33.13	03/31/10	03/20/13	$402.22	$409.18	$-6.96
BNY MELLON BOND FD CL M	MPBFX	05569M830	6013 93	03/03/11	03/20/13	$81,248 24	$78,361.55	$2,886.69
DREYFUS INFLATION ADJUSTED SEC	DIASX	261967830	11580.1	02/19/10	03/20/13	$158,647.40	$143,940.67	$14,706.73
BNY MELLON EMERGING MKTS FD C	MEMKX	05569M855	375 72	04/15/10	03/20/13	$3,757.19	$3,990.14	$-232 95
DREYFUS/NEWTON INTERN EQTY-I	SNIEX	26203E604	163.76	05/04/10	03/20/13	$3,052.49	$2,610.33	$442 16
BNY MELLON INTL FD CL M SHSS	MPITX	05569M871	661.1	02/18/10	03/20/13	$7,027.46	$6,604.36	$423 10
DREYFUS PRE STRAT INTER ST-R	DISRX	86271F768	137.07	05/04/10	03/20/13	$2,062.92	$1,668.15	$394.77
BNY MELLON SMALL CAP STK FD CL	MPSSX	05569M806	900.42	09/02/10	03/20/13	$12,092.69	$8,500 00	$3,592 69
BNY MELLON SMALL CAP STK FD CL	MPSSX	05569M806	841.01	08/03/11	03/20/13	$11,294.82	$9,755.76	$1,539 06
BNY MELLON SMALL CAP STK FD CL	MPSSX	05569M806	483 78	04/03/11	03/20/13	$6,497.10	$6,206 83	$290.27
BNY MELLON SMALL CAP STK FD CL	MPSSX	05569M806	1155.12	06/29/10	03/20/13	$15,513.21	$10,500.00	$5,013 21
BNY MELLON SMALL CAP STK FD CL	MPSSX	05569M806	4132.2	03/12/10	03/20/13	$55,495 43	$41,445.96	$14,049.47
BNY MELLON SMALL CAP STK FD CL	MPSSX	05569M806	9638 3	02/18/10	03/20/13	$129,442.34	$90,600.00	$38,842.34
BNY MELLON INCOME STK FD CL M	MPISX	05569M301	100779 09	02/18/10	03/20/13	$805,224.96	$581,495.37	$223,729.59
BNY MELLON INCOME STK FD CL M	MPISX	05569M301	237.67	02/29/12	03/20/13	$1,898.99	$1,644.68	$254.31
BNY MELLON INCOME STK FD CL M	MPISX	05569M301	52.42	01/31/12	03/20/13	$418.84	$352.27	$66.57
WELLS FARGO & CO NEW	WFC	949746101	30	03/12/10	03/27/13	$1,108.53	$891.90	$216.63
WELLS FARGO & CO NEW	WFC	949746101	140	08/10/10	03/27/13	$5,173 14	$3,914.23	$1,258.91
PRICE T ROWE GROUP INC	TROW	74144T108	50	01/20/12	03/27/13	$3,704.68	$3,003.15	$701.53
AMERIPRISE FINL INC	AMP	03076C106	9	01/05/11	03/27/13	$656 75	$537.51	$119.24
AMERIPRISE FINL INC	AMP	03076C106	1	01/05/11	03/27/13	$72.97	$59.82	$13.15
AMERIPRISE FINL INC	AMP	03076C106	10	08/04/11	03/27/13	$729.72	$498.08	$231 64
AMERIPRISE FINL INC	AMP	03076C106	30	03/12/10	03/27/13	$2,189.17	$1,306.50	$882.67

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales pnce less commissions	Cost or other basis	Net gain or loss
OCCIDENTAL PETROLEUM CORPOR/	OXY	674599105	20	06/11/10	04/15/13	$1,625 21	$1,688.88	$-63.67
OCCIDENTAL PETROLEUM CORPOR/	OXY	674599105	14	03/12/10	04/15/13	$1,137.64	$1,145.20	$-7.56
TARGET CORP	TGT	87612E106	40	12/08/11	04/15/13	$2,751.62	$2,153.23	$598.39
ANADARKO PETE CORP	APC	032511107	10	01/27/12	04/15/13	$800.40	$794 93	$5.47
ANADARKO PETE CORP	APC	032511107	30	01/26/12	04/15/13	$2,401.21	$2,381.66	$19.55
NATIONAL-OILWELL INC	NOV	637071101	40	12/08/11	04/15/13	$2,646 82	$2,846.09	$-199.27
AMERIPRISE FINL INC	AMP	03076C106	40	03/12/10	04/15/13	$2,901.21	$1,741 99	$1,159.22
CHUBB CORPORATION COM	CB	171232101	10	03/12/10	04/15/13	$880.10	$508.90	$371.20
CHUBB CORPORATION COM	CB	171232101	20	02/19/10	04/15/13	$1,760.20	$1,014.20	$746.00
ENSCO PLC	ESV	G3157S106	30	03/24/11	04/15/13	$1,631.12	$1,728.45	$-97.33
ALLIANCE DATA SYS CORP	ADS	018581108	22	02/19/10	04/15/13	$3,441.66	$1,247.40	$2,194.26
PRAXAIR INC	PX	74005P104	23	03/12/10	04/15/13	$2,493 41	$1,832.18	$661.23
APPLE COMPUTER INC COM	AAPL	037833100	15	02/19/10	04/15/13	$6,315 79	$3,028.05	$3,287.74
PVH CORP	PVH	693656100	15	11/03/11	04/15/13	$1,583.39	$1,105.20	$478.19
PRICE T ROWE GROUP INC	TROW	74144T108	20	01/20/12	04/15/13	$1,500 81	$1,201.26	$299.55
EOG RES INC	EOG	26875P101	16	11/11/11	04/15/13	$1,872 47	$1,660.14	$212.33
INTERCONTINENTALEXCHANGE INC	ICE	45865V100	3	10/18/11	04/15/13	$468 15	$372.90	$95.25
INTERCONTINENTALEXCHANGE INC	ICE	45865V100	11	10/19/11	04/15/13	$1,716.53	$1,364.24	$352.29
AMAZON COM INC	AMZN	023135106	13	05/02/11	04/15/13	$3,490 59	$2,613.29	$877.30
NEXTERA ENERGY INC	NEE	65339F101	80	04/05/11	04/15/13	$6,361.63	$4,497.24	$1,864.39
PEPSICO INC	PEP	713448108	70	05/13/11	04/15/13	$5,569.22	$4,938.62	$630.60
PEPSICO INC	PEP	713448108	20	03/12/10	04/15/13	$1,591.21	$1,303.40	$287.81
UNILEVER PLC AMER SHS ADR NEW	UL	904767704	50	02/19/10	04/15/13	$2,129 56	$1,491.50	$638.06
UNILEVER PLC AMER SHS ADR NEW	UL	904767704	30	05/27/10	04/15/13	$1,277.73	$815.49	$462.24
COCA-COLA ENTERPRISES INC	CCE	19122T109	90	02/17/12	04/15/13	$3,301.32	$2,596.38	$704.94
EMC CORP(MASS) USD 0.01	EMC	268648102	100	05/02/11	04/15/13	$2,288.16	$2,839.27	$-551.11
GENERAL ELECTRIC CO	GE	369604103	100	03/12/10	04/15/13	$2,293 14	$1,664 00	$629 14
GENERAL ELECTRIC CO	GE	369604103	90	02/19/10	04/15/13	$2,063.82	$1,453 50	$610.32

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
NEWS CORP	NWS	65248E203	190	02/19/10	04/15/13	$5,846.57	$2,998.20	$2,848.37
WELLS FARGO & CO NEW	WFC	949746101	60	08/10/10	04/15/13	$2,215.28	$1,677.53	$537.75
WELLS FARGO & CO NEW	WFC	949746101	100	02/19/10	04/15/13	$3,692 13	$2,710.00	$982.13
J P MORGAN CHASE & CO	JPM	46625H100	100	03/12/10	04/15/13	$4,823.10	$4,321.99	$501.11
J P MORGAN CHASE & CO	JPM	46625H100	20	08/20/09	04/15/13	$964 62	$841.86	$122 76
CBS CORP NEW	CBS	124857202	40	01/26/12	04/15/13	$1,802.84	$1,149.04	$653.80
CBS CORP NEW	CBS	124857202	40	01/27/12	04/15/13	$1,802.84	$1,148.61	$654.23
CBS CORP NEW	CBS	124857202	30	05/05/11	04/15/13	$1,352.13	$805 17	$546 96
MERCK & CO INC	MRK	58933Y105	70	03/12/10	04/15/13	$3,280.97	$2,599.09	$681.88
BANK AMER CORP	BAC	060505104	380	02/19/10	04/15/13	$4,579 70	$5,985.00	$-1,405.30
ORACLE CORPORATION	ORCL	68389X105	70	03/12/10	04/15/13	$2,303 09	$1,761.90	$541.19
ORACLE CORPORATION	ORCL	68389X105	50	02/19/10	04/15/13	$1,645 07	$1,221.50	$423.57
ENSCO PLC	ESV	G3157S106	120	03/24/11	04/15/13	$6,522 28	$6,913.82	$-391.54
LYONDELLBASELL INDU-CL A-W/I	LYB	N53745100	50	04/02/12	04/15/13	$2,788.60	$2,207.10	$581.50
AMERICAN EXPRESS COMPANY	AXP	025816109	70	06/21/10	04/15/13	$4,521.34	$2,973.77	$1,547.57
EXXON MOBIL CORP	XOM	30231G102	10	03/12/10	04/15/13	$868.50	$668.50	$200 00
EXXON MOBIL CORP	XOM	30231G102	60	02/19/10	04/15/13	$5,211.01	$3,935.40	$1,275.61
MCKESSON CORPORATION	MCK	58155Q103	30	02/19/10	04/15/13	$3,226.49	$1,809.60	$1,416.89
COVIDIEN PLC	COV	G2554F113	40	01/04/11	04/15/13	$2,659.23	$1,903.33	$755.90
COVIDIEN PLC	COV	G2554F113	20	01/03/11	04/15/13	$1,329.61	$944.16	$385.45
CIGNA CORP COM	CI	125509109	40	03/12/10	04/15/13	$2,622.02	$1,379.99	$1,242 03
QUALCOMM INC	QCOM	747525103	60	03/12/10	04/15/13	$3,940 84	$2,334.60	$1,606.24
ROBERT HALF INTL INC	RHI	770323103	19	12/05/11	04/15/13	$670.92	$531.12	$139.80
ROBERT HALF INTL INC	RHI	770323103	41	12/02/11	04/15/13	$1,447.76	$1,111.33	$336.43
CAPITAL ONE FINL CORP COM	COF	14040H105	10	09/30/10	04/15/13	$534.41	$395.90	$138.51
FEDEX CORPORATION	FDX	31428X106	28	01/26/12	04/15/13	$2,648.52	$2,593.49	$55.03
FEDEX CORPORATION	FDX	31428X106	22	01/27/12	04/15/13	$2,080.98	$2,036.82	$44.16
DANAHER CORP COMMON	DHR	235851102	30	03/12/10	04/15/13	$1,807 22	$1,170.15	$637 07

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
DANAHER CORP COMMON	DHR	235851102	10	09/02/10	04/15/13	$602.41	$383.30	$219.11
TRANSCANADA CORP	TRP	89353D107	20	10/18/11	04/15/13	$938.42	$858.68	$79 74
TRANSCANADA CORP	TRP	89353D107	30	10/19/11	04/15/13	$1,407.64	$1,280.63	$127.01
INTUIT INC COM	INTU	461202103	40	10/12/11	04/15/13	$2,534.82	$2,083.75	$451.07
OCCIDENTAL PETROLEUM CORPOR/	OXY	674599105	6	06/11/10	04/15/13	$487.56	$501.41	$-13 85
LYONDELLBASELL INDU-CL A-W/I	LYB	N53745100	30	03/30/12	04/16/13	$1,700.89	$1,308.89	$392.00
LYONDELLBASELL INDU-CL A-W/I	LYB	N53745100	10	04/02/12	04/16/13	$566.96	$441.42	$125.54
AMAZON COM INC	AMZN	023135106	21	05/02/11	04/17/13	$5,606.75	$4,221.46	$1,385.29
ROBERT HALF INTL INC	RHI	770323103	40	12/02/11	04/17/13	$1,415.24	$1,084.23	$331 01
ENSCO PLC	ESV	G3157S106	10	08/04/11	04/17/13	$520.01	$502.08	$17 93
ENSCO PLC	ESV	G3157S106	70	03/24/11	04/17/13	$3,640.07	$4,033.06	$-392 99
ENSCO PLC	ESV	G3157S106	40	03/23/11	04/17/13	$2,080.04	$2,276.70	$-196 66
ROBERT HALF INTL INC	RHI	770323103	20	12/02/11	04/18/13	$701.48	$542.11	$159 37
CBRE GROUP INC	CBG	12504L109	70	01/23/12	04/19/13	$1,645 96	$1,261.06	$384.90
COCA-COLA ENTERPRISES INC	CCE	19122T109	30	02/17/12	04/19/13	$1,107 18	$865.46	$241.72
COCA-COLA ENTERPRISES INC	CCE	19122T109	95	02/17/12	04/22/13	$3,493.24	$2,740.63	$752 61
COCA-COLA ENTERPRISES INC	CCE	19122T109	109	02/16/12	04/23/13	$4,027.77	$3,115.69	$912.08
COCA-COLA ENTERPRISES INC	CCE	19122T109	66	02/17/12	04/23/13	$2,438 84	$1,904.02	$534.82
COVIDIEN PLC	COV	G2554F113	70	01/03/11	04/29/13	$4,399.83	$3,304.57	$1,095.26
UNILEVER PLC AMER SHS ADR NEW	UL	904767704	33	05/27/10	04/29/13	$1,430.18	$897.04	$533.14
UNILEVER PLC AMER SHS ADR NEW	UL	904767704	34	05/27/10	04/30/13	$1,468.92	$924.22	$544.70
UNILEVER PLC AMER SHS ADR NEW	UL	904767704	33	05/27/10	05/01/13	$1,430.73	$897.03	$533.70
COVIDIEN PLC	COV	G2554F113	22	01/03/11	05/01/13	$1,398 80	$1,038.58	$360.22
COVIDIEN PLC	COV	G2554F113	30	01/03/11	05/02/13	$1,923.07	$1,415.96	$507.11
COVIDIEN PLC	COV	G2554F113	78	01/03/11	05/02/13	$4,999 99	$3,682.23	$1,317.76
ALLIANCE DATA SYS CORP	ADS	018581108	71	02/19/10	07/18/13	$13,396.20	$4,025.70	$9,370.50
BANK AMER CORP	BAC	060505104	60	02/19/10	07/18/13	$867 11	$945 00	$-77.89
APPLE COMPUTER INC COM	AAPL	037833100	6	02/19/10	07/18/13	$2,603.18	$1,211.22	$1,391.96

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
ADT CORP/THE	ADT	00101J106	90	02/19/10	07/18/13	$3,848.53	$2,028.68	*	$1,819.85
NATIONAL-OILWELL INC	NOV	637071101	140	11/02/11	07/18/13	$10,179.51	$9,656.63		$522.88
AMERICAN EXPRESS COMPANY	AXP	025816109	20	06/21/10	07/18/13	$1,500.22	$845.46		$654.76
AMERICAN EXPRESS COMPANY	AXP	025816109	150	06/21/10	07/18/13	$11,251.62	$6,372.36		$4,879 26
AMERICAN EXPRESS COMPANY	AXP	025816109	10	06/29/10	07/18/13	$750.11	$399.70		$350.41
AMERICAN EXPRESS COMPANY	AXP	025816109	40	07/07/10	07/18/13	$3,000.43	$1,595.20		$1,405 23
AMERICAN EXPRESS COMPANY	AXP	025816109	10	09/02/10	07/18/13	$750 11	$403.19		$346.92
ANADARKO PETE CORP	APC	032511107	10	01/26/12	07/18/13	$897.31	$793.88		$103.43
ANADARKO PETE CORP	APC	032511107	10	06/18/10	07/18/13	$897.31	$425 36		$471.95
CIGNA CORP COM	CI	125509109	120	02/19/10	07/18/13	$9,404.49	$3,951.60		$5,452.89
CIGNA CORP COM	CI	125509109	10	03/12/10	07/18/13	$783.71	$345 00		$438 71
CIGNA CORP COM	CI	125509109	10	06/29/10	07/18/13	$783.71	$319.20		$464.51
CHUBB CORPORATION COM	CB	171232101	100	02/19/10	07/18/13	$8,865 06	$5,071.00		$3,794.06
CHUBB CORPORATION COM	CB	171232101	10	06/29/10	07/18/13	$886.51	$504.80		$381.71
TERADATA CORP DEL	TDC	88076W103	69	03/02/11	07/18/13	$4,020 35	$3,274.37		$745.98
TERADATA CORP DEL	TDC	88076W103	34	03/03/11	07/18/13	$1,981.04	$1,695.03		$286 01
TERADATA CORP DEL	TDC	88076W103	7	03/03/11	07/18/13	$407.86	$349.61		$58.25
INFORMATICA CORP	INFA	45666Q102	38	08/11/10	07/18/13	$1,483 57	$1,117.72		$365.85
INFORMATICA CORP	INFA	45666Q102	6	08/11/10	07/18/13	$234.25	$176.28		$57 97
INFORMATICA CORP	INFA	45666Q102	37	08/12/10	07/18/13	$1,444 53	$1,095.80		$348.73
INFORMATICA CORP	INFA	45666Q102	1	08/12/10	07/18/13	$39 04	$29.43		$9.61
INFORMATICA CORP	INFA	45666Q102	5	08/12/10	07/18/13	$195.21	$147.81		$47.40
INFORMATICA CORP	INFA	45666Q102	5	08/13/10	07/18/13	$195.21	$152.27		$42.94
INFORMATICA CORP	INFA	45666Q102	2	08/13/10	07/18/13	$78.08	$60.58		$17 50
INFORMATICA CORP	INFA	45666Q102	24	08/13/10	07/18/13	$936.99	$731.67		$205.32
INFORMATICA CORP	INFA	45666Q102	20	08/13/10	07/18/13	$780.83	$611.27		$169.56
INFORMATICA CORP	INFA	45666Q102	4	08/16/10	07/18/13	$156.17	$123.83		$32.34
INFORMATICA CORP	INFA	45666Q102	28	08/16/10	07/18/13	$1,093.16	$881.69		$211.47

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
TYCO INTERNATIONAL LTD	TYC	H89128104	120	02/19/10	07/18/13	$4,219.38	$2,073 40	$2,145.98
LYONDELLBASELL INDU-CLA-W/I	LYB	N53745100	50	03/30/12	07/18/13	$3,423.54	$2,167.93	$1,255.61
LYONDELLBASELL INDU-CLA-W/I	LYB	N53745100	30	03/30/12	07/18/13	$2,054.12	$1,308.89	$745.23
AMERIPRISE FINL INC	AMP	03076C106	120	02/19/10	07/18/13	$10,368.07	$4,755 60	$5,612.47
AMERIPRISE FINL INC	AMP	03076C106	20	03/12/10	07/18/13	$1,728.01	$871.00	$857.01
ROBERT HALF INTL INC	RHI	770323103	130	12/02/11	07/18/13	$4,563 19	$3,523 75	$1,039.44
MOODYS CORP	MCO	615369105	60	04/02/12	07/18/13	$3,694.86	$2,531.86	$1,163.00
MOODYS CORP	MCO	615369105	30	04/03/12	07/18/13	$1,847 43	$1,285.78	$561.65
MOODYS CORP	MCO	615369105	40	03/30/12	07/18/13	$2,463.24	$1,684.10	$779.14
INTUIT INC COM	INTU	461202103	10	10/12/11	07/18/13	$652 71	$520.30	$132.41
FEDEX CORPORATION	FDX	31428X106	70	01/27/12	07/18/13	$7,380.82	$6,480.77	$900.05
FEDEX CORPORATION	FDX	31428X106	40	06/20/12	07/18/13	$4,217.61	$3,663.74	$553.87
FEDEX CORPORATION	FDX	31428X106	15	06/21/12	07/18/13	$1,581.60	$1,374.13	$207.47
FEDEX CORPORATION	FDX	31428X106	6	06/20/12	07/18/13	$632.64	$549.01	$83 63
DANAHER CORP COMMON	DHR	235851102	120	02/19/10	07/18/13	$8,112.11	$4,554.00	$3,558.11
DANAHER CORP COMMON	DHR	235851102	10	06/29/10	07/18/13	$676 01	$375 20	$300.81
CAPITAL ONE FINL CORP COM	COF	14040H105	120	02/19/10	07/18/13	$8,011.31	$4,464.00	$3,547.31
CAPITAL ONE FINL CORP COM	COF	14040H105	20	09/30/10	07/18/13	$1,335 22	$791.81	$543.41
AMAZON COM INC	AMZN	023135106	24	05/02/11	07/18/13	$7,302.40	$4,824.53	$2,477.87
CBRE GROUP INC	CBG	12504L109	60	01/20/12	07/18/13	$1,444.90	$1,063.70	$381.20
CBRE GROUP INC	CBG	12504L109	50	01/23/12	07/18/13	$1,204.08	$892.82	$311 26
CBRE GROUP INC	CBG	12504L109	20	01/23/12	07/18/13	$481.63	$360.30	$121.33
CBRE GROUP INC	CBG	12504L109	9	01/24/12	07/18/13	$216 73	$159.78	$56.95
CBRE GROUP INC	CBG	12504L109	71	01/24/12	07/18/13	$1,709 80	$1,268.87	$440 93
PVH CORP	PVH	693656100	3	11/03/11	07/18/13	$384 51	$217 50	$167.01
PVH CORP	PVH	693656100	47	11/03/11	07/18/13	$6,023.99	$3,462.94	$2,561.05
AMERICAN TOWER CORP-CLA	AMT	03027X100	21	07/06/12	07/18/13	$1,570.40	$1,488.65	$81.75
AMERICAN TOWER CORP-CLA	AMT	03027X100	14	07/09/12	07/18/13	$1,046.93	$999 49	$47.44

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
AMERICAN TOWER CORP-CL A	AMT	03027X100	25	07/10/12	07/18/13	$1,869 52	$1,779.38	$90 14
TWENTY-FIRST CENTURY FOX CL B	FOX	90130A200	550	02/19/10	07/18/13	$16,841.86	$7,658.47	$9,183.39
TWENTY-FIRST CENTURY FOX CL B	FOX	90130A200	30	06/29/10	07/18/13	$918.65	$370.88	$547.77
TWENTY-FIRST CENTURY FOX CL B	FOX	90130A200	40	09/02/10	07/18/13	$1,224 86	$520.61	$704.25
TWENTY-FIRST CENTURY FOX CL B	FOX	90130A200	20	08/04/11	07/18/13	$612 43	$274.70	$337 73
MCKESSON CORPORATION	MCK	58155Q103	90	02/19/10	07/18/13	$10,686.61	$5,428.80	$5,257.81
OCCIDENTAL PETROLEUM CORPOR/	OXY	674599105	80	02/19/10	07/18/13	$7,257.64	$6,472.00	$785.64
OCCIDENTAL PETROLEUM CORPOR/	OXY	674599105	36	03/12/10	07/18/13	$3,265.94	$2,944.80	$321.14
OCCIDENTAL PETROLEUM CORPOR/	OXY	674599105	20	06/29/10	07/18/13	$1,814:41	$1,568.20	$246.21
PEPSICO INC	PEP	713448108	70	03/12/10	07/18/13	$5,982.24	$4,561.88	$1,420.36
PEPSICO INC	PEP	713448108	10	09/02/10	07/18/13	$854 61	$646.20	$208.41
PEPSICO INC	PEP	713448108	20	08/04/11	07/18/13	$1,709 21	$1,289.96	$419.25
PFIZER INC COM	PFE	717081103	90	02/19/10	07/18/13	$2,575.94	$1,606.50	$969.44
PFIZER INC COM	PFE	717081103	50	08/04/11	07/18/13	$1,431.08	$890.40	$540 68
PFIZER INC COM	PFE	717081103	320	03/12/10	07/18/13	$9,158 91	$5,475.17	$3,683 74
PRAXAIR INC	PX	74005P104	70	02/19/10	07/18/13	$8,148 01	$5,415.90	$2,732.11
PRAXAIR INC	PX	74005P104	10	06/29/10	07/18/13	$1,164.00	$771.80	$392.20
QUALCOMM INC	QCOM	747525103	180	03/12/10	07/18/13	$11,106.18	$7,003 80	$4,102.38
WELLS FARGO & CO NEW	WFC	949746101	140	02/19/10	07/18/13	$6,146.17	$3,794.00	$2,352.17
WELLS FARGO & CO NEW	WFC	949746101	20	06/29/10	07/18/13	$878.02	$518.40	$359.62
WELLS FARGO & CO NEW	WFC	949746101	20	09/02/10	07/18/13	$878.02	$498.55	$379.47
WELLS FARGO & CO NEW	WFC	949746101	40	09/02/10	07/18/13	$1,756.05	$998.96	$757.09
WELLS FARGO & CO NEW	WFC	949746101	120	09/13/10	07/18/13	$5,268.15	$3,163.01	$2,105.14
WELLS FARGO & CO NEW	WFC	949746101	40	08/04/11	07/18/13	$1,756.05	$1,073.12	$682.93
UNILEVER PLC AMER SHS ADR NEW	UL	904767704	10	05/27/10	07/18/13	$421.31	$271.83	$149.48
UNILEVER PLC AMER SHS ADR NEW	UL	904767704	150	05/27/10	07/18/13	$6,319.71	$4,071 09	$2,248.62
UNILEVER PLC AMER SHS ADR NEW	UL	904767704	20	06/29/10	07/18/13	$842.63	$537 80	$304.83
UNILEVER PLC AMER SHS ADR NEW	UL	904767704	10	09/02/10	07/18/13	$421.31	$270.37	$150.94

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
TRANSCANADA CORP	TRP	89353D107	160	10/19/11	07/18/13	$7,294.62	$6,830.00	$464.62
INTERCONTINENTALEXCHANGE INC	ICE	45865V100	5	10/18/11	07/18/13	$905.44	$618.78	$286.66
INTERCONTINENTALEXCHANGE INC	ICE	45865V100	15	10/19/11	07/18/13	$2,716.33	$1,852.77	$863 56
INTERCONTINENTALEXCHANGE INC	ICE	45865V100	28	10/19/11	07/18/13	$5,070 49	$3,472.60	$1,597 89
CBS CORP NEW	CBS	124857202	170	05/05/11	07/18/13	$9,065.02	$4,562.61	$4,502.41
MERCK & CO INC	MRK	58933Y105	190	03/12/10	07/18/13	$9,110.74	$7,054.69	$2,056.05
MERCK & CO INC	MRK	58933Y105	20	06/29/10	07/18/13	$959 02	$708.20	$250.82
MERCK & CO INC	MRK	58933Y105	10	09/02/10	07/18/13	$479.51	$353.39	$126.12
MERCK & CO INC	MRK	58933Y105	20	08/04/11	07/18/13	$959.02	$644.76	$314.26
PHILIP MORRIS INTERNATIONAL	PM	718172109	200	02/19/10	07/18/13	$17,626.19	$10,014.00	$7,612.19
PHILIP MORRIS INTERNATIONAL	PM	718172109	10	06/29/10	07/18/13	$881.31	$457.20	$424.11
PHILIP MORRIS INTERNATIONAL	PM	718172109	10	08/04/11	07/18/13	$881.31	$689.08	$192.23
PHILIP MORRIS INTERNATIONAL	PM	718172109	50	10/31/11	07/18/13	$4,406 55	$3,554 91	$851.64
NEXTERA ENERGY INC	NEE	65339F101	110	08/24/10	07/18/13	$9,367.67	$6,003.24	$3,364.43
NEXTERA ENERGY INC	NEE	65339F101	70	08/24/10	07/18/13	$5,961.24	$3,830.04	$2,131.20
NEXTERA ENERGY INC	NEE	65339F101	50	04/04/11	07/18/13	$4,258.03	$2,801.66	$1,456.37
NEXTERA ENERGY INC	NEE	65339F101	20	04/05/11	07/18/13	$1,703.21	$1,124.31	$578.90
NEXTERA ENERGY INC	NEE	65339F101	20	08/04/11	07/18/13	$1,703.21	$1,074.96	$628.25
J P MORGAN CHASE & CO	JPM	46625H100	30	08/20/09	07/18/13	$1,673.43	$1,262 79	$410.64
EMC CORP(MASS) USD 0.01	EMC	268648102	350	02/19/10	07/18/13	$8,908.08	$6,177.50	$2,730 58
EMC CORP(MASS) USD 0.01	EMC	268648102	20	08/04/11	07/18/13	$509.03	$494.96	$14.07
EMC CORP(MASS) USD 0 01	EMC	268648102	120	10/18/11	07/18/13	$3,054.20	$2,882.57	$171.63
DISNEY (WALT) COMPANY HOLDING	DIS	254687106	90	06/08/12	07/18/13	$5,921.18	$4,133 65	$1,787.53
EOG RES INC	EOG	26875P101	4	11/11/11	07/18/13	$587.64	$415.04	$172.60
EOG RES INC	EOG	26875P101	50	11/14/11	07/18/13	$7,345.48	$5,144.61	$2,200.87
NEWS CORP/NEW CLASS B	NWS	65249B208	10	09/02/10	07/18/13	$160.52	$69.38	$91.14
NEWS CORP/NEW CLASS B	NWS	65249B208	137.5	02/19/10	07/18/13	$2,207.11	$1,020.53	$1,186.58
NEWS CORP/NEW CLASS B	NWS	65249B208	7 5	06/29/10	07/18/13	$120 39	$49.42	$70.97

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
NEWS CORP/NEW CLASS B	NWS	65249B208	5	08/04/11	07/18/13	$80.26	$36.61	$43.65
COGNIZANT TECHNOLOGY SOLUTIO	CTSH	192446102	60	10/11/11	07/18/13	$4,348 84	$4,048.81	$300.03
COGNIZANT TECHNOLOGY SOLUTIO	CTSH	192446102	30	10/11/11	07/18/13	$2,174.42	$2,026.27	$148.15
COGNIZANT TECHNOLOGY SOLUTIO	CTSH	192446102	50	10/12/11	07/18/13	$3,624.04	$3,592.16	$31.88
COGNIZANT TECHNOLOGY SOLUTIO	CTSH	192446102	10	10/12/11	07/18/13	$724.81	$723.30	$1.51
COGNIZANT TECHNOLOGY SOLUTIO	CTSH	192446102	20	03/30/12	07/18/13	$1,449.61	$1,540.69	$-91.08
BNY MELLON EMERGING MKTS FD C	MEMKX	05569M855	14755.05	04/15/10	08/02/13	$136,189.14	$156,698.67	$-20,509.53
BNY MELLON EMERGING MKTS FD C	MEMKX	05569M855	1155.38	05/04/10	08/02/13	$10,664.14	$11,600.00	$-935 86
BNY MELLON EMERGING MKTS FD C	MEMKX	05569M855	2178.96	12/20/11	08/02/13	$20,111.77	$19,109.45	$1,002.32
BNY MELLON EMERGING MKTS FD C	MEMKX	05569M855	11857.71	03/12/10	08/02/13	$109,446.65	$120,000 00	$-10,553.35
BNY MELLON EMERGING MKTS FD C	MEMKX	05569M855	12166.32	02/18/10	08/02/13	$112,295.17	$118,500.00	$-6,204.83
BNY MELLON EMERGING MKTS FD C	MEMKX	05569M855	4332 58	12/29/11	08/02/13	$39,989.70	$38,300.00	$1,689.70
BNY MELLON EMERGING MKTS FD C	MEMKX	05569M855	5546 48	05/04/12	08/02/13	$51,193 97	$54,300.00	$-3,106.03
HIGHBRIDGE DYNAMIC COMM STRA	HDCSX	48121A670	2612 66	05/04/12	08/09/13	$31,195.20	$45,800.00	$-14,604.80
FEDEX CORPORATION	FDX	31428X106	4	06/20/12	08/27/13	$437.67	$366.00	$71.67
EMC CORP(MASS) USD 0.01	EMC	268648102	70	02/19/10	08/27/13	$1,809.09	$1,235.50	$573.59
APPLE COMPUTER INC COM	AAPL	037833100	2	02/19/10	08/27/13	$998.96	$403.74	$595.22
FEDEX CORPORATION	FDX	31428X106	15	06/21/12	08/27/13	$1,641.28	$1,369.70	$271.58
QUALCOMM INC	QCOM	747525103	10	06/29/10	08/27/13	$663 46	$331 80	$331.66
QUALCOMM INC	QCOM	747525103	20	03/12/10	08/27/13	$1,326 92	$778 20	$548.72
MOODYS CORP	MCO	615369105	10	03/30/12	09/27/13	$700.07	$421 02	$279.05
MOODYS CORP	MCO	615369105	20	04/27/12	09/27/13	$1,400.14	$830 55	$569.59
MOODYS CORP	MCO	615369105	50	04/27/12	09/27/13	$3,500 36	$2,079 68	$1,420 68
MOODYS CORP	MCO	615369105	20	04/30/12	09/27/13	$1,400 14	$827.83	$572.31
J P MORGAN CHASE & CO	JPM	46625H100	216	08/20/09	10/18/13	$11,641.68	$9,092.09	$2,549.59
FLUOR CORP NEW	FLR	343412102	20	10/15/12	10/18/13	$1,537 63	$1,138.17	$399.46
J P MORGAN CHASE & CO	JPM	46625H100	90	02/19/10	10/18/13	$4,850.70	$3,610.80	$1,239.90
J P MORGAN CHASE & CO	JPM	46625H100	4	08/04/11	10/18/13	$215.59	$157.07	$58.52

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
DREYFUS INFLATION ADJUSTED SEC	DIASX	261967830	11823.59	02/19/10	11/06/13	$150,514 26	$146,967.18	$3,547.08
TYCO INTERNATIONAL LTD	TYC	H89128104	60	02/19/10	12/12/13	$2,266.72	$1,036.70	$1,230.02
BNY MELLON INTERMEDIATE BOND I	MPIBX	05569M814	9 02	12/17/12	12/20/13	$114 34	$118.84	$-4.50
BNY MELLON INTERMEDIATE BOND I	MPIBX	05569M814	34.6	11/30/12	12/20/13	$438.74	$459.16	$-20.42
BNY MELLON INTERMEDIATE BOND I	MPIBX	05569M814	35.83	10/31/12	12/20/13	$454.38	$475.16	$-20.78
BNY MELLON INTERMEDIATE BOND I	MPIBX	05569M814	31.91	09/28/12	12/20/13	$404.62	$423 45	$-18.83
BNY MELLON INTERMEDIATE BOND I	MPIBX	05569M814	34.42	08/31/12	12/20/13	$436.43	$456.40	$-19.97
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	100	04/26/11	12/20/13	$1,201.00	$1,228.00	$-27.00
DREYFUS PREM LT TRM HI YLD-R	DLHRX	261980759	200	04/26/11	12/20/13	$1,348.00	$1,362.00	$-14.00
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	12.03	03/31/11	12/31/13	$144.46	$147.34	$-2.88
BNY MELLON BOND FD CL M	MPBFX	05569M830	42762 98	03/03/11	12/31/13	$543,945.16	$557,201.69	$-13,256.53
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	13.38	04/29/11	12/31/13	$160.68	$164.16	$-3.48
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	12.61	05/31/11	12/31/13	$151 45	$154 72	$-3.27
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	9.48	06/30/11	12/31/13	$113.82	$116.19	$-2.37
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	7.89	07/29/11	12/31/13	$94 79	$96 92	$-2.13
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	5 8	09/30/11	12/31/13	$69.63	$71.14	$-1.51
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	5 81	10/31/11	12/31/13	$69.74	$71.19	$-1.45
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	5.08	11/30/11	12/31/13	$61.06	$62 33	$-1.27
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	5.46	12/15/11	12/31/13	$65.51	$66.82	$-1 31
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	3.56	01/31/12	12/31/13	$42.76	$43.64	$-0.88
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	2.85	02/29/12	12/31/13	$34.26	$34 92	$-0.66
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	3.57	03/30/12	12/31/13	$42.92	$43.67	$-0.75
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	4 28	04/30/12	12/31/13	$51.44	$52.42	$-0.98
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	5.2	05/31/12	12/31/13	$62 45	$63.59	$-1.14
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	5.86	06/29/12	12/31/13	$70.41	$71.59	$-1.18
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	5.86	07/31/12	12/31/13	$70.35	$71.64	$-1.29
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	5761.05	08/30/12	12/31/13	$69,190 18	$70,400 00	$-1,209.82
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	12 36	08/31/12	12/31/13	$148 40	$150.99	$-2.59

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	11.26	09/28/12	12/31/13	$135 24	$137.39	$-2.15
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	10.16	10/31/12	12/31/13	$122.02	$123.75	$-1.73
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	11 3	11/30/12	12/31/13	$135.69	$137 61	$-1.92
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	13.59	12/17/12	12/31/13	$163.22	$165.26	$-2.04
BNY MELLON INTERMEDIATE BOND I	MPIBX	05569M814	13741.65	02/19/10	12/31/13	$174,106.74	$176,168 00	$-2,061 26
BNY MELLON INTERMEDIATE BOND I	MPIBX	05569M814	60.05	03/31/10	12/31/13	$760.85	$769.25	$-8.40
BNY MELLON INTERMEDIATE BOND I	MPIBX	05569M814	3101.88	04/15/10	12/31/13	$39,300 77	$39,828.09	$-527.32
BNY MELLON INTERMEDIATE BOND I	MPIBX	05569M814	54.59	12/17/12	12/31/13	$691 69	$719.54	$-27.85
BNY MELLON BOND FD CL M	MPBFX	05569M830	12494 24	03/03/11	12/31/13	$158,926.78	$162,800.00	$-3,873.22
BNY MELLON S/T US GVT SECS FD	MPSUX	05569M780	638 4	04/26/11	12/31/13	$7,667.14	$7,839.50	$-172.36
Total long term gain/loss from sales:						$3,841,304 71	$3,379,163.78	$462,140.93