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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013

Department of the Treasury
Internal Revenue Service

- ▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2013, or tax year beginning , 2013, and ending

FINLEY FAMILY FOUNDATION
9450 SW GEMINI DR. #14354
BEAVERTON, OR 97008-7105A Employer identification number
80-0875249B Telephone number (see the instructions)
503-567-4410

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)
\$ 27,977,319.

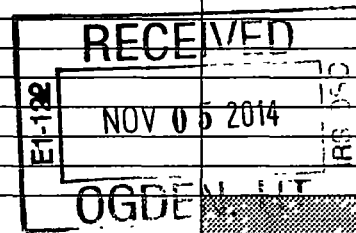
J Accounting method: ☐ Cash ☒ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

C If exemption application is pending, check here ☒D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc. received (att sch)	506,815.			
2 Ck <input type="checkbox"/> if the foundn is not req to att Sch B				
3 Interest on savings and temporary cash investments			N/A	
4 Dividends and interest from securities	669,668.	669,668.		
5 a Gross rents				
b Net rental income or (loss)				
6 a Net gain/(loss) from sale of assets not on line 10	-389,988.			
b Gross sales price for all assets on line 6a	24,650,304.			
7 Capital gain net income (from Part IV, line 2)		312,349.		
8 Net short-term capital gain				
9 Income modifications				
10 a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit/(loss) (att sch)				
11 Other income (attach schedule)				
SEE STATEMENT 1	37,487.	37,487.		
12 Total. Add lines 1 through 11	823,982.	1,019,504.		
13 Compensation of officers, directors, trustees, etc.	0.			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16 a Legal fees (attach schedule) SEE ST 2	2,999.			
b Accounting fees (attach sch) SEE ST 3	14,054.			
c Other prof fees (attach sch) SEE ST 4	71,108.	71,108.		
17 Interest	82,207.	82,207.		
18 Taxes (attach schedule)(see instrs) SEE STM 5	20,095.	8,095.		
19 Depreciation (attach sch) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)				
SEE STATEMENT 6	1,200.			
24 Total operating and administrative expenses. Add lines 13 through 23	191,663.	161,410.		
25 Contributions, gifts, grants paid PART. XV	250,000.			250,000.
26 Total expenses and disbursements. Add lines 24 and 25	441,663.	161,410.		250,000.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	382,319.			
b Net investment income (if negative, enter -0-)		858,094.		
c Adjusted net income (if negative, enter -0-)				



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ADMINISTRATIVE EXPENSES

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
A S S E T S	1 Cash — non-interest-bearing			596,795.	287,245.	287,245.
	2 Savings and temporary cash investments					
	3 Accounts receivable					
	Less: allowance for doubtful accounts					
	4 Pledges receivable					
	Less: allowance for doubtful accounts					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7 Other notes and loans receivable (attach sch.)					
	Less: allowance for doubtful accounts					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10 a Investments — U.S. and state government obligations (attach schedule)				201,375.	201,718.
	b Investments — corporate stock (attach schedule)			16,125,204.	16,957,839.	19,564,020.
	c Investments — corporate bonds (attach schedule)			8,306,281.	7,989,862.	7,915,291.
L I A B I L I T I E S	11 Investments — land, buildings, and equipment: basis					
	Less: accumulated depreciation (attach schedule)					
	12 Investments — mortgage loans					
	13 Investments — other (attach schedule)					
	14 Land, buildings, and equipment: basis					
	Less: accumulated depreciation (attach schedule)					
	15 Other assets (describe <input type="checkbox"/> SEE STATEMENT 7)			27,024.	9,045.	9,045.
	16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)			25,055,304.	25,445,366.	27,977,319.
	17 Accounts payable and accrued expenses			6,311.	14,054.	
	18 Grants payable					
F U N D A S S E T S O R S	19 Deferred revenue					
	20 Loans from officers, directors, trustees, & other disqualified persons					
	21 Mortgages and other notes payable (attach schedule)					
	22 Other liabilities (describe <input type="checkbox"/>)					
	23 Total liabilities (add lines 17 through 22)			6,311.	14,054.	
	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.	<input type="checkbox"/>				
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.	<input checked="" type="checkbox"/>				
	27 Capital stock, trust principal, or current funds					
	28 Paid-in or capital surplus, or land, building, and equipment fund					
	29 Retained earnings, accumulated income, endowment, or other funds			25,048,993.	25,431,312.	
	30 Total net assets or fund balances (see instructions)			25,048,993.	25,431,312.	
	31 Total liabilities and net assets/fund balances (see instructions)			25,055,304.	25,445,366.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	25,048,993.
2 Enter amount from Part I, line 27a	2	382,319.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	25,431,312.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	25,431,312.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a PUBLICLY TRADED SECURITIES- ATTACHED		P	VARIOUS	VARIOUS
b PUBLICLY TRADED SECURITIES- ATTACHED		P	VARIOUS	VARIOUS
c CAPITAL GAIN DIVIDENDS				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 19,197,302.		19,349,319.	-152,017.
b 5,385,941.		4,988,636.	397,305.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			-152,017.
b			397,305.
c			67,061.
d			
e			

2 Capital gain net income or (net capital loss).	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>		2	312,349.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	<div> <div>If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0-</div> <div>in Part I, line 8</div> </div>		3	-152,017.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

N/A

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☐ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012			
2011			
2010			
2009			
2008			

2 Total of line 1, column (d)	2
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4
5 Multiply line 4 by line 3	5
6 Enter 1% of net investment income (1% of Part I, line 27b)	6
7 Add lines 5 and 6	7
8 Enter qualifying distributions from Part XII, line 4	8

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here. <input type="checkbox"/> and enter 1% of Part I, line 27b		1	17,162.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	17,162.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	17,162.
6 Credits/Payments:			
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a	16,112.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8858)	6 c	18,000.	
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	34,112.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	23.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	16,927.	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 16,927. Refunded	11	0.	

Part VII Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ \$ 0. (2) On foundation managers ▶ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) OR		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses SEE STATEMENT 8	X	

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>RYAN FINLEY</u> Telephone no. <u>503-225-1612</u> Located at <u>9450 SW GEMINI DR. #14354 BEAVERTON OR</u> ZIP + 4 <u>97008-7105</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> <u>N/A</u>			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country <u></u>	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <u></u>	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b	X

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Part VII Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RYAN FINLEY 9450 SW GEMINI DR. #14354 BEAVERTON, OR 97008-7105	PRESIDENT 0	0.	0.	0.
MARY FINLEY 9450 SW GEMINI DR. #14354 BEAVERTON, OR 97008-7105	SECRETARY 0	0.	0.	0.
DAVID E. GREIN 1030 SW MORRISON ST. PORTLAND, OR 97205	VICE PRESIDE 0	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1 a	26,151,569.
b	Average of monthly cash balances	1 b	397,420.
c	Fair market value of all other assets (see instructions)	1 c	
d	Total (add lines 1a, b, and c)	1 d	26,548,989.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	26,548,989.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	398,235.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	26,150,754.
6	Minimum investment return. Enter 5% of line 5	6	1,307,538.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,307,538.
2a	Tax on investment income for 2013 from Part VI, line 5	2 a	17,162.
b	Income tax for 2013. (This does not include the tax from Part VI)	2 b	
c	Add lines 2a and 2b	2 c	17,162.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,290,376.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,290,376.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,290,376.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	250,000.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	250,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	250,000.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				1,290,376.
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			130,642.	
b Total for prior years: 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 250,000.				
a Applied to 2012, but not more than line 2a			130,642.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2013 distributable amount				119,358.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5.	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount – see instructions.		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount – see instructions			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				1,171,018.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or

4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c .

- 3 Complete 3a, b, or c for the alternative test relied upon:

a 'Assets' alternative test – enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . .

b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c 'Support' alternative test – enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 9

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed.

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part IV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a</i> Paid during the year STAND FOR CHILDREN LEADERSHIP CENTER 1732 NW QUIMBY ST #200 PORTLAND, OR 97209	N/A	PC	EXEMPT PURPOSE	250,000.
Total			3 a	250,000.
<i>b</i> Approved for future payment				
Total			3 b	

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, Form 990-EZ, or Form 990-PF

► Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

FINLEY FAMILY FOUNDATION

Employer identification number

80-0875249

Organization type (check one):

Filers of:

Form 990 or 990-EZ

Section:

- ☐ 501(c)() (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$ _____

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

FINLEY FAMILY FOUNDATION

Employer identification number

80-0875249

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	RYAN FINLEY 937 NW GLISAN ST. #1534 PORTLAND, OR 97008-7105	\$ 253,408.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
2	MARY FINLEY 937 NW GLISAN ST. #1534 PORTLAND, OR 97008-7105	\$ 253,407.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

FINLEY FAMILY FOUNDATION

80-0875249

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	PUBLICLY TRADED SECURITIES		
		\$ 250,248.	12/27/13
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	PUBLICLY TRADED SECURITIES		
		\$ 250,248.	12/27/13
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

BAA

Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

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FEDERAL STATEMENTS

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FINLEY FAMILY FOUNDATION

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STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
OTHER INVESTMENT INCOME			
TOTAL	\$ 37,487.	\$ 37,487.	\$ 0.

STATEMENT 2
FORM 990-PF, PART I, LINE 16A
LEGAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	\$ 2,999.			
TOTAL	\$ 2,999.	\$ 0.		\$ 0.

STATEMENT 3
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	\$ 14,054.			
TOTAL	\$ 14,054.	\$ 0.		\$ 0.

STATEMENT 4
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADVISOR FEES	\$ 71,108.			
TOTAL	\$ 71,108.	\$ 71,108.		\$ 0.

2013

FEDERAL STATEMENTS

PAGE 2

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FINLEY FAMILY FOUNDATION

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STATEMENT 5
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAXES	\$ 12,000.			
FOREIGN TAXES PAID	8,095.	\$ 8,095.		
TOTAL	<u>\$ 20,095.</u>	<u>\$ 8,095.</u>		<u>\$ 0.</u>

STATEMENT 6
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OREGON DEPARTMENT OF JUSTICE	\$ 1,200.			
TOTAL	<u>\$ 1,200.</u>	<u>\$ 0.</u>		<u>\$ 0.</u>

STATEMENT 7
FORM 990-PF, PART II, LINE 15
OTHER ASSETS

	BOOK VALUE	FAIR MARKET VALUE
DIVIDENDS RECEIVABLE	\$ 9,045.	\$ 9,045.
TOTAL	<u>\$ 9,045.</u>	<u>\$ 9,045.</u>

STATEMENT 8
FORM 990-PF, PART VII-A, LINE 10
SUBSTANTIAL CONTRIBUTORS DURING THE TAX YEAR

NAME OF SUBSTANTIAL CONTRIBUTOR	ADDRESS OF SUBSTANTIAL CONTRIBUTOR
RYAN FINLEY	9450 SW GEMINI DR. #14354 PORTLAND, OR 97008-7105
MARY FINLEY	9450 SW GEMINI DR. #14354 PORTLAND, OR 97008-7105

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FEDERAL STATEMENTS

PAGE 3

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FINLEY FAMILY FOUNDATION

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STATEMENT 9
FORM 990-PF, PART XV, LINE 1A
FOUNDATION MANAGERS - 2% OR MORE CONTRIBUTORS

RYAN FINLEY
MARY FINLEY

2013

FEDERAL ATTACHMENTS

80-0875249

FINLEY FAMILY FOUNDATION

10/24/2014

ATTACHMENT 1

FORM 990-PF, PART II, LINE 10A

INVESTMENTS - U.S. AND STATE GOVERNMENT OBLIGATIONS

U.S. GOVERNMENT OBLIGATIONS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
U.S. TREASURY BONDS	COST	201,375	201,718
	TOTAL	\$ 201,375	\$ 201,718

2013

FEDERAL ATTACHMENTS

80-0875249

FINLEY FAMILY FOUNDATION

10/24/2014

ATTACHMENT 1
 FORM 990-PF, PART II, LINE 10B
 INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ABERDEEN FDS EMRGN MKT INSTL	COST	\$ 1,534,075	\$ 1,575,990
CLAYMORE EXCHANGE TRD FD TR 2 GUGG TIMBER ETF	COST	344,125	398,990
FPA CRESCENT	COST	705,075	751,635
GATEWAY Y	COST	535,387	567,680
GMO/WELLS FARGO ABSOLUTE RETURN FUND	COST	1,695,100	1,722,764
ISHARES RUSSELL1000 VALUE INDEX	COST	2,068,488	2,681,020
ISHARES S&P MIDCAP 400 GROWTH INDEX	COST	368,009	484,964
ISHARES S&P MIDCAP 400 VALUE INDEX	COST	365,319	482,238
ISHARES S&P SMALL CAP 600 GROWTH	COST	339,120	483,217
ISHARES S&P SMALL CAP 600 VALUE	COST	348,325	482,090
ISHARES S&P500 GROWTH INDEX	COST	2,109,706	2,692,221
ISHARES TR RSSL MCRCP IDX	COST	266,823	387,469
JO HAMBRO INTERNATIONAL SELECT	COST	1,260,007	1,485,570
JPMORGAN ALERIAN MLP INDEX ETN	COST	691,365	795,366
MAINSTAY MARKETFIELD I	COST	765,000	790,173
MATTHEWS ASIA DIVIDEND INSTL	COST	725,047	731,967
OAKMARK INTERNATIONAL I	COST	1,279,267	1,427,324
POWERSHARES ETF TRUST WATER RESOURCE	COST	388,538	481,032
REMS REAL ESTATE VALUE OPPORTUNITY INSTL	COST	567,325	549,328
VANGUARD REIT ETF	COST	601,737	592,984
TOTAL		<u>\$ 16,957,839</u>	<u>\$ 19,564,020</u>

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FEDERAL ATTACHMENTS

80-0875249

FINLEY FAMILY FOUNDATION

10/24/2014

ATTACHMENT 2

FORM 990-PF, PART II, LINE 10C

INVESTMENTS - CORPORATE BONDS

CORPORATE BONDS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ABERDEEN GLOBAL HIGH INCOME I	COST	\$ 949,679	\$ 947,778
AMERICAN EXPRESS CR CORP	COST	208,687	206,972
AMERICAN INTL GROUP INC	COST	230,782	229,410
AT&T INC	COST	234,633	227,122
BANK AMER CORP	COST	228,544	227,654
BB&T BRH BKG & TR CO GLOBAL BK	COST	129,827	129,767
CITIGROUP INC	COST	212,993	209,814
CONSOLIDATED EDISON CO	COST	175,964	173,475
DEERE JOHN CAP CORP MTNS BE	COST	161,286	158,609
DIAGEO CAP PLC	COST	227,484	223,788
DIRECTV HLDGS LLC / DIRECTV	COST	195,385	196,194
GENERAL ELECTRIC CAP CORP	COST	128,212	123,198
GOLDMAN SACHS GROUP INC	COST	219,298	216,434
JP MORGAN CHASE & CO	COST	218,363	213,760
LOOMIS SAYLES BOND INSTL	COST	405,000	401,400
MERRILL LYNCH & CO	COST	222,041	220,044
MORGAN STANLEY	COST	216,765	216,855
OSTERWEIS STRATEGIC INCOME	COST	350,050	351,357
PETROHAWK ENERGY CORP	COST	219,590	215,600
PHILLIPS 66	COST	209,758	208,078
PNC FUNDING CORP	COST	188,330	180,176
PRUDENTIAL FINL INC	COST	214,988	211,132
RIDGEWORTH SEIX FLRT RT HIGH INC I	COST	1,080,144	1,082,614
STATOILHYDRO ASA	COST	213,754	209,946
TEMPLETON GLOBAL BOND ADV	COST	258,556	255,434
TOYOTA MTR CRD CORP MTN BE	COST	206,573	205,722
TRAVELERS COMPANIES INC	COST	234,813	230,390
VALERO ENERGY CORP	COST	211,880	207,938
VERIZON COMMUNICATIONS INC	COST	236,484	234,630
TOTAL		<u>\$ 7,989,862</u>	<u>\$ 7,915,291</u>

FINLEY FAMILY FOUNDATION

FORM 990-PF, PART IV, LN. 1a & 1b

Capital Gains and Losses for Tax of Investment Income

YEAR 2013
EIN: 80-0875249

SHORT TERM TRANSACTIONS

Description	Quantity	Date Acquired	Date Sold	Sales Price	Carrying Book Basis (FMV at gift date)	Gain/Loss	Investment Basis (Donor's Basis)	Gain/Loss
ABERDEEN GLOBAL HIGHINCOME FUND CLASS I	22,372.783	04/25/2013	06/05/2013	225,716.38	228,891.18	(3,174.80)	228,891.18	(3,174.80)
ANHEUSER BUSCH INBEV WORLDWIDE INC GTD NT 5.000%								
04/15/20 B/E DTD 03/29/10 CLB	100,000.000	03/04/2013	06/13/2013	115,500.00	118,421.66	(2,921.66)	118,421.66	(2,921.66)
BLACKROCK INC FIXED RT 5.000% 12/10/19 B/E DTD 12/10/09	105,000.000	04/17/2013	06/13/2013	121,416.75	124,906.46	(3,489.71)	124,906.46	(3,489.71)
CLAYMORE EXCHANGE TRADED FD TR 2 GUGGENHEIM								
FRONTIER MKTS ETF	1,135.000	04/25/2013	06/11/2013	18,814.32	21,099.17	(2,284.85)	21,099.17	(2,284.85)
CLAYMORE EXCHANGE TRADED FD TR 2 GUGGENHEIM								
FRONTIER MKTS ETF	2,089.000	06/12/2012	06/11/2013	34,628.28	41,435.32	(6,807.04)	40,055.41	(5,427.13)
CLAYMORE EXCHANGE TRADED FD TR 2 GUGGENHEIM								
FRONTIER MKTS ETF	4,625.000	08/29/2012	06/11/2013	76,666.25	91,736.88	(15,070.63)	88,486.79	(12,820.54)
DIAGEO CAP PLC GTD NT 4.828% 07/15/20 B/E DTD 05/14/10								
CLB	105,000.000	04/01/2013	06/13/2013	119,815.50	122,635.68	(2,820.18)	122,635.68	(2,820.18)
DOUBLELINE TOTAL RETURN BOND FUND CLASS I	20,067.526	04/25/2013	06/05/2013	226,361.69	229,371.83	(3,010.14)	229,371.83	(3,010.14)
DOUBLELINE TOTAL RETURN BOND FUND CLASS I	28,684.907	12/31/2012	09/13/2013	311,231.24	325,000.00	(13,768.76)	325,000.00	(13,768.76)
DOUBLELINE TOTAL RETURN BOND FUND CLASS I	10,526.316	01/18/2013	09/13/2013	114,210.53	120,000.00	(5,789.47)	120,000.00	(5,789.47)
DOUBLELINE TOTAL RETURN BOND FUND CLASS I	929.849	04/25/2013	09/13/2013	10,088.86	10,628.17	(539.31)	10,628.17	(539.31)
DRIEHAUS ACTIVE INCOME FUND	6,074.766	07/09/2013	09/13/2013	65,242.99	65,000.00	242.99	65,000.00	242.99
GOLDMAN SACHS GROUP INC MEDIUM TERM NTS FXD RATE								
FIXED RT NTS SER D 6.000% 06/15/20 B/E DTD 06/03/10	110,000.000	03/26/2013	06/13/2013	127,096.20	129,807.70	(2,711.50)	129,807.70	(2,711.50)
GOLDMAN SACHS HIGH YIELD MUNICIPAL FUND INST								
SHARES	27,571.580	12/31/2012	07/23/2013	244,819.50	260,025.00	(15,205.50)	260,025.00	(15,205.50)
GOLDMAN SACHS HIGH YIELD MUNICIPAL FUND INST								
SHARES	9,958.071	01/18/2013	07/23/2013	88,421.85	95,025.00	(6,603.15)	95,025.00	(6,603.15)
GOLDMAN SACHS HIGH YIELD MUNICIPAL FUND INST								
SHARES	5,213.764	04/25/2013	07/23/2013	46,295.18	50,025.00	(3,729.82)	50,025.00	(3,729.82)
HUSKY ENERGY INC NTS ISIN#US448055AF08 7.250%								
12/15/19 B/E DTD 05/11/09 CLB	105,000.000	03/20/2013	06/13/2013	131,962.95	134,685.98	(2,723.03)	134,685.98	(2,723.03)
HUSSMAN STRATEGIC GROWTH FUND	44,817.927	12/31/2012	03/04/2013	466,081.44	480,025.00	(13,943.56)	480,025.00	(13,943.56)
ISHARES INC MCSI BRIC ETF	1,055.000	07/09/2013	08/07/2013	36,020.46	35,415.37	605.09	35,415.37	605.09
ISHARES INC MCSI BRIC ETF	12,502.000	06/21/2013	08/07/2013	426,851.04	424,016.08	2,834.96	424,016.08	2,834.96
ISHARES TR COHEN & STEERS REIT ETF	1,433.000	06/05/2012	01/18/2013	115,489.16	111,888.64	3,600.52	106,295.29	9,193.87
ISHARES TR COHEN & STEERS REIT ETF	512.000	06/12/2012	01/18/2013	41,263.40	39,976.96	1,286.44	38,474.75	2,788.65
ISHARES TR COHEN & STEERS REIT ETF	155.000	06/12/2012	01/18/2013	12,491.85	12,102.40	389.45	11,647.63	844.22
ISHARES TR COHEN & STEERS REIT ETF	2,695.000	06/05/2012	04/25/2013	234,787.04	210,425.60	24,361.44	199,906.36	34,880.68
ISHARES TR COHEN & STEERS REIT ETF	1,300.000	09/09/2013	11/25/2013	98,345.03	99,995.00	(1,649.97)	99,995.00	(1,649.97)
ISHARES TR COHEN & STEERS REIT ETF	560.000	07/09/2013	11/25/2013	42,364.01	45,808.80	(3,444.79)	45,808.80	(3,444.79)

FINLEY FAMILY FOUNDATION

FORM 990-PF, PART IV, LN. 1a & 1b

Capital Gains and Losses for Tax of Investment Income

YEAR 2013
EIN: 80-0875249

SHORT TERM TRANSACTIONS

Description	Quantity	Date Acquired	Date Sold	Sales Price	Carrying Book Basis (FMV at gift date)	Gain/Loss	Investment Basis (Donor's Basis)	Gain/Loss
ISHARES TR FTSE CHINA 25 INDEX FD	5,880 000	12/31/2012	04/18/2013	205,136 24	237,008 34	(31,872 10)	237,008 34	(31,872 10)
ISHARES TR FTSE CHINA 25 INDEX FD	4,100 000	01/18/2013	04/18/2013	143,037 18	170,715 91	(27,678 73)	170,715 91	(27,678 73)
ISHARES TR RUSSELL 1000 VALUE ETF	3,117 000	10/24/2012	09/18/2013	277,596 50	226,465 64	51,130 86	223,272 29	54,324 21
ISHARES TR RUSSELL 1000 VALUE ETF	3,471 000	04/16/2013	09/18/2013	309,123 33	281,012 99	28,110 34	281,012 99	28,110 34
ISHARES TR RUSSELL 1000 VALUE INDEX FD	1,510 000	04/16/2013	04/25/2013	124,312 72	122,249 96	2,062 76	122,249 96	2,062 76
ISHARES TR S&P 500 GROWTH ETF	1,700 000	09/18/2013	12/09/2013	164,737 57	155,395 34	9,342 23	155,395 34	9,342 23
ISHARES TR S&P MIDCAP 400 GROWTH ETF	716 000	09/18/2013	12/09/2013	104,859 69	100,737 71	4,121 98	100,737 71	4,121 98
ISHARES TR S&P MIDCAP 400 VALUE ETF	1,166 000	04/16/2013	09/18/2013	127,316 05	114,446 12	12,869 93	114,446 12	12,869 93
ISHARES TR S&P SMALL-CAP 600 GROWTH ETF	1,800 000	01/18/2013	12/09/2013	209,405 62	159,311 82	50,093 80	159,311 82	50,093 80
ISHARES TR S&P SMALL-CAP 600 GROWTH ETF	310 000	04/25/2013	12/09/2013	36,064 30	28,908 65	7,155 65	28,908 65	7,155 65
ISHARES TR S&P SMALL-CAP 600 VALUE ETF	1,845 000	01/18/2013	12/09/2013	200,432 98	155,319 69	45,113 29	155,319 69	45,113 29
ISHARES TR S&P SMALL-CAP 600 VALUE ETF	280 000	04/25/2013	12/09/2013	30,418 01	25,188 20	5,229 81	25,188 20	5,229 81
JPMORGAN CHASE & CO ALERIAN MLP INDEX ETN BASED ON WAP (LEVEL OF INDEX)	1,810 000	04/15/2013	04/25/2013	86,401 43	80,515 07	5,886 36	80,515 07	5,886 36
JPMORGAN CHASE & CO ALERIAN MLP INDEX ETN BASED ON WAP (LEVEL OF INDEX)	3,220 000	07/09/2013	12/09/2013	143,055 26	153,463 71	(10,408 45)	153,463 71	(10,408 45)
LORD ABBETT SHORT DURATION INCOME FUND CLASS I	260,000 000	07/25/2013	08/15/2013	1,182,975 00	1,185,617 94	(2,642 94)	1,185,617 94	(2,642 94)
LORD ABBETT SHORT DURATION INCOME FUND CLASS I	60,000 000	07/25/2013	08/19/2013	272,975 00	273,604 14	(629 14)	273,604 14	(629 14)
LORD ABBETT SHORT DURATION INCOME FUND CLASS I	22,075 055	07/25/2013	09/06/2013	99,975 00	100,663 77	(688 77)	100,663 77	(688 77)
LORD ABBETT SHORT DURATION INCOME FUND CLASS I	20,282 068	07/25/2013	09/09/2013	92,055 59	92,487 63	(432 04)	92,487 63	(432 04)
METLIFE INC FIXED RT NT 4 750% 02/08/21 B/E DTD 08/06/10 CLB	105,000 000	04/01/2013	06/13/2013	117,288 15	120,545 12	(3,256 97)	120,545 12	(3,256 97)
PHILLIPS 66 GTD SR NT 4 300% 04/01/22 B/E DTD 10/01/12 CLB	110,000 000	02/20/2013	06/13/2013	117,614 20	120,019 20	(2,405 00)	120,019 20	(2,405 00)
PIMCO ALL ASSET ALL AUTHORITY FUND INSTITUTIONAL SHARES	45,454 545	07/09/2013	09/13/2013	463,164 25	460,025 00	3,139 25	460,025 00	3,139 25
PIMCO ALL ASSET ALL AUTHORITY FUND INSTITUTIONAL SHARES	19,230 769	01/18/2013	09/13/2013	195,954 10	215,025 00	(19,070 90)	215,025 00	(19,070 90)
PIMCO EMERGING LOCAL BOND FUND INSTITUTIONAL CLASS	23,881 279	02/21/2013	06/05/2013	245,726 34	261,525 00	(15,798 66)	261,525 00	(15,798 66)
PIMCO EMERGING LOCAL BOND FUND INSTITUTIONAL CLASS	8,628 520	01/18/2013	06/05/2013	88,783 12	95,025 00	(6,241 88)	95,025 00	(6,241 88)
PIMCO EMERGING LOCAL BOND FUND INSTITUTIONAL CLASS	17,117 117	04/25/2013	06/05/2013	176,126 51	190,025 00	(13,898 49)	190,025 00	(13,898 49)
PIMCO EMERGING MARKETS BOND FUND INSTL CL	22,023 509	06/05/2013	12/02/2013	242,674 07	258,581 00	(15,906 93)	258,581 00	(15,906 93)
PIMCO EMERGING MARKETS CURRENCY FD INSTITUTIONAL CLASS	24,644 550	12/31/2012	02/21/2013	260,221 45	260,025 00	196 45	260,025 00	196 45
PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	27,000 000	12/31/2012	05/17/2013	303,185 00	303,482 17	(297 17)	303,482 17	(297 17)

FINLEY FAMILY FOUNDATION

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Capital Gains and Losses for Tax of Investment Income

YEAR 2013
EIN: 80-0875249

SHORT TERM TRANSACTIONS

Description	Quantity	Date Acquired	Date Sold	Sales Price	Carrying Book Basis (FMV at gift date)		Gain/Loss	Investment Basis (Donor's Basis)		Gain/Loss
PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	46,816.479	12/31/2012	07/09/2013	500,911.33	526,220.98	(25,309.65)	(25,309.65)	526,220.98	(25,309.65)	(25,309.65)
PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	35,000.000	12/31/2012	07/18/2013	378,325.00	393,402.81	(15,077.81)	(15,077.81)	393,402.81	(15,077.81)	(15,077.81)
PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	50,000.000	12/31/2012	07/22/2013	542,475.00	562,004.02	(19,529.02)	(19,529.02)	562,004.02	(19,529.02)	(19,529.02)
PIMCO TOTAL RETURN FUND INSTITUTIONAL CLASS	152,571.421	12/31/2012	07/24/2013	1,644,694.92	1,714,915.02	(70,220.10)	(70,220.10)	1,714,915.02	(70,220.10)	(70,220.10)
PNC FDG CORP GTD FIXED RT SR NT 4 375% 08/11/20 B/E DTD 08/11/10	105,000.000	04/01/2013	06/13/2013	115,191.30	119,027.80	(3,836.50)	(3,836.50)	119,027.80	(3,836.50)	(3,836.50)
SAFEMAY INC NT	110,000.000	03/26/2013	12/20/2013	111,472.64	115,486.80	(4,014.16)	(4,014.16)	115,486.80	(4,014.16)	(4,014.16)
SPDR INDEX SHS FDS S&P BRIC 40 ETF	19,235.000	06/28/2012	06/21/2013	382,197.14	462,986.45	(80,789.31)	(80,789.31)	395,875.27	(13,678.13)	(13,678.13)
SPDR INDEX SHS FDS S&P BRIC 40 ETF	580.000	06/27/2012	06/21/2013	11,524.53	13,960.60	(2,436.07)	(2,436.07)	12,054.84	(530.31)	(530.31)
SPDR INDEX SHS FDS S&P BRIC 40 ETF	1,100.000	04/25/2013	06/21/2013	21,856.87	25,350.61	(3,493.74)	(3,493.74)	25,350.61	(3,493.74)	(3,493.74)
SPDR INDEX SHS FDS S&P EMERGING LATIN AMER ETF	3,235.000	06/28/2012	06/20/2013	192,553.19	237,368.13	(44,814.94)	(44,814.94)	211,223.66	(18,670.47)	(18,670.47)
SPDR INDEX SHS FDS S&P EMERGING LATIN AMER ETF	3,235.000	06/28/2012	06/24/2013	186,399.68	237,368.13	(50,968.45)	(50,968.45)	211,223.66	(24,823.98)	(24,823.98)
SWEDISH EXPT CR CORP ELEMENTS LKD TO ROGERS INTL COMMODITY INDEX TOTAL RETURN	18,000.000	06/13/2012	01/18/2013	158,564.44	155,340.00	3,224.44	3,224.44	139,436.21	19,128.23	19,128.23
SWEDISH EXPT CR CORP ELEMENTS LKD TO ROGERS INTL COMMODITY INDEX TOTAL RETURN	30,523.000	06/13/2012	04/15/2013	246,608.31	263,413.49	(16,805.18)	(16,805.18)	236,445.08	10,163.23	10,163.23
SWEDISH EXPT CR CORP ELEMENTS LKD TO ROGERS INTL COMMODITY INDEX TOTAL RETURN	45,786.000	06/13/2012	05/17/2013	379,087.58	395,133.18	(16,045.60)	(16,045.60)	354,679.23	24,408.35	24,408.35
UNITED STATES TREAS NTS 0 625% 04/30/18 B/E DTD 04/30/13	300,000.000	05/17/2013	09/09/2013	286,851.56	297,247.27	(10,395.71)	(10,395.71)	297,247.27	(10,395.71)	(10,395.71)
UNITED STATES TREAS NTS 0 625% 04/30/18 B/E DTD 04/30/13	100,000.000	05/03/2013	09/09/2013	95,617.19	99,534.32	(3,917.13)	(3,917.13)	99,534.32	(3,917.13)	(3,917.13)
UNITED STATES TREAS NTS 0 750% 02/28/18 B/E DTD 02/28/13	100,000.000	03/14/2013	09/09/2013	96,562.50	99,372.13	(2,809.63)	(2,809.63)	99,372.13	(2,809.63)	(2,809.63)
UNITED STATES TREAS NTS 0 750% 02/28/18 B/E DTD 02/28/13	150,000.000	03/14/2013	11/19/2013	147,703.13	149,098.45	(1,395.32)	(1,395.32)	149,098.45	(1,395.32)	(1,395.32)
UNITED STATES TREAS NTS 0 750% 02/28/18 B/E DTD 02/28/13	50,000.000	03/14/2013	11/26/2013	49,328.13	49,700.81	(372.68)	(372.68)	49,700.81	(372.68)	(372.68)
VALERO ENERGY CORP NEW FIXED RT NT 6 125% 02/01/20 B/E DTD 02/08/10 CLB	105,000.000	03/15/2013	06/13/2013	124,860.75	126,933.51	(2,072.76)	(2,072.76)	126,933.51	(2,072.76)	(2,072.76)
VANGUARD INDEX FDS VANGUARD REIT ETF	1,810.000	11/25/2013	12/09/2013	119,926.10	119,124.77	801.33	801.33	119,124.77	801.33	801.33
VANGUARD SCOTTS DALE FDS VANGUARD SHORT-TERM CORPORATE BD INDEX FD	150.000	12/31/2012	03/14/2013	12,026.79	12,048.73	(21.94)	(21.94)	12,048.73	(21.94)	(21.94)
VANGUARD SCOTTS DALE FDS VANGUARD SHORT-TERM CORPORATE BD INDEX FD	3,500.000	12/31/2012	03/15/2013	280,963.80	281,137.06	(173.26)	(173.26)	281,137.06	(173.26)	(173.26)
VANGUARD SCOTTS DALE FDS VANGUARD SHORT-TERM CORPORATE BD INDEX FD	6,250.000	12/31/2012	03/19/2013	502,063.63	502,030.47	33.16	33.16	502,030.47	33.16	33.16
VANGUARD SCOTTS DALE FDS VANGUARD SHORT-TERM CORPORATE BD INDEX FD	3,200.000	12/31/2012	03/19/2013	257,263.51	257,039.60	223.91	223.91	257,039.60	223.91	223.91

FINLEY FAMILY FOUNDATION

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Capital Gains and Losses for Tax of Investment Income

YEAR 2013
EIN. 80-0875249

SHORT TERM TRANSACTIONS

Description	Quantity	Date Acquired	Date Sold	Sales Price	Carrying Book Basis (FMV at gift date)	Gain/Loss	Investment Basis (Donor's Basis)	Gain/Loss
VANGUARD SCOTTSDALE FDS VANGUARD SHORT-TERM CORPORATE BD INDEX FD	6,300 000	12/31/2012	03/26/2013	506,376.95	506,046 71	330 24	506,046 71	330 24
VANGUARD SCOTTSDALE FDS VANGUARD SHORT-TERM CORPORATE BD INDEX FD	3,500 000	12/31/2012	04/02/2013	281,067 05	281,137 06	(70 01)	281,137 06	(70 01)
VANGUARD SCOTTSDALE FDS VANGUARD SHORT-TERM CORPORATE BD INDEX FD	4,700 000	12/31/2012	04/17/2013	378,212 02	377,526 91	685 11	377,526 91	685 11
VANGUARD SCOTTSDALE FDS VANGUARD SHORT-TERM CORPORATE BD INDEX FD	16,050 000	12/31/2012	04/25/2013	1,292,956 66	1,289,214 25	3,742 41	1,289,214 25	3,742 41
VERIZON COMMUNICATIONS INC FXD RT NT 4 600% 04/01/21 B/E DTD 03/28/11	105,000 000	04/01/2013	08/13/2013	115,980 90	117,622 64	(1,641 74)	117,622 64	(1,641 74)
WISDOMTREE TR JAPAN HEDGED EQUITY FD	4 584 000	05/30/2013	08/11/2013	205,082 37	216,312 28	(11,229 91)	216,312 28	(11,229 91)
TOTAL SHORT TERM GAINS / (LOSSES)				19,197,301.53	19,578,843.99	(381,542.46)	19,349,319.04	(152,017.51)

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Capital Gains and Losses for Tax of Investment Income

YEAR: 2013
EIN: 80-0875249

LONG TERM TRANSACTIONS

Description	Quantity	Date Acquired	Date Sold	Sales Price	Carrying Book Basis (FMV at gift date)			Gain/Loss	Investment Basis (Donor's Basis)	Gain/Loss
CLAYMORE EXCHANGE TRADED FD TR 2 GUGGENHEIM FRONTIER MKTS ETF	6,380 000	09/20/2011	06/11/2013	105,757 99	126,547 30	(20,789 31)	129,271 44	(23,513 45)		
CLAYMORE EXCHANGE TRADED FD TR 2 GUGGENHEIM FRONTIER MKTS ETF	2,089 000	10/27/2011	06/11/2013	34,628 28	41,435 32	(6,807 04)	43,135 82	(8,507 54)		
CLAYMORE EXCHANGE TRADED FD TR 2 GUGGENHEIM FRONTIER MKTS ETF	2,911,000	10/27/2011	06/11/2013	48,254 15	57,739 69	(9,485 54)	60,109 33	(11,855 18)		
DRIEHAUS ACTIVE INCOME FUND	5,855 019	09/20/2011	01/18/2013	62,975 00	62,473 05	501 95	61,188 50	1,786 50		
DRIEHAUS ACTIVE INCOME FUND	36,948 177	06/12/2012	09/13/2013	396,823 42	394,237 05	2,586 37	385,025 00	11,798 42		
DRIEHAUS ACTIVE INCOME FUND	3,191 904	09/20/2011	09/13/2013	34,281 05	34,057 62	223 43	33,357 34	923 71		
GLOBAL X FDS GLOBAL X FTSE ASEAN 40 ETF	1,495 000	10/27/2011	04/25/2013	27,212 39	25,661 68	1,550 71	23,918 23	3,294 16		
GLOBAL X FDS GLOBAL X FTSE ASEAN 40 ETF	6,932 000	06/12/2012	06/21/2013	111,919 43	118,987 78	(7,068 35)	104,386 40	7,533 03		
GLOBAL X FDS GLOBAL X FTSE ASEAN 40 ETF	4,588 000	10/27/2011	06/21/2013	74,074 78	78,753 02	(4,678 24)	73,402 58	672 20		
GLOBAL X FDS GLOBAL X FTSE ASEAN 40 ETF	5,927 000	10/27/2011	06/21/2013	95,693 38	101,736 96	(6,043 58)	94,825 00	868 38		
ISHARES TR COHEN & STEERS REIT ETF	2,537 000	01/20/2010	11/25/2013	191,924.11	198,088.96	(6,164 85)	133,539 05	58,385 06		
ISHARES TR COHEN & STEERS REIT ETF	975 000	03/24/2011	11/25/2013	73,758.77	76,128 00	(2,369 23)	65,959 34	7,799 43		
ISHARES TR COHEN & STEERS REIT ETF	2,170 000	09/20/2011	11/25/2013	164,160.55	169,433 60	(5,273 05)	147,074 18	17,086 37		
ISHARES TR COHEN & STEERS REIT ETF	1,972 000	06/05/2012	11/25/2013	149,181 84	153,973.76	(4,791 92)	146,278 57	2,905 27		
ISHARES TR MICRO-CAP ETF	100 000	06/12/2012	12/09/2013	7,242 72	5,173 00	2,069 72	4,743 46	2,499 26		
ISHARES TR MICRO-CAP ETF	1,360 000	08/28/2012	12/09/2013	98,501.01	70,352.80	28,148 21	68,709 36	29,791 65		
ISHARES TR RUSSELL 1000 VALUE ETF	1,620 000	10/24/2012	12/09/2013	150,214 62	117,701 10	32,513 52	116,041 42	34,173 20		
ISHARES TR S&P 500 GROWTH INDEX FD	37 000	04/04/2011	04/16/2013	3,046 54	2,792 02	254 52	2,548 95	497 59		
ISHARES TR S&P 500 GROWTH INDEX FD	6,335 000	10/27/2011	04/16/2013	521,617 58	478,039 10	43,578 48	437,808 43	83,809 15		
ISHARES TR S&P 500 GROWTH INDEX FD	1,515 000	04/04/2011	04/25/2013	126,782 01	114,321 90	12,460 11	104,369 25	22,412 76		
ISHARES TR S&P ASIA 50 INDEX FD	550 000	12/15/2011	04/25/2013	25,554 72	25,951 75	(397 03)	21,399 40	4,155 32		
ISHARES TR S&P ASIA 50 INDEX FD	4,721 000	12/15/2011	05/30/2013	217,771 49	222,760 39	(4,988 90)	183,684 71	34,086 78		
ISHARES TR S&P ASIA 50 INDEX FD	4,721 000	12/15/2011	06/11/2013	205,586 33	222,760 39	(17,174 06)	183,684 70	21,901 63		
ISHARES TR S&P EMERGING MKTS	4,835 000	10/27/2011	06/11/2013	158,097 02	163,833 98	(5,736 96)	151,551 54	6,545 48		
ISHARES TR S&P EMERGING MKTS	215 000	03/20/2012	06/11/2013	7,030 17	7,285 27	(255 10)	7,226 55	(196 38)		
ISHARES TR S&P MIDCAP 400 GROWTH ETF	399 000	08/13/2010	12/09/2013	58,434 38	45,474 03	12,960 35	32,043 05	26,391 33		
ISHARES TR S&P MIDCAP 400 GROWTH INDEX FD	511 000	08/13/2010	04/16/2013	63,724 14	58,238 67	5,485 47	41,037 59	22,686 55		
ISHARES TR S&P MIDCAP 400 GROWTH INDEX FD	289 000	03/05/2010	04/16/2013	36,039 68	32,937 33	3,102 35	23,897 41	12,142 27		
ISHARES TR S&P MIDCAP 400 GROWTH INDEX FD	107 000	03/22/2010	04/16/2013	13,343 41	12,194 79	1,148 62	9,091 82	4,251 59		
ISHARES TR S&P MIDCAP 400 VALUE ETF	173 000	03/24/2011	09/18/2013	18,889 95	15,232 65	3,657 30	14,593 04	4,296 91		
ISHARES TR S&P MIDCAP 400 VALUE ETF	48 000	06/09/2011	12/09/2013	5,488 73	4,226 40	1,262 33	3,908 61	1,580 12		
ISHARES TR S&P MIDCAP 400 VALUE ETF	517 000	07/20/2011	12/09/2013	59,118 26	45,521 85	13,596 41	43,299 58	15,818 68		
ISHARES TR S&P MIDCAP 400 VALUE ETF	945 000	03/24/2011	12/09/2013	108,059 49	83,207 25	24,852 24	79,713 41	28,346 08		

FINLEY FAMILY FOUNDATION

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Capital Gains and Losses for Tax of Investment Income

YEAR: 2013
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LONG TERM TRANSACTIONS

Description	Quantity	Date Acquired	Date Sold	Sales Price	Carrying Book Basis (FMV at gift date)	Gain/Loss	Investment Basis (Donor's Basis)	Gain/Loss
ISHARES TR S&P SMALL-CAP 600 GROWTH ETF	85 000	11/17/2009	12/09/2013	7,561 87	5,410 60	2,151 27	3,565 45	3,996 42
JPMORGAN CHASE & CO ALERIAN MLP INDEX ETN BASED ON WAP (LEVEL OF INDEX)	1,947 000	05/10/2011	01/18/2013	81,544 28	74,349 12	7,195 16	73,559 45	7,984 83
JPMORGAN CHASE & CO ALERIAN MLP INDEX ETN BASED ON WAP (LEVEL OF INDEX)	2,953 000	04/04/2011	01/18/2013	123,677 58	112,764 73	10,912 85	112,710 37	10,967 21
MERGER FUND	1,641 969	08/05/2009	01/18/2013	25,811 75	26,452 12	(640 37)	24,760 89	1,050 86
MERGER FUND	8,529 677	06/09/2010	01/18/2013	134,086 52	137,413 10	(3,326 58)	132,210 00	1,876 52
MERGER FUND	10,433 312	12/15/2009	01/18/2013	164,011 67	168,080 66	(4,068 99)	161,925 00	2,086 67
MERGER FUND	5,058,157	01/21/2010	01/18/2013	79,514 23	81,486 91	(1,972 68)	79,058 99	455 24
PIMCO ALL ASSET FUND INSTITUTIONAL CLASS	23,769 100	06/12/2012	07/09/2013	283,791 40	308,998 30	(25,206 90)	280,025 00	3,766 40
PIMCO ALL ASSET FUND INSTITUTIONAL CLASS	27,220 506	03/20/2012	07/09/2013	324,999 50	353,866 58	(28,867 08)	332,918 68	(7,919 18)
WISDOMTREE TR EMERGING MKTS EQUITY INCOME FD EQUITY	9,880,000	06/27/2012	07/09/2013	471,999 77	551,995 60	(79,995 83)	495,495 59	(23,495 82)
WISDOMTREE TR EMERGING MKTS EQUITY INCOME FD EQUITY	3,988 000	09/13/2011	07/09/2013	190,519 74	222,809 56	(32,289 82)	213,203 28	(22,683 54)
WISDOMTREE TR EMERGING MKTS EQUITY INCOME FD EQUITY	905 000	09/13/2011	07/09/2013	43,234 80	50,562 35	(7,327 55)	48,382 39	(5,147 59)
TOTAL LONG TERM GAINS/ (LOSSES)				5,385,940.50	5,461,448.09	(75,507.59)	4,988,636.15	397,304.35
TOTAL INVESTMENT GAINS / (LOSSES)				24,583,242.03	25,040,292.08	(457,050.05)	24,337,955.19	245,286.84

Department of the Treasury
Internal Revenue Service**Application for Extension of Time To File an
Exempt Organization Return**

► File a separate application for each return.

OMB No. 1545-1709

► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box ☒
- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870 Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns*

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	FINLEY FAMILY FOUNDATION	80-0875249
	Number, street and room or suite number. If a P.O. box, see instructions.	Social security number (SSN)
	9450 SW GEMINI DR. #14354	
File by the due date for filing your return. See instructions.	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	BEAVERTON, OR 97008-7105	

Enter the Return code for the return that this application is for (file a separate application for each return) ☐ 04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► RYAN FINLEY

Telephone No. ► 503-225-1612 Fax No. ► 503-243-2802

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 2014, to file the exempt organization return for the organization named above.
The extension is for the organization's return for:

- ☒ calendar year 2013 or
- ☐ tax year beginning _____, 20____, and ending _____, 20____.

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	34,112.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	16,112.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	18,000.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II and check this box ☒ **X**
- Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868
- If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	FINLEY FAMILY FOUNDATION	80-0875249
File by the extended due date for filing your return. See instructions	Number, street, and room or suite number. If a P.O. box, see instructions.	Social security number (SSN)
	THOMPSON KESSLER WIEST & BORQUIST PC 111 SW COLUMBIA STREET, SUITE 750	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	PORTLAND, OR 97201-5806	

Enter the Return code for the return that this application is for (file a separate application for each return) **04**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in care of **RYAN FINLEY**
Telephone No. **503-225-1612** Fax No. **503-243-2802**
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 4 I request an additional 3-month extension of time until 11/15, 20 14.
- 5 For calendar year 2013, or other tax year beginning _____, 20 ____, and ending _____, 20 ____.
- 6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period
- 7 State in detail why you need the extension... ADDITIONAL TIME IS REQUESTED TO COMPILE THE INFORMATION NECESSARY TO PREPARE A COMPLETE AND ACCURATE TAX RETURN.

8 a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8 a \$	17,162.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8 b \$	34,112.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8 c \$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature **Robert P. Wiest** Title **CPA**Date **8/19/14**

BAA

FIF20502L 12/31/13

Form 8868 (Rev 1-2014)