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Return of Private Foundation

2013

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation
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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation
ROCKCARES: THE NORRIS-ROCABERTE FAMILY FOUNDATION

Number and street (or P O box number if mail is not delivered to street address) Room/suite
P.O. BOX 80430

City or town, state or province, country, and ZIP or foreign postal code
SAN MARINO, CA 91118

A Employer identification number
77-0539237

B Telephone number
993-4415
(626) ~~993-4415~~

C If exemption application is pending, check here

D 1. Foreign organizations, check here
2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply:
 Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name change

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16)
\$ **2,450,126.**

J Accounting method: Cash Accrual
 Other (specify)

Part I Analysis of Revenue and Expenses		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		26.	26.		STATEMENT 1
4 Dividends and interest from securities		41,529.	41,529.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		70,515.			
b Gross sales price for all assets on line 6a		436,777.			
7 Capital gain net income (from Part IV, line 2)			70,515.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		173.	0.		STATEMENT 3
12 Total. Add lines 1 through 11		112,243.	112,070.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans; employee benefits					
16a Legal fees					
b Accounting fees		4,896.	0.		0.
c Other professional fees					
17 Interest					
18 Taxes		1,678.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses		37,806.	34,664.		0.
24 Total operating and administrative expenses Add lines 13 through 23		44,380.	34,664.		0.
25 Contributions, gifts, grants paid		92,000.			92,000.
26 Total expenses and disbursements Add lines 24 and 25		136,380.	34,664.		92,000.
27 Subtract line 26 from line 12.					
a Excess of revenue over expenses and disbursements		-24,137.			
b Net investment income (if negative, enter -0-)			77,406.		
c Adjusted net income (if negative, enter -0-)				N/A	

ROCKCARES: THE NORRIS-ROCABERTE FAMILY FOUNDATION

Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	50,483.	82,144.	82,144.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	1,771,833.	1,716,035.	2,367,982.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis ▶ 3,567.				
Less accumulated depreciation STMT 8 ▶ 3,567.				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	1,822,316.	1,798,179.	2,450,126.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	0.	0.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	1,822,316.	1,798,179.		
30 Total net assets or fund balances	1,822,316.	1,798,179.		
31 Total liabilities and net assets/fund balances	1,822,316.	1,798,179.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,822,316.
2 Enter amount from Part I, line 27a	2	-24,137.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	1,798,179.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,798,179.

**ROCKCARES: THE NORRIS-ROCABERTE FAMILY
FOUNDATION**

Form 990-PF (2013)

77-0539237 Page 3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a MERRILL LYNCH #808-02106 (SEE ATTACHED)	P	VARIOUS	
b MERRILL LYNCH #808-02106 (SEE ATTACHED)	P	VARIOUS	
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 21,839.		18,220.	3,619.
b 414,938.		348,042.	66,896.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			3,619.
b			66,896.
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	70,515.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	88,500.	1,895,271.	.046695
2011	75,500.	1,831,151.	.041231
2010	173,845.	1,862,965.	.093316
2009	113,834.	1,715,747.	.066347
2008	30,000.	2,157,933.	.013902

2 Total of line 1, column (d)	2	.261491
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.052298
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	2,219,648.
5 Multiply line 4 by line 3	5	116,083.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	774.
7 Add lines 5 and 6	7	116,857.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	92,000.

ROCKCARES: THE NORRIS-ROCAMBERTE FAMILY
FOUNDATION

Form 990-PF (2013)

77-0539237 Page 4

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	1,548.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	1,548.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,548.
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,040.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	1,040.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	508.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
(1)		
(2)		
2		X
3		X
4a		X
4b		
5		X
6		X
7	X	
8a		
8b	X	
9		X
10		X

Form 990-PF (2013)

ROCKCARES: THE NORRIS-ROCABERTE FAMILY
FOUNDATION

Form 990-PF (2013)

77-0539237

Page 5

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► NONE	13	X	
14	The books are in care of ► RICHARD ROCABERTE 1613 Chelsea Rd Telephone no. ► (626) 993-4415 Located at ► SAN MARINO, CA ZIP+4 ► 91118			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/> N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	4b	X

Form 990-PF (2013)

ROCKCARES: THE NORRIS-ROCABERTE FAMILY FOUNDATION

Form 990-PF (2013)

77-0539237

Page 6

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc.; organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
Organizations relying on a current notice regarding disaster assistance check here N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
If "Yes," attach the statement required by Regulations section 53.4945-5(d) N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD J. ROCABERTE 1000 9th Street, San Marino, CA 91108 1613 Chelsea Rd. SAN MARINO, CA 91108	PRESIDENT 5.00	0.	0.	0.
PAUL S. NORRIS JR. 1000 9th Street, San Marino, CA 91108 1055 East Colorado Blvd., Suite 500 Pasadena, CA 91106	TREASURER 1.00	0.	0.	0.
ROSEMARY COLEMAN 1100 E. GREEN ST. PASADENA, CA 91102	SECRETARY 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

ROCKCARES: THE NORRIS-ROCABERTE FAMILY
FOUNDATION

Form 990-PF (2013)

77-0539237 Page 7

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	0.
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
2	0.
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Form 990-PF (2013)

ROCKCARES: THE NORRIS-ROCAMBERTE FAMILY
FOUNDATION

Form 990-PF (2013)

77-0539237 Page 8

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities	1a	2,163,912.
b	Average of monthly cash balances	1b	89,538.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,253,450.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,253,450.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	33,802.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,219,648.
6	Minimum investment return Enter 5% of line 5	6	110,982.

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	110,982.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1,548.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,548.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	109,434.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	109,434.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	109,434.

Part XII

Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	92,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:			
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	92,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	92,000.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2013)

ROCKCARES: THE NORRIS-ROCABERTE FAMILY
FOUNDATION

Form 990-PF (2013)

77-0539237 Page 9

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				109,434.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			89,856.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 92,000.				
a Applied to 2012, but not more than line 2a			89,856.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				2,144.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				107,290.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014 Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

**ROCKCARES: THE NORRIS-ROCABERTE FAMILY
FOUNDATION**

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶ _____
 b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**
 a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

RICHARD J. ROCABERTE
 b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
 Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:
SEE ATTACHED STATEMENT

b The form in which applications should be submitted and information and materials they should include:
SEE ATTACHED STATEMENT

c Any submission deadlines:
SEE ATTACHED STATEMENT

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
SEE ATTACHED STATEMENT

ROCKCARES: THE NORRIS-ROCABERTE FAMILY
FOUNDATION

Form 990-PF (2013)

77-0539237 Page 11

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution * *	Amount
Name and address (home or business)				
a Paid during the year				
TRIAL LAWYERS COLLEGE P.O. BOX 1249 LARAMIE, WY 82073	NONE	EDUCATIONAL ORGANIZATION	TO PROVIDE SUPPORT FOR TRAINING AND EDUCATION OF LAWYERS AND JUDGES	2,500.
AIDS SERVICE CENTER 65 N. RAYMOND AVE, STE 240 PASADENA, CA 91103	NONE	PUBLIC CHARITY	TO IMPROVE THE QUALITY OF LIFE FOR PEOPLE LIVING WITH HIV/AIDS IN LOS ANGELES COUNTY.	3,500.
MAKE A WISH FOUNDATION 2800 CLUB CENTER DRIVE SACRAMENTO, CA 95835	NONE	PUBLIC CHARITY	TO GRANT THE WISH OF A CHILD DIAGNOSED WITH A LIFE-THREATENING MEDICAL CONDITION IN THE UNITED STATES AND	1,000.
SAN MARINO UNIFIED SCHOOL DISTRICT 1665 WEST DRIVE SAN MARINO, CA 91108	NONE	EDUCATIONAL ORGANIZATION	TO PROVIDE STUDENTS WITH A WORLD-CLASS EDUCATION WITH RECOGNIZED EXCELLENCE IN ACADEMICS, ARTS,	10,000.
DREAM FOUNDATION 1528 CHAPALA STREET, SUITE 304 SANTA BARBARA, CA 93101	NONE	PUBLIC CHARITY	TO ENHANCE THE QUALITY OF LIFE FOR INDIVIDUALS AND THEIR FAMILIES FACING A LIFE-THREATENING	10,000.
Total	SEE CONTINUATION SHEET(S)			92,000.
b Approved for future payment				
NONE				
Total				0.

323611
10-10-13

** SEE PURPOSE OF GRANT CONTINUATIONS

Form 990-PF (2013)

Part XV Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - MAKE A WISH FOUNDATION

TO GRANT THE WISH OF A CHILD DIAGNOSED WITH A LIFE-THREATENING MEDICAL
CONDITION IN THE UNITED STATES AND ITS TERRITORIES.

NAME OF RECIPIENT - SAN MARINO UNIFIED SCHOOL DISTRICT

TO PROVIDE STUDENTS WITH A WORLD-CLASS EDUCATION WITH RECOGNIZED
EXCELLENCE IN ACADEMICS, ARTS, AND ATHLETICS.

NAME OF RECIPIENT - DREAM FOUNDATION

TO ENHANCE THE QUALITY OF LIFE FOR INDIVIDUALS AND THEIR FAMILIES
FACING A LIFE-THREATENING ILLNESS BY FULFILLING A HEART'S FINAL WISH.

NAME OF RECIPIENT - HUNTINGTON MIDDLE SCHOOL PTA

TO CREATE AND MAINTAIN A NURTURING LEARNING ENVIRONMENT THAT FOSTERS IN
STUDENTS THE ABILITY TO MAKE CHOICES BASED ON WELL-REASONED STRATEGIES.

NAME OF RECIPIENT - W.L. VALENTINE ELEMENTARY SCHOOL

TO MAKE CERTAIN EACH CHILD RECEIVES A HIGH QUALITY EDUCATION THAT
REFLECTS AND STRETCHES HIS OR HER ABILITIES AND INTERESTS.

NAME OF RECIPIENT - PASADENA SYMPHONY

TO PROVIDE ORCHESTRAL PERFORMANCES OF THE HIGHEST QUALITY AND TO
BENEFIT THE COMMUNITY THROUGH ITS MUSIC, COMMUNITY ENGAGEMENT, AND
EDUCATIONAL PROGRAMS.

NAME OF RECIPIENT - INTERNATIONAL CHILDREN'S HEART FOUNDATION

TO BRING THE SKILLS, TECHNOLOGY AND KNOWLEDGE TO CURE AND CARE FOR
CHILDREN WITH CONGENITAL HEART DISEASE IN DEVELOPING NATIONS.

Part XV | **Supplementary Information**

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - BUILD OUR CENTER

TO BUILD, CONNECT, AND STRENGTHEN COMMUNITY AND ITS SUPPORT FOR LGBTQA
ISSUES IN NORTHERN NEVADA, WITH THE GOAL OF BUILDING A COMMUNITY
CENTER.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MERRILL LYNCH 808-02106	21.	21.	
MERRILL LYNCH 808-02283	5.	5.	
TOTAL TO PART I, LINE 3	26.	26.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MERRILL LYNCH 808-02106	41,529.	0.	41,529.	41,529.	
TO PART I, LINE 4	41,529.	0.	41,529.	41,529.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MISCELLANEOUS INCOME	173.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	173.	0.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BARTLETT, PRINGLE & WOLF, LLP	4,896.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	4,896.	0.		0.

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FRANCHISE TAX BOARD	25.	0.			0.
UNITED STATES TREASURY	1,653.	0.			0.
TO FORM 990-PF, PG 1, LN 18	1,678.	0.			0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
CONSULTING/MANAGEMENT	32,898.	32,898.			0.
CHECK ORDER FEE	19.	0.			0.
POSTAGE AND DELIVERY	875.	0.			0.
MERRILL LYNCH BANK FEE	150.	0.			0.
FOREIGN TAX PAID	1,766.	1,766.			0.
UNITED RECOVERY SYSTEMS	242.	0.			0.
INSURANCE	1,806.	0.			0.
ATTORNEY GENERAL	50.	0.			0.
TO FORM 990-PF, PG 1, LN 23	37,806.	34,664.			0.

FORM 990-PF	CORPORATE STOCK		STATEMENT	7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
EQUITIES	1,716,035.	2,367,982.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,716,035.	2,367,982.		

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 8

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
MICROEDGE SOFTWARE	3,567.	3,567.	0.
TOTAL TO FM 990-PF, PART II, LN 14	3,567.	3,567.	0.

ROCKCARES: The Norris-Rocaberte Family Foundation
EIN: 77-0539237
ATTACHMENT TO 2013 FORM 990PF

Form 990PF, page 10, Part XV, "Supplementary information"

Line 2a: The name, address and telephone number of the person to whom applications should be addressed:

Richard Rocaberte
RockCares: The Norris-Rocaberte Family Foundation
P.O. Box 80430
San Marino, CA ~~91108~~ 91118 (PJR)
~~(91108)~~ 626/993-4415

Line 2b: The form in which applications should be submitted and information and materials they should include:

An organization requesting a grant must submit ALL of the following:

- 1) Brief proposal describing the history of the organization, the purpose for which the grant money is requested, how the organization will meet its objectives and how the outcome will be measured.
- 2) List of Board of Directors, including biography and term of service for each member.
- 3) Current operating budget
- 4) Copy of the most recent audited financial statements
- 5) Copy of the IRS Section 501 (c) (3) determination letter along with a letter signed by an officer of the organization stating the IRS letter has not been modified nor the non-profit 501 (c) (3) status revoked since the letter issuance date.

Line 2c: Any submission deadlines.

None

Line 2d: Any restrictions or limitations on awards such as geographical areas, charitable fields, kinds of institutions, or other factors.

Grants are not made:

- 1) To individuals
- 2) To medical research

ROCKCARES: Norris-Rocaberte Family Foundation
EIN: 77-0539237
ATTACHMENT TO 2013 FORM 990PF
PAGE 2

- 3) For propagandizing, influencing legislation and/or elections, promoting voter registration, for political candidates, political campaigns or organizations engaged in political activities.
- 4) For refugee or religious programs or consumer interests
- 5) For religious institutions limiting services to only one sect or denomination
- 6) For endowment funds

ROCKCARES. NORRIS-ROCARBERTE FAMILY FOUNDATION
 EIN 77-0539237
 MERRILL LYNCH ACCTOUNT 808-02106

EMA Fiscal Statement

ROCKCARES THE NORRIS-

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
1 0000	CNOOC LTD ADR	02/11/11	01/07/13	220.04	214.08	5.96 LT
1 0000	CNOOC LTD ADR	02/25/11	01/08/13	217.00	227.98	(10.98) LT
1 0000	CNOOC LTD ADR	03/08/11	01/08/13	217.00	234.28	(17.28) LT
1 0000	CNOOC LTD ADR	03/16/11	01/08/13	217.01	218.93	(1.92) LT
141 0000	CIE FINANCIERE RICHEMONT	08/19/11	01/11/13	1,166.22	724.32	441.90 LT
129 0000	GEO GROUP INC	12/18/12	01/02/13	N/A	N/A	N/C
10 0000	KOMATSU NEW NEW SPNSDADR	02/11/11	01/15/13	265.32	302.50	(37.18) LT
14 0000	KOMATSU NEW NEW SPNSDADR	02/22/11	01/15/13	371.46	417.90	(46.44) LT
13 0000	KOMATSU NEW NEW SPNSDADR	02/25/11	01/15/13	344.93	386.10	(41.17) LT
2 0000	KOMATSU NEW NEW SPNSDADR	03/08/11	01/15/13	53.07	64.30	(11.23) LT
7 0000	KUBOTA CORP ADR	02/11/11	01/14/13	402.50	379.96	22.54 LT
4 0000	KUBOTA CORP ADR	02/22/11	01/14/13	230.00	209.72	20.28 LT
2 0000	KUBOTA CORP ADR	02/22/11	01/15/13	114.92	104.86	10.06 LT
5 0000	KUBOTA CORP ADR	02/25/11	01/15/13	287.31	255.05	32.26 LT
3 0000	KUBOTA CORP ADR	03/08/11	01/15/13	172.40	149.04	23.36 LT
16 0000	LVMH MOET HENNESSY ADR	03/08/11	01/11/13	582.94	509.44	73.50 LT
5 0000	LVMH MOET HENNESSY ADR	03/11/11	01/11/13	182.18	153.40	28.78 LT
72 0000	LOUISIANA PAC CORP	06/22/12	01/02/13	1,372.57	746.45	626.12 ST
30 0000	LOUISIANA PAC CORP	06/22/12	01/09/13	630.94	311.02	319.92 ST
23 0000	ONYX PHARMACEUTICALS	11/23/11	01/18/13	1,762.62	881.67	880.95 LT
32 0000	OPNET TECHNOLOGIES INC	11/11/11	01/18/13	1,169.60	1,161.68	7.92 LT
21 0000	OPNET TECHNOLOGIES INC	02/16/12	01/18/13	767.55	620.38	147.17 ST
5 0000	OPNET TECHNOLOGIES INC	05/03/12	01/18/13	182.75	117.77	64.98 ST
26 0000	TAIWAN S MANUFCTRING ADR	02/11/11	01/22/13	469.48	336.42	133.06 LT
20 0000	TAIWAN S MANUFCTRING ADR	02/22/11	01/22/13	361.13	248.52	112.61 LT
13 0000	TAIWAN S MANUFCTRING ADR	02/25/11	01/22/13	234.75	160.03	74.72 LT
28 0000	TECHNIP SP ADR	05/31/11	01/08/13	753.61	743.61	10.00 LT
11 0000	TULLOW OIL PLC SHS	02/11/11	01/08/13	108.30	128.59	(20.29) LT
17 0000	TULLOW OIL PLC SHS	02/25/11	01/08/13	167.37	194.70	(27.33) LT
21 0000	TULLOW OIL PLC SHS	03/08/11	01/08/13	206.77	251.58	(44.81) LT
13 0000	VOLKSWAGEN A G ADR	02/11/11	01/11/13	601.89	451.10	150.79 LT
9 0000	VOLKSWAGEN A G ADR	02/22/11	01/11/13	416.70	296.01	120.69 LT
1 0000	VOLKSWAGEN A G ADR	02/25/11	01/11/13	46.31	32.91	13.40 LT
84 0000	XSTRATA PLC-ADR	02/11/11	12/27/12	288.00	393.12	(105.12) LT
63 0000	XSTRATA PLC-ADR	02/22/11	12/27/12	216.00	280.98	(64.98) LT
65 0000	XSTRATA PLC-ADR	02/25/11	12/27/12	222.86	288.60	(65.74) LT
76 0000	XSTRATA PLC-ADR	03/08/11	12/27/12	260.57	342.76	(82.19) LT
32 0000	XSTRATA PLC-ADR	03/11/11	12/27/12	109.71	138.56	(28.85) LT
53 0000	XSTRATA PLC-ADR	03/16/11	12/27/12	181.71	224.72	(43.01) LT

PLEASE SEE REVERSE SIDE

ROCKCARES: NORRIS-ROCABERTE FAMILY FOUNDATION
 EIN. 77-0539237
 MERRILL LYNCH ACCTOUNT 808-02106

EMA Fiscal Statement

ROCKCARES THE NORRIS-

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
51.0000	XSTRATA PLC-ADR	03/17/11	12/27/12	174.86	225.42	(50.56) LT
54.0000	XSTRATA PLC-ADR	04/28/11	12/27/12	185.14	274.86	(89.72) LT
86.0000	XSTRATA PLC-ADR	05/16/11	12/27/12	294.86	384.42	(89.56) LT
59.0000	XSTRATA PLC-ADR	05/26/11	12/27/12	202.29	270.81	(68.52) LT
39.0000	XSTRATA PLC-ADR	06/03/11	12/27/12	133.72	174.72	(41.00) LT
76.0000	XSTRATA PLC-ADR	06/20/11	12/27/12	260.58	307.80	(47.22) LT
53.0000	XSTRATA PLC-ADR	07/13/11	12/27/12	181.72	234.79	(53.07) LT
110.0000	XSTRATA PLC-ADR	05/03/12	12/27/12	377.17	410.58	(33.41) ST
13.0000	WELLCARE HLTH PLANS INC	08/20/12	01/08/13	602.81	716.76	(113.95) ST
17.0000	WELLCARE HLTH PLANS INC	08/20/12	01/09/13	789.27	937.29	(148.02) ST
32.0000	COMCAST CORP NEW CL A	03/08/11	02/04/13	1,212.91	820.68	392.23 LT
37.0000	COMCAST CORP NEW CL A	03/11/11	02/04/13	1,402.42	925.00	477.42 LT
56.0000	COMCAST CORP NEW CL A	03/16/11	02/04/13	2,122.59	1,328.75	793.84 LT
49.0000	COMCAST CORP NEW CL A	03/17/11	02/04/13	1,857.26	1,180.30	676.96 LT
53.0000	COMCAST CORP NEW CL A	04/28/11	02/04/13	2,008.88	1,381.71	627.17 LT
80.0000	COMCAST CORP NEW CL A	05/16/11	02/04/13	3,032.28	1,994.91	1,037.37 LT
71.0000	COMCAST CORP NEW CL A	05/26/11	02/04/13	2,691.14	1,773.84	917.30 LT
39.0000	COMCAST CORP NEW CL A	06/03/11	02/04/13	1,478.23	944.19	534.04 LT
39.0000	COMCAST CORP NEW CL A	06/10/11	02/04/13	1,478.24	932.35	545.89 LT
32.0000	COMCAST CORP NEW CL A	06/20/11	02/04/13	1,212.91	758.60	454.31 LT
23.0000	COMCAST CORP NEW CL A	06/24/11	02/04/13	871.78	543.18	328.60 LT
38.0000	COMCAST CORP NEW CL A	07/13/11	02/04/13	1,440.35	940.50	499.85 LT
10.0000	DUFF AND PHELPS CORP NEW	02/11/11	01/30/13	156.78	168.40	(11.62) LT
16.0000	DUFF AND PHELPS CORP NEW	02/25/11	01/30/13	250.85	283.20	(32.35) LT
8.0000	DUFF AND PHELPS CORP NEW	03/08/11	01/30/13	125.43	123.76	1.67 LT
5.0000	DUFF AND PHELPS CORP NEW	03/11/11	01/30/13	78.39	75.55	2.84 LT
6.0000	DUFF AND PHELPS CORP NEW	03/16/11	01/30/13	94.08	89.64	4.44 LT
1.0000	DUFF AND PHELPS CORP NEW	03/17/11	01/30/13	15.68	14.82	0.86 LT
4.0000	DUFF AND PHELPS CORP NEW	03/17/11	01/31/13	62.66	59.28	3.38 LT
10.0000	DUFF AND PHELPS CORP NEW	04/28/11	01/31/13	156.66	152.10	4.56 LT
4.0000	DUFF AND PHELPS CORP NEW	05/16/11	01/31/13	62.66	57.60	5.06 LT
12.0000	DUFF AND PHELPS CORP NEW	06/03/11	01/31/13	188.00	168.96	19.04 LT
17.0000	DUFF AND PHELPS CORP NEW	05/03/12	01/31/13	266.34	263.84	2.50 ST
59.0000	FORTINET INC	10/07/11	02/19/13	1,385.58	1,063.23	322.35 LT
12.0000	FORTINET INC	12/29/11	02/19/13	281.82	280.10	1.72 LT
4.0000	HERBALIFE LTD	03/17/11	01/29/13	158.56	153.72	4.84 LT
6.0000	HERBALIFE LTD	04/28/11	01/29/13	237.84	268.38	(30.54) LT
6.0000	HERBALIFE LTD	05/16/11	01/29/13	237.84	315.54	(77.70) LT
7.0000	HERBALIFE LTD	05/26/11	01/29/13	277.48	378.04	(100.56) LT
5.0000	HERBALIFE LTD	06/03/11	01/29/13	198.20	276.50	(78.30) LT

PLEASE SEE REVERSE SIDE

ROCKCARES NORRIS-ROCABERTE FAMILY FOUNDATION
 EIN: 77-0539237
 MERRILL LYNCH ACCTOUNT 808-02106

EMA Fiscal Statement

ROCKCARES THE NORRIS-

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
6 0000	HERBALIFE LTD	06/10/11	01/29/13	237.84	316.32	(78.48) LT
5 0000	HERBALIFE LTD	07/13/11	01/29/13	198.21	294.35	(96.14) LT
4 0000	HERBALIFE LTD	05/03/12	01/29/13	158.57	191.10	(32.53) ST
24 0000	MARKS AND SPENCER GP ADR	02/11/11	02/08/13	286.41	283.44	2.97 LT
18 0000	MARKS AND SPENCER GP ADR	02/22/11	02/08/13	214.80	203.76	11.04 LT
17 0000	MARKS AND SPENCER GP ADR	02/25/11	02/08/13	202.87	193.12	9.75 LT
17 0000	MARKS AND SPENCER GP ADR	03/08/11	02/08/13	202.88	187.51	15.37 LT
12 0000	MARKS AND SPENCER GP ADR	03/11/11	02/08/13	143.21	130.68	12.53 LT
1 0000	MARKS AND SPENCER GP ADR	03/16/11	02/08/13	11.94	10.50	1.44 LT
5 0000	ONYX PHARMACEUTICALS	11/23/11	02/12/13	364.16	191.67	172.49 LT
28 0000	ONYX PHARMACEUTICALS	12/20/11	02/12/13	2,039.33	1,139.21	900.12 LT
6 0000	ONYX PHARMACEUTICALS	05/03/12	02/12/13	437.01	261.18	175.83 ST
6 0000	REGAL BELOIT CORP WIS	02/11/11	02/25/13	460.10	433.80	26.30 LT
5 0000	REGAL BELOIT CORP WIS	02/22/11	02/25/13	383.41	364.50	18.91 LT
5 0000	REGAL BELOIT CORP WIS	02/25/11	02/25/13	383.42	357.15	26.27 LT
5 0000	REGAL BELOIT CORP WIS	03/08/11	02/25/13	383.42	363.10	20.32 LT
2 0000	REGAL BELOIT CORP WIS	03/11/11	02/25/13	153.37	142.00	11.37 LT
17 0000	TITANIUM METALS CORP NEW	02/11/11	02/07/13	280.49	330.82	(50.33) LT
12 0000	TITANIUM METALS CORP NEW	02/22/11	02/07/13	198.00	231.66	(33.66) LT
14 0000	TITANIUM METALS CORP NEW	02/25/11	02/07/13	231.00	266.56	(35.56) LT
14 0000	TITANIUM METALS CORP NEW	03/08/11	02/07/13	231.00	250.46	(19.46) LT
4 0000	TITANIUM METALS CORP NEW	03/11/11	02/07/13	66.00	71.92	(5.92) LT
11 0000	TITANIUM METALS CORP NEW	03/16/11	02/07/13	181.50	192.50	(11.00) LT
9 0000	TITANIUM METALS CORP NEW	03/17/11	02/07/13	148.50	156.47	(7.97) LT
10 0000	TITANIUM METALS CORP NEW	04/28/11	02/07/13	165.00	198.70	(33.70) LT
13 0000	TITANIUM METALS CORP NEW	05/16/11	02/07/13	214.50	254.67	(40.17) LT
12 0000	TITANIUM METALS CORP NEW	05/26/11	02/07/13	198.00	218.64	(20.64) LT
57 0000	TITANIUM METALS CORP NEW	05/31/11	02/07/13	940.50	1,056.51	(116.01) LT
15 0000	TITANIUM METALS CORP NEW	06/03/11	02/07/13	247.50	267.15	(19.65) LT
16 0000	TITANIUM METALS CORP NEW	06/10/11	02/07/13	264.00	270.31	(6.31) LT
26 0000	TITANIUM METALS CORP NEW	05/03/12	02/07/13	429.01	384.99	44.02 ST
16 0000	USG CORP COM NEW	07/25/12	02/22/13	444.39	271.92	172.47 ST
29 0000	USG CORP COM NEW	07/25/12	02/25/13	809.30	492.86	316.44 ST
6 0000	VOLKSWAGEN A G ADR	07/25/12	02/08/13	287.29	197.46	89.83 LT
7 0000	WPP PLC NEW/ADR	02/25/11	02/08/13	558.60	468.58	90.02 LT
6 0000	WPP PLC NEW/ADR	02/11/11	02/08/13	478.80	403.08	75.72 LT
2 0000	WPP PLC NEW/ADR	02/22/11	02/08/13	159.61	134.56	25.05 LT
5 0000	BAYER AG SP ADR	02/25/11	02/28/13	496.46	376.25	120.21 LT
4 0000	BAYER AG SP ADR	02/11/11	02/28/13	397.17	300.28	96.89 LT
6 0000	CATAMARAN CORP SHS	02/11/11	02/28/13	322.08	200.99	121.09 LT

ROCKCARES: NORRIS-ROCARBERTE FAMILY FOUNDATION
 EIN 77-0539237
 MERRILL LYNCH ACCTOUNT 808-02106

EMA Fiscal Statement

ROCKCARES THE NORRIS-

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
6 0000	CATAMARAN CORP SHS	02/22/11	02/28/13	322.08	200.85	121.23 LT
6 0000	CATAMARAN CORP SHS	02/25/11	02/28/13	322.09	207.62	114.47 LT
6 0000	CATAMARAN CORP SHS	03/08/11	02/28/13	322.09	202.32	119.77 LT
4 0000	CATAMARAN CORP SHS	03/11/11	02/28/13	214.72	157.35	57.37 LT
4 0000	CATAMARAN CORP SHS	03/16/11	02/28/13	214.72	162.21	52.51 LT
4 0000	CATAMARAN CORP SHS	03/17/11	02/28/13	214.72	161.16	53.56 LT
6 0000	CATAMARAN CORP SHS	04/28/11	02/28/13	322.09	262.95	59.14 LT
4 0000	CATAMARAN CORP SHS	05/16/11	02/28/13	214.72	192.16	22.56 LT
8 0000	CATAMARAN CORP SHS	05/26/11	02/28/13	429.46	368.91	60.55 LT
2 0000	CATAMARAN CORP SHS	06/03/11	02/28/13	107.36	87.56	19.80 LT
6 0000	CATAMARAN CORP SHS	06/10/11	02/28/13	322.10	239.29	82.81 LT
8 0000	CATAMARAN CORP SHS	05/03/12	02/28/13	429.47	402.38	27.09 ST
9 0000	INTREPID POTASH INC	02/11/11	02/28/13	178.34	351.36	(173.02) LT
6 0000	INTREPID POTASH INC	02/22/11	02/28/13	118.89	220.86	(101.97) LT
6 0000	INTREPID POTASH INC	02/25/11	02/28/13	118.89	228.54	(109.65) LT
8 0000	INTREPID POTASH INC	03/08/11	02/28/13	158.53	296.40	(137.87) LT
3 0000	INTREPID POTASH INC	03/11/11	02/28/13	59.44	101.70	(42.26) LT
5 0000	INTREPID POTASH INC	03/16/11	02/28/13	99.08	170.00	(70.92) LT
4 0000	INTREPID POTASH INC	03/17/11	02/28/13	79.26	133.68	(54.42) LT
6 0000	INTREPID POTASH INC	04/28/11	02/28/13	118.90	207.30	(88.40) LT
6 0000	INTREPID POTASH INC	05/16/11	02/28/13	118.90	175.80	(56.90) LT
6 0000	INTREPID POTASH INC	05/26/11	02/28/13	118.90	186.60	(67.70) LT
4 0000	INTREPID POTASH INC	06/03/11	02/28/13	79.26	123.52	(44.26) LT
8 0000	INTREPID POTASH INC	06/20/11	02/28/13	158.54	231.47	(72.93) LT
2 0000	INTREPID POTASH INC	05/03/12	02/28/13	39.64	46.74	(7.10) ST
4 0000	INTREPID POTASH INC	05/03/12	03/01/13	77.89	93.48	(15.59) ST
29 0000	LAFARGE ADR NEW	02/11/11	02/28/13	487.01	456.75	30.26 LT
23 0000	LAFARGE ADR NEW	02/22/11	02/28/13	386.25	355.81	30.44 LT
21 0000	LAFARGE ADR NEW	02/25/11	02/28/13	352.67	318.99	33.68 LT
11 0000	LAFARGE ADR NEW	03/08/11	02/28/13	184.74	168.74	16.00 LT
1 0000	LUFKIN INDS INC	03/08/11	03/21/13	64.61	85.12	(20.51) LT
5 0000	LUFKIN INDS INC	03/11/11	03/21/13	323.10	400.45	(77.35) LT
5 0000	LUFKIN INDS INC	03/16/11	03/21/13	323.10	402.75	(79.65) LT
4 0000	LUFKIN INDS INC	03/16/11	03/22/13	592.96	322.20	(64.24) LT
9 0000	LUFKIN INDS INC	03/17/11	03/22/13	580.41	738.72	(158.31) LT
3 0000	LUFKIN INDS INC	04/28/11	03/22/13	193.48	280.05	(86.57) LT
80 0000	PETROLEO BRAS VTG SPD ADR	01/08/13	02/28/13	1,178.63	1,566.10	(387.47) ST
10 0000	SAPIENT CORP	05/26/11	03/05/13	115.38	146.70	(31.32) LT
11 0000	SAPIENT CORP	06/03/11	03/05/13	126.92	155.10	(28.18) LT
14 0000	SAPIENT CORP	06/10/11	03/05/13	161.54	200.48	(38.94) LT

PLEASE SEE REVERSE SIDE

Page 231 of 260
 Statement Period Year Ending 12/31/13
 Account No 808-02106

ROCKCARES: NORRIS-ROCARBERTE FAMILY FOUNDATION
 EIN 77-0539237
 MERRILL LYNCH ACCTOUNT 808-02106

EMA Fiscal Statement

ROCKCARES THE NORRIS-

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
39 0000	SAPIENT CORP	08/08/11	03/05/13	450.03	465.71	(15.68) LT
53 0000	SAPIENT CORP	08/08/11	03/06/13	620.21	632.89	(12.68) LT
96 0000	SAFEMAY INC	02/11/11	03/13/13	2,304.28	2,078.00	226.28 LT
67 0000	SAFEMAY INC	02/22/11	03/13/13	1,608.19	1,496.11	112.08 LT
78 0000	SAFEMAY INC	02/25/11	03/13/13	1,872.23	1,682.91	189.32 LT
69 0000	SAFEMAY INC	03/08/11	03/13/13	1,656.21	1,490.40	165.81 LT
20 0000	SAFEMAY INC	03/11/11	03/13/13	480.06	456.80	23.26 LT
19 0000	SAFEMAY INC	03/16/11	03/13/13	456.06	423.21	32.85 LT
32 0000	SAFEMAY INC	03/16/11	03/14/13	770.80	712.78	58.02 LT
12 0000	SAFEMAY INC	03/17/11	03/14/13	289.05	265.80	23.25 LT
29 0000	SAFEMAY INC	12/28/11	03/25/13	1,394.23	1,157.60	236.63 LT
2 0000	UNITED NAT FOODS INC	01/09/12	03/25/13	96.16	81.68	14.48 LT
10 0000	AMERICA MOVIL SAB DE CV	02/11/11	04/25/13	212.16	283.77	(71.61) LT
8 0000	AMERICA MOVIL SAB DE CV	02/22/11	04/25/13	169.73	224.96	(55.23) LT
4 0000	AMERICA MOVIL SAB DE CV	02/25/11	04/25/13	84.86	112.11	(27.25) LT
10 0000	AMERICA MOVIL SAB DE CV	03/08/11	04/25/13	212.16	280.60	(68.44) LT
4 0000	AMERICA MOVIL SAB DE CV	03/11/11	04/25/13	84.86	110.10	(25.24) LT
8 0000	AMERICA MOVIL SAB DE CV	03/16/11	04/25/13	169.73	215.74	(46.01) LT
4 0000	AMERICA MOVIL SAB DE CV	03/17/11	04/25/13	84.86	108.08	(23.22) LT
8 0000	AMERICA MOVIL SAB DE CV	04/28/11	04/25/13	169.73	225.44	(55.71) LT
8 0000	AMERICA MOVIL SAB DE CV	05/16/11	04/25/13	169.73	201.86	(32.13) LT
8 0000	AMERICA MOVIL SAB DE CV	05/26/11	04/25/13	169.73	207.36	(37.63) LT
4 0000	AMERICA MOVIL SAB DE CV	06/03/11	04/25/13	84.86	99.46	(14.60) LT
8 0000	AMERICA MOVIL SAB DE CV	06/20/11	04/25/13	169.73	200.44	(30.71) LT
11 0000	AMERICA MOVIL SAB DE CV	07/13/11	04/25/13	233.39	287.15	(53.76) LT
6 0000	AMERICA MOVIL SAB DE CV	05/03/12	04/25/13	127.31	163.96	(36.65) ST
2 0000	ANHEUSER-BUSCH INBEV ADR	02/25/11	04/25/13	192.38	110.02	82.36 LT
4 0000	ANHEUSER-BUSCH INBEV ADR	03/08/11	04/25/13	384.76	228.92	155.84 LT
3 0000	ANHEUSER-BUSCH INBEV ADR	03/11/11	04/25/13	288.57	171.33	117.24 LT
4 0000	ANHEUSER-BUSCH INBEV ADR	03/16/11	04/25/13	384.76	216.72	168.04 LT
1 0000	ANHEUSER-BUSCH INBEV ADR	03/17/11	04/25/13	96.20	54.84	41.36 LT
18 0000	BLUE NILE INC	02/11/11	04/09/13	581.74	1,036.08	(454.34) LT
3 0000	BLUE NILE INC	02/11/11	04/10/13	95.62	172.68	(77.06) LT
13 0000	BLUE NILE INC	02/22/11	04/10/13	414.40	693.55	(279.15) LT
7 0000	BLUE NILE INC	02/25/11	04/10/13	223.14	386.89	(163.75) LT
9 0000	BLUE NILE INC	02/25/11	04/15/13	284.94	497.43	(212.49) LT
15 0000	BLUE NILE INC	03/08/11	04/24/13	487.61	809.85	(322.24) LT
87 0000	CHICOS FAS INC COM	02/23/12	04/01/13	1,441.32	1,299.31	144.01 LT
8 0000	CHICOS FAS INC COM	05/03/12	04/01/13	132.72	127.04	5.68 ST
26 0000	GREENHILL COMPANY INC	06/24/11	04/09/13	1,327.59	1,337.77	(10.18) LT

PLEASE SEE REVERSE SIDE
 Statement Period 12/31/13
 Year Ending 808-02106

ROCKCARES: NORRIS-ROCARBERTE FAMILY FOUNDATION
 EIN 77-0539237
 MERRILL LYNCH ACCTOUNT 808-02106

EMA Fiscal Statement

ROCKCARES: THE NORRIS-

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
5,000	GREENHILL COMPANY INC	06/27/11	04/09/13	255.31	263.34	(8.03) LT
12,000	GREENHILL COMPANY INC	06/27/11	04/10/13	616.20	632.01	(15.81) LT
4,000	GOOGLE INC CL A	02/11/11	04/09/13	3,123.64	2,497.20	626.44 LT
1,000	GOOGLE INC CL A	02/22/11	04/09/13	780.91	611.64	169.27 LT
6,000	LUFKIN INDS INC	04/28/11	04/15/13	528.76	560.10	(31.34) LT
12,000	LUFKIN INDS INC	05/16/11	04/15/13	1,057.53	982.08	75.45 LT
11,000	LUFKIN INDS INC	05/26/11	04/15/13	969.40	980.32	(10.92) LT
7,000	LUFKIN INDS INC	06/03/11	04/15/13	616.89	613.55	3.34 LT
9,000	LUFKIN INDS INC	06/10/11	04/15/13	793.15	761.49	31.66 LT
6,000	LUFKIN INDS INC	06/20/11	04/15/13	528.77	489.83	38.94 LT
12,000	LUFKIN INDS INC	05/03/12	04/15/13	1,057.55	916.32	141.23 ST
1,000	ROCHE HLDG LTD SPN ADR	02/25/11	04/25/13	61.61	37.10	24.51 LT
9,000	ROCHE HLDG LTD SPN ADR	03/08/11	04/25/13	554.52	318.33	236.19 LT
5,000	ROCHE HLDG LTD SPN ADR	03/11/11	04/25/13	308.06	177.90	130.16 LT
1,000	ROCHE HLDG LTD SPN ADR	03/16/11	04/25/13	61.62	34.32	27.30 LT
51,000	SEI INVT CO PA PV \$0.01	02/11/11	04/09/13	1,449.95	1,188.55	261.40 LT
19,000	SEI INVT CO PA PV \$0.01	02/11/11	04/10/13	544.96	442.79	102.17 LT
68,000	SEI INVT CO PA PV \$0.01	02/22/11	04/10/13	1,950.42	1,572.49	377.93 LT
33,000	SAFEWAY INC NEW	03/17/11	04/10/13	854.06	730.95	123.11 LT
55,000	SAFEWAY INC NEW	04/28/11	04/10/13	1,423.45	1,335.95	87.50 LT
58,000	SAFEWAY INC NEW	05/16/11	04/10/13	1,501.09	1,450.00	51.09 LT
75,000	SAFEWAY INC NEW	05/26/11	04/10/13	1,941.07	1,829.66	111.41 LT
87,000	SAFEWAY INC NEW	06/03/11	04/10/13	2,251.64	1,957.87	293.77 LT
15,000	SAFEWAY INC NEW	06/10/11	04/10/13	388.22	332.10	56.12 LT
21,000	ALLSTATE CORP DEL COM	02/25/11	05/07/13	1,033.66	659.68	373.98 LT
17,000	ALLSTATE CORP DEL COM	03/08/11	05/07/13	836.78	541.36	295.42 LT
3,000	ATLAS COPCO A ADR NEW	02/25/11	05/07/13	79.16	73.80	5.36 LT
1,000	ABB LTD SPON ADR	02/11/11	05/07/13	22.84	23.39	(0.55) LT
3,000	ABB LTD SPON ADR	02/22/11	05/07/13	68.55	70.95	(2.40) LT
7,000	AMAZON COM INC COM	02/25/11	05/07/13	1,783.91	1,243.23	540.68 LT
1,000	AKZO NOBEL N V SPNSD ADR	05/02/13	05/07/13	21.38	20.39	0.99 ST
22,000	ARM HLDGS PLC SPD ADR	05/10/12	05/07/13	1,074.55	540.20	534.35 ST
1,000	ALTERA CORP COM	05/26/11	05/07/13	32.94	47.10	(14.16) LT
1,000	ALLIANZ SE SPD ADR	02/11/11	05/07/13	15.12	14.41	0.71 LT
14,000	AMER EXPRESS COMPANY	02/25/11	05/07/13	981.17	609.50	371.67 LT
14,000	AMGEN INC COM PV \$0.0001	04/28/11	05/07/13	1,459.18	797.44	661.74 LT
5,000	ANALOG DEVICES INC COM	02/11/11	05/07/13	228.84	205.05	23.79 LT
6,000	AUTOMATIC DATA PROC	02/11/11	05/07/13	413.29	297.64	115.65 LT
1,000	BRITISH AMN TOBACO SPADR	02/11/11	05/07/13	113.00	77.83	35.17 LT
1,000	BLUE NILE INC	03/08/11	04/29/13	32.92	53.99	(21.07) LT

PLEASE SEE REVERSE SIDE
 Page 233 of 260
 Statement Period 12/31/13
 Account No. 808-02106

ROCKCARES NORRIS-ROCABERTE FAMILY FOUNDATION
 EIN 77-0539237
 MERRILL LYNCH ACCTOUNT 808-02106

EMA Fiscal Statement

ROCKCARES, THE NORRIS-

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
2 0000	BLUE NILE INC	03/08/11	05/01/13	65.51	107.98	(42.47) LT
2 0000	BLUE NILE INC	03/11/11	05/01/13	65.51	107.00	(41.49) LT
5 0000	BLUE NILE INC	03/11/11	05/02/13	164.00	267.50	(103.50) LT
1 0000	BLUE NILE INC	03/11/11	05/03/13	33.50	53.50	(20.00) LT
10 0000	BLUE NILE INC	03/16/11	05/03/13	335.10	503.00	(167.90) LT
4 0000	BLUE NILE INC	03/16/11	05/10/13	128.59	201.20	(72.61) LT
11 0000	BLUE NILE INC	03/17/11	05/10/13	353.63	569.69	(216.06) LT
11 0000	BLUE NILE INC	04/28/11	05/13/13	350.78	630.96	(280.18) LT
4 0000	BLUE NILE INC	04/28/11	05/15/13	127.76	229.44	(101.68) LT
11 0000	BLUE NILE INC	05/16/11	05/15/13	351.34	550.00	(198.66) LT
3 0000	BLUE NILE INC	05/16/11	05/17/13	97.13	150.00	(52.87) LT
15 0000	BLUE NILE INC	05/26/11	05/17/13	485.65	716.70	(231.05) LT
2 0000	BLUE NILE INC	05/26/11	05/20/13	66.18	95.56	(29.38) LT
10 0000	BLUE NILE INC	06/03/11	05/20/13	330.93	465.80	(134.87) LT
1 0000	BLUE NILE INC	06/10/11	05/20/13	33.10	44.11	(11.01) LT
11 0000	BLUE NILE INC	06/10/11	05/21/13	382.05	485.21	(103.16) LT
7 0000	BLUE NILE INC	06/20/11	05/21/13	243.12	301.63	(58.51) LT
5 0000	BLUE NILE INC	06/24/11	05/21/13	173.66	219.15	(45.49) LT
1 0000	BLUE NILE INC	07/13/11	05/21/13	34.74	44.09	(9.35) LT
11 0000	BLUE NILE INC	07/13/11	05/22/13	387.64	484.99	(97.35) LT
6 0000	BLUE NILE INC	08/15/11	05/22/13	211.45	207.98	3.47 LT
7 0000	BLUE NILE INC	08/15/11	05/23/13	237.93	242.64	(4.71) LT
1 0000	BARCLAYS PLC ADR	02/11/11	05/07/13	19.20	20.17	N/C
3 0000	BHP BILLITON LTD ADR	02/11/11	05/07/13	206.26	281.28	(75.02) LT
11 0000	BANK NEW YORK MELLON	02/11/11	05/07/13	310.90	354.04	(43.14) LT
18 0000	CVS CAREMARK CORP	02/22/11	05/07/13	1,043.55	589.62	453.93 LT
17 0000	CVS CAREMARK CORP	02/25/11	05/07/13	985.58	559.92	425.66 LT
1 0000	CREDIT SUISSE GP SP ADR	02/11/11	05/07/13	29.53	41.81	(12.28) LT
1 0000	CANON INC ADR REP5SH	03/08/11	05/07/13	35.38	46.84	(11.46) LT
24 0000	CENTRICA PLC	02/25/11	04/26/13	556.89	526.31	30.58 LT
21 0000	CENTRICA PLC	03/08/11	04/26/13	487.28	462.00	25.28 LT
7 0000	CENTRICA PLC	03/11/11	04/26/13	162.43	150.50	11.93 LT
8 0000	CENTRICA PLC	03/16/11	04/26/13	185.64	163.76	21.88 LT
2 0000	CENTRICA PLC	03/17/11	04/26/13	46.41	41.34	5.07 LT
3 0000	CIE FINANCIERE RICHEMONT	03/17/11	05/07/13	46.60	41.34	5.26 LT
3 0000	CHINA CONSTRUCT UNSPN AD	08/19/11	05/07/13	25.22	15.41	9.81 LT
2 0000	CHEUNG KONG HLDG ADR	12/06/11	05/07/13	33.85	28.97	4.88 LT
3 0000	CHEUNG KONG HLDG ADR	01/31/12	05/07/13	45.66	40.46	5.20 LT
10 0000	CLOROX CO DEL COM	02/11/11	05/07/13	856.68	670.20	186.48 LT
31 0000	COCA COLA COM	02/11/11	05/07/13	1,311.45	982.63	328.82 LT

ROCKCARES: NORRIS-ROCABERTE FAMILY FOUNDATION
 EIN 77-0539237
 MERRILL LYNCH ACCTOUNT 808-02106

EMA Fiscal Statement

ROCKCARES THE NORRIS-

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
2 0000	DBS GROUP HLDGS SPN ADR	02/11/11	05/07/13	113.39	92.60	20.79 LT
2 0000	DIAGEO PLC SPSD ADR NEW	05/16/11	05/07/13	244.95	168.17	76.78 LT
35 0000	DANONE-SPONS ADR	02/22/11	05/07/13	531.46	431.55	99.91 LT
13 0000	DANONE-SPONS ADR	02/25/11	05/07/13	197.41	161.85	35.56 LT
2 0000	EAST JAPAN RY CO ADR	02/12/13	05/07/13	27.99	23.29	4.70 ST
2 0000	FRESENIUS MEDICAL CARE	02/11/11	05/07/13	69.36	62.18	7.18 LT
7 0000	FACTSET RESH SYS INC	02/25/11	05/07/13	657.13	730.31	(73.18) LT
2 0000	FACTSET RESH SYS INC	03/08/11	05/07/13	187.76	208.24	(20.48) LT
2 0000	FANUC LTD-UNSP	09/16/11	05/07/13	51.29	48.80	2.49 LT
23 0000	FACEBOOK INC	05/18/12	05/07/13	639.67	874.42	(234.75) ST
7 0000	FRANKLIN RES INC	02/11/11	05/07/13	1,104.33	900.89	203.44 LT
1 0000	GLAXOSMITHKLINE PLC ADR	03/11/11	05/07/13	51.12	38.78	12.34 LT
10 0000	GREENHILL COMPANY INC	06/27/11	05/07/13	469.63	526.68	(57.05) LT
3 0000	GOOGLE INC CL A	02/22/11	05/07/13	2,567.21	1,834.92	732.29 LT
83 0000	HALLIBURTON COMPANY	06/19/12	05/07/13	3,627.53	2,449.13	1,178.40 ST
5 0000	HSBC HLDG PLC SP ADR	02/11/11	05/07/13	284.77	286.74	(1.97) LT
7 0000	HANG LUNG PROPERTIES ADR	03/01/11	05/07/13	138.66	150.86	(12.20) LT
2 0000	HONDA MOTOR ADR NEW	02/11/11	05/07/13	80.80	85.93	(5.13) LT
1 0000	IMPERIAL TOB GRP SPS ADR	02/11/11	05/07/13	72.50	62.79	9.71 LT
2 0000	INDUSTRL AND COMMRCB BK	04/04/11	05/07/13	28.73	34.22	(5.49) LT
9 0000	ING GP NV SPSD ADR	02/11/11	05/07/13	77.53	109.23	(31.70) LT
10 0000	JOHNSON AND JOHNSON COM	02/11/11	05/07/13	848.99	606.86	242.13 LT
5 0000	JOHNSON AND JOHNSON COM	02/22/11	05/07/13	424.50	302.68	121.82 LT
28 0000	JOHNSON CONTROLS INC	02/06/13	05/07/13	993.56	873.27	120.29 ST
2 0000	KUBOTA CORP ADR	03/08/11	05/07/13	142.35	99.36	42.99 LT
1 0000	KUBOTA CORP ADR	03/11/11	05/07/13	71.18	48.25	22.93 LT
3 0000	LVMH MOET HENNESSY ADR	03/11/11	05/07/13	105.17	92.04	13.13 LT
1 0000	LAFARGE ADR NEW	03/08/11	05/07/13	16.73	15.34	1.39 LT
22 0000	LEGG MASON INC	02/11/11	05/07/13	724.68	782.64	(57.96) LT
12 0000	LOWE'S COMPANIES INC	02/25/11	05/07/13	485.76	303.43	182.33 LT
68 0000	LOWE'S COMPANIES INC	03/08/11	05/07/13	2,752.68	1,811.72	940.96 LT
6 0000	LOWE'S COMPANIES INC	03/11/11	05/07/13	242.89	161.67	81.22 LT
1 0000	MARKS AND SPENCER GP ADR	03/16/11	05/07/13	12.70	10.50	2.20 LT
4 0000	MITSUBISHI CP SPNSRD ADR	02/11/11	05/07/13	145.79	225.12	(79.33) LT
6 0000	MONDELEZ INTERNATIONAL	02/11/11	05/07/13	187.26	119.00	68.26 LT
29 0000	MICROSOFT CORP	02/11/11	05/07/13	973.81	791.06	182.75 LT
7 0000	MICROSOFT CORP	02/22/11	05/07/13	235.06	186.69	48.37 LT
10 0000	MITSUBISHI ESTATE ADR	02/25/11	05/08/13	305.25	202.85	102.40 LT
10 0000	MITSUBISHI ESTATE ADR	03/08/11	05/08/13	305.26	199.75	105.51 LT
6 0000	MITSUBISHI ESTATE ADR	03/11/11	05/08/13	183.16	117.45	65.71 LT

PLEASE SEE REVERSE SIDE
 Page 235 of 260
 Statement Period Year Ending 12/31/13
 Account No. 808-02106

ROCKCARES, NORRIS-ROCARBERTE FAMILY FOUNDATION
 EIN 77-0539237
 MERRILL LYNCH ACCTOUNT 808-02106

EMA Fiscal Statement

ROCKCARES THE NORRIS-

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
8 0000	NOVARTIS ADR	02/11/11	05/07/13	586.53	447.52	139.01 LT
5 0000	NOVARTIS ADR	02/22/11	05/07/13	366.59	284.58	82.01 LT
2 0000	NESTLE S A REP RG SH ADR	02/22/11	05/07/13	140.51	111.50	29.01 LT
2 0000	NORTHROP GRUMMAN CORP	02/11/11	05/07/13	153.93	127.53	26.40 LT
51.0000	ORACLE CORP \$0.01 DEL	02/11/11	05/07/13	1,714.66	1,709.80	4.86 LT
2 0000	PING AN INS GROUP CO	01/26/12	05/07/13	33.01	31.42	1.59 LT
25 0000	PFIZER INC	02/22/11	05/07/13	725.92	469.85	256.07 LT
11 0000	PROCTER & GAMBLE CO	02/11/11	05/07/13	853.84	713.35	140.49 LT
5 0000	PRUDENTIAL PLC ADR	02/11/11	05/07/13	177.10	116.14	60.96 LT
18.0000	QUALCOMM INC	02/11/11	05/07/13	1,153.30	1,036.48	116.82 LT
1 0000	QUALCOMM INC	02/22/11	05/07/13	64.08	58.17	5.91 LT
1 0000	RIO TINTO PLC SPNSRD ADR	02/11/11	05/07/13	47.82	74.24	(26.42) LT
6 0000	RAYTHEON CO DELAWARE NEW	04/15/11	05/07/13	378.79	294.11	84.68 LT
1 0000	ROYAL DUTCH SHELL PLC	02/11/11	05/07/13	69.02	68.22	0.80 LT
1 0000	ROCHE HLDG LTD SPN ADR	03/16/11	05/07/13	62.25	34.32	27.93 LT
20 0000	SEI INVT CO PA PV \$0.01	02/22/11	05/07/13	574.44	462.50	111.94 LT
28 0000	SEI INVT CO PA PV \$0.01	02/25/11	05/07/13	804.23	644.21	160.02 LT
1 0000	SIEMENS AG ADR	02/22/11	05/07/13	105.60	129.71	(24.11) LT
16 0000	SCHLUMBERGER LTD	02/11/11	05/07/13	1,234.42	1,439.62	(205.20) LT
1 0000	SCHLUMBERGER LTD	02/11/11	05/07/13	77.16	85.31	(8.15) LT
3 0000	SANOFI ADR	02/11/11	05/07/13	161.54	101.72	59.82 LT
9 0000	SABMILLER PLC SPON ADR	04/16/12	05/07/13	502.64	367.01	135.63 LT
11 0000	SCHNEIDER ELEC SA ADR	04/29/11	05/07/13	165.50	194.46	(28.96) LT
6 0000	SHIN-ETSU CHEM-UNSPON	02/11/11	05/07/13	101.21	86.07	15.14 LT
14 0000	SILVER BAY RLTY CORP	04/24/13	04/30/13	266.02	271.60	(5.58) ST
1 0000	TOTAL S A SP ADR	02/25/11	05/07/13	50.62	60.25	(9.63) LT
1 0000	TESCO PLC SPNRD ADR	02/22/11	05/07/13	17.23	20.09	(2.86) LT
3 0000	TECHNIP SP ADR	05/31/11	05/07/13	82.31	79.67	2.64 LT
20 0000	TRAVELERS COS INC	02/25/11	05/07/13	1,716.90	1,215.53	501.37 LT
2 0000	TRAVELERS COS INC	02/25/11	05/07/13	171.69	119.49	52.20 LT
4 0000	TULLOW OIL PLC SHS	03/08/11	05/07/13	31.40	47.92	(16.52) LT
2 0000	TULLOW OIL PLC SHS	03/11/11	05/07/13	15.70	22.70	(7.00) LT
2 0000	TOYOTA MOTOR CORP ADR	02/11/11	05/07/13	233.03	179.61	53.42 LT
4.0000	UNILEVER NV NY REG SHS	03/08/11	05/07/13	169.67	121.85	47.82 LT
10 0000	UNITED PARCEL SVC CL B	02/11/11	05/07/13	881.58	753.14	128.44 LT
3 0000	UBS AG REG	02/28/13	05/07/13	54.23	47.28	6.95 ST
9.0000	VARIAN MEDICAL SYS INC	11/02/12	05/07/13	591.47	632.93	N/C
18 0000	VERIZON COMMUNICATNS COM	02/22/11	05/07/13	942.01	646.34	295.67 LT
9 0000	VISA INC CL A SHRS	03/17/11	05/06/13	1,612.68	642.51	970.17 LT
5 0000	VISA INC CL A SHRS	03/17/11	05/07/13	889.22	356.95	532.27 LT

PLEASE SEE REVERSE SIDE
 Page 236 of 260
 Statement Period Year Ending 12/31/13
 Account No 808-02106

ROCKCARES: NORRIS-ROCABERTE FAMILY FOUNDATION
 EIN: 77-0539237
 MERRILL LYNCH ACCTOUNT 808-02106

EMA Fiscal Statement

ROCKCARES: THE NORRIS-

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
1 0000	VOLKSWAGEN A G ADR	02/25/11	05/07/13	41.54	32.91	8 63 LT
19 0000	ZIMMER HOLDINGS INC COM	02/11/11	05/07/13	1,458.45	1,150.35	308.10 LT
1 0000	ZIMMER HOLDINGS INC COM	02/22/11	05/07/13	76 77	62.23	14.54 LT
2 0000	ZURICH INSURANCE GROUP	02/25/11	05/07/13	55.75	54.01	1 74 LT
1 0000	ZURICH INSURANCE GROUP	03/08/11	05/07/13	27.88	26 70	1 18 LT
1 0000	WPP PLC NEW/ADR	02/25/11	05/07/13	84.67	67.28	17 39 LT
33.0000	WASTE MANAGEMENT INC NEW	02/11/11	05/07/13	1,365.97	1,260.12	105 85 LT
1 0000	WELLCARE HLTH PLANS INC	08/20/12	05/22/13	51 57	55 14	N/C
18.0000	WELLCARE HLTH PLANS INC	08/20/12	05/22/13	928.24	992 43	(64.19) ST
1 0000	WELLCARE HLTH PLANS INC	08/20/12	05/23/13	51 35	60 67	(9 32) ST
3 0000	WELLCARE HLTH PLANS INC	09/11/12	05/22/13	154.71	183.73	(29 02) ST
19 0000	WELLCARE HLTH PLANS INC	09/11/12	05/23/13	975.68	1,163.61	(187.93) ST
20.0000	AMER EXPRESS COMPANY	02/25/11	06/05/13	1,503.82	870.71	633.11 LT
43 0000	AMER EXPRESS COMPANY	03/08/11	06/05/13	3,233.22	1,944.70	1,288.52 LT
32 0000	AMER EXPRESS COMPANY	03/11/11	06/05/13	2,406.12	1,409.60	996.52 LT
3 0000	AMER EXPRESS COMPANY	03/16/11	06/05/13	225.58	128.12	97 46 LT
5 0000	BIOMARIN PHARMACEUTICALS	05/16/11	06/18/13	288.57	131.20	157 37 LT
9 0000	BIOMARIN PHARMACEUTICALS	05/26/11	06/18/13	519 42	247.68	271 74 LT
7 0000	BIOMARIN PHARMACEUTICALS	06/03/11	06/18/13	404.00	197.19	206 81 LT
8 0000	BIOMARIN PHARMACEUTICALS	06/10/11	06/18/13	461.71	207.44	254 27 LT
7 0000	BIOMARIN PHARMACEUTICALS	05/03/12	06/18/13	404 01	254.38	149 63 LT
23 0000	FACEBOOK INC CXL	05/18/12	05/07/13	639.67	874.42	234.75 ST
23 0000	FACEBOOK INC	05/18/12	05/07/13	639.67	874 42	N/C
2 0000	KRATON PERFORMANCE POLYMERS	06/09/11	06/04/13	40 78	77 53	N/C
16 0000	KRATON PERFORMANCE POLYMERS	06/09/11	06/04/13	326.23	620.26	(294.03) LT
2 0000	KRATON PERFORMANCE POLYMERS	06/09/11	06/05/13	40 79	77 89	(37 10) LT
15 0000	KRATON PERFORMANCE POLYMERS	06/10/11	06/05/13	305.85	570 89	(265.04) LT
23 0000	KRATON PERFORMANCE POLYMERS	06/10/11	06/05/13	469 09	875.37	(406.28) LT
8 0000	KRATON PERFORMANCE POLYMERS	06/20/11	06/05/13	163.16	291 36	(128 20) LT
7 0000	KRATON PERFORMANCE POLYMERS	01/31/12	06/05/13	142 76	196.89	(54 13) LT
6 0000	KRATON PERFORMANCE POLYMERS	05/03/12	06/05/13	122 38	139.50	(17 12) LT
10 0000	LIQUIDITY SVCS INC	11/30/12	06/07/13	350.75	395.98	(45 23) ST
25 0000	LIQUIDITY SVCS INC	11/30/12	06/10/13	849 49	989.96	(140 47) ST
1 0000	LIQUIDITY SVCS INC	05/07/13	06/10/13	33 98	33 47	0 51 ST
65 0000	RYLAND GROUP INC	09/21/12	06/24/13	2,482.35	2,186.36	295 99 ST
24 0000	RYLAND GROUP INC	10/18/12	06/24/13	778 21	778 21	138 35 ST
24 0000	RYLAND GROUP INC	11/20/12	06/24/13	916.56	821.07	95 49 ST
1 0000	RYLAND GROUP INC	05/07/13	06/24/13	38 20	47 80	(9 60) ST
24 0000	SEI INVT CO PA PV \$0.01	02/25/11	06/05/13	715.85	552.18	163.67 LT
7 0000	SEI INVT CO PA PV \$0.01	02/25/11	06/06/13	208 27	161.05	47 22 LT

PLEASE SEE REVERSE SIDE
 Page 237 of 260
 Statement Period Year Ending 12/31/13
 Account No 808-02106

ROCKCARES. NORRIS-ROCABERTE FAMILY FOUNDATION
 EIN 77-0539237
 MERRILL LYNCH ACCTOUNT 808-02106

EMA Fiscal Statement

ROCKCARES. THE NORRIS-

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
28 0000	SEI INVT CO PA PV \$0.01	02/25/11	06/07/13	842.10	644.21	197.89 LT
12 0000	SEI INVT CO PA PV \$0.01	03/08/11	06/07/13	360.90	275.84	85.06 LT
33 0000	SEI INVT CO PA PV \$0.01	03/08/11	06/10/13	988.66	758.55	230.11 LT
18 0000	SEI INVT CO PA PV \$0.01	03/08/11	06/11/13	531.24	413.75	117.49 LT
31 0000	SEI INVT CO PA PV \$0.01	03/08/11	06/12/13	901.67	712.58	189.09 LT
30 0000	SEI INVT CO PA PV \$0.01	03/11/11	06/13/13	871.10	674.10	197.00 LT
23 0000	SEI INVT CO PA PV \$0.01	03/11/11	06/14/13	663.94	516.81	147.13 LT
2 0000	SEI INVT CO PA PV \$0.01	03/16/11	06/14/13	57.74	44.29	13.45 LT
69 0000	SEI INVT CO PA PV \$0.01	03/16/11	06/17/13	2,043.99	1,528.08	515.91 LT
31 0000	SEI INVT CO PA PV \$0.01	03/17/11	06/17/13	918.32	682.62	235.70 LT
35 0000	SEI INVT CO PA PV \$0.01	03/17/11	06/18/13	1,037.90	770.70	267.20 LT
8 0000	SEI INVT CO PA PV \$0.01	04/28/11	06/18/13	237.24	179.12	58.12 LT
37 0000	SEI INVT CO PA PV \$0.01	04/28/11	06/19/13	1,081.32	828.43	252.89 LT
29 0000	SEI INVT CO PA PV \$0.01	04/28/11	06/20/13	817.12	649.31	167.81 LT
26 0000	SAFEMAY INC NEW	06/10/11	06/19/13	623.31	575.64	47.67 LT
33 0000	SAFEMAY INC NEW	06/24/11	06/19/13	791.12	744.81	46.31 LT
34 0000	SAFEMAY INC NEW	07/13/11	06/19/13	815.09	806.82	8.27 LT
188 0000	SAFEMAY INC NEW	05/03/12	06/19/13	4,507.03	3,729.36	777.67 LT
9 0000	SANOFI ADR	02/11/11	06/17/13	497.24	305.16	192.08 LT
10 0000	SANOFI ADR	02/22/11	06/17/13	552.49	335.67	216.82 LT
4 0000	SANOFI ADR	02/25/11	06/17/13	221.01	136.68	84.33 LT
17 0000	VODAFONE GROU PLC SP ADR	02/11/11	06/17/13	494.94	494.97	(0.03) LT
10 0000	VODAFONE GROU PLC SP ADR	02/22/11	06/17/13	291.15	287.68	3.47 LT
28 0000	ATWOOD OCEANICS INC	10/12/11	06/27/13	1,454.59	1,098.00	356.59 LT
3 0000	ATWOOD OCEANICS INC	05/03/12	06/27/13	155.85	132.39	23.46 LT
4 0000	DBS GROUP HLDGS SPN ADR	02/11/11	06/26/13	194.67	185.20	9.47 LT
5 0000	DBS GROUP HLDGS SPN ADR	02/11/11	06/26/13	243.34	230.74	12.60 LT
3 0000	DBS GROUP HLDGS SPN ADR	02/22/11	06/26/13	146.00	133.17	12.83 LT
2 0000	DBS GROUP HLDGS SPN ADR	03/08/11	06/26/13	97.34	92.28	5.06 LT
7 0000	HENKEL AG & CO KGAA SP	01/05/12	06/26/13	655.60	412.81	242.79 LT
9 0000	PRUDENTIAL PLC ADR	02/11/11	06/26/13	293.99	209.05	84.94 LT
1 0000	PRUDENTIAL PLC ADR	02/11/11	06/27/13	33.19	23.23	9.96 LT
11 0000	PRUDENTIAL PLC ADR	02/22/11	06/27/13	365.13	251.96	113.17 LT
11 0000	PRUDENTIAL PLC ADR	02/25/11	06/27/13	365.14	247.08	118.06 LT
7 0000	PRUDENTIAL PLC ADR	03/08/11	06/27/13	232.37	161.37	71.00 LT
1 0000	REGAL BELOIT CORP WIS	03/11/11	07/10/13	65.33	71.00	(5.67) LT
3 0000	REGAL BELOIT CORP WIS	03/16/11	07/10/13	196.00	212.52	(16.52) LT
4 0000	REGAL BELOIT CORP WIS	03/17/11	07/10/13	261.33	280.08	(18.75) LT
3 0000	REGAL BELOIT CORP WIS	04/28/11	07/10/13	196.01	222.09	(26.08) LT
5 0000	REGAL BELOIT CORP WIS	05/16/11	07/11/13	328.47	358.55	(30.08) LT

PLEASE SEE REVERSE SIDE
 Page 238 of 260
 Statement Period Year Ending 12/31/13
 Account No 808-02106

ROCKCARES NORRIS-ROCABERTE FAMILY FOUNDATION
 EIN 77-0539237
 MERRILL LYNCH ACCTOUNT 808-02106

EMA Fiscal Statement

ROCKCARES THE NORRIS-

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
5 0000	REGAL BELOIT CORP WIS	05/26/11	07/11/13	328.47	339.55	(11.08) LT
3 0000	REGAL BELOIT CORP WIS	06/03/11	07/11/13	197.08	200.10	(3.02) LT
4 0000	REGAL BELOIT CORP WIS	06/10/11	07/11/13	262.78	260.24	2.54 LT
7 0000	REGAL BELOIT CORP WIS	11/28/11	07/11/13	459.86	352.17	107.69 LT
5 0000	REGAL BELOIT CORP WIS	05/03/12	07/11/13	328.48	320.36	8.12 LT
72 0000	TANGER FACTORY OUTLT CEN	08/07/12	07/16/13	2,507.90	2,422.67	85.23 ST
10 0000	TANGER FACTORY OUTLT CEN	08/07/12	07/17/13	349.10	336.48	12.62 ST
1 0000	TANGER FACTORY OUTLT CEN	05/07/13	07/17/13	34.91	37.44	(2.53) ST
10 0000	TRIUMPH GROUP INC NEW	02/11/11	07/11/13	831.20	445.25	385.95 LT
8 0000	TRIUMPH GROUP INC NEW	02/22/11	07/11/13	664.97	343.32	321.65 LT
6 0000	TRIUMPH GROUP INC NEW	02/25/11	07/11/13	498.72	262.86	235.86 LT
2 0000	TRIUMPH GROUP INC NEW	03/08/11	07/11/13	166.25	88.61	77.64 LT
6 0000	TAIWAN S MANUFACTRING ADR	02/25/11	06/26/13	105.57	73.86	31.71 LT
19 0000	TAIWAN S MANUFACTRING ADR	03/08/11	06/26/13	334.31	235.09	99.22 LT
11 0000	TAIWAN S MANUFACTRING ADR	03/11/11	06/26/13	193.55	133.43	60.12 LT
10 0000	VODAFONE GROU PLC SP ADR	02/22/11	06/26/13	281.61	287.68	(6.07) LT
2 0000	WPP PLC NEW/ADR	02/25/11	06/26/13	165.45	134.56	30.89 LT
5 0000	WPP PLC NEW/ADR	03/08/11	06/26/13	413.64	326.95	86.69 LT
1 0000	ACUITY BRANDS INC	07/09/13	08/23/13	86.64	84.33	2.31 ST
1 0000	A N S Y S INC COM	05/26/11	08/09/13	88.24	57.10	31.14 LT
1 0000	A N S Y S INC COM	05/26/11	08/23/13	85.75	57.10	28.65 LT
1 0000	AMAZON COM INC COM	02/25/11	08/09/13	298.68	177.60	121.08 LT
11 0000	ARM HLDGS PLC SPD ADR	05/10/12	08/23/13	458.16	270.10	188.06 LT
4 0000	ATLAS AIR WORLDWIDE HLDS	02/11/11	08/09/13	170.74	225.08	(54.34) LT
4 0000	ATLAS AIR WORLDWIDE HLDS	02/22/11	08/09/13	170.74	279.72	(108.98) LT
2 0000	ATLAS AIR WORLDWIDE HLDS	02/25/11	08/09/13	85.37	136.26	(50.89) LT
4 0000	ATLAS AIR WORLDWIDE HLDS	03/08/11	08/09/13	170.74	276.08	(105.34) LT
2 0000	ATLAS AIR WORLDWIDE HLDS	03/16/11	08/09/13	85.37	130.48	(45.11) LT
2 0000	ATLAS AIR WORLDWIDE HLDS	03/17/11	08/09/13	85.37	124.72	(39.35) LT
3 0000	ATLAS AIR WORLDWIDE HLDS	04/28/11	08/09/13	128.05	126.22	(40.85) LT
3 0000	ATLAS AIR WORLDWIDE HLDS	05/16/11	08/09/13	128.05	202.53	(74.48) LT
4 0000	ATLAS AIR WORLDWIDE HLDS	05/26/11	08/09/13	170.74	189.54	(61.49) LT
1 0000	ATLAS AIR WORLDWIDE HLDS	06/03/11	08/09/13	42.68	244.84	(16.50) LT
4 0000	ATLAS AIR WORLDWIDE HLDS	06/20/11	08/09/13	170.75	59.18	(54.93) LT
3 0000	ATLAS AIR WORLDWIDE HLDS	05/03/12	08/09/13	128.07	155.04	(26.97) LT
1 0000	ATLAS AIR WORLDWIDE HLDS	05/07/13	08/09/13	42.69	44.07	(1.38) ST
2 0000	ALIGN TECH INC DEL COM	01/31/13	08/09/13	87.89	63.46	24.43 ST
3 0000	ALIGN TECH INC DEL COM	01/31/13	08/23/13	132.35	95.19	37.16 ST
3 0000	ARTISAN PARTNERS ASSET	03/08/13	08/09/13	153.92	120.33	33.59 ST

ROCKCARES NORRIS-ROCABERTE FAMILY FOUNDATION
 EIN: 77-0539237
 MERRILL LYNCH ACCTOUNT 808-02106

EMA Fiscal Statement

ROCKCARES: THE NORRIS-

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
1 0000	ARTISAN PARTNERS ASSET	03/08/13	08/23/13	50.11	40.11	10.00 ST
1 0000	ACORDA THERAPEUTICS INC	10/08/12	08/09/13	34.90	26.07	8.83 ST
2 0000	ACORDA THERAPEUTICS INC	10/08/12	08/23/13	68.59	52.14	16.45 ST
1 0000	AMER EXPRESS COMPANY	03/16/11	08/23/13	73.32	42.71	30.61 LT
1 0000	AEGERION PHARM INC	08/12/13	08/23/13	88.32	93.82	N/C
24 0000	ARCHER DANIELS MIDLD	02/11/11	08/05/13	904.12	866.33	37.79 LT
11 0000	ARCHER DANIELS MIDLD	02/22/11	08/09/13	422.61	397.07	25.54 LT
4 0000	ARCHER DANIELS MIDLD	08/09/13	08/09/13	153.68	149.00	4.68 LT
3 0000	ARIAD P NEW COM\$0.001RTD	03/15/12	08/09/13	56.21	46.52	9.69 LT
5 0000	ARIAD P NEW COM\$0.001RTD	03/15/12	08/23/13	89.34	77.53	11.81 LT
10 0000	AUTODESK INC DEL PV\$0.01	04/13/12	08/09/13	358.49	411.62	(53.13) LT
49 0000	AUTODESK INC DEL PV\$0.01	08/28/12	08/23/13	1,942.45	2,016.93	(74.48) LT
1 0000	BUFFALO WILD WINGS INC	08/17/11	08/23/13	108.26	77.40	30.86 ST
2 0000	BJS RESTAURANTS INC	03/17/11	08/09/13	63.37	72.74	(9.37) LT
1 0000	BJS RESTAURANTS INC	04/28/11	08/09/13	31.69	46.92	(15.23) LT
2 0000	BALLY TECHNOLOGIES INC	02/11/11	08/09/13	146.33	80.31	66.02 LT
3 0000	BALLY TECHNOLOGIES INC	02/11/11	08/23/13	218.21	120.46	97.75 LT
28 0000	BANK NEW YORK MELLON	02/11/11	08/05/13	885.92	901.20	(15.28) LT
12 0000	BANK NEW YORK MELLON	02/22/11	08/05/13	379.68	372.80	6.88 LT
2 0000	BROADSOFT INC	10/11/11	08/09/13	60.45	67.20	(6.75) LT
2 0000	BROADSOFT INC	10/11/11	08/23/13	66.59	67.20	(0.61) LT
20 0000	CARDINAL HEALTH INC OHIO	02/11/11	08/05/13	1,027.46	829.11	198.35 LT
4 0000	CARDINAL HEALTH INC OHIO	02/11/11	08/09/13	206.67	165.82	40.85 LT
5 0000	CARDINAL HEALTH INC OHIO	02/11/11	08/23/13	254.34	207.28	47.06 LT
2 0000	CUBIST PHARMACEUTICALS	11/22/11	08/09/13	124.13	71.94	52.19 LT
1 0000	CUBIST PHARMACEUTICALS	11/22/11	08/23/13	63.06	35.97	27.09 LT
2 0000	CONCUR TECHNOLOGIES INC	08/12/11	08/09/13	201.16	75.30	125.86 LT
2 0000	CASH AMERICAN INTL INC	03/16/11	08/23/13	85.31	83.22	2.09 LT
1 0000	CONOCOPHILLIPS	02/11/11	08/09/13	66.55	55.19	11.36 LT
8 0000	CONOCOPHILLIPS	02/11/11	08/23/13	530.89	441.48	89.41 LT
1 0000	CHART INDS INC	11/02/12	08/23/13	119.21	64.89	54.32 ST
3 0000	COLFAX CORP	02/08/12	08/09/13	158.44	98.98	59.46 LT
3 0000	COLFAX CORP	02/08/12	08/23/13	161.06	98.98	62.08 LT
3 0000	CHEMTURA CORP	04/09/12	08/09/13	67.58	50.04	17.54 LT
1 0000	CHEMTURA CORP	04/09/12	08/23/13	22.85	16.68	6.17 LT
1 0000	CORNERSTONE ONDEMAND INC	03/13/13	08/09/13	51.80	34.51	17.29 ST
1 0000	CAVIUM INC	01/20/12	08/09/13	34.97	34.97	(0.18) LT
3 0000	CAVIUM INC	01/20/12	08/23/13	112.06	104.92	7.14 LT
121 0000	CISCO SYSTEMS INC COM	02/25/11	08/05/13	3,175.58	2,260.28	915.30 LT
58 0000	CISCO SYSTEMS INC COM	03/08/11	08/05/13	1,522.18	1,059.08	463.10 LT

ROCKCARES; NORRIS-ROCARBERTE FAMILY FOUNDATION
 EIN 77-0539237
 MERRILL LYNCH ACCTOUNT 808-02106

EMA Fiscal Statement

ROCKCARES THE NORRIS-

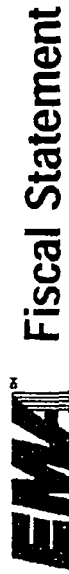
REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
4 0000	CISCO SYSTEMS INC COM	03/08/11	08/23/13	95.99	73.04	22.95 LT
1 0000	CLOROX CO DEL COM	02/22/11	08/23/13	84.11	68.00	16.11 LT
1 0000	COCA COLA COM	02/11/11	08/23/13	38.48	31.70	6.78 LT
4 0000	COCA COLA COM	02/22/11	08/23/13	153.93	127.18	26.75 LT
1 0000	COHERENT INC CAL	02/25/11	08/09/13	57.46	60.62	(3.16) LT
1 0000	COHERENT INC CAL	02/25/11	08/23/13	56.27	60.62	(4.35) LT
2 0000	COHERENT INC CAL	03/06/11	08/23/13	112.56	116.52	(3.96) LT
1 0000	COGNEX CORP	08/25/11	08/09/13	54.93	32.83	22.10 LT
1 0000	COGNEX CORP	08/25/11	08/23/13	56.60	32.83	23.77 LT
2 0000	CYBERONICS INC	02/05/13	08/09/13	107.41	93.32	14.09 ST
2 0000	CYBERONICS INC	02/05/13	08/23/13	109.99	93.32	16.67 ST
1 0000	DOMINOS PIZZA INC	08/15/12	08/09/13	62.68	34.21	28.47 ST
1 0000	DOMINOS PIZZA INC	08/15/12	08/23/13	62.05	34.21	27.84 LT
22 0000	DANONE-SPONS ADR	02/25/11	08/23/13	344.73	273.90	70.83 LT
48 0000	DEL FRISCOS RESTAURANT	07/30/12	07/29/13	1,013.75	628.83	384.92 ST
8 0000	DEL FRISCOS RESTAURANT	07/30/12	07/30/12	169.28	104.81	64.47 ST
12 0000	DEL FRISCOS RESTAURANT	07/31/12	07/30/13	253.94	157.78	96.16 ST
12 0000	DEL FRISCOS RESTAURANT	07/31/12	07/31/12	252.44	157.78	94.66 ST
3 0000	DEL FRISCOS RESTAURANT	07/31/12	08/01/13	62.79	39.44	23.35 LT
13 0000	DU PONT E I DE NEMOURS	02/11/11	08/05/13	776.27	710.45	65.82 LT
2 0000	DU PONT E I DE NEMOURS	02/11/11	08/09/13	119.13	109.30	9.83 LT
1 0000	EZCHIP SEMICONDUCTOR LTD	11/07/11	08/09/13	30.62	31.68	(1.06) LT
2 0000	EZCHIP SEMICONDUCTOR LTD	11/07/11	08/23/13	58.43	63.35	(4.92) LT
3 0000	EVERTEC INC	04/15/13	08/23/13	74.06	60.38	13.68 ST
18 0000	EXPEDITORS INTL WASH INC	02/11/11	08/06/13	743.03	965.08	(222.05) LT
3 0000	FINISAR CORPORATION	06/21/13	08/09/13	65.57	48.69	16.88 ST
8 0000	FINISAR CORPORATION	06/21/13	08/23/13	169.21	129.85	39.36 ST
5 0000	FORTINET INC	12/29/11	08/09/13	104.54	108.37	(3.83) LT
4 0000	FORTINET INC	12/29/11	08/23/13	81.27	86.70	(5.43) LT
3 0000	FRESH MKT INC	03/08/11	08/09/13	169.66	119.16	50.50 LT
2 0000	FRESH MKT INC	03/08/11	08/23/13	79.44	79.44	29.39 LT
3 0000	FUSION-IO INC COM	04/13/12	08/09/13	33.92	83.82	(49.90) LT
3 0000	FUSION-IO INC COM	04/13/12	08/23/13	31.58	83.82	(52.24) LT
22 0000	FACEBOOK INC	05/18/12	08/05/13	859.35	836.40	22.95 LT
13 0000	FACEBOOK INC	05/18/12	08/23/13	521.81	494.23	27.58 LT
2 0000	FRANKLIN RES INC	02/22/11	08/09/13	98.88	83.58	15.30 LT
10 0000	GLIMCHER REALTY TR SBI	07/05/12	08/09/13	109.89	104.32	5.57 LT
5 0000	GLIMCHER REALTY TR SBI	07/05/12	08/23/13	52.64	52.16	0.48 LT
2 0000	GULFPORT ENERGY NEW\$0.01	10/20/11	08/09/13	102.03	60.13	41.90 LT
4 0000	GULFPORT ENERGY NEW\$0.01	10/20/11	08/23/13	225.61	120.25	105.36 LT

PLEASE SEE REVERSE SIDE

Page 241 of 260
 Statement Period Year Ending 12/31/13
 Account No 808-02106

ROCKCARES NORRIS-ROCABERTE FAMILY FOUNDATION
 EIN. 77-0539237
 MERRILL LYNCH ACCTOUNT 808-02106



ROCKCARES THE NORRIS-

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
2 0000	GREENHILL COMPANY INC	06/27/11	08/09/13	102.79	105.34	(2.55) LT
4 0000	GEO GROUP INC	03/08/11	08/09/13	141.51	99.36	42.15 LT
1 0000	GEO GROUP INC	03/08/11	08/23/13	31.51	24.84	6.67 LT
1 0000	GOOGLE INC CL A	02/22/11	08/05/13	902.02	611.64	290.38 LT
2 0000	GEOSPACE TECHNOLOGIES	03/17/11	08/09/13	137.71	92.39	45.32 LT
1 0000	GEOSPACE TECHNOLOGIES	03/17/11	08/23/13	70.38	46.19	24.19 LT
4 0000	GENESCO INC	02/25/11	08/09/13	284.15	162.64	121.51 LT
1 0000	GENESCO INC	02/25/11	08/23/13	70.00	40.66	29.34 LT
3 0000	HEXCEL CORP NEW COM	10/07/11	08/09/13	109.67	67.52	42.15 LT
1 0000	HEXCEL CORP NEW COM	10/07/11	08/23/13	36.61	22.51	14.10 LT
1 0000	HALLIBURTON COMPANY	06/19/12	08/09/13	45.87	29.51	16.36 LT
38 0000	HALLIBURTON COMPANY	06/19/12	08/23/13	1,839.93	1,121.29	718.64 LT
5 0000	HMS HLDGS CORP	03/07/13	08/09/13	123.04	157.05	(34.01) ST
3 0000	HMS HLDGS CORP	03/07/13	08/23/13	77.45	94.23	(16.78) ST
2 0000	HAIN CELESTIAL GROUP INC	07/16/13	08/09/13	148.39	146.32	2.07 ST
1 0000	HAIN CELESTIAL GROUP INC	07/16/13	08/23/13	81.86	73.16	8.70 ST
10 0000	HUNTSMAN CORP	03/08/11	08/09/13	180.49	172.20	8.29 LT
5 0000	HUNTSMAN CORP	03/08/11	08/23/13	90.64	86.10	4.54 LT
2 0000	ISIS PHARMACEUTICALS INC	07/11/13	08/09/13	56.59	65.34	(8.75) ST
1 0000	IPG PHOTONICS CORP DEL	11/07/11	08/09/13	57.03	51.72	5.31 LT
1 0000	IPG PHOTONICS CORP DEL	11/07/11	08/23/13	56.79	51.72	5.07 LT
5 0000	JETBLUE AIRWAYS CORP DEL	02/11/11	08/09/13	31.59	30.44	1.15 LT
6 0000	JETBLUE AIRWAYS CORP DEL	02/11/11	08/23/13	37.91	36.53	1.38 LT
10 0000	JOHNSON AND JOHNSON COM	02/22/11	08/05/13	936.81	605.37	331.44 LT
50 0000	JOHNSON CONTROLS INC	02/06/13	08/09/13	2,047.71	1,559.41	488.30 ST
8 0000	JOHNSON CONTROLS INC	02/06/13	08/23/13	331.19	249.51	81.68 ST
17 0000	JOHNSON CONTROLS INC	02/06/13	08/23/13	706.72	530.20	176.52 ST
1 0000	LANDSTAR SYS INC COM	02/11/11	08/09/13	53.46	44.26	9.20 LT
1 0000	LANDSTAR SYS INC COM	02/11/11	08/23/13	56.69	44.26	12.43 LT
2 0000	LIFELOCK INC	04/01/13	08/09/13	24.23	18.68	5.55 ST
4 0000	LIFELOCK INC	04/01/13	08/23/13	49.95	37.36	12.59 ST
2 0000	LEGG MASON INC	02/11/11	08/09/13	67.15	71.15	(4.00) LT
105 0000	LOUISIANA PAC CORP	06/22/12	08/06/13	1,730.32	1,088.56	641.76 LT
5 0000	LOUISIANA PAC CORP	06/22/12	08/23/13	74.79	51.84	22.95 LT
21 0000	LOWE'S COMPANIES INC	03/11/11	08/05/13	951.36	565.83	385.53 LT
4 0000	LOWE'S COMPANIES INC	03/11/11	08/09/13	182.61	107.78	74.83 LT
3 0000	LOWE'S COMPANIES INC	03/16/11	08/09/13	136.96	78.86	58.10 LT
22 0000	LOWE'S COMPANIES INC	03/16/11	08/23/13	1,029.14	578.30	450.84 LT
2 0000	MADDEN STEVEN LTD	02/11/11	08/09/13	108.79	56.25	52.54 LT
1 0000	MADDEN STEVEN LTD	02/11/11	08/23/13	53.62	28.13	25.49 LT

PLEASE SEE REVERSE SIDE
 Page 242 of 260
 Statement Period Year Ending 12/31/13
 Account No. 808-02106

ROCKCARES. NORRIS-ROCABERTE FAMILY FOUNDATION
 EIN: 77-0539237
 MERRILL LYNCH ACCTOUNT 808-02106

EMA Fiscal Statement

ROCKCARES THE NORRIS-

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
11 0000	MONSTER WORLDWIDE INC	02/11/11	08/09/13	51.14	187.07	(135.93) LT
5.0000	MONSTER WORLDWIDE INC	02/25/11	08/09/13	23.25	87.48	(64.23) LT
1 0000	MONSTER WORLDWIDE INC	02/25/11	08/23/13	4.27	17.50	(13.23) LT
3.0000	MARATHON OIL CORP	02/11/11	08/05/13	112.82	82.86	29.96 LT
28 0000	MARATHON OIL CORP	02/22/11	08/05/13	1,053.07	802.70	250.37 LT
1 0000	MWI VETERINARY SUPPLY IN	11/08/11	08/23/13	143.30	70.18	73.12 LT
6 0000	MEDASSETS INC	02/11/11	08/09/13	141.65	121.98	19.67 LT
5 0000	MEDASSETS INC	02/11/11	08/23/13	115.14	101.65	13.49 LT
1 0000	MEDADATA SOLUTIONS INC	03/11/11	08/09/13	88.89	25.54	63.35 LT
2 0000	MEDADATA SOLUTIONS INC	03/15/11	08/09/13	177.80	42.63	135.17 LT
1 0000	MEDADATA SOLUTIONS INC	03/15/11	08/23/13	86.02	21.31	64.71 LT
16 0000	MERCK AND CO INC SHS	02/22/11	08/05/13	776.55	517.68	258.87 LT
1 0000	MERCK AND CO INC SHS	02/22/11	08/23/13	47.57	32.35	15.22 LT
8 0000	MONSTER BEVERAGE CORP	04/09/13	08/09/13	512.92	422.10	90.82 ST
5 0000	MONDELEZ INTERNATIONAL	02/11/11	08/09/13	161.29	99.17	62.12 LT
10 0000	MONDELEZ INTERNATIONAL	02/22/11	08/09/13	322.60	204.34	118.26 LT
12 0000	MARSH & MCLENNAN COS INC	02/11/11	08/05/13	505.82	346.13	159.69 LT
11 0000	MARSH & MCLENNAN COS INC	02/11/11	08/23/13	464.85	317.28	147.57 LT
5 0000	MARSH & MCLENNAN COS INC	02/22/11	08/23/13	211.30	152.07	59.23 LT
30 0000	MICROSOFT CORP	02/22/11	08/23/13	1,039.29	800.10	239.19 LT
6.0000	MICROSOFT CORP	02/25/11	08/23/13	207.87	160.01	47.86 LT
4 0000	MITSUBISHI ESTATE ADR	03/11/11	08/23/13	105.47	78.30	27.17 LT
2 0000	MITSUBISHI ESTATE ADR	03/17/11	08/23/13	52.74	36.37	16.37 LT
2.0000	NORTHWEST PIPE CO	02/11/11	08/09/13	56.07	48.10	7.97 LT
1 0000	NICE SYSTS LTD SPSP ADR	03/27/12	08/09/13	37.63	39.49	(1.86) LT
5 0000	NOVARTIS ADR	02/22/11	08/23/13	376.04	284.58	91.46 LT
1 0000	NITTO DENKO CORP ADR	06/27/13	08/23/13	27.36	32.02	(4.66) ST
6.0000	NORTHROP GRUMMAN CORP	02/11/11	08/05/13	571.87	382.57	189.30 LT
24 0000	NORTHROP GRUMMAN CORP	02/22/11	08/05/13	2,287.50	1,463.20	824.30 LT
9 0000	NORTHROP GRUMMAN CORP	02/25/11	08/05/13	541.31	541.31	316.51 LT
2.0000	NORTHROP GRUMMAN CORP	02/25/11	08/09/13	190.85	120.29	70.56 LT
3 0000	NORTHROP GRUMMAN CORP	02/25/11	08/23/13	282.68	180.43	102.25 LT
2 0000	OPENTABLE INC	05/23/13	08/09/13	134.53	134.54	N/C
2 0000	OASIS PETE INC NEW	08/25/11	08/09/13	82.47	48.15	34.32 LT
3 0000	OASIS PETE INC NEW	08/25/11	08/23/13	118.58	72.23	46.35 LT
3 0000	PAREXEL INTRNL CORP	02/11/11	08/09/13	151.55	65.33	86.22 LT
1 0000	PAREXEL INTRNL CORP	02/11/11	08/23/13	48.26	21.78	26.48 LT
2 0000	PANTRY INC	03/02/12	08/09/13	23.95	24.74	(0.79) LT
2 0000	PANTRY INC	03/02/12	08/23/13	23.27	24.74	(1.47) LT
3 0000	PINNACLE ENTMT INC COM	10/28/11	08/09/13	64.22	33.88	30.34 LT

PLEASE SEE REVERSE SIDE
 Page 243 of 260
 Statement Period Year Ending 12/31/13
 Account No. 808-02106

ROCKCARES NORRIS-ROCARBERTE FAMILY FOUNDATION
 EIN: 77-0539237
 MERRILL LYNCH ACCTOUNT 808-02106

EMA Fiscal Statement

ROCKCARES THE NORRIS

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
3.0000	PINNACLE ENTMT INC COM	10/28/11	08/23/13	70.64	33.88	36.76 LT
1.0000	PROASSURANCE CORP	06/08/12	08/09/13	49.95	44.68	5.27 LT
1.0000	PROASSURANCE CORP	06/08/12	08/23/13	48.39	44.68	3.71 LT
4.0000	PACIFIC DRILLING S A	02/21/12	08/09/13	39.95	43.84	(3.89) LT
3.0000	PACIFIC DRILLING S A	02/21/12	08/23/13	29.24	32.88	(3.64) LT
2.0000	PTC INC SHS	12/28/12	08/09/13	54.17	45.31	8.86 ST
4.0000	PTC INC SHS	12/28/12	08/23/13	108.91	90.62	18.29 ST
1.0000	PINNACLE FOOD INC DEL	04/01/13	08/09/13	25.25	22.79	2.46 ST
3.0000	PINNACLE FOOD INC DEL	04/01/13	08/23/13	82.43	68.37	14.06 ST
2.0000	PROCTER & GAMBLE CO	02/11/11	08/23/13	159.41	129.70	29.71 LT
6.0000	QLIK TECHNOLOGIES INC	03/01/11	08/09/13	196.19	155.67	40.52 LT
6.0000	QLIK TECHNOLOGIES INC	03/01/11	08/23/13	204.29	155.67	48.62 LT
3.0000	QUALCOMM INC	02/22/11	08/23/13	201.62	174.50	27.12 LT
1.0000	QUAKER CHEMICAL CORP	05/05/11	08/09/13	68.77	41.20	27.57 LT
41.0000	RAYTHEON CO DELAWARE NEW	04/15/11	08/05/13	3,116.52	2,009.73	1,106.79 LT
6.0000	RAYTHEON CO DELAWARE NEW	04/15/11	08/09/13	461.15	294.11	167.04 LT
9.0000	RAYTHEON CO DELAWARE NEW	04/15/11	08/23/13	698.41	441.16	257.25 LT
3.0000	R11 INTL METALS INC OHIO	07/15/11	08/09/13	97.31	97.44	(0.13) LT
2.0000	R11 INTL METALS INC OHIO	07/15/11	08/23/13	63.39	64.96	(1.57) LT
7.0000	RIVERBED TECHNOLOGY INC	02/25/11	07/31/13	109.91	290.43	(180.52) LT
16.0000	RIVERBED TECHNOLOGY INC	03/08/11	07/31/13	251.23	692.16	(440.93) LT
2.0000	RIVERBED TECHNOLOGY INC	03/11/11	07/31/13	31.40	83.56	(52.16) LT
10.0000	RIVERBED TECHNOLOGY INC	03/16/11	07/31/13	157.01	395.40	(238.39) LT
11.0000	RIVERBED TECHNOLOGY INC	03/17/11	07/31/13	172.72	425.75	(253.03) LT
10.0000	RIVERBED TECHNOLOGY INC	04/28/11	07/31/13	157.02	348.50	(191.48) LT
11.0000	RIVERBED TECHNOLOGY INC	05/16/11	07/31/13	172.72	408.43	(235.71) LT
9.0000	RIVERBED TECHNOLOGY INC	05/26/11	07/31/13	141.31	340.83	(200.52) LT
7.0000	RIVERBED TECHNOLOGY INC	06/03/11	07/31/13	109.91	261.10	(151.19) LT
9.0000	RIVERBED TECHNOLOGY INC	06/10/11	07/31/13	141.32	302.67	(161.35) LT
11.0000	RIVERBED TECHNOLOGY INC	07/13/11	07/31/13	172.72	363.06	(190.34) LT
51.0000	RIVERBED TECHNOLOGY INC	05/03/12	07/31/13	172.72	214.39	(41.67) LT
16.0000	RIVERBED TECHNOLOGY INC	05/31/12	07/31/13	800.82	816.64	(15.82) LT
3.0000	SEI INVT CO PA PV \$0.01	01/18/13	07/31/13	251.22	N/A	N/C
12.0000	SEI INVT CO PA PV \$0.01	04/28/11	08/09/13	97.40	67.17	30.23 LT
1.0000	SCHLUMBERGER LTD	05/16/11	08/09/13	389.64	275.00	114.64 LT
3.0000	SALIX PHRMTCLS LTD COM	02/11/11	08/23/13	82.01	85.32	(3.31) LT
3.0000	SEATTLE GENETICS INC COM	02/11/11	08/23/13	203.48	125.16	78.32 LT
10.0000	SABMILLER PLC SPON ADR	03/16/11	08/09/13	125.58	43.08	82.50 LT
8.0000	SABMILLER PLC SPON ADR	04/16/12	08/09/13	491.79	407.79	84.00 LT
		04/16/12	08/23/13	387.99	326.23	61.76 LT

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EMA Fiscal Statement

ROCKCARES THE NORRIS-

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
3 0000	SIRONA DENTAL SYSTEMS	02/11/11	08/09/13	212.18	148.93	63.25 LT
1 0000	SIRONA DENTAL SYSTEMS	02/11/11	08/23/13	66.22	49.64	16.58 LT
1 0000	SOURCEFIRE INC	02/19/13	08/09/13	75.38	43.66	31.72 ST
1 0000	SOURCEFIRE INC	02/19/13	08/23/13	75.75	43.66	32.09 ST
5 0000	SHEL ENTERTAINMENT INC	03/08/11	08/09/13	113.84	46.15	67.69 LT
4 0000	SHEL ENTERTAINMENT INC	03/08/11	08/23/13	91.31	36.92	54.39 LT
2 0000	SEAWORLD ENTMT INC	04/22/13	08/09/13	70.77	66.64	4.13 ST
1 0000	SEAWORLD ENTMT INC	04/22/13	08/23/13	32.99	33.32	(0.33) ST
2 0000	SOTHEBY'S (DELAWARE)	02/25/11	08/09/13	86.87	95.96	(9.09) LT
2 0000	SOTHEBY'S (DELAWARE)	02/25/11	08/23/13	91.07	95.96	(4.89) LT
2 0000	STIFEL FINANCIAL CORP	08/29/11	08/09/13	77.48	60.85	16.63 LT
1 0000	TEXAS INDUST INC	10/05/12	08/09/13	60.50	42.75	17.75 ST
4 0000	TERADYNE INC	02/11/11	08/09/13	64.55	72.16	(7.61) LT
4 0000	TERADYNE INC	02/11/11	08/23/13	63.63	72.16	(8.53) LT
2 0000	TEAM HEALTH HOLDINGS INC	01/29/13	08/09/13	80.13	68.25	11.88 ST
1 0000	TEAM HEALTH HOLDINGS INC	01/29/13	08/23/13	40.50	34.12	6.38 ST
4 0000	THORATEC CORP COM NEW	04/28/11	08/09/13	149.51	122.60	26.91 LT
6 0000	THORATEC CORP COM NEW	04/28/11	08/23/13	216.23	183.90	32.33 LT
3 0000	TRIUMPH GROUP INC NEW	03/08/11	08/09/13	232.55	132.92	99.63 LT
2 0000	TIBCO SOFTWARE INC	03/17/11	08/09/13	49.11	47.66	1.45 LT
1 0000	TIBCO SOFTWARE INC	04/28/11	08/09/13	24.56	29.79	(5.23) LT
2 0000	TIBCO SOFTWARE INC	04/28/11	08/23/13	45.38	59.58	(14.20) LT
9 0000	TWO HARBORS INVT CORP	07/28/11	08/09/13	89.00	89.69	(0.69) LT
8 0000	TWO HARBORS INVT CORP	07/28/11	08/23/13	77.05	79.72	(2.67) LT
5 0000	THERMON GROUP HLDGS INC	09/27/12	08/09/13	97.59	124.54	(26.95) ST
3 0000	THERMON GROUP HLDGS INC	09/27/12	08/23/13	62.81	74.72	(11.91) ST
3 0000	TUMI HLDGS INC	11/09/12	08/23/13	62.18	63.51	(1.33) ST
3 0000	TEREX CORP DEL NEW COM	01/27/12	08/09/13	92.45	61.57	30.88 LT
2 0000	TEREX CORP DEL NEW COM	01/27/12	08/23/13	61.31	41.05	20.26 LT
1 0000	UMB FINANCIAL CORP	02/11/11	08/09/13	60.86	42.26	18.60 LT
1 0000	UNITED NAT FOODS INC	01/09/12	08/09/13	61.47	40.84	20.63 LT
1 0000	UNITED NAT FOODS INC	01/09/12	08/23/13	61.20	40.84	20.36 LT
1 0000	ULTIMATE SOFTWARE GROUP	01/18/13	08/23/13	143.27	95.16	48.11 ST
3 0000	UNITED THERAPEUTICS CORP	11/21/11	08/09/13	221.21	121.88	99.33 LT
3 0000	UNITED PARCEL SVC CL B	02/11/11	08/23/13	261.32	225.95	35.37 LT
3 0000	U S AWYS GROUP INC	09/11/12	08/09/13	56.24	35.77	20.47 ST
3 0000	U S AWYS GROUP INC	09/11/12	08/23/13	32.39	23.84	8.55 ST
2 0000	USG CORP COM NEW	07/25/12	08/09/13	51.43	33.99	17.44 LT
8 0000	UNIVERSAL ELECTRONICS INC	03/07/11	08/09/13	262.23	199.54	62.69 LT
3 0000	UNIVERSAL ELECTRONICS INC	03/08/11	08/09/13	98.34	82.89	15.45 LT

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ROCKCARES NORRIS-ROCABERTE FAMILY FOUNDATION
 EIN 77-0539237
 MERRILL LYNCH ACCTOUNT 808-02106

EMA Fiscal Statement

ROCKCARES THE NORRIS-

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
3 0000	UNIVERSAL ELECTRONICS INC	03/11/11	08/09/13	98.34	79.56	18.78 LT
2 0000	VEECO INSTRUMENTS INC	02/11/11	08/09/13	67.69	103.60	(35.91) LT
2 0000	VEECO INSTRUMENTS INC	02/11/11	08/23/13	69.85	103.60	(33.75) LT
4 0000	VIROPHARMA INC COM	01/22/13	08/09/13	121.47	108.47	13.00 ST
1 0000	VARIAN MEDICAL SYS INC	11/02/12	08/09/13	73.81	70.33	3.48 ST
1 0000	VARIAN MEDICAL SYS INC	11/02/12	08/23/13	72.51	70.33	2.18 ST
4 0000	VISA INC CL A SHRS	03/17/11	08/05/13	738.00	285.56	452.44 LT
1 0000	VISA INC CL A SHRS	03/17/11	08/09/13	180.38	71.39	108.99 LT
1 0000	VISA INC CL A SHRS	03/17/11	08/23/13	178.86	71.39	107.47 LT
2 0000	VITAMIN SHOPPE INC	02/22/11	08/09/13	42.98	34.48	8.50 LT
90 0000	WALTER ENERGY INC	02/22/11	08/23/13	81.71	68.96	12.75 LT
40 0000	WALTER ENERGY INC	05/20/13	08/07/13	906.87	1,731.11	(824.24) ST
5 0000	WASTE CONNECTIONS INC	06/19/13	08/07/13	403.06	524.39	(121.33) ST
1 0000	WASTE CONNECTIONS INC	02/11/11	08/09/13	218.99	146.30	72.69 LT
3 0000	ZIMMER HOLDINGS INC COM	02/11/11	08/23/13	43.63	29.26	14.37 LT
2 0000	ZILLOW INC SHS CL A	02/11/11	08/23/13	241.28	186.70	54.58 LT
1 0000	ZILLOW INC SHS CL A	11/05/12	08/09/13	180.51	68.97	111.54 ST
2 0000	WHITEWAVE FOODS CO	07/19/13	08/09/13	85.99	34.48	51.51 ST
3 0000	WHITEWAVE FOODS CO	07/19/13	08/09/13	37.39	37.48	(0.09) ST
2 0000	WABCO HOLDINGS INC	07/19/13	08/23/13	59.18	56.22	2.96 ST
3 0000	WEB COM GROUP INC	02/11/11	08/09/13	158.89	124.44	34.45 LT
180 0000	XEROX CORP	06/04/12	08/23/13	85.55	45.69	39.86 LT
12 0000	XEROX CORP	02/11/11	08/05/13	1,805.82	1,961.98	(156.16) LT
42 0000	XEROX CORP	02/22/11	08/23/13	120.28	130.80	(10.52) LT
7 0000	ATLAS COPCO A ADR NEW	02/25/11	08/23/13	421.01	457.62	(36.61) LT
13 0000	ATLAS COPCO A ADR NEW	03/08/11	09/05/13	189.91	172.20	17.71 LT
9 0000	ATLAS COPCO A ADR NEW	03/11/11	09/05/13	352.69	329.81	22.88 LT
1 0000	AMAZON COM INC COM	03/16/11	09/05/13	244.17	223.83	20.34 LT
2 0000	ARM HLDGS PLC SPD ADR	02/25/11	09/05/13	27.14	23.19	3.95 LT
1 0000	ALTERA CORP COM	05/10/12	09/24/13	627.17	355.21	271.96 LT
1 0000	ALIGN TECH INC DEL COM	05/26/11	09/24/13	47.56	24.55	23.01 LT
2 0000	ARTISAN PARTNERS ASSET	01/31/13	09/24/13	37.27	47.10	(9.83) LT
2 0000	ACORDA THERAPEUTICS INC	03/08/13	09/24/13	95.35	63.46	31.89 ST
7 0000	AMER EXPRESS COMPANY	10/08/12	09/24/13	51.97	40.11	11.86 ST
5 0000	AMGEN INC COM PV \$0 0001	03/16/11	09/24/13	70.23	52.14	18.09 ST
1 0000	ANALOG DEVICES INC COM	04/28/11	09/24/13	533.22	298.94	234.28 LT
1 0000	ANALOG DEVICES INC COM	02/11/11	09/24/13	577.79	284.80	292.99 LT
1 0000	ARIAD P NEW COM\$0 001RTD	02/11/11	09/24/13	47.54	41.02	6.52 LT
1 0000	ARIAD P NEW COM\$0 001RTD	03/15/12	09/24/13	47.54	41.70	5.84 LT
				19.76	15.51	4.25 LT

ROCKCARES NORRIS-ROCABERTE FAMILY FOUNDATION
 EIN 77-0539237
 MERRILL LYNCH ACCTOUNT 808-02106

EMA Fiscal Statement

ROCKCARES THE NORRIS-

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
2 0000	AUTOMATIC DATA PROC	02/11/11	09/24/13	145 05	99 21	45 84 LT
2 0000	AUTOMATIC DATA PROC	02/22/11	09/24/13	145 06	98 23	46 83 LT
1 0000	BUFFALO WILD WINGS INC	08/28/12	09/24/13	108 70	77 40	31 30 LT
1 0000	BJS RESTAURANTS INC	04/28/11	09/24/13	30 39	46 92	(16 53) LT
1 0000	BALLY TECHNOLOGIES INC	02/11/11	09/24/13	73 80	40 15	33 65 LT
10 0000	CARDINAL HEALTH INC OHIO	02/11/11	09/24/13	528 59	414 55	114 04 LT
2 0000	CUBIST PHARMACEUTICALS	11/22/11	09/24/13	130 93	71 94	58 99 LT
1 0000	CONCUR TECHNOLOGIES INC	08/12/11	09/24/13	111 91	37 65	74 26 LT
1 0000	CASH AMERICAN INTL INC	03/16/11	09/24/13	45 74	41 61	4 13 LT
3 0000	CONOCOPHILLIPS	02/11/11	09/24/13	211 53	165 56	45 97 LT
9 0000	CONOCOPHILLIPS	02/22/11	09/24/13	634 61	530 10	104 51 LT
1 0000	COLFAX CORP	02/08/12	09/24/13	56 22	32 99	23 23 LT
1 0000	CHEMTURA CORP	04/09/12	09/24/13	23 14	16 68	6 46 LT
2 0000	CORNERSTONE ONDEMAND INC	03/13/13	09/24/13	105 31	69 01	36 30 ST
1 0000	CAVIUM INC	01/20/12	09/24/13	34 97	34 97	5 00 LT
30 0000	CISCO SYSTEMS INC COM	03/08/11	09/24/13	722 17	547 80	174 37 LT
2 0000	CLOROX CO DEL COM	02/22/11	09/24/13	166 56	136 00	30 56 LT
5 0000	COCA COLA COM	02/22/11	09/24/13	193 69	158 97	34 72 LT
1 0000	COHERENT INC CAL	03/06/11	09/24/13	60 59	58 26	2 33 LT
3 0000	COGNEX CORP	08/25/11	09/24/13	95 33	49 25	46 08 LT
1 0000	DIAGEO PLC SPSPD ADR NEW	05/16/11	09/24/13	129 49	84 09	45 40 LT
1 0000	DOMINOS PIZZA INC	08/15/12	09/24/13	66 97	34 21	32 76 LT
24 0000	DANONE-SPONS ADR	02/25/11	09/24/13	371 99	298 80	73 19 LT
1 0000	ENSTAR GROUP LTD	01/02/13	09/24/13	136 39	120 28	16 11 ST
2 0000	EZCHIP SEMICONDUCTOR LTD	11/07/11	09/24/13	45 19	63 35	(18 16) LT
33 0000	EZCHIP SEMICONDUCTOR LTD	11/07/11	09/25/13	806 18	1,045 29	(239 11) LT
3 0000	EZCHIP SEMICONDUCTOR LTD	05/03/12	09/25/13	73 29	119 67	(46 38) LT
9 0000	EZCHIP SEMICONDUCTOR LTD	08/08/12	09/25/13	219 87	266 88	(47 01) LT
1 0000	EVERTEC INC	04/15/13	09/24/13	22 43	20 13	2 30 ST
3 0000	FACTSET RESH SYS INC	03/08/11	09/24/13	327 62	312 36	15 26 LT
8 0000	FIMISAR CORPORATION	06/21/13	09/24/13	182 31	129 85	52 46 ST
3 0000	FORTINET INC	12/29/11	09/24/13	61 10	65 02	(3 92) LT
2 0000	FRESH MKT INC	03/11/11	09/24/13	96 75	80 98	15 77 LT
4 0000	FUSION-IO INC COM	04/13/12	09/24/13	56 59	111 77	(55 18) LT
4 0000	FRANKLIN RES INC	02/22/11	09/24/13	202 59	167 16	35 43 LT
1 0000	GLIMCHER REALTY TR SBI	07/05/12	09/24/13	10 20	10 43	(0 23) LT
2 0000	GLAXOSMITHKLINE PLC ADR	03/11/11	09/17/13	102 47	77 56	24 91 LT
7 0000	GLAXOSMITHKLINE PLC ADR	03/16/11	09/17/13	358 65	256 88	101 77 LT
5 0000	GLAXOSMITHKLINE PLC ADR	03/17/11	09/17/13	256 17	186 43	69 74 LT
6 0000	GLAXOSMITHKLINE PLC ADR	04/28/11	09/17/13	307 41	261 18	46 23 LT

PLEASE SEE REVERSE SIDE
 Page 247 of 260
 Statement Period Year Ending 12/31/13
 Account No. 808-02106

ROCKCARES NORRIS-ROCABERTE FAMILY FOUNDATION
 EIN 77-0539237
 MERRILL LYNCH ACCTOUNT 808-02106

EMA Fiscal Statement

ROCKCARES- THE NORRIS-

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
10 0000	GLAXOSMITHKLINE PLC ADR	05/16/11	09/17/13	512.36	436.22	76.14 LT
7 0000	GLAXOSMITHKLINE PLC ADR	05/26/11	09/17/13	358.65	302.78	55.87 LT
4 0000	GLAXOSMITHKLINE PLC ADR	06/03/11	09/17/13	170.61	170.61	34.33 LT
8 0000	GLAXOSMITHKLINE PLC ADR	06/20/11	09/17/13	409.89	331.53	78.36 LT
11.0000	GLAXOSMITHKLINE PLC ADR	07/13/11	09/17/13	563.60	479.00	84.60 LT
6 0000	GLAXOSMITHKLINE PLC ADR	05/03/12	09/17/13	307.42	279.94	27.48 LT
3 0000	GREENHILL COMPANY INC	06/27/11	09/24/13	150.08	158.00	(7.92) LT
4 0000	GEO GROUP INC	03/08/11	09/24/13	135.91	99.36	36.55 LT
1 0000	GOOGLE INC CL A	02/22/11	09/24/13	884.18	611.64	272.54 LT
1 0000	GEOSPACE TECHNOLOGIES	04/28/11	09/24/13	76.14	47.00	29.14 LT
2 0000	GENESCO INC	02/25/11	09/24/13	128.13	81.32	46.81 LT
1 0000	HEXCEL CORP NEW COM	10/07/11	09/24/13	37.86	22.51	15.35 LT
1 0000	HMS HLDGS CORP	03/07/13	09/24/13	22.38	31.41	(9.03) ST
2 0000	HUNTSMAN CORP	03/08/11	09/24/13	41.21	34.44	6.77 LT
1.0000	ISIS PHARMACEUTICALS INC	07/11/13	09/24/13	37.77	32.67	5.10 ST
1 0000	IPG PHOTONICS CORP DEL	11/07/11	09/24/13	56.74	51.72	5.02 LT
6 0000	JETBLUE AIRWAYS CORP DEL	02/11/11	09/24/13	41.15	36.53	4.62 LT
1 0000	LANDSTAR SYS INC COM	02/11/11	09/24/13	56.28	44.26	12.02 LT
2 0000	LIFELOCK INC	04/01/13	09/24/13	29.39	18.68	10.71 ST
6 0000	LEGG MASON INC	02/11/11	09/24/13	204.23	213.45	(9.22) LT
4 0000	LOUISIANA PAC CORP	06/22/12	09/24/13	70.11	41.47	28.64 LT
15 0000	LOWE'S COMPANIES INC	03/16/11	09/24/13	712.55	394.30	318.25 LT
2 0000	MADDEN STEVEN LTD	02/11/11	09/24/13	107.55	56.25	51.30 LT
12 0000	MONSTER WORLDWIDE INC	02/25/11	09/13/13	57.58	209.94	(152.36) LT
8 0000	MONSTER WORLDWIDE INC	03/08/11	09/13/13	38.39	123.28	(84.89) LT
6 0000	MONSTER WORLDWIDE INC	03/16/11	09/13/13	28.79	87.66	(58.87) LT
6 0000	MONSTER WORLDWIDE INC	03/17/11	09/13/13	23.99	73.33	(49.34) LT
8 0000	MONSTER WORLDWIDE INC	04/28/11	09/13/13	28.79	90.19	(61.40) LT
8 0000	MONSTER WORLDWIDE INC	05/16/11	09/13/13	38.39	140.16	(101.77) LT
13 0000	MONSTER WORLDWIDE INC	06/03/11	09/13/13	38.39	122.40	(84.01) LT
63 0000	MONSTER WORLDWIDE INC	09/02/11	09/13/13	62.38	177.71	(115.33) LT
107 0000	MONSTER WORLDWIDE INC	09/02/11	09/16/13	302.34	547.42	(245.08) LT
83 0000	MONSTER WORLDWIDE INC	03/02/12	09/16/13	495.26	929.74	(434.48) LT
81 0000	MONSTER WORLDWIDE INC	04/09/12	09/16/13	384.17	641.21	(257.04) LT
41 0000	MONSTER WORLDWIDE INC	05/03/12	09/16/13	374.92	742.76	(367.84) LT
106 0000	MONSTER WORLDWIDE INC	05/18/12	09/16/13	189.77	330.28	(140.51) LT
34 0000	MONSTER WORLDWIDE INC	05/18/12	09/17/13	490.65	915.79	(425.14) LT
3 0000	MONSTER WORLDWIDE INC	05/07/13	09/17/13	152.93	293.74	(140.81) LT
2 0000	MEDASSETS INC	02/11/11	09/24/13	13.50	14.66	(1.16) ST
				49.09	40.66	8.43 LT

PLEASE SEE REVERSE SIDE
 Page 248 of 260
 Statement Period 12/31/13
 Year Ending 808-02106

ROCKCARES NORRIS-ROCARBERTE FAMILY FOUNDATION
 EIN. 77-0539237
 MERRILL LYNCH ACCTOUNT 808-02106

EMA Fiscal Statement

ROCKCARES THE NORRIS-

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
3 0000	MEDIDATA SOLUTIONS INC	03/15/11	09/24/13	286.25	63.95	222.30 LT
6 0000	MERCK AND CO INC SHS	02/22/11	09/24/13	287.45	194.13	93.32 LT
12 0000	MARSH & MCLENNAN COS INC	02/22/11	09/24/13	530.87	364.98	165.89 LT
2 0000	NORTHWEST PIPE CO	02/11/11	09/24/13	64.73	48.10	16.63 LT
1 0000	NICE SYSTS LTD SPSP ADR	03/27/12	09/24/13	41.08	39.49	1.59 LT
4 0000	NOVARTIS ADR	02/22/11	09/24/13	305.23	227.67	77.56 LT
1 0000	NITTO DENKO CORP ADR CXL	06/27/13	08/23/13	27.36	32.02	4.66 ST
1 0000	NITTO DENKO CORP ADR	06/27/13	08/23/13	27.36	32.02	N/C
1 0000	OPENTABLE INC	05/23/13	09/24/13	70.53	67.27	3.26 ST
23 0000	ORACLE CORP \$0.01 DEL	02/11/11	09/24/13	776.80	771.08	5.72 LT
2 0000	ORACLE CORP \$0.01 DEL	02/22/11	09/24/13	67.55	65.54	2.01 LT
1 0000	PARCEL INTRNL CORP	02/11/11	09/24/13	49.22	21.77	27.45 LT
2 0000	PANTRY INC	03/02/12	09/24/13	23.33	24.74	(1.41) LT
1 0000	PINNACLE ENTMT INC COM	10/28/11	09/24/13	24.97	11.29	13.68 LT
2 0000	PACIFIC DRILLING S A	02/21/12	09/24/13	20.91	21.92	(1.01) LT
1 0000	PTC INC SHS	12/28/12	09/24/13	28.16	22.66	5.50 ST
2 0000	PROCTER & GAMBLE CO	02/11/11	09/24/13	158.39	129.70	28.69 LT
3 0000	PROCTER & GAMBLE CO	02/22/11	09/24/13	237.60	191.69	45.91 LT
4 0000	QUEST DIAGNOSTICS INC	02/11/11	09/24/13	249.21	228.64	20.57 LT
8 0000	QUALCOMM INC	02/22/11	09/24/13	547.43	465.34	82.09 LT
1 0000	QUAKER CHEMICAL CORP	05/05/11	09/24/13	70.60	41.20	29.40 LT
1 0000	RTI INTL METALS INC OHIO	07/15/11	09/24/13	32.27	32.48	(0.21) LT
13 0000	SEI INVT CO PA PV \$0.01	05/16/11	09/24/13	397.91	297.91	99.62 LT
1 0000	SCHLUMBERGER LTD	02/11/11	09/24/13	86.87	85.31	1.56 LT
5 0000	SCHLUMBERGER LTD	02/22/11	09/24/13	434.40	458.28	(23.88) LT
1 0000	SALIX PHRMCTCLS LTD COM	02/11/11	09/24/13	65.91	41.72	24.19 LT
1 0000	SEATTLE GENETICS INC COM	03/16/11	09/24/13	43.58	14.36	29.22 LT
1 0000	SIRONA DENTAL SYSTEMS	02/11/11	09/24/13	66.69	49.64	17.05 LT
3 0000	SHFL ENTERTAINMENT INC	03/08/11	09/24/13	68.93	27.69	41.24 LT
2 0000	SEAWORLD ENTMT INC	04/22/13	09/24/13	63.25	66.64	(3.39) ST
1 0000	STIFEL FINANCIAL CORP	08/29/11	09/24/13	42.03	30.43	11.60 LT
7 0000	TOTAL S.A. SP ADR	02/25/11	09/03/13	384.53	421.71	(37.18) LT
7 0000	TOTAL S.A. SP ADR	03/02/11	09/03/13	384.54	391.26	(6.72) LT
1 0000	TOTAL S.A. SP ADR	03/05/11	09/03/13	54.93	57.90	(2.97) LT
1 0000	TOTAL S.A. SP ADR	03/08/11	09/03/13	54.94	57.90	(2.97) LT
2 0000	TEXAS INDUSTRY INC	10/05/12	09/24/13	129.66	85.50	44.16 ST
1 0000	TERADYNE INC	02/11/11	09/24/13	16.30	18.04	(1.74) LT
1 0000	TEAM HEALTH HOLDINGS INC	01/29/13	09/24/13	37.54	34.13	3.41 ST
2 0000	THORATEC CORP COM NEW	05/16/11	09/24/13	73.53	67.72	5.81 LT
1 0000	TRIUMPH GROUP INC NEW	03/08/11	09/24/13	68.64	44.30	24.34 LT

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ROCKCARES THE NORRIS-

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
4.0000	TRIMAS CORP	09/11/13	09/24/13	149.91	143.92	5.99 ST
2.0000	TUMI HLDGS INC	11/09/12	09/24/13	43.03	42.34	0.69 ST
2.0000	TEREX CORP DEL NEW COM	01/27/12	09/24/13	67.89	41.05	26.84 LT
1.0000	UMB FINANCIAL CORP	02/11/11	09/24/13	53.45	42.26	11.19 LT
2.0000	UNITED THERAPEUTICS CORP	11/21/11	09/24/13	153.33	81.26	72.07 LT
1.0000	UNITED PARCEL SVC CL B	02/11/11	09/24/13	91.75	75.31	16.44 LT
3.0000	UNITED PARCEL SVC CL B	02/22/11	09/24/13	275.26	223.54	51.72 LT
2.0000	U S AWAYS GROUP INC	09/11/12	09/24/13	38.29	23.84	14.45 LT
4.0000	USG CORP COM NEW	07/25/12	09/24/13	170.03	67.98	42.05 LT
2.0000	VEECO INSTRUMENTS INC	02/11/11	08/09/13	67.69	103.60	35.91 LT
2.0000	VEECO INSTRUMENTS INC	02/11/11	08/09/13	67.69	103.60	N/C
2.0000	VEECO INSTRUMENTS INC	02/11/11	08/23/13	69.85	103.60	N/C
2.0000	VEECO INSTRUMENTS INC	02/11/11	08/23/13	69.85	103.60	N/C
2.0000	VEECO INSTRUMENTS INC	02/11/11	09/24/13	69.51	103.60	N/C
2.0000	VEECO INSTRUMENTS INC	02/11/11	09/24/13	69.52	105.18	(35.66) LT
3.0000	VIOPHARMA INC COM	01/22/13	09/24/13	118.49	81.35	37.14 ST
5.0000	VARIAN MEDICAL SYS INC	11/02/12	09/24/13	378.64	351.63	27.01 ST
4.0000	VISA INC CL A SHRS	03/17/11	09/24/13	773.47	285.56	487.91 LT
2.0000	VITAMIN SHOPPE INC	02/22/11	09/24/13	84.38	68.96	15.42 LT
2.0000	VITAMIN SHOPPE INC	02/25/11	09/24/13	84.39	69.70	14.69 LT
3.0000	WASTE CONNECTIONS INC	02/11/11	09/24/13	135.20	87.78	47.42 LT
7.0000	ZIMMER HOLDINGS INC COM	02/22/11	09/24/13	586.73	435.64	151.09 LT
1.0000	WHITEWAVE FOODS CO	07/19/13	09/24/13	20.01	18.74	1.27 ST
2.0000	WABCO HOLDINGS INC	02/11/11	09/24/13	169.43	124.44	44.99 LT
2.0000	WEB COM GROUP INC	06/04/12	09/24/13	62.73	30.46	32.27 LT
2.0000	ALLSTATE CORP DEL COM	03/08/11	10/25/13	106.77	63.69	43.08 LT
1.0000	A N S Y S INC COM	05/26/11	10/25/13	86.93	57.10	29.83 LT
16.0000	AMAZON COM INC COM	03/08/11	10/25/13	5,728.70	2,691.52	3,037.18 LT
19.0000	ARM HLDGS PLC SPD ADR	05/10/12	10/25/13	906.52	466.53	439.99 LT
1.0000	ALTERA CORP COM	05/26/11	10/25/13	33.26	47.09	(13.83) LT
2.0000	ALIGN TECH INC DEL COM	01/31/13	10/25/13	112.35	63.46	48.89 ST
1.0000	ARTISAN PARTNERS ASSET	03/08/13	10/25/13	56.83	40.11	16.72 ST
2.0000	ACORDA THERAPEUTICS INC	10/08/12	10/25/13	66.13	52.14	13.99 LT
7.0000	AMER EXPRESS COMPANY	03/16/11	10/25/13	569.37	298.94	270.43 LT
1.0000	AEGERION PHARM INC	08/12/13	10/25/13	90.80	93.82	N/C
2.0000	AMGEN INC COM PV \$0.0001	04/28/11	10/25/13	232.47	113.92	118.55 LT
10.0000	ARCHER DANIELS MIDLD	02/22/11	10/25/13	397.19	372.50	24.69 LT
78.0000	ARIAD P NEW COM\$0.001RTD	03/15/12	10/09/13	397.47	1,209.50	(812.03) LT
8.0000	ARIAD P NEW COM\$0.001RTD	05/03/12	10/09/13	40.76	133.92	(93.16) LT
25.0000	ARIAD P NEW COM\$0.001RTD	05/14/12	10/09/13	127.40	425.12	(297.72) LT

PLEASE SEE REVERSE SIDE

ROCKCARES NORRIS-ROCABERTE FAMILY FOUNDATION
 EIN: 77-0539237
 MERRILL LYNCH ACCTOUNT 808-02106

EMASM Fiscal Statement

ROCKCARES THE NORRIS-

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
40.0000	ARIAD P NEW COM\$0.001RTD	05/31/12	10/09/13	203.84	648.58	(444.74) LT
1.0000	ARIAD P NEW COM\$0.001RTD	05/07/13	10/09/13	5.10	18.28	(13.18) ST
1.0000	AUTOMATIC DATA PROC	02/22/11	10/25/13	75.05	49.12	25.93 LT
1.0000	BAYER AG SP ADR	02/25/11	10/25/13	126.10	75.53	50.57 LT
1.0000	BUFFALO WILD WINGS INC	08/28/12	10/25/13	124.02	77.40	46.62 LT
7.0000	BJS RESTAURANTS INC	04/28/11	10/25/13	181.68	328.44	(146.76) LT
14.0000	BJS RESTAURANTS INC	05/16/11	10/25/13	363.37	667.66	(304.29) LT
11.0000	BJS RESTAURANTS INC	05/26/11	10/25/13	285.50	545.16	(259.66) LT
8.0000	BJS RESTAURANTS INC	06/03/11	10/25/13	207.64	381.20	(173.56) LT
11.0000	BJS RESTAURANTS INC	06/10/11	10/25/13	285.51	505.01	(219.50) LT
7.0000	BJS RESTAURANTS INC	07/13/11	10/25/13	181.69	388.19	(204.50) LT
10.0000	BJS RESTAURANTS INC	05/03/12	10/25/13	259.56	434.10	(174.54) LT
1.0000	BJS RESTAURANTS INC	05/07/13	10/25/13	25.96	35.04	(9.08) ST
1.0000	BARCLAYS PLC ADR	02/11/11	10/25/13	17.33	20.17	N/C
3.0000	BG GROUP PLC SPON ADR	02/11/11	10/25/13	60.62	73.31	N/C
1.0000	BHP BILLITON LTD ADR	02/11/11	10/25/13	71.69	93.76	(22.07) LT
1.0000	BALLY TECHNOLOGIES INC	02/11/11	10/25/13	70.95	40.16	30.79 LT
2.0000	BROADSOFT INC	02/11/11	10/25/13	70.93	67.19	3.74 LT
8.0000	CARDINAL HEALTH INC OHIO	02/11/11	10/25/13	439.19	331.65	107.54 LT
2.0000	CUBIST PHARMACEUTICALS	11/22/11	10/25/13	125.64	71.94	53.70 LT
3.0000	CREDIT SUISSE GP SP ADR	02/11/11	10/25/13	96.65	125.48	(28.83) LT
8.0000	CONOCOPHILLIPS	02/22/11	10/25/13	585.86	471.19	114.67 LT
4.0000	CENTRICA PLC	03/17/11	10/25/13	92.43	82.68	9.75 LT
4.0000	CENTRICA PLC	04/28/11	10/25/13	92.44	89.20	3.24 LT
1.0000	CHART INDS INC	11/02/12	10/25/13	124.57	64.89	59.68 ST
3.0000	COLFAX CORP	02/08/12	10/25/13	168.77	98.98	69.79 LT
2.0000	CHEMTURA CORP.	04/09/12	10/25/13	47.75	33.36	14.39 LT
2.0000	CORNERSTONE ONDEMAND INC	03/13/13	10/25/13	101.37	69.01	32.36 ST
6.0000	CAVIUM INC	01/20/12	10/25/13	225.14	209.85	15.29 LT
26.0000	CISCO SYSTEMS INC COM	03/08/11	10/25/13	582.92	474.76	108.16 LT
2.0000	CLOROX CO DEL COM	02/22/11	10/25/13	177.65	136.00	41.65 LT
8.0000	COCA COLA COM	02/22/11	10/25/13	311.67	254.36	57.31 LT
1.0000	COHERENT INC CAL	03/06/11	10/25/13	64.93	58.26	6.67 LT
3.0000	COGNEX CORP	08/25/11	10/25/13	93.26	44.01	49.25 LT
1.0000	CYBERONICS INC	02/05/13	10/25/13	59.10	46.66	12.44 ST
1.0000	DOMINOS PIZZA INC	08/15/12	10/25/13	67.38	34.21	33.17 LT
23.0000	DANONE-SPONS ADR	02/25/11	10/25/13	351.43	286.35	65.08 LT
17.0000	DANONE-SPONS ADR	03/08/11	10/25/13	259.76	211.31	48.45 LT
6.0000	DU PONT E I DE NEMOURS	02/11/11	10/25/13	369.17	327.90	41.27 LT
43.0000	EZCHIP SEMICONDUCTOR LTD	08/08/12	09/26/13	1,087.33	1,275.11	(187.78) LT

PLEASE SEE REVERSE SIDE
 Page 251 of 260
 Statement Period Year Ending 12/31/13
 Account No. 808-02106

ROCKCARES NORRIS-ROCABERTE FAMILY FOUNDATION
 EIN 77-0539237
 MERRILL LYNCH ACCTOUNT 808-02106

EMA Fiscal Statement

ROCKCARES THE NORRIS-

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
1 0000	EVERTEC INC	04/15/13	10/25/13	23 12	20.13	2.99 ST
5 0000	EXPEDITORS INTL WASH INC	02/11/11	10/25/13	230 34	268 08	(37 74) LT
1 0000	FRESEMIUS MEDICAL CARE	02/11/11	10/25/13	33 75	31 09	2.66 LT
2 0000	FACTSET RESH SYS INC	03/08/11	10/25/13	218.25	208 24	10 01 LT
1 0000	FOSTER WHEELER AG	10/01/13	10/25/13	27 18	26 82	0.36 ST
5 0000	FINISAR CORPORATION	06/21/13	10/25/13	118 35	81 16	37 19 ST
4 0000	FORTINET INC	12/29/11	10/25/13	82 19	86.70	(4.51) LT
1 0000	FRESH MKT INC	03/11/11	10/25/13	52.32	40 49	11.83 LT
1 0000	FRESH MKT INC	03/16/11	10/25/13	52.33	41.52	10.81 LT
36 0000	FUSION-IO INC COM	04/13/12	10/09/13	488.20	1,005.89	(517 69) LT
49 0000	FUSION-IO INC COM	04/27/12	10/09/13	664 49	1,374.52	(710.03) LT
10 0000	FUSION-IO INC COM	05/03/12	10/09/13	135.61	235.60	(99.99) LT
22 0000	FUSION-IO INC COM	11/01/12	10/09/13	298 34	542 44	(244.10) ST
46 0000	FUSION-IO INC COM	11/02/12	10/09/13	623.82	1,129 85	(506.03) ST
1 0000	FUSION-IO INC COM	05/07/13	10/09/13	13 57	18 17	(4.60) ST
4 0000	FRANKLIN RES INC	02/22/11	10/25/13	219 12	167 16	51.96 LT
1 0000	GULFPORT ENERGY NEW\$0 01	10/20/11	10/25/13	62 39	30 06	32.33 LT
4 0000	GREENHILL COMPANY INC	06/27/11	10/25/13	207 33	210 67	(3.34) LT
2 0000	GEO GROUP INC	03/08/11	10/25/13	72 59	49 68	22 91 LT
1 0000	GOOGLE INC CL A	02/25/11	10/25/13	1,019.14	612 52	406 62 LT
2 0000	GEOSPACE TECHNOLOGIES	04/28/11	10/25/13	175 25	93 99	81 26 LT
3 0000	GENESCO INC	02/25/11	10/25/13	207 62	121 98	85.64 LT
2 0000	HEXCEL CORP NEW COM	10/07/11	10/25/13	85.13	45.01	40 12 LT
10 0000	HALLIBURTON COMPANY	06/19/12	10/25/13	514.89	295 08	219.81 LT
4 0000	HMS HLDGS CORP	03/07/13	10/25/13	84.27	125.64	(41 37) ST
1 0000	HAIN CELESTIAL GROUP INC	07/16/13	10/25/13	83.69	73 16	10.53 ST
2 0000	IPG PHOTONICS CORP DEL	11/07/11	10/25/13	127 45	103 45	24 00 LT
5 0000	JETBLUE AIRWAYS CORP DEL	02/11/11	10/25/13	37 89	30 45	7 44 LT
4 0000	LIFELOCK INC	04/01/13	10/25/13	56 59	37 36	19 23 ST
8 0000	LEGG MASON INC	02/22/11	10/25/13	297.83	288.24	9.59 LT
2 0000	LOUISIANA PAC CORP	06/22/12	10/25/13	35 55	20 73	14 82 LT
16 0000	LOWE'S COMPANIES INC	03/16/11	10/25/13	800 04	420 58	379 46 LT
3 0000	LOWE'S COMPANIES INC	03/17/11	10/25/13	350 03	182 32	167 71 LT
7 0000	MADDEN STEVEN LTD	02/11/11	10/25/13	104 78	56.25	48.53 LT
4 0000	MEDASSETS INC	02/11/11	10/25/13	104 55	81.32	23 23 LT
6 0000	MEDADATA SOLUTIONS INC	03/15/11	10/25/13	739 31	127 89	611 42 LT
2 0000	MERCK AND CO INC SHS	02/22/11	10/25/13	92 07	64 71	27 36 LT
6 0000	MOTOROLA SOLUTIONS INC	02/22/11	10/25/13	377 51	229 26	148 25 LT
6 0000	MONSTER BEVERAGE CORP	04/09/13	10/25/13	349 10	316 58	32 52 ST
14 0000	MONDELEZ INTERNATIONAL	02/22/11	10/25/13	466 75	286 07	180 68 LT

PLEASE SEE REVERSE SIDE
 Page 252 of 260
 Statement Period Year Ending 12/31/13
 Account No 808-02106

ROCKCARES NORRIS-ROCABERTE FAMILY FOUNDATION
 EIN 77-0539237
 MERRILL LYNCH ACCTOUNT 808-02106

EMA Fiscal Statement

ROCKCARES THE NORRIS-

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
20 0000	MARSH & MCLENNAN COS INC	02/22/11	10/25/13	933.23	608.29	324.94 LT
14 0000	MICROSOFT CORP	02/25/11	10/25/13	500.54	373.36	127.18 LT
1 0000	NIDEC CORPORATION ADR	02/11/11	10/25/13	23.60	23.57	0.03 LT
1 0000	NORTHWEST PIPE CO	02/11/11	10/25/13	36.32	24.05	12.27 LT
1 0000	NICE SYSTS LTD SPSP ADR	03/27/12	10/25/13	41.55	39.49	2.06 LT
7 0000	NOVARTIS ADR	02/22/11	10/25/13	550.96	398.42	152.54 LT
1 0000	NESTLE S A REP RG SH ADR	02/22/11	10/25/13	73.20	55.75	17.45 LT
10 0000	NORTHROP GRUMMAN CORP	02/25/11	10/25/13	1,082.38	601.45	480.93 LT
12 0000	NORTHROP GRUMMAN CORP	03/08/11	10/25/13	1,298.86	726.25	572.61 LT
1 0000	OPENTABLE INC	05/23/13	10/25/13	70.07	67.27	2.80 ST
2 0000	OASIS PETE INC NEW	08/25/11	10/25/13	108.21	48.15	60.06 LT
16 0000	ORACLE CORP \$0 01 DEL	02/22/11	10/25/13	530.87	524.29	6.58 LT
1 0000	PAREXEL INTRNL CORP	02/11/11	10/25/13	53.52	21.78	31.74 LT
2 0000	PANTRY INC	03/02/12	10/25/13	25.51	24.73	0.78 LT
2 0000	PINNACLE ENTMT INC COM	10/28/11	10/25/13	48.41	22.59	25.82 LT
1 0000	PROASSURANCE CORP	06/08/12	10/25/13	46.46	44.68	1.78 LT
2 0000	PACIFIC DRILLING S.A	02/21/12	10/25/13	23.15	21.92	1.23 LT
2 0000	PTC INC SHS	12/28/12	10/25/13	54.57	45.31	9.26 ST
1 0000	PINNACLE FOOD INC DEL	04/01/13	10/25/13	27.52	22.79	4.73 ST
2 0000	PROCTER & GAMBLE CO	02/22/11	10/25/13	159.93	127.79	32.14 LT
14 0000	QJALCOMM INC	02/22/11	10/25/13	947.64	814.35	133.29 LT
1 0000	RTI INTL METALS INC OHIO	07/15/11	10/25/13	34.62	32.48	2.14 LT
1 0000	ROYAL DUTCH SHELL PLC	02/11/11	10/25/13	68.70	68.22	0.48 LT
2 0000	REALPAGE INC	10/01/13	10/25/13	50.65	48.69	1.96 ST
24 0000	SEI INVT CO PA PV \$0 01	05/16/11	10/25/13	802.79	549.99	252.80 LT
1 0000	SAP AG SHS	02/25/11	10/25/13	79.48	59.74	19.74 LT
4 0000	SCHLUMBERGER LTD	02/22/11	10/25/13	370.91	366.63	4.28 LT
1 0000	SALIX PHRMCTCLS LTD COM	02/25/11	10/25/13	73.99	33.33	40.66 LT
3 0000	SABMILLER PLC SPON ADR	04/16/12	10/25/13	157.07	122.34	34.73 LT
2 0000	SIRONA DENTAL SYSTEMS	02/11/11	10/25/13	138.67	99.29	39.38 LT
34 0000	SOURCEFIRE INC	02/19/13	10/09/13	2,583.99	1,484.44	1,099.55 ST
1 0000	SOURCEFIRE INC	05/07/13	10/09/13	76.01	54.73	21.28 ST
11 0000	SHFL ENTERTAINMENT INC	03/08/11	10/02/13	253.08	101.53	151.55 LT
7 0000	SHFL ENTERTAINMENT INC	03/11/11	10/02/13	161.05	67.97	93.08 LT
24 0000	SHFL ENTERTAINMENT INC	03/16/11	10/02/13	552.17	225.83	326.34 LT
15 0000	SHFL ENTERTAINMENT INC	03/17/11	10/02/13	345.11	157.60	187.51 LT
18 0000	SHFL ENTERTAINMENT INC	04/28/11	10/02/13	414.13	194.22	219.91 LT
25 0000	SHFL ENTERTAINMENT INC	05/16/11	10/02/13	575.18	268.75	306.43 LT
22 0000	SHFL ENTERTAINMENT INC	05/26/11	10/02/13	506.16	234.30	271.86 LT
15 0000	SHFL ENTERTAINMENT INC	06/03/11	10/02/13	345.11	155.85	189.26 LT

ROCKCARES: NORRIS-ROCABERTE FAMILY FOUNDATION
 EIN 77-0539237
 MERRILL LYNCH ACCTOUNT 808-02106

EMA Fiscal Statement

ROCKCARES THE NORRIS-

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
22,000	SHFL ENTERTAINMENT INC	06/20/11	10/02/13	506.17	202.01	304.16 LT
30,000	SHFL ENTERTAINMENT INC	01/24/12	10/02/13	690.23	378.85	311.38 LT
20,000	SHFL ENTERTAINMENT INC	05/03/12	10/02/13	480.16	351.00	109.16 LT
2,000	SHFL ENTERTAINMENT INC	05/07/13	10/02/13	46.02	31.83	14.19 ST
2,000	SEAWORLD ENTMT INC	04/22/13	10/25/13	59.71	66.64	(6.93) ST
2,000	SOTHEBY'S (DELAWARE)	03/08/11	10/25/13	106.15	97.46	8.69 LT
1,000	STIFEL FINANCIAL CORP	08/29/11	10/25/13	41.71	30.43	11.28 LT
9,000	TOTAL S.A. SP ADR	03/08/11	10/22/13	547.09	545.61	1.48 LT
6,000	TOTAL S.A. SP ADR	03/11/11	10/22/13	364.72	353.52	11.20 LT
9,000	TOTAL S.A. SP ADR	03/16/11	10/22/13	547.09	497.14	49.95 LT
8,000	TOTAL S.A. SP ADR	03/17/11	10/22/13	486.30	456.37	29.93 LT
8,000	TOTAL S.A. SP ADR	04/28/11	10/22/13	486.30	508.72	(22.42) LT
13,000	TOTAL S.A. SP ADR	05/16/11	10/22/13	790.25	747.52	42.73 LT
8,000	TOTAL S.A. SP ADR	05/26/11	10/22/13	486.31	447.33	38.98 LT
6,000	TOTAL S.A. SP ADR	06/03/11	10/22/13	364.73	335.35	29.38 LT
7,000	TOTAL S.A. SP ADR	05/03/12	10/22/13	425.52	332.76	92.76 LT
20,000	TOTAL S.A. SP ADR	05/15/12	10/22/13	1,215.78	877.40	338.38 LT
1,000	TEVA PHARMACTCL INDS ADR	02/11/11	10/25/13	41.63	51.21	N/C
1,000	TEXAS INDUST INC	10/05/12	10/25/13	55.47	42.75	12.72 LT
4,000	TERADYNE INC	02/11/11	10/25/13	68.16	72.16	(4.00) LT
1,000	TEAM HEALTH HOLDINGS INC	01/29/13	10/25/13	43.50	34.12	9.38 ST
3,000	THORATEC CORP COM NEW	05/16/11	10/25/13	119.03	101.58	17.45 LT
1,000	TRIUMPH GROUP INC NEW	03/08/11	10/25/13	73.75	44.31	29.44 LT
1,000	TRIMAS CORP	09/11/13	10/25/13	41.54	35.98	5.56 ST
4,000	TWO HARBORS INVT CORP	07/28/11	10/25/13	38.88	39.86	(0.98) LT
2,000	TULLOW OIL PLC SHS	03/11/11	10/25/13	15.75	22.70	N/C
1,000	TULLOW OIL PLC SHS	03/16/11	10/25/13	7.88	10.95	N/C
2,000	THERMON GROUP HLDGS INC	09/27/12	10/25/13	48.75	49.81	(1.06) LT
1,000	TUMI HLDGS INC	11/09/12	10/25/13	20.88	21.17	(0.29) ST
1,000	TEREX CORP DEL NEW COM	01/27/12	10/25/13	35.02	20.52	14.50 LT
1,000	UNITED NAT FOODS INC	01/09/12	10/25/13	73.37	40.84	32.53 LT
1,000	ULTIMATE SOFTWARE GROUP	01/18/13	10/25/13	151.91	95.16	56.75 ST
1,000	UNITED THERAPEUTICS CORP	11/21/11	10/25/13	82.56	40.63	41.93 LT
6,000	UNITED PARCEL SVC CL B	02/22/11	10/25/13	567.41	447.09	120.32 LT
5,000	U S AWAYS GROUP INC	09/11/12	10/25/13	115.14	59.61	55.53 LT
3,000	USG CORP COM NEW	07/25/12	10/25/13	84.33	50.99	33.34 LT
2,000	VEECO INSTRUMENTS INC	02/11/11	10/25/13	62.55	105.52	(42.97) LT
2,000	VARIAN MEDICAL SYS INC	11/02/12	10/25/13	146.13	140.65	5.48 ST
3,000	VISA INC CL A SHRS	04/28/11	10/25/13	607.40	234.69	372.71 LT
3,000	VITAMIN SHOPPE INC	02/25/11	10/25/13	132.98	104.55	28.43 LT

PLEASE SEE REVERSE SIDE
 Page 254 of 260
 Statement Period Year Ending 12/31/13
 Account No. 808-02106

ROCKCARES: NORRIS-ROCABERTE FAMILY FOUNDATION
 EIN. 77-0539237
 MERRILL LYNCH ACCTOUNT 808-02106

EMA Fiscal Statement

ROCKCARES: THE NORRIS-

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
3 0000	WASTE CONNECTIONS INC	02/11/11	10/25/13	132.59	87.78	44.81 LT
6 0000	ZIMMER HOLDINGS INC COM	02/22/11	10/25/13	517.61	373.41	144.20 LT
3 0000	WHITEWAVE FOODS CO	07/19/13	10/25/13	60.68	56.22	4.46 ST
78 0000	WEB COM GROUP INC	06/04/12	10/17/13	2,341.54	1,187.87	1,153.67 ST
27 0000	BANCO BILBAO VIZCAYA	02/11/11	11/06/13	309.83	327.08	(17.25) LT
22 0000	BANCO BILBAO VIZCAYA	02/22/11	11/06/13	252.45	265.76	(13.31) LT
18 0000	BANCO BILBAO VIZCAYA	02/25/11	11/06/13	206.55	221.76	(15.21) LT
22 0000	BANCO BILBAO VIZCAYA	03/08/11	11/06/13	252.46	258.50	(6.04) LT
10 0000	BANCO BILBAO VIZCAYA	03/11/11	11/06/13	114.75	118.20	(3.45) LT
1 0000	BANCO BILBAO VIZCAYA	03/16/11	11/06/13	11.48	11.69	(0.21) LT
22 0000	BANCO BILBAO VIZCAYA	03/16/11	11/08/13	247.83	257.18	(9.35) LT
12 0000	BANCO BILBAO VIZCAYA	03/17/11	11/08/13	135.18	144.84	(9.66) LT
17 0000	BANCO BILBAO VIZCAYA	04/28/11	11/08/13	191.51	216.75	(25.24) LT
27 0000	BANCO BILBAO VIZCAYA	05/16/11	11/08/13	304.16	308.88	(4.72) LT
19 0000	BANCO BILBAO VIZCAYA	05/26/11	11/08/13	214.04	211.66	2.38 LT
14 0000	BANCO BILBAO VIZCAYA	06/03/11	11/08/13	157.72	164.50	(6.78) LT
23 0000	BANCO BILBAO VIZCAYA	06/20/11	11/08/13	259.12	258.75	0.37 LT
24 0000	BANCO BILBAO VIZCAYA	07/13/11	11/11/13	273.38	247.35	26.03 LT
18 0000	BANCO BILBAO VIZCAYA	05/03/12	11/11/13	205.04	115.37	89.67 LT
4 0000	BANCO BILBAO VIZCAYA	05/10/13	11/11/13	45.57	43.43	2.14 ST
11 0000	BASF SE SPONSORED ADR	06/14/12	11/06/13	1,128.71	764.89	363.82 LT
4 0000	CANON INC ADR REP5SH	03/08/11	11/06/13	124.66	187.35	(62.69) LT
6 0000	CANON INC ADR REP5SH	03/11/11	11/06/13	187.00	273.06	(86.06) LT
9 0000	CANON INC ADR REP5SH	03/16/11	11/06/13	280.50	385.32	(104.82) LT
4 0000	CANON INC ADR REP5SH	03/17/11	11/06/13	124.67	174.48	(49.81) LT
4 0000	CANON INC ADR REP5SH	04/28/11	11/06/13	124.67	186.72	(62.05) LT
1 0000	CASH AMERICAN INTL INC	03/16/11	11/19/13	38.34	41.61	(3.27) LT
6 0000	CASH AMERICAN INTL INC	03/17/11	11/19/13	230.03	250.32	(20.29) LT
8 0000	CASH AMERICAN INTL INC	04/28/11	11/19/13	306.72	373.76	(67.04) LT
5 0000	CASH AMERICAN INTL INC	05/16/11	11/19/13	191.71	239.35	(47.64) LT
4 0000	CASH AMERICAN INTL INC	05/16/11	11/20/13	150.51	191.48	(40.97) LT
9 0000	CASH AMERICAN INTL INC	05/26/11	11/20/13	338.64	451.17	(112.53) LT
6 0000	CASH AMERICAN INTL INC	06/03/11	11/20/13	225.77	300.30	(74.53) LT
7 0000	CASH AMERICAN INTL INC	06/10/11	11/20/13	263.40	356.02	(92.62) LT
1 0000	CASH AMERICAN INTL INC	06/10/11	11/21/13	37.14	50.86	(13.72) LT
7 0000	CASH AMERICAN INTL INC	07/13/11	11/21/13	259.98	406.91	(146.93) LT
5 0000	CASH AMERICAN INTL INC	05/03/12	11/21/13	185.70	232.35	(46.65) LT
1 0000	CASH AMERICAN INTL INC	05/07/13	11/21/13	37.15	45.34	(8.19) ST
6 0000	CLOROX CO DEL COM	02/22/11	11/18/13	555.73	408.00	147.73 LT
13 0000	CLOROX CO DEL COM	02/25/11	11/18/13	1,204.08	883.93	320.15 LT

ROCKCARES: NORRIS-ROCARBERTE FAMILY FOUNDATION
 EIN: 77-0539237
 MERRILL LYNCH ACCTOUNT 808-02106

EMA Fiscal Statement

ROCKCARES: THE NORRIS-

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
11 0000	CLOROX CO DEL COM	03/08/11	11/18/13	1,018.84	752.18	266.66 LT
7 0000	CLOROX CO DEL COM	03/11/11	11/18/13	648.35	476.00	172.35 LT
9 0000	CLOROX CO DEL COM	03/16/11	11/18/13	833.59	608.40	225.19 LT
8 0000	CLOROX CO DEL COM	03/17/11	11/18/13	740.97	543.68	197.29 LT
11 0000	CLOROX CO DEL COM	04/28/11	11/18/13	1,018.85	761.75	257.10 LT
10 0000	CLOROX CO DEL COM	05/16/11	11/18/13	926.22	708.80	217.42 LT
1 0000	CLOROX CO DEL COM	05/26/11	11/18/13	92.63	69.58	23.05 LT
12 0000	CLOROX CO DEL COM	05/26/11	11/19/13	1,107.40	834.96	272.44 LT
7 0000	CLOROX CO DEL COM	06/03/11	11/19/13	645.99	472.92	173.07 LT
8 0000	CLOROX CO DEL COM	06/10/11	11/19/13	738.27	533.20	205.07 LT
1 0000	CLOROX CO DEL COM	06/20/11	11/19/13	92.29	67.85	24.44 LT
4 0000	CLOROX CO DEL COM	06/20/11	11/20/13	370.58	271.40	99.18 LT
4 0000	CLOROX CO DEL COM	06/24/11	11/20/13	370.58	266.04	104.54 LT
7 0000	CLOROX CO DEL COM	07/13/11	11/20/13	370.58	266.04	104.54 LT
5 0000	EXPEDITORS INTL WASH INC CXL	02/11/11	10/25/13	648.53	486.08	162.45 LT
5 0000	EXPEDITORS INTL WASH INC	02/11/11	10/25/13	230.34	268.08	37.74 LT
3 0000	FRESH MKT INC	03/16/11	11/22/13	123.27	268.08	N/C
4 0000	FRESH MKT INC	03/17/11	11/22/13	124.56	124.56	(1.29) LT
7 0000	FRESH MKT INC	04/28/11	11/22/13	164.37	164.88	(0.51) LT
7 0000	FRESH MKT INC	04/28/11	11/22/13	287.65	292.46	(4.81) LT
1 0000	FRESH MKT INC	04/28/11	11/22/13	287.66	292.38	(4.72) LT
16 0000	GENESCO INC	02/25/11	11/19/13	71.22	40.66	30.56 LT
2 0000	GENESCO INC	03/08/11	11/19/13	1,139.59	644.16	495.43 LT
5 0000	GENESCO INC	03/11/11	11/19/13	142.45	77.98	64.47 LT
3 0000	GENESCO INC	03/11/11	11/20/13	358.61	194.95	163.66 LT
15 0000	GENESCO INC	03/16/11	11/20/13	215.17	113.46	101.71 LT
13 0000	LEGG MASON INC	02/22/11	11/18/13	593.62	540.45	53.17 LT
26 0000	LEGG MASON INC	02/22/11	11/19/13	509.34	468.39	40.95 LT
8 0000	LEGG MASON INC	02/25/11	11/19/13	1,018.70	936.38	82.32 LT
35 0000	LEGG MASON INC	02/25/11	11/20/13	312.50	288.12	24.38 LT
1 0000	LEGG MASON INC	03/08/11	11/20/13	1,367.21	1,255.10	112.11 LT
20 0000	LEGG MASON INC	03/11/11	11/21/13	39.32	35.86	3.46 LT
27 0000	LEGG MASON INC	03/16/11	11/21/13	786.41	680.80	105.61 LT
20 0000	LEGG MASON INC	03/17/11	11/21/13	1,061.66	891.81	169.85 LT
6 0000	LEGG MASON INC	03/17/11	11/21/13	786.42	665.40	121.02 LT
34 0000	LEGG MASON INC	04/28/11	11/22/13	234.73	199.62	35.11 LT
7 0000	LEGG MASON INC	05/16/11	11/22/13	1,330.19	1,264.80	65.39 LT
22 0000	LEGG MASON INC	05/16/11	11/25/13	862.70	237.93	35.94 LT
34 0000	LEGG MASON INC	05/26/11	11/25/13	1,134.39	747.78	114.92 LT
1 0000	PAREXEL INTRNL CORP	02/11/11	11/01/13	45.55	1,134.39	198.89 LT
					21.77	23.78 LT

ROCKCARES NORRIS-ROCABERTE FAMILY FOUNDATION
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EMASM Fiscal Statement

ROCKCARES: THE NORRIS-

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
9 0000	PAREXEL INTRNL CORP	02/25/11	11/01/13	409.98	208.89	201.09 LT
6 0000	PAREXEL INTRNL CORP	03/08/11	11/19/13	247.81	146.24	101.57 LT
4 0000	PAREXEL INTRNL CORP	03/11/11	11/19/13	165.20	96.84	68.36 LT
3 0000	PAREXEL INTRNL CORP	03/16/11	11/19/13	123.90	70.89	53.01 LT
3 0000	PAREXEL INTRNL CORP	03/17/11	11/19/13	123.90	69.83	54.07 LT
4 0000	PAREXEL INTRNL CORP	04/28/11	11/19/13	165.21	110.08	55.13 LT
5 0000	PAREXEL INTRNL CORP	05/16/11	11/19/13	206.51	123.45	83.06 LT
8 0000	PAREXEL INTRNL CORP	06/03/11	11/19/13	330.42	197.44	132.98 LT
1 0000	PAREXEL INTRNL CORP	10/11/11	11/19/13	41.31	21.06	20.25 LT
46 0000	PINNACLE ENMT INC COM	10/28/11	11/19/13	1,084.83	519.47	565.36 LT
5 0000	SALIX PHRMCTCLS LTD COM	02/25/11	11/08/13	413.37	166.65	246.72 LT
10 0000	SALIX PHRMCTCLS LTD COM	02/28/11	11/08/13	826.76	337.39	489.37 LT
6 0000	SALIX PHRMCTCLS LTD COM	03/08/11	11/08/13	496.06	192.84	303.22 LT
3 0000	SALIX PHRMCTCLS LTD COM	03/11/11	11/08/13	248.03	100.92	147.11 LT
8 0000	SOTHEBY'S (DELAWARE)	03/08/11	11/12/13	421.71	389.84	31.87 LT
6 0000	SOTHEBY'S (DELAWARE)	03/11/11	11/12/13	316.29	283.74	32.55 LT
7 0000	SOTHEBY'S (DELAWARE)	03/16/11	11/12/13	369.01	306.25	62.76 LT
3 0000	SOTHEBY'S (DELAWARE)	03/17/11	11/12/13	158.15	131.40	26.75 LT
1 0000	TRIUMPH GROUP INC NEW	03/08/11	11/01/13	71.91	44.30	27.61 LT
4 0000	TRIUMPH GROUP INC NEW	03/11/11	11/01/13	287.66	172.70	114.96 LT
6 0000	TRIUMPH GROUP INC NEW	03/16/11	11/01/13	431.49	250.35	181.14 LT
4 0000	TRIUMPH GROUP INC NEW	03/17/11	11/01/13	287.66	166.44	121.22 LT
8 0000	TRIUMPH GROUP INC NEW	04/28/11	11/01/13	575.33	338.04	237.29 LT
6 0000	TRIUMPH GROUP INC NEW	05/16/11	11/01/13	431.49	289.89	141.60 LT
8 0000	TRIUMPH GROUP INC NEW	05/26/11	11/01/13	575.33	366.92	208.41 LT
4 0000	TRIUMPH GROUP INC NEW	06/03/11	11/01/13	287.66	183.78	103.88 LT
6 0000	TRIUMPH GROUP INC NEW	06/10/11	11/01/13	431.50	276.09	155.41 LT
10 0000	TRIUMPH GROUP INC NEW	05/03/12	11/01/13	719.17	666.00	53.17 LT
1 0000	TRIUMPH GROUP INC NEW	05/07/13	11/01/13	71.92	73.83	(1.91) ST
28 0000	BROADSOFT INC	10/11/11	12/09/13	704.06	940.73	(236.67) LT
6 0000	BROADSOFT INC	12/20/11	12/09/13	150.87	208.41	(57.54) LT
3 0000	BROADSOFT INC	05/03/12	12/09/13	75.43	135.26	(59.83) LT
18 0000	BROADSOFT INC	03/04/13	12/09/13	452.62	386.43	66.19 ST
14 0000	BROADSOFT INC	03/04/13	12/10/13	348.84	300.55	48.29 ST
3 0000	LEGG MASON INC	05/26/11	11/26/13	117.55	100.09	17.46 LT
20 0000	LEGG MASON INC	06/03/11	11/26/13	783.69	642.40	141.29 LT
27 0000	LEGG MASON INC	06/10/11	11/26/13	1,057.98	837.00	220.98 LT
7 0000	LEGG MASON INC	06/20/11	11/26/13	274.30	221.76	52.54 LT
8 0000	LEGG MASON INC	06/20/11	11/27/13	314.00	253.44	60.56 LT
12 0000	LEGG MASON INC	06/24/11	11/27/13	471.00	375.00	96.00 LT

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EMA Fiscal Statement

ROCKCARES THE NORRIS.

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
10 0000	LEGG MASON INC	07/13/11	11/27/13	392.50	326.50	66.00 LT
32 0000	OPNET TECHNOLOGIES INC	11/11/11	01/18/13	1,169.60	1,161.68	(7.92) LT
32 0000	OPNET TECHNOLOGIES INC	11/11/11	01/18/13	1,339.37	1,161.68	177.69 LT
21 0000	OPNET TECHNOLOGIES INC	02/16/12	01/18/13	767.55	620.38	(147.17) ST
21 0000	OPNET TECHNOLOGIES INC	02/16/12	01/18/13	878.96	620.38	258.58 ST
5 0000	OPNET TECHNOLOGIES INC	05/03/12	01/18/13	182.75	117.77	(64.98) ST
5 0000	OPNET TECHNOLOGIES INC	05/03/12	01/18/13	209.28	117.77	91.51 ST
16 0000	RIVERBED TECHNOLOGY INC	01/18/13	07/31/13	251.22	N/A	N/C
16 0000	RIVERBED TECHNOLOGY INC	01/18/13	07/31/13	251.22	306.00	(54.78) ST
3 0000	SIRONA DENTAL SYSTEMS	02/11/11	12/10/13	202.13	148.93	53.20 LT
8 0000	SIRONA DENTAL SYSTEMS	02/22/11	12/10/13	539.02	394.52	144.50 LT
7 0000	SIRONA DENTAL SYSTEMS	02/25/11	12/10/13	471.66	351.33	120.33 LT
1 0000	SIRONA DENTAL SYSTEMS	02/25/11	12/11/13	67.11	50.19	16.92 LT
8 0000	SIRONA DENTAL SYSTEMS	03/08/11	12/11/13	536.90	417.20	119.70 LT
5 0000	SIRONA DENTAL SYSTEMS	03/11/11	12/11/13	335.56	247.05	88.51 LT
3 0000	SIRONA DENTAL SYSTEMS	03/16/11	12/11/13	201.34	146.97	54.37 LT
71 0000	SEAWORLD ENTMT INC	04/22/13	12/12/13	2,087.90	2,365.71	(277.81) ST
12 0000	SEAWORLD ENTMT INC	04/23/13	12/12/13	352.89	395.85	(42.96) ST
2 0000	SEAWORLD ENTMT INC	05/07/13	12/12/13	58.82	65.85	(7.03) ST
105 0000	VIROPHARMA INC COM	01/22/13	12/05/13	5,207.79	2,847.28	2,360.51 ST
2 0000	VIROPHARMA INC COM	05/07/13	12/05/13	99.20	49.28	49.92 ST
				436,777	366,262	70,515

PLEASE SEE REVERSE SIDE
 Page 258 of 260
 Statement Period Year Ending 12/31/13
 Account No 808-02106