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Return of Private Foundation

OMB No 1545-0052

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation
THE TRES CHICAS FOUNDATION

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
12100 WILSHIRE BLVD. 1150

City or town, state or province, country, and ZIP or foreign postal code
LOS ANGELES, CA 90025

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☒ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16)
\$ 6,188,651. (Part I, column (d) must be on cash basis.)

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____

A Employer identification number
77-0544704

B Telephone number
(310) 826-2060

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		340,000.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		53,901.	53,901.		STATEMENT 1
4 Dividends and interest from securities		86,847.	86,847.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		83,697.			
b Gross sales price for all assets on line 6a		1,290,816.			
7 Capital gain net income (from Part IV, line 2)			83,697.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		564,445.	224,445.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		2,503.	2,503.		0.
c Other professional fees					
17 Interest					
18 Taxes STMT 4		4,859.	1,403.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		27,206.	27,121.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		34,568.	31,027.		0.
25 Contributions, gifts, grants paid		141,000.			141,000.
26 Total expenses and disbursements. Add lines 24 and 25		175,568.	31,027.		141,000.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		388,877.			
b Net investment income (if negative, enter -0-)			193,418.		
c Adjusted net income (if negative, enter -0-)				N/A	

323501
10-10-13

LHA For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2013)

Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
Assets	1 Cash - non-interest-bearing	808,644.	638,666.	638,666.
	2 Savings and temporary cash investments	357,395.	529,115.	529,115.
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	2,506,449.	3,077,705.	3,077,705.
	c Investments - corporate bonds STMT 7	1,278,721.	1,092,163.	1,092,163.
	11 Investments - land, buildings, and equipment: basis ▶ Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 8	848,565.	851,002.	851,002.
	14 Land, buildings, and equipment: basis ▶ Less accumulated depreciation ▶			
Liabilities	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	5,799,774.	6,188,651.	6,188,651.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
Net Assets or Fund Balances	23 Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	5,799,774.	6,188,651.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	5,799,774.	6,188,651.	
	31 Total liabilities and net assets/fund balances	5,799,774.	6,188,651.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,799,774.
2 Enter amount from Part I, line 27a	2	388,877.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	6,188,651.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	6,188,651.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a HAWTHORN - SEE ATTACHED SCHEDULE	P	VARIOUS	12/31/13
b HAWTHORN - SEE ATTACHED SCHEDULE	P	VARIOUS	12/31/13
c 475.17 SHARES OF GS CORE FIXED INCOME FUND			
d INSTITUTIONAL SHARES	P	12/06/11	08/15/13
e CAPITAL GAINS DIVIDENDS			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 229,987.		232,705.	-2,718.
b 1,032,641.		969,544.	63,097.
c			
d 4,880.		4,870.	10.
e 23,308.			23,308.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			-2,718.
b			63,097.
c			
d			10.
e			23,308.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	83,697.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	161,000.	5,566,940.	.028921
2011	150,000.	5,403,954.	.027757
2010	240,000.	5,488,915.	.043724
2009			
2008			

2 Total of line 1, column (d)	2	.100402
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.033467
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	5,904,300.
5 Multiply line 4 by line 3	5	197,599.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,934.
7 Add lines 5 and 6	7	199,533.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	141,000.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	3,868.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	3,868.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	3,868.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	3,867.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	3,867.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	87.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	88.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>CA</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► CHRIS MORRIS Telephone no. ► (310) 826-2060 Located at ► 12100 WILSHIRE BLVD., #1150, LOS ANGELES, CA ZIP+4 ► 90025			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ► <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ► , , , <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► , , ,		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ALISON ZUBER 687 GROVE LANE SANTA BARBARA, CA 93105	CHAIRMAN 0.00	0.	0.	0.
AMISHA ZUBER 687 GROVE LANE SANTA BARBARA, CA 93105	CHIEF FINANCIAL OFFICER 0.00	0.	0.	0.
SIERRA ZUBER 0.00	SECRETARY 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	4,827,303.
b	Average of monthly cash balances	1b	1,166,910.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	5,994,213.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	5,994,213.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	89,913.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,904,300.
6	Minimum investment return. Enter 5% of line 5	6	295,215.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	295,215.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	3,868.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	3,868.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	291,347.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	291,347.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	291,347.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	141,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	141,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	141,000.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				291,347.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	156,770.			
b From 2009	157,900.			
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	314,670.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$	141,000.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				141,000.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	150,347.			150,347.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	164,323.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	6,423.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	157,900.			
10 Analysis of line 9:				
a Excess from 2009	157,900.			
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

<div>Recipient</div> <div>Name and address (home or business)</div>	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ART WITHOUT LIMITS 816 CHELHAM WAY SANTA BARBARA, CA 93108	NONE	PUBLIC CHARITY	GENERAL CHARITABLE	1,000.
DHARAMSALA ANIMAL RESCUE 1168 CHURCH STREET SAN FRANCISCO, CA 94114	NONE	PUBLIC CHARITY	GENERAL CHARITABLE	5,000.
THE HONEYBEE CONSERVANCY P.O. BOX 1189 NEW YORK, NY 10011	NONE	PUBLIC CHARITY	GENERAL CHARITABLE	5,000.
MERCER VETERINARY CLINIC P.O. BOX 297 DAVIS, CA 95617	NONE	PUBLIC CHARITY	GENERAL CHARITABLE	5,000.
UNIVERSITY OF MONTANA FOUNDATION P.O. BOX 7159 MISSOULA, MT 59807	NONE	PUBLIC CHARITY	GENERAL CHARITABLE	10,000.
Total	SEE CONTINUATION SHEET(S)			141,000.
b Approved for future payment				
NONE				
Total				0.

Form 990-PF (2013)

77-0544704

3 Grants and Contributions Paid During the Year (Continuation)

Total from continuation sheets	115,000
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Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

Employer identification number

THE TRES CHICAS FOUNDATION**77-0544704**

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)() (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

Employer identification number

THE TRES CHICAS FOUNDATION**77-0544704****Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ALISON C. ZUBER 687 GROVE LANE SANTA BARBARA, CA 93105	\$ 340,000.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
THE TRES CHICAS FOUNDATION	77-0544704

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

THE TRES CHICAS FOUNDATION

77-0544704

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

TRES CHICAS
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
3. AGL RES INC	03/01/2013	09/26/2013	138.21	119.42	18.79
3. AGL RES INC	03/01/2013	12/20/2013	139.77	119.42	20.35
3. ADOBE SYSTEMS INC	03/01/2013	04/11/2013	135.00	117.69	17.31
21. ADOBE SYSTEMS INC	03/01/2013	05/01/2013	940.22	823.81	116.41
2. AIR PRODUCTS & CHEMICALS	VAR	07/31/2013	218.84	173.23	45.61
1. AIR PRODUCTS & CHEMICALS	03/01/2013	08/07/2013	106.52	86.18	20.34
5. ALLSTATE CORP	03/01/2013	03/22/2013	239.70	230.25	9.45
7. ALLSTATE CORP	03/01/2013	08/14/2013	352.30	322.35	29.95
4. ALLSTATE CORP	03/01/2013	09/03/2013	195.40	184.20	11.20
178. ALTERA CORP	08/15/2013	08/29/2013	6,301.20	6,199.72	101.48
113. ALTERA CORP	08/15/2013	11/15/2013	3,662.70	3,935.78	-273.08
16. AMERICAN EAGLE OUTFITTERS	08/14/2013	12/04/2013	260.00	270.23	-10.23
2. AMERISOURCEBERGEN CORP	03/01/2013	04/22/2013	112.02	94.58	17.44
3. AMERIPRISE FINANCIAL INC	03/01/2013	03/26/2013	221.01	202.92	18.09
2. AMERIPRISE FINANCIAL INC	03/01/2013	07/18/2013	172.58	135.28	37.30
2. AMERIPRISE FINANCIAL INC	03/01/2013	11/18/2013	211.82	135.28	76.54
18. AVNET INC	03/01/2013	03/06/2013	639.51	621.89	17.62
15. BORG WARNER INC.	08/15/2013	10/10/2013	1,521.40	1,451.85	69.55
64. CME GROUP INC A					
DERIVATIVES EXCHANGE	08/15/2013	10/17/2013	4,902.65	4,808.32	94.33
2. CABELAS INC	03/01/2013	03/12/2013	117.97	101.09	16.88
2. CABELAS INC	03/01/2013	03/25/2013	120.54	101.09	19.45
3. CABELAS INC	03/01/2013	04/02/2013	183.00	151.64	31.36
2. CABELAS INC	03/01/2013	04/26/2013	129.51	101.09	28.42
2. CABELAS INC	03/01/2013	05/03/2013	129.30	101.09	28.21
2. CABELAS INC	03/01/2013	05/15/2013	139.86	101.09	38.77
3. CABELAS INC	03/01/2013	05/23/2013	203.04	151.64	51.40
9. CABELAS INC	03/01/2013	05/29/2013	599.71	454.90	144.81
126. CAMERON INTL CORP	08/15/2013	10/28/2013	6,787.93	7,137.77	-349.84
4. CAREFUSION CORP	03/01/2013	04/02/2013	140.00	130.80	9.20
3. CAREFUSION CORP	03/01/2013	06/04/2013	112.38	98.10	14.28
1. CHARLES RIV LABORATORIES INTL INC					
12. CIMAREX ENERGY CO	03/14/2013	08/20/2013	47.27	46.74	0.53
1. CINTAS CORP	08/15/2013	10/28/2013	1,262.22	958.68	303.54
	03/01/2013	08/20/2013	48.59	43.72	4.87
Totals					

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TRES CHICAS
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
10830.325 COHEN & STEERS PREFERRED SECURITIES AND I	05/14/2013	11/14/2013	140,361.01	149,716.02	-9,355.01
41. COLE REAL ESTATE INVESTMENT MERGED 02/07/14	08/20/2013	11/01/2013	572.83	466.97	105.86
83. COLE REAL ESTATE INVESTMENT MERGED 02/07/14	08/20/2013	12/03/2013	1,193.23	939.05	254.18
4. COMERICA INC	03/01/2013	03/20/2013	144.60	137.48	7.12
9. COMERICA INC	03/01/2013	03/22/2013	324.89	309.33	15.56
21. COMERICA INC	03/01/2013	07/11/2013	856.11	721.77	134.34
2. COMPASS MINERALS INTL INC	04/03/2013	04/29/2013	162.56	156.01	6.55
19. COMPASS MINERALS INTL INC	VAR	08/15/2013	1,391.75	1,408.87	-17.12
15. DANAHER CORP	08/15/2013	12/05/2013	1,105.77	1,004.84	100.93
26. DANAHER CORP	08/15/2013	12/16/2013	1,944.14	1,741.73	202.41
2. DARDEN RESTAURANTS INC W I	04/02/2013	12/13/2013	102.82	102.90	-0.08
9. DARDEN RESTAURANTS INC W I	VAR	12/19/2013	454.41	432.77	21.64
7. DARDEN RESTAURANTS INC W I	03/01/2013	12/19/2013	353.37	320.46	32.91
14. DARDEN RESTAURANTS INC W I	03/01/2013	12/20/2013	713.45	640.91	72.54
1. DARLING INTERNATIONAL INC	05/20/2013	08/20/2013	19.58	18.89	0.69
9. DARLING INTERNATIONAL INC	VAR	10/11/2013	184.86	188.97	-4.11
1. DENTSPLY INTERNATIONAL INC	08/02/2013	12/02/2013	47.46	42.57	4.89
6. DIEBOLD INC	03/01/2013	07/01/2013	207.90	167.91	39.99
15. DIEBOLD INC	03/01/2013	07/23/2013	493.34	419.78	73.56
4. DIEBOLD INC	03/01/2013	07/31/2013	130.12	111.94	18.18
3. DIEBOLD INC	03/01/2013	08/05/2013	99.00	83.96	15.04
1. DIEBOLD INC	11/18/2013	12/02/2013	33.83	32.16	1.67
10. DIGITAL RLTY TR INC	03/01/2013	05/09/2013	643.19	673.20	-30.01
20. DIGITAL RLTY TR INC	VAR	08/01/2013	1,093.21	1,319.77	-226.56
2. DOLLAR GENERAL CORP	06/04/2013	09/03/2013	107.12	99.66	7.46
2. DOLLAR GENERAL CORP	VAR	11/07/2013	115.16	96.19	18.97
2. DOLLAR GENERAL CORP	03/01/2013	11/18/2013	117.40	92.72	24.68
1. DOLLAR GENERAL CORP	03/01/2013	12/02/2013	56.48	46.36	10.12
3. DOLLAR GENERAL CORP	03/01/2013	12/04/2013	169.47	139.08	30.39
1. EOT CORPORATION	03/15/2013	04/26/2013	73.93	67.51	6.42
1. EOT CORPORATION	03/15/2013	12/02/2013	84.84	67.51	17.33
8. ECOLAB INC	08/15/2013	10/10/2013	789.12	736.08	53.04
Totals					

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TRES CHICAS
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
33. ECOLAB INC	08/15/2013	12/06/2013	3,448.23	3,036.33	411.90
3. FIDELITY NATIONAL INFORMATION					
3. FIDELITY NATIONAL INFORMATION	03/01/2013	04/26/2013	122.61	111.72	10.89
1. FIFTH THIRD BANCORP	03/01/2013	11/18/2013	152.49	111.72	40.77
3. FLUOR CORP	03/01/2013	08/20/2013	18.96	16.53	2.43
1. FLUOR CORP	03/01/2013	10/04/2013	215.05	181.74	33.31
1. FLOWSERVE CORP	03/01/2013	10/31/2013	74.52	60.58	13.94
18. FLOWSERVE CORP	03/01/2013	06/04/2013	166.12	160.49	5.63
2. GOOGLE INC CL A	03/01/2013	08/14/2013	1,030.30	962.94	67.36
2. GRAINGER W W INC	08/15/2013	12/05/2013	2,112.84	1,727.22	385.62
2. GRAINGER W W INC	08/15/2013	10/10/2013	509.11	503.96	5.15
1. GREAT PLAINS ENERGY INC	08/15/2013	12/05/2013	510.05	503.96	6.09
7. GREAT PLAINS ENERGY INC	03/01/2013	08/20/2013	22.74	21.67	1.07
1. GREIF BROTHERS CORP CL A	03/01/2013	12/20/2013	169.68	151.69	17.99
1. HARLEY DAVIDSON INC	03/01/2013	05/08/2013	49.10	50.90	-1.80
1. HARTFORD FINL SVCS GROUP	05/08/2013	08/20/2013	58.81	57.35	1.46
4. HASBRO INC	05/16/2013	12/02/2013	36.12	30.90	5.22
4. HASBRO INC	03/01/2013	03/18/2013	167.68	161.32	6.36
2. HASBRO INC	03/01/2013	04/11/2013	181.08	161.32	19.76
1. HASBRO INC	03/01/2013	04/22/2013	94.86	80.66	14.20
3. INGREDION INC	03/01/2013	12/02/2013	54.11	40.33	13.78
2. INGREDION INC	05/29/2013	10/28/2013	206.31	211.01	-4.70
8. INTERPUBLIC GROUP COMPANIES INC	05/29/2013	11/20/2013	136.32	140.67	-4.35
11. JUNIPER NETWORKS INC	03/01/2013	08/02/2013	130.80	101.20	29.60
8. JUNIPER NETWORKS INC	03/01/2013	07/11/2013	219.34	224.73	-5.39
3. KROGER CO	03/01/2013	08/02/2013	174.75	163.44	11.31
3. KROGER CO	03/01/2013	03/22/2013	97.57	87.42	10.15
3. KROGER CO	03/01/2013	04/11/2013	99.21	87.42	11.79
4. KROGER CO	03/01/2013	04/23/2013	103.20	87.42	15.78
4. KROGER CO	03/01/2013	04/26/2013	136.88	116.56	20.32
4. KROGER CO	VAR	08/02/2013	156.72	124.34	32.38
4. KROGER CO	03/01/2013	11/18/2013	165.92	116.56	49.36
39. LIBERTY PPTY TR	03/01/2013	08/20/2013	1,345.87	1,505.31	-159.44
Totals					

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TRES CHICAS
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
1. MASTERCARD INC CL A	08/15/2013	12/05/2013	753.93	622.52	131.41
14. MC CORMICK & CO INC					
NON-VOTING					
1. MCDERMOTT INTL INC	08/15/2013	12/06/2013	960.13	994.00	-33.87
16. MEDNAX INC	03/01/2013	12/02/2013	8.06	11.31	-3.25
1. MICROCHIP TECHNOLOGY INC	03/01/2013	12/16/2013	1,729.41	1,363.76	365.65
5. MOLEX INC	05/16/2013	12/02/2013	43.25	37.69	5.56
14. MOLEX INC	03/01/2013	06/06/2013	145.85	137.59	8.26
6. MOLEX INC	03/01/2013	09/09/2013	540.39	385.27	155.12
25. MOLEX INC	03/01/2013	09/16/2013	232.20	165.11	67.09
1. MOLSON COORS BREWING	VAR	09/27/2013	961.23	667.63	293.60
COMPANY					
31. NATIONAL INSTRUMENTS CORP	03/01/2013	08/20/2013	50.32	44.34	5.98
6. NEWELL RUBBERMAID INC	08/15/2013	10/11/2013	948.25	865.83	82.42
4. NUCOR CORP	03/01/2013	04/23/2013	160.83	139.56	21.27
18. O REILLY AUTOMOTIVE INC	03/01/2013	04/11/2013	180.68	178.80	1.88
10. O REILLY AUTOMOTIVE INC	08/15/2013	10/10/2013	2,237.83	2,234.52	3.31
13. OWENS ILL INC NEW	08/15/2013	12/05/2013	1,213.29	1,241.40	-28.11
1. OWENS ILL INC NEW	03/01/2013	03/06/2013	331.19	330.72	0.47
10. PALL CORP	03/01/2013	12/02/2013	33.30	25.44	7.86
12. PALL CORP	08/15/2013	12/05/2013	821.80	704.60	117.20
2. PARKER HANNIFIN CORP	08/15/2013	12/16/2013	992.78	845.52	147.26
2. PARKER HANNIFIN CORP	03/01/2013	08/01/2013	213.52	188.42	25.10
1. PATTERSON COS INC	08/08/2013	12/13/2013	237.22	205.74	31.48
1. PIONEER NAT RES CO	10/29/2013	12/02/2013	41.30	41.95	-0.65
1. PIONEER NAT RES CO	03/01/2013	05/07/2013	136.84	123.28	13.56
1. PIONEER NAT RES CO	03/01/2013	08/02/2013	179.71	123.28	56.43
1. PIONEER NAT RES CO	03/01/2013	08/14/2013	171.42	123.28	48.14
1. PIONEER NAT RES CO	03/01/2013	10/03/2013	196.74	123.28	73.46
1. PIONEER NAT RES CO	03/01/2013	10/16/2013	208.98	123.28	85.70
28. PORTLAND GENERAL ELECTRIC	03/01/2013	05/24/2013	866.15	827.96	38.19
56. PROGRESSIVE CORP OHIO	08/15/2013	10/10/2013	1,504.20	1,428.53	75.67
14. PROGRESSIVE CORP OHIO	08/15/2013	12/05/2013	379.12	357.13	21.99
3. RANGE RES CORP	03/01/2013	03/15/2013	247.07	229.77	17.30
2. RANGE RES CORP	03/01/2013	03/18/2013	164.72	153.18	11.54
2. RANGE RES CORP	03/01/2013	07/25/2013	163.12	153.18	9.94
Totals					

USA
3F0971 1.000

TRES CHICAS
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
3. REINSURANCE GROUP OF AMERICA	05/16/2013	07/18/2013	219.42	191.72	27.70
3. ROCKWOOD HOLDINGS INC	03/01/2013	03/06/2013	191.64	189.60	2.04
4. ROCKWOOD HOLDINGS INC	03/01/2013	09/17/2013	268.37	252.80	15.57
1. SCOTTS CO CL A	05/08/2013	08/20/2013	54.14	47.89	6.25
2. SCOTTS CO CL A	03/01/2013	09/04/2013	106.70	88.42	18.28
1. SCOTTS CO CL A	03/01/2013	12/02/2013	58.86	44.21	14.65
16. SCRIPPS NETWORKS INTERAC	08/15/2013	10/10/2013	1,218.39	1,188.80	29.59
21. SCRIPPS NETWORKS INTERAC	08/15/2013	12/11/2013	1,694.04	1,560.30	133.74
3. SILGAN HLDGS INC	03/21/2013	07/02/2013	140.92	145.19	-4.27
1. JM SMUCKER CO/THE-NEW COM	03/01/2013	04/24/2013	104.50	95.43	9.07
1. JM SMUCKER CO/THE-NEW COM	06/06/2013	07/18/2013	107.50	98.50	9.00
2. JM SMUCKER CO/THE-NEW COM	VAR	08/02/2013	226.32	193.92	32.40
4. SPECTRA ENERGY CORP	03/01/2013	06/24/2013	135.40	115.00	20.40
7. SYMANTEC CORP	03/01/2013	04/02/2013	172.83	164.64	8.19
5. SYMANTEC CORP	03/01/2013	07/31/2013	132.40	117.60	14.80
4. SYMANTEC CORP	03/01/2013	08/02/2013	105.80	94.08	11.72
15. SYNOPSYS INC	VAR	10/25/2013	540.75	517.89	22.86
3. SYSCO CORP	09/17/2013	12/09/2013	123.00	99.36	23.64
1. TCF FINANCIAL CORP	03/01/2013	05/08/2013	15.00	13.64	1.36
1. TCF FINANCIAL CORP	03/01/2013	12/02/2013	15.92	13.64	2.28
1. TECO ENERGY INC	03/01/2013	08/20/2013	16.77	17.25	-0.48
67. TECO ENERGY INC	03/01/2013	09/30/2013	1,101.72	1,155.74	-54.02
15. TELEFLEX INC	03/01/2013	03/08/2013	1,203.54	1,186.09	17.45
3. TOWERS WATSON & CO CLASS A	03/01/2013	04/29/2013	216.57	197.82	18.75
1. TOWERS WATSON & CO CLASS A	03/01/2013	08/02/2013	84.15	65.94	18.21
3. TOWERS WATSON & CO CLASS A	03/01/2013	10/01/2013	328.91	197.82	131.09
3. TOWERS WATSON & CO CLASS A	03/01/2013	10/04/2013	339.56	197.82	141.74
12. TOWERS WATSON & CO CLASS A	03/01/2013	12/10/2013	1,463.14	791.28	671.86
11. TYSON FOODS INC CLASS A	03/01/2013	04/10/2013	259.05	251.46	7.59
4. TYSON FOODS INC CLASS A	03/01/2013	06/12/2013	102.60	91.44	11.16
33. TYSON FOODS INC CLASS A	03/01/2013	07/12/2013	897.01	754.38	142.63
4. UNUM GROUP	03/01/2013	04/11/2013	109.44	97.20	12.24
4. UNUM GROUP	03/01/2013	04/23/2013	108.40	97.20	11.20
30. UNUM GROUP	03/01/2013	05/16/2013	849.46	728.99	120.47
Totals					

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TRES CHICAS

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
1. UNUM GROUP	03/01/2013	08/20/2013	29.45	24.30	5.15
14. VISHAY INTERTECHNOLOGY INC	03/01/2013	03/07/2013	184.10	182.70	1.40
11. VISHAY INTERTECHNOLOGY INC	03/01/2013	05/02/2013	149.39	143.55	5.84
47. VISHAY INTERTECHNOLOGY INC	VAR	05/29/2013	676.75	614.46	62.29
12. WMS INDS INC	03/01/2013	03/15/2013	300.71	301.92	-1.21
8. XYLEM INC	VAR	10/29/2013	254.16	220.69	33.47
7. ZIONS BANCORP	03/01/2013	03/21/2013	177.24	167.51	9.73
12. ZIONS BANCORP	VAR	11/08/2013	353.16	298.32	54.84
1. ENDURANCE SPECIALTY HOLDINGS SEDOL 2353014	03/22/2013	05/08/2013	50.35	47.06	3.29
1. NABORS INDUSTRIES LTD	03/01/2013	12/02/2013	16.67	16.43	0.24
1. WILLIS GROUP HOLDINGS PLC SEDOL B4XGY11	03/01/2013	05/08/2013	40.86	37.95	2.91
31. ROYAL CARIBBEAN CRUISES LTD SEDOL# 2754907	03/01/2013	08/08/2013	1,181.11	1,074.15	106.96
Totals			229,987.00	232,705.00	-2,717.00

TRES CHICAS

Totals

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
GOLDMAN SACHS HAWTHORN	11. 53,890.	11. 53,890.	
TOTAL TO PART I, LINE 3	53,901.	53,901.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
GOLDMAN SACHS HAWTHORN	4,613. 105,375.	0. 23,308.	4,613. 82,067.	4,613. 82,067.	
LAZARD, LTD	104.	0.	104.	104.	
THE NORTHERN TRUST COMPANY	63.	0.	63.	63.	
TO PART I, LINE 4	110,155.	23,308.	86,847.	86,847.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	2,503.	2,503.		0.
TO FORM 990-PF, PG 1, LN 16B	2,503.	2,503.		0.

FORM 990-PF	TAXES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FEDERAL INCOME TAX	3,456.	0.		0.	
FOREIGN TAXES WITHHELD	1,403.	1,403.		0.	
TO FORM 990-PF, PG 1, LN 18	4,859.	1,403.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT FEES	27,121.	27,121.		0.	
LICENSES AND FEES	85.	0.		0.	
TO FORM 990-PF, PG 1, LN 23	27,206.	27,121.		0.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	6
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
INVESTMENT	3,077,705.	3,077,705.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,077,705.	3,077,705.		

FORM 990-PF	CORPORATE BONDS		STATEMENT	7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
INVESTMENT	1,092,163.	1,092,163.		
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,092,163.	1,092,163.		

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
INVESTMENT	COST	851,002.	851,002.
TOTAL TO FORM 990-PF, PART II, LINE 13		851,002.	851,002.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions	
Type or print Name of exempt organization or other filer, see instructions. THE TRES CHICAS FOUNDATION	Employer identification number (EIN) or 77-0544704
File by the due date for filing your return. See instructions. Number, street, and room or suite no. If a P.O. box, see instructions. 12100 WILSHIRE BLVD., NO. 1150	Social security number (SSN)
City, town or post office, state, and ZIP code. For a foreign address, see instructions. LOS ANGELES, CA 90025	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

CHRIS MORRIS

- The books are in the care of **12100 WILSHIRE BLVD., #1150 - LOS ANGELES, CA 90025**

Telephone No **(310) 826-2060**

Fax No ☐

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2014.**

5 For calendar year **2013**, or other tax year beginning ☐, and ending ☐.

6 If the tax year entered in line 5 is for less than 12 months, check reason. ☐ Initial return ☐ Final return

☐ Change in accounting period

7 State in detail why you need the extension

INFORMATION NECESSARY FOR THE PREPARATION OF A COMPLETE RETURN IS NOT AVAILABLE AT THIS TIME.

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	3,867.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	\$	3,867.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ☐

Title **ACCOUNTANT**

Date ☐

Form 8868 (Rev. 1-2014)