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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation Knobloch Family Foundation		A Employer identification number 76-0538135	
Number and street (or P O box number if mail is not delivered to street address) 2411 Stanmore Drive		B Telephone number (see instructions) (404) 231-1550	
City or town, state or province, country, and ZIP or foreign postal code Houston, TX 770193423		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 87,174,244		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	10,605,000			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	1,166,703	1,166,703		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	1,223,393			
	b Gross sales price for all assets on line 6a 1,223,509				
	7 Capital gain net income (from Part IV, line 2) . . .		1,223,393		
	8 Net short-term capital gain			22,582	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	12,087	12,087		
	12 Total. Add lines 1 through 11	13,007,183	2,402,183	22,582	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	9,072	9,072		
	b Accounting fees (attach schedule)	5,000	5,000		
	c Other professional fees (attach schedule)	227,393	227,393		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings	71,589	15,000		
	22 Printing and publications				
	23 Other expenses (attach schedule)	309,663	283		
	24 Total operating and administrative expenses. Add lines 13 through 23	622,717	256,748		0
	25 Contributions, gifts, grants paid	5,263,953			5,263,953
	26 Total expenses and disbursements. Add lines 24 and 25	5,886,670	256,748		5,263,953
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	7,120,513			
	b Net investment income (if negative, enter -0-)		2,145,435		
	c Adjusted net income (if negative, enter -0-)			22,582	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	2,915,751	1,222,189	1,222,189
	2	Savings and temporary cash investments	11,758,571	14,423,185	14,423,185
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	27,845,865 	27,845,865	41,974,354
	c	Investments—corporate bonds (attach schedule).	13,251,468 	9,517,567	9,517,567
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	9,436,949 	17,846,912	20,036,949
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	65,208,604	70,855,718	87,174,244
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	65,208,604	70,855,718	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	65,208,604	70,855,718	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	65,208,604	70,855,718	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	65,208,604
2	Enter amount from Part I, line 27a	2	7,120,513
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	72,329,117
5	Decreases not included in line 2 (itemize) ▶ _____ 	5	1,473,399
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	70,855,718

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	Trex Co, Inc	D	2012-07-02	2013-11-01
b	Knobloch Exempt Investors	P	2012-12-30	2013-12-31
c	Knobloch Group C	P	2013-01-01	2013-12-31
d	Knobloch Group C	P	2012-12-30	2013-12-31
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 794,703	0	116	794,587
b 263,002	0	0	263,002
c 22,582	0	0	22,582
d 143,222	0	0	143,222
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a 0	0	0	794,587
b 0	0	0	263,002
c 0	0	0	22,582
d 0	0	0	143,222
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,223,393
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	22,582

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	4,858,291	71,619,124	0 067835
2011	6,449,855	54,941,205	0 117396
2010	3,477,500		
2009			
2008			

2 Total of line 1, column (d).	2	0 185231
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 092616
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	79,006,819
5 Multiply line 4 by line 3.	5	7,317,296
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	21,454
7 Add lines 5 and 6.	7	7,338,750
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	5,263,953

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)			
1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		1	42,909
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3 Add lines 1 and 2.		3	42,909
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	42,909
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	53,351	
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	35,000	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d.		7	88,351
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	45,442
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 45,442 Refunded		11	

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		1b	No
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file Form 1120-POL for this year?.		1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		2	No
If "Yes," attach a detailed description of the activities.			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		3	Yes
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.		4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?.		4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		5	No
If "Yes," attach the statement required by General Instruction T.			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either - By language in the governing instrument, or - By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.		7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) TX			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		10	No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶WEST HILL COMPANIES Telephone no ▶(404) 231-1550 Located at ▶3290 Northside Pkwy Ste 380 Atlanta GA ZIP +4 ▶30327			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

Yes

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	50,313,172
b	Average of monthly cash balances.	1b	15,159,848
c	Fair market value of all other assets (see instructions).	1c	14,736,949
d	Total (add lines 1a, b, and c).	1d	80,209,969
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	80,209,969
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,203,150
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	79,006,819
6	Minimum investment return. Enter 5% of line 5.	6	3,950,341

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	3,950,341
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	42,909
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	42,909
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	3,907,432
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	3,907,432
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	3,907,432

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	5,263,953
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	5,263,953
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	5,263,953
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				3,907,432
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				4,030,233
e From 2012.				951,743
f Total of lines 3a through e.	4,981,976			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 5,263,953				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	3,907,432			
d Applied to 2013 distributable amount.				
e Remaining amount distributed out of corpus	1,356,521			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	10,245,929			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				3,907,432
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	3,907,432			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	6,338,497			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				122,801
d Excess from 2012. . . .				951,743
e Excess from 2013. . . .				5,263,953

Part XIV

4942(j)(3) or 4942(j)(5)

3

Part XV

1

2

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2013)

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CARL W KNOBLOCH JR	Pres & Treas 10 00	0	0	0
PO Box 1530 Wilson, WY 83014				
EMILY C KNOBLOCH	VP & Sec 1 00	0	0	0
PO Box 1530 Wilson, WY 83014				
CARLA KNOBLOCH	Director 1 00	0	0	0
PO Box 1530 Wilson, WY 83014				
EMILY J KNOBLOCH	Director 1 00	0	0	0
PO Box 1530 Wilson, WY 83014				
ELEANOR KNOBLOCH RATCHFORD	Director 1 00	0	0	0
PO Box 1530 Wilson, WY 83014				
STEVENS SHARKEY	Director 1 00	0	0	0
1205 Hoyt Lane Jackson, WY 83001				

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization Knobloch Family Foundation	Employer identification number 76-0538135
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization Knobloch Family Foundation	Employer identification number 76-0538135
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Part I	Contributors (see instructions) Use duplicate copies of Part I if additional space is needed		
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	CARL W KNOBLOCH JR 8251969 TRUST PO Box 1530 Wilson, WY 83014	\$ 10,600,000	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
<u>2</u>	CARL W KNOBLOCH JR 8251969 TRUST PO Box 1530 Wilson, WY 83014	\$ 5,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
<u> </u>		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
<u> </u>		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
<u> </u>		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
<u> </u>		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
<u> </u>		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization Knobloch Family Foundation	Employer identification number 76-0538135
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Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

TY 2013 Accounting Fees Schedule

Name: Knobloch Family Foundation

EIN: 76-0538135

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Wyrick Consulting Accounting fee	5,000	5,000		

**TY 2013 Investments Corporate
Bonds Schedule****Name:** Knobloch Family Foundation**EIN:** 76-0538135

Name of Bond	End of Year Book Value	End of Year Fair Market Value
Trex, Co. Conv SR Sub Notes 6%		
Knobloch Group C, LLC	3,549,482	3,549,482
Knobloch Exempt Investors, LLC	5,968,085	5,968,085

**TY 2013 Investments Corporate
Stock Schedule****Name:** Knobloch Family Foundation**EIN:** 76-0538135

Name of Stock	End of Year Book Value	End of Year Fair Market Value
General Electric	6,073,000	5,606,000
VanGuard	21,772,865	36,368,354

TY 2013 Investments - Other Schedule**Name:** Knobloch Family Foundation**EIN:** 76-0538135

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Crescent H Land		9,436,949	9,436,949
Frederic Remington, "Mountain Man" #31		388,300	600,000
John James Audobon - "Birds of America"		8,021,663	10,000,000

TY 2013 Legal Fees Schedule**Name:** Knobloch Family Foundation**EIN:** 76-0538135

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Long Reimer Winegar Beppler Legal fees	1,374	1,374		
Garland Ford & Potter Legal fees	650	650		
Locke Lord Bissell & Liddell Legal fees	7,048	7,048		

TY 2013 Other Decreases Schedule

Name: Knobloch Family Foundation

EIN: 76-0538135

Description	Amount
Unrealized Gain/Loss on Assets	1,473,399

TY 2013 Other Expenses Schedule

Name: Knobloch Family Foundation

EIN: 76-0538135

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Corporate Governance	283	283		
Crescent H Ranch	309,380			

TY 2013 Other Income Schedule

Name: Knobloch Family Foundation

EIN: 76-0538135

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Knobloch Group C	12,087	12,087	

TY 2013 Other Professional Fees Schedule**Name:** Knobloch Family Foundation**EIN:** 76-0538135

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Merrill Lynch Investment Fees	150	150		
West Hill Companies Fiduciary Fees	34,083	34,083		
Knobloch Exempt Investors Investment Fees	132,255	132,255		
Knobloch Group C Investment Fees	42,865	42,865		
Knobloch Exempt Investors Inv Interest Exp	5,441	5,441		
Knobloch Group C Inv Interest Exp	12,599	12,599		

2013 Form 990-PF
Knobloch Family Foundation
EIN #76-0538135

Part II - Statement of Functional Expenses

Line 22b - Grants and Allocations

Beneficiary	Address	Amount	Purpose
Animal Adoption Center	P O Box 1687, Jackson, WY 83001	\$8,000 00	Animal protection
Atlanta International School	2890 North Fulton Drive, Atlanta, GA 30305	\$5,000 00	Education
Buffalo Bill Historical Center	720 Sheridan Ave, Cody, WY 82414	\$30,000 00	Support of fine arts
Community Foundation of Jackson Hole	P O Box 574, Jackson, WY 83001	\$163,500 00	Community support
Community Resource Center	P O Box 1232, Jackson, WY 83001	\$10,000 00	Community support
Concord Coalition	1011 Arlington Blvd , Suite 300, Arlington, VA 22209	\$10,000 00	Education
Davidson College	P O Box 7173, Davidson, NC 28035	\$177,500 00	Education
DonkeyLand Rescue	5225 Canyon Crest Drive, Ste 71295, Riverside, CA 92507	\$200 00	Animal protection
EarthShare of Texas	PO Box 1911, Austin, TX 78767	\$500 00	Environmental advocacy
Environmental Defense Fund	2334 North Broadway, Boulder, CO 80304	\$25,000 00	Environmental advocacy
First Presbyterian Church of Atlanta	1328 Peachtree Road, NE, Atlanta, GA 30309	\$27,500 00	Religion
Forward Arts Foundation	3130 Slanton Dr , NW, Atlanta, GA 30305	\$5,000 00	Support of fine arts
Galveston Bay Foundation	17330 Texas 3, Webster, TX 77598	\$250,000 00	Conservation
Georgia Conservancy	817 W Peachtree, St , Suite 200, Atlanta, GA 30308	\$50,000 00	Conservation
Georgia Department of Nature Resources	2 MLK, Jr Drive, Suite 1262, Atlanta, GA 30334	\$320,000 00	Conservation
Georgia Tennis Foundation	3270 Habersham Road, NW, Atlanta, GA 30345	\$10,000 00	Community support
Georgia Wildlife Federation	11600 Hazelbrand Rd , NE, Covington, GA 30014	\$20,000 00	Animal protection
Harvard Center for Health & Global Env	401 Park Dr, Second Floor East, Boston, MA 02215	\$20,000 00	Environmental advocacy
High Museum of Art	1280 Peachtree Street NE, Atlanta, GA 30309	\$37,500 00	Support of fine arts
Houston Audubon Society	440 Wilchester Blvc, Houston, TX 77079	\$100,000 00	Animal protection
Houston SPCA	900 Portway Drive, Houston, TX 77024	\$12,500 00	Animal protection
Houston Wilderness, Inc	P O Box 66413, Houston, TX 77266-06413	\$20,000 00	Conserving natural lands
Jackson Hole Community Counseling Center	P O Box 1868, Jackson, WY 83001	\$5,000 00	Health & human services
Land Trust Alliance	1660 L St , NW, Suite 1100, Washington, DC 20036	\$150,000 00	Conserving natural lands
Madeira School	8328 Georgetown Pike, McLean, VA 22102	\$10,000 00	Education
Menninger Clinic	12301 Main Street, Houston, TX 77035	\$10,000 00	Medical treatment
Museum of Fine Arts Houston	P O Box 6826, Houston, TX 77265	\$75,000 00	Support of fine arts
National Audobon Society	225 Varck Street, New York, NY 10014	\$100,000 00	Animal protection
National Parks & Conservation Association	1300 19th St , NW, Suite 300, Washington, DC 20036	\$125,000 00	Conserving natural lands
National Wildlife Refuge Association	5335 Wisconsin Ave , Suite 521, Washington, DC 20015	\$25,000 00	Conservation
Path Foundation	PO Box 14327, Atlanta, GA 30324	\$50,000 00	Community support
PAWS of Jackson Hole	P O Box 13033, Jackson, WY 83002	\$5,000 00	Conservation
Presbyterian Church of Jackson Hole	P O Box 7530, Jackson, WY 83002	\$2,000 00	Community support
Resoures for the Future	1616 P St , NW, Washington, DC 20036	\$31,753 00	Environmental advocacy
Sand County Foundation	16 N Carroll St , Madison, WI 53703	\$50,000 00	Land Conservation
Smithsonian American Art Museum	8th and F NW, Washington, DC 20004	\$9,000 00	Support of fine arts
St John's Medical Center Foundation	P O Box 428, Jackson, WY 83001	\$13,000 00	Medical center equipment
Teach for America - Houston	4669 SW Freeway, Suite 600, Houston, TX 77027	\$5,000 00	Education
Teddy Roosevelt Conservation Partnership	1660 L St , NW, Suite 208, Washington, DC 20036	\$25,000 00	Conserving natural lands
Teton County Animal Shelter	3150 Adams Canyon Dr, Jackson, WY 83001	\$5,000 00	Animal protection
Teton County Fire EMS	P O Box 901, Jackson, WY 83001	\$8,000 00	Community support
Teton County Search & Rescue	P O Box 1885 Jackson, WY 83001	\$8,000 00	Community support
The Atlanta Opera	1575 Northside Drive, Bldg 300, Suite 350, Atlanta, GA 30318	\$100,000 00	Support of fine arts
The Jackson Food Cupboard	P O Box 1622 Jackson, WY 83001	\$2,000 00	Health & human services
The Nature Conservancy	318 Congress Avenue, Austin, TX 78701	\$350,000 00	Conservation
The Nature Conservancy - Idaho	950 Bannock St , Suite 210, Boise, ID 83702	\$5,000 00	Conserving natural lands
The Nature Conservancy - Montana	32 S Ewing, Suite 215, Helena, MT 59601	\$5,000 00	Conserving natural lands
The Nature Conservancy - Utah	559 East South Temple, Sale Lake City, UT 84102	\$5,000 00	Conserving natural lands
The Nature Conservancy - Wyoming	258 Main St , Suite 200, Lander, WY 82520	\$5,000 00	Conserving natural lands
Trout Unlimited	1777 N Kent St , Ste 100, Arlington, VA 22209	\$10,000 00	Conservation
Trust for Public Land	101 Montgomery St , Suite 900, San Francisco, CA 94104	\$400,000 00	Conservation
US Endowment for Forestry & Communities	908 North Street, Greenville, SC 29601	\$25,000 00	Land Conservation
University of Wyoming	1200 East Invinson Street, Laramie, WY 82070	\$2,150,000 00	Education
Whit Press	4701 SW Admiral Way, #125, Seattle, WA 98116	\$3,000 00	Support of fine arts
World Resources Institute	10 G Street, NE, Washington, DC 20002	\$5,000 00	Natural resources studies
Wyoming Stockgrowers Land Trust	2020 Carey Ave , Suite 900, Cheyenne, WY 82001	\$10,000 00	Land Conservation
Yale University	P O Box 2038, New Haven, CT 06521-2038	\$105,000 00	Education
Yellowstone Park Foundation, Inc	222 E Main St #301, Bozemand, MT 59715	\$20,000 00	Land Conservation
YES Prep Public Schools	6201 Bonhomme, Ste 168N, Houston, TX 77036	\$50,000 00	Education
Total		<u>\$5,263,953 00</u>	