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Form **990-PF****Return of Private Foundation**

OMB No 1545-0052

**2013**Department of the Treasury  
Internal Revenue Serviceor Section 4947(a)(1) Trust Treated as Private Foundation  
Do not enter Social Security numbers on this form as it may be made public.Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

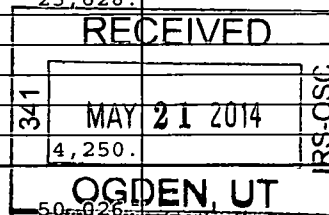
Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation <b>THE WEST ENDOWMENT</b>		A Employer identification number <b>76-0481204</b>
Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions) <b>(713) 961-9699</b>
P.O. BOX 491		
City or town, state or province, country, and ZIP or foreign postal code <b>HOUSTON, TX 77001</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply	Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/>	D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change <input type="checkbox"/>		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 13,090,815.</b>	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	5,214.	5,214.		
4	Dividends and interest from securities	117,066.	117,066.		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	9,893.			
b	Gross sales price for all assets on line 6a	645,361.			
7	Capital gain net income (from Part IV, line 2)		9,893.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule) ATCH. 1	259,273.	259,273.		
12	Total. Add lines 1 through 11	391,446.	391,446.		
13	Compensation of officers, directors, trustees, etc.	0			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) ATCH. 2	3,472.	1,736.		1,736.
c	Other professional fees (attach schedule) *	20,412.	20,412.		
17	Interest				
18	Taxes (attach schedule) (see instructions) ATCH. 4	27,042.	23,628.		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule) ATCH. 5	4,281.	4,250.		
24	Total operating and administrative expenses. Add lines 13 through 23	55,207.	50,026.		1,736.
25	Contributions, gifts, grants paid	670,000.			670,000.
26	Total expenses and disbursements. Add lines 24 and 25	725,207.	50,026.	0	671,736.
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	-333,761.			
b	Net investment income (if negative, enter -0-)		341,420.		
c	Adjusted net income (if negative, enter -0-)				

SCANNED MAY 27 2014



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing . . . . .				
	2	Savings and temporary cash investments . . . . .		9,796,978.	5,381,167.	5,381,167.
	3	Accounts receivable ▶ 8,000.				
		Less allowance for doubtful accounts ▶		9,000.	8,000.	8,000.
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable . . . . .				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use . . . . .				
	9	Prepaid expenses and deferred charges . . . . . ATCH 6		2,679.	465.	465.
	10 a	Investments - U S and state government obligations (attach schedule), *		999,785.	2,999,660.	3,000,000.
	b	Investments - corporate stock (attach schedule) ATCH 8		1,083,904.	3,169,293.	3,595,603.
	c	Investments - corporate bonds (attach schedule), . . . . .				
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶				
12	Investments - mortgage loans . . . . .					
13	Investments - other (attach schedule) . . . . .					
14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶					
15	Other assets (describe ▶ ATCH 9)		1,589.	1,589.	1,105,580.	
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . .		11,893,935.	11,560,174.	13,090,815.	
Liabilities	17	Accounts payable and accrued expenses . . . . .				
	18	Grants payable . . . . .				
	19	Deferred revenue . . . . .				
	20	Loans from officers, directors, trustees, and other disqualified persons . . . . .				
	21	Mortgages and other notes payable (attach schedule) . . . . .				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22) . . . . .		0	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted . . . . .				
	25	Temporarily restricted . . . . .				
	26	Permanently restricted . . . . .				
	Foundations that do not follow SFAS 117, . . . ▶ <input checked="" type="checkbox"/> X					
	check here and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds . . . . .		7,151,975.	7,161,868.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .				
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .		4,741,960.	4,398,306.	
30	Total net assets or fund balances (see instructions) . . . . .		11,893,935.	11,560,174.		
31	Total liabilities and net assets/fund balances (see instructions) . . . . .		11,893,935.	11,560,174.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	11,893,935.
2	Enter amount from Part I, line 27a . . . . .	2	-333,761.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3 . . . . .	4	11,560,174.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	11,560,174.

\*\*ATCH 7

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> SEE PART IV SCHEDULE					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			<b>2</b>	9,893.	
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			<b>3</b>	0	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	677,889.	13,447,559.	0.050410
2011	671,661.	13,862,285.	0.048452
2010	681,105.	13,419,682.	0.050754
2009	733,060.	13,861,395.	0.052885
2008	716,191.	14,715,692.	0.048669
<b>2</b> Total of line 1, column (d)			<b>2</b> 0.251170
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0.050234
<b>4</b> Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			<b>4</b> 13,126,995.
<b>5</b> Multiply line 4 by line 3			<b>5</b> 659,421.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 3,414.
<b>7</b> Add lines 5 and 6			<b>7</b> 662,835.
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			<b>8</b> 671,736.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . . Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	3,414.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2 . . . . .		3	3,414.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		5	3,414.
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013 . . . . .	6a	3,879.	
b Exempt foreign organizations - tax withheld at source . . . . .	6b		
c Tax paid with application for extension of time to file (Form 8868) . . . . .	6c		
d Backup withholding erroneously withheld . . . . .	6d		
7 Total credits and payments. Add lines 6a through 6d . . . . .	7	3,879.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .	10	465.	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax <input checked="" type="checkbox"/> 465. Refunded <input type="checkbox"/> . . . . .	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? . . . . . If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year? . . . . .		
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input checked="" type="checkbox"/> \$ _____ (2) On foundation managers <input checked="" type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		X
b If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> TX, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation. . . . .	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV. . . . .		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses. . . . .		X

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <input type="text"/> N/A				
14	The books are in care of <input type="text"/> THE WEST ENDOWMENT Telephone no <input type="text"/> 713-961-9699			
Located at <input type="text"/> 1330 POST OAK BLVD, SUITE 2850 HOUSTON, TX ZIP+4 <input type="text"/> 77056				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year <input type="text"/> 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country <input type="text"/>	16	Yes	No
				X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <input type="text"/>		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <input type="text"/>		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 10		0	0	0

**2** Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		0

Total number of others receiving over \$50,000 for professional services . . . . . ▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 THE WEST ENDOWMENT MADE SEVERAL CONTRIBUTIONS TO VARIOUS ORGANIZATIONS FOR VARIOUS CHARITABLE PURPOSES, INCLUDING EDUCATIONAL, MEDICAL, AND GENERAL PURPOSES.	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 . . . . . ▶	

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	5,479,068.
b	Average of monthly cash balances	1b	6,738,259.
c	Fair market value of all other assets (see instructions)	1c	1,109,571.
d	Total (add lines 1a, b, and c)	1d	13,326,898.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	13,326,898.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	199,903.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	13,126,995.
6	Minimum investment return. Enter 5% of line 5	6	656,350.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part )

1	Minimum investment return from Part X, line 6	1	656,350.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	3,414.
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	3,414.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	652,936.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	652,936.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	652,936.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	671,736.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	671,736.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	3,414.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	668,322.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7 . . . . .				652,936.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only . . . . .			666,542.	
b Total for prior years 20 11, 20 10, 20 09 . . . . .				
3 Excess distributions carryover, if any, to 2013				
a From 2008 . . . . .				
b From 2009 . . . . .				
c From 2010 . . . . .				
d From 2011 . . . . .				
e From 2012 . . . . .				
f Total of lines 3a through e . . . . .	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 671,736.				
a Applied to 2012, but not more than line 2a . . . . .			666,542.	
b Applied to undistributed income of prior years (Election required - see instructions) . . . . .				
c Treated as distributions out of corpus (Election required - see instructions) . . . . .				
d Applied to 2013 distributable amount . . . . .				5,194.
e Remaining amount distributed out of corpus . . . . .				
5 Excess distributions carryover applied to 2013 . (If an amount appears in column (d), the same amount must be shown in column (a) )				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
d Subtract line 6c from line 6b Taxable amount - see instructions . . . . .				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .				647,742.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . . . .				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .	0			
10 Analysis of line 9				
a Excess from 2009 . . . . .				
b Excess from 2010 . . . . .				
c Excess from 2011 . . . . .				
d Excess from 2012 . . . . .				
e Excess from 2013 . . . . .				

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**Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**

NOT APPLICABLE

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

**2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

**b 85% of line 2a . . . . .**

**C** Qualifying distributions from Part XII, line 4 for each year listed .

**d** Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .

**3** Complete 3a, b, or c for the alternative test relied upon

**a "Assets" alternative test - enter**

- (1) Value of all assets . . .
- (2) Value of assets qualifying under section

4942(j)(3)(B)(i). . . .

**b** "Endowment" alternative test-  
enter 2/3 of minimum invest-  
ment return shown in Part X,  
line 6 for each year listed . . .

**C "Support" alternative test - enter**

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . .

(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (1)(3)(B)(iii) . . . . .

(3) Largest amount of support from an exempt organization. . . .

(4) Gross investment income .

**Part XV**    **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**

### 1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

MARGENE W. LLOYD; W.R. LLOYD, JR.

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ATCH 11

b. The form in which applications should be submitted and information and materials they should include

ATCH 12

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

ATCH 13

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

<div>Recipient</div> <div>Name and address (home or business)</div>	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year SEE ATTACHMENT				670,000.
<b>Total</b> .....			<b>3a</b>	670,000.
<b>b</b> Approved for future payment				
<b>Total</b> .....			<b>3b</b>	

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Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations)

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions )
▼	

[illegible]

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- |   |   | Yes   | No |
|---|---|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?   |       |    |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of:  |       |    |
|   | (1) Cash  | 1a(1) | X  |
|   | (2) Other assets  | 1a(2) | X  |
| b | Other transactions:   |       |    |
|   | (1) Sales of assets to a noncharitable exempt organization  | 1b(1) | X  |
|   | (2) Purchases of assets from a noncharitable exempt organization  | 1b(2) | X  |
|   | (3) Rental of facilities, equipment, or other assets  | 1b(3) | X  |
|   | (4) Reimbursement arrangements  | 1b(4) | X  |
|   | (5) Loans or loan guarantees  | 1b(5) | X  |
|   | (6) Performance of services or membership or fundraising solicitations  | 1b(6) | X  |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees  | 1c    | X  |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received |       |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee  
WILLIAM WEST LLOYD

Date \_\_\_\_\_

**PRESIDENT**  
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

TERRI T SIEGEL

Preparer's signature

John V. Wright

Date	2024/07/10
------	------------

05/09/2014

Check ☐ if  
self-employed

PTIN	
------	--

P00269688

Firm's name ► MCGLADREY LLP

Firm's EIN ▶ 42-0714325

Firm's address ▶ 1400 POST OAK BLVD., STE 900  
HOUSTON, TX

77056-3009

Phone no 713-625-3500

Form **990-PF** (2013)

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS				6,719.	
361,877.		TOTAL SHORT TERM - SEE STATEMENT D-1 361,909.				VARIOUS -32.	VARIOUS
238,912.		TOTAL LONG TERM - SEE STATEMENT D-1 265,421.				VARIOUS -26,509.	VARIOUS
37,853.		2000 SHS CA OIL SANDS LTD 8,138.				11/11/2002 29,715.	11/26/2013
TOTAL GAIN(LOSS) .....						<u>9,893.</u>	

ATTACHMENT 1FORM 990PF, PART I - OTHER INCOME

DESCRIPTION
OIL & GAS ROYALTIES
OTHER ROYALTIES
PARTNERSHIP ORDINARY INCOME
PARTNERSHIP OTHER PORTFOLIO INCOME

REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
267,029.	267,029.
1,462.	1,462.
-9,251.	-9,251.
33.	33.
<u>259,273.</u>	<u>259,273.</u>

TOTALS



ATTACHMENT 2FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING FEES	3,472.	1,736.		1,736.
TOTALS	<u>3,472.</u>	<u>1,736.</u>		<u>1,736.</u>

ATTACHMENT 3FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
AD VALOREM CONSULTANT INVESTMENT ADVISOR	224. 20,188.	224. 20,188.
TOTALS	<u>20,412.</u>	<u>20,412.</u>

ATTACHMENT 4FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
AD VALOREM TAXES	8,220.	8,220.
FOREIGN TAXES	3,975.	3,975.
EXCISE TAX	3,414.	
PRODUCTION TAXES	11,433.	11,433.
TOTALS	<u>27,042.</u>	<u>23,628.</u>

ATTACHMENT 5FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
ADMINISTRATIVE EXPENSE	198.	198.
OTHER ROYALTY DEDUCTIONS	4,013.	4,013.
PARTNERSHIP INVESTMENT EXP	39.	39.
PARTNERSHIP NON-DED EXP	31.	
TOTALS	<u>4,281.</u>	<u>4,250.</u>

ATTACHMENT 6FORM 990PF, PART II - PREPAID EXPENSES AND DEFERRED CHARGES

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PREPAID FEDERAL TAX	2,679.	465.	465.
TOTALS	<u>2,679.</u>	<u>465.</u>	<u>465.</u>

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ATTACHMENT 7</u>	
		<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
US TREASURY BILL	999,785.	999,975.	1,000,000.
US TREASURY BILL		999,874.	1,000,000.
US TREASURY BILL		999,811.	1,000,000.
US OBLIGATIONS TOTAL	<u>999,785.</u>	<u>2,999,660.</u>	<u>3,000,000.</u>

ATTACHMENT 8FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
CANADIAN OIL SANDS	132,592.	124,454.	291,555.
EXXONMOBIL CORPORATION	187,482.	187,482.	404,800.
BT GROUP PLC ADR	104,119.		
GENERAL ELECTRIC CORP	161,302.	355,240.	199,400.
ANNALY CAP MGMT	355,240.	143,169.	175,800.
AT&T, INC	143,169.	2,358,948.	2,524,048.
TD AMERITRADE ACCT (SEE ATTCH)			
TOTALS	<u>1,083,904.</u>	<u>3,169,293.</u>	<u>3,595,603.</u>

ATTACHMENT 9FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
OIL AND GAS ROYALTY PROPERTIES	1,589.	1,589.	1,105,580.
TOTALS	<u>1,589.</u>	<u>1,589.</u>	<u>1,105,580.</u>



## THE WEST ENDOWMENT

76-0481204

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 10

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
W.R. LLOYD, JR. P.O. BOX 491 HOUSTON, TX 77001	CHAIRMAN EMERITUS/DIRECTOR 1.00	0	0	0
MARGENE WEST LLOYD P.O. BOX 491 HOUSTON, TX 77001	VP/ASST SECRETARY/DIRECTOR 2.00	0	0	0
BARBARA KEYES P.O. BOX 491 HOUSTON, TX 77001	TREASURER 2.50	0	0	0
RONALD S. WEBSTER P.O. BOX 491 HOUSTON, TX 77001	SECRETARY/ASST. TREAS/DIRECTOR .58	0	0	0
WILLIAM WEST LLOYD P.O. BOX 491 HOUSTON, TX 77001	PRESIDENT/DIRECTOR 2.00	0	0	0
ROGER A. SOAPE P.O. BOX 491 HOUSTON, TX 77001	VP/DIRECTOR .35	0	0	0
GRAND TOTALS		0	0	0

ATTACHMENT 11

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

COORDINATOR OF GRANTS  
P.O. BOX 491, HOUSTON, TX 77001

ATTACHMENT 12

990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

LETTER FORM SPECIFYING PURPOSE OF APPLICATION AND AMOUNT OF  
CONTRIBUTION REQUESTED.

ATTACHMENT 13990PF, PART XV - RESTRICTIONS OR LIMITATIONS ON AWARDS

THE WEST ENDOWMENT WAS ORGANIZED FOR THE PURPOSE OF MAKING CHARITABLE CONTRIBUTIONS TO RELIGIOUS, CHARITABLE, SCIENTIFIC, LITERARY, AND/OR EDUCATIONAL ORGANIZATIONS WITHIN THE MEANING OF SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE OF 1986. THE WEST ENDOWMENT DOES NOT MAKE GRANTS TO INDIVIDUALS. IN CONSIDERING REQUESTS FOR GRANTS, THE ENDOWMENT PLACES PRIORITY ON ORGANIZATIONS AND PROGRAMS SERVING HARRIS COUNTY AND THE STATE OF TEXAS.

**SCHEDULE D  
(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Capital Gains and Losses**

▶ Attach to Form 1041, Form 5227, or Form 990-T.

▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.

▶ Information about Schedule D and its separate instructions is at [www.irs.gov/form1041](http://www.irs.gov/form1041).

OMB No 1545-0092

**2013**

Name of estate or trust

THE WEST ENDOWMENT

Employer identification number

76-0481204

**Note:** Form 5227 filers need to complete only Parts I and II

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

See instructions for how to figure the amounts to enter on the lines below

This form may be easier to complete if you round off cents to whole dollars

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
<b>1a</b> Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
<b>1b</b> Totals for all transactions reported on Form(s) 8949 with <b>Box A</b> checked . . . . .				
<b>2</b> Totals for all transactions reported on Form(s) 8949 with <b>Box B</b> checked . . . . .				
<b>3</b> Totals for all transactions reported on Form(s) 8949 with <b>Box C</b> checked . . . . .	361,877.	361,909.		-32.
<b>4</b> Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 . . . . .			<b>4</b>	
<b>5</b> Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .			<b>5</b>	
<b>6</b> Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet . . . . .			<b>6</b>	( )
<b>7</b> Net short-term capital gain or (loss). Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back . . . . . ▶			<b>7</b>	-32.

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
<b>8a</b> Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
<b>8b</b> Totals for all transactions reported on Form(s) 8949 with <b>Box D</b> checked . . . . .				
<b>9</b> Totals for all transactions reported on Form(s) 8949 with <b>Box E</b> checked . . . . .	37,853.	8,138.		29,715.
<b>10</b> Totals for all transactions reported on Form(s) 8949 with <b>Box F</b> checked . . . . .	238,912.	265,421.		-26,509.
<b>11</b> Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 . . . . .			<b>11</b>	
<b>12</b> Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .			<b>12</b>	
<b>13</b> Capital gain distributions . . . . .			<b>13</b>	6,719.
<b>14</b> Gain from Form 4797, Part I . . . . .			<b>14</b>	
<b>15</b> Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet . . . . .			<b>15</b>	( )
<b>16</b> Net long-term capital gain or (loss). Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back . . . . . ▶			<b>16</b>	9,925.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2013

Part III Summary of Parts I and II		(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
<b>Caution: Read the instructions before completing this part.</b>				
17	Net short-term gain or (loss)	17		-32.
18	Net long-term gain or (loss):			
a	Total for year	18a		9,925.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	18b		
c	28% rate gain	18c		
19	Total net gain or (loss). Combine lines 17 and 18a.	19		9,893.

**Note:** If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and do not complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the Capital Loss Carryover Worksheet, as necessary.

#### Part IV Capital Loss Limitation

20	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of	20	( )
a	The loss on line 19, column (3) or		
b	\$3,000		

**Note:** If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the Capital Loss Carryover Worksheet in the instructions to figure your capital loss carryover.

#### Part V Tax Computation Using Maximum Capital Gains Rates

**Form 1041 filers.** Complete this part only if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

**Caution:** Skip this part and complete the Schedule D Tax Worksheet in the instructions if

- Either line 18b, col (2) or line 18c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

**Form 990-T trusts.** Complete this part only if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the Schedule D Tax Worksheet in the instructions if either line 18b, col (2) or line 18c, col (2) is more than zero.

21	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34).	21		
22	Enter the smaller of line 18a or 19 in column (2) but not less than zero.	22		
23	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T).	23		
24	Add lines 22 and 23	24		
25	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0-	25		
26	Subtract line 25 from line 24. If zero or less, enter -0-	26		
27	Subtract line 26 from line 21. If zero or less, enter -0-	27		
28	Enter the smaller of the amount on line 21 or \$2,450	28		
29	Enter the smaller of the amount on line 27 or line 28	29		
30	Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0%.	30		
31	Enter the smaller of line 21 or line 26	31		
32	Subtract line 30 from line 26	32		
33	Enter the smaller of line 21 or \$11,950	33		
34	Add lines 27 and 30	34		
35	Subtract line 34 from line 33. If zero or less, enter -0-	35		
36	Enter the smaller of line 32 or line 35	36		
37	Multiply line 36 by 15%.	37		
38	Enter the amount from line 31	38		
39	Add lines 30 and 36	39		
40	Subtract line 39 from line 38. If zero or less, enter -0-	40		
41	Multiply line 40 by 20%.	41		
42	Figure the tax on the amount on line 27. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041).	42		
43	Add lines 37, 41, and 42	43		
44	Figure the tax on the amount on line 21. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041).	44		
45	Tax on all taxable income. Enter the smaller of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36).	45		

Schedule D (Form 1041) 2013

**Sales and Other Dispositions of Capital Assets**► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

**2013**Attachment  
Sequence No **12A**

Name(s) shown on return

THE WEST ENDOWMENT

Social security number or taxpayer identification number

76-0481204

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

**Part I** **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You **must** check **Box A, B, or C** below. Check **only one box**. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	TOTAL SHORT TERM - SEE STATEMENT D-1	VARIOUS	VARIOUS	361,877.	361,909.			-32.
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked)►				361,877.	361,909.			-32.

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

Social security number or taxpayer identification number

THE WEST ENDOWMENT

76-0481204

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

**Part II Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box D, E, or F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)

☒ (E) Long-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS

☐ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	2000 SHS CA OIL SANDS LTD	11/11/2002	11/26/2013	37,853.	8,138.			29,715.
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶				37,853.	8,138.			29,715.

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.



Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

Social security number or taxpayer identification number

THE WEST ENDOWMENT

76-0481204

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

**Part II** Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box D, E, or F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS

☒ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	TOTAL LONG TERM - SEE STATEMENT D-1	VARIOUS	VARIOUS	238,912.	265,421.			-26,509.
<b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶				238,912.	265,421.			-26,509.

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

FEDERAL FOOTNOTES

## SUPPLEMENT TO PART VII-B, QUESTION 1A(3)

THE TAXPAYER RECEIVES ADMINISTRATIVE SERVICES AND THE USE OF FACILITIES FREE OF CHARGE FROM DOMINION CORPORATION, WHICH IS OWNED 100% BY CERTAIN FOUNDATION MANAGERS. SUCH SERVICES ARE IN THE FORM OF OFFICE SPACE, SUPPLIES AND OFFICE PERSONNEL TO PERFORM ADMINISTRATIVE AND ACCOUNTING DUTIES. THE USE OF THE SERVICES AND FACILITIES IS NOT SELF DEALING ACTIVITY SINCE THE TAXPAYER IS USING THESE ITEMS EXCLUSIVELY FOR TAX EXEMPT PURPOSES AND THEY ARE BEING FURNISHED WITHOUT CHARGE.

(IRC SECTION 4941 AND REGULATION 53.4941(D)-3)

**THE WEST ENDOWMENT**  
**Capital Gains - A/C 4010, 4020**  
**January through December 2013**

Date	Name	Memo	Amount
<b>4010 - Capital G&amp;L Short-Term</b>			
05/08/2013	TD Ameritrade Inc	CALLED 1250 SH JPM Chase Cap XXIV Pfd (TD 5-8-13) \$31,250 - 32,078 36 = (828 36) STCL	-828 36
06/06/2013	TD Ameritrade Inc	SOLD 1000 SH EMC Corp (TD 6-6-13) \$24,469 08 - 23,650 31 = 818 77 STCG	818 77
06/11/2013	TD Ameritrade Inc	SOLD 370 SH Lululemon (TD 6-11-13) \$25,268 01 - 29,961 27 = (4,693 26) STCL	-4,693 26
07/17/2013	TD Ameritrade Inc	SOLD 300 SH Amer Tower Reit (TD 7-17-13) \$22,257 84 - 23,130 75 = (872 91) STCL	-872 91
08/20/2013	TD Ameritrade Inc	SOLD 200 SH Davita Hlthcare Prtns (TD 8-20-13) \$22,400 62 - 23,949 97 = (1,549 35) STCL	-1,549 35
08/22/2013	TD Ameritrade Inc	CALLED 1500 SH GE Cap Corp Pfd 6 5% (TD 8-22-13) \$37,500 - 39,927 30 = (2,427 30) STCL	-2,427 30
10/09/2013	TD Ameritrade Inc	SOLD 400 SH Yum! Brands (TD 10-9-13) \$26,125 79 - 26,233 90 = (108 11) STCL	-108 11
11/07/2013	TD Ameritrade Inc	SOLD 2500 SH Annaly Cap Mgmt (TD 11-7-13) \$27,625 52 - 35,038 38 = (7,412 86) STCL	-7,412 86
11/08/2013	TD Ameritrade Inc	SOLD 3000 SH Penn West Petr (TD 11-8-13) \$25,882 55 - 29,800 69 = (3,918 14) STCL	-3,918 14
11/08/2013	TD Ameritrade Inc	SOLD 250 SH McDonalds (TD 11-8-13) \$24,079 77 - 24,119 08 = (39 31) STCL	-39 31
11/18/2013	TD Ameritrade Inc	SOLD 1500 SH Blackstone Grp (TD 11-18-13) \$41,134 44 - 28,931 09 = 12,203 35 STCG	12,203 35
11/18/2013	TD Ameritrade Inc	SOLD 20 SH Amazon com (TD 11-18-13) \$7,342 88 - 5,358 10 = 1,984 78 STCG	1,984 78
11/18/2013	TD Ameritrade Inc	SOLD 100 SH Under Armour (TD 11-18-13) \$8,156 87 - 4,861 00 = 3,295 87 STCG	3,295 87
11/18/2013	TD Ameritrade Inc	SOLD 1650 SH Mesabi Roy Tr (TD 11-18-13) \$38,373 33 - 34,868 69 = 3,504 64 STCG - adj for 1099	3,504 64
12/31/2013	TD Ameritrade Inc	TD Amer-MV Oil Tr 2013 Distrib Tax Adj-Term NPI Principal Repymt \$752 72 Return of Cap/Reduce Basis	0 00
11/17/2013	The Blackstone Group LP (BX)	Blackstone Group K-1 2013 STCap Gain	9 00
11/17/2013	The Blackstone Group LP (BX)	Blackstone Group K-1 2013 STCap Gain - supplemental pg	1 00
Total 4010 Capital G&L Short-Term			-32 19
<b>4020 - Capital G&amp;L Long-Term</b>			
<b>4020.01 - CG Distributions</b>			
12/31/2013	Fidelity Investments	Fidelity Shares - Reclass Annaly Cap Gain Distr per 2013 1099-Div	6,151 38
12/31/2013	TD Ameritrade Inc	TD Amer 1099-2013 YE Adj Entry-Reclass Dvd to CG Distrib (Annaly Cap Mgmt)	303 71
12/31/2013	TD Ameritrade Inc	TD Amer 1099-2013 YE Adj Entry-Reclass Dvd to CG Distrib (Health Care REIT)	50 51
12/31/2013	TD Ameritrade Inc	TD Amer 1099-2013 YE Adj Entry-Reclass Dvd to CG Distrib (Senior Hsng Prop Tr)	117 08
12/31/2013	TD Ameritrade Inc	TD Amer 1099-2013 YE Adj Entry-Reclass Dvd to CG Distrib (Starwood Prop Tr)	97 03
Total 4020 01 CG Distributions			6,719 71
<b>4020 - Capital G&amp;L Long-Term - Other</b>			
02/21/2013	National Financial Services LLC	SOLD 2500 SH BT Group ADR (TD 2-21-13) 104,297 66 - 104,118 91 = 178 75 LTCG	178 75
11/25/2013	National Financial Services LLC	SOLD 5000 SH Gen Electnc (TD 11-25-13) 133,947 56 - 161,302 02 = (27,354 46) LTCL	-27,354 46
11/17/2013	The Blackstone Group LP (BX)	Blackstone Group K-1 2013 LTCap Gain	545 00
11/17/2013	The Blackstone Group LP (BX)	Blackstone Group K-1 2013 LTCap Gain - Supplemental pg	33 00
11/17/2013	The Blackstone Group LP (BX)	Blackstone Group K-1 2013 Sec 1231 Gain	88 00
12/31/2013	Williams Partners Ltd (WPZ)	Williams Partners LP K-1 2013 LTCG	1 00
Total 4020 Capital G&L Long-Term			-26,508 71

STATEMENT D-1

# THE WEST ENDOWMENT

## 5900 Contributions

January through December 2013

Date	Num	Name	City	State	Foundation Status of Receipt	Memo	Amount	Purpose
<b>5900 - CONTRIBUTIONS PAID</b>								
06/05/2013	1116	DBSA Greater Houston	Houston	TX	PC	DBSA Greater Houston - Contribution	10,000 00	General
06/05/2013	1117	Houston Arboretum & Nature Center	Houston	TX	PC	Houston Arboretum & Nature Center - Contribution	15,000.00	General
06/05/2013	1118	Lighthouse for the Blind of Houston	Houston	TX	PC	Contribution to Lighthouse for the Blind of Houston	15,000 00	General
06/05/2013	1119	Marc Lustgarten Pancreatic Cancer Fdn	Bethpage	NY	PC	Contribution to Marc Lustgarten Pancreatic Cancer Foundation	15,000 00	Medical
06/05/2013	1120	Star of Hope Mission	Houston	TX	PC	Contribution to Star of Hope Mission	25,000 00	General
06/05/2013	1121	University of St. Thomas	Houston	TX	PC	Contribution to University of St. Thomas	50,000 00	Educational
10/01/2013	1122	Buffalo Bayou Partnership	Houston	TX	PC	Contribution to Buffalo Bayou Partnership	10,000 00	General
10/01/2013	1123	Forge for Families	Houston	TX	PC	Contribution to The Forge for Families, Inc	10,000.00	General
10/01/2013	1124	Texas State History Museum Foundation	Austin	TX	PC	Contribution to Texas State History Museum Foundation for the benefit of the Bullock Museum	100,000 00	General
11/27/2013	1129	Houston's Amazing Place, Inc.	Houston	TX	PC	Contribution to Houston's Amazing Place, Inc	25,000 00	General
11/27/2013	1130	Briarwood-Brookwood, Inc	Brookshire	TX	PC	Contribution to Briarwood-Brookwood, Inc	150,000 00	General
11/27/2013	1131	Center Street Ministries Inc	Houston	TX	PC	Contribution to Center Street Ministries Inc	15,000 00	General
11/27/2013	1132	Elves & More	Spring	TX	PC	Contribution to Elves & More	10,000 00	General
11/27/2013	1133	Houston Hospice	Houston	TX	PC	Contribution to Houston Hospice	30,000 00	Medical
11/27/2013	1134	Living Hope Wheelchair Association	Houston	TX	PC	Contribution to Living Hope Wheelchair Association	10,000 00	Medical
11/27/2013	1135	Methodist Hospital Foundation	Houston	TX	SO I	Contribution to Methodist Hospital Foundation	60,000 00	Medical
11/27/2013	1136	Sailing Angels Foundation Inc	Houston	TX	PC	Contribution to Sailing Angels Foundation Inc	5,000 00	General
11/27/2013	1137	Texas Aviation Hall of Fame	Galveston	TX	PC	Contribution to Texas Aviation Hall of Fame	100,000 00	General
11/27/2013	1138	Yellowstone Academy Inc	Houston	TX	PC	Contribution to Yellowstone Academy Inc	15,000 00	Educational
<b>Total 5900 CONTRIBUTIONS PAID</b>							<u>670,000 00</u>	
<b>TOTAL</b>							<u><u>670,000.00</u></u>	

4/28/2014

WE 2013 Tax IncSfml Wkpapers.xlsx

Contributions 5900

**MONTHLY STATEMENT**

Reporting Period: December 1 - 31, 2013

**HOLDINGS DETAIL****CASH AND CASH ALTERNATIVES**

Investment Description	Quantity	Price	Market Value
FDIC INSURED DEPOSIT ACCOUNT IDA12 NOT COVERED BY SIPC	-	\$ -	\$657,513.59
<b>TOTAL CASH &amp; CASH ALTERNATIVES</b>			<b>\$657,513.59</b>

**EXCHANGE TRADED FUNDS (ETFs)**

Investment Description	Symbol/ CUSIP	Quantity	Closing Price	Market Value
ISHARES GLOBAL EX USD HIGH YIELD	HYXU	1,000	\$57.92	\$57,920.00
ISHARES MSCI EMERGING MKTS ETF	EEM	1,250	41.795	52,243.75
ISHARES MSCI EAFE ETF	EFA	1,000	67.095	67,095.00
SPDR BARCLAYS HIGH YIELD BOND ETF	JNK	5,000	40.56	202,800.00
<b>TOTAL EXCHANGE TRADED FUNDS (ETFs)</b>				<b>\$380,058.75</b>

**TOTAL EXCHANGE TRADED FUNDS- LONG POSITION****\$380,058.75****STOCKS**

Investment Description	Symbol/ CUSIP	Quantity	Closing Price	Market Value
ALLERGAN INC COM	AGN	625	\$111.08	\$69,425.00
ALLSTATE CORP PFD SER A 5.625% PERP/CALL	ALL PRA	1,250	21.00	26,250.00
ALTRIA GROUP INC COM	MO	900	38.39	34,551.00

Questions? Consult your Independent Advisor:  
 BRIDGE CREEK INV MGMT LLC (918) 392-1990

**MONTHLY STATEMENT**

Reporting Period: December 1 - 31, 2013

**HOLDINGS DETAIL** *(continued)***STOCKS**

Investment Description	Symbol/ CUSIP	Quantity	Closing Price	Market Value
AMAZON.COM INC COM	AMZN	100	398.79	39,879.00
APPLE INC COM	AAPL	125	561.02	70,127.50
ARUBA NETWORKS INC COM	ARUN	2,000	17.90	35,800.00
B&G FOODS INC COM	BGS	1,000	33.91	33,910.00
BLACKROCK INC COM	BLK	100	316.47	31,647.00
CATERPILLAR INC COM	CAT	450	90.81	40,864.50
CERNER CORP COM	CERN	600	55.74	33,444.00
CULLEN FROST BANKERS PFD 5.375% QTRLY PERP/CALL	CFR PRA	2,000	19.79	39,580.00
DIAGEO PLC SPONSORED ADR	DEO	250	132.42	33,105.00
FREEMPORT MCMORAN COPPER & GOLD COM	FCX	1,000	37.74	37,740.00
GOLDMAN SACHS GROUP INC COM	GS	175	177.26	31,020.50
GOLDMAN SACHS GRP INC PFD SERIES B	GS PRB	1,500	22.50	33,750.00
GOOGLE INC CL A	GOOG	50	1,120.71	56,035.50
HEALTH CARE REITING COM	HCN	750	53.57	40,177.50
HELMERICH & PAYNE INC COM	HP	450	84.08	37,836.00

Questions? Consult your Independent Advisor:  
BRIDGE CREEK INV MGMT LLC (918) 392-1990

Account 922-048013  
 THE WEST ENDOWMENT  
 ATTN W R LLOYD JR  
 CORPORATION

**MONTHLY STATEMENT**

Reporting Period: December 1 - 31, 2013

**HOLDINGS DETAIL** *(continued)***STOCKS**

Investment Description	Symbol/ CUSIP	Quantity	Closing Price	Market Value
IDEX LABORATORIES INC COM	IDXX	300	106.37	31,911.00
INTUITIVE SURGICAL INC COM	ISRG	150	384.08	57,612.00
KINDER MORGAN ENERGY PARTNERS CM LP	KMP	400	80.66	32,264.00
MAGELLAN MIDSTREAM PARTNERS COM	MMP	600	63.27	37,962.00
MASTERCARD INC COM	MA	50	835.46	41,773.00
MICHAEL KORS HOLDINGS COM	KORS	500	81.19	40,595.00
MORGAN STANLEY COM	MS	1,000	31.36	31,360.00
MV OIL TRUST COM	MVO	1,250	23.76	29,700.00
NATIONAL-OILWELL VARCO INC COM	NOV	390	79.53	31,016.70
NATL AUSTRALIA BANK LTD SPONSORED ADR	NABZY	1,200	31.05	37,260.00
NGL ENERGY PARTNERS LP COM	NGL	1,000	34.50	34,500.00
NIKE INC CL B	NKE	500	78.64	39,320.00
ONEOK INC CM (NEW)	OKE	600	62.18	37,308.00
ORACLE CORP COM	ORCL	1,000	38.26	38,260.00
PENNEY J C CO INC COM	JCP	2,750	9.15	25,162.50

Questions? Consult your Independent Advisor:  
 BRIDGE CREEK INV MGMT LLC (918) 392-1990



**MONTHLY STATEMENT**

Reporting Period: December 1 - 31, 2013

**HOLDINGS DETAIL** *(continued)***STOCKS**

Investment Description	Symbol/ CUSIP	Quantity	Closing Price	Market Value
PHILIP MORRIS INTL COM	PM	350	87.13	30,495.50
PRICE T ROWE GROUP INC COM	TROW	400	83.77	33,508.00
QUALCOMM INC COM	QCOM	500	74.25	37,125.00
REGIONS FINANCIAL CORP COM	RF	3,000	9.89	29,670.00
SALESFORCE.COM INC COM	CRM	700	55.19	38,633.00
SCHWAB CHARLES CORP PREFERRED STOCK	SCHW PRB	1,500	21.99	32,985.00
SENIOR HOUSING PROP TRUST REITS	SNH	1,500	22.23	33,345.00
SOUTHERN CO COM	SO	1,000	41.11	41,110.00
STARBUCKS CORP COM	SBUX	475	78.39	37,235.25
STARWOOD PROPERTY TRUST COM	STWD	1,200	27.70	33,240.00
TELSTRA CORPORATION LIMITED ADR	TLSYY	1,400	23.44	32,816.00
TERRA NITROGEN CO LP COM UNITS	TNH	400	141.10	56,440.00
TEXTAINER GROUP HOLDINGS LTD COM	TGH	1,000	40.22	40,220.00
TRACTOR SUPPLY CO COM	TSCO	725	77.58	56,245.50
UNDER ARMOUR INC COM CLASS A	UA	400	87.30	34,920.00

Questions? Consult your Independent Advisor:  
 BRIDGE CREEK INV MGMT LLC (918) 392-1990





Reporting Period: December 1 - 31, 2013

**MONTHLY STATEMENT****HOLDINGS DETAIL** *(continued)***STOCKS**

Investment Description	Symbol/ CUSIP	Quantity	Closing Price	Market Value
UNION PAC CORP COM	UNP	325	168.00	54,600.00
UNITED TECH CORP COM	UTX	300	113.80	34,140.00
UNITEDHEALTH GROUP INC COM	UNH	400	75.30	30,120.00
US BANCORP PFD SER H CALLABLE	USB PRO	1,500	19.97	29,955.00
VMWARE INC COM	VMW	400	89.71	35,884.00
WESTPORT INNOVATIONS INC COM	WPRT	1,600	19.61	31,376.00
WILLIAMS PARTNERS LTD COM	WPZ	600	50.86	30,516.00
WYNN RESORTS LTD COM	WYNN	300	194.21	58,263.00
<b>TOTAL STOCKS</b>				<b>\$2,143,988.95</b>
<b>TOTAL STOCKS- LONG POSITION</b>				<b>2,143,988.95</b>
<b>TOTAL HOLDINGS</b>				<b>\$3,181,561.29</b>
<b>TOTAL ACCOUNT VALUE</b>				<b>\$3,181,561.29</b>

Questions? Consult your Independent Advisor:  
 BRIDGE CREEK INV MGMT LLC (918) 392-1990