Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

► **Do not enter Social Security numbers on this form as it may be made public.**
► Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

For calendar year 2013, or tax year beginning , 2013, and ending ,

The Shirley & David Allen Foundation
c/o Alfred S. Sullivan, Treasurer
4441 86th Ave SE
Mercer Island, WA 98040-4145

A Employer identification number: 76-0702130

B Telephone number (see the instructions): (206) 734-4257

C If exemption application is pending, check here ► [ ]

D 1 Foreign organizations, check here ► [ ]

2 Foreign organizations meeting the 85% test, check here and attach computation ► [ ]

E If private foundation status was terminated under section 507(b)(1)(A), check here ► [ ]

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, column (c), line 16)* ► $ 1,415,236.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) *(Part I, column (d) must be on cash basis.)*

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)				
	2 Ck ► [X] if the foundn is **not** req to att Sch B				
	3 Interest on savings and temporary cash investments	127.	127.		
	4 Dividends and interest from securities	29,768.	29,768.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	47,393.			
	b Gross sales price for all assets on line 6a 322,905.				
	7 Capital gain net income (from Part IV, line 2)		47,393.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	77,288.	77,288.	0.	
ADMINISTRATIVE OPERATING AND EXPENSES	13 Compensation of officers, directors, trustees, etc	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch)				
	c Other prof fees (attach sch)				
	17 Interest				
	18 Taxes (attach schedule)(see instrs) See Stm 1	662.			
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) See Statement 2	11,549.	11,265.		
	24 **Total operating and administrative expenses.** Add lines 13 through 23	12,211.	11,265.		
	25 Contributions, gifts, grants paid Part XV	61,550.			61,550.
	26 **Total expenses and disbursements.** Add lines 24 and 25	73,761.	11,265.	0.	61,550.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	3,527.			
	b **Net investment income** (if negative, enter -0-)		66,023.		
	c **Adjusted net income** (if negative, enter -0-)			0.	

SCANNED MAY 1 1 2014

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
ASSETS	1 Cash – non-interest-bearing	46,248.	34,414.	34,414.
	2 Savings and temporary cash investments	96,352.	104,380.	104,144.
	3 Accounts receivable ►			
	Less: allowance for doubtful accounts ►			
	4 Pledges receivable ►			
	Less: allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ►			
	Less: allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	1,861.	1,199.	1,199.
	10a Investments – U S and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule) Statement 3	673,471.	681,150.	1,008,641.
	c Investments – corporate bonds (attach schedule) Statement 4	197,743.	168,178.	169,928.
	11 Investments – land, buildings, and equipment. basis ►			
	Less accumulated depreciation (attach schedule) ►			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule) Statement 5	77,016.	106,897.	96,910.
	14 Land, buildings, and equipment: basis ►			
	Less: accumulated depreciation (attach schedule) ►			
	15 Other assets (describe ►)			
	16 **Total assets** (to be completed by all filers – see the instructions. Also, see page 1, item I)	1,092,691.	1,096,218.	1,415,236.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ►)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
NET ASSETS OR FUND BALANCES	**Foundations that follow SFAS 117, check here** ► ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► [X] **and complete lines 27 through 31.**			
	27 Capital stock, trust principal, or current funds	1,092,691.	1,096,218.	
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see instructions)	1,092,691.	1,096,218.	
	31 **Total liabilities and net assets/fund balances** (see instructions)	1,092,691.	1,096,218.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,092,691.
2	Enter amount from Part I, line 27a	2	3,527.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	1,096,218.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	1,096,218.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a	SCH ATTACHED U.S.TRUST	P	Various	Various
b	SCH ATTACHED U.S.TRUST	P	Various	Various
c	SCH ATTACHED U.S.TRUST	P	Various	Various
d	SCH ATTACHED U.S.TRUST	P	Various	Various
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	56,238.		59,105.	-2,867.
b	204,891.		150,810.	54,081.
c	19,803.		18,788.	1,015.
d	41,973.		46,809.	-4,836.
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a				-2,867.
b				54,081.
c				1,015.
d				-4,836.
e				

2	Capital gain net income or (net capital loss). If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	47,393.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	37,800.	1,243,303.	0.030403
2011	32,750.	1,224,672.	0.026742
2010	67,250.	863,420.	0.077888
2009	36,697.	111,967.	0.327748
2008	50,000.	80,126.	0.624017

2	**Total** of line 1, column (d)	2	1.086798
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.217360
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	1,331,568.
5	Multiply line 4 by line 3	5	289,430.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	660.
7	Add lines 5 and 6	7	290,090.
8	Enter qualifying distributions from Part XII, line 4	8	61,550.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1. Date of ruling or determination letter. ________ (attach copy of letter if necessary – see instrs)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here. ► ☐ and enter 1% of Part I, line 27b		1	1,320.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3	Add lines 1 and 2		3	1,320.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	1,320.
6	Credits/Payments			
a	2013 estimated tax pmts and 2012 overpayment credited to 2013	6a 1,199.		
b	Exempt foreign organizations – tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	1,199.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►		9	121.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►		10	
11	Enter the amount of line 10 to be. **Credited to 2014 estimated tax** ►	**Refunded** ►	11	

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b		X
	*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ► $ 0. **(2)** On foundation managers ► $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► AZ			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► Alfred S. Sullivan Telephone no. ► (206) 734-4257
Located at ► 4441 86th Ave SE Mercer Island WA ZIP + 4 ► 98040-4145

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** — Check here N/A ► ☐
and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

				Yes	No
1 a	During the year did the foundation (either directly or indirectly):				
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
(6)	Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1 b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here	► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1 c		X
2	*Taxes on failure to distribute income (section 4942)* (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ .	☐ Yes ☒ No			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement — see instructions)		2 b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ► 20__ , 20__ , 20__ , 20__				
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)*		3 b	N/A	
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If 'Yes' to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Alfred Sullivan 4441 86th Ave SE Mercer Island, WA 98040	Treasurer 4.00	0.	0.	0.
Shirley Allen P. O. Box 520 Carnelian Bay, CA 96140	President 2.00	0.	0.	0.
Lucy Sullivan 4441 86th Ave SE Mercer Island, WA 98040	Director 1.00	0.	0.	0.
Holly Allen 426 Smith St. Seattle, WA 98016	Director 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over $50,000 ► 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 . Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over $50,000 for professional services ►		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ►	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	1,231,836.
b	Average of monthly cash balances	1b	120,010.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	1,351,846.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,351,846.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	20,278.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,331,568.
6	**Minimum investment return.** Enter 5% of line 5	6	66,578.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	66,578.
2a	Tax on investment income for 2013 from Part VI, line 5 — 2a: 1,320.		
b	Income tax for 2013 (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	1,320.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	65,258.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	65,258.
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	65,258.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	61,550.
b	Program-related investments — total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	61,550.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	61,550.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					65,258.
2 Undistributed income, if any, as of the end of 2013:					
a Enter amount for 2012 only				0.	
b Total for prior years: 20 ___, 20 ___, 20 ___			0.		
3 Excess distributions carryover, if any, to 2013:					
a From 2008	46,031.				
b From 2009	31,102.				
c From 2010	26,191.				
d From 2011					
e From 2012					
f **Total** of lines 3a through e		103,324.			
4 Qualifying distributions for 2013 from Part XII, line 4 ► $ 61,550.					
a Applied to 2012, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required — see instructions)			0.		
c Treated as distributions out of corpus (Election required — see instructions)		0.			
d Applied to 2013 distributable amount					61,550.
e Remaining amount distributed out of corpus		0.			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		3,708.			3,708.
6 **Enter the net total of each column as indicated below:**					
a Corpus. Add lines 3f, 4c, and 4e Subtract line 5		99,616.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b Taxable amount — see instructions			0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount — see instructions				0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)		0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)		42,323.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		57,293.			
10 Analysis of line 9					
a Excess from 2009	31,102.				
b Excess from 2010	26,191.				
c Excess from 2011					
d Excess from 2012					
e Excess from 2013					

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a 'Assets' alternative test — enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year — see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

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b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed.

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
***a** Paid during the year*				
See Schedule Attached	N/A		See Schedule Attached	61,550.
Total			► **3a**	61,550.
***b** Approved for future payment*				
Total			► **3b**	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					127.
4 Dividends and interest from securities					29,768.
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					47,393.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)					77,288.
13 Total. Add line 12, columns (b), (d), and (e)				13	77,288.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	**1 a (1)**		X
	(2) Other assets	**1 a (2)**		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	**1 b (1)**		X
	(2) Purchases of assets from a noncharitable exempt organization	**1 b (2)**		X
	(3) Rental of facilities, equipment, or other assets	**1 b (3)**		X
	(4) Reimbursement arrangements	**1 b (4)**		X
	(5) Loans or loan guarantees	**1 b (5)**		X
	(6) Performance of services or membership or fundraising solicitations	**1 b (6)**		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	**1 c**		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements
N/A			

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee — Date: 4-29-14 — Title: Treasurer

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
[redacted]	Non-Paid Preparer			[redacted]

Firm's name ► [redacted] Firm's EIN ► [redacted]

Firm's address ► [redacted]

Phone no [redacted]

Statement 1
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Excise Tax	$ 662.			
Total	$ 662.	$ 0.	$ 0.	$ 0.

Statement 2
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Administrative Expenses	$ 284.			
Investment Expenses	11,265.	$ 11,265.		
Total	$ 11,549.	$ 11,265.	$ 0.	$ 0.

Statement 3
Form 990-PF, Part II, Line 10b
Investments - Corporate Stocks

Corporate Stocks	Valuation Method	Book Value	Fair Market Value
U. S. Trust #68011011910439 See Attached	Cost	$ 681,150.	$ 1,008,641.
	Total	$ 681,150.	$ 1,008,641.

Statement 4
Form 990-PF, Part II, Line 10c
Investments - Corporate Bonds

Corporate Bonds	Valuation Method	Book Value	Fair Market Value
U. S. Trust #68011011910439 See Attached	Cost	$ 168,178.	$ 169,928.
	Total	$ 168,178.	$ 169,928.

Statement 5
Form 990-PF, Part II, Line 13
Investments - Other

Other Investments	Valuation Method	Book Value	Fair Market Value
Commodities,Public REITs	Cost	$ 106,897.	$ 96,910.
	Total	$ 106,897.	$ 96,910.

Proceeds From Broker Transactions

We have adjusted tax costs for amortization of premium paid for the acquisition of municipal bonds through date of sale and for non-taxable dividends. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital. However, we have not made adjustments to the cost basis of all partnerships.

If applicable, we have shown IRC Section 988 translation gains and losses separately within the sales sections. Please note these gains or losses are not entitled to capital gains treatment. Rather, under IRC Section 988, they are either ordinary income or loss. However, we have not made adjustments to the cost basis of all partnerships.

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	05/21/12	01/07/13	$2.62	$2.53	$0.09
OLAM INTL LTD		B4XDCR4#7	509	01/07/13	01/16/13	$36.26	$83.99	$-47.73
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	05/21/12	01/31/13	$2.53	$2.28	$0.25
ICICI BK LTD ADR	IBN	45104G104	2	06/08/12	02/06/13	$90.25	$60.39	$29.86
ICICI BK LTD ADR	IBN	45104G104	5	07/19/12	02/06/13	$225.62	$175.84	$49.78
HANNOVER RUECKVERSICHERU AG	HNR1 GR	4511809#2	27	11/07/12	02/07/13	$2,038.68	$1,956.27	$82.41
ICICI BK LTD ADR	IBN	45104G104	12	06/11/12	02/07/13	$528.01	$358.29	$169.72
ICICI BK LTD ADR	IBN	45104G104	42	06/08/12	02/07/13	$1,848.03	$1,268.10	$579.93
ICICI BK LTD ADR	IBN	45104G104	29	06/11/12	02/08/13	$1,261.20	$865.88	$395.32
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	05/21/12	02/21/13	$2.71	$2.73	$-0.02
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	05/21/12	02/28/13	$2.23	$2.26	$-0.03
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	05/21/12	03/12/13	$2.64	$2.63	$0.01
GEELY AUTOMOBILE HOLDINGS LTD	175 HK	6531827#6	340	02/19/13	03/18/13	$159.62	$205.95	$-46.33
GEELY AUTOMOBILE HOLDINGS LTD	175 HK	6531827#6	413	12/06/12	03/18/13	$193.89	$204.38	$-10.49
GEELY AUTOMOBILE HOLDINGS LTD	175 HK	6531827#6	633	12/03/12	03/18/13	$297.17	$301.50	$-4.33
GEELY AUTOMOBILE HOLDINGS LTD	175 HK	6531827#6	1005	11/13/12	03/18/13	$471.80	$474.51	$-2.71
GEELY AUTOMOBILE HOLDINGS LTD	175 HK	6531827#6	2746	11/13/12	03/21/13	$1,403.82	$1,296.53	$107.29
BCE INC NEW	BCE	05534B760	34	03/14/13	03/26/13	$1,558.52	$1,545.84	$12.68

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
JOHNSON AND JOHNSON	JNJ	478160104	24	05/09/12	03/26/13	$1,925.25	$1,548.13		$377.12
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	05/21/12	03/31/13	$2.35	$2.38		$-0.03
LAS VEGAS SANDS CORP	LVS	517834107	5	06/05/12	04/09/13	$273.86	$219.86	*	$54.00
LAS VEGAS SANDS CORP	LVS	517834107	3	05/30/12	04/09/13	$164.31	$142.43	*	$21.88
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	05/21/12	04/09/13	$2.70	$2.72		$-0.02
LAS VEGAS SANDS CORP	LVS	517834107	3	07/19/12	04/11/13	$164.66	$123.30	*	$41.36
LAS VEGAS SANDS CORP	LVS	517834107	1	07/19/12	04/12/13	$54.98	$41.10	*	$13.88
FANUC	6954 JP	6356934#8	1	11/19/12	04/15/13	$156.18	$171.33		$-15.15
FANUC	6954 JP	6356934#8	1	11/19/12	04/19/13	$152.38	$171.34		$-18.96
CRIMSON WINE GROUP LTD	CWGL	22662X100	0.4	02/12/13	04/30/13	$3.61	$3.08		$0.53
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	05/21/12	04/30/13	$2.04	$2.41		$-0.37
CRIMSON WINE GROUP LTD	CWGL	22662X100	1.3	12/19/12	05/01/13	$11.59	$8.97		$2.62
CRIMSON WINE GROUP LTD	CWGL	22662X100	1.9	02/06/13	05/01/13	$16.95	$13.98		$2.97
CRIMSON WINE GROUP LTD	CWGL	22662X100	0.8	02/12/13	05/01/13	$7.14	$6.15		$0.99
CRIMSON WINE GROUP LTD	CWGL	22662X100	4.1	12/12/12	05/02/13	$36.78	$28.01		$8.77
CRIMSON WINE GROUP LTD	CWGL	22662X100	0.2	12/12/12	05/02/13	$1.79	$1.36		$0.43
CRIMSON WINE GROUP LTD	CWGL	22662X100	0.7	12/19/12	05/02/13	$6.28	$4.83		$1.45
CRIMSON WINE GROUP LTD	CWGL	22662X100	1.1	12/12/12	05/03/13	$9.76	$7.48		$2.28
CRIMSON WINE GROUP LTD	CWGL	22662X100	0.9	12/17/12	05/03/13	$7.98	$6.08		$1.90
CRIMSON WINE GROUP LTD	CWGL	22662X100	2.8	12/13/12	05/06/13	$24.99	$18.86		$6.13
CRIMSON WINE GROUP LTD	CWGL	22662X100	1.2	12/17/12	05/06/13	$10.71	$8.10		$2.61
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	05/21/12	05/10/13	$2.79	$3.11		$-0.32
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	04/22/13	05/10/13	$5.57	$5.57		$0.00
VALEANT PHARMACEUTICALS INTL I	VRX	91911K102	12	01/11/13	05/29/13	$1,093.23	$761.63		$331.60
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	04/22/13	06/17/13	$4.87	$5.02		$-0.15
FANUC	6954 JP	6356934#8	1	11/19/12	06/21/13	$144.22	$171.33		$-27.11
LEUCADIA NATIONAL CORP	LUK	527288104	6	02/06/13	06/24/13	$149.17	$150.02		$-0.85
LEUCADIA NATIONAL CORP	LUK	527288104	12	02/12/13	06/24/13	$298.34	$313.63		$-15.29

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	04/22/13	07/10/13	$4.80	$5.45	$-0.65
PIMCO COMMODITY REALRETURN ST	PCRI X	722005667	2279.64	03/25/13	08/02/13	$12,902.73	$15,000.00	$-2,097.27
PIMCO COMMODITY REALRETURN ST	PCRI X	722005667	1600	04/22/13	08/02/13	$9,056.00	$10,000.00	$-944.00
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	04/22/13	08/15/13	$4.42	$4.74	$-0.32
QUESTCOR PHARMACEUTICALS INC	QCOR	74835Y101	4	09/04/12	08/20/13	$262.39	$190.59	$71.80
QUESTCOR PHARMACEUTICALS INC	QCOR	74835Y101	2	07/02/13	08/20/13	$131.20	$92.67	$38.53
DOMINION DIAMOND CORP	DDC	257287102	2	11/01/12	09/09/13	$25.69	$29.06	$-3.37
ENSTAR GROUP LIMITED SHS	ESGR	G3075P101	1	12/14/12	09/09/13	$135.25	$104.32	$30.93
GEMALTO NV	GTO NA	B9MS8P5#3	1	02/01/13	09/09/13	$109.61	$93.10	$16.51
DOMINION DIAMOND CORP	DDC	257287102	34	10/17/12	09/09/13	$436.65	$493.38	$-56.73
RENAISSANCE HOLDINGS LTD	RNR	G7496G103	1	10/25/12	09/09/13	$86.45	$82.15	$4.30
RAKUTEN INC TOKYO	4755 JP	6229597#5	10	08/13/13	09/10/13	$135.29	$120.17	$15.12
NIDEC CORP	6594 JP	6640682#9	2	07/08/13	09/10/13	$151.85	$142.69	$9.16
DOMINION DIAMOND CORP	DDC	257287102	2	11/02/12	09/10/13	$25.95	$28.68	$-2.73
DOMINION DIAMOND CORP	DDC	257287102	2	10/17/12	09/10/13	$25.95	$29.02	$-3.07
QUESTCOR PHARMACEUTICALS INC	QCOR	74835Y101	2	07/01/13	09/13/13	$126.50	$91.99	$34.51
QUESTCOR PHARMACEUTICALS INC	QCOR	74835Y101	1	07/02/13	09/13/13	$63.25	$46.34	$16.91
DOMINION DIAMOND CORP	DDC	257287102	4	10/23/12	09/16/13	$51.96	$55.93	$-3.97
DOMINION DIAMOND CORP	DDC	257287102	7	11/30/12	09/16/13	$90.93	$98.22	$-7.29
DOMINION DIAMOND CORP	DDC	257287102	6	11/30/12	09/16/13	$77.83	$84.18	$-6.35
DOMINION DIAMOND CORP	DDC	257287102	13	12/07/12	09/16/13	$168.62	$183.14	$-14.52
DOMINION DIAMOND CORP	DDC	257287102	5	10/26/12	09/16/13	$64.85	$70.61	$-5.76
DOMINION DIAMOND CORP	DDC	257287102	14	11/02/12	09/16/13	$181.60	$200.76	$-19.16
DOMINION DIAMOND CORP	DDC	257287102	7	10/25/12	09/16/13	$90.80	$99.09	$-8.29
DOMINION DIAMOND CORP	DDC	257287102	8	10/23/12	09/17/13	$104.17	$111.85	$-7.68
DOMINION DIAMOND CORP	DDC	257287102	1	10/23/12	09/17/13	$13.02	$13.98	$-0.96
DOMINION DIAMOND CORP	DDC	257287102	5	10/24/12	09/17/13	$65.10	$69.74	$-4.64
FAST RETAILING CO LTD	9983 JP	6332439#9	1	06/06/13	09/17/13	$358.23	$314.85	$43.38

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
DOMINION DIAMOND CORP	DDC	257287102	14	12/05/12	09/18/13	$183.33	$194.74	$-11.41
DOMINION DIAMOND CORP	DDC	257287102	15	10/18/12	09/18/13	$196.43	$204.53	$-8.10
DOMINION DIAMOND CORP	DDC	257287102	8	10/24/12	09/18/13	$104.76	$111.59	$-6.83
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	04/22/13	09/19/13	$4.68	$4.89	$-0.21
DOMINION DIAMOND CORP	DDC	257287102	5	10/18/12	09/24/13	$63.07	$68.18	$-5.11
DOMINION DIAMOND CORP	DDC	257287102	3	10/18/12	09/24/13	$37.84	$40.87	$-3.03
DOMINION DIAMOND CORP	DDC	257287102	5	10/18/12	09/25/13	$62.97	$68.11	$-5.14
DOMINION DIAMOND CORP	DDC	257287102	6	10/16/12	09/26/13	$75.15	$81.62	$-6.47
DOMINION DIAMOND CORP	DDC	257287102	2	10/18/12	09/26/13	$25.05	$27 25	$-2.20
DOMINION DIAMOND CORP	DDC	257287102	6	11/13/12	09/30/13	$73.08	$81.48	$-8.40
DOMINION DIAMOND CORP	DDC	257287102	4	10/16/12	09/30/13	$48.72	$54.42	$-5.70
DOMINION DIAMOND CORP	DDC	257287102	8	11/12/12	09/30/13	$97.44	$107.77	$-10.33
DOMINION DIAMOND CORP	DDC	257287102	26	11/16/12	10/01/13	$313.91	$349.52	$-35.61
DOMINION DIAMOND CORP	DDC	257287102	13	11/15/12	10/01/13	$156.96	$174.07	$-17.11
DOMINION DIAMOND CORP	DDC	257287102	7	10/16/12	10/01/13	$84.51	$93.25	$-8.74
DOMINION DIAMOND CORP	DDC	257287102	8	10/15/12	10/01/13	$96.59	$106.07	$-9.48
DOMINION DIAMOND CORP	DDC	257287102	35	10/15/12	10/02/13	$426.85	$464.04	$-37.19
SYMRISE AG	SY1 GR	B1JB4K8#3	5	02/19/13	10/08/13	$215.14	$192.36	$22.78
SYMRISE AG	SY1 GR	B1JB4K8#3	4	02/11/13	10/08/13	$172.11	$151.62	$20.49
SYMRISE AG	SY1 GR	B1JB4K8#3	6	03/18/13	10/08/13	$258.17	$235.11	$23.06
SYMRISE AG	SY1 GR	B1JB4K8#3	5	02/12/13	10/09/13	$211.06	$187.94	$23.12
SYMRISE AG	SY1 GR	B1JB4K8#3	11	02/08/13	10/09/13	$464.34	$409.66	$54.68
SYMRISE AG	SY1 GR	B1JB4K8#3	14	02/11/13	10/09/13	$590.97	$530.68	$60.29
SYMRISE AG	SY1 GR	B1JB4K8#3	9	02/14/13	10/09/13	$379.91	$339.79	$40.12
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	04/22/13	10/14/13	$4.82	$5.35	$-0.53
ROCHE HLDGS AG-GENUSSCHEIN	ROG VX	7110388#9	1	04/17/13	10/31/13	$274.59	$247.60	$26.99
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	04/22/13	11/13/13	$4.31	$4.83	$-0.52
ALEXION PHARMACEUTICALS INC	ALXN	015351109	2	07/01/13	11/18/13	$246.20	$191.94	$54.26

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	04/22/13	12/17/13	$4.14	$4.80	$-0.66
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	100	04/22/13	12/23/13	$11,550.15	$13,761.83	$-2,211.68
Total short term gain/loss from sales:						$56,238.42	$59,104.72	$-2,866.30

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
BERKSHIRE HATHAWAY INC DEL	BRK B	084670702	11	07/20/10	01/09/13	$1,027.38	$848.32	$179.06
BORG-WARNER AUTOMOTIVE INC	BWA	099724106	4	07/19/10	01/11/13	$286.54	$161.54	$125.00
COLOPLAST A/S - B	COLB IX	B8FMRX8#5	6	11/15/10	01/14/13	$304.36	$172.20	$132.16
REGENERON PHARMACEUTICALS INC	REGN	75886F107	2	03/08/11	01/16/13	$346.01	$77.31	$268.70
BORG-WARNER AUTOMOTIVE INC	BWA	099724106	8	07/19/10	01/16/13	$609.75	$323.08	$286.67
3M CO	MMM	88579Y101	35	09/29/11	01/18/13	$3,435.80	$2,615.06	$820.74
REGENERON PHARMACEUTICALS INC	REGN	75886F107	3	03/08/11	01/24/13	$520.45	$115.96	$404.49
COLOPLAST A/S - B	COLB IX	B8FMRX8#5	7	11/15/10	01/30/13	$356.92	$200.90	$156.02
COLOPLAST A/S - B	COLB IX	B8FMRX8#5	15	05/09/11	02/01/13	$792.81	$419.40	$373.41
COLOPLAST A/S - B	COLB IX	B8FMRX8#5	22	11/15/10	02/01/13	$1,162.78	$631.40	$531.38
COLOPLAST A/S - B	COLB IX	B8FMRX8#5	10	05/09/11	02/04/13	$523.79	$279.60	$244.19
COLOPLAST A/S - B	COLB IX	B8FMRX8#5	25	10/28/10	02/04/13	$1,309.47	$634.54	$674.93
REGENERON PHARMACEUTICALS INC	REGN	75886F107	2	03/08/11	02/06/13	$338.58	$77.31	$261.27
BORG-WARNER AUTOMOTIVE INC	BWA	099724106	30	07/19/10	02/07/13	$2,193.61	$1,211.55	$982.06
ANHEUSER BUSCH INBEV SA/NV	BUD	03524A108	8	01/25/11	02/07/13	$691.25	$458.81	$232.44
ANHEUSER BUSCH INBEV SA/NV	BUD	03524A108	4	07/19/10	02/07/13	$345.62	$211.90	$133.72
GOOGLE INC CL A	GOOG	38259P508	3	07/20/10	02/12/13	$2,350.39	$1,391.79	$958.60
VERIZON COMMUNICATIONS	VZ	92343V104	232	07/20/10	02/22/13	$10,520.43	$6,175.84	$4,344.59
JARDINE STRATEGIC HLDGS LTD	JS SP	6472960#0	13	07/20/10	02/26/13	$490.38	$306.43	$183.95
FAST RETAILING CO LTD	9983 JP	6332439#9	1	11/17/10	03/07/13	$306.47	$155.55	$150.92

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
FAST RETAILING CO LTD	9983 JP	6332439#9	1	11/17/10	03/13/13	$309.78	$155.55	$154.23
NOBLE GROUP LTD	NOBL SP	B01CLC3#6	258	07/20/10	03/13/13	$241.16	$317.60	$-76.44
FANUC	6954 JP	6356934#8	1	02/15/12	03/13/13	$146.60	$176.59	$-29.99
NOBLE GROUP LTD	NOBL SP	B01CLC3#6	15	06/28/11	03/13/13	$14.02	$23.76	$-9.74
FANUC	6954 JP	6356934#8	1	03/08/12	03/13/13	$146.61	$187.05	$-40.44
GOOGLE INC CL A	GOOG	38259P508	2	07/20/10	03/14/13	$1,638.65	$927.86	$710.79
PEPSICO INC	PEP	713448108	15	07/20/10	03/19/13	$1,150.48	$949.95	$200.53
EATON VANCE INCOME FUND BOSTOI	EIBI X	277907200	1694.92	07/05/11	03/25/13	$10,288.13	$10,000.00	$288.13
PIMCO HIGH YIELD FUND	PHIY X	693390841	1029.87	02/03/12	03/25/13	$10,000.00	$9,536.56	$463.44
PIMCO FOREIGN BD FD INSTL UNHE	PFUI X	722005220	912.41	07/05/11	03/25/13	$9,479.93	$10,000.00	$-520.07
MONDELEZ INTL INC	MDLZ	609207105	330	07/20/10	03/25/13	$9,852.16	$6,130.49	$3,721.67
ROCKWELL INTL CORP NEW	ROK	773903109	3	02/19/12	03/26/13	$253.27	$251.17	$2.10
GENERAL ELECTRIC CO	GE	369604103	116	07/20/10	03/26/13	$2,690.54	$1,688.95	$1,001.59
NIKE INC CLASS B	NKE	654106103	60	07/20/10	03/26/13	$3,539.60	$2,042.40	$1,497.20
AT&T INC	T	00206R102	99	07/20/10	03/26/13	$3,603.51	$2,428.36	$1,175.15
ALTRIA GROUP INC	MO	02209S103	88	07/20/10	03/26/13	$2,992.85	$1,867.34	$1,125.51
ASTRAZENECA PLC SPONSORED ADR	AZN	046353108	51	07/20/10	03/26/13	$2,519.85	$2,494.41	$25.44
BOEING CO	BA	097023105	41	07/20/10	03/26/13	$3,531.25	$2,554.71	$976.54
DIAGEO PLC SPONSORED ADR NEW	DEO	25243Q205	11	07/20/10	03/26/13	$1,351.97	$739.34	$612.63
DU PONT (E I) DE NEMOURS & CO	DD	263534109	29	12/16/11	03/26/13	$1,416.03	$1,267.59	$148.44
UNILEVER N V	UN	904784709	59	07/20/10	03/26/13	$2,399.47	$1,738.14	$661.33
HSBC HLDGS PLC SPONSORED ADR N	HSBC	404280406	39	07/20/10	03/26/13	$2,073.19	$1,864.20	$208.99
HEINZ H J CO	HNZ	423074103	70	07/20/10	03/26/13	$5,042.68	$3,116.40	$1,926.28
JOHNSON AND JOHNSON	JNJ	478160104	11	07/20/10	03/26/13	$882.40	$642.07	$240.33
KIMBERLY CLARK CORP	KMB	494368103	43	07/20/10	03/26/13	$4,122.31	$2,696.53	$1,425.78
KRAFT FOODS GROUP INC	KRFT	50076Q106	43	07/20/10	03/26/13	$2,199.40	$1,295.52	$903.88
LILLY ELI & CO	LLY	532457108	88	07/20/10	03/26/13	$4,982.44	$3,050.96	$1,931.48
NEXTERA ENERGY INC	NEE	65339F101	18	07/20/10	03/26/13	$1,380 02	$937.98	$442.04

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
3M CO	MMM	88579Y101	29	07/20/10	03/26/13	$3,066.97	$2,348.18		$718.79
TRAVELERS COS INC	TRV	89417E109	38	07/20/10	03/26/13	$3,182.80	$1,879.10		$1,303.70
NOBLE GROUP LTD	NOBL SP	B01CLC3#6	232	07/20/10	03/27/13	$223.82	$285.60		$-61.78
ROCKWELL INTL CORP NEW	ROK	773903109	2	02/17/12	04/03/13	$168.47	$162.46		$6.01
ROCKWELL INTL CORP NEW	ROK	773903109	1	03/09/12	04/03/13	$84.24	$80.84		$3.40
FAST RETAILING CO LTD	9983 JP	6332439#9	1	11/17/10	04/04/13	$360.40	$155.55		$204.85
FAST RETAILING CO LTD	9983 JP	6332439#9	2	11/17/10	04/08/13	$719.44	$311.10		$408.34
MASTERCARD INC CL A	MA	57636Q104	1	07/19/10	04/09/13	$524.63	$194.52		$330.11
FAST RETAILING CO LTD	9983 JP	6332439#9	1	11/17/10	04/10/13	$335.11	$155.55		$179.56
JARDINE STRATEGIC HLDGS LTD	JS SP	6472960#0	9	07/20/10	04/10/13	$340.90	$212.15		$128.75
FANUC	6954 JP	6356934#8	2	02/15/12	04/10/13	$305.64	$353.18		$-47.54
LAS VEGAS SANDS CORP	LVS	517834107	5	08/11/11	04/11/13	$274.44	$207.32	*	$67.12
LAS VEGAS SANDS CORP	LVS	517834107	5	08/09/11	04/12/13	$274.91	$195.77	*	$79.14
FAST RETAILING CO LTD	9983 JP	6332439#9	1	11/17/10	04/15/13	$341.58	$155.55		$186.03
SHINSEI BK LTD	8303 JP	6730936#2	120	03/16/12	04/15/13	$323.32	$164.80		$158.52
LAS VEGAS SANDS CORP	LVS	517834107	4	08/09/11	04/16/13	$217.89	$156.62	*	$61.27
GOOGLE INC CL A	GOOG	38259P508	1	09/28/11	04/16/13	$792 30	$538.09		$254.21
REGENERON PHARMACEUTICALS INC	REGN	75886F107	1	03/08/11	04/16/13	$216.98	$38.65		$178.33
SHINSEI BK LTD	8303 JP	6730936#2	83	03/16/12	04/17/13	$219.36	$113.98		$105.38
FAST RETAILING CO LTD	9983 JP	6332439#9	1	11/17/10	04/17/13	$347.14	$155.55		$191.59
ROCKWELL INTL CORP NEW	ROK	773903109	1	03/09/12	04/18/13	$82.75	$80.83		$1.92
ROCKWELL INTL CORP NEW	ROK	773903109	2	12/09/11	04/18/13	$165.49	$154.91		$10.58
FAST RETAILING CO LTD	9983 JP	6332439#9	1	11/17/10	04/19/13	$341.04	$155.55		$185.49
NOBLE GROUP LTD	NOBL SP	B01CLC3#6	245	07/20/10	04/19/13	$222.15	$301.60		$-79.45
ROCKWELL INTL CORP NEW	ROK	773903109	2	12/09/11	04/23/13	$168.90	$154.91		$13.99
FAST RETAILING CO LTD	9983 JP	6332439#9	1	11/17/10	04/24/13	$338.57	$155.55		$183.02
ROCKWELL INTL CORP NEW	ROK	773903109	3	12/09/11	05/01/13	$249.72	$232.36		$17.36
REGENERON PHARMACEUTICALS INC	REGN	75886F107	1	03/08/11	05/01/13	$243.26	$38.65		$204.61

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
FAST RETAILING CO LTD	9983 JP	6332439#9	1	11/17/10	05/02/13	$365.69	$155.55	$210.14
REGENERON PHARMACEUTICALS INC	REGN	75886F107	1	03/08/11	05/07/13	$261.56	$38.65	$222.91
ECOLAB INC	ECL	278865100	14	07/21/11	05/07/13	$1,206.15	$731.39	$474.76
AMPHENOL CORP NEW CL A	APH	032095101	9	07/20/10	05/07/13	$698.08	$368.28	$329.80
FAST RETAILING CO LTD	9983 JP	6332439#9	1	11/17/10	05/08/13	$366.98	$155.55	$211.43
UNITED TECHNOLOGIES CORP	UTX	913017109	40	07/20/10	05/09/13	$3,783.20	$2,645.60	$1,137.60
VALEANT PHARMACEUTICALS INTL I	VRX	91911K102	4	03/09/12	05/09/13	$294.57	$222.82	$71.75
ROCKWELL INTL CORP NEW	ROK	773903109	2	12/09/11	05/09/13	$176.00	$154.91	$21.09
SHINSEI BK LTD	8303 JP	6730936#2	76	03/12/12	05/10/13	$201.38	$101.58	$99.80
SHINSEI BK LTD	8303 JP	6730936#2	11	03/16/12	05/10/13	$29.15	$15.11	$14.04
FAST RETAILING CO LTD	9983 JP	6332439#9	1	11/17/10	05/10/13	$366.17	$155.55	$210.62
FAST RETAILING CO LTD	9983 JP	6332439#9	1	11/17/10	05/27/13	$352.88	$155.55	$197.33
DEVON ENERGY CORPORATION NEW	DVN	25179M103	15	07/08/11	05/28/13	$872.76	$1,202.79	$-330.03
DEVON ENERGY CORPORATION NEW	DVN	25179M103	30	05/04/11	05/28/13	$1,745.53	$2,562.44	$-816.91
VALEANT PHARMACEUTICALS INTL I	VRX	91911K102	1	05/09/12	06/05/13	$84.27	$52.93	$31.34
VALEANT PHARMACEUTICALS INTL I	VRX	91911K102	4	03/09/12	06/05/13	$337.09	$222.81	$114.28
NOBLE GROUP LTD	NOBL SP	B01CLC3#6	563	07/20/10	06/06/13	$451.79	$693.06	$-241.27
HEINZ H J CO	HNZ	423074103	130	07/20/10	06/10/13	$9,425.00	$5,787.60	$3,637.40
VALEANT PHARMACEUTICALS INTL I	VRX	91911K102	4	05/09/12	06/20/13	$337.66	$211.70	$125.96
NOBLE GROUP LTD	NOBL SP	B01CLC3#6	562	07/20/10	06/21/13	$422.19	$691.83	$-269.64
FANUC	6954 JP	6356934#8	2	07/20/10	06/21/13	$288.45	$232.45	$56.00
VALEANT PHARMACEUTICALS INTL I	VRX	91911K102	3	05/09/12	06/24/13	$251.56	$158.78	$92.78
KIMBERLY CLARK CORP	KMB	494368103	22	07/20/10	06/24/13	$2,072.86	$1,379.62	$693.24
MONSANTO CO NEW	MON	61166W101	3	08/11/11	06/24/13	$303.67	$206.74	$96.93
VALEANT PHARMACEUTICALS INTL I	VRX	91911K102	1	01/18/12	06/24/13	$83.85	$51.23	$32.62
ANHEUSER BUSCH INBEV SA/NV	BUD	03524A108	5	07/19/10	06/24/13	$426.83	$264.88	$161.95
MONSANTO CO NEW	MON	61166W101	2	05/30/12	06/24/13	$202.45	$153.48	$48.97
NOBLE GROUP LTD	NOBL SP	B01CLC3#6	429	07/20/10	06/25/13	$325.88	$528.11	$-202.23

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
MONSANTO CO NEW	MON	61166W101	2	08/11/11	06/26/13	$202.28	$137.82		$64.46
FANUC	6954 JP	6356934#8	2	07/20/10	06/27/13	$279.87	$232.45		$47.42
NOBLE GROUP LTD	NOBL SP	B01CLC3#6	285	07/20/10	06/27/13	$219.59	$350.84		$-131.25
MONSANTO CO NEW	MON	61166W101	3	11/19/10	07/01/13	$292.95	$178.51		$114.44
MONSANTO CO NEW	MON	61166W101	2	11/19/10	07/02/13	$195.43	$119.00		$76.43
ANHEUSER BUSCH INBEV SA/NV	BUD	03524A108	4	07/19/10	07/02/13	$358.20	$211.90		$146.30
VALEANT PHARMACEUTICALS INTL I	VRX	91911K102	4	01/18/12	08/20/13	$406.69	$204.93		$201.76
VALEANT PHARMACEUTICALS INTL I	VRX	91911K102	3	08/14/12	08/22/13	$297.85	$152.81		$145.04
BERKSHIRE HATHAWAY INC DEL	BRK B	084670702	2	07/19/10	09/09/13	$223.31	$153.11		$70.20
BOMBARDIER INC CL B	BDRBF	097751200	2	12/17/10	09/09/13	$9.49	$9.56		$-0.07
ROLLS-ROYCE PLC SPONSORED ADR	RYCE Y	775781206	1	07/19/10	09/09/13	$88.93	$44.08		$44.85
ROLLS-ROYCE PLC SPONSORED ADR	RYCE Y	775781206	1	11/19/10	09/09/13	$88.94	$47.25		$41.69
REGENERON PHARMACEUTICALS INC	REGN	75886F107	1	03/08/11	09/09/13	$270.49	$38.65		$231.84
LAS VEGAS SANDS CORP	LVS	517834107	1	07/27/12	09/09/13	$60.28	$36.90	*	$23.38
LAS VEGAS SANDS CORP	LVS	517834107	1	08/09/11	09/09/13	$60.29	$39.15	*	$21.14
EXXON MOBIL CORP	XOM	30231G102	2	07/19/10	09/09/13	$175.71	$116.61		$59.10
ONEX CORP	OCX CN	2659518#3	5	07/19/10	09/09/13	$249.52	$119.66		$129.86
BOMBARDIER INC CL B	BDRBF	097751200	43	07/19/10	09/09/13	$204.03	$181.03		$23.00
QUESTCOR PHARMACEUTICALS INC	QCOR	74835Y101	11	07/12/12	09/13/13	$695.73	$498.02		$197.71
QUESTCOR PHARMACEUTICALS INC	QCOR	74835Y101	6	07/24/12	09/13/13	$379.49	$267.91		$111.58
QUESTCOR PHARMACEUTICALS INC	QCOR	74835Y101	6	07/19/12	09/13/13	$379.49	$263.12		$116.37
QUESTCOR PHARMACEUTICALS INC	QCOR	74835Y101	2	08/22/12	09/13/13	$126.50	$84.90		$41.60
QUESTCOR PHARMACEUTICALS INC	QCOR	74835Y101	9	07/13/12	09/13/13	$569.23	$412.82		$156.41
OLAM INTL LTD	OLAM SP	B05Q3L4#0	170	01/19/12	09/16/13	$196.31	$333.48		$-137.17
OLAM INTL LTD	OLAM SP	B05Q3L4#0	210	09/22/11	09/16/13	$242.51	$402.67		$-160.16
OLAM INTL LTD	OLAM SP	B05Q3L4#0	26	08/19/11	09/16/13	$30.02	$49.72		$-19.70
OLAM INTL LTD	OLAM SP	B05Q3L4#0	172	02/08/12	09/16/13	$198.62	$379.33		$-180.71
OLAM INTL LTD	OLAM SP	B05Q3L4#0	1	02/16/11	09/16/13	$1.15	$2.32		$-1.17

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis		Net gain or loss
OLAM INTL LTD	OLAM SP	B05Q3L4#0	149	06/13/12	09/17/13	$171.42	$213.44		$-42.02
SHINSEI BK LTD	8303 JP	6730936#2	206	03/12/12	09/17/13	$445.04	$275.32		$169.72
FAST RETAILING CO LTD	9983 JP	6332439#9	1	11/17/10	09/17/13	$358.22	$155.56		$202.66
OLAM INTL LTD	OLAM SP	B05Q3L4#0	84	08/10/12	09/17/13	$96.64	$133.74		$-37.10
OLAM INTL LTD	OLAM SP	B05Q3L4#0	320	06/07/12	09/17/13	$368.15	$424.94		$-56.79
OLAM INTL LTD	OLAM SP	B05Q3L4#0	389	08/19/11	09/17/13	$447.53	$743.95		$-296.42
OLAM INTL LTD	OLAM SP	B05Q3L4#0	108	08/15/12	09/17/13	$124.25	$178.57		$-54.32
DIAMOND OFFSHORE DRILLING INC	DO	25271C102	45	09/24/10	09/30/13	$2,793.95	$2,793.92	*	$0.03
MIDDLEBY CORP	MIDD	596278101	10	07/20/10	09/30/13	$2,087.18	$545.00		$1,542.18
LAS VEGAS SANDS CORP	LVS	517834107	8	07/27/12	10/16/13	$557.98	$291.29	*	$266.69
RHJ INTL	RHJI BB	B06S4F0#1	1	02/21/12	10/25/13	$5.31	$5.84		$-0.53
RHJ INTL	RHJI BB	B06S4F0#1	3	02/22/12	10/25/13	$15.91	$17.49		$-1.58
LAS VEGAS SANDS CORP	LVS	517834107	10	07/19/10	10/28/13	$709.04	$222.32	*	$486.72
RHJ INTL	RHJI BB	B06S4F0#1	2	02/22/12	10/28/13	$10.71	$11.66		$-0.95
LAS VEGAS SANDS CORP	LVS	517834107	4	07/27/12	10/28/13	$283.61	$145.65	*	$137.96
VALEANT PHARMACEUTICALS INTL I	VRX	91911K102	1	08/14/12	10/29/13	$111.23	$50.93		$60.30
REGENERON PHARMACEUTICALS INC	REGN	75886F107	1	03/08/11	10/29/13	$299.91	$38.65		$261.26
LAS VEGAS SANDS CORP	LVS	517834107	5	07/19/10	10/29/13	$354.24	$111.15	*	$243.09
VALEANT PHARMACEUTICALS INTL I	VRX	91911K102	4	01/10/12	10/29/13	$444.94	$200.46		$244.48
RHJ INTL	RHJI BB	B06S4F0#1	1	02/22/12	10/29/13	$5.35	$5.83		$-0.48
RHJ INTL	RHJI BB	B06S4F0#1	1	02/21/12	10/30/13	$5.30	$5.78		$-0.48
RHJ INTL	RHJI BB	B06S4F0#1	1	02/22/12	10/30/13	$5.30	$5.83		$-0.53
VALEANT PHARMACEUTICALS INTL I	VRX	91911K102	2	01/10/12	10/30/13	$217.54	$100.23		$117.31
FANUC	6954 JP	6356934#8	2	07/20/10	10/31/13	$320.84	$232.45		$88.39
RHJ INTL	RHJI BB	B06S4F0#1	2	02/21/12	10/31/13	$10.40	$11.56		$-1.16
LAS VEGAS SANDS CORP	LVS	517834107	11	07/19/10	11/01/13	$766.86	$244.56	*	$522.30
FANUC	6954 JP	6356934#8	1	07/20/10	11/05/13	$156.97	$116.23		$40.74
RHJ INTL	RHJI BB	B06S4F0#1	3	02/21/12	11/11/13	$15.35	$17.33		$-1.98

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
REGENERON PHARMACEUTICALS INC	REGN	75886F107	1	03/08/11	11/18/13	$281.08	$38.65	$242.43
RHJ INTL	RHJI BB	B06S4F0#1	1	02/21/12	11/18/13	$5.10	$5.78	$-0.68
ORACLE CORPORATION	ORCL	68389X105	14	07/20/10	11/20/13	$489.40	$324.66	$164.74
PERRIGO CO	PRGO	714290103	18	07/20/10	11/20/13	$2,751.67	$990.36	$1,761.31
RHJ INTL	RHJI BB	B06S4F0#1	1	02/21/12	11/22/13	$4.95	$5.78	$-0.83
RHJ INTL	RHJI BB	B06S4F0#1	2	01/11/12	11/26/13	$10.33	$9.13	$1.20
RHJ INTL	RHJI BB	B06S4F0#1	1	01/11/12	12/12/13	$5.18	$4.56	$0.62
KRAFT FOODS GROUP INC	KRFT	50076Q106	67	07/20/10	12/12/13	$3,534.17	$2,018.59	$1,515.58
RHJ INTL	RHJI BB	B06S4F0#1	1	01/11/12	12/17/13	$5.09	$4.56	$0.53
PERRIGO CO	PRGO	714290103	37	07/20/10	12/18/13	$5,747.94	$2,035.74	$3,712.20
LAZARD FDS INC EMERGING MKTS	LZEM X	52106N889	99.73	06/17/10	12/30/13	$1,860.89	$1,818.00	$42.89
LAZARD FDS INC EMERGING MKTS	LZEM X	52106N889	622.9	05/23/11	12/30/13	$11,623.39	$13,000.00	$-1,376.61
ISHARES INC MSCI AUSTRALIA IND	EWA	464286103	200	06/17/10	12/30/13	$4,852.91	$4,196.00	$656.91
Total long term gain/loss from sales:						$204,891.13	$150,809.92	$54,081.21

Long Term Transactions with Section 988 translation gain or loss

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Portion subject to 988	Net gain or loss
CURRENCYSHARES AUSTRALIAN DLF	FXA	23129U101	100	09/08/10	03/25/13	$10,465.31	$9,189.00	$1,276.31	$1,276.31
CURRENCYSHARES CDN DLR TR CDN	FXC	23129X105	100	09/08/10	12/30/13	$9,337.33	$9,598.50	$-261.17	$-261.17
Total long term gain/loss from transactions with Section 988 translation gain/loss:						$19,802.64	$18,787.50		$1,015.14
Total long term Section 988 translation gain/loss:								$1,015.14	

Long term transactions for 28% gains and losses

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	09/09/10	01/07/13	$2.62	$1.99	$0.63
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	09/08/10	01/07/13	$5.25	$4.02	$1.23
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	09/08/10	01/31/13	$3.80	$2.42	$1.38
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	09/09/10	01/31/13	$6.33	$4.00	$2.33
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	09/08/10	02/21/13	$5.42	$4.32	$1.10
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	09/09/10	02/21/13	$2.71	$2.15	$0.56
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	09/08/10	02/28/13	$3.35	$2.39	$0.96
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	09/09/10	02/28/13	$5.58	$3.96	$1.62
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	09/09/10	03/12/13	$2.64	$2.07	$0.57
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	09/08/10	03/12/13	$5.28	$4.17	$1.11
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	09/08/10	03/31/13	$3.53	$2.53	$1.00
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	09/09/10	03/31/13	$5.88	$4.18	$1.70
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	09/09/10	04/09/13	$2.70	$2.14	$0.56
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	09/08/10	04/09/13	$5.40	$4.31	$1.09
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	09/08/10	04/30/13	$3.05	$2.55	$0.50
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	09/09/10	04/30/13	$5.09	$4.22	$0.87
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	09/08/10	05/10/13	$5.57	$4.91	$0.66
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	09/09/10	05/10/13	$2.79	$2.45	$0.34
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	09/09/10	05/31/13	$4.88	$4.33	$0.55
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	09/08/10	05/31/13	$2.92	$2.61	$0.31
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	05/21/12	05/31/13	$1.95	$2.46	$-0.51
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	05/21/12	06/17/13	$2.44	$2.80	$-0.36
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	09/08/10	06/17/13	$4.87	$4.42	$0.45
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	09/09/10	06/17/13	$2.44	$2.21	$0.23
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	09/09/10	06/30/13	$4.25	$4.34	$-0.09
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	05/21/12	06/30/13	$1.70	$2.47	$-0.77
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	09/08/10	06/30/13	$2.55	$2.62	$-0.07
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	05/21/12	07/10/13	$2.40	$3.03	$-0.63

Long term transactions for 28% gains and losses

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	09/09/10	07/10/13	$2.40	$2.39	$0.01
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	09/08/10	07/10/13	$4.80	$4.81	$-0.01
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	05/21/12	07/31/13	$1 58	$2.27	$-0.69
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	09/08/10	07/31/13	$2.36	$2.39	$-0.03
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	09/09/10	07/31/13	$3.94	$3.97	$-0.03
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	09/08/10	08/15/13	$4.42	$4.18	$0.24
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	09/09/10	08/15/13	$2.21	$2.08	$0.13
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	05/21/12	08/15/13	$2.21	$2.64	$-0.43
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	09/09/10	08/31/13	$4.42	$3.63	$0.79
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	05/21/12	08/31/13	$1.77	$2.07	$-0.30
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	09/08/10	08/31/13	$2.66	$2.20	$0.46
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	09/09/10	09/19/13	$2.34	$2.14	$0.20
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	05/21/12	09/19/13	$2.34	$2.72	$-0.38
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	09/08/10	09/19/13	$4.68	$4.31	$0.37
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	05/21/12	09/30/13	$1.78	$2.32	$-0.54
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	09/09/10	09/30/13	$4.45	$4.07	$0.38
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	09/08/10	09/30/13	$2.67	$2.46	$0.21
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	09/08/10	10/14/13	$4.82	$4.72	$0.10
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	05/21/12	10/14/13	$2.41	$2.98	$-0.57
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	09/09/10	10/14/13	$2.41	$2.34	$0.07
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	09/09/10	10/31/13	$4.47	$4.08	$0.39
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	05/21/12	10/31/13	$1.79	$2.33	$-0.54
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	09/08/10	10/31/13	$2.69	$2.48	$0.21
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	09/09/10	11/13/13	$2.16	$2.12	$0.04
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	05/21/12	11/13/13	$2.16	$2.69	$-0.53
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	09/08/10	11/13/13	$4 31	$4.26	$0.05
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	05/21/12	11/30/13	$1.67	$2.39	$-0.72
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	09/09/10	11/30/13	$4.18	$4.20	$-0.02

Long term transactions for 28% gains and losses

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	1	09/08/10	11/30/13	$2.51	$2.54	$-0.03
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	05/21/12	12/17/13	$2.07	$2.67	$-0.60
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	09/09/10	12/17/13	$2.07	$2.10	$-0.03
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	1	09/08/10	12/17/13	$4.14	$4.23	$-0.09
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	100	09/08/10	12/23/13	$11,550.15	$12,130.70	$-580.55
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	50	05/21/12	12/23/13	$5,775.08	$7,659.03	$-1,883.95
SPDR GOLD TR GOLD SHS ETF	GLD	78463V107	50	09/09/10	12/23/13	$5,775.07	$6,032.26	$-257.19
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	300	09/08/10	12/23/13	$5,601.11	$5,780.88	$-179.77
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	500	09/09/10	12/23/13	$9,335.19	$9,565.82	$-230.63
ISHARES SILVER TR ISHARES ETF	SLV	46428Q109	200	05/21/12	12/23/13	$3,734.07	$5,453.14	$-1,719.07
Total long term 28% gain/loss:						$41,972.95	$46,808.68	$-4,835.73

Allen Family Foundation
Part XV Line 3a
Grants and Contributions Paid During 2013

Recipient	Location	Foundation Status	Purpose of Grant	Amount
Alzheimers Assn	Chicago, IL	Sec 501 (C) (3)	General Support	500
American Institute of Cancer Research	Washington, DC	Sec 501 (C) (3)	General Support	250
American Red Cross	New York, NY	Sec 501 (C) (3)	Community Services	1,000
Arts for the Schools	Truckee, CA	Sec 501 (C) (3)	Children's Programs	1,000
Carter Center	Atlanta, GA	Sec 501 (C) (3)	General Support	1,000
Children's Institute	Mercer Is , WA	Sec 501 (C) (3)	Children's Programs	1,000
Common Cause	Washington, DC	Sec 501 (C) (3)	General Support	500
Compass Housing Alliance	Seattle, WA	Sec 501 (C) (3)	General Support	1,000
Covenant House	New York, NY	Sec 501 (C) (3)	Humanitarian Services	1,000
Delta, Delta Delta Foundation	Arlington, TX	Sec 501 (C) (3)	General Support	500
Doctors Without Borders	Georgia	Sec 501 (C) (3)	Humanitarian Services	1,000
Dreams for Orphans	Seattle, WA	Sec 501 (C) (3)	General Support	1,000
Earth Island Institute	Berkeley, CA	Sec 501 (C) (3)	Environmental Protection	1,000
Glide Church	San Francisco, CA	Sec 501 (C) (3)	General Support	500
Kiwanis Club	Lindsay, CA	Sec 501 (C) (3)	Community Services	5,000
KQED	San Francisco, CA	Sec 501 (C) (3)	General Support	100
League to Save Lake Tahoe	So Lake Tahoe, CA	Sec 501 (C) (3)	General Support	1,000
Mayo Clinic Cancer Drive	Scottsdale, AZ	Sec 501 (C) (3)	General Support	1,000
Metropolitan Opera Guild	New York, NY	Sec 501 (C) (3)	Arts Enrichment	1,000
Millionair Club Charity	Seattle, WA	Sec 501 (C) (3)	Community Services	500
Mockingbird Society	Seattle, WA	Sec 501 (C) (3)	Children's Programs	1,000
National Parks Conservation Assoc	Washington, DC	Sec 501 (C) (3)	Environmental Protection	1,000
Nature Conservancy	San Diego, CA	Sec 501 (C) (3)	Environmental Protection	500
North Tahoe AAUW Education Foundation	Tahoe City CA	Sec 501 (C) (3)	General Support	500
Oxfam	Boston, MA	Sec 501 (C) (3)	Humanitarian Services	200
Placer Co Search & Rescue	Tahoe City CA	Sec 501 (C) (3)	Community Services	500
Primvera Foundation	Tucson Az	Sec 501 (C) (3)	Community Services	500
Project Mana	Incline Village NV	Sec 501 (C) (3)	Community Services	500
Rotary First Harvest	Seattle, WA	Sec 501 (C) (3)	Community Services	1,000
Salvation Army	Tucson Az	Sec 501 (C) (3)	Humanitarian Services	2,500
San Francisco Food Bank	San Francisco, CA	Sec 501 (C) (3)	Community Services	1,500
Save the Bay	Oakland, CA	Sec 501 (C) (3)	Environmental Protection	500
Sierra Club	San Francisco, CA	Sec 501 (C) (3)	Environmental Protection	1,000
Sierra Senior Services	Truckee, CA	Sec 501 (C) (3)	Community Services	1,000
Squaw Valley Chapel	Tahoe City, CA	Sec 501 (C) (3)	Community Services	250
Stanford Fund	Palo Alto CA	Sec 501 (C) (3)	Endowment Fund	1,000
Tahoe Rim Trail Assn	Stateline, NV	Sec 501 (C) (3)	Environmental Protection	250
TMC Foundation	Tucson Az	Sec 501 (C) (3)	Food Conservation Prgm	500

Truckee Tahoe Community Foundation	Truckee, CA	Sec 501 (C) (3)	Community Services	25,000
Unicef	New York, NY	Sec 501 (C) (3)	Humanitarian Services	500
Wilderness Society	Washington, DC	Sec 501 (C) (3)	Environmental Protection	1,000
Yosemite Fund	San Francisco, CA	Sec 501 (C) (3)	Environmental Protection	1,000
Line 3 a. Total Grants and Contributions Paid During Year				61,550

Settlement Date

U.S. TRUST

Bank of America Private Wealth Management

Portfolio Analysis

Jan. 01, 2013 through Dec. 31, 2013

Account: 68-01-101-1910439 SHIRLEY & DAVID ALLEN FDN - COMB

Portfolio Summary by Asset Class

Description	*Market Value*	*% of Account*	*% of Sector*	*Tax Cost*	*Estimated Annual Income*	*Current Yield*
Cash/Currency						
Cash Equivalents	$94,782.97	6.9%	91.0%	$94,781.83	$13 30	0.01%
Foreign Currency	9,361 00	0 7	9.0	9,598.50	20 00	0.21
Total Cash/Currency	**$104,143.97**	**7.5%**	**100.0%**	**$104,380.33**	**$33.30**	**0.03%**
Equities						
U.S. Large Cap	$434,621.86	31.5%	43.1%	$255,623 66	$10,169 02	2.34%
U.S. Mid Cap	137,980 80	10.0	13 7	94,143.68	2,162.28	1.56
U.S. Small Cap	52,661.05	3.8	5 2	31,378.42	785.16	1.49
International Developed	303,956.65	22.0	30.1	221,312.86	4,823.60	1.58
Emerging Markets	79,420.77	5.8	7.9	78,691.43	1,464.15	1.84
Total Equities	**$1,008,641.13**	**73.1%**	**100.0%**	**$681,150.05**	**$19,404.21**	**1.92%**
Fixed Income						
Investment Grade Taxable	$119,898.37	8.7%	70 6%	$117,754.82	$3,701 01	3 09%
International Developed Bonds	9,390.24	0.7	5.5	10,000.00	368.01	3.91
Global High Yield Taxable	40,639 03	2.9	23.9	40,422.75	2,646.35	6.52
Total Fixed Income	**$169,927.64**	**12.3%**	**100.0%**	**$168,177.57**	**$6,715.37**	**3.95%**
Real Estate						
Public REITs	$49,077.44	3 6%	100.0%	$54,976.75	$1,679.48	3 42%
Total Real Estate	**$49,077.44**	**3.6%**	**100.0%**	**$54,976.75**	**$1,679.48**	**3.42%**
Tangible Assets						
Commodities	$47,832 95	3 5%	100 0%	$51,919.94	$0.00	0.00%
Total Tangible Assets	**$47,832.95**	**3.5%**	**100.0%**	**$51,919.94**	**$0.00**	**0.00%**
Total Assets	**$1,379,623.13**	**100.0%**		**$1,060,604.64**	**$27,832.36**	**2.02%**
Total	**$1,379,623.13**			**$1,060,604.64**	**$27,832.36**	

U.S. TRUST
Bank of America Private Wealth Management

Portfolio Detail

Jan. 01, 2013 through Dec. 31, 2013

Account: 68-01-101-1910439 SHIRLEY & DAVID ALLEN FDN - COMB
Sub-Account: 68-01-101-0481093 IM SHIRLEY & DAVID ALLEN FDN

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Cash/Currency								
Cash Equivalents								
6,048.040	BOFA CASH RESERVES CAPITAL CLASS (Income Investment)	097100853	$6,048.04	$0.09	$6,048.04 1.000	$0.00	$0.85	0.01%
56,659.640	BOFA CASH RESERVES CAPITAL CLASS	097100853	56,659.64	0.26	56,659.64 1.000	0.00	7.93	0.01
	Total Cash Equivalents		**$62,707.68**	**$0.35**	**$62,707.68**	**$0.00**	**$8.78**	**0.01%**
Foreign Currency								
100.000	CURRENCYSHARES CANADIAN DOLLAR TR	23129X105	$9,361.00 93.610	$0.00	$9,598.50 95.985	-$237.50	$20.00	0.21%
	Total Foreign Currency		**$9,361.00**	**$0.00**	**$9,598.50**	**-$237.50**	**$20.00**	**0.21%**
Total Cash/Currency			**$72,068.68**	**$0.35**	**$72,306.18**	**-$237.50**	**$28.78**	**0.04%**
Equities								

(2)Industry Sector Codes
CND = Consumer Discretionary
CNS = Consumer Staples
ENR = Energy
FIN = Financials
HEA = Health Care
IND = Industrials
IFT = Information Technology
MAT = Materials
OEQ = Other Equities
TEL = Telecommunication Services
UTL = Utilities

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
U.S. Mid Cap								
200.000	ISHARES RUSSELL MID-CAP ETF Ticker: IWR	464287499 OEQ	$29,996.00 149.980	$0.00	$17,590.00 87.950	$12,406.00	$394.20	1.31%
2,500.000	POWERSHARES HIGH YIELD EQUITY DIVID ACHIEVERS Ticker: PEY	73935X302 OEQ	29,625.00 11.850	0.00	23,212.50 9.285	6,412.50	970.00	3.27
	Total U.S. Mid Cap		**$59,621.00**	**$0.00**	**$40,802.50**	**$18,818.50**	**$1,364.20**	**2.28%**
U.S. Small Cap								
200.000	ISHARES RUSSELL 2000 ETF Ticker: IWM	464287655 OEQ	$23,072.00 115.360	$0.00	$13,326.00 66.630	$9,746.00	$282.80	1.22%

U.S. TRUST
Bank of America Private Wealth Management

Portfolio Detail

Jan. 01, 2013 through Dec. 31, 2013

Account: 68-01-101-1910439 SHIRLEY & DAVID ALLEN FDN - COMB
Sub-Account: 68-01-101-0481093 IM SHIRLEY & DAVID ALLEN FDN

Units	Description	CUSIP / Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
U.S. Small Cap (cont)								
	Total U.S. Small Cap		**$23,072.00**	**$0.00**	**$13,326.00**	**$9,746.00**	**$282.80**	**1.22%**
International Developed								
494.071	ARTISAN INTERNATIONAL FUND Ticker: ARTI X	04314H204 OEQ	$15,059.28 30.480	$0.00	$15,000.00 30.360	$59.28	$280.14	1.86%
200.000	ISHARES INC MSCI AUSTRALIA ETF Ticker: EWA	464286103 OEQ	4,874.00 24.370	4.87	4,196.00 20.980	678.00	228.40	4.68
150.000	ISHARES INTL SELECT DIVIDEND ETF Ticker: IDV	464288448 OEQ	5,691.00 37.940	0.00	4,673.18 31.155	1,017.82	254.85	4.47
300.000	ISHARES MSCI CANADA ETF Ticker: EWC	464286509 OEQ	8,748.00 29.160	0.00	8,215.50 27.385	532.50	207.30	2.37
100.000	WISDOMTREE INTL SMALLCAP DIVIDEND FUND Ticker: DLS	97717W760 OEQ	6,301.00 63.010	0.00	4,833.00 48.330	1,468.00	245.30	3.89
	Total International Developed		**$40,673.28**	**$4.87**	**$36,917.68**	**$3,755.60**	**$1,215.99**	**2.99%**
Emerging Markets								
600.000	EGSHARES EMERGING MARKETS CONSUMER ETF Ticker: ECON	268461779 OEQ	$16,128.00 26.880	$117.77	$14,121.50 23.536	$2,006.50	$117.60	0.72%
250.000	ISHARES CHINA LARGE-CAP ETF Ticker: FXI	464287184 OEQ	9,592.50 38.370	0.00	10,122.75 40.491	-530.25	253.25	2.64
200.000	ISHARES MSCI BRAZIL CAPPED ETF Ticker: EWZ	464286400 OEQ	8,936.00 44.680	0.00	12,915.92 64.580	-3,979.92	288.20	3.22
500.000	ISHARES MSCI EMERGING MARKETS ETF Ticker: EEM	464287234 OEQ	20,897.50 41.795	0.00	20,067.50 40.135	830.00	429.00	2.05

Portfolio Detail

Jan. 01, 2013 through Dec. 31, 2013

Account: 68-01-101-1910439 SHIRLEY & DAVID ALLEN FDN - COMB
Sub-Account: 68-01-101-0481093 IM SHIRLEY & DAVID ALLEN FDN

Units	Description	CUSIP / Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
Emerging Markets (cont)								
200.000	ISHARES MSCI SOUTH KOREA CAPPED ETF Ticker: EWY	464286772 OEQ	12,934.00 64.670	0.00	11,460.01 57.300	1,473.99	179.60	1.38
750.000	ISHR MSCI TAIWAN ETF Ticker: EWT	464286731 OEQ	10,815.00 14.420	0.00	10,003.75 13.338	811.25	196.50	1.81
	Total Emerging Markets		**$79,303.00**	**$117.77**	**$78,691.43**	**$611.57**	**$1,464.15**	**1.84%**
Total Equities			**$202,669.28**	**$122.64**	**$169,737.61**	**$32,931.67**	**$4,327.14**	**2.13%**
Fixed Income								
Investment Grade Taxable								
3,044.978	COLUMBIA INTERMEDIATE BOND FUND CLASS Z SHARES	19765N468	$27,252.55 8.950	$55.79	$27,680.89 9.091	-$428.34	$742.97	2.72%
1,724.091	MANAGERS BOND FUND	561717505	47,119.41 27.330	0.00	45,073.93 26.144	2,045.48	1,537.89	3.26
4,303.489	METRO WEST T/R BD CL I	592905509	45,401.81 10.550	68.81	45,000.00 10.457	401.81	1,420.15	3.12
	Total Investment Grade Taxable		**$119,773.77**	**$124.60**	**$117,754.82**	**$2,018.95**	**$3,701.01**	**3.09%**
International Developed Bonds								
717.360	TEMPLETON GLOBAL BD FUND ADVISOR CL	880208400	$9,390.24 13.090	$0.00	$10,000.00 13.940	-$609.76	$368.01	3.91%
	Total International Developed Bonds		**$9,390.24**	**$0.00**	**$10,000.00**	**-$609.76**	**$368.01**	**3.91%**
Global High Yield Taxable								
1,920.260	ARTIO GLOBAL HIGH INCOME FUND CL I	04315J860	$19,298.61 10.050	$0.00	$19,959.31 10.394	-$660.70	$1,384.51	7.17%
2,209.875	PIMCO HIGH YIELD FD INSTL CL	693390841	21,236.90 9.610	103.52	20,463.44 9.260	773.46	1,261.84	5.94

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Portfolio Detail

Jan. 01, 2013 through Dec. 31, 2013

Account: 68-01-101-1910439 SHIRLEY & DAVID ALLEN FDN - COMB
Sub-Account: 68-01-101-0481093 IM SHIRLEY & DAVID ALLEN FDN

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Fixed Income (cont)								
Global High Yield Taxable (cont)								
	Total Global High Yield Taxable		**$40,535.51**	**$103.52**	**$40,422.75**	**$112.76**	**$2,646.35**	**6.52%**
Total Fixed Income			**$169,699.52**	**$228.12**	**$168,177.57**	**$1,521.95**	**$6,715.37**	**3.95%**
Real Estate								
Public REITs								
1,656.726	COLUMBIA REAL ESTATE EQUITY FUND CLASS Z SHARES	19765P547	$21,537.44 13.000	$0.00	$25,000.00 15.090	-$3,462.56	$574.88	2.66%
150.000	VANGUARD GLOBAL EX-U S REAL ESTATE ETF	922042676	8,172.00 54.480	0.00	9,029.25 60.195	-857.25	267.30	3.27
300.000	VANGUARD REIT ETF	922908553	19,368.00 64.560	0.00	20,947.50 69.825	-1,579.50	837.30	4.32
	Total Public REITs		**$49,077.44**	**$0.00**	**$54,976.75**	**-$5,899.31**	**$1,679.48**	**3.42%**
Total Real Estate			**$49,077.44**	**$0.00**	**$54,976.75**	**-$5,899.31**	**$1,679.48**	**3.42%**
Tangible Assets								
Commodities								
6,615.899	CREDIT SUISSE COMMODITY-RETURN STRATEGY FUND CL I	22544R305	$47,832.95 7.230	$0.00	$51,919.94 7.848	-$4,086.99	$0.00	0.00%
	Total Commodities		**$47,832.95**	**$0.00**	**$51,919.94**	**-$4,086.99**	**$0.00**	**0.00%**
Total Tangible Assets			**$47,832.95**	**$0.00**	**$51,919.94**	**-$4,086.99**	**$0.00**	**0.00%**
Total Portfolio			**$541,347.87**	**$351.11**	**$517,118.05**	**$24,229.82**	**$12,750.77**	**2.35%**
Accrued Income			$351.11					

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Bank of America Private Wealth Management

Portfolio Detail

Jan. 01, 2013 through Dec. 31, 2013

Account: 68-01-101-1910439 SHIRLEY & DAVID ALLEN FDN - COMB
Sub-Account: 68-01-101-1910447 IM SHIRLEY & DAVID ALLEN FDN GOP

Units	Description	CUSIP / Sector (2)	Market Value (1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Cash/Currency								
Cash Equivalents								
48.310	BANK OF AMERICA TEMPORARY OVERNIGHT DEPOSIT (Income Investment)	99Z490460	$48.31	$0.00	$48.31 1.000	$0.00	$0.03	0.06%
4,108.550	BOFA CASH RESERVES CAPITAL CLASS (Income Investment)	097100853	4,108.55	0.10	4,108.55 1.000	0.00	0.58	0.01
786.040	BOFA CASH RESERVES CAPITAL CLASS	097100853	786.04	0.04	786.04 1.000	0.00	0.11	0.01
	Total Cash Equivalents		**$4,942.90**	**$0.14**	**$4,942.90**	**$0.00**	**$0.72**	**0.01%**
Total Cash/Currency			**$4,942.90**	**$0.14**	**$4,942.90**	**$0.00**	**$0.72**	**0.01%**

Equities

(2)Industry Sector Codes
CND = Consumer Discretionary, CNS = Consumer Staples, ENR = Energy
FIN = Financials, HEA = Health Care, IND = Industrials
IFT = Information Technology, MAT = Materials, OEQ = Other Equities
TEL = Telecommunication Services, UTL = Utilities

Units	Description	CUSIP / Sector (2)	Market Value (1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
U.S. Large Cap								
59.000	ALEXION PHARMACEUTICALS INC Ticker: ALXN	015351109 HEA	$7,840.16 132.884	$0.00	$2,496.67 42.316	$5,343.49	$0.00	0.00%
52.000	BERKSHIRE HATHAWAY INC DEL NEW CL B COM Ticker: BRK B	084670702 FIN	6,165.12 118.560	0.00	3,934.77 75.669	2,230.35	0.00	0.00
77.000	CME GROUP INC Ticker: CME	12572Q105 FIN	6,041.42 78.460	200.20	5,929.46 77.006	111.96	138.60	2.29
63.000	EXXON MOBIL CORP Ticker: XOM	30231G102 ENR	6,375.60 101.200	0.00	4,070.65 64.613	2,304.95	158.76	2.49
4.000	GOOGLE INC CL A COM Ticker: GOOG	38259P508 IFT	4,482.84 1,120.710	0.00	2,152.34 538.085	2,330.50	0.00	0.00
119.000	LAS VEGAS SANDS CORP Ticker: LVS	517834107 CND	9,385.53 78.870	0.00	2,704.27 22.725	6,681.26	166.60	1.77

Settlement Date

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Bank of America Private Wealth Management

Portfolio Detail

Jan. 01, 2013 through Dec. 31, 2013

Account: 68-01-101-1910439 SHIRLEY & DAVID ALLEN FDN - COMB
Sub-Account: 68-01-101-1910447 IM SHIRLEY & DAVID ALLEN FDN GOP

Units	Description	CUSIP / Sector (2)	Market Value (1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
U.S. Large Cap (cont)								
9.000	MASTERCARD INC CL A COM Ticker: MA	57636Q104 IFT	7,519 14 835.460	0.00	1,750.63 194.514	5,768.51	39.60	0.52
70.000	MONSANTO CO NEW COM Ticker: MON	61166W101 MAT	8,158.50 116.550	0.00	3,905.21 55.789	4,253.29	120.40	1.47
25.000	REGENERON PHARMACEUTICALS Ticker: REGN	75886F107 HEA	6,881.00 275.240	0.00	966.37 38.655	5,914.63	0.00	0.00
60.000	ROCKWELL AUTOMATION INC Ticker: ROK	773903109 IND	7,089.60 118.160	0.00	4,723.98 78.733	2,365.62	139.20	1.96
	Total U.S. Large Cap		**$69,938.91**	**$200.20**	**$32,634.35**	**$37,304.56**	**$763.16**	**1.09%**
U.S. Mid Cap								
28.000	INTERNATIONAL FLAVORS&FRAGRANC Ticker: IFF	459506101 MAT	$2,407.44 85.980	$10.92	$2,043.55 72.984	$363.89	$43.68	1.81%
10.000	INTUITIVE SURGICAL INC Ticker: ISRG	46120E602 HEA	3,840.80 384.080	0.00	5,018.06 501.806	-1,177.26	0.00	0.00
136.000	LEUCADIA NATL CORP Ticker: LUK	527288104 FIN	3,854.24 28.340	0.00	3,168.57 23.298	685.67	34.00	0.88
73.000	QUESTCOR PHARMACEUTICALS INC Ticker: QCOR	74835Y101 HEA	3,974.85 54.450	0.00	2,293.24 31.414	1,681.61	87.60	2.20
52.000	RENAISSANCERE HOLDINGS LTD BERMUDA Ticker: RNR	G7496G103 FIN	5,061.68 97.340	0.00	4,102.56 78.895	959.12	58.24	1.15
	Total U.S. Mid Cap		**$19,139.01**	**$10.92**	**$16,625.98**	**$2,513.03**	**$223.52**	**1.16%**
U.S. Small Cap								
35.000	ENSTAR GROUP LTD BERMUDA Ticker: ESGR	G3075P101 FIN	$4,861.85 138.910	$0.00	$2,651.36 75.753	$2,210.49	$0.00	0.00%
377.000	SENOMYX INC Ticker: SNMX	81724Q107 MAT	1,907.62 5.060	0.00	1,808.66 4.798	98.96	0.00	0.00

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Portfolio Detail

Jan. 01, 2013 through Dec. 31, 2013

Account: 68-01-101-1910439 SHIRLEY & DAVID ALLEN FDN - COMB
Sub-Account: 68-01-101-1910447 IM SHIRLEY & DAVID ALLEN FDN GOP

Units	Description	CUSIP / Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
U.S. Small Cap (cont)								
258.000	SHIP FIN INTL LTD BERMUDA Ticker: SFL	G81075106 ENR	4,226.04 16.380	0.00	4,004.19 15.520	221.85	402.48	9.52
	Total U.S. Small Cap		**$10,995.51**	**$0.00**	**$8,464.21**	**$2,531.30**	**$402.48**	**3.66%**
International Developed								
67.000	ACTELION LTD ISIN CH0010532478 SWITZERLAND Ticker: ATLN VX	B1YD5Q2#4 HEA	$5,676.56 84.725	$0.00	$4,917.36 73.393	$759.20	$0.00	0.00%
37.000	ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR BELGIUM Ticker: BUD	03524A108 CNS	3,939.02 106.460	0.00	1,960.07 52.975	1,978.95	92.65	2.35
1,662.000	BOMBARDIER INC CL B CANADA Ticker: BDRBF	097751200 IND	7,229.70 4.350	37.40	6,605.03 3.974	624.67	148.67	2.05
4,233.000	CHINA HIGH PRECISION AUTOMATION GROUP LTD COM ISIN KYG211221091 CAYMAN ISLANDS Ticker: 591 HK	B4QL6N9#8 IND	666.02 0.157	0.00	3,479.90 0.822	-2,813.88	0.00	0.00
70.000	CIE FINANCIERE RICHEMONT SA ISIN CH0210483332 SWITZERLAND Ticker: CFR VX	BCRWZ18#2 CND	6,989.37 99.848	0.00	4,994.32 71.347	1,995.05	0.00	0.00
41.000	DASSAULT SYSTEMES EUR1 ISIN FR0000130650 FRANCE Ticker: DSY FP	5330047#8 IFT	5,097.63 124.332	0.00	5,203.89 126.924	-106.26	0.00	0.00
22.000	FANUC CORP JPY50 ISIN JP3802400006 JAPAN Ticker: 6954 JP	6356934#8 IND	4,053.60 184.255	0.00	2,685.02 122.046	1,368.58	0.00	0.00

Portfolio Detail

Jan. 01, 2013 through Dec. 31, 2013

Account: 68-01-101-1910439 SHIRLEY & DAVID ALLEN FDN - COMB
Sub-Account: 68-01-101-1910447 IM SHIRLEY & DAVID ALLEN FDN GOP

Units	Description	CUSIP / Sector (2)	Market Value (1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
International Developed (cont)								
22.000	FAST RETAILING CO LTD ISIN JP3802300008 JAPAN Ticker: 9983 JP	6332439#9 CND	9,139.03 415.410	0.00	3,124.81 142.037	6,014.22	0.00	0.00
45.000	GEMALTO NV ISIN NL0000400653 NETHERLANDS Ticker: GTO NA	B9MS8P5#3 IFT	4,961.24 110.250	0.00	3,999.77 88.884	961.47	0.00	0.00
2.000	GIVAUDAN AG CHF10 ISIN CH0010645932 SWITZERLAND Ticker: GIVN VX	5980613#4 MAT	2,865.01 1,432.507	0.00	2,490.64 1,245.320	374.37	0.00	0.00
21.000	INDUSTRIA DE DISENO TEXTIL S A ISIN ES0148396015 SPAIN Ticker: ITX SM	7111314#6 CND	3,466.65 165.078	0.00	2,599.60 123.790	867.05	0.00	0.00
205.000	JAPAN EXCHANGED GROUP INC ISIN JP3183200009 JAPAN Ticker: 8697 JP	6743882@1 FIN	5,864.99 28.610	0.00	3,026.02 14.761	2,838.97	0.00	0.00
223.000	JARDINE STRATEGIC HLDGS LTD ISIN BMG507641022 BERMUDA Ticker: JS SP	6472960#0 IND	7,136.00 32.000	0.00	5,385.62 24.151	1,750.38	0.00	0.00
18.000	KEYENCE CORP FIRST SECTION COM ISIN JP3236200006 JAPAN Ticker: 6861 JP	6490995#1 IFT	7,753.05 430.725	0.00	5,023.90 279.106	2,729.15	0.00	0.00
138.000	KUKA AG ISIN DE0006204407 GERMANY Ticker: KU2 GR	5529191#9 IND	6,474.85 46.919	0.00	4,580.90 33.195	1,893.95	0.00	0.00
61.000	NIDEC CORP ISIN JP3734800000 JAPAN Ticker: 6594 JP	6640682#9 IFT	5,977.83 97.997	0.00	3,805.60 62.387	2,172.23	0.00	0.00
4,393.000	NOBLE GROUP LTD ISIN BMG6542T1190 BERMUDA Ticker: NOBL SP	B01CLC3#6 IND	3,722.85 0.847	0.00	4,587.30 1.044	-864.45	0.00	0.00

Portfolio Detail

Jan. 01, 2013 through Dec. 31, 2013

Account: 68-01-101-1910439 SHIRLEY & DAVID ALLEN FDN - COMB
Sub-Account: 68-01-101-1910447 IM SHIRLEY & DAVID ALLEN FDN GOP

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
International Developed (cont)								
41.000	NOVO-NORDISK A S ADR DENMARK Ticker: NVO	670100205 HEA	7,575.16 184.760	0.00	3,668.71 89.481	3,906.45	92.82	1.22
160.000	NOVOZYMES A/S SER B COM ISIN DK0060336014 DENMARK Ticker: NZYMB DC	B798FW0#8 MAT	6,764.56 42.278	0.00	3,910.42 24.440	2,854.14	0.00	0.00
125.000	ONEX CORP ISIN CA68272K1030 CANADA Ticker: OCX CN	2659518#3 FIN	6,743.86 53.951	0.00	2,991.47 23.932	3,752.39	16.95	0.25
615.000	RAKUTEN INC TOKYO ISIN JP3967200001 JAPAN Ticker: 4755 JP	6229597#5 IFT	9,206.60 14.970	0.00	6,019.42 9.788	3,187.18	0.00	0.00
46.000	RHJ INTL ISIN BE0003815322 BELGIUM Ticker: RHJI BB	B06S4F0#1 FIN	233.89 5.085	0.00	208.07 4.523	25.82	0.00	0.00
22.000	ROCHE HLDGS AG-GENUSSCHEIN ISIN CH0012032048 SWITZERLAND Ticker: ROG VX	7110388#9 HEA	6,164.50 280.205	0.00	4,069.97 184.999	2,094.53	0.00	0.00
69.000	ROLLS-ROYCE HLDGS PLC SPONSORED ADR UNITED KINGDOM Ticker: RYCEY	775781206 IND	7,285.50 105.587	47.20	3,041.17 44.075	4,244.33	37.40	0.51
107.000	SALVATORE FERRAGAMO ITALIA SPA ISIN IT0004712375 ITALY Ticker: SFER IM	B5VZ053#9 CND	4,076.73 38.100	0.00	2,615.86 24.447	1,460.87	0.00	0.00
3,403.000	SHINSEI BK LTD ISIN JP3729000004 JAPAN Ticker: 8303 JP	6730936#2 FIN	8,371.07 2.460	0.00	3,960.64 1.164	4,410.43	0.00	0.00
82.000	SOFTBANK CORP ISIN JP3436100006 JAPAN Ticker: 9984 JP	6770620#9 TEL	7,220.87 88.059	0.00	5,505.50 67.140	1,715.37	0.00	0.00

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Portfolio Detail

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Account: 68-01-101-1910439 SHIRLEY & DAVID ALLEN FDN - COMB
Sub-Account: 68-01-101-1910447 IM SHIRLEY & DAVID ALLEN FDN GOP

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
International Developed (cont)								
4.000	SWATCH GROUP AG ISIN CH0012255151 SWITZERLAND Ticker: UHR VX	7184725#6 CND	2,651.37 662.844	0.00	2,351.84 587.960	299.53	0.00	0.00
60.000	VALEANT PHARMACEUTICALS INTL INC CDA COM CANADA Ticker: VRX	91911K102 HEA	7,044.00 117.400	0.00	2,929.35 48.823	4,114.65	22.80	0.32
	Total International Developed		**$164,351.51**	**$84.60**	**$109,742.17**	**$54,609.34**	**$411.29**	**0.25%**
Total Equities			**$264,424.94**	**$295.72**	**$167,466.71**	**$96,958.23**	**$1,800.45**	**0.68%**
Total Portfolio			**$269,367.84**	**$295.86**	**$172,409.61**	**$96,958.23**	**$1,801.17**	**0.66%**
Accrued Income			$295.86					
Total			**$269,663.70**					

(1) Market Value in the Portfolio Detail section does not include Accrued Income

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Bank of America Private Wealth Management

Portfolio Detail

Jan. 01, 2013 through Dec. 31, 2013

Account: 68-01-101-1910439 SHIRLEY & DAVID ALLEN FDN - COMB
Sub-Account: 68-01-101-1910512 IM S & D ALLEN FDN - ALA-ACG

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Cash/Currency								
Cash Equivalents								
3,430.700	BOFA CASH RESERVES CAPITAL CLASS	097100853	$3,430.70	$0.08	$3,430.70 1.000	$0.00	$0.48	0.01%
	Total Cash Equivalents		**$3,430.70**	**$0.08**	**$3,430.70**	**$0.00**	**$0.48**	**0.01%**
Cash/Currency Other								
-1,072.490	INCOME CASH		-$1,072.49 1.000	$0.00	-$1,072.49 1.000	$0.00	$0.00	0.00%
1,072.490	PRINCIPAL CASH		1,072.49 1.000	0.00	1,072.49 1.000	0.00	0.00	0.00
	Total Cash/Currency Other		**$0.00**	**$0.00**	**$0.00**	**$0.00**	**$0.00**	**0.00%**
Total Cash/Currency			**$3,430.70**	**$0.08**	**$3,430.70**	**$0.00**	**$0.48**	**0.01%**

Equities

(2)Industry Sector Codes
CND = Consumer Discretionary
CNS = Consumer Staples
ENR = Energy
FIN = Financials
HEA = Health Care
IND = Industrials
IFT = Information Technology
MAT = Materials
OEQ = Other Equities
TEL = Telecommunication Services
UTL = Utilities

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
U.S. Large Cap								
18.000	APPLE INC Ticker: AAPL	037833100 IFT	$10,098.36 561.020	$0.00	$5,542.44 307.913	$4,555.92	$219.60	2.17%
39.000	BERKSHIRE HATHAWAY INC DEL NEW CL B COM Ticker: BRK B	084670702 FIN	4,623.84 118.560	0.00	3,007.68 77.120	1,616.16	0.00	0.00
40.000	COGNIZANT TECHNOLOGY SOLUTIONS CL A Ticker: CTSH	192446102 IFT	4,039.20 100.980	0.00	2,898.88 72.472	1,140.32	0.00	0.00
70.000	CONOCOPHILLIPS Ticker: COP	20825C104 ENR	4,945.50 70.650	0.00	2,777.48 39.678	2,168.02	193.20	3.90
100.000	CVS CAREMARK CORP Ticker: CVS	126650100 CNS	7,157.00 71.570	0.00	2,993.00 29.930	4,164.00	110.00	1.53

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Portfolio Detail

Jan. 01, 2013 through Dec. 31, 2013

Account: 68-01-101-1910439 SHIRLEY & DAVID ALLEN FDN - COMB
Sub-Account: 68-01-101-1910512 IM S & D ALLEN FDN - ALA-ACG

Units	Description	CUSIP / Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
U.S. Large Cap (cont)								
46.000	ECOLAB INC Ticker: ECL	278865100 MAT	4,796.42 104.270	12.65	2,403.15 52.242	2,393.27	50.60	1.05
63.000	EXPRESS SCRIPTS HLDG CO Ticker: ESRX	30219G108 HEA	4,425.12 70.240	0.00	3,292.92 52.269	1,132.20	0.00	0.00
5.000	GOOGLE INC CL A COM Ticker: GOOG	38259P508 IFT	5,603.55 1,120.710	0.00	2,319.65 463.930	3,283.90	0.00	0.00
80.000	INTUIT Ticker: INTU	461202103 IFT	6,105.60 76.320	0.00	2,921.60 36.520	3,184.00	60.80	0.99
10.000	MASTERCARD INC CL A COM Ticker: MA	57636Q104 IFT	8,354.60 835.460	0.00	1,958.80 195.880	6,395.80	44.00	0.52
55.000	NATIONAL OILWELL VARCO INC Ticker: NOV	637071101 ENR	4,374.15 79.530	0.00	3,883.88 70.616	490.27	57.20	1.30
136.000	ORACLE CORP Ticker: ORCL	68389X105 IFT	5,203.36 38.260	0.00	3,153.84 23.190	2,049.52	65.28	1.25
50.000	PEPSICO INC Ticker: PEP	713448108 CNS	4,147.00 82.940	28.38	3,166.50 63.330	980.50	113.50	2.73
56.000	PHILLIPS 66 Ticker: PSX	718546104 ENR	4,319.28 77.130	0.00	1,852.95 33.088	2,466.33	87.36	2.02
18.000	PRECISION CASTPARTS CORP Ticker: PCP	740189105 IND	4,847.40 269.300	0.00	3,334.33 185.241	1,513.07	2.16	0.04
6.000	PRICELINE COM INC COM NEW Ticker: PCLN	741503403 CND	6,974.40 1,162.400	0.00	3,368.81 561.468	3,605.59	0.00	0.00
57.000	QUALCOMM INC Ticker: QCOM	747525103 IFT	4,232.25 74.250	0.00	3,259.93 57.192	972.32	79.80	1.88
110.000	TJX COS INC NEW Ticker: TJX	872540109 CND	7,010.30 63.730	0.00	3,103.38 28.213	3,906.92	63.80	0.91
34.000	UNION PAC CORP Ticker: UNP	907818108 IND	5,712.00 168.000	26.86	5,242.50 154.191	469.50	107.44	1.88

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Portfolio Detail

Jan. 01, 2013 through Dec. 31, 2013

Account: 68-01-101-1910439 SHIRLEY & DAVID ALLEN FDN - COMB
Sub-Account: 68-01-101-1910512 IM S & D ALLEN FDN - ALA-ACG

Units	Description	CUSIP / Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
U.S. Large Cap (cont)								
115.000	WELLS FARGO & CO NEW COM Ticker: WFC	949746101 FIN	5,221.00 45.400	0.00	3,067.42 26.673	2,153.58	138.00	2.64
	Total U.S. Large Cap		**$112,190.33**	**$67.89**	**$63,549.14**	**$48,641.19**	**$1,392.74**	**1.24%**
U.S. Mid Cap								
61.000	AMPHENOL CORP NEW CL A Ticker: APH	032095101 IFT	$5,439.98 89.180	$12.20	$2,496.12 40.920	$2,943.86	$48.80	0.89%
121.000	DOLLAR TREE INC Ticker: DLTR	256746108 CND	6,826.82 56.420	0.00	4,859.70 40.163	1,967.12	0.00	0.00
49.000	IDEXX LABS INC Ticker: IDXX	45168D104 HEA	5,212.13 106.370	0.00	4,104.25 83.760	1,107.88	0.00	0.00
78.000	LINCOLN ELEC HLDGS INC Ticker: LECO	533900106 IND	5,564.52 71.340	17.94	1,963.26 25.170	3,601.26	71.76	1.29
218.000	LKQ CORP Ticker: LKQ	501889208 CND	7,172.20 32.900	0.00	3,455.58 15.851	3,716.62	0.00	0.00
25.000	MIDDLEBY CORP Ticker: MIDD	596278101 IND	5,993.10 239.724	0.00	1,362.50 54.500	4,630.60	0.00	0.00
45.000	TUPPERWARE BRANDS CORP Ticker: TUP	899896104 CND	4,253.85 94.530	27.90	2,945.70 65.460	1,308.15	111.60	2.62
	Total U.S. Mid Cap		**$40,462.60**	**$58.04**	**$21,187.11**	**$19,275.49**	**$232.16**	**0.57%**
U.S. Small Cap								
88.000	CASEYS GEN STORES INC Ticker: CASY	147528103 CNS	$6,182.00 70.250	$0.00	$4,406.08 50.069	$1,775.92	$63.36	1.02%
138.000	DORMAN PRODS INC Ticker: DORM	258278100 CND	7,733.66 56.041	0.00	2,689.22 19.487	5,044.44	0.00	0.00
83.000	MONRO MUFFLER BRAKE INC Ticker: MNRO	610236101 CND	4,677.88 56.360	0.00	2,492.91 30.035	2,184.97	36.52	0.78

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Bank of America Private Wealth Management

Portfolio Detail

Jan. 01, 2013 through Dec. 31, 2013

Account: 68-01-101-1910439 SHIRLEY & DAVID ALLEN FDN - COMB
Sub-Account: 68-01-101-1910512 IM S & D ALLEN FDN - ALA-ACG

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
U.S. Small Cap (cont)								
	Total U.S. Small Cap		**$18,593.54**	**$0.00**	**$9,588.21**	**$9,005.33**	**$99.88**	**0.53%**
International Developed								
29.000	ACTAVIS PLC IRELAND Ticker: ACT	G0083B108 HEA	$4,872.00 168.000	$0.00	$4,728.85 163.064	$143.15	$0.00	0.00%
40.000	NOVO-NORDISK A S ADR DENMARK Ticker: NVO	670100205 HEA	7,390.40 184.760	0.00	3,424.80 85.620	3,965.60	90.56	1.22
37.000	PERRIGO CO IRELAND Ticker: PRGO	G97822103 HEA	5,678.02 153.460	0.00	5,631.59 152.205	46.43	0.00	0.00
45.000	VALEANT PHARMACEUTICALS INTL INC CDA COM CANADA Ticker: VRX	91911K102 HEA	5,283.00 117.400	0.00	2,856.09 63.469	2,426.91	17.10	0.32
	Total International Developed		**$23,223.42**	**$0.00**	**$16,641.33**	**$6,582.09**	**$107.66**	**0.46%**
Total Equities			**$194,469.89**	**$125.93**	**$110,965.79**	**$83,504.10**	**$1,832.44**	**0.94%**
Total Portfolio			**$197,900.59**	**$126.01**	**$114,396.49**	**$83,504.10**	**$1,832.92**	**0.92%**
Accrued Income			$126.01					
Total			**$198,026.60**					

(1) Market Value in the Portfolio Detail section does not include Accrued Income

Settlement Date

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Bank of America Private Wealth Management

Portfolio Detail

Jan. 01, 2013 through Dec. 31, 2013

Account: 68-01-101-1910439 SHIRLEY & DAVID ALLEN FDN - COMB
Sub-Account: 68-01-101-1918390 IM S & D ALLEN FDN - SCH-LCV

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Cash/Currency								
Cash Equivalents								
5,828.480	BOFA CASH RESERVES CAPITAL CLASS (Income Investment)	097100853	$5,828.48	$0.13	$5,828.48 1.000	$0.00	$0.82	0.01%
17,872.070	BOFA CASH RESERVES CAPITAL CLASS	097100853	17,872.07	0.44	17,872.07 1.000	0.00	2.50	0.01
	Total Cash Equivalents		**$23,700.55**	**$0.57**	**$23,700.55**	**$0.00**	**$3.32**	**0.01%**
Total Cash/Currency			**$23,700.55**	**$0.57**	**$23,700.55**	**$0.00**	**$3.32**	**0.01%**

Equities

(2)Industry Sector Codes
CND = Consumer Discretionary, CNS = Consumer Staples, ENR = Energy
FIN = Financials, HEA = Health Care, IND = Industrials
IFT = Information Technology, MAT = Materials, OEQ = Other Equities
TEL = Telecommunication Services, UTL = Utilities

Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
U.S. Large Cap								
322.000	ALTRIA GROUP INC Ticker: MO	02209S103 CNS	$12,361.58 38.390	$154.56	$6,832.78 21.220	$5,528.80	$618.24	5.00%
281.000	AT&T INC Ticker: T	00206R102 TEL	9,879.96 35.160	0.00	6,892.62 24.529	2,987.34	517.04	5.23
99.000	BOEING CO Ticker: BA	097023105 IND	13,512.51 136.490	0.00	6,168.69 62.310	7,343.82	289.08	2.13
95.000	CHEVRON CORP Ticker: CVX	166764100 ENR	11,866.45 124.910	0.00	6,809.60 71.680	5,056.85	380.00	3.20
411.000	CISCO SYS INC Ticker: CSCO	17275R102 IFT	9,218.73 22 430	0.00	8,253.06 20.080	965.67	279.48	3.03
195.000	CONOCOPHILLIPS Ticker: COP	20825C104 ENR	13,776.75 70.650	0.00	8,663.48 44.428	5,113.27	538.20	3.90
225.000	CORNING INC Ticker: GLW	219350105 IFT	4,009.50 17.820	0.00	3,824.55 16.998	184.95	90.00	2.24
126.000	DOMINION RES INC VA NEW Ticker: D	25746U109 UTL	8,150.94 64.690	0.00	5,325.61 42.267	2,825.33	283.50	3.47

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Portfolio Detail

Jan. 01, 2013 through Dec. 31, 2013

Account: 68-01-101-1910439 SHIRLEY & DAVID ALLEN FDN - COMB
Sub-Account: 68-01-101-1918390 IM S & D ALLEN FDN - SCH-LCV

Units	Description	CUSIP / Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
U.S. Large Cap (cont)								
174.000	DU PONT E I DE NEMOURS & CO Ticker: DD	263534109 MAT	11,304.78 64.970	0.00	6,319.03 36.316	4,985.75	313.20	2.77
404.000	GENERAL ELEC CO Ticker: GE	369604103 IND	11,324.12 28.030	88.88	5,882.20 14.560	5,441.92	355.52	3.13
411.000	INTEL CORP Ticker: INTC	458140100 IFT	10,667.51 25.955	0.00	8,914.37 21.689	1,753.14	369.90	3.46
139.000	J P MORGAN CHASE & CO Ticker: JPM	46625H100 FIN	8,128.72 58.480	0.00	6,345.11 45.648	1,783.61	211.28	2.59
139.000	JOHNSON & JOHNSON Ticker: JNJ	478160104 HEA	12,731.01 91.590	0.00	8,113.43 58.370	4,617.58	366.96	2.88
85.000	KIMBERLY CLARK CORP Ticker: KMB	494368103 CNS	8,879.10 104.460	68.85	5,330.35 62.710	3,548.75	275.40	3.10
182.000	LILLY ELI & CO Ticker: LLY	532457108 HEA	9,282.00 51.000	0.00	6,309.94 34.670	2,972.06	356.72	3.84
294.000	MERCK & CO INC NEW COM Ticker: MRK	58933Y105 HEA	14,714.70 50.050	129.36	9,441.66 32.114	5,273.04	517.44	3.51
185.000	METLIFE INC Ticker: MET	59156R108 FIN	9,975.20 53.920	0.00	7,223.67 39.047	2,751.53	203.50	2.04
345.000	MICROSOFT CORP Ticker: MSFT	594918104 IFT	12,906.45 37.410	0.00	8,721.83 25.281	4,184.62	386.40	2.99
134.000	NEXTERA ENERGY INC Ticker: NEE	65339F101 UTL	11,473.08 85.620	0.00	6,982.74 52.110	4,490.34	353.76	3.08
108.000	PHILIP MORRIS INTL INC Ticker: PM	718172109 CNS	9,410.04 87.130	101.52	5,398.92 49.990	4,011.12	406.08	4.31
182.000	RAYTHEON CO COM NEW Ticker: RTN	755111507 IND	16,507.40 90.700	100.10	9,589.43 52.689	6,917.97	400.40	2.42
112.000	TRAVELERS COS INC Ticker: TRV	89417E109 FIN	10,140.48 90.540	0.00	5,538.40 49.450	4,602.08	224.00	2 20
81.000	3M CO Ticker: MMM	88579Y101 IND	11,360.25 140.250	0.00	6,558.70 80.972	4,801.55	277.02	2.43

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Portfolio Detail

Jan. 01, 2013 through Dec. 31, 2013

Account: 68-01-101-1910439 SHIRLEY & DAVID ALLEN FDN - COMB
Sub-Account: 68-01-101-1918390 IM S & D ALLEN FDN - SCH-LCV

Units	Description	CUSIP / Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
U.S. Large Cap (cont)								
	Total U.S. Large Cap		**$251,581.26**	**$643.27**	**$159,440.17**	**$92,141.09**	**$8,013.12**	**3.18%**
U.S. Mid Cap								
143.000	DIAMOND OFFSHORE DRILLING INC Ticker: DO	25271C102 ENR	$8,139.56 56.920	$0.00	$10,169.20 71.113	-$2,029.64	$71.50	0.87%
126.000	GENUINE PARTS CO Ticker: GPC	372460105 CND	10,481.94 83.190	67.73	5,358.89 42.531	5,123.05	270.90	2.58
	Total U.S. Mid Cap		**$18,621.50**	**$67.73**	**$15,528.09**	**$3,093.41**	**$342.40**	**1.83%**
International Developed								
207.000	ASTRAZENECA PLC SPONSORED ADR UNITED KINGDOM Ticker: AZN	046353108 HEA	$12,289.59 59.370	$0.00	$9,788.96 47.290	$2,500.63	$579.60	4.71%
243.000	BCE INC NEW COM CANADA Ticker: BCE	05534B760 TEL	10,519.47 43.290	135.89	11,028.46 45.385	-508.99	543.59	5.16
70.000	DIAGEO PLC SPONSORED ADR NEW UNITED KINGDOM Ticker: DEO	25243Q205 CNS	9,269.40 132.420	0.00	4,704.86 67.212	4,564.54	209.86	2.26
161.000	HSBC HLDGS PLC SPON ADR NEW UNITED KINGDOM Ticker: HSBC	404280406 FIN	8,875.93 55.130	0.00	7,269.66 45.153	1,606.27	386.40	4.35
147.000	ROYAL DUTCH SHELL PLC SPONSORED ADR CL B UNITED KINGDOM Ticker: RDS/B	780259107 ENR	11,041.17 75.110	0.00	10,530.52 71.636	510.65	529.20	4.79

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Portfolio Detail

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Account: 68-01-101-1910439 SHIRLEY & DAVID ALLEN FDN - COMB
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Units	Description	CUSIP Sector (2)	Market Value(1)/ Market Price	Accrued Income	Tax Cost/ Average Unit Cost	Unrealized Gain/Loss	Estimated Annual Income	Cur Yld/ YTM
Equities (cont)								
International Developed (cont)								
231.000	UNILEVER N V NEW NEW YORK SHSADR NETHERLANDS Ticker: UN	904784709 CNS	9,293.13 40.230	0.00	6,805.26 29.460	2,487.87	273.97	2.94
356.000	VODAFONE GROUP PLC SPONSORED ADR NEW UNITED KINGDOM Ticker: VOD	92857W209 TEL	13,994.36 39.310	200.03	7,883.96 22.146	6,110.40	566.04	4.04
	Total International Developed		**$75,283.05**	**$335.92**	**$58,011.68**	**$17,271.37**	**$3,088.66**	**4.10%**
Total Equities			**$345,485.81**	**$1,046.92**	**$232,979.94**	**$112,505.87**	**$11,444.18**	**3.31%**
Total Portfolio			**$369,186.36**	**$1,047.49**	**$256,680.49**	**$112,505.87**	**$11,447.50**	**3.10%**
Accrued Income			$1,047.49					
Total			**$370,233.85**					

(1) Market Value in the Portfolio Detail section does not include Accrued Income