Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

► **Do not enter Social Security numbers on this form as it may be made public.**
► Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

For calendar year 2013, or tax year beginning , 2013, and ending ,

The Robertson-Finley Foundation
P.O. Box 967
Houston, TX 77001-0967

A Employer identification number 76-0598999

B Telephone number (see the instructions) 713-759-0450

C If exemption application is pending, check here ► ☐

D 1 Foreign organizations, check here ► ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization. ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, column (c), line 16)* ► $ 2,905,364.

J Accounting method. ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*	**(a)** Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
REVENUE				
1 Contributions, gifts, grants, etc, received (att sch)				
2 Ck ► ☒ if the foundn is not req to att Sch B				
3 Interest on savings and temporary cash investments	91.	91.	N/A	
4 Dividends and interest from securities	78,387.	78,387.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain/(loss) from sale of assets not on line 10	128,376.			
b Gross sales price for all assets on line 6a 454,626.				
7 Capital gain net income (from Part IV, line 2)		128,376.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit/(loss) (att sch)				
11 Other income (attach schedule)				
12 **Total.** Add lines 1 through 11	206,854.	206,854.		
OPERATING AND ADMINISTRATIVE EXPENSES				
13 Compensation of officers, directors, trustees, etc.	0.			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach sch) See St 1	1,000.	500.		500.
c Other prof fees (attach sch)				
17 Interest				
18 Taxes (attach schedule)(see instrs) See Stm 2	4,128.	2,050.		
19 Depreciation (attach sch) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) See Statement 3	50.	50.		
24 **Total operating and administrative expenses.** Add lines 13 through 23	5,178.	2,600.		500.
25 Contributions, gifts, grants paid Part XV	92,000.			92,000.
26 **Total expenses and disbursements.** Add lines 24 and 25	97,178.	2,600.		92,500.
27 Subtract line 26 from line 12:				
a **Excess of revenue over expenses and disbursements**	109,676.			
b **Net investment income** (if negative, enter -0-)		204,254.		
c **Adjusted net income** (if negative, enter -0-)				

BAA For Paperwork Reduction Act Notice, see instructions. TEEA0504L 10/18/13

6

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash – non-interest-bearing			
	2	Savings and temporary cash investments	626,113.	891,632.	891,632.
	3	Accounts receivable ►			
		Less: allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less: allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch) ►			
		Less: allowance for doubtful accounts ►			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U.S. and state government obligations (attach schedule)			
	b	Investments – corporate stock (attach schedule) Statement 4	1,555,937.	1,400,094.	2,013,732.
	c	Investments – corporate bonds (attach schedule)			
	11	Investments – land, buildings, and equipment: basis ►			
		Less: accumulated depreciation (attach schedule) ►			
	12	Investments – mortgage loans			
	13	Investments – other (attach schedule)			
	14	Land, buildings, and equipment: basis ►			
		Less: accumulated depreciation (attach schedule) ►			
	15	Other assets (describe ►)			
	16	Total assets (to be completed by all filers – see the instructions. Also, see page 1, item I)	2,182,050.	2,291,726.	2,905,364.
LIABILITIES	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ►)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
NET ASSETS OR FUND BALANCES		**Foundations that follow SFAS 117, check here ► ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ► ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, building, and equipment fund	2,182,050.	2,291,726.	
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	2,182,050.	2,291,726.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	2,182,050.	2,291,726.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,182,050.
2	Enter amount from Part I, line 27a	2	109,676.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	2,291,726.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	2,291,726.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	Publicly-traded Securities	P	Various	Various
b	Capital gain distributions	P	Various	Various
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	454,569.		326,250.	128,319.
b	57.			57.
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				128,319.
b				57.
c				
d				
e				

2 Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 — 2 128,376.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).

If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 — 3 0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	92,500.	2,577,843.	0.035883
2011	99,500.	2,455,516.	0.040521
2010	89,056.	2,225,941.	0.040008
2009	79,975.	1,986,256.	0.040264
2008	111,729.	156,521.	0.713828

2	Total of line 1, column (d)	2	0.870504
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.174101
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	2,817,007.
5	Multiply line 4 by line 3	5	490,444.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,043.
7	Add lines 5 and 6	7	492,487.
8	Enter qualifying distributions from Part XII, line 4	8	92,500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary – see instrs)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here. ► ☐ and enter 1% of Part I, line 27b			1	4,085.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0.
3	Add lines 1 and 2			3	4,085.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	4,085.
6	Credits/Payments				
a	2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a	1,840.		
b	Exempt foreign organizations – tax withheld at source	6 b			
c	Tax paid with application for extension of time to file (Form 8868)	6 c			
d	Backup withholding erroneously withheld	6 d			
7	Total credits and payments. Add lines 6a through 6d			7	1,840.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed. ►			9	2,245.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ►			10	
11	Enter the amount of line 10 to be: Credited to 2014 estimated tax ►	Refunded ►		11	

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1 b		X
	If the answer is 'Yes' to ***1a*** *or* ***1b****, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1 c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► $ 0. (2) On foundation managers ► $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4 a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4 b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► TX			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8 b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► Daniel R. Finley Telephone no ► 713-759-0450

Located at ► P.O. Box 967 Houston TX ZIP + 4 ► 77001-0967

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here N/A ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

				Yes	No
1 a	During the year did the foundation (either directly or indirectly):				
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
(6)	Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1 b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here.	► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ .	☐ Yes ☒ No			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions.)		2 b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ► 20__ , 20__ , 20__ , 20__ .				
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*		3 b	N/A	
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5 a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X (No)

If 'Yes' to 6b, file Form 8870.

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation **(If not paid, enter -0-)**	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Daniel R. Finley P.O. Box 967 Houston, TX 77001-0967	Trustee 2.50	0.	0.	0.
J. H. Mcmillian P.O. Box 967 Houston, TX 77001-0967	Exec Dir 5.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over $50,000 ► 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over $50,000 for professional services ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ►	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:			
a	Average monthly fair market value of securities		1a	2,754,220.
b	Average of monthly cash balances		1b	105,686.
c	Fair market value of all other assets (see instructions)		1c	
d	**Total** (add lines 1a, b, and c)		1d	2,859,906.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	2,859,906.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)		4	42,899.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	2,817,007.
6	**Minimum investment return.** Enter 5% of line 5		6	140,850.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	140,850.
2a	Tax on investment income for 2013 from Part VI, line 5	2a 4,085.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	4,085.
3	Distributable amount before adjustments Subtract line 2c from line 1		3	136,765.
4	Recoveries of amounts treated as qualifying distributions		4	
5	Add lines 3 and 4		5	136,765.
6	Deduction from distributable amount (see instructions)		6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	136,765.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	92,500.
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	92,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	92,500.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				136,765.
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			0.	
b Total for prior years: 20 ___, 20 ___, 20 ___		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008 88,703.				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f **Total** of lines 3a through e	88,703.			
4 Qualifying distributions for 2013 from Part XII, line 4. ► $ 92,500.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2013 distributable amount				92,500.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	44,265.			44,265.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	44,438.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount – see instructions			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	44,438.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a 'Assets' alternative test – enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2))

Daniel R. Finley

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed·

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors·

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See attachment	N/A	PC	See attachment	92,000.
Total			► 3a	92,000.
b *Approved for future payment*				
Total			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	91.	
4 Dividends and interest from securities			14	78,387.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	128,376.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				206,854.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . **13** 206,854.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions.)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of.			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements
N/A			

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Daniel R.

Signature of officer or trustee

3-28-2014
Date

Trustee
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name: Jody Blazek

Preparer's signature: CPA

Date: 3/27/14

Check ☒ if self-employed

PTIN: P00072674

Firm's name ► Blazek & Vetterling

Firm's EIN ► 76-0269860

Firm's address ► 2900 Weslayan, Suite 200
Houston, TX 77027-5132

Phone no (713) 439-5739

Statement 1
Form 990-PF, Part I, Line 16b
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Accounting Fees	$ 1,000.	$ 500.		$ 500.
Total	$ 1,000.	$ 500.		$ 500.

Statement 2
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Excise taxes	$ 2,078.			
Foreign taxes	2,050.	$ 2,050.		
Total	$ 4,128.	$ 2,050.		$ 0.

Statement 3
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Bank fees	$ 50.	$ 50.		
Total	$ 50.	$ 50.		$ 0.

Statement 4
Form 990-PF, Part II, Line 10b
Investments - Corporate Stocks

Corporate Stocks	Valuation Method	Book Value	Fair Market Value
Stocks - See Attachment	Cost	$ 755,799.	$ 1,016,218.
Funds - See Attachment	Cost	644,295.	997,514.
	Total	$ 1,400,094.	$ 2,013,732.

The Robertson-Finley Foundation **76-0598999**
2013 Form 990-PF

Part II, Line 10b - Investments - Corporate Stock

Marketable Securities
December 31, 2013

Description	Number of Shares	Purchase Date	Original Cost Per Share	Original Cost, Adj for Div. Reinv.	Current Price Per Share	Current Market Value	Unrealized Gain (Loss)
Stocks:							
Du Pont E.I. De Nemours (DD)	1,150.000	1/23/2013	48.289	55,532.89	64.9700	74,715.50	19,183
GlaxoSmithKline (GSK)	1,000.000	1/22/2010	41.049	41,049.10	53.3900	53,390.00	12,341
Gold Fields Limited (GFI)	100.000	5/22/2012	10.952	1,095.16	3.2000	320.00	(775)
Hugoton Royalty Trust TX (HGT)	100.000	9/3/2010	22.900	2,290.00	7.5000	750.00	(1,540)
Intel Corp. (INTC)	1,000.000	1/23/2013	21.120	21,120.00	25.9550	25,955.00	4,835
Kimberly-Clark (KMB)	500.000	1/22/2010	60.760	30,379.95	104 4600	52,230.00	21,850
Leggett & Platt Inc. (LEG)	1,000.000	3/4/2010	20.038	20,038.00	30.9400	30,940.00	10,902
Leidos Holdings (LDOS)	500.000	3/14/2013	38.448	19,223.98	46.4900	23,245 00	4,021
Lily Eli & Company (LLY)	1,000.000	1/22/2010	35 858	35,858.10	51.0000	51,000.00	15,142
Microchip Technology Inc. (MCHP)	650.000	6/3/2010	27.830	18,089.50	44.7500	29,087.50	10,998
National Health Invs Inc. (NHI)	520.000	5/30/2012	48.040	24,980.60	56.1000	29,172.00	4,191
Omega Health Invs Inc. (OHI)	1,750.000	5/30/2012	21.807	38,162.33	29.8000	52,150 00	13,988
Paychex Inc. (PAYX)	1,000.000	5/22/2012	30.010	30,010.00	45.5300	45,530.00	15,520
Safeway Inc. (SWY)	1,000.000	3/14/2013	24.210	24,209.90	32 5700	32,570.00	8,360
San Juan Basin Royalty Trust (SJT)	1,000.000	3/18/2011	26.140	26,140.00	16.7400	16,740.00	(9,400)
Science Applications Int'l Corp. (SAI)	285.000	3/14/2013	18.815	5,362.38	33.0700	9,424.95	4,063
Sibanye Gold Ltd. (SBGL)	750.000	5/22/2012	7.535	5,651.54	4.8100	3,607.50	(2,044)
Teekay Corp. (TK)	2,000.000	3/26/2010	23.377	46,754.60	48 0100	96,020.00	49,265
Telefonica SA (TEF)	900.000	6/3/2010	19.262	17,335.80	16.3400	14,706.00	(2,630)
Total SA Spon ADR (TOT)	1,800.000	1/14/2011	54 372	97,869.92	61.2700	110,286.00	12,416
Transcanada Corp. (TRP)	2,000.000	5/6/2011	42 599	85,198.00	45.6600	91,320.00	6,122
Universal Health Realty Inc Tr (UHT)	640.000	5/30/2012	38.550	24,671.94	40.0600	25,638.40	966
Verizon Communications (VZ)	3,000 000	3/20/2009	28.258	84,774.98	49.1400	147,420.00	62,645
Total stocks				**755,798.67**		**1,016,217.85**	260,419
Funds:							
Doubleline Funds Trust (DLTNX)	9,049.774	3/18/2011	11 050	100,000.00	10.7800	97,556.56	(2,443)
Fiduciary Claymore MLP Opp Fund (FMO)	5,000.000	3/20/2009	13.050	65,249.40	25.4600	127,300.00	62,051
Nuveen Quality Preferred Income Fd (JTP)	4,900.000	1/8/2010	6.638	32,528.16	7 6900	37,681.00	5,153
Claymore S&P Global Water EFT Fund (CGW)	2,000.000	6/4/2009	15.660	31,319.80	27.6500	55,300.00	23,980
Ishares Tr Dow Jones Select Div. Index (DVY)	4,000.000	3/20/2009	31.337	125,348.79	71.3500	285,400.00	160,051
Ishares Tr MSCI Emerging Mkts Index (EEM)	1,000 000	6/4/2009	33.508	33,507.50	41.7950	41,795.00	8,288
Powershares Int'l Divid Achievers Port.(PID)	10,000.000	3/20/2009	8.809	88,089.00	18.4201	184,201.00	96,112
Vanguard 539 (VMFXX)-ST Investment Gr Fd	15,727.146	2/3/2010	10.698	168,252.90	10.7000	168,280.46	28
Total funds				**644,295.55**		**997,514.02**	353,218
Total Part II, Line 10b				**1,400,094.22**		**2,013,731.87**	613,638

Part XV, Line 3a - Grants and Contributions Paid During the Year

Recipient Name	Status	Purpose	Amount
Arts:			
Houston Center for Photography 1441 W. Alabama St., Houston, TX 77006	PC	General Support	$ 1,000
		Total Arts:	**1,000**
Education:			
Alliance for School Choice 1660 L Street, NW Ste 1000 Washington, D.C. 20036	PC	General Support	5,000
Baylor College of Medicine One Baylor Plaza MS: BCM 160, Houston, TX 77030	PC	General Support	1,000
The Friedman Foundation for Educational Choice One American Sq., Ste 2420, Indianapolis, IN 46282	PC	General Support	4,000
Foundation for Teaching Economics 260 Russell Blvd., Ste B, Davis, CA 95616	PC	General Support	2,000
Literacy Advance of Houston 2424 Wilcrest, Houston, TX 77042	PC	General Support	4,000
The Museum of Jurassic Technology 9341 Venice Blvd., Culver City, CA 90232	PC	General Support	1,000
Museum of Printing History 1324 W. Clay St., Houston, TX 77019	PC	General Support	1,000
		Total Education:	**18,000**
Conservation:			
Austin Parks Foundation 7800 N. Mopac, Austin, TX 78759	PC	General Support	1,000
Buffalo Bayou Partnership 1113 Vine St., Suite 200, Houston, TX 77002-1045	PC	General Support	1,000
The Center for Land Use Interpretation 9331 Venice Blvd. Culver City, CA 90232	PC	General Support	500
Trees For Houston P.O. Box 270477, Houston, TX 77277	PC	General Support	2,000

Part XV, Line 3a - Grants and Contributions Paid During the Year

Recipient Name	Status	Purpose	Amount
Wildlife Center of Texas 7007 Katy Rd, Houston, TX 77024	PC	General Support	1,000
		Total Conservation:	**5,500**
Human Services:			
Houston Food Bank 3811 Eastex Fwy, Houston, TX 77026	PC	General Support	5,000
The Salvation Army 1500 Austin St., Houston, TX 77002	PC	General Support	5,000
Skills for Living 7660 Woodway Dr., Ste 599, Houston, TX 77063	PC	General Support	3,000
Taping for the Blind Inc. 3935 Essex Ln., Houston, TX 77027	PC	General Support	2,000
		Total Human Services:	**15,000**
Policy Groups:			
American Enterprise Institute for Pub. Policy Res. 1150 Seventeenth St., NW,Washington, DC 20036	PC	General Support	5,000
Cato Institute 1000 Mass. Ave., NW, Washington, D.C. 20001	PC	General Support	4,000
Committee for a Constructive Tomorrow P.O. Box 65722, Washington, D.C. 20035	PC	General Support	2,500
Competitive Enterprise Institute 1001 Connecticut Ave., NW, #1250, Washington, D.C. 20036	PC	General Support	2,500
The Federalist Society for Law & Public Policy 1015 18th St. NW 425, Washington, D.C. 20036	PC	General Support	4,000
The Heartland Institute 19 S. Lasalle, Ste 903, Chicago, IL 60603	PC	General Support	4,000

Part XV, Line 3a - Grants and Contributions Paid During the Year

Recipient Name	Status	Purpose	Amount
Institute for Justice 901 N. Glebe Rd., Arlington, VA 22203	PC	General Support	4,000
The Manhattan Institute for Policy Research 52 Vanderbilt Ave., New York, NY 10017	PC	General Support	4,000
National Right To Work Legal Defense & Educ. Fd. Inc 8001 Braddock Rd, 600, Springfield, VA 22160	PC	General Support	4,000
National Taxpayers Union Foundation 108 N. Alfred St., Alexandria, VA 22314	PC	General Support	4,000
Reason Foundation 5737 Mesmer Ave, Los Angeles, CA 90230	PC	General Support	1,000
State Policy Network 1655 N. Fort Myer Dr., Suite 360, Arlington, VA 22209	PC	General Support	2,500
Texas Public Policy Foundation P.O. Box 1449, Austin, TX 78767	PC	General Support	5,000
		Total Policy Groups:	**46,500**
Other:			
Houston SPCA 900 Portway Dr., Houston, TX 77024	PC	General Support	1,000
Prison Fellowship P.O. Box 1550, Merrifield, VA 22116-1550	PC	General Support	500
Sam Houston Area Council BSA P.O. Box 924528, Houston, TX 77292	PC	General Support	1,000
Spay-Neuter Assistance Program P.O. Box 70286, Houston TX 77270	PC	General Support	1,000
Trotter Family YMCA 1331 Augusta Drive, Houton, TX 77057	PC	General Support	2,500
		Total Other:	**6,000**
		Total Grants:	**$ 92,000**