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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

2013

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- Do not enter Social Security numbers on this form as it may be made public.
- Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2013 or tax year beginning _____, and ending _____

Name of foundation Rocks Family Foundation			A Employer identification number 84-1282202
Number and street (or P O box number if mail is not delivered to street address) C/O Gibraltar Corp. PO Box 60399			Room/suite
City or town Colorado Springs	State CO	ZIP code 80960-0399	
Foreign country name	Foreign province/state/county	Foreign postal code	
G Check all that apply.			C If exemption application is pending, check here ► <input type="checkbox"/>
<input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity			D 1. Foreign organizations, check here ► <input type="checkbox"/>
<input type="checkbox"/> Final return <input type="checkbox"/> Amended return			2. Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/>
<input type="checkbox"/> Address change <input type="checkbox"/> Name change			E If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 848,511		J Accounting method	<input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	32,355			
	2 Check ► <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	16,922	16,922	16,922	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	8,817			
	b Gross sales price for all assets on line 6a	34,291			
	7 Capital gain net income (from Part IV, line 2)		8,817		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less. Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	58,094	25,739	16,922	
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest <i>RECEIVED</i>				
	18 Taxes (attach schedule) (see instructions)	402	402	402	
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy <i>2014</i>				
	21 Travel, conferences and meetings				
	22 Printing and publications <i>UT</i>	62			62
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses.	464	402	402	62
	Add lines 13 through 23				
	25 Contributions, gifts, grants paid	32,500			32,500
	26 Total expenses and disbursements. Add lines 24 and 25	32,964	402	402	32,562
	27 Subtract line 26 from line 12.				
	a Excess of revenue over expenses and disbursements	25,130			
	b Net investment income (if negative, enter -0-)		25,337		
	c Adjusted net income (if negative, enter -0-)			16,520	

Part II	Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	7,256	4,193	
	2	Savings and temporary cash investments			
	3	Accounts receivable ►			
		Less: allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less: allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ►			
		Less: allowance for doubtful accounts ►			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	661,647	689,840	848,511
	c	Investments—corporate bonds (attach schedule)			
Liabilities	11	Investments—land, buildings, and equipment basis ►			
		Less: accumulated depreciation (attach schedule) ►			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ►			
		Less: accumulated depreciation (attach schedule) ►			
	15	Other assets (describe ►)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	668,903	694,033	848,511
Net Assets or Fund Balances	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ►)	0	0	
	23	Total liabilities (add lines 17 through 22)			
		Foundations that follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	668,903	694,033	
	30	Total net assets or fund balances (see instructions)	668,903	694,033	
	31	Total liabilities and net assets/fund balances (see instructions)	668,903	694,033	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	668,903
2	Enter amount from Part I, line 27a	2	25,130
3	Other increases not included in line 2 (itemize) ► Capital Gains	3	
4	Add lines 1, 2, and 3	4	694,033
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	694,033

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Spartan Intl Fid Adv Class			P	4/19/2007	7/12/2013
b Spartan 500 Index FD Adv Class			P	4/19/2007	7/12/2013
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 13,000		16,694	-3,694		
b 10,000		8,780	1,220		
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
a			-3,694		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	8,817	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3	-2,474	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part. Yes No

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	29,626	621,230	0.047689
2011	15,370	601,453	0.025555
2010	29,160	536,535	0.054349
2009	27,960	464,896	0.060142
2008	32,525	550,843	0.059046
2 Total of line 1, column (d)			2 0.246781
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.049356
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 754,163
5 Multiply line 4 by line 3			5 37,222
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 253
7 Add lines 5 and 6			7 37,475
8 Enter qualifying distributions from Part XII, line 4			8 32,562
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

- 1a Exempt operating foundations described in section 4940(d)(2), check here ► and enter "N/A" on line 1
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)
- 1b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► and enter 1% of Part I, line 27b
- 1c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)
- 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only) Others enter -0-
- 3 Add lines 1 and 2
- 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only) Others enter -0-
- 5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-
- 6 Credits/Payments
- a 2013 estimated tax payments and 2012 overpayment credited to 2013
- b Exempt foreign organizations—tax withheld at source
- c Tax paid with application for extension of time to file (Form 8868)
- d Backup withholding erroneously withheld
- 7 Total credits and payments Add lines 6a through 6d
- 8 Enter any penalty for underpayment of estimated tax Check here if Form 2220 is attached
- 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ►
- 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ►
- 11 Enter the amount of line 10 to be Credited to 2014 estimated tax ► Refunded ►

1	507
2	0
3	507
4	
5	507
7	0
8	
9	507
10	0
11	0

Part VII-A Statements Regarding Activities

- 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? ► Yes No
- 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities
- 1c Did the foundation file Form 1120-POL for this year?
- 1d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year
(1) On the foundation ► \$ _____ (2) On foundation managers. ► \$ _____
- 1e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____
- 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?
If "Yes," attach a detailed description of the activities
- 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*
- 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?
- 4b If "Yes," has it filed a tax return on Form 990-T for this year?
- 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?
If "Yes," attach the statement required by General Instruction T
- 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
- By language in the governing instrument, or
 - By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?
- 7 Did the foundation have at least \$5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*
- 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► CO
- 8b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation
- 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV
- 10 Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*

1a	X
1b	X
1c	X
2	X
3	X
4a	X
4b	N/A
5	X
6	X
7	X
8b	X
9	X
10	X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► NA	13	X	
14	The books are in care of ► John G Rocks Located at ► 29 Leaming Road Colorado Springs CO	Telephone no ► (719) 650-7859 ZIP+4 ► 80906		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15			► <input type="checkbox"/>
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>		1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ► 20 11 , 20 , 20 , 20	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)		2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)		3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	<i>If "Yes" to 6b, file Form 8870</i>			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
			7b	N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
John G Rocks PO Box 60399 Colorado Springs, CO 80960	President 1.00			
Lindsay Rocks 909 Koss Street Erie, CO 80156	Vice President 1.00			
Gibraltar Corp PO Box 60399 Colorado Springs, CO 80960	Manager 5.00			
Joseph DeLorme PO Box 75004 Colorado Springs, CO 80970	Secretary/Treasurer 1.00			

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000				►

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE.....		
.....		
.....		
.....		
.....		
.....		
Total number of others receiving over \$50,000 for professional services		►

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc		Expenses
1	None.....	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1	NA.....	
2	
All other program-related investments. See instructions		
3	
Total. Add lines 1 through 3		0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	755,753
b	Average of monthly cash balances	1b	9,895
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	765,648
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	765,648
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	11,485
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	754,163
6	Minimum investment return. Enter 5% of line 5	6	37,708

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part)

1	Minimum investment return from Part X, line 6	1	37,708
2a	Tax on investment income for 2013 from Part VI, line 5	2a	507
b	Income tax for 2013 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	507
3	Distributable amount before adjustments Subtract line 2c from line 1	3	37,201
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	37,201
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	37,201

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	32,562
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	32,562
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	32,562

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

- 1 Distributable amount for 2013 from Part XI, line 7
- 2 Undistributed income, if any, as of the end of 2013:
- a Enter amount for 2012 only
- b Total for prior years: 2009, 2010, 2011
- 3 Excess distributions carryover, if any, to 2013:
- a From 2008
- b From 2009
- c From 2010
- d From 2011
- e From 2012
- f Total of lines 3a through e
- 4 Qualifying distributions for 2013 from Part XII, line 4 ► \$ 32,562
- a Applied to 2012, but not more than line 2a
- b Applied to undistributed income of prior years (Election required—see instructions)
- c Treated as distributions out of corpus (Election required—see instructions)
- d Applied to 2013 distributable amount
- e Remaining amount distributed out of corpus
- 5 Excess distributions carryover applied to 2013. (If an amount appears in column (d), the same amount must be shown in column (a).)
- 6 Enter the net total of each column as indicated below:
- a Corpus Add lines 3f, 4c, and 4e. Subtract line 5
- b Prior years' undistributed income. Subtract line 4b from line 2b
- c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed
- d Subtract line 6c from line 6b. Taxable amount—see instructions
- e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions
- f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014
- 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)
- 8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)
- 9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a
- 10 Analysis of line 9:
- a Excess from 2009
- b Excess from 2010
- c Excess from 2011
- d Excess from 2012
- e Excess from 2013

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				37,201
2 Undistributed income, if any, as of the end of 2013:				29,750
a Enter amount for 2012 only		42,485		
b Total for prior years: 2009, 2010, 2011				
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ► \$ 32,562				29,750
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2013 distributable amount				2,812
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		42,485		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions		42,485		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions				0
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				34,389
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**N/A**

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ►				
b	Check box to indicate whether the foundation is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)				
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed				
b	85% of line 2a				0
c	Qualifying distributions from Part XII, line 4 for each year listed				0
d	Amounts included in line 2c not used directly for active conduct of exempt activities				0
e	Qualifying distributions made directly for active conduct of exempt activities				
	Subtract line 2d from line 2c				0
3	Complete 3a, b, or c for the alternative test relied upon.				
a	"Assets" alternative test—enter.				
(1)	Value of all assets				0
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i)				0
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				0
c	"Support" alternative test—enter				
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				0
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				0
(3)	Largest amount of support from an exempt organization				0
(4)	Gross investment income				0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

John G. Rocks

Lindsay Rocks

Joseph DeLorme

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

- b The form in which applications should be submitted and information and materials they should include

- c Any submission deadlines

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Schedule 1				32,500
Total			► 3a	32,500
b Approved for future payment				
Total			► 3b	0

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities				14	16,922
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory				01	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0		16,922	0
13 Total. Add line 12, columns (b), (d), and (e)				13	16,922

(See worksheet in line 13 instructions to verify calculations.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?	Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

**Sign
Here**

Signature of officer or trustee

5-5-1

Date _____

Title

May the IRS discuss this return
with the preparer shown below
(see instructions)? Yes No

Signature of owner or trustee		Date	Page			
Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN	
	Firm's name ►			Firm's EIN ►		
	Firm's address ►			Phone no		

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

OMB No 1545-0047

Schedule of Contributors

► Attach to Form 990, Form 990-EZ, or Form 990-PF.

2013

► Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

Name of the organization

Rocks Family Foundation

Employer identification number

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

Rocks Family Foundation

Employer identification number

84-1282202

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Barbara M Rocks 7501 E Thompson Peak Pkwy #68 Scottsdale AZ 85255 Foreign State or Province _____ Foreign Country _____	\$ 32,355	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
	Foreign State or Province _____ Foreign Country _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
	Foreign State or Province _____ Foreign Country _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
	Foreign State or Province _____ Foreign Country _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
	Foreign State or Province _____ Foreign Country _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
	Foreign State or Province _____ Foreign Country _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
	Foreign State or Province _____ Foreign Country _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization

Rocks Family Foundation

Employer identification number

84-1282202

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	50 Shares 3M Stock	\$ 6,321	11/7/2013
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	300 Shares Johnson & Johnson Stock	\$ 26,034	7/3/2013
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service
Name of estate or trust

Capital Gains and Losses

OMB No 1545-0092

- Attach to Form 1041, Form 5227, or Form 990-T.
- Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
- Information about Schedule D and its separate instructions is at www.irs.gov/form1041.

2013

Rocks Family Foundation

Employer identification number

84-1282202

Note: Form 5227 filers need to complete only Parts I and II.

Part I Short-Term Capital Gains and Losses—Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost or other basis	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				0
1b Totals for all transactions reported on Form(s) 8949 with Box A checked				0
2 Totals for all transactions reported on Form(s) 8949 with Box B checked				0
3 Totals for all transactions reported on Form(s) 8949 with Box C checked				0
4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824			4	
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts			5	
6 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet			6	()
7 Net short-term capital gain or (loss). Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back			7	0

Part II Long-Term Capital Gains and Losses—Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost or other basis	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				0
8b Totals for all transactions reported on Form(s) 8949 with Box D checked				0
9 Totals for all transactions reported on Form(s) 8949 with Box E checked				0
10 Totals for all transactions reported on Form(s) 8949 with Box F checked				0
11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824			11	
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts			12	
13 Capital gain distributions			13	11,291
14 Gain from Form 4797, Part I			14	
15 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet			15	(4,526)
16 Net long-term capital gain or (loss). Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back			16	6,765

Part III Summary of Parts I and II**Caution: Read the instructions before completing this part.**

	(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
17 Net short-term gain or (loss)	17		0
18 Net long-term gain or (loss):			
a Total for year	18a	6,765	6,765
b Unrecaptured section 1250 gain (see line 18 of the wrksht.)	18b		0
c 28% rate gain	18c		0
19 Total net gain or (loss). Combine lines 17 and 18a ►	19	0	6,765

Note: If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and do not complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of
 a The loss on line 19, column (3) or b \$3,000

20 ()

Note: If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part only if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if

- Either line 18b, col (2) or line 18c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part only if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col (2) or line 18c, col (2) is more than zero.

21 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	21			
22 Enter the smaller of line 18a or 19 in column (2) but not less than zero	22			
23 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	23			
24 Add lines 22 and 23	24	0		
25 If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ►	25	0		
26 Subtract line 25 from line 24. If zero or less, enter -0-	26	0		
27 Subtract line 26 from line 21. If zero or less, enter -0-	27	0		
28 Enter the smaller of the amount on line 21 or \$2,450	28			
29 Enter the smaller of the amount on line 27 or line 28	29	0		
30 Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% ►	30	0		
31 Enter the smaller of line 21 or line 26	31			
32 Subtract line 30 from line 26	32	0		
33 Enter the smaller of line 21 or \$11,950	33			
34 Add lines 27 and 30	34	0		
35 Subtract line 34 from line 33. If zero or less, enter -0-	35	0		
36 Enter the smaller of line 32 or line 35	36			
37 Multiply line 36 by 15%	37	0		
38 Enter the amount from line 31	38			
39 Add lines 30 and 36	39	0		
40 Subtract line 39 from line 38. If zero or less, enter -0-	40	0		
41 Multiply line 40 by 20%	41	0		
42 Figure the tax on the amount on line 27. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	42			
43 Add lines 37, 41, and 42	43	0		
44 Figure the tax on the amount on line 21. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	44			
45 Tax on all taxable income. Enter the smaller of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ►	45	0		

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Part I, Line 18 (990-PF) - Taxes

		402	402	402	0
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Real estate tax not included in line 20				
2	Tax on investment income				
3	Income tax	163	163	163	
4	Foreign Tax on Investments	239	239	239	

Part II, Line 10b (990-PF) - Investments - Corporate Stock

		661,647	689,840	660,035	848,511	
	Description	Num Shares/ Face Value	Book Value Beg. of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year
1	See Schedule 2		661,647	689,840	660,035	848,511

Part IV (980-PF) - Capital Gains and Losses for Tax on Investment Income

Amount									
Long Term CG Distributions		Short Term CG Distributions		Date Acquired		Date Sold		Gross Sales Price	
Description of Property Sold	CUSIP #	How Acquired	Date Acquired					Depreciation Allowed	Cost or Other Basis Plus Expenses of Sale
1 Spartan Inv Fd Adv Class	315911075	P	4/19/2007	7/12/2013		13,000			18,594
2 Spartan 500 Index FD Adv Class	315911701	P	4/18/2007	7/12/2013		10,000			8,780
									1,220

Amount									
Cost or Other Basis Plus Expenses of Sale		Depreciation Allowed		Adjustments		F MV as of 12/31/09		Excess of FMV Over Adjusted Basis	
Description of Property Sold	CUSIP #	How Acquired	Date Acquired						
1 Spartan Inv Fd Adv Class	315911075	P	4/19/2007	7/12/2013		13,000			18,594
2 Spartan 500 Index FD Adv Class	315911701	P	4/18/2007	7/12/2013		10,000			8,780
									1,220

Amount									
Cost or Other Basis Plus Expenses of Sale		Depreciation Allowed		Adjustments		F MV as of 12/31/09		Excess of FMV Over Adjusted Basis	
Description of Property Sold	CUSIP #	How Acquired	Date Acquired						
1 Spartan Inv Fd Adv Class	315911075	P	4/19/2007	7/12/2013		13,000			18,594
2 Spartan 500 Index FD Adv Class	315911701	P	4/18/2007	7/12/2013		10,000			8,780
									1,220

Part VII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	John G Rocks		PO Box 60399	Colorado Springs	CO	80960		President	100		0	0
2	Lindsay Rocks		909 Koss Street	Erie	CO	80156		Vice President	100		0	0
3	Gibraltar Corp		X	PO Box 60399	Colorado Springs	80960		Manager	500		0	0
4	Joseph Delorme		PO Box 75004	Colorado Springs	CO	80970		Secretary/Treasurer	100		0	0

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1	Distributable amounts for 2012 that remained undistributed at the beginning of the 2013 tax year	1	29,750
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	29,750

Part XIII, Line 2b, Column B (990-PF) - Undistributed Income for Prior Years

1	Undistributed income for the 3rd year 2011	1	28,477
2	Undistributed income for the 4th year 2010	2	14,008
3	Undistributed income for the 5th year 2009	3	0
4	Total	4	42,485

Dividends Summary (1099DIV (Worksheet))**Ordinary Dividends**

From 1099-DIV inputs
 From K-1 inputs
 From Form(s) 8621
 From Form(s) 8814
 From Form(s) 8891
 Subtotal

	Total	Filer	Spouse
From 1099-DIV inputs	16,922	16,922	0
From K-1 inputs	0	0	0
From Form(s) 8621	0	0	0
From Form(s) 8814	0	0	0
From Form(s) 8891	0	0	0
Subtotal	16,922	16,922	0

Adjustments

Nominee distribution (code N)
 Other adjustments (code J)
 Total adjustments

0	0	0
0	0	0
0	0	0
16,922	16,922	0

Total ordinary dividends

Qualified Dividends

From 1099-DIV inputs
 From K-1 inputs
 From Form(s) 8814
 From Form(s) 8891
 Subtotal

	Total	Filer	Spouse
From 1099-DIV inputs	12,016	12,016	0
From K-1 inputs	0	0	0
From Form(s) 8814	0	0	0
From Form(s) 8891	0	0	0
Subtotal	12,016	12,016	0

Adjustments

Nominee distribution (code N)
 Other adjustments (code J)
 Total adjustments

0	0	0
0	0	0
0	0	0
12,016	12,016	0

Total qualified dividends

Capital Gains

From 1099-DIV inputs

	Total	Filer	Spouse
From 1099-DIV inputs	11,291	11,291	0

Adjustments

Nominee distribution (code N)
 Other adjustments (code J)
 Total adjustments

0	0	0
0	0	0
0	0	0
11,291	11,291	0

Total capital gains

Other Dividend Information

Foreign tax paid

	Total	Filer	Spouse
Foreign tax paid	239	239	0

**Rocks Family Foundation
2013**

84-1282202

**Schedule 1
Part XV, Grants and Contributions Paid during the Year**

Name and Address	Status	Purpose	Amount
Childrens Literacy Center 2928 Straus Ln # 100 Colorado Springs, CO 80907	Tax Exempt Org	Charity	\$ 2,000 00
Grayhawk Classic Foundation 7501 E. Thompson Peak Parkway Scottsdale, Az 85255	Tax Exempt Org	Charity	\$ 2,500 00
Community Partnership For Childhood Development 2330 Robinson Street Colorado Springs, CO 80904	Tax Exempt Org	Charity	\$ 2,000 00
Craig Hospital Foundation 3425 South Clarkson Street Englewood, CO 80113	Tax Exempt Org	Charity	\$ 12,000 00
MacularVision Research Foundation 100 Front Street, Suite 300 W Conshohocken, PA 19428	Tax Exempt Org	Charity	\$ 500 00
Mayo Foundation PO Box 450 Albert Lea, MN 56007-9849	Tax Exempt Org	Charity	\$ 1,000 00
Pikes Peak Community College Foundation 5675 S Academy Boulevard Colorado Springs, CO 80906-5422	Tax Exempt Org	Charity	\$ 3,000 00
Pikes Peak Therapeutic Riding Center 13620 Halleluiah Trail Elbert, CO 80106	Tax Exempt Org	Charity	\$ 1,000 00
Salvation Army 501 S Weber Colorado Springs, Co 80903	Tax Exempt Org	Charity	\$ 1,000 00
TESSA PO Box 2662 Colorado Springs, Co 80901	Tax Exempt Org	Charity	\$ 1,000 00
St Vincent Depaul 2945 E. Bell Rd. Phoenix, AZ 85032	Tax Exempt Org	Charity	\$ 500 00
The See the Future Fund 5636 N Union Blvd Colorado Springs, Co 80918	Tax Exempt Org	Charity	\$ 6,000 00
Total			\$ 32,500.00

Schedule 2

Part II, Investments Corporate Stock

Stock	Shares	2012 cost	2012 FMV	2013 cost	2013 FMV
3M COMPANY	150	\$ 9,279	\$ 9,285	\$ 15,600	\$ 21,038
Abbie Inc. Com	100	\$ 4,918	\$ 6,550	\$ 2,559	\$ 3,833
ABBOTT LABORATORIES	100	\$ 10,831	\$ 13,015	\$ 2,359	\$ 5,281
AFFILIATED MANAGERS GROUP	100	\$ 13,107	\$ 7,850	\$ 10,831	\$ 21,688
APACHE CORP	459 17	\$ 10,249	\$ 11,180	\$ 13,107	\$ 8,594
ARTISAN INTERNAT'L INVESTOR CLASS	100	\$ 8,343	\$ 8,970	\$ 10,381	\$ 13,996
BERKSHIRE HATHAWAY INC DEL CL B NEW	100	\$ 8,612	\$ 10,814	\$ 8,343	\$ 11,856
CHEVRON CORP NEW	100	\$ 3,707	\$ 4,979	\$ 8,612	\$ 12,491
DISNEY WALT CO	956	\$ 74,925	\$ 82,742	\$ 3,707	\$ 7,640
Exxon Mobil	4,112.15	\$ 57,743	\$ 54,828	\$ 74,925	\$ 96,747
FIDELITY SMALL CAP GROWTH	100	\$ 16,176	\$ 12,756	\$ 69,551	\$ 79,241
GOLDMAN SACHS GROUP INC	80	\$ 15,092	\$ 15,324	\$ 16,176	\$ 17,726
IBM	1,000.00	\$ 32,018	\$ 43,840	\$ 15,092	\$ 15,006
Ishares S&P Latin 40 index					
ISHARES TR MSCI EMERGING MKTS INDEX FD	100	\$ 4,648	\$ 4,435	\$ 32,018	\$ 37,040
ISHARES TR S&P MIDCAP 400 INDEX FD	100	\$ 8,861	\$ 10,170	\$ 4,648	\$ 4,180
ISHARES TR S&P MIDCAP 400/GROWTH INDEX FUND	200	\$ 19,825	\$ 22,882	\$ 8,861	\$ 13,381
ISHARES TRUST S&P SMALLCAP 600 INDEX FD	100	\$ 6,720	\$ 7,810	\$ 19,825	\$ 30,038
J P Morgan	100	\$ 4,001	\$ 4,397	\$ 6,720	\$ 10,913
Johnson & Johnson	300			\$ 4,001	\$ 5,848
SPARTAN 500 INDEX Fund ADVANTAGE CLASS	2,047 95	\$ 111,019	\$ 109,745	\$ 26,034	\$ 27,477
SPARTAN EXT MKT INDEX FID ADVANTAGE CLASS	3,142.72	\$ 119,621	\$ 121,453	\$ 104,728	\$ 134,120
SPARTAN INTL INDEX FID ADVANTAGE CLASS	1,932 97	\$ 101,529	\$ 76,583	\$ 124,568	\$ 167,884
TARGET CORP	100	\$ 5,924	\$ 5,917	\$ 5,924	\$ 78,614
UNION PACIFIC CORP	80	\$ 9,774	\$ 10,058	\$ 9,774	\$ 6,327
VANGUARD EMERGING MKTS VIPERS	100	\$ 4,725	\$ 4,453	\$ 4,725	\$ 13,440
TOTAL Investments	\$ 661,647	\$ 660,035	\$ 689,840	\$ 4,114	\$ 848,511

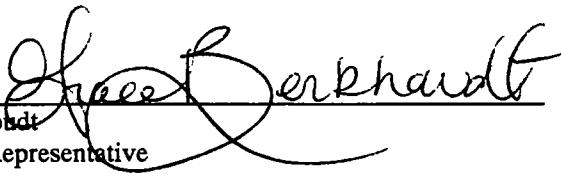
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STATE OF COLORADO COUNTY OF EL PASO

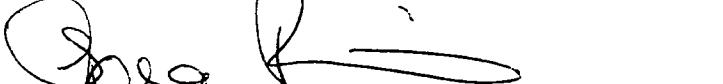
I, Grace Berkhoudt, being first duly sworn, deposes and says that she is the Legal Sales Representative of THE COLORADO SPRINGS GAZETTE, LLC., a corporation, the publishers of a daily public newspaper, which is printed and published daily in whole at the city of Colorado Springs in the County of El Paso, and the State of Colorado, and which is called The Gazette; that a notice of which the annexed is an exact copy, cut from said newspaper, was published in the regular and entire editions of said newspaper.

1 time(s) to wit, April 26, 2014.

That said newspaper has been published continuously and uninterruptedly in said County of El Paso for a period of at least six consecutive months next prior to the first issue thereof containing this notice; that said newspaper has a general circulation and that it has been admitted to the United States mails as second-class matter under the provisions of the Act of March 3, 1879 and any amendment thereof, and is a newspaper duly qualified for the printing of legal notices and advertisement within the meaning of the laws of the State of Colorado.


Grace Berkhoudt
Legal Sales Representative

Subscribed and sworn to me this April 28, 2014, at said City of Colorado Springs, El Paso County, Colorado. My commission expires April 5, 2015.


Lora Ramirez
Notary Public

The Gazette

