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**Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation**

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► **Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.**

2013**For calendar year 2013, or tax year beginning , 2013, and ending**

Name of foundation C.E. and F.C.A. Foisy Foundation u/a dtd 11/12/2002		A Employer identification number 81-6114767
Number and street (or P.O. box number if mail is not delivered to street address) 807 Turnpike Street	Room/suite	B Telephone number (see the Instructions) (978) 685-0961
City or town, state or province, country, and ZIP or foreign postal code North Andover MA 01845		C If exemption application is pending, check here. ☐
G Check all that apply		D 1 Foreign organizations, check here. ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation. ☐
☐ Initial return ☐ Initial Return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		E If private foundation status was terminated under section 507(b)(1)(A), check here. ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. ☐
I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► \$ 2,486,081.	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)	

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE				
1 Contributions, gifts, grants, etc., received (att sch.)				
2 Ck ☒ if the foundn is not req to att Sch B				
3 Interest on savings and temporary cash investments	1.	1.		
4 Dividends and interest from securities	38,708.	38,708.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain/(loss) from sale of assets not on line 10	131,144.	L-6a Stmt		
b Gross sales price for all assets on line 6a	736,792.			
7 Capital gain net income (from Part IV, line 2)		131,144.		
8 Net short-term capital gain			131,144.	
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit/(loss) (att sch.)				
11 Other income (attach schedule)				
990PF Refund	1,174.	0.		
12 Total. Add lines 1 through 11	171,027.	169,853.	131,144.	
ADMINISTRATIVE AND OPERATING EXPENSES				
13 Compensation of officers, directors, trustees, etc.	20,000.			20,000.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach sch.)	5,872.	4,000.		1,872.
c Other prof fees (attach sch.) L-16c Stmt	29,185.	29,185.		
17 Interest				
18 Taxes (attach schedule) (see instrs) See Line 18 Stmt	3,149.	387.		
19 Depreciation (attach sch) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) See Line 23 Stmt	78.			
24 Total operating and administrative expenses. Add lines 13 through 23	58,284.	33,572.		21,872.
25 Contributions, gifts, grants paid	82,000.			82,000.
26 Total expenses and disbursements. Add lines 24 and 25	140,284.	33,572.		103,872.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	30,743.			
b Net Investment Income (if negative, enter -0-)		136,281.		
c Adjusted net income (if negative, enter -0-)			131,144.	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
A S S E T S	1 Cash — non-interest-bearing	56,247.	62,076.	62,076.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule) L-10b. Stmt	1,581,008.	1,605,922.	2,424,005.
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment: basis ▶			
Less: accumulated depreciation (attach schedule) ▶				
12 Investments — mortgage loans				
13 Investments — other (attach schedule)				
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation (attach schedule) ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers — see the instructions Also, see page 1, item I).	1,637,255.	1,667,998.	2,486,081.	
L I A B I L I T I E S	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)			
N E T A S S E T S F U N D B A L A N C E S	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	1,637,255.	1,667,998.	
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	1,637,255.	1,667,998.	
	31 Total liabilities and net assets/fund balances (see instructions)	1,637,255.	1,667,998.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,637,255.
2 Enter amount from Part I, line 27a	2	30,743.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	1,667,998.
5 Decreases not included in line 2 (itemize) ▶ <u>Rounding/Misc</u>	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	1,667,998.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a Schedule attached-Voyageur a/c RBC		P	various	various
b Schedule attached-Sovereign a/c RBC		P	various	various
c Schedule attached-Constitution a/c RBC		P	various	various
d Schedule attached-Trust a/c RBC		P	various	various
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 78,708.		76,463.	2,245.
b 351,737.		254,471.	97,266.
c 292,649.		258,048.	34,601.
d 13,697.		16,665.	-2,968.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a 0.	0.	0.	2,245.
b 0.	0.	0.	97,266.
c 0.	0.	0.	34,601.
d			-2,968.
e			

2 Capital gain net income or (net capital loss). If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	131,144.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	131,144.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	131,625.	1,991,760.	0.066085
2011	102,599.	2,040,489.	0.050282
2010	84,671.	1,913,803.	0.044242
2009	107,609.	1,704,436.	0.063135
2008	145,330.	2,247,990.	0.064649

2 Total of line 1, column (d)	2	0.288393
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.057679
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	2,190,225.
5 Multiply line 4 by line 3	5	126,330.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,363.
7 Add lines 5 and 6.	7	127,693.
8 Enter qualifying distributions from Part XII, line 4	8	103,872.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	2,726.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,726.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,726.
6 Credits/Payments:			
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a 1,204.		
b Exempt foreign organizations - tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	1,204.	
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,522.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0.	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation (2) On foundation managers		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV.	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions)		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions).	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ▶ N/A				
14	The books are in care of ▶ <u>Albert P Pettoruto, Jr.</u> Telephone no. ▶ <u>(978) 685-1961</u> Located at ▶ <u>807 Turnpike</u> <u>North Andover MA</u> ZIP +4 ▶ <u>01845</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country ▶				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b	
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No	
If 'Yes,' list the years ▶ 20 __ , 20 __ , 20 __ , 20 __ .		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)	2 b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20 __ , 20 __ , 20 __ , 20 __ .		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3 b	
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b

Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b

If 'Yes' to 6b, file Form 8870.

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

7 b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Albert P. Pettoruto, Jr. 807 Turnpike St. North Andover MA 01845	Managing Trustee 2.00	16,000.	0.	0.
Marybeth McInnis 807 Turnpike St. North Andover MA 01845	Trustee 1.00	4,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000 ☐

None

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1 a	2,161,379.
b Average of monthly cash balances	1 b	62,200.
c Fair market value of all other assets (see instructions)	1 c	
d Total (add lines 1a, b, and c)	1 d	2,223,579.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	2,223,579.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	33,354.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,190,225.
6 Minimum investment return. Enter 5% of line 5	6	109,511.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	109,511.
2 a Tax on investment income for 2013 from Part VI, line 5	2 a	2,726.
b Income tax for 2013 (This does not include the tax from Part VI.)	2 b	0.
c Add lines 2a and 2b	2 c	2,726.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	106,785.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	106,785.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	106,785.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	103,872.
b Program-related investments — total from Part IX-B.	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	103,872.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	103,872.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				106,785.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			4,618.	
b Total for prior years 20 __, 20 __, 20 __				
3 Excess distributions carryover, if any, to 2013				
a From 2008 0.				
b From 2009 0.				
c From 2010 0.				
d From 2011 0.				
e From 2012 0.				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: \$ 103,872.				
a Applied to 2012, but not more than line 2a			4,618.	
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2013 distributable amount				99,254.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				7,531.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2009 0.				
b Excess from 2010 0.				
c Excess from 2011 0.				
d Excess from 2012 0.				
e Excess from 2013 0.				

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Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or

☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test — enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter.					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XVI Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed.

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Schedule Attached Schedule Schedule MA 01845		Public	Schedule	82,000.
Total			▶ 3 a	82,000.
b Approved for future payment				
Total			▶ 3 b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	Related or exempt function income (See instructions.)	
1 Program service revenue						
a						
b						
c						
d						
e						
f						
g Fees and contracts from government agencies . .						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities			14	38,708.		
5 Net rental income or (loss) from real estate.						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory . . .			14	131,144.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory . . .						
11 Other revenue						
a						
b						
c						
d						
e						
12 Subtotal. Add columns (b), (d), and (e)				169,852.		
13 Total. Add line 12, columns (b), (d), and (e)				169,852.		

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash	1 a (1)	X
	(2) Other assets	1 a (2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1 b (1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1 b (2)	X
	(3) Rental of facilities, equipment, or other assets	1 b (3)	X
	(4) Reimbursement arrangements	1 b (4)	X
	(5) Loans or loan guarantees	1 b (5)	X
	(6) Performance of services or membership or fundraising solicitations	1 b (6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c	X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign
Here**

Signature of officer or trustee

Date _____

Trustee	Title
---------	-------

May the IRS discuss
this return with the
preparer shown below
(see instructions)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Marybeth McInnis

Preparer's signature _____

Date	
------	--

Check	☒	if
self-employed		

PTIN	
------	--

P00365878

Firm's name ▶ McInnis Law Office

Firm's EIN ▶ 04-3300895

Firm's address ▶ 807 Turnpike St

NORTH ANDOVER MA 01845

Phone no (978) 686-6112

BAA

Form 990-PF (2013)

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
MA PC Tax	35.			
990 PF Tax	2,462.			
Foreign Taxes	652.			

Total 3,149.

Form 990-PF, Page 1, Part I, Line 23

Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
Postage	68.			
Bank Fee	10.			

Total 78.

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
MA PC Tax	35.	35.		
990 PF Tax	2,462.			
Foreign Taxes	652.	352.		
Total	<u>3,149.</u>	<u>387.</u>		

Form 990-PF, Page 1, Part I, Line 23

Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
Postage	68.			
Bank Fee	10.			
Total	<u>78.</u>			

C.E. and F.C.A. Foisy Foundation u/a dtd 11/12/2002**Form 990PF****2013****81-6114767****Part XV Contributions**

Name	Address	Relation	Found.		Purpose	
			Status	Purpose		
NHSPCA	Stratham, NH	None	Public	Animal rescue		500
Family Services	Lawrence, MA	None	Public	Social Welfare		2,500
Lawrence Boys Club	Lawrence, MA	None	Public	Community		2,500
Holy Cross	Worcester, MA	None	Public	Education		2,500
Pike School	Andover, MA	None	Public	Education		3,500
PALS	Andover, MA	None	Public	Educ./Community		20,000
Merr Valley Feline Rescue	Lawrence, MA	None	Public	Animal rescue		2,500
Northern Essex Comm Coll	Haverhill, MA	None	Public	Education		5,000
Notre Dame High School	Lawrence, MA	None	Public	Education		2,500
Hamilton College	Clinton, NY	None	Public	Education		5,000
Lyons Club	Atkinson, NH	None	Public	Community		1,000
MA Coalition for Homeless	Lynn, MA	None	Public	Aid to homeless		10,000
Melmark New England	Andover, MA	None	Public	Autism assistance		5,000
Merrimack College	No.Andover, MA	None	Public	Education		2,500
Emmaus House	Haverhill, MA	None	Public	Social Welfare		3,000
Suffolk University Law School	Boston, MA	None	Public	Education		2,500
Pan Mass Challenge	Boston, MA	None	Public	Cancer Research		10,000
American Heart Assoc	Framingham, MA	None	Public	Medical		1,500
Total Contributions for 2013						82,000

Form 990-PF, Page 2, Part II, Line 10b

L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year	
	Book Value	Fair Market Value
Detail Schedule Attached-Eaton Vance a/c RBC	498,433.	815,005.
Detail Schedule Attached-Sovereign a/c RBC	686,758.	922,066.
Detail Schedule Attached-Constitution a/c RBC	391,155.	642,234.
Detail Schedule Attached-Trust a/c RBC	29,576.	44,700.
Total	<u>1,605,922.</u>	<u>2,424,005.</u>

81-6114767
Foley Foundation

990 PF

Line 10(b)
item 1

RBC Eaton Vance AC

MV BV

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
ABBOTT LABORATORIES	ABT	450,000	\$38.330	\$17,248.50	\$8,504.70	\$8,743.80	\$396.00
ABBVIE INC	ABBV	450,000	\$52.810	\$23,764.50	\$9,222.62	\$14,541.88	\$720.00
AMERISOURCEBERGEN CORP	ABC	400,000	\$70.310	\$28,124.00	\$15,750.33	\$12,373.67	\$376.00
ANALOG DEVICES INC	ADI	300,000	\$50.930	\$15,279.00	\$12,383.00	\$2,896.00	\$408.00
APPLE INC	AAPL	60,000	\$561.020	\$33,661.20	\$5,424.41	\$28,236.79	\$732.00
CHUBB CORP	CB	250,000	\$96.630	\$24,157.50	\$10,004.63	\$14,152.87	\$440.00
CITIGROUP INC	C	150,000	\$52.110	\$7,816.50	\$7,679.82	\$136.68	\$6.00
COLGATE PALMOLIVE COMPANY	CL	300,000	\$65.210	\$19,563.00	\$11,711.78	\$7,851.22	\$408.00
CULLEN FROST BANKERS INC	CFR	100,000	\$74.430	\$7,443.00	\$6,248.50	\$1,194.50	\$200.00
CVS CAREMARK CORPORATION	CVS	292,000	\$71.570	\$20,898.44	\$8,858.50	\$12,039.94	\$321.20
CYPRESS SEMICONDUCTOR CORP	CY	600,000	\$10.500	\$6,300.00	\$6,641.76	-\$341.76	\$264.00
DEERE & CO	DE	200,000	\$91.330	\$18,266.00	\$12,023.00	\$6,243.00	\$408.00
EBAY INC	EBAY	125,000	\$54.865	\$6,858.13	\$6,486.25	\$371.88	
ECOLAB INC	ECL	250,000	\$104.270	\$26,067.50	\$9,054.50	\$17,013.00	\$275.00
EXXON MOBIL CORP	XOM	125,000	\$101.200	\$12,650.00	\$7,540.00	\$5,110.00	\$315.00
FEDEX CORP	FDX	100,000	\$143.770	\$14,377.00	\$10,934.00	\$3,443.00	\$60.00
FRANKLIN RESOURCES INC	BEN	300,000	\$57.730	\$17,319.00	\$9,965.00	\$7,354.00	\$144.00
GENERAL ELECTRIC CO	GE	700,000	\$28.030	\$19,621.00	\$22,172.00	-\$2,551.00	\$616.00

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
GOOGLE INC - CL A	GOOG	25.000	\$1,120.710	\$28,017.75	\$8,284.05	\$19,733.70	
HONEYWELL INTL INC	HON	250.000	\$91.370	\$22,842.50	\$8,283.75	\$14,558.75	\$450.0
ILLINOIS TOOL WORKS INC	ITW	225.000	\$84.080	\$18,918.00	\$13,326.41	\$5,591.59	\$378.0
INTEL CORP	INTC	700.000	\$25.955	\$18,168.50	\$19,244.00	-\$1,075.50	\$630.0
JOHNSON & JOHNSON	JNJ	200.000	\$91.590	\$18,318.00	\$10,235.25	\$8,082.75	\$528.0
JPMORGAN CHASE & CO	JPM	175.000	\$58.480	\$10,234.00	\$7,661.40	\$2,572.60	\$266.0
KINDER MORGAN INC	KMI	325.000	\$36.000	\$11,700.00	\$12,541.72	-\$841.72	\$533.0
MARRIOTT INTERNATIONAL CLASS A	MAR	250.000	\$49.351	\$12,337.75	\$10,507.30	\$1,830.45	\$170.0
MCDONALDS CORP	MCD	200.000	\$97.030	\$19,406.00	\$12,424.80	\$6,981.20	\$648.0
MICROSOFT CORP	MSFT	775.000	\$37.410	\$28,992.75	\$19,952.38	\$9,040.37	\$868.0
MONSANTO CO	MON	75.000	\$116.550	\$8,741.25	\$6,206.25	\$2,535.00	\$129.0
MORGAN STANLEY	MS	545.000	\$31.360	\$17,091.20	\$15,999.33	\$1,091.87	\$109.0
PAYCHEX INC	PAYX	600.000	\$45.530	\$27,318.00	\$23,698.80	\$3,619.20	\$840.0
PEPSICO INC	PEP	375.000	\$82.940	\$31,102.50	\$20,021.50	\$11,081.00	\$851.0
QUALCOMM INC	QCOM	200.000	\$74.250	\$14,850.00	\$7,145.68	\$7,704.32	\$280.0
SYSCO CORP	SY	200.000	\$36.100	\$7,220.00	\$5,952.00	\$1,268.00	\$232.0
THERMO FISHER SCIENTIFIC INC	TMO	200.000	\$111.350	\$22,270.00	\$11,304.00	\$10,966.00	\$120.0
WAL-MART STORES INC	WMT	250.000	\$78.690	\$19,672.50	\$13,697.25	\$5,975.25	\$470.0
WALT DISNEY CO	DIS	450.000	\$76.400	\$34,380.00	\$18,395.32	\$15,984.68	\$387.0
WASTE MANAGEMENT INC DEL	WM	325.000	\$44.870	\$14,582.75	\$9,364.26	\$5,218.49	\$474.0
WELLS FARGO & CO	WFC	200.000	\$45.400	\$9,080.00	\$6,370.99	\$2,709.01	\$240.0
TOTAL US EQUITIES				\$714,657.72	\$441,221.24	\$273,436.48	\$14,692.0

INTERNATIONAL EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
BHP BILLITON LTD SPONSORED ADR	BHP	75.000	\$68.200	\$5,115.00	\$5,886.44	-\$771.44	\$174.0
NESTLE SA-SPONSORED ADR REPSTG REGD ORD (SF 10 PAR)	NSRGY	325.000	\$73.424	\$23,862.80	\$12,918.75	\$10,944.05	\$590.0
SCHLUMBERGER LTD	SLB	400.000	\$90.110	\$36,044.00	\$11,379.50	\$24,664.50	\$500.0

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Go on to the next page. Get this statement and other account documents online. Learn more on page two.



INTERNATIONAL EQUITIES
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ANNUALIZED INCOME	ESTIMATED INCOME
VODAFONE GROUP PLC SPONSORED ADR	VOD	450.000	\$39.310	\$17,689.50	\$12,091.05	\$5,598.45		\$715.50
VOLKSWAGEN AG--SPONSORED ADR REPSTG ORD DM 50 PAR	VLKAY	325.000	\$54.263	\$17,635.48	\$14,935.60	\$2,699.88		\$217.75
TOTAL INTERNATIONAL EQUITIES				\$100,346.78	\$57,211.34	\$43,135.44		\$2,197.45

Line 10(6) Item ①

815,005

498,433

mv

BV

ACTIVITY DETAIL

990 PF 51-6114767
 LINE 10(b) 4054 foundation
 Item 2 SOVEREIGNALC

US EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
AFLAC INC	AFL	244.000	\$66.800	\$16,299.20	\$13,422.22	\$2,876.98	\$361.12
ALTERA CORP	ALTR	380.000	\$32.511	\$12,354.18	\$13,460.29	-\$1,106.11	\$228.00
AMERISOURCEBERGEN CORP	ABC	380.000	\$70.310	\$26,717.80	\$15,357.55	\$11,360.25	\$357.20
AT&T INC	T	389.000	\$35.160	\$13,677.24	\$10,404.70	\$3,272.54	\$715.76
BAXTER INTERNATIONAL INC	BAX	370.000	\$69.550	\$25,733.50	\$23,556.49	\$2,177.01	\$725.20
CATERPILLAR INC	CAT	147.000	\$90.810	\$13,349.07	\$9,450.91	\$3,898.16	\$352.80
CHEVRON CORPORATION	CVX	208.000	\$124.910	\$25,981.28	\$22,293.03	\$3,688.25	\$832.00
COCA COLA COMPANY (THE)	KO	411.000	\$41.310	\$16,978.41	\$15,723.46	\$1,254.95	\$460.32
COMCAST CORP CL A	CMCSA	531.000	\$51.965	\$27,593.42	\$21,280.31	\$6,313.11	\$414.18
COMMERCE BANCSHARES INC	CBSH	423.000	\$44.910	\$18,996.93	\$14,461.44	\$4,535.49	\$380.70
CONOCOPHILLIPS	COP	356.000	\$70.650	\$25,151.40	\$20,972.64	\$4,178.76	\$982.56
CULLEN FROST BANKERS INC	CFR	294.000	\$74.430	\$21,882.42	\$16,483.72	\$5,398.70	\$588.00
CVS CAREMARK CORPORATION	CVS	314.000	\$71.570	\$22,472.98	\$16,213.28	\$6,259.70	\$345.40
DOVER CORP	DOV	172.000	\$96.540	\$16,604.88	\$13,585.37	\$3,019.51	\$258.00
EXXON MOBIL CORP	XOM	216.000	\$101.200	\$21,859.20	\$11,738.01	\$10,121.19	\$544.32
INTERNATIONAL BUSINESS MACHINES CORP	IBM	163.000	\$187.570	\$30,573.91	\$21,179.96	\$9,393.95	\$619.40

mv

BV



RBC Wealth Management

CONSULTING SOLUTIONS
ACCOUNT STATEMENT

A division of RBC Capital Markets, LLC, Member NYSE/FINRA/SIPC.

DECEMBER 1, 2013 - DECEMBER 31, 2013

Account Statement
Page 5 of 17US EQUITIES
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
JOHNSON & JOHNSON	JNJ	325.000	\$91.590	\$29,766.75	\$21,193.59	\$8,573.16	\$858.00
LOWES COMPANIES INC	LOW	419.000	\$49.550	\$20,761.45	\$11,759.27	\$9,002.18	\$301.68
MCDONALDS CORP	MCD	164.000	\$97.030	\$15,912.92	\$12,802.52	\$3,110.40	\$531.36
MICROCHIP TECHNOLOGY INC	MCHP	586.000	\$44.750	\$26,223.50	\$20,263.24	\$5,960.26	\$830.95
NEXTERA ENERGY INC	NEE	133.000	\$85.620	\$11,387.46	\$8,712.53	\$2,674.93	\$351.12
NIKE INC-CL B	NKE	299.000	\$78.640	\$23,513.36	\$13,957.72	\$9,555.64	\$287.04
NUCOR CORP	NUE	265.000	\$53.380	\$14,145.70	\$11,636.60	\$2,509.10	\$392.20
OCCIDENTAL PETE CORP	OXY	293.000	\$95.100	\$27,864.30	\$24,268.49	\$3,595.81	\$750.08
ORACLE CORPORATION	ORCL	552.000	\$38.260	\$21,119.52	\$17,796.87	\$3,322.65	\$264.96
PARKER HANNIFIN CORP	PH	125.000	\$128.640	\$16,080.00	\$13,089.04	\$2,990.96	\$225.00
PEPSICO INC	PEP	262.000	\$82.940	\$21,730.28	\$16,723.50	\$5,006.78	\$594.74
PHILIP MORRIS INTERNATIONAL INC	PM	314.000	\$87.130	\$27,358.82	\$21,497.38	\$5,861.44	\$1,180.64
PRAXAIR INC	PX	143.000	\$130.030	\$18,594.29	\$11,969.57	\$6,624.72	\$343.20
PRICE T ROWE GROUP INC	TROW	311.000	\$83.770	\$26,052.47	\$16,225.11	\$9,827.36	\$472.72
PROCTER & GAMBLE CO	PG	154.000	\$81.410	\$12,537.14	\$9,285.99	\$3,251.15	\$370.52
QUALCOMM INC	QCOM	440.000	\$74.250	\$32,670.00	\$20,032.56	\$12,637.44	\$616.00
ROBERT HALF INTERNATIONAL INC	RHI	279.000	\$41.990	\$11,715.21	\$7,467.56	\$4,247.65	\$178.56
TARGET CORP	TGT	314.000	\$63.270	\$19,866.78	\$19,239.72	\$627.06	\$540.08
TJX COMPANIES INC NEW	TJX	424.000	\$63.730	\$27,021.52	\$4,748.78	\$22,272.74	\$245.92
UNION PACIFIC CORP	UNP	81.000	\$168.000	\$13,608.00	\$12,454.97	\$1,153.03	\$255.96
UNITED TECHNOLOGIES CORP	UTX	157.000	\$113.800	\$17,866.60	\$10,189.69	\$7,676.91	\$370.52
WAL-MART STORES INC	WMT	216.000	\$78.690	\$16,997.04	\$15,659.40	\$1,337.64	\$406.08
WILLIAMS COMPANIES INC	WMB	462.000	\$38.570	\$17,819.34	\$15,718.44	\$2,100.90	\$702.24
TOTAL US EQUITIES				\$806,838.27	\$596,275.92	\$210,562.35	\$19,234.53
INTERNATIONAL EQUITIES							
DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
ACCENTURE PLC IRELAND SHS CL A	ACN	127.000	\$82.220	\$10,441.94	\$8,174.09	\$2,267.85	\$236.22
ACE LIMITED	ACE	243.000	\$103.530	\$25,157.79	\$16,939.59	\$8,218.20	\$612.36

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Consolidated. Get this statement and other account documents online. Learn more on page 110.

ALBERT P PETTORUTO JR TTEE
THE C E & F C A FOISY FNDTN



INTERNATIONAL EQUITIES
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ANNUALIZED INCOME	ESTIMATED INCOME
NOVARTIS AG AMERICAN DEPOSITORY SHARES	NVS	291.000	\$80.380	\$23,390.58	\$16,864.32	\$6,526.26	\$598.59	\$598.59
PENTAIR LTD SHS	PNR	305.000	\$77.670	\$23,689.35	\$17,351.59	\$6,337.76	\$305.00	\$305.00
SANOFI SPONSORED ADR	SNY	251.000	\$53.630	\$13,461.13	\$10,792.12	\$2,669.01	\$315.01	\$315.01
TOTAL INTERNATIONAL EQUITIES				\$96,140.79	\$70,121.71	\$26,019.08	\$2,067.18	\$2,067.18

OTHER ASSETS

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ANNUALIZED INCOME	ESTIMATED INCOME
ESSEX PROPERTY TRUST INC	ESS	133.000	\$143.510	\$19,086.83	\$20,360.67	-\$1,273.84	\$643.72	\$643.72
TOTAL OTHER ASSETS				\$19,086.83	\$20,360.67	-\$1,273.84	\$643.72	\$643.72

ACTIVITY DETAIL

Realized gain/loss column includes fees and commissions. It does not include accrued interest.

Purchases, sales and other activity all represent an exchange of cash and/or money market funds for securities and, as such, do not represent deposits to or withdrawals from your account.

Sovereign Totals
Line 19(b) Item 2

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US EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
ACADIA HEALTHCARE CO INC -COM	ACHC	400 000	\$47.330	\$18,932.00	\$6,893 98	\$12,038.02	
AKORN INC	AKRX	900.000	\$24 620	\$22,158 00	\$12,560.60	\$9,597.40	
AMERICAN EAGLE ENERGY CORPORATION	AMZG	3,000.000	\$2.050	\$6,150 00	\$5,460.00	\$690.00	
CALAMP CORP	CAMP	200.000	\$27.970	\$5,594.00	\$2,007.80	\$3,586.20	
CALLIDUS SOFTWARE INC	CALD	1,900 000	\$13.730	\$26,087.00	\$11,675.53	\$14,411 47	
CANCER GENETICS INC COM	CGIX	500.000	\$13.780	\$6,890.00	\$7,069 95	-\$179 95	
CHEGG INC	CHGG	700.000	\$8.510	\$5,957.00	\$6,755.57	-\$798.57	
CONATUS PHARMACEUTICALS INC COM	CNAT	300.000	\$6.450	\$1,935.00	\$2,840 97	-\$905.97	
CUI GLOBAL INC	CUI	400.000	\$6.320	\$2,528.00	\$2,120.00	\$408.00	
DEL FRISCOS RESTAURANT GROUP INC	DFRG	500.000	\$23.570	\$11,785.00	\$9,065.17	\$2,719 83	
DEXCOM INC	DXCM	800.000	\$35.410	\$28,328 00	\$5,303.70	\$23,024.30	
DIAMONDBACK ENERGY INC COM	FANG	200.000	\$52.882	\$10,576.40	\$6,600.98	\$3,975 42	
DIVERSIFIED RESTAURANT HOLDINGS INC	BAGR	400.000	\$4 770	\$1,908.00	\$3,295 96	-\$1,387.96	
ELLIE MAE INC	ELLI	300.000	\$26 870	\$8,061.00	\$6,388.97	\$1,672 03	
ENDOLOGIX INC	ELGX	500.000	\$17.440	\$8,720.00	\$6,801.20	\$1,918 80	



RBC Wealth Management



MANAGED ACCOUNT PROGRAM ACCOUNT STATEMENT

DECEMBER 1, 2013 - DECEMBER 31, 2013

A division of RBC Capital Markets, LLC, Member NYSE/FINRA/SIPC.

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US EQUITIES (continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
ENTEGRIS INC	ENTG	1,200,000	\$11.590	\$13,908.00	\$3,197.04	\$10,710.96	
EXAR CORPORATION	EXAR	700,000	\$11.790	\$8,253.00	\$7,525.98	\$727.02	
GRAND CANYON EDUCATION INC	LOPE	600,000	\$43.600	\$26,160.00	\$9,248.08	\$16,911.92	
HCI GROUP INC COM	HCI	200,000	\$53.500	\$10,700.00	\$4,566.00	\$6,134.00	\$220.00
IKANOS COMMUNICATIONS	IKAN	5,000,000	\$1.200	\$6,000.00	\$5,200.00	\$800.00	
IMMERSION CORP	IMMR	400,000	\$10.380	\$4,152.00	\$5,934.52	-\$1,782.52	
INCONTACT INC	SAAS	300,000	\$7.810	\$2,343.00	\$2,525.97	-\$182.97	
LIFELock INC COM	LOCK	500,000	\$16.410	\$8,205.00	\$4,602.75	\$3,602.25	
LOGMEIN INC	LOGM	400,000	\$33.550	\$13,420.00	\$8,740.03	\$4,679.97	
LTX-CREDENCE CORPORATION	LTXC	1,300,000	\$7.990	\$10,387.00	\$8,483.70	\$1,903.30	
M/A-COM TECHNOLOGY SOLUTIONS HOLDINGS INC	MTSI	500,000	\$16.990	\$8,495.00	\$9,683.99	-\$1,188.99	
MANKIND CORP	MNKD	800,000	\$5.200	\$4,160.00	\$4,127.20	\$32.80	
MATTSON TECHNOLOGY INC	MTSN	2,000,000	\$2.740	\$5,480.00	\$4,794.90	\$685.10	
MONOLITHIC POWER SYS INC	MPWR	400,000	\$34.660	\$13,864.00	\$5,939.14	\$7,924.86	
NANOMETRICS INC	NANO	200,000	\$19.050	\$3,810.00	\$2,185.08	\$1,624.92	
NEONODE INC	NEON	1,800,000	\$6.320	\$11,376.00	\$8,877.95	\$2,498.05	
NEULINK GENETICS CORP	NLNK	300,000	\$22.010	\$6,603.00	\$5,996.94	\$606.06	
NUMEREX CORP-PA CL A	NMRX	500,000	\$12.950	\$6,475.00	\$5,857.55	\$617.45	
ON ASSIGNMENT INC	ASGN	200,000	\$34.920	\$6,984.00	\$4,173.30	\$2,810.70	
OSAGE EXPLORATION AND DEVELOPMENT INC	OEDV	2,700,000	\$0.990	\$2,673.00	\$4,165.50	-\$1,492.50	
PORTFOLIO RECOVERY ASSOCIATES INC	PRAA	300,000	\$52.840	\$15,852.00	\$6,331.73	\$9,520.27	
QUICKLOGIC CORP	QUIK	2,000,000	\$3.950	\$7,900.00	\$6,018.60	\$1,881.40	
RALLY SOFTWARE DEV CORP COM	RALY	400,000	\$19.450	\$7,780.00	\$8,335.98	-\$555.98	
REGIONAL MANAGEMENT CORP	RM	300,000	\$33.930	\$10,179.00	\$9,476.97	\$702.03	
RING ENERGY INC	REI	200,000	\$12.200	\$2,440.00	\$2,249.98	\$190.02	
RUCKUS WIRELESS INC COM	RKUS	500,000	\$14.200	\$7,100.00	\$7,376.95	-\$276.95	

US EQUITIES
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
SCIQEST INC NEW COM	SQI	900,000	\$28.480	\$25,632.00	\$12,482.33	\$13,149.67	
SPECTRANETICS CORP	SPNC	200,000	\$25.000	\$5,000.00	\$3,637.60	\$1,362.40	
SPIRIT AIRLINES INC	SAVE	400,000	\$45.410	\$18,164.00	\$7,892.45	\$10,271.55	
SPS COMM INC	SPSC	300,000	\$65.300	\$19,590.00	\$5,110.00	\$14,480.00	
SYNERGY PHARMACEUTICALS INC	SGYP	900,000	\$5.630	\$5,067.00	\$4,441.87	\$625.13	
TANGOE INC	TNGO	900,000	\$18.010	\$16,209.00	\$12,942.37	\$3,266.63	
TEARLAB CORPORATION	TEAR	800,000	\$9.340	\$7,472.00	\$7,206.59	\$265.41	
TUMI HOLDINGS INC COM	TUMI	200,000	\$22.550	\$4,510.00	\$4,155.98	\$354.02	
U S SILICA HOLDINGS INC	SLCA	500,000	\$34.110	\$17,055.00	\$8,897.49	\$8,157.51	\$250.00
UBIQUITI NETWORKS INC COM	UBNT	400,000	\$45.960	\$18,384.00	\$6,560.37	\$11,823.63	
WAGEWORKS INC	WAGE	500,000	\$59.440	\$29,720.00	\$9,234.95	\$20,485.05	
WEB COM GROUP INC	WWW	400,000	\$31.790	\$12,716.00	\$6,636.75	\$6,079.25	
TOTAL US EQUITIES				\$569,827.40	\$339,654.96	\$230,172.44	\$470.00

INTERNATIONAL EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
ALCOBRA LTD SHS	ADHD	200,000	\$18.000	\$3,600.00	\$3,445.98	\$154.02	
ALLOT COMMUNICATIONS LTD	ALLT	800,000	\$15.130	\$12,104.00	\$11,938.85	\$165.15	
ATLAS FINANCIAL HOLDINGS INC SHS	AFH	1,000,000	\$14.720	\$14,720.00	\$6,494.58	\$8,225.42	
FLEETMATICS GROUP PLC COM	FLTX	100,000	\$43.250	\$4,325.00	\$2,513.99	\$1,811.01	
KODIAK OIL & GAS CORP	KOG	600,000	\$11.210	\$6,726.00	\$4,410.54	\$2,315.46	
NOVA MEASURING INSTRUMENTS LTD	NVMI	1,200,000	\$9.840	\$11,808.00	\$6,023.90	\$5,784.10	



RBC Wealth Management

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MANAGED ACCOUNT PROGRAM ACCOUNT STATEMENT

DECEMBER 1, 2013 - DECEMBER 31, 2013

Account number
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INTERNATIONAL EQUITIES (continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
SEQUANS COMMUNICATIONS S A SPONSORED ADS	SQNS	2,050.000	\$2.000	\$4,100.00	\$4,004.29	\$95.71	
SODASTREAM INTERNATIONAL LTD	SODA	200.000	\$49.640	\$9,928.00	\$8,060.00	\$1,868.00	
TOTAL INTERNATIONAL EQUITIES				\$67,311.00	\$46,892.13	\$20,418.87	

OTHER ASSETS

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
PHYSICIANS REALTY TRUST	DOC	400.000	\$12.740	\$5,096.00	\$4,608.00	\$488.00	\$360.00
TOTAL OTHER ASSETS				\$5,096.00	\$4,608.00	\$488.00	\$360.00

ACTIVITY DETAIL

Realized gain/loss column includes fees and commissions. It does not include accrued interest.

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US EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
NPS PHARMACEUTICALS INC	NPSP	500.000	\$30.360	\$15,180.00	\$13,850.14	\$1,329.86	
ONCOMED PHARMACEUTICALS INC COM	OMED	1,000.000	\$29.520	\$29,520.00	\$15,725.99	\$13,794.01	

TOTAL US EQUITIES

\$44,700.00 \$29,576.13 \$15,123.87

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81-6114767

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RECIPIENT'S ACCOUNT NUMBER

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

Please see Form 1099-B for information reported to the IRS.

Long-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
15.000	APPLE INC SYMBOL AAPL, CUSIP 037833100	☒	01/27/09	09/10/13	7,530.52	1,356.10	6,174.42
475.000	CORNING INC SYMBOL GLW, CUSIP 219350105	☒	10/31/06	03/28/13	6,293.98	9,747.00	-3,453.02
150.000	ECOLAB INC SYMBOL ECL, CUSIP 278865100	☒	02/27/06	03/28/13	11,948.96	5,475.00	6,473.96
400.000	GOLDCORP INC SYMBOL GG, CUSIP 380956409	☐	03/26/12	12/30/13	8,495.89	18,134.20	-9,638.31
100.000	HONEYWELL INTL INC SYMBOL HON, CUSIP 438516106	☒	03/19/04	03/28/13	7,544.98	3,313.50	4,231.48
125.000	JOHNSON CONTROLS INC SYMBOL JCI, CUSIP 478366107	☐	07/07/11	09/10/13	5,313.91	5,347.31	-33.40
225.000	JOHNSON CONTROLS INC SYMBOL JCI, CUSIP 478366107	☒	12/27/10	09/10/13	9,565.03	8,590.28	974.75
150.000	METLIFE INC SYMBOL MET, CUSIP 59156R108	☒	09/30/09	03/28/13	5,703.65	5,717.93	-14.28
100.000	MICROSOFT CORP SYMBOL MSFT, CUSIP 594918104	☒	03/04/04	09/10/13	3,212.14	2,626.00	586.14
250.000	SUNCOR ENERGY INC SYMBOL SU, CUSIP 867224107	☒	12/27/10	03/28/13	7,540.03	9,238.88	-1,698.85
118.000	TRANSOCEAN LTD US LISTED SYMBOL RIG, CUSIP H8817H100	☒	03/10/04	09/10/13	5,559.09	6,916.67	-1,357.58
Long-Term Subtotal					78,708.18	76,463	2,245.31
Total Gains and Losses					78,708.18	76,463	2,245.31
Short-Term							0.00
Long-Term							2,245.31
Term Unknown							0.00

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RECIPIENT'S ACCOUNT NUMBER

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less, "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

Please see Form 1099-B for information reported to the IRS.

Short-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
150.000	ACQUITY GROUP LIMITED SPONSORED ADR CUSIP: 00489C103	☐	04/27/12	03/08/13	1,244.99	901.49	343.51
200.000	ACQUITY GROUP LIMITED SPONSORED ADR CUSIP: 00489C103	☐	08/08/12	05/20/13	2,539.94	2,054.50	485.44
200.000	ACQUITY GROUP LIMITED SPONSORED ADR CUSIP: 00489C103	☐	06/19/12	05/20/13	2,539.94	1,799.50	740.44
100.000	APPROACH RESOURCES INC SYMBOL: AREX, CUSIP: 03834A103	☐	09/20/12	02/20/13	2,469.96	2,996.99	-527.03
200.000	BIOSCRIP INC SYMBOL: BIOS, CUSIP: 09069N108	☐	03/12/13	09/24/13	1,788.16	2,419.60	-631.44
200.000	BIOSCRIP INC SYMBOL: BIOS, CUSIP: 09069N108	☐	04/19/13	10/02/13	1,710.17	2,583.98	-873.81
100.000	CHEMBIO DIAGNOSTICS INC SYMBOL: CEMI, CUSIP: 163572209	☐	03/28/13	09/10/13	340.00	503.91	-163.91
900.000	CHEMBIO DIAGNOSTICS INC SYMBOL: CEMI, CUSIP: 163572209	☐	03/28/13	10/22/13	3,240.03	4,535.19	-1,295.16
300.000	CHIPMOS TECHNOLOGIES BERMUDA LTD SYMBOL: IMOS, CUSIP: G2110R114	☐	10/26/12	02/06/13	3,602.91	3,041.79	561.12
100.000	CONNECTONE BANCORP INC COM SYMBOL: CNOB, CUSIP: 20786U101	☐	02/28/13	06/07/13	2,917.95	2,919.99	-2.04
100.000	COTY INC COM CL A SYMBOL: COTY, CUSIP: 222070203	☐	06/18/13	07/19/13	1,711.47	1,724.00	-12.53
100.000	COTY INC COM CL A SYMBOL: COTY, CUSIP: 222070203	☐	06/24/13	07/19/13	1,711.47	1,656.99	54.48

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PAGE
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RECIPIENT'S ACCOUNT NUMBER

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
200.000	CROCS INC SYMBOL CROX, CUSIP 227046109	☐	05/20/13	08/07/13	2,808.10	3,411.76	-603.66
100.000	CYAN INC COM SYMBOL. CYNI, CUSIP 23247W104	☐	05/20/13	10/30/13	555.99	1,397.99	-842.00
200.000	E2OPEN INC COM SYMBOL: EOPN, CUSIP 29788A104	☐	01/02/13	04/17/13	2,755.95	2,943.98	-188.03
100.000	FLEETMATICS GROUP PLC COM SYMBOL: FLTX, CUSIP G35569105	☐	01/31/13	10/02/13	3,330.95	2,513.99	816.96
100.000	FRANCESCAS HOLDINGS CORPORATION COM SYMBOL FRAN, CUSIP 351793104	☐	05/20/13	10/03/13	1,838.98	3,131.99	-1,293.01
100.000	FRANCESCAS HOLDINGS CORPORATION COM SYMBOL. FRAN, CUSIP 351793104	☐	03/27/13	10/03/13	1,838.98	2,858.99	-1,020.01
50.000	FRANCESCAS HOLDINGS CORPORATION COM SYMBOL FRAN, CUSIP: 351793104	☐	03/25/13	10/03/13	919.49	1,510.00	-590.51
400.000	HEADWATERS INC SYMBOL: HW, CUSIP. 42210P102	☐	12/19/12	11/07/13	3,724.33	3,043.68	680.65
100.000	HOME LOAN SERVICING SOLUTIONS LTD SYMBOL: HLSS, CUSIP. G6648D109	☐	12/04/12	11/12/13	2,289.96	1,906.00	383.96
300.000	HOME LOAN SERVICING SOLUTIONS LTD SYMBOL: HLSS, CUSIP: G6648D109	☐	12/19/12	12/05/13	6,959.87	5,675.97	1,283.90
1,500.000	MAGNEGAS CORPORATION COMMON STOCK SYMBOL MNGA, CUSIP 55939L202	☐	08/16/12	04/11/13	1,351.46	4,542.00	-3,190.54
300.000	MANNKIND CORP SYMBOL. MNKD, CUSIP. 56400P201	☐	05/16/13	05/22/13	1,872.26	1,547.70	324.56
100.000	MATTRESS FIRM HOLDING CORP SYMBOL MFRM, CUSIP. 57722W106	☐	10/17/12	10/09/13	3,154.95	3,203.00	-48.05
100.000	MATTRESS FIRM HOLDING CORP SYMBOL: MFRM, CUSIP: 57722W106	☐	11/27/12	10/29/13	3,115.31	2,782.99	332.32
100.000	NCI BUILDING SYSTEMS INC SYMBOL. NCS, CUSIP: 628852204	☐	02/01/13	07/19/13	1,452.10	1,604.00	-151.90
100.000	NCI BUILDING SYSTEMS INC SYMBOL: NCS, CUSIP 628852204	☐	08/20/12	07/19/13	1,452.10	1,106.90	345.20

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
200.000	NOVADAQ TECHNOLOGIES INC COMMON SYMBOL NVDQ, CUSIP 66987G102	☐	09/07/12	04/02/13	2,061.95	1,700.00	361.95
400.000	NOVADAQ TECHNOLOGIES INC COMMON SYMBOL NVDQ, CUSIP 66987G102	☐	08/20/12	04/02/13	4,123.91	3,200.00	923.91
200.000	ONCOLYTICS BIOTECH INC SYMBOL: ONCY, CUSIP. 682310107	☐	02/20/13	05/13/13	546.08	808.00	-261.92
100.000	POLYPORE INTERNATIONAL INC SYMBOL: PPO, CUSIP 73179V103	☐	06/11/13	12/04/13	3,702.95	4,358.00	-655.06
250.000	SEQUANS COMMUNICATIONS SA SPONSORED ADS SYMBOL SQNS, CUSIP 817323108	☐	02/25/13	08/02/13	514.49	424.95	89.54
200.000	SKULLCANDY INC SYMBOL: SKUL, CUSIP 83083J104	☐	04/05/12	03/08/13	1,061.99	3,471.98	-2,409.99
100.000	SKULLCANDY INC SYMBOL: SKUL, CUSIP 83083J104	☐	07/09/12	03/08/13	531.00	1,498.99	-967.99
100.000	SPECTRANETICS CORP SYMBOL SPNC, CUSIP 84760C107	☐	04/26/13	09/05/13	1,590.57	1,899.60	-309.03
100.000	SUSSER HOLDINGS CORP SYMBOL SUSS, CUSIP 869233106	☐	07/18/12	01/11/13	3,799.95	3,568.00	231.95
500.000	SYNACOR INC SYMBOL: SYNC, CUSIP. 871561106	☐	08/14/12	03/27/13	1,450.01	3,998.00	-2,547.99
100.000	SYNACOR INC SYMBOL: SYNC, CUSIP 871561106	☐	09/04/12	03/27/13	290.00	823.99	-533.99
100.000	SYNACOR INC SYMBOL: SYNC, CUSIP 871561106	☐	02/06/13	03/27/13	290.00	511.00	-221.00
100.000	TEARLAB CORPORATION SYMBOL: TEAR, CUSIP. 878193101	☐	05/03/13	06/03/13	1,157.98	814.99	342.99
200.000	UNI-PIXEL INC SYMBOL UNXL, CUSIP: 904572203	☐	08/09/12	02/19/13	4,261.10	1,149.24	3,111.86
100.000	UNI-PIXEL INC SYMBOL: UNXL, CUSIP 904572203	☐	08/09/12	03/12/13	2,983.53	574.62	2,408.91
100.000	UNI-PIXEL INC SYMBOL: UNXL, CUSIP: 904572203	☐	08/09/12	04/17/13	3,864.71	574.62	3,290.09
400.000	UNI-PIXEL INC SYMBOL: UNXL, CUSIP: 904572203	☐	09/14/12	07/15/13	6,329.48	2,431.60	3,897.88
100.000	UNI-PIXEL INC SYMBOL UNXL, CUSIP: 904572203	☐	10/26/12	09/09/13	1,752.76	647.40	1,105.36
100.000	UNI-PIXEL INC SYMBOL: UNXL, CUSIP 904572203	☐	04/03/13	11/08/13	1,362.17	2,605.20	-1,243.03

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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
300.000	EBIX INC SYMBOL EBIX, CUSIP 278715206	☒	06/01/09	05/01/13	6,180.76	3,324.50	2,856.26
100.000	FRANDESCAS HOLDINGS CORPORATION COM SYMBOL FRAN, CUSIP 351793104	☐	12/21/11	09/05/13	1,817.97	1,858.40	-40.44
100.000	FRANDESCAS HOLDINGS CORPORATION COM SYMBOL FRAN, CUSIP 351793104	☐	12/07/11	09/05/13	1,817.97	1,806.70	11.27
100.000	FRANDESCAS HOLDINGS CORPORATION COM SYMBOL FRAN, CUSIP 351793104	☐	12/21/11	10/03/13	1,838.98	1,858.40	-19.42
50.000	HIBBETT SPORTS INC SYMBOL HIBB, CUSIP 428567101	☒	11/23/09	01/02/13	2,639.95	1,001.46	1,638.49
30.000	HIBBETT SPORTS INC SYMBOL HIBB, CUSIP 428567101	☒	12/24/09	02/04/13	1,566.26	669.87	896.39
70.000	HIBBETT SPORTS INC SYMBOL HIBB, CUSIP 428567101	☒	12/24/09	02/20/13	3,645.51	1,563.03	2,082.48
200.000	KODIAK OIL & GAS CORP SYMBOL KOG, CUSIP 50015Q100	☐	01/05/11	09/12/13	2,239.96	1,280.00	959.96
100.000	MICROSEMI CORP SYMBOL MSCC, CUSIP 595137100	☒	03/22/07	12/09/13	2,395.97	2,102.92	293.05
100.000	MICROSEMI CORP SYMBOL MSCC, CUSIP 595137100	☒	01/11/07	12/09/13	2,395.97	1,842.92	553.05
100.000	MICROSEMI CORP SYMBOL MSCC, CUSIP 595137100	☒	12/24/09	12/09/13	2,395.97	1,785.80	610.17
100.000	MICROSEMI CORP SYMBOL MSCC, CUSIP 595137100	☒	03/30/05	12/09/13	2,395.97	1,628.82	767.15
100.000	MICROSEMI CORP SYMBOL MSCC, CUSIP 595137100	☒	11/10/04	12/09/13	2,395.97	1,578.00	817.97
100.000	NANOMETRICS INC SYMBOL NANO, CUSIP 630077105	☒	12/17/09	08/27/13	1,406.37	1,092.54	313.83
200.000	NCI BUILDING SYSTEMS INC SYMBOL NCS, CUSIP 628852204	☐	03/06/12	07/19/13	2,904.21	2,411.70	492.51
400.000	NEWLINK GENETICS CORP SYMBOL NLNK, CUSIP 651511107	☐	11/14/11	04/02/13	4,912.40	2,814.56	2,097.84
200.000	NXSTAGE MEDICAL INC SYMBOL NXTM, CUSIP 67072V103	☒	08/25/09	01/08/13	2,263.96	1,241.94	1,022.02
400.000	NXSTAGE MEDICAL INC SYMBOL NXTM, CUSIP 67072V103	☒	08/25/09	11/08/13	4,119.96	2,483.88	1,636.08
300.000	OCZ TECHNOLOGY GROUP INC SYMBOL OCZTQ, CUSIP 67086E303	☐	04/08/11	10/24/13	366.02	2,942.64	-2,576.62
300.000	OCZ TECHNOLOGY GROUP INC SYMBOL OCZTQ, CUSIP 67086E303	☐	04/11/11	10/24/13	366.02	2,931.00	-2,564.98

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Short-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
100.000	UNI-PIXEL INC SYMBOL: UNXL, CUSIP 904572203	☐	11/27/12	11/08/13	1,362.17	713.60	648.57
50.000	VITAMIN SHOPPE INC SYMBOL: VSI, CUSIP 92849E101	☐	01/18/13	06/28/13	2,237.96	3,033.05	-795.09
600.000	ZAGG INC SYMBOL: ZAGG, CUSIP 98884U108	☐	09/05/12	05/03/13	3,054.41	5,085.60	-2,031.19
100.000	3-D SYSTEMS CORP-DEL SYMBOL: DDD, CUSIP 88554D205	☐	06/14/12	01/02/13	5,601.88	2,813.99	2,787.89
100.000	3-D SYSTEMS CORP-DEL SYMBOL: DDD, CUSIP 88554D205	☐	06/14/12	01/22/13	7,057.85	2,813.99	4,243.86
Short-Term Subtotal					126,226.67		6,387.39

Long-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
150.000	ACQUITY GROUP LIMITED SPONSORED ADR CUSIP 00489C103	☐	04/27/12	05/20/13	1,904.96	901.49	1,003.47
100.000	APPROACH RESOURCES INC SYMBOL: AREX, CUSIP 03834A103	☐	12/01/11	02/20/13	2,469.96	3,138.60	-668.64
50.000	APPROACH RESOURCES INC SYMBOL: AREX, CUSIP 03834A103	☐	01/31/12	02/20/13	1,234.98	1,687.38	-452.40
100.000	BRIDGEPOINT EDUCATION INC SYMBOL: BPI, CUSIP 10807M105	☐	01/20/12	01/23/13	1,017.13	2,484.80	-1,467.67
100.000	BRIDGEPOINT EDUCATION INC SYMBOL: BPI, CUSIP 10807M105	☒	03/16/10	01/23/13	1,017.13	2,260.99	-1,243.86
100.000	BRIDGEPOINT EDUCATION INC SYMBOL: BPI, CUSIP 10807M105	☐	05/17/11	01/23/13	1,017.13	2,022.50	-1,005.37
200.000	BRIDGEPOINT EDUCATION INC SYMBOL: BPI, CUSIP 10807M105	☒	04/30/09	01/23/13	2,034.25	2,156.00	-121.75
250.000	CROCS INC SYMBOL: CROX, CUSIP 227046109	☒	09/29/10	07/29/13	3,385.14	3,222.23	162.92
50.000	CROCS INC SYMBOL: CROX, CUSIP 227046109	☒	09/29/10	08/07/13	702.03	644.45	57.58
100.000	DEXCOM INC SYMBOL: DXCM, CUSIP 252131107	☒	04/15/08	11/12/13	3,270.49	535.55	2,734.94
100.000	EBIX INC SYMBOL: EBIX, CUSIP 278715206	☐	12/06/11	05/01/13	2,060.25	2,145.60	-85.35
100.000	EBIX INC SYMBOL: EBIX, CUSIP 278715206	☒	04/02/09	05/01/13	2,060.25	852.33	1,207.92

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
300.000	OCZ TECHNOLOGY GROUP INC SYMBOL OCZTQ, CUSIP 67086E303	☐	01/24/12	10/24/13	366.02	2,426.55	-2,060.53
200.000	OCZ TECHNOLOGY GROUP INC SYMBOL OCZTQ, CUSIP 67086E303	☐	08/07/12	10/24/13	244.02	1,127.70	-883.68
100.000	OCZ TECHNOLOGY GROUP INC SYMBOL OCZTQ, CUSIP 67086E303	☐	02/08/12	10/24/13	122.01	929.85	-807.84
100.000	OCZ TECHNOLOGY GROUP INC SYMBOL OCZTQ, CUSIP 67086E303	☐	10/24/11	10/24/13	122.01	659.90	-537.89
100.000	OCZ TECHNOLOGY GROUP INC SYMBOL OCZTQ, CUSIP 67086E303	☐	07/09/12	10/24/13	122.01	595.85	-473.84
2,300.000	OVERLAND STORAGE INC SYMBOL OVRL, CUSIP 690310206	☐	03/23/12	11/01/13	2,185.19	4,721.44	-2,536.25
100.000	POLYPORE INTERNATIONAL INC SYMBOL PPO, CUSIP 73179V103	☒	06/21/10	11/12/13	3,593.94	2,308.50	1,285.44
100.000	POLYPORE INTERNATIONAL INC SYMBOL PPO, CUSIP 73179V103	☒	06/21/10	12/04/13	3,702.95	2,308.50	1,394.45
100.000	RUE21 INC CUSIP 781295100	☒	06/03/10	05/23/13	4,199.93	3,412.00	787.93
100.000	RUE21 INC CUSIP 781295100	☐	04/05/12	05/23/13	4,199.93	2,984.90	1,215.03
200.000	RUE21 INC CUSIP 781295100	☒	03/04/10	05/23/13	8,399.85	5,899.10	2,500.75
300.000	SKULLCANDY INC SYMBOL SKUL, CUSIP 83083J104	☐	10/14/11	03/08/13	1,592.99	4,707.00	-3,114.01
100.000	SKULLCANDY INC SYMBOL SKUL, CUSIP 83083J104	☐	12/22/11	03/08/13	531.00	1,350.99	-819.99
50.000	SOLARWINDS INC SYMBOL SWI, CUSIP 83416B109	☒	06/01/09	01/14/13	2,820.53	788.00	2,032.53
100.000	SOLARWINDS INC SYMBOL SWI, CUSIP 83416B109	☒	09/27/10	06/12/13	3,997.53	1,801.99	2,195.54
100.000	SOLARWINDS INC SYMBOL SWI, CUSIP 83416B109	☐	02/15/11	06/27/13	3,837.13	2,144.00	1,693.13
200.000	TANGOE INC SYMBOL TNGO, CUSIP 87582Y108	☐	08/30/11	10/02/13	4,797.91	2,190.40	2,607.51
100.000	THERMON GROUP HOLDINGS INC SYMBOL THR, CUSIP 88362T103	☐	05/12/11	02/14/13	2,227.45	1,274.50	952.95
200.000	THERMON GROUP HOLDINGS INC SYMBOL THR, CUSIP 88362T103	☐	05/05/11	02/14/13	4,454.90	2,412.00	2,042.90
200.000	TILLYS INC CLA SYMBOL TLYS, CUSIP 886885102	☐	08/20/12	12/26/13	2,223.46	3,215.74	-992.28

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Long-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
100.000	TILLYS INC CLA SYMBOL: TLYS, CUSIP 886885102	☐	06/27/12	12/26/13	1,111.73	1,597.99	-486.26
100.000	UBIQUITI NETWORKS INC COM SYMBOL: UBNT, CUSIP 90347A100	☐	11/30/11	09/03/13	3,348.95	1,945.40	1,403.55
100.000	UNI-PIXEL INC SYMBOL: UNXL, CUSIP 904572203	☐	10/26/12	11/08/13	1,362.17	647.40	714.77
400.000	UROLOGIX INC SYMBOL: ULGX, CUSIP 917273104	☐	06/29/12	09/09/13	119.99	320.00	-200.01
4,000.000	UROLOGIX INC SYMBOL: ULGX, CUSIP 917273104	☐	06/29/12	12/19/13	519.99	3,200.00	-2,680.01
100.000	VEECO INSTRUMENTS INC-DEL SYMBOL: VECO, CUSIP 922417100	☒	11/10/09	01/22/13	3,151.03	2,553.76	597.27
100.000	VEECO INSTRUMENTS INC-DEL SYMBOL: VECO, CUSIP 922417100	☒	10/30/09	01/22/13	3,151.03	2,374.72	776.31
50.000	VITAMIN SHOPPE INC SYMBOL: VSI, CUSIP 92849E101	☒	06/03/10	03/28/13	2,442.14	1,286.50	1,155.65
100.000	VITAMIN SHOPPE INC SYMBOL: VSI, CUSIP 92849E101	☒	06/03/10	05/07/13	4,544.89	2,572.99	1,971.90
50.000	VITAMIN SHOPPE INC SYMBOL: VSI, CUSIP 92849E101	☒	06/03/10	06/28/13	2,237.96	1,286.50	951.47
100.000	VOLTERRA SEMICONDUCTOR CORP CUSIP: 928708106	☒	04/18/07	03/04/13	1,437.16	1,470.99	-33.83
100.000	VOLTERRA SEMICONDUCTOR CORP CUSIP: 928708106	☒	05/09/07	04/02/13	1,375.24	1,630.99	-255.75
150.000	VOLTERRA SEMICONDUCTOR CORP CUSIP: 928708106	☒	04/18/07	04/02/13	2,062.86	2,206.49	-143.63
100.000	VOLTERRA SEMICONDUCTOR CORP CUSIP: 928708106	☒	03/24/08	04/02/13	1,375.24	1,032.40	342.84
200.000	VOLTERRA SEMICONDUCTOR CORP CUSIP: 928708106	☒	03/13/09	04/02/13	2,750.48	1,629.84	1,120.64
500.000	8X8 INC SYMBOL: EGHT, CUSIP: 282914100	☐	07/20/12	12/05/13	4,725.42	2,519.25	2,206.17
500.000	8X8 INC SYMBOL: EGHT, CUSIP: 282914100	☐	07/19/12	12/05/13	4,725.42	2,403.75	2,321.67
Long-Term Subtotal					166,422.31		28,213.45
Total Gains and Losses					292,648.98	<i>258,048</i>	34,600.84
Short-Term							6,387.39
Long-Term						<i>line 1c</i>	28,213.45
Term Unknown							0.00

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Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

Please see Form 1099-B for information reported to the IRS.

Short-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
10.000	ACCENTURE PLC IRELAND SHS CLA SYMBOL: ACN, CUSIP: G1151C101	☐	09/10/12	03/18/13	759.28	643.63	115.65
7.000	ALTERA CORP SYMBOL: ALTR, CUSIP: 021441100	☐	08/29/13	10/25/13	232.25	247.95	-15.70
8.000	ALTERA CORP SYMBOL: ALTR, CUSIP: 021441100	☐	08/29/13	11/07/13	264.63	283.37	-18.74
20.000	AMERISOURCEBERGEN CORP SYMBOL: ABC, CUSIP: 03073E105	☐	11/13/12	04/22/13	1,122.37	808.29	314.08
10.000	AMERISOURCEBERGEN CORP SYMBOL: ABC, CUSIP: 03073E105	☐	11/13/12	05/16/13	548.38	404.15	144.23
12.000	AMERISOURCEBERGEN CORP SYMBOL: ABC, CUSIP: 03073E105	☐	11/13/12	10/25/13	780.34	484.98	295.36
8.000	AMERISOURCEBERGEN CORP SYMBOL: ABC, CUSIP: 03073E105	☐	11/13/12	11/07/13	534.95	323.32	211.63
20.000	COCA COLA COMPANY (THE) SYMBOL: KO, CUSIP: 191216100	☐	05/15/12	04/22/13	850.58	769.49	81.09
30.000	COMCAST CORP CLA SYMBOL: CMCSA, CUSIP: 20030N101	☐	03/01/13	05/16/13	1,300.17	1,197.28	102.89
28.000	COMCAST CORP CLA SYMBOL: CMCSA, CUSIP: 20030N101	☐	03/01/13	10/25/13	1,342.57	1,117.46	225.11
11.000	COMCAST CORP CLA SYMBOL: CMCSA, CUSIP: 20030N101	☐	03/01/13	11/07/13	519.96	439.00	80.96
10.000	CVS CAREMARK CORPORATION SYMBOL: CVS, CUSIP: 126650100	☐	01/16/13	03/18/13	532.08	518.85	13.24
10.000	CVS CAREMARK CORPORATION SYMBOL: CVS, CUSIP: 126650100	☐	01/16/13	05/16/13	595.98	518.85	77.14

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19.000	CVS CAREMARK CORPORATION SYMBOL: CVS, CUSIP: 126650100	☐	01/16/13	10/25/13	1,162.20	985.81	176.39
7.000	CVS CAREMARK CORPORATION SYMBOL: CVS, CUSIP: 126650100	☐	01/16/13	11/07/13	444.53	363.19	81.34
10.000	DANAHER CORP SYMBOL: DHR, CUSIP: 235851102	☐	11/13/12	05/16/13	628.18	521.27	106.91
155.000	DANAHER CORP SYMBOL: DHR, CUSIP: 235851102	☐	11/13/12	07/25/13	10,408.28	8,079.64	2,328.64
3.000	DOVER CORP SYMBOL: DOV, CUSIP: 260003108	☐	06/04/13	11/07/13	270.74	236.95	33.79
10.000	ESSEX PROPERTY TRUST INC SYMBOL: ESS, CUSIP: 297178105	☐	04/26/13	10/25/13	1,625.87	1,530.88	94.99
2.000	ESSEX PROPERTY TRUST INC SYMBOL: ESS, CUSIP: 297178105	☐	04/26/13	11/07/13	313.93	306.18	7.75
125.000	GENERAL MILLS INC SYMBOL: GIS, CUSIP: 370334104	☐	03/29/12	01/16/13	5,099.15	4,888.45	210.70
365.000	INVESCO LTD SYMBOL: IVZ, CUSIP: G491BT108	☐	05/10/12	04/26/13	10,742.29	8,415.11	2,327.17
110.000	LINEAR TECHNOLOGY CORP SYMBOL: LLTC, CUSIP: 535678106	☐	03/14/12	02/07/13	4,059.00	3,686.31	372.69
10.000	NUCOR CORP SYMBOL: NUE, CUSIP: 670346105	☐	12/20/12	03/18/13	459.28	439.12	20.16
10.000	NUCOR CORP SYMBOL: NUE, CUSIP: 670346105	☐	12/20/12	05/16/13	455.58	439.12	16.46
14.000	NUCOR CORP SYMBOL: NUE, CUSIP: 670346105	☐	12/20/12	10/25/13	718.32	614.76	103.56
6.000	NUCOR CORP SYMBOL: NUE, CUSIP: 670346105	☐	12/20/12	11/07/13	321.23	263.47	57.76
32.000	ORACLE CORPORATION SYMBOL: ORCL, CUSIP: 68389X105	☐	07/25/13	10/25/13	1,058.22	1,031.70	26.52
11.000	ORACLE CORPORATION SYMBOL: ORCL, CUSIP: 68389X105	☐	07/25/13	11/07/13	373.77	354.65	19.12
8.000	PARKER HANNIFIN CORP SYMBOL: PH, CUSIP: 701094104	☐	09/09/13	10/25/13	927.82	837.70	90.12
2.000	PARKER HANNIFIN CORP SYMBOL: PH, CUSIP: 701094104	☐	09/09/13	11/07/13	229.55	209.42	20.13
9.000	PENTAIR LTD SHS SYMBOL: PNR, CUSIP: H6169Q108	☐	05/23/13	10/25/13	596.59	511.01	85.58

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6.000	PENTAIR LTD SHS SYMBOL PNR, CUSIP H6169Q108	☐	05/23/13	11/07/13	398.33	340.67	57.66
20.000	ROBERT HALF INTERNATIONAL INC SYMBOL: RHI, CUSIP 770323103	☐	10/25/12	05/16/13	710.58	535.31	175.27
20.000	ROBERT HALF INTERNATIONAL INC SYMBOL RHI, CUSIP 770323103	☐	10/25/12	10/25/13	783.78	535.31	248.47
20.000	SANOFI SPONSORED ADR SYMBOL SNY, CUSIP. 80105N105	☐	11/13/12	03/18/13	971.37	859.93	111.44
18.000	SANOFI SPONSORED ADR SYMBOL SNY, CUSIP: 80105N105	☐	11/13/12	10/25/13	937.24	773.94	163.30
6.000	SANOFI SPONSORED ADR SYMBOL SNY, CUSIP. 80105N105	☐	11/13/12	11/07/13	314.93	257.98	56.95
10.000	TARGET CORP SYMBOL: TGT, CUSIP. 87612E106	☐	05/17/12	03/18/13	665.18	549.79	115.39
10.000	TARGET CORP SYMBOL: TGT, CUSIP. 87612E106	☐	05/17/12	05/16/13	699.68	549.79	149.89
10.000	UNION PACIFIC CORP SYMBOL: UNP, CUSIP 907818108	☐	05/07/13	05/16/13	1,585.66	1,537.65	48.01
4.000	UNION PACIFIC CORP SYMBOL UNP, CUSIP. 907818108	☐	05/07/13	11/07/13	615.26	615.06	0.20
10.000	WAL-MART STORES INC SYMBOL: WMT, CUSIP: 931142103	☐	11/05/12	05/09/13	779.68	729.15	50.53
10.000	WAL-MART STORES INC SYMBOL. WMT, CUSIP: 931142103	☐	11/05/12	10/25/13	758.98	729.15	29.83
20.000	WILLIAMS COMPANIES INC SYMBOL. WMB, CUSIP: 969457100	☐	09/20/12	05/09/13	730.18	680.45	49.73
10.000	WILLIAMS COMPANIES INC SYMBOL WMB, CUSIP: 969457100	☐	09/20/12	05/16/13	367.29	340.23	27.06
Short-Term Subtotal					59,596.21		9,090.45

Long-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
3.000	ACCENTURE PLC IRELAND SHS CL A SYMBOL: ACN, CUSIP: G1151C101	☐	09/10/12	11/07/13	226.49	193.09	33.40

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
10.000	ACE LIMITED SYMBOL ACE, CUSIP H0023R105	☐	11/22/11	04/22/13	889.38	662.26	227.12
12.000	ACE LIMITED SYMBOL ACE, CUSIP H0023R105	☐	11/22/11	10/25/13	1,152.81	794.71	358.10
5.000	ACE LIMITED SYMBOL ACE, CUSIP H0023R105	☐	11/22/11	11/07/13	487.72	331.13	156.59
20.000	AFLAC INC SYMBOL AFL, CUSIP 001055102	☒	09/24/10	05/16/13	1,093.57	1,042.61	50.96
6.000	AFLAC INC SYMBOL AFL, CUSIP 001055102	☒	09/24/10	10/25/13	397.19	312.78	84.41
5.000	AFLAC INC SYMBOL AFL, CUSIP 001055102	☒	09/24/10	11/07/13	322.14	260.65	61.49
170.000	AMERIPRISE FINL INC SYMBOL AMP, CUSIP 03076C106	☐	08/04/11	03/01/13	11,572.98	8,358.05	3,214.93
20.000	AT&T INC SYMBOL T, CUSIP 00206R102	☒	09/28/05	05/09/13	746.38	474.72	271.66
23.000	AT&T INC SYMBOL T, CUSIP 00206R102	☒	09/28/05	10/25/13	807.97	545.93	262.04
8.000	AT&T INC SYMBOL T, CUSIP 00206R102	☒	09/28/05	11/07/13	280.47	189.89	90.58
10.000	BAXTER INTERNATIONAL INC SYMBOL BAX, CUSIP 071813109	☐	11/22/11	03/18/13	689.88	491.47	198.41
20.000	BAXTER INTERNATIONAL INC SYMBOL BAX, CUSIP 071813109	☐	11/22/11	05/16/13	1,421.16	982.94	438.22
7.000	BAXTER INTERNATIONAL INC SYMBOL BAX, CUSIP 071813109	☐	11/22/11	10/25/13	457.30	344.03	113.27
8.000	BAXTER INTERNATIONAL INC SYMBOL BAX, CUSIP 071813109	☐	11/22/11	11/07/13	523.59	393.18	130.41
10.000	BECTON DICKINSON & CO SYMBOL BDX, CUSIP 075887109	☒	11/12/08	03/18/13	917.77	669.11	248.66
65.000	BECTON DICKINSON & CO SYMBOL BDX, CUSIP 075887109	☒	11/12/08	07/25/13	6,716.97	4,349.21	2,367.76
15.000	BECTON DICKINSON & CO SYMBOL BDX, CUSIP 075887109	☒	11/12/08	09/09/13	1,491.35	1,003.66	487.69
120.000	BECTON DICKINSON & CO SYMBOL BDX, CUSIP 075887109	☒	06/19/09	09/09/13	11,930.79	8,389.68	3,541.11
10.000	CATERPILLAR INC SYMBOL CAT, CUSIP 149123101	☒	07/09/10	03/18/13	879.58	642.92	236.66
10.000	CATERPILLAR INC SYMBOL CAT, CUSIP 149123101	☒	07/09/10	10/25/13	846.18	642.92	203.26
3.000	CATERPILLAR INC SYMBOL CAT, CUSIP 149123101	☒	07/09/10	11/07/13	250.67	192.88	57.79

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QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
10.000	CHEVRON CORPORATION SYMBOL CVX, CUSIP 166764100	☐	01/17/12	03/18/13	1,187.27	1,069.44	117.83
10.000	CHEVRON CORPORATION SYMBOL CVX, CUSIP 166764100	☐	01/17/12	05/16/13	1,230.07	1,069.44	160.63
7.000	CHEVRON CORPORATION SYMBOL CVX, CUSIP 166764100	☐	01/17/12	11/07/13	837.53	748.61	88.92
20.000	COCA COLA COMPANY (THE) SYMBOL KO, CUSIP: 191216100	☐	05/15/12	05/16/13	868.18	769.49	98.69
9.000	COCA COLA COMPANY (THE) SYMBOL KO, CUSIP 191216100	☐	05/15/12	11/07/13	358.10	346.27	11.83
20.000	COMMERCE BANCSHARES INC SYMBOL CBSH, CUSIP 200525103	☒	12/17/10	03/18/13	786.38	715.16	71.22
23.000	COMMERCE BANCSHARES INC SYMBOL CBSH, CUSIP: 200525103	☒	12/17/10	10/25/13	1,067.18	822.44	244.74
8.000	COMMERCE BANCSHARES INC SYMBOL CBSH, CUSIP 200525103	☒	12/17/10	11/07/13	363.99	286.06	77.93
0.150	COMMERCE BANCSHARES INC SYMBOL CBSH, CUSIP 200525103	☒	12/17/10	12/16/13	6.63	5.11	1.52
10.000	CONOCOPHILLIPS ORIGINAL COST 623.73 WASH SALE LOSS DISALLOWED 36.26 SYMBOL: COP, CUSIP: 20825C104	☐	04/08/11	03/18/13	587.48	587.48	0.00
20.000	CONOCOPHILLIPS SYMBOL: COP, CUSIP: 20825C104	☐	04/08/11	05/16/13	1,255.17	1,247.47	7.70
16.000	CONOCOPHILLIPS SYMBOL: COP, CUSIP: 20825C104	☐	04/08/11	10/25/13	1,182.53	997.98	184.55
8.000	CONOCOPHILLIPS SYMBOL: COP, CUSIP: 20825C104	☐	04/08/11	11/07/13	578.62	498.99	79.63
10.000	CULLEN FROST BANKERS INC SYMBOL: CFR, CUSIP: 229899109	☒	12/15/09	03/18/13	604.98	495.60	109.39
10.000	CULLEN FROST BANKERS INC SYMBOL CFR, CUSIP: 229899109	☒	12/15/09	05/16/13	636.48	495.60	140.89
13.000	CULLEN FROST BANKERS INC SYMBOL: CFR, CUSIP: 229899109	☒	12/15/09	10/25/13	927.66	644.27	283.39
6.000	CULLEN FROST BANKERS INC SYMBOL: CFR, CUSIP 229899109	☒	12/15/09	11/07/13	421.19	297.36	123.83
10.000	EMERSON ELECTRIC CO SYMBOL EMR, CUSIP: 291011104	☒	04/21/04	03/18/13	569.38	308.25	261.13
10.000	EMERSON ELECTRIC CO SYMBOL EMR, CUSIP: 291011104	☒	11/17/04	05/16/13	584.58	342.50	242.08

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90.000	EMERSON ELECTRIC CO SYMBOL EMR, CUSIP 291011104	☐	09/28/11	06/04/13	5,156.55	3,967.00	1,189.55
230.000	EMERSON ELECTRIC CO SYMBOL EMR, CUSIP 291011104	☒	11/17/04	06/04/13	13,177.85	7,877.50	5,300.35
10.000	EXXON MOBIL CORP SYMBOL XOM, CUSIP 30231G102	☒	02/17/04	04/22/13	876.28	422.90	453.38
50.000	EXXON MOBIL CORP SYMBOL XOM, CUSIP 30231G102	☒	02/17/04	08/01/13	4,621.94	2,114.50	2,507.44
9.000	EXXON MOBIL CORP SYMBOL XOM, CUSIP 30231G102	☒	02/17/04	11/07/13	826.81	380.61	446.20
280.000	GENERAL MILLS INC SYMBOL GIS, CUSIP 370334104	☐	12/02/11	01/16/13	11,422.08	11,194.76	227.32
10.000	INTERNATIONAL BUSINESS MACHINES CORP SYMBOL IBM, CUSIP 459200101	☒	02/16/07	05/09/13	2,035.65	989.83	1,045.82
8.000	INTERNATIONAL BUSINESS MACHINES CORP SYMBOL IBM, CUSIP 459200101	☒	02/16/07	10/25/13	1,411.81	791.86	619.95
4.000	INTERNATIONAL BUSINESS MACHINES CORP SYMBOL IBM, CUSIP 459200101	☒	02/16/07	11/07/13	722.14	395.93	326.21
40.000	INVESCO LTD SYMBOL IVZ, CUSIP G491BT108	☐	01/30/12	03/18/13	1,150.77	907.21	243.56
50.000	INVESCO LTD ORIGINAL COST 1,152.75 WASH SALE REPLACEMENT ADDITION 20.03 WASH SALE LOSS DISALLOWED 0.00 SYMBOL IVZ, CUSIP G491BT108	☐	05/10/12	04/26/13	1,471.55	1,172.79	298.76
330.000	INVESCO LTD SYMBOL IVZ, CUSIP G491BT108	☐	01/30/12	04/26/13	9,712.21	7,484.47	2,227.74
10.000	JOHNSON & JOHNSON SYMBOL JNJ, CUSIP 478160104	☒	01/18/07	03/18/13	787.28	673.44	113.84
10.000	JOHNSON & JOHNSON SYMBOL JNJ, CUSIP 478160104	☒	01/18/07	05/09/13	853.68	673.44	180.24
65.000	JOHNSON & JOHNSON SYMBOL JNJ, CUSIP 478160104	☒	01/18/07	07/23/13	6,010.57	4,377.34	1,633.23
8.000	JOHNSON & JOHNSON SYMBOL JNJ, CUSIP 478160104	☒	01/18/07	10/25/13	734.70	538.75	195.95
7.000	JOHNSON & JOHNSON SYMBOL JNJ, CUSIP 478160104	☒	01/18/07	11/07/13	650.21	471.41	178.80
75.000	LINEAR TECHNOLOGY CORP SYMBOL: LLTC, CUSIP 535678106	☐	10/27/11	01/14/13	2,701.24	2,471.38	229.87

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195.000	LINEAR TECHNOLOGY CORP SYMBOL LLTC, CUSIP 535678106	☐	09/28/11	01/14/13	7,023.24	5,710.03	1,313.20
200.000	LINEAR TECHNOLOGY CORP SYMBOL LLTC, CUSIP 535678106	☐	10/27/11	02/07/13	7,380.00	6,590.34	789.66
10.000	LOWES COMPANIES INC SYMBOL. LOW, CUSIP. 548661107	☐	02/15/12	05/16/13	430.39	273.10	157.29
130.000	LOWES COMPANIES INC SYMBOL LOW, CUSIP 548661107	☐	02/15/12	06/19/13	5,370.72	3,550.30	1,820.42
22.000	LOWES COMPANIES INC SYMBOL. LOW, CUSIP 548661107	☐	02/15/12	10/25/13	1,106.36	600.82	505.54
9.000	LOWES COMPANIES INC SYMBOL. LOW, CUSIP 548661107	☐	02/15/12	11/07/13	441.80	245.79	196.01
10.000	MCDONALDS CORP SYMBOL. MCD, CUSIP 580135101	☒	04/29/10	03/18/13	989.97	714.25	275.72
10.000	MCDONALDS CORP SYMBOL: MCD, CUSIP. 580135101	☒	04/29/10	05/16/13	1,013.47	714.25	299.22
6.000	MCDONALDS CORP SYMBOL. MCD, CUSIP 580135101	☒	04/29/10	11/07/13	582.40	428.55	153.85
30.000	MICROCHIP TECHNOLOGY INC SYMBOL. MCHP, CUSIP. 595017104	☐	01/17/12	04/22/13	1,036.47	1,037.10	-0.63
20.000	MICROCHIP TECHNOLOGY INC SYMBOL MCHP, CUSIP. 595017104	☐	01/17/12	05/16/13	757.78	691.40	66.38
31.000	MICROCHIP TECHNOLOGY INC SYMBOL MCHP, CUSIP. 595017104	☐	01/17/12	10/25/13	1,198.51	1,049.74	148.77
13.000	MICROCHIP TECHNOLOGY INC SYMBOL: MCHP, CUSIP. 595017104	☐	01/17/12	11/07/13	548.59	440.21	108.38
40.000	MICROSOFT CORP SYMBOL MSFT, CUSIP. 594918104	☒	04/01/09	03/18/13	1,113.57	768.80	344.77
310.000	MICROSOFT CORP SYMBOL. MSFT, CUSIP: 594918104	☒	04/01/09	04/15/13	8,942.93	5,958.20	2,984.73
30.000	MICROSOFT CORP SYMBOL: MSFT, CUSIP: 594918104	☒	04/01/09	04/22/13	923.07	576.60	346.47
30.000	MICROSOFT CORP SYMBOL. MSFT, CUSIP 594918104	☒	04/01/09	08/01/13	950.39	576.60	373.79
125.000	MICROSOFT CORP SYMBOL. MSFT, CUSIP 594918104	☒	04/24/09	08/01/13	3,959.94	2,566.25	1,393.69
130.000	MICROSOFT CORP SYMBOL. MSFT, CUSIP 594918104	☒	06/05/09	10/03/13	4,387.79	2,845.55	1,542.24
315.000	MICROSOFT CORP SYMBOL. MSFT, CUSIP: 594918104	☒	04/24/09	10/03/13	10,631.95	6,466.95	4,165.00

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20.000	NEXTERA ENERGY INC SYMBOL: NEE, CUSIP: 65339F101	☐	08/12/11	03/18/13	1,483.36	1,063.00	420.36
10.000	NEXTERA ENERGY INC SYMBOL: NEE, CUSIP: 65339F101	☐	08/12/11	05/16/13	811.28	531.50	279.78
175.000	NEXTERA ENERGY INC SYMBOL: NEE, CUSIP: 65339F101	☐	08/12/11	05/23/13	13,678.29	9,301.29	4,377.00
7.000	NEXTERA ENERGY INC SYMBOL: NEE, CUSIP: 65339F101	☐	08/12/11	11/07/13	609.05	372.05	237.00
10.000	NIKE INC-CL B SYMBOL: NKE, CUSIP: 654106103	☐	10/27/11	05/16/13	657.18	480.11	177.07
14.000	NIKE INC-CL B SYMBOL: NKE, CUSIP: 654106103	☐	10/27/11	10/25/13	1,057.40	672.15	385.25
7.000	NIKE INC-CL B SYMBOL: NKE, CUSIP: 654106103	☐	10/27/11	11/07/13	530.68	336.07	194.61
105.000	NOVARTIS AG AMERICAN DEPOSITARY SHARES SYMBOL: NVS, CUSIP: 66987V109	☐	07/28/11	02/26/13	7,012.12	6,476.39	535.73
10.000	NOVARTIS AG AMERICAN DEPOSITARY SHARES SYMBOL: NVS, CUSIP: 66987V109	☐	07/28/11	03/18/13	692.18	616.80	75.38
10.000	NOVARTIS AG AMERICAN DEPOSITARY SHARES SYMBOL: NVS, CUSIP: 66987V109	☐	07/28/11	05/16/13	750.58	616.80	133.78
9.000	NOVARTIS AG AMERICAN DEPOSITARY SHARES SYMBOL: NVS, CUSIP: 66987V109	☐	07/28/11	11/07/13	693.16	555.12	138.04
10.000	OCCIDENTAL PETE CORP SYMBOL: OXY, CUSIP: 674599105	☒	02/19/10	03/18/13	829.08	816.98	12.10
10.000	OCCIDENTAL PETE CORP SYMBOL: OXY, CUSIP: 674599105	☒	02/19/10	04/22/13	795.88	816.98	-21.10
10.000	OCCIDENTAL PETE CORP SYMBOL: OXY, CUSIP: 674599105	☒	02/19/10	05/16/13	917.07	816.98	100.09
16.000	OCCIDENTAL PETE CORP SYMBOL: OXY, CUSIP: 674599105	☒	02/19/10	10/25/13	1,546.37	1,307.17	239.20
6.000	OCCIDENTAL PETE CORP SYMBOL: OXY, CUSIP: 674599105	☒	02/19/10	11/07/13	574.19	490.19	84.00
10.000	PEPSICO INC SYMBOL: PEP, CUSIP: 713448108	☒	11/17/04	03/18/13	766.68	516.57	250.11
10.000	PEPSICO INC SYMBOL: PEP, CUSIP: 713448108	☒	11/17/04	05/16/13	847.28	516.57	330.71
7.000	PEPSICO INC SYMBOL: PEP, CUSIP: 713448108	☒	11/17/04	10/25/13	581.75	361.60	220.15

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3.000	PEPSICO INC SYMBOL PEP, CUSIP 713448108	☒	02/26/10	11/07/13	255.45	186.54	68.90
3.000	PEPSICO INC SYMBOL PEP, CUSIP 713448108	☒	11/17/04	11/07/13	255.45	154.97	100.47
10.000	PHILIP MORRIS INTERNATIONAL INC SYMBOL PM, CUSIP 718172109	☐	03/16/11	03/18/13	905.27	625.30	279.97
10.000	PHILIP MORRIS INTERNATIONAL INC SYMBOL PM, CUSIP 718172109	☐	03/16/11	05/16/13	962.07	625.30	336.77
9.000	PHILIP MORRIS INTERNATIONAL INC SYMBOL PM, CUSIP 718172109	☐	03/16/11	10/25/13	792.63	562.77	229.86
7.000	PHILIP MORRIS INTERNATIONAL INC SYMBOL PM, CUSIP 718172109	☐	03/16/11	11/07/13	628.02	437.71	190.31
10.000	PRAXAIR INC SYMBOL PX, CUSIP 74005P104	☒	02/17/04	03/18/13	1,125.07	360.00	765.07
10.000	PRAXAIR INC SYMBOL PX, CUSIP 74005P104	☒	02/17/04	05/16/13	1,148.87	360.00	788.87
55.000	PRAXAIR INC SYMBOL PX, CUSIP 74005P104	☒	02/17/04	07/25/13	6,552.63	1,980.00	4,572.63
13.000	PRAXAIR INC SYMBOL PX, CUSIP 74005P104	☒	02/17/04	10/25/13	1,620.42	468.00	1,152.42
4.000	PRAXAIR INC SYMBOL PX, CUSIP 74005P104	☒	02/17/04	11/07/13	497.27	144.00	353.27
10.000	PRICE T ROWE GROUP INC SYMBOL TROW, CUSIP 74144T108	☒	03/19/09	03/18/13	747.68	264.60	483.08
10.000	PRICE T ROWE GROUP INC SYMBOL TROW, CUSIP 74144T108	☒	03/19/09	05/16/13	781.58	264.60	516.98
13.000	PRICE T ROWE GROUP INC SYMBOL TROW, CUSIP 74144T108	☒	03/19/09	10/25/13	987.33	343.98	643.35
7.000	PRICE T ROWE GROUP INC SYMBOL TROW, CUSIP 74144T108	☒	03/19/09	11/07/13	537.80	185.22	352.58
10.000	PROCTER & GAMBLE CO SYMBOL PG, CUSIP 742718109	☒	07/07/10	05/09/13	783.38	593.78	189.60
8.000	PROCTER & GAMBLE CO SYMBOL PG, CUSIP 742718109	☒	07/07/10	10/25/13	636.30	475.02	161.28
3.000	PROCTER & GAMBLE CO SYMBOL PG, CUSIP 742718109	☒	07/07/10	11/07/13	246.53	178.13	68.40
10.000	QUALCOMM INC SYMBOL QCOM, CUSIP 747525103	☒	04/24/09	03/18/13	643.28	412.09	231.19
20.000	QUALCOMM INC SYMBOL QCOM, CUSIP 747525103	☒	04/24/09	05/09/13	1,287.77	824.17	463.60

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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
10.000	QUALCOMM INC SYMBOL QCOM, CUSIP 747525103	☒	04/24/09	05/16/13	659.78	412.09	247.69
20.000	QUALCOMM INC SYMBOL QCOM, CUSIP 747525103	☒	04/24/09	10/25/13	1,361.57	824.17	537.40
10.000	QUALCOMM INC SYMBOL QCOM, CUSIP 747525103	☒	04/24/09	11/07/13	668.38	412.09	256.29
6.000	ROBERT HALF INTERNATIONAL INC SYMBOL RHI, CUSIP. 770323103	☐	10/25/12	11/07/13	223.19	160.59	62.60
55.000	STANLEY BLACK & DECKER INC SYMBOL: SWK, CUSIP. 854502101	☐	04/08/11	01/10/13	4,141.48	4,162.66	-21.18
10.000	STANLEY BLACK & DECKER INC ORIGINAL COST 696.07 WASH SALE REPLACEMENT ADDITION: 58.47 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL. SWK, CUSIP. 854502101	☐	06/29/11	03/01/13	774.37	754.55	19.83
155.000	STANLEY BLACK & DECKER INC SYMBOL. SWK, CUSIP. 854502101	☐	04/08/11	03/01/13	12,002.80	11,731.15	271.66
60.000	STANLEY BLACK & DECKER INC SYMBOL: SWK, CUSIP. 854502101	☐	06/29/11	03/01/13	4,646.25	4,176.46	469.78
19.000	TARGET CORP SYMBOL: TGT, CUSIP. 87612E106	☐	05/17/12	10/25/13	1,212.74	1,044.60	168.14
7.000	TARGET CORP SYMBOL: TGT, CUSIP: 87612E106	☐	05/17/12	11/07/13	454.92	384.85	70.07
20.000	TJX COMPANIES INC NEW SYMBOL TJX, CUSIP: 872540109	☒	11/28/08	05/09/13	1,013.97	224.00	789.97
22.000	TJX COMPANIES INC NEW SYMBOL: TJX, CUSIP: 872540109	☒	11/28/08	10/25/13	1,296.43	246.40	1,050.03
9.000	TJX COMPANIES INC NEW SYMBOL: TJX, CUSIP: 872540109	☒	11/28/08	11/07/13	543.68	100.80	442.88
10.000	UNITED TECHNOLOGIES CORP SYMBOL. UTX, CUSIP 913017109	☒	01/18/07	03/18/13	929.57	649.03	280.55
10.000	UNITED TECHNOLOGIES CORP SYMBOL: UTX, CUSIP 913017109	☒	01/18/07	10/25/13	1,073.48	649.03	424.46
3.000	UNITED TECHNOLOGIES CORP SYMBOL UTX, CUSIP: 913017109	☒	01/18/07	11/07/13	321.80	194.71	127.09
10.000	VF CORPORATION SYMBOL VFC, CUSIP 918204108	☒	08/30/10	04/22/13	1,726.96	744.63	982.33
110.000	VF CORPORATION SYMBOL VFC, CUSIP. 918204108	☒	08/30/10	08/29/13	20,458.49	8,190.93	12,267.56
4.000	WAL-MART STORES INC SYMBOL WMT, CUSIP 931142103	☐	11/05/12	11/07/13	309.15	291.66	17.49

ALBERT P PETTORUTO JR TTEE
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U/A DTD 11/12/2002
SQVEREIGN ASSET MGMT
807 TURNPIKE STREET
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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
14.000	WILLIAMS COMPANIES INC SYMBOL. WMB, CUSIP. 969457100	☐	09/20/12	10/25/13	522.19	476.32	45.87
9.000	WILLIAMS COMPANIES INC SYMBOL WMB, CUSIP 969457100	☐	09/20/12	11/07/13	309.91	306.20	3.71
Long-Term Subtotal					292,147.89		88,175.21
Total Gains and Losses					351,744.10		97,265.66
Short-Term							9,090.45
Long-Term							88,175.21
Term Unknown							0.00

form 990 PF
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part IV
Line 1(b)
Sovereign etc

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Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

Please see Form 1099-B for information reported to the IRS.

TRUST A/C

Short-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
1,000.000	IMMUNOGEN INC SYMBOL: IMGX, CUSIP 45253H101	☐	11/01/13	11/05/13	13,697.35	16,665.09	-2,967.74
Short-Term Subtotal					13,697.35		-2,967.74
Total Gains and Losses					13,697.35	col (h)	-2,967.74
Short-Term							-2,967.74
Long-Term							0.00
Term Unknown							0.00