Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning 1/1/2013 **, and ending** 12/31/2013

Name of foundation: HAKKA FOUNDATION

A Employer identification number: 81-0553705

Number and street (or P O box number if mail is not delivered to street address): PO BOX 662124 | Room/suite:

B Telephone number (see instructions): (626) 203-3065

City or town: ARCADIA | State: CA | ZIP code: 91066

Foreign country name | Foreign province/state/county | Foreign postal code

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☒ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 5,770,503

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☒ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	782	782	N/A	
	4	Dividends and interest from securities			N/A	
	5a	Gross rents	66,584	66,584	N/A	
	b	Net rental income or (loss) 66,584				
	6a	Net gain or (loss) from sale of assets not on line 10	367,012			
	b	Gross sales price for all assets on line 6a 14,000,011				
	7	Capital gain net income (from Part IV, line 2)		367,012		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less. Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	434,378	434,378	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	36,000			36,000
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	12,397			12,397
	b	Accounting fees (attach schedule)	4,630			4,630
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	26,339	19,907		6,432
	19	Depreciation (attach schedule) and depletion	30,058	24,877		
	20	Occupancy	1,250			1,250
	21	Travel, conferences, and meetings	1,050			1,050
	22	Printing and publications				
	23	Other expenses (attach schedule)	62,244			62,244
	24	**Total operating and administrative expenses.** Add lines 13 through 23	173,968	44,784	0	124,003
	25	Contributions, gifts, grants paid	198,780			198,780
	26	**Total expenses and disbursements.** Add lines 24 and 25	372,748	44,784	0	322,783
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	61,630			
	b	**Net investment income** (if negative, enter -0-)		389,594		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	302,062	156,466	156,466
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	2,978,991	648,813	648,813
	c	Investments—corporate bonds (attach schedule)		1,791,077	1,791,077
	11	Investments—land, buildings, and equipment: basis ▶ 1,603,265			
		Less: accumulated depreciation (attach schedule) ▶ 27,916	1,577,104	1,575,349	1,602,698
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)		882,134	882,134
	14	Land, buildings, and equipment: basis ▶ 268,732			
		Less: accumulated depreciation (attach schedule) ▶ 7,224	266,689	261,508	105,334
	15	Other assets (describe ▶ CASH IN MORGAN STANLEY)	766,995	583,981	583,981
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	5,891,841	5,899,328	5,770,503
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ TENANT DEPOSIT)	2,575	2,575	
	23	**Total liabilities** (add lines 17 through 22)	2,575	2,575	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ [X] **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	5,889,266	5,896,753	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ [ ] **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	5,889,266	5,896,753	
	31	**Total liabilities and net assets/fund balances** (see instructions)	5,891,841	5,899,328	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,889,266
2	Enter amount from Part I, line 27a	2	61,630
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	5,950,896
5	Decreases not included in line 2 (itemize) ▶ DECREASE OF UNREALIZED GAIN ON INVESTMENT	5	54,143
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	5,896,753

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	PUBLIC TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	14,000,011		13,632,999	367,012
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				367,012
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	367,012
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income N/A

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012			0 000000
2011			0.000000
2010			0 000000
2009			0.000000
2008			0 000000

2	**Total** of line 1, column (d)	2	0.000000
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.000000
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	
5	Multiply line 4 by line 3	5	
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	0
7	Add lines 5 and 6	7	0
8	Enter qualifying distributions from Part XII, line 4	8	0

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ____________ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	7,792
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	7,792
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	7,792
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	0
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	7,792
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	0
11	Enter the amount of line 10 to be. **Credited to 2014 estimated tax**▶ — **Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ▶$ 0 **(2)** On foundation managers. ▶$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	N/A	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CALIFORNIA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ FSW_884@YAHOO.COM

14 The books are in care of ▶ FRANK S WU Telephone no. ▶ (626) 203-3065

Located at ▶ PO BOX 662124 ARCADIA CA ZIP+4 ▶ 91066

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)		2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*		3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b	N/A	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KWEI KUANG HSU PO BOX 662124 ARCADIA, CA 91066	CEO 2 00	0	0	0
CHIYUN HO PO BOX 662124 ARCADIA, CA 91066	CFO 2.00	0	0	0
FRANK S WU PO BOX 662124 ARCADIA, CA 91066	SECRETARY 30.00	36,000	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 CONTRIBUTIONS TO 9 CHARITBALE ORGANIZATIONS (ARCADIA HISTORICAL MUSEUM FOUNDATION, GOOD LIVER FOUNDAITON, NEW HORIZON, RONALD MCDONALD HOUSE CHARITIES, OUR COMMUNITY HOUSE OF HOPE, UMC SUMMER LANGUAGE CAMP, ETC) TO PROMOTE UNDERSTANDING AND HARMONY IN COMMUNITY	116,900
2 CONTRIBUTIONS TO 13 EDUCATIONAL ORGANIZATIONS (DISADVANTGAGE STUDENTS CARING, EL MONTE DURFEE ELEMENTARY SCHOOL, TEMPLE CITY HIGH SCHOOL, CLAP & TAP CHAMBER, ARCADIA LONGLEY WAY ELEMENTARY SCHOOL, ETC.) TO PROMOTE EDUCATIONAL UNDERSTANDING	58,650
3 CONTRIBUTIONS TO 5 CULTURAL ORGANIZATIONS (TAIWAN CENTER FOUNDATION OF GREATER LOS ANGELES, CONEJO CHINESE CULTURAL ASSOCIATION, MIDWEST HAKKA ASSOCIATION, ARCADIA CHINESE ASSOCIATION, ETC) TO PROMOTE CHINESE AND HAKKA CULTURE AND HERITAGE.	19,730
4 CONTRIBUTIONS TO 2 ORGANIZATIONS (NORTH AMERICAN WRITERS' ASSOCIATION, ETC) FOR FINANCIAL AID AND SCHOLARSHIP AWARDS	3,500

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	0

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
a	Average monthly fair market value of securities	1a	2,709,440
b	Average of monthly cash balances	1b	904,752
c	Fair market value of all other assets (see instructions)	1c	1,576,226
d	**Total** (add lines 1a, b, and c)	1d	5,190,418
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	5,190,418
4	Cash deemed held for charitable activities. Enter 1 ½ % of line 3 (for greater amount, see instructions)	4	77,856
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,112,562
6	**Minimum investment return.** Enter 5% of line 5	6	255,628

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	255,628
2a	Tax on investment income for 2013 from Part VI, line 5	2a	7,792		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	0		
c	Add lines 2a and 2b			2c	7,792
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	247,836
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	247,836
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	247,836

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	322,783
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	322,783
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	322,783

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7				247,836
2	Undistributed income, if any, as of the end of 2013:				
a	Enter amount for 2012 only			0	
b	Total for prior years: 20____, 20____, 20____		0		
3	Excess distributions carryover, if any, to 2013:				
a	From 2008 . . . 0				
b	From 2009 . . . 0				
c	From 2010 . . . 0				
d	From 2011 . . . 0				
e	From 2012 . . . 0				
f	**Total** of lines 3a through e	0			
4	Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 322,783				
a	Applied to 2012, but not more than line 2a			0	
b	Applied to undistributed income of prior years (Election required—see instructions)		0		
c	Treated as distributions out of corpus (Election required—see instructions)	0			
d	Applied to 2013 distributable amount				247,836
e	Remaining amount distributed out of corpus	74,947			
5	Excess distributions carryover applied to 2013. *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	74,947			
b	Prior years' undistributed income. Subtract line 4b from line 2b		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d	Subtract line 6c from line 6b Taxable amount—see instructions		0		
e	Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f	Undistributed income for 2013. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0			
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	0			
9	**Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	74,947			
10	Analysis of line 9:				
a	Excess from 2009 . . . 0				
b	Excess from 2010 . . . 0				
c	Excess from 2011 . . . 0				
d	Excess from 2012 . . . 0				
e	Excess from 2013 . . . 74,947				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) **N/A**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a					0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					0
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter: (1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 **Information Regarding Foundation Managers:** NONE

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest. NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

HAKKA FOUNDATION BOX 662124 ARCADIA, CA 91006

b The form in which applications should be submitted and information and materials they should include:

WRITTEN REQUEST STATING EDUCATIONAL, CULTURAL OR CHARITABLE PURPOSES AND AMOUNT

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NO RESTRICTION OR LIMITATIONS

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATTACHMENT	NO		CHARITABLE EDUCATIONAL & CULTURAL PURPOSES	198,780
Total . . . . . . ▶ 3a				198,780
b *Approved for future payment*				
Total . . . . . . ▶ 3b				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					782
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					66,584
6 Net rental income or (loss) from personal property					
7 Other investment income					367,012
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue. a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0		0	434,378

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 434,378

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
	THE ORGANIZATION IS PRIMARILY SUPPORTED BY THE INCOME GENERATED BY
	FUNDS PREVIOUSLY CONTRIBUTED. THE INCOME GENERATED ARE THE PRIMARY
	MEANS BY WHICH THE ORGANIZATION ACCOMPLISHES ITS EDUCATIONAL, CULTURAL
	OTHER CHARITABLE PURPOSES.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations N/A

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		
	(2) Other assets	1a(2)		
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		
	(3) Rental of facilities, equipment, or other assets	1b(3)		
	(4) Reimbursement arrangements	1b(4)		
	(5) Loans or loan guarantees	1b(5)		
	(6) Performance of services or membership or fundraising solicitations	1b(6)		
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Frank S. Wu | 7-31-2014 | SECRETARY

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
JOHN Y GUO, CPA		7/25/2014		P00279025
Firm's name ▶ JOHN Y GUO ACCOUNTANCY CORP, CPA			Firm's EIN ▶ 95-4301985	
Firm's address ▶ 1014 S SAN GABRIEL BLVD , SAN GABRIEL, CA 91776			Phone no 626-309-0516	

Part I, Line 16a (990-PF) - Legal Fees

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
		12,397	0	0	12,397
1	LISA A RUNQUIST, ATTORNEY AT LAW	12,397			12,397

Part I, Line 16b (990-PF) - Accounting Fees

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
		4,630	0	0	4,630
1	JOHN Y GUO ACCOUNTANCY CORP, CPA	4,630			4,630

Part I, Line 18 (990-PF) - Taxes

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
		26,339	19,907	0	6,432
1	PAYROLL TAXES	3,104			3,104
2	FRANCHISE TAX BOARD	10			10
3	PROPERTY TAXES	23,225	19,907		3,318

Part I, Line 19 (990-PF) - Depreciation and Depletion

	Description	Date Acquired	Method of Computation	Asset Life	Cost or Other Basis	Beginning Accumulated Depreciation	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
							30,058	24,877	0
1	DESK	7/8/2011	MACRS	7	615	239	108	0	
2	PRINTER	7/5/2011	MACRS	5	568	296	109	0	
3	FURNITURE	7/6/2011	MACRS	7	1,645	638	288	0	
4	BUILDING	11/15/2012	NON RESIDENTIAL - SL	39	158,023	506	4,051	3,472	
5	BUILDING	11/15/2012	NON RESIDENTIAL - SL	39	948,139	3,039	24,311	20,838	
6	TELEVISION	2/6/2012	MACRS	7	2,547	364	624	0	
7	LEASHHOLD IMPROVEMENT	1/28/2013	NON RESIDENTIAL - SL	39	23,122	0	567	567	

Part I, Line 23 (990-PF) - Other Expenses

		62,244	0	0	62,244
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	REPAIR & MAINTENANCE	10,385			10,385
2	TELEPHONE EXPENSES	2,424			2,424
3	OFFICE EXPENSES	302			302
4	SUPPLIES EXPENSES	6,853			6,853
5	UTILITIES	5,514			5,514
6	MEETINGS & MEAL EXPENSES	11,767			11,767
7	POSTAGE EXPENSES	301			301
8	SECURITY FEES	360			360
9	PROMOTIONAL EXPENSES	13,878			13,878
10	INSURANCE EXPENSES	7,266			7,266
11	INVESTMENTS EXPENSES	2,849			2,849
12	BANK SERVICES CHARGES	234			234
13	LICENCE & FILING FEES	111			111

Part II, Line 10b (990-PF) - Investments - Corporate Stock

	Description	Num. Shares/ Face Value	Book Value Beg. of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year
			2,978,991	648,813	2,978,991	648,813
1	STOCK		2,978,991	367,958	2,978,991	367,958
2	STOCK			83,519		83,519
3	STOCK			197,336		197,336

Part II, Line 10c (990-PF) - Investments - Corporate Bonds

					1,791,077		1,791,077
	Description	Interest Rate	Maturity Date	Book Value Beg. of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year
1	CORPORATE FIXED INCOME			0	1,791,077	0	1,791,077

Part II, Line 11 (990-PF) - Investments - Land, Buildings, and Equipment

		Cost or Other Basis	Accumulated Depreciation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
		1,603,265	27,916	1,577,104	1,575,349	1,602,698
	Asset Description					
1	LAND	632,004	0	632,004	632,004	632,004
2	BUILDING	948,139	27,349	945,100	920,790	948,139
3	LEASEHOLD IMPROVEMENT	23,122	567	0	22,555	22,555

Part II, Line 13 (990-PF) - Investments - Other

	Asset Description	Basis of Valuation	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
			0	882,134	882,134
1	MUTUAL FUNDS	FMV	0	167,182	167,182
2	MANAGED FUTURES	FMV	0	399,582	399,582
3	ALTERNATIVE HEDGE FUNDS	FMV	0	315,370	315,370

Part II, Line 14 (990-PF) - Land, Buildings, and Equipment

		Cost or Other Basis	Accumulated Depreciation Beg of Year	Accumulated Depreciation End of Year	Book Value Beg. of Year	Book Value End of Year	FMV End of Year
		268,732	2,043	7,224	266,689	261,508	105,334
	Asset Description						
1	LAND	105,334	0	0	105,334	105,334	105,334
2	BUILDING	158,023	506	4,558	157,517	153,465	
3	OTHER	5,375	1,537	2,666	3,838	2,709	

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	0
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
						14,000,011	0	0	13,632,999	367,012	0	0	0	367,012
1	PUBLIC TRADED SECURITIES					14,000,011			13,632 999	367,012	0	0	0	367,012
2										0	0	0	0	0
3										0	0	0	0	0
4										0	0	0	0	0

Part XV -- Supplementary Information: Grants and Contribution Paid by Hakka Foundation During the Year 2013

	Name	Address	RLS	Status	Purpose	Amount
#	Ronald Mcdonald House Charities of So. CA	763 S. Pasadena Avenue Pasadena, Ca 91105-3019	No	EIN: 95-3167869 501C3	Annual Supporting Fund to keep Family Together	$1,000.00
#	Arcadia Historical Museum Foundation	P.O. Box 661514 Arcadia, CA 91066	No	EIN: 27-3472778 501C3	Contruction Fund For Building A New Education Center	$100,000.00
#	Good Liver Foundation	30-1, Gung Yang road, Floor 6 Taipei, Taiwan	No	Research	Hepatitis Disease Prevention & Treatment Researches in Taiwan	$10,000.00
#	Our Community House of Hope	348 W. Avenida de los Arboles Thousand Oaks, CA 91360	No	EIN: 20-8089708 501C3	Providing End-of-Life Care	$1,000.00
#	The Children's Clinic	2790 Atlantic Avenue Long Beach, CA 90806	N0	EIN: 95-1643332 501C3	2013 Beach Walk Fundraising: A Healthy Community for All	$200.00
#	Pacific Clinics	800 S. Santa Anita Avenue Arcadia, Ca 91006-3555	No	EIN: 95-1644034 501C3	Advancing Behavioral Healthcare	$500.00
#	New Horizons	15725 Parthenia Street North Hills, CA 91343-4999	No	EIN: 95-1862084 501C3	Help for the Disable in Community	$1,000.00
#	Match of Dimes Foundation	White Plain, New York 10605	No	EIN: 13-1846366 501C3	March for Babies	$200.00
#	Faith United Methodist Church of San Gabriel Valley	5957 N. Golden West Avenue Temple City, CA 91780	No	EIN: 20-4434156	SummerChinese School Camp:	$3,000.00
	Sub-Total				Community Involvements	$116,900.00
#	American Association of University Women	1331 Garden Hwy Sacramento, CA 95833	No	EIN: 94-6080527 501C3	TechTreck/Science & Math Camp For Girl students	$900.00

#	Southern California Council of Chinese Schools	2085 S. Atlantic Blvd., Suite 1 Monterey Park, CA 91754	No	EIN: 95-3254361 501C3	Outstanding Student Scholarships & Teacher Award	$12,500.00
#	Longley Way elementary School	2601 Longley Way, Arcadia, CA 91007-8532	No	EIN: 95-6206556	Parent and Teacher Association General Activities Fund	$2,000.00
#	Durfee Elementary School	1233 Star Street El monte, CA 91732	No	EIN: 95-6001074	Teaching Material Supplements	$5,000.00
#	Reading to Kids	1600 Sawtelle Blvd., #210 Los Angeles, CA 90025	No	EIN: 95-4758698 501C3	For Books and General Readings	$3,000.00
#	Disadvantaged Students Caring	10 Guangming Rd, 6th Flr, Jhubei HsinChu County, Taiwan	No	charity	Breakfasts for the Disadvantaged Students in Taiwan	$10,000.00
#	Clap& Tap Chamber Orchestra	18403 Pioneer Blvd., Suite 206 Artesia, CA 90701	No	EIN: 20-8404885 501C3	Musician Student Performing Training and Opportunities	$2,500.00
#	Temple City High School	Temple City High School 9501 E. Lemon, Temple City, CA	No	EIN: 95-6002989	update Technology Equipments	$12,800.00
#	Kalon Cheung, Institue of Chinese Language/Culture	P.O. Box 25486 West Los Angeles, CA 90025	No	scholarship	Outstanding Academic Achievement Award	$500.00
#	Jasmine Chen, Institute of Chinese Language/Culture	P.O. Box 25486 West Los Angeles, CA 90025	No	scholarship	Outstanding Academic Achievement Award	$500.00
#	Ashley Wu, Institute of Chinese Language/Culture	P.O. Box 25486 West Los Angeles, CA 90025	No	scholarship	Outstanding Academic Achievement Award	$500.00
#	33 Writing Contest Awards	Most in California & Some in Other States The addresses of winners will be provided upon formal requests	No	34 Students and 2 Institutes from $50.00 to $1,000.00 /ea	English and Chinese Writing Contests of Colleges, High schoosl and Elementary School Students	$8,450.00
	Sub-Total				Education & Special Trainings	$58,650.00

#	Arcadia Chinese Association	1008 S. Baldwin Avenue, Unit C Arcadia, CA 91007	No	EIN: 95-4504465 501C3	Annual Fundraising Gala	$650.00
#	Conejo Chinese Culture Association	P.O. Box 6775 Thousand Oaks, CA 92362	No	EIN: 77-0431997 501C3	Chinese New Year Festival & Semi-Annual Publishing	$2,500.00 $480.00
#	Taiwanese United Fund	3001 Walnut Grove Avenue, #7 Rosemead, CA 91770	No	EIN: 33-0317955 501C3	Cello Recital at Zipper Concert Hall Colbum School, Los Angeles, Ca	$600.00
#	The Midwest Hakka Association	963 Granger Road Bartlett, Illinois 60613	No	Culture	2013 Chicago Hakka Convention for Global Taiwanese Hakka Folk	$500.00
#	Taiwan Center Foundation of Greater LA	3001 Walnut Grove Avenue, Rosemead, CA 91770	No	EIN: 95-4679702 501C3	2013 Taiwanese American Heritage Week	$15,000.00
	Sub - Total				Heritage & Culture Activities	$19,730.00
#	Grief for Daniel Lo Drive	2001 S. Olive Avenue #2 Alhambra, CA 91803	No	charity	Lost of Family Member: Grief One Time Urgent Financial Aid	$3,000.00
#	North American Chinese Writers Association L.A.	3107 N. Gladys Avenue Rosemead, CA 91776	No	EIN: 74-3164859 170(b)(1)(a)(vi)	Funeral Cost: Lo Chin Hou Died of Heart Failure	$500.00
	Sub - Total				Emergency Fund	$3,500.00
	Grand Total					**$198,780.00**

ASSET LISTING

HAKKA FOUNDATION

Report Date: 04-18-2014

ID NO. 81-0553705 File Name. DXFOREVE Fiscal Year End 12-31-2013

Asset No.	Asset Description	Date in Service	Fed. Method	St. Method	Cost	Fed. Basis	Fed. Prior	Fed. Depr	Sect. 179 /Spec. 50%	State Basis	State Prior	State Depr	Prior 179/Allow	State 179 Special
6.01	DESK	07-08-2011	7-MACRS	7-MACRS	615	615	239	108	0	615	239	108	0	0
6.02	PRINTER	07-05-2011	5-MACRS	5-MACRS	568	568	296	109	0	568	296	109	0	0
6.03	SOFA	07-06-2011	7-MACRS	7-MACRS	1,645	1,645	638	288	0	1,645	638	288	0	0
7.01	LAND #2430&#2450	11-15-2012	Non Depr A	Non Depr A	737,338	737,338	0	0	0	737,338	0	0	0	0
7.02	BUILDING #2450	11-15-2012	NR. prop.	NR. prop.	158,023	158,023	506	4,051	0	158,023	506	4,051	0	0
7.03	BUILDING #2450	11-15-2012	NR. prop	NR prop.	948,139	948,139	3,039	24,311	0	948,139	3,039	24,311	0	0
7.04	TELEVISION	02-06-2012	7-MACRS	7-MACRS	2,547	2,547	364	624	0	2,547	364	624	0	0
7.05	L/H IMPRO - #2450	01-28-2013	NR prop.	NR. prop	23,122	23,122	0	567	0	23,122	0	567		0
8	Totals				1,871,997	1,871,997	5,082	30,058	0	1,871,997	5,082	30,058	0	0

D = Disposed, Q = Quarter Worksheet

Account Detail

Active Assets Account 255-113868-688

HAKKA FOUNDATION
C/O KWEI KUANG HSU &
Nickname: EQUITY SYNDICATE

STOCKS

COMMON STOCKS

Morgan Stanley & Co LLC (Morgan Stanley) and Standard & Poor's equity research ratings may be shown for certain securities All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance Because the applicable research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating For ease of comparison, Morgan Stanley and Standard & Poor's equity research ratings have been normalized to a 1 (Buy), 2 (Hold), and 3 (Sell). Please refer to the quarter month-end statement (or your first statement, if you have not yet received a statement at the quarter-end) for a summary guide describing Morgan Stanley and Standard & Poor's ratings Morgan Stanley Smith Barney LLC does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of research prepared for Standard & Poor's

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
AIR LEASE CORP CL A (AL)	11/21/13	3,900.000	$31.500	$122,850.00	**$121,212.00**	$(1,638.00) ST	$468.00	0.38
Share Price: $31.080; Rating: Morgan Stanley: 1; Next Dividend Payable 01/07/14								
ICAHN ENTERPRISES LP DEP UNIT (IEP)	12/10/13	600.000	139.000	83,400.00	**65,646.00**	(17,754.00) ST	3,000.00	4.56
Share Price: $109.410								
NORDIC AMERICAN TANKERS LTD (NAT)	11/22/13	10,000.000	8.000	80,000.00	**97,000.00**	17,000.00 ST	6,400 00	6.59
Share Price. $9.700; Rating: Morgan Stanley: 2; Next Dividend Payable 03/2014								
T-MOBILE US INC COM (TMUS)	11/14/13	2,500.000	25.000	62,500.00	**84,100.00**	21,600.00 ST	—	—
Share Price: $33.640, Rating. Morgan Stanley: 2, S&P· 2								

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
STOCKS	36.8%	$348,750.00	$367,958.00	$19,208.00 ST	$9,868.00 $0.00	2.68%

EXCHANGE-TRADED & CLOSED-END FUNDS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ARES CAPITAL CORP (ARCC)	12/10/13	4,700.000	$17.790	$83,613.00	**$83,519.00**	$(94.00) ST	$7,144.00	8.55
Share Price: $17.770; Next Dividend Payable 03/2014								

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
EXCHANGE-TRADED & CLOSED-END FUNDS	8.3%	$83,613.00	$83,519.00	$(94.00) ST	$7,144.00 $0.00	8.55%

002806 MSADT442 028014

Account Detail

Portfolio Management Active Assets Account
255-113869-688

HAKKA FOUNDATION
C/O KWEI KUANG HSU &
Nickname: US LARGE CAP STOCKS

Investment Objectives†: Capital Appreciation, Income, Aggressive Income, Speculation

Investment Advisory Account

† See the Expanded Disclosures for more information about investment objectives. Please review for accuracy and inform us if your investment objectives change.

HOLDINGS

This section reflects positions purchased/sold on a trade date basis, and will include positions purchased and omit positions sold in the current month. "Market Value" and "Unrealized Gain/(Loss)" are representative values as of the last business day of the statement period indicated, may not reflect the value that could be obtained in the market. See "Pricing of Securities" in the Expanded Disclosures. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated annual income and estimated yield for certain securities may include return of principal or capital gains which could overstate such estimates. Actual income or yield may be lower or higher than the estimates. Estimated yield reflects only the income generated by an investment and, it does not reflect changes in its price, which may fluctuate. Structured products appear in various statement product categories and are identified as "Structured Products" in the Security Description column. Estimated accrued interest, estimated annual income and estimated yield for structured products with a contingent income feature (such as Range Accrual Notes or Contingent Income Notes) assume specified accrual conditions are met during the relevant observation period and payment in full of all contingent interest. If you hold structured products, see "Special Considerations Regarding Structured Products" in the Disclosures. New Treasury regulations require that we report on Form 1099-B after the close of the tax year your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments on covered securities due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. Cost basis is reflected on monthly statements for informational purposes only and should not be used in the preparation of your income tax returns. Refer to the Expanded Disclosures for additional information.

STOCKS

COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ALTRIA GROUP INC (MO)	7/16/13	292.000	$37.296	$10,890.52	**$11,209.88**	$319.36 ST	$560.64	5.00
Share Price: $38.390; Next Dividend Payable 01/10/14								
AMERICAN ELECTRIC POWER CO (AEP)	10/3/13	119.000	43.195	5,140.22	**5,562.06**	421.84 ST	238.00	4.27
Share Price: $46.740; Next Dividend Payable 03/2014								
AT&T INC (T)	7/16/13	218.000	35.727	7,788.46	**7,664.88**	(123.58) ST	401.12	5.23
Share Price: $35.160; Next Dividend Payable 02/2014								

Account Detail

Portfolio Management Active Assets Account
255-113869-688

HAKKA FOUNDATION
C/O KWEI KUANG HSU &
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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
BARRICK GOLD CORP (ABX)	7/16/13	476.000	15.938	7,586.68	8,391.88	805.20 ST		
	10/3/13	122.000	18.358	2,239.69	2,150.86	(88.83) ST		
	Total	598.000		9,826.37	**10,542.74**	716.37 ST	119.60	1.13
Share Price. $17.630; Next Dividend Payable 03/2014								
BLACKROCK INC (BLK)	7/15/13	27.000	270.080	7,292.16	8,544.69	1,252.53 ST		
	7/16/13	1.000	267.710	267.71	316.47	48.76 ST		
	Total	28.000		7,559.87	**8,861.16**	1,301.29 ST	188.16	2.12
Share Price: $316.470; Next Dividend Payable 03/2014								
BRISTOL MYERS SQUIBB CO (BMY)	7/15/13	156.000	45.336	7,072 48	8,291.40	1,218.92 ST		
	7/15/13	3.000	46.820	140.46	159.45	18.99 ST H		
	Total	159.000		7,212.94	**8,450.85**	1,237.91 ST	228.96	2.70
Share Price $53.150; Next Dividend Payable 02/03/14, Basis Adjustment Due to Wash Sale: $5.37								
CENTURYLINK INC (CTL)	10/3/13	352.000	31.245	10,998.28	**11,211.20**	212.92 ST	760.32	6.78
Share Price. $31 850, Next Dividend Payable 03/2014								
CHEVRON CORP (CVX)	7/15/13	60.000	124.840	7,490.39	7,494.60	4.21 ST		
	7/15/13	2.000	124.740	249.48	249.82	0.34 ST H		
	Total	62.000		7,739.87	**7,744.42**	4.55 ST	248.00	3.20
Share Price: $124.910, Next Dividend Payable 03/2014; Basis Adjustment Due to Wash Sale: $1.70								
COLGATE PALMOLIVE CO (CL)	7/15/13	123.000	58.753	7,226.56	8,020.83	794.27 ST		
	7/16/13	2.000	58.610	117.22	130.42	13.20 ST		
	Total	125.000		7,343.78	**8,151.25**	807.47 ST	170.00	2.08
Share Price: $65.210, Next Dividend Payable 02/2014								
DUKE ENERGY CORP NEW (DUK)	7/16/13	111.000	70.323	7,805.90	**7,660.11**	(145.79) ST	346.32	4.52
Share Price. $69.010; Next Dividend Payable 03/2014								
EXXON MOBIL CORP (XOM)	7/15/13	85.000	93.427	7,941.29	8,602.00	660.71 ST		
	7/15/13	1.000	95.360	95.36	101.20	5.84 ST H		
	Total	86.000		8,036.65	**8,703.20**	666.55 ST	216.72	2.49
Share Price. $101.200; Next Dividend Payable 03/2014, Basis Adjustment Due to Wash Sale: $2.37								
GENERAL ELECTRIC CO (GE)	7/11/13	294.000	23.805	6,998.70	8,240.82	1,242.12 ST		
	7/16/13	12.000	23.420	281.04	336.36	55.32 ST		
	Total	306.000		7,279.74	**8,577.18**	1,297.44 ST	269.28	3.13
Share Price. $28.030, Next Dividend Payable 01/27/14								
HONEYWELL INTERNATIONAL INC (HON)	7/11/13	88.000	82.546	7,264.05	8,040.56	776.51 ST		
	7/16/13	2.000	82.000	164.00	182.74	18.74 ST		

Account Detail

Portfolio Management Active Assets Account
255-113869-688

HAKKA FOUNDATION
C/O KWEI KUANG HSU &
Nickname: US LARGE CAP STOCKS

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	Total	90.000		7,428.05	**8,223.30**	795.25 ST	162.00	1.97
Share Price: $91 370, Next Dividend Payable 03/2014								
JPMORGAN CHASE & CO (JPM)	7/15/13	142.000	54.697	7,766.96	**8,304.16**	537.20 ST	215.84	2.59
Share Price: $58.480, Next Dividend Payable 01/2014								
MICROSOFT CORP (MSFT)	7/11/13	217.000	35.577	7,720.15	**8,117.97**	397.82 ST	243.04	2.99
Share Price: $37.410, Next Dividend Payable 03/2014								
MONSANTO CO/NEW (MON)	7/11/13	33.000	102.800	3,392.41	3,846.15	453.74 ST		
	7/11/13	4.000	109.790	439.16	466.20	27.04 ST H		
	7/11/13	63.000	107.542	6,775.14	7,342.65	567.51 ST H		
	10/3/13	6.000	103.730	622.38	699.30	76.92 ST		
	Total	106.000		11,229.09	**12,354.30**	1,125.21 ST	182.32	1.47
Share Price: $116.550; Next Dividend Payable 01/2014, Basis Adjustment Due to Wash Sale: $377.62								
PEPSICO INC NC (PEP)	7/15/13	91.000	84.700	7,707.69	7,547.54	(160.15) ST		
	7/16/13	2.000	84.140	168.28	165.88	(2.40) ST		
	Total	93.000		7,875.97	**7,713.42**	(162.55) ST	211.11	2.73
Share Price: $82.940; Next Dividend Payable 01/02/14								
PHILIP MORRIS INTL INC (PM)	7/15/13	84.000	89.866	7,548.74	7,318.92	(229.82) ST		
	7/16/13	1.000	90.310	90.31	87.13	(3.18) ST		
	Total	85.000		7,639.05	**7,406.05**	(233.00) ST	319.60	4.31
Share Price. $87.130, Next Dividend Payable 01/10/14								
PNC FINL SVCS GP (PNC)	7/15/13	99.000	75.116	7,436.47	7,680 42	243.95 ST		
	7/16/13	3.000	74.190	222.57	232.74	10.17 ST		
	Total	102.000		7,659.04	**7,913.16**	254.12 ST	179.52	2.26
Share Price: $77.580; Next Dividend Payable 02/2014								
QUALCOMM INC (QCOM)	7/11/13	110.000	61.240	6,736.40	**8,167.50**	1,431.10 ST	154.00	1.88
Share Price: $74.250; Next Dividend Payable 03/2014								
TARGET CORPORATION (TGT)	7/11/13	115.000	72.556	8,343.88	7,276.05	(1,067.83) ST		
	7/11/13	2.000	73.175	146.35	126.54	(19.81) ST H		
	Total	117.000		8,490.23	**7,402.59**	(1,087.64) ST	201.24	2.71
Share Price. $63.270; Next Dividend Payable 03/2014, Basis Adjustment Due to Wash Sale. $1.99								

Account Detail

Portfolio Management Active Assets Account
255-113869-688

HAKKA FOUNDATION
C/O KWEI KUANG HSU &
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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
VISA INC CL A (V)	7/11/13	39.000	188.950	7,369.04	**8,684.52**	1,315.48 ST	62.40	0.71
Share Price: $222.680; Next Dividend Payable 03/2014								
WALT DISNEY CO HLDG CO (DIS)	7/11/13	108 000	66.645	7,197 67	8,251.20	1,053.53 ST		
	7/11/13	6.000	65.817	394.90	458.40	63.50 ST H		
	Total	114.000		7,592.57	**8,709.60**	1,117.03 ST	98.04	1.12
Share Price: $76.400; Next Dividend Payable 01/16/14; Basis Adjustment Due to Wash Sale. $4.60								

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / *Accrued Interest*	Yield %
STOCKS	**99.1%**	**$185,129.15**	**$197,335.50**	**$12,206.35 ST**	**$5,776.23** **$0.00**	**2.93%**

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / *Accrued Interest*	Yield %
TOTAL MARKET VALUE	**100.0%**	**$185,129.15**	**$199,186.49**	**$12,206.35 ST**	**$5,777.23** **$0.00**	**2.90%**

TOTAL VALUE (includes accrued interest) **$199,186.49**

H - Wash sale rules apply to this tax lot. The cost basis and acquisition date (trade date) have been adjusted to account for disallowed loss of a related wash sale transaction. The aggregate amount of the basis adjustment is identified in italics under the Security Description.

Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included.

ACTIVITY

CASH FLOW ACTIVITY BY DATE

Transaction Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
12/3	12/3	Qualified Dividend	VISA INC CL A				$15.60
12/6	12/6	Qualified Dividend	CENTURYLINK INC				190.08
12/10	12/10	Qualified Dividend	CHEVRON CORP				62.00
12/10	12/10	Qualified Dividend	AMERICAN ELECTRIC POWER CO				59.50
12/10	12/10	Qualified Dividend	EXXON MOBIL CORP				54.18
12/10	12/10	Qualified Dividend	TARGET CORPORATION				50.31
12/10	12/10	Qualified Dividend	HONEYWELL INTERNATIONAL INC				40.50
12/12	12/12	Qualified Dividend	MICROSOFT CORP				60.76

002806 MSADT442 028029

Account Detail

Active Assets Account 255-111100-688

HAKKA FOUNDATION
C/O KWEI KUANG HSU &

CORPORATE FIXED INCOME

CORPORATE BONDS

Security Description	Trade Date	Face Value	Orig. Unit Cost / Adj. Unit Cost	Orig. Total Cost / Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
ING CAP FDG TR III 3.8481% FXD TO	6/21/12	221,000.000	$84.650	$187,076.50				
12/31/13 FLOATS THEREAFTER			$84.650	$187,076.50	$220,171.25	$33,094.75 LT		
CUSIP 44978NAA3	7/10/12	52,000.000	85.437	44,427.00				
			85.437	44,427.00	51,805.00	7,378.00 LT		
	Total	273,000.000		231,503.50			10,505.30	3.86
				231,503.50	**271,976.25**	40,472.75 LT	—	
Unit Price: $99.625; Coupon Rate 3.848%, Perpetual Maturity; Interest Paid Quarterly Jun 30; Callable $100.00 on 03/31/14; Yield to Maturity 3.867%, Floater; Moody B1 S&P BBB-; Issued 12/15/00								
RABOBANK NEDERLAND 11% FIXED	6/18/12	9,000.000	128.587	11,572.80				
6/2019 FLOATS THEREAFTER REG S			128.430	11,558.68	11,913.75	355.07 LT		
CUSIP N72778AJ7	6/20/12	38,000.000	128.536	48,843.60				
			128.381	48,784.73	50,302.50	1,517.77 LT		
	Total	47,000.000		60,416.40			5,170.00	8.30
				60,343.41	**62,216.25**	1,872.84 LT	—	
Unit Price: $132.375, Coupon Rate 11.00%; Perpetual Maturity; Int. Semi-Annually Jun/Dec 30, Callable $100.00 on 06/30/19; Yield to Maturity 8.226%; Floater; Moody BAA1 S&P A-; Issued 06/04/09								
UBS PFD FUNDING V FIXED 6.243% TILL	11/11/11	88,000.000	86.505	76,124.63			5,493.80	5.86
5/2016 FLOATS THEREAFTER			86.505	76,124.63	**93,720.00**	17,595.37 LT	701.99	
CUSIP 90264AAA7								
Unit Price: $106.500; Coupon Rate 6.243%; Perpetual Maturity; Int. Semi-Annually May/Nov 15; Callable $100.00 on 05/15/16; Yield to Maturity 5.822%; Floater, Moody BA2 S&P BBB-; Issued 05/12/06								
USB CAPITAL IX 6.189% FIXED TO 4/2011	8/1/12	39,000.000	84.675	33,023.40				
FLOATS THEREAFTER			84.675	33,023.40	30,225.00	(2,798.40) LT		
CUSIP 91731KAA8	11/27/12	11,000.000	91.600	10,075.95				
			91.600	10,075.95	8,525.00	(1,550.95) LT		
	Total	50,000.000		43,099.35			1,750.00	4.51
				43,099.35	**38,750.00**	(4,349.35) LT	369.44	
Unit Price: $77.500; Coupon Rate 3.500%; Perpetual Maturity; Interest Paid Quarterly Oct 15; Callable $100.00 on 01/30/14; Yield to Maturity 4.764%; Floater; Moody BAA1 S&P BBB+; Issued 03/17/06								
EUROPEAN INVESTMENT BANK	12/1/11	450,000.000	13.066	58,798.57			3,652.00	8.31
CUSIP L0594VP53			13.066	58,798.57	**43,947.00**	(14,851.57) LT	570.30	
FX Rate .0954768 ZAR	—	—	—	—	460,289.82	—	38,250.12	
							5,973.17	
Unit Price: USD: 9.766, ZAR: 102.2; Coupon Rate 8.500%, Matures 11/04/2014, Interest Paid Annually Nov 04, Moody AAA S&P AAA; Issued 11/04/04								
GENERAL ELECTRIC CAPITAL CORP	11/21/11	160,000.000	82.016	131,224.80			10,041.20	7.38
CUSIP U36964FL0			82.016	131,224.80	**136,000.00**	4,775.20 LT	579.29	
FX Rate .8230520 NZD	—	—	—	—	165,238.64	—	12,199.95	
							703.83	
Unit Price: USD: 85.000, NZD: 103.27; Coupon Rate 7.625%; Matures 12/10/2014; Int. Semi-Annually Jun/Dec 10, Yield to Maturity 25.713%; Moody A1 S&P AA+; Issued 04/30/07								

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Account Detail

Active Assets Account
255-111100-688

HAKKA FOUNDATION
C/O KWEI KUANG HSU &

CORPORATE FIXED INCOME

CORPORATE BONDS (CONTINUED)

Security Description	Trade Date	Face Value	Orig. Unit Cost / Adj. Unit Cost	Orig. Total Cost / Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
LLOYDS TSB BANK PLC	8/16/12	280,000.000	51.750	144,900.00		-	11,927.50	9.98
CUSIP 5394E8AD1			51.750	144,900.00	**119,504.00**	(25,396.00) LT	5,069.19	
FX Rate .4238635 BRL	—	—	—	—	281,939.81	—	28,139.95	
							11,959.48	
Unit Price: USD: 42.680, BRL. 100.69, Coupon Rate 10.05%; Matures 07/28/2015; Interest Paid Annually Jul 28; Moody A2 S&P A; Issued 07/28/11								
MORGAN STANLEY & CO 7% FIXED TO	4/9/12	54,000.000	100.322	54,173.62			3,381.80	6.90
2/28/14 FLOATS THEREAFTER			100.188	54,101.55	**48,992.04**	(5,109.51) LT	1,240.59	
CUSIP U6178YDU6								
FX Rate .8946544 AUD	—	—	—	—	54,760.85	—	3,780.00	
							1,386.66	
Unit Price: USD: 90.726, AUD: 101.40, Coupon Rate 7.000%, Matures 02/18/2016; Int. Semi-Annually Feb/Aug 18; Yield to Maturity 12.053%; Floater; Moody BAA2 S&P A-, Issued 02/18/11								
INTER-AMERICAN DEVELOPMENT BANK	11/21/11	715,000.000	12.516	89,488.55			5,802.60	8.11
CUSIP U45818UW6			12.516	89,488.55	**71,485.70**	(18,002.85) LT	2,480.01	
FX Rate .0954768 ZAR	—	—	—	—	748,723.24	—	60,774.97	
							25,975.00	
Unit Price: USD. 9.998, ZAR: 104.7; Coupon Rate 8.500%; Matures 07/28/2016; Interest Paid Annually Jul 28; Moody AAA; Issued 07/28/06								
MS HYBRID RANGE ACCRUAL LINKED TO	12/14/11	180,000.000	100.000	180,000.00			18,000.00	8.33
30-2CMS AND SPX			100.000	180,000.00	**216,000.00**	36,000.00 LT	599.99	
CUSIP 61745E3M1								
Unit Price: $120.000; Coupon Rate 10.00%; Matures 12/19/2026, Interest Paid Quarterly Dec 19, Yield to Maturity 7.554%, Floater; S&P A-; Issued 12/19/11; Structured Products								
CITI LEVERAGED STEEPENER LINKED TO	11/12/13	108,000.000	100.000	108,000.00			10,800.00	10.55
CMS			99.328	107,274.64	**102,359.16**	(4,915.48) ST	1,380.00	
CUSIP 1730T0A82								
Unit Price: $94.777; Coupon Rate 10.00%; Matures 11/15/2028; Interest Paid Quarterly Feb 15; Callable $100.00 on 11/15/15, Yield to Maturity 10.706%, First Coupon 02/15/14; Floater; S&P A-; Issued 11/15/13; Structured Products								
BARCLAYS LEVERAGED STEEPENER LINKED	12/23/13	100,000.000	100.000	100,000.00			9,000.00	9.36
TO CMS			100.000	100,000.00	**96,150.00**	(3,850.00) ST	99.99	
CUSIP 06741T3M7								
Unit Price: $96.150; Coupon Rate 9.000%, Matures 12/27/2028; Interest Paid Quarterly Mar 27; Callable $100.00 on 12/27/14; Yield to Maturity 9.486%; First Coupon 03/27/14; Floater; Issued 12/27/13; Structured Products								
PRUDENTIAL FINL 8.875% FIXED TO	11/11/11	94,000.000	112.500	105,750.00			8,342.50	7.29
6/15/18 FLOATS THEREAFTER			112.471	105,723.17	**114,327.50**	8,604.33 LT	370.77	
CUSIP 744320AK8								
Unit Price: $121.625; Coupon Rate 8.875%; Matures 06/15/2038; Int. Semi-Annually Jun/Dec 15; Callable $100.00 on 06/15/18; Yield to Call 3.580%; Floater; Moody BAA2 S&P BBB+; Issued 06/17/08								

Account Detail

Active Assets Account
255-111100-688

HAKKA FOUNDATION
C/O KWEI KUANG HSU &

CORPORATE FIXED INCOME

CORPORATE BONDS (CONTINUED)

Security Description	Trade Date	Face Value	Orig. Unit Cost Adj. Unit Cost	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income *Accrued Interest*	Yield %
BARCLAYS BK PLC A 6.278% FIXED TO	11/17/11	40,000.000	66.515	26,606.00			2,511.20	6.49
12/2034 FLOATS THEREAFTER			66.515	26,606.00	**38,675.20**	12,069.20 LT	111.60	
CUSIP 06738C828								
Unit Price: $96.688; Coupon Rate 6.278%, Matures 12/29/2049; Int. Semi-Annually Jun/Dec 15; Callable $100.00 on 12/15/34; Yield to Maturity 6.518%; Floater; Moody BA2 S&P BBB-; Issued 06/08/05								
AMERICAN EXPRESS CO 6.8% FIXED TO	11/17/11	18,000.000	98.533	17,736.00				
9/2016 FLOATS THEREAFTER			98.533	17,736.00	19,179.00	1,443.00 LT		
CUSIP 025816AU3	11/8/12	9,000.000	111.502	10,035.15				
			111.468	10,032.13	9,589.50	(442.63) LT		
	12/20/12	18,000.000	110.193	19,834.80				
			110.167	19,830.12	19,179.00	(651.12) LT		
	10/25/13	12,000.000	109.190	13,102.80				
			109.186	13,102.33	12,786.00	(316.33) ST		
	Total	57,000.000		60,708.75			3,876.00	6.38
				60,700.58	**60,733.50**	349 25 LT	1,291.99	
						(316.33) ST		
Unit Price: $106.550; Coupon Rate 6.800%; Matures 09/01/2066; Int Semi-Annually Mar/Sep 01; Callable $100.00 on 09/01/16, Yield to Call 4.176%; Floater, Moody BAA2 S&P BBB-; Issued 08/01/06								
GE CAPITAL TR I 6.375% FIXED TO	11/17/11	15,000.000	98.540	14,781.00				
11/15/17 FLOATS THEREAFTER			98.540	14,781.00	16,200.00	1,419.00 LT		
CUSIP 36830GAA2	7/6/12	8,000.000	106.665	8,533.20				
			106.641	8,531.27	8,640.00	108.73 LT		
	12/3/12	9,000.000	108.187	9,736.80				
			108.164	9,734.76	9,720.00	(14.76) LT		
	8/6/13	164,000.000	106.750	175,070.00				
			106.743	175,058 73	177,120.00	2,061.27 ST		
	Total	196,000.000		208,121.00			12,495.00	5.90
				208,105.76	**211,680.00**	1,512.97 LT	1,596.58	
						2,061.27 ST		
Unit Price. $108.000; Coupon Rate 6.375%; Matures 11/15/2067, Int. Semi-Annually May/Nov 15; Callable $100.00 on 11/15/17; Yield to Call 4.117%, Floater; Moody A2 S&P AA-; Issued 11/15/09								
GENL ELEC CAPT CORP 6.375% FIX ED TO	11/2/11	24,000.000	98.525	23,646.00				
11/2017 FLOAT THEREAFTER			98.525	23,646.00	26,040.00	2,394.00 LT		
CUSIP 36962G3M4	11/17/11	20,000.000	98.530	19,706.00				
			98.530	19,706.00	21,700.00	1,994.00 LT		
	Total	44,000.000		43,352.00			2,805.00	5.87
				43,352.00	**47,740.00**	4,388.00 LT	358.41	
Unit Price: $108.500, Coupon Rate 6.375%, Matures 11/15/2067, Int. Semi-Annually May/Nov 15; Callable $100.00 on 11/15/17, Yield to Call 3.983%, Floater; Moody A2 S&P AA-; Issued 11/15/07								

002806 MSADT442 028004

Account Detail

Active Assets Account
255-111100-688

HAKKA FOUNDATION
C/O KWEI KUANG HSU &

	Face Value Percentage of Assets %	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income Accrued Interest	Yield %
CORPORATE FIXED INCOME	2,936,000.000	$1,722,267.17			$125,553.90	7.08%
		$1,721,346.51	$1,774,256.60	$59,930.63 LT	$16,820.14	
				$(7,020.54) ST		
TOTAL CORPORATE FIXED INCOME (incl.accr.int.)	66.2%		$1,791,076.74			

Multiple Currency Reporting. Fixed income securities that are denominated in any currency other than U.S. dollars display the representative price, value, income and interest amounts in both (1) U.S. dollars equivalent amounts calculated using a reference FX rate as of the end of day close of the last business day in the statement period, and (2) the foreign currency in which the security is denominated. We may charge certain fees for conversion of FX, hence the exact U.S. dollar amount you will need to purchase non U.S. dollar denominated securities may be more than the price displayed and the exact U.S. dollar amount you will receive upon the sale of non U.S. dollar denominated securities may be less than the value displayed. For further information on these reference FX rates go to http://www.morganstanleyindividual.com/CustomerService/Disclosures.

MUTUAL FUNDS

OTHER MUTUAL FUNDS

"Total Purchases vs Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account

"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account It may not reflect all distributions received in cash, due to but not limited to the following. investments made prior to addition of this information on statements, securities transfers, timing of recent distributions, and certain adjustments made in your account.

"Net Value Increase/ (Decrease)" reflects the difference between your total purchases, and the sum of the current value of the fund's shares, and cash distributions shown This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
AMERICAN GR FD OF AMERICA C (GFACX)	3/19/13	56.738	$35.250	$2,000.00	$2,332.50	$332.50 ST		
	5/28/13	78.927	38.010	3,000.00	3,244.69	244.69 ST		
	6/26/13	81.788	36.680	3,000.00	3,362.30	362.30 ST		
	11/20/13	95.619	41.833	4,000.00	3,930.90	(69.10) ST		
	Purchases	313.072		12,000.00	**12,870.39**	870.39 ST		
Short Term Reinvestments		21.444		860.32	881.56	21.24 ST		
	Total	334.516		12,860.32	**13,751.95**	891.63 ST	—	—
Total Purchases vs Market Value				12,000.00	13,751.95			
Net Value Increase/(Decrease)					1,751.95			
Share Price: $41.110; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest								
BLACKROCK EQUITY DIVIDEND C (MCDVX)	3/19/13	95.969	20.840	2,000.00	2,278.30	278.30 ST		
	5/28/13	136.674	21.950	3,000.00	3,244.64	244.64 ST		
	6/26/13	141.376	21.220	3,000.00	3,356.27	356.27 ST		
	11/20/13	172.975	23.125	4,000.00	4,106.43	106.43 ST		
	Purchases	546.994		12,000.00	**12,985.64**	985.64 ST		
Short Term Reinvestments		4.446		99.49	105.55	6.06 ST		
	Total	551.440		12,099.49	**13,091.19**	991.70 ST	142.00	1.08

Electing out of special allowance -- not deducting 50 percent
W- [DXFQREVE]

Form 4562 Depreciation and Amortization (Including Information on Listed Property)

OMB No. 1545-0172
2013
Attachment Sequence No. 179

Department of the Treasury
Internal Revenue Service

▶ See separate instructions. ▶ Attach to your tax return.

Name(s) shown on return	Business or activity to which this form relates	Identifying number
HAKKA FOUNDATION		81-0553705

Part I Election To Expense Certain Property Under Section 179

Note: If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount. (see instructions)	1	$500,000
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	$2,000,000
4	Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year. Subtract line 4 from line 1. If zero or less, enter -0-. If married filing separately, see instructions	5	500,000

6 (a) Description of property	(b) Cost (business use only)	(c) Elected cost

7	Listed property. Enter the amount from line 29	7	
8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction. Enter the **smaller** of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2012 Form 4562	10	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	500,000
12	Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2014. Add lines 9 and 10, less line 12 ▶	13	

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.) (See instructions.)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2013	17	29,491
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐		

Section B -- Assets Placed In Service During 2013 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depr. (business/investment use only – see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs.		S/L	
h Residential rental			27.5 yrs.	MM	S/L	
property			27.5 yrs.	MM	S/L	
i Nonresidential real	01/2013	23,122	39 yrs.	MM	S/L	567
property				MM	S/L	

Section C -- Assets Placed In Service During 2013 Tax Year Using the Alternative Depreciation System

(a) Classification of property	(b)	(c)	(d)	(e)	(f)	(g)
20a Class life					S/L	
b 12-year			12 yrs.		S/L	
c 40-year			40 yrs.	MM	S/L	

Part IV Summary (See instructions.)

21	Listed property. Enter amount from line 28	21	
22	**Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations -- see instr	22	30,058
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

Form **8868**

(Rev January 2014)

Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

▶ Information about Form 8868 and its instructions is at *www.irs.gov/form8868.*

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . ▶ ☐
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only . . . . ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions HAKKA FOUNDATION	Employer identification number (EIN) or 81-0553705
File by the due date for filing your return See instructions	Number, street, and room or suite no. If a P.O. box, see instructions. PO BOX 662124	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. ARCADIA, CA 91066	

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ FRANK S WU

Telephone No. ▶ (626) 203-3065 Fax No. ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________ . If this is for the whole group, check this box . . . . . . ▶ ☐ . If it is for part of the group, check this box. . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15/2014, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ ☒ calendar year 2013 or

▶ ☐ tax year beginning ________ , and ending ________ .

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	$ 0
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	$ 0
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	$ 0

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box . . . . . ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

Part II **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions HAKKA FOUNDATION	Employer identification number (EIN) or 81-0553705
File by the due date for filing your return See instructions	Number, street, and room or suite no If a P.O box, see instructions. PO BOX 662124	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions ARCADIA CA 91066	

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ FRANK S WU
  Telephone No. ▶ (626) 203-3065 Fax No. ▶
- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________. If this is for the whole group, check this box . . . . ▶ [ ]. If it is for part of the group, check this box . . . . ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until 11/15/2014.

5 For calendar year 2013, or other tax year beginning ________, and ending ________.

6 If the tax year entered in line 5 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension AWAITING INFORMATION RETURNS TO COMPLETE AND FILE AN ACCURATE RETURN

8a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	**8a**	$ 0
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	**8b**	$ 0
c	**Balance due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	**8c**	$ 0

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ Frank S. Wu Title ▶ SECRETARY Date ▶ 7-31-2014