Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No. 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation: Hardiek Family Foundation

A Employer identification number: 80-6024613

Number and street (or P.O. box number if mail is not delivered to street address): c/o Bernard Hardiek, 3454 52nd St — Room/suite

B Telephone number (see instructions): 309-762-3036

City or town, state or province, country, and ZIP or foreign postal code: Moline, Illinois 61265

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here . . . ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation . . ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here . . . . ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 2,496,766

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . . ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☐ if the foundation is not required to attach Sch. B				
	3	Interest on savings and temporary cash investments	4,072	4,072		
	4	Dividends and interest from securities	38,294	38,294		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	190,359			
	b	Gross sales price for all assets on line 6a 1,530,820				
	7	Capital gain net income (from Part IV, line 2)		190,359		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	-10724	-10,724		
	12	**Total.** Add lines 1 through 11	220,001	220,001		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)				
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	15			
	24	**Total operating and administrative expenses.** Add lines 13 through 23	15			
	25	Contributions, gifts, grants paid	163,219			
	26	**Total expenses and disbursements.** Add lines 24 and 25	163,234	220,001		
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	58,767			
	b	**Net investment income** (if negative, enter -0-)		220,001		
	c	**Adjusted net income** (if negative, enter -0-)				

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Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets 1	Cash—non-interest-bearing	150,744	576,196	576,196
2	Savings and temporary cash investments			
3	Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U.S. and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	1,744,295	1,377,630	1,920,570
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	1,895,039	1,953,826	2,496,766
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg., and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	1,895,039	1,953,826	
30	**Total net assets or fund balances** (see instructions)			
31	**Total liabilities and net assets/fund balances** (see instructions)	1,895,039	1,953,826	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1 895,039
2	Enter amount from Part I, line 27a	2	58 767
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,953,826
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,953,826

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	190,359
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	144,606	2,080,244	.069514
2011	162,428	2,102,301	.077262
2010	86,879	1,968,097	.044143
2009	96,976	1,702,070	.056975
2008	137,753	2,130,246	.064665

2	**Total** of line 1, column (d)	2	.312559
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	062512
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	2,303,780
5	Multiply line 4 by line 3	5	144,014
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,200
7	Add lines 5 and 6	7	146,214
8	Enter qualifying distributions from Part XII, line 4	8	161,034

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ____________ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	2,200
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	2,200
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	2,200
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a: 3,221		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	3,221
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	0
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	1,021
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ 1,021 **Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		✔
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		✔
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		✔
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		✔
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		✔
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		✔
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		✔
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		✔
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✔	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	✔	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	✔	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		✔
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		✔

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✔
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✔
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✔	

Website address ▶ None

14 The books are in care of ▶ Bernard Hardiek Telephone no. ▶ 309-762-3036
Located at ▶ 3454 52nd Street, Moline, Illinois ZIP+4 ▶ 61265

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		✔
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		✔
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		✔
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		✔
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		✔

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☑ Yes ☐ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b** | | ✔ (No)

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** | | ✔ (No)

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attached Statement				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over $50,000 for professional services . . . . ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,126,967
b	Average of monthly cash balances	1b	211,895
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	2,338,862
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	2,338,862
4	Cash deemed held for charitable activities. Enter 1 ½ % of line 3 (for greater amount, see instructions)	4	35,082
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,303,780
6	**Minimum investment return.** Enter 5% of line 5	6	115,189

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	115,189
2a	Tax on investment income for 2013 from Part VI, line 5 — 2a: 2,200		
b	Income tax for 2013. (This does not include the tax from Part VI.) — 2b: 0		
c	Add lines 2a and 2b	2c	2,200
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	112,989
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	112,989
6	Deduction from distributable amount (see instructions)	6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	112,987

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	163,234
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	163,234
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	2,200
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	161,034

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7				112,989
2	Undistributed income, if any, as of the end of 2013:				
a	Enter amount for 2012 only			0	
b	Total for prior years: 20___,20___,20___		0		
3	Excess distributions carryover, if any, to 2013:				
a	From 2008				
b	From 2009 1,501				
c	From 2010				
d	From 2011 57,313				
e	From 2012 40,973				
f	**Total** of lines 3a through e	99,787			
4	Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 161,034				
a	Applied to 2012, but not more than line 2a			0	
b	Applied to undistributed income of prior years (Election required—see instructions)				
c	Treated as distributions out of corpus (Election required—see instructions)				
d	Applied to 2013 distributable amount				112,989
e	Remaining amount distributed out of corpus	48,045			
5	Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	147,832			
b	Prior years' undistributed income. Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable amount—see instructions				
e	Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions				
f	Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9	**Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	147,832			
10	Analysis of line 9:				
a	Excess from 2009 1,501				
b	Excess from 2010				
c	Excess from 2011 57,313				
d	Excess from 2012 40,973				
e	Excess from 2013 48,045				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Attached Schedule				
Total . . . . . ▶ **3a**				163,219
b *Approved for future payment*				
Total . . . . . ▶ **3b**				

7

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		✔
	(2) Other assets	1a(2)		✔
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		✔
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		✔
	(3) Rental of facilities, equipment, or other assets	1b(3)		✔
	(4) Reimbursement arrangements	1b(4)		✔
	(5) Loans or loan guarantees	1b(5)		✔
	(6) Performance of services or membership or fundraising solicitations	1b(6)		✔
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		✔

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

▶ BHardiek | 13/10/14 | ▶ TRUSTEE

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN

Firm's name ▶ | Firm's EIN ▶

Firm's address ▶ | Phone no.

M12

HARDIEK FAMILY FOUNDATION E.I. # 80-6024613
2013 FORM 990 PF VALUE OF INVESTMENTS AT 12/31/2013

Symbol / Cusip	Quantity	Description	Estimated Market Price	Estimated Market Value
ASPS	100	ALTISOURCE PORTFOLIO(LUXEMBOURG)	158.63	15,863.00
AVALX	1,957.346	AEGIS VALUE FUND	21.28	41,652.32
ALGN	2,500	ALIGN TECHNOLOGY INC	57.14	142,850.00
ALNY	10	ALNYLAM PHARMACEUTICALS INC *ADDL 20% MARG MAINT REQT	64.30	643.00
BRK B	800	BERKSHIRE HATHAWAY INC CL B	118.56	94,848.00
BVAOX	862.069	BROADVIEW OPPORTUNITY FUND	39.93	34,422.42
BUFOX	543.774	BUFFALO EMERGING OPPORTUNITIES FD	19.97	10,859.17
CHI	1,900	CALAMOS CONVERTIBLE OPP&INC FD NEXT DVD INFO:01/08/14 @ .095	13.26	25,194.00
FUN	3,000	CEDAR FAIR LIMITED PARTNERSHIP LAST DVD INFO:12/16/13 @ .700	49.58	148,740.00
CRL	1,000	CHARLES RIV LABORATORIES INT	53.04	53,040.00
CHK PRD	500	CHESAPEAKE 4.5% CONV PFD CALL 09/15/10 @100 LAST DVD INFO:12/16/13 @ 1.125	91.99	45,995.00
CCASX	284.172	CONESTOGA SMALL CAP FUND	36.65	10,414.90
CB9AZ8	50,000	DEERE & CO DEB *BE 8.1 05/15/30	137.541	68,770.50
DODFX	1,232.438	DODGE AND COX INTL STOCK FUND	43.04	53,044.13
DODGX	1,494.081	DODGE & COX STOCK FUND	168.87	252,305.46
GRZZX	1,175.088	LEUTHOLD GRIZZLY SHORT FUND	7.97	9,365.45
YACKX	5,711.022	YACKTMAN FUND	23.54	134,437.46
MWE	800	MARKWEST ENERGY PARTNERS L P LAST DVD INFO:11/14/13 @ .850	66.13	52,904.00
MSFT	500	MICROSOFT CORP LAST DVD INFO:12/12/13 @ .280	37.41	18,705.00
OPK	2,000	OPKO HEALTH INC COM	8.44	16,880.00
PAYX	500	PAY CHEX INC LAST DVD INFO:11/15/13 @ .350	45.53	22,765.00
QQQ	800	POWERSHARES QQQ TRUST UNIT S BOOK ENTRY ONLY LAST DVD INFO:12/31/13 @ .272	87.96	70,368.00

HARDIEK FAMILY FOUNDATION E.I. # 80-6024613

2013 FORM 990 PF VALUE OF INVESTMENTS AT 12/31/2013

Symbol / Cusip	Quantity	Description	Estimated Market Price	Estimated Market Value
PRGTX	4,844.961	T ROWE PRICE GLOBAL TECHNLGY	12.73	61,676.35
BIPIX	189.129	PROFUND ULTRA BIOTECH-INV	192.28	36,365.72
RDN	4,000	RADIAN GROUP INC *ADDL 20% MARG MAINT REQT* LAST DVD INFO:12/05/13 @ .002	14.12	56,480.00
REGN	300	REGENERON PHARMACEUTICALS *ADDL 20% MARG MAINT REQT*	275.24	82,572.00
SNY	1,000	SANOFI ADR (FRANCE) LAST DVD INFO:06/05/13 @ 1.254	53.63	53,630.00
SFTBY	100	SOFTBANK CORP ADR (JAPAN) LAST DVD INFO:12/31/13 @ .079	43.81	4,381.00
SMVLX	264.9	SMEAD VALUE FUND	38.19	10,310.53
VIG	1,000	VANGUARD DIV APPRECIATION ETF LAST DVD INFO:12/27/13 @ .398	75.24	75,240.00
WMT	1,000	WAL-MART STORES NEXT DVD INFO:01/02/14 @ .470	78.69	78,690.00
WAIGX	4,721.436	WASATCH INTL GROWTH FUND	29.05	137,157.72
		TOTAL		1,920,570.13

HARDIEK FAMILY FOUNDATION E.I. #80-6024613 FORM 990-PF 2013

PART IV---CAPITAL GAINS & LOSSES FOR TAX ON INVESTMENT INCOME

Name of Stock	Acquired	Sold	Sale Price	Cost	Gain/Loss
AVALX	6/5/13	10/29/13	84,287	75,034	9,253
AAPL	3/19/13	8/5/13	23,223	22,651	572
DE	1/22/13	6/11/13	8,554	9,107	-553
E	5/14/13	5/29/13	23,583	24,241	-658
FMIHX	2/19/13	11/11/13	34,405	30,000	4,405
GS	7/31/13	9/5/13	31,190	32,513	-1,323
HYG	7/16/12	4/30/13	269,798	260,585	9,213
K	7/3/13	9/5/13	30,312	32,597	-2,285
NOGEX	8/21/12	5/31/13	5,638	5,048	590
PIPDX	5/1/13	5/31/13	24,835	25,000	-165
UTPIX	4/29/13	8/5/13	14,350	15,000	-650
Q	5/16/13	5/30/13	22,169	23,107	-938
XBI	7/31/13	10/28/13	24,854	24,297	557
SAP	2/1/13	5/2/13	59,486	62,168	-2,682
TECUA	9/5/13	10/23/13	8,183	9,397	-1,214
VFIIX	7/3/12	1/22/13	303,223	309,595	-6,372
T	11/16/11	6/11/13	35,954	29,127	6,827
HCN	11/22/11	6/11/13	33,594	23,078	10,516
PRWCX	7/16/12	10/29/13	59,910	50,017	9,893
XBI	8/7/12	10/28/13	12,427	8,672	3,755
VDIGX	1/9/12	10/29/13	33,333	25,017	8,316
VEIPX	1/9/12	10/29/13	33,418	25,017	8,401
AVALX	5/12/10	11/18/13	240,662	148,278	92,384
DUK	3/31/10	6/11/13	45,095	32,800	12,295
VDIGX	6/20/11	10/29/13	68,335	50,017	18,318
Mutual Funds Capital Gains Distribution					11,904
TOTAL CAPITAL GAINS ON INVESTMENTS					$190,359

HARDIEK FAMILY FOUNDATION E.I.# 80-6024613

FORM 990-PF YEAR: 2013

Part VIII---Foundation Trustees, Officers & Directors

Foundation Trustees are:

Bernard Hardiek, 3454 52nd St.,
Moline, Illinois 61265

Mark Hardiek, 12267 Saint James Way,
Lemont, Illinois 60439

Greg Hardiek, 4908 Paxton
Oak Lawn, Illinois 60453

Kathy Dougherty, 1010 27th Avenue Ct.
Molne, Illinois 61265

None of the trustees, officers or directors received any compensation, employee benefit plan contributions or expense reimbursement in 2013

HARDIEK FAMILY FOUNDATION E.I. # 80-6024613 FORM 990-PF Page 1

Statement 8 Grants and Contributions Paid During the Year 2013

RECIPIENT NAME & ADDRESS	RECIPIENT RELATIONSHIP & PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
Alleman High School, Rock Island, Illinois	None Use in general operations	Tax Exempt	$ 23,839
Café on Vine Davenport, Iowa	None Use in general operations	Tax Exempt	10,000
Christ the King Church, Moline, Illinois	None Use in general operations	Tax Exempt	52,339
St. Patrick's Church, Sarasota, Florida	None Use in general operations	Tax Exempt	34,000
Queen of Peace High school, Burbank, Illinois	None Scholarship	Tax Exempt	4,000
Marist High School, Chicago, Il	None Scholarships	Tax Exempt	8,000
Peoria Diocese, Catholic Church, Peoria, Illinois	None Use in general operations	Tax Exempt	2,000
Subtotal			$134,178

Statement 8 –Grants and contributions paid during 2013

Name	Relationship	Status	Amount
SUBTOTAL CARRIED FORWARD			$134,178
Julie M Robinson Benefit Fund	None Medical Expenses	Individual	1,000
Terry Stevens Benefit Fund	None Medical Expenses	Individual	1,000
Norman Sawyer Benefit Fund	None Medical Expenses	Individual	1,000
Fr. Jim DeBisschop Benefit Fund	None Medical expenses	Individual	1,000
Braylon Bennett Benefit Fund	None Medical expenses	Individual	1,000
Teresa Thomas Benefit Fund	None Medical expenses	Individual	1,000
St. Anthony School Effingham, Ill	None Use in general operations	Tax Exempt	1,000
Sts. Cyril and Methodius school Lemont, Ill.	None Bruin family tuition	Tax Exempt	3,066
St. Anthony Church Davenport, Iowa	None Use in general operations	Tax Exempt	775
Subtotal			$145,019

SUBTOTAL

HARDIEK FAMILY FOUNDATION PAGE 3

Name	Relationship	Status	Amount
BALANCE CARRIED FORWARD			$145,019
Jason Dean Benefit Fund	None Medical Expenses	Individual	1,000
Donald Adams Benefit Fund	None Medical Expenses	Individual	1,000
Humility of Mary Shelter Davenport, Iowa	None Use in general operations	Tax Exempt	5,000
St. Ambrose University Davenport, Iowa	None Use in general operations	Tax Exempt	5,000
St. Aloysius Church Dieterich, Ill.	Use in general operations	Tax Exempt	1,200
James Ethridge Fund	None Medical expenses.	Individual	1,000
Mountain Vision Wooster, Ohio	None Use in general operations	Tax Exempt	1,000
Subtotal			$160,219

HARDIEK FAMILY FOUNDATION PAGE 4

Name	Relationship	Status	Amount

Balance Carried Forward			**$160,219**
Alyssa Curtis Benefit Fund	**None** **Medical Expenses**	**Individual**	**1,000**
Lutheran Social Services	**None** **Use in general operations**	**Tax Exempt**	**1,000**
Daniel Lyons Benefit Fund	**None** **Medical Expenses**	**Individual**	**1,000**
TOTAL GRANTS & CONTRIBUTIONS PAID IN 2013			**$163,219**

HARDIEK FAMILY FOUNDATION

FORM 990-PF YEAR 2013 E.I. # 80-6024613

Schedule 1----Other Income---Part 1, Line 11

Cedar Fair Publicly Traded Limited Partnership		
Ordinary Business Loss	($4,092)	
Section 1231 Gain	275	
Sectin 1250 Gain	14	
Total Cedar Fair Loss		($3,803)
Markwest Energy Publicly Traded Limited Partnership		
Ordinary Business Loss	($7,504)	
Interest Income	94	
Dividend Income	493	
Section 1231 Loss	(4)	
Total Markwest Energy Loss		($6,921)
Total Other Income		($10,724)