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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013

Open to Public Inspection

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▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2013 or tax year beginning , and ending

Name of foundation CARSON FOUNDATION		A Employer identification number 76-0535539
Number and street (or P O box number if mail is not delivered to street address) 5090 RICHMOND AVENUE	Room/suite 300	B Telephone number (see instructions) 281-303-1106
City or town, state or province, country, and ZIP or foreign postal code HOUSTON TX 77056		C If exemption application is pending, check here ▶ ☐
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ▶ ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 1,995,182	J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐
(Part I, column (d) must be on cash basis)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Schedule B				
	3 Interest on savings and temporary cash investments	15,687	15,687		
	4 Dividends and interest from securities	12,876	12,876		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10 STMT 1	135,475			
	b Gross sales price for all assets on line 6a 923,167				
	7 Capital gain net income (from Part IV, line 2)		135,484		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule) STMT 2	-65,008				
12 Total. Add lines 1 through 11	99,030	164,047	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) SEE STMT 3	3,918	1,959		1,959
	b Accounting fees (attach schedule) STMT 4	6,577	3,288		3,289
	c Other professional fees (attach schedule) STMT 5	21,378	21,378		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STMT 6	365	365		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	8,771			8,771
	22 Printing and publications				
	23 Other expenses (att sch) STMT 7	41,233	39,386		1,847
	24 Total operating and administrative expenses. Add lines 13 through 23	82,242	66,376	0	15,866
	25 Contributions, gifts, grants paid	122,990			122,990
26 Total expenses and disbursements. Add lines 24 and 25	205,232	66,376	0	138,856	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-106,202				
b Net investment income (if negative, enter -0-)		97,671			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash – non-interest-bearing		- 8	
	2 Savings and temporary cash investments	92,171	562,534	562,534
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶	1,130,808		
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (att schedule) ▶ Less allowance for doubtful accounts ▶	0		
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule) SEE STMT 8	639,807	865,003	1,114,239
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule) SEE STATEMENT 9	1,595	318,409	318,409
	14 Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶			
	15 Other assets (describe ▶ SEE STATEMENT 10)	294	600	
	16 Total assets (to be completed by all filers – see the instructions Also, see page 1, item I)	1,864,675	1,746,538	1,995,182
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons	50,000		
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	50,000	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	1,814,675	1,746,538	
	30 Total net assets or fund balances (see instructions)	1,814,675	1,746,538	
	31 Total liabilities and net assets/fund balances (see instructions)	1,864,675	1,746,538	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,814,675
2 Enter amount from Part I, line 27a	2	-106,202
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 11	3	38,065
4 Add lines 1, 2, and 3	4	1,746,538
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	1,746,538

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Co.)		(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE WORKSHEET				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	135,484
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }			3	25,881

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	58,910	821,734	0.071690
2011	33,348	781,870	0.042652
2010	45,808	718,237	0.063778
2009	48,085	597,231	0.080513
2008	59,277	802,102	0.073902
2 Total of line 1, column (d)			2 0.332535
3 Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.066507
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 1,627,672
5 Multiply line 4 by line 3			5 108,252
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 977
7 Add lines 5 and 6			7 109,229
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 138,856

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	977
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	977
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	977
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	600
b	Exempt foreign organizations – tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	600
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	2
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	379
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2014 estimated tax ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) TX		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation SEE STMT 12		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

11/15 INT

5

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11 TOT

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► LYNN CHAVARRIA 5090 RICHMOND AVENUE Located at ► HOUSTON	Telephone no ► 713-826-2535 TX ZIP+4 ► 77056		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ► ☐	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? N/A	1c	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ► 20 , 20 , 20 , 20 ☐ Yes ☒ No		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☒ Yes ☐ No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3b	X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A ☐ Yes ☐ No	5b		
Organizations relying on a current notice regarding disaster assistance check here				
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A ☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945–5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A ☐ Yes ☐ No	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13				

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	953,761
b	Average of monthly cash balances	1b	380,289
c	Fair market value of all other assets (see instructions)	1c	318,409
d	Total (add lines 1a, b, and c)	1d	1,652,459
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,652,459
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	24,787
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,627,672
6	Minimum investment return. Enter 5% of line 5	6	81,384

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	81,384
2a	Tax on investment income for 2013 from Part VI, line 5	2a	977
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	977
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	80,407
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	80,407
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	80,407

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	1a	138,856
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	138,856
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	977
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	137,879

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				80,407
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013:				
a From 2008	19,253			
b From 2009	18,343			
c From 2010	10,535			
d From 2011				
e From 2012	55,575			
f Total of lines 3a through e	103,706			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 138,856				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2013 distributable amount				80,407
e Remaining amount distributed out of corpus	58,449			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	162,155			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount – see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	19,253			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	142,902			
10 Analysis of line 9				
a Excess from 2009	18,343			
b Excess from 2010	10,535			
c Excess from 2011				
d Excess from 2012	55,575			
e Excess from 2013	58,449			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed
N/A

b The form in which applications should be submitted and information and materials they should include.
N/A

c Any submission deadlines
N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
N/A

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
a Paid during the year					
AMIGOS DE SANTA CRUZ FOUNDATION PO BOX 148 LOPEZ ISLAND WA 98261		EOF	OPERATING FUNDS	45,000	
DELTA CLUB 6400 HARWIN STE 355 HOUSTON TX 77057		EOF	OPERATING FUNDS	240	
FIRST UNITY CHURCH OF MEMPHIS 9228 WALNUT GROVE ROAD CORDOVA TN 38018		EOF	OPERATING FUNDS	1,500	
LOVELIGHT 1542 WOODSON ROAD BALDWIN CITY KS 66006		EOF	OPERATING FUNDS	500	
MECA 1900 KANE STREET HOUSTON TX 77007		EOF	OPERATING FUNDS	2,000	
MIRACLES IN ACTION INC. 2244 PARK AVENUE CINCINNATI OH 45206		EOF	OPERATING FUNDS	36,250	
PAN AMERICAN EDUCATIONAL FOUNDATION 8426 NW NODAWAY DRIVE PARKVILLE MO 64152		EOF	OPERATING FUNDS	3,000	
PEOPLE FOR GUATEMALA, INC. PO BOX 110221 NAPLES FL 34108		EOF	OPERATING FUNDS	2,500	
TWO WORDS THERAPYWORKS PO BOX 1707 MANCHACA TX 78652		EOF	OPERATING FUNDS	30,000	
UNITY CHURCH OF THE HILLS 9905 ANDERSON MILL ROAD AUSTIN TX 78750		EOF	OPERATING FUNDS	2,000	
Total				► 3a	122,990
b Approved for future payment N/A					
Total				► 3b	

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2013
For calendar year 2013, or tax year beginning _____, and ending _____		

Name CARSON FOUNDATION	Employer Identification Number 76-0535539
----------------------------------	---

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) WELLS FARGO #4640	P	VARIOUS	VARIOUS
(2) WELLS FARGO #4640	P	VARIOUS	VARIOUS
(3) WELLS FARGO #4640	P	VARIOUS	VARIOUS
(4) WELLS FARGO #4626	P	VARIOUS	VARIOUS
(5) WELLS FARGO #4626	P	VARIOUS	VARIOUS
(6) WELLS FARGO #4626	P	VARIOUS	VARIOUS
(7) WELLS FARGO #4661	P	VARIOUS	VARIOUS
(8) WELLS FARGO #4661	P	VARIOUS	VARIOUS
(9) WELLS FARGO #4661	P	VARIOUS	VARIOUS
(10) WELLS FARGO #4661	P	VARIOUS	VARIOUS
(11) WELLS FARGO #4661	P	VARIOUS	VARIOUS
(12) WELLS FARGO #6194	P	VARIOUS	VARIOUS
(13) WELLS FARGO #6194	P	VARIOUS	VARIOUS
(14) WELLS FARGO #6194	P	VARIOUS	VARIOUS
(15) WELLS FARGO #8830	P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 99,849		90,450	9,399
(2) 58,742		43,888	14,854
(3) 39,152		23,239	15,913
(4) 140,366		133,097	7,269
(5) 49,484		36,017	13,467
(6) 17,909		4,513	13,396
(7) 133,831		129,162	4,669
(8) 4,030		3,792	238
(9) 29,748		24,271	5,477
(10) 8,125		6,175	1,950
(11) 2			2
(12) 21,252		21,262	-10
(13) 28,111		16,972	11,139
(14) 27,711		13,220	14,491
(15) 201,455		197,166	4,289

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(1)			9,399
(2)			14,854
(3)			15,913
(4)			7,269
(5)			13,467
(6)			13,396
(7)			4,669
(8)			238
(9)			5,477
(10)			1,950
(11)			2
(12)			-10
(13)			11,139
(14)			14,491
(15)			4,289

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2013
For calendar year 2013, or tax year beginning _____, and ending _____		

Name CARSON FOUNDATION	Employer Identification Number 76-0535539
----------------------------------	---

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) WELLS FARGO #8830	P	VARIOUS	VARIOUS
(2) WELLS FARGO #8830	P	VARIOUS	VARIOUS
(3) WELLS FARGO #8830	P	VARIOUS	VARIOUS
(4) WELLS FARGO #8830	P	VARIOUS	VARIOUS
(5) CAPITAL GAIN DISTRIBUTIONS			
(6)			
(7)			
(8)			
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 864		839	25
(2) 30,730		24,546	6,184
(3) 30,223		17,504	12,719
(4) 1,567		1,570	-3
(5) 16			16
(6)			
(7)			
(8)			
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
(1)			25
(2)			6,184
(3)			12,719
(4)			-3
(5)			16
(6)			
(7)			
(8)			
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

(Rev. January 2014)

Department of the Treasury
Internal Revenue Service► **File a separate application for each return.**► **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☐
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete

Part I only ☒

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	CARSON FOUNDATION	76-0535539
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	5090 RICHMOND AVENUE 300	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	HOUSTON TX 77056	

Enter the Return code for the return that this application is for (file a separate application for each return)

07

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

CARSON FOUNDATION
5090 RICHMOND AVENUE

- The books are in the care of ► **HOUSTON**

TX 77056Telephone No ► **713-826-2535**

FAX No ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach

a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **11/17/14**, to file the exempt organization return for the organization named above. The extension is for the organization's return for
- ☒ calendar year **2013** or

► ☐ tax year beginning _____, and ending _____

- 2 If the tax year entered in line 1 is for less than 12 months, check reason. ☐ Initial return ☐ Final return
- ☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.**For Privacy Act and Paperwork Reduction Act Notice, see instructions.**

DAA

- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II and check this box ☒ **X**

Note Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	CARSON FOUNDATION	76-0535539
	Number, street, and room or suite no. If a P.O. box, see instructions	Social security number (SSN)
	5090 RICHMOND AVENUE 300	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	HOUSTON TX 77056	

Enter the Return code for the return that this application is for (file a separate application for each return)

4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868

- The books are in the care of **LYNN CHAVARRIA**

Telephone No. **713-826-2535**

FAX No. ☐

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until **11/17/14**

5 For calendar year **2013** or other tax year beginning ☐ and ending ☐

6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return

☐ Change in accounting period

7 State in detail why you need the extension

ADDITIONAL TIME IS NEEDED TO OBTAIN INFORMATION FROM A THIRD-PARTY IN ORDER TO PREPARE A COMPLETE AND ACCURATE RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a	\$	600
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	\$	600
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	\$	0

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete and that I am authorized to prepare this form

Signature **Juan Z. Paymel** Title **CFA**

Date **8-1-14**

Form **8868** (Rev. 1-2014)

Federal Statements**Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets**

Description			How Received					
Whom Sold	Date Acquired	Date Sold	Sale Price	Cost	Expense	Depreciation	Net Gain / Loss	
PASS-THROUGH FROM K-1			PURCHASE					
	VARIOUS	VARIOUS	\$	9	\$		\$ - 9	
TOTAL			\$ 0	\$ 9	\$ 0	\$ 0	\$ - 9	

Statement 2 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
K-1 INCOME	\$ -2,310	\$	\$
K-1 DEDUCTIONS	-62,698		
TOTAL	\$ -65,008	\$ 0	\$ 0

Statement 3 - Form 990-PF, Part I, Line 16a - Legal Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
LEGAL FEES	\$ 3,918	\$ 1,959	\$	\$ 1,959
TOTAL	\$ 3,918	\$ 1,959	\$ 0	\$ 1,959

Statement 4 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
ACCOUNTING FEES	\$ 6,577	\$ 3,288	\$	\$ 3,289
TOTAL	\$ 6,577	\$ 3,288	\$ 0	\$ 3,289

Federal Statements**Statement 5 - Form 990-PF, Part I, Line 16c - Other Professional Fees**

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
ADVISOR FEES	\$ 21,378	\$ 21,378	\$	\$
TOTAL	\$ 21,378	\$ 21,378	\$ 0	\$ 0

Statement 6 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
INCOME TAX	\$ 259	\$ 259	\$	\$
FOREIGN TAX PAID	106	106		
TOTAL	\$ 365	\$ 365	\$ 0	\$ 0

Statement 7 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
EXPENSES	\$	\$	\$	\$
PENALTIES	1,560	780		780
SMALL EQUIPMENT	1,521	761		760
OTHER	614	307		307
REAL ESTATE INVESTMENT SALE E	37,538	37,538		
TOTAL	\$ 41,233	\$ 39,386	\$ 0	\$ 1,847

Statement 8 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
BROKERAGE ACCOUNTS	\$ 639,807	\$ 865,003		\$ 1,114,239
TOTAL	\$ 639,807	\$ 865,003		\$ 1,114,239

Federal Statements

Statement 9 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
INVESTMENT IN ISHARES	\$ 1,595	\$	COST	\$
INVESTMENT IN TWIN ENERGY II		315,062	COST	315,062
INVESTMENT IN ENTERPRISE PRODUCTS		3,347	COST	3,347
TOTAL	\$ 1,595	\$ 318,409		\$ 318,409

Federal Statements

Statement 10 - Form 990-PF, Part II, Line 15 - Other Assets

Description	Beginning of Year	End of Year	Fair Market Value
PREPAID INCOME TAX	\$ 294	\$ 600	\$
TOTAL	\$ 294	\$ 600	\$ 0

Statement 11 - Form 990-PF, Part III, Line 3 - Other Increases

Description	Amount
PRIOR YEAR ADJUSTMENT TO INVESTMENT COST BASIS	\$ 38,065
TOTAL	\$ 38,065

Statement 12 - Form 990-PF, Part VII-A, Line 8b - Not Filing with Attorney General
ExplanationDescription

PER ATTORNEY GENERAL OF TEXAS WEBSITE (WWW.OAG.STATE.TX.US): UNDER TEXAS LAW, MOST CHARITIES OR NON-PROFIT ORGANIZATIONS ARE NOT REQUIRED TO REGISTER WITH THE STATE. EXCEPTIONS EXIST, HOWEVER, FOR ORGANIZATIONS THAT SOLICIT FOR LAW ENFORCEMENT, PUBLIC SAFETY OR VETERANS CAUSES. AS NO SUCH SOLICITATION EXISTS FOR THIS FOUNDATION, REGISTRATION WITH THE ATTORNEY GENERAL OF THE STATE OF TEXAS IS NOT REQUIRED.

Federal Statements

Statement 13 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees,
Etc.

<u>Name and Address</u>	<u>Title</u>	<u>Average Hours</u>	<u>Compensation</u>	<u>Benefits</u>	<u>Expenses</u>
C. NEAL CARSON 5090 RICHMOND AVE.: STE. 300 HOUSTON TX 77056	PRESIDENT	10.00	0	0	0
ALBERT WINGATE 3133 GRANBURY COURT TYLER TX 75703	TREASURER &	1.00	0	0	0
LINDA WINGATE 3133 GRANBURY COURT TYLER TX 75703	SECRETARY	1.00	0	0	0
BERT W. PLUYMEN 2705 BEE CAVE ROAD AUSTIN TX 78746	VICE PRESIDE	1.00	0	0	0
LYNN P. CHAVARRIA 5113 RIALTO STREET BELMONT NC 28012	VICE PRESIDE	1.00	0	0	0



2013 ENHANCED SUMMARY

Page 5 of 20

As of Date: 2/07/14

CARSON FOUNDATION
ECON
5890 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor
MC RANEDWARDS/MORAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NAPLES, FL 34108
(239) 254-2200

Account Number: 1984-4661

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

For uncovered transactions, we provide supplemental cost basis information, when it is available, to assist you with completing your tax return, however, THIS INFORMATION IS NOT PROVIDED TO THE INTERNAL REVENUE SERVICE

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)

Proceeds from Broker and Barter Exchange Transactions for 2013

1-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acq. or For	2a-Sales Price	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
ABBOTT LABORATORIES / 007824100 07/08/13	127.0000	02/05/13	4,469.90	4,302.75	167.15	SALE
AMERICAN TOWER CORP / 03027X100 REIT 04/11/13	56.0000	02/05/13	4,323.46	4,296.28	27.18	SALE
AMERICAN WATER WORKS CO / 039420103 INC 06/10/13	113.0000	02/05/13	4,480.46	4,303.72	176.74	SALE
APPLE INC / 037833100	6.0000	11/02/12	2,750.24	3,478.41	-728.17	
	4.0000	11/07/12	1,833.49	2,243.14	-409.65	
	7.0000	11/08/12	3,208.61	3,771.50	-562.89	
	4.0000	12/14/12	1,833.50	2,054.03	-220.53	
	2.0000	12/17/12	916.75	1,031.43	-114.68	
02/05/13	23.0000	Various	10,542.59	12,578.51	-2,035.92	SALE
						TOTAL 5 LOTS
AT & T INC / 00206R102 02/05/13	21.0000	10/17/12	743.80	751.79	-7.99	SALE
	50.0000	10/17/12	1,798.94	1,789.97	8.97	
	71.0000	10/17/12	2,554.51	2,537.55	16.96	
06/10/13	121.0000	10/17/12	4,353.45	4,327.52	25.93	SALE
						TOTAL 2 LOTS
BALL CORP / 058458106 09/09/13	94.0000	02/05/13	4,212.36	4,284.05	-71.69	SALE

001 / PNFL / NF10

2013 ENHANCED SUMMARY

Page 6 of 20

As of Date: 2/07/14

CARSON FOUNDATION
LCON
5090 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor :
MC RAN/EDWARDS/MORAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NAPLES FL 34108
(239) 254-2200

Account Number: 1984-4661

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
BANK OF AMERICA CORP / 060505104 02/05/13	72.0000	06/22/12	858.94	570.61	288.33	SALE
CAPITAL ONE FINANCIAL 14040H105 CORP 02/05/13	24.0000	09/19/12	1,371.59	1,394.10	-22.51	SALE
CERNER CORP / 156792104 09/09/13	104.0000	02/05/13	4,900.15	4,357.60	542.55	SALE
CME GROUP INC / 12572Q105 02/05/13	21.0000	12/13/12	1,237.11	1,076.39	160.72	SALE
CMS ENERGY CORP / 125896100 07/08/13	166.0000	02/05/13	4,500.10	4,293.66	206.44	SALE
COCA-COLA COMPANY / 191216100 02/05/13	15.0000 68.0000 67.0000 150.0000	08/29/12 10/22/12 10/24/12 Various	571.25 2,589.70 2,551.62 5,712.57	562.10 2,522.44 2,477.68 5,562.22	9.15 67.26 73.94 150.35	SALE
						TOTAL 3 LOTS
COMCAST CORP NEW CL A / 2603CN101 06/10/13	32.0000 68.0000 100.0000	11/09/12 11/28/12 Various	1,306.36 2,776.02 4,082.38	1,156.77 2,491.59 3,648.36	149.59 284.43 434.02	SALE
						TOTAL 2 LOTS
COSTCO WHSEL CORP NEW / 22160K105 COM 04/01/13	42.0000	02/05/13	4,447.30	4,339.44	107.86	SALE

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2013 ENHANCED SUMMARY

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As of Date: 2/07/14

CARSON FOUNDATION
EQON
5090 HIGHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor:
MORAN/EDWARDS/MORAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NAPLES, FL 34108
(239) 254-2200

Account Number: 1984-4661

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

1a-Description / CUSIP 1b-Date of Sale or Exchange	1c-Quantity Sold	1d-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
D W HORTON INC / 23331A103 02/05/13	132.0000	11/14/12	3,130.18	2,427.80	702.38	SALE
DAVITA HEALTHCARE / 23318K10P PARTNERS I	1.0000	11/26/12	116.57	109.88	6.69	
	27.0000	11/28/12	2,564.71	2,383.44	181.27	
02/05/13	23.0000	Various	2,681.28	2,493.32	187.96	SALE
						TOTAL 2 LOTS
EBAY INC / 215642103 08/13/13	84.0000	04/01/13	4,550.95	4,724.30	-173.35	SALE
EDISON INTL / 281020107 04/09/13	90.0000	07/05/13	4,569.67	4,316.86	252.81	SALE
ELI LILLY & CO / 532457108 07/08/13	79.0000	02/05/13	4,027.19	4,277.85	-250.66	SALE
EVEREST RE GROUP LTD / G3223R105 BERMUDA 04/09/13	37.0000	02/05/13	4,723.40	4,355.27	368.13	SALE
EVELON CORPORATION / 30161N101 02/05/13	32.0000	11/06/12	988.22	1,021.92	-35.70	SALE
FREEPORT-MCMORAN CORP / 35671D357 & GOLD INC CLASS R 01/03/13	29.0000	09/26/12	1,008.33	1,116.59	-108.26	SALE
GENERAL ELECTRIC COMPANY / 369604103 02/05/13	119.0000	10/22/12	2,681.03	2,569.88	111.15	SALE

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2013 ENHANCED SUMMARY

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As of Date: 2/07/14

CARSON FOUNDATION
ECON
5290 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor
MORAN EDWARDS MORAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NAPLES, FL 34109
(239) 254-2200

Account Number: 1984-4661

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
ILLINOIS TOOL WORKS INC / 452395104	2 0000	09/06/12	125.49	119.43	6.06	
	21.0000	09/27/12	1,317.75	1,228.83	88.92	
02/05/13	23 0000	Vancouver	1,443.24	1,348.26	94.98	SALE TOTAL 2 LOTS
JPMORGAN CHASE & CO / 46625H100						
02/05/13	61 0000	11/30/12	2,973.68	2,497.96	475.72	SALE
KOHLS CORP / 500255104						
02/05/13	19 0000	06/05/12	861.82	836.28	25.54	SALE
MONSANTO CO NEW / 51160W101						
01/03/13	13 0000	11/30/12	1,237.55	1,191.01	46.54	SALE
02/05/13	14 0000	11/30/12	1,428.25	1,282.61	145.64	SALE
MORGAN STANLEY & CO / 517445448						
02/05/13	138 0000	11/07/12	3,229.54	2,311.55	917.99	SALE
O'REILLY AUTOMOTIVE INC / 07103H107						
11/11/13	46 0000	02/05/13	5,702.31	4,282.49	1,419.82	SALE
PHILIP MORRIS / 715172109						
INTERNATIONAL INC						
02/05/13	16 0000	08/23/12	1,408.61	1,420.20	-11.59	SALE
PRECISION CASTPARTS CORP / 740183105						
09/09/13	20 0000	07/08/13	4,436.16	4,693.94	-257.78	SALE
RANGE RESOURCES CORP / 75281A109						
01/03/13	20 0000	11/30/12	1,266.09	1,283.58	-17.49	SALE
02/05/13	19 0000	12/03/12	1,318.95	1,215.89	103.06	SALE

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2013 ENHANCED SUMMARY

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As of Date: 2/07/14

CARSON FOUNDATION
ECON
5090 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor:
MO ZAN/EDWARDS/MORAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NATIVES FL 34108
(239) 254-2200

Account Number: 1984-4661

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

1a-Description / CUSIP 1b-Date of Sale or Exchange	1c-Quantity Sold	1d-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
REGENERON PHARMACEUTICAL / 75656F107 INC 02/05/13	14 0000	12/21/12	2 382.19	2,420.66	-38.47	SALE
SPDR S&P 500 TRUST ET / 78462F103 02/05/13	26 0000	12/28/12	3 929.70	3,653.53	276.17	SALE
TJX COS INC NEW / 873540109 04/01/13	94 0000	02/05/13	4,416.16	4 284.52	131.64	SALE
US BANCORP NEW / 902973304	7 0000	06/04/12	232.03	201.28	30.75	
	76 0000	08/03/12	1 193.33	1,706.45	-513.12	
	77 0000	09/17/12	2,552.43	2,834.06	-281.63	
02/05/13	120 0000	Various	3,977.79	4,041.79	-64.00	SALE
						TOTAL 3 LOTS
YUM BRANDS INC / 959498101 02/05/13	40 0000	12/21/12	2 481.34	2,555.16	-73.82	SALE
3M CO / 88579Y101	13 0000	08/22/12	1 320.64	1,206.42	114.22	
	14 0000	10/24/12	1,422.23	1,745.77	-323.54	
02/05/13	27 0000	Various	2,742.87	2 452.19	290.68	SALE
						TOTAL 2 LOTS
TOTAL SHORT TERM GAINS OR LOSSES FOR COVERED SECURITIES			133,830.66	129,162.41	4,668.25	

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2013 ENHANCED SUMMARY

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As of Date 2/07/14

CARSON FOUNDATION
ECON
5090 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor :
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5801 PELICAN BAY BOULEVARD
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(239) 254-2200

Account Number 1984-4661

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

6-Description (CUSIP) 1a-Date of Sale or Exchange	1b-Quantity Sold	1c-Date of Acquisition	2a-Sale Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
1 SHARE S&P 500 TRUST / 464280109 02/05/13	131.0000	12/20/12	4,030.11	3,791.59	238.52	SALE
TOTAL SHORT TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES			4,030.11	3,791.59	238.52	

LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

6-Description (CUSIP) 1a-Date of Sale or Exchange	1b-Quantity Sold	1c-Date of Acquisition	2a-Sale Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
DISNEY WALT COMPANY / 254587109 02/05/13	32.0000	08/10/11	1,735.80	1,017.75	718.05	SALE
EXXON MOBIL CORP / 302711G102	15.0000	01/12/12	1,348.62	1,270.59	78.03	
	46.0000	01/31/12	4,135.77	3,857.44	278.33	
02/05/13	61.0000	Various	5,484.39	5,128.03	356.36	SALE
						TOTAL 2 LOTS
GENERAL ELECTRIC COMPANY / 369504103 02/05/13	64.0000	10/24/11	1,441.89	1,051.84	390.05	SALE
1 SHARE S&P 500 TRUST / 464280109 CHINA LARGE CAP 02/05/13	34.0000	07/12/11	1,381.05	1,391.76	-10.71	SALE
1 SHARE S&P 500 TRUST / 464280109 RUSSIAN 2000 02/05/13	51.0000	09/06/11	4,598.06	3,421.39	1,176.67	SALE

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2013 ENHANCED SUMMARY

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As of Date: 2/07/14

CARSON FOUNDATION
ECON
5098 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor
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5861 PELICAN BAY BOULEVARD
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NAPLES FL 34108
(231) 254-2200

Account Number: 1984-4661

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1c-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
PERSCO INCORPORATED / 713448108 02/05/13	40 0000	07/25/11	2,923.93	2,573.56	350.37	SALE
PRIZER INCORPORATED / 717081103 02/05/13	60 0000	01/31/12	1,649.96	1,285.46	364.50	SALE
SPDR S&P 500 TRUST ET / 78462F103 02/05/13	10 0000	09/09/11	1,511.41	1,158.34	353.07	TOTAL 4 LOTS
	19 0000	09/13/11	2,871.69	2,197.98	673.71	
	19 0000	11/17/11	2,871.69	2,309.81	561.88	
	19 0000	01/05/12	2,871.69	2,431.88	439.81	
	67 0000	Various	10,126.48	8,098.01	2,028.47	
3M CO / 88579Y101 02/05/13	4 0000	10/17/11	406.35	303.92	102.43	SALE
TOTAL LONG TERM GAINS OR LOSSES FOR COVERED SECURITIES			29,747.91	24,271.72	5,476.19	

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1c-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
ISHARES MSCI EMERGING MARKETS ET / 464267234 02/05/13	25 0000	05/20/10	1,100.75	916.22	184.53	SALE
ISHARES MSCI EAFE INDEX / 464267465 02/05/13	20 0000	05/06/10	1,172.57	982.30	190.27	SALE

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2013 ENHANCED SUMMARY

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As of Date: 2/07/14

CARSON FOUNDATION
ECON
5690 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor :
MORAN/EDWARDS/MORAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NAPLES FL 34108
(239) 254-2200

Account Number: 1984-4661

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

continued

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
JOHNSON & JOHNSON / 478110104 02/05/13	35.0000	07/14/10	2,612.80	2,119.62	493.18	SALE
POWERSHARES QQQ TR ET / 73935A104 SERIES A	1.0000	05/05/10	67.46	48.10	19.36	
	47.0000	05/20/10	3,171.03	2,108.87	1,062.16	
02/05/13	48.0000	Various	3,238.49	2,156.97	1,081.52	SALE
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES			8,124.61	6,175.11	1,949.50	TOTAL 2 LOTS

UNKNOWN TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES (Box 6)

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
1 SHARE SILVER TRUST / 464280100 01/31/13	131.0000	N/A	1.66	N/A	N/A	PRINCIPAL PAYMENT Cost Basis Allocation Factor: 0.000416717
TOTAL UNKNOWN TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES			1.66	0.00	0.00	

*Box 2a: Sales price less return shares and option premiums

For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss columns, or Cost column. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss. Please note that the totals estimate any available cost or proceeds, even if the Gain/Loss is marked N/A.

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2013 ENHANCED SUMMARY

Page 5 of 16

As of Date: 2/07/14

CARSON FOUNDATION
SSEL
5090 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor:
MORAN/EDWARDS/MORAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NAPLES, FL 34108
(239) 254-2200

Account Number: 2972-6194

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

For noncovered transactions, we provide supplemental cost basis information, when it is available, to assist you with completing your tax return, however, THIS INFORMATION IS NOT PROVIDED TO THE INTERNAL REVENUE SERVICE.

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
AAHCNS INC / 002535300 06/04/13	49 0000	11/06/12	1,354.12	1,505.21	-151.09	SALE
AMER CAN VANGUARD CORP / 030171108 07/02/13	80 0000	06/04/13	1,860.52	2,434.71	-574.19	SALE
BELDEN INC / 071454106 08/06/13	50 0000	03/05/13	2,891.35	2,549.32	342.03	SALE
CASCADE CORPORATION / 147195101 04/04/13	39 0000	03/05/13	2,535.00	2,523.33	11.67	MERGER
CUBIC CORPORATION COMMON / 220669106 03/05/13	47 0000	03/06/12	2,060.59	2,104.74	-44.15	SALE
GEOSPACE TECHNOLOGIES / 373641109 CORP 06/04/13	24 0000	03/05/13	2,003.01	2,561.11	-558.10	SALE
IPG PHOTONICS CORP / 44980X109 02/11/13	7 0000	05/01/12	469.06	376.84	92.22	SALE
J & J SNACK FOODS CORP / 465037109 07/02/13	30 0000	02/11/13	2,361.55	2,059.26	302.29	SALE
LINDSAY CORPORATION / 535555106 02/11/13	5 0000	04/09/12	457.44	314.99	142.45	SALE

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2013 ENHANCED SUMMARY

Page 6 of 16

As of Date: 2/07/14

CARSON FOUNDATION
SSEL
5000 RICHMOND AVE #300
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5801 PELICAN BAY BOULEVARD
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NAPLES, FL 34108
(239) 254-2200

Account Number: 2972-6194

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description (CUSIP)	1a-Quantity Sold	1b-Date of Acquisition	2a-Sale Price	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
MGE ENERGY INC / 55277P104 04/30/13	45 0000	12/04/12	2 500.73	2,286.29	214.44	SALE
TRUEB UE INC / 80785Y101 07/02/13	127 0000	03/05/13	2 758.58	2,546.25	212.33	SALE
TOTAL SHORT TERM GAINS OR LOSSES FOR COVERED SECURITIES			21,251.95	21,262.05	-10.10	

LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description (CUSIP)	1a-Quantity Sold	1b-Date of Acquisition	2a-Sale Price	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
CDPA HOLDINGS SA CL A / P31076105 02/11/13	4 0000	07/06/11	411.91	271.03	140.88	SALE
03/05/13	29 0000	07/06/11	3,169.53	1,964.95	1,204.58	SALE
HEICO CORP NEW / 422806109 02/05/13	21 0000	10/04/11	959.86	777.80	182.06	SALE
HITTITE MICROWAVE CORP / 43J85Y104 08/06/13	37 0000	10/04/11	2,271.55	1,767.69	503.86	SALE
IPG PHOTONICS CORP / 44980X109 07/02/13	39 0000	05/01/12	2,349.37	2,099.51	249.86	SALE
MADDEN STEVEN LTD / 556269108 03/05/13	58 0000	06/01/11	2 585.22	2,145.33	439.89	SALE

001/ PNFL / NF10



2013 ENHANCED SUMMARY

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As of Date: 2/07/14

CARSON FOUNDATION
SSCL
5090 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor:
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Account Number: 2972-6194

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
MEDIDATA SOLUTIONS INC / 58471A105 02/11/13	10.0000	10/13/11	482.19	166.47	315.72	SALE
07/02/13	05.0000	10/13/11	8,308.29	1,747.85	6,560.44	SALE
SCHWEITZER-MAUDUIT INT / 808541106 INC 03/05/13	50.0000	08/02/11	1,854.43	1,751.50	602.93	SALE
STURM RUGER & CO INC / 864153108 02/11/13	9.0000	01/31/12	498.95	356.55	142.40	SALE
VALUECLICK INC / 92046N102 09/04/13	129.0000	07/05/12	2,897.35	2,173.29	524.06	SALE
WOLVERINE WORLD WIDE INC / 078097103 04/30/13	53.0000	07/06/11	2,522.43	2,250.30	272.13	SALE
TOTAL LONG TERM GAINS OR LOSSES FOR COVERED SECURITIES			28,111.08	16,972.27	11,138.81	

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
ALLEGIANTRAVEL CO / 01749X102 02/05/13	31.0000	02/25/09	2,311.52	1,071.05	1,240.47	SALE
APTARGROUP INC / 038336103 07/02/13	40.0000	02/25/09	2,236.64	1,086.80	1,149.84	SALE

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2013 ENHANCED SUMMARY

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As of Date: 2/07/14

CARSON FOUNDATION
SSEL
5090 RICHMOND AVE #300
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5861 PELICAN BAY BOULEVARD
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(239) 254-7200

Account Number: 2972-6194

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

continued

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description (CUSIP) 1a-Date of Sale or Exchange	1b-Quantity Sold	1c-Date of Acquisition	2a-Sales Price	3a-Net or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
BOSTON BEER INC / 100557107 CL A 02/11/13	3.0000	08/03/10	423.98	200.32	223.66	SALE
CLARCOR INC / 179695107 08/06/13	38.0000	02/25/09	2,097.06	1,077.68	1,019.38	SALE
GRACO INCORPORATED COM / 384139104 02/11/13	8.0000	02/25/09	465.67	143.20	322.47	SALE
04/30/13	53.0000	02/25/09	3,165.24	948.70	2,214.54	SALE
GRAHAM CORP / 384556106 02/05/13	49.0000	01/05/10	1,153.49	1,053.74	99.75	SALE
02/06/13	23.0000	01/05/10	530.91	494.60	36.31	SALE
IDACORP INC / 451107108 04/02/13	46.0000	04/06/10	2,197.18	1,815.06	382.12	SALE
MAXIMUS INC / 577933104 02/11/13	7.0000	06/02/10	500.63	208.39	292.24	SALE
NORDSON CORP / 655663102 02/11/13	7.0000	02/25/09	482.85	88.48	394.37	SALE
03/05/13	70.0000	02/25/09	4,449.81	884.80	3,565.01	SALE
SOUTH JERSEY IND INC / 838518108 04/02/13	42.0000	08/02/11	2,305.69	2,133.54	172.15	SALE
THE BUCKLE INC / 116440106 08/06/13	46.0000	02/25/09	2,515.67	1,099.83	1,415.84	SALE

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As of Date: 2/07/14

CARSON FOUNDATION
SSEL
5090 RICHMOND AVE #J00
HOUSTON TX 77056

Your Financial Advisor *
MICHAEL WARD/MORAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NAPLES FL 34108
(239) 254-2200

Account Number: 2972-6194

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

continued

Proceeds from Broker and Barter Exchange Transactions for 2013

a-Description - CUSIP 1a-Date of Sale or Exchange	1c-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
WD-40 COMPANY COMMON - 924236107 10/01/13	45.0000	02/25/09	2,876.75	1,114.20	1,762.55	SALE
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES			27,711.09	13,220.39	14,490.70	

*Box 2a: Sales price less commissions and other premiums



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Amended Date: 2/14/14

CARSON FOUNDATION
5090 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor :
MORAN/EDWARDS/MOHAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NAPLES, FL 34108
(239) 354-2700

Account Number: 4699-8830

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

For noncovered transactions, we provide supplemental cost basis information, when it is available, to assist you with completing your tax return, however, THIS INFORMATION IS NOT PROVIDED TO THE INTERNAL REVENUE SERVICE

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)

Proceeds from Broker and Barter Exchange Transactions for 2013

1a-Description (CUSIP)	1e-Quantity Sold	1d-Date of Acquisition	2a-Sales Price	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
AAHQUIS INC / 002535300 06/05/13	11.0000	11/07/12	303.27	329.06	-25.79	SALE
ABBOTT LABORATORIES / 002824100 07/09/13	13.0000	01/08/13	455.27	432.21	23.06	SALE
ACCENT JRE PLC (IRELAND) / 011510101 SHARES CLASS A 04/03/13	7.0000	06/26/12	535.48	395.64	139.84	SALE
ACTIVISION BLIZZARD INC / 00507V109 05/01/13	128.0000	02/06/13	1,881.01	1,526.09	354.92	SALE
AG MORTGAGE INVESTMENT / 001228105 TR INC 01/14/13	35.0000	12/17/12	858.04	836.85	21.19	SALE
ALTERA CORPORATION / 021441100 04/03/13	50.0000	08/01/12	1,684.65	1,775.79	-91.14	SALE
AMERICAN TOWER CORP / 03027X100 REIT 04/03/13	6.0000	01/08/13	469.51	462.20	7.31	SALE
AMERICAN VANGUARD CORP / 030371108 07/05/13	13.0000	06/05/13	301.57	396.38	-94.81	SALE

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Amended Date: 2/14/14

CARSON FOUNDATION
5090 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor -
MORAN/EDWARDS/MORAN
5801 PELICAN BAY BOULEVARD
SUITE 700
NAPLES FL 34108
(239) 254-2200

Account Number: 4699-8830

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes. Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sale Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
AMERICAN WATER WORKS CO / 039420103 INC 05/11/13	12.0000	01/08/13	476.07	450.69	25.38	SALE
APPLE INC / 037833100 03/06/13	1.0000	05/02/12	432.73	583.88	-151.15	SALE
	3.0000	05/02/12	1,301.79	1,751.64	-449.85	
	1.0000	06/26/12	433.93	568.19	-134.26	
04/03/13	4.0000	Various	1,735.72	2,319.83	-584.11	SALE
						TOTAL 21 LOTS
AT & T INC / 00206R102 05/11/13	13.0000	01/08/13	465.91	448.84	17.07	SALE
BALL CORP / 058498105 05/01/13	4.0000	01/03/13	178.45	185.35	-6.90	SALE
09/10/13	32.0000	01/03/13	1,449.85	1,482.79	-32.94	SALE
BANK OF HAWAII CORP / 062540109 04/03/13	13.0000	06/26/12	645.99	585.65	60.34	SALE
BILLEN INC / 077454106 08/07/13	7.0000	03/06/13	392.52	355.01	37.51	SALE
CASCADE CORPORATION / 147195101 04/04/13	5.0000	03/06/13	325.00	323.35	1.65	VERGER
CERNER CORP / 156782104 09/10/13	10.0000	01/08/13	480.14	413.65	66.49	SALE

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2013 SUMMARY AMENDMENT

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Amended Date: 2/14/14

CARSON FOUNDATION
5090 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor :
MOHAN EDWARDS/MORAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NAPLES, FL 34108
(239) 254-2200

Account Number: 4699-8830

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)

continued

Proceeds from Broker and Barter Exchange Transactions for 2013

4-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
CHURCH & DWIGHT INC / 171340102 02/06/13	29.0000	12/05/12	1,722.30	1,560.61	161.69	SALE
CLAYMORE TRUST 2 ET / 183530879 GUGGENHEIM TIMBER 01/08/13	23.0000	09/06/12	483.04	407.31	75.73	SALE
CVS ENERGY CORP / 125598100 07/09/13	18.0000	01/08/13	491.94	445.39	46.55	SALE
COALH INC / 159754104 05/01/13	25.0000	11/07/12	1,458.99	1,429.59	29.40	SALE
COCA-COLA COMPANY / 191210100 01/03/13	8.0000	06/26/12	298.84	300.56	-1.72	SALE
COMCAST CORP NEW CL A / 20030N101 06/11/13	1.0000	01/03/13	40.04	38.27	1.77	SALE
COMMERCE BANCSHARES INC / 200525103	13.5821 5.2239 51.1940 70.0000	06/06/12 06/26/12 07/09/12 Various	533.58 705.22 2,011.22 2,750.02	479.52 185.90 1,867.52 2,532.94	54.06 19.32 143.70 217.08	SALE
04/04/13						TOTAL JLTQTS
COSTCO WHSL CORP NEW / 22180K105 COM 04/03/13	4.0000	01/08/13	429.36	405.99	23.37	SALE
CUBIC CORPORATION COMMON / 229669108 03/06/13	6.0000	03/07/12	264.12	268.81	-4.69	SALE

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Amended Date: 2/14/14

CARSON FOUNDATION
5090 RICHMOND AVE #300
HOUSTON TX 77356

Your Financial Advisor :
MO RAN/EDWARDS/MOHAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NAPLES, FL 34108
(239) 254-2200

Account Number: 4699-8830

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

1a-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1c-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
CUMMINS INC / 231021106 06/05/13	6.0000	06/26/12	699.18	535.92	163.26	SALE
DCI INDUSTRIAL TRUST INC / 233153105 08/15/13	105.0000	03/13/13	738.41	766.44	-28.03	SALE
DISCOVER FINANCIAL / 254729108 11/06/13	24.0000	04/03/13	1,258.58	1,055.37	203.21	SALE
E-BAY INC / 275642103 08/15/13	24.0000	04/03/13	1,257.24	1,336.98	-79.74	SALE
EDISON INTL / 281020107 04/10/13	10.0000	01/08/13	507.81	461.62	46.19	SALE
ELI LILLY & CO / 532457108 07/09/13	9.0000	01/08/13	462.39	466.92	-4.53	SALE
EVEREST RE GROUP LTD / G3223R108 BERMUDA 04/10/13	4.0000	01/08/13	510.37	446.54	63.83	SALE
EXTRA SPACE STORAGE INC / 30225T107 01/14/13	4.0000	12/17/12	150.39	141.29	9.10	SALE
02/21/13	20.0000	12/17/12	758.38	706.45	51.93	SALE
FSN-TWOXIS INC / 315615102 03/06/13	14.0000 3.0000 17.0000	05/06/12 06/26/12 Various	1,307.83 280.26 1,588.09	1,443.73 287.19 1,730.92	-135.90 -6.93 -142.83	SALE
					TOTAL 2 LOTS	

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Amended Date: 2/14/14

CARSON FOUNDATION
5093 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor:
MORAN/EDWARDS/MORAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NAPLES, FL 34108
(239) 254-2200

Account Number: 4699-8830

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

1a-Description / CUSIP 1b-Date of Sale or Exchange	1c-Quantity Sold	1d-Date of Acquisition	2a-Sale Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
GOSPACE TECHNOLOGIES / 37364 K10 CORP 06/05/13	3.0000	03/06/13	241.43	321.66	-80.23	SALE
GLOBAL K NORWAY ET / 37950E717 06/07/13	58.0000	04/22/13	905.97	868.21	37.76	SALE
GOLDMAN SACHS GROUP INC / 38141G104 11/06/13	7.0000	04/03/13	1,141.60	1,022.82	118.78	SALE
GULFPORT ENERGY CORP / 402935304 COM 02/06/13	3.0000	01/03/13	117.72	119.71	-1.99	SALE
HEICO CORP NEW / 422806109 02/06/13	3.0000	06/26/12	136.37	117.45	18.92	SALE
ILLINOIS TOOL WORKS INC / 452308119 05/01/13	2.0000	06/26/12	128.54	103.68	24.86	SALE
INDEXIQ TR - IQ HEDGE MFCE 01/08/13	58.0000	09/06/12	1,615.76	1,626.84	-11.08	SALE
INTERCONTINENTAL EXCHANGE INC 02/06/13	13.0000	08/13/12	1,858.52	1,732.52	126.00	SALE
INVESCO MORTGAGE CAPITAL INC / 36131B100 07/12/13	36.0000	02/21/13	584.56	727.95	-143.39	SALE

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Amended Date: 2/14/14

CARSON FOUNDATION
5090 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor:
MORAN EDWARDS/MORAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NAPLES, FL 34108
(239) 254-2200

Account Number: 4699-8830

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

1a-Date of Sale or Exchange	1b-Quantity Sold	1b4-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
ISHARES ET / 464289123 MSCI NEW ZEALAND CAPED 06/07/13	22.0000	04/22/13	768.45	834.89	-66.44	SALE
ISHARES ET / 464289732 U.S. REAL ESTATE 03/13/13	17.0000	06/26/12	1,169.43	1,049.58	119.85	SALE
ISHARES ET / 464289390 LATIN AMERICA 40 07/12/13	4.0000	06/19/13	142.34	152.12	-9.78	SALE
08/19/13	147.0000	06/19/13	5,275.77	5,590.36	-314.59	SALE
ISHARES ET / 464288448 INTL SELECT DIVIDEND 09/20/13	85.0000	08/19/13	3,136.45	2,966.36	170.09	SALE
11/29/13	128.0000	11/06/13	4,811.47	4,871.39	-59.92	SALE
ISHARES ET / 464288605 MSCI POLAND CAPED	34.0000	04/22/13	867.67	863.23	4.44	
	6.0000	06/07/13	153.12	166.73	-13.61	
07/12/13	40.0000	Various	1,020.79	1,029.96	-9.17	SALE
ISHARES EUROPE ET / 464287861						TOTAL 2 LOTS
09/20/13	70.0000	08/19/13	3,121.97	2,984.08	137.89	SALE
11/29/13	109.0000	11/06/13	5,070.60	5,000.77	69.83	SALE

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2013 SUMMARY AMENDMENT

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Amended Date: 2/14/14

CARSON FOUNDATION
5090 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor:
MICHAEL EDWARDS/MORAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NAPLES FL 34108
(239) 264-2200

Account Number: 4699-8830

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)

continued

Proceeds from Broker and Barter Exchange Transactions for 2013

B-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price	3a-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
ISHARES IBOXX \$ ET 464287242 INVESTMENT GRADE CORP BD 01/08/13	3.0000	09/06/12	362.37	359.12	3.25	SALE
ISHARES INC ET 464286 45 MSCI FRONTIER 100 FUND	3.0000	06/13/13	94.43	94.09	0.34	Original Basis: 94.17
	41.0000	07/12/13	1,290.67	1,237.33	53.34	
09/20/13	44.0000	Various	1,385.10	1,331.42	53.68	SALE
						TOTAL 2 LOTS
ISHARES MSCI ET 464286780 SOUTH AFRICA	7.0000	07/20/12	408.72	445.04	-36.32	
	1.0000	10/17/12	58.39	66.38	-7.99	
	6.0000	04/22/13	350.34	364.30	-13.96	
06/07/13	14.0000	Various	817.45	875.72	-58.27	SALE
						TOTAL 3 LOTS
ISHARES MSCI ET 464286772 SOUTH KOREA CAPWED	3.0000	05/15/12	178.53	166.05	12.48	SALE
	5.0000	05/15/12	278.99	276.75	2.24	
	16.0000	07/20/12	892.79	846.37	46.42	
04/22/13	21.0000	Various	1,171.78	1,123.12	48.66	SALE
						TOTAL 2 LOTS
11/06/13	18.0000	09/20/13	1,147.68	1,136.40	11.28	SALE
ISHARES MSCI ET 464286830 MALAYSIA	29.0000	09/19/12	447.22	428.85	18.37	
	6.0000	10/17/12	92.54	90.48	2.06	
04/22/13	35.0000	Various	539.76	519.33	20.43	SALE
						TOTAL 2 LOTS

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2013 SUMMARY AMENDMENT

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Amended Date: 2/14/14

CARSON FOUNDATION
5090 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor :
MO TAY EDWARDS/MORAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NAPLES, FL 34108
(239) 254-2200

Account Number 4699-8830

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

4-Description (CUSIP) 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sale Price	3a-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
05/07/13	53.0000	05/14/13	858.05	888.65	-30.59	SALE
ISHARES MSCI NETHERLANDS 04/22/13	1.0000	11/14/12	20.50	18.79	1.71	SALE
08/19/13	54.0000	07/12/13	1,269.79	1,196.24	73.55	SALE
ISHARES MSCI AUSTRALIA 03/11/13	10.0000	11/14/12	272.95	240.20	32.75	SALE
04/22/13	46.0000	11/14/12	1,219.10	1,104.88	114.22	SALE
06/07/13	103.0000	05/14/13	2,456.51	2,801.50	-344.99	SALE
ISHARES MSCI SINGAPORE 01/14/13	32.0000	08/13/12	435.19	425.24	9.95	
	6.0000	10/17/12	81.60	81.41	0.19	
05/14/13	38.0000	Various	516.79	506.65	10.14	SALE
	11.0000	04/22/13	160.82	152.57	8.25	SALE
	66.0000	04/22/13	908.32	915.40	-7.08	
	17.0000	06/07/13	233.96	228.26	5.70	
	44.0000	07/12/13	605.55	582.41	23.14	
11/06/13	127.0000	Various	1,747.83	1,726.07	21.76	SALE
ISHARES MSCI SWITZERLAND CAPED 04/22/13	19.0000	03/13/13	554.41	554.20	0.21	SALE
06/19/13	9.0000	06/07/13	273.51	270.31	3.20	SALE

TOTAL 2 LOTS

TOTAL 3 LOTS

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2013 SUMMARY AMENDMENT

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Amended Date: 2/14/14

CARSON FOUNDATION
5090 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor:
MORAN/EDWARDS/MORAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NAPLES, FL 34108
(239) 754-2200

Account Number: 4699-8830

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)

continued

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1c-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information		7-Date of Information
					Gain or Loss	Amount	
	42 0000	06/07/13	1 340.85	1 261.41	79.44		
	14 0000	07/12/13	446.96	417.31	29.65		
11/06/13	56 0000	Various	1 787.81	1 678.72	109.09	SALE	TOTAL 2 LOTS
ISHARES MSCI HONG KONG	ET / 464281871						
	23 0000	07/20/12	455.94	382.40	73.54		
	4 0000	10/17/12	79.30	74.04	5.26		
03/13/13	27 0000	Various	535.24	456.44	78.80	SALE	TOTAL 2 LOTS
ISHARES MSCI AUSTRIA CAPPED	ET / 464281202						
	14 0000	07/20/12	258.15	191.56	66.59		
	28 0000	10/17/12	516.31	465.91	50.40		
01/14/13	42 0000	Various	774.46	657.47	116.99	SALE	TOTAL 2 LOTS
04/22/13	39 0000	03/13/13	677.07	703.09	-26.02	SALE	
06/19/13	46 0000	05/14/13	830.36	842.25	-11.89	SALE	
	6 0000	05/14/13	121.79	109.85	11.94		
	64 0000	06/07/13	1,299.19	1,160.87	138.32		
	29 0000	07/12/13	588.70	491.94	96.76		
11/06/13	99 0000	Various	2,009.68	1,762.66	247.02	SALE	TOTAL 3 LOTS
ISHARES MSCI THAILAND CAPPED	ET / 464281624						
03/13/13	7 0000	10/17/12	644.20	534.58	109.62	SALE	
11/06/13	13 0000	06/07/13	1,018.96	1,102.62	-83.66	SALE	
ISHARES MSCI ACWI	ET / 464281257						
11/06/13	99 0000	09/20/13	5,565.97	5,433.01	132.96	SALE	

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2013 SUMMARY AMENDMENT

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Amended Date: 2/14/14

CARSON FOUNDATION
5090 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor:
MO-RAN/EDWARDS/MOHAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NAPLES, FL 34108
(239) 254-2200

Account Number: 4699-8830

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

4-Description / CUSIP 1a-Date of Sale or Exchange	1c-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss		
					Amount	Additional Information	
ISHARES MSCI BELGIUM ET / 464788301 CAPPED							
	36.0000	09/19/12	503.99	464.37	39.62		
	7.0000	10/17/12	98.00	91.68	6.32		
01/14/13	43.0000	Various	601.99	556.05	45.94	SALE	TOTAL 2 LOTS
	38.0000	03/13/13	564.72	547.96	16.76		
	22.0000	04/23/13	326.95	313.06	13.89		
05/14/13	60.0000	Various	891.67	861.02	30.65	SALE	TOTAL 2 LOTS
ISHARES MSCI BRAZIL ET / 464286400 CAPPED							
	1.0000	06/26/12	52.90	49.51	3.39		
	28.0000	07/20/12	1,481.45	1,448.83	32.62		
	5.0000	10/17/12	264.55	276.72	-12.17		
04/22/13	34.0000	Various	1,798.90	1,775.06	23.84	SALE	TOTAL 3 LOTS
06/13/13	4.0000	05/14/13	190.45	219.41	-28.96	SALE	
	6.0000	05/14/13	280.79	329.11	-48.32		
	7.0000	06/07/13	327.60	350.27	-22.67		
06/19/13	13.0000	Various	608.39	679.38	-70.99	SALE	TOTAL 2 LOTS
08/19/13	65.0000	07/12/13	4,066.78	4,033.61	33.17	SALE	
ISHARES MSCI CANADA ET / 464786509 INDEX FUND							
03/13/13	2.0000	11/14/12	56.68	54.82	1.86	SALE	
04/22/13	57.0000	11/14/12	1,522.46	1,562.17	-39.71	SALE	
11/06/13	52.0000	09/20/13	1,529.58	1,482.93	46.65	SALE	

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Amended Date: 2/14/14

CARSON FOUNDATION
5090 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor:
MICHAEL EDWARDS/MORAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NAPLES, FL 34108
(239) 254-2200

Account Number 4699-8830

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

3-Description - CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sale Price	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
15SHARES MSCI CHILE EIT 464286640 CAP11D 04/22/13	8.0000	11/14/12	494.07	480.40	13.67	SALE
15SHARES MSCI EAFE EIT 464286273 SMALL CAP 12/18/13	25.0000	08/19/13	1,219.95	1,156.46	63.53	SALE
15SHARES MSCI EAFE INDEX EIT 464287465 09/20/13	48.0000	08/19/13	3,094.49	2,955.62	138.87	SALE
15SHARES MSCI FRANCE EIT 464286707	12.0000	03/13/13	800.11	764.80	35.31	
	34.0000	04/22/13	2,350.35	2,174.77	175.58	
05/14/13	126.0000	Various	3,150.45	2,939.57	210.89	SALE
						TOTAL 2 LOTS
15SHARES MSCI GERMANY EIT 464296306 03/13/13	19.0000	09/19/12	476.17	443.37	32.80	SALE
	95.0000	09/19/12	2,490.15	2,216.82	273.33	
	20.0000	10/17/12	524.24	471.60	52.64	
	97.0000	04/22/13	2,542.58	2,319.24	223.34	
05/14/13	212.0000	Various	5,556.97	5,007.66	549.31	SALE
						TOTAL 3 LOTS
15SHARES MSCI ISRAEL EIT 464286632 CAP11D 04/22/13	15.0000	03/13/13	650.99	670.95	-19.96	SALE
	20.0000	05/14/13	888.38	892.00	-3.62	
	4.0000	06/07/13	177.68	182.91	-5.23	
	13.0000	07/12/13	577.45	577.44	0.02	
08/19/13	37.0000	Various	1,643.52	1,652.35	-8.83	SALE
						TOTAL 3 LOTS

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Amended Date: 2/14/14

CARSON FOUNDATION
5096 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor
MC LANE EDWARDS/MORAN
5901 PELICAN BAY BOULEVARD
SUITE 200
NAPLES FL 34108
(239) 254-2200

Account Number: 4699-8830

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 8) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description (CUSIP) 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price	3a-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
ISHARES MSCI ITALY ET / 464286855 CAPPED						
03/13/13	21.0000	12/17/12	257.51	273.98	-16.47	SALE
	159.0000	12/17/12	2,111.70	2,074.39	37.31	
	162.0000	04/22/13	2,151.55	2,021.68	129.88	
05/14/13	321.0000	Various	4,263.25	4,096.07	167.19	SALE
06/19/13	103.0000	06/07/13	1,335.01	1,378.97	-43.96	SALE
07/12/13	290.0000	06/07/13	3,474.17	3,879.94	-405.77	SALE
ISHARES MSCI JAPAN ET / 464286848 CAPPED						
	123.0000	12/17/12	1,387.42	1,162.35	225.07	
	2.0000	01/14/13	22.56	19.90	2.66	
04/22/13	125.0000	Various	1,409.98	1,182.25	227.73	SALE
06/19/13	128.0000	05/14/13	1,458.22	1,532.87	-74.65	SALE
	413.0000	05/14/13	4,882.98	4,945.88	-62.90	
	30.0000	06/07/13	354.70	327.67	27.03	
07/12/13	443.0000	Various	5,237.68	5,273.55	-35.87	SALE
11/06/13	550.0000	09/20/13	6,504.74	6,564.47	-59.73	SALE
ISHARES MSCI MEXICO ET / 464256822 CAPPED						
	2.0000	05/15/12	145.66	114.09	31.57	
	10.0000	07/20/12	728.30	623.09	105.21	
03/13/13	12.0000	Various	873.96	737.18	136.78	SALE
	1.0000	07/20/12	72.19	62.30	9.89	
	7.0000	01/14/13	505.33	515.11	-9.78	
04/22/13	8.0000	Various	577.52	577.41	0.11	SALE

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CARSON FOUNDATION
5090 RICHMOND AVE #300
HOUSTON TX 77058

Your Financial Advisor :
MORAN/E DWARDS/MORAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NAPLES, FL 34108
(239) 254-2760

Account Number: 4699-8830

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

1a-Date of Sale or Exchange	1b-Quantity Sold	1c-Date of Acquisition	2a-Sales Price	3a-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
06/07/11	13.0000	05/14/13	881.29	941.72	-60.43	SALE
06/19/13	19.0000	05/14/13	1,216.71	1,378.35	-159.64	SALE
07/12/13	20.0000	05/14/13	1,302.62	1,448.77	-146.15	SALE
ISHARES MSCI PACIFIC ET FUND ET JAPAN 11/29/13	31.0000	11/06/13	1,495.81	1,549.19	-53.38	SALE
ISHARES MSCI SPAIN ET FUND CAPPEF 01/14/13	27.0000	08/20/12	863.98	692.80	171.18	SALE
	16.0000	08/20/12	489.60	410.54	79.06	
	7.0000	10/17/12	214.20	208.25	5.95	
	75.0000	04/22/13	2,325.63	2,248.06	77.57	
06/07/13	99.0000	Various	3,029.43	2,866.85	162.58	SALE
						TOTAL 3 LOTS
ISHARES MSCI SWEDEN ET FUND 12/17/12	17.0000	12/17/12	571.40	493.80	77.60	
	10.0000	04/22/13	336.12	312.10	24.02	
	25.0000	07/12/13	840.32	794.97	45.35	
08/19/13	52.0000	Various	1,747.84	1,600.87	146.97	SALE
						TOTAL 3 LOTS
ISHARES MSCI TAIWAN ET FUND 01/14/13	40.0000	10/17/12	544.79	527.13	17.66	SALE
06/07/13	100.0000	05/14/13	1,372.98	1,410.62	-37.64	SALE
11/06/13	120.0000	07/12/13	1,709.31	1,671.17	38.14	SALE
ISHARES TIP BOND ET FUND 01/08/13	3.0000	09/06/12	363.08	361.95	1.13	SALE

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Amended Date: 2/14/14

CANSON FOUNDATION
5090 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor:
MORAN/EDWARDS/MORAN
5801 PELICAN BAY BOULEVARD
SUITE 200
MIAMI BEACH FL 33108
(305) 254-2200

Account Number: 4699-8830

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description of Fund 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information	
J & J SNACK FOODS CORP / 466032109 07/05/13	5.0000	02/07/13	393.27	346.66	46.61	SALE	
LANCASTER COLONY CORP / 511347103 02/06/13	4.0000	12/05/12	287.65	309.27	-21.62	SALE	
MARKET VECTORS / 570600605 AGRI-BUSINESS 01/08/13	7.0000	10/03/12	377.80	359.10	18.70	SALE	
MCGRAW-HILL FINANCIAL / 580645105 INC 02/06/13	9.0000 25.0000 34.0000	06/06/12 06/26/12 Various	401.78 1,116.07 1,517.85	391.73 1,072.76 1,464.49	10.05 43.31 53.38		TOTAL 2 LOTS
MEAD JOHNSON / 587649106 NUTRITION CO 02/06/13	6.0000	01/08/13	452.04	418.12	33.92	SALE	
MEDICAL PROPERTIES TRUST / 584630304 INC 08/15/13	52.0000	02/21/13	662.47	736.58	-74.11	SALE	
11GE ENERGY INC / 55277P114 05/07/13	6.0000	12/05/12	327.88	306.83	21.05	SALE	
MOHOLA SOLUTIONS INC / 620076307 COV NEW 03/06/13	30.0000 2.0000 32.0000	06/06/12 06/26/12 Various	1,899.13 126.61 2,025.74	1,464.30 94.58 1,558.88	434.83 32.03 466.86		TOTAL 2 LOTS

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Amended Date: 2/14/14

CARSON FOUNDATION
5090 RICHMOND AVE #300
HOUSTON TX 77056

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5801 PELICAN BAY BOULEVARD
SUITE 200
NAPLES FL 34108
(239) 254-2200

Account Number 4699-8830

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 8) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

1-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1c-Date of Acquisition	2a-Weighted Price*	3a-Gain or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
	3 0000	06/26/12	170.34	141.87	28.47	
	20 0000	08/01/12	1,135.66	963.41	172.25	
05/01/13	23 0000	Various	1,306.00	1,105.28	200.72	SALE TOTAL 2 LOTS
NEW RESIDENTIAL / 64828T103 INVESTMENT CORP						
07/12/13	68 0000	05/16/13	465.08	468.52	-3.44	SALE
NEWCASTLE INVESTMENT / 65105M108 CORP						
06/07/13	68 0000	02/21/13	378.38	396.92	-18.54	SALE
NORTHEAST UTILITIES / 66409T106						
02/06/13	41 0000	01/03/13	1,678.32	1,630.95	47.37	SALE
NVIDIA CORP / 67066G104						
04/03/13	145 0000	10/23/12	1,765.19	1,759.34	5.85	SALE
O'REILLY AUTOMOTIVE INC / 67103H107						
11/12/13	5 0000	01/08/13	621.67	448.60	173.07	SALE
POWERSHARES LISTED ET / 73935X195 PRIVATE EQUITY PORTFOLIO						
01/08/13	44 0000	09/06/12	454.56	409.20	45.36	SALE
POWERSHARES WATER / 73935X575 RESOURCES						
01/08/13	21 0000	09/06/12	443.94	403.54	40.40	SALE
PRECISION CASTPARTS CORP / 740189105						
09/10/13	6 0000	07/09/13	1,347.77	1,401.26	-53.49	SALE

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2013 SUMMARY AMENDMENT

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Amended Date: 2/14/14

CARSON FOUNDATION
5090 RICHMOND AVE #300
HOUSTON TX 77056

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NAPLES FL 34108
(239) 254-2200

Account Number: 4699-8830

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description of CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sale Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
PMV CORP / 693656100 10/02/13	9.0000	04/03/13	1,039.80	952.75	87.05	SALE
RAYONIER INC / 754707103 04/03/13	4.0000	06/26/12	234.34	174.92	59.42	SALE
REDWOOD TRUST INC / 755075402 REIT 11/06/13	39.0000	01/14/13	696.40	735.56	-39.16	SALE
RESOURCE CAPITAL CORP / 76120W302 08/20/13	146.0000	06/07/13	814.93	910.02	-95.09	SALE
WYMAN HOSPITALITY PRPTS / 783771107 05/14/13	17.0000	03/13/13	728.05	766.57	-38.52	SALE
SIGMA ALDRICH CORP / 626542101 05/01/13	25.0000	08/01/12	1,950.00	1,737.51	212.49	SALE
SPDR DB INTL GOVT BOND / 78464A190 INFLATION PROTECTED BOND 01/08/13	6.0000	09/06/12	375.89	365.82	10.07	SALE
SPDR DJ WILSHIRE INTL REAL ESTATE 11/29/13	36.0000	11/06/13	1,518.82	1,542.82	-24.00	SALE
SPDR DOW JONES REIT / 78464A607 01/14/13	1.0000	10/17/12	74.37	72.32	2.05	SALE
02/21/13	9.0000	10/17/12	687.33	650.84	36.49	SALE

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2013 SUMMARY AMENDMENT

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Amended Date: 2/14/14

CARSON FOUNDATION
5090 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor:
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(239) 254-2200

Account Number 4699-8830

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description, CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sale Price*	3-Net or Other Basis	Supplemental Information Gain or Loss Amount	4-Other Information
SPDR EURO STOXX 50 E1 / 784632202	38 0000	11/06/13	1,526.83	1,541.39	-14.56	
	6 0000	11/29/13	241.08	248.16	-7.08	
12/18/13	44 0000	Various	1,767.91	1,789.55	-21.64	SALE TOTAL 2 LOTS
STATE STR CORP / 857477103						
11/06/13	26 0000	03/06/13	1,845.11	1,508.74	336.37	SALE
TERADATA CORP / 88076W103						
01/03/13	22 0000	09/06/12	1,412.16	1,773.54	-361.38	SALE
THE SOUTHERN COMPANY / 842587107						
02/06/13	7 0000	06/26/12	304.37	325.29	-20.92	SALE
TIME WARNER CABLE / 88732J207						
02/01/13	4 0000	01/08/13	349.89	377.94	-28.05	SALE
TJX COS INC NEW / 872540109						
	10 0000	01/08/13	473.08	442.17	30.91	
	17 0000	02/06/13	567.70	547.42	20.28	
04/03/13	22 0000	Various	1,040.78	989.59	51.19	SALE TOTAL 2 LOTS
TRUEBLUE INC / 89785X101						
07/05/13	18 0000	03/06/13	397.01	366.01	31.00	SALE
UNION PACIFIC CORP / 907818108						
	1 0000	05/26/12	132.94	114.61	18.33	
	3 0000	09/06/12	399.82	361.30	37.52	
02/06/13	4 0000	Various	531.76	475.91	55.85	SALE TOTAL 2 LOTS
UNITED PARCEL SERVICE-B / 911312106						
02/06/13	19 0000	01/03/13	1,527.66	1,448.09	79.57	SALE

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Amended Date: 2/14/14

CARSON FOUNDATION
5096 RICHMOND AVE #300
HOUSTON TX 77056

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(239) 254-2200

Account Number, 4699-8830

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
V F CORPORATION / 918204108 10/02/13	10 0000	02/06/13	1,948.36	1,513.68	434.68	SALE
VANGUARD FTSE EUROPEAN 09/20/13	33 0000	08/19/13	1,825.00	1,746.02	78.98	SALE
VANGUARD REIT 01/08/13	5 0000	07/20/12	335.46	327.91	7.55	SALE
	3 0000	07/20/12	207.56	196.78	10.78	Original Basis: 198.00
	4 0000	09/06/12	276.75	268.59	8.16	Original Basis: 270.21
	16 0000	10/17/12	1,107.04	1,041.13	65.91	Original Basis: 1045.11
02/21/13	23 0000	Various	1,591.35	1,506.50	84.85	SALE
03/13/13	11 0000	10/17/12	767.69	715.78	51.91	SALE
VERIFONE SYSTEMS INC / 92342Y100 03/06/13	10 0000	10/03/12	211.28	277.01	-65.73	SALE
VERIZON COMMUNICATIONS / 92343Y104 COM 10/02/13	14 0000	04/03/13	651.05	686.58	-35.53	SALE
WAL-MART STORES INC / 931142103 08/07/13	18 0000	04/03/13	1,390.30	1,369.68	20.62	SALE
WALGREEN COMPANY / 931422109 01/03/13	41 0000	12/05/12	1,548.68	1,442.27	106.41	SALE
					TOTAL SALES	

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Amended Date: 2/14/14

CARSON FOUNDATION
5096 RICHMOND AVE #300
HOUSTON TX 77056

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(239) 254-2700

Account Number: 4699-8830

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)

continued

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
WESTERN ASSET MORTGAGE / 05/000105 CAPITAL CORP 07/24/13	34 0000	03/13/13	552.71	766.32	-213.61	SALE
WISCONSIN ENERGY CORP / 93667106 12/04/13	27 0000	09/05/13	1,115.14	1,079.65	35.49	SALE
WISDOMTREE ET 97717W113 EMERGING CURRENCY STRATEGY FUND 01/08/13	19 0000	10/03/12	401.04	395.77	5.27	SALE
WISDOMTREE INDIA ET 97717W422 EARNINGS FUND 04/22/13	39 0000	07/20/12	714.46	659.37	55.09	SALE
WOLVEMINE WORLD WIDE INTL / 97809103 05/01/13	3 0000	06/26/12	142.01	114.03	27.98	SALE
TOTAL SHORT TERM GAINS OR LOSSES FOR COVERED SECURITIES			201,455.27	197,166.43	4,288.84	

SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
ETFS PHYSICAL ET / 26922W109 PRECIOUS METALS BASKET TRUST 01/08/13	4 0000	06/26/12	368.80	340.45	28.35	SALE

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2013 SUMMARY AMENDMENT

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Amended Date: 2/14/14

CARSON FOUNDATION
50105 RICHMOND AVE #300
HOUSTON TX 77056

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SUITE 200
NAPLES, FL 34108
(239) 254-2200

Account Number 4699-8830

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 5)

continued

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
ISHARES GOLD TRUST / 464285105 01/08/13	24.0000	09/06/12	386.39	397.72	-11.33	SALE
SOUTH JERSEY IND INC / 838518105 04/03/13	2.0000	06/26/12	109.25	100.84	8.41	SALE
TOTAL SHORT TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES			864.44	839.01	25.43	

LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
ACCENTURE PLC IRELAND / G1151C101 SHARES CLASS A 04/03/13	14.0000	07/07/11	1,070.94	887.36	183.58	SALE
COLGATE PALMOLIVE CO / 194162103 08/07/13	6.0000	06/26/12	365.92	301.46	64.46	SALE
COPA HOLDINGS SA CL A / P31076105 03/06/13	2.0000	07/07/11	219.31	137.93	81.38	SALE
CUMMINS INC / 231021106 06/05/13	11.0000	09/08/11	1,281.82	1,008.07	273.75	SALE
EXXON MOBIL CORP / 30231G102 09/05/13	3.0000 15.0000 18.0000	03/07/12 06/26/12 Various	263.84 1,319.23 1,583.07	257.60 1,242.00 1,499.60	6.24 77.23 83.47	SALE
					TOTAL 71015	

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2013 SUMMARY AMENDMENT

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Amended Date: 2/14/14

CAHSON FOUNDATION
5099 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor :
MORAN/EDWARDS/MORAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NAFTES, FL 34108
(239) 254-2200

Account Number: 4699-8830

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or Tax Advisor regarding specific questions

LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

B-Description / CUSIP	1a-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Addtional Information
1a-Date of Sale or Exchange HELICO CORP NEW / 422806109 02/05/13	3 0000	10/05/11	136.35	147.81	-11.46	SALE
HERSHEY COMPANY / 427866108 09/05/13	28 0000	05/02/12	2 528.37	1 876.99	651.38	SALE
HITTITE MICROWAVE CORP / 433659104	3 0000	10/05/11	182.45	149.89	32.56	
	2 0000	06/26/12	121.64	100.14	21.50	
08/07/13	5 0000	Various	304.09	250.03	54.06	SALE TOTAL 2 LOTS
IPG PHOTONICS CORP / 44860X109 07/05/13	4 0000	05/02/12	240.20	220.26	19.94	SALE
ISHARES / ET / 464297194 CHINA LARGE CAP	3 0000	02/14/12	115.83	117.98	-2.15	
	1 0000	05/15/12	38.61	34.68	3.93	
	44 0000	06/26/12	1 698.97	1,418.56	280.41	
	12 0000	09/19/12	463.36	419.40	43.96	
09/20/13	60 0000	Various	2,316.77	1,990.82	325.95	SALE TOTAL 4 LOTS
	15 0000	09/19/12	560.64	524.24	36.40	
	31 0000	10/17/12	1,158.67	1,153.20	5.47	
11/06/13	46 0000	Various	1 719.31	1,677.44	41.87	SALE TOTAL 2 LOTS
ISHARES / ET / 464297194 U.S. REAL ESTATE 03/13/13	* 0000	04/15/11	68.78	59.14	9.64	SALE
ALPBX CORP / 497266136	27 0000	09/08/11	2,194.20	1 482.89	711.31	

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2013 SUMMARY AMENDMENT

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Amended Date: 2/14/14

CARSON FOUNDATION
1090 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor :
MC-RAN/EDWARDS/MOHAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NAPLES, FL 34108
(239) 254-2200

Account Number: 4699-8830

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information	
07/05/13	36 0000	Various	2,925.61	1,890.14	1,035.47	SALE	TOTAL 2 LOTS
MADDOEN STEVEN LTD / 556269.08 03/06/13	7 0000	06/02/11	313.26	252.49	60.77	SALE	
MAXIM INTEGRATED PRODS / 67772K101 INC 08/07/13	57.0000	08/01/12	1,618.49	1,559.33	59.16	SALE	
MCGRAW-HILL FINANCIAL / 580645109 INC 02/06/13	34 0000	09/08/11	1,517.83	1,391.96	125.87	SALE	
MEDIATA SOLUTIONS INC / 56471A105 07/05/13	10 0000	10/13/11	781.33	166.46	614.87	SALE	
MONSANTO CO NEW / 61166W101 05/07/13	20 0000	10/05/11	2,116.46	1,304.70	811.76	SALE	
NORDSON CORP / 655663102 03/06/13	4 0000	05/04/11	257.87	212.15	45.72	SALE	
PRAIRIE INC / 74005P104 11/06/13	17 0000	09/07/12	2,139.89	1,815.89	324.00	SALE	
PUBLIC SVC ENTERPRISE / 743473106 GROUP INC 07/05/13	19 0000 7 0000 26.0000	09/08/11 05/06/12 Various	596.93 219.93 816.86	637.88 218.72 852.60	-36.95 1.21 -35.74	SALE	TOTAL 2 LOTS

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2013 SUMMARY AMENDMENT

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Amended Date: 2/14/14

CARSON FOUNDATION
5093 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor *
MICHAEL EDWARDS/VORAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NAPLES, FL 34108
(239) 254-2200

Account Number: 4699-8830

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1c-Date of Acquisition	2a-Sales Price	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
RALPH LAUREN CORP / 751212101 CLASS A 10/02/13	12.0000	08/01/12	1,953.05	1,730.89	222.16	SALE
RAYONIER INC / 754907103 04/03/13	25.0000	07/07/11	1,484.59	1,129.40	335.19	SALE
SCHWEITZER-MAUDUIT INT / 808541106 INC 03/06/13	6.0000	08/03/11	224.53	165.32	59.21	SALE
SEMPRA ENERGY / 816051104 06/05/13	17.0000	11/02/11	1,345.69	906.78	438.91	SALE
VALUECLICK INC / 92016N107 09/05/13	18.0000	07/06/12	381.37	298.44	82.93	SALE
WOLVERINE WORLD WIDE INC / 918097107 05/01/13	4.0000	07/07/11	189.33	171.65	17.68	SALE
3M CO / 88579Y101 04/03/13	8.0000	09/08/11	849.18	640.78	208.40	SALE
TOTAL LONG TERM GAINS OR LOSSES FOR COVERED SECURITIES			30,730.27	24,545.89	6,184.38	

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2013 SUMMARY AMENDMENT

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Amended Date: 2/14/14

CARSON FOUNDATION
5090 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor
MORAN/EDWARDS/MORAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NAPLES FL 34108
(732) 254-2200

Account Number: 4699-8830

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

1a-Description / CUSIP 1b-Date of Sale or Exchange	1c-Quantity Sold	1d-Date of Acquisition	2a-Sale Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	4-Additional Information
ACCENTURE PLC IRELAND / G1151C101 SHARE CLASS A 04/03/13	34.0000	10/07/09	2,600.85	1,301.59	1,299.26	SALE
ALLEGIANTE TRAVEL CO / 01748X102	4.0000	05/19/09	303.05	169.40	133.65	
	4.0000	06/16/09	303.06	140.59	162.47	
02/06/13	8.0000	Various	606.11	309.99	296.12	SALE
						TOTAL 3 LOTS
APTARGROUP INC / 038336103	6.0000	05/19/09	335.61	188.58	147.03	
	2.0000	06/16/09	111.88	65.83	46.05	
07/05/13	8.0000	Various	447.49	254.41	193.08	SALE
						TOTAL 2 LOTS
BANK OF HAWAII CORP / 062540109	11.0000	09/16/09	546.59	451.30	95.29	
	2.0000	11/16/09	99.38	91.69	7.69	
	4.0000	12/15/09	198.75	183.36	15.40	
04/03/13	17.0000	Various	844.73	726.35	118.38	SALE
						TOTAL 3 LOTS
CLARCOR INC / 179895107	6.0000	05/19/09	330.21	179.78	150.93	
	3.0000	06/16/09	165.12	68.53	96.59	
08/07/13	9.0000	Various	495.33	267.81	227.52	SALE
						TOTAL 2 LOTS
COCA COLA COMPANY / 191215100	18.0000	05/19/09	872.36	421.99	250.37	
	2.0000	11/16/09	74.70	57.01	17.69	
	6.0000	12/15/09	224.12	177.14	46.98	
	52.0000	02/04/10	1,942.40	1,404.31	538.09	
01/03/13	78.0000	Various	2,913.58	2,060.45	853.13	SALE
						TOTAL 4 LOTS

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2013 SUMMARY AMENDMENT

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Amended Date: 2/14/14

CARSON FOUNDATION
5090 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor :
MCRAE EDWARDS/MORAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NAPLES FL 34108
(239) 254-7200

Account Number: 4699-8830

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or Tax Advisor regarding specific questions

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description of Capital 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price	3a-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
COLGATE-PALMOLIVE CO 194162103	7 0000	12/02/09	763.14	601.38	161.76	
	2 0000	12/15/09	218.04	168.79	49.25	
	8 0000	07/08/10	872.16	651.56	220.60	
02/06/13	17 0000	Various	1,853.34	1,421.73	431.61	SALE
08/07/13	22 0000	07/08/10	1,341.60	895.89	445.80	SALE
						TOTAL 31 LOTS
ETI'S PHYSICAL ET / 20022W109 PRECIOUS METALS BASKET TRUST						
01/08/13	1 0000	12/07/10	92.19	82.92	9.27	SALE
GRACO INCORPORATED COM 384105104						
	4 0000	05/19/09	233.87	93.36	140.51	
	4 0000	06/16/09	233.88	87.00	146.88	
05/01/13	8 0000	Various	467.75	180.36	287.39	SALE
						TOTAL 21 LOTS
GRAHAM CORP 354550100						
02/07/13	12 0000	01/06/10	280.60	250.55	30.05	SALE
IDACORP INC 451107108						
04/03/13	7 0000	04/07/10	332.78	249.10	83.68	SALE
ILLINOIS TOOL WORKS INC 452308109						
	24 0000	05/19/09	1,542.40	818.09	724.31	
	2 0000	12/15/09	128.53	98.08	30.45	
05/01/13	26 0000	Various	1,670.93	916.17	754.76	SALE
						TOTAL 2 LOTS
ISIMAHES ET / 464287738 U.S. REAL ESTATE						
	7 0000	04/07/10	463.25	359.56	103.69	
	1 0000	05/15/10	66.18	52.11	14.07	

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2013 SUMMARY AMENDMENT

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Amended Date: 2/14/14

CARSON FOUNDATION
5090 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor:
MORAN/OWARDS/MORAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NAPLES, FL 34108
(239) 254-2200

Account Number 4699-8830

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

1a-Description / CUSIP 1b-Date of Sale or Exchange	1c-Quantity Sold	1d-Date of Acquisition	2a-Sales Price	3-Cost or Other Basis	Supplemental Information		Additional Information	
					Gain or Loss Amount			
01/08/13	8.0000	Various	529.43	411.67	117.76	SALE		TOTAL 2 LOTS
03/13/13	16.0000	06/15/10	1,100.62	833.62	267.00	SALE		
04/03/13	23.0000	05/19/09	2,018.71	980.37	1,038.34			
	2.0000	06/16/09	175.55	78.80	96.75			
	25.0000	Various	2,194.26	1,059.17	1,135.09	SALE		TOTAL 2 LOTS
SOUTH JERSEY IND INC / 838518108 04/03/13	3.0000	08/03/11	163.86	148.67	15.19	SALE		
THE BUCKLE INC / 118440106	5.0000	05/15/09	269.05	165.15	103.90			
	4.0000	05/15/09	215.25	122.05	93.20			
08/07/13	9.0000	Various	484.30	287.20	197.10	SALE		TOTAL 2 LOTS
THE SOUTHERN COMPANY / 842587107	15.0000	05/19/09	652.20	420.56	231.64			
	3.0000	11/16/09	130.44	95.36	35.08			
	5.0000	12/15/09	217.40	170.08	47.32			
02/06/13	23.0000	Various	1,000.04	686.00	314.04	SALE		TOTAL 3 LOTS
TRACTOR SUPPLY COMPANY / 892356106	8.0000	11/04/09	852.69	184.81	667.88			
	12.0000	12/01/10	1,279.04	524.20	754.84			
05/01/13	20.0000	Various	2,131.73	709.01	1,422.72	SALE		TOTAL 2 LOTS
UNION PACIFIC CORP / 907818108	8.0000	05/19/09	1,063.50	388.15	675.35			
	1.0000	11/16/09	132.93	64.64	68.29			
	3.0000	12/15/09	398.81	195.11	203.70			

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2013 SUMMARY AMENDMENT

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Amended Date: 2/14/14

CAHSON FOUNDATION
5090 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor:
MORAN EDWARDS/MORAN
5501 PELICAN BAY BOULEVARD
SUITE 200
NAPLES FL 34108
(239) 254-2200

Account Number: 4699-8830

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information	
02/06/13	12.0000	Various	1,595.24	647.90	947.34	SALE	TOTAL 3 LOTS
UNITEDHEALTH GROUP / 91334P102 INC							
06/05/13	50.0000	05/07/10	3,114.39	1,451.94	1,662.45	SALE	
WD-40 COMPANY COMMON / 929238107							
	5.0000	05/13/09	374.05	157.25	216.80		
	2.0000	05/16/09	124.69	56.52	68.17		
10/02/13	8.0000	Various	498.75	213.78	284.97	SALE	TOTAL 2 LOTS
WISCONSIN ENERGY CORP / 976647106							
05/01/13	42.0000	11/03/10	1,871.20	1,252.68	618.52	SALE	
3M CO / 88579Y101							
04/03/13	15.0000	05/19/09	1,592.20	884.95	707.25	SALE	
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES			30,223.39	17,503.91	12,719.48		

PARTNERSHIP DISPOSITIONS FOR NONCOVERED SECURITIES

Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
ISHARES DIVERSIFIED / 464294107 ALTERNATIVES TR-SMS BEN UNIT ET						
	25.0000	09/06/12	1,263.96	1,268.75	-4.79	
	5.0000	12/05/12	303.36	301.26	2.10	

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2013 SUMMARY AMENDMENT

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Amended Date: 2/14/14

CARSON FOUNDATION
5650 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor:
MORAN/EDWARDS/MORAN
5801 PELICAN BAY BOULEVARD
SU TE 200
NAPLES, FL 34108
(239) 254-2200

Account Number: 4699-8830

Year-End Account Summary

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Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

PARTNERSHIP DISPOSITIONS FOR NONCOVERED SECURITIES Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP	1a-Quantity Sold	1b-Date of Acquisition	2a-Sale Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information	
01/08/13	31.0000	Various	1,567.32	1,570.01	-2.69	SALE	TOTAL 2 LOTS
TOTAL PARTNERSHIP DISPOSITIONS			1,567.32	1,570.01	-2.69		

UNKNOWN TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP	1a-Quantity Sold	1b-Date of Acquisition	2a-Sale Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information	
ETFS PHYSICAL ET / 26922W109 PRECIOUS METALS BASKET TRUST	5.0000	N/A	0.23	N/A	N/A	PRINCIPAL PAYMENT	
01/01/13						Cost Basis Allocation Factor: 0.000509858	
TOTAL UNKNOWN TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES			0.23	0.00	0.00		

*Box 2a: Sales price less commissions and option premiums.

For some tax lots complete data is not available. Therefore, you may see N/A in the Proceeds, Gain/Loss columns, or Cost column. In these situations, you should determine the actual cost or proceeds and calculate the Gain/Loss. Please note that the totals will include any available cost or proceeds, even if the Gain/Loss is marked N/A.

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2013 ENHANCED SUMMARY

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As of Date: 2/07/14

CARSON FOUNDATION
5030 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor :
MORAN/EDWARDS/MORAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NAPLES, FL 34108
(239) 254-2200

Account Number 1984-4640

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

For noncovered transactions, we provide supplemental cost basis information, when it is available, to assist you with completing your tax return, however, THIS INFORMATION IS NOT PROVIDED TO THE INTERNAL REVENUE SERVICE

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6)

Proceeds from Broker and Barter Exchange Transactions for 2013

6-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1c-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
AMERICAN ELECTRIC POWER / 025537101 INC 12/03/13	148.0000	11/05/13	6,924.73	6,984.93	-60.20	SALE
BLACKROCK INC / 09247X101 11/05/13	26.0000	01/02/13	7,858.81	5,490.31	2,368.50	SALE
COLGATE-PALMOLIVE CO / 194162103 02/05/13	7.0000	06/26/12	768.32	702.31	66.01	SALE
COMMERCE BANCSHARES INC / 200525103 04/02/13	3.5694	06/26/12	143.17	126.91	16.26	SALE
04/03/13	10.0000	06/26/12	395.28	355.52	39.76	SALE
CORRECTIONS CORP AMER / 22025Y407 NEW 09/04/13	179.0000	06/04/13	5,779.85	6,236.05	-456.20	SALE
OGE ENERGY CO / 273331107 09/04/13	94.0000	06/04/13	6,150.24	6,262.55	-112.31	SALE
FRANKLIN RESOURCES INC / 354613101 06/04/13	38.0000	09/05/12	5,912.85	4,471.49	1,441.36	SALE
GARDNER DENVER INC / 365558105 06/04/13	80.0000	07/31/12	6,035.51	4,552.81	1,482.70	SALE

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2013 ENHANCED SUMMARY

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As of Date: 2/07/14

CARSON FOUNDATION
5090 RICHMOND AVE. #300
HOUSTON TX 77056

Your Financial Advisor :
MORAN/EDWARDS/MORAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NAPLES, FL 34109
(239) 254-2200

Account Number: 1984-4640

Year-End Account Summary

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Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

a-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3a-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
INGREDION INCORPORATED / 457187102 09/04/13	84.0000	01/02/13	5,280.42	5,577.00	-296.58	SALE
INTERNATIONAL PAPER CO / 460146103 08/06/13	136.0000	02/05/13	6,598.30	5,794.65	803.65	SALE
MEONAX INC / 589028106 04/02/13	13.0000	06/26/12	1,158.60	852.67	305.93	SALE
NORTHEAST UTILITIES / 664107106 02/05/13	139.0000	12/04/12	5,704.42	5,382.54	321.88	SALE
PVH CORP / 693656100 10/01/13	56.0000	04/02/13	6,550.19	5,975.13	575.06	SALE
TERADYNE INCOMPLMATED / 880770102 07/02/13	341.0000	11/06/12	5,915.30	5,464.35	450.95	SALE
THE SOUTHERN COMPANY / 842587107 02/05/13	99.0000	06/05/12	4,343.41	4,573.69	-230.28	TOTAL 2 LOTS
	11.0000	06/26/12	482.61	510.95	-28.34	
	110.0000	Various	4,826.02	5,084.64	-258.62	
UNION PACIFIC CORP / 907818108 02/05/13	38.0000	07/05/12	5,042.78	4,500.87	541.91	SALE
V F CORPORATION / 918204108 10/01/13	39.0000	02/05/13	7,743.04	5,788.38	1,954.66	SALE
VERIZON COMMUNICATIONS / 92343V104 COM 10/01/13	122.0000	04/02/13	5,707.67	6,064.62	-356.95	SALE

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As of Date: 2/07/14

CARSON FOUNDATION
5090 RICHMOND AVE. #300
HOUSTON TX 77056

Your Financial Advisor:
MORAN/EDWARDS/MORAN
5601 PELICAN BAY BOULEVARD
SUITE 200
NAPLES, FL 34108
(239) 254-2200

Account Number: 1984-4640

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

#-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
WESCO INTERNATIONAL INC / 95082P105 08/06/13	41.0000	02/05/13	3,119.51	2,976.44	143.07	SALE
3M CO / 48579Y101 04/02/13	21.0000	06/26/12	2,234.01	1,805.37	428.64	SALE
TOTAL SHORT TERM GAINS OR LOSSES FOR COVERED SECURITIES			99,849.02	90,449.54	9,399.48	

LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

#-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
CISCO SYSTEMS INC / 17275R102 11/05/13	272.0000	10/16/12	6,217.70	5,101.06	1,116.64	SALE
COMMERCE BANCSHARES INC / 200525103 04/02/13	129.4307	10/04/11	5,191.33	4,069.79	1,121.54	SALE
CUMMINS INC / 23102*106 11/05/13	55.0000	07/31/12	7,111.08	5,316.45	1,794.63	SALE
EAST WEST BANCORP INC / 27579P*04 09/04/13	74.0000 116.0000	04/05/11 06/26/12	2,144.91 3,382.31	1,581.98 2,564.75	462.93 797.56	
	190.0000	Various	5,507.22	4,246.73	1,260.49	SALE
MONSANTO CO NEW / 61166W101 04/30/13	67.0000	10/04/11	7,128.73	4,058.02	3,070.71	SALE
						TOTAL 2 LOTS

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2013 ENHANCED SUMMARY

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As of Date: 2/07/14

GARSON FOUNDATION
5090 RICHMOND AVE. #300
HOUSTON TX 77056

Your Financial Advisor :
MORAN, EDWARDS/MOHAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NAPLES FL 34108
(239) 254-2200

Account Number 1984-4640

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
PINNACLE WEST CAPITAL CORP / 723484101 12/03/13	91.0000	09/05/12	4,796.47	4,741.63	54.84	SALE
PRAXAIR INC / 740050104	27.0000	10/21/11	3,345.34	2,777.36	567.98	
	17.0000	06/25/12	2,106.33	1,774.79	332.04	
11/05/13	44.0000	Various	5,451.67	4,551.65	900.02	SALE TOTAL 2 LOTS
RALPH LAUREN CORP / 751212101 CLASS A 10/01/13	31.0000	07/05/12	5,135.72	4,534.96	600.76	SALE
VISA INC CLASS A / 9285EC839 04/30/13	52.0000	06/01/11	8,798.18	4,179.41	4,618.77	SALE
3M CO / 88579Y101 04/02/13	32.0000	07/06/11	3,404.19	3,088.43	315.76	SALE
TOTAL LONG TERM GAINS OR LOSSES FOR COVERED SECURITIES			58,742.29	43,888.13	14,854.16	

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
ACCENTURE PLC IRELAND / 01151C101 SHARES CLASS A 04/02/13	93.0000	10/06/09	7,137.53	3,531.97	3,605.56	SALE

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2013 ENHANCED SUMMARY

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As of Date: 2/07/14

CARSON FOUNDATION
5090 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor
MORAN/EDWARDS/MORAN
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SUITE 200
NAPLES, FL 34108
(239) 254-2200

Account Number: 1984-4640

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

B-Description CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1c-Date of Acquisition	2a-Sales Price*	3a-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
BED BATH & BEYOND INC / 075896100 01/02/13	86.0000	03/04/08	4,830.46	2,466.72	2,363.74	SALE
COCA-COLA COMPANY / 191216100 01/02/13	138.0000	02/02/10	5,106.98	3,772.27	1,334.71	SALE
COLGATE-PALMOLIVE CO / 194162103 02/05/13	43.0000	02/02/10	4,719.64	3,448.57	1,271.07	SALE
MEDNAX INC / 585028106 04/02/13	63.0000	06/03/08	5,614.70	3,443.33	2,171.37	SALE
SIGMA ALDRICH CORP / 826552101 04/30/13	71.0000	07/07/10	5,538.41	3,633.42	1,904.99	SALE
UNITEDHEALTH GROUP / 61324P102 INC 06/04/13	99.0000	05/04/10	6,203.96	2,942.87	3,261.09	SALE
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES			39,151.88	23,239.15	15,912.53	

*Box 2a: Sales price less commissions and option premiums



2013 ENHANCED SUMMARY

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As of Date: 2/07/14

CARSON FOUNDATION
5090 RICHMOND AVE #310
HOUSTON TX 77056

Your Financial Advisor :
MOHAN/EDWARDS/MORAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NAPLES, FL 34108
(239) 254-2200

Account Number: 1984-4526

Year-End Account Summary

This information is NOT provided to the Internal Revenue Service, but is being provided to you for courtesy purposes.
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For noncovered transactions we provide supplemental cost basis information, when it is available, to assist you with completing your tax return, however, THIS INFORMATION IS NOT PROVIDED TO THE INTERNAL REVENUE SERVICE

SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

8-Description, CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1c-Date of Acq. or Exp.	2a-Sales Price*	3a-Unit or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
ACTAVIS INC / 00507-103 10/01/13	44 0000	04/30/13	6 336 00	4 631 77	1,704 23	MERGER
AFLAC INC / 001055102 02/08/13	17 0000	06/05/12	853 67	661 42	192 25	SALE
03/05/13	96 0000	06/05/12	4,892 03	3,735 06	1,156 97	SALE
ALTERA CORPORATION / 021441100 04/02/13	107 0000	07/31/12	3,684 93	3,800 57	-115 64	SALE
BALL CORP / 058498106 04/30/13	85 0000	12/04/12	3,740 62	3,727 66	12 96	SALE
BROADCOM CORP / 111320107 CL A 03/05/13	137 0000	07/05/12	4,587 13	4,609 78	-22 65	SALE
BROWN-FORMAN CORP CL B / 115637209 02/05/13	62 0000	12/04/12	4,027 95	4 300 02	-272 07	SALE
CF INDUSTRIES HOLDINGS / 125269100 INC 04/02/13	23 0000	04/03/12	4,346 34	4,337 37	8 97	SALE
CHICAGO BRIDGE AND / 167250109 IRON - NEW YORK SHARES 02/08/13	17 0000	11/06/12	878 76	670 25	208 51	SALE

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As of Date: 2/07/14

CARSON FOUNDATION
5090 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor
MORAN EDWARDS/MORAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NAPLES, FL 34108
(239) 254-2200

Account Number: 1984-4626

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

1a-Description / CUSIP 1b-Date of Sale or Exchange	1c-Quantity Sold	1d-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information Gain or Loss Amount	Additional Information
08/07/13	17.0000	11/06/12	1,002.44	670.25	332.19	SALE
CHURCH & DWIGHT INC / 171340112 02/05/13	64.0000	07/31/12	3,764.28	3,693.79	70.49	SALE
DAVITA HEALTHCARE / 239184108 PARTNERS 04/15/13	7.0000	04/02/13	878.92	890.16	-11.24	SALE
DISCOVER FINANCIAL / 254709103 11/05/13	105.0000	04/30/13	5,441.87	4,606.07	835.80	SALE
EXPRESS SCRIPTS HLDG CO / 30219G108 08/06/13	11.0000	09/05/12	723.38	693.83	29.55	SALE
F5 NETWORKS INC / 315616102 03/05/13	45.0000	06/05/12	4,192.93	4,464.68	-271.75	SALE
GANNETT CO INC DEL / 364710101 04/15/13	42.0000	03/05/13	894.92	876.54	18.38	SALE
04/30/13	180.0000	03/05/13	3,617.61	3,756.56	-138.95	SALE
GOLDMAN SACHS GROUP INC / 38141G194 11/05/13	31.0000	04/02/13	5,007.39	4,524.69	482.70	SALE
HERSHEY COMPANY / 427566108 02/08/13	11.0000	05/01/12	881.42	738.32	143.10	SALE
JPMORGAN CHASE & CO / 466254100 11/05/13	89.0000	06/04/13	4,618.30	4,882.60	-264.30	SALE

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As of Date 2/07/14

CARSON FOUNDATION
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HOUSTON TX 77056

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(239) 254-2200

Account Number: 1984-4626

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description: CUSIP 1a-Date of Sale or Exchange	1e-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
MCGRAW-HILL FINANCIAL / 580645105 INC 02/05/13	101.0000	06/05/12	4,727.60	4,307.53	420.07	SALE
MOTOROLA SOLUTIONS INC / 620076307 COMM 03/05/13	90.0000	06/05/12	5,658.22	4,293.38	1,364.84	SALE
NCR CORP NEW / 62886E108 10/01/13	123.0000	02/08/13	4,949.97	3,617.65	1,332.32	SALE
NOBLE ENERGY INC / 659044105 08/06/13	84.0000	06/04/13	5,383.27	4,852.97	530.30	SALE
NOVO NORDISK A S ADR / 670100205 04/15/13	5.0000	10/03/12	840.25	806.20	34.05	SALE
NVIDIA CORP / 67066G104 04/02/13	237.0000	10/23/12	2,918.56	2,875.60	42.96	SALE
OSHKOSH CORP / 688739201 09/04/13	113.0000	02/05/13	5,189.60	4,492.22	697.38	SALE
PANERA BREAD COMPANY / 69840W108 CL A 09/04/13	28.0000	03/05/13	4,628.17	4,568.09	60.08	SALE
REINSURANCE GROUP OF AMERICA INC 06/04/13	79.0000	03/05/13	5,234.58	4,628.83	605.75	SALE

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2013 ENHANCED SUMMARY

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As of Date: 2/07/14

CARSON FOUNDATION
5000 RICHMOND AVE. #300
HOUSTON TX 77056

Your Financial Advisor :
MORAN/EDWARDS/MORAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NAPLES FL 34108
(239) 254-2200

Account Number: 1984-4626

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

1a-Description of Transaction 1b-Date of Sale or Exchange 1c-Quantity Sold	2a-Basis Price	1d-Date of Acquisition	1e-Net or Other Basis	Supplemental Information	
				1f-Gain or Loss Amount	1g-Additional Information
ROCK TENN CO / 772739207 12/03/13	45.0000	08/06/13	4,212.59	5,179.54	-966.95 SALE
STARWOOD HOTELS & RESORTS WORLDWIDE INC 03/05/13	59.0000	09/09/12	4,184.09	3,762.52	421.57 SALE
STATE STR CORP / 857477103 11/05/13	79.0000	03/05/13	5,588.74	4,564.23	1,024.51 SALE
TERADATA CORP / 88076W103 01/02/13	60.0000	09/05/12	3,720.84	4,720.94	-1,000.10 SALE
TRAVELERS COS INC / THE / 89417E109 06/04/13	54.0000	04/30/13	4,529.92	4,603.47	-73.55 SALE
TRIUMPH GROUP INC / 596818101 NEW 04/15/13	11.0000	04/02/13	879.15	857.71	21.44 SALE
06/04/13	48.0000	04/02/13	3,730.18	3,742.72	-12.54 SALE
VERIFONE SYSTEMS INC / 92342Y109 03/05/13	140.0000	07/05/12	2,687.04	4,673.61	-1,986.57 SALE
VISA INC CLASS A / 92826C8J9 02/08/13	5.0000	03/06/12	792.26	570.38	221.88 SALE
WESTLAKE CHEMICAL CORP / 960413102 08/07/13	10.0000	09/05/12	1,001.38	591.79	309.59 SALE

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2013 ENHANCED SUMMARY

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As of Date: 2/07/14

CARSON FOUNDATION
5080 RICHMOND AVE #300
HOUSTON TX 77056

Your Financial Advisor:
MORAN EDWARDS/MORAN
5801 PELICAN BAY BOULEVARD
SUITE 200
NAPLES, FL 34108
(239) 254-2200

Account Number 1984-4626

Year-End Account Summary

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SHORT TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

1-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
WISCONSIN ENERGY CORP / 971657106 12/03/13	125 0000	09/04/13	5,138.54	5,016.34	122.20	SALE
TOTAL SHORT TERM GAINS OR LOSSES FOR COVERED SECURITIES			140,365.84	133,097.11	7,268.73	

LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

1-Description / CUSIP 1a-Date of Sale or Exchange	1b-Quantity Sold	1b-Date of Acquisition	2a-Sales Price	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
GRAINGER WW INC / 384807104 08/07/13	3 0000	05/04/11	784.31	444.27	340.04	SALE
10/01/13	28 0000	05/04/11	7,373.28	4,146.49	3,226.79	SALE
HERSHEY COMPANY / 427866108 08/07/13	10 0000	05/01/12	972.30	671.20	301.10	SALE
09/04/13	41 0000	05/01/12	3,721.76	2,751.92	969.84	SALE
INTEL CORP / 458140100 04/30/13	32 0000	10/04/11	757.72	666.32	91.40	SALE
KIRBY CORP / 497266106 07/02/13	79 0000	08/02/11	6,322.58	4,606.72	1,715.86	SALE
LAUDER ESTEE COS INC / 518439104 CL A 12/03/13	80 0000	01/31/12	5,937.83	4,624.22	1,313.61	SALE

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2013 ENHANCED SUMMARY

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As of Date: 2/07/14

CARSON FOUNDATION
5000 RICHMOND AVE #300
HOUSTON TX 77056

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5001 PELICAN BAY BOULEVARD
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NAPLES FL 34108
(239) 254-2700

Account Number: 1984-4626

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR COVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

1a-Description / CUSIP 1b-Date of Sale or Exchange	1c-Quantity Sold	1d-Date of Acquisition	2a-Sales Price*	3a-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
NU SKIN ENTERPRISES INC / 67018T105 08/07/13	11.0000	10/04/11	956.41	427.79	528.62	SALE
PUBLIC SVC ENTERPRISE / 744573106 CROUP INC 07/02/13	139.0000	06/05/12	4,438.11	4,361.81	76.30	SALE
ROBERT HALF INTL INC / 770323103 07/02/13	75.0000	05/01/12	2,503.23	2,250.72	252.51	SALE
SOUTHWESTERN ENERGY CO / 845457109 10/03/13	127.0000	10/02/12	4,532.87	4,462.75	70.12	SALE
VISA INC CLASS A / 92626C839 11/05/13	31.0000	03/06/12	6,068.51	3,536.32	2,532.19	SALE
WABCO HOLDINGS INC / 92927K102 09/04/13	65.0000	09/07/11	5,115.34	3,066.93	2,048.41	SALE
TOTAL LONG TERM GAINS OR LOSSES FOR COVERED SECURITIES			49,484.25	36,017.46	13,466.79	

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

1a-Description / CUSIP 1b-Date of Sale or Exchange	1c-Quantity Sold	1d-Date of Acquisition	2a-Sales Price*	3a-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
APPLE INC / 037833100 04/02/13	17.0000	02/03/09	7,385.02	1,558.45	5,826.57	SALE

001 / PNFL / NF-10



2013 ENHANCED SUMMARY

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As of Date: 2/07/14

CARSON FOUNDATION
5090 RICHMOND AVE #300
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(239) 254 7200

Account Number: 1984-4628

Year-End Account Summary

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LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6) Proceeds from Broker and Barter Exchange Transactions for 2013

continued

8-Description / CURB Tax-Identifying Symbol	1a-Quantity Sold	1b-Date of Acquisition	2a-Sales Price*	3-Cost or Other Basis	Supplemental Information	
					Gain or Loss Amount	Additional Information
INTERCONTINENTAL / 4586SV100 EXCHANGE INC 02/05/13	8 0000	06/02/10	1,136.26	942.08	194.18	SALE
TRACTOR SUPPLY COMPANY / 892356106 02/08/13	8 0000	11/03/09	826.89	182.95	643.94	SALE
04/30/13	80 0000	11/03/09	8,561.14	1,829.44	6,731.70	SALE
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES			17,909.31	4,512.92	13,396.39	

*Box 2A Sales price less commissions and other premiums