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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation THE STANFORD & JOAN ALEXANDER FOUNDATION		A Employer identification number 76-0204170	
% STANFORD ALEXANDER		B Telephone number (see instructions) (713) 625-3500	
Number and street (or P O box number if mail is not delivered to street address) 1400 POST OAK BLVD Suite 900		C If exemption application is pending, check here ☐	
City or town, state or province, country, and ZIP or foreign postal code HOUSTON, TX 77056		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 18,812,929		J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	10	10	0	
	4 Dividends and interest from securities.	508,653	508,653	0	
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	418,347			
	b Gross sales price for all assets on line 6a 418,347				
	7 Capital gain net income (from Part IV, line 2) . . .		418,347		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	927,010	927,010	0	
	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	31,190	0	0	31,190
	b Accounting fees (attach schedule)	25,480	12,740	0	12,740
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	15,675	0	0	0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	3,225	0	0	3,225
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	75,570	12,740	0	47,155
	25 Contributions, gifts, grants paid	6,693,511			6,693,511
	26 Total expenses and disbursements. Add lines 24 and 25	6,769,081	12,740	0	6,740,666
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-5,842,071			
	b Net investment income (if negative, enter -0-)		914,270		
	c Adjusted net income (if negative, enter -0-)			0	

Part II		Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing				
	2	Savings and temporary cash investments	401,597	207,603	207,603	
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____				
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges	3,776	0	0	
	10a	Investments—U S and state government obligations (attach schedule)	5,799		3,971	5,291
	b	Investments—corporate stock (attach schedule)	2,198,465		1,046,518	18,415,035
	c	Investments—corporate bonds (attach schedule).				
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____				
	12	Investments—mortgage loans				
	13	Investments—other (attach schedule)	185,000		185,000	185,000
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____				
15	Other assets (describe ▶ _____)					
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	2,794,637	1,443,092	18,812,929		
Liabilities	17	Accounts payable and accrued expenses	17,639	0		
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ _____)				
	23	Total liabilities (add lines 17 through 22)	17,639	0		
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	1,398,899	1,398,899		
	28	Paid-in or capital surplus, or land, bldg , and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds	1,378,099	44,193		
	30	Total net assets or fund balances (see page 17 of the instructions)	2,776,998	1,443,092		
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	2,794,637	1,443,092		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	2,776,998
2	Enter amount from Part I, line 27a	2	-5,842,071
3	Other increases not included in line 2 (itemize) ▶ _____ 	3	4,555,201
4	Add lines 1, 2, and 3	4	1,490,128
5	Decreases not included in line 2 (itemize) ▶ _____ 	5	47,036
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,443,092

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a CAPITAL GAIN DIVIDENDS		P		
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			418,347
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	418,347
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	5,020,521	24,426,526	0 20536
2011	6,558,068	26,852,067	0 24423
2010	3,580,113	27,638,497	0 129534
2009	2,428,912	21,950,733	0 110653
2008	2,298,144	36,043,756	0 06376

2 Total of line 1, column (d).	2	0 753713
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 150743
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	23,547,575
5 Multiply line 4 by line 3.	5	3,549,632
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	9,143
7 Add lines 5 and 6.	7	3,558,775
8 Enter qualifying distributions from Part XII, line 4.	8	6,740,666

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)		
1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	9,143
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3 Add lines 1 and 2.	3	9,143
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	9,143
6 Credits/Payments		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	16,000
b Exempt foreign organizations—tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d.	7	16,000
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	6,857
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 6,857 Refunded	11	

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? ☒	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
c Did the foundation file Form 1120-POL for this year?	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☒ \$ 0 (2) On foundation managers ☒ \$ 0			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
<i>If "Yes," attach a detailed description of the activities.</i>			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i> ☒	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
<i>If "Yes," attach the statement required by General Instruction T.</i> ☒			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions): ☒ TX			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of STANFORD ALEXANDER Telephone no (713) 625-3500 Located at 1400 POST OAK BLVD STE 900 HOUSTON TX ZIP+4 77056			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. Yes No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. Yes No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Yes No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. Yes No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		☐ Yes	☒ No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?		☐ Yes	☒ No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?		☐ Yes	☒ No	
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).		☐ Yes	☒ No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		☐ Yes	☒ No	
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b		
Organizations relying on a current notice regarding disaster assistance check here.				☐
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?				☐ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		No
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?		☐ Yes ☒ No		
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
STANFORD ALEXANDER 1400 POST OAK BLVD SUITE 900 HOUSTON, TX 77056	CHAIRMAN 4 0	0	0	0
JOAN ALEXANDER 1400 POST OAK BLVD SUITE 900 HOUSTON, TX 77056	CEO/PRESIDENT 30 0	0	0	0
ANDREW M ALEXANDER 1400 POST OAK BLVD SUITE 900 HOUSTON, TX 77056	SECRETARY/TREASURER 1 0	0	0	0
KEVIN B ALEXANDER 2929 WESTHEIMER APT 606 HOUSTON, TX 77098	DIRECTOR 2 0	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Total number of other employees paid over \$50,000.				0

Part VIII

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000

Total number of others receiving over \$50,000 for professional services.	0
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Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	23,767,590
b	Average of monthly cash balances.	1b	137,162
c	Fair market value of all other assets (see instructions).	1c	1,416
d	Total (add lines 1a, b, and c).	1d	23,906,168
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	23,906,168
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	358,593
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	23,547,575
6	Minimum investment return. Enter 5% of line 5.	6	1,177,379

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,177,379
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	9,143
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	9,143
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	1,168,236
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	1,168,236
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	1,168,236

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	6,740,666
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	6,740,666
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	9,143
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	6,731,523
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				1,168,236
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 2011 , 2010 , 2009		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.	530,746			
b From 2009.	1,356,981			
c From 2010.	2,228,772			
d From 2011.	5,239,113			
e From 2012.	3,830,545			
f Total of lines 3a through e.	13,186,157			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 6,740,666				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				1,168,236
e Remaining amount distributed out of corpus	5,572,430			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	18,758,587			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	530,746			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	18,227,841			
10 Analysis of line 9				
a Excess from 2009. . . .	1,356,981			
b Excess from 2010. . . .	2,228,772			
c Excess from 2011. . . .	5,239,113			
d Excess from 2012. . . .	3,830,545			
e Excess from 2013. . . .	5,572,430			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a

Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
(a) 2013	(b) 2012	(c) 2011	(d) 2010		
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

STANFORD AND JOAN ALEXANDER

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NA

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Form 990-PF (2013)

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE ATTACHMENT 14 1400 POST OAK BLVD STE 900 HOUSTON,TX 77056			SEE ATTACHMENT 14	6,693,511
Total 3a				6,693,511
b Approved for future payment SEE ATTACHMENT 15 1400 POST OAK BLVD STE 900 HOUSTON,TX 77056			SEE ATTACHMENT 15	3,090,000
Total 3b				3,090,000

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

TY 2013 Accounting Fees Schedule**Name:** THE STANFORD & JOAN ALEXANDER FOUNDATION**EIN:** 76-0204170

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	25,480	12,740	0	12,740

**TY 2013 All Other Program
Related Investments Schedule**

Name: THE STANFORD & JOAN ALEXANDER FOUNDATION
EIN: 76-0204170

Category	Amount
NONE	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: THE STANFORD & JOAN ALEXANDER FOUNDATION

EIN: 76-0204170

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
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**TY 2013 Investments Corporate
Stock Schedule****Name:** THE STANFORD & JOAN ALEXANDER FOUNDATION**EIN:** 76-0204170

Name of Stock	End of Year Book Value	End of Year Fair Market Value
WEINGARTEN REALTY INVESTORS	382,861	17,634,350
AMERICAN ELECTRIC POWER	0	0
BP, PLC	200,900	243,050
BRISTOL MYERS	0	0
JPMORGAN CHASE	0	0
VERIZON COMMUNICATIONS	0	0
AT&T	0	0
EXXON MOBIL CORP	206,600	506,000
REGENCY CENTERS CORP	0	0
CHEVRON	0	0
CITIGROUP	246,250	26,055
SUPERMEDIA INC	0	0
FRONTIER COMMUNICATIONS CORP	9,907	5,580

TY 2013 Investments Government Obligations Schedule

Name: THE STANFORD & JOAN ALEXANDER FOUNDATION

EIN: 76-0204170

**US Government Securities - End of
Year Book Value:**

3,971

**US Government Securities - End of
Year Fair Market Value:**

5,291

**State & Local Government
Securities - End of Year Book
Value:**

**State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2013 Investments - Land Schedule

Name: THE STANFORD & JOAN ALEXANDER FOUNDATION

EIN: 76-0204170

TY 2013 Investments - Other Schedule

Name: THE STANFORD & JOAN ALEXANDER FOUNDATION

EIN: 76-0204170

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
STATE OF ISRAEL BONDS		185,000	185,000

TY 2013 Land, Etc. Schedule**Name:** THE STANFORD & JOAN ALEXANDER FOUNDATION**EIN:** 76-0204170

TY 2013 Legal Fees Schedule

Name: THE STANFORD & JOAN ALEXANDER FOUNDATION

EIN: 76-0204170

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	31,190	0	0	31,190

TY 2013 Other Decreases Schedule**Name:** THE STANFORD & JOAN ALEXANDER FOUNDATION**EIN:** 76-0204170

Description	Amount
OTHER RECONCILING ITEM - ADMIN EXPENSES	30,831
OTHER RECONCILING ITEM - ACCT RECEIVABLE	14,141
PRIOR YEAR PREPAID EXCISE TAX	2,064

TY 2013 Other Expenses Schedule

Name: THE STANFORD & JOAN ALEXANDER FOUNDATION

EIN: 76-0204170

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROFESSIONAL ORGANIZATION DUES	3,225	0	0	3,225

TY 2013 Other Increases Schedule**Name:** THE STANFORD & JOAN ALEXANDER FOUNDATION**EIN:** 76-0204170

Description	Amount
EXCESS OF VALUE OVER BASIS OF SECURITIES	0
DONATED TO PUBLIC CHARITIES	4,477,713
BOOK VALUE - FRONTIER STOCK	9,907
OTHER RECONCILING ITEM - ACCT PAYABLE	67,581

TY 2013 Taxes Schedule**Name:** THE STANFORD & JOAN ALEXANDER FOUNDATION**EIN:** 76-0204170

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAXES - CURRENT YEAR	12,000	0	0	0
EXCISE TAXES - PRIOR YEAR	3,675	0	0	0

The Stanford and Joan Alexander Foundation

Tax I D #76-0204170

Part XV, Line 3a- Grants and Contributions Paid During the Year

<u>Recipient</u>	<u>Fdn Status Of Recipient *</u>	<u>Purpose</u>	<u>Amount</u>
American Friends of Hebrew University P O Box 2035 New York, NY 10021	PC	Educational Programs	\$ 2,500 00
American Israel Education Foundation 251 H St , NW Washington, DC 20001	PC	Education Programs	\$ 150,000 00
American Society for Technion- Israel 710 N Post Oak Rd , #400 Houston, TX 77024	PC	Education Programs	\$ 5,000 00
Anti-Defamation League 4635 Southwest Fwy #400 Houston, TX 77027	PC	Cultural Programs	\$ 10,000 00
Assistance League of Houston 1902 Commonwealth Houston, TX 77006	PC	Social Services	\$ 2,000 00
B'nai B'rith Youth Organization 2020 K St , NW, 7th Floor Washington, DC 20006	PC	Youth Programs	\$ 1,800 00
Baylor College of Medicine One Baylor Plaza, #171-A Houston, TX 77030	PC	Medical Programs	\$ 10,000 00
Briarwood School 12207 Whittington Dr Houston, TX 77077	PC	Education Programs	\$ 2,000 00
Center for Houston's Future 1200 Smith St #1150 Houston, TX 77002	PC	Community Development	\$ 1,000 00
Chabad Outreach of Houston 11000 Fondren #B-104 Houston, TX 77096	PC	Social Services	\$ 1,000 00
Children's Defense Fund P O Box 819 Bellaire, TX 77402	PC	Social Services	\$ 1,000 00

The Stanford and Joan Alexander Foundation

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Part XV, Line 3a- Grants and Contributions Paid During the Year

<u>Recipient</u>	<u>Fdn Status Of Recipient *</u>	<u>Purpose</u>	<u>Amount</u>
Crisis Intervention of Houston 3701 Kirby Dr #540 Houston, TX 77098	PC	Social Services	\$ 1,000 00
DePelchin Children's Center 4950 Memorial Drive Houston, TX 77007	PC	Social Services	\$ 2,500 00
Depression & Bipolar Support Alliance 3800 Buffalo Speedway #350 Houston, TX 77098	PC	Social Services	\$ 2,500 00
Dress For Success- Houston 3310 Eastside St Houston, TX 77098	PC	Social Services	\$ 2,500 00
Easter Seals 4500 Bissonet #340 Bellaire, TX 77401	PC	Medical Programs	\$ 1,000 00
Galveston Bay Foundation 17300 Highway 3 Webster, TX 77598	PC	Environmental Programs	\$ 2,500.00
Girls Incorporated of Greater houston 1235 N Loop W #118 Houston, TX 77008	PC	Youth Programs	\$ 1,500 00
Gulf Coast 4034 Blue Bonnet Blvd Houston, TX 77025	PC	Environmental Programs	\$ 1,500 00
Harris County Hospital District Foundation P O Box 301168 Houston, TX 77230	PC	Medical Programs	\$ 50,000 00
Holocaust Museum of Houston 5401 Caroline Houston, TX 77004	PC	Jewish Cultural	\$ 17,500 00
Houston Area Women's Center 1010 Waugh Drive Houston, TX 77019	PC	Social Services	\$ 1,500 00

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Part XV, Line 3a- Grants and Contributions Paid During the Year

<u>Recipient</u>	<u>Fdn Status</u> <u>Of Recipient</u> *	<u>Purpose</u>	<u>Amount</u>	
Houston Ballet Foundation 510 Preston Houston, TX 77002	PC	Cultural Programs	\$ 1,500 00	
Houston Hillel 1700 Bissonet Houston, TX 77005	PC	Education Programs	\$ 5,000 00	
Houston Hospice 1905 Holcombe Blvd Houston, TX 77030	PC	Social Services	\$ 1,000 00	
Houston Jewish Community Foundation 5603 S Braeswood Houston, TX 77096	PC	Philanthropic Programs	\$ 3,761,319 00	Stock (Non-cash)
Houston Public Library Foundation 500 McKinney Houston, TX 77002	PC	Educational Programs	\$ 3,000.00	
Houston Tomorrow 3015 Richmond #201 Houston, TX 77098	PC	Community Development	\$ 1,500 00	
Houston Zoo, Inc 1513 N MacGregor Houston, TX 77030	PC	Cultural Programs	\$ 5,000 00	
Inprint, Inc 1524 West Main Houston, TX 77006	PC	Cultural Programs	\$ 10,000 00	
Israel Arts & Sciences Academy 211 E. Chicago Ave Chicago, IL 60611	PC	Educational Programs	\$ 1,250 00	
Jewish Family Service 4131 S Braeswood Houston, TX 77025	PC	Jewish Social Service Program	\$ 21,000 00	
Jewish Federation of Greater Houston 5603 S Braeswood Blvd Houston, TX 77096	PC	Jewish Social Service Program	\$ 20,000 00	

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Part XV, Line 3a- Grants and Contributions Paid During the Year

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<u>Recipient</u>	<u>Fdn Status Of Recipient *</u>	<u>Purpose</u>	<u>Amount</u>
Jewish Outreach Institute 1270 Broadway #609 New York, NY 10001	PC	Cultural Programs	\$ 25,000 00
Jung Educational Center 5200 Montrose Blvd Houston, TX 77006	PC	Education Programs	\$ 1,000 00
Juvenile Diabetes Research Foundation 2425 Fountainview #280 Houston, TX 77057	PC	Medical Programs	\$ 5,000 00
Lilith Publications, Inc 250 W 57th St New York, NY 10107	PC	Education Programs	\$ 2,500 00
Men of Distinction Fund, Inc P O Box 297153 Houston, TX 77297	PC	Medical Research	\$ 1,500 00
Mental Health America of Greater Houston 2211 Norfolk #810 Houston, TX 77098	PC	Social Services	\$ 75,000 00
Museum of Fine Arts, Houston 1001 Bissonnet Houston, TX 77005	PC	Fine Arts	\$ 25,000 00
National Council of Jewish Women 3838 N Braeswood #417 Houston, TX 77025	PC	Cultural Programs	\$ 1,500 00
Neuhaus Education Center 4433 Bissonnet Bellaire, TX 77401	PC	Education Programs	\$ 1,500 00
Planned Parenthood Gulf Coast 4600 Gulf Fwy, 4th Floor Houston, TX 77023	PC	Social Services	\$ 1,000 00
Reasoning Mind, Inc 410 Pierce St Houston, TX 77002	PC	Education Programs	\$ 25,000 00

The Stanford and Joan Alexander Foundation

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Part XV, Line 3a- Grants and Contributions Paid During the Year

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<u>Recipient</u>	<u>Fdn Status</u> <u>Of Recipient *</u>	<u>Purpose</u>	<u>Amount</u>	
Recipe For Success Foundation P O Box 56405 Houston, TX 77256	PC	Social Services	\$ 1,000 00	
Rice University P O Box 1892 Houston, TX 77251	PC	Education Programs	\$ 132,267 00	
Shlenker School 5600 N Braeswood Blvd Houston, TX 77096	PC	Education Programs	\$ 150,000 00	
Smith College P O.Box 340029 Boston, MA 02241	PC	Education Programs	\$ 1,875 00	
Texas Executive Women Scholarship Fund P.O Box 22593 Houston, TX 77227	PC	Education Programs	\$ 2,500 00	
Texas Freedom Network Education Fund P O Box 1624 Austin, TX 78767	PC	Education Programs	\$ 7,500 00	
Texas Heart institute P O Box 20345 Houston, TX 77225	PC	Medical Programs	\$ 5,000 00	
United Way P O Box 200716 Houston, TX 77216	PC	Social Services	\$ 100,000 00	
University of Houston 4800 Calhoun Rd Houston, TX 77004	PC	Education Programs	\$ 2,000,000 00	Stock (Non-cash)
W A Lawson Institute for Peace & Prosperity 5760 Cullen Blvd Houston, TX 77021	PC	Education Programs	\$ 5,000 00	
Washington Institute for Near East Policy 1828 L Street, #1050 Washington, D C 20036	PC	Education Programs	\$ 10,000 00	

The Stanford and Joan Alexander Foundation

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Part XV, Line 3a- Grants and Contributions Paid During the Year

<u>Recipient</u>	<u>Fdn Status</u> <u>Of Recipient *</u>	<u>Purpose</u>	<u>Amount</u>
YES College Preparatory Schools 6201 Bonhomme, #168N Houston, TX 77036	PC	Education Programs	\$ 12,500.00
Zoo Friends of Houston, Inc. 450 Park Ave. #3201 New York, NY 10022	PC	Cultural Programs	\$ 1,000 00
Total Contributions			<u>\$6,693,511 00</u>

* Foundation has no relationship with any recipients

THE STANFORD AND JOAN ALEXANDER FOUNDATION

76-0204170

PLEDGES- BALANCES DUE

As of December 31, 2013

<u>Charitable Organization</u>	Original						
	<u>Pledge</u>	<u>2013</u>	<u>2014</u>	<u>2015</u>	<u>2016</u>	<u>2017</u>	<u>Total</u>
<u>Long-Term Pledges</u>							
Baylor College of Medicine- Stuart Yudofsky lecture	\$ 100,000	Paid	\$ 10,000	\$ 10,000	\$ 10,000	\$ 10,000	\$ 40,000
MFAH- to support 2 doctoral students in photography dept	\$ 30,000	Paid	\$ 10,000				\$ 10,000
Inprint- for Alexander prize in fiction	\$ 50,000	Paid	\$ 10,000	\$ 10,000	\$ 10,000	\$ 10,000	\$ 40,000
U of H Stanford Alexander Real Estate Center	\$ 5,000,000	Paid	\$ 750,000	\$ 750,000	\$ 750,000	\$ 750,000	\$ 3,000,000
Subtotal	\$ 5,180,000	\$ -	\$ 780,000	\$ 770,000	\$ 770,000	\$ 770,000	\$ 3,090,000