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Return of Private Foundation

OMB No 1545-0052

2013

Department of the Treasury
Internal Revenue Service

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation THE SHANBROM FAMILY FOUNDATION C/O WILLIAM J. SHANBROM		A Employer identification number 77-0518326
Number and street (or P.O. box number if mail is not delivered to street address) 603 W. OJAI AVE. SUITE B	Room/suite	B Telephone number (805) 646-6864
City or town, state or province, country, and ZIP or foreign postal code OJAI, CA 93023		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 13,286,572. (Part I, column (d) must be on cash basis)	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B interest on savings and temporary cash investments		322.	322.		STATEMENT 1
3 Dividends and interest from securities		213,717.	213,717.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		260,844.			
b Gross sales price for all assets on line 6a 533,000.					
7 Capital gain net income (from Part IV, line 2)			260,844.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		474,883.	474,883.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		4,395.	0.		4,395.
c Other professional fees STMT 4		7,718.	7,718.		0.
17 Interest					
18 Taxes STMT 5		1,150.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 6		85.	0.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		13,348.	7,718.		4,395.
25 Contributions, gifts, grants paid		519,125.			519,125.
26 Total expenses and disbursements. Add lines 24 and 25		532,473.	7,718.		523,520.
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements		-57,590.			
b Net investment income (if negative, enter -0-)			467,165.		
c Adjusted net income (if negative, enter -0-)				N/A	

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LHA For Paperwork Reduction Act Notice, see instructions

Form 990-PF (2013)

THE SHANBROM FAMILY FOUNDATION

Form 990-PF (2013)

C/O WILLIAM J. SHANBROM

77-0518326

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Part II Balance Sheets		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing				
	2 Savings and temporary cash investments	499,471.	608,296.	608,296.	
	3 Accounts receivable ▶				
	Less: allowance for doubtful accounts ▶				
	4 Pledges receivable ▶				
	Less: allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ▶				
	Less: allowance for doubtful accounts ▶				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U.S. and state government obligations				
	b Investments - corporate stock STMT 7	6,031,585.	5,865,040.	12,678,276.	
	c Investments - corporate bonds				
	Liabilities	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶					
12 Investments - mortgage loans					
13 Investments - other					
14 Land, buildings, and equipment basis ▶					
Less: accumulated depreciation ▶					
15 Other assets (describe ▶)					
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		6,531,056.	6,473,336.	13,286,572.	
17 Accounts payable and accrued expenses					
18 Grants payable					
19 Deferred revenue					
20 Loans from officers, directors, trustees, and other disqualified persons					
21 Mortgages and other notes payable					
22 Other liabilities (describe ▶)					
23 Total liabilities (add lines 17 through 22)	0.	0.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>				
	27 Capital stock, trust principal, or current funds	0.	0.		
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
	29 Retained earnings, accumulated income, endowment, or other funds	6,531,056.	6,473,336.		
30 Total net assets or fund balances	6,531,056.	6,473,336.			
31 Total liabilities and net assets/fund balances	6,531,056.	6,473,336.			

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,531,056.
2 Enter amount from Part I, line 27a	2	-57,590.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	6,473,466.
5 Decreases not included in line 2 (itemize) ▶ ASSET VALUE ADJUSTMENT	5	130.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	6,473,336.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a				
b SEE ATTACHED STATEMENT				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e 533,000.		272,156.	260,844.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e			260,844.	
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	260,844.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8			3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	470,620.	10,278,582.	.045786
2011	464,567.	10,120,326.	.045904
2010	429,195.	9,465,488.	.045343
2009	388,983.	8,554,231.	.045473
2008	277,460.	10,289,525.	.026965
2 Total of line 1, column (d)			2 .209471
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .041894
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 12,067,048.
5 Multiply line 4 by line 3			5 505,537.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 4,672.
7 Add lines 5 and 6			7 510,209.
8 Enter qualifying distributions from Part XII, line 4			8 523,520.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	4,672.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	4,672.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	4,672.
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013		6a	2,800.
b Exempt foreign organizations tax withheld at source		6b	
c Tax paid with application for extension of time to file (Form 8868)		6c	
d Backup withholding erroneously withheld		6d	
7 Total credits and payments. Add lines 6a through 6d		7	2,800.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	1,872.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>		11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address: <u>N/A</u>	13	X	
14	The books are in care of: <u>WILLIAM J. SHANBROM</u> Telephone no: <u>(805) 646-6864</u> Located at: <u>603 W. OJAI AVE., OJAI, CA</u> ZIP+4: <u>93023</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year: <u>N/A</u>	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form 114 F 90-22 1. If "Yes," enter the name of the foreign country: <u></u>	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies		Yes	No
1a	During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here: <u>N/A</u>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years: <u></u>		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) <u>N/A</u>	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here: <u></u>		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) <u>N/A</u>	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to -

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No **N/A** **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No **N/A**

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** **X**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **N/A** **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WILLIAM J. SHANBROM 603 W. OJAI AVE. SUITE B OJAI, CA 93023	PRESIDENT 3.00	0.	0.	0.
SUSAN F. KRABBE 603 W. OJAI AVE. SUITE B OJAI, CA 93023	SECRETARY 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 **0**

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3 Five highest-paid independent contractors for professional services. If none, enter "NONE "

Total number of others receiving over \$50,000 for professional services

Expenses

Amount

2013.02070	THE SHANBROM FAMILY FOUNDAT SHAN	3
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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	11,849,877.
b	Average of monthly cash balances	1b	400,933.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	12,250,810.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	12,250,810.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	183,762.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	12,067,048.
6	Minimum investment return Enter 5% of line 5	6	603,352.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	603,352.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	4,672.
b	Income tax for 2013 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	4,672.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	598,680.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	598,680.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	598,680.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	523,520.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	523,520.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 2/b	5	4,672.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	518,848.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				598,680.
2 Undistributed income, if any, at the end of 2013				
a Enter amount for 2012 only			443,811.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 523,520.				
a Applied to 2012, but not more than line 2a			443,811.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				79,709.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instructions			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				518,971.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(I) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Prior 3 years				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities					
3 Subtract line 2d from line 2e					
Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers.**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc , Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

WILLIAM J. SHANBROM, (805) 646-6864
603 W. OJAI AVE. SUITE B, OJAI, CA 93023

b The form in which applications should be submitted and information and materials they should include

LETTER

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

THE SHANBROM FAMILY FOUNDATION

Form 990-PF (2013)

C/O WILLIAM J. SHANBROM

77-0518326 Page 11

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ANAHEIM BALLET 280 E LINCOLN AVE ANAHEIM, CA 92805		501C3	INTERNATIONAL DANCE FESTIVAL	25,000.
BAINBRIDGE ISLAND MUSEUM OF ART PO BOX 11413 BAINBRIDGE ISLAND, WA 98110		501C3	CAPITAL CAMPAIGN	10,000.
BOYS AND GIRLS CLUB OF VENTURA INC 6020 NICOLLE ST #10 VENTURA, CA 93003		501C3	SUPPORT OF CORE PROGRAMS	5,000.
CAMP KOREY 28901 NE CARNATION FARM RD CARNATION, WA 98014		501C3	CAMP PROGRAM FOR CHILDREN	10,000.
CENTER FOR BIOLOGICAL DIVERSITY INC PO BOX 710 TUCSON, AZ 85702		501C3	POPULATION/ SUSTAINABILITY PROGRAM	10,000.
Total	SEE CONTINUATION SHEET(S)			519,125.
b Approved for future payment				
NONE				
Total				0.

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations)

323621
10-10-13

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?	Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instr. 1)?

☒ Yes ☐ No

Signature of officer or trustee

(Date _____)

► PRESIDENT

**Paid
Preparer
Use Only**

Print/Type preparer's name _____

Preparer's signature

Date _____

Check ☐ if
self-employed

PTIN

ADRINE HAMAMAH

Adriene Parnham

02/27/14

P00869406

Firm's name ► TEMO ARJANI LLP

Firm's EIN ► 95-4149172

Firm's address ► 301 EAST COLORADO BLVD., #426
PASADENA, CA 91101

Phone no 626-578-1978

Form 990-PF (2013)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	7420 SHS LIVE NATION ENTERTAINMENT INC COM	P	VARIOUS	03/20/13
b	10150 SHS SONDE RESOURCES CORP	P	VARIOUS	12/26/13
c	14825 SHS SONDE RESOURCES CORP	P	VARIOUS	12/26/13
d	126 SHS ALCATEL-LUCENT ADR	P	12/20/13	12/23/13
e	PRIMECAP FUND ADMIRAL CAPITAL GAIN DISTRIBUTIONS	P	VARIOUS	VARIOUS
f	WINDSOR II FUND ADM CAPITAL GAIN DISTRIBUTIONS	P	VARIOUS	VARIOUS
g	3032.141 SHS WINDSOR II FUND ADM	P	VARIOUS	11/21/13
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 88,040.		62,335.	25,705.
b 6,214.		15,897.	-9,683.
c 9,076.		74,350.	-65,274.
d 21.			21.
e 185,359.			185,359.
f 44,290.			44,290.
g 200,000.		119,574.	80,426.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
(i) I M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			25,705.
b			-9,683.
c			-65,274.
d			21.
e			185,359.
f			44,290.
g			80,426.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	260,844.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

THE SHANBROM FAMILY FOUNDATION
C/O WILLIAM J. SHANBROM

77-0518326

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
COMMITTEE OF TEN THOUSAND INC 236 MASSACHUSETTS AVE NE #609 WASHINGTON, DC 20002		501C3	BLOOD SAFETY	10,000.
DIRECT RELIEF FOUNDATION 27 S LA PATERA LN GOLETA, CA 93111		501C3	DISASTER RELIEF	20,000.
DRUG POLICY ALLIANCE 131 W 33RD ST 15TH FL NEW YORK, NY 10001		501C3	FUNDING FOR INTERNET RESEARCH	13,125.
ISLAND CONSERVATION 2161 DELAWARE AVE #A SANTA CRUZ, CA 95060		501C3	CONSERVATION OF THREATENED SPECIES	10,000.
LOS PADRES FORESTWATCH INC PO BOX 831 SANTA BARBARA, CA 93102		501C3	CONSERVATION PROGRAMS	8,000.
LIVINGSTON MEMORIAL VISITING NURSE ASSOCIATION 1996 EASTMAN AVE #101 VENTURA, CA 93003		501C3	HOSPICE AND ELDERCARE	10,000.
MUSIC OF REMEMBRANCE PO BOX 27500 SEATTLE, WA 98165		501C3	MUSIC OF HOLOCAUST MUSICIANS	10,000.
OJAI FESTIVALS LTD PO BOX 185 OJAI, CA 93024		501C3	BOARD INITIATIVE, AUDIENCE DEVELOPMENT AND SUPPORT OF MUSIC PERFORMANCES	30,000.
OJAI RAPTOR CENTER PO BOX 182 OAK VIEW, CA 93022		501C3	EDUCATION AND CONSERVATION	10,000.
OJAI VALLEY LAND CONSERVANCY PO BOX 1092 OJAI, CA 93024		501C3	EDUCATION AND CONSERVATION	10,000.
Total from continuation sheets				459,125.

THE SHANBROM FAMILY FOUNDATION
C/O WILLIAM J. SHANBROM

77-0518326

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PACIFIC CHORALE 3621 S HARBOR BLVD #220 SANTA ANA, CA 92704		501C3	FUNDING OF TICHELI PERFORMANCE	30,000.
PACIFIC NORTHWEST BALLET FOUNDATION 301 MERCER ST SEATTLE, WA 98109		501C3	SUPPORT OF BALLET PERFORMANCES	15,000.
PACIFIC SYMPHONY 3631 S HARBOR BLVD #100 SANTA ANA, CA 92704		501C3	SPONSORSHIP OF PERFORMANCES	50,000.
PHILHARMONIC SOCIETY OF ORANGE COUNTY 2082 BUSINESS CENTER DR #100 IRVINE, CA 92612		501C3	SPONSORSHIP OF DEAN COREY LEGACY CONCERTS AND SUPPORT FOR TRIMPIN PROJECT	65,000.
PLANNED PARENTHOOD OF SANTA BARBARA, VENTURA & SAN LUIS OBISPO COUNTIES INC 518 GARDEN ST SANTA BARBARA, CA 93101		501C3	SUPPORT OF PROGRAMS AND SERVICES	10,000.
PLYMOUTH HOUSING GROUP 2113 3RD AVE SEATTLE, WA 98121		501C3	HOUSING FOR HOMELESS	5,000.
RWANDA GIRLS INITIATIVE PO BOX 325 MEDINA, WA 98039		501C3	EDUCATION SCHOLARSHIPS	15,000.
SAN LUIS OBISPO COUNTY COMMUNITY FOUNDATION 550 DANA ST SAN LUIS OBISPO, CA 93401		501C3	STUDENT SERVICES	15,000.
SEATTLE SYMPHONY ORCHESTRA INC PO BOX 21906 SEATTLE, WA 98111		501C3	AUDIENCE DEVELOPMENT	5,000.
TEACH FOUNDATION 214 NORTH 11TH ST HARTSVILLE, SC 29550		501C3	PUBLIC SCHOOL EDUCATION	10,000.
Total from continuation sheets				

THE SHANBROM FAMILY FOUNDATION
C/O WILLIAM J. SHANBROM

77-0518326

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CIVIC BALLET OF SAN LUIS OBISPO INC 3422 MIGUELITO CT SAN LUIS OBISPO, CA 93401		501C3	FUNDING OF BALLET PERFORMANCES	5,000.
THE LIBBEY BOWL FOUNDATION PO BOX 831 OJAI, CA 93024		501C3	SUPPORT OF PERFORMING ARTS VENUE	5,000.
THE WILDERNESS SOCIETY 1615 M ST NW WASHINGTON, DC 20036		501C3	CONSERVATION OF WILDERNESS	15,000.
UNITED CEREBRAL PALSY OF SAN LUIS OBISPO 3620 SACRAMENTO DR #201 SAN LUIS OBISPO, CA 93401		501C3	SOCIAL SKILLS FOR AUTISTIC TEENS	20,000.
VENTANA WILDLIFE SOCIETY 19045 PORTOLA DR SALINAS, CA 93908		501C3	BIRD BANDING/EDUCATION	8,000.
UNITED SERVICE ORGANIZATIONS INC 2111 WILSON BLVD #1200 ARLINGTON, VA 22201		501C3	SERVICES FOR AMERICAN MILITARY	10,000.
YOUTH AMERICA GRAND PRIX INC 115 W 29TH ST #803 NEW YORK, NY 10010		501C3	BALLET SCHOLARSHIPS	5,000.
UCI FOUNDATION 100 THEORY #250 IRVINE, CA 92617		501C3	MEDICAL SCHOOL SCHOLARSHIPS	20,000.
WIKIMEDIA FOUNDATION INC 149 NEW MONTGOMERY 3RD FL SAN FRANCISCO, CA 94105		501C3	EDUCATIONAL GRANT	10,000.
TREE HOUSE 2100 24TH AVE S #200 SEATTLE, WA 98144		501C3	FOSTER CARE	10,000.
Total from continuation sheets				

323631
05-01-13

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
COUNTY COMMERCE BANK	285.
WELLS FARGO	2.
WEST COAST ASSET MANAGEMENT	35.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	322.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
VANGUARD	112,532.	0.	112,532.
WELLS FARGO	71,625.	0.	71,625.
WEST COAST ASSET MANAGEMENT	29,560.	0.	29,560.
TOTAL TO FM 990-PF, PART I, LN 4	213,717.	0.	213,717.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX RETURN PREPARATION FEES	4,395.	0.		4,395.
TO FORM 990-PF, PG 1, LN 16B	4,395.	0.		4,395.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	7,718.	7,718.		0.
TO FORM 990-PF, PG 1, LN 16C	7,718.	7,718.		0.

FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX	1,150.	0.		0.
TO FORM 990-PF, PG 1, LN 18	1,150.	0.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FILING FEES	85.	0.		0.
TO FORM 990-PF, PG 1, LN 23	85.	0.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
ALCATEL-LUCENT ADR	3,517.	554.
ALPHA NATURAL RESOURCES	6,626.	3,656.
ARCHER-DANIELS-MIDLND CO	64,454.	245,557.
AT&T INC	3,281.	4,079.
AUTOMATIC DATA PROCESSING	32,613.	60,761.
BAXTER INTERNATIONAL INC	279,778.	710,036.
BP PLC SPONS ADR	44,000.	31,888.
BRISTOL MYERS SQUIBB	4,993.	19,612.
BROADRIDGE FINANCIAL	3,483.	7,430.
CARDINAL HEALTH INC	36,646.	231,764.
CAREFUSION CORP	14,517.	69,048.
CITIGROUP INC NEW	11,070.	2,397.
COMCAST CORP NEW CL A	5,480.	18,863.
CVS CAREMARK CORP	31,429.	336,665.
DIRECTV	6,615.	44,958.
EDWARDS LIFESCIENCE CORP	0.	244,364.
EXPRESS SCRIPTS HLDG CO	2,032.	18,614.
FLUOR CORP	16,817.	80,290.
JPMORGAN CHASE & CO	6,093.	54,737.
KINDER MORGAN WTS	227.	483.
KINDER MORGAN INC DEL	2,505.	2,808.

LSI CORP	798.	397.
MEAD JOHNSON NUTRITION CO	5,830.	22,783.
MERCK & CO INC	36,062.	68,068.
PROCTOR & GAMBLE CO	473,215.	858,387.
TEXAS INSTRUMENTS INC	6,245.	96,602.
TRAVELERS COS INC	1,930.	6,609.
TYSON FOODS INC CL A	8,250.	79,668.
WAL-MART STORES INC	12,525.	188,856.
ZIMMER HOLDINGS INC	575.	7,455.
VANGUARD WINDSOR II FUND ADM	1,235,220.	2,043,483.
VANGUARD INTERNATIONAL GROWTH ADM	825,602.	1,301,068.
VANGUARD PRIMECAP FUND ADMIRAL	1,878,686.	4,563,894.
APPLE INC	69,517.	98,179.
AUTOMATIC DATA PROCESSING	52,430.	100,999.
BROADRIDGE FINL SOLUTION	37,895.	76,669.
CLOROX COMPANY	49,357.	89,050.
DIAMOND FOODS INC	43,542.	54,264.
EXELON CORPORATION	45,212.	39,716.
JOHNSON & JOHNSON	95,208.	142,880.
KIMBERLY-CLARK CORP	82,300.	158,779.
KRAFT FOODS GROUP	51,265.	75,474.
LIVE NATION INC	0.	0.
MC CORMICK & CO INC N-VT	27,708.	58,927.
MICROSOFT CORP	75,335.	109,424.
MOLSON COORS BREWING CLB	107,506.	133,356.
MONDELEZ INTL INC CL A	66,651.	114,725.
SONDE RESOURCES CORP	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 10B	5,865,040.	12,678,276.